

# Urban Simulation 4

## b. Cellular Automata Models

Physical Model Representations of Urban Systems

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<http://www.spatialcomplexity.info/>

29<sup>th</sup> January 2024

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**X**@jmichaelbatty

# **Outline of Today's Lecture**

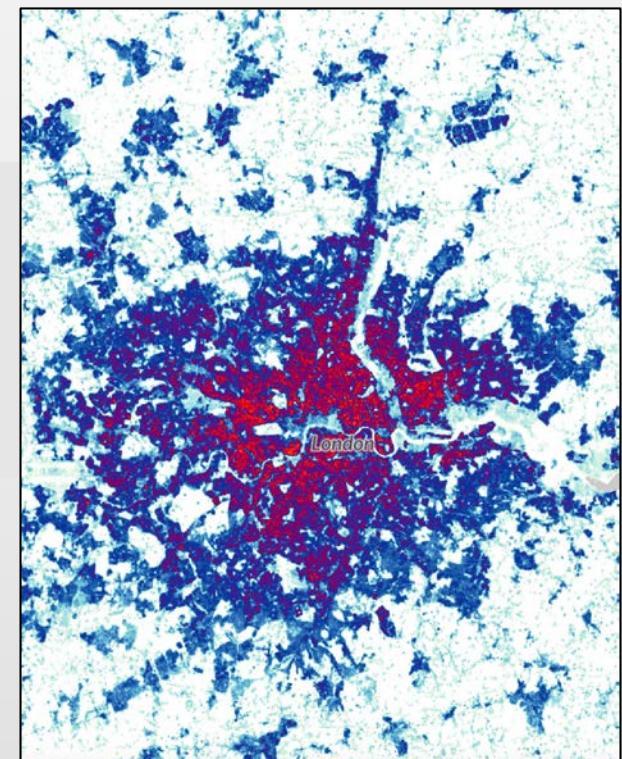
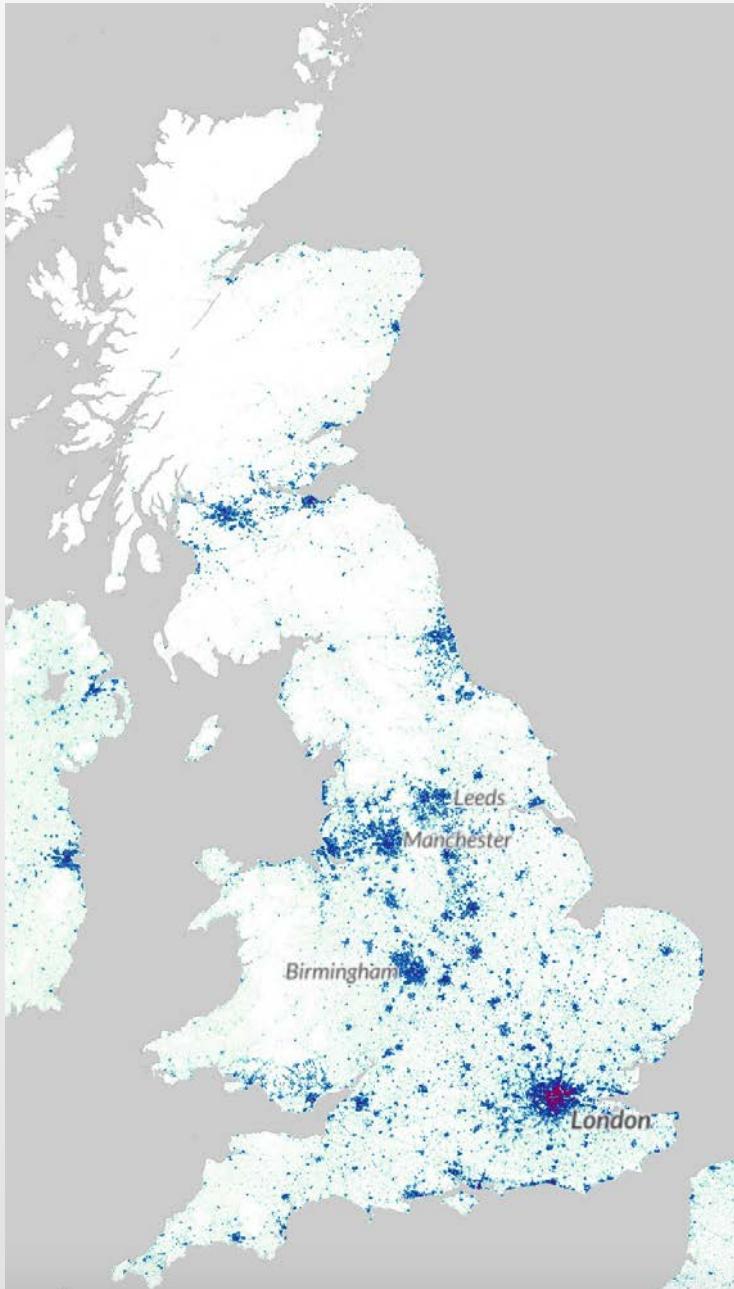
- Urban Development Modelling: A Note
- Space Filling and Fractal Systems
- Early One Dimensional Automata – our first programming interlude
- Game of Life: John Conway's Contribution
- Applications through Cellular Automata – our second programming interlude
- Different Model Applications: DUEM – Demo
- Moving to Agent-Based Models: Schelling's Model. We wont get anywhere near this

## **Urban Development Modelling: A Note**

Our models so far have been pitched at the level of socio economic activities with some constraints physical in nature like green belts. However the urban system can be articulated at many levels and if we descend one level we move from activities in zones to land use in parcels. Our models change as we move scales

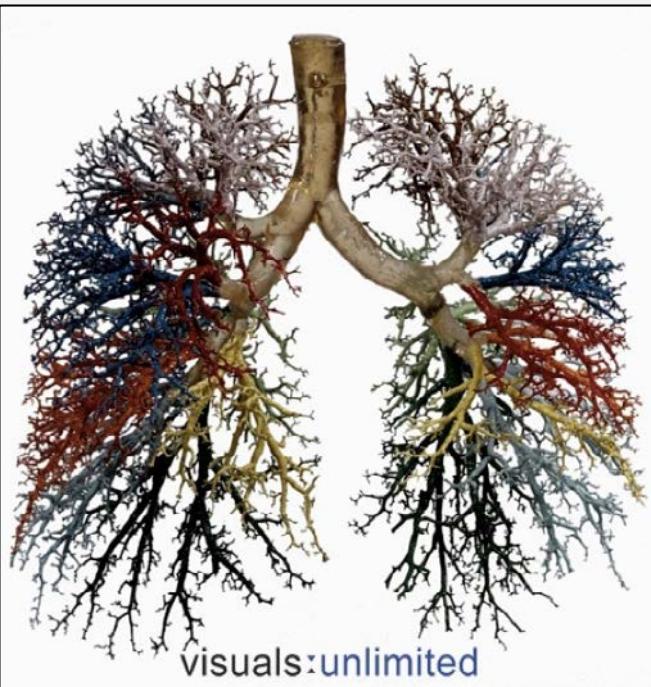
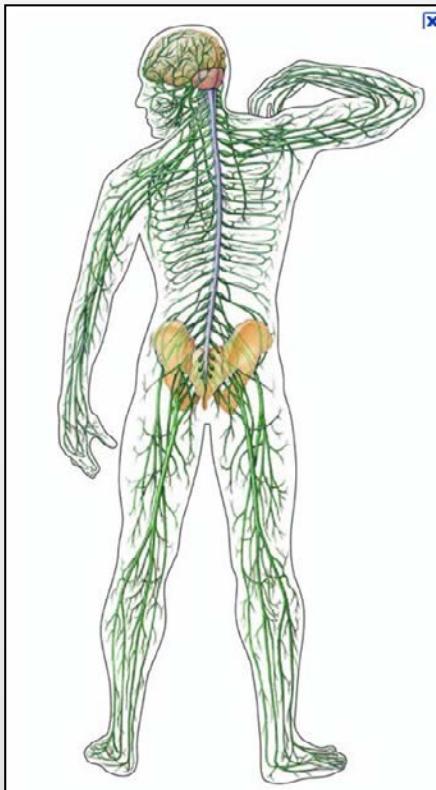
This is an important issue for it raises the basic situations of how form relates to function. Let me show pictures of what we wish to simulate

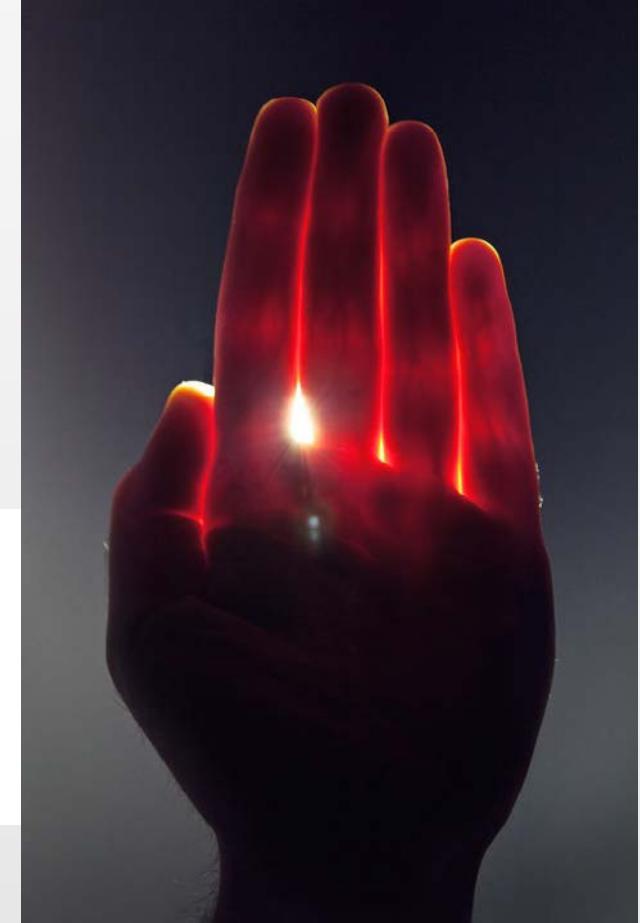
Here is some pictures from the JRC global human settlements layer (database) actually from Duncan Smith's Citygeographics site



# A Note on Space-Filling

You can intuitively judge that when we look at form we see how it fills space so when we model cities we strive to see how they fill space – CA models helps us to do this





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Cellular Automata Models

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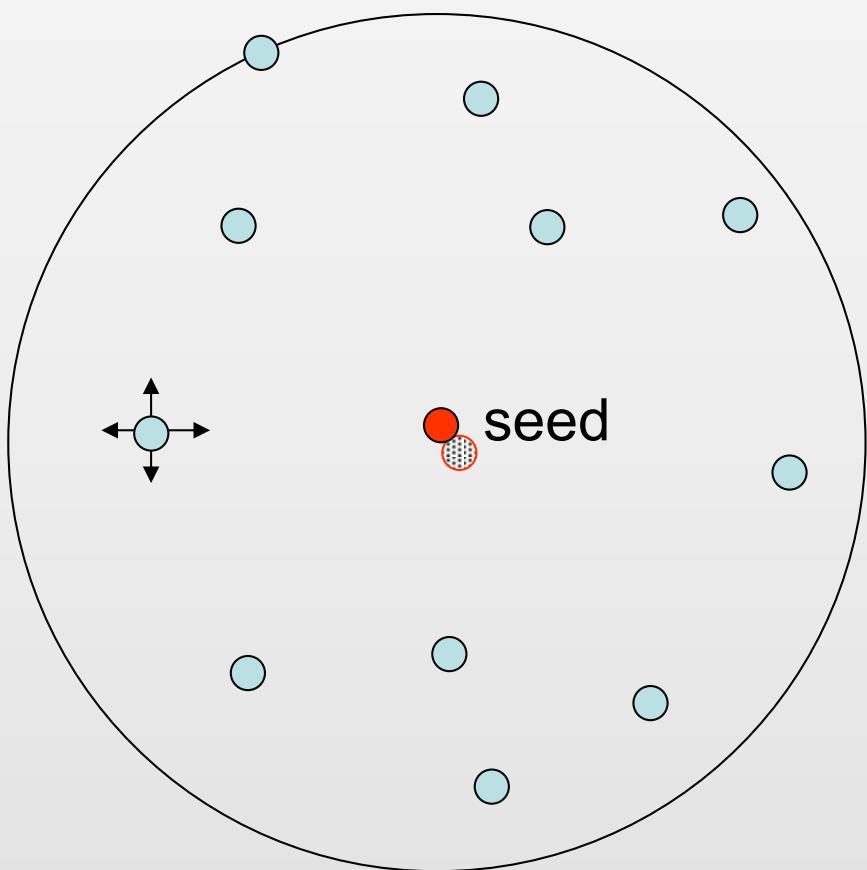
# Fractal Growth Models: DLA

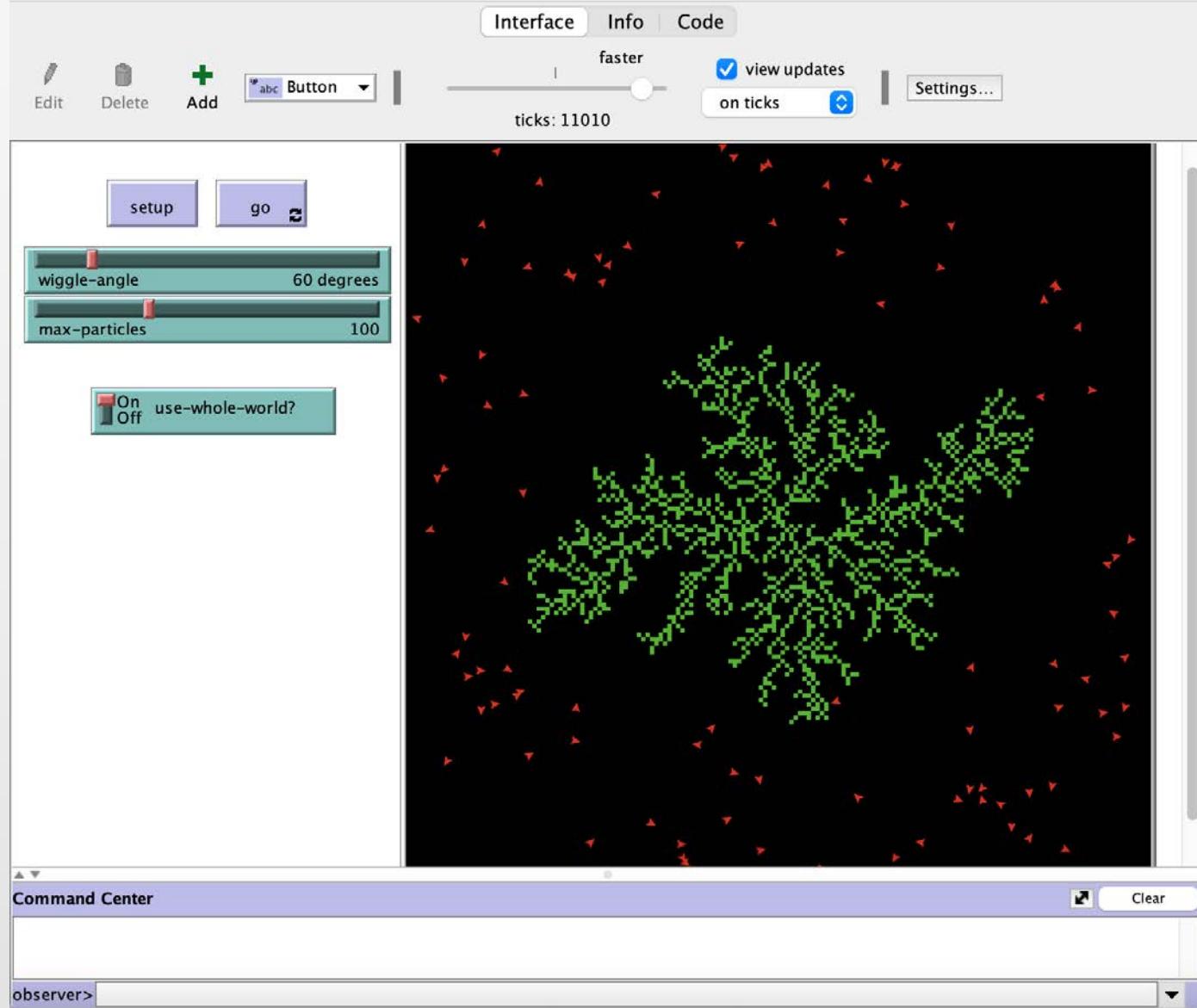
Ok, let me show you the simplest possible model of an organically growing city – based on two simple principles of how space is filled.

