

标记分布学习范式

耿新

模式学习与挖掘实验室(PALM) http://palm.seu.edu.cn

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报告内容

- 研究背景
- 概念定义
- 学习算法
- ・实验
- 结论





机器学习中的多义性 (Ambiguity)

非——映射

示例

机器学习

标记端多义性

标记

多示例学习范式

(多对一)

多标记学习范式

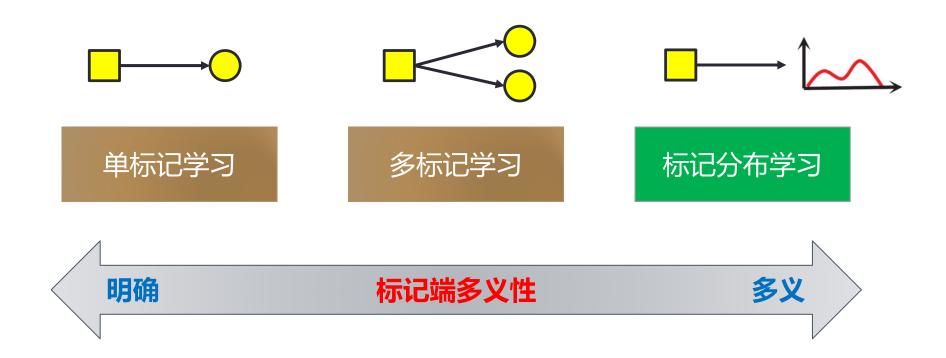
(一对多)

多示例多标记学习范式

(多对多)



标记端多义性





举例:自然场景图像

•"哪些标记可以用于描述该示例?"

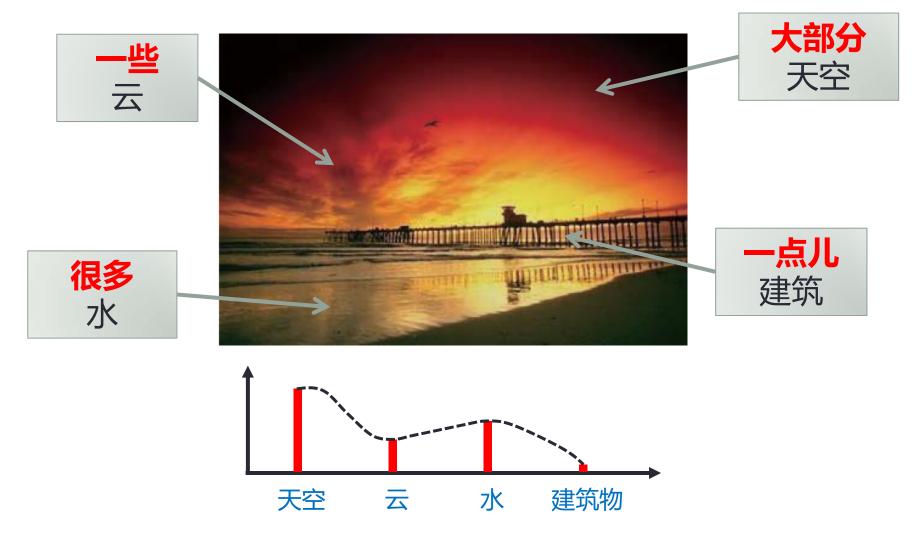


多标记学习



举例:自然场景图像

• "每个标记如何描述该示例?"



更多数据...

15个标记分布数据集,可从如下链接下载: http://cse.seu.edu.cn/PersonalPage/xgeng/LDL

No.	数据集	样例数	特征数	标记数
1	Yeast-alpha	2,465	24	18
2	Yeast-cdc	2,465	24	15
3	Yeast-elu	2,465	24	14
4	Yeast-diau	2,465	24	7
5	Yeast-heat	2,465 24		6
6	Yeast-spo 2,465		24	6
7	Yeast-cold	2,465	24	4
8	Yeast-dtt	2,465	24	4
9	Yeast-spo5	2,465	24	3
10	Yeast-spoem	2,465	24	2
11	Human Gene	30,542	36	68
12	Natural Scene	2,000	294	9
13	SJAFFE	213	243	6
14	SBU_3DFE	2,500	243	6
15	Movie	7,755	1,869	5



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标记分布学习

[Geng, TKDE'16]

