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# FedMD: Heterogenous Federated Learning via Model Distillation

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1. Introduction
2. Proposed Method
3. Experiment
4. Conclusion

## FL 논문들의 실효성에 대한 의문

- FedAvg 논문의 낮은 contribution
- Federate Learning의 실체가 궁금하다

# 1 Introduction: 논문 선택 이유

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**FL's Goal:** 데이터가 분산된 상태로 학습한 성능  $\sim$  한 곳에 집적된 상태로 학습한 성능

## Benefit

- Data Collecting Cost 회피 가능
- Data Privacy(보안)

## 2 MIL Related: Federate Learning

**FedAVG** : Client들이 SGD를 통해 학습하고 업데이트 정보(LOSS) Aggregate => Global update

### Algorithm 2 Generalized FEDAVG

Initialization:  $x_0$

**for**  $t = 0, \dots, T - 1$  **do**

Sample subset  $\mathcal{S}$  of clients

$x_{i,0}^t = x_t$

**for each client**  $i \in \mathcal{S}$  **in parallel do**

**for**  $k = 0, \dots, K - 1$  **do**

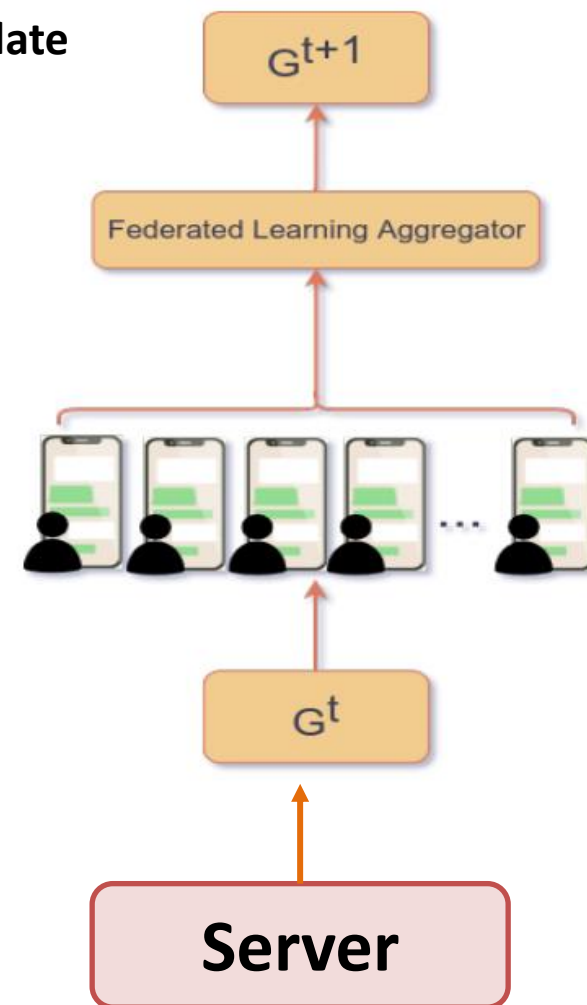
Compute an unbiased estimate  $g_{i,k}^t$  of  $\nabla F_i(x_{i,k}^t)$

