

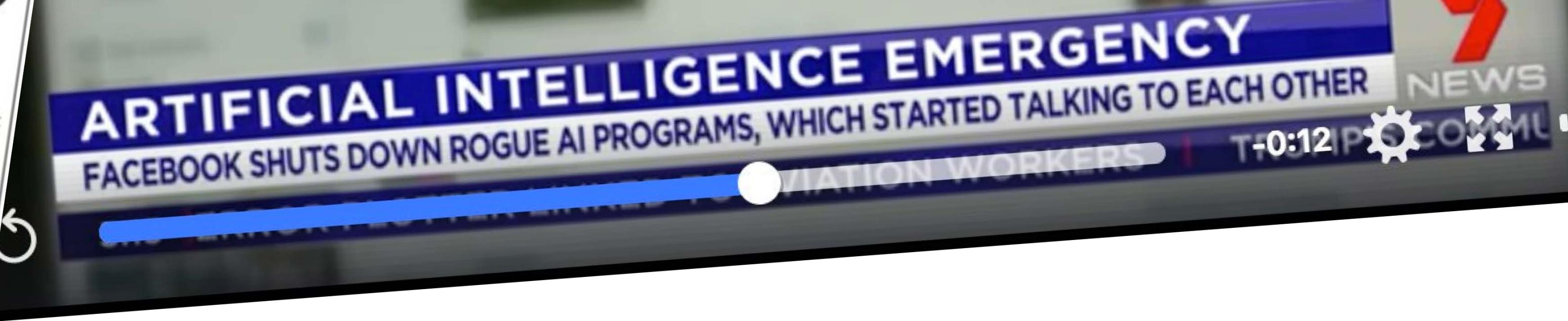
Deal or No Deal? End-to-End Learning of Negotiation Dialogues

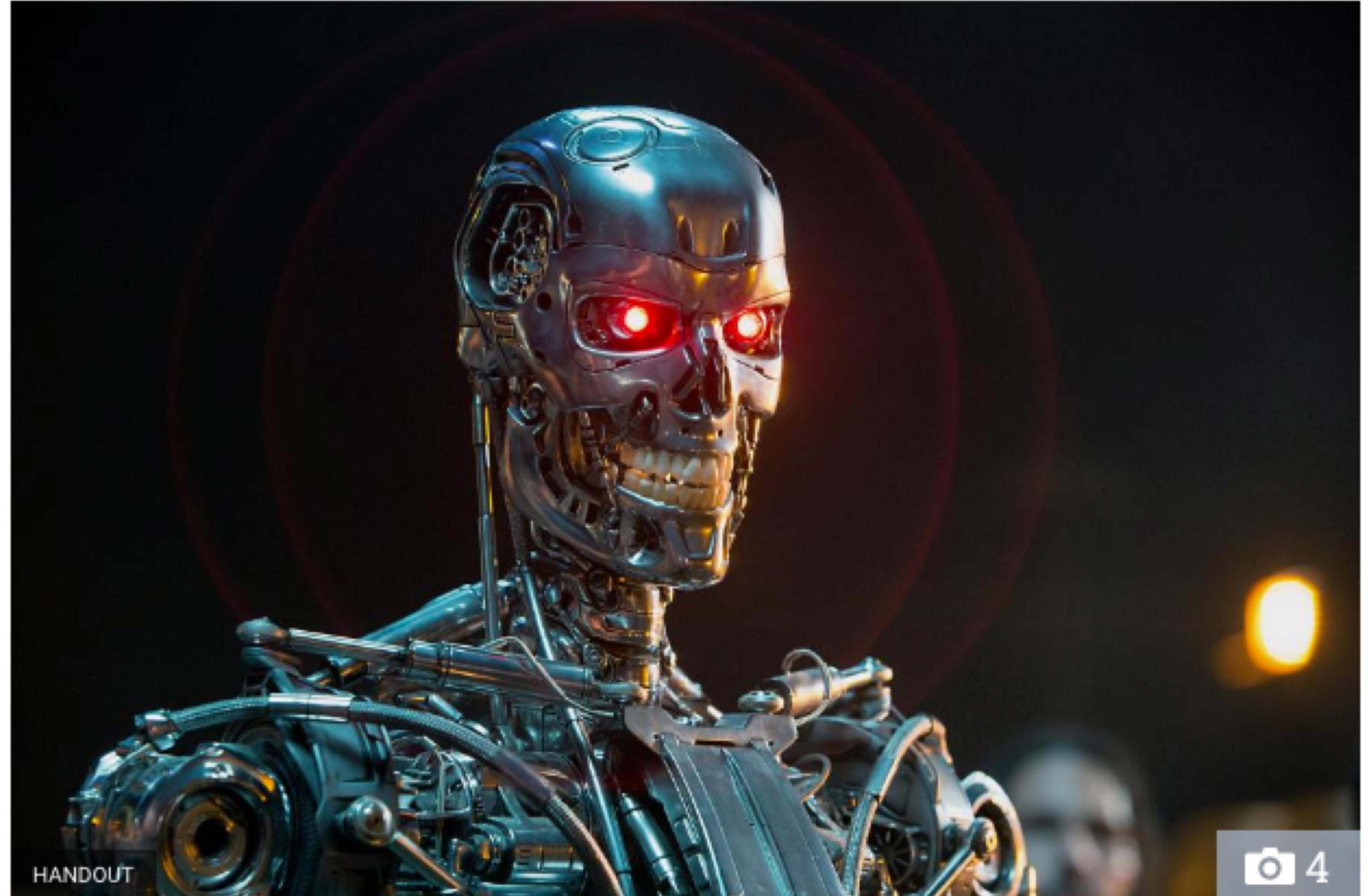
Mike Lewis

Facebook AI Research, Menlo Park, CA

(joint work with: **Denis Yarats, Yann N. Dauphin, Devi Parikh, Dhruv Batra**)





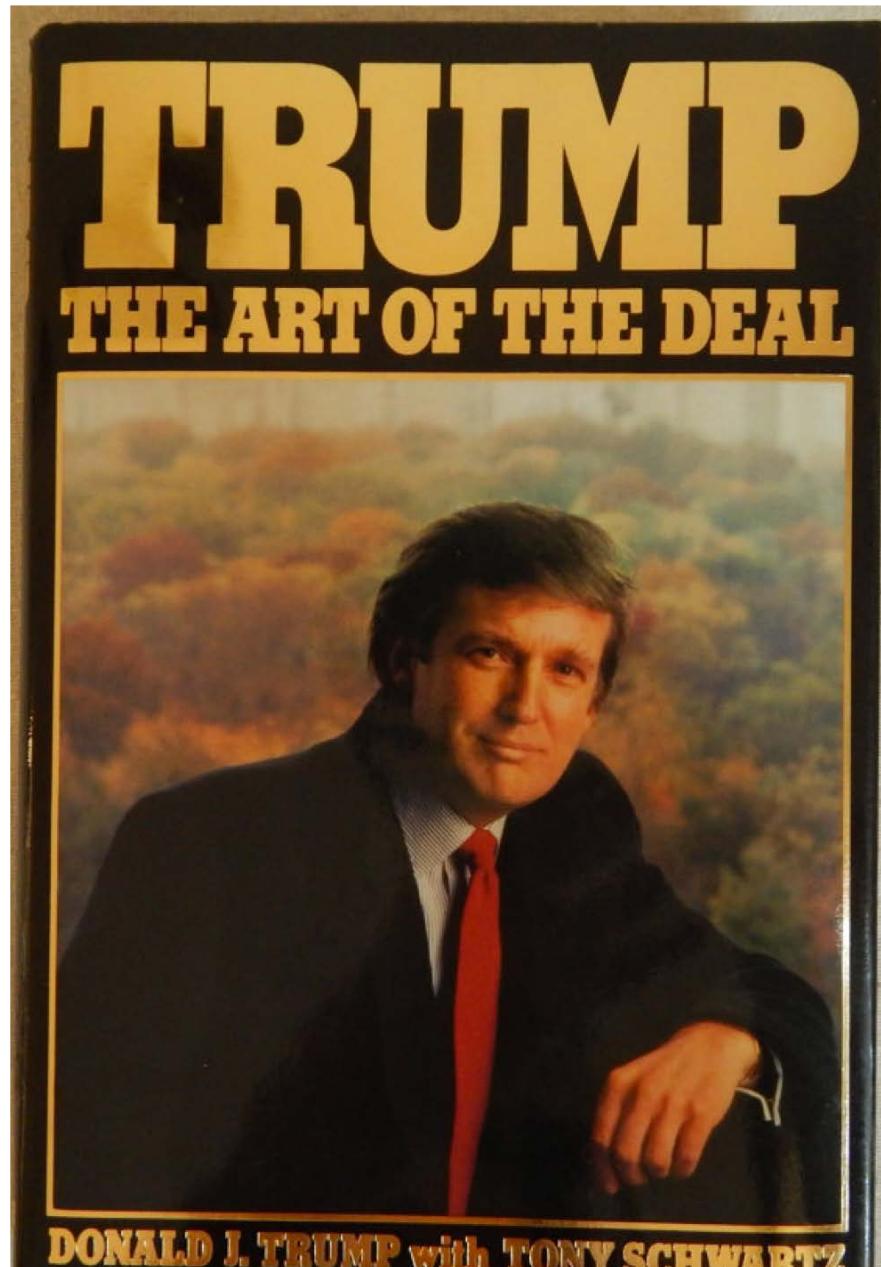


HANDOUT

The incident closely resembles the plot of The Terminator in which a robot becomes self-aware and starts waging a war on humans

Why Negotiation?

Why Negotiation?



Negotiation useful, when:

- Agents have different goals
- Not all can be achieved at once
- **(all the time!)**

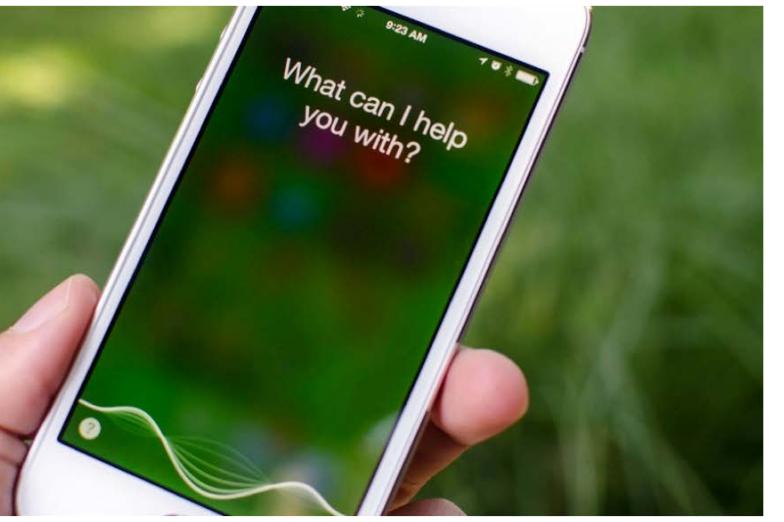
Why Negotiation?



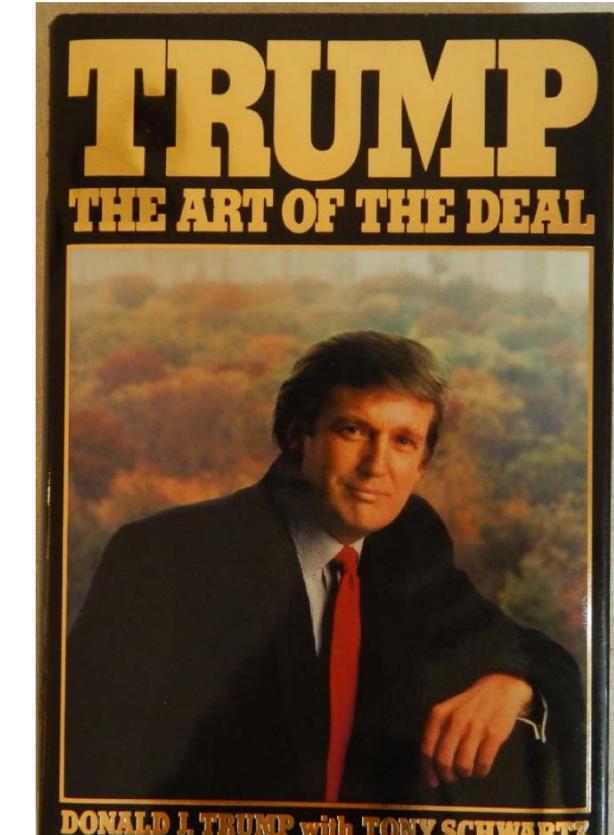
Zero-sum /
Adversarial



Negotiation



Fully
Cooperative



Why Negotiation?

Both **linguistic** and **reasoning** problem

Interpret multiple sentences, and **generate** new message

Plan ahead, make proposals, counter-offers, ask questions,
vagueness, bluffing, deceit, compromising

Hard for current models

Why Negotiation?

Unlike many goal-orientated dialogue problems, **no simple solutions** to achieving goal

Incentive to strategically **withhold information**

Adversarial aspect means it **hard to “solve”**

Why Negotiation?

Easy to **evaluate** – how good a deal did an agent get?

Self-play gives good **development metric**

Why Negotiation?

Real Applications

Many people find negotiations **hard** and **awkward**

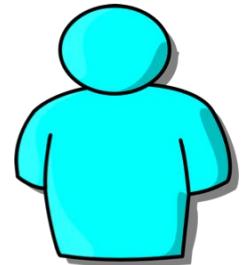
Could practice with bots help?

Dataset

Framework

Both agents given *reward function*,
can't observe each other's

Agent 1 Goals



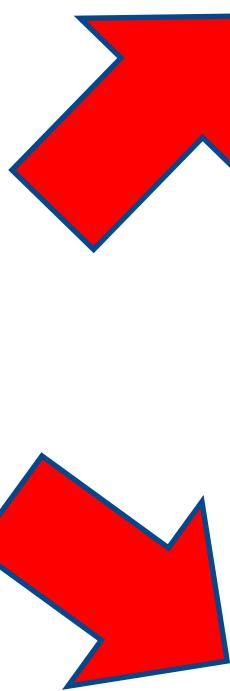
Agent 2 Goals



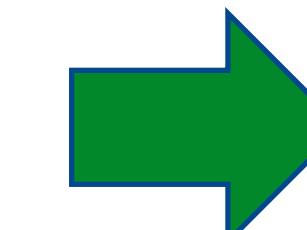
Dialogue

Both agents **independently**
select a deal

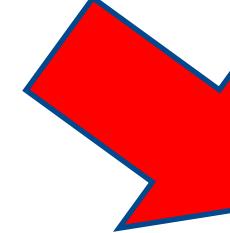
Agent 1 Output



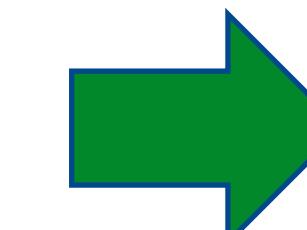
Agent 1 Reward



Agent 2 Output



Agent 2 Reward



Dialogue until one agent enters
that **deal is agreed**

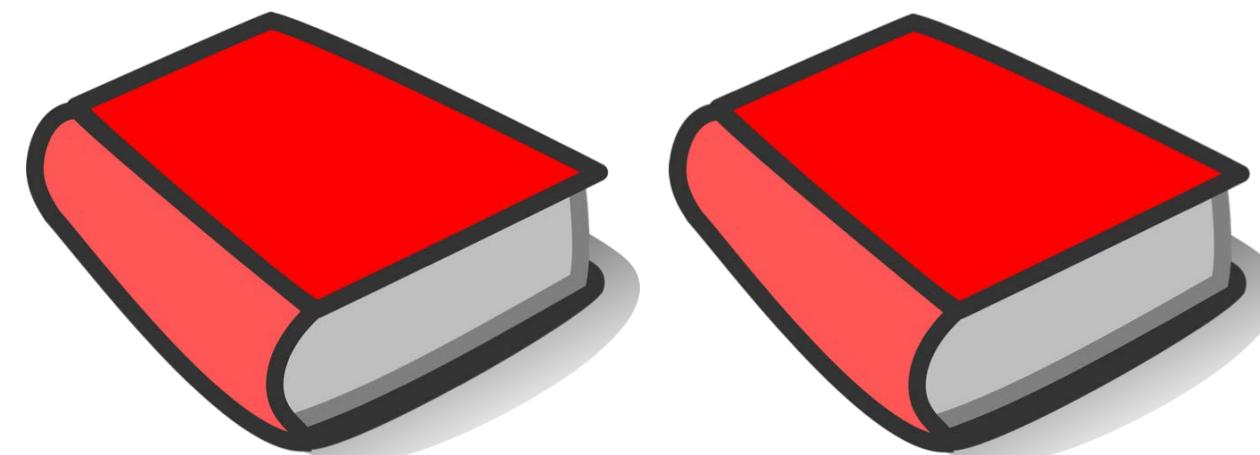
If both **agree** each is given
reward by environment

Object Division Task

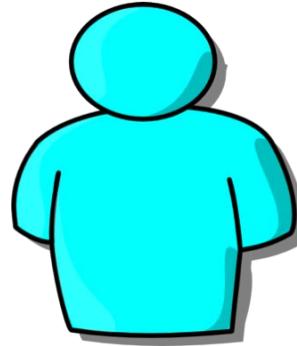
Agents shown **same objects**
but **different values** for each

Must **agree** how to divide
objects between them

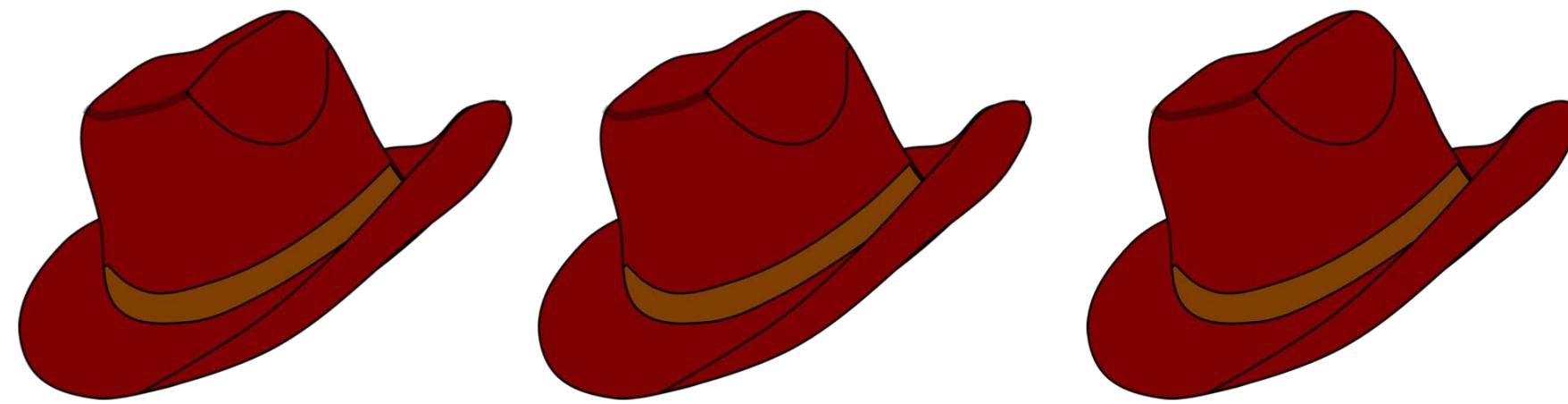
I point each



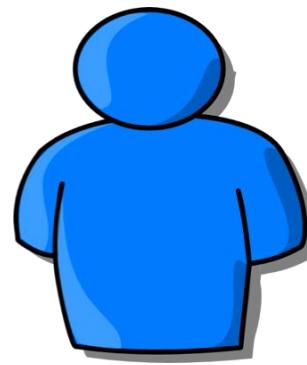
0 points each



I point each



3 point each



5 points each



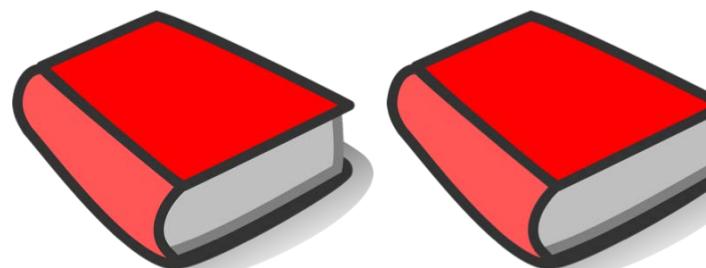
I point each

Object Division Task

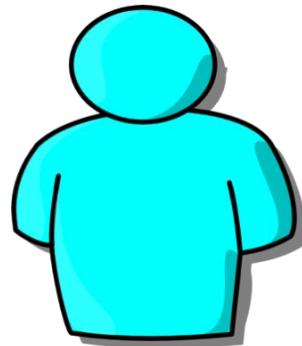
Agents shown **same objects**
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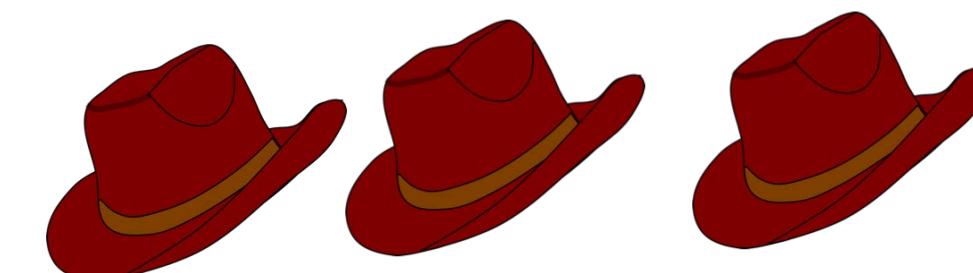
I point each



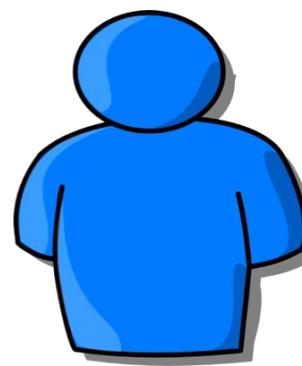
0 points each



I point each



3 point each



5 points each



I point each

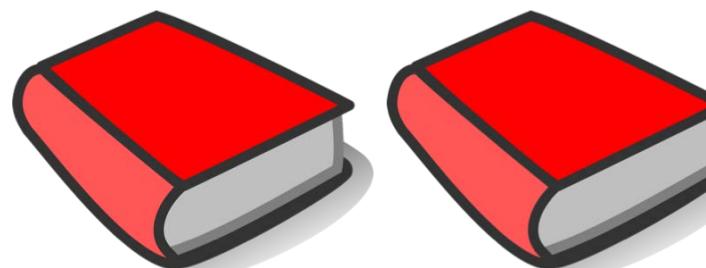


Object Division Task

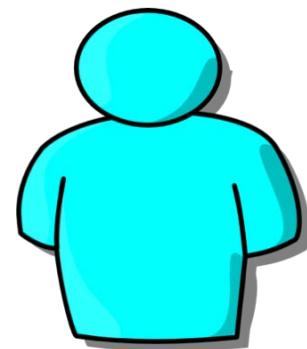
Agents shown **same objects**
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Must **agree** how to divide
objects between them

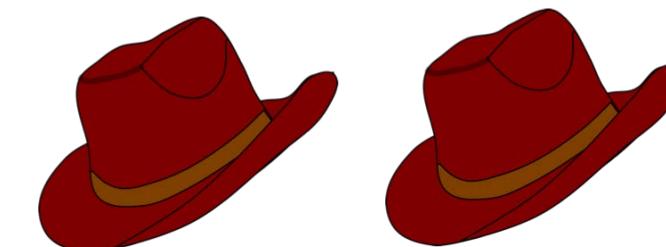
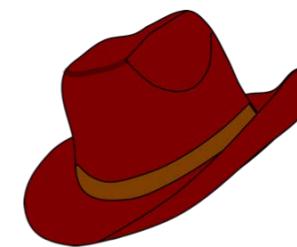
1 point each