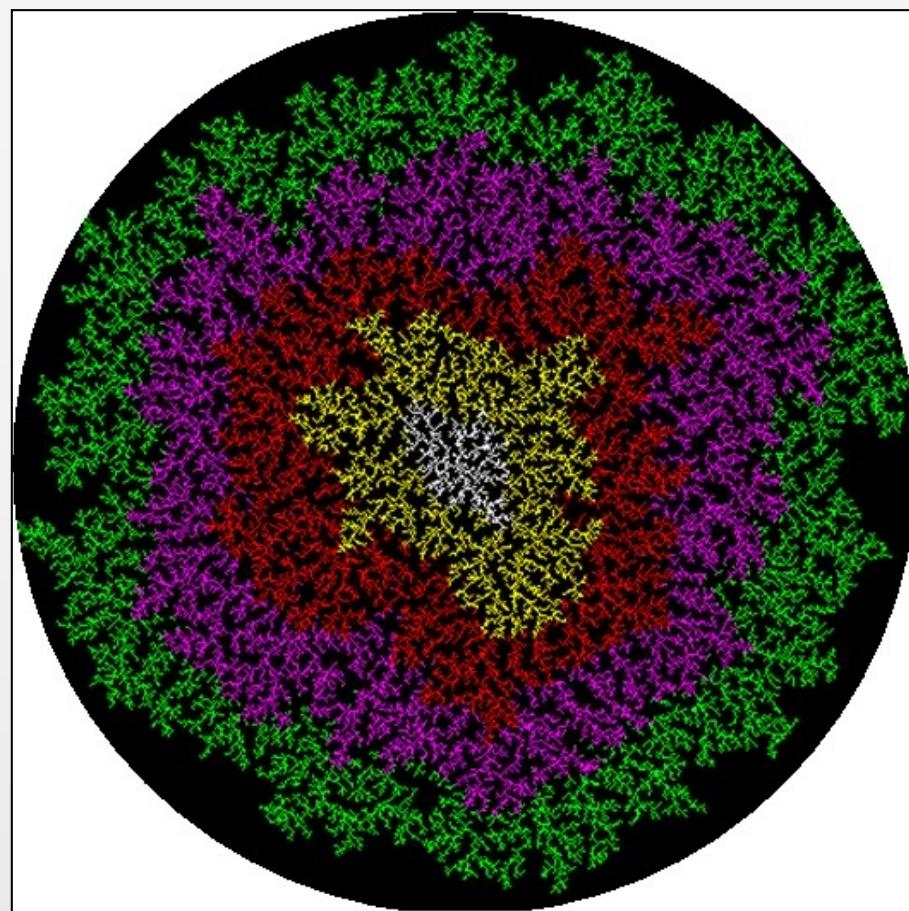
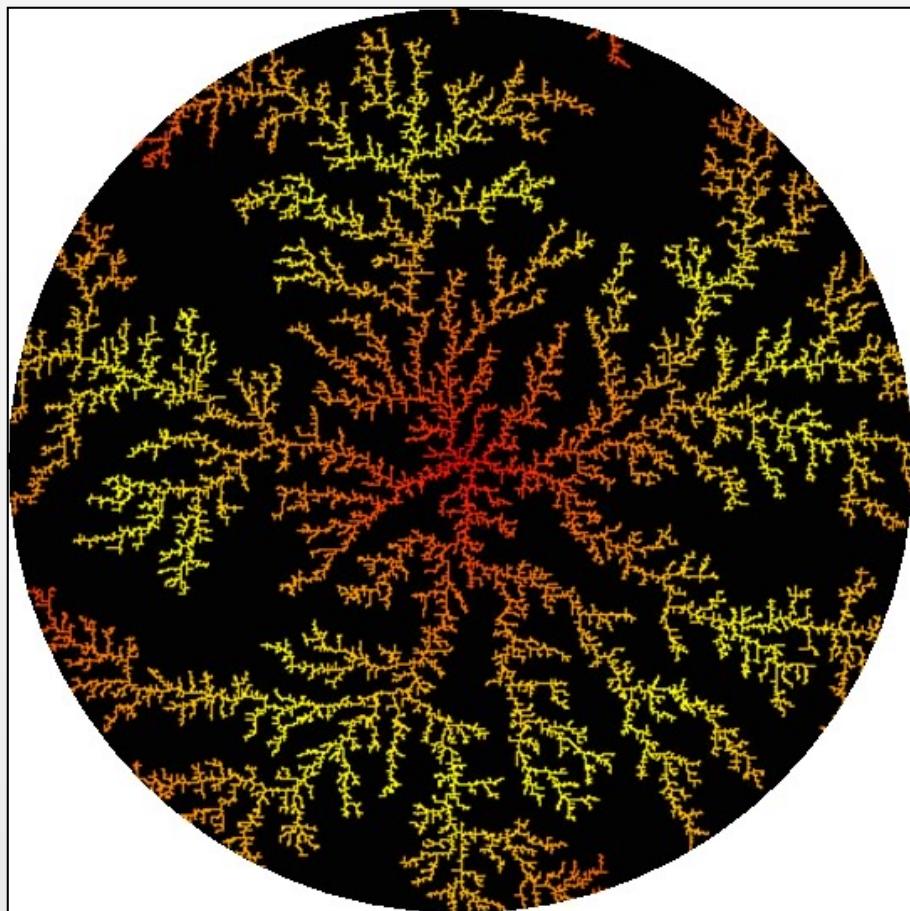
- A city is connected in that its units of development are physically adjacent
- Each unit of development wants as much space around it as it needs for its function.

We start with a seed at the centre of a space and simply let actors or agents randomly walk in search of others who have settled. When they find someone, they stick. That is all.

In essence, this is random walk in space which is can be likened to the diffusion of particles ● around a source ● but limited to remain within the influence of the source – the city