$x_{i,k+1}^t = \text{CLIENTOPT}(x_{i,k}^t, g_{i,k}^t, \eta_l, t)$

$\Delta_i^t = x_{i,K}^t - x_t$

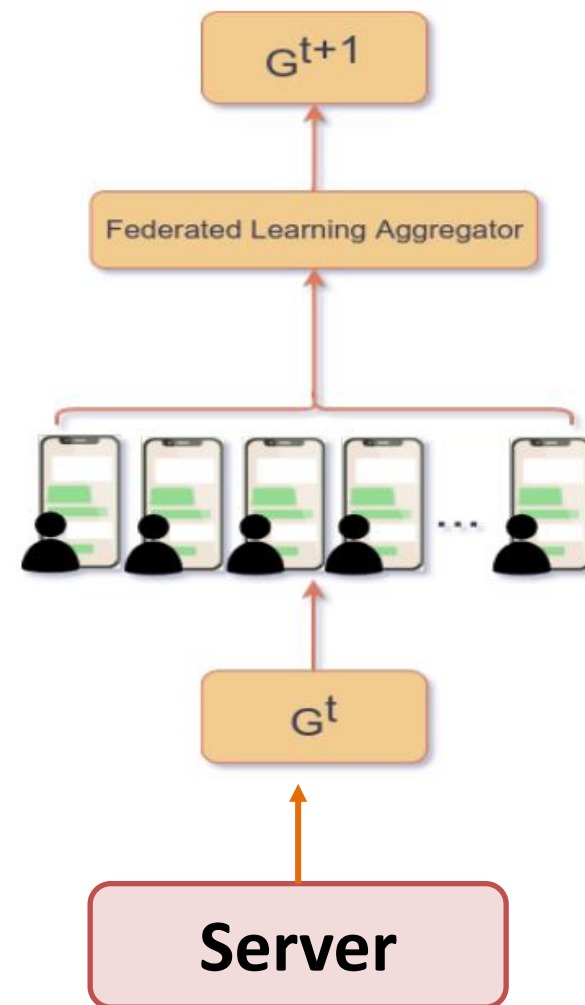
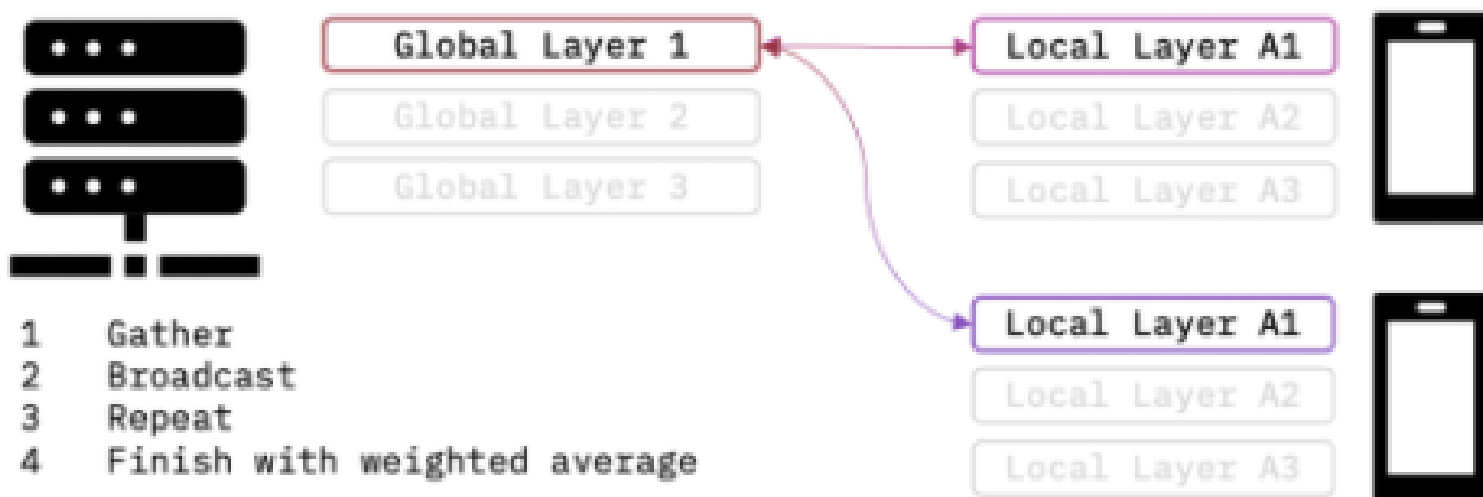
$\Delta_t = \frac{1}{|\mathcal{S}|} \sum_{i \in \mathcal{S}} \Delta_i^t$

