

# Database Technology

## Topic 3: SQL

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# Structured Query Language

- Considered one of the major reasons for the commercial success of relational DBMSs such as IBM DB2, Oracle, MySQL, etc.
- **Declarative** language (what data to get, not how)
- Statements for data definitions, queries, and updates
  - both a *data definition language* (DDL) and a *data manipulation language* (DML)
- Terminology:

Relational Model	SQL
relation	table
tuple	row
attribute	column
- *Syntax notes:*
  - Some interfaces require each statement to end with a semicolon
  - SQL is not case-sensitive

# SQL DDL

## Defining SQL Databases

# Creating Tables

```
CREATE TABLE <tablename> (  
    <colname> <datatype> [<constraint>],  
    ...,  
    [<constraint>],  
    ...  
);
```

- Data types: integer, decimal, number, varchar, char, etc.
- Constraints: not null, primary key, foreign key, unique, etc.

# Creating Tables (Example)

```
CREATE TABLE WORKS_ON (  
    ESSN    integer,  
    PNO     integer,  
    HOURS   decimal(3,1),  
  
    constraint pk_workson  
        primary key (ESSN, PNO),  
  
    constraint fk_works_emp  
        FOREIGN KEY (ESSN) references EMPLOYEE(SSN),  
  
    constraint fk_works_proj  
        FOREIGN KEY (PNO) references PROJECT(PNUMBER)  
);
```

# Modifying Table Definitions

- Add, delete, and modify columns and constraints

```
ALTER TABLE EMPLOYEE ADD COLUMN JOB VARCHAR(12);  
ALTER TABLE EMPLOYEE DROP COLUMN ADDRESS CASCADE;
```

```
ALTER TABLE WORKS_ON DROP FOREIGN KEY fk_works_emp;
```

```
ALTER TABLE WORKS_ON ADD CONSTRAINT fk_works_emp  
      FOREIGN KEY (ESSN) REFERENCES EMPLOYEE(SSN);
```

- Delete a table and its definition

```
DROP TABLE EMPLOYEE;
```

# SQL Queries

Retrieving data from an SQL database

# Basic SQL Retrieval Queries

- All retrievals return a result in the form of a table
- The requested result table is described using a SELECT statement

```
SELECT <return list>  
FROM   <table list>  
[ WHERE <condition> ] ;
```

where

<return list> is a list of column names (or expressions)  
whose values are to be retrieved

<table list> is a list of table names required to process  
the query

<condition> is a Boolean expression that identifies the  
tuples to be retrieved by the query (if no  
WHERE clause, all tuples to be retrieved)



# Example

```
SELECT title, year, genre
FROM Film
WHERE director = 'Steven Spielberg'
```

1. Start with the relation named in the FROM clause
2. Consider each tuple one after the other, eliminating those that do not satisfy the WHERE clause
3. For each remaining tuple, create a return tuple with columns for each expression (column name) in the SELECT clause

Film

title	genre	year	director	minutes	budget	gross
<del>The Company Men</del>	<del>drama</del>	<del>2010</del>	<del>John Wells</del>	<del>104</del>	<del>15,000,000</del>	<del>4,439,063</del>
Lincoln	biography	2012	Steven Spielberg	150	65,000,000	181,408,467
War Horse	drama	2011	Steven Spielberg	146	66,000,000	79,883,359
<del>Argo</del>	<del>drama</del>	<del>2012</del>	<del>Ben Affleck</del>	<del>120</del>	<del>44,500,000</del>	<del>135,178,251</del>

# All Attributes

- List all information about the employees of department 5.

```
SELECT Fname, Minit, Lname, Ssn, Bdate, Address,  
        Sex, Salary, Super_ssn, Dno  
FROM EMPLOYEE  
WHERE Dno = 5;
```

or

```
SELECT *  
FROM EMPLOYEE  
WHERE Dno = 5;
```

Other comparison operators  
that we may use: =, <>, >, =>, etc.

all attributes of the table  
(in the order in which they  
occurred in the corresponding  
CREATE TABLE statements)

EMPLOYEE

Fname	Minit	Lname	<u>Ssn</u>	Bdate	Address	Sex	Salary	Super_ssn	Dno
-------	-------	-------	------------	-------	---------	-----	--------	-----------	-----