对一个示例 x 来说,给每个标记 y 赋予一个实数值 d_{x}^{y} ,表示 y 描述 x 的程度;

不失一般性,假设 $d_{\mathbf{x}}^y \in [0,1]$;

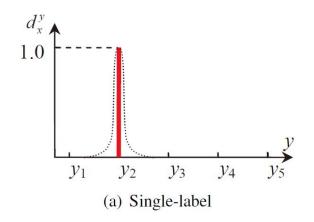
进一步假设标记集合是完备集(用所有该集合中的标记一定能够完全描述一个示例),则 $\sum_y d_x^y = 1$;

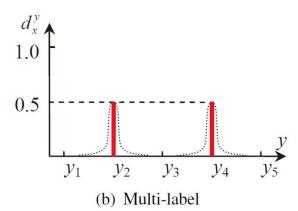
- 满足上述条件的 d_x^y 称为 y 对 x 的描述度
- 对一个示例来讲,所有标记的描述度构成的数据 结构称为<mark>标记分布</mark> $D_i = \{d_{x_i}^{y_1}, d_{x_i}^{y_2}, \dots, d_{x_i}^{y_c}\}$
- 在以标记分布标注的示例上学习的过程称为标记
 分布学习(Label Distribution Learning, LDL)

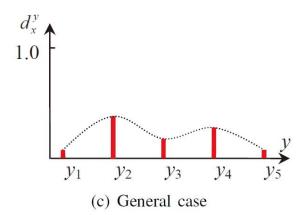


LDL vs. 传统学习范式

传统单标记学习(SLL)和多标记学习(MLL) 可以看作标记分布学习(LDL)的特例

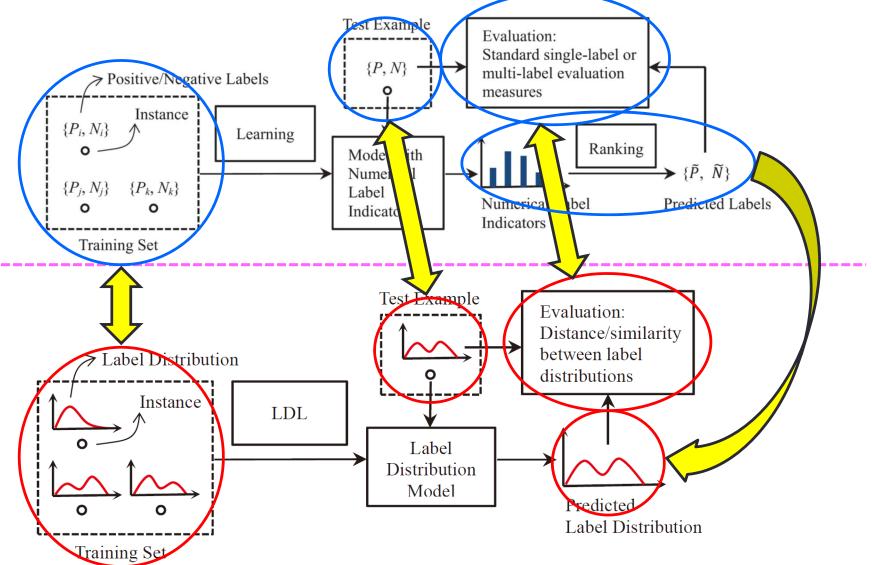








LDL vs. 含数值标记指示的学习方法





LDL形式化定义

[Geng, TKDE'16]

$$d_{\boldsymbol{x}}^{y} = P(y|\boldsymbol{x})$$
 —种可能的形式化定义形式

Let $\mathcal{X} = \mathbb{R}^q$ denote the input space and $\mathcal{Y} = \{y_1, y_2, \dots, y_c\}$ denote the complete set of labels. Given a training set $S = \{(x_1, D_1), (x_2, D_2), \dots, (x_n, D_n)\}$, the goal of ldl is to learn a conditional probability mass function p(y|x) from S, where $x \in \mathcal{X}$ and $y \in \mathcal{Y}$.