$x_{t+1} = \text{SERVEROPT}(x_t, -\Delta_t, \eta, t)$



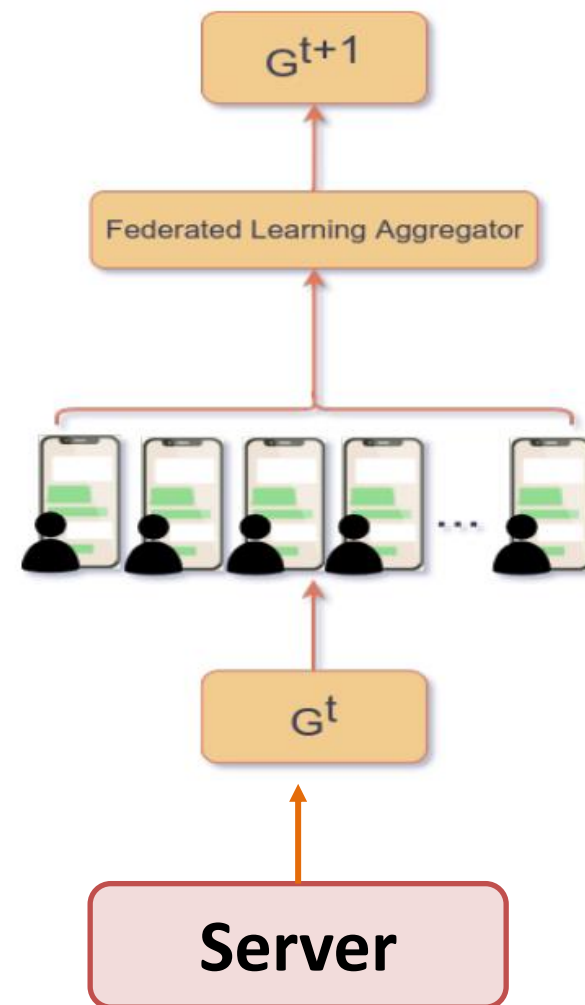
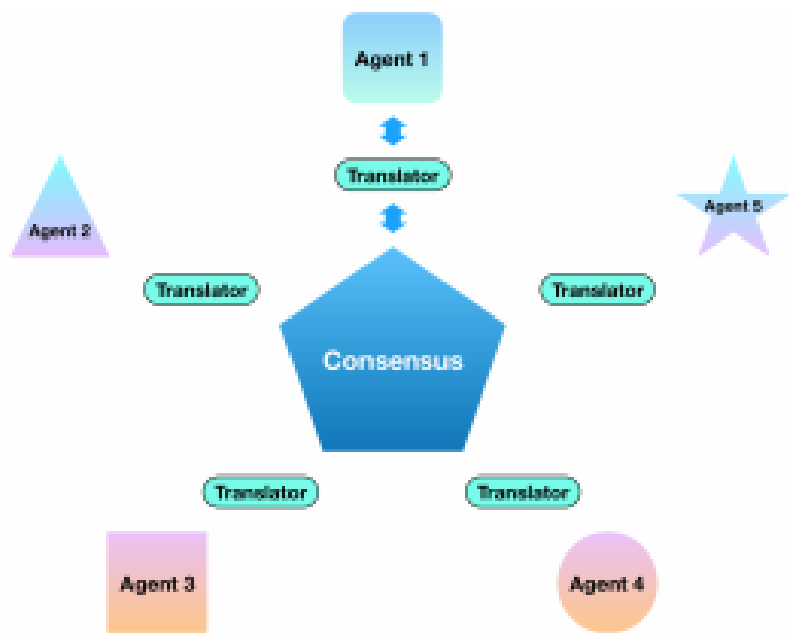
## 2 MIL Related: Federate Learning

**FedMA** : 모델의 Layer을 공유 (layer-wise matching)



## 2 MIL Related: Federate Learning

FedMD : KD를 활용하여 Federate



**Both of FL's benefits & challenges come from same setting**



**Both of FL's benefits & challenges come from same setting**

⇒ **Heterogeneity**(Data distributed on different clients)

Both of FL's **benefits & challenges** come from same setting

⇒ **Heterogeneity**(Data distributed on different clients)

- Cloud storage cost efficiency
- Privacy
- Client Drifts
  - Bandwidth heterogeneity
  - Computational power heterogeneity
  - Statistical heterogeneity ( **the non i.i.d problem** )

# 1/MIL Introduction: 논문 선택 이유

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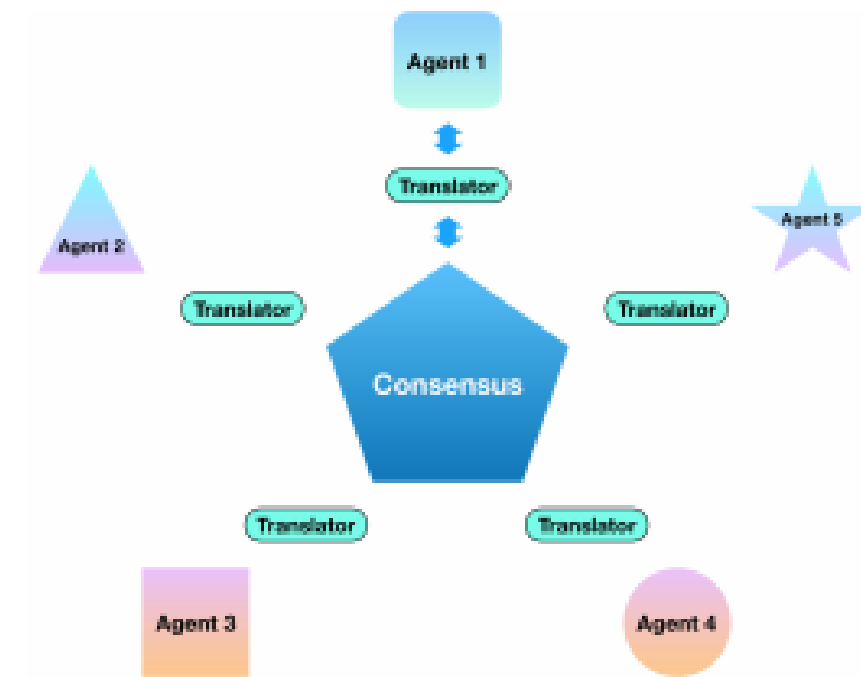
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**FL's Goal:** heterogeneity에 기반하는 challenges 들을 극복하면서 Centralized Model의 성능을 구현

- Communication cost efficiency
- Heterogeneity / Non- iid 문제를 해결할 수 있어야 한다.
- Privacy가 보장되어야 한다.
- Single Storage Model의 성능과 비슷해야 한다.
- Client(edge)가 운용할 수 있는 크기 어야 한다.