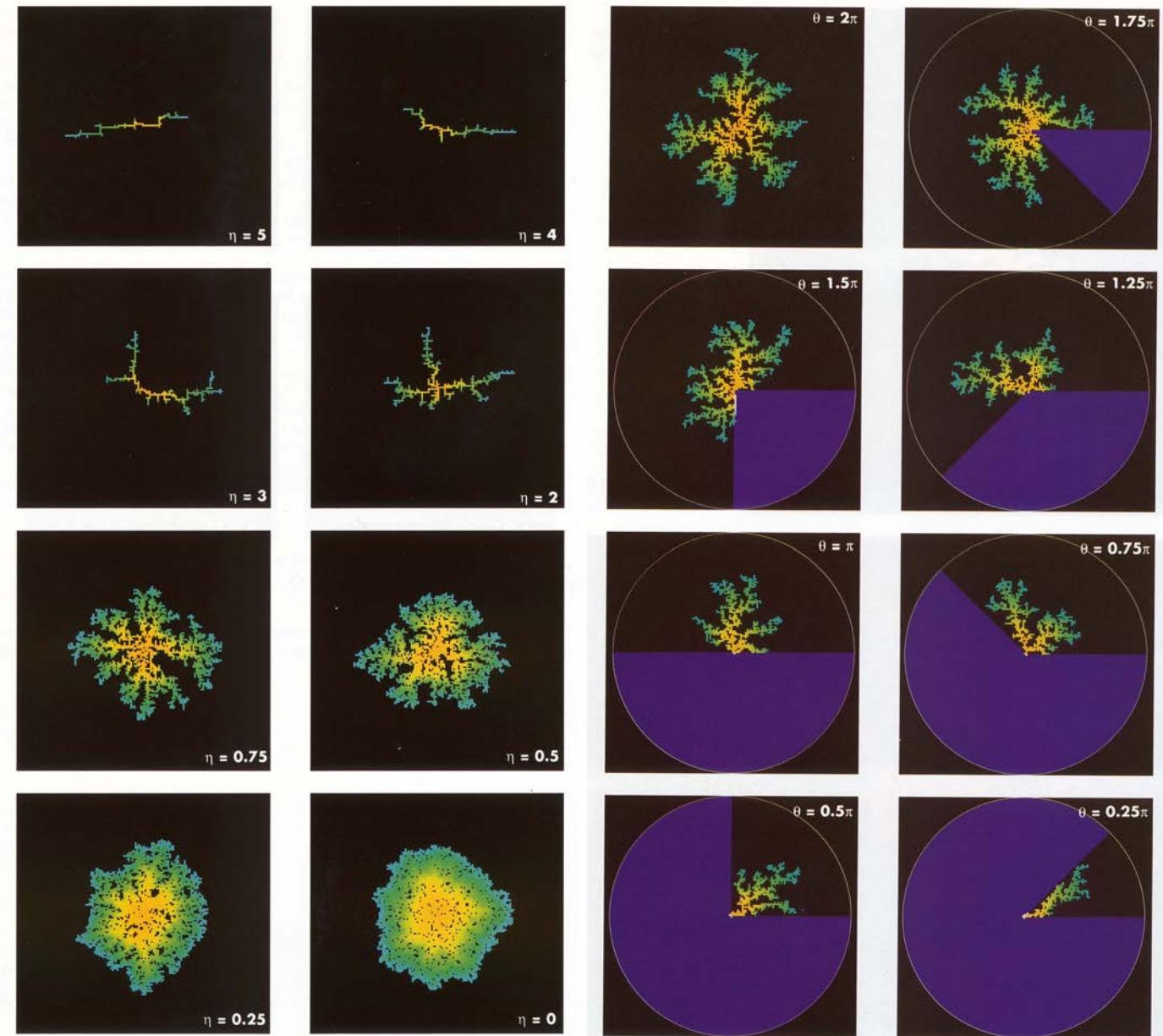






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Cellular Automata Models



**Plate 8.4** Urban Forms Generated by Systematic Distortions to the DBM Field

**Plate 8.2** Physically Constrained DBM Simulations

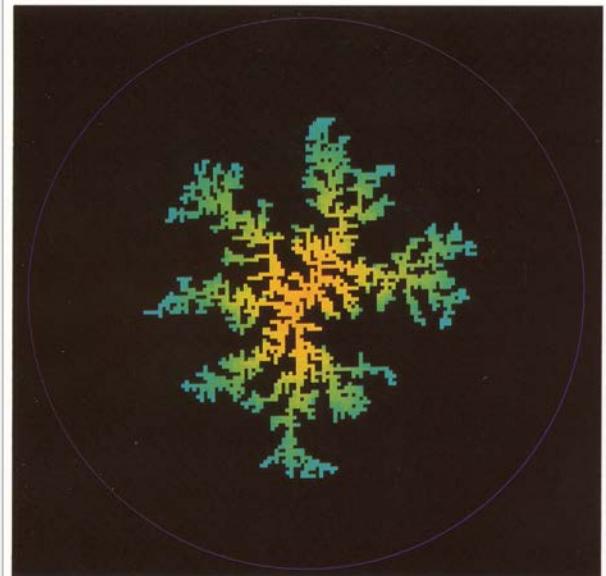


Plate 8.3 (left) The Baseline Simulation  $\eta =$

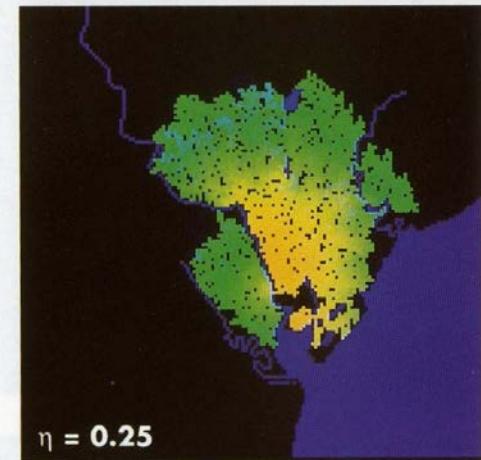
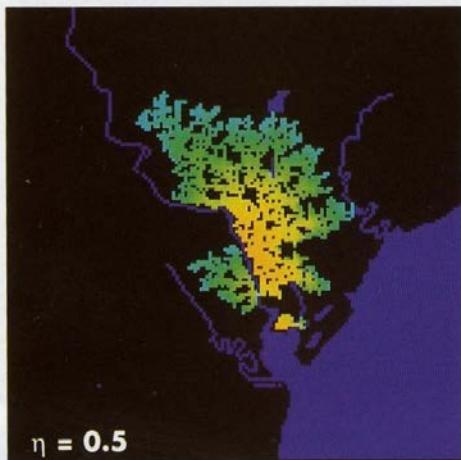
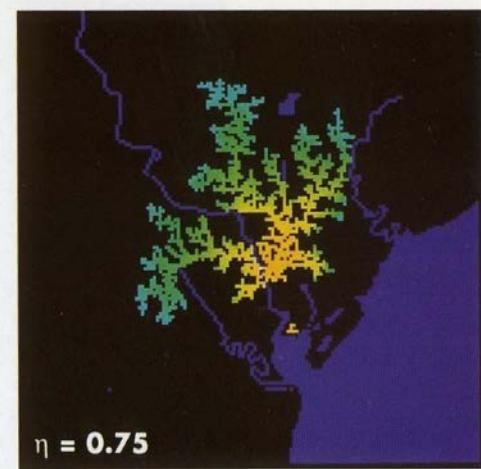
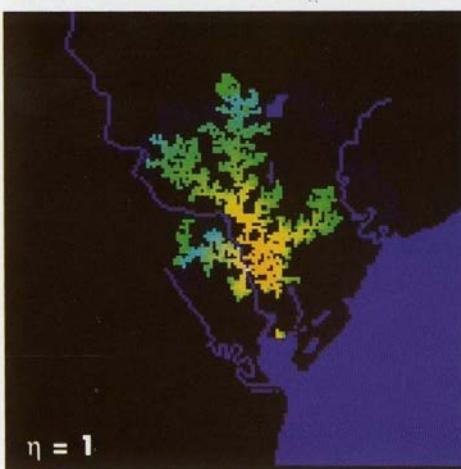
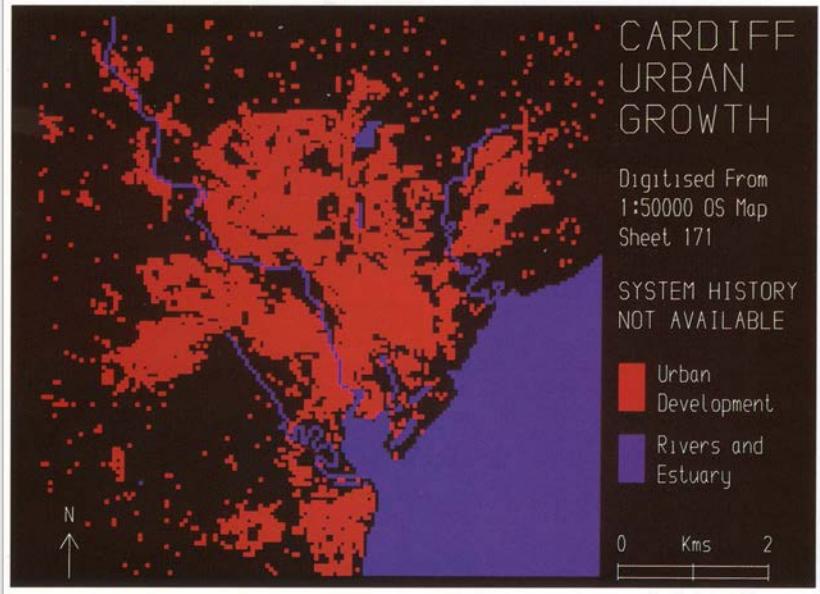
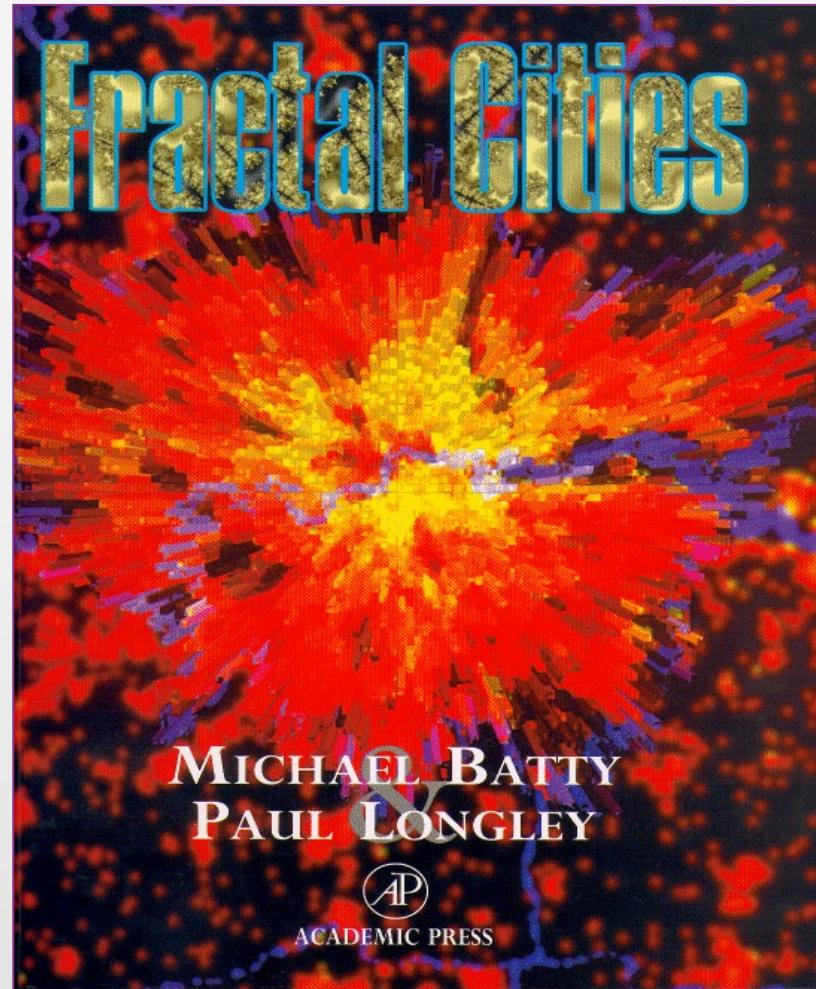


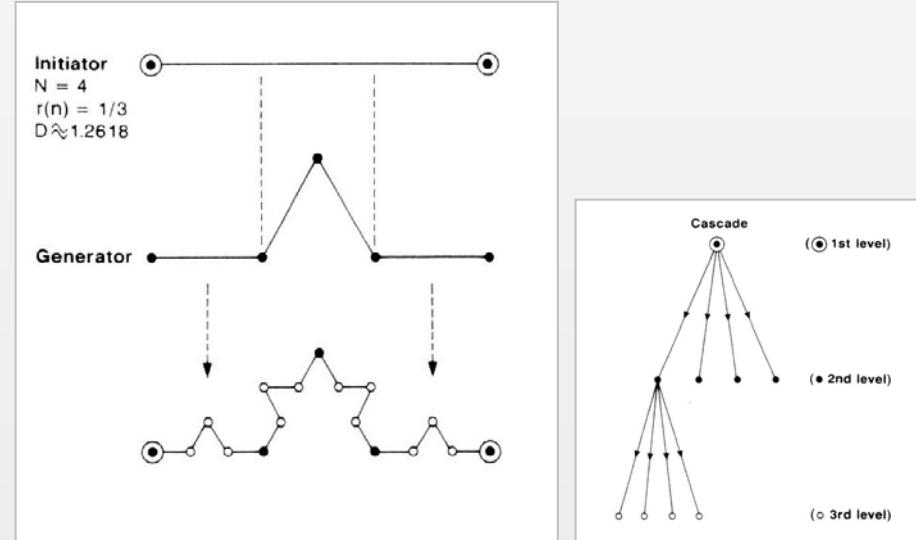
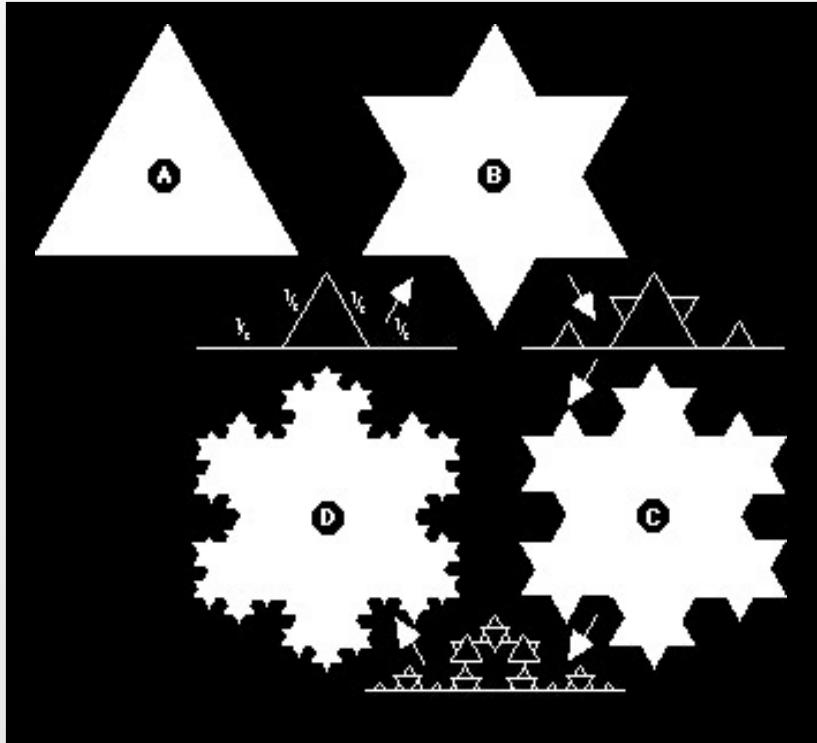
Plate 8.6 Simulating the Urban Growth of Cardiff

All this is contained in our book on  
**Fractal Cities**. It is online



[www.fractalcities.org](http://www.fractalcities.org)

Self-similarity and space filling. Note how we construct the irregularity by adding a scaled down piece of the curve: The Koch curve



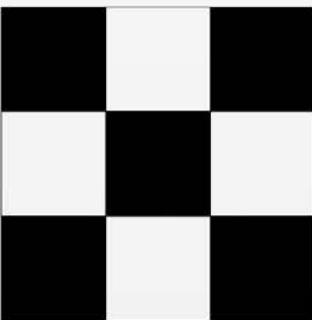
Note how hierarchy is a feature of the construction

Note how the line is infinite but the area is finite

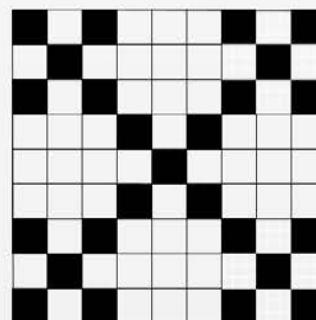
$k=0$



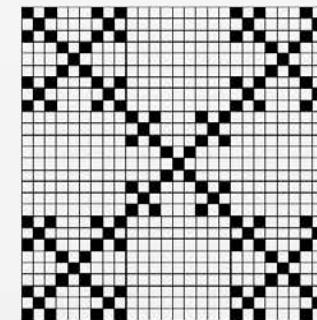
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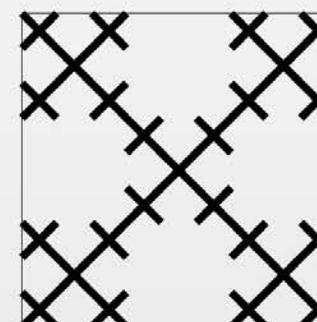
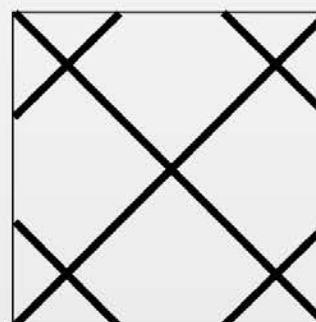
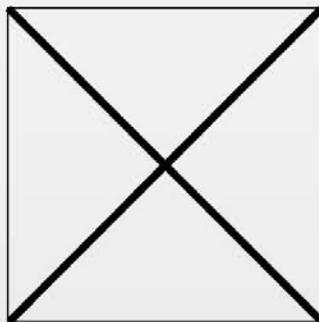
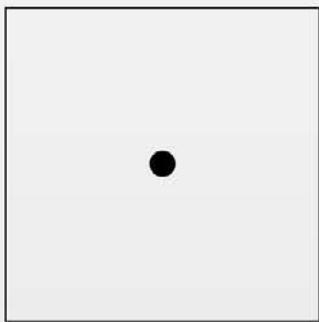
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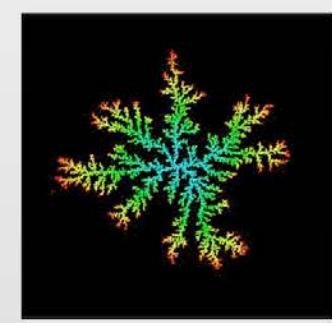
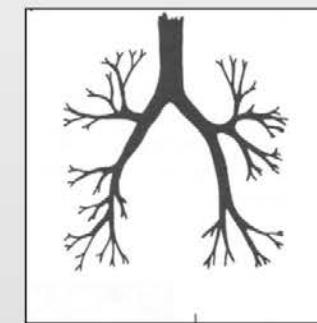
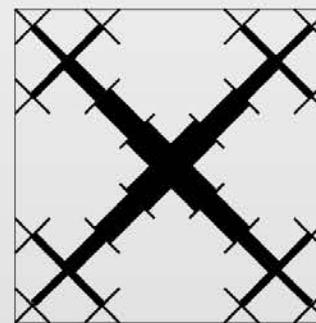
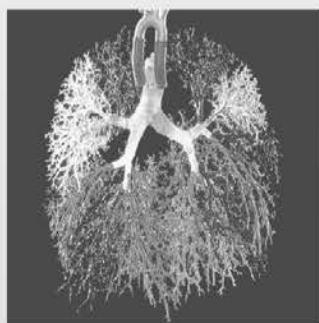
$k=3$



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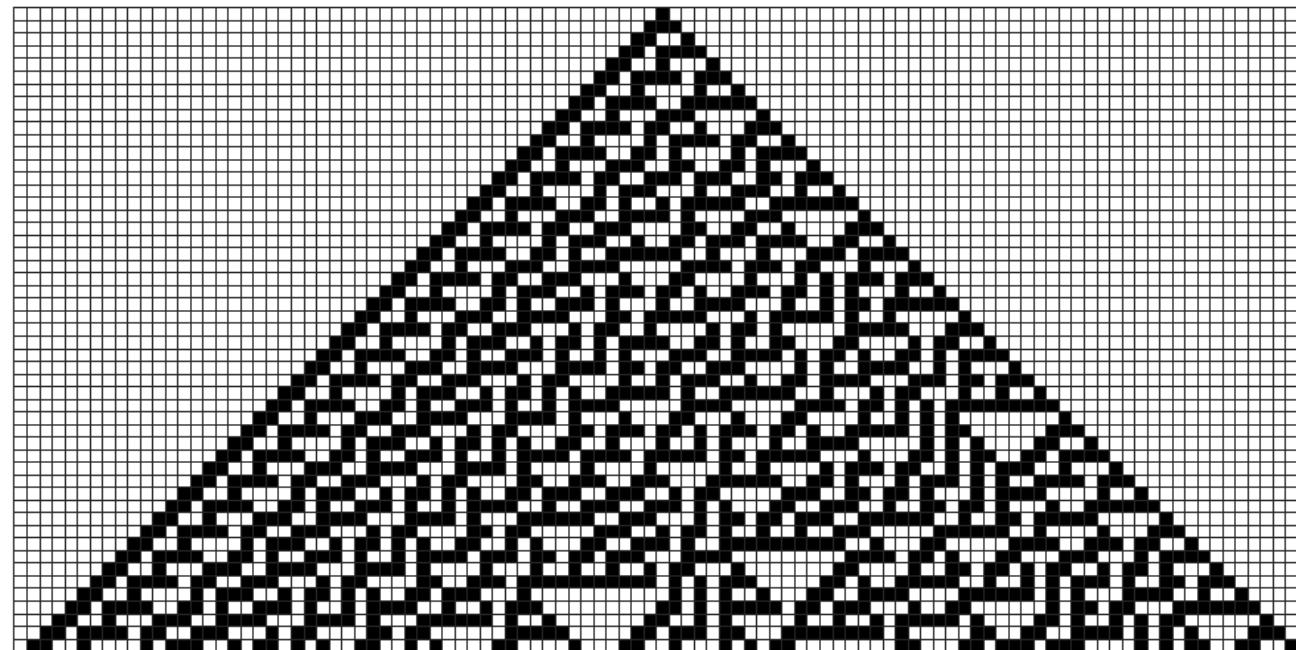
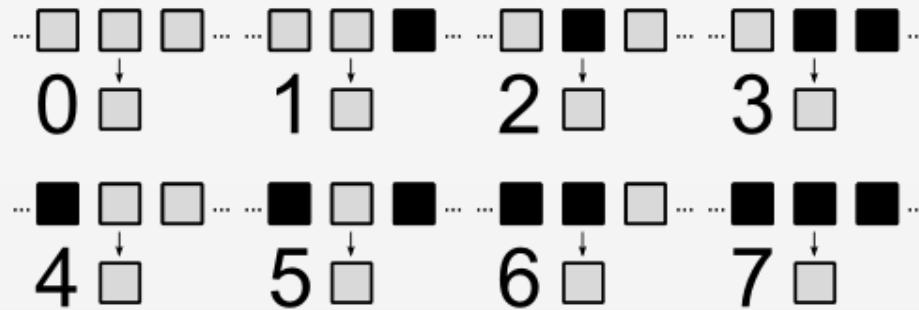
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# **Early One Dimensional Automata**

