

## Homework 7 Solutions

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**Problem 1**

Given a flow network  $N = (V, E, c)$  with source  $s$  and sink  $t$ , we say that a node  $v \in V$  is *upstream* if, for all minimum  $s$ - $t$  cuts  $(S, T)$  of  $G$ ,  $v \in S$ . In other words,  $v$  lies on the  $s$ -side of every minimum  $s$ - $t$  cut. Analogously, we say that  $v$  is *downstream* if  $v \in T$  for every minimum  $s$ - $t$  cut  $(S, T)$  of  $G$ . We call  $v$  *central* if it is neither upstream nor downstream.

Design an algorithm that takes  $N$  and a flow  $f$  of maximum value in  $N$ , and classifies each of the nodes of  $N$  as being upstream, downstream, or central. Your algorithm should run in linear time.

Consider the min-cut  $(S^*, T^*)$  where  $S^*$  consists of all the vertices that are reachable from the source  $s$  in the residual network  $N_f$  where  $f$  is the given maximum flow. We claim that a node  $v$  is upstream if and only if  $v \in S^*$ . Clearly, if  $v$  is upstream, then it must belong to  $S^*$ ; otherwise, it lies on the sink-side of the minimum cut  $(S^*, T^*)$ . Conversely, suppose that  $v \in S^*$  were not upstream. Then there would be a minimum cut  $(S, T)$  with  $v \in T$ . Now, since  $v \in S^*$ , there is a path in  $N_f$  from  $s$  to  $v$ . Since  $v \in T$ , this path must have an edge  $(u, w)$  with  $u \in S$  and  $w \in T$ . But this is a contradiction since no edge in the residual graph can go from the source side to the sink side of any minimum cut.

A symmetric argument show the following. Let  $(S_*, T_*)$  denote the cut where  $T_*$  consists of all vertices from which the sink  $t$  can be reached in  $N_f$ . Then  $(S_*, T_*)$  is a minimum cut, and a vertex  $w$  is downstream if and only if  $w \in T_*$ . (Formally, this statement can be obtained from the upstream one by reverting all edges and flows in  $N_f$ .)

Thus, our algorithm is to build  $N_f$ , and run a graph traversal to find the sets  $S^*$  and  $T_*$ . These are the upstream and downstream vertices, respectively; the remaining vertices are central.

The running time of our algorithm is linear as we can construct  $N_f$  out of  $f$  in linear time, and graph traversal can be done in linear time (using BFS or DFS).

## Problem 2

A *vertex cover* of a graph  $G = (V, E)$  is a collection of vertices  $C \subseteq V$  such that every edge  $e \in E$  has at least one vertex in  $C$ .

Show that for bipartite graphs, the minimum size of a vertex cover equals the maximum size of a matching.

Fix a bipartite graph  $G$ . Let  $c$  denote the minimum number of vertices in a vertex cover for  $G$ . Let  $m$  denote the size of a maximum matching in  $G$ .

1.  $c \geq m$ .

Let  $C$  be an arbitrary vertex cover, and  $M$  an arbitrary matching. Consider the edges in  $M$ . We know these are all disjoint, meaning no two edges share any vertex.  $C$  must include at least one vertex for each of these disjoint edges, so  $|C| \geq |M|$ . Since our choices for  $C$  and  $M$  were arbitrary, this is true for all vertex covers and all matchings; hence, it follows that  $c \geq m$ .

2.  $c \leq m$ .