0 points each



1 point each



3 point each



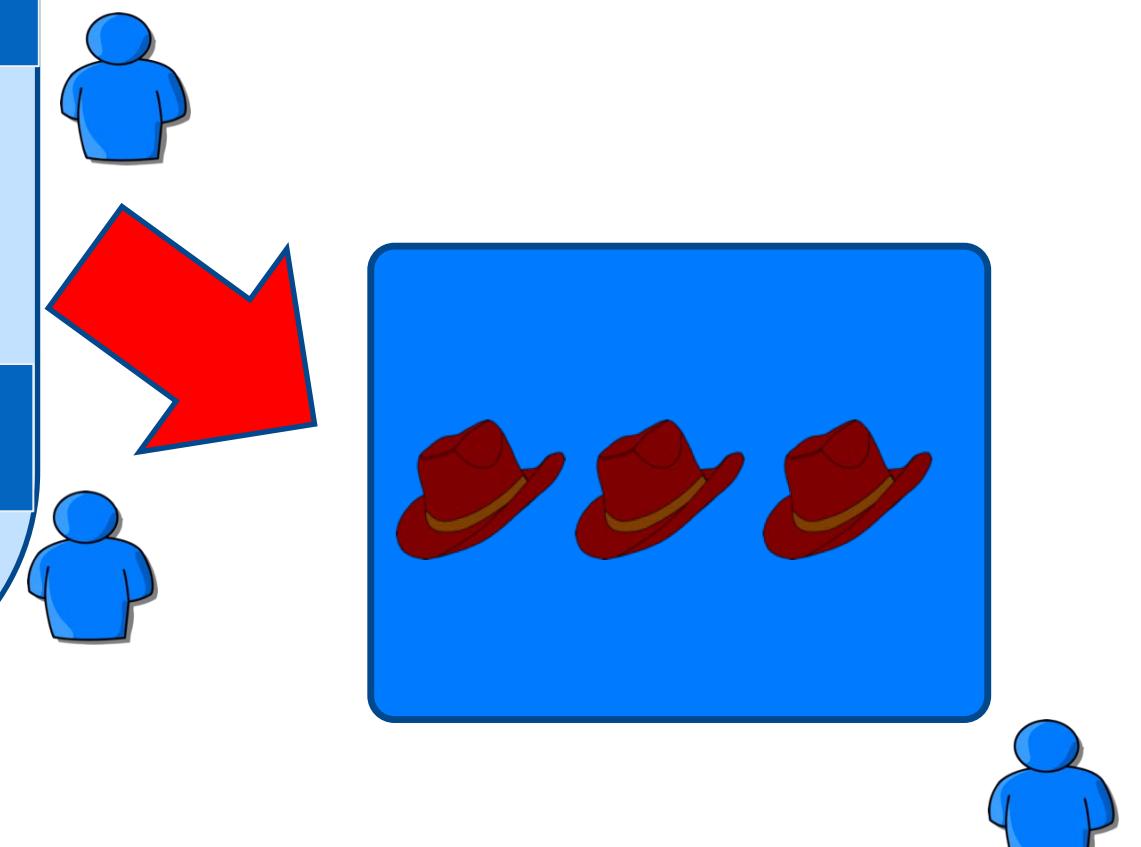
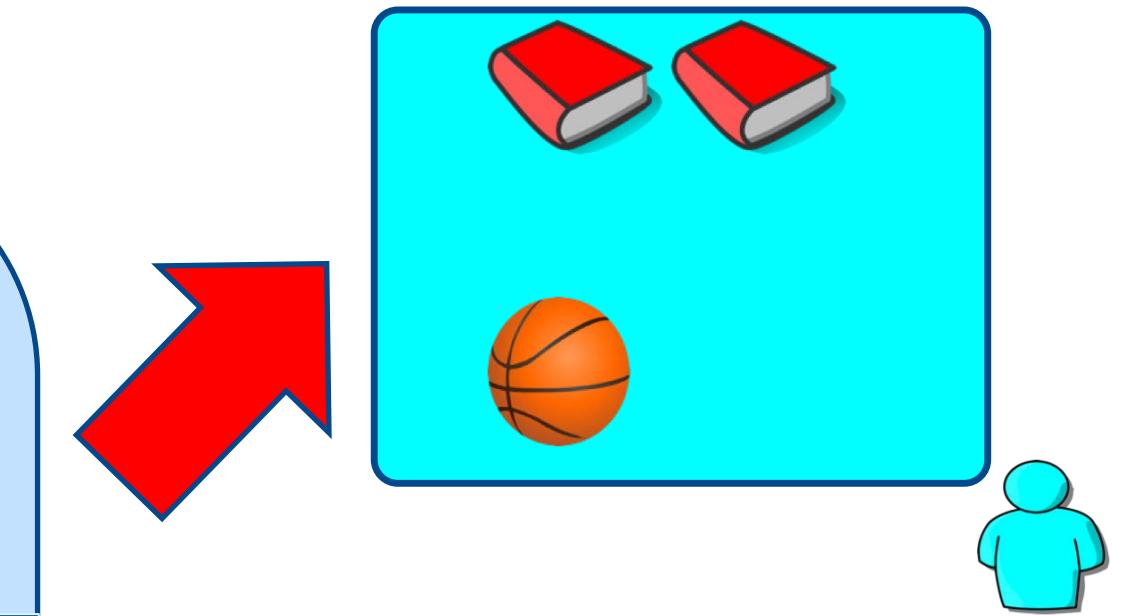
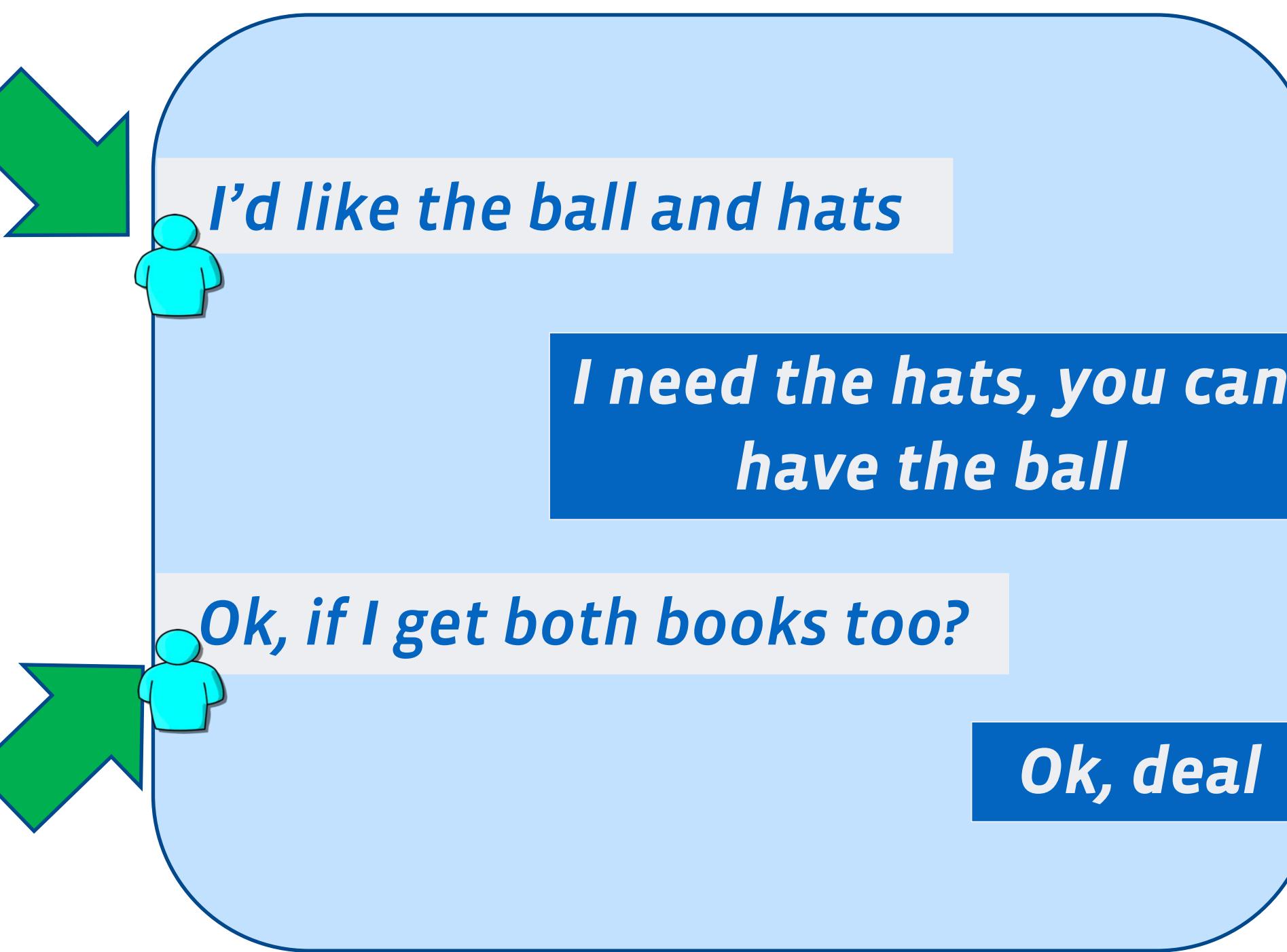
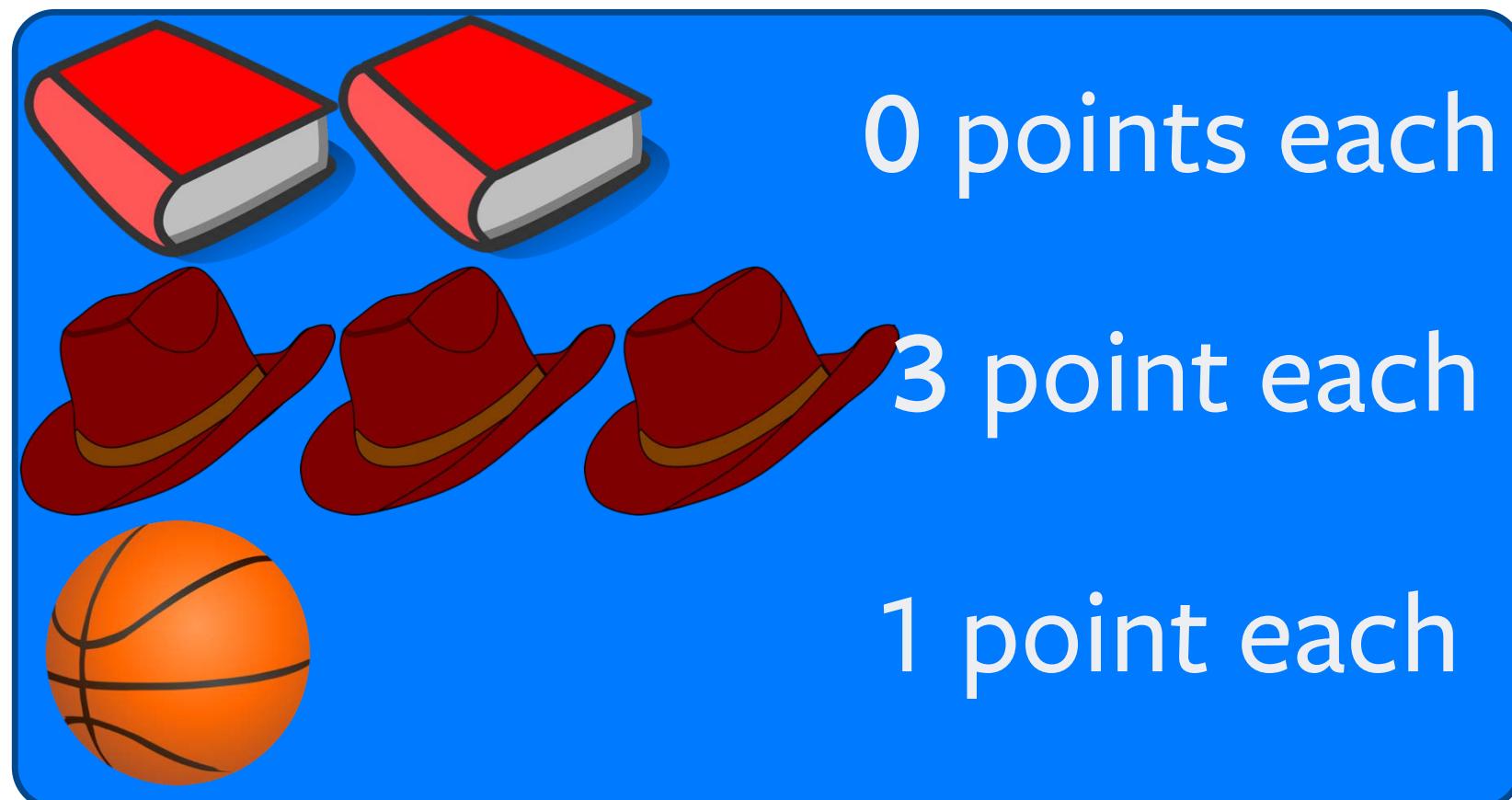
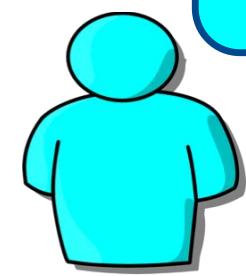
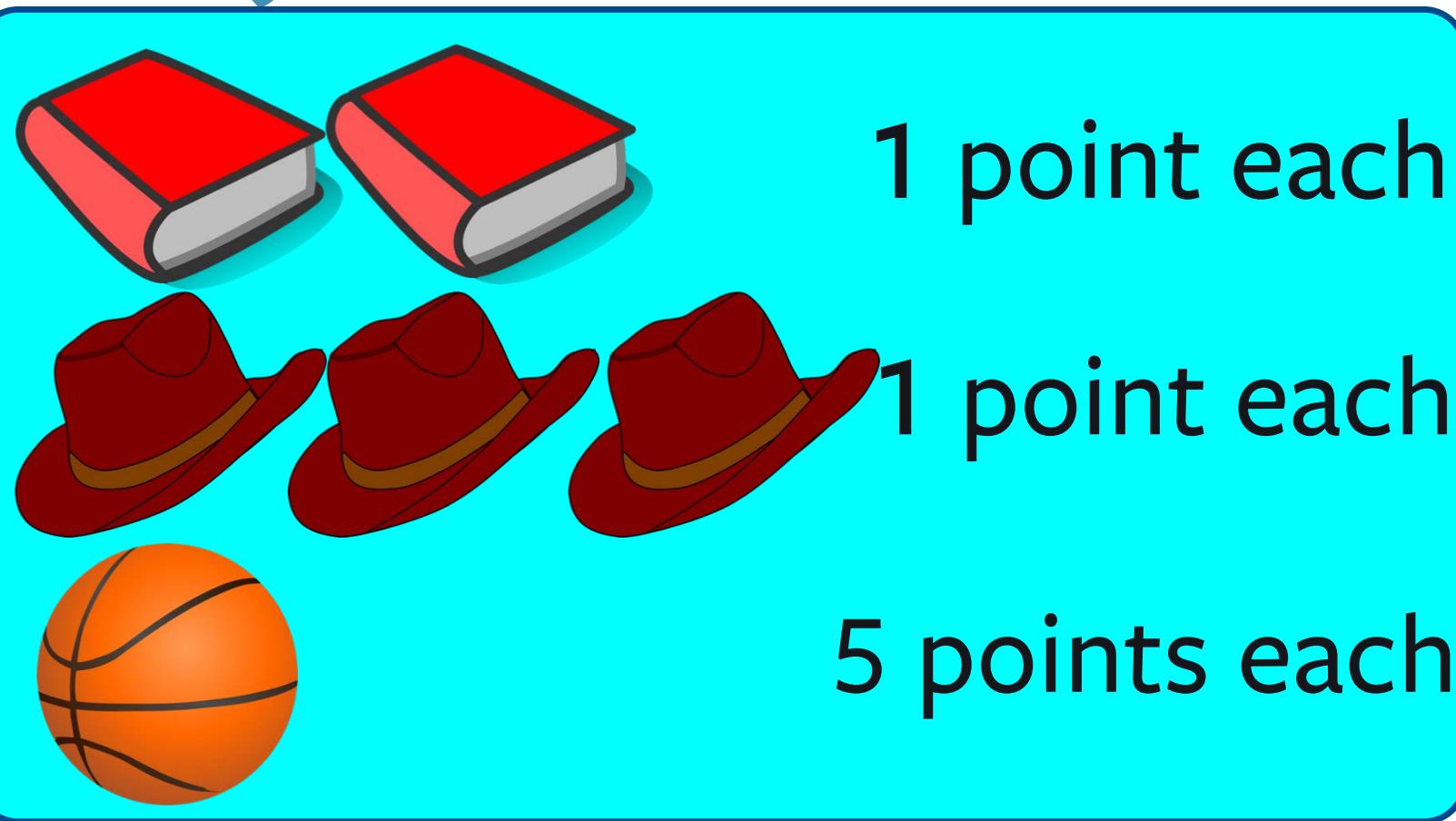
5 points each



1 point each



Object Division Task

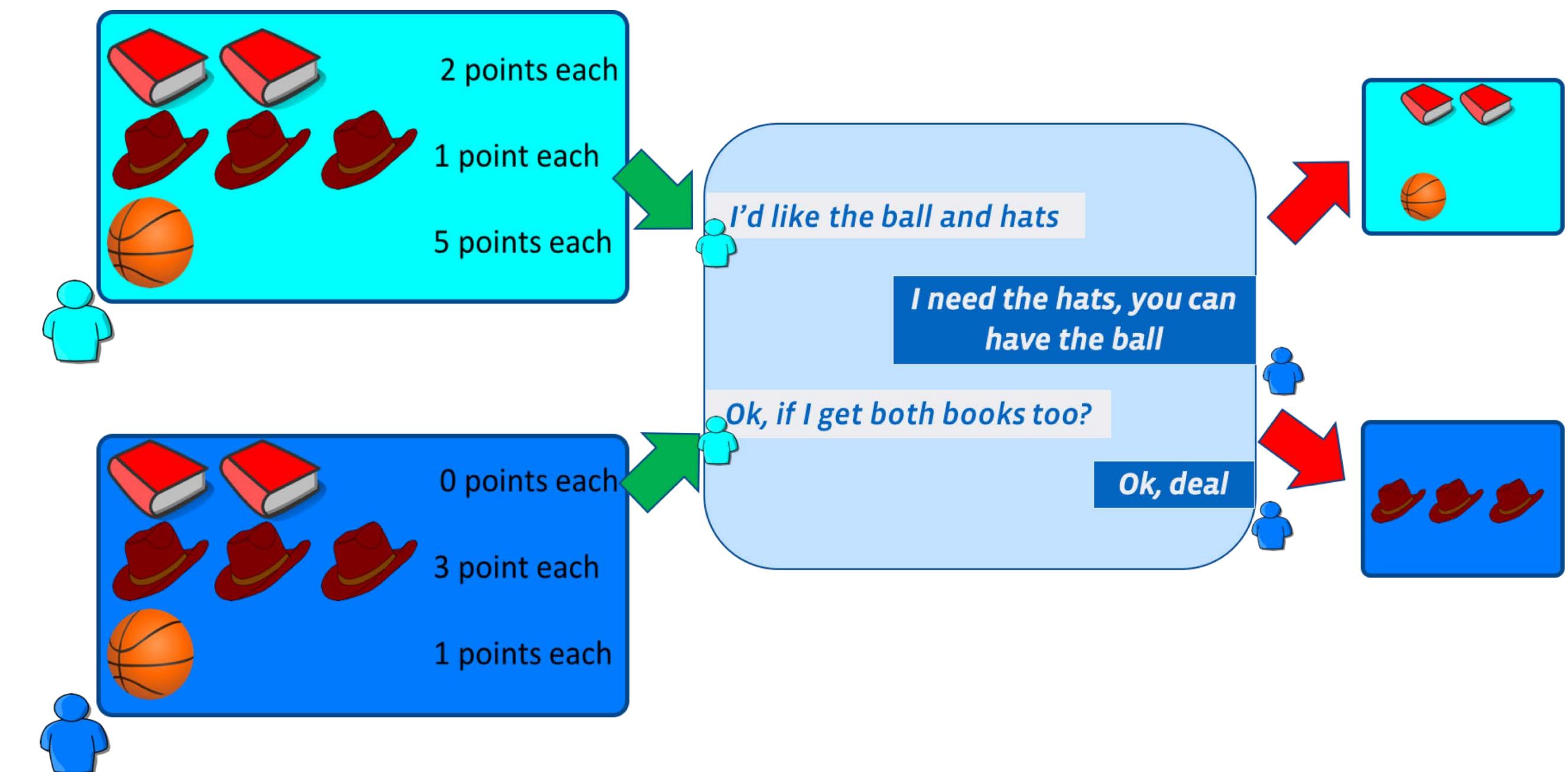


Object Division Task

10 point **maximum**

Not possible for **both** agents
to score 10 points

Failing to agree is 0 points

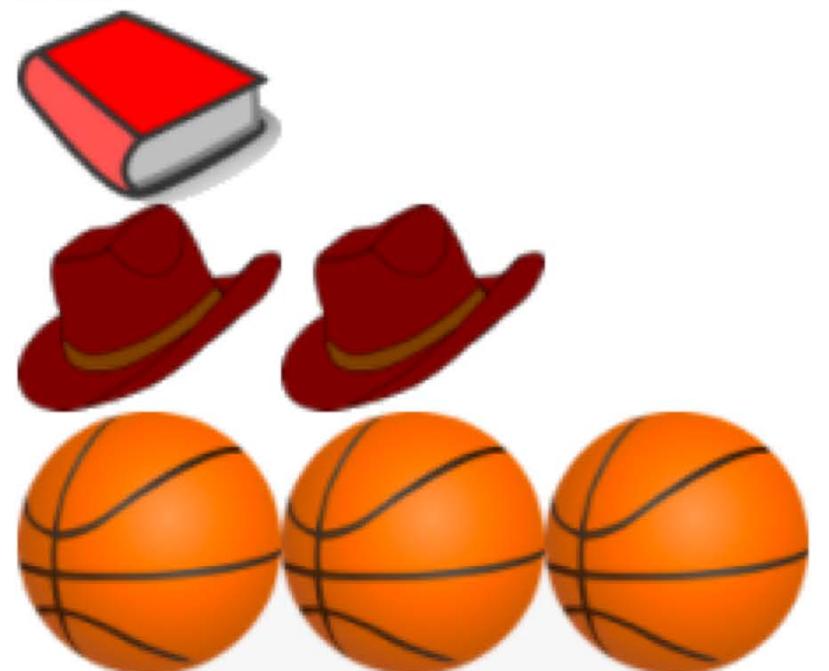


Object Division Task

Divide these objects between you and another Turker. Try hard to get as many points as you can!

Send a message now, or enter the agreed deal!

Items



Value	Number You Get
8	1 <input type="button" value="1"/>
1	1 <input type="button" value="1"/>
0	0 <input type="button" value="0"/>

Mark Deal Agreed

The interface shows a message exchange between two parties:

- Fellow Turker:** I'd like all the balls
- You:** Ok, if I get everything else
- Fellow Turker:** If I get the book then you have a deal
- You:** No way - you can have one hat and all the balls
- Fellow Turker:** Ok deal

Type Message Here:

Message

Send

Object Division Task



You can have 3 books, I will take the rest

I will give you the basketball and a book



You can have 4 books, final offer



That deal is not fair and I will not accept.
split it down the middle or no deal.



Fine walk away with nothing



You are doing the same. Hope you enjoy your rejection.



Alright I'll take a hat and a book



Awesome. Pleasure doing business with you



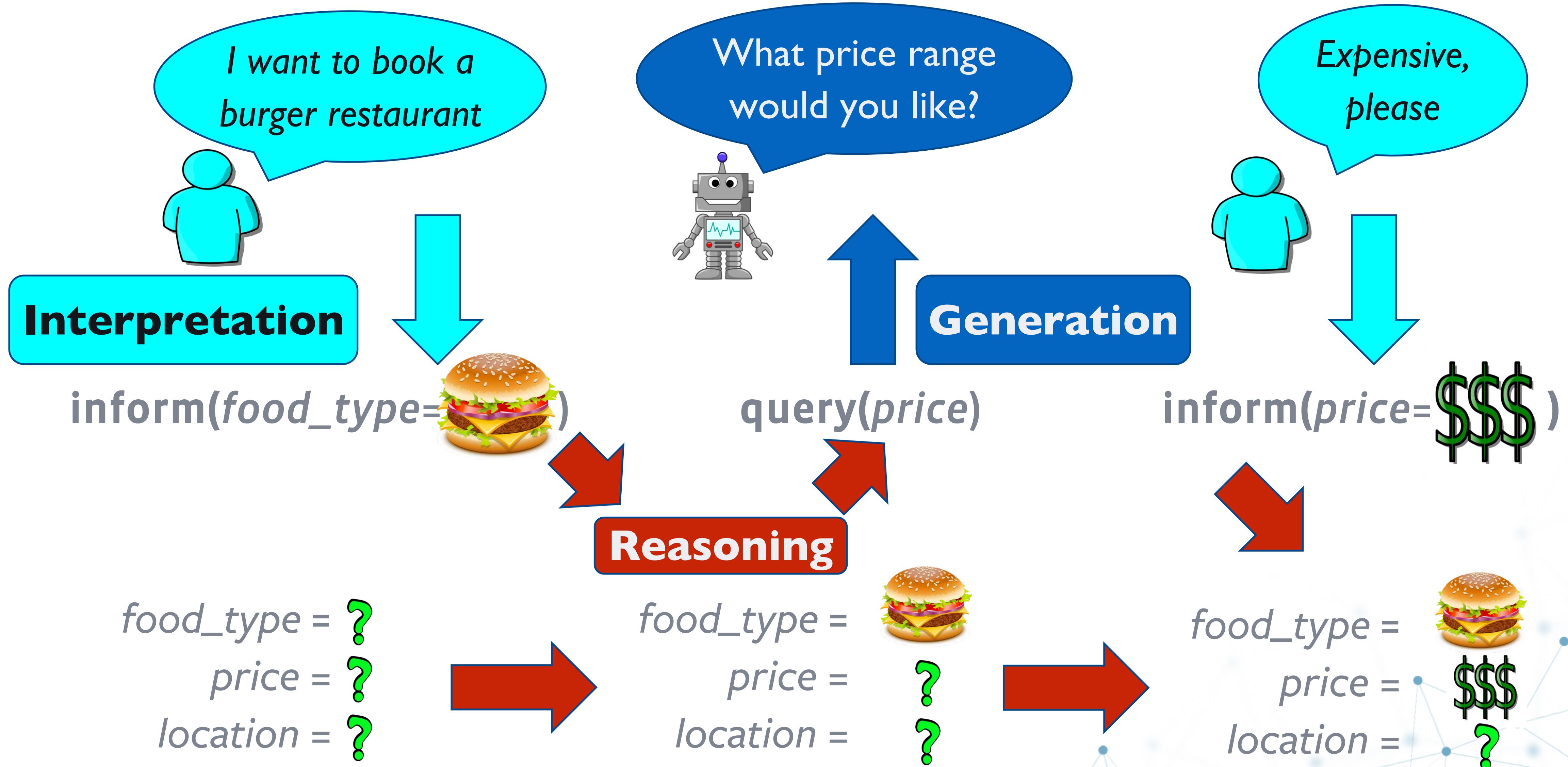
Object Division Task

Dataset stats

Metric	Dataset
Number of Dialogues	5808
Average Turns per Dialogue	6.6
Average Words per Turn	7.6
Agreed (%)	80.1%
Average Score (/10)	6.0
Pareto Optimal (%)	76.9

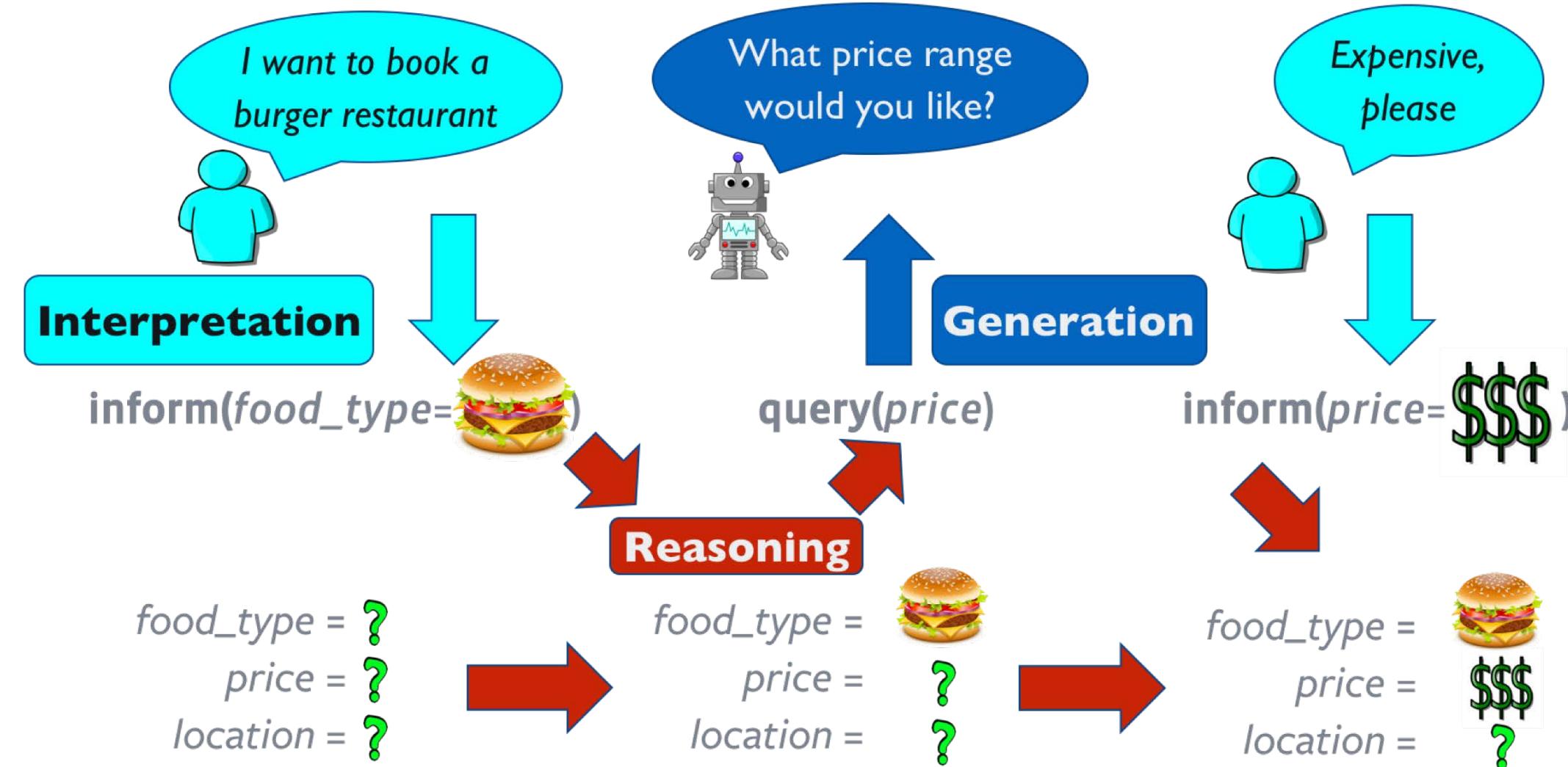
Models

Traditional Dialogue Models



Traditional Dialogue Models

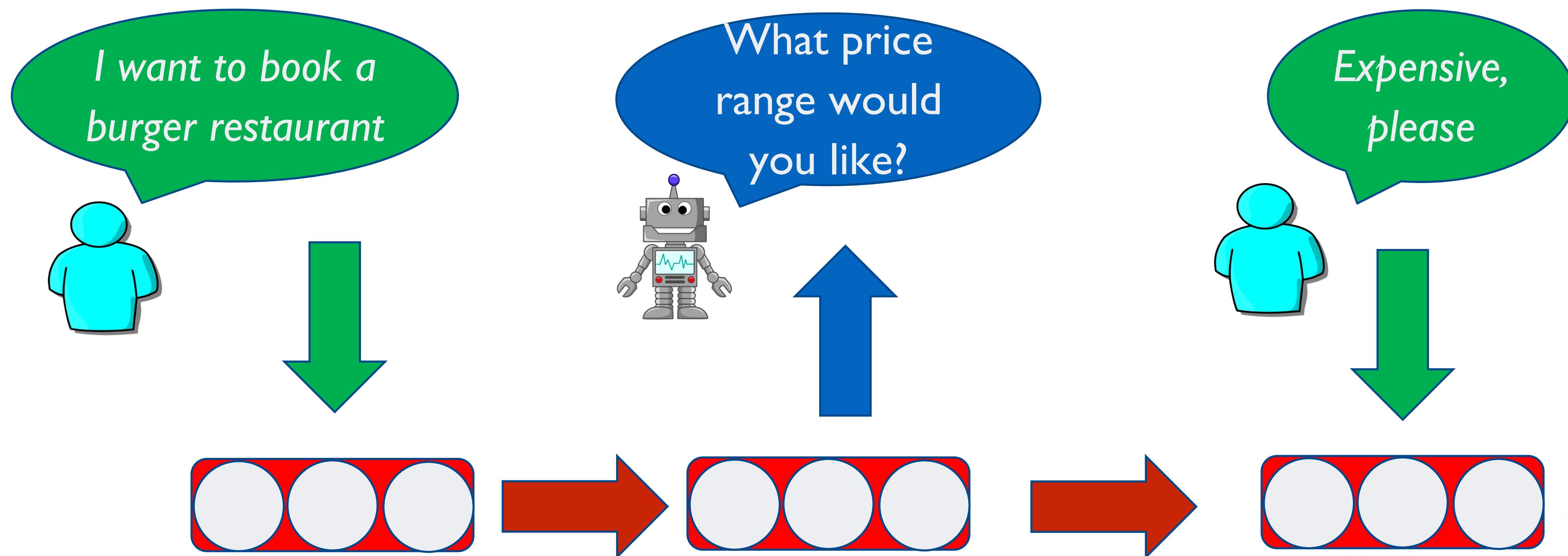
Cleanly separates ***interpretation***,
generation and ***reasoning***



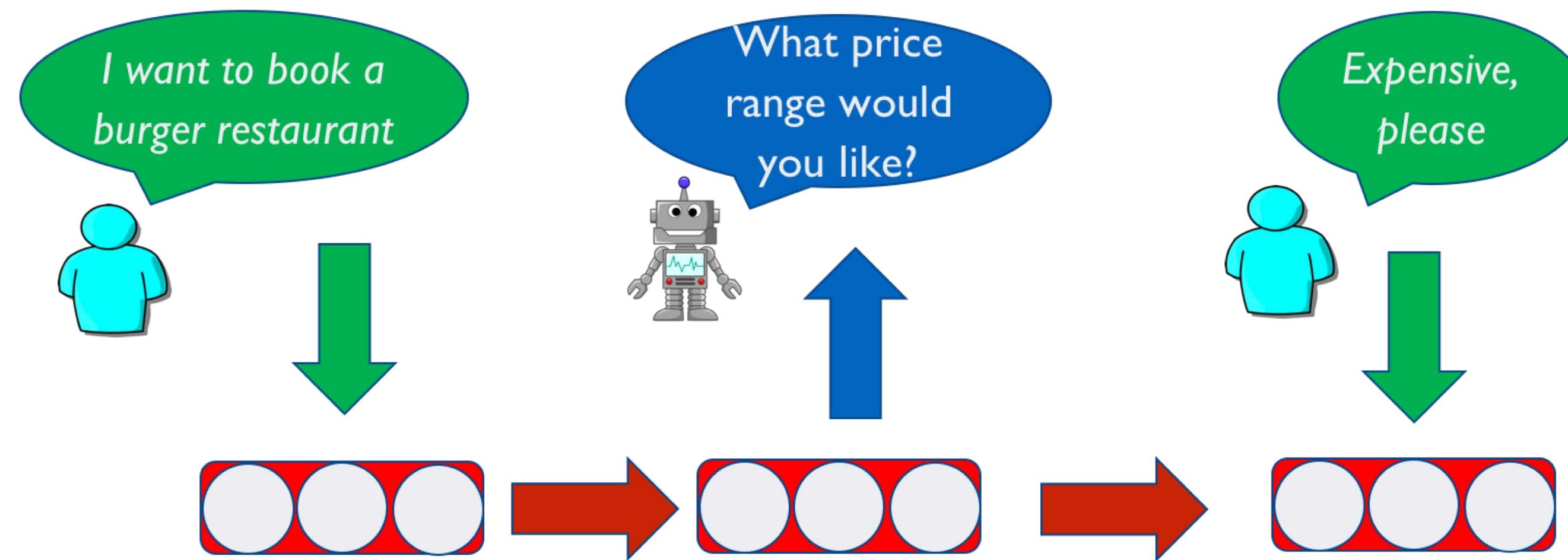
Assumes **annotated dialogue states**

- Expensive
- Task specific
- Not possible in general

End-to-End Dialogue Models



End-to-End Dialogue Models

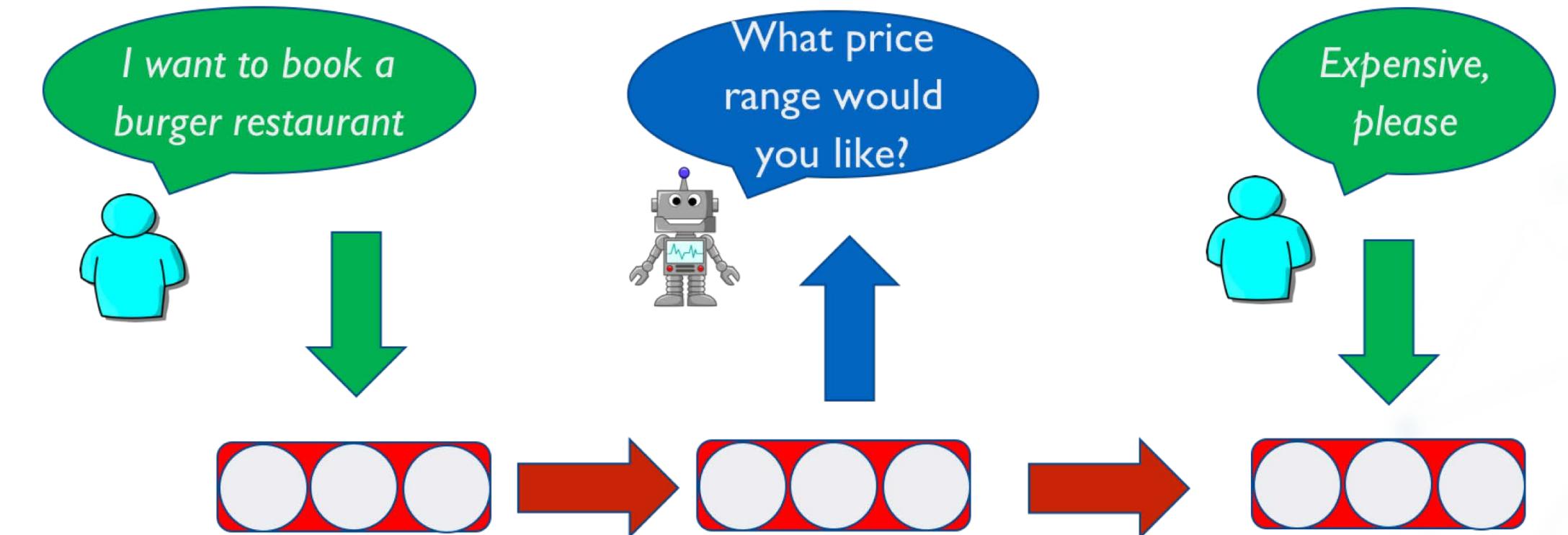


No rule-based generation

No symbolic reasoning

End-to-End Dialogue Models

- **Single model** for interpretation, generation, reasoning
- **Learned representation** of dialogue state
- **Cheap** data collection
- Easy **multitasking**



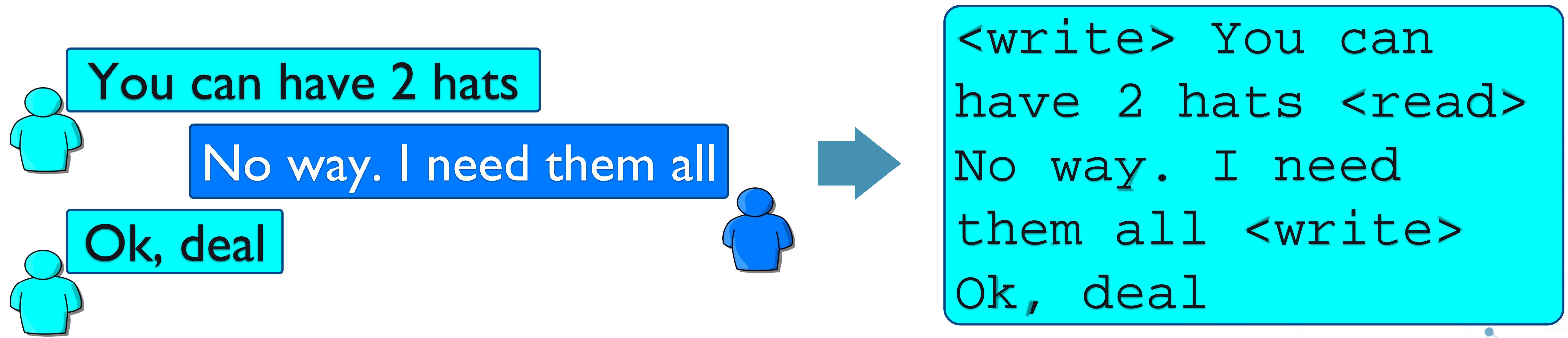
Can end-to-end models learn the
reasoning skills required for negotiation?