Essentially we can define a line of cells and we then specify a rule that basically says that the cells change from say black to white – empty to full – if a certain configuration of cells takes place in the neighbourhood

Basically this generates lots of different configurations and we can see what happens over time when we string each change in the pattern on top of each other this producing patterns that might diverge or converge or oscillate over time as we show on the next slide – ok we will use Netlogo to show these ideas



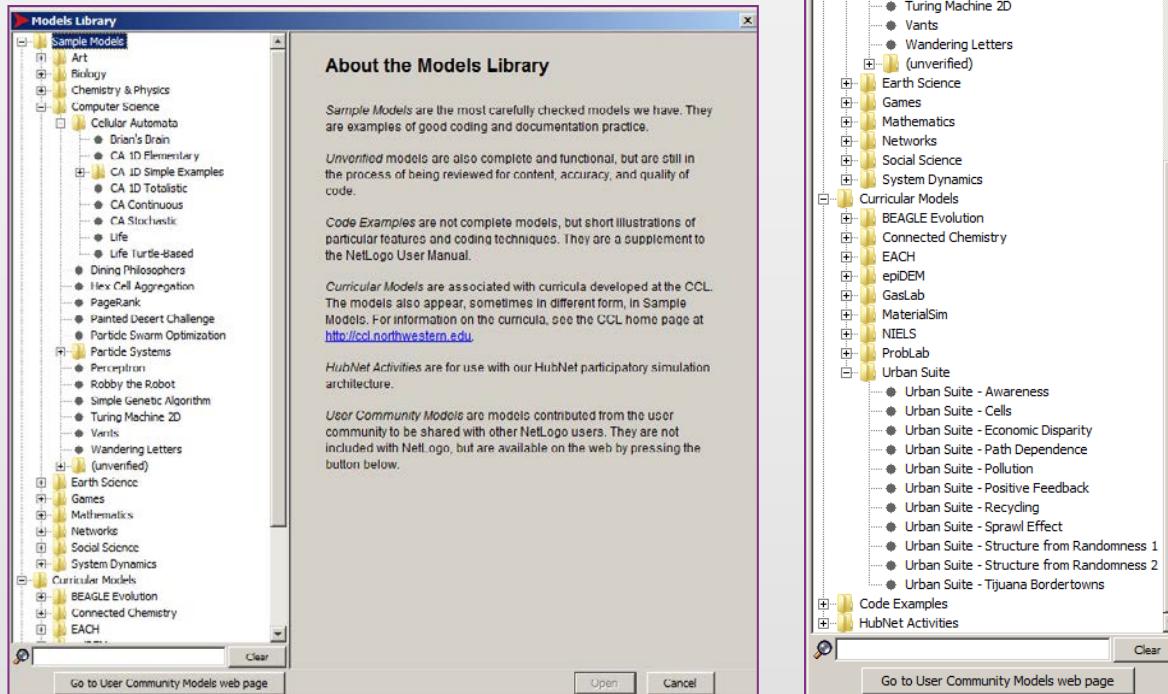
Time

Space

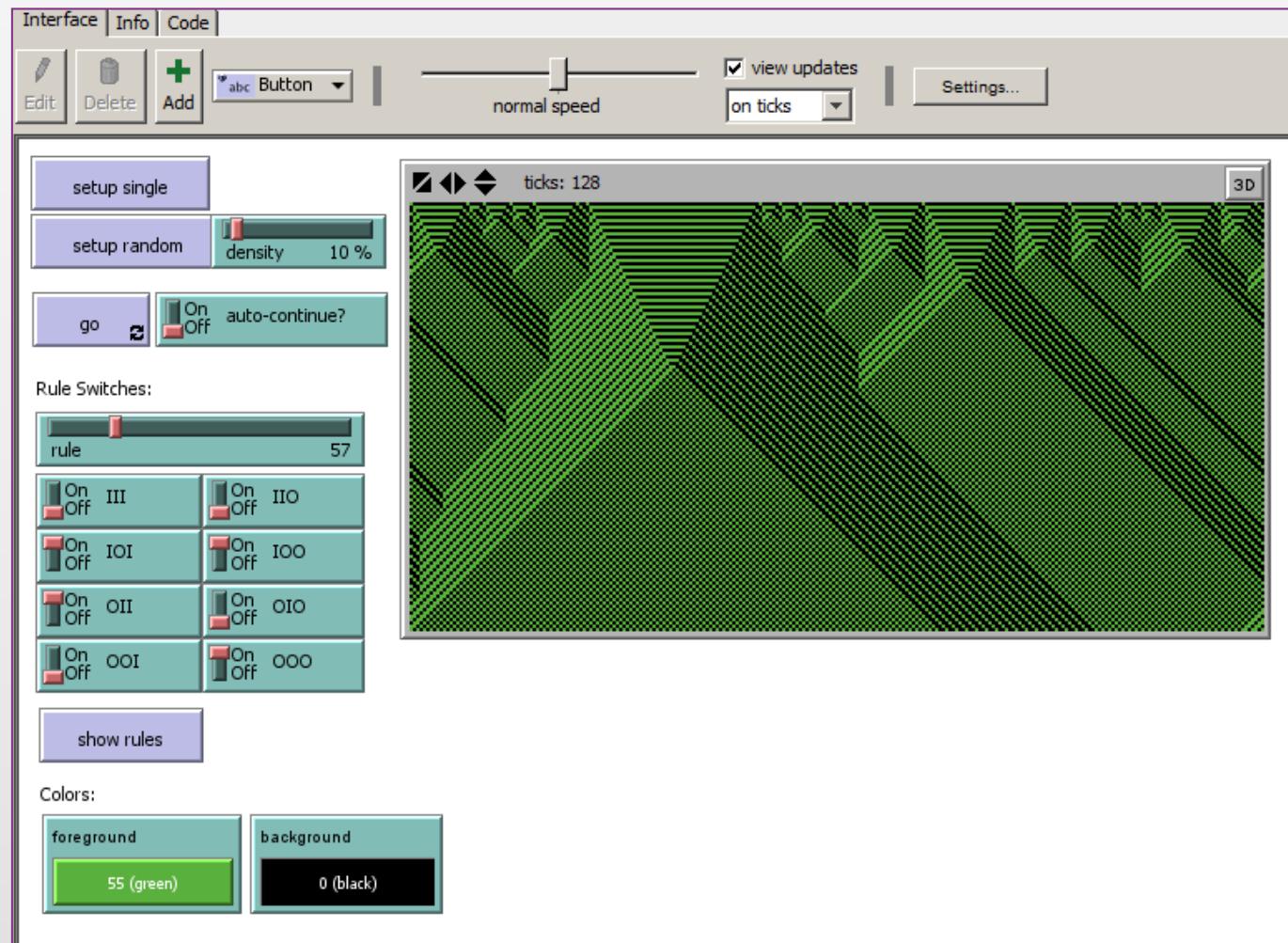
# First Programming Interlude

NetLogo has an excellent library of model types – some of which are CA, some of which are close to my own. Here is an example of the library

<https://ccl.northwestern.edu/netlogo/download.shtml>



I am going to look at two basic CA models now –that we have looked at – first the 1 d model, then the 2-d Game of Life



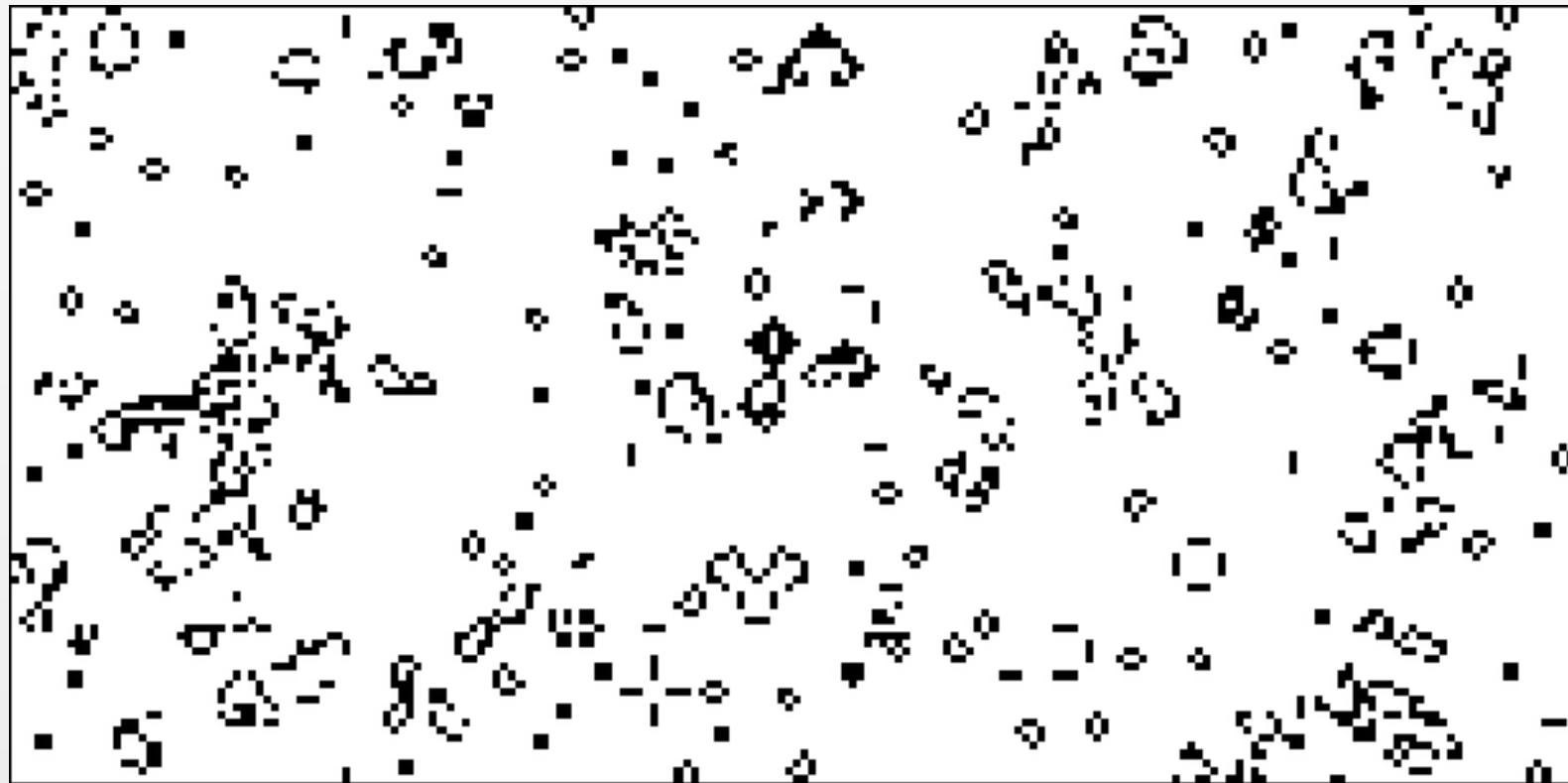
# The Game of Life

Imagine we have a world where you are located in space – randomly – then there are three rules – you spawn a new cell adjacent if there are two or three cells around you that are active – i.e. you are not active but you become active if there are two or three cells there

If you are active you die if there are more than 3 cells around you i.e. over population too much density

If you are active you die if there are 0 or 1 cells around you

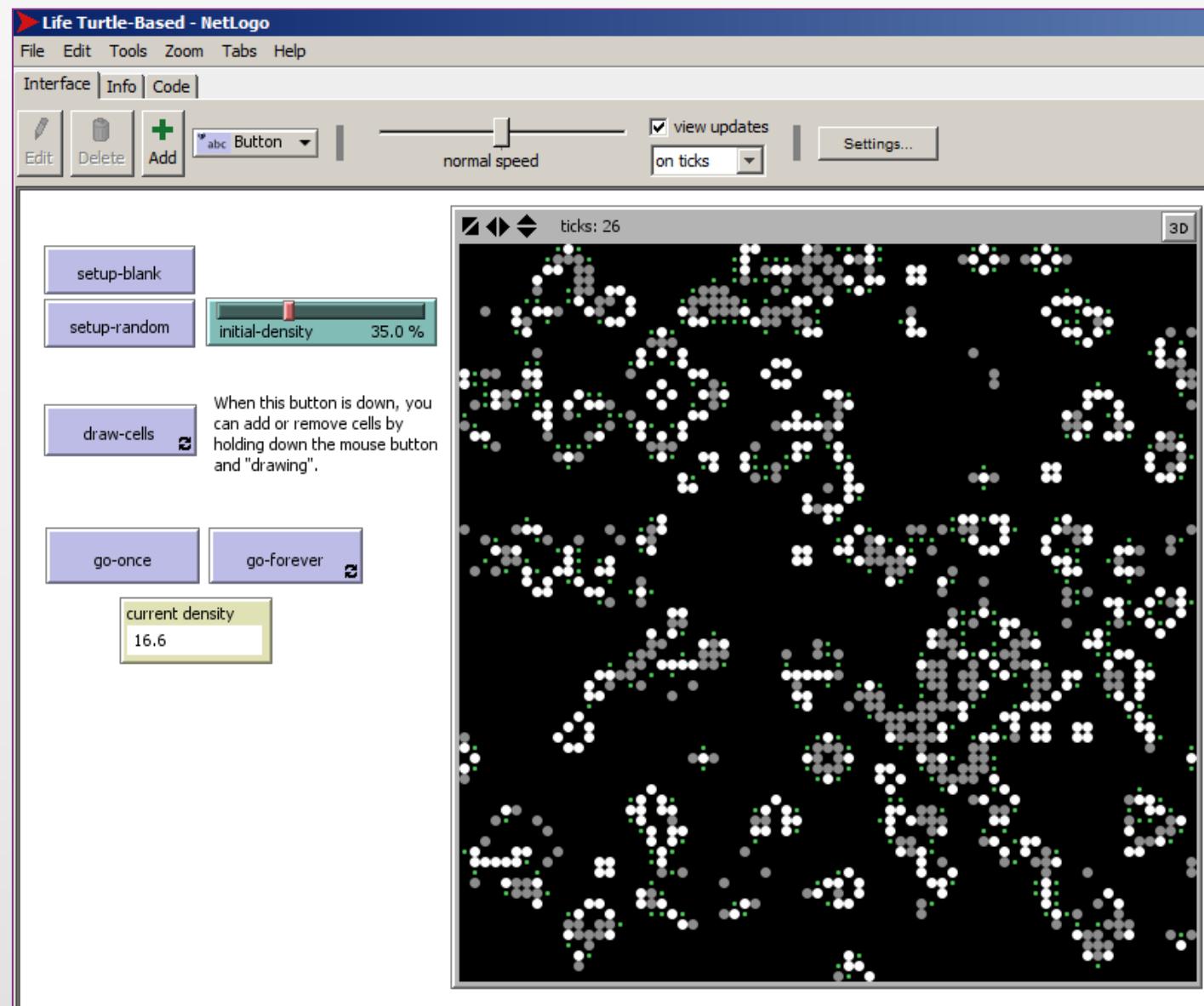
I think that is it – what do we get – ok NetLogo again



John Conway invented it – look at the NetLogo version for a further explanation and look at William Poundstone's book **The Random Universe**.