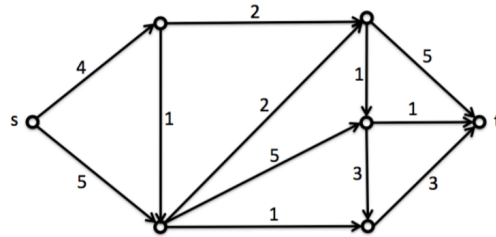
Suppose the two bipartite components of  $G$  are  $L$  (left) and  $R$  (right). Consider the matching network corresponding to  $G$ : Connect the source  $s$  to every vertex in  $L$  with unit capacity edges, connect all vertices in  $R$  to the sink  $t$  with unit capacity edges, and direct every edge in  $G$  from left to right with infinite capacity. Note that since the incoming capacity for any vertex in  $L$  and the outgoing capacity for any vertex in  $R$  is exactly 1, no edge in  $G$  can ever carry more than 1 unit of flow; thus, the maximum flow in this network corresponds to a maximum matching with size equal to the value of the maximum flow. Applying the max-flow-min-cut theorem, the capacity of a minimum cut in this network equals  $m$ . Consider any cut  $(S, T)$  of finite capacity. Since all edges from  $L$  to  $R$  have infinite capacity, there can be no such edge with the left vertex in  $S$  and the right vertex in  $T$ . Therefore, every edge in  $G$  has either its left vertex in  $T$ , its right vertex in  $S$ , or both. Therefore,  $C = (L \cap T) \cup (R \cap S)$  is a vertex cover for  $G$ . Moreover, the edges that cross the cut from  $S$  to  $T$  are precisely those that go from  $s$  to a vertex in  $L \cap T$ , or from a vertex in  $R \cap S$  to  $t$ . Therefore,  $|C| = c(S, T)$ . Since this shows that every finite capacity cut has a corresponding vertex cover of equal value, we may conclude that  $c$  is no more than the capacity of a minimum cut, i.e.,  $c \leq m$ .

These two inequalities, combined, prove that  $c = m$ .

### Problem 3

Consider a network with integer capacities. An edge is called *upper-binding* if increasing its capacity by one unit increases the maximum flow value in the network. An edge is called *lower-binding* if reducing its capacity by one unit decreases the maximum flow value in the network.

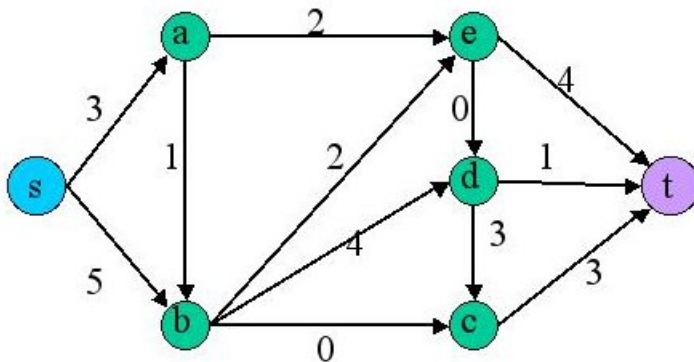
- a) For the network  $G$  below determine a maximum flow  $f^*$ , the residual network  $G_{f^*}$ , and a minimum cut. Also identify all of the upper-binding edges and all of the lower-binding edges.

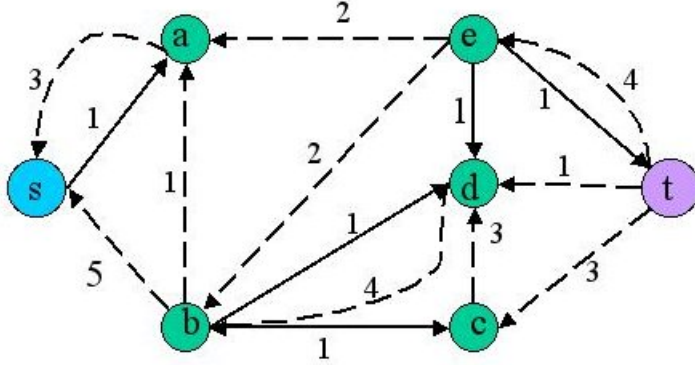


- b) Develop an algorithm for finding all the upper-binding edges in a network  $G$  when given  $G$  and a maximum flow  $f^*$  in  $G$ . Your algorithm should run in linear time.
- c) Develop an algorithm for finding all the lower-binding edges in a network  $G$  when given  $G$  and an integer maximum flow  $f^*$  in  $G$ . Your algorithm should run in time polynomial in  $n$  and  $m$ . Can you make it run in linear time?

#### Part (a)

The maximum value of a flow in the network is 8 units. The next figure shows such flow and the corresponding residual network.





Note that backward edges in the residual graph have been shown as broken. One  $s$ - $t$  min-cut is  $S = \{s, a\}$  and  $T = \{b, c, d, e, t\}$ . Another is  $S = \{s, a, b, c, d\}$  and  $T = \{e, t\}$ . Only the edge  $(a, e)$  is upper-binding. The lower-binding edges are  $(s, b)$ ,  $(a, b)$ ,  $(a, e)$ ,  $(b, e)$ ,  $(c, t)$ , and  $(d, t)$ .

