

1. Band Structure of Aluminum

(a) First I will clarify my notations here.

- I denote kinetic energy as $\epsilon_{\vec{k}} = \frac{\hbar^2 \vec{k}^2}{2m}$, and the bands $E^{(1)}(\vec{k})$, $E^{(2)}(\vec{k})$...
- Let $\epsilon_0 = \frac{2\pi^2 \hbar^2}{ma^2}$ be the scale of energy
- Suppose U has inversion symmetry so that its Fourier components are real. Let $U_1 = U_{\vec{G}_{111}} = \dots = U_{\vec{G}_{\bar{1}\bar{1}\bar{1}}}$, and $U_2 = U_{\vec{G}_{200}} = \dots = U_{\vec{G}_{002}}$

(1) $\Gamma \rightarrow X$

Let $\vec{k} = \frac{2\pi}{a}(\alpha, 0, 0)$. Going from Γ to X is equivalent to increasing α from 0 to 1.

(1.1) α is in the neighborhood of 0

i.e. \vec{k} is very close to Γ . The lowest band has no degeneracy and is just a parabola:

$$E^{(1)}(\vec{k}) = \frac{\hbar^2 \vec{k}^2}{2m} = \epsilon_0 \alpha^2$$

The second-to-lowest band, however, is more complicated. There are 8 G -points that are closest to Γ and have the same energy: $\vec{G}_{111} \dots \vec{G}_{\bar{1}\bar{1}\bar{1}}$. So $E^{(2)}(\vec{k})$ must be calculated by diagonalizing the subspace spanned by $|\psi_{\vec{k}-\vec{G}_{111}}\rangle \dots |\psi_{\vec{k}-\vec{G}_{\bar{1}\bar{1}\bar{1}}}\rangle$.

However, $E^{(2)}(\Gamma) \approx \epsilon_{\vec{G}_{111}} = 3\epsilon_0$ is very high. As a comparison, $E^{(2)}(X) \approx \epsilon_0$ (I have ignored the shift due to avoided crossing). This means that, in the neighborhood of Γ , $E^{(2)}(\vec{k})$ is way above E_F and is thus not of interest. It is not shown in the pset figure.

Therefore, I will not do the calculation of $E^{(2)}(\vec{k})$ in this case.

(1.2) α is far away from 0.

i.e. \vec{k} is sufficiently far away from Γ , such that $|\psi_{\vec{k}-\vec{G}_{111}}\rangle \dots |\psi_{\vec{k}-\vec{G}_{111}}\rangle$ do not mix into $E^{(2)}(\vec{k})$

Since at X , we have a double degeneracy of $|\psi_X\rangle$ and $|\psi_{X-\vec{G}_{200}}\rangle$, we should diagonalize the subspace spanned by $|\psi_{\vec{k}}\rangle$ and $|\psi_{\vec{k}-\vec{G}_{200}}\rangle$.

Before that, we already know that

(1.2.1) when $1-\alpha \gg \frac{U_z}{\epsilon_0}$, to the lowest order,

$$E^{(1)}(\vec{k}) = \frac{\hbar^2 k^2}{2m} = \epsilon_0 \alpha^2$$

$$E^{(2)}(\vec{k}) = \frac{\hbar^2 |\vec{k} - \vec{G}_{200}|^2}{2m} = \epsilon_0 (2-\alpha)^2$$

We expect $E^{(2)}(\vec{k})$ to be off more than $E^{(1)}(\vec{k})$ due to the coupling with other plane waves, say, $|\psi_{\vec{k}-\vec{G}_{111}}\rangle$.

(1.2.2) when $1-\alpha \ll \frac{U_z}{\epsilon_0}$, to the lowest order.

$$E^{(1)}(\vec{k}) = \epsilon_X - U_z = \epsilon_0 - U_z$$

$$E^{(2)}(\vec{k}) = \epsilon_X + U_z = \epsilon_0 + U_z$$

Now let us diagonalize the truncated Hamiltonian for general α .

$$H = \begin{bmatrix} \epsilon_{\vec{k}} & U_z \\ U_z & \epsilon_{\vec{k}-\vec{G}_{200}} \end{bmatrix}$$

We get

$$E^{(1)}(\vec{k}) = \frac{1}{2} \left[(\epsilon_{\vec{k}} + \epsilon_{\vec{k}-\vec{G}_{200}}) - \sqrt{(\epsilon_{\vec{k}} - \epsilon_{\vec{k}-\vec{G}_{200}})^2 + 4U_z^2} \right]$$

$$= (1+(1-\alpha)^2) \epsilon_0 - \sqrt{4(1-\alpha)^2 \epsilon_0^2 + U_z^2}$$

$$E^{(2)}(\vec{k}) = \frac{1}{2} \left[(\epsilon_{\vec{k}} + \epsilon_{\vec{k}-\vec{G}_{200}}) + \sqrt{(\epsilon_{\vec{k}} - \epsilon_{\vec{k}-\vec{G}_{200}})^2 + 4U_z^2} \right]$$

$$= (1+(1-\alpha)^2) \epsilon_0 + \sqrt{4(1-\alpha)^2 \epsilon_0^2 + U_z^2}$$

From this, we can refine (1.2.1) and (1.2.2) to the next order, as well as obtaining band structure of intermediate region.

