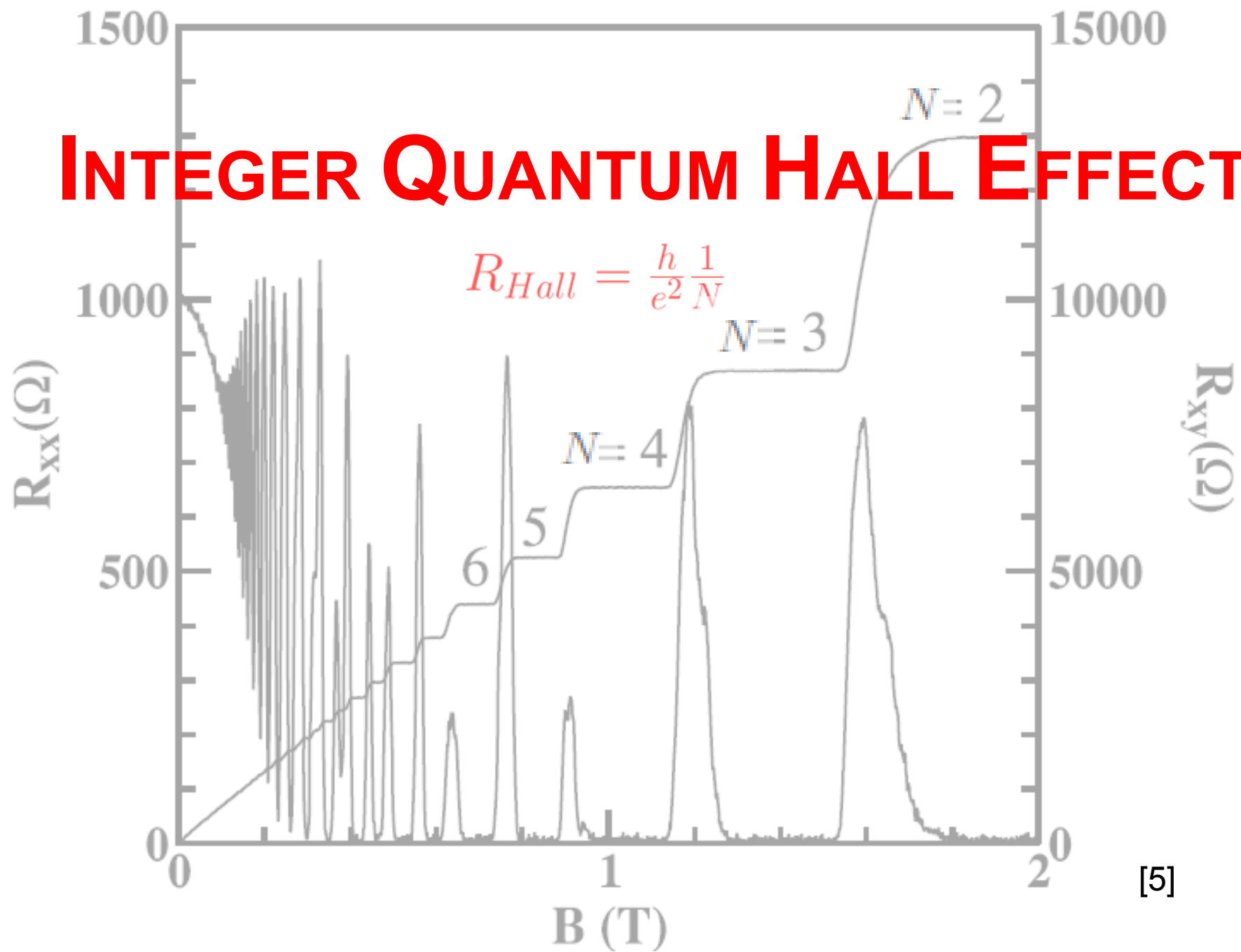


INTEGER QUANTUM HALL EFFECT



Reminder: (Classical) Hall Effect in 2 dimensions

Drude Model:

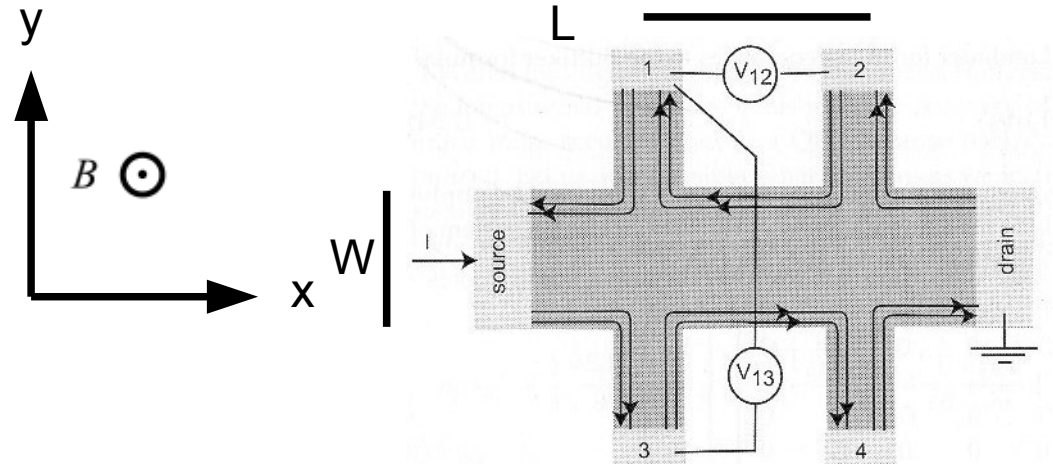
$$F = m \cdot a \rightarrow \frac{m \cdot \vec{v}_d}{\tau} = e \cdot \left(\vec{E} + \frac{\vec{v}_d}{c} \times \vec{B} \right)$$

with drift velocity \vec{v}_d and scattering time τ

$$\rightarrow \begin{pmatrix} E_x \\ E_y \end{pmatrix} = \begin{pmatrix} \frac{m}{e\tau} & \frac{-B}{c} \\ \frac{B}{c} & \frac{m}{e\tau} \end{pmatrix} \begin{pmatrix} v_x \\ v_y \end{pmatrix}$$

with current density $\vec{j} = en_e \vec{v}_d = \vec{E} \cdot \sigma$ and Drude conductivity $\sigma = \frac{e^2 \tau n_e}{m}$

$$\rightarrow \begin{pmatrix} E_x \\ E_y \end{pmatrix} = \begin{pmatrix} \sigma^{-1} & \frac{-B}{cen_e} \\ \frac{B}{cen_e} & \sigma^{-1} \end{pmatrix} \begin{pmatrix} j_x \\ j_y \end{pmatrix}$$



[4]

Reminder: (Classical) Hall Effect in 2 dimensions

Drude Model:

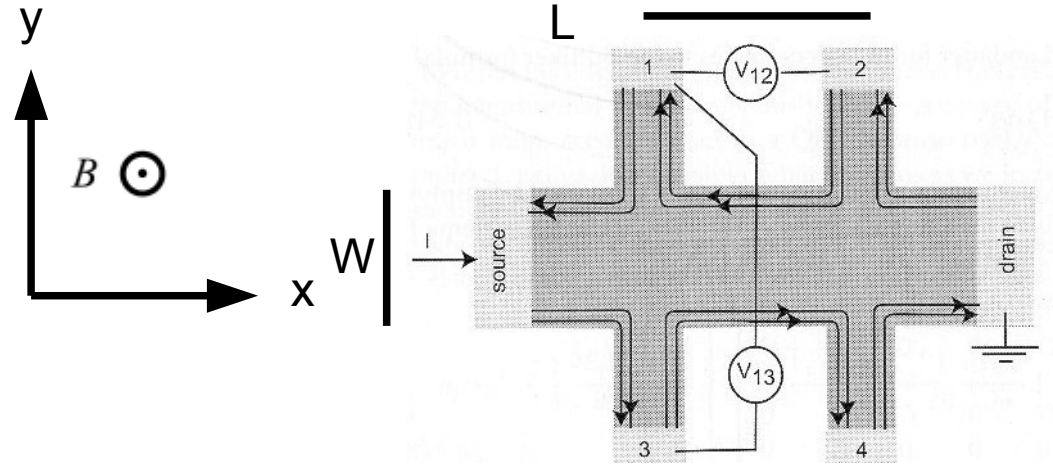
$$F = m \cdot a \rightarrow \frac{m \cdot \vec{v}_d}{\tau} = e \cdot \left(\vec{E} + \frac{\vec{v}_d}{c} \times \vec{B} \right)$$

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$$I_x = W \cdot j_x \quad j_y = 0 \quad [4]$$

$$\rightarrow E_x = \sigma^{-1} j_x = \sigma^{-1} \frac{I_x}{W}$$

$$\rightarrow V_{12} = V_x = L \cdot E_x = \sigma^{-1} \frac{L}{W} I_x$$

$$\rightarrow R_x = \sigma^{-1} \frac{L}{W}$$

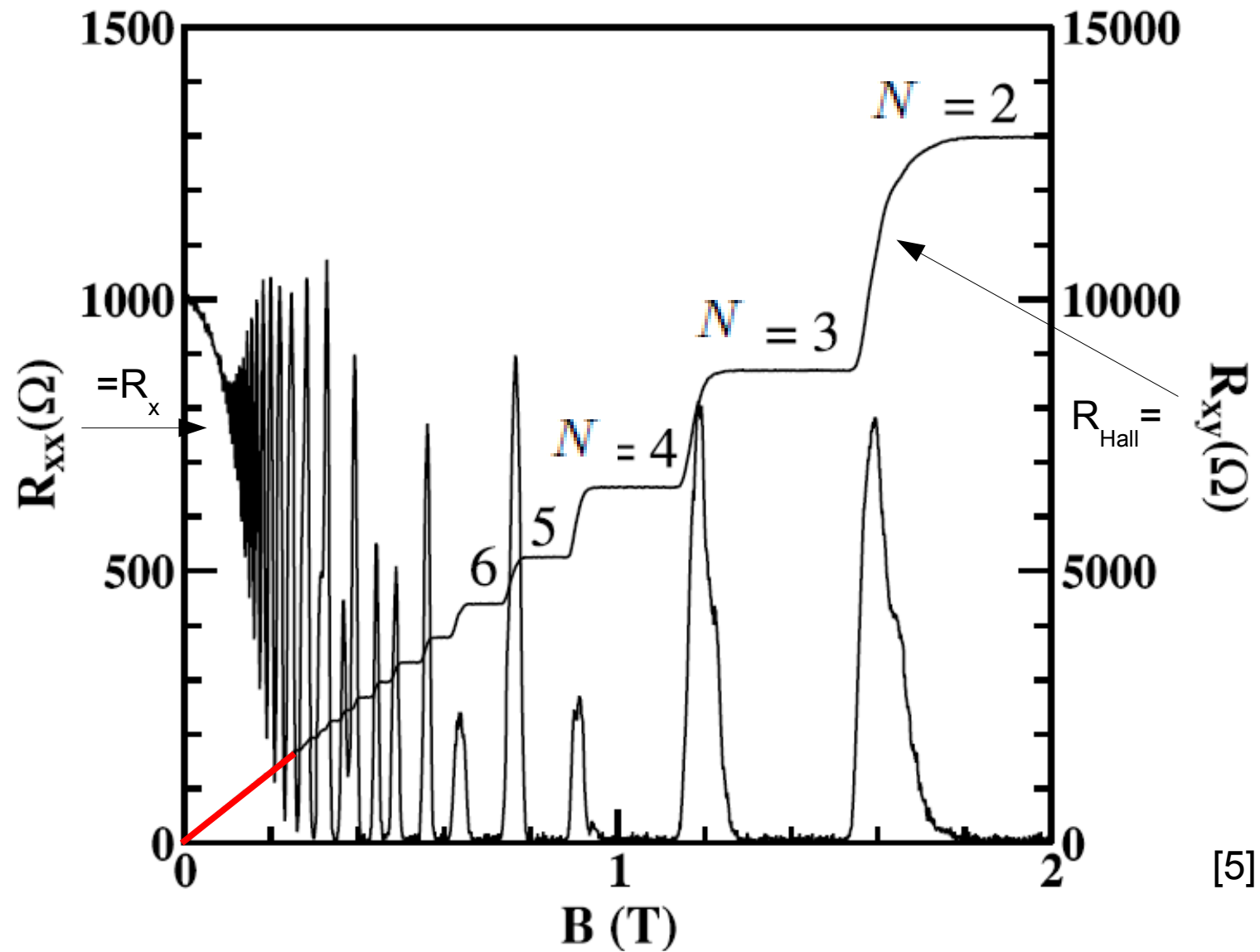
$$\rightarrow E_y = \frac{B}{cen_e} j_x = \frac{B}{cen_e} \frac{I_x}{W}$$