LDL优化目标

- ·参数形式 $p(y|x;\theta)$
- · 预测分布与真实分布之间的距离度量: K-L散度

$$egin{aligned} heta^* &= rgmin_{m{ heta}} \sum_i \sum_j \left(d_{x_i}^{y_j} \ln rac{d_{m{x}_i}^{y_j}}{p(y_j | m{x}_i; m{ heta})}
ight) \ &= rgmax_{m{ heta}} \sum_i \sum_j d_{x_i}^{y_j} \ln p(y_j | m{x}_i; m{ heta}). \end{aligned}$$



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LDL算法

- 三种算法设计策略
 - 问题转化(Problem Transformation)
 将LDL问题转化为传统学习范式
 - 算法改造(Algorithm Adaption) 将传统学习算法改造为能够处理标记分布
 - 专用算法(Specialized Algorithms)为LDL专门设计的算法



专用算法

• 假设 $p(y|x;\theta)$ 为最大熵模型 (MaxEnt Model)

$$p(y|\mathbf{x};\boldsymbol{\theta}) = \frac{1}{Z} \exp\left(\sum_{k} \theta_{y,k} g_{k}(\mathbf{x})\right) Z = \sum_{y} \exp\left(\sum_{k} \theta_{y,k} g_{k}(\mathbf{x})\right)$$

$$\boldsymbol{\theta}^{*} = \underset{\boldsymbol{\theta}}{\operatorname{argmin}} \sum_{i} \sum_{j} \left(d_{\mathbf{x}_{i}}^{y_{j}} \ln \frac{d_{\mathbf{x}_{i}}^{y_{j}}}{p(y_{j}|\mathbf{x}_{i};\boldsymbol{\theta})}\right)$$

$$= \underset{\boldsymbol{\theta}}{\operatorname{argmax}} \sum_{i} \sum_{j} d_{\mathbf{x}_{i}}^{y_{j}} \ln p(y_{j}|\mathbf{x}_{i};\boldsymbol{\theta}).$$



$$T(\boldsymbol{\theta}) = \sum_{i,j} d_{\boldsymbol{x}_i}^{y_j} \sum_{k} \theta_{y_j,k} g_k(\boldsymbol{x}_i) - \sum_{i} \ln \sum_{j} \exp \left(\sum_{k} \theta_{y_j,k} g_k(\boldsymbol{x}_i) \right)$$



专用算法

• IIS-LLD [Geng, Yin, and Zhou, TPAMI'13] [Geng, Smith-Miles, and Zhou, AAAI'10]

```
Algorithm 1: IIS-LLD
        Input: The training set S = \{(x_i, D_i)\}_{i=1}^n and the
                          convergence criterion \varepsilon
        Output: p(y|x;\theta)
   1 Initialize the model parameter vector \boldsymbol{\theta}^{(0)};
   l \leftarrow 0;
   3 repeat
    \begin{array}{c|c} \mathbf{4} & l \leftarrow l+1; \\ \mathbf{5} & \text{Solve Eq. (4) for } \delta_{y,k}; \\ \mathbf{6} & \boldsymbol{\theta}^{(l)} \leftarrow \boldsymbol{\theta}^{(l-1)} + \boldsymbol{\Delta}; \end{array} 
   7 until T(\boldsymbol{\theta}^{(l)}) - T(\boldsymbol{\theta}^{(l-1)}) < \varepsilon;
  s \ p(y|x; \boldsymbol{\theta}) \leftarrow \frac{1}{Z} \exp\left(\sum_{k} \theta_{y,k}^{(l)} g_k(x)\right);
\overline{\sum_{i} p(y_j | \boldsymbol{x}_i; \boldsymbol{\theta}) g_k(\boldsymbol{x}_i) \exp(\delta_{y_j,k} s(g_k(\boldsymbol{x}_i)) g^{\#}(\boldsymbol{x}_i))}
                                                                                                                                (4)
```

$$p(o_{y_j,k}s(g_k(\boldsymbol{x}_i))g^*(\boldsymbol{x}_i))$$
 (4) $-\sum_i d_{\boldsymbol{x}_i}^{y_j}g_k(\boldsymbol{x}_i)=0,$