### Part (b)

We can test whether a given edge  $e = (u, v)$  in  $G$  is upper-binding as follows. Let  $G^{(e)}$  denote the same network as  $G$  but with the capacity of edge  $e$  increased by one unit. Note that  $f^*$  is a valid flow in  $G^{(e)}$ . The edge  $e$  is upper-binding iff the flow  $f^*$  in  $G^{(e)}$  can be improved, which is the case iff there is an  $s$ - $t$  path in the residual network  $G_{f^*}^{(e)}$ . Since we can construct the residual network from  $f^*$  in linear time, this gives us a linear-time procedure to check whether a given edge  $e$  is upper-binding. Doing this for all edges  $e$  yields a quadratic algorithm.

We can do better by exploiting the fact that  $G_{f^*}^{(e)}$  and  $G_{f^*}$  only differ in the edge  $e$  (which is always present in  $G_{f^*}^{(e)}$  but not necessarily in  $G_{f^*}$ ) and that there is no  $s$ - $t$  path in  $G_{f^*}$  (since the flow  $f^*$  has maximum value in  $G$ ). Thus, there exists an  $s$ - $t$  path in  $G_{f^*}^{(e)}$  iff there exists an  $s$ - $u$  path in  $G_{f^*}$  and a  $v$ - $t$  path in  $G_{f^*}$ .

This observation leads to the following linear-time algorithm to determine all upper-binding edges in  $G$ . First compute the residual network  $G_{f^*}$  from the given max flow  $f^*$ . Then run DFS or BFS from  $s$  in  $G_{f^*}$  to determine the set  $U$  of all vertices that are reachable from  $s$ . Next run DFS or BFS from  $t$  on  $G_{f^*}$  with all edges reversed to determine the set  $V$  of all vertices from which  $t$  is reachable in  $G_{f^*}$ . Finally, cycle over all edges  $e = (u, v)$  in  $G$  and output  $e$  iff  $u \in U$  and  $v \in V$ .

This algorithm spends linear time in constructing the residual network, linear time in running DFS or BFS twice, and then linear time in iterating over all of the edges in  $G$ . Therefore its total running time is also linear.

### Part (c)

We can test whether a given edge  $e = (u, v)$  in  $G$  is lower-binding as follows. First, if  $e$  has residual capacity in  $G_{f^*}$  then  $e$  is not lower-binding. This is because  $f^*$  remains a valid flow after we reduce the capacity of  $e$  by one unit. If  $e$  has no residual but there is a  $u$ - $v$  path in  $G_{f^*}$  then we can reduce the flow through  $e$  by one unit by rerouting that unit along a  $u$ - $v$  path in  $G_{f^*}$ . The modified flow has the same value and remains valid after reducing the capacity of  $e$  by one unit.

Conversely, suppose that there is no  $u$ - $v$  path in  $G_{f^*}$ . We claim that  $e$  then belongs to a minimum cut in  $G$ , which implies that reducing the capacity of  $e$  reduces the minimum cut value and thus the

maximum flow value, so  $e$  is lower-binding. To argue the claim, note that the hypothesis implies that the edge  $e$  does not appear in  $G_{f^*}$  and that there is a path in  $G_{f^*}$  from  $t$  over  $(v, u)$  to  $s$ . The latter follows because there is a positive amount of flow going through  $e$ , which implies that the flow  $f^*$  contains a positive amount of flow along a path from  $s$  over  $e$  to  $t$ , and thus  $G_{f^*}$  contains the reverse of that path. Let  $S$  denote the set of vertices reachable from  $u$  in  $G_{f^*}$ , and let  $T$  denote its complement. Then  $s \in S$  (because of the  $u$ - $s$  path guaranteed above),  $v \in T$  (by our assumption that there is no  $u$ - $v$  path), and  $t \in T$  (otherwise, the concatenation of the  $u$ - $t$  path with the  $t$ - $v$  path guaranteed above yields a  $u$ - $v$  path). Thus,  $(S, T)$  is an  $s$ - $t$  cut in  $G$  and  $e$  belongs to the cut. Moreover, by the proof of the max-flow min-cut theorem from class, the capacity of  $(S, T)$  equals the value of the flow  $f^*$ , and therefore is a minimum cut.