Baseline Model



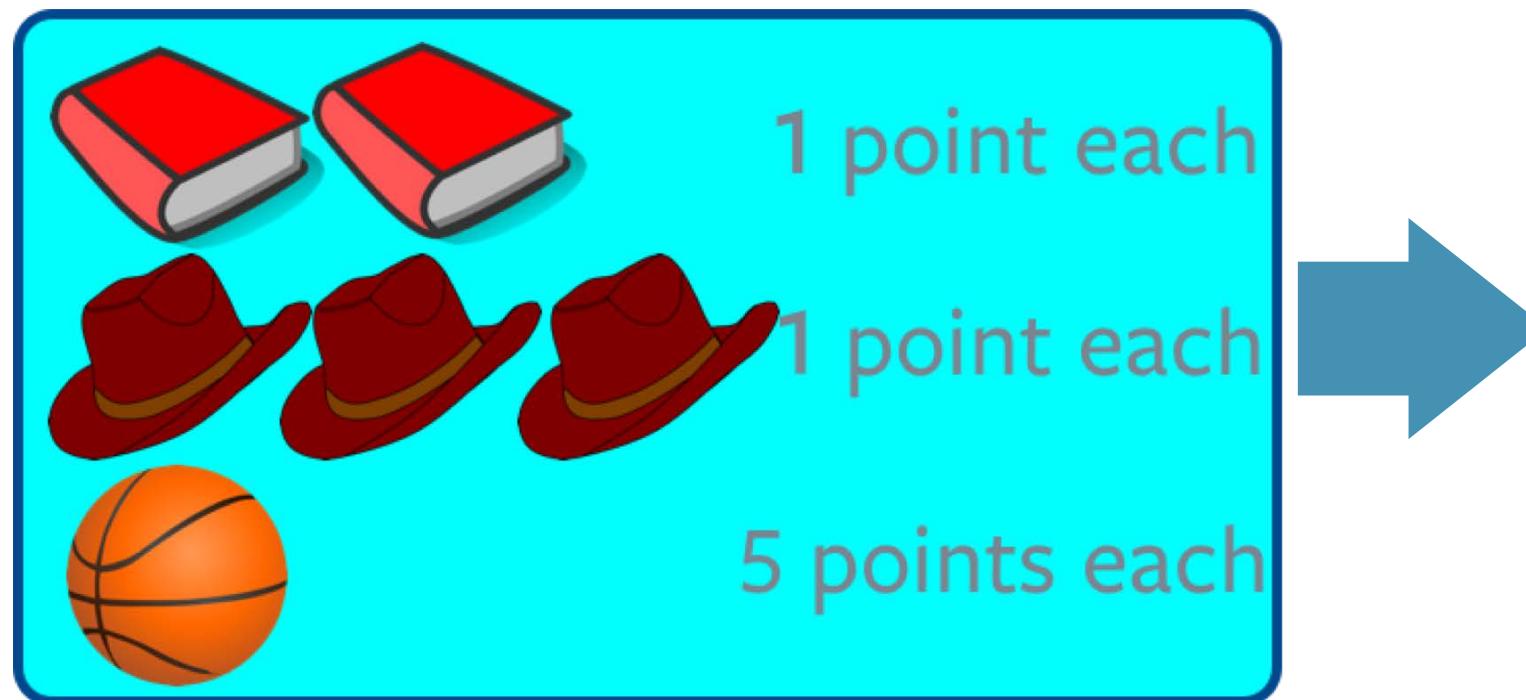
Baseline Model

1) Linearize dialogue into token sequence



Baseline Model

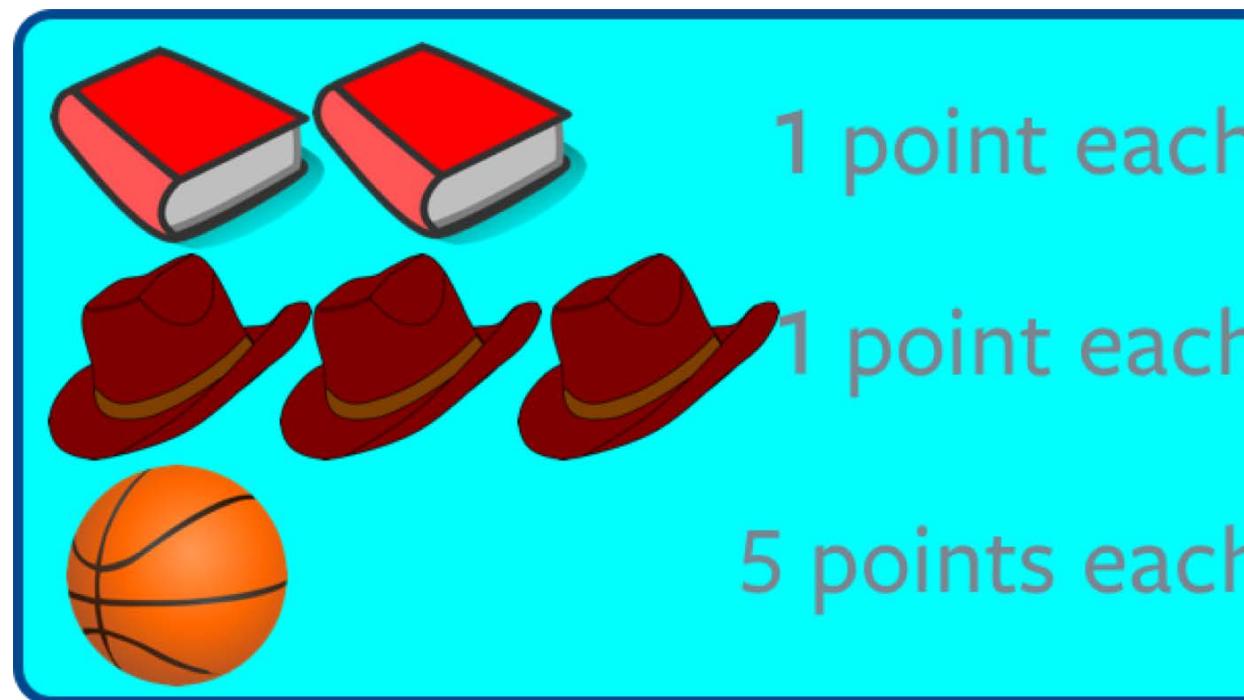
- 1) Linearize dialogue into token sequence
- 2) Train conditional language model to predict tokens



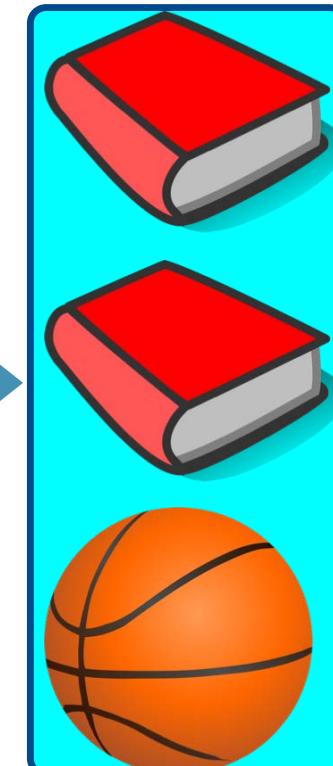
<write> You can have 2
hats <read> No way. I
need them all <write>
Ok, deal

Baseline Model

- 1) Linearize dialogue into token sequence
- 2) Train conditional language model to predict tokens
- 3) Train additional classifier to predict final deal

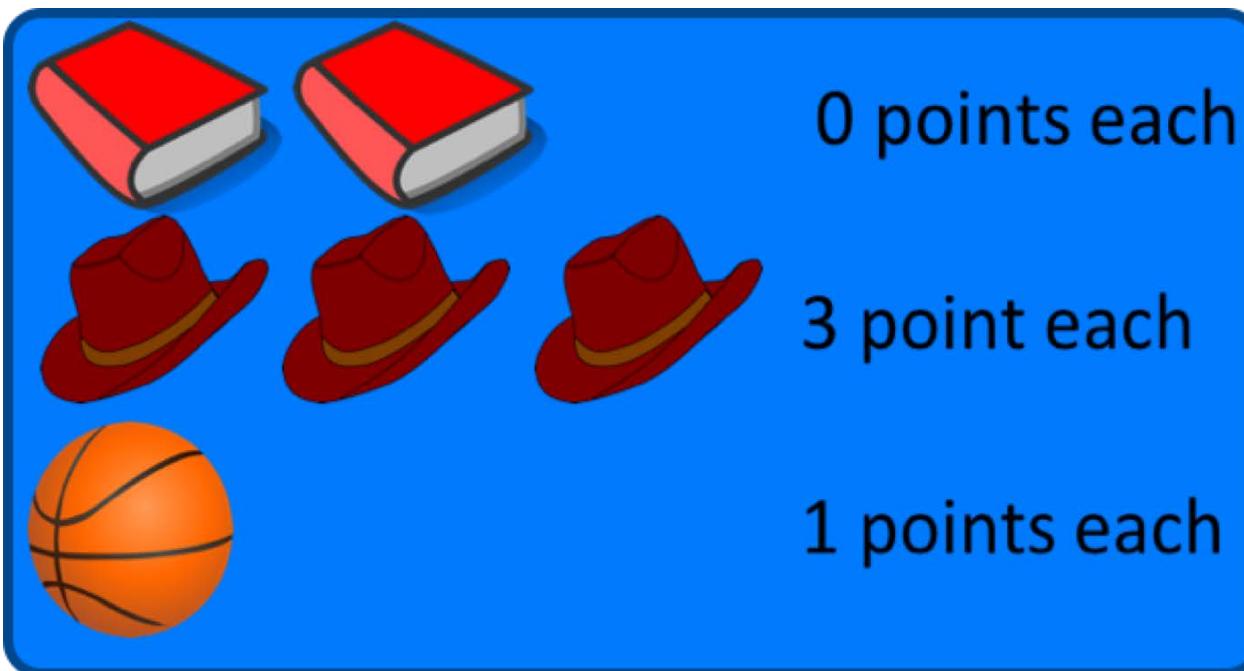


<write> You can have 2
hats <read> No way. I
need them all <write>
Ok, deal

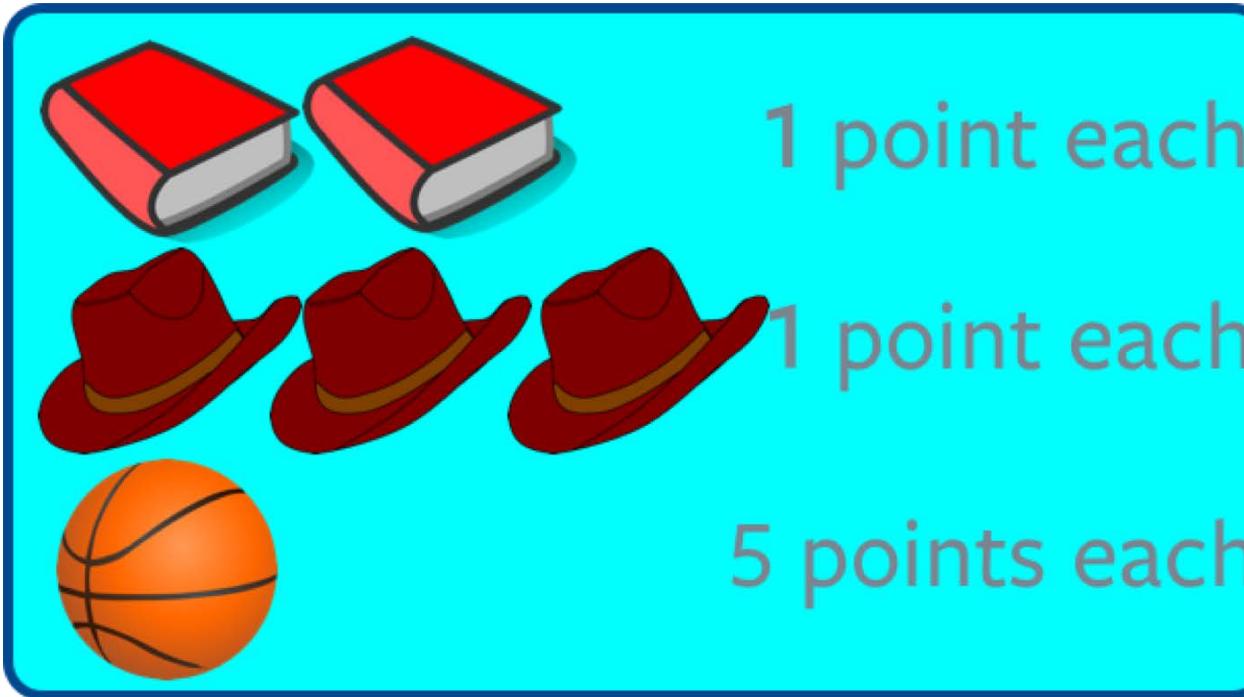
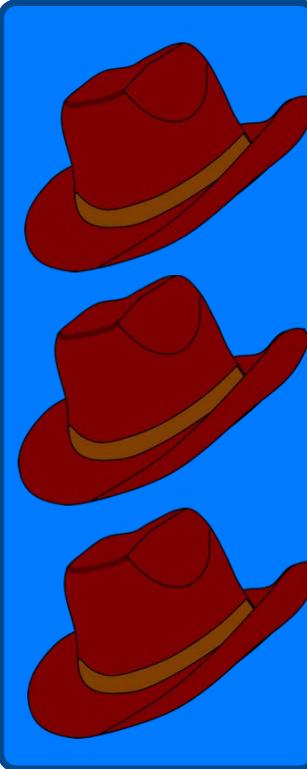
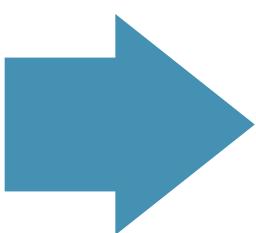


Baseline Model

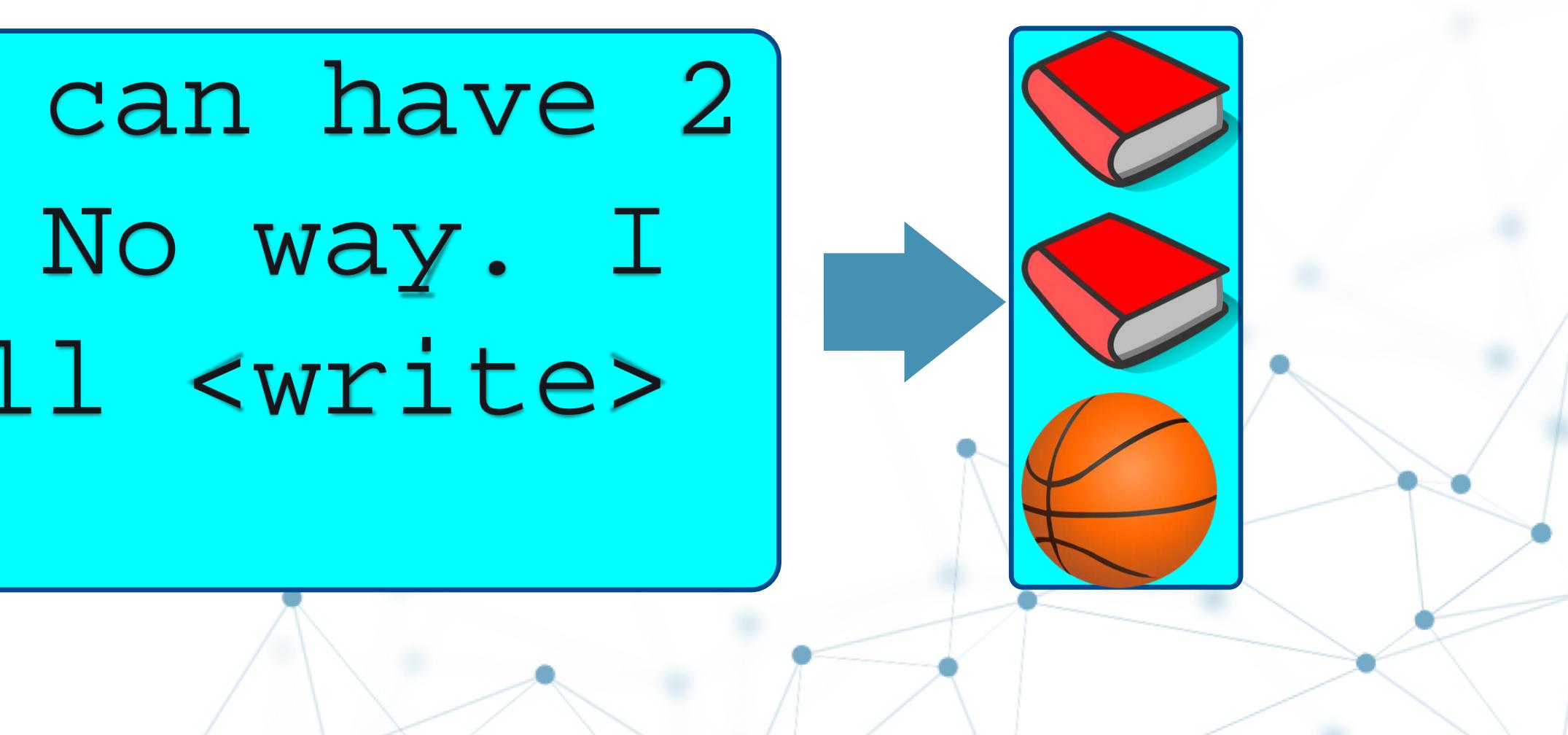
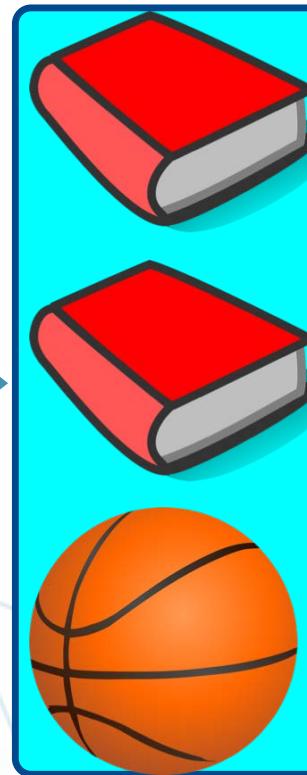
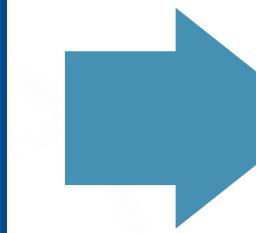
Repeat for each user's perspective



<read> You can have 2
hats <write> No way. I
need them all <read>
Ok, deal

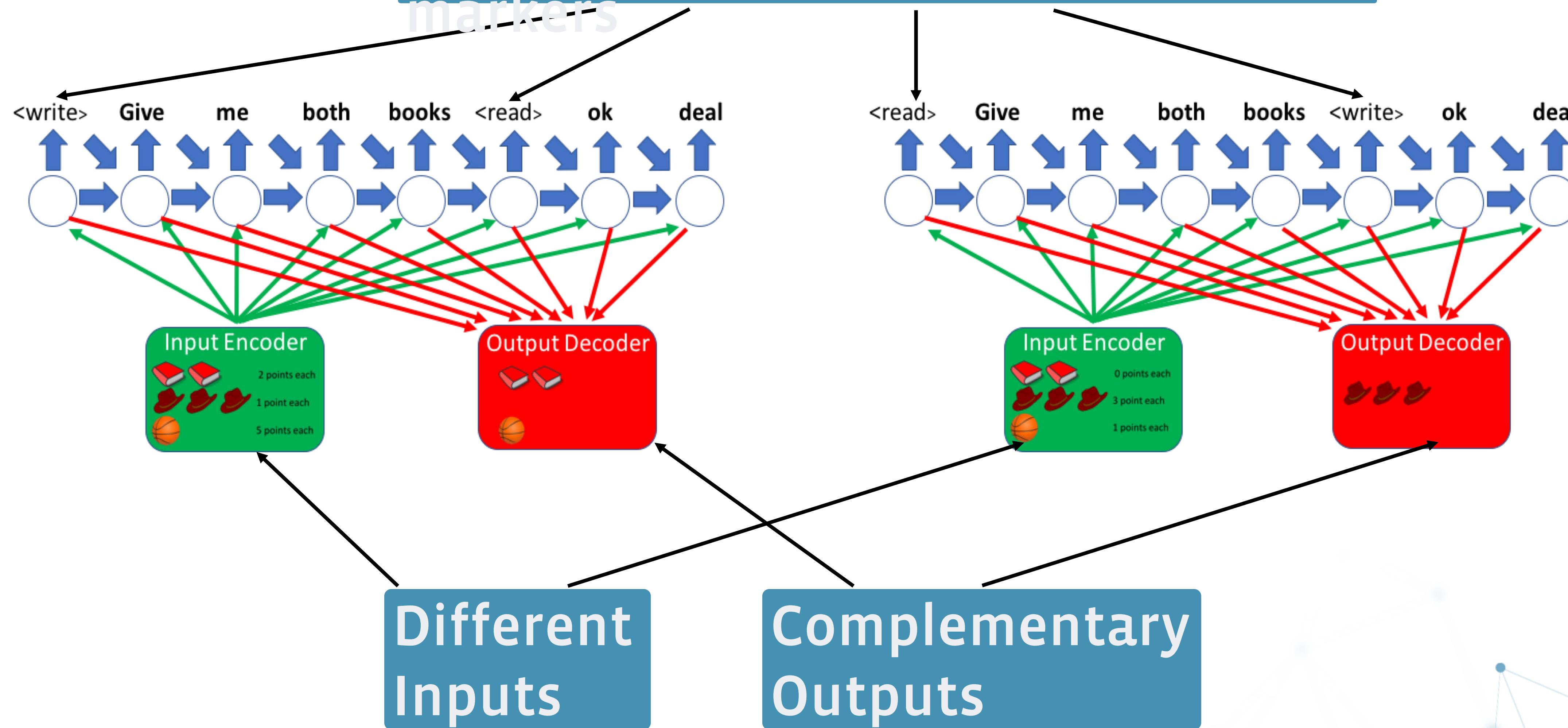


<write> You can have 2
hats <read> No way. I
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Ok, deal