专用算法

• BFGS-LLD [Geng, TKDE'16]

$$\frac{\partial T'(\boldsymbol{\theta})}{\partial \theta_{y_j,k}} = \sum_{i} \frac{\exp\left(\sum_{k} \theta_{y_j,k} g_k(\boldsymbol{x}_i)\right) g_k(\boldsymbol{x}_i)}{\sum_{j} \exp\left(\sum_{k} \theta_{y_j,k} g_k(\boldsymbol{x}_i)\right)} - \sum_{i} d_{\boldsymbol{x}_i}^{y_j} g_k(\boldsymbol{x}_i). \quad 5$$

$$6$$

$$(14) \quad 7$$

```
Algorithm 2: BFGS-LLD
              Input: The training set S = \{(x_i, D_i)\}_{i=1}^n and the
                                                 convergence criterion \varepsilon
              Output: p(y|x;\theta)
     1 Initialize the model parameter vector \boldsymbol{\theta}^{(0)};
     2 Initialize the inverse Hessian approximation B^{(0)};
     3 Compute \nabla T'(\boldsymbol{\theta}^{(0)}) by Eq. (14);
   5 repeat
6 | Col
                               Compute search direction p^{(l)} \leftarrow -B^{(l)} \nabla T'(\boldsymbol{\theta}^{(l)});
                              Compute the step length \alpha^{(l)} by a line search
                              procedure to satisfy Eq. (8) and (9);
                      \boldsymbol{\theta}^{(l+1)} \leftarrow \boldsymbol{\theta}^{(l)} + \alpha^{(l)} \boldsymbol{p}^{(l)}:
     9 Compute \nabla T'(\boldsymbol{\theta}^{(l+1)}) by Eq. (14);
                             \boldsymbol{s}^{(l)} \leftarrow \boldsymbol{\theta}^{(l+1)} - \boldsymbol{\theta}^{(l)}:
 11 u^{(l)} \leftarrow \nabla T'(\boldsymbol{\theta}^{(l+1)}) - \nabla T'(\boldsymbol{\theta}^{(l)});
12 egin{array}{c|c} oldsymbol{
ho}^{(l)} \leftarrow rac{1}{oldsymbol{s}^{(l)}oldsymbol{u}^{(l)}}; \ B^{(l+1)} \leftarrow (oldsymbol{I} - oldsymbol{
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ho}^{(l)}oldsymbol{s}^{(\hat{l})}(oldsymbol{s}^{(\hat{l})})^{\mathrm{T}};
15 until \|\nabla T'(\boldsymbol{\theta}^{(l)})\| < \varepsilon;
16 p(y|x; \boldsymbol{\theta}) \leftarrow \frac{1}{Z} \exp\left(\sum_{k} \theta_{y,k}^{(l)} g_k(x)\right);
```



专用算法 - 更多...

- 两种算法设计路线
 - ・分类

用条件质量函数 p(y|x) 为示例 x 到标记分布 D 之间的映射建模

- IIS-LLD [Geng, Smith-Miles and Zhou, AAAI'10]
- CPNN [Geng, Yin and Zhou, TPAMI'13]
- BFGS-LLD [Geng, TKDE'16]
- SCE-LDL [Yang, Geng and Zhou, IJCAI'16]
- 回归

用多元回归为示例 x 到标记分布 D 之间的映射建模

- LDSVR [Geng and Hou, IJCAI'15]
- LDLogitBoost [Xing, Geng and Xue, CVPR'16]

算法代码下载地址:

http://cse.seu.edu.cn/PersonalPage/xgeng/LDL



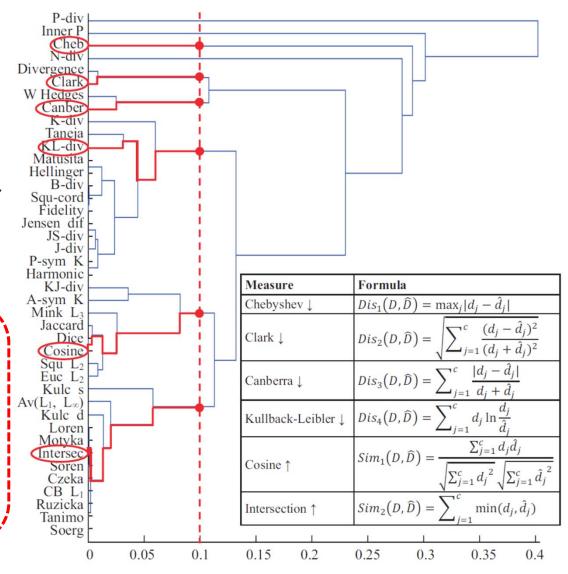
LDL算法评价指标 [Geng, TKDE'16]

· 预测标记分布与真实 标记分布之间的平均 距离/相似度

从41种度量中,通过一个单连接聚合层次聚类过程 选择出6种度量

选择准则:

- 1. 任意两个度量之间距离大于0.1;
- 2. 每个度量来自不同语法族;
- 3. 一般不易受到不稳定情况 (如分母为0)影响;
- 4. 常见度量





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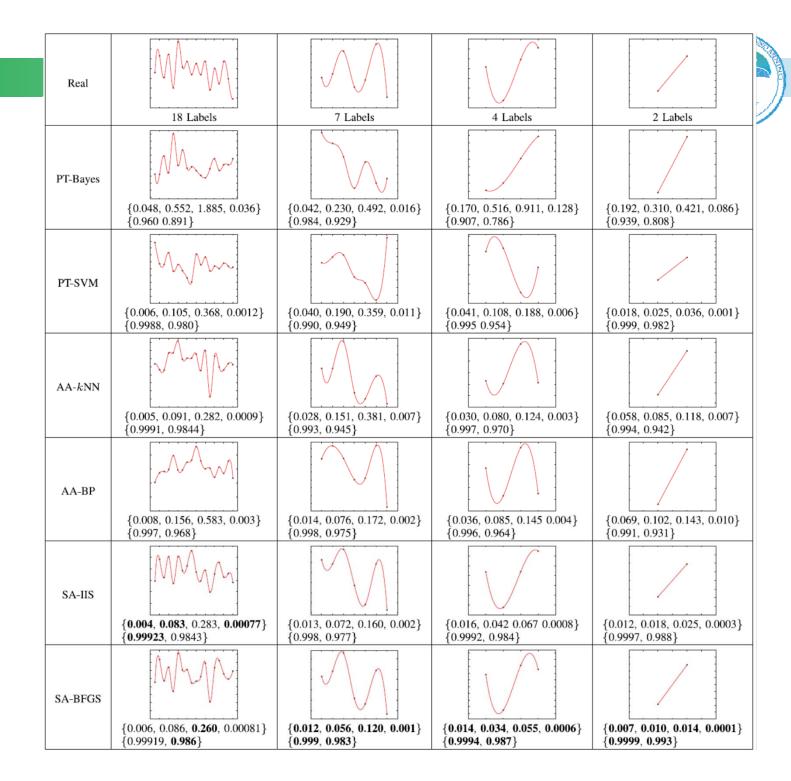




真实世界数据

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典型 预结果 举例





真实世界数据(定量分析)

Experimental Results (mean \pm std(rank)) on the Real-World Datasets Measured by Kullback-Leibler Divergence \downarrow