The above test can be summarized as follows: An edge  $e = (u, v)$  is lower-binding iff there is no  $u$ - $v$  path in  $G_{f^*}$ . Our algorithm to compute all lower-binding edges works as follows. It first constructs  $G_{f^*}$  from  $f^*$ . It then determines for every vertex  $u$  which vertices  $v$  are reachable from  $u$  in  $G_{f^*}$  by running DFS or BFS from  $u$ , and stores these results in a table. Finally, it cycles over all edges  $e = (u, v)$  in  $G$  and outputs  $e$  iff the table indicates that  $v$  is not reachable from  $u$  in  $G_{f^*}$ .

The  $n$  runs of DFS or BFS take  $O(n(m+n))$  time. Moreover, in time  $O(n+m)$  we can eliminate all the vertices that are not involved in any edge. After that operation, the number of vertices is at most  $2m$ . Thus, the overall running time is  $O(n + m + nm) = O(nm)$ .

In fact, it is possible to solve this problem in time linear time by making use of the fact that the strongly connected components of a digraph can be found in linear time. Note that if an edge  $e = (u, v)$  is used at full capacity under  $f^*$  (a necessary condition for  $e$  being lower-binding),  $G_{f^*}$  contains the reverse edge  $(v, u)$ , and therefore there exists a path from  $u$  to  $v$  in  $G_{f^*}$  iff  $u$  and  $v$  belong to the same strongly connected component of  $G_{f^*}$ . Based on that, we can find all lower-binding edges by cycling over all edges  $e \in E$ , and outputting  $e$  iff  $f^*(e) = c(e)$  and the end points of  $e$  belong to the same strongly connected component of  $G_{f^*}$ . This procedure can be implemented to run in time  $O(n+m)$  by first constructing  $G_{f^*}$  out of  $f^*$  and determining the strongly connected components of  $G_{f^*}$  in linear time.

**Side note:** Lower-binding edges are exactly the edges that belong to some minimum  $s - t$  cut, and upper-binding edges are exactly the edges that belong to *all* minimum  $s - t$  cuts. Think about why that is the case.

## Problem 4

A given network can have many minimum  $st$ -cuts.

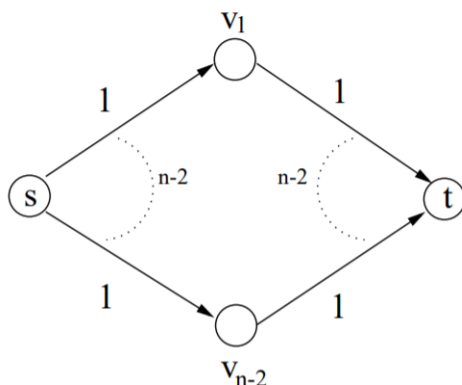
- Determine precisely how large the number of minimum  $st$ -cuts in a graph can be as a function of  $n$ .
- Show that if  $(S_1, T_1)$  and  $(S_2, T_2)$  are both minimum  $st$ -cuts in a given network, then so is  $(S_1 \cup S_2, T_1 \cap T_2)$ . How does this generalize to more than 2  $st$ -cuts?
- Design an algorithm that, given a network, generates a collection of minimum  $st$ -cuts  $(S_1, T_1), (S_2, T_2), \dots$  such that every minimum cut of the network can be written as

$$(\cup_{i \in I} S_i, \cap_{i \in I} T_i)$$

for some subset  $I$  of indices. Your algorithm should run in time polynomial in  $n$  and  $m$ .

### Part (a)

First consider how many potential  $st$ -cuts there are, total. Every vertex, excepting  $s$  and  $t$ , can be in either of 2 sets:  $S$  or  $T$ . So, we can view a cut as a binary decision made on each of  $n - 2$  elements. The total number of  $st$ -cuts possible, then, is  $2^{n-2}$ . Is there a scenario where all of these are *minimum*  $st$ -cuts? Consider the case in the next figure.