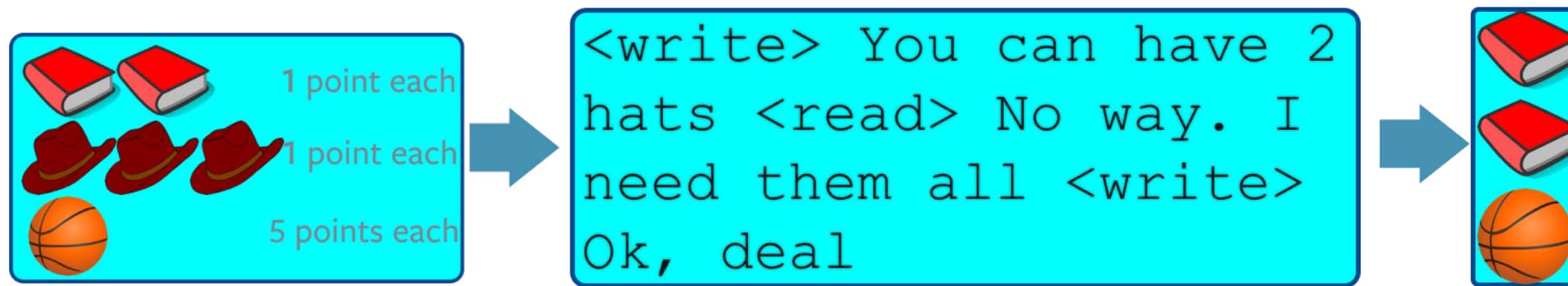


Baseline Model

Opposite *<read>* and *<write>*
markers



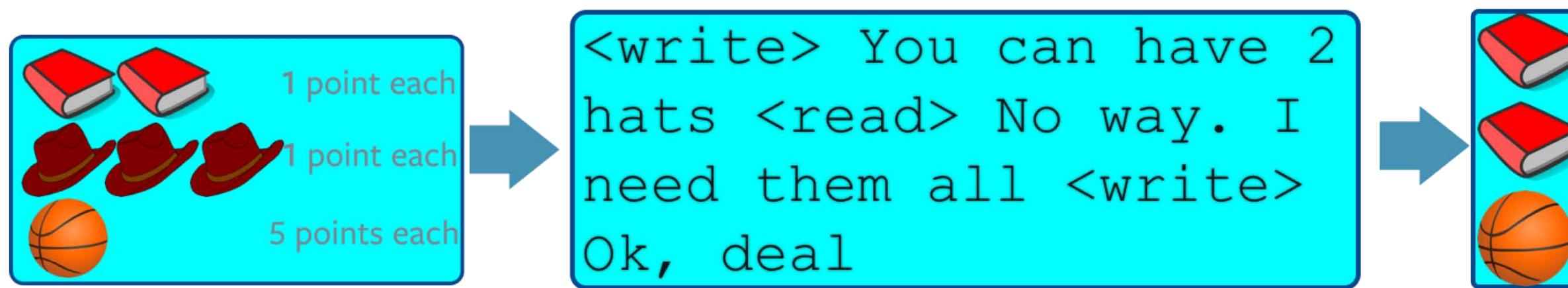
Baseline Model



Train to maximize likelihood of human-human dialogues

Decode by sampling likely messages

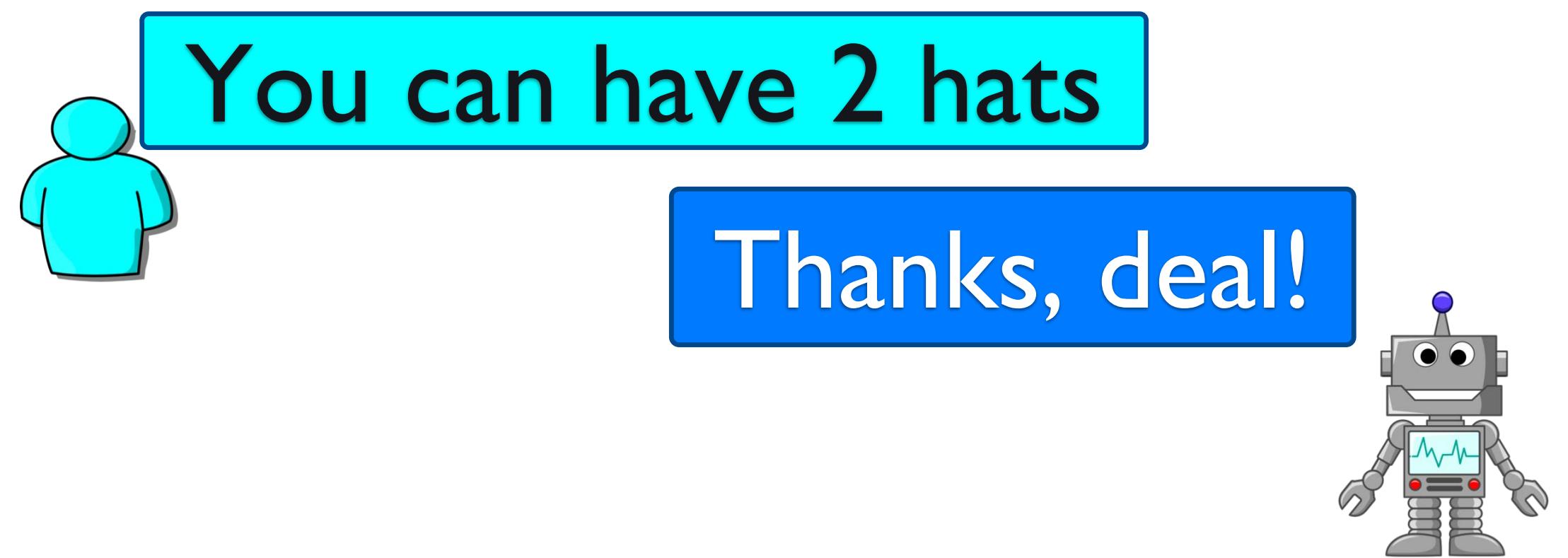
Baseline Model



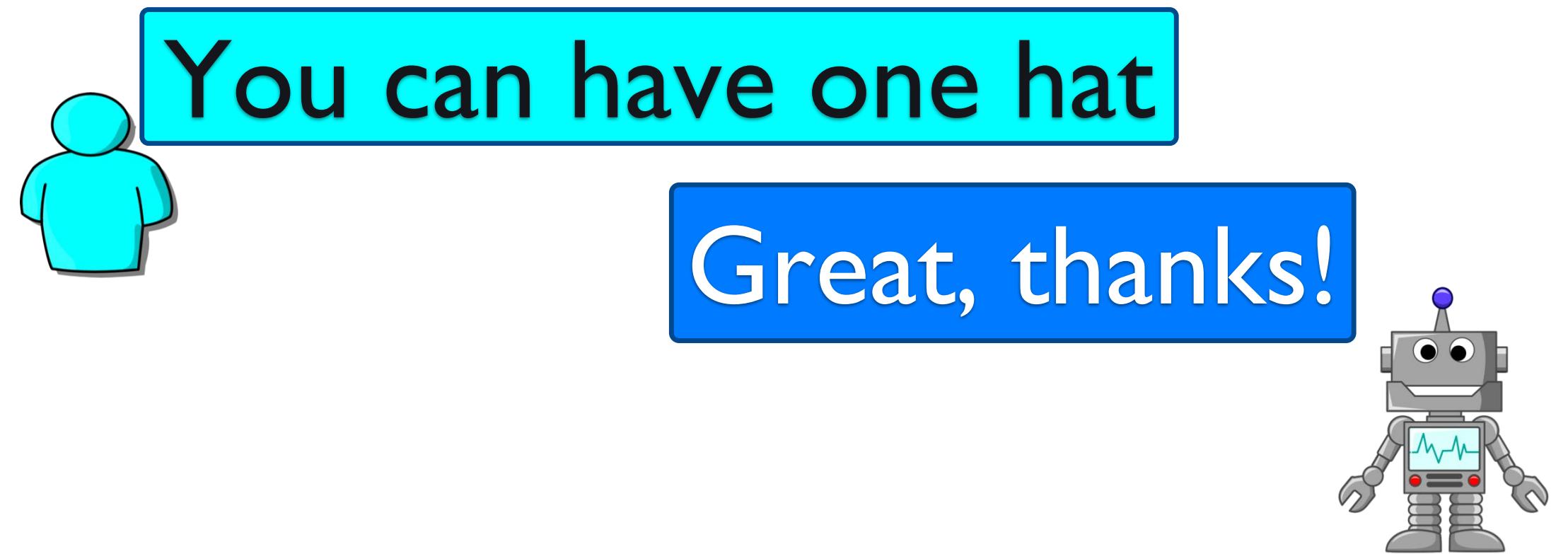
Simple and efficient

**Allows forward
modelling**

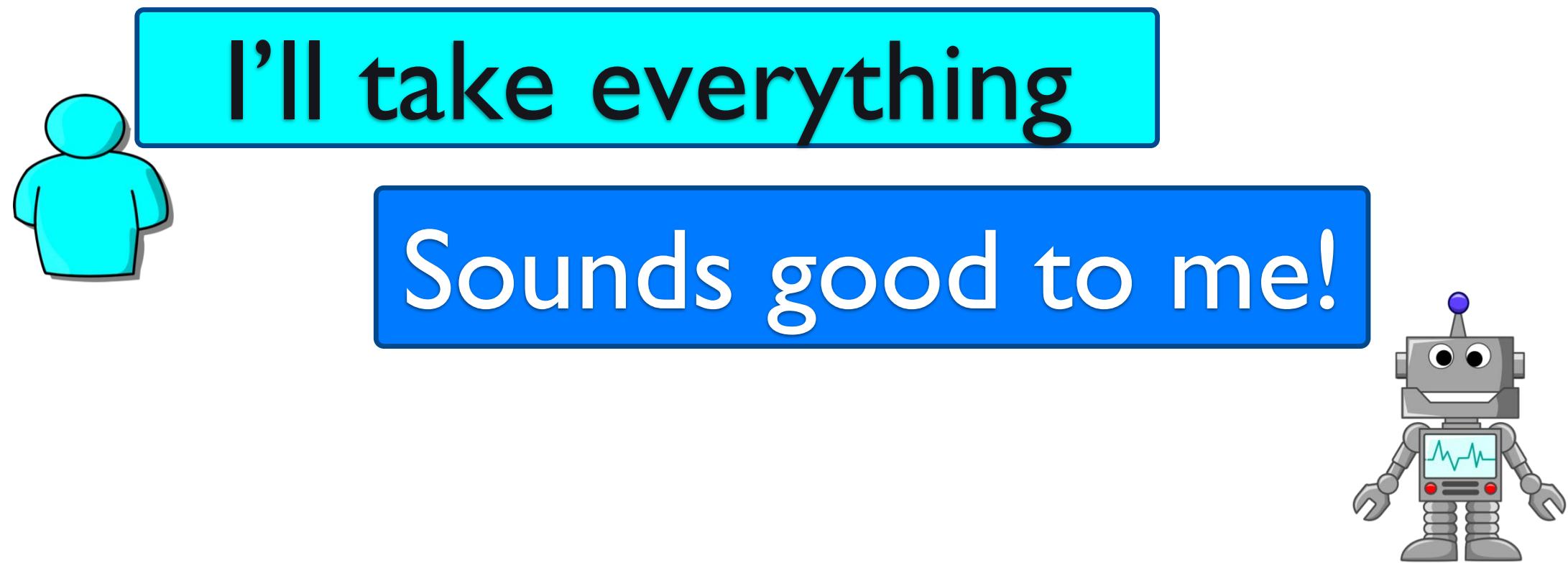
Baseline Model



Baseline Model

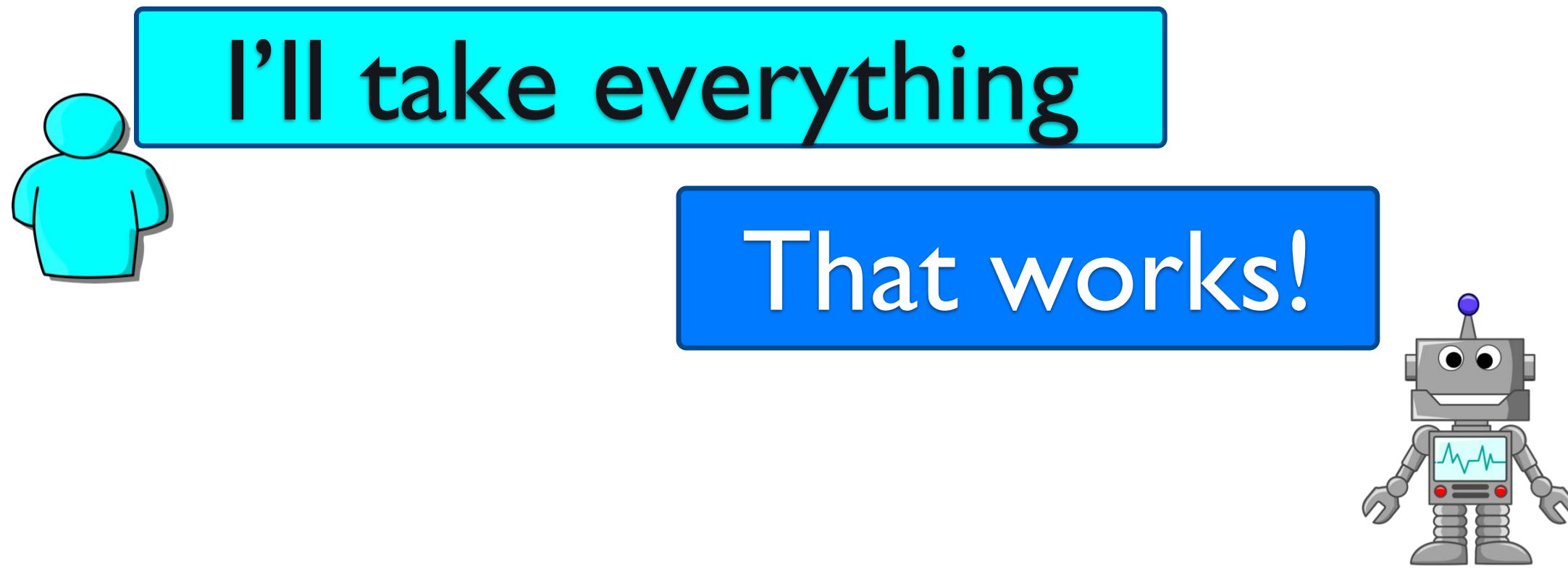


Baseline Model



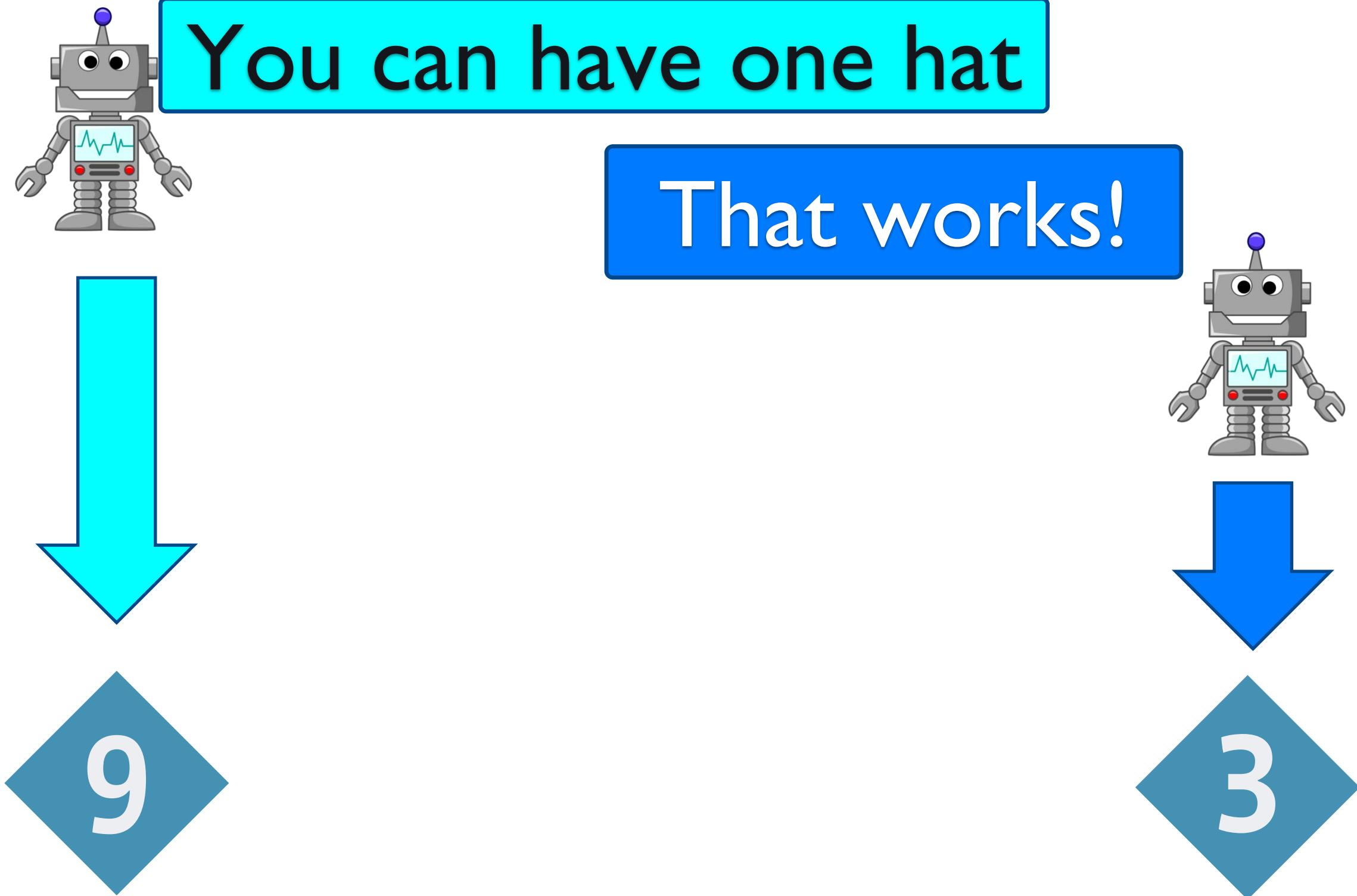
Similar findings with other end-to-end dialogue models
(e.g. Li et al, 2016)

Baseline Model



- Model knows *nothing* about task, just tries to imitate human actions
- Agrees too easily
- Can't go beyond human strategies

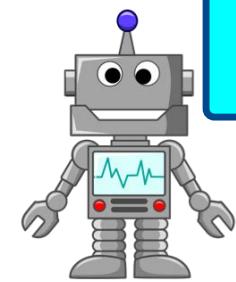
Goal-based Training



1) Generate dialogues
using **self play**

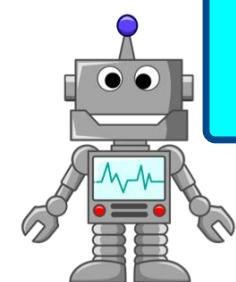
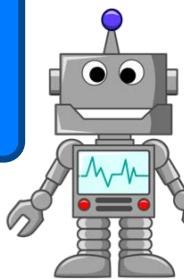
2) **Backpropagate**
(normalized) reward
using REINFORCE

Goal-based Training



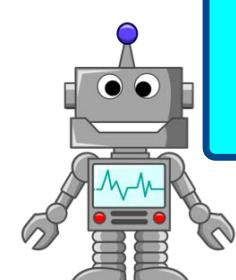
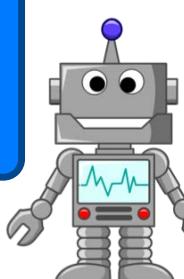
i can i i everything else

balls have zero to me to me to me to
me to me to me to me to me to me to me



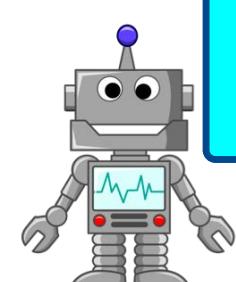
you i everything else

balls have a ball to me to me to me to me
to me to me to me



i i can i i i everything else

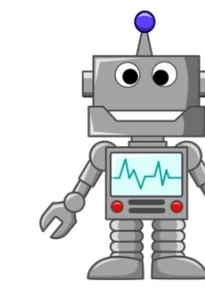
balls have a ball to me to me to me to me to me to



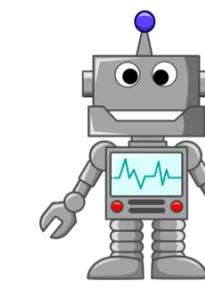
i



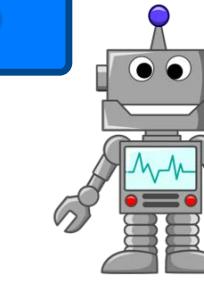
Goal-based Training



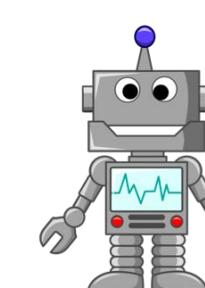
You can have 2 hats, I'll take the rest



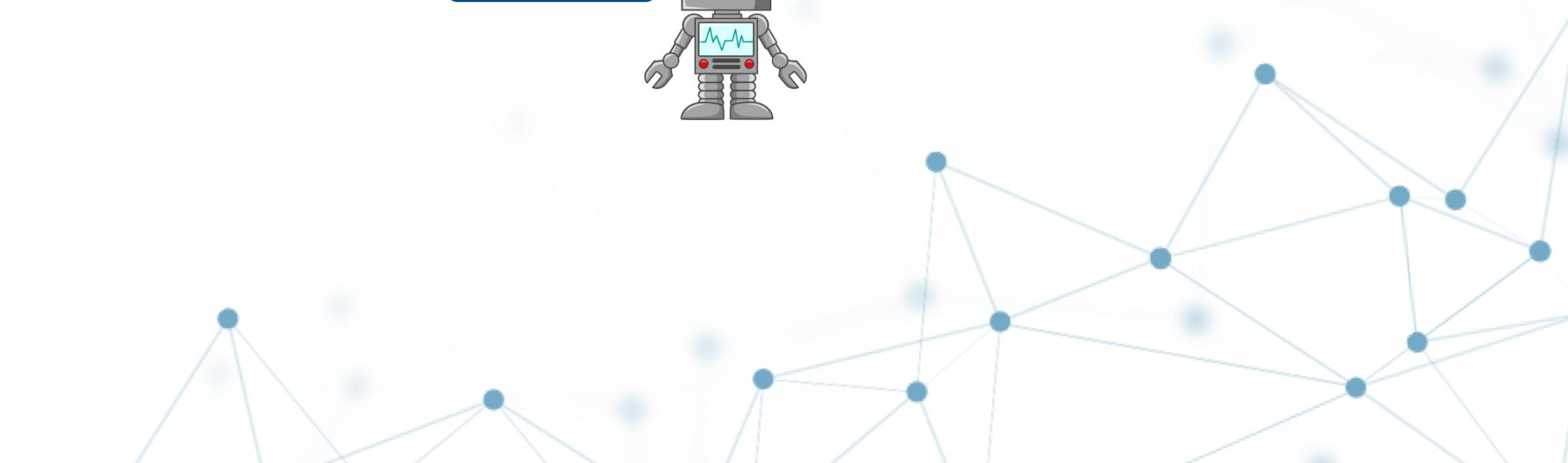
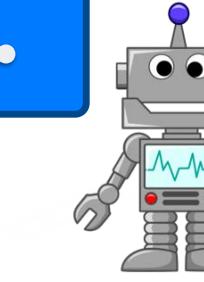
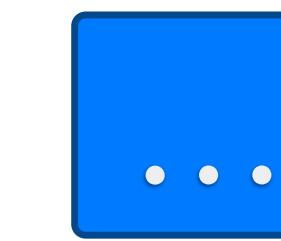
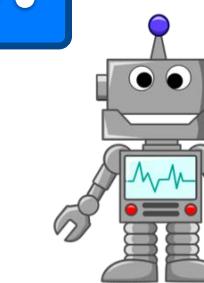
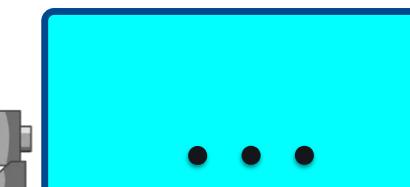
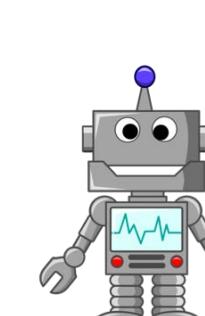
No deal, I need all 3 hats



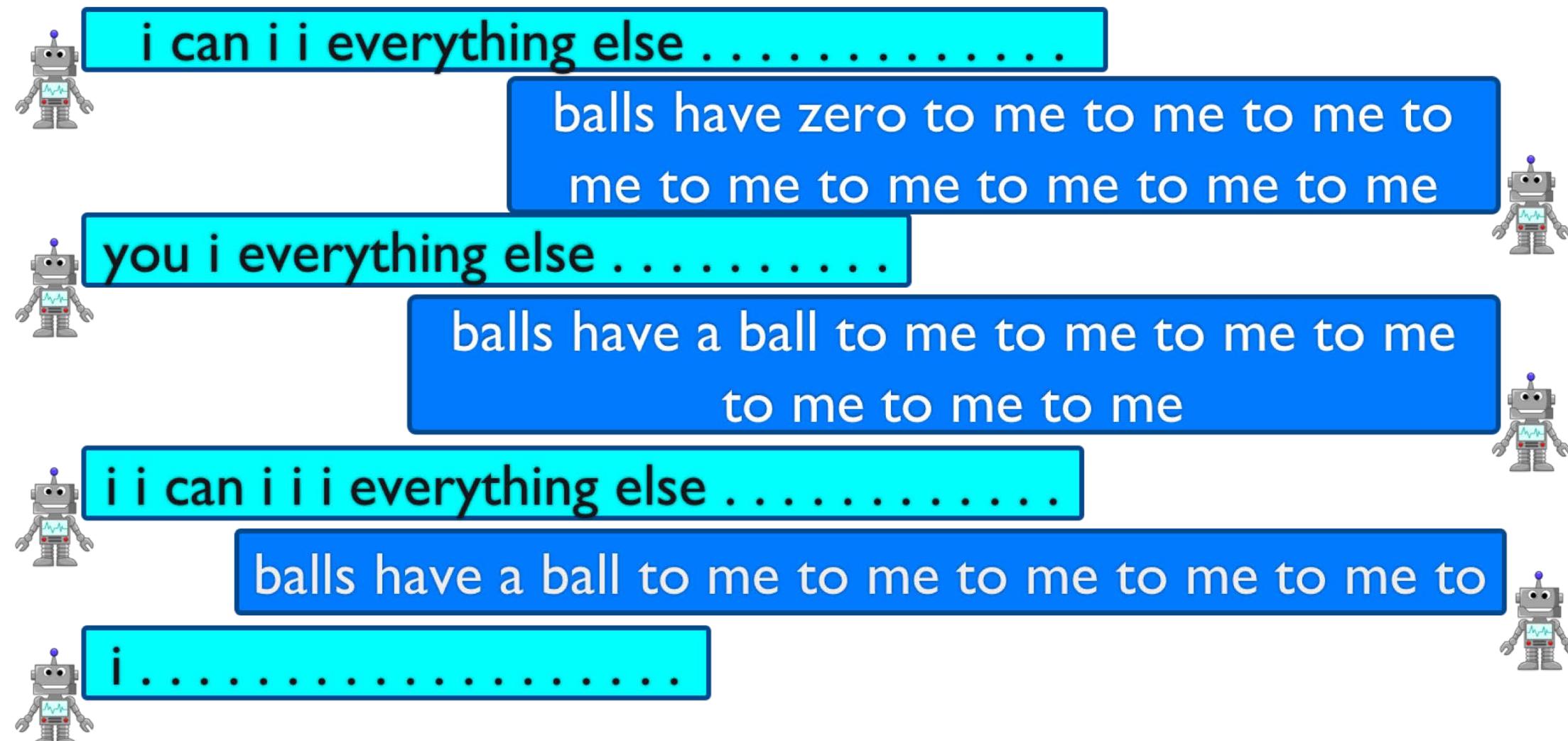
No, you get 2 hats



2 hats to you, final offer



Goal-based Training



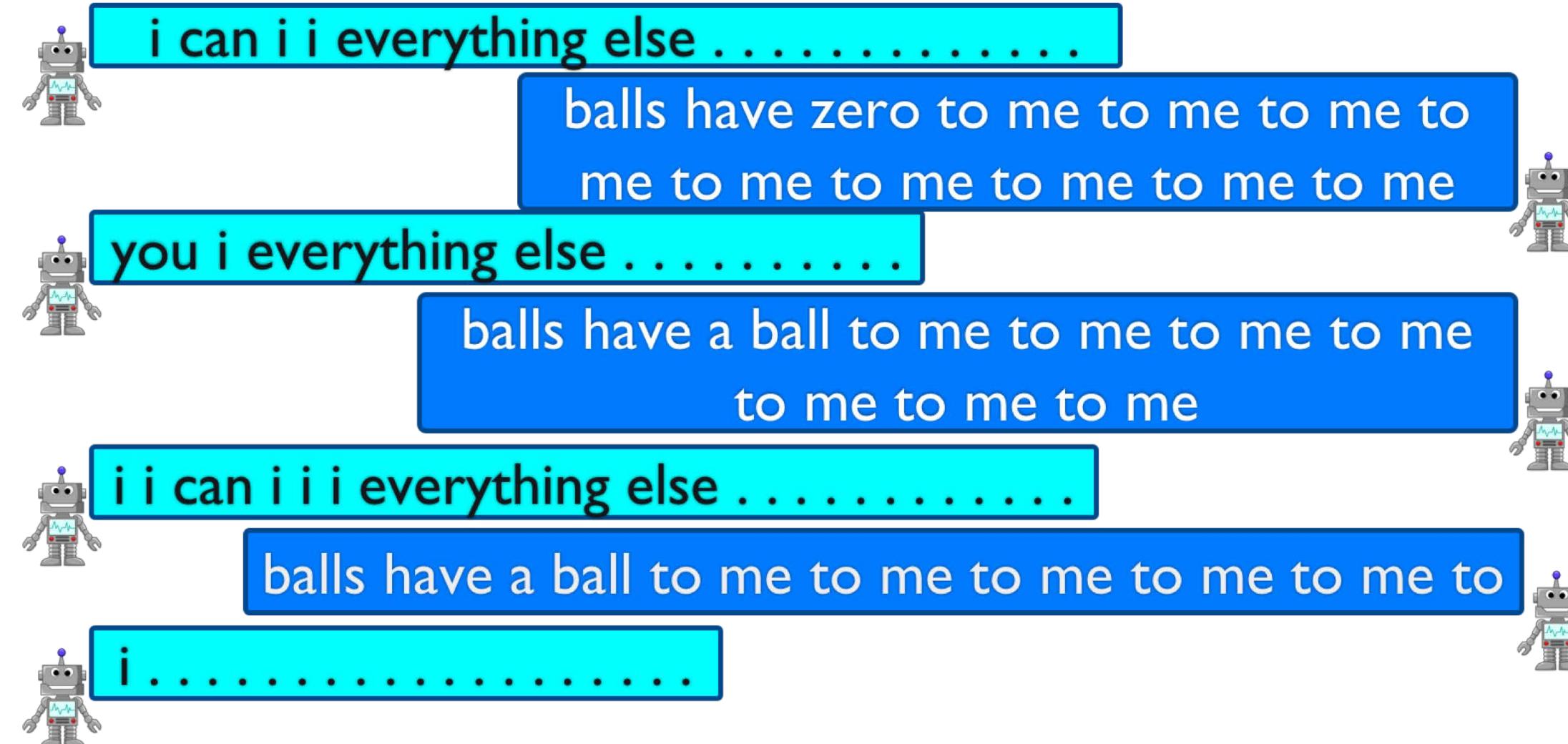
1) Generate dialogues using **self play**

2) **Backpropagate** (normalized)
reward using REINFORCE

3) To maintain **human-like language**:

- Fix one model
- Interleave supervised updates

Goal-based Training



Reinforcement Learning

Much more **aggressive** negotiator

Sensitive to hyperparameters

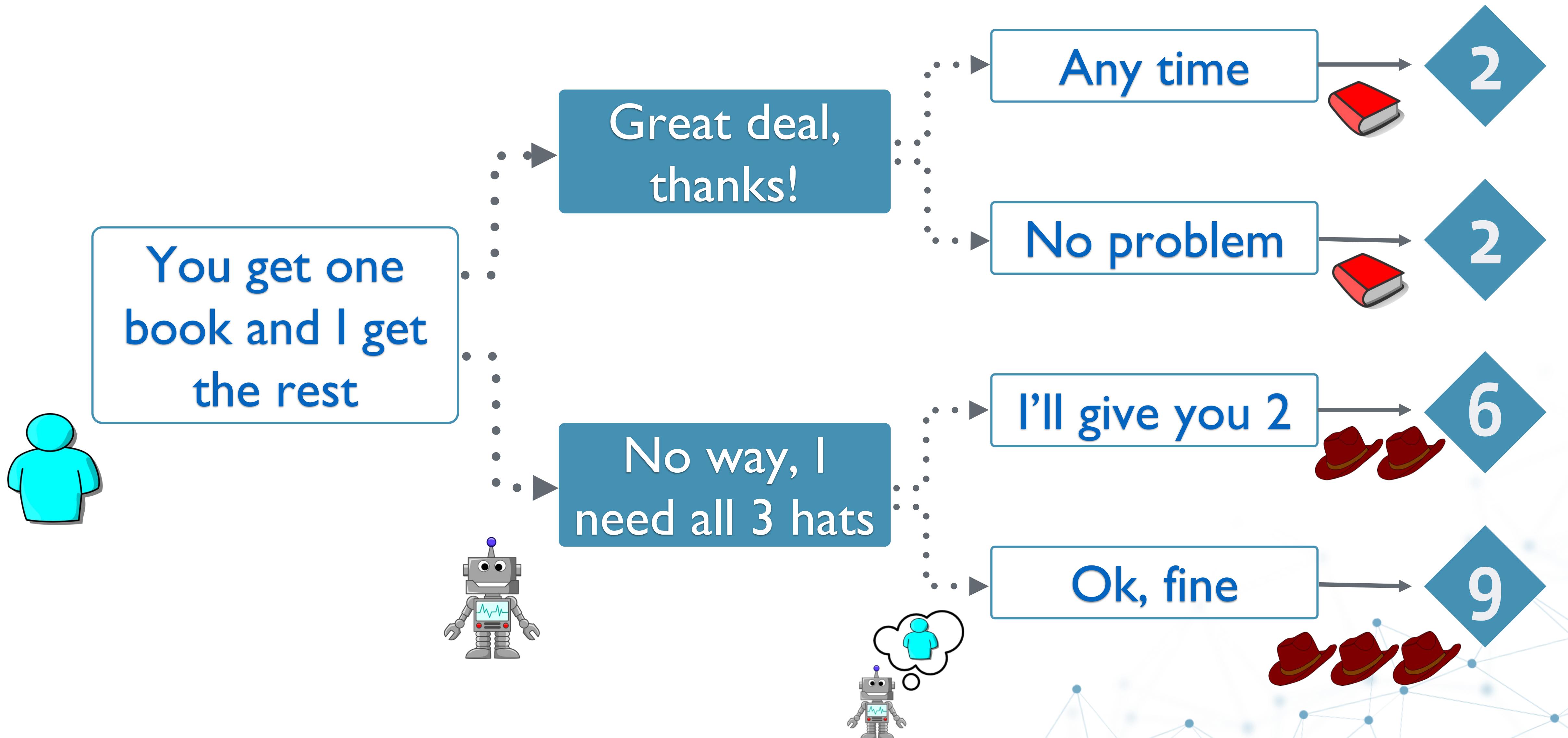
Diverges from human language

“Prediction is the essence of intelligence.”