<http://www.cuug.ab.ca/dewara/life/life.html>

# The 2-d Game of Life



# Applications through Cellular Automata

To illustrate how CA works, we first define

- a grid of cells, ( or it could be irregular but to simplify we will assume a square grid)
- a neighbourhood around each cell which is composed of the nearest cells,
- a set of rules as to how what happens in the neighbourhood affects the development of the cell in question
- a set of states that each cell can take on – i.e. developed or not developed
- an assumption of universality that all these features operate uniformly and universally

This defines a (cellular) automata machine that can be applied to all cells that define the system: i.e. each cell is an automata

*Some things to note:* cells are irregular and not necessarily spatially adjacent.

Neighbourhoods can be wider than those which are formed from nearest neighbours- they could be formed as fields – like interaction fields around a cell

Strict CA are models whose rules work on neighbourhoods defined by nearest neighbours and exhibit emergence – i.e. their operation is local giving rise to global pattern

Cell-space models can relax some or all of these rules

This is how a CA works defined on a square grid of cells with two states – not developed & developed

(a)

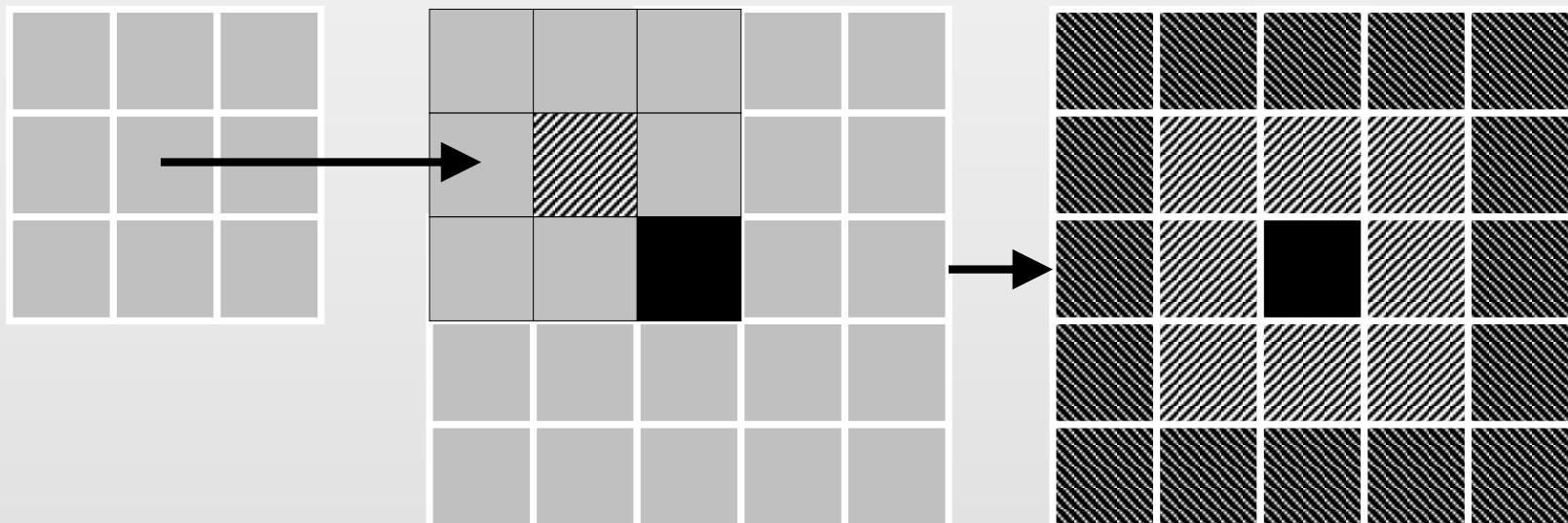
The neighbourhood is composed of 8 cells around the central cell

(b)

Place the neighbourhood over each cell on the grid. The **rule** says that if there is one or more cells developed (black) in the neighbourhood, then the cell is developed.

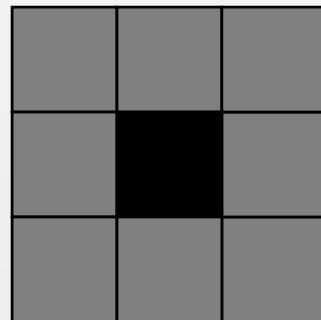
(c)

If you keep on doing this for every cell, you get the diffusion from the central cell shown below.

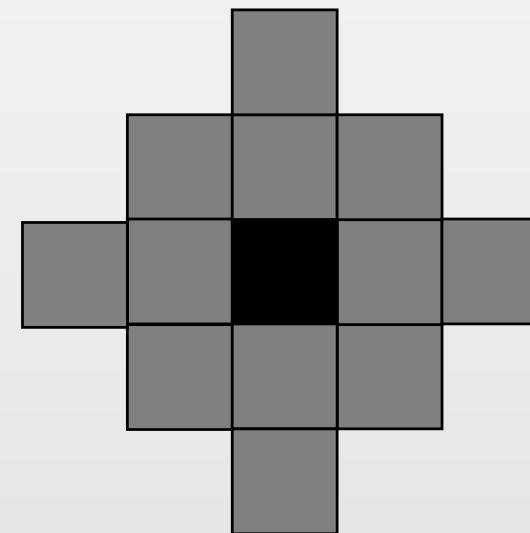


These are strictly deterministic CA models and we can have different shaped local neighbourhoods composed of different combinations of cells e.g.

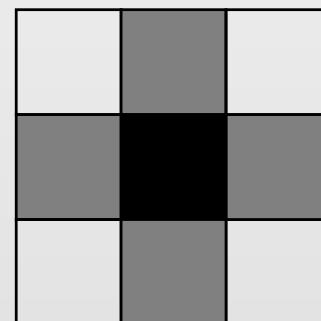
(a) Moore



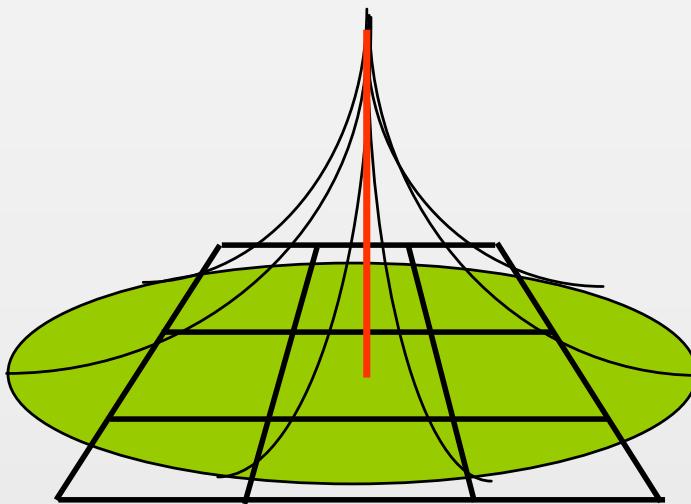
(c) Extended Moore  
von Neumann



(b) von Neumann



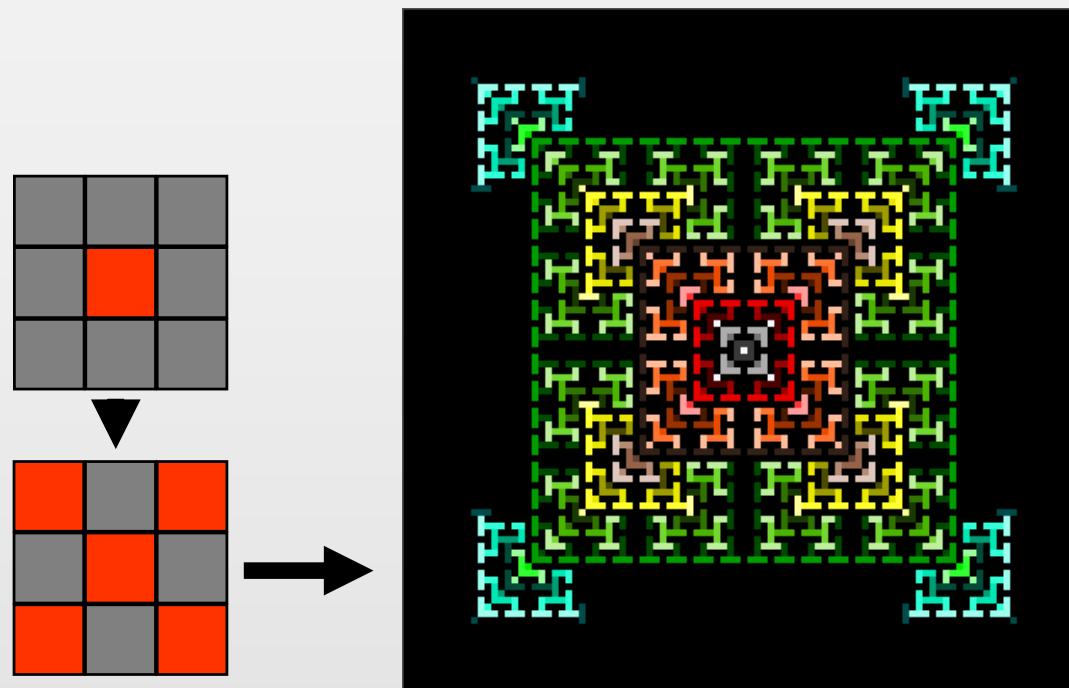
And we can have probabilistic fields defining neighbourhoods where there is a probability that a cell changes state – where the probabilities might vary regularly reflecting say action-at-a-distance principles e.g.



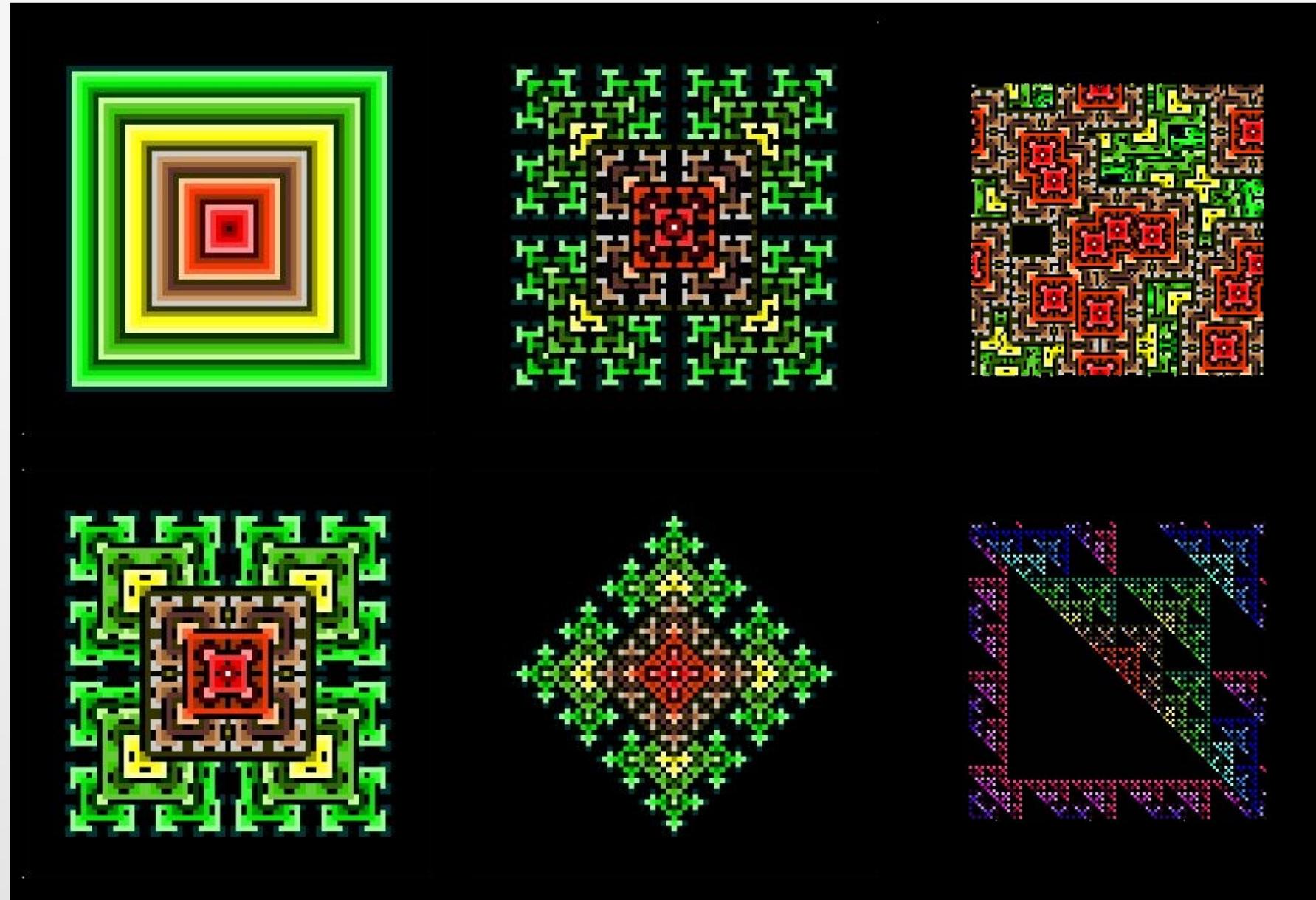
We will now show some examples of how one can generate idealised patterns that illustrate emergence

For example, for any cell  $\{x,y\}$ ,

- **if** only one neighbourhood cell either NW, SE, NE, or SW other than  $\{x,y\}$  is already developed,
- **then** cell  $\{x,y\}$  is developed according to the following neighbourhood switching rule



And changing  
There rules in  
various ways  
lead to  
many different  
patterns

