

# Mathematical Foundation of Computer Sciences IV

Efficient Data Structures and Algorithms for FA

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## Ordered Binary Decision Diagram

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**Practically efficient** DAG structure that represents Boolean formulae.

**Theoretically**, complexity does not improve.

Used in logical validation, such as equivalence checking, satisfiability checking etc.

Used in complex algorithms on automata, say model checking.

# Binary Decision Trees (BDT)

A **Binary Decision Tree** is a rooted, directed tree, consisting of two types of vertices, **terminal**, and **nonterminal ones**

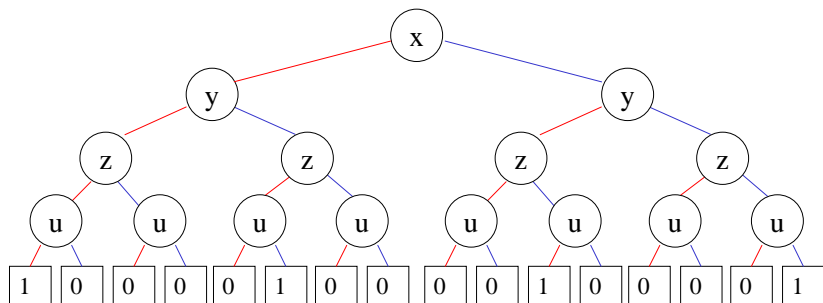
Each **nonterminal vertex**  $v$  has two successors:  $low(v)$  and  $high(v)$

- $low(v)$  corresponds to the case where  $v$  is assigned 0.
- $high(v)$  corresponds to the case where  $v$  is assigned 1.

Each terminal vertex  $v$  is labeled by  $value(v)$  which is either 0 or 1.

## Example: BDT

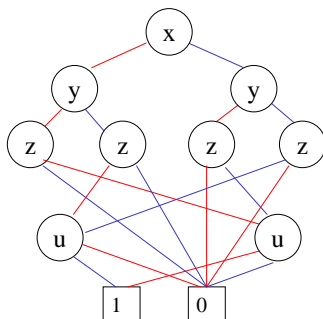
$$f(x, y, z, u) = (x \leftrightarrow z) \wedge (y \leftrightarrow u)$$



## Ordered Binary Decision Diagram (OBDD)

BDTs are essentially the same size as truth tables.

A BDD is obtained by merging isomorphic subtrees of a BDT.



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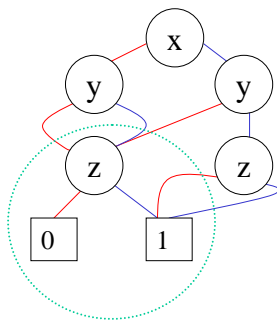
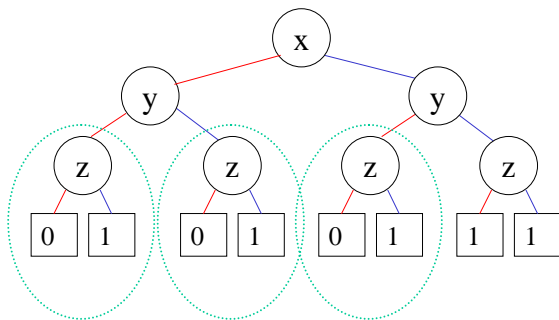
It is desirable to have a **canonical representation** for boolean functions. Two boolean functions are logically equivalent iff they have isomorphic representations.

- The boolean variables should appear in the same order along each path from the root to a terminal.
- There should be no isomorphic subtrees or redundant vertices.

## Reduce to Canonical Representation: Step 1

Sharing isomorphic subtrees.

$$(x \wedge y) \vee z, x \prec y \prec z$$

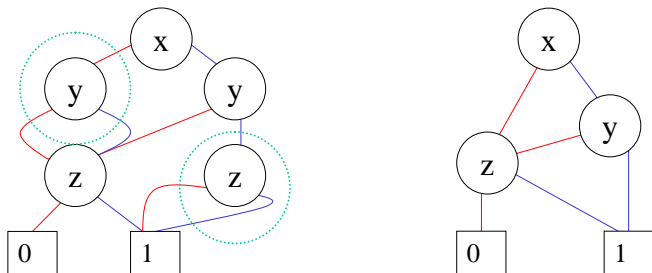




## Reduce to Canonical Representation: Step 2

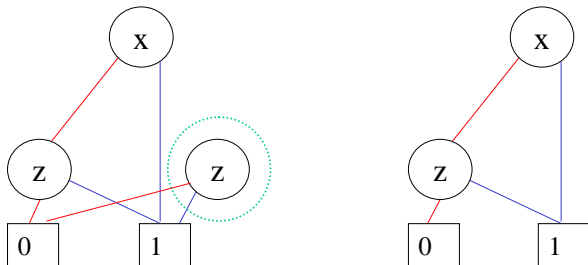
Removing tautological vertices ( $low(v) = high(v)$ ).