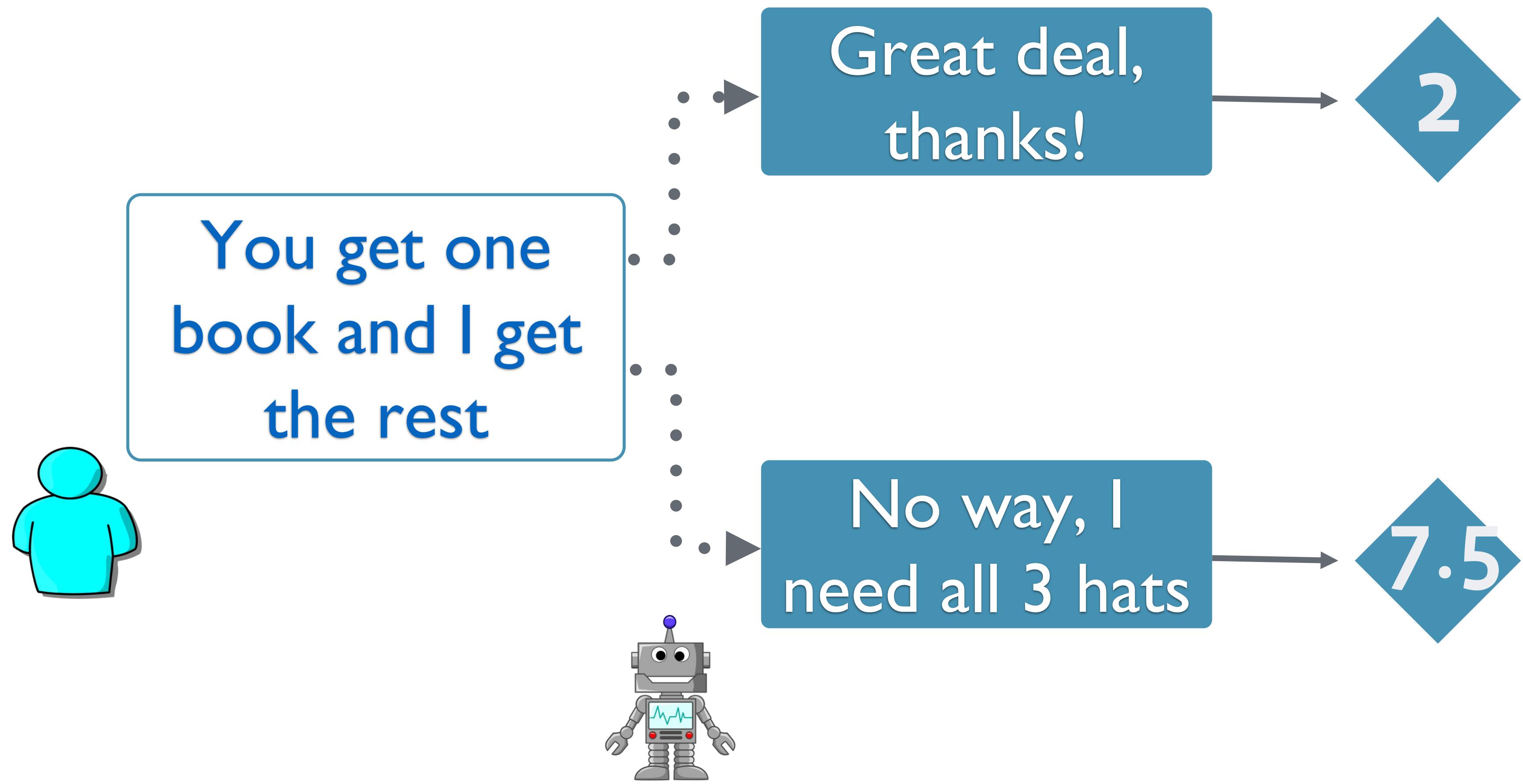


— Yann LeCun

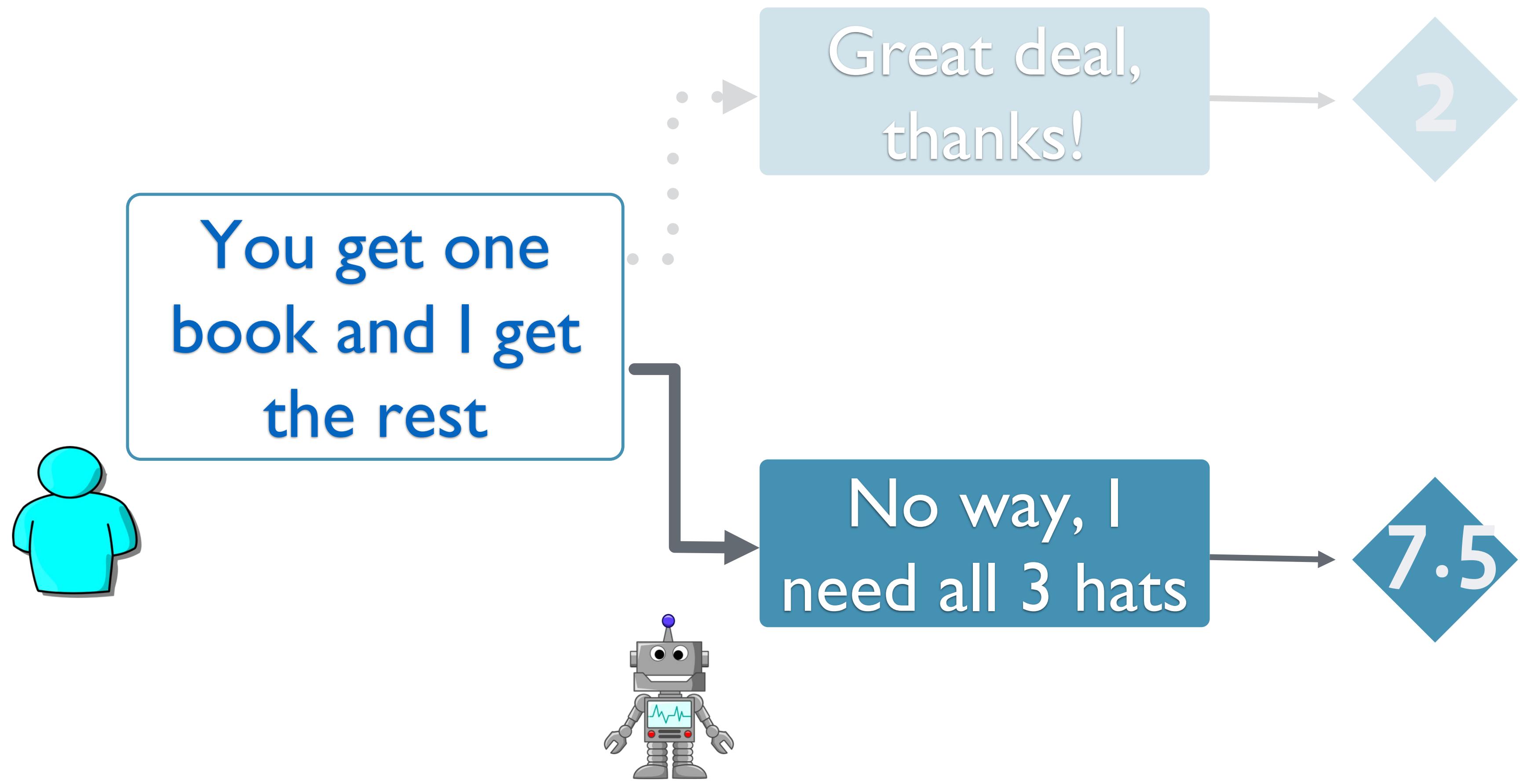
Goal-based Decoding



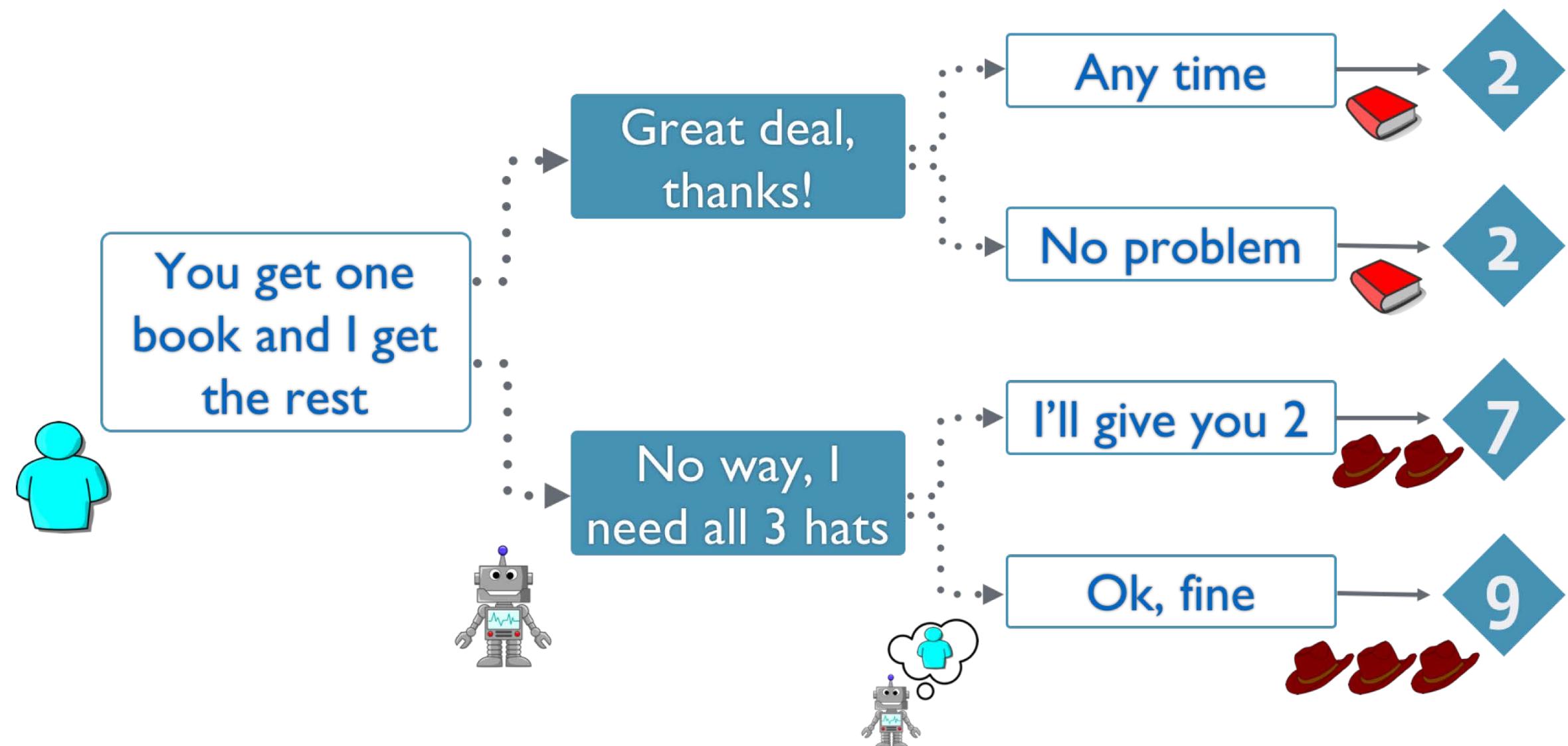
Goal-based Decoding



Goal-based Decoding



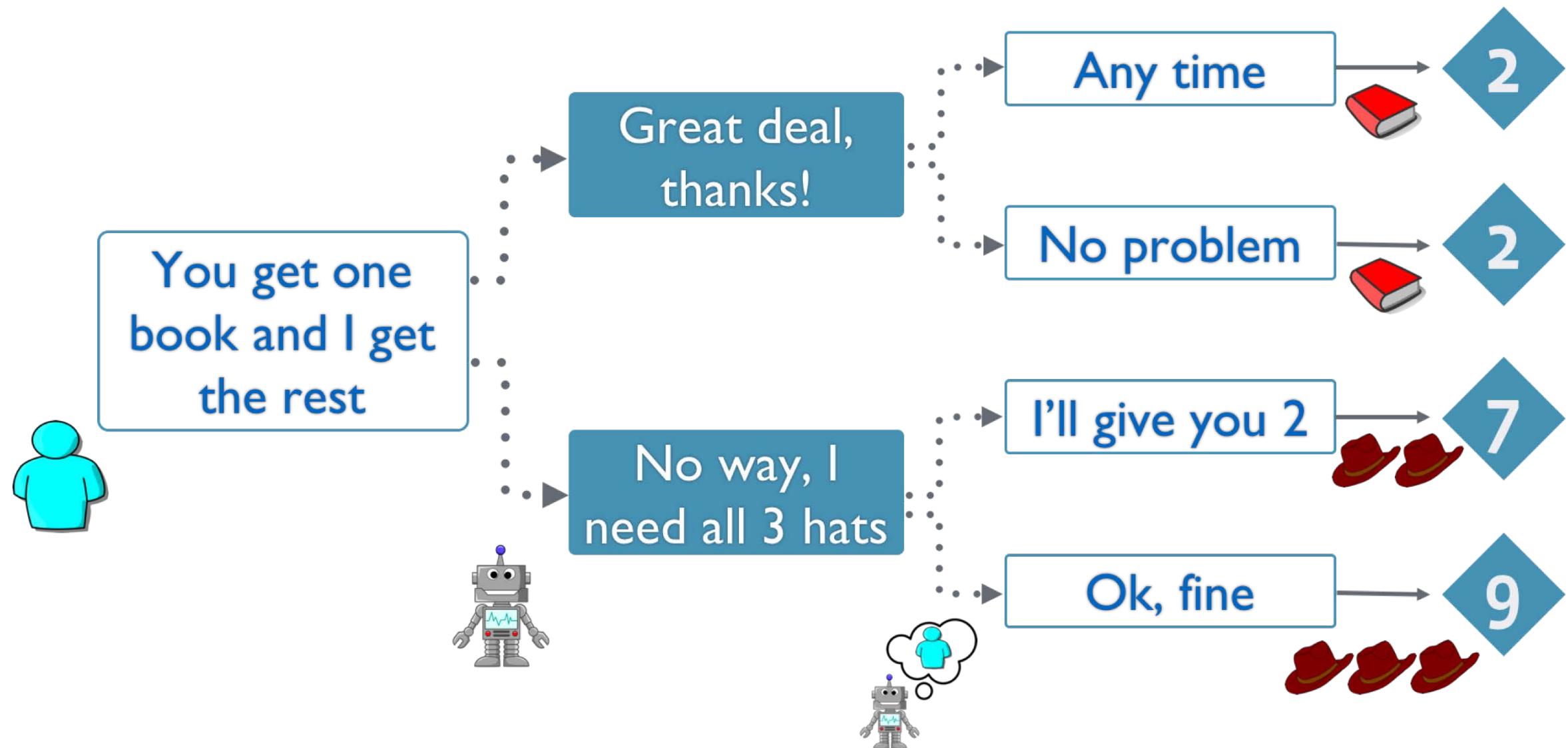
Goal-based Decoding



Dialogue Rollouts

- 1) Generate candidate set
- 2) Multiple rollouts to end of dialogue
- 3) Use move with maximum expected reward

Goal-based Decoding



Model understands
consequences of actions

Can go beyond human
strategies

Easy to implement

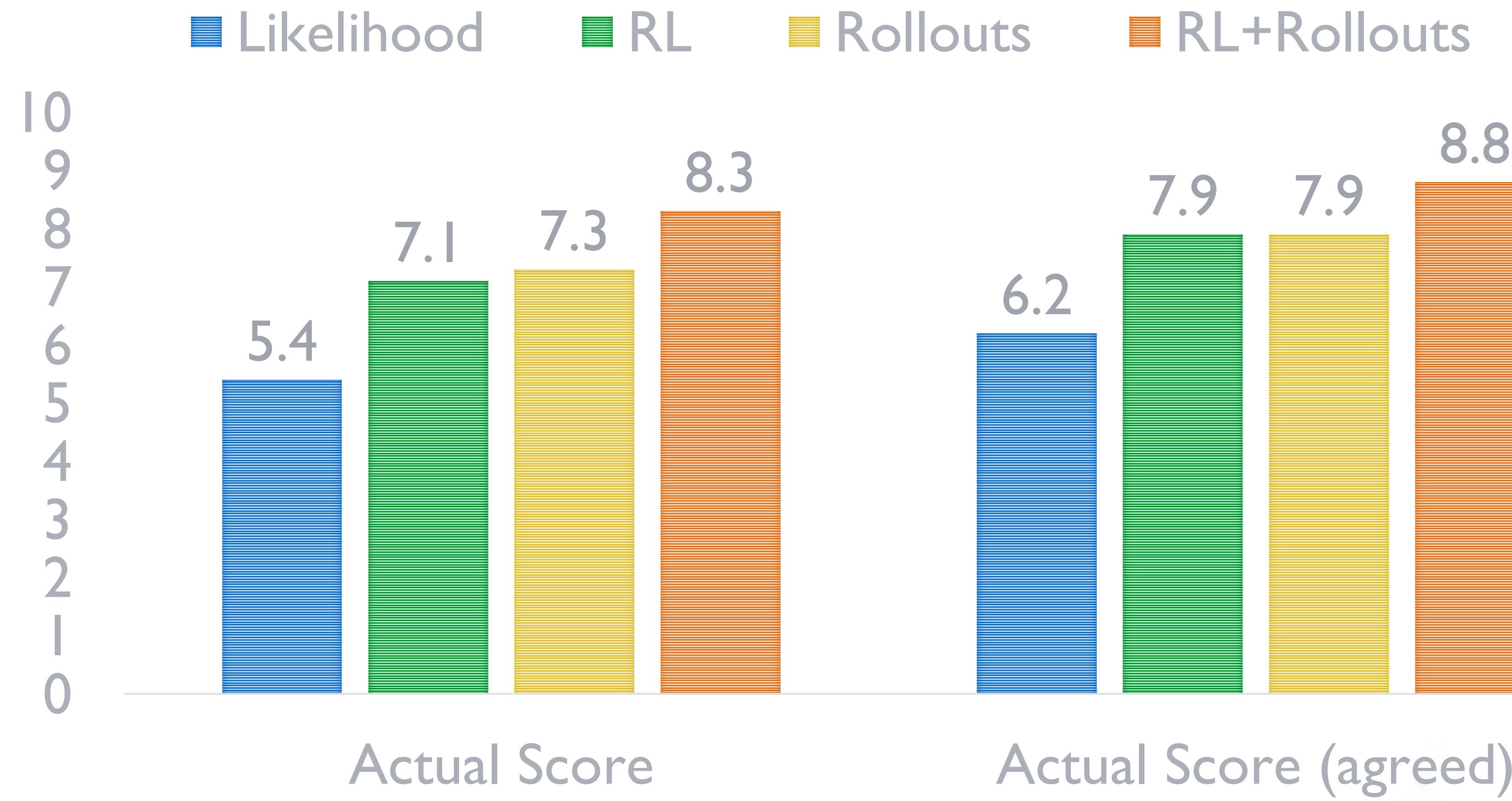
Experiments

Experiments

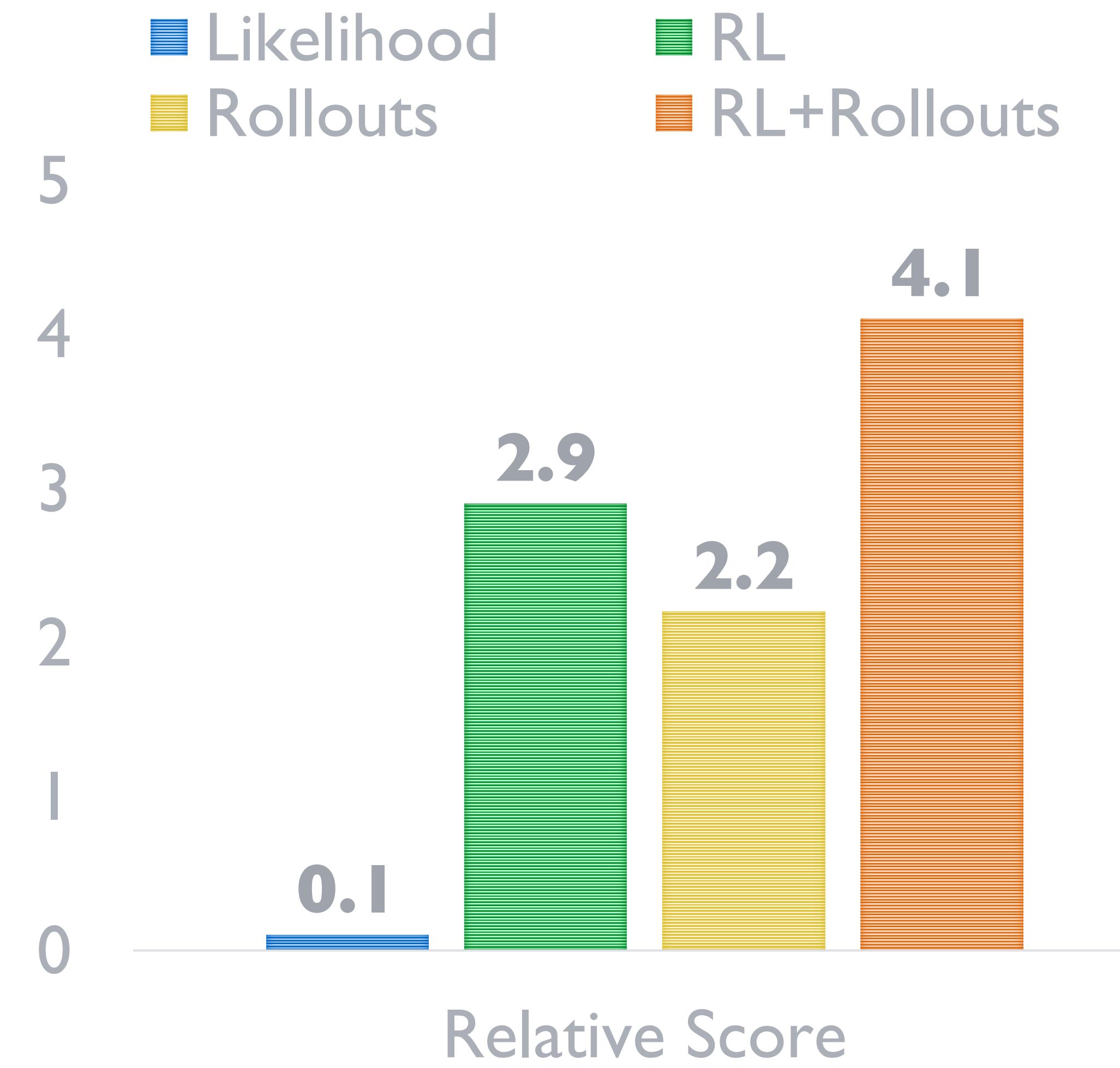
Models

- **Likelihood:** Train and decode to maximise likelihood
- **RL:** Fine tune using reinforcement learning
- **Rollouts:** Decode supervised model to maximise reward
- **RL+Rollouts:** Train and decode to maximize reward