Dataset	PT-Bayes	PT-SVM	AA-kNN	AA-BP	SA-IIS	SA-BFGS
Yeast-alpha	0.719 ± 0.080 (6)	$0.009 \pm 0.002(4)$	$0.0066 \pm 0.001(2)$	$0.081 \pm 0.011(5)$	$0.0067 \pm 0.001(3)$	0.006 ± 0.001 (1)
Yeast-cdc	$0.603 \pm 0.073(6)$	$0.010 \pm 0.002(4)$	$0.0083 \pm 0.001(3)$	$0.060 \pm 0.007(5)$	$0.0082 \pm 0.001(2)$	0.007 ± 0.001 (1)
Yeast-elu	$0.556 \pm 0.071(6)$	$0.008 \pm 0.001(4)$	$0.0074 \pm 0.0004(3)$	$0.051 \pm 0.009(5)$	$0.0073 \pm 0.0005(2)$	$0.006 \pm 0.0004(1)$
Yeast-diau	$0.306 \pm 0.036(6)$	$0.019 \pm 0.002(4)$	$0.015 \pm 0.001(3)$	$0.024 \pm 0.004(5)$	$0.014 \pm 0.001(2)$	$0.013 \pm 0.001(1)$
Yeast-heat	$0.255 \pm 0.040(6)$	$0.0148 \pm 0.001(4)$	$0.0145 \pm 0.001(3)$	$0.021 \pm 0.004(5)$	$0.0133 \pm 0.0004(2)$	$0.0126 \pm 0.0005(1)$
Yeast-spo	$0.281 \pm 0.031(6)$	$0.0304 \pm 0.005(4)$	$0.0302 \pm 0.002(3)$	$0.034 \pm 0.006(5)$	$0.0254 \pm 0.003(2)$	0.0246 ± 0.003 (1)
Yeast-cold	$0.208 \pm 0.031(6)$	$0.0147 \pm 0.001(4)$	$0.014 \pm 0.001(3)$	$0.0149 \pm 0.002(5)$	$0.013 \pm 0.001(2)$	$0.012 \pm 0.001(1)$
Yeast-dtt	$0.206 \pm 0.029(6)$	$0.0073 \pm 0.001(4)$	$0.0072 \pm 0.001(3)$	$0.009 \pm 0.001(5)$	$0.0070 \pm 0.001(2)$	0.006 ± 0.001 (1)
Yeast-spo5	$0.214 \pm 0.025(6)$	$0.03010 \pm 0.003(3)$	$0.033 \pm 0.003(5)$	$0.031 \pm 0.003(4)$	$0.03007 \pm 0.003(2)$	$0.029 \pm 0.003(1)$
Yeast-spoem	$0.190 \pm 0.038(6)$	$0.0280 \pm 0.004(4)$	$0.0285 \pm 0.003(5)$	$0.026 \pm 0.003(3)$	$0.025 \pm 0.003(2)$	$0.024 \pm 0.003(1)$
Human Gene	$1.887 \pm 0.766(6)$	$0.240 \pm 0.019(3)$	$0.301 \pm 0.026(4)$	$0.500 \pm 0.068(5)$	$0.238 \pm 0.019(2)$	$0.236 \pm 0.019(1)$
Natural Scene	$3.065 \pm 0.487(6)$	$1.447 \pm 0.243(4)$	$2.767 \pm 0.137(5)$	$0.875 \pm 0.029(3)$	$0.870 \pm 0.026(2)$	$0.854 \pm 0.062(1)$
s-JAFFE	$0.074 \pm 0.014(4)$	$0.086 \pm 0.016(5)$	$0.071 \pm 0.023(3)$	$0.113 \pm 0.030(6)$	$0.070 \pm 0.012(2)$	0.064 ± 0.016 (1)
s-BU_3DFE	$0.079 \pm 0.004(4)$	$0.089 \pm 0.007(6)$	$0.065 \pm 0.002(2)$	$0.085 \pm 0.009(5)$	$0.068 \pm 0.004(3)$	$0.049 \pm 0.002(1)$
Movie	$0.953 \pm 0.352(6)$	$0.268 \pm 0.079(5)$	$0.201 \pm 0.011(4)$	$0.179 \pm 0.03(3)$	$0.137 \pm 0.013 (1)$	$0.140 \pm 0.020(2)$
Avg. Rank	5.73	4.13	3.40	4.60	2.07	1.07



真实世界数据(定量分析)

• 算法比较(6个指标上平均排序一致)

SA-BFGS > SA-IIS > AA-kNN > PT-SVM > AA-BP > PT-Bayes

- 专用算法(SA-BFGS和SA-IIS)比传统算法转化(PT)或改造 (AA)来的算法更好;
- SA-BFGS比SA-IIS更好一些;
- PT-Bayes的Gaussian假设可能不适用真实世界数据;
- AA-BP较容易过配;
- · AA-KNN保留了标记分布的整体性,而PT-SVM破坏了这种整体性



实际应用

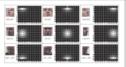


Facial Age Estimation

- . X. Geng, Q. Wang, and Y. Xia. Facial Age Estimation by A
- . X. Geng, C. Yin, and Z.-H. Zhou. Facial Age Estimation by
- . X. Geng, K. Smith-Miles, Z.-H. Zhou. Facial Age Estimation

人脸年龄估计

on (ICPR'14), Stockholm, Sweden, 2014, pp. 4465 - 4470. MI), 2013, 35(10): 2401-2412. AAI'10), Atlanta, GA, 2010, pp. 451-456.



Head Pose Estimation

. X. Geng and Y. Xia. Head Pose Estimation Based on Mu

头部姿态估计

ecognition (CVPR'14), Columbus, OH, 2014, pp. 1837-1842.



Pre-release Prediction of Movies

X.Geng and P.Hou. Pre-release Prediction of Crowd Opinion on Movies by Label Distribution Learning. In: Proceedings of the International Joint Conference on Artificial Intelligence (IJCAl'15), Buenos Aires, Argentina, 2015, 3511-3517.

电影评价预测



Multi-label Ranking

• X. Geng and L.-L Luo. Multilabel Ranking with Inconsistent Rankers. In: Proceedings of IEEE International Conference on Computer Vision and Pattern Recognition (CVPR'14), Columbus, OH, 2014, pp. 3742-3747.