$$(x \wedge y) \vee z, x \prec y \prec z$$



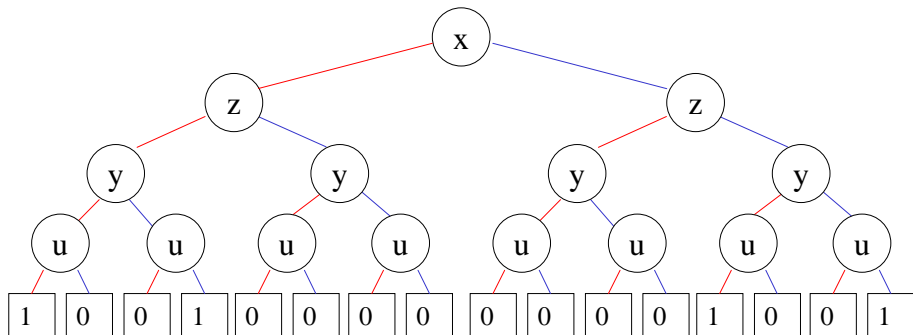
## Reduce to Canonical Representation: Step 3

Removing unreachable vertices from the root.



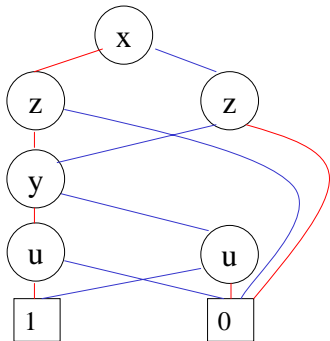
Example: OBDD with  $x \prec z \prec y \prec u$

$$f(x, y, z, u) = (x \leftrightarrow z) \wedge (y \leftrightarrow u)$$



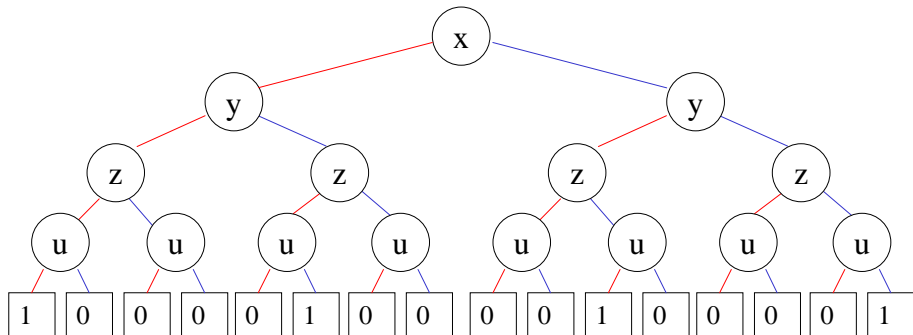
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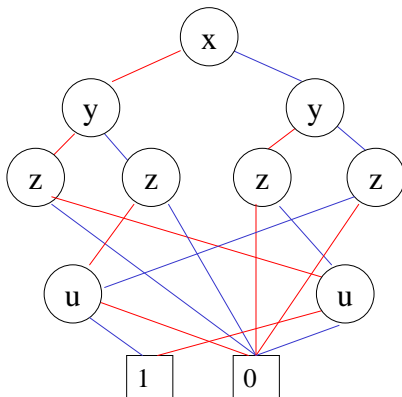
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## Example: OBDD with $x \prec y \prec z \prec u$

$$f(x, y, z, u) = (x \leftrightarrow z) \wedge (y \leftrightarrow u)$$



Fix an order among variables, OBDD of a formula in canonical form is unique.

This implies efficient tests for

- **validity**: whether OBDD is only terminal
- **equivalence**: compare pointers (assuming sharing).

Number of nodes may explode exponentially (**though usually quite small**).

Number of nodes heavily depends on choice of an order (**many heuristics**).

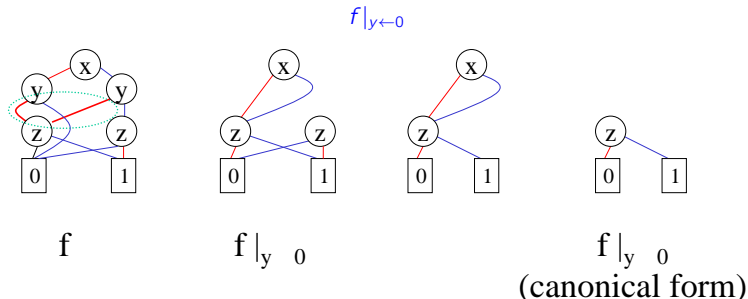
- e.g.  $(a_1 \leftrightarrow b_1) \wedge \dots \wedge (a_n \leftrightarrow b_n)$
- when  $a_1 \prec b_1 \prec \dots \prec a_n \prec b_n$ ,  $3n + 2$  nodes.
- when  $a_1 \prec \dots \prec a_n \prec b_1 \prec \dots \prec b_n$ ,  $3 \times 2^n - 1$  nodes.