$$\rightarrow V_{Hall} = V_y = W \cdot E_y = \frac{B}{cen_e} I_x$$

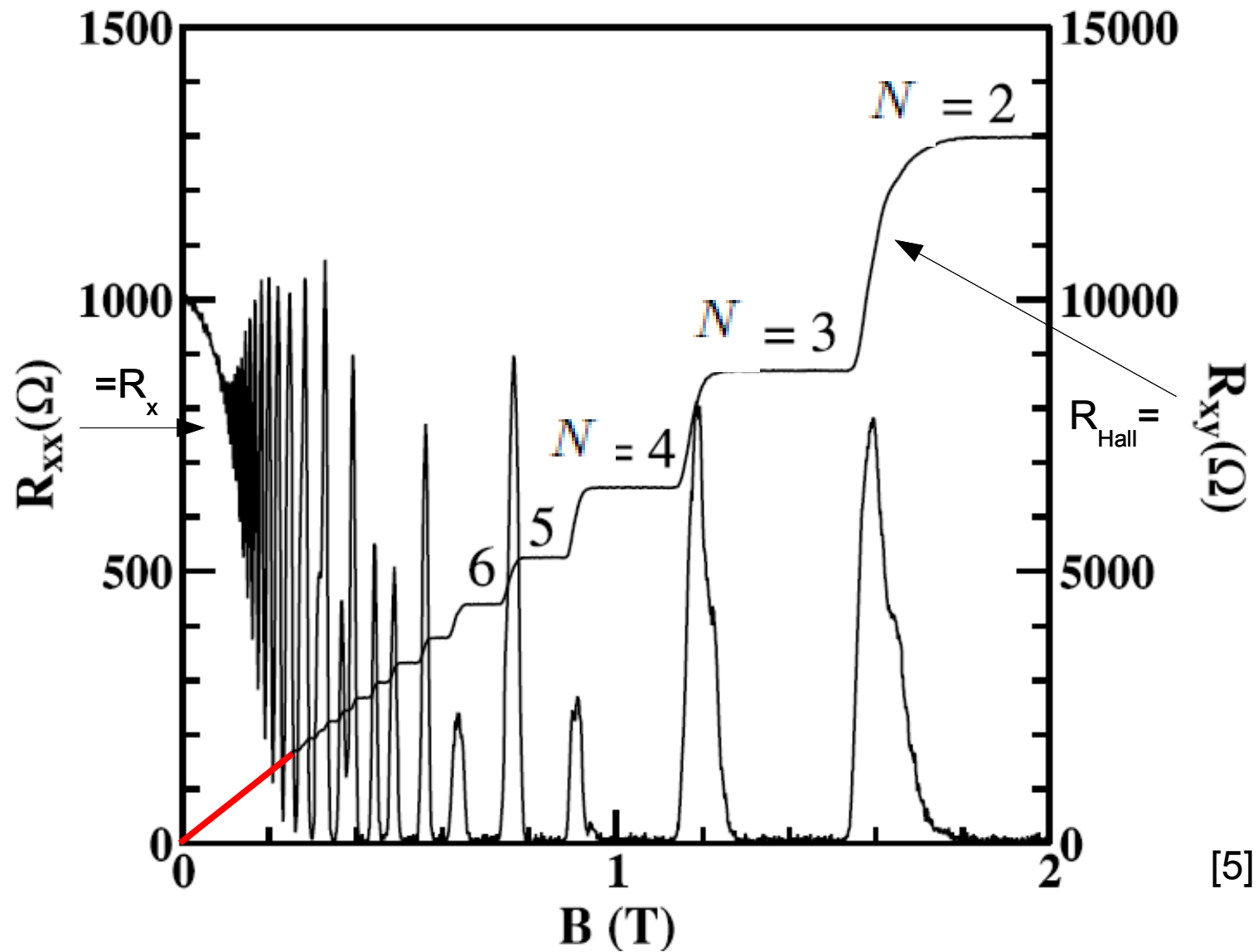
$$\rightarrow R_{Hall} = \frac{B}{cen_e}$$

→ **linear** increase of the Hall resistance with the applied magnetic field !?!

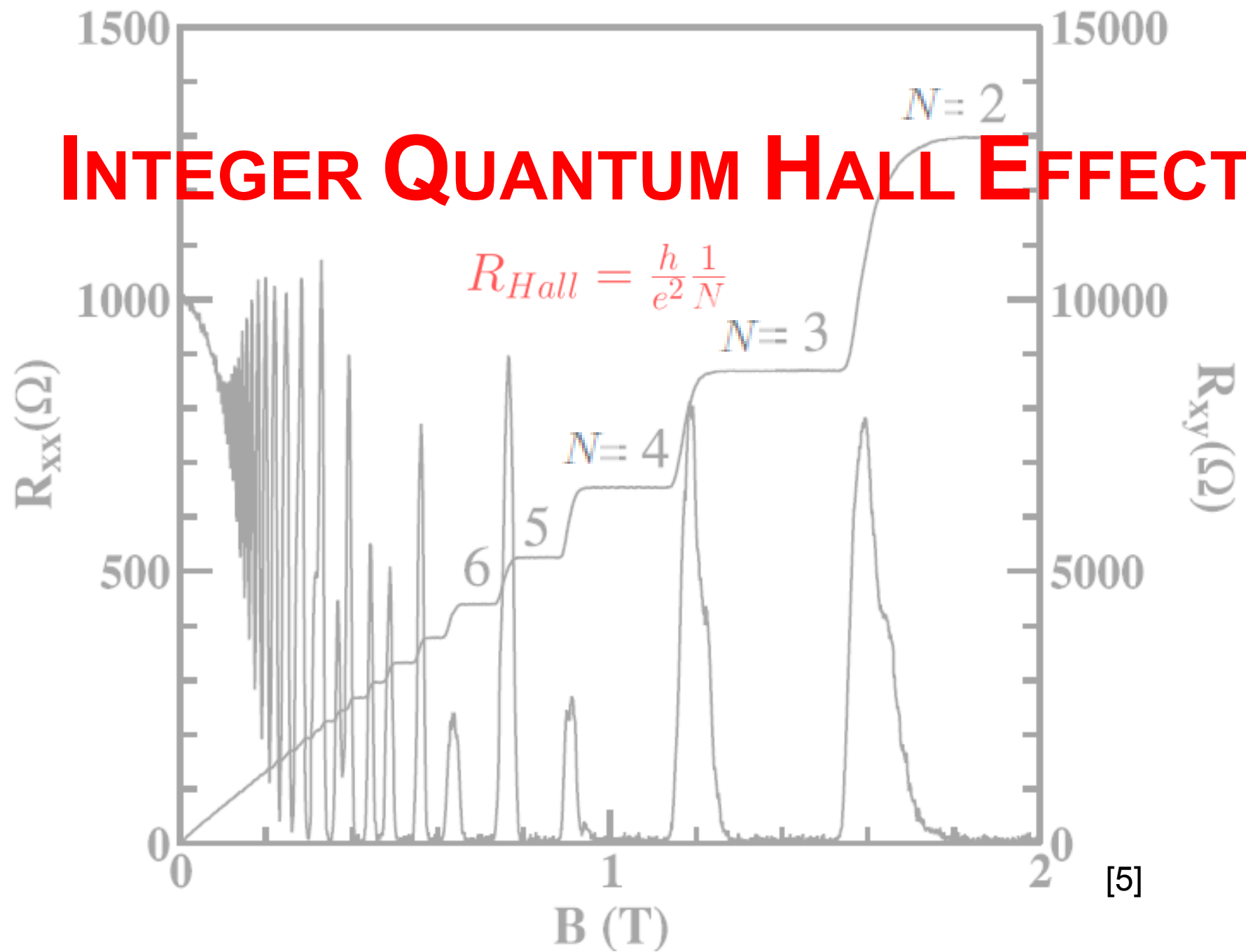
→ **linear** increase of the Hall resistance with the applied magnetic field !?!



- **linear** increase of the Hall resistance with the applied magnetic field !?!
- Drude Modell fails for **higher** magnetic fields



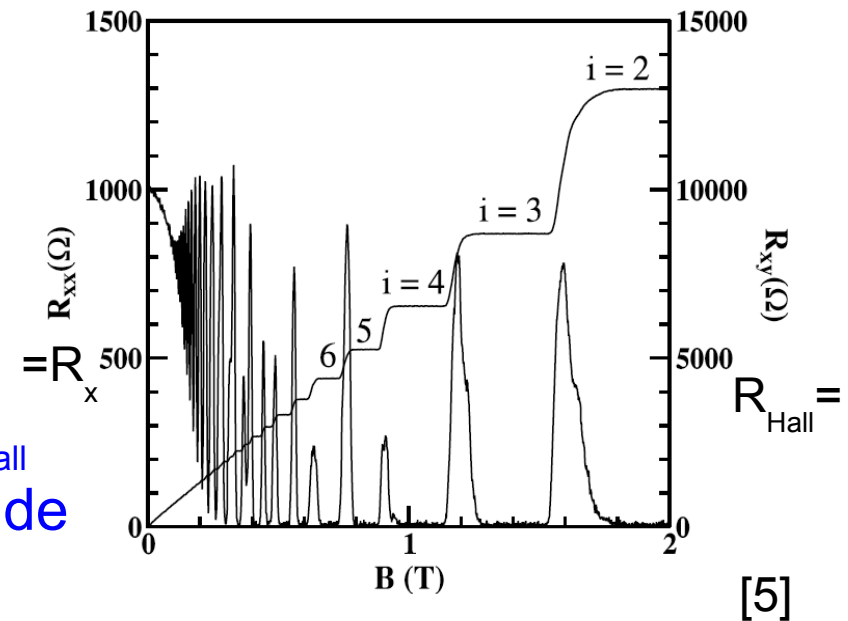
INTEGER QUANTUM HALL EFFECT



Observations

1) Longitudinal resistance R_x

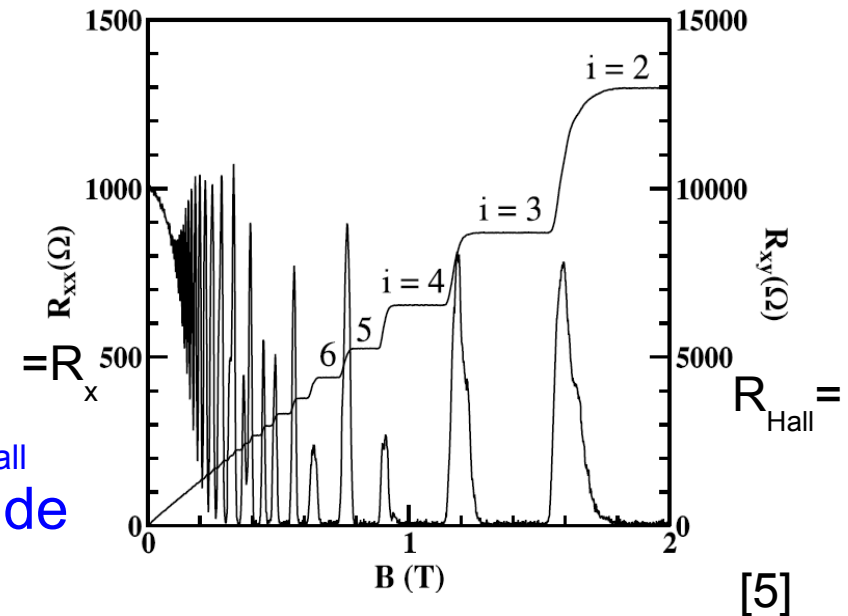
- **vanishes** at plateau regions of R_{Hall}
- **jumps** about 13 orders of magnitude when R_{Hall} changes



Observations

1) Longitudinal resistance R_x

- **vanishes** at plateau regions of R_{Hall}
- **jumps** about 13 orders of magnitude when R_{Hall} changes



2) Hall resistance R_{Hall}

- shows **plateau regions**
- varies stepwise with step height given by

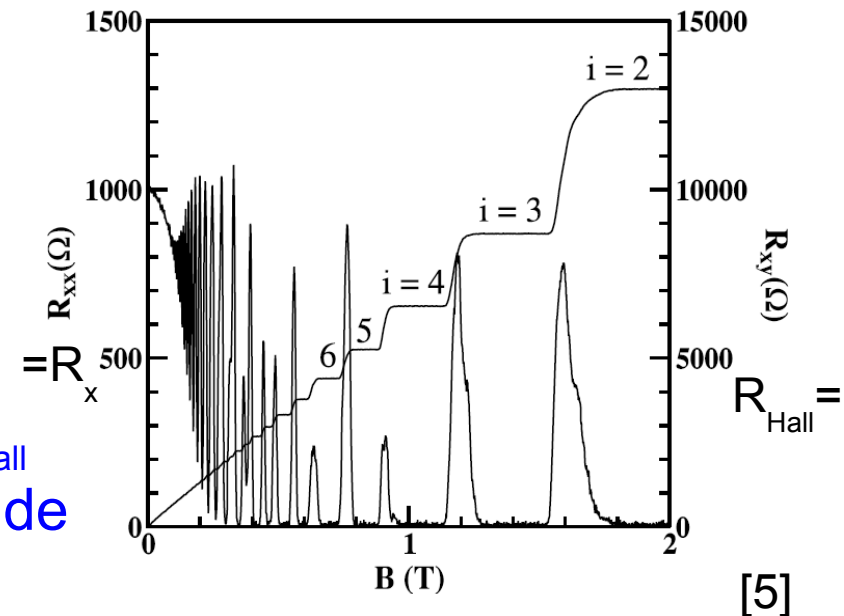
$$R_{Hall} = \underbrace{\frac{h}{e^2}}_{R_K} \cdot \frac{1}{N} \quad N \in \mathbb{N}$$

$R_K = 25812.807 \Omega$

Observations

1) Longitudinal resistance R_x

- **vanishes** at plateau regions of R_{Hall}
- **jumps** about 13 orders of magnitude when R_{Hall} changes



2) Hall resistance R_{Hall}

- shows **plateau regions**
- varies stepwise with step height given by

$$R_{Hall} = \underbrace{\frac{h}{e^2}}_{R_K} \cdot \frac{1}{N} \quad N \in \mathbb{N}$$

$R_K = 25812.807 \Omega$

3) **difference** of plateau values

- of different samples and
- between different plateaus

is **smaller than 10^{-10}** times the quantized value

Outline

I Reminder: (Classical) Hall Effect in 2 dimensions

II Integer Quantum Hall Effect - observations

III Integer Quantum Hall Effect – key ingredients

- Quantum mechanical derivation of Landau Levels
- Disorder effects: delocalized and localized states
- Effect of finite sample size: edge states

IV Integer Quantum Hall Effect - explanations

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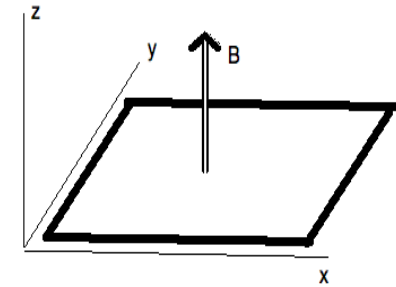
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IV Integer Quantum Hall Effect - explanations

• Quantum mechanical derivation of Landau Levels

$$H = \frac{1}{2m} \left| \vec{p} + \frac{e}{c} \vec{A} \right|^2 = \frac{1}{2m} \left(\hat{p}_x - \frac{eB}{c} \hat{y} \right)^2 + \frac{\hat{p}_y^2}{2m} \quad \text{with Landau Gauge } \vec{A} = -B \begin{pmatrix} y \\ 0 \\ 0 \end{pmatrix}$$



$$H \Psi(x, y) = E \Psi(x, y) \quad \text{with} \quad \Psi(x, y) = \frac{1}{\sqrt{L_x}} \exp(ik_x x) \Psi(y)$$