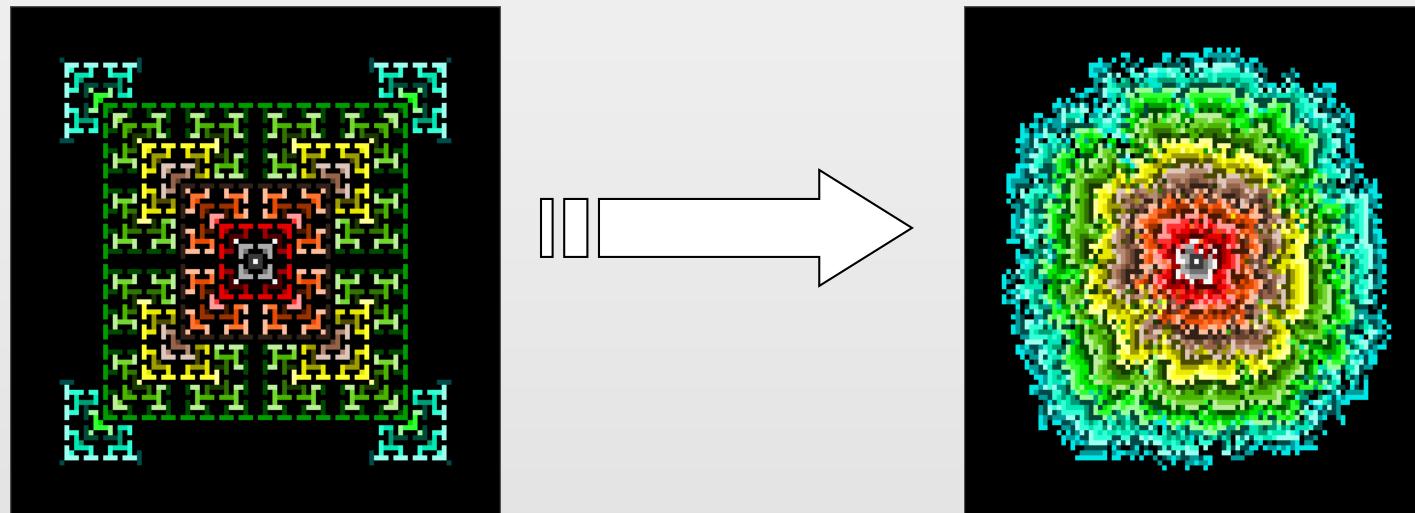


Cellular Automata Models

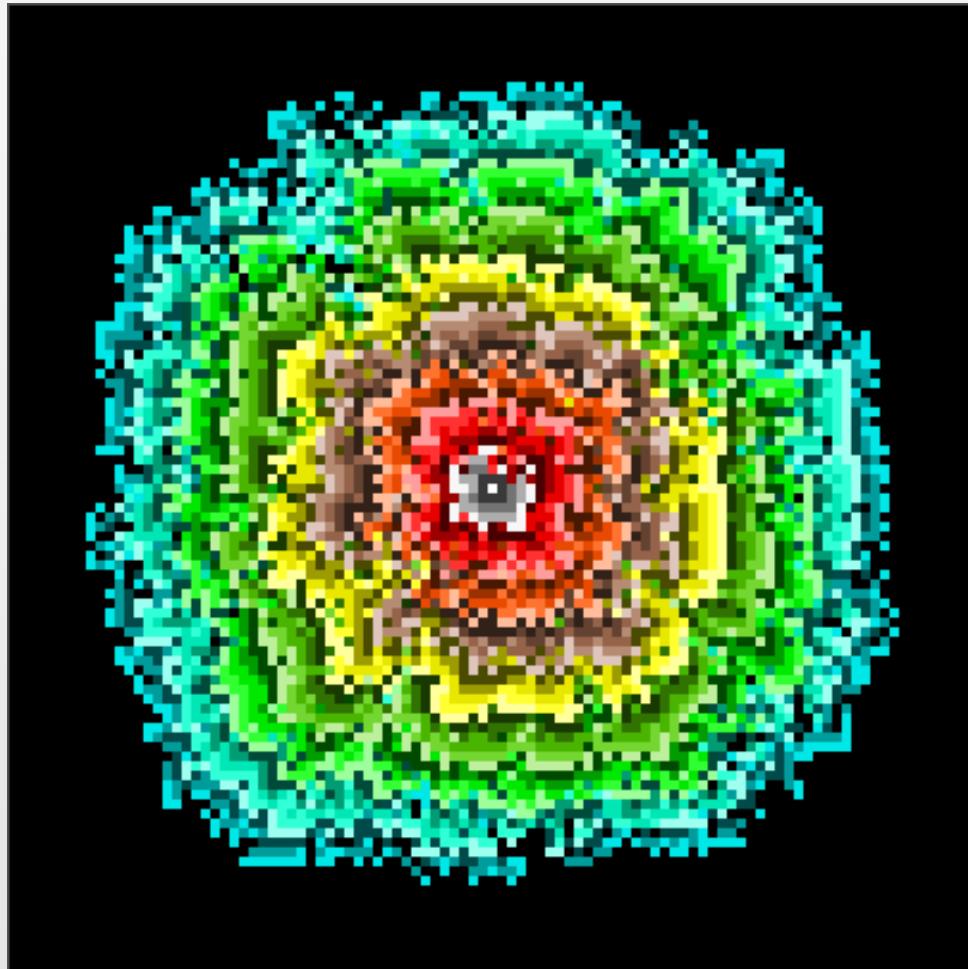
For probabilistic rules, we can generate statistically self-similar structures which look more like real city morphologies. For example,

**if** any neighbourhood cell other than  $\{x,y\}$  is already developed, **then** the field value  $p \{x,y\}$  is set &

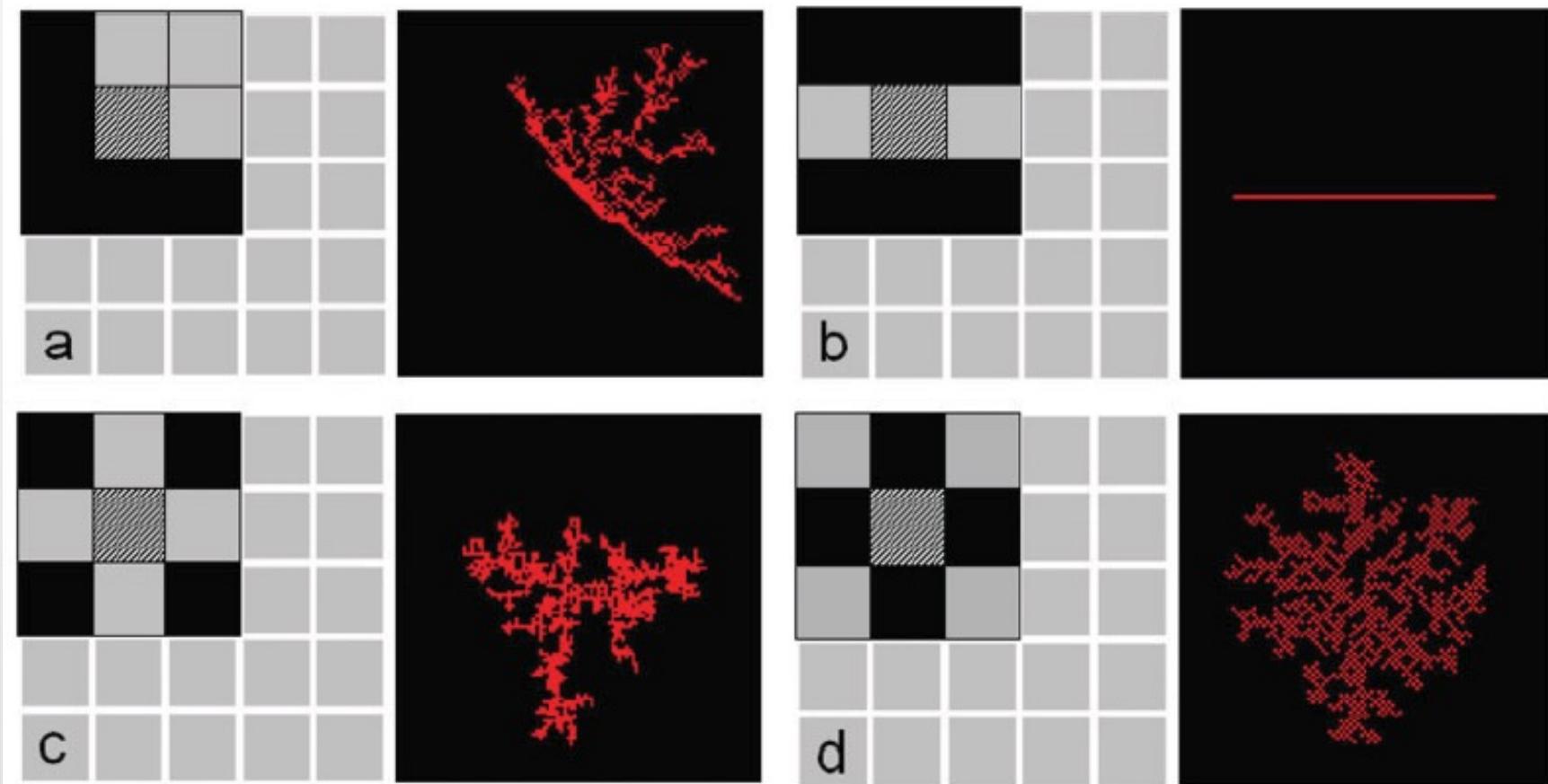
**if**  $p \{x,y\} >$  some threshold value, **then** the cell  $\{x,y\}$  is developed



Here are the constructions we have seen overlayed so you can see how neighbourhood rules make a distinct difference

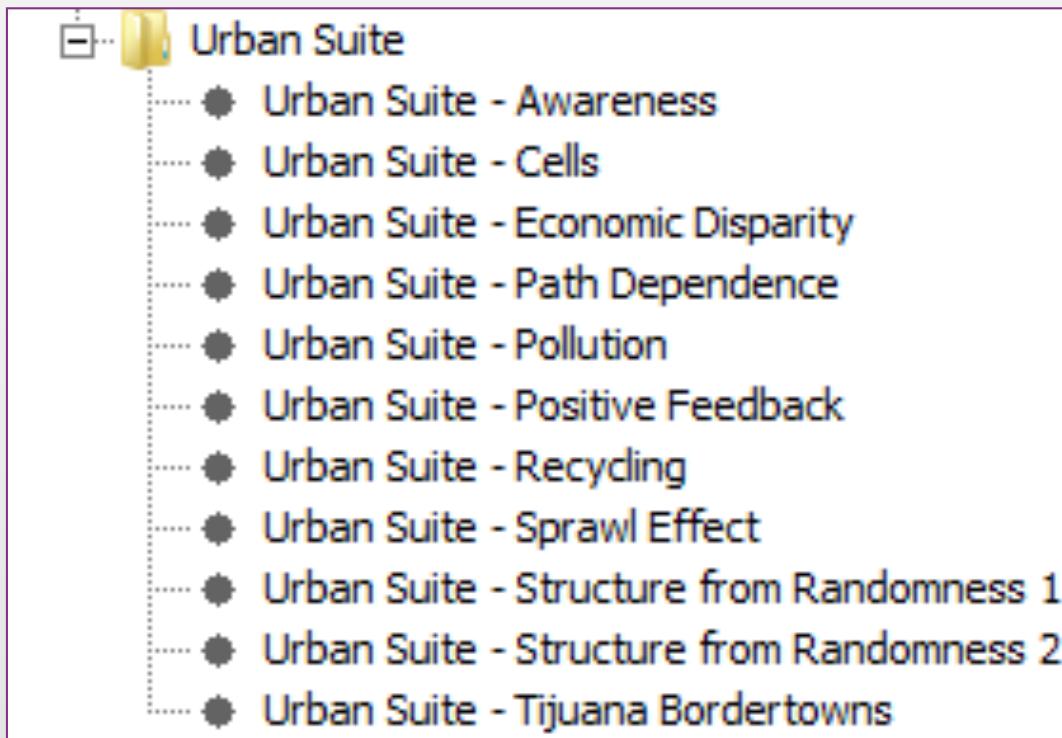


We can steer the development in different ways by constructing rules based on ‘ruling’ out or ‘admitting’ certain cells for development – embodying constraints – Look at the paper I will also put up on Moodle from Architectural Design

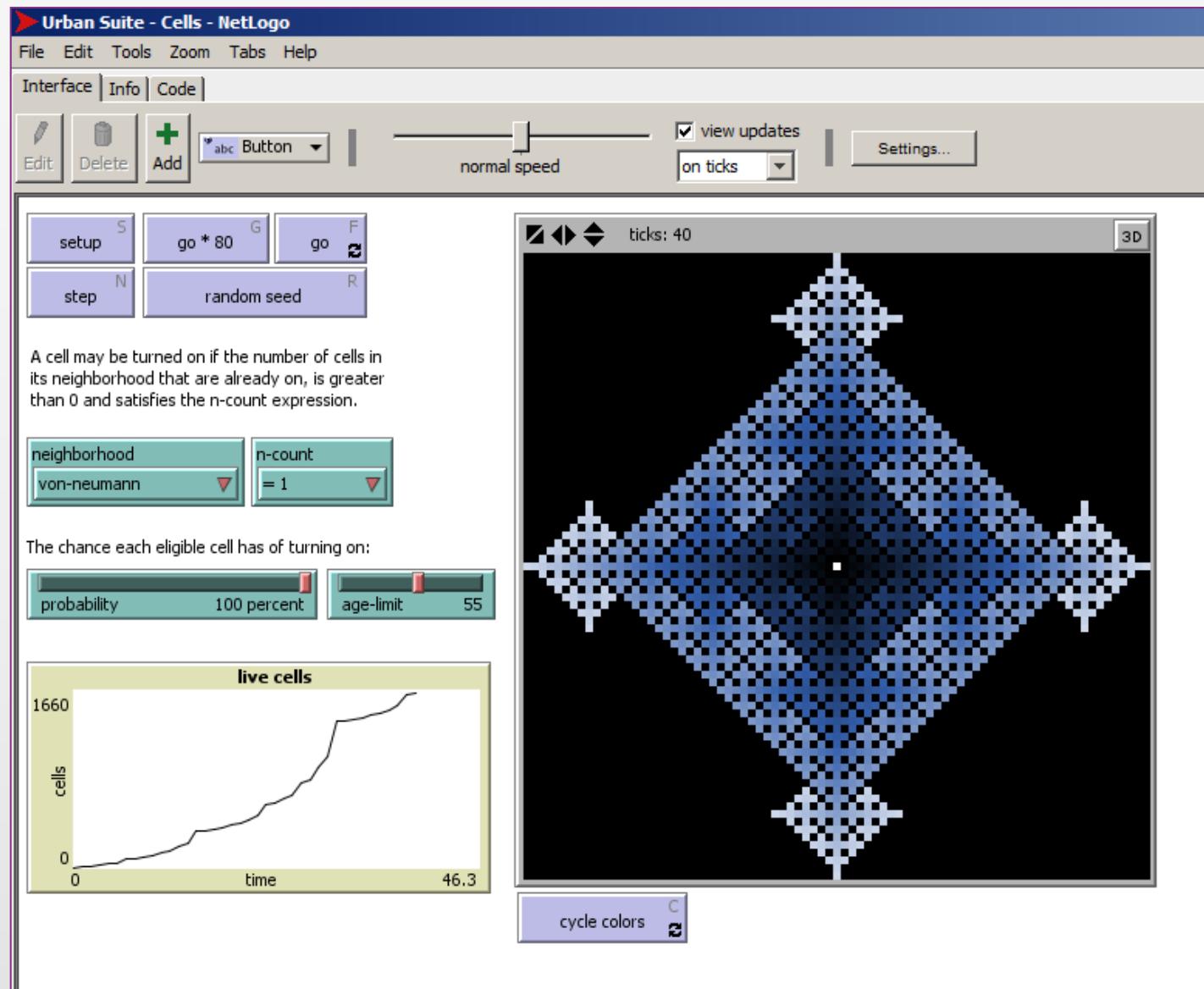


# Second Programming Interlude

Netlogo has an Urban Suite – I don't know who did it but some of the models in my **Cities and Complexity** Book are in there



I will run a few of these – let us take a look



## Different Model Applications

There are many groups around the world

- White and Engelen, RIKS, Holland – **GeoDynamica, METROnamic**a
- Clarke, UCSB/NCGIA, USA – **SLEUTH**
- Yeh and Li, Hong Kong – Pearl River – RS bias
- Wu/Webster – Southampton/Cardiff – urban economics
- Xie/Batty – Ypsilanti/London, US/UK – **DUEM**
- Cechinni/Viola – Venice, Italy – AUGH
- Rabino/Lombardi – Milan/Turin, Italy – NN Calibration
- Semboloni – Florence, Italy – links to traditional LU models
- Phin/Murray – Brisbane/Adelaide, Aus – visualization
- Portugali/Benenson – Tel-Aviv, Israel – **CITY** models
- Various applications in INPE (Brazil), China (Beijing), Japan, Portugal, Taiwan, Canada, Haifa (Technion), Ascona, France (Pumain's group), Louvain-la-Neuve, Netherlands (ITC), JRC (Ispra+Dublin+RIKS), even at CASA Kiril Stanilov's model