## Logical Operation: Assignment

$$f|_{x \leftarrow b}(\dots, x, \dots) = f(\dots, b, \dots)$$

As OBDD operations,

- $f|_{x \leftarrow 0}$  corresponds to “replace subtree at  $x$  with  $low(x)$ ”
- $f|_{x \leftarrow 1}$  corresponds to “replace subtree at  $x$  with  $high(x)$ ”





### Shannon Expansion

$$f = (\neg x \wedge f|_{x \leftarrow 0}) \vee (x \wedge f|_{x \leftarrow 1})$$

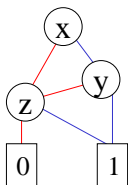
### Quantified Boolean Formula (QBF)

$$\exists x. f = f|_{x \leftarrow 0} \vee f|_{x \leftarrow 1}$$

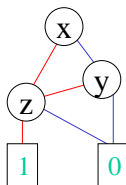
$$\forall x. f = f|_{x \leftarrow 0} \wedge f|_{x \leftarrow 1}$$

## Logical Operation: Negation

$\neg f$ : alternate value at terminal vertices.



$f$



$\neg f$

Assuming efficient  $\wedge$  operations,

- $f \vee g = \neg(\neg f \wedge \neg g)$
- $\exists x.f = f|_{x \leftarrow 0} \vee f|_{x \leftarrow 1}$
- $\forall x.f = f|_{x \leftarrow 0} \wedge f|_{x \leftarrow 1}$

## Logical Operation: Conjunction

Top-down recursive operation for  $f \wedge g$

When  $f$  or  $g$  is a constant (e.g.  $g$ )

$$f \wedge 0 = 0, f \wedge 1 = f$$

When neither  $f$  nor  $g$  is a constant, use Shannon expansion for the topmost variable.

$$f \wedge g = (\neg x \wedge f|_{x \leftarrow 0} \wedge g|_{x \leftarrow 0}) \vee (x \wedge f|_{x \leftarrow 1} \wedge g|_{x \leftarrow 1})$$

## Logical Operation: Conjunction

Shannon expansion for the topmost variable.

$$f \wedge g = (\neg x \wedge f|_{x \leftarrow 0} \wedge g|_{x \leftarrow 0}) \vee (x \wedge f|_{x \leftarrow 1} \wedge g|_{x \leftarrow 1})$$

Case 1.  $f.top = g.top = x$

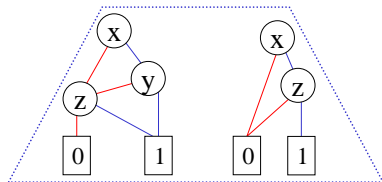
$$low(x) = f|_{x \leftarrow 0} \wedge g|_{x \leftarrow 0}, high(x) = f|_{x \leftarrow 1} \wedge g|_{x \leftarrow 1}$$

Case 2.  $f.top = x \prec g.top$

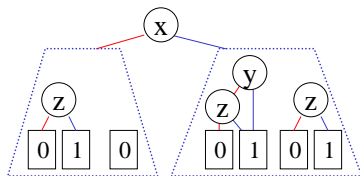
$$low(x) = f|_{x \leftarrow 0} \wedge g, high(x) = f|_{x \leftarrow 1} \wedge g$$

$$O(|f| \cdot |g|)$$

# Logical Operation: Conjunction

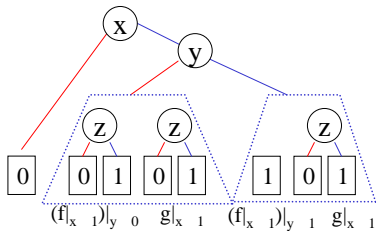


$(x \ y) \ z \ x \ z$

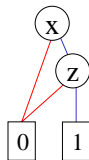
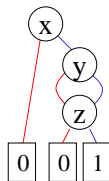
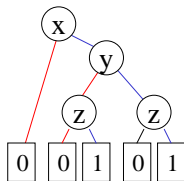


$f|_x \ 0 \ g|_x \ 0$

$f|_x \ 1 \ g|_x \ 1$



$(f|_x \ 1)|_y \ 0 \ g|_x \ 1 \ (f|_x \ 1)|_y \ 1 \ g|_x \ 1$



$x \ z$

$$M = (S, S_0, R, L)$$

For states  $S$ , numbering states by binary coding.