[7]

→ get effective 1 dimensional Schrödinger equation for $\Psi(y)$ and plane wave in x-direction

$$H = \frac{\hat{p}_y^2}{2m} + \frac{1}{2} m \omega_c^2 (\hat{y} - y_0)^2$$

$$H \Psi(y) = E \Psi(y)$$

$$\rightarrow E_N = \left(N + \frac{1}{2}\right) \hbar \omega_c$$

$$\rightarrow \Psi_N(y) = \sqrt{\frac{1}{2^N N!}} \left(\frac{m \omega_c}{\pi \hbar} \right)^{\frac{1}{4}} \exp\left(-\frac{m \omega_c}{2 \hbar} (y - y_0)^2\right) H_N \left(\sqrt{\frac{m \omega_c}{\hbar}} (y - y_0) \right)$$

$$\text{with } \omega_c^2 = \left(\frac{eB}{mc}\right)^2 \text{ and } y_0 = \frac{\hbar c}{eB} k_x = l^2 k_x, \quad l = \sqrt{\frac{\hbar c}{eB}} \text{ magnetic length}$$

finite sample size $L_x = L$ and $L_y = W$ → quantized momentum $k_x = \frac{2\pi}{L_x} m$ $m = \text{integer}$

• Quantum mechanical derivation of Landau Levels

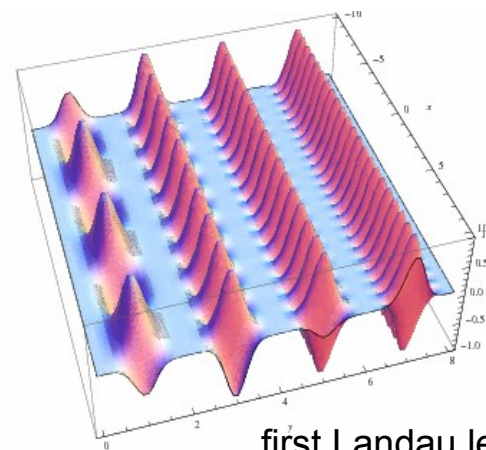
$$H\Psi(x, y) = E\Psi(x, y) \quad \text{with} \quad \Psi_{N, k_x}(x, y) = \frac{1}{\sqrt{L_x}} \exp(ik_x x) \Psi_N(y) \quad \text{and} \quad H = \frac{\hat{p}_y^2}{2m} + \frac{1}{2} m \omega_c^2 (\hat{y} - y_0)^2$$

$$\rightarrow E_N = \left(N + \frac{1}{2}\right) \hbar \omega_c \quad N \hat{=} \text{Landau Levels}$$

$$\text{with } \omega_c^2 = \left(\frac{eB}{mc}\right)^2 \text{ and } y_0 = \frac{\hbar c}{eB} k_x = l^2 k_x, \quad l = \sqrt{\frac{\hbar c}{eB}} \text{ magnetic length}$$

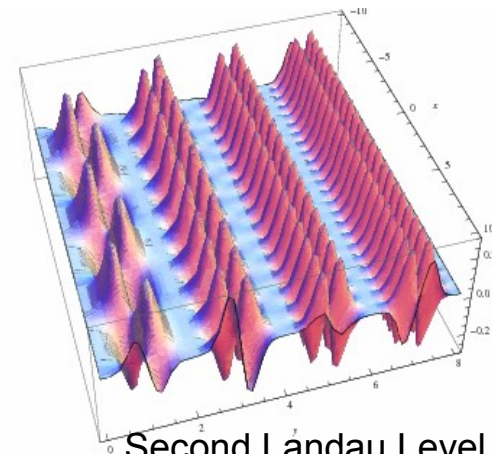
quantized momentum $k_x = \frac{2\pi}{L_x} m$

→ plane waves in x-direction,
localized around $y_0(k_x)$



first Landau level

[7]



Second Landau Level

• Quantum mechanical derivation of Landau Levels

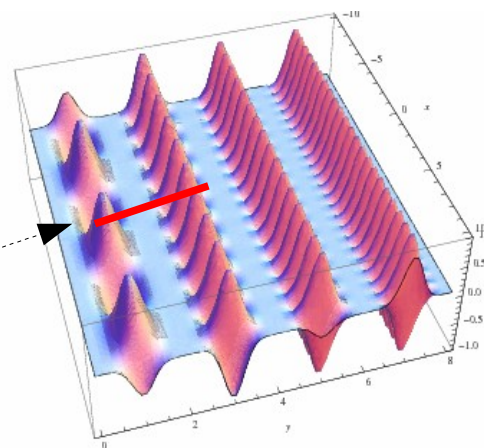
$$H\Psi(x, y) = E\Psi(x, y) \quad \text{with} \quad \Psi_{N, k_x}(x, y) = \frac{1}{\sqrt{L_x}} \exp(ik_x x) \Psi_N(y) \quad \text{and} \quad H = \frac{\hat{p}_y^2}{2m} + \frac{1}{2} m \omega_c^2 (\hat{y} - y_0)^2$$

$$\rightarrow E_N = \left(N + \frac{1}{2}\right) \hbar \omega_c \quad N \hat{=} \text{Landau Levels}$$

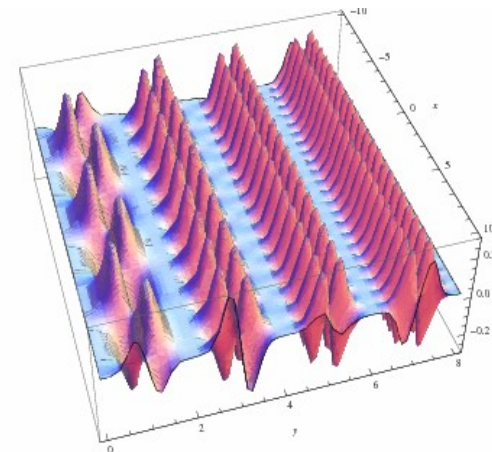
$$\text{with } \omega_c^2 = \left(\frac{eB}{mc}\right)^2 \text{ and } y_0 = \frac{\hbar c}{eB} k_x = l^2 k_x, \quad l = \sqrt{\frac{\hbar c}{eB}} \text{ magnetic length}$$

quantized momentum $k_x = \frac{2\pi}{L_x} m$

→ plane waves in x-direction,
localized around $y_0(k_x)$



[7]

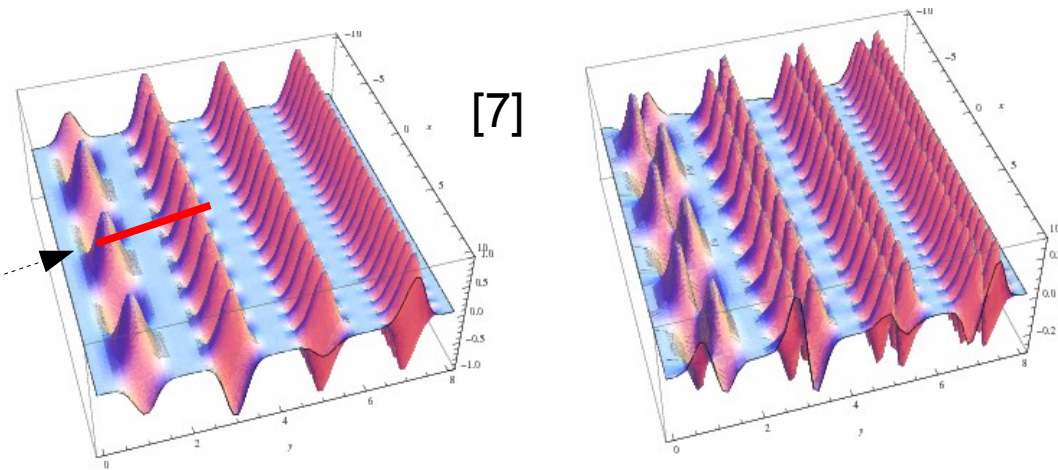


$$\Delta y_0 = \frac{2\pi l^2}{L_x} \rightarrow \text{number of different wave functions for one Landau Level } \Omega_N = \frac{L_y}{\Delta y_0} = \frac{L_x \cdot L_y}{2\pi l^2}$$

$$\text{filling factor } \nu = \frac{\text{number of electrons}}{\Omega_N} = 2\pi l^2 n_e$$

• Quantum mechanical derivation of Landau Levels

→ plane waves in x-direction,
localized around $y_0(k_x)$

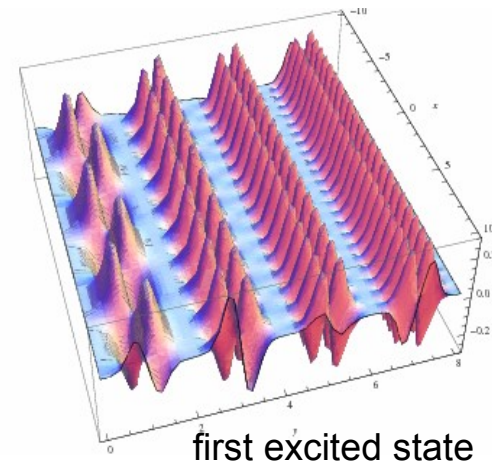
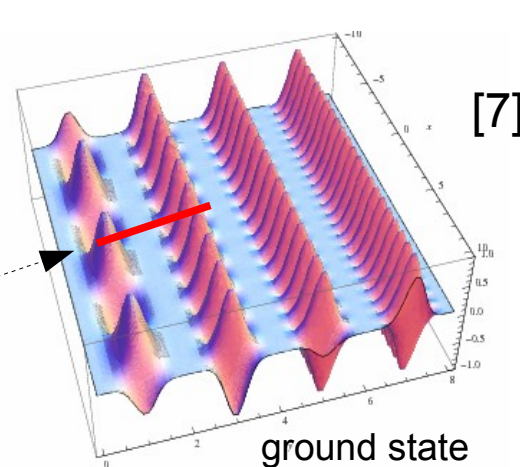


$$\Delta y_0 = \frac{2\pi l^2}{L_y} \rightarrow \text{number of different wave functions for one Landau Level } \Omega_N = \frac{L_y}{\Delta y_0} = \frac{Lx \cdot Ly}{2\pi l^2}$$

filling factor $\nu = \frac{\text{number of electrons}}{\Omega_N} = 2\pi l^2 n_e = n_e \frac{hc}{eB}$

• Quantum mechanical derivation of Landau Levels

→ plane waves in x-direction, localized around $y_0(k_x)$



$$\Delta y_0 = \frac{2\pi l^2}{L_x}$$

→ number of different wave functions for one Landau Level $\Omega_N = \frac{L_y}{\Delta y_0} = \frac{L_x \cdot L_y}{2\pi l^2}$

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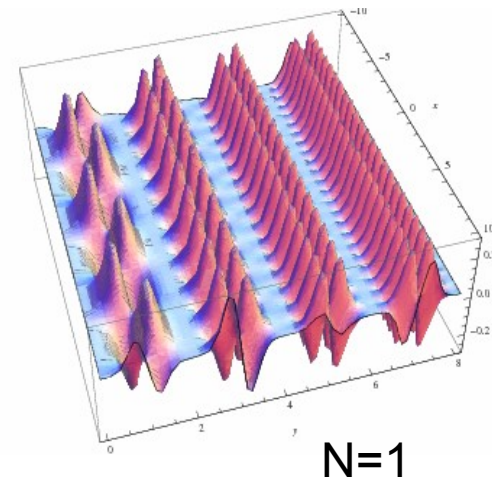
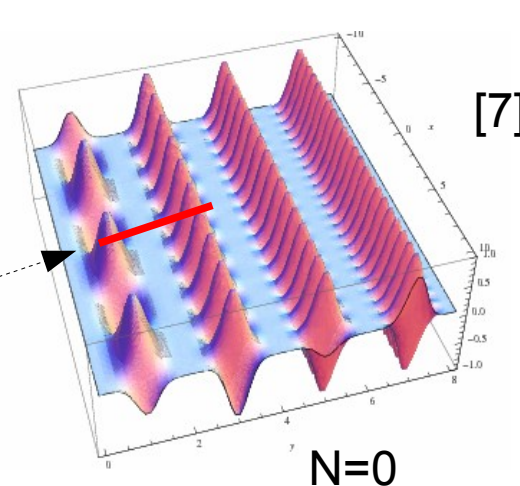
$$n_e = \frac{eB}{hc} \nu$$

$$R_{Hall} = \frac{B}{cen_e} = \frac{h}{e^2} \frac{1}{\nu} = \frac{h}{e^2} \frac{1}{N}$$

→ Drude Hall resistance -----> IQH resistance

• Quantum mechanical derivation of Landau Levels

→ plane waves in x-direction,
localized around $y_0(k_x)$



$$\Delta y_0 = \frac{2\pi l^2}{L_y} \rightarrow \text{number of different wave functions for one Landau Level } \Omega_N = \frac{L_y}{\Delta y_0} = \frac{Lx \cdot Ly}{2\pi l^2}$$

$$\text{filling factor } \nu = \frac{\text{number of electrons}}{\Omega_N} = 2\pi l^2 n_e = n_e \frac{hc}{eB}$$

$$\rightarrow \text{Drude Hall resistance } R_{Hall} = \frac{B}{cen_e} = \frac{h}{e^2} \frac{1}{\nu} \stackrel{?}{=} \frac{h}{e^2} \frac{1}{N} \rightarrow \text{IQH resistance}$$

but only for integer filling $\nu=N$ → no plateaus !?!