自然场景图像多标记排序

Emotion Distribution Recognition

• X.Geng and P.Hou. Y. Zhou, H. Xue and X. Geng, Emotion Distribution Recognition from Facial Expressions. In: Proceedings of the 23rd ACM International Conference on Multimedia (ACM MM'15), Brisbane, Australia, 2015, 1247-1250.

情感分布识别

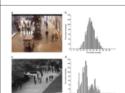






Multi-label Learning

- P. Hou, X. Geng and M.-L. Zhang. Multi-Label Manifold Learning. In: Proceedings of the 30th AAAI Conference on Artificial Intelligence (AAAI'16), Phoenix, AZ, 2016, in press.
- Y.-K. Li, M.-L. Zhang and X. Geng. Leveraging implicit relative labeling-importance information for effective multi-label learning. In: Proceedings of the 15th IEEE International Conference on Data Mining (ICDM'15), Atlantic City, NJ, 2015, 251-260.



Crowd Counting

• Z. Zhang, M. Wang, X. Geng. Crowd Counting in Public Video Surveillance by Label Distribution Learning. Neurocomputing, 2015, vol. 166: 151-163.

人群计数



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总结

·标记分布学习

- 是一种比传统单标记和多标记学习更为泛化的学习范式
- 能够处理标记的不同重要程度(描述度)
- 对某些实际问题匹配的更好
- 需要专门的算法设计

·标记分布学习适用于

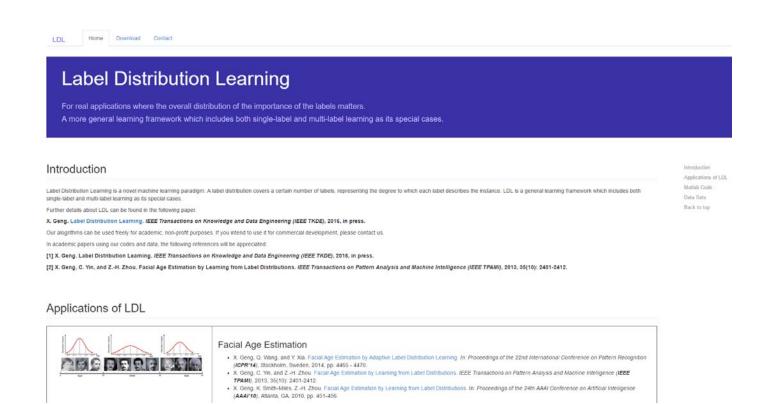
- · 数据本身具有某种天然的描述度度量
- · 标记之间有较强相关性
- ・同一示例由多个标注源标注并产生不一致性
- · 同一示例与多个标记相关,且标记重要程度不同

•



对LDL感兴趣?

所有LDL论文、算法代码和数据集可从如下地址下载: http://cse.seu.edu.cn/PersonalPage/xgeng/LDL





参考文献

- 1. D. Zhou, Y. Zhou, X. Zhang, Q. Zhao and X. Geng. Emotion Distribution Learning from Texts. In: *Proceedings of the Conference on Empirical Methods in Natural Language Processing (EMNLP'16)*, Austin, TX, 2016, in press.
- 2. X. Geng. Label Distribution Learning. *IEEE Transactions on Knowledge and Data Engineering (IEEE TKDE)*, 2016, 28(7): 1734-1748.
- 3. X. Yang, X. Geng and D.-Y. Zhou. Sparsity Conditional Energy Label Distribution Learning for Age Estimation. In: *Proceedings of the International Joint Conference on Artificial Intelligence (IJCAI'16)*, New York City, NY, 2016, 2259-2265.
- 4. C. Xing and X. Geng. Logistic Boosting Regression for Label Distribution Learning. In: *Proceedings of IEEE International Conference on Computer Vision and Pattern Recognition (CVPR'16)*, Las Vegas, NV, 2016, 4489-4497.
- 5. Xin Geng and Peng Hou. Pre-release Prediction of Crowd Opinion on Movies by Label Distribution Learning. In: *Proceedings of the International Joint Conference on Artificial Intelligence (IJCAI'15)*, Buenos Aires, Argentina, 2015, 3511-3517.
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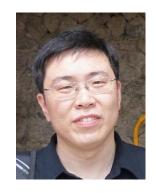


致谢

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谢谢!



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