- $s_0 = (\dots, 0, 0)$  i.e.,  $v_0 = 0, v_1 = 0, \dots$
- $s_1 = (\dots, 0, 1)$  i.e.,  $v_0 = 1, v_1 = 0, \dots$
- $s_2 = (\dots, 1, 0)$  i.e.,  $v_0 = 0, v_1 = 1, \dots$

Transitions  $(s_i, s_j) \in R$ , represented as state  $s_i \wedge s_j$ .  $R$  is represented as disjunction of all transitions.

For an atom proposition  $\varphi$ , defining  $L_\varphi = \{s \mid \varphi \in L(s)\}$ .

## Antichain Algorithm

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# The Usual Solution for Inclusion Problem

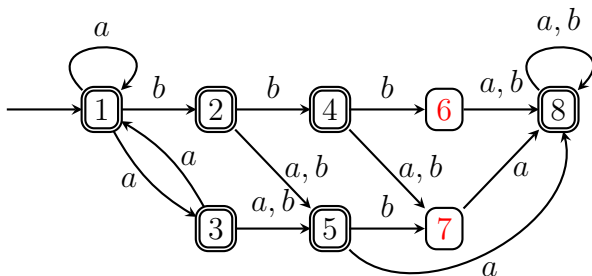
$$L(\mathcal{A}) \subseteq L(\mathcal{B})$$

- Complementation:  $\mathcal{B}^c$ 
  - Cost: deterministic: low
  - Cost: nondeterministic  $\implies$  determination: high ( $O(2^n)$ )
- Intersection:  $\mathcal{A} \cap \mathcal{B}^c$ 
  - Cost: low
- Emptiness:  $L(\mathcal{A} \cap \mathcal{B}^c) = \emptyset$ 
  - Cost: low

**Determination** is the major fact to consume the execution time.

## Determination for NFA: Subset Construction

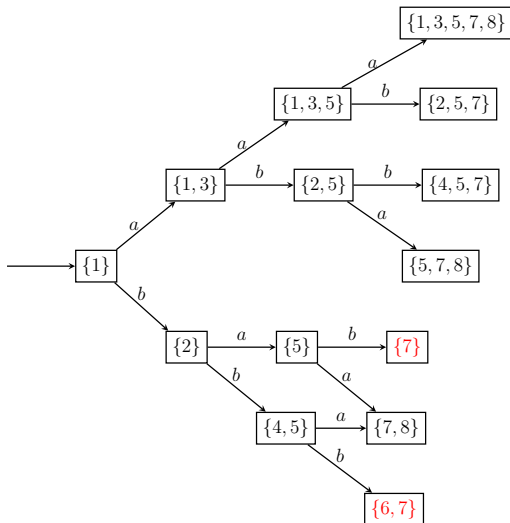
NFA  $\mathcal{A} = (Q, \Sigma, \delta, q_0, F)$



DFA  $\mathcal{A}' = (Q', \Sigma, \delta', q'_0, F')$

- $Q' = 2^Q$
- $\delta'(P, a) = \{q \in Q \mid \exists p \in P, q \in \delta(p, a)\}$
- $q'_0 = \{q_0\}$
- $F' = \{P \in Q' \mid P \cap F \neq \emptyset\}$

## Determination for NFA: Subset Construction



For büchi automata,  $\text{DBA} \subset \text{NBA}$ .

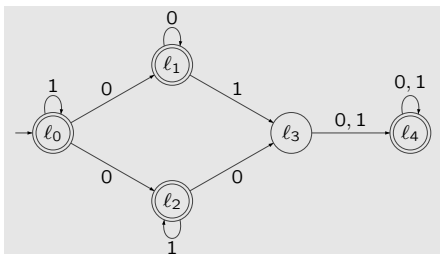
Thus, this technique does not work. ( $O(2^{n \log n})$ )

$$L(\mathcal{A}) \subseteq L(\mathcal{B})$$

- Complementation:  $\mathcal{A}^c$ 
  - Cost: deterministic: low
- Union:  $\mathcal{A}^c \cup \mathcal{B}$ 
  - Cost: low
- Universality:  $L(\mathcal{A}^c \cup \mathcal{B}) = \Sigma^*$ 
  - Cost: high
  - The usual way to solve the universality is determination.
  - A new algorithm without determination. antichain
- Determination VS. Antichain

# Universality: A Game Approach

- Consider two players: **protagonist**, **antagonist**
- Protagonist** wants to show that  $\mathcal{A}$  is not universal.
- Protagonist** has to provide a finite word  $w$  such that no matter how the **antagonist** reads it on  $\mathcal{A}$ , the automaton ends up in a rejecting location.