Outline

I Reminder: (Classical) Hall Effect in 2 dimensions

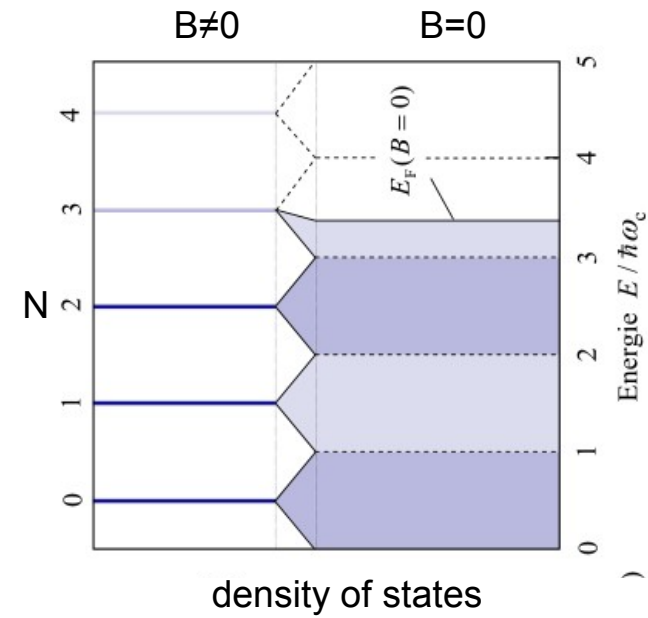
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- Disorder effects: delocalized and localized states



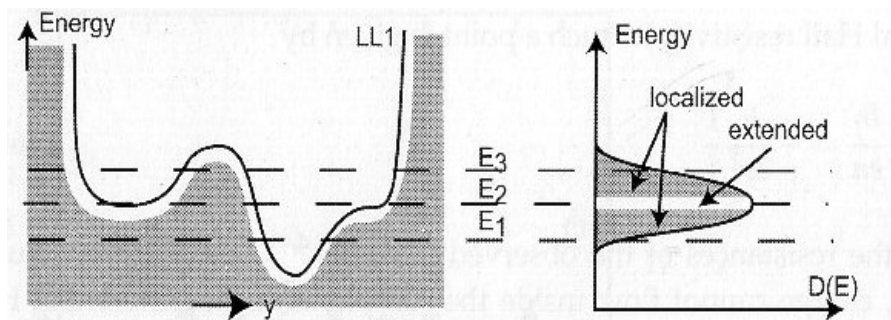
[2]

- Disorder effects: delocalized and localized states

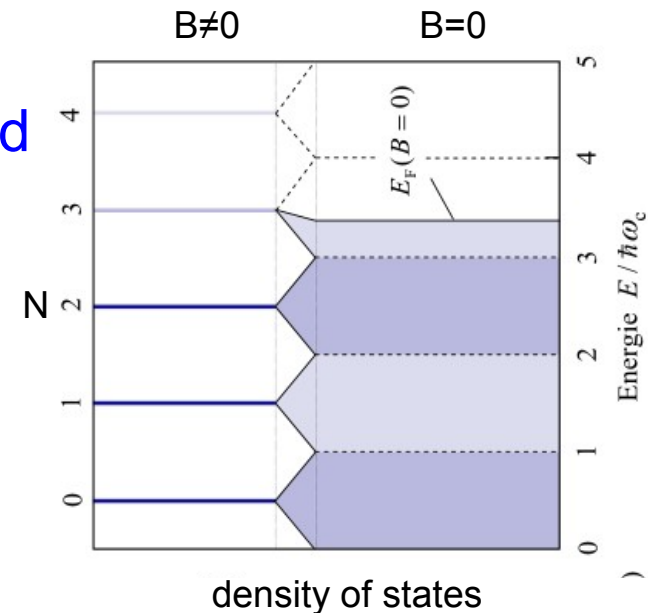
additional disorder potential

→ degeneracy of Landau levels are lifted

→ broadened Landau Levels



[4]



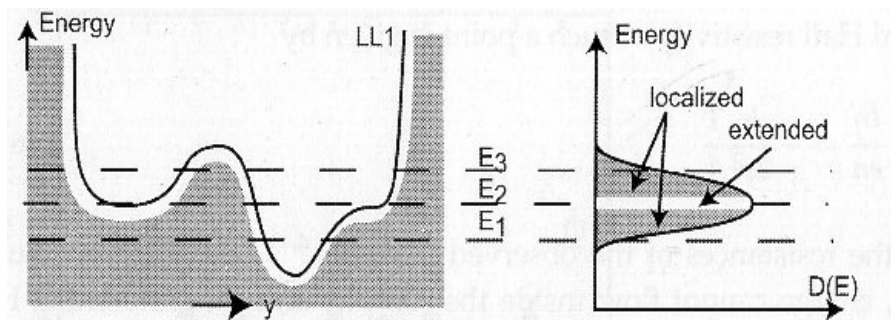
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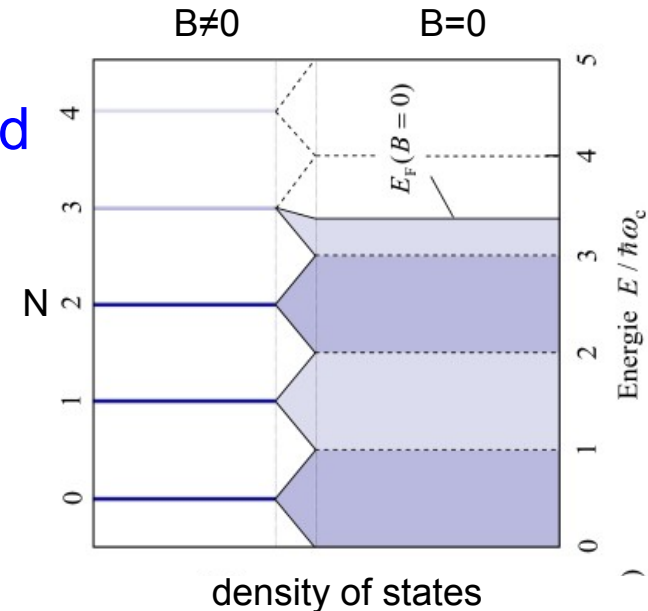
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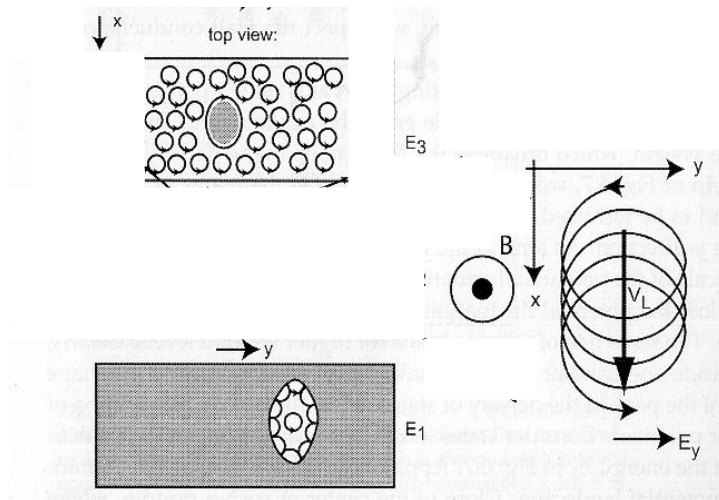


[4]



[2]

classical picture



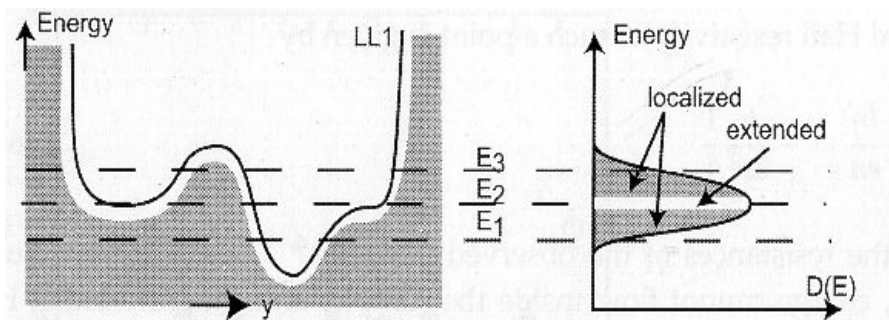
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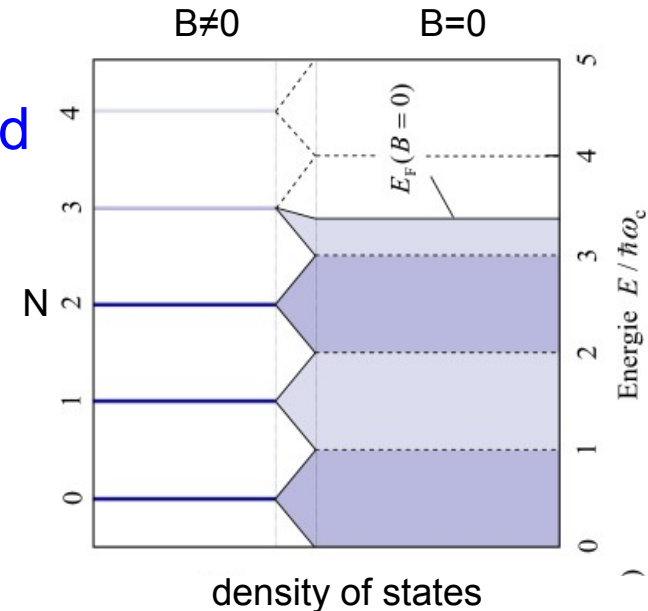
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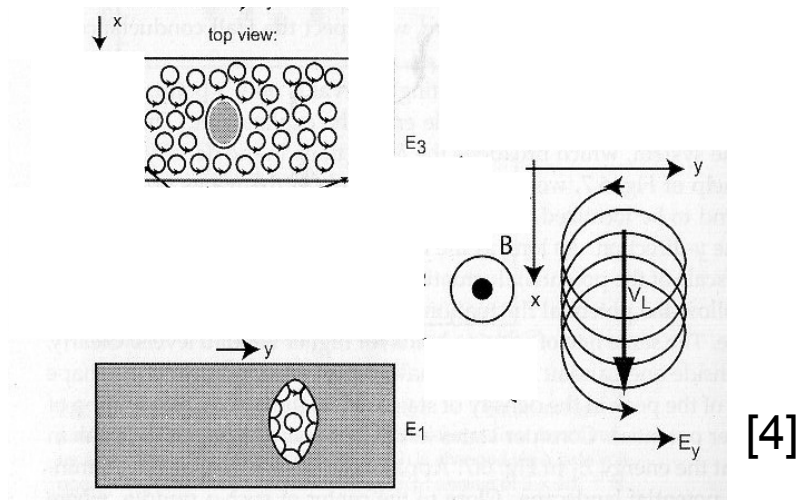


[4]



[2]

classical picture



[4]

quantum mechanical picture

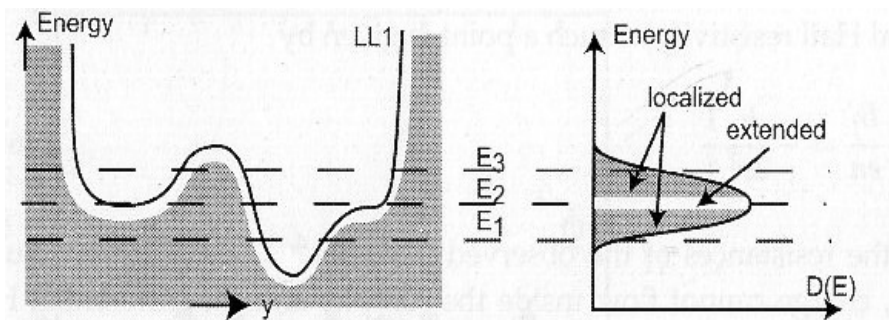
plane waves in x-direction
localized around $y_0(k_x)$

get additionally localized
in x-direction due to the
impurity potential

- Disorder effects: delocalized and localized states

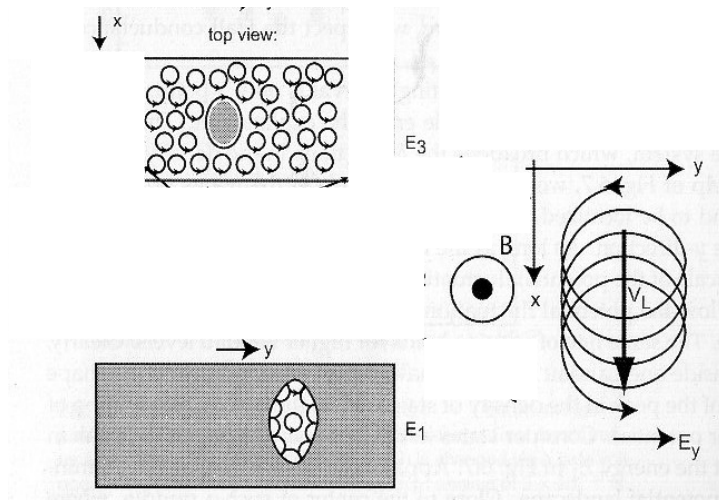
additional disorder potential

→ localized states



[4]

classical picture



[4]

quantum mechanical picture

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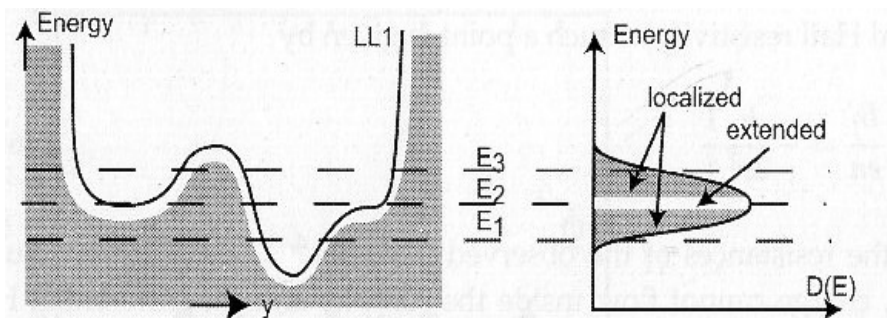
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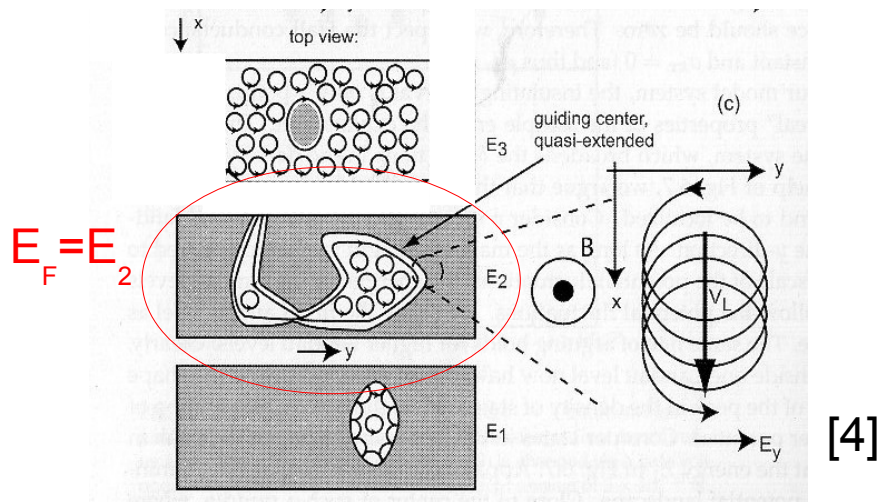
→ localized states