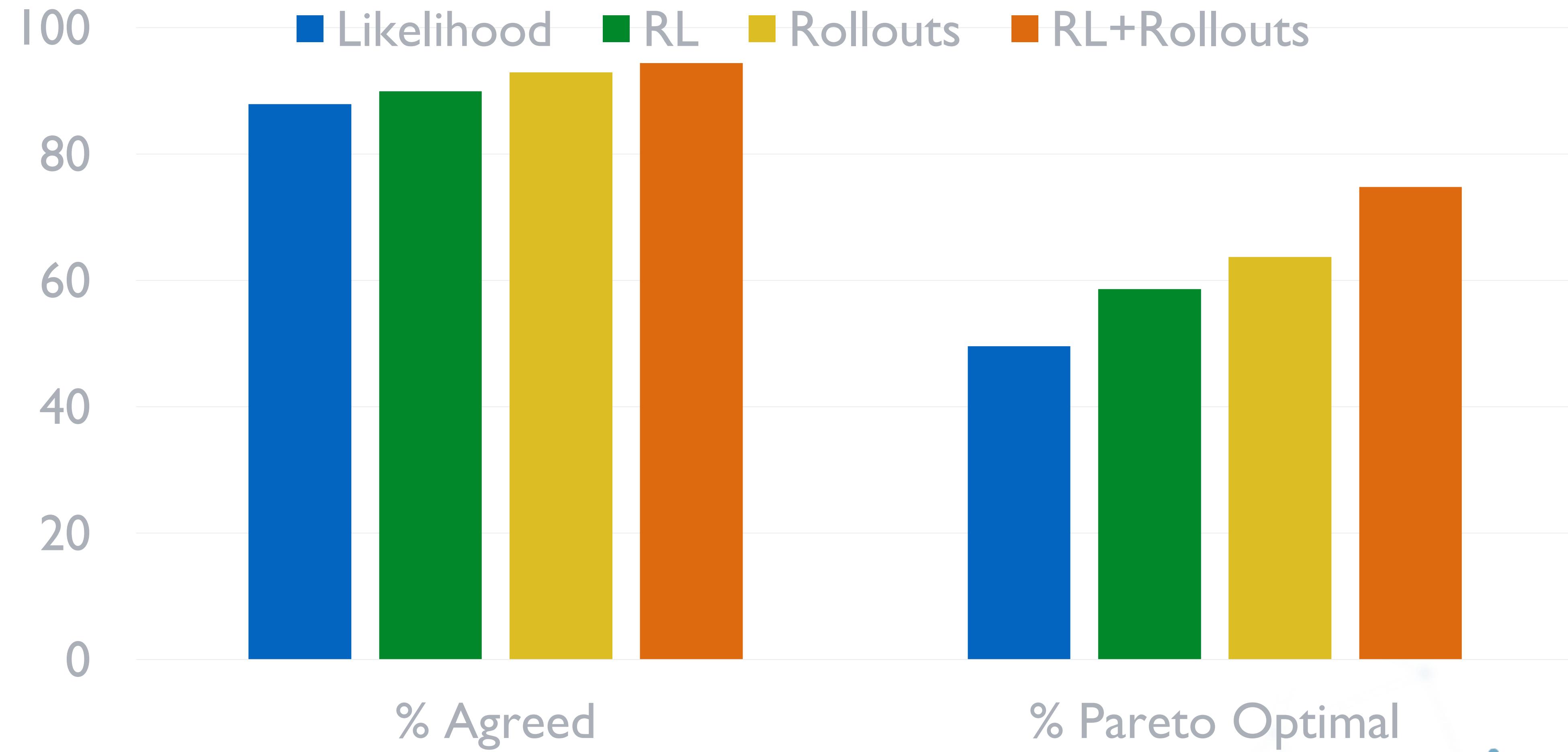
Evaluation vs. *Likelihood Agent*



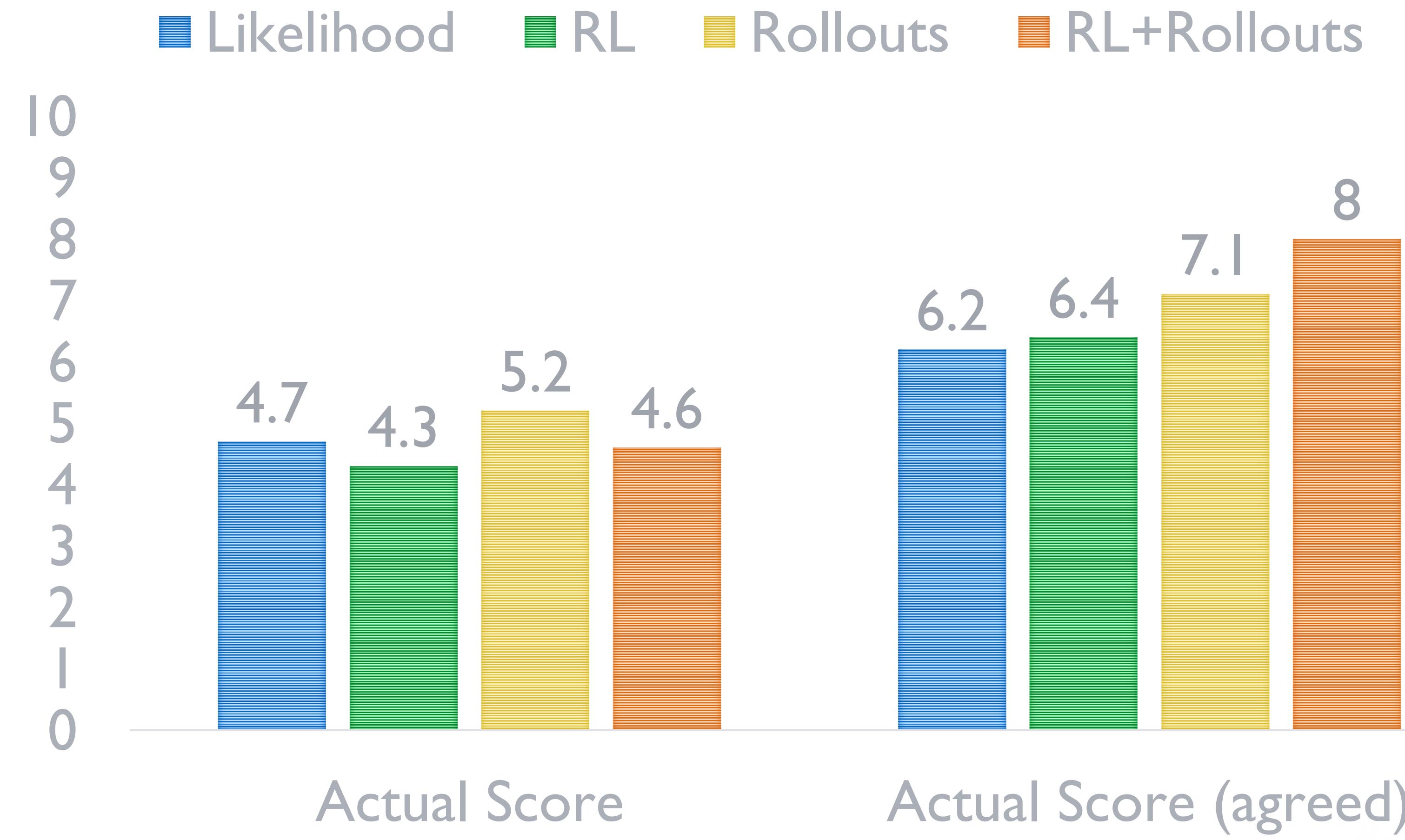
Evaluation vs. Likelihood Agent



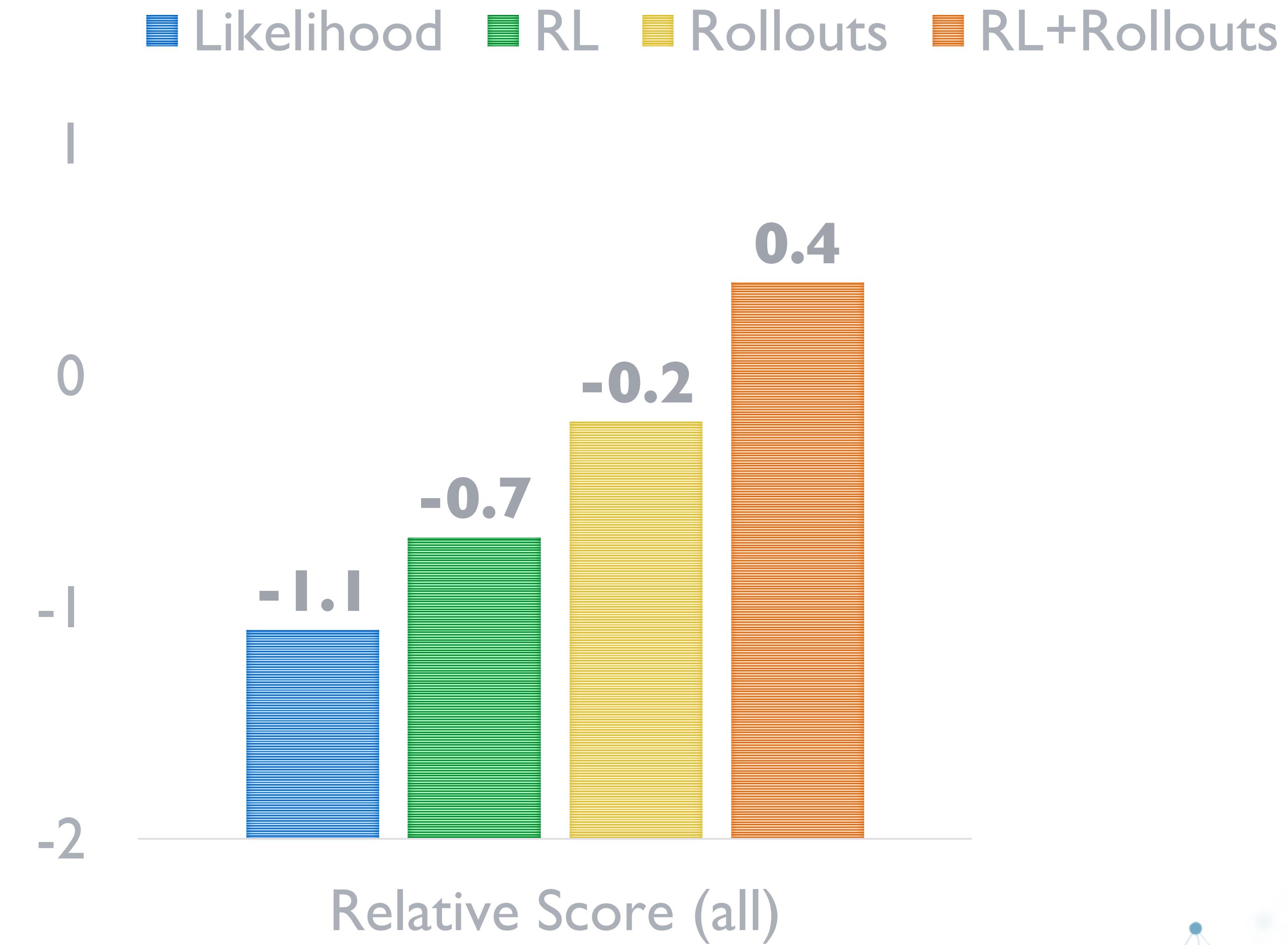
Evaluation vs. Likelihood Agent



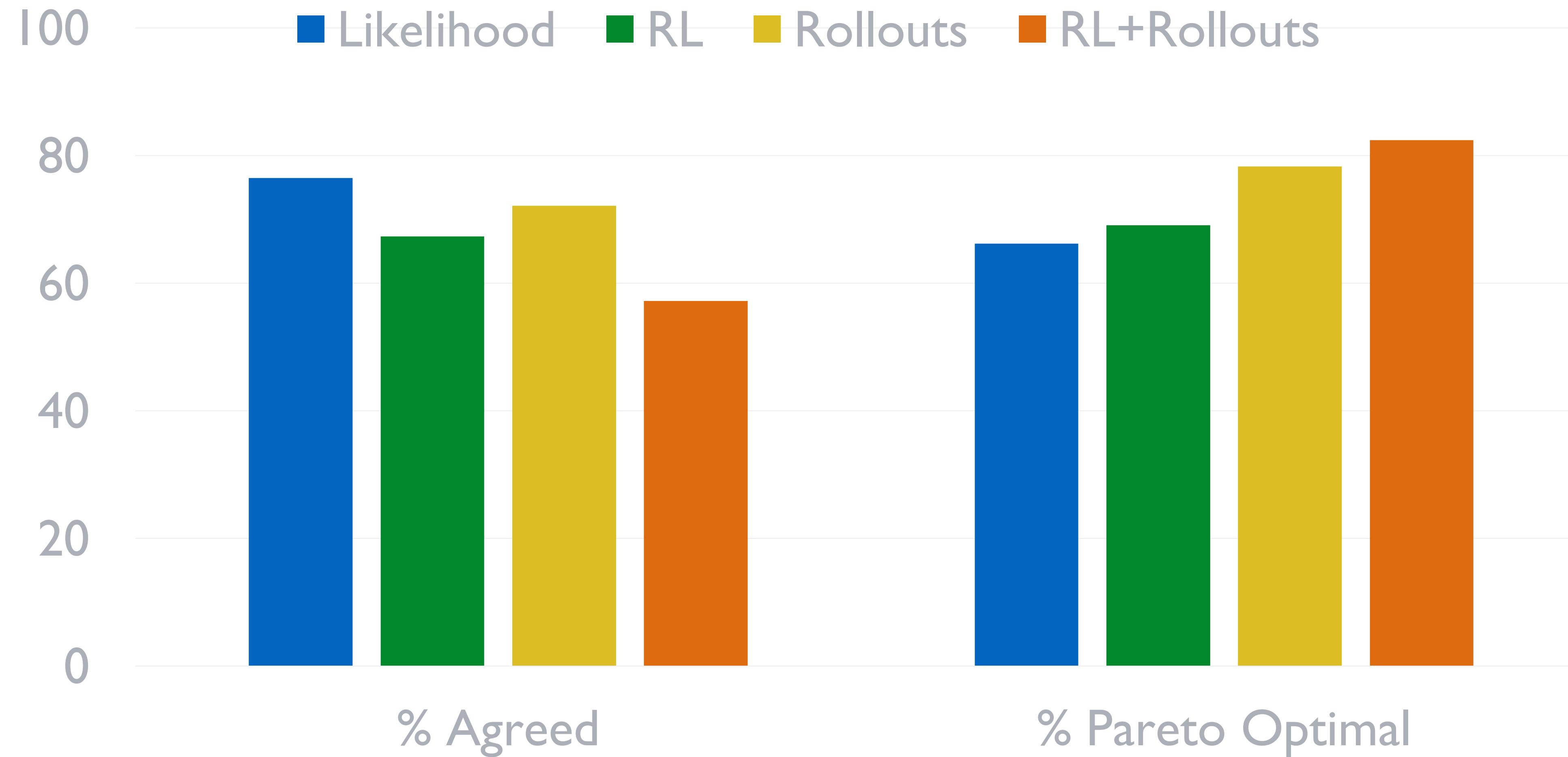
Evaluation vs. Humans



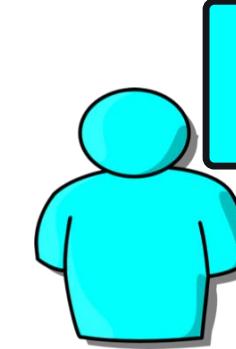
Evaluation vs. Humans



Evaluation vs. Humans

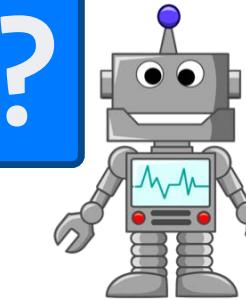


Analysis

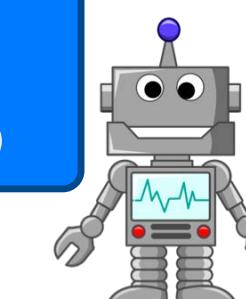


I need the book and hats

Can I have the hats and book?

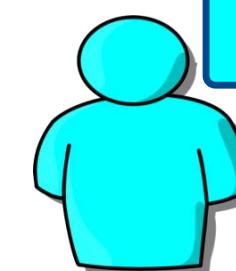
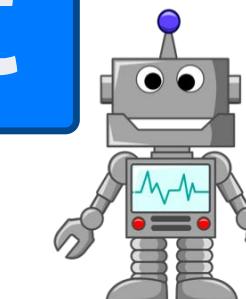


I can not make that deal. I need the ball and book, you can have the hats

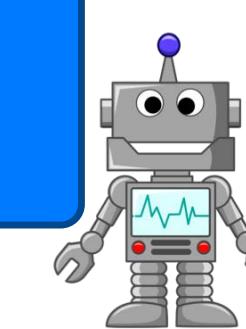


No deal then

Sorry, I want the book and one hat



No deal doesn't work for me sorry



Ok deal

How about I give you the book and I keep the rest

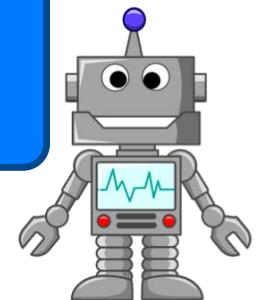
Real dialogue!

Novel sentences

Analysis

Models apparently deliberately deceptive

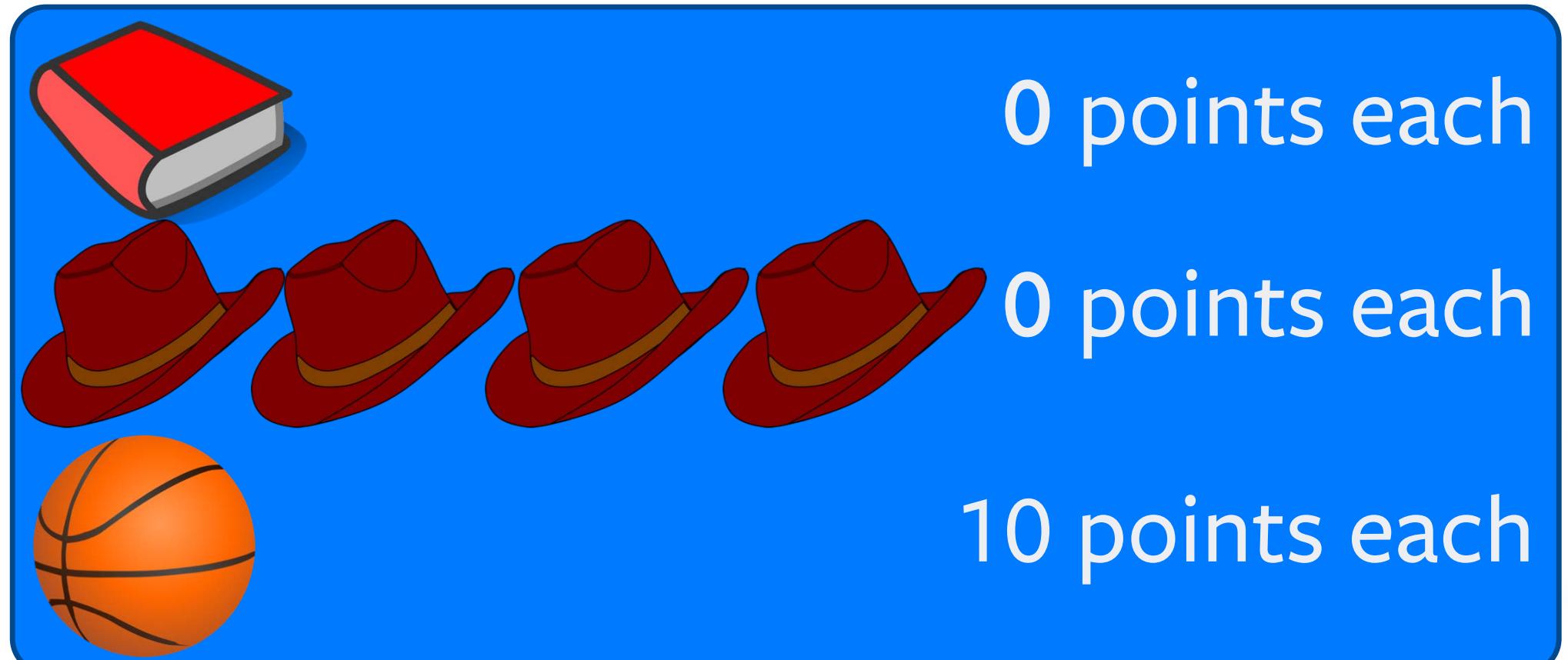
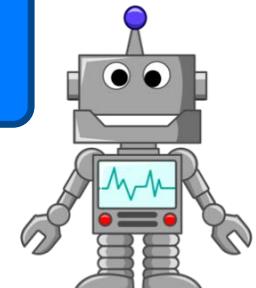
I would like the
ball and two hats



I would need the
book and 3 hats



That would work for me. I
can take the ball and 1 hat.



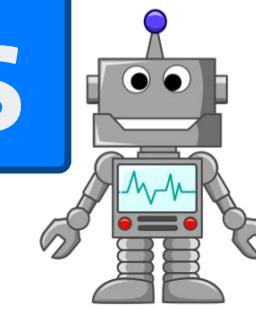
Analysis

Models learn some poor tactics



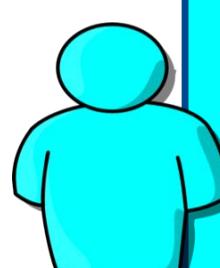
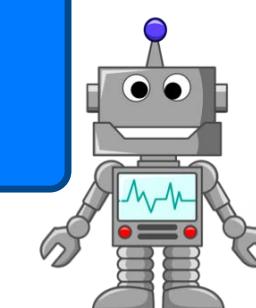
Hi, I'll take 2 hats and 2 balls
and I'll trade you 1 book

I would like 2 hats and 2 balls

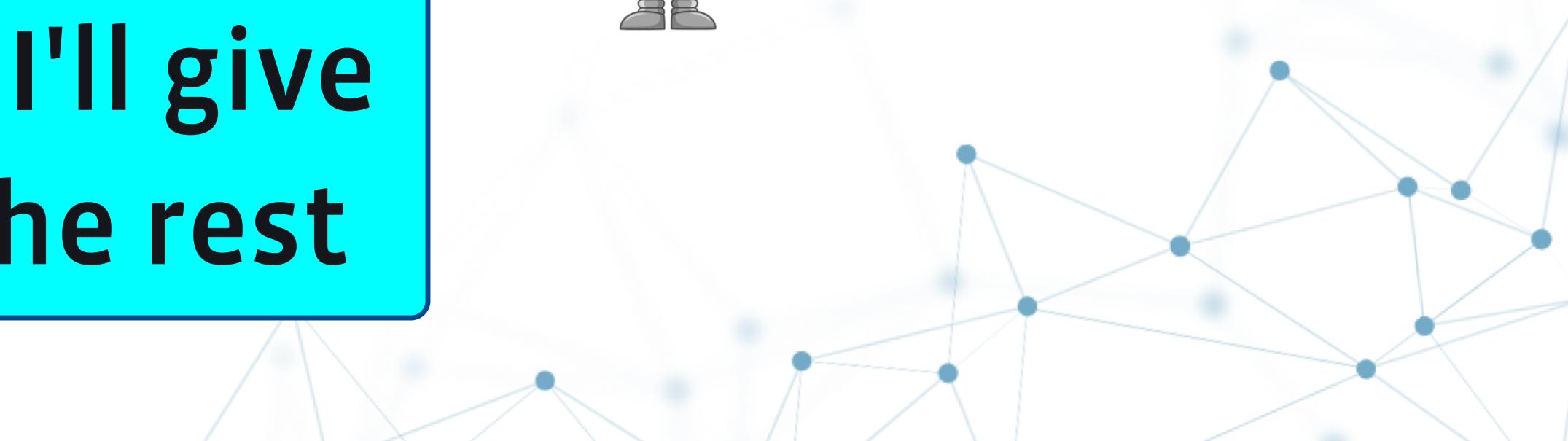


No , I said I'll trade you the book

OK, so you get the book
and I get the rest

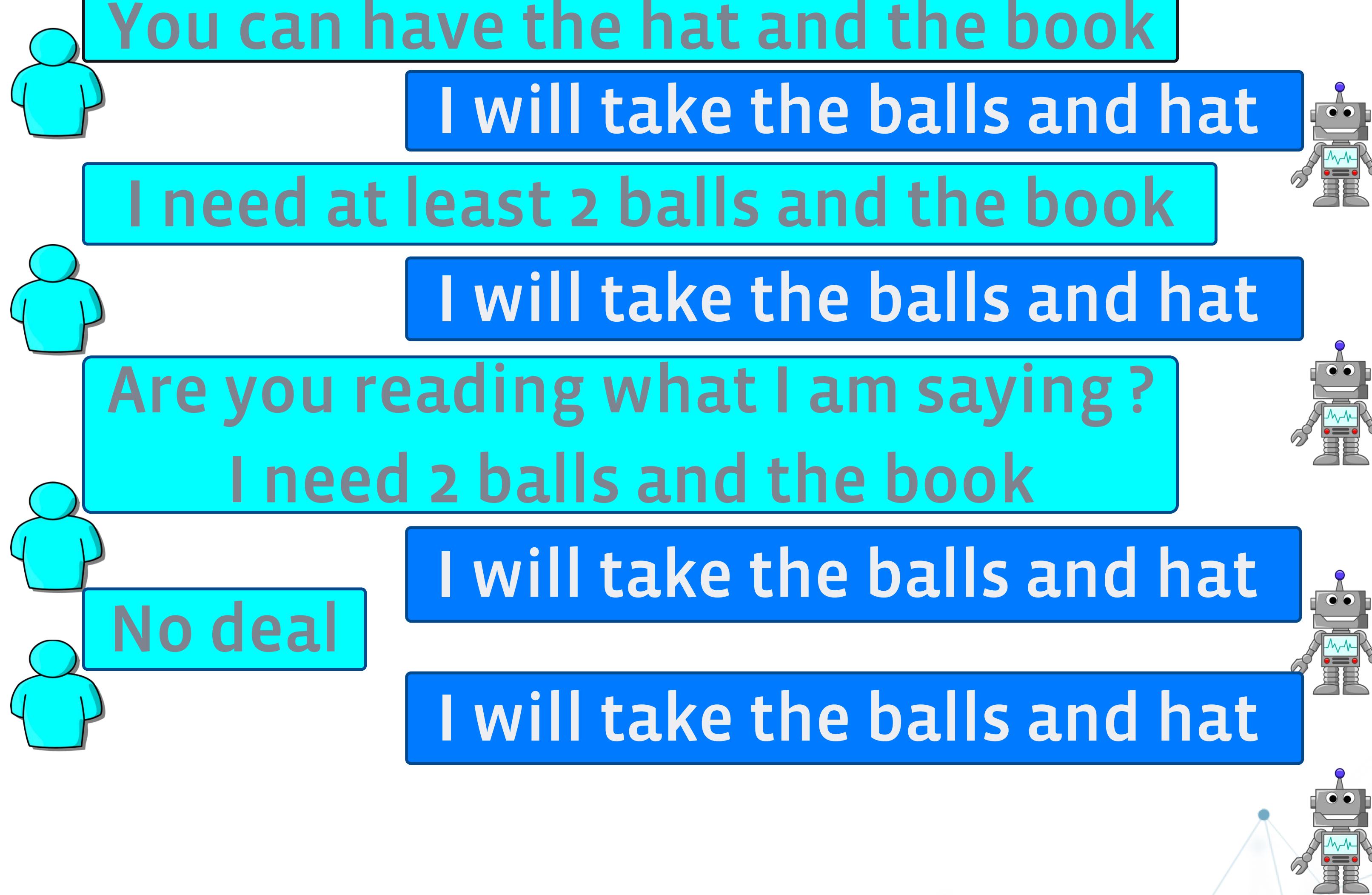


NO NO NO NO NO NO, I said I'll give
you the book and I'll take the rest



Analysis

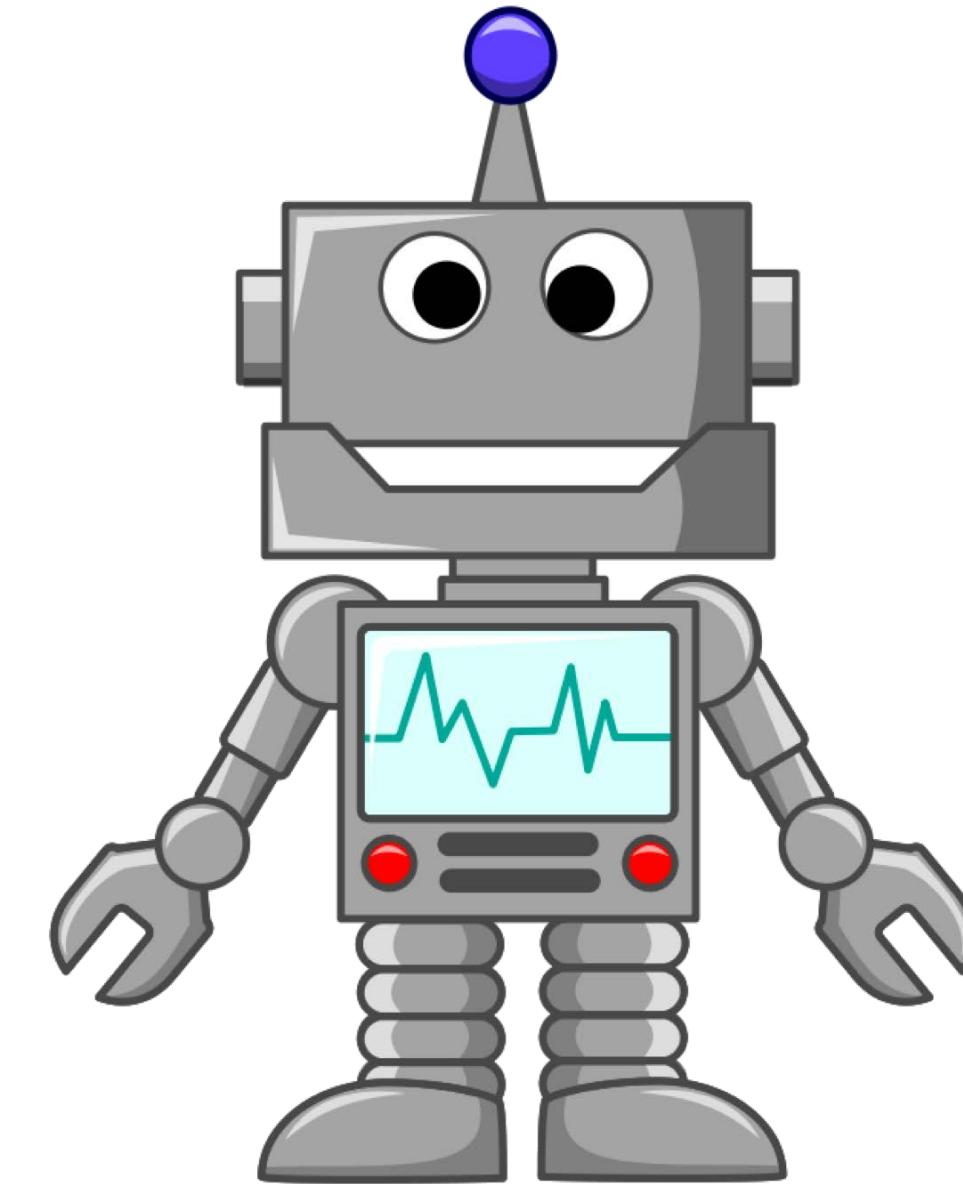
Goal-based models negotiate (too) aggressively



Conclusion

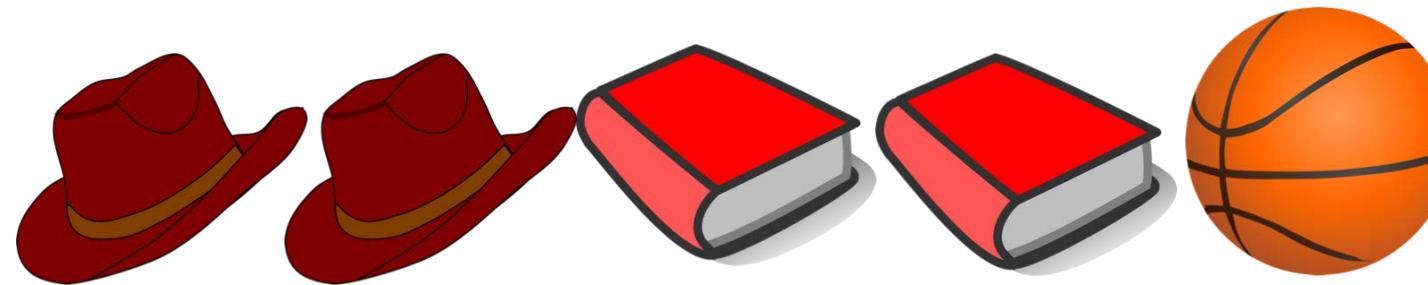
Natural Language Negotiations offer **hard** but
important problem