# Logical Operators

- List the last name, birth date and address for all employees whose name is `Alicia J. Zelaya`

```
SELECT Lname, Bdate, Address
FROM EMPLOYEE
WHERE Fname = 'Alicia'
      AND Minit = 'J'
      AND Lname = 'Zelaya';
```

Other logical  
operators that  
we may use:  
and, or, not

EMPLOYEE

Fname	Minit	Lname	<u>Ssn</u>	Bdate	Address	Sex	Salary	Super_ssn	Dno
-------	-------	-------	------------	-------	---------	-----	--------	-----------	-----

# Pattern Matching in Strings

- List the birth date and address for all employees whose last name contains the substring 'aya'

```
SELECT Bdate, Address  
FROM EMPLOYEE  
WHERE Lname LIKE '%aya%';
```

**LIKE** comparison operator

% represents 0 or more characters

\_ represents a single character

EMPLOYEE

Fname	Minit	Lname	<u>Ssn</u>	Bdate	Address	Sex	Salary	Super_ssn	Dno
-------	-------	-------	------------	-------	---------	-----	--------	-----------	-----

# NULLs

- List all employees that do not have a supervisor.

```
SELECT Fname, Lname  
FROM EMPLOYEE  
WHERE Super_ssn IS NULL;
```

‘Super\_ssn = NULL’ and  
‘Super\_ssn <> NULL’  
will not return any matching tuples,  
because NULL is **incomparable** to  
any value, including another NULL

EMPLOYEE

Fname	Minit	Lname	<u>Ssn</u>	Bdate	Address	Sex	Salary	Super_ssn	Dno
-------	-------	-------	------------	-------	---------	-----	--------	-----------	-----

# Tables as Sets

- List all salaries:

```
SELECT SALARY  
FROM EMPLOYEE;
```

- SQL considers a table as a multi-set (bag), i.e. tuples may occur more than once in a table
  - This is different from the relational data model
- Why?
  - Removing duplicates is expensive
  - User may want information about duplicates
  - Aggregation operators (e.g., sum)

<i><b>SALARY</b></i>
30000
40000
25000
43000
38000
25000
25000
55000

# Removing Duplicates

- List all salaries:

```
SELECT SALARY  
FROM EMPLOYEE;
```

<i><b>SALARY</b></i>
30000
40000
25000
43000
38000
25000
25000
55000

- List all salaries without duplicates

```
SELECT DISTINCT SALARY  
FROM EMPLOYEE;
```

<i><b>SALARY</b></i>
30000
40000
25000
43000
38000
55000

# Set Operations

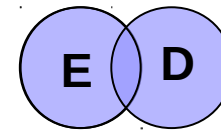
Duplicate tuples are removed.

Queries can be combined by set operations: UNION, INTERSECT, EXCEPT (*MySQL only supports UNION*)

- Example: retrieve the first names of all people in the database.

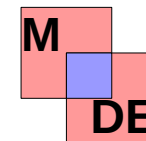
```
SELECT FNAME FROM EMPLOYEE  
UNION
```

```
SELECT DEPENDENT_NAME FROM DEPENDENT;
```



- Example: Which department managers have dependents?  
Show their SSN.

```
SELECT MGRSSN FROM DEPARTMENT  
INTERSECT  
SELECT ESSN FROM DEPENDENT;
```





# Join: Cartesian Product

Employee

<u>EmpName</u>	Dept
Jennifer	5
Paul	4

Department

DeptName	<u>DNO</u>
Research	5
Administration	4

- List all employees and the names of their departments

```
SELECT EmpName, DeptName  
FROM Employee, Department;
```

- Intermediate result before SELECT:

EmpName	Dept	DeptName	DNO
Jennifer	5	Research	5
Jennifer	5	Administration	4
Paul	4	Research	5
Paul	4	Administration	4

- Result:

EmpName	DeptName
Jennifer	Research
Jennifer	Administration
Paul	Research
Paul	Administration

# Join: Equijoin

Employee

<u>EmpName</u>	Dept
Jennifer	5
Paul	4

Department

DeptName	<u>DNO</u>
Research	5
Administration	4

- List all employees and the names of their departments

```
SELECT EmpName, DeptName
FROM Employee, Department
WHERE Dept = DNO ;
```

- Intermediate result before SELECT:

EmpName	Dept	DeptName	DNO
Jennifer	5	Research	5
Jennifer	5	Administration	4
Paul	4	Research	5
Paul	4	Administration	4

- Result:

EmpName	DeptName
Jennifer	Research
Paul	Administration

# Inner Join

<u>EmpName</u>	Dept
Jennifer	5
Paul	4

DeptName	<u>DNO</u>
Research	5
Administration	4

- List all employees and the names of their departments

```
SELECT EmpName, DeptName  
FROM Employee, Department  
WHERE Dept = DNO ;
```

- As an alternative, the join condition may be given in the **FROM** clause by using the keywords **INNER JOIN** and **ON** as follows:

```
SELECT EmpName, DeptName  
FROM Employee INNER JOIN Department ON Dept = DNO ;
```

# Ambiguous Names: Aliasing

Employee

<u>Name</u>	Dept
Jennifer	5
Paul	4

Department

<u>Name</u>	<u>DNO</u>
Research	5
Administration	4

- What if the same attribute name is used in different relations?

~~**SELECT** Name, Name  
**FROM** Employee, Department  
**WHERE** Dept = DNO ;~~

---

**SELECT** Employee.Name, Department.Name  
**FROM** Employee, Department  
**WHERE** Dept = DNO ;

---

**SELECT** E.Name, D.Name  
**FROM** Employee **AS** E, Department **AS** D  
**WHERE** Dept = DNO ;

alias

# Self-Join

- List the last name for all employees together with the last names of their supervisors

```
SELECT E.Lname AS "Employee",  
        S.Lname AS "Boss"  
FROM EMPLOYEE E, EMPLOYEE S  
WHERE E.Super_ssn = S.Ssn;
```

EMPLOYEE

Fname	Minit	Lname	<u>Ssn</u>	Bdate	Address	Sex	Salary	Super_ssn	Dno
-------	-------	-------	------------	-------	---------	-----	--------	-----------	-----

# Self-Joins may also be written as Inner Join

- List the last name for all employees together with the last names of their bosses

```
SELECT E.Lname AS "Employee",  
       S.Lname AS "Boss"  
FROM EMPLOYEE E, EMPLOYEE S  
WHERE E.Super_ssn = S.Ssn;
```

```
SELECT E.Lname "Employee",  
       S.Lname "Boss"  
FROM EMPLOYEE E INNER JOIN EMPLOYEE S  
ON E.Super_ssn = S.Ssn;
```

# Left Outer Join

- Every tuple in left table appears in result
- If there exist matching tuples in right table, works like inner join
- If no matching tuple in right table, one tuple in result with left tuple values padded with NULL values for columns of right table

## Customer

<u>custid</u>	name	address	phone
1205	Lee	633 S. First	555-1219
3122	Willis	41 King	555-9876
2134	Smith	213 Main	555-1234
1697	Ng	5 Queen N.	555-0025
3982	Harrison	808 Main	555-4829

## Sale

<u>saleid</u>	date	custid
A17	5 Dec	3122
B823	5 Dec	1697
B219	9 Dec	3122
C41	15 Dec	1205
X00	23 Dec	NULL

**SELECT \***

**FROM Customer LEFT JOIN Sale ON Customer.custid = Sale.custid**

Customer.custid	name	address	phone	saleid	date	Sale.custid
1205	Lee	633 S. First	555-1219	C41	15 Dec	1205
3122	Willis	41 King	555-9876	A17	5 Dec	3122
3122	Willis	41 King	555-9876	B219	9 Dec	3122
2134	Smith	213 Main	555-1234	NULL	NULL	NULL
1697	Ng	5 Queen N.	555-0025	B823	5 Dec	1697
3982	Harrison	808 Main	555-4829	NULL	NULL	NULL

# Joins Revisited

## Cartesian product

SELECT \* FROM a, b;

A2	A1	B1	B2
A	100	100	W
B	null	100	W
C	300	100	W
D	null	100	W
A	100	200	X
B	null	200	X
C	300	200	X
D	null	200	X
A	100	null	Y
B	null	null	Y
C	300	null	Y
D	null	null	Y
A	100	null	Z
B	null	null	Z
C	300	null	Z
D	null	null	Z

A		B	
A1	A2	B1	B2
100	A	100	W
null	B	200	X
300	C	null	Y
null	D	null	Z

## Equijoin, inner join

SELECT \* from A, B WHERE A1=B1;

A2	A1	B1	B2
A	100	100	W

## Thetajoin

SELECT \* from A, B WHERE A1>B1;