→ delocalized states



[4]

classical picture



[4]

quantum mechanical picture

plane waves in x-direction
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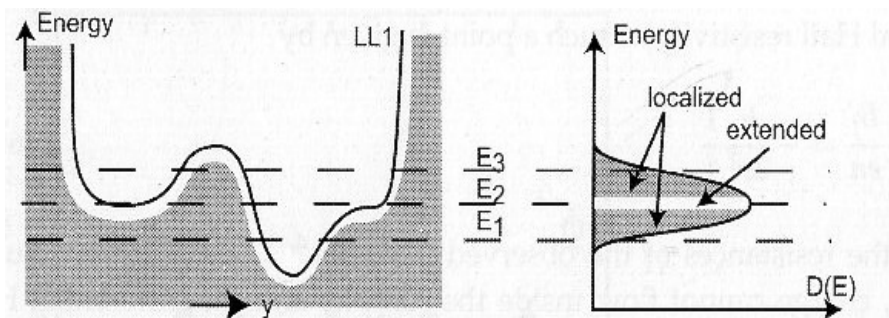
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additional disorder potential

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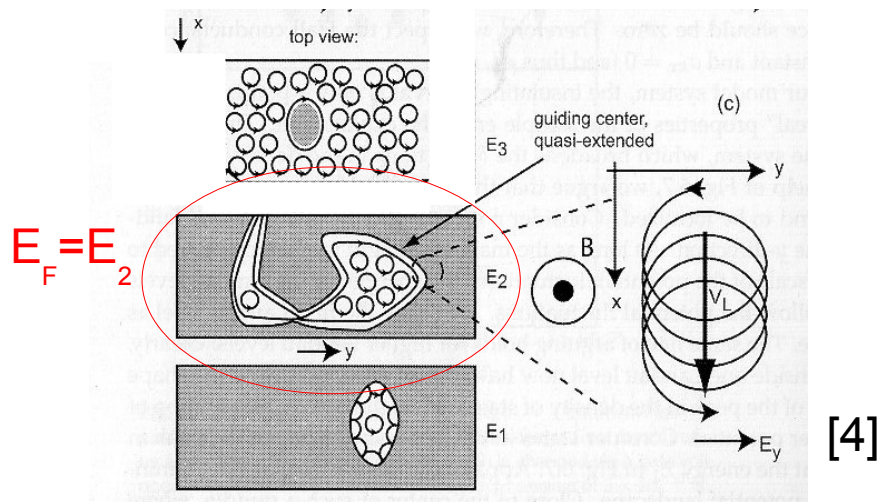
→ delocalized states



Numerically one can show that there is only one extended state for each Landau Level. The energies of the extended states correspond to the centers of the broadened Landau Levels, $E_N = (N + 1/2)\hbar\omega_c$

[4]

classical picture



[4]

quantum mechanical picture

plane waves in x-direction
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get additionally localized
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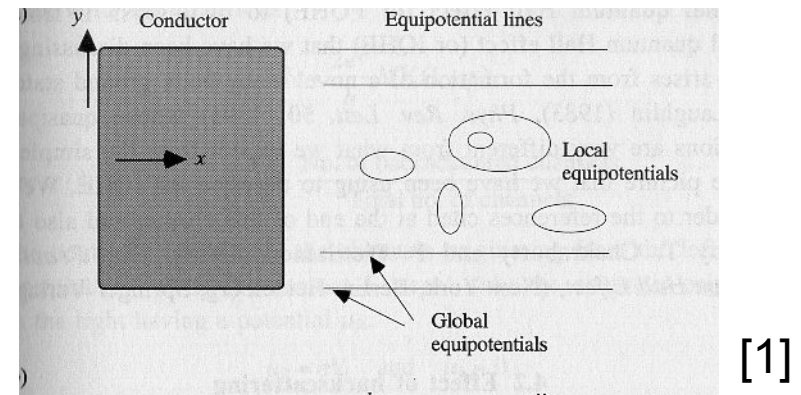
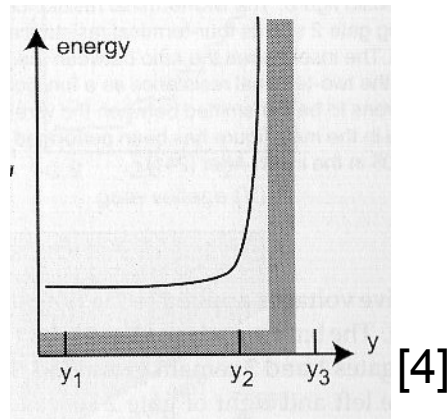
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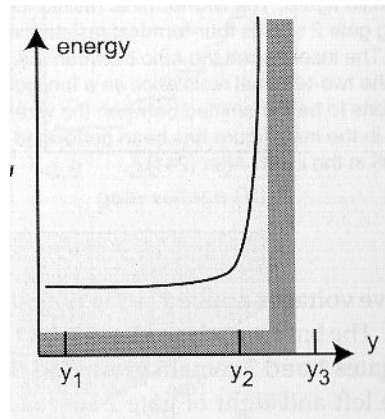
- Effect of finite sample size: edge states

confining potential at edges of sample \rightarrow high additional energies at edges

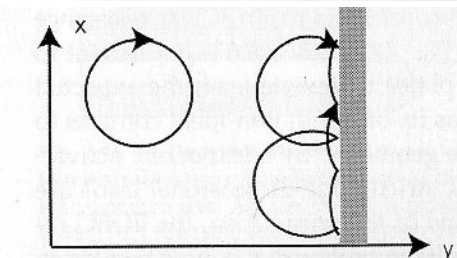
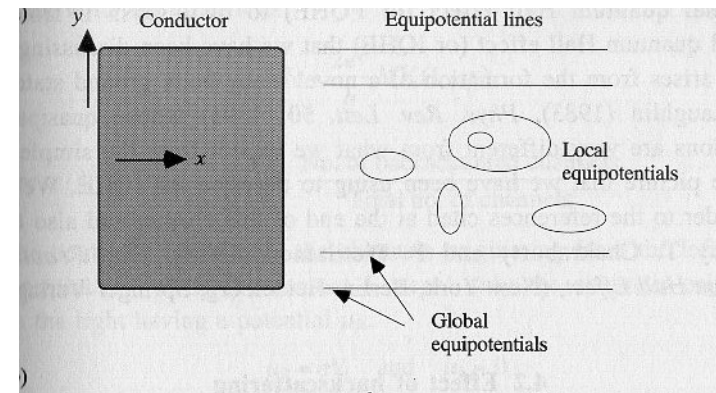


- Effect of finite sample size: edge states

confining potential at edges of sample \rightarrow high additional energies at edges



[4]

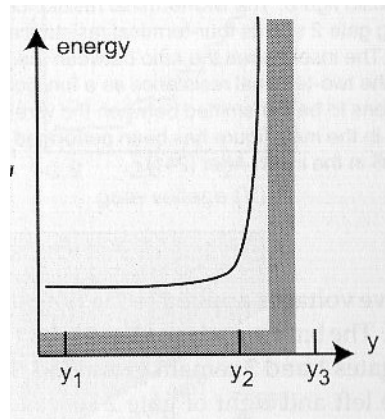


classical:
skipping orbits along the edges

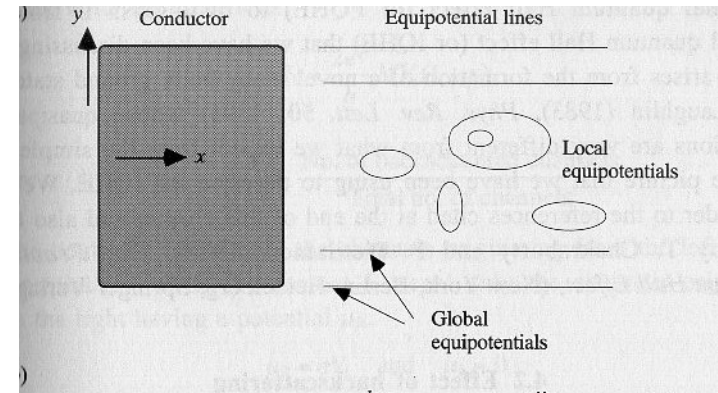
[4]

- Effect of finite sample size: edge states

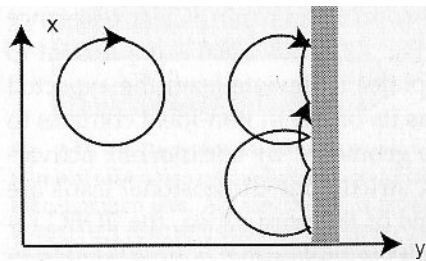
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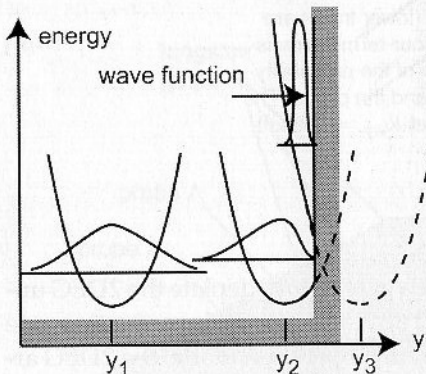
[4]



[1]



[4]



classical:
skipping orbits along the edges

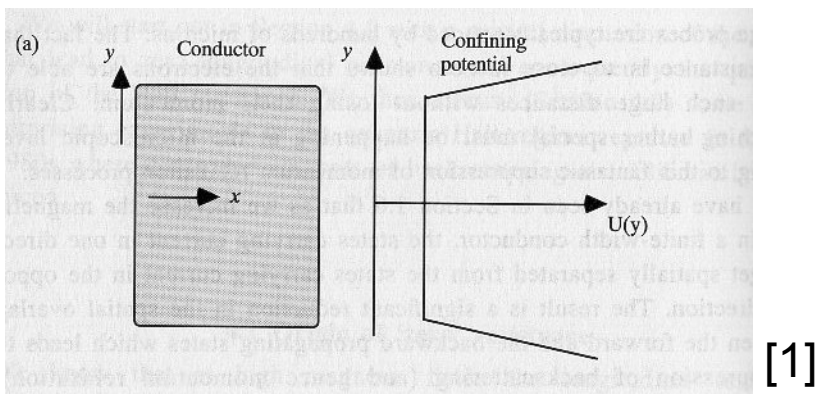
Quantum mechanical:
wave function is squeezed in y -direction and extended along the edges

- Effect of finite sample size: edge states

confining potential at edges of sample $\rightarrow U(y)$

dispersion relation in lowest order perturbation theory:

$$E_{N,k_x} = (N + \frac{1}{2}) \hbar \omega_c + \langle \Psi_{N,k_x} | U(y) | \Psi_{N,k_x} \rangle$$

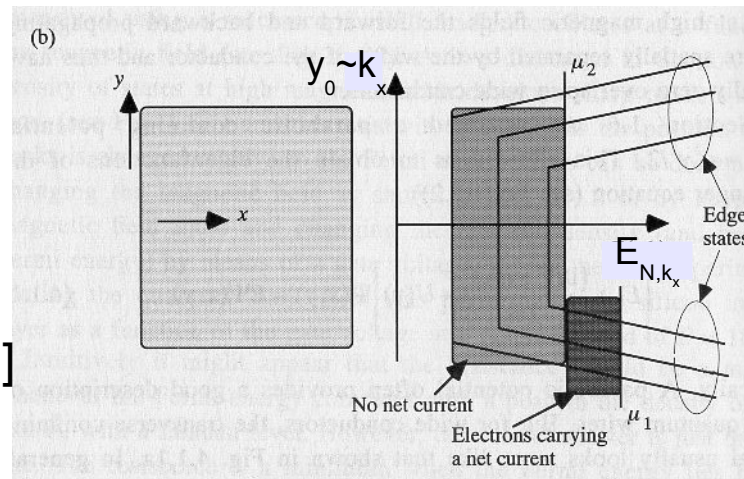
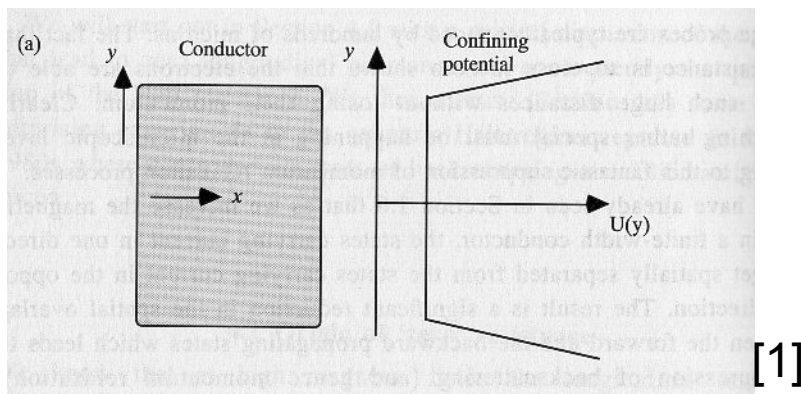