Planning ahead using **dialogue rollouts** is simple and
effective

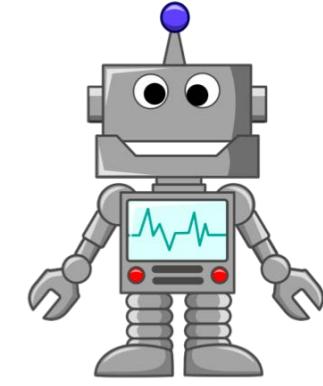


Hierarchical Text Generation and Planning

Entangled Representations



I'll take the hats and the books

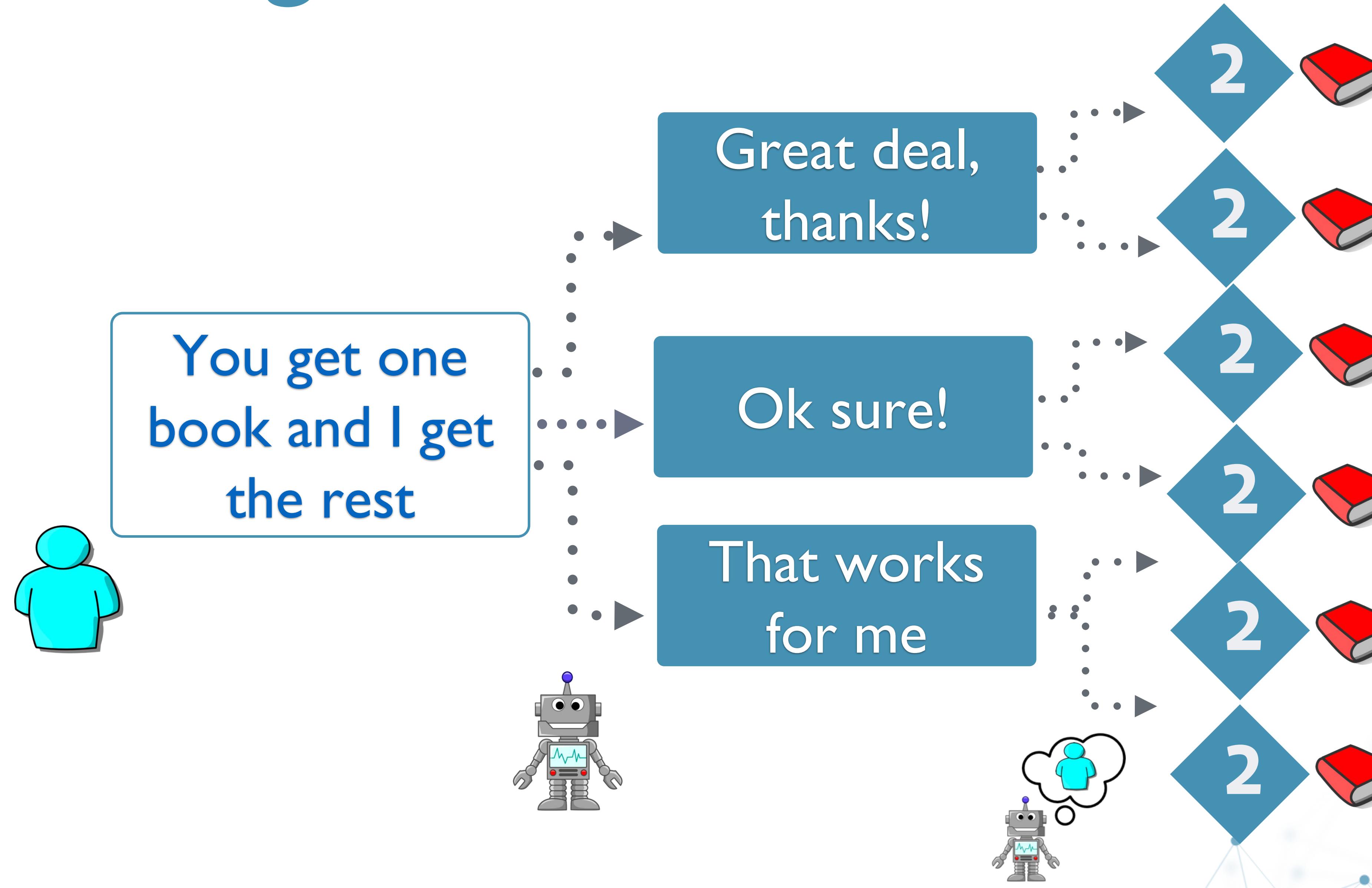


You take the hats and the books

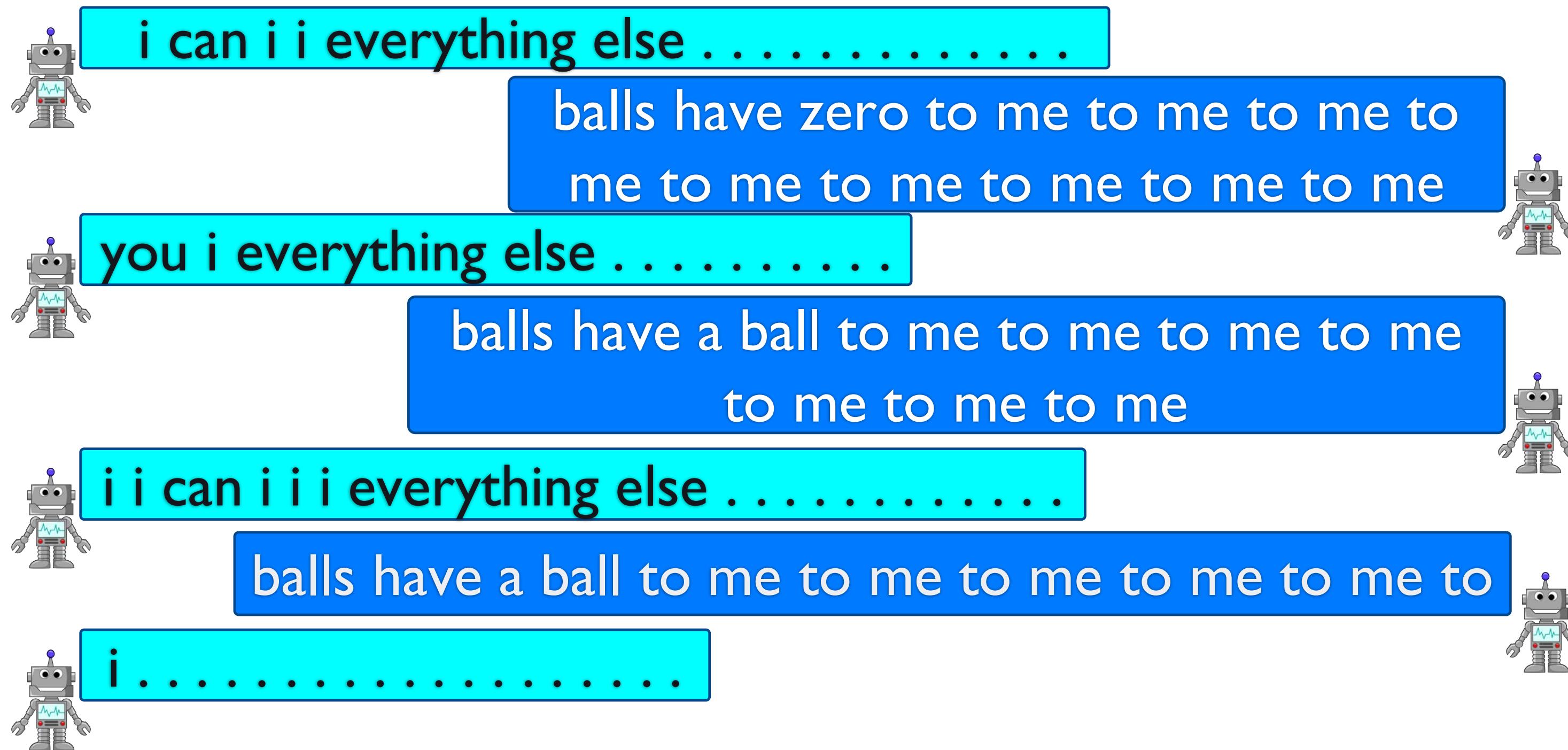
I want the basketball, you get the rest

Word Similarity \neq Semantic Similarity

Dialogue Rollouts

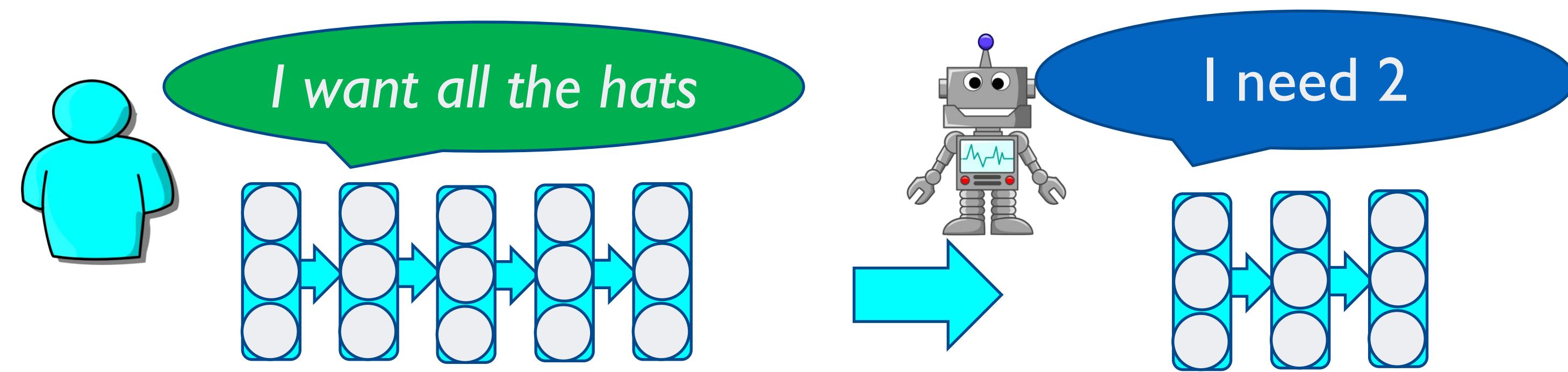


Entangled Representations

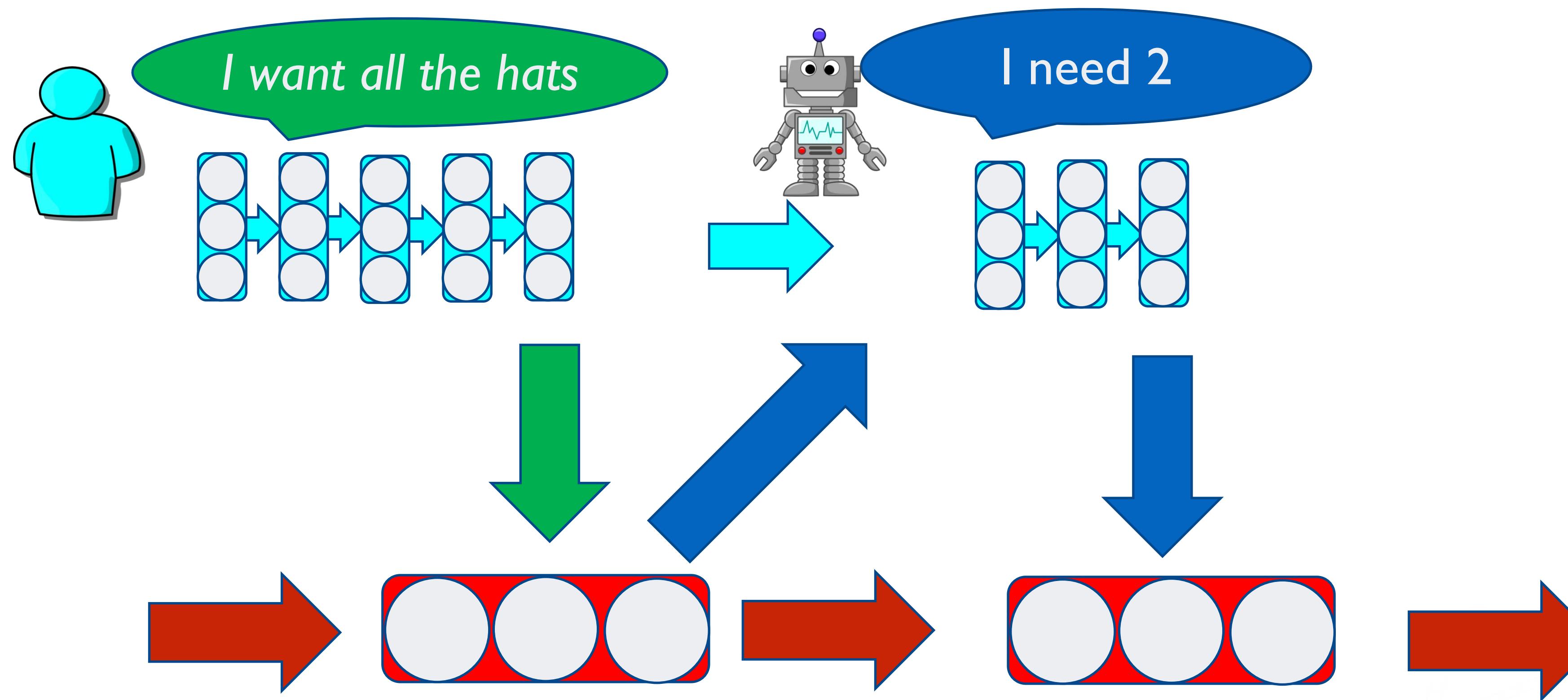


Reinforcement learning trades off language quality vs. rewards

RNN Dialogue Model

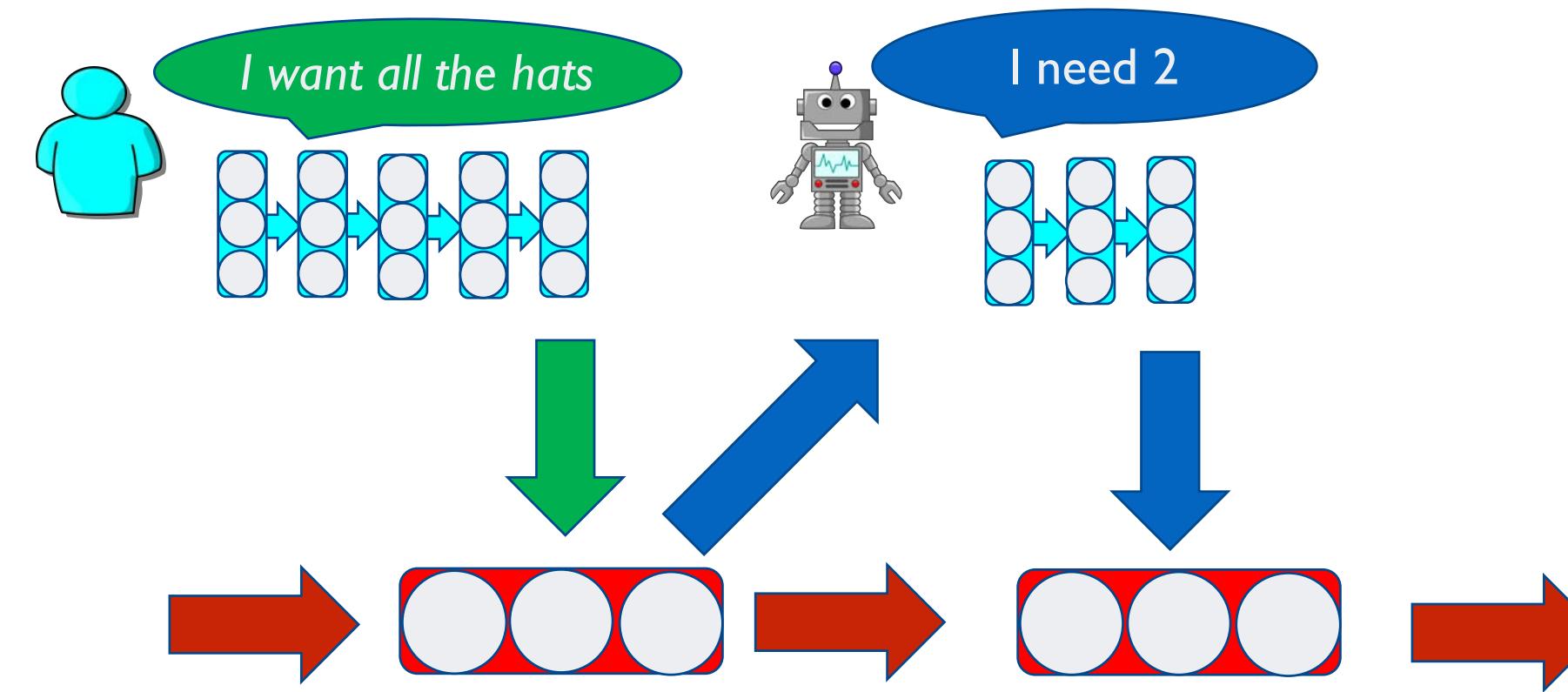


Hierarchical RNN Dialogue Model

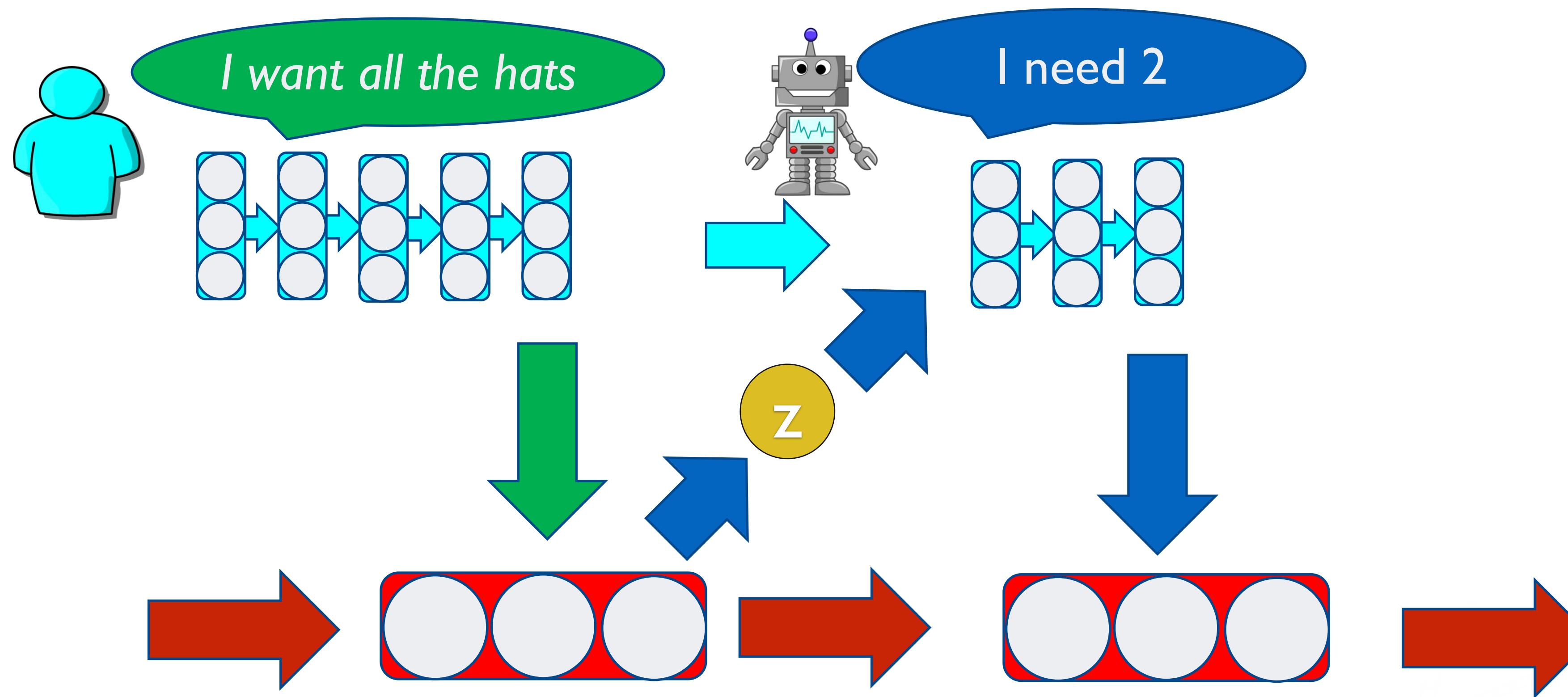


Hierarchical RNN Dialogue Model

Shortens dependencies
between turns

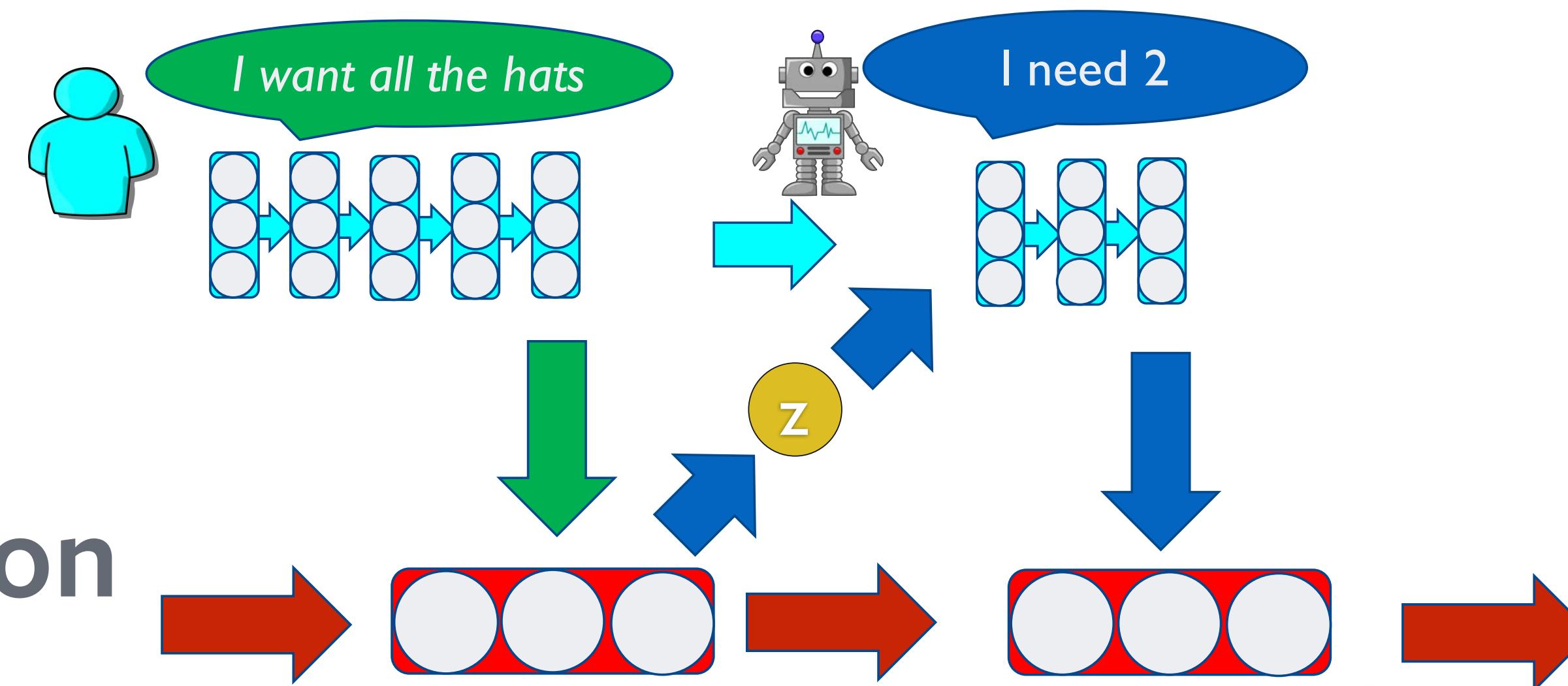


Latent Variable RNN



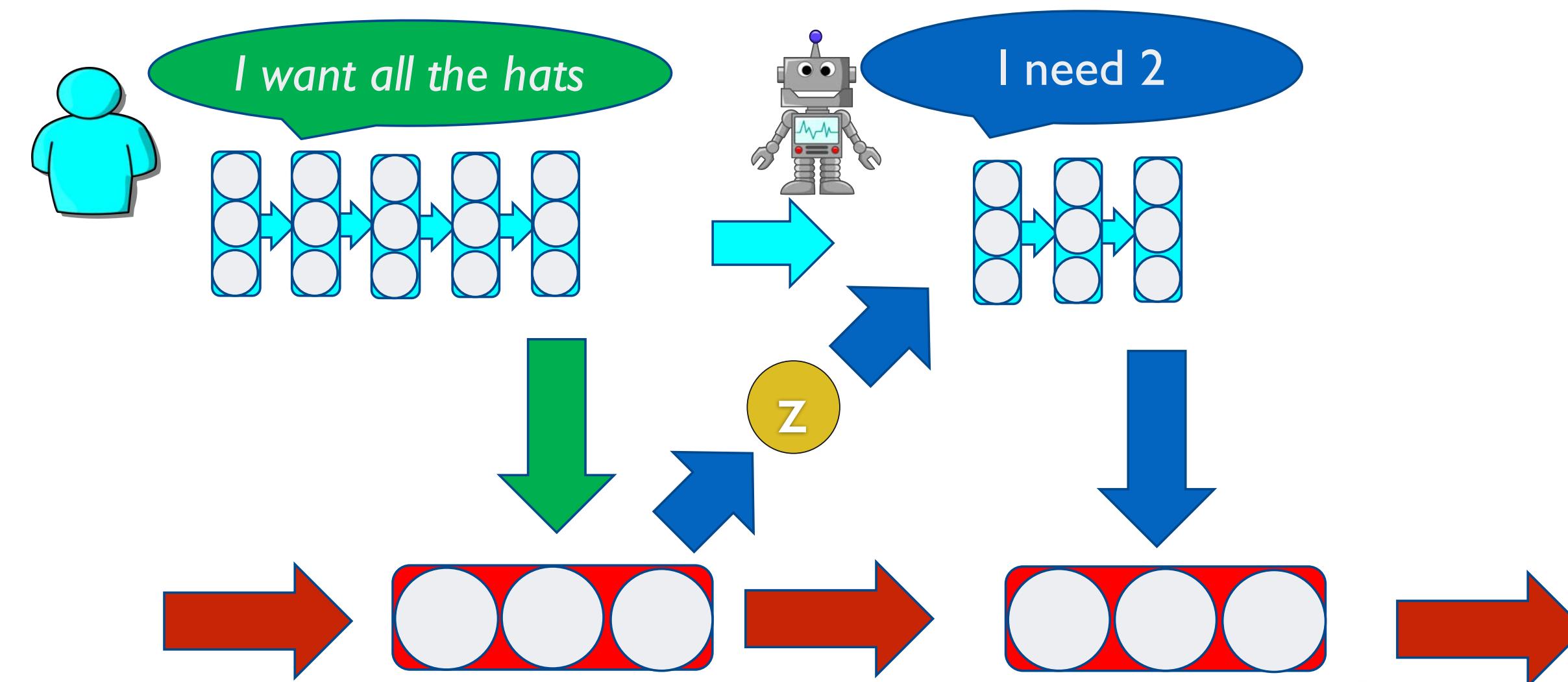
Latent Variable RNN

- First sample plan z
- Generate message conditioned on z
- Factorizes generation problem into ‘what to say’ and ‘how to say it’



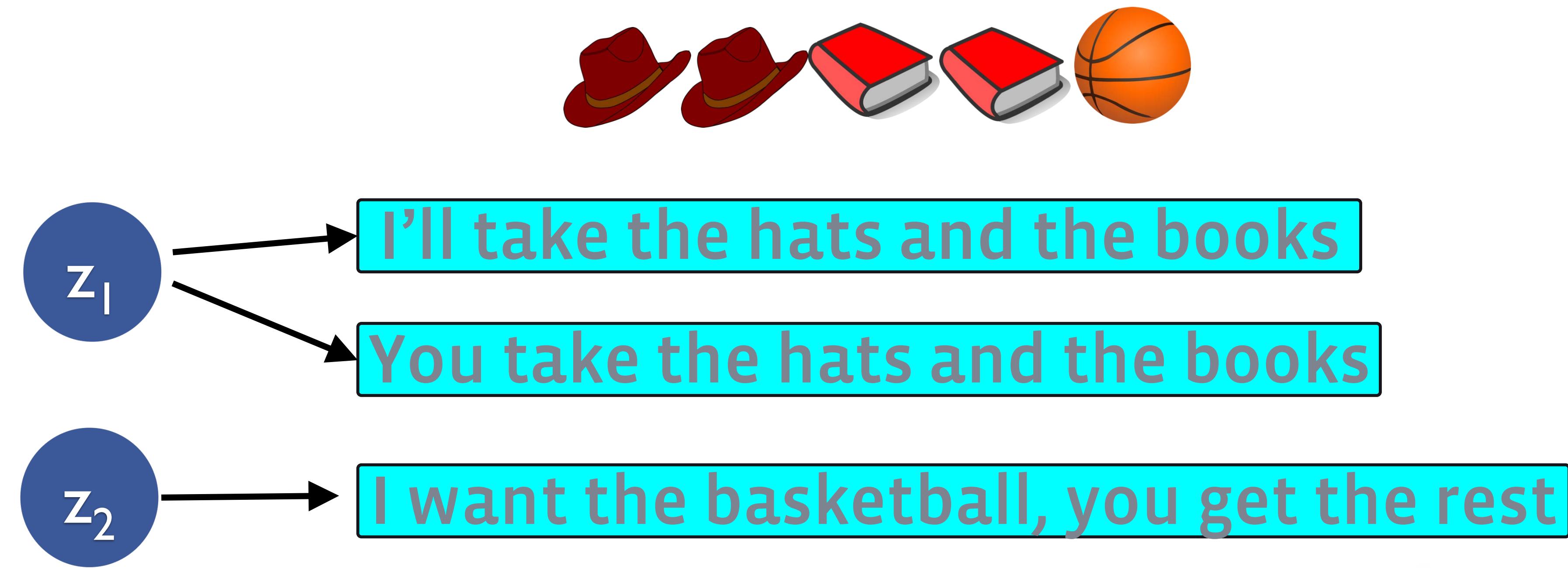
Learning Latent Representations

Where does z come from?



Existing approaches (e.g. Wen et al. 2017) train z to maximize likelihood of next message

Learning Latent Representations



Learning z to maximize likelihood of message gives similar strings, not similar meanings

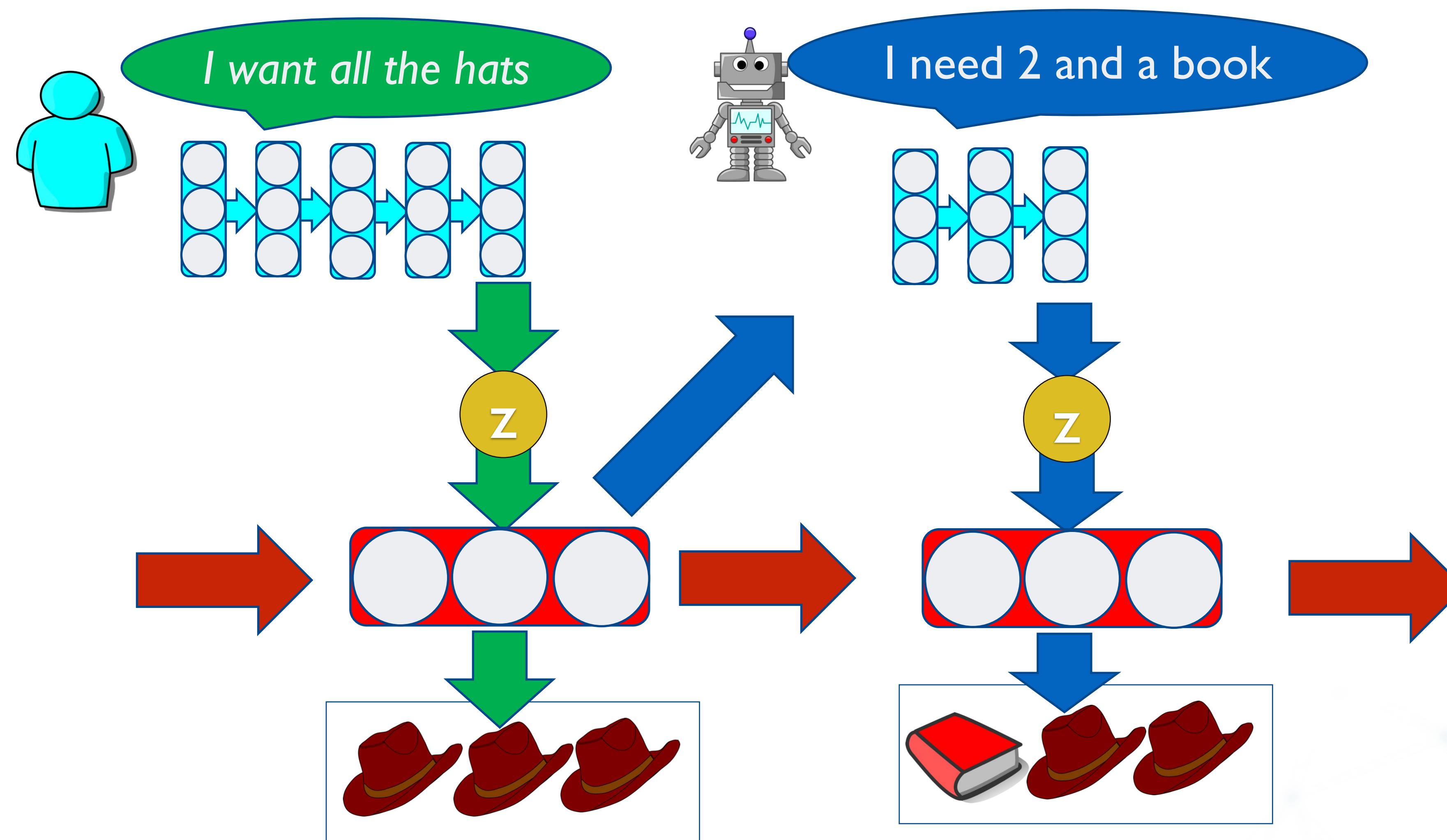


Pretraining Message Representations

Learn message encoder representations that:

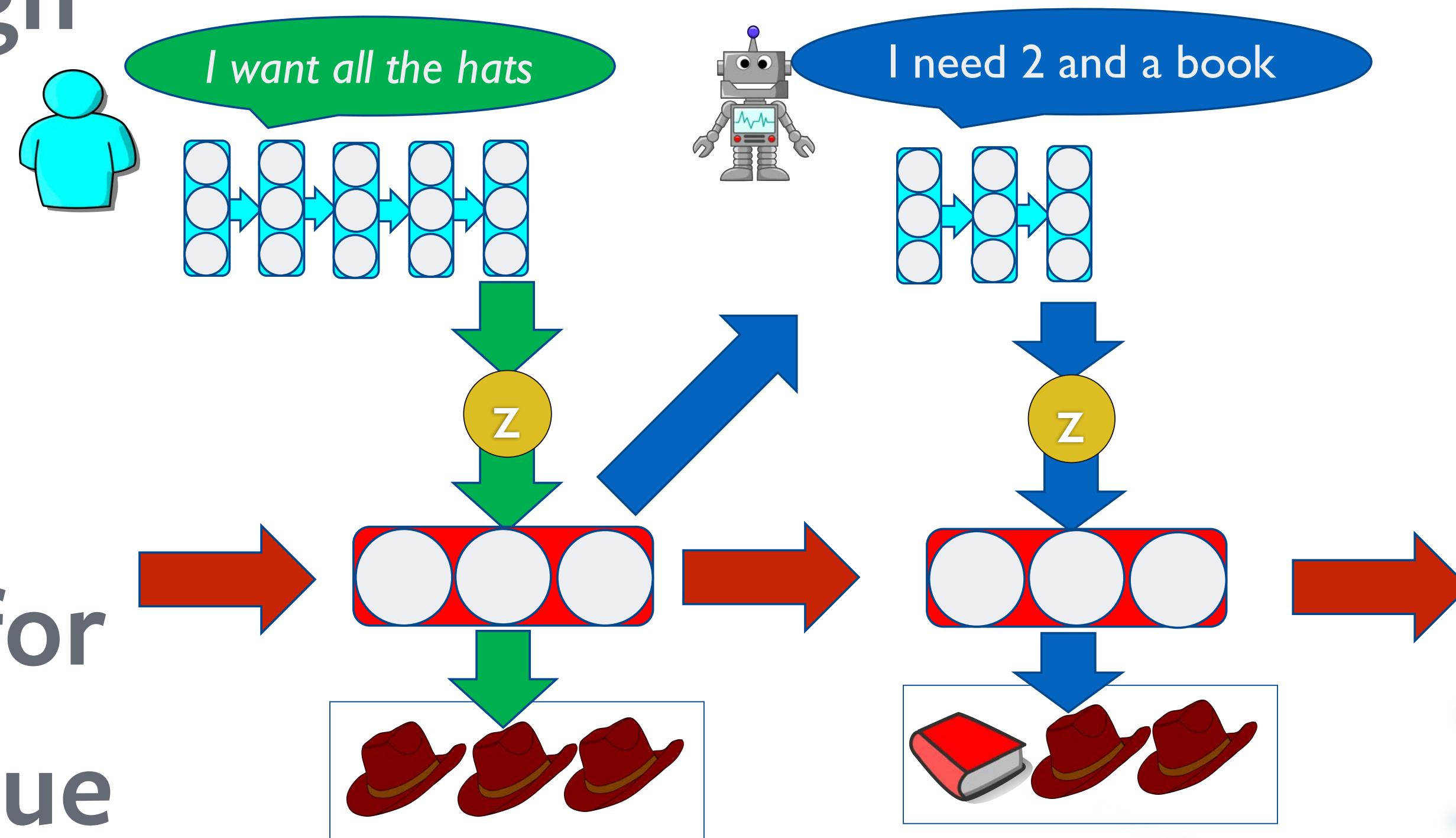
- Capture effect of message on dialogue
- Abstract over wording of message