- Example:
  - Protagonist:** 101
  - Antagonist:**  $l_0 \xrightarrow{1} l_0 \xrightarrow{0} l_2 \xrightarrow{1} l_2$
  - Antagonist wins.**
  - One-shot game.**

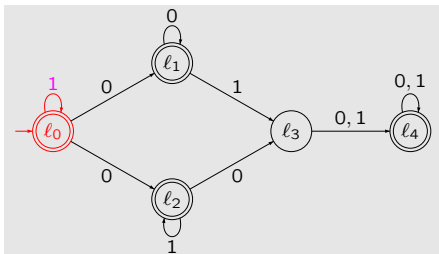
protagonist has a strategy to win the game

iff

$\mathcal{A}$  is not universal

# Universality: A Game Approach

- Consider two players: **protagonist**, **antagonist**
- **Protagonist** provides a letter from  $w$  a time.
- **Antagonist** updates control locations based on  $\mathcal{A}$  accordingly.

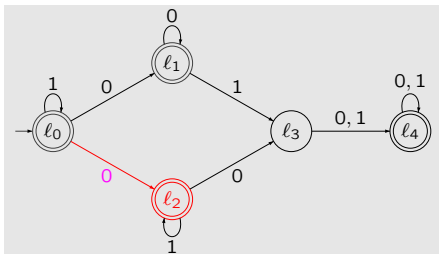


- Example:
  - **protagonist**: 1
  - **antagonist**:  $l_0 \xrightarrow{1} l_0$



# Universality: A Game Approach

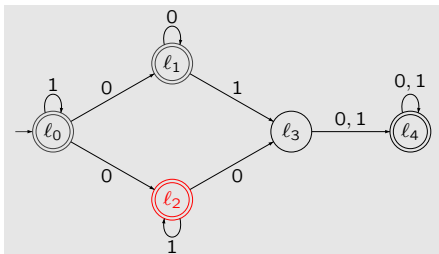
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- Example:
  - protagonist**:  $10$
  - antagonist**:  $l_0 \xrightarrow{1} l_0 \xrightarrow{0} l_2$

# Universality: A Game Approach

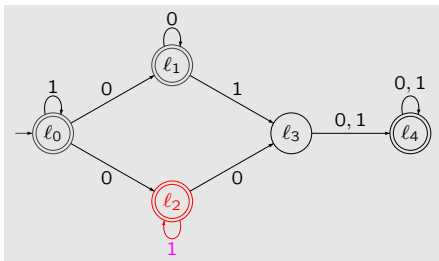
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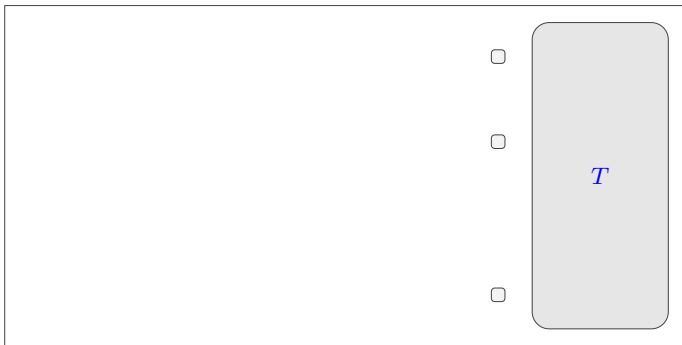
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- Example:
  - protagonist**: 101
  - antagonist**:  $l_0 \xrightarrow{1} \{l_0\} \xrightarrow{0} \{l_1, l_2\} \xrightarrow{1} \{l_2\}$
  - Turn-based blind game  
(game with null information).

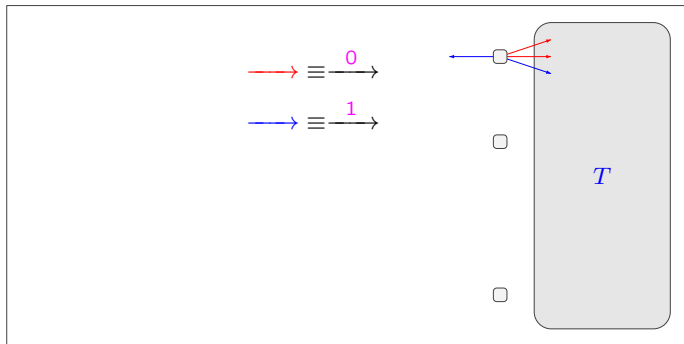
## Solution of the Game

- let  $\mathcal{A} = (Q, \Sigma, \delta, q_0, F)$
- to solve a blind reachability game  $G_T$  with the target  $T = Q/F$