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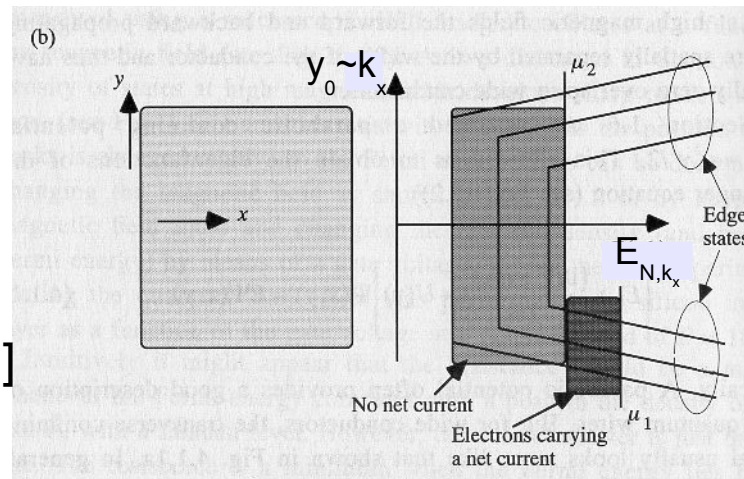
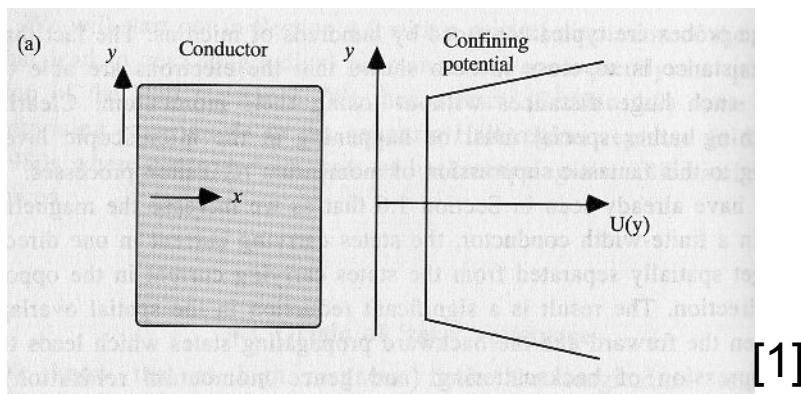


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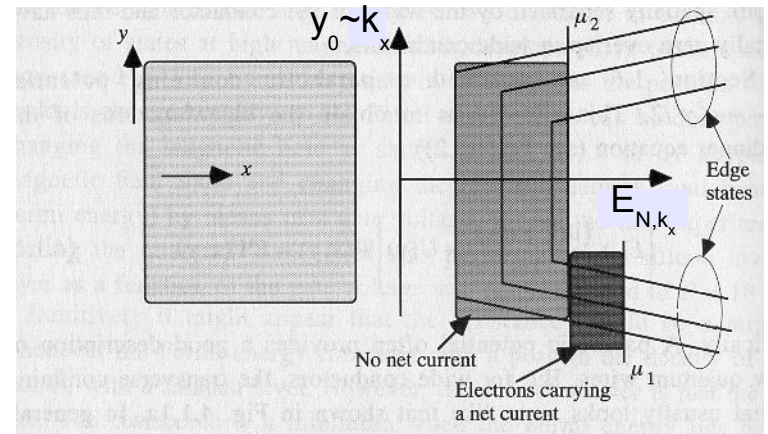


for $E_F \neq E_N \rightarrow$ one edge state / edge channel per occupied (bulk) Landau Level !!!

- Effect of finite sample size: edge states

Properties of edge states / edge channels:

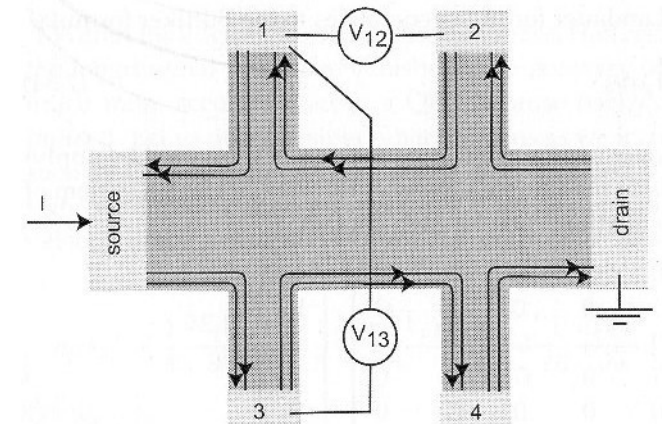
- only edge states contribute to a net current (for applied voltage)



- Effect of finite sample size: edge states

Properties of edge states / edge channels:

- only edge states contribute to a net current (for applied voltage)



[4]

- edge states at two opposite sample edges carry currents in opposite directions

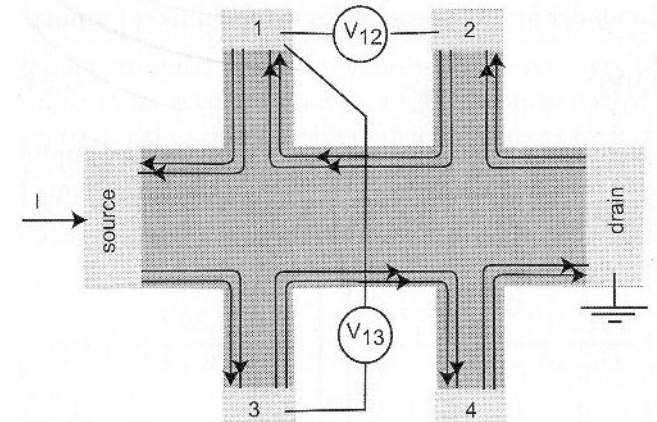
$$v_{N,k_x} = \frac{1}{\hbar} \frac{\partial E_{N,k_x}}{\partial k_x} = \frac{1}{\hbar} \frac{\partial U(y_0(k_x))}{\partial k_x} = \frac{1}{\hbar} \frac{\partial U(y_0(k_x))}{\partial y_0} \frac{\partial y_0}{\partial k_x} = \frac{c}{eB} \left(\frac{\partial U(y_0)}{\partial y_0} \right) \rightarrow \text{differs in sign for opposite edges}$$

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[4]

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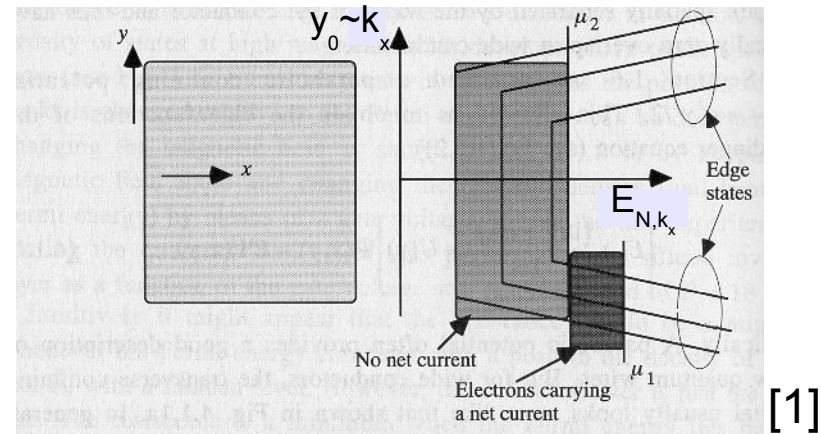
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- Effect of finite sample size: edge states

Properties of edge states / edge channels:

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- edge states at two opposite sample edges carry currents in opposite directions

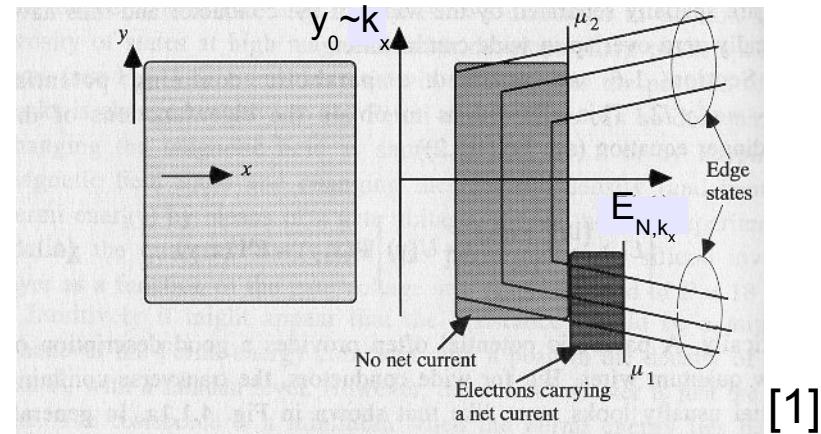
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- Effect of finite sample size: edge states

Properties of edge states / edge channels:

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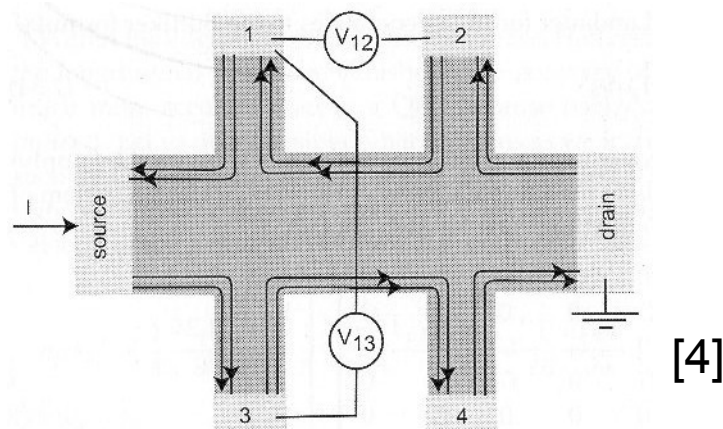
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- backscattering over the sample width is exponentially suppressed (states localized at the edges have no overlap)
- no scattering with bulk states as there are no allowed states to be scattered in
- scattering between different channels is suppressed due to the energy gap of $\hbar\omega_c$ between the channels

- Effect of finite sample size: edge states

Properties of edge states / edge channels:



- no scattering / no momentum relaxation
- 1 dimensional ballistic transport in edge channels for $E_F \neq E_N$ even though impurities are present
- „supercurrent“ in IQHE

Outline

I Reminder: (Classical) Hall Effect in 2 dimensions

II Integer Quantum Hall Effect - observations

III Integer Quantum Hall Effect – key ingredients

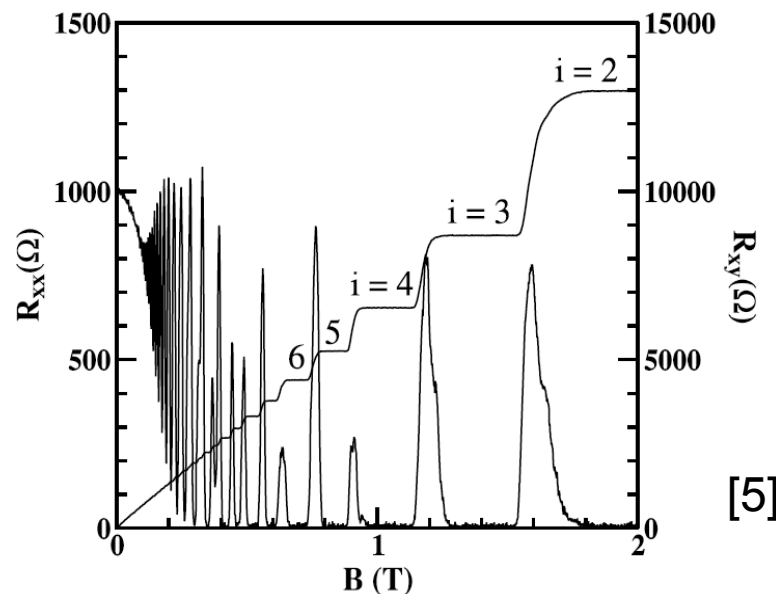
- Quantum mechanical derivation of Landau Levels
- Disorder effects: delocalized and localized states
- Effect of finite sample size: edge states

IV Integer Quantum Hall Effect - explanations

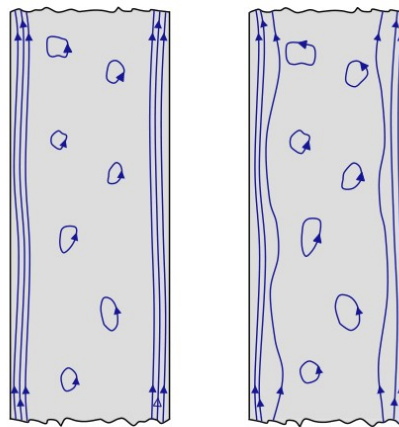
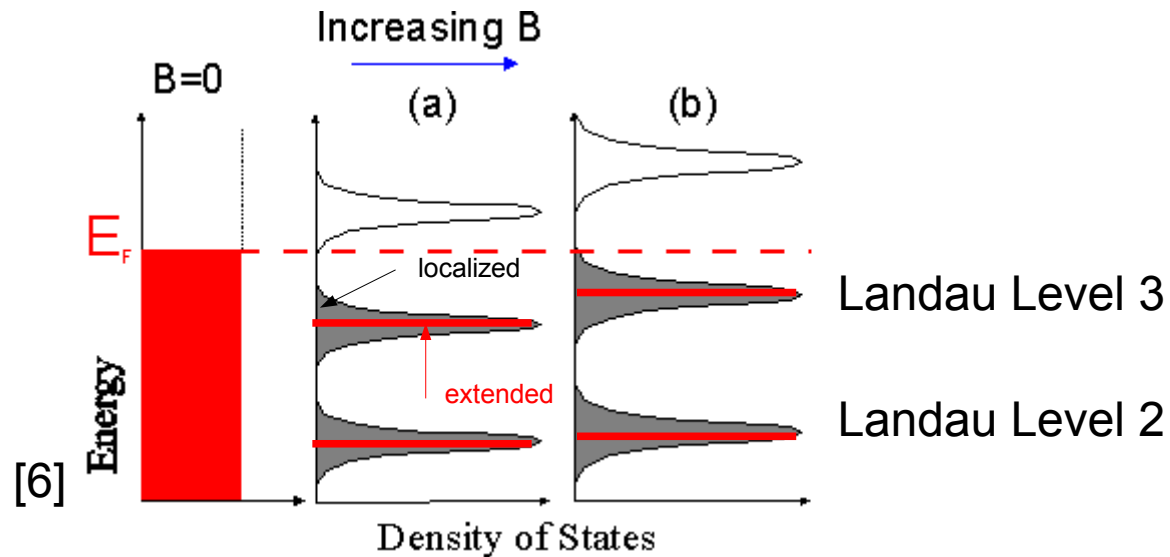
IV Integer Quantum Hall Effect – explanations