## FedMD's Contribution

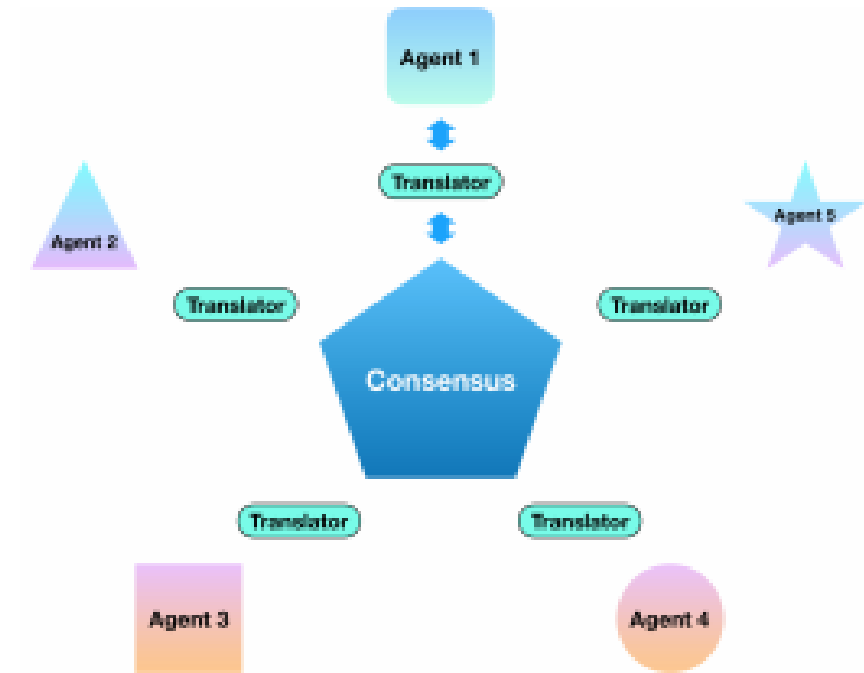
=> Model Heterogeneity



## FedMD's Contribution

=> Model Heterogeneity

- Transfer Learning
- Knowledge Distillation



## 2<sup>MIL</sup> Proposed Method: Why We need Model Heterogeneity?

# FedAvg

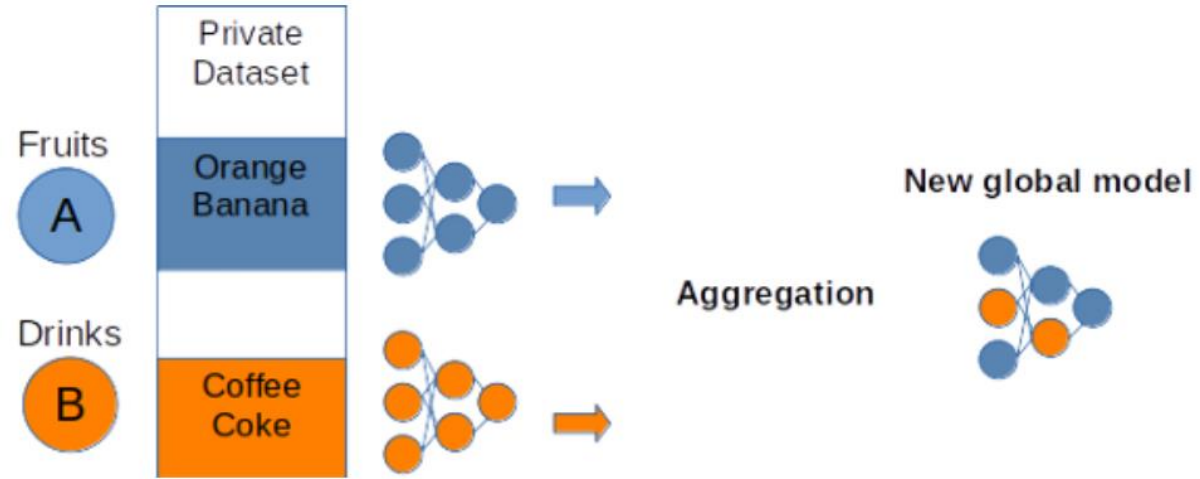


Fig 1. FedAvg: Simple model averaging (Image by Author)