Pretraining Message Representations

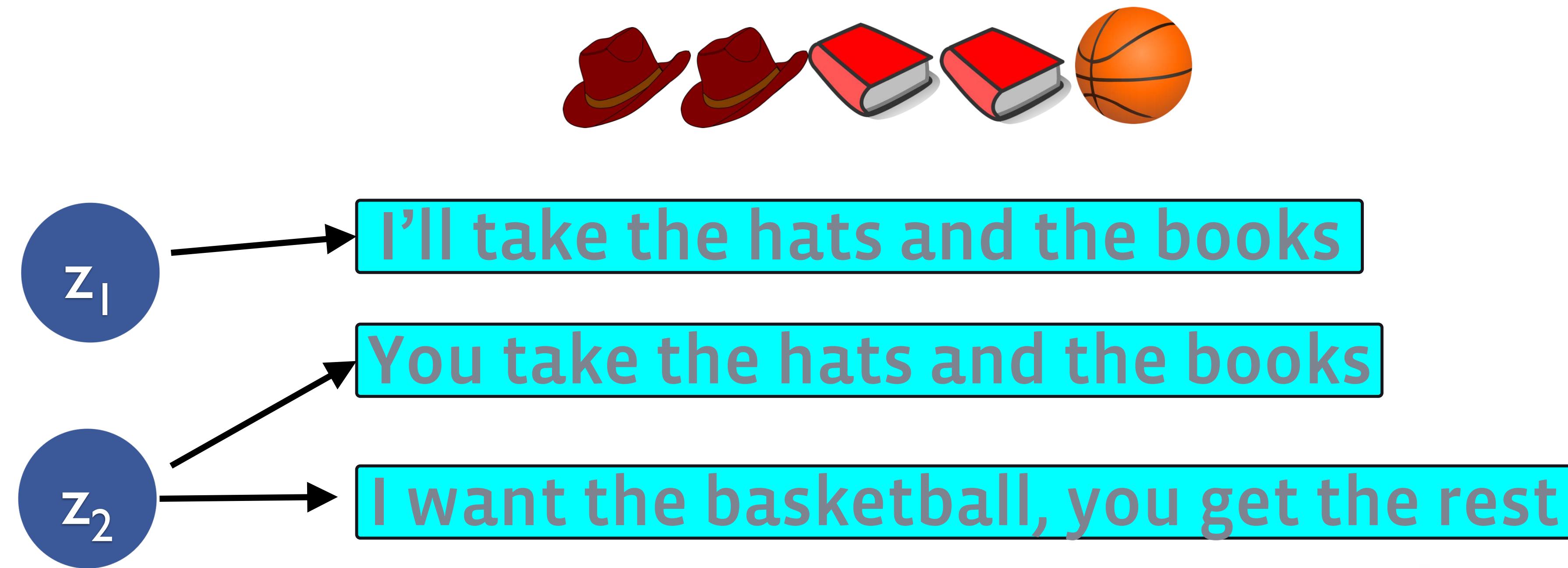


Pretraining Message Representations

- Messages forced through discrete bottleneck z
- z must contain all information necessary for predicting future dialogue



Learning Latent Representations



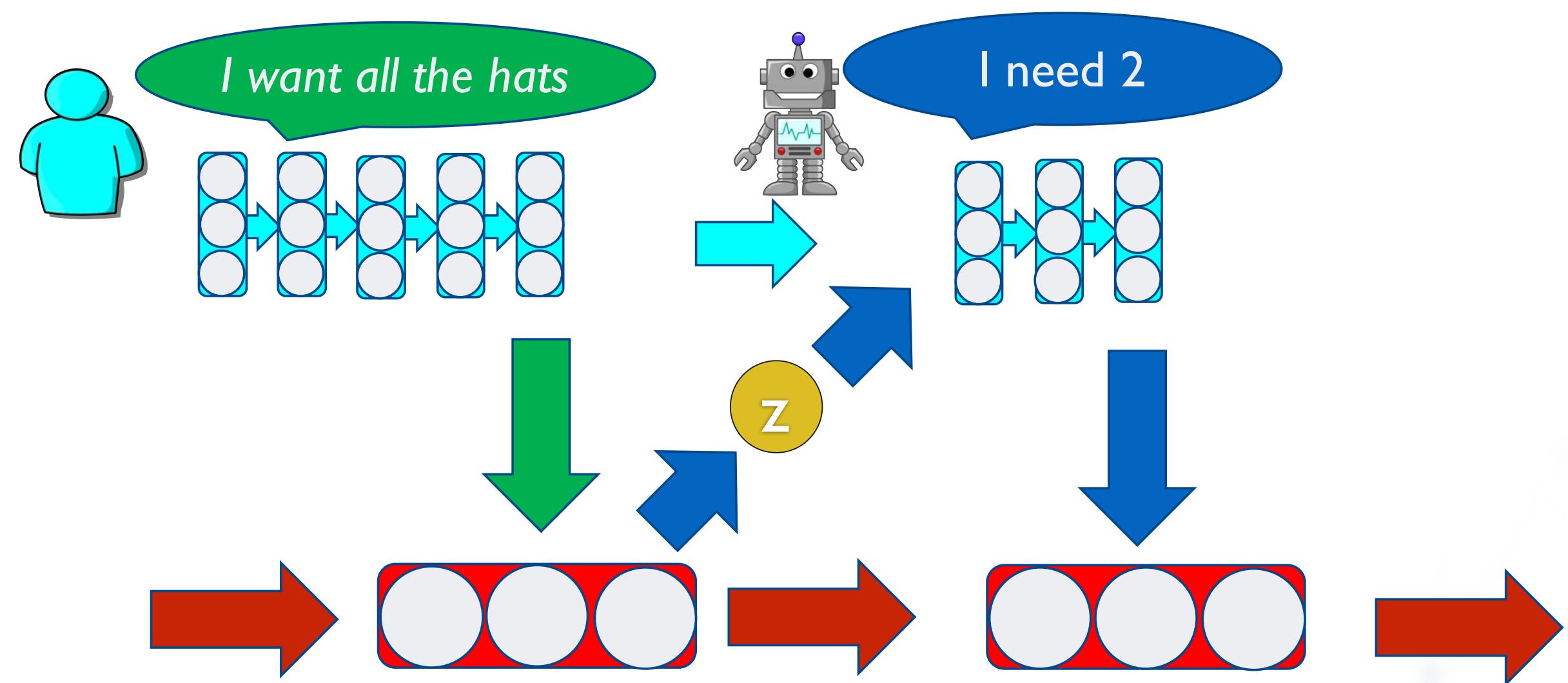
Learning z to capture message's effect on dialogue
means that semantically similar messages are clustered

Pretraining Message Representations

- First cluster each message from training dialogues

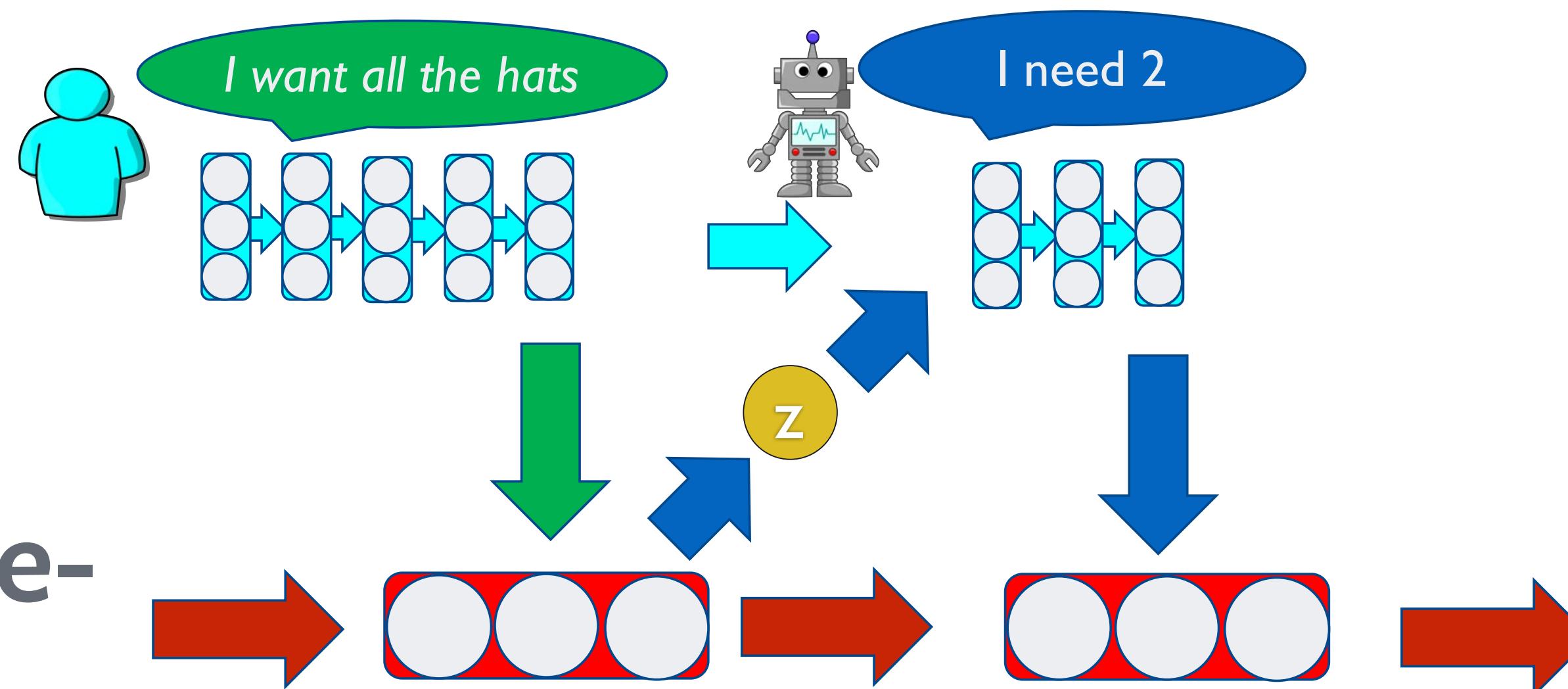
- Then retrain full dialogue model using pretrained z

- z captures semantics of the message being generated

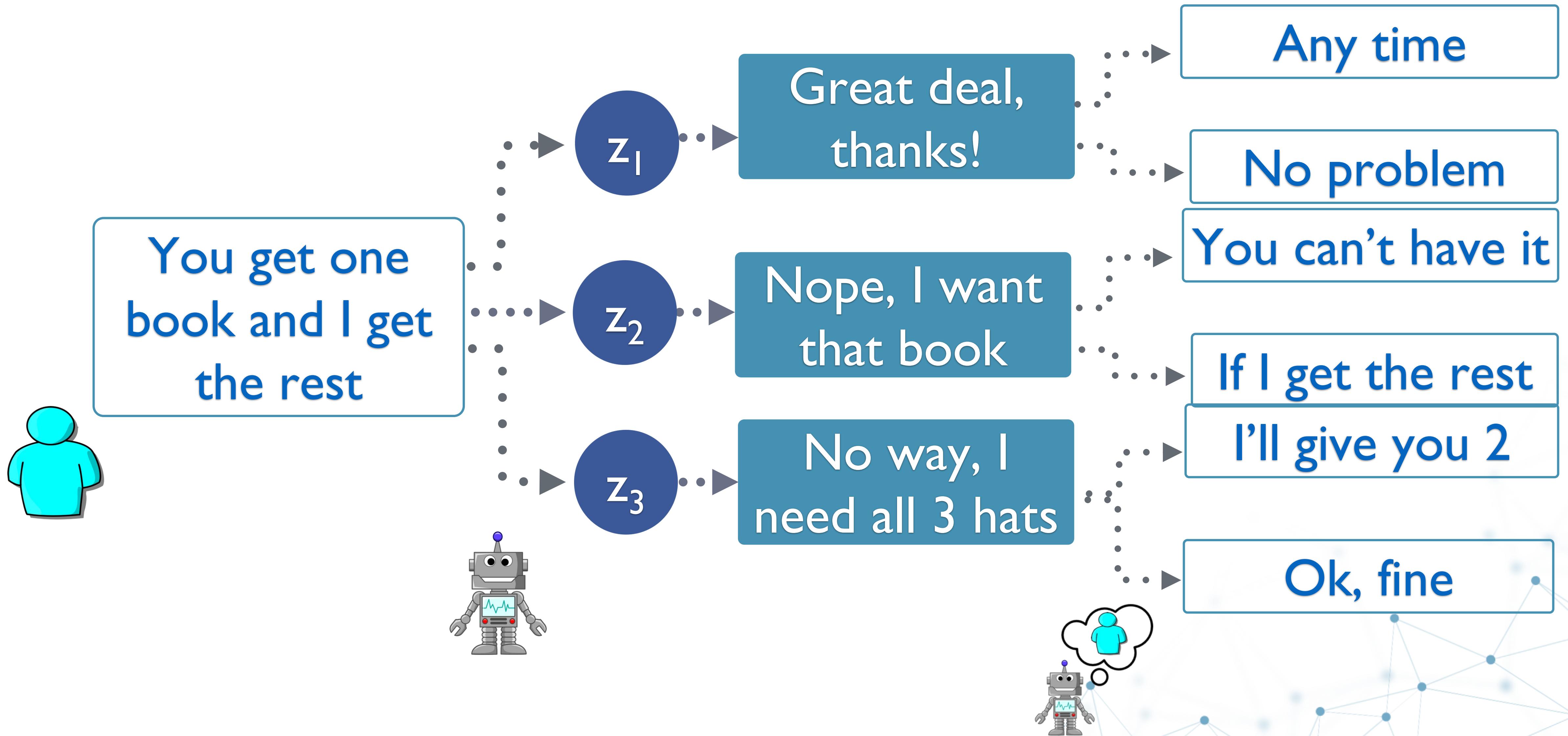


Reinforcement Learning

- Fix all parameters, except those that choose z
- RL can now only fine-tune semantics of messages



Dialogue Rollouts



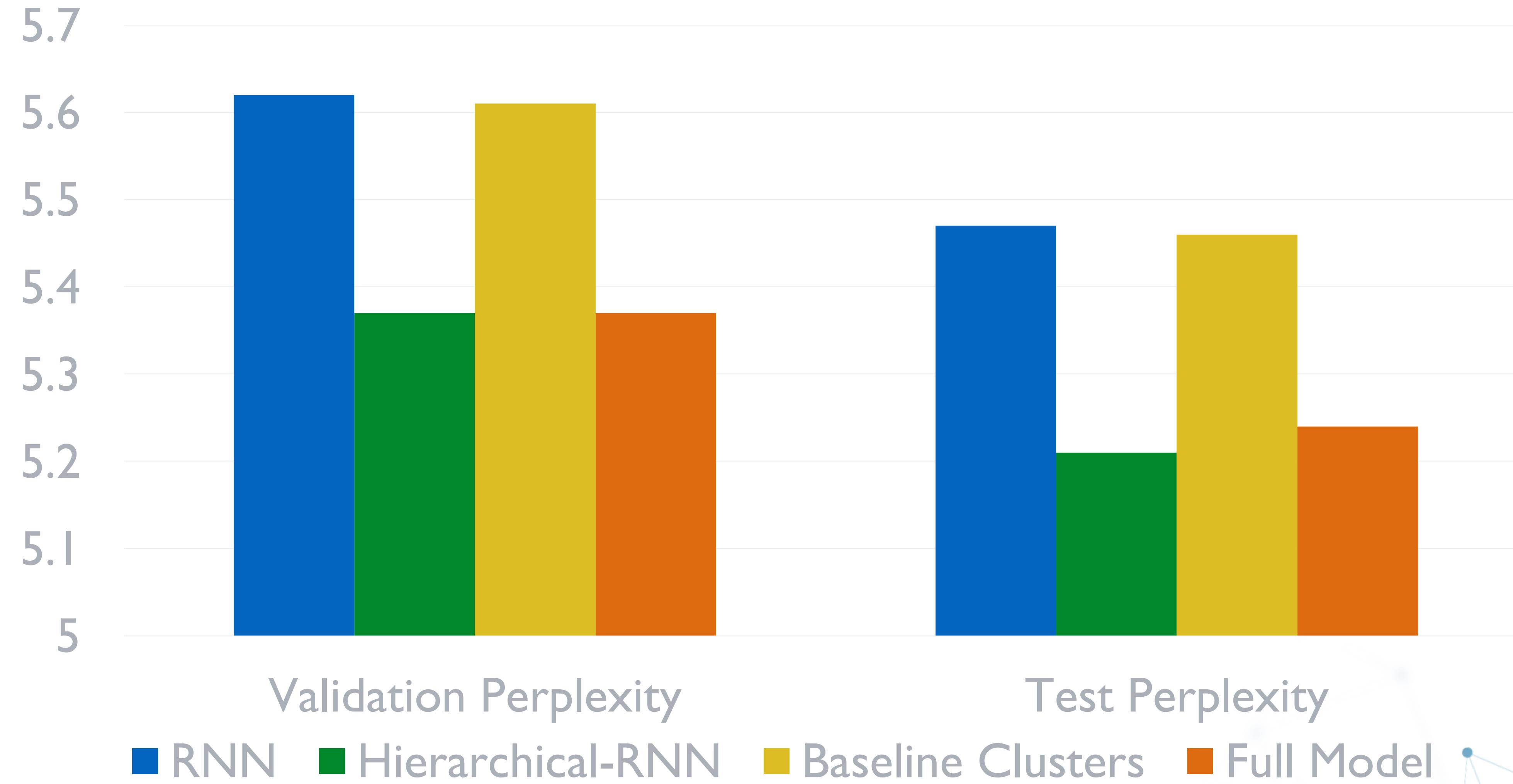
Experiments

Models:

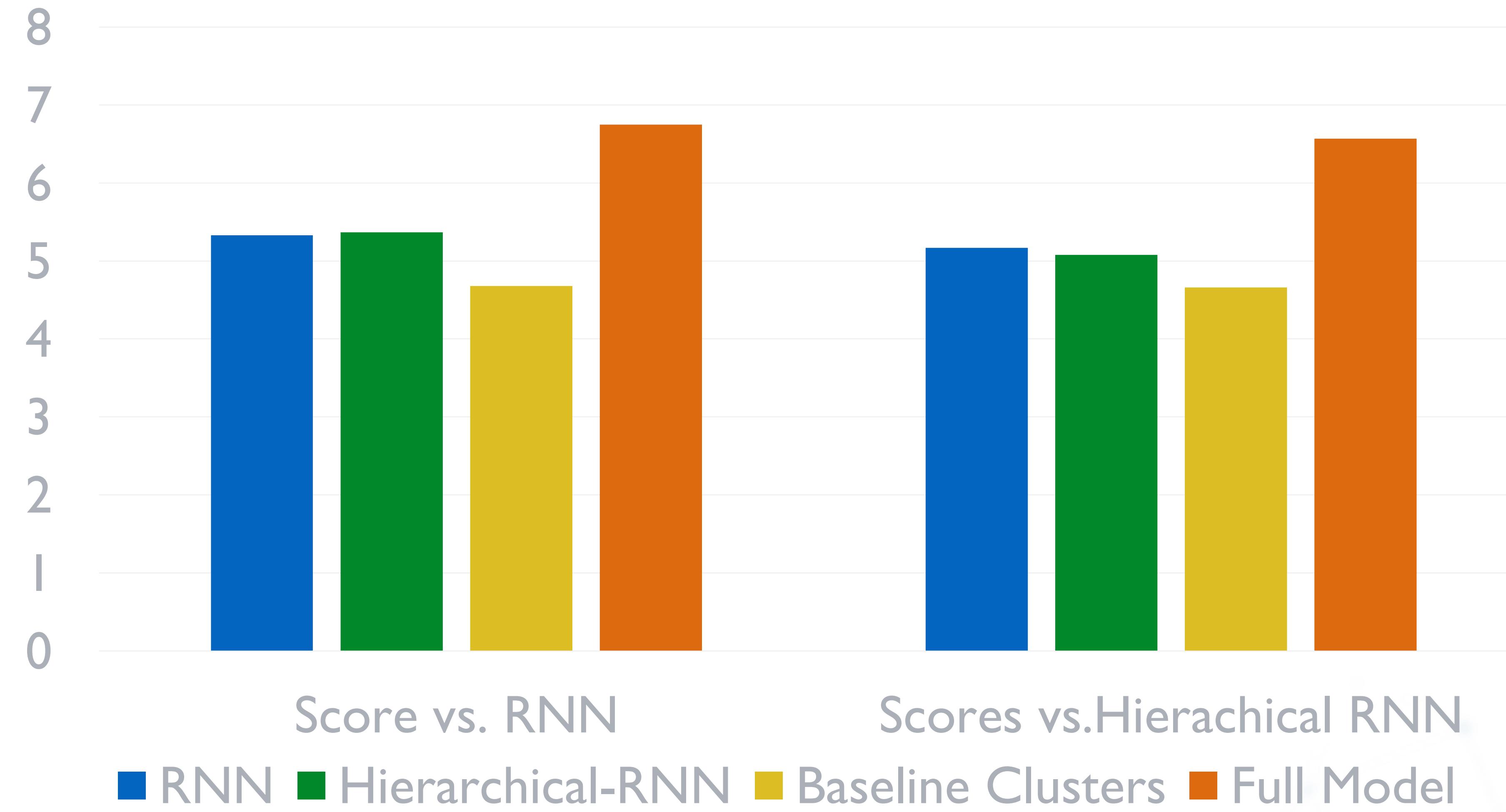
- RNN
- Hierarchical-RNN
- Baseline Clusters
- Full Model



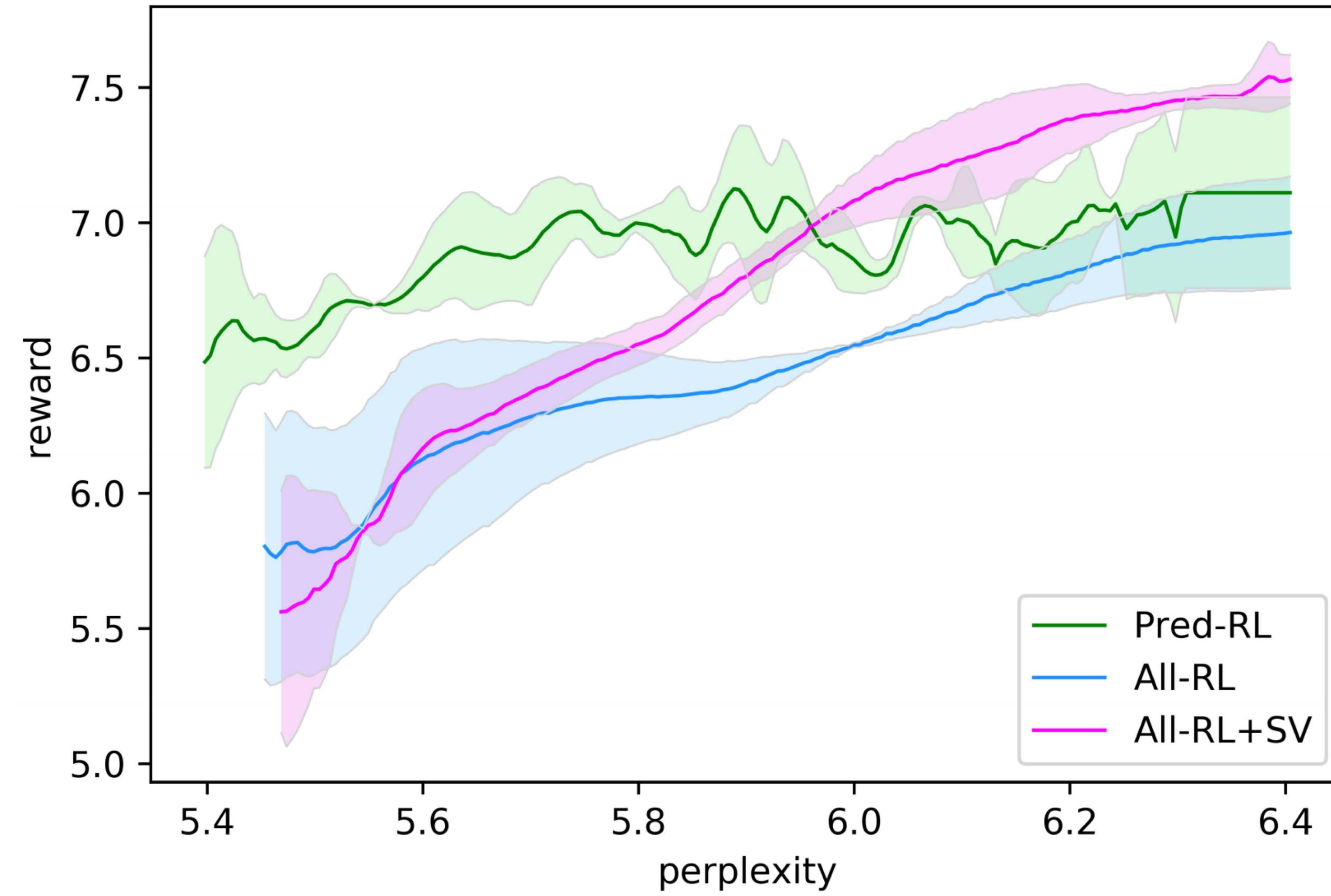
Language Quality



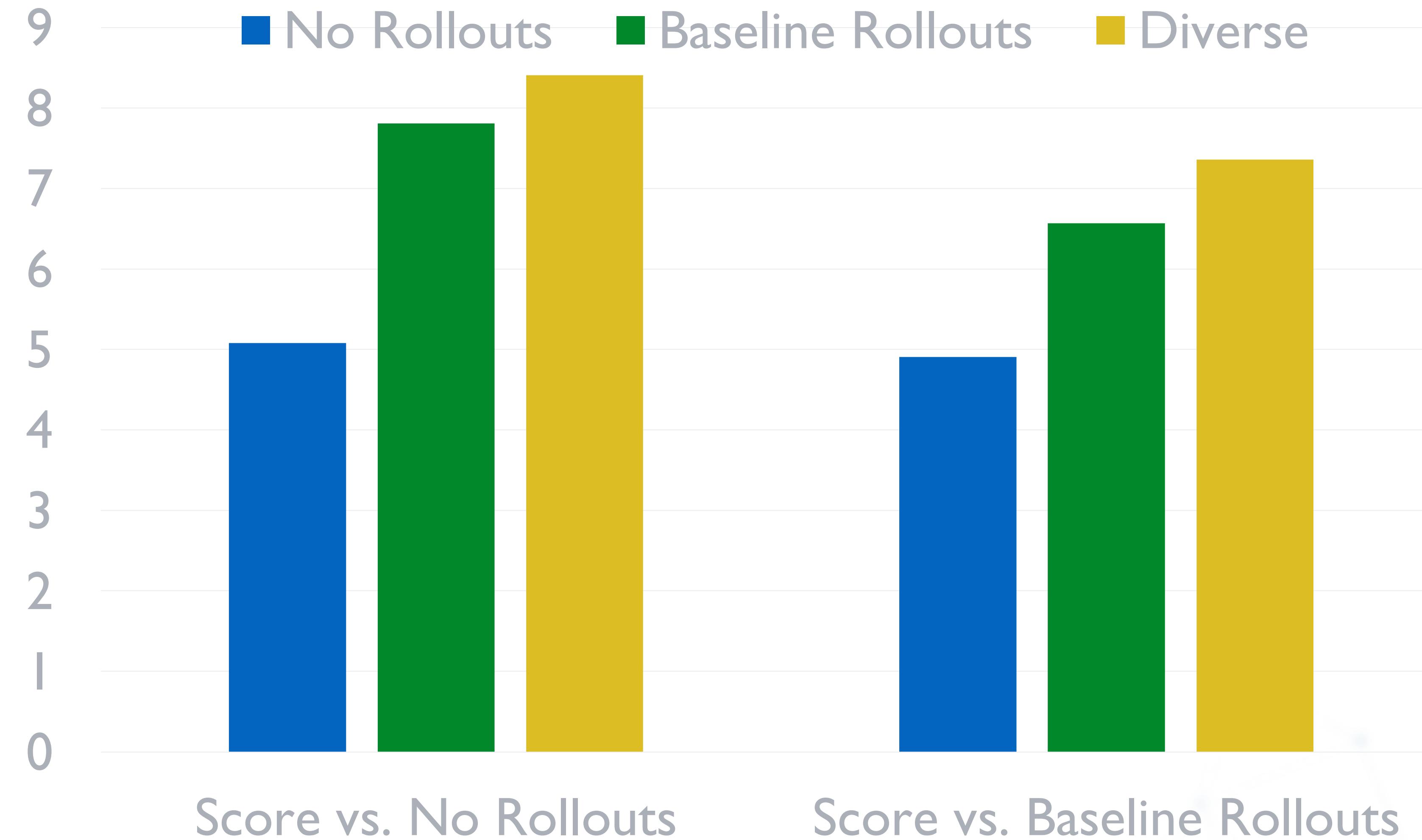
End Task Performance



Reinforcement Learning



Dialogue Rollouts



Analysis

Analyzed 1000 dialogues between **Hierarchical-RNN** and **Full Model**

Full Model uses fewer ‘generic’ messages (245 vs. 815)

Analysis

Analyzed 1000 dialogues between **Hierarchical-RNN** and **Full Model**

Full Model is more *creative*: 60% of messages don't appear in training data, vs. 18% for baseline

Analysis

Analyzed 1000 dialogues between **Hierarchical-RNN** and **Full Model**

Full Model is *less repetitive*: 1% of dialogues contain repetition of the same demand, vs. 12% for baseline

Conclusion

Models need to learn to understand messages, not just imitate people

Disentangling *form* and *meaning* can improve both language quality and end task results

Future work should scale to larger domains





Any questions?

facebook