1) Longitudinal resistance R_x

- **vanishes** at plateau regions of R_{Hall}
- **jumps** about 13 orders of magnitude when R_{Hall} changes

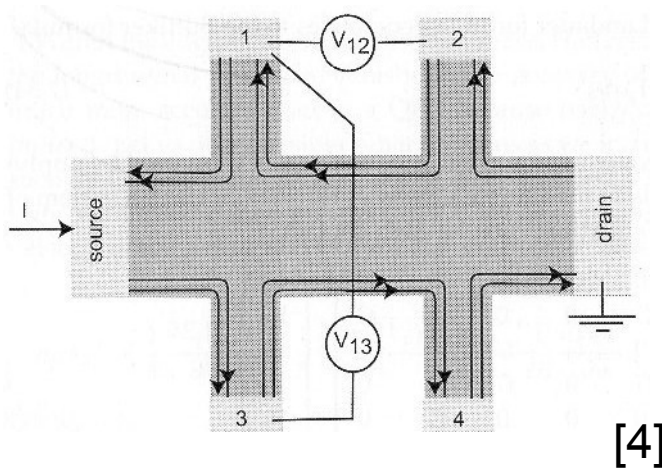


IV Integer Quantum Hall Effect – explanations



→ 1 dimensional
ballistic transport
for $E_F \neq E_N$
in 3 channels [2]

IV Integer Quantum Hall Effect – explanations



→ 1 dimensional ballistic transport
for $E_F \neq E_N$
in the channels

→ $\mu_{\text{source}} = \mu_3 = \mu_4$

→ $\mu_{\text{drain}} = \mu_2 = \mu_1$

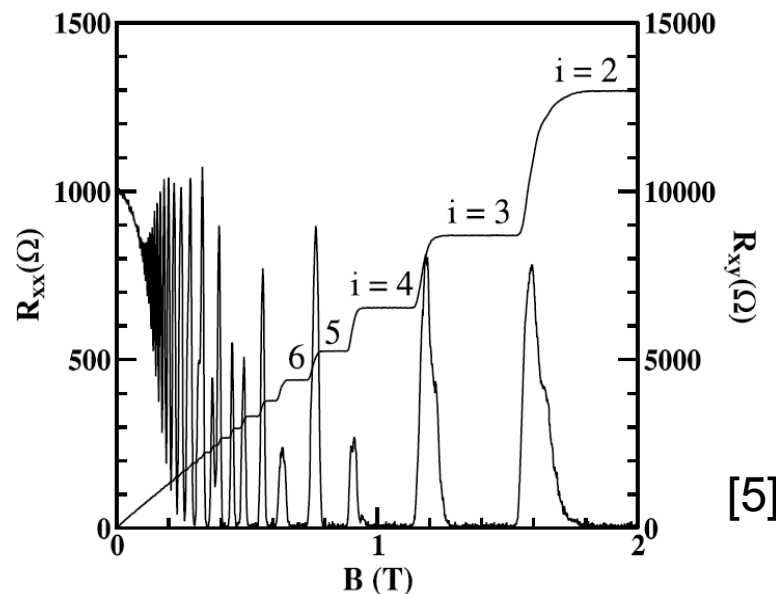
→ $V_x = V_{12} = (\mu_2 - \mu_1)/e = 0 = V_{34}$

→ $R_x = V_x / I_x = 0$

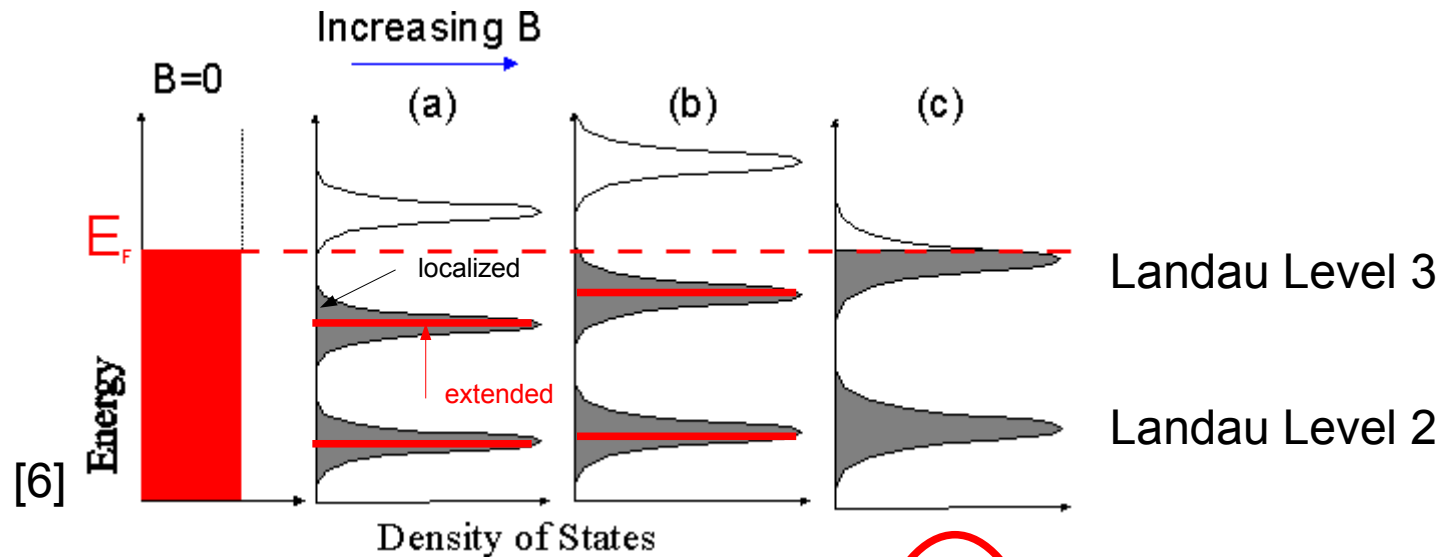
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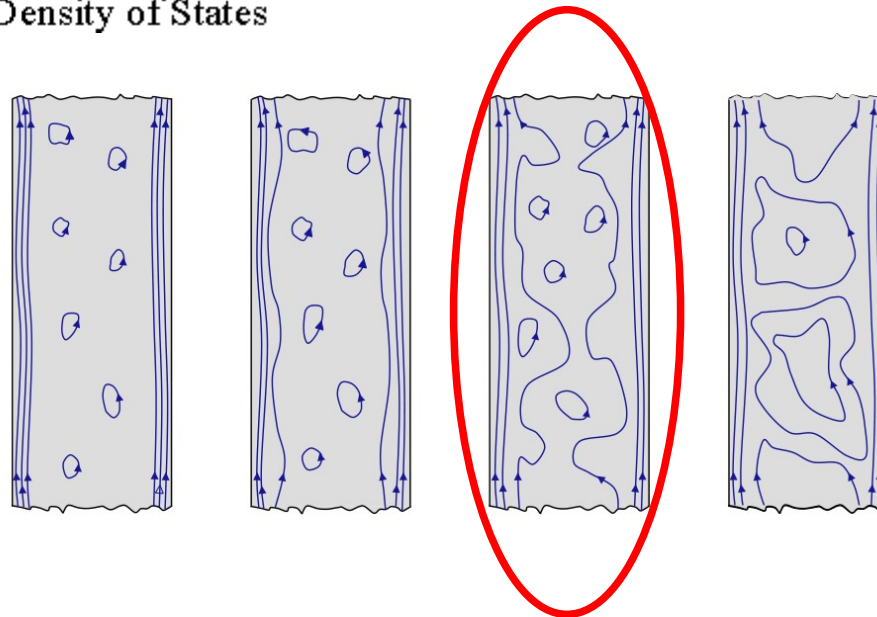
- vanishes at plateau regions of R_{Hall} for $E_F \neq E_N$
- jumps about 13 orders of magnitude when R_{Hall} changes



IV Integer Quantum Hall Effect – explanations



backscattering over
whole sample is
possible for $E_F = E_N$

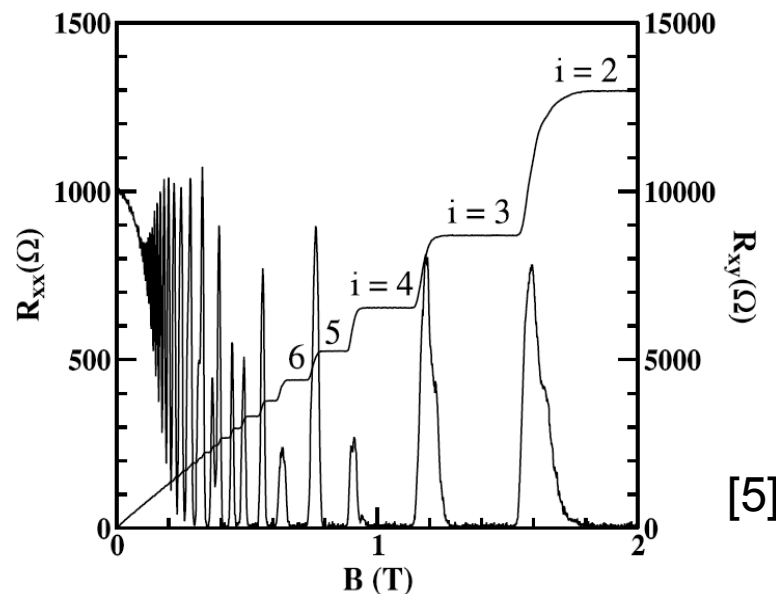


[2]

IV Integer Quantum Hall Effect – explanations

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- jumps about 13 orders of magnitude for $E_F = E_N$ when R_{Hall} changes

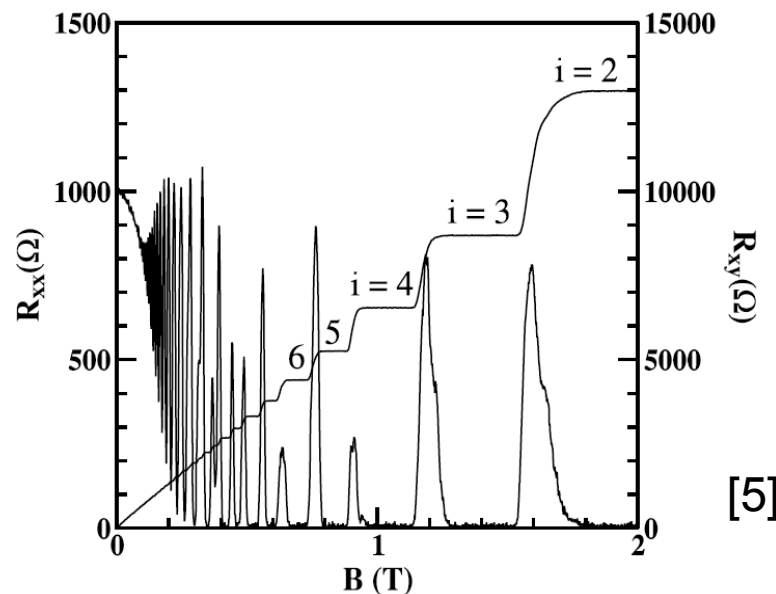


IV Integer Quantum Hall Effect – explanations

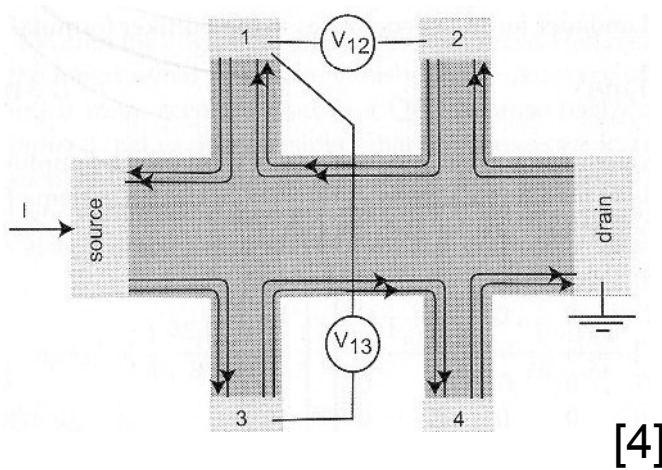
2) Hall resistance R_{Hall}

- shows plateau regions
- varies stepwise with step height given by

$$R_{\text{Hall}} = \frac{h}{e^2} \cdot \frac{1}{N} \quad N \in \mathbb{N}$$



IV Integer Quantum Hall Effect – explanations



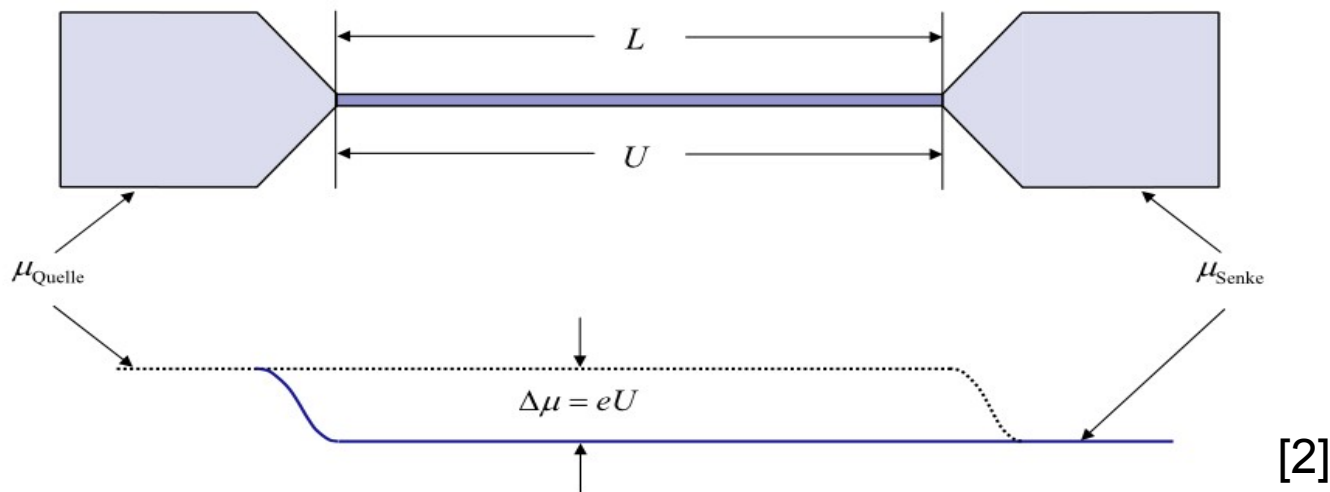
→ 1 dimensional ballistic transport
for $E_F \neq E_N$
in the channels