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# Progress in Human Geography

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## Articles



### [Modelling urban change with cellular automata: Contemporary issues and future research directions](#)

Yan Liu , Michael Batty, Siqin Wang, Jonathan Corcoran

First Published December 23, 2019; pp. 3–24

#### Abstract

[› Preview](#)

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Article

**Modelling urban change with cellular automata: Contemporary issues and future research directions**

Yan Liu University of Queensland, Australia

Michael Batty  
University College London, UK

Siqin Wang  
University of Queensland, Australia

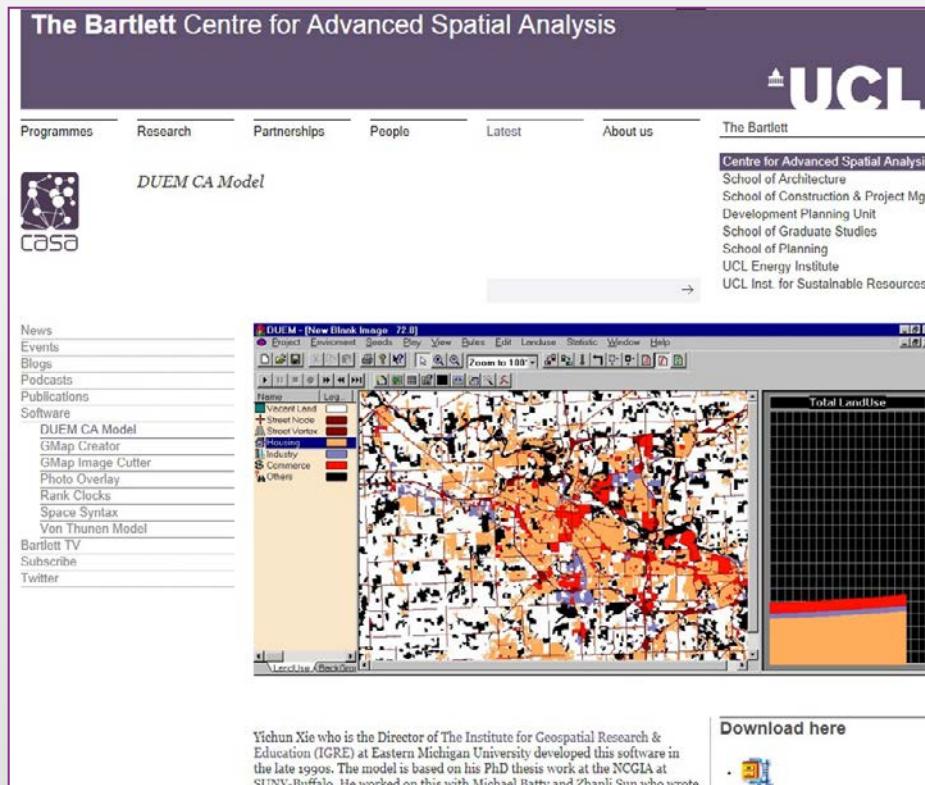
Jonathan Corcoran  
University of Queensland, Australia

**Abstract**  
The study of land use change in urban and regional systems has been dramatically transformed in the last four decades by the emergence and application of cellular automata (CA) models. CA models simulate urban land use changes which evolve from the bottom-up. Despite notable achievements in this field, there remain significant gaps between urban processes simulated in CA models and the actual dynamics of evolving urban systems. This article identifies contemporary issues faced in developing urban CA models and draws on this evidence to map out four interrelated thematic areas that require concerted attention by the wider CA urban modelling community. These are: (1) to build models that comprehensively capture the multi-dimensional processes of urban change, including urban regeneration, densification and gentrification, in-fill development, as well as urban shrinkage and vertical urban growth; (2) to establish models that incorporate individual human decision behaviours into the CA analytic framework; (3) to draw on emergent sources of 'big data' to calibrate and validate urban CA models and to capture the role of human actors and their impact on urban change dynamics; and (4) to strengthen theory-based CA models that comprehensively explain urban change mechanisms and dynamics. We conclude by advocating cellular automata that embed agent-based models and big data input as the most promising analytical framework through which we can enhance our understanding and planning of the contemporary urban change dynamics.

**Corresponding author:**  
Yan Liu, University of Queensland, School of Earth and Environmental Sciences, Brisbane, Queensland 4072 Australia.

# DUEM – Dynamic Urban Evolutionary Model

Let me demo it first – you can download it from  
<http://www.bartlett.ucl.ac.uk/casa/latest/software/duem-ca> I haven't checked this yet – it may be long gone ---it is very very old – you can read about in my C&C book ....



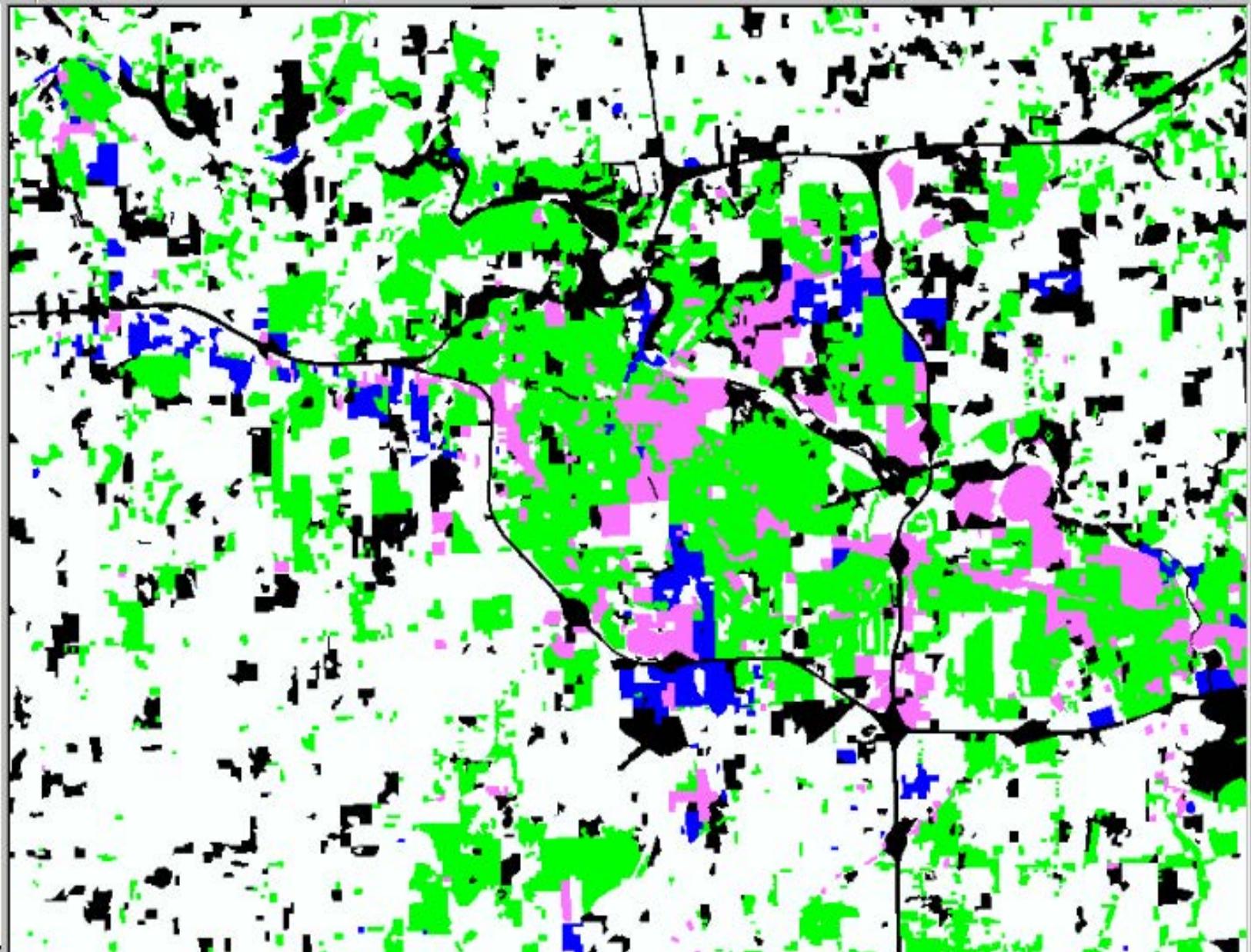
# DUEM - [New Blank Image 85.0]

Project Enviroment Seeds Play View Rules Edit Landuse Statistic Window Help



Allow Legend

Nothing  
Housing  
Industry  
Shopping  
Hous\_Indu  
Hous\_Shop  
Shop\_Indu  
All



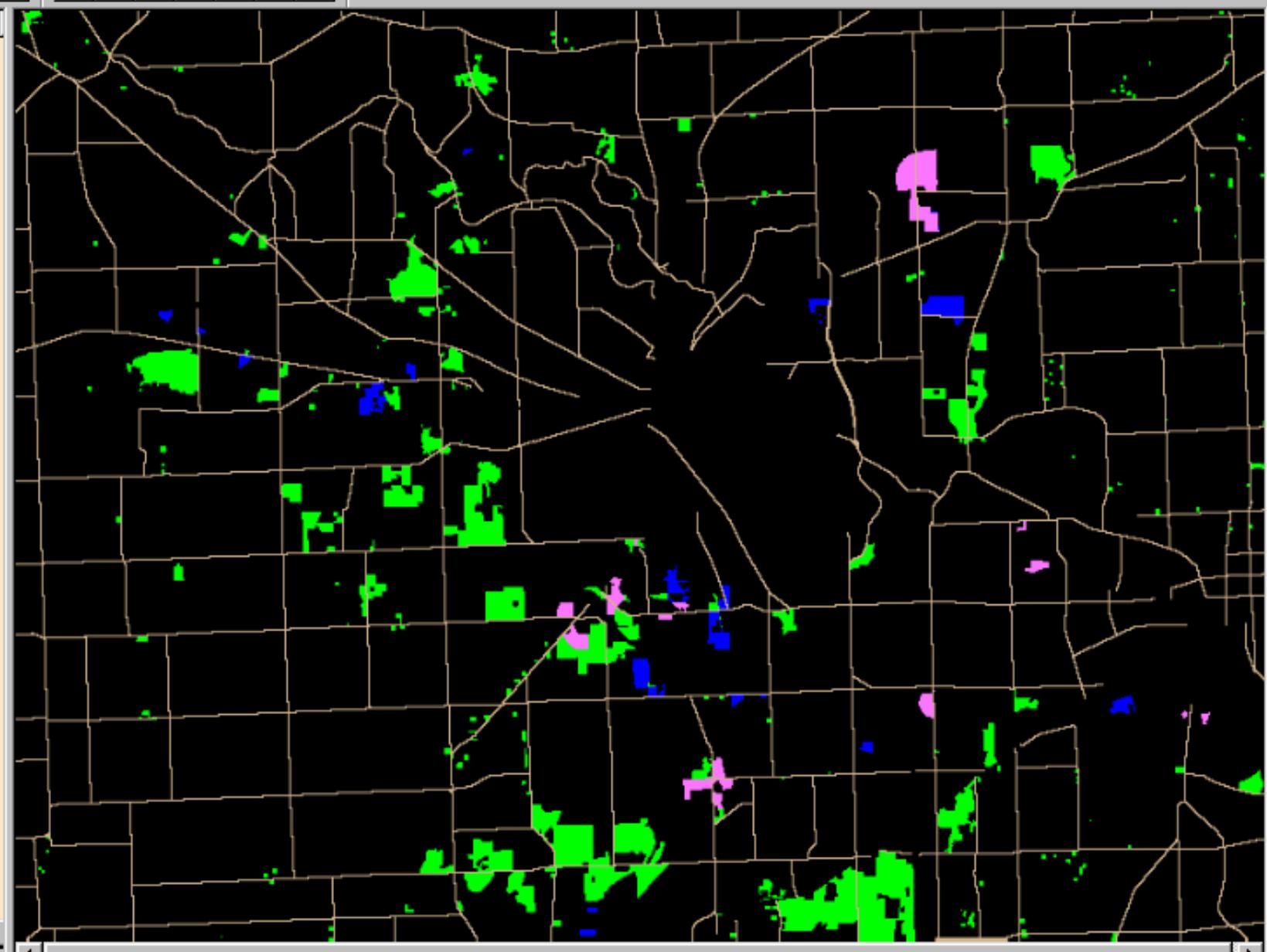
# DUEM - [New Blank Image 87.1]