## 2<sup>MIL</sup> Proposed Method: Why We need Model Heterogeneity?

# FedAvg

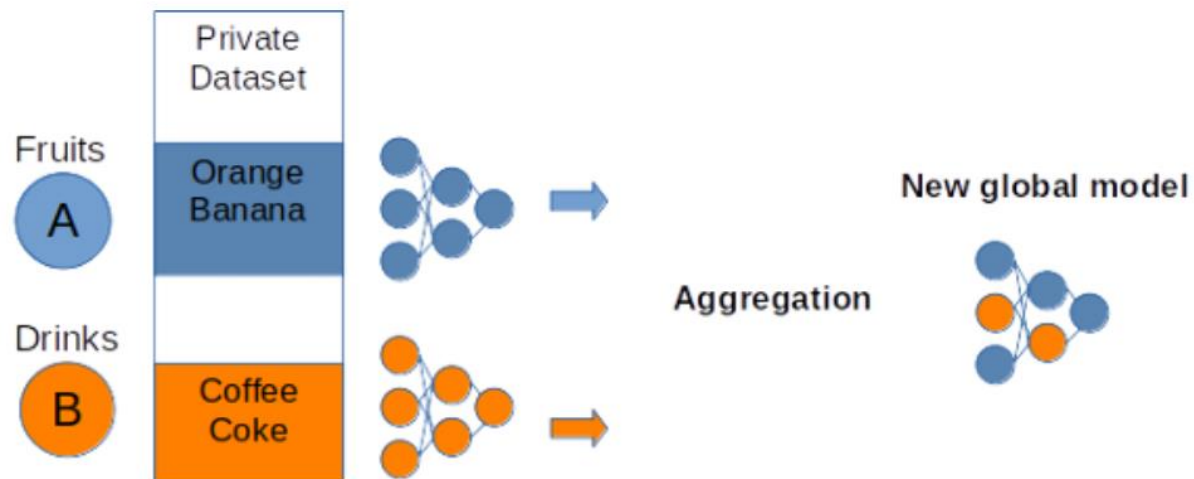
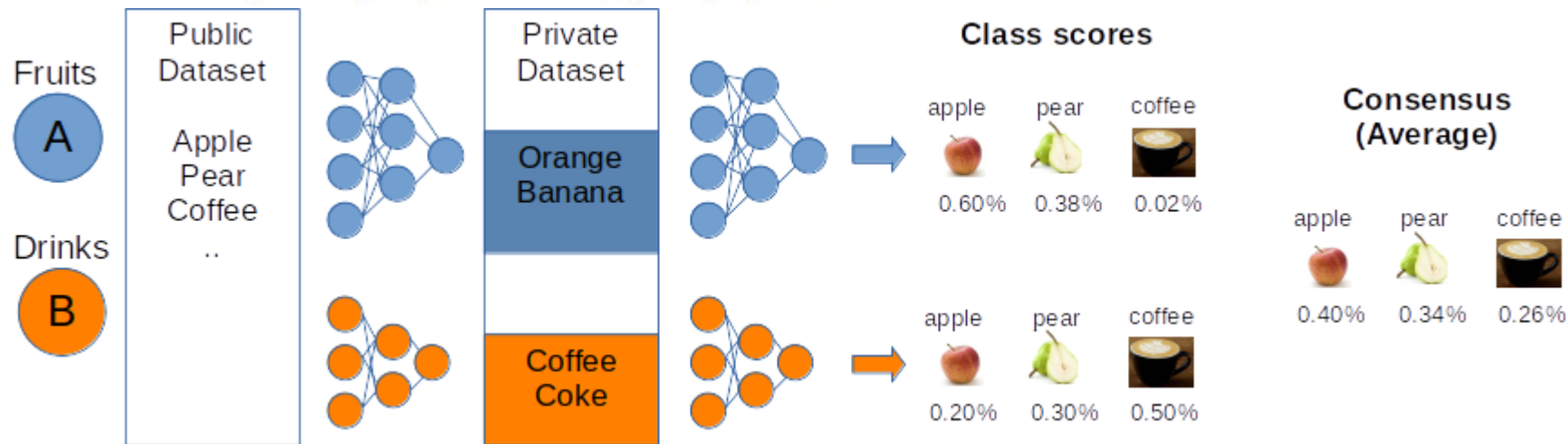


Fig 1. FedAvg: Simple model averaging (Image by Author)

# FedMd





# We need Model Heterogeneity

Why?

# We need **Model Heterogeneity**

**Why?**

**Actually..**

**it's natural that using fixed architecture of a centralized model**

**but..**

## We need **Model Heterogeneity**

- **Realistic Business Settings**
- **Client Heterogeneity**
- **Specification**  
(Model for rich information)  
(Different task , different model)

## How to Model & Data Hetero. at same time?

모델이 얻은 지식을 모델의 파라미터 말고 데이터를 전달하는 방식이 무엇일까?

⇒ KD

⇒ KD라면 필요한 것은?