A2	A1	B1	B2
C	300	100	W
C	300	200	X



# Joins Revisited (cont'd)

## Right outer join

SELECT \* FROM A RIGHT JOIN B on A1=B1;

A2	A1	B1	B2
A	100	100	W
null	null	200	X
null	null	null	Y
null	null	null	Z

A		B	
A1	A2	B1	B2
100	A	100	W
null	B	200	X
300	C	null	Y
null	D	null	Z

## Full outer join (“union” of right+left)

SELECT \* FROM A FULL JOIN b on A1=B1;

## Left outer join

SELECT \* FROM A LEFT JOIN B on A1=B1;

A2	A1	B1	B2
A	100	100	W
C	300	null	null
B	null	null	null
D	null	null	null

A2	A1	B1	B2
A	100	100	W
null	null	200	X
null	null	null	Y
null	null	null	Z
C	300	null	null
B	null	null	null
D	null	null	null

# Subqueries (Motivation)

EMPLOYEE			WORKS_ON		
<u>SSN</u>	FNAME	LNAME	ESSN	PRJ	HOURS
20	Jennifer	Li	20	A	8
7	Paul	Smith	20	B	11
			7	A	7

- List all employees that do not have any project assignment with more than 10 hours

**SELECT** LNAME **FROM** EMPLOYEE, WORKS\_ON  
**WHERE** SSN = ESSN **AND** HOURS <= 10 ;

- Intermediate result after join:

SSN	FNAME	LNAME	ESSN	PRJ	HOURS
20	Jennifer	Li	20	A	8
20	Jennifer	Li	20	B	11
7	Paul	Smith	7	A	7

# Subqueries (Motivation)

EMPLOYEE			WORKS_ON		
SSN	FNAME	LNAME	ESSN	PRJ	HOURS
20	Jennifer	Li	20	A	8
7	Paul	Smith	20	B	11
			7	A	7

- List all employees that do not have any project assignment with more than 10 hours

~~SELECT LNAME FROM EMPLOYEE, WORKS\_ON  
WHERE SSN = ESSN AND HOURS <= 10;~~

- Intermediate result after filtering based on HOURS <= 10

SSN	FNAME	LNAME	ESSN	PRJ	HOURS
20	Jennifer	Li	20	A	8
7	Paul	Smith	7	A	7

not expected →

# Subqueries

EMPLOYEE			WORKS_ON		
<u>SSN</u>	FNAME	LNAME	ESSN	PRJ	HOURS
20	Jennifer	Li	20	A	8
7	Paul	Smith	20	B	11
			7	A	7

- List all employees that do not have any project assignment with more than 10 hours

```
SELECT LNAME
FROM EMPLOYEE
WHERE SSN NOT IN (SELECT ESSN FROM WORKS_ON
WHERE HOURS > 10.0);
```

{>, >=, <, <=, <>}  
+  
{ANY, SOME, ALL}

- Result of the subquery:

ESSN
20

- Result of the main query:

LNAME
Smith

# Correlated Subqueries

EMPLOYEE			WORKS_ON		
<u>SSN</u>	FNAME	LNAME	ESSN	PRJ	HOURS
20	Jennifer	Li	20	A	8
7	Paul	Smith	20	B	11
			7	A	7

- List all employees that do not have any project assignment with more than 10 hours

**SELECT** LNAME  
**FROM** EMPLOYEE  
**WHERE** NOT EXISTS (SELECT \* FROM WORKS\_ON  
WHERE SSN = ESSN AND HOURS > 10.0);

**EXISTS**

- Result of the correlated subquery...

– for the first EMPLOYEE tuple:

ESSN	PRJ	HOURS
20	B	11

– for the second EMPLOYEE tuple:

ESSN	PRJ	HOURS

# Additional Features

# Extended SELECT Syntax

**SELECT** *<attribute-list and function-list>*  
**FROM** *<table-list>*  
[ **WHERE** *<condition>* ]  
[ **GROUP BY** *<grouping attribute-list>* ]  
[ **HAVING** *<group condition>* ]  
[ **ORDER BY** *<attribute-list>* ];

# Aggregate Functions

- Used to accumulate information from multiple tuples, forming a single-tuple summary
- Built-in aggregate functions: SUM, MAX, MIN, AVG, COUNT
- Example: *What is the average budget of all movies ?*  
`SELECT AVG(budget) FROM Film;`