Project Enviroment Seeds Play View Rules Edit Landuse Statistic Window Help

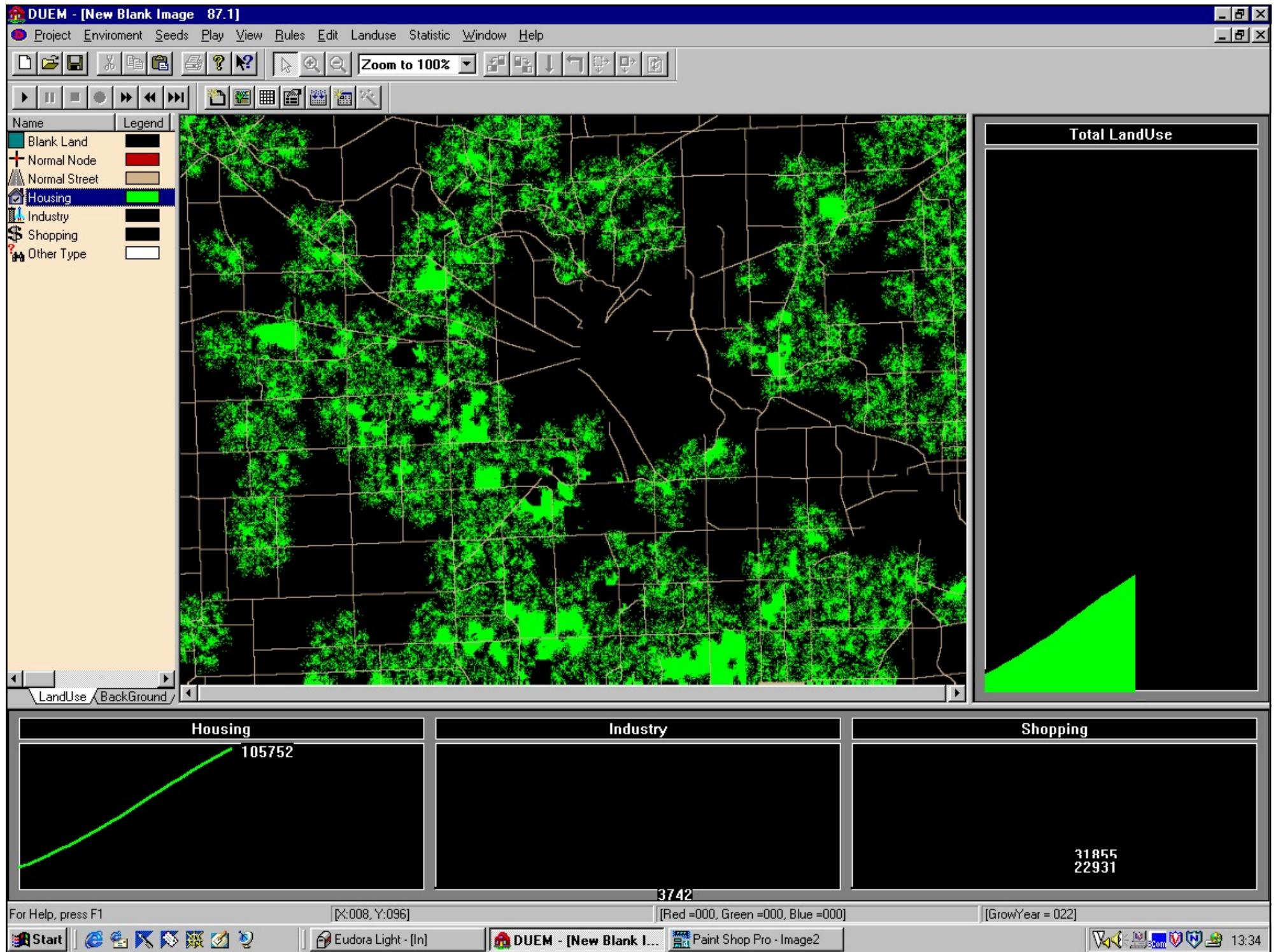


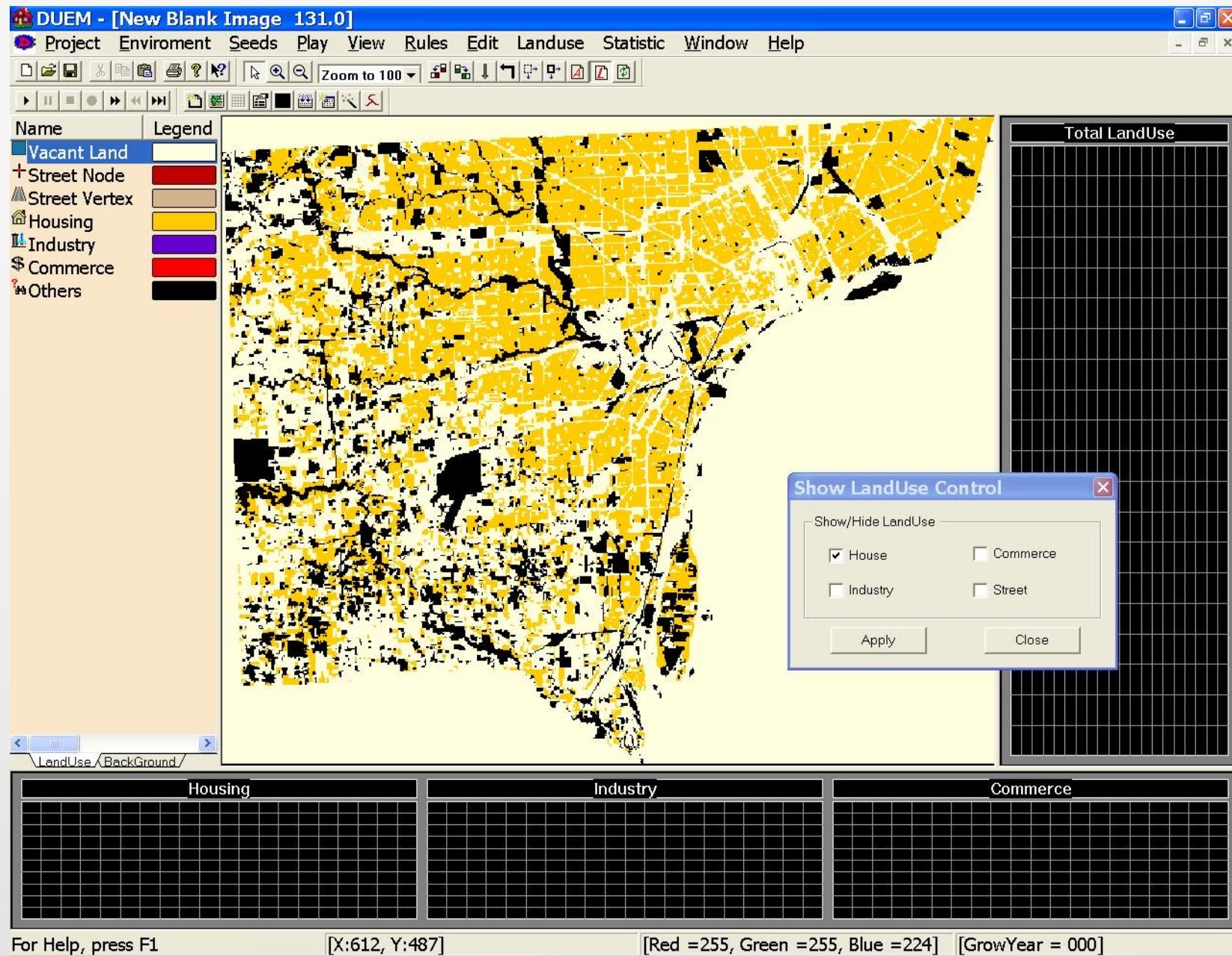
Name Legend

- | Name          | Legend            |
|---------------|-------------------|
| Blank Land    | [Solid Black Box] |
| Normal Node   | [Red Box]         |
| Normal Street | [Grey Box]        |
| Housing       | [Green Box]       |
| Industry      | [Blue Box]        |
| Shopping      | [Pink Box]        |
| Other Type    | [White Box]       |



LandUse BackGround



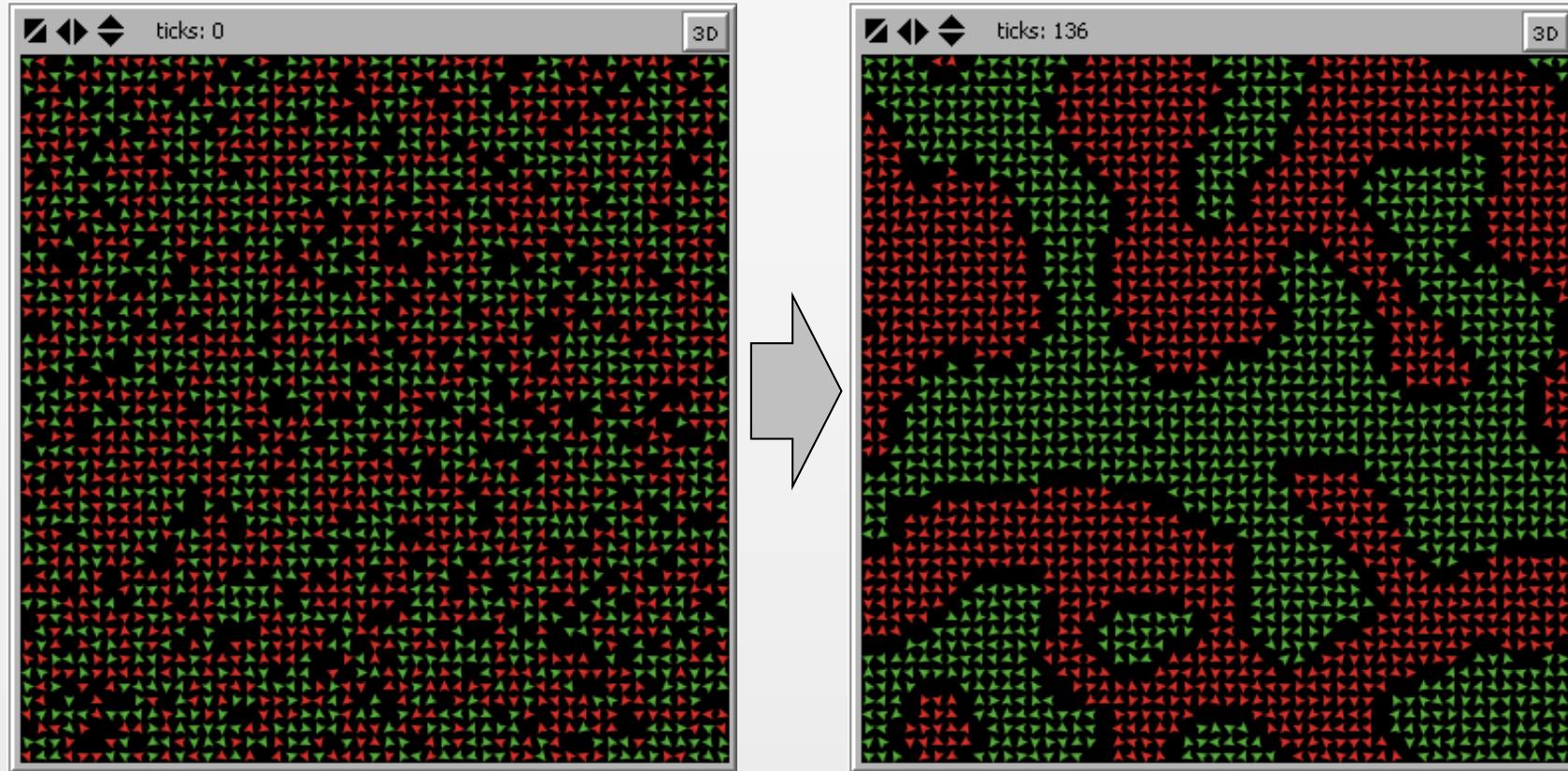


# Moving to Agent-Based Models: Schelling - NetLogo

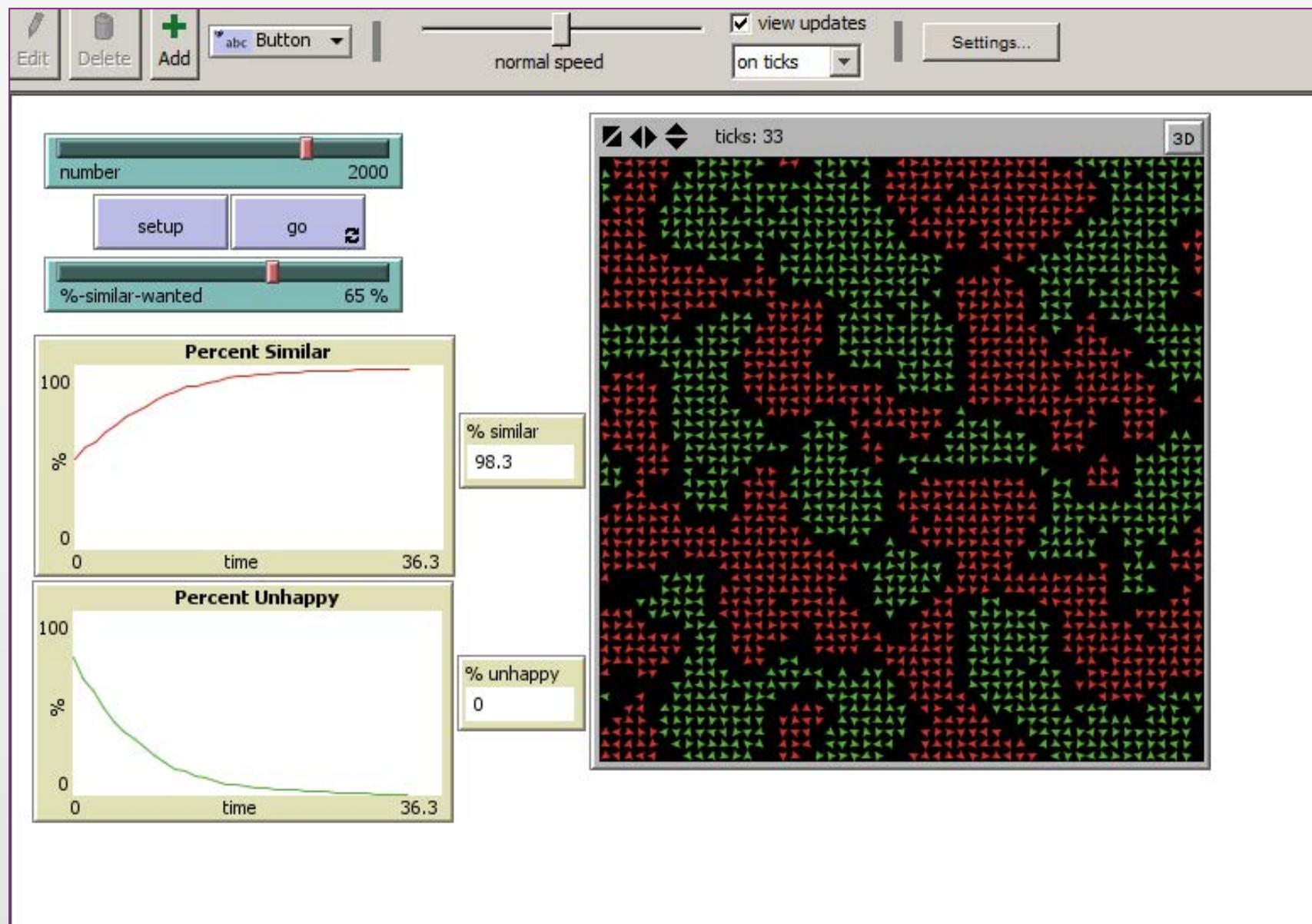
Ok this model essentially redistributes people – we divide our landscape up into two types of people and we allocate them randomly.

Now the rule is dead simple – if there are more people of another type than yourself in your Moore 8x8 cell neighbourhood, you switch your type or opinion

If there are less you do not shift – i.e. you are quite happy say with 50-50 of each type – but unhappy with a majority against you – this is not blind prejudice but mild preference



From a random distribution of two unlike groups, each with a very mild preference to live amongst their own kind, people shift if more than half are different, the picture unravels and dramatic segregation emerges.  
Netlogo demo



# Some References to Begin With

## Cellular Automata and Urban Form: A Primer

Michael Batty

Artificial processes for locating urban activities based on simple rules pertaining to local circumstances give rise to complex global patterns that mirror the spatial organization of cities. These systems are called Cellular Automata (CA). They provide a useful means of articulating the way highly decentralized decision-making can be employed in simulating and designing robust urban forms. CA can be easily programmed in a variety of software, and as such provide a suggestive way of exploring actual as well as optimal patterns and plans. This primer provides a pedagogic guide to these ideas and to potential computer applications.

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### Sketch Planning as Computational Pedagogy

Computer models of cities either attempt to simulate existing urban form or provide procedures for the design of optimal forms, but rarely both. The mechanisms used to model actual cities usually embody local behavioral descriptions without explicit optimizing,<sup>1</sup> whereas those that produce idealized forms seek to optimize in a more global fashion, often mirroring the viewpoint of the designer. Recently, however, a class of models has emerged that has the potential to represent both. By replacing traditional mathematical functions with rule-based procedures, functions of many kinds can be reduced to rules that mirror how actual systems work and how they might work under idealized conditions. Furthermore, as rule-based systems can be built up from the simplest modules, it is possible to strip real systems down to their fundamentals and concentrate on their essential working.

An excellent example of this discipline is based on a class of models called Cellular Automata, or CA for short. CA are models in which contiguous or adjacent cells, such as those that might comprise a rectangular grid, change their states—their attributes or characteristics—through the repetitive application of simple rules. CA models can be based on cells that are defined in more than 2 dimensions, but the 2-d form that makes them applicable to cities is the most usual. The rules for transition from one cell state to another can be interpreted as the generators of growth or decline, such as the change from an undeveloped to a developed cell or vice versa. This change is a function of what is going on in the neighborhood of the cell, the neighborhood usually being defined as immediately adjacent cells, or cells that “in some sense” are nearby. Urban growth and decline in real city neighborhoods provide excellent examples.

M. Batty (1997) Cellular Automata and Urban Form: A Primer, *AIP Journal*, 63, 266-274

## Cities and Complexity

Understanding Cities with Cellular Automata, Agent-Based Models, and Fractals



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M. Batty (2005) **Cities and Complexity**, The MIT Press, Cambridge, MA.