⇒ Base Dataset

## 2<sup>MIL</sup> Proposed Method: FedMD

**Server**

**Base Dataset**

*Translator: 지식의 통로 역할을 할 public data*

**Unique Model**

각 client의 독자적인 모델  $\Leftrightarrow$  Global Model (Federate Setting)

**Private Dataset**

*Unique Model o/ fine-tune 하는 데이터*

## **(1) Transfer Learning**

## **(2) Collaboration Phase**

### **(1) Transfer Learning**

- Train base dataset
- Train private dataset(transfer learning)

### **(2) Collaboration Phase**

- Communicate (KD)
- Aggregate
- Distribute
- Digest
- Revisit

## (2) Collaboration Phase

Communicate(KD)

=> **Class Score** (KD의 Soft label) on the base dataset



## (2) Collaboration Phase

**Aggregate**

=> **Mean[Class score]** (updated consensus)

**Distribute (Download)**

**Digest**

=> Train unique model to label: (updated consensus)

# (2) Collaboration Phase

**Algorithm 1:** The FedMD framework enabling federated learning for heterogeneous models.

**Input:** Public dataset  $\mathcal{D}_0$ , private datasets  $\mathcal{D}_k$ , independently designed model  $f_k, k = 1 \dots m$ ,

**Output:** Trained model  $f_k$

**Transfer learning:** Each party trains  $f_k$  to convergence on the public  $\mathcal{D}_0$  and then on its private  $\mathcal{D}_k$ .

**for**  $j=1,2,\dots,P$  **do**

**Communicate:** Each party computes the class scores  $f_k(x_i^0)$  on the public dataset, and transmits the result to a central server.

**Aggregate:** The server computes an updated consensus, which is an average

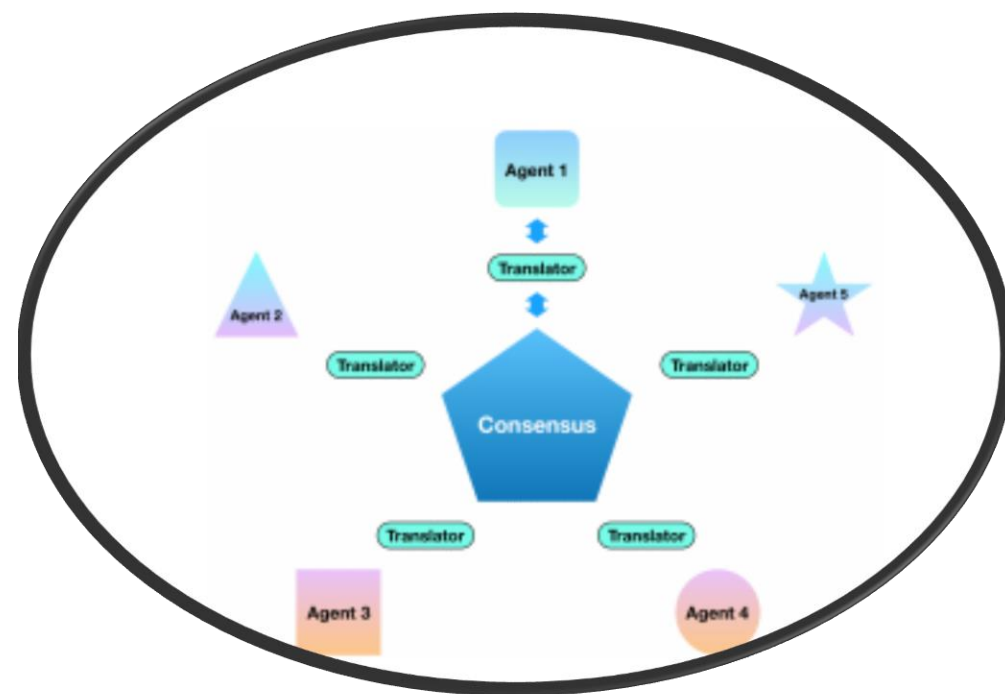
$$\tilde{f}(x_i^0) = \frac{1}{m} \sum_k f_k(x_i^0).$$

**Distribute:** Each party downloads the updated consensus  $\tilde{f}(x_i^0)$ .

**Digest:** Each party trains its model  $f_k$  to approach the consensus  $\tilde{f}$  on the public dataset  $\mathcal{D}_0$ .