Film

title	genre	year	director	minutes	budget	gross
The Company Men	drama	2010	John Wells	104	15,000,000	4,439,063
Lincoln	biography	2012	Steven Spielberg	150	65,000,000	181,408,467
War Horse	drama	2011	Steven Spielberg	146	66,000,000	79,883,359
Argo	drama	2012	Ben Affleck	120	44,500,000	135,178,251



# Aggregate Functions

- Used to accumulate information from multiple tuples, forming a single-tuple summary
- Built-in aggregate functions: SUM, MAX, MIN, AVG, COUNT
- Example: *What is the average budget of all movies ?*  
`SELECT AVG(budget) FROM Film;`
- Used in the SELECT clause and the HAVING clause
  - Hence, cannot be used in the WHERE clause!
- NULL values are not considered in the computations; e.g.,:

	50	50
	100	100
	NULL	0
AVG:	75	50

# Aggregate Functions (cont'd)

- Example

*How many movies were directed by Steven Spielberg?*

```
SELECT COUNT(*) FROM Film
WHERE director='Steven Spielberg';
```

- All tuples in the result are counted, *with duplicates!*
  - i.e., COUNT(title) or COUNT(director) give same result

Film

title	genre	year	director	minutes	budget	gross
The Company Men	drama	2010	John Wells	104	15,000,000	4,439,063
Lincoln	biography	2012	Steven Spielberg	150	65,000,000	181,408,467
War Horse	drama	2011	Steven Spielberg	146	66,000,000	79,883,359
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# Aggregate Functions (cont'd)

- Example

*How many movies were directed by Steven Spielberg?*

```
SELECT COUNT(*) FROM Film  
WHERE director='Steven Spielberg';
```

- All tuples in the result are counted, *with duplicates!*
  - i.e., COUNT(title) or COUNT(director) give same result
- To explicitly ignore duplicates, use the DISTINCT
  - e.g., COUNT(DISTINCT year) would include each year only once

# Grouping Before Aggregation

- How can we answer a query such as  
*“How many films were directed by each director after 2001?”*
- Need to produce a result with one tuple per director
  1. Partition relation into subsets based on **grouping column(s)**
  2. Apply aggregate function to each such group independently
  3. Produce one tuple per group

Film

title	genre	year	director	minutes	budget	gross
The Company Men	drama	2010	John Wells	104	15,000,000	4,439,063
Lincoln	biography	2012	Steven Spielberg	150	65,000,000	181,408,467
War Horse	drama	2011	Steven Spielberg	146	66,000,000	79,883,359
Argo	drama	2012	Ben Affleck	120	44,500,000	135,178,251

# Grouping Before Aggregation

- How can we answer a query such as  
*“How many films were directed by each director after 2001?”*
- GROUP BY** clause to specify grouping attributes  

```
SELECT director, COUNT(*)  
FROM Film  
WHERE year > 2001  
GROUP BY director;
```

Film

title	genre	year	director	minutes	budget	gross
The Company Men	drama	2010	John Wells	104	15,000,000	4,439,063
Lincoln	biography	2012	Steven Spielberg	150	65,000,000	181,408,467
War Horse	drama	2011	Steven Spielberg	146	66,000,000	79,883,359
Argo	drama	2012	Ben Affleck	120	44,500,000	135,178,251

# Grouping Before Aggregation

- How can we answer a query such as  
*“How many films were directed by each director after 2001?”*
- **GROUP BY** clause to specify grouping attributes

```
SELECT director, COUNT(*)  
FROM Film  
WHERE year > 2001  
GROUP BY director;
```
- **Important:** Every element in SELECT clause must be a grouping column or an aggregation function
  - e.g., `SELECT director, year, COUNT(*)` would not be allowed (in the query above) unless *also* grouping by year:  
i.e., `GROUP BY director, year`

# Filtering Out Whole Groups

- After partitioning into groups, whole groups can be discarded by a HAVING clause, which specifies a condition on the groups

```
SELECT DNO, COUNT(*), AVG(SALARY)  
FROM EMPLOYEE  
GROUP BY DNO  
HAVING COUNT(*) > 2;
```

- HAVING clause cannot reference individual tuples within a group
  - Instead, can reference grouping column(s) and aggregates only
- Contrast WHERE clause to HAVING clause

*Note:* As for aggregation, no GROUP BY clause means relation treated as one group