Whether we put some vertex  $v_i$  into  $S$  or  $T$  amounts to either placing our cut through  $(v_i, t)$  or  $(s, v_i)$ . In either case, the edge we cut contributes exactly 1 to the cost of the total cut. So, all  $2^{n-2}$   $st$ -cuts have the minimum weight of  $n - 2$ . Therefore, a graph can have as many as  $2^{n-2}$  minimum weight  $st$ -cuts.

### Part (b)

By the max-flow-min-cut theorem, given any maximum flow  $f$ , an  $st$ -cut  $(S, T)$  in the network  $G$  is minimum iff every edge from  $S$  to  $T$  is used at full capacity, and no edge from  $T$  to  $S$  is used at all. Equivalently, in terms of the residual network  $G_f$ , the  $st$ -cut  $(S, T)$  is minimum iff there is no edge in  $G_f$  that goes from  $S$  to  $T$ .

Let  $(S_1, T_1)$  and  $(S_2, T_2)$  be two minimum  $st$ -cuts. We need to argue that  $(S_1 \cup S_2, T_1 \cap T_2)$  is a minimum  $st$ -cut. First, note that  $(S_1 \cup S_2, T_1 \cap T_2)$  is a valid  $st$ -cut:

- $S_1 \cup S_2$  contains the source  $s$ ,
- $S_1 \cap T_2$  contains the sink  $t$ ,
- $S_1 \cup S_2$  and  $T_1 \cap T_2$  do not intersect (otherwise at least one of  $S_1$  and  $T_1$  or  $S_2$  and  $T_2$  would intersect), and
- $S_1 \cup S_2$  and  $T_1 \cap T_2$  together contain all vertices of  $G$  (otherwise at least one of  $S_1$  and  $T_1$  or  $S_2$  and  $T_2$  would not cover all vertices).

We next argue that the capacity of  $(S_1 \cup S_2, T_1 \cap T_2)$  is minimum. Fix a maximum flow  $f$  in  $G$ . Suppose  $G_f$  would contain an edge that goes from  $S_1 \cup S_2$  to  $T_1 \cap T_2$ . Then that same edge would go from  $S_1$  to  $T_1$  or from  $S_2$  to  $T_2$ . This contradicts the minimality of  $(S_1, T_1)$  or  $(S_2, T_2)$ , respectively.

We can use induction to generalize the result to more than 2  $st$ -cuts as follows: Let  $(S_i, T_i)$ ,  $1 \leq i \leq k$ , be minimum  $st$ -cuts, then

$$(\cup_{i=1}^k S_i, \cap_{i=1}^k T_i)$$

is also a minimum  $st$ -cut. We've proven the base case above ( $k = 2$ ). Next, we assume it holds for  $k$  cuts and show it must hold for  $k + 1$  cuts. We can choose any two  $st$ -cuts, coalesce them into one minimum cut by unioning their  $S$ -vertices and intersecting their  $T$ -vertices. Then, we can apply our inductive hypothesis to conclude the general case.

### Part (c)

We first construct a maximum flow  $f$  in the network  $G$ . Next, we examine the residual network  $G_f$ . As we argued under (b), an  $st$ -cut  $(S, T)$  is minimum iff there is no edge in  $G_f$  that goes from  $S$  to  $T$ . Now, consider an arbitrary vertex  $u$ . The minimality criterion implies that any minimum cut  $(S, T)$  such that  $u \in S$  has to contain all vertices  $S_u$  that are reachable from  $s$  or  $u$  in  $G_f$ . Let  $T_u = V \setminus S_u$ . By the above, we know that  $S = \cup_{u \in S} S_u$ . Consequently,  $T = V \setminus S = \cap_{u \in S} T_u$ . That is, we can write an arbitrary minimum  $st$ -cut  $(S, T)$  as

$$(S, T) = (\cup_{u \in S} S_u, \cap_{u \in S} T_u).$$

Each of the  $(S_u, T_u)$  defines a minimum  $st$ -cut unless  $t \in S_u$ . Since we can construct each of the sets  $S_u$  by running DFS on  $G_f$  from  $s$  and  $u$ , test whether  $t \in S_u$ , and construct  $T_u$  as  $V \setminus S_u$  in polynomial time, we are done.