**Revisit:** Each party trains its model  $f_k$  on its own private data for a few epochs.

**end**



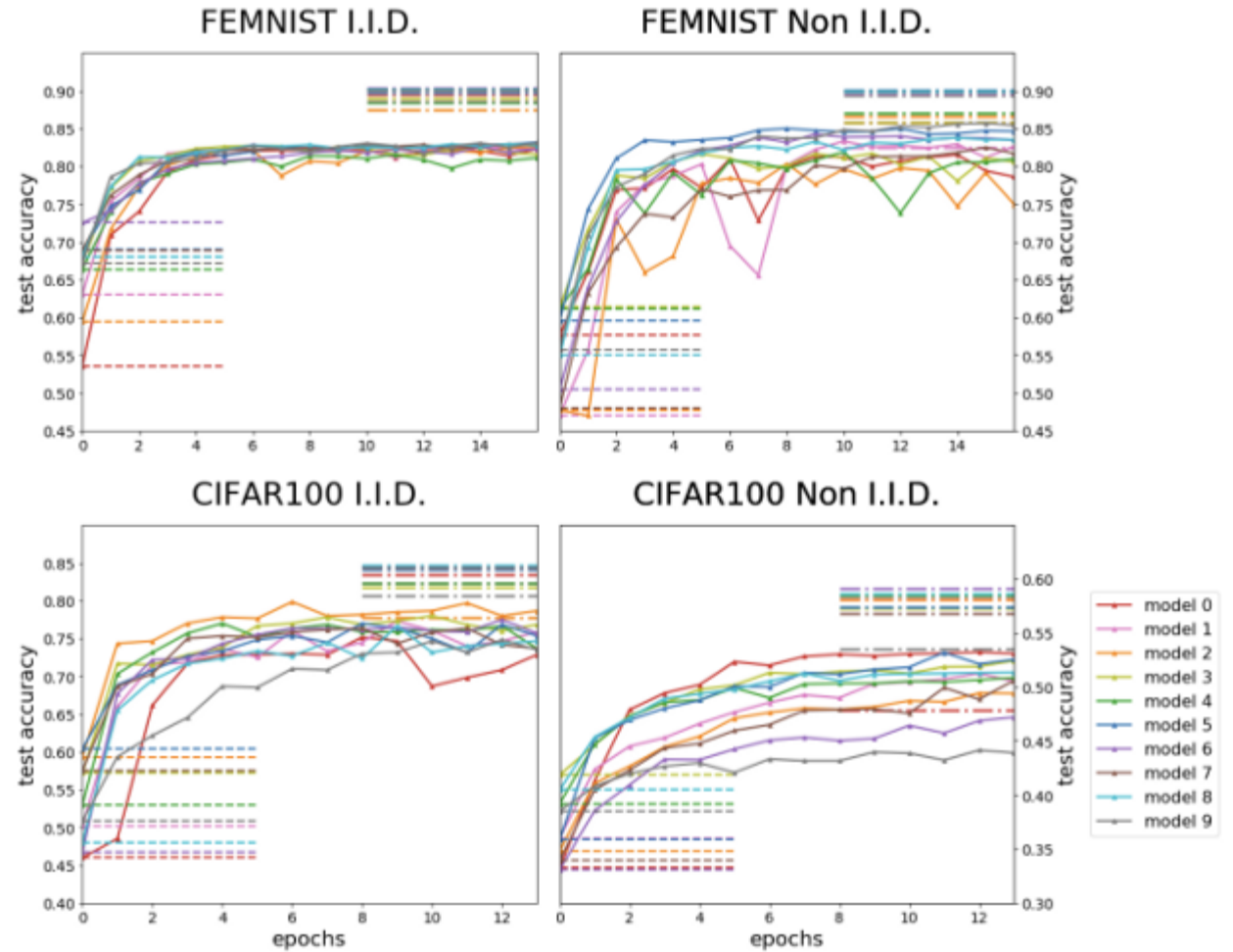
Experiment on 10 participants  
Use subset for practical purpose

(1) MNIST / FEMNIST

(2) CIFAR10 / CIFAR100

Model Heterogeneity

CNN With Different layer / filter



## CIFAR10

Here are the classes in the dataset, as well as 10 random images from each:

airplane



automobile



bird



cat



deer



dog



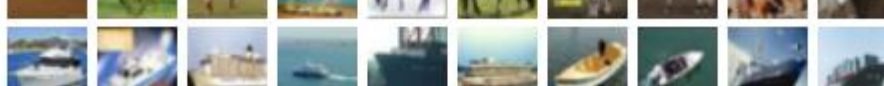
frog



horse



ship



truck



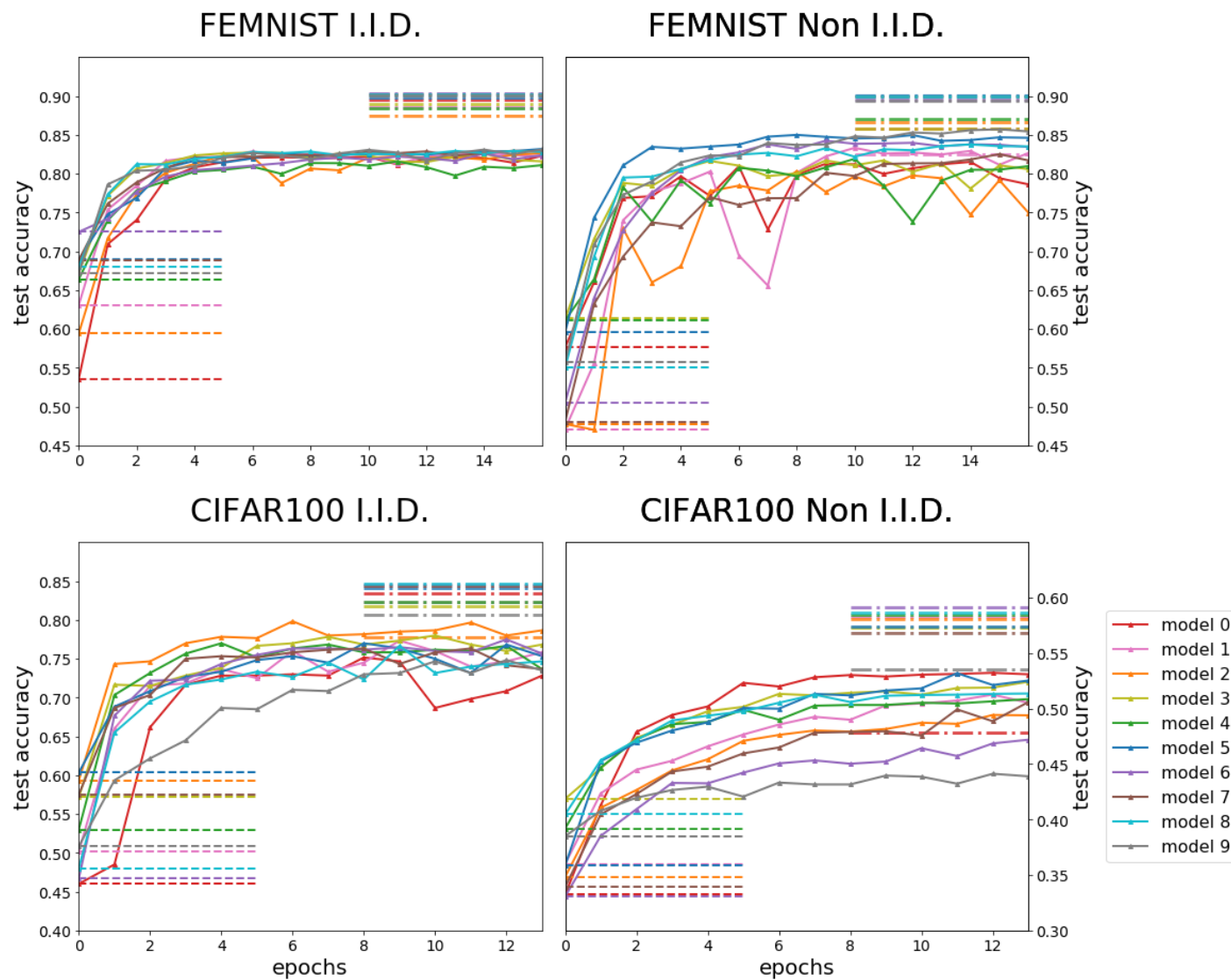
## CIFAR100

### Superclass

aquatic mammals  
fish  
flowers  
food containers  
fruit and vegetables  
household electrical devices  
household furniture  
insects  
large carnivores  
large man-made outdoor things  
large natural outdoor scenes  
large omnivores and herbivores  
medium-sized mammals  
non-insect invertebrates  
people  
reptiles  
small mammals  
trees  
vehicles 1  
vehicles 2

### Classes

beaver, dolphin, otter, seal, whale  
aquarium fish, flatfish, ray, shark, trout  
orchids, poppies, roses, sunflowers, tulips  
bottles, bowls, cans, cups, plates  
apples, mushrooms, oranges, pears, sweet peppers  
clock, computer keyboard, lamp, telephone, television  
bed, chair, couch, table, wardrobe  
bee, beetle, butterfly, caterpillar, cockroach  
bear, leopard, lion, tiger, wolf  
bridge, castle, house, road, skyscraper  
cloud, forest, mountain, plain, sea  
camel, cattle, chimpanzee, elephant, kangaroo  
fox, porcupine, possum, raccoon, skunk  
crab, lobster, snail, spider, worm  
baby, boy, girl, man, woman  
crocodile, dinosaur, lizard, snake, turtle  
hamster, mouse, rabbit, shrew, squirrel  
maple, oak, palm, pine, willow  
bicycle, bus, motorcycle, pickup truck, train  
lawn-mower, rocket, streetcar, tank, tractor



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# 감사합니다