# Sorting Query Results

- Show the department names and their locations in alphabetical order

```
SELECT DNAME, DLOCATION  
FROM DEPARTMENT D, DEPT_LOCATIONS DL  
WHERE D.DNUMBER = DL.DNUMBER  
ORDER BY DNAME ASC, DLOCATION DESC;
```

<i>DNAME</i>	<i>DLOCATION</i>
Administration	Stafford
Headquarters	Houston
Research	Sugarland
Research	Houston
Research	Bellaire



# SQL Data Manipulation

# Inserting Data

**INSERT INTO** *<table>* (*<attr>*,...) **VALUES** ( *<val>*, ...) ;

**INSERT INTO** *<table>* (*<attr>*, ...) *<subquery>* ;

- Example: Store information about how many hours an employee works for the project '1' into WORKS\_ON

**INSERT INTO** WORKS\_ON **VALUES** (123456789, 1, 32.5);

Integrity constraint!

Referential integrity constraint!

# Updating Data

**UPDATE** *<table>* **SET** *<attr>* = *<val>* ,...

**WHERE** *<condition>* ;

**UPDATE** *<table>* **SET** (*<attr>*, ....) = ( *<subquery>* )

**WHERE** *<condition>* ;

Integrity constraint!

Referential integrity constraint!

- Example: Give all employees in the 'Research' department a 10% raise in salary

**UPDATE** *EMPLOYEE*

**SET** *SALARY* = *SALARY*\*1.1

**WHERE** *DNO* IN (**SELECT** *DNUMBER*

**FROM** *DEPARTMENT*

**WHERE** *DNAME* = 'Research');

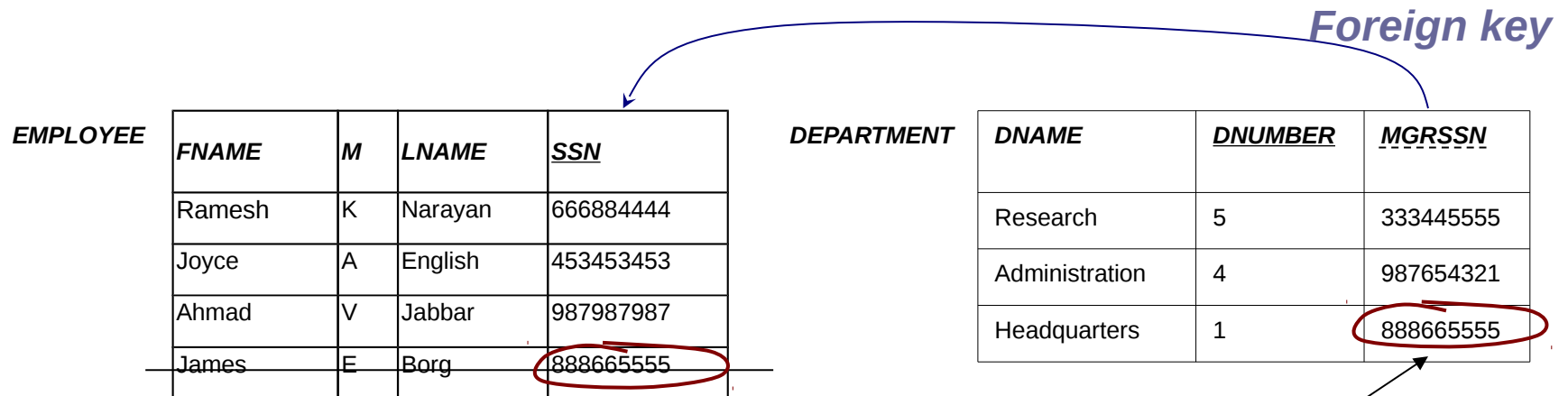
# Deleting Data

**DELETE FROM** *<table>* **WHERE** *<condition>* ;

- Delete the employees having the last name 'Borg' from the EMPLOYEE table.

**DELETE FROM** *EMPLOYEE*

**WHERE** *LNAME* = 'Borg';



ON DELETE SET NULL / DEFAULT / CASCADE ?

**Referential integrity constraint!**

# Views

# What are Views?

- A **virtual** table **derived** from other (possibly virtual) tables, i.e. always up-to-date

```
CREATE VIEW dept_view AS  
SELECT DNO, COUNT(*) AS C, AVG(SALARY) AS S  
FROM EMPLOYEE  
GROUP BY DNO;
```

- Why?
  - ☐ Simplify query commands
  - ☐ Provide data security
  - ☐ Enhance programming productivity

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