→ $\mu_{\text{source}} = \mu_3 = \mu_4$

→ $\mu_{\text{drain}} = \mu_2 = \mu_1$

→ $V_{\text{Hall}} = V_{13} = (\mu_3 - \mu_1)/e = (\mu_{\text{source}} - \mu_{\text{drain}})/e$

IV Integer Quantum Hall Effect – explanations

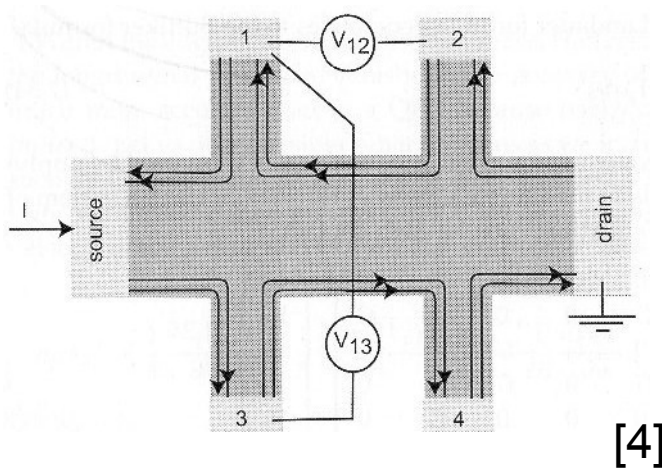


[2]

1 dimensional ballistic transport (current for one channel)

$$I = e \underbrace{\frac{1}{L}}_{\text{length of conductor}} \underbrace{\sum_k |v(k)| (f_r(k_r) - f_l(k_l))}_{\text{current summed over all } L \text{ sites of 1 dimensional system}} = e \frac{1}{L} \frac{L}{2\pi} \int dk \underbrace{\frac{1}{\hbar} \left| \frac{\partial E}{\partial k} \right|}_{|v(k)|} \underbrace{(f_r(E + eV/2) - f_l(E - eU/2))}_{\sim eV} = \frac{e^2}{h} V$$

IV Integer Quantum Hall Effect – explanations



→ 1 dimensional ballistic transport
for $E_F \neq E_N$
in the channels

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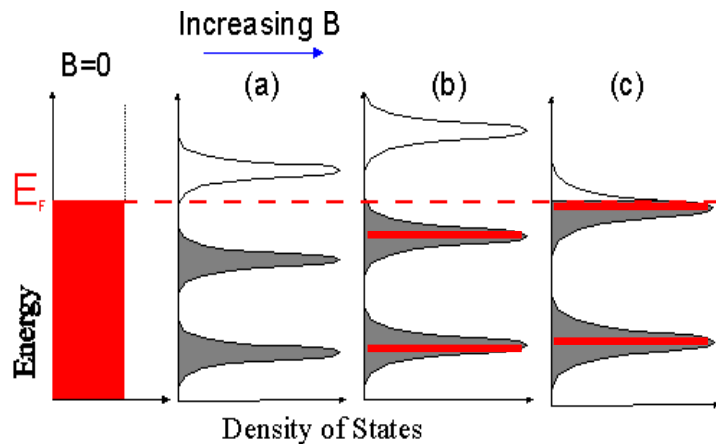
→ $\mu_{\text{drain}} = \mu_2 = \mu_1$

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→ with $I = N e^2/h V_{\text{Hall}}$

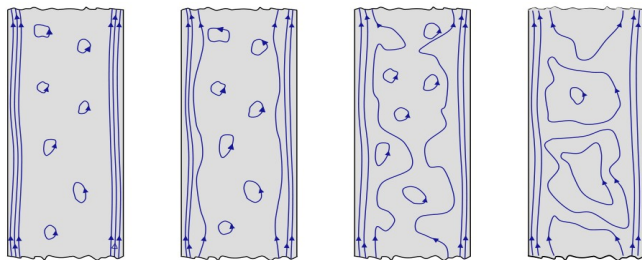
→
$$R_{\text{Hall}} = \frac{V_{\text{Hall}}}{I} = \frac{h}{e^2} \frac{1}{N}$$

IV Integer Quantum Hall Effect – explanations



[4]

- if $B \uparrow$ then $N = \text{const}$ for $E_F \neq E_N$
 \rightarrow plateau
- if $B \uparrow$ then $N \rightarrow N-1$ for $E_F = E_N$
 $\rightarrow R_{\text{Hall}}$ makes a step



[2]

\rightarrow 1 dimensional ballistic transport
 for $E_F \neq E_N$
 in the channels

$\rightarrow \mu_{\text{source}} = \mu_3 = \mu_4$

$\rightarrow \mu_{\text{drain}} = \mu_2 = \mu_1$

$\rightarrow V_{\text{Hall}} = V_{13} = (\mu_3 - \mu_1)/e = (\mu_{\text{source}} - \mu_{\text{drain}})/e$

\rightarrow with $I = N e^2/h V_{\text{Hall}}$

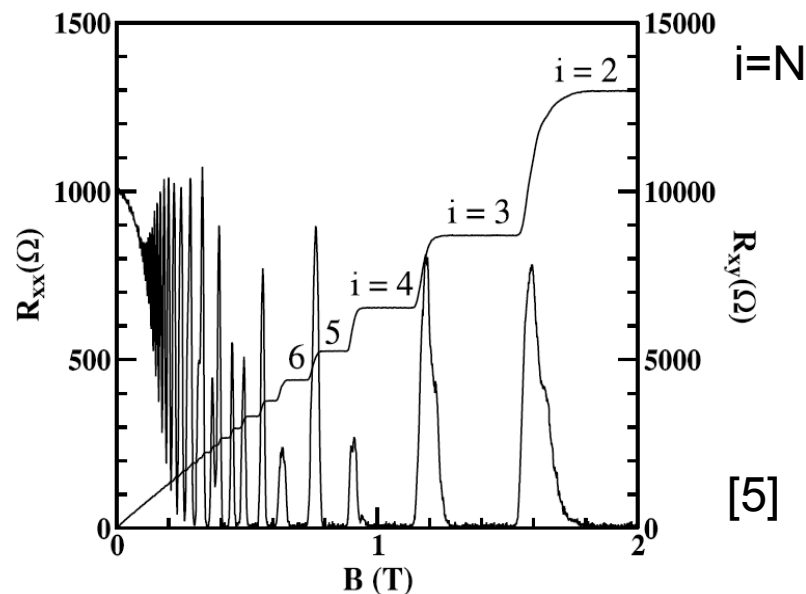
$\rightarrow R_{\text{Hall}} = \frac{V_{\text{Hall}}}{I} = \frac{h}{e^2} \frac{1}{N}$

IV Integer Quantum Hall Effect – explanations

2) Hall resistance R_{Hall}

- shows plateau regions
- varies stepwise with step height given by

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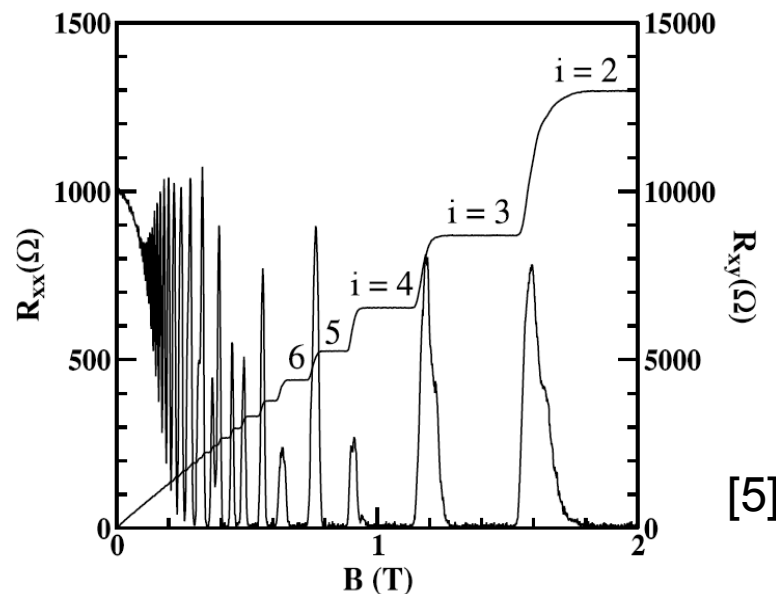


IV Integer Quantum Hall Effect – explanations

3) difference of plateau values

- of different samples and
- between different plateaus

is smaller than 10^{-10} times the quantized value



IV Integer Quantum Hall Effect – explanations

3) difference of plateau values

- of different samples and
- between different plateaus

is smaller than 10^{-10} times the quantized value

→ (nearly) complete suppression of momentum relaxation processes in the quantum Hall regime

→ truly ballistic conductor of incredibly high quality

→ quantization is extremely precise

→ accurate measurements possible

(e.g. due to independence of R_{Hall} of sample size

$$R_{\text{Hall}} = \frac{V_{\text{Hall}}}{I} = \frac{E_{\text{Hall}} \cdot W}{j \cdot W} = \frac{E_{\text{Hall}}}{j} = \rho_{\text{Hall}} \quad \rightarrow \text{Hall resistance} = \text{Hall resistivity})$$

IV Integer Quantum Hall Effect – explanations

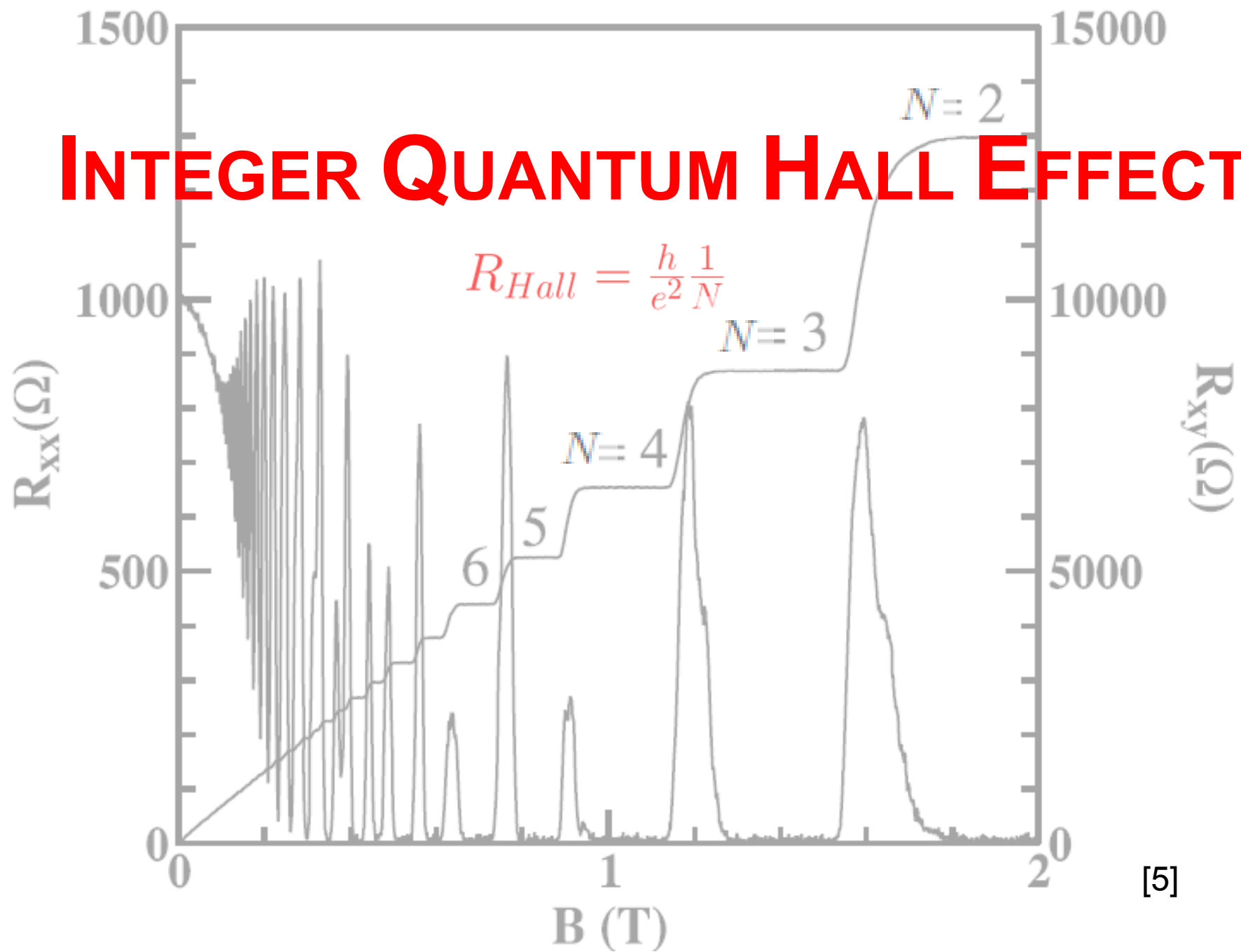
3) difference of plateau values

- of different samples and
- between different plateaus

is smaller than 10^{-10} times the quantized value

→ $R_K = \frac{h}{e^2} = 25812.807 \Omega$ is used as a resistance standard

INTEGER QUANTUM HALL EFFECT



References

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- [4] Thomas Heinzel, Mesoscopic Electronics in Solid State Nanostructures, Wiley-VCH Verlag GmbH & Co. KGaA, Weinheim (2007)
- [5] B.D. Simons & A. Altland, CRM Summer School 2001
- [6]]<http://www.warwick.ac.uk/~phsbm/llqhe.gif>
- [7]]<http://homepages.physik.uni-muenchen.de/~stefan.kehrein/teaching/MesoPhysikSoSe2011/MesoPhysikSoSe2011.html>