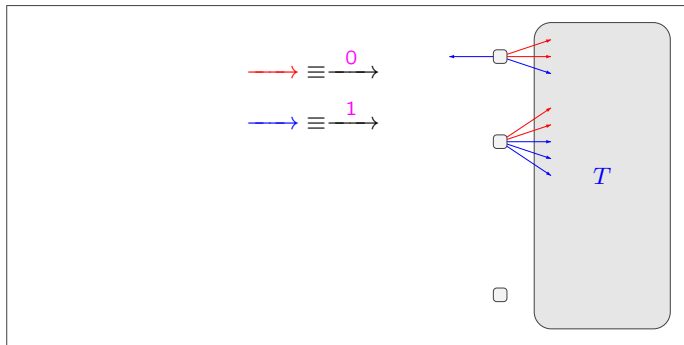
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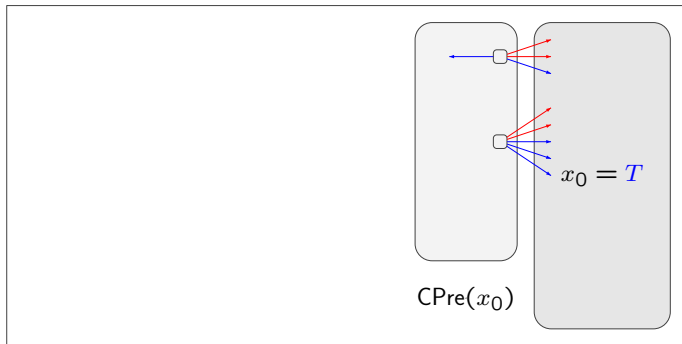
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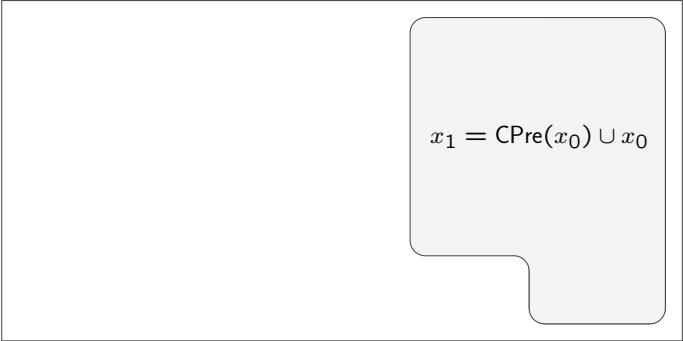
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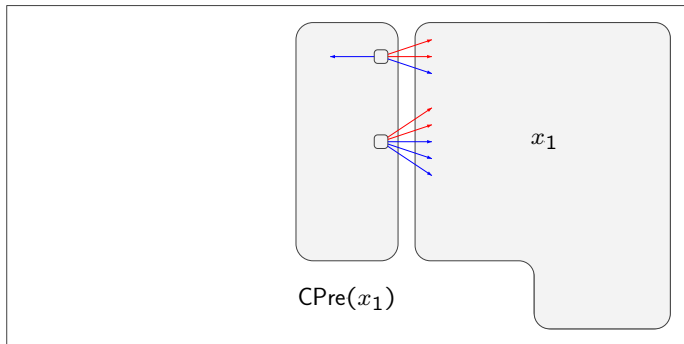
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$$x_1 = \text{CPre}(x_0) \cup x_0$$



## Solution of the Game

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- to solve a blind reachability game  $G_T$  with the target  $T = Q/F$



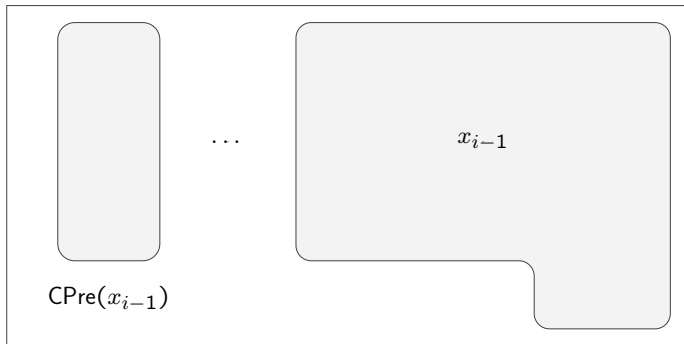
## Solution of the Game

- let  $\mathcal{A} = (Q, \Sigma, \delta, q_0, F)$
- to solve a blind reachability game  $G_T$  with the target  $T = Q/F$


$$x_2 = \text{CPre}(x_1) \cup x_1$$

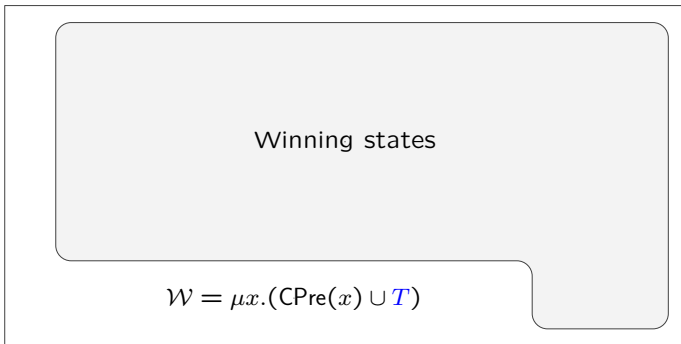
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- let  $\mathcal{A} = (Q, \Sigma, \delta, q_0, F)$
  - to solve a blind reachability game  $G_T$  with the target  $T = Q/F$
- 
- Recipe for solving reachability games
    - Compute the set of control locations that are winning in one move  $CPre^{\mathcal{A}}(T)$
    - Iterate  $Cpre^{\mathcal{A}}(.)$ , compute  $\mathcal{W} = \mu x.(CPre^{\mathcal{A}}(x) \cup T)$
    - Check whether  $q_0 \in \mathcal{W}$

- let  $\mathcal{A} = (Q, \Sigma, \delta, q_0, F)$
- an **antichain** over  $Q$  is a set  $q \subseteq 2^Q$ , such that  $\forall s, s' \in q. s \not\subseteq s'$ . That is, a finite set of pairwise incomparable elements.
- define a monotone function:  
 $CPre^{\mathcal{A}}(q) = \lceil (\{s \mid \exists s' \in q, \exists \sigma \in \Sigma, s = cpre_{\sigma}^{\mathcal{A}}(s')\}) \rceil$ , where
  - $cpre_{\sigma}^{\mathcal{A}}(s) = \{q \in Q \mid \forall q' \in Q, \delta(q, \sigma, q') \rightarrow q' \in s\}$
  - $\lceil p \rceil$  denotes the maximal elements in  $p$
  - $\lfloor p \rfloor$  denotes the minimal elements in  $p$
- To check whether the initial state contained in the greatest fixed point of a **lattice** of the antichain.

$$q_0 \subseteq \mu x. (CPre^{\mathcal{A}}(x) \cup T)$$

iff

$\mathcal{A}$  is not universal

# The Lattice of Antichains

- For two antichains  $p, p' \in L$ , let  $p \sqsubseteq p'$  iff  $\forall s' \in p', \exists s \in p : s \subseteq s'$
- Given two antichains  $p, p' \in L$ , the  $\sqsubseteq_{\text{lub}}$  is the antichain  $p \sqcup p' = \lfloor \{s \cup s' \mid s \in p \wedge s' \in p'\} \rfloor$
- Given two antichains  $p, p' \in L$ , the  $\sqsubseteq_{\text{glb}}$  is the antichain  $p \sqcap p' = \lfloor \{s \mid s \in p \vee s \in p'\} \rfloor$
- The partial order  $\sqsubseteq$  yields a complete lattice on the set  $L$  of antichains:  
 $Latt = \langle L, \sqsubseteq, \sqcup, \sqcap, \emptyset, \{Q\} \rangle$
- It is possible to use least fixed point.
  - It is easy to get a counterexample.
  - we need not compute the final fixed point.



## Least Fixed Point and Forward Search

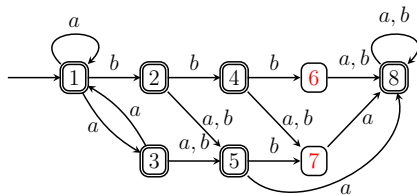
- let  $\mathcal{A} = (Q, \Sigma, \delta, q_0, F)$
- define a monotone function:  
 $CPost^{\mathcal{A}}(q) = \lfloor (\{s \mid \exists s' \in q, \exists \sigma \in \Sigma, s = cpost_{\sigma}^{\mathcal{A}}(s')\}) \rfloor$ , where
  - $cpost_{\sigma}^{\mathcal{A}}(s) = \{q \in Q \mid \exists q' \in Q, \delta(q', \sigma, q)\}$
  - $\lfloor p \rfloor$  denotes the minimal elements in  $p$

$$\exists s \in \mu x. (Cpost^{\mathcal{A}}(x) \sqcap \{q_0\}), s \cap F = \emptyset$$

iff

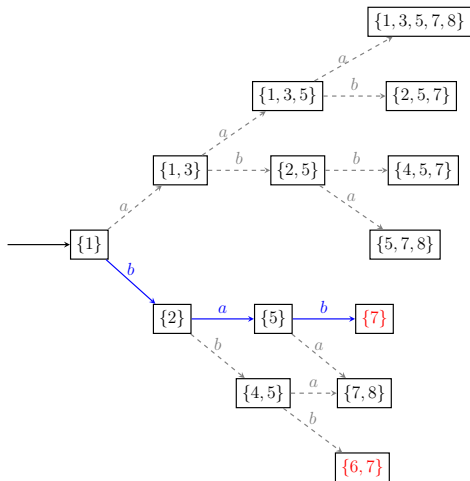
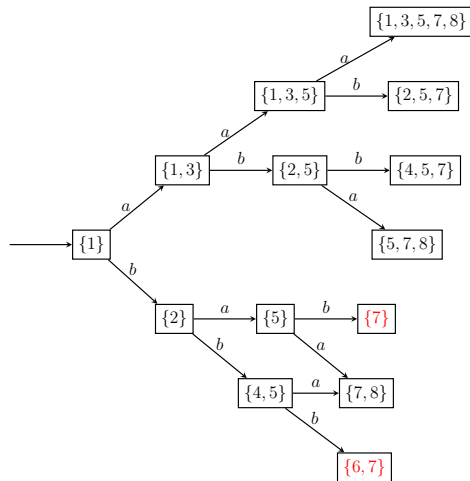
$\mathcal{A}$  is not universal

- When automaton is not universal, the computation can be stopped as soon as one of the sets does not intersect with  $F$ .



- $X_0 = \{\{1\}\}$
- $X_1 = cpost(X_0) \sqcap p_0 = \{\{1\}, \{2\}, \{1, 3\}\} \sqcap \{\{1\}\} = \lfloor \{\{1\}, \{2\}, \{1, 3\}\} \rfloor = \{\{1\}, \{2\}\}$
- $X_2 = cpost(X_1) \sqcap p_0 = \{\{1\}, \{2\}, \{1, 3\}, \{5\}, \{4, 5\}\} \sqcap \{\{1\}\} = \lfloor \{\{1\}, \{2\}, \{1, 3\}, \{5\}, \{4, 5\}\} \rfloor = \{\{1\}, \{2\}, \{5\}\}$
- $X_3 = cpost(X_2) \sqcap p_0 = \{\{1\}, \{2\}, \{1, 3\}, \{5\}, \{4, 5\}, \{7, 8\}, \{7\}\} \sqcap \{\{1\}\} = \lfloor \{\{1\}, \{2\}, \{1, 3\}, \{5\}, \{4, 5\}, \{7, 8\}, \{7\}\} \rfloor = \{\{1\}, \{2\}, \{5\}, \{7\}\}$
- $\{7\} \cap F = \emptyset$

# Examples



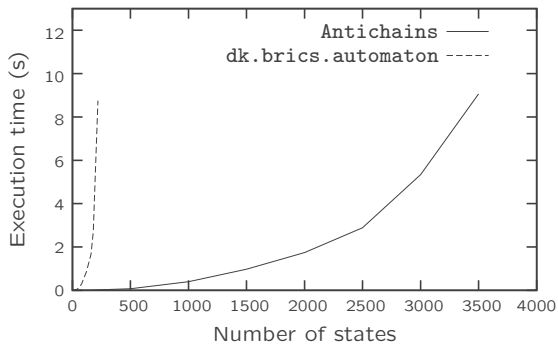
## Two Equivalent Algorithms

Two algorithms,

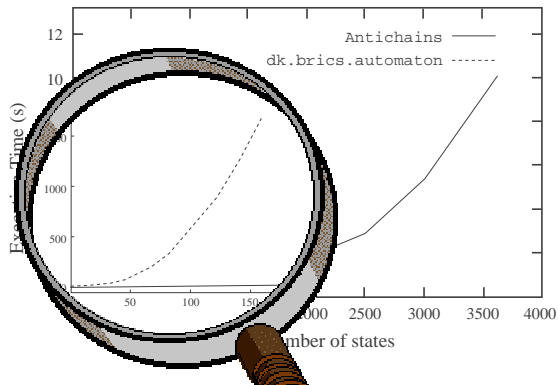
- Backwards, greatest fixed point,
- Forwards, least fixed point,

are equivalent.

## Universality - Experimental results



## Universality - Experimental results



Let  $\mathcal{A} = (Q_{\mathcal{A}}, \Sigma, \delta_{\mathcal{A}}, q_{\mathcal{A}}^0, F_{\mathcal{A}})$  and  $\mathcal{B} = (Q_{\mathcal{B}}, \Sigma, \delta_{\mathcal{B}}, q_{\mathcal{B}}^0, F_{\mathcal{B}})$

The language inclusion can be checked using an antichain algorithm based on a richer lattice.

An antichain  $q$  over  $Q_{\mathcal{A}} \times 2^{Q_{\mathcal{B}}}$  is a set such that for all

$$\forall (q_1, s_1), (q_2, s_2) \in p. (q_1 = q_2) \wedge (s_1 \neq s_2) \rightarrow s_1 \not\subseteq s_2 \wedge s_2 \not\subseteq s_1$$

Antichain algorithm does not improve the complexity in theory, it is significant in practice.

- e.g. there are no implementations of complementing Büchi automata, but now **ALASKA**

In a model checking view, antichain algorithm adopts “**lazy determination**”

- In lazy model checking, a model is expanded only when needed.
- In antichain algorithm, an automaton is determinized only when needed.