(1.2.1)' when $1-\alpha \gg \frac{U_z}{\epsilon_0}$

$$E^{(1)}(\vec{k}) = \epsilon_0 \alpha^2 - \frac{U_z^2}{4(1-\alpha)\epsilon_0}$$

$$E^{(2)}(\vec{k}) = \epsilon_0 (2-\alpha)^2 + \frac{U_z^2}{4(1-\alpha)\epsilon_0}$$

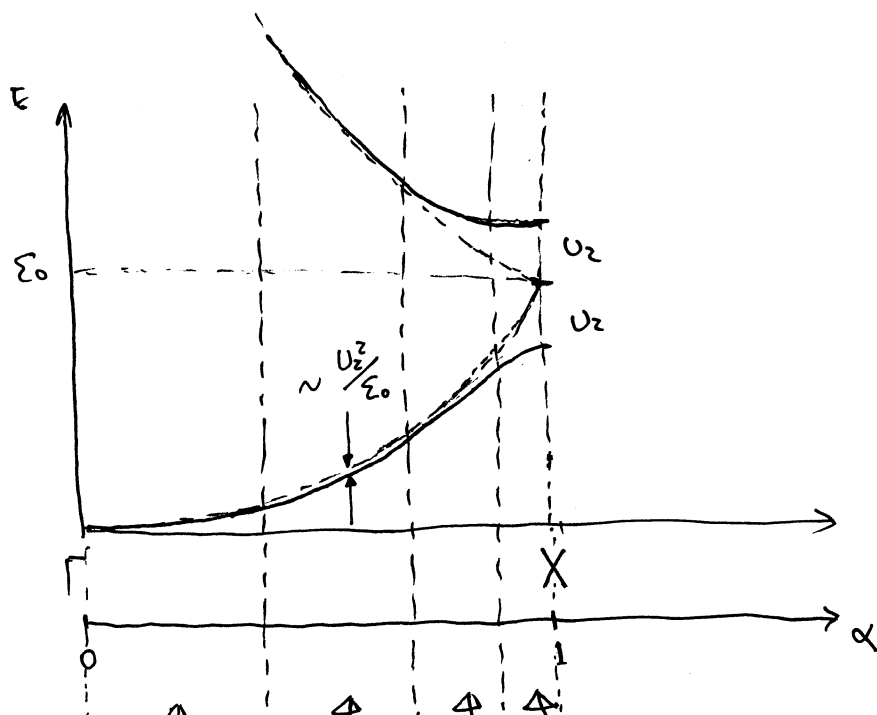
(1.2.2)' when $1-\alpha \ll \frac{U_2}{\epsilon_0}$

$$E^{(1)}(\vec{k}) = \epsilon_0 (1 + (1-\alpha)^2) - U_2 - \frac{2(1-\alpha)^2 \epsilon_0^2}{U_2}$$

$$E^{(2)}(\vec{k}) = \epsilon_0 (1 + (1-\alpha)^2) + U_2 + \frac{2(1-\alpha)^2 \epsilon_0^2}{U_2}$$

Specifically, when $\alpha=1$, $E^{(1)}(X) = \epsilon_0 - U_2$, $E^{(2)}(X) = \epsilon_0 + U_2$

In conclusion



- $E^{(1)}(\vec{k})$ quadratic
- $E^{(2)}(\vec{k})$ solution fails: larger basis required
- Quadratic + U_2 perturbation
- Intermediate
- Avoided crossing
- Flat dispersion
- $(\epsilon_{\vec{k}} - \epsilon_{200} - \epsilon_{\vec{k}})$ perturbation

(2) $X \rightarrow W$

The entire path is on (200) face. Double degeneracy everywhere. Let $\vec{k} = \frac{2\pi}{a}(1, \beta, 0)$. Going from X to W is equivalent to increasing β from 0 to $\frac{1}{2}$.

Degeneracy:

$$\epsilon_{\vec{k}} = \epsilon_{\vec{k}} - \epsilon_{200} = \epsilon_0 (1 + \beta^2)$$

$$\epsilon_{\vec{k}} - \epsilon_{111} = \epsilon_{\vec{k}} - \epsilon_{111} = \epsilon_0 (1 + (1-\beta)^2)$$

When $\beta = \frac{1}{2}$, i.e. at W, we have a four-fold degeneracy

$$\Sigma \omega = \frac{5}{4} \epsilon_0.$$

(2.1) Start from $\beta=0$ and keep β far away from $\frac{1}{2}$.

Avoided crossing happens in subspace $\{|\psi_E\rangle, |\psi_{E-\tilde{G}_{2\omega}}\rangle\}$ and $\{|\psi_{E-\tilde{G}_{1\omega}}\rangle, |\psi_{E-\tilde{G}_{1\omega}}\rangle\}$. But the coupling across subspace is negligible.

Within each subspace, avoided crossing:

$$E^{(1)}(E) = \epsilon_0(1+\beta^2) - U_2 = E_1^-$$

$$E^{(2)}(E) = \epsilon_0(1+\beta^2) + U_2 = E_1^+$$

$$E^{(3)}(E) = \epsilon_0(1+(1-\beta)^2) - U_2 = E_2^-$$

$$E^{(4)}(E) = \epsilon_0(1+(1-\beta)^2) + U_2 = E_2^+$$

The good basis is

$$|\psi_1^\pm\rangle = \frac{1}{\sqrt{2}} (|\psi_E\rangle \pm |\psi_{E-\tilde{G}_{2\omega}}\rangle)$$

$$|\psi_2^\pm\rangle = \frac{1}{\sqrt{2}} (|\psi_{E-\tilde{G}_{1\omega}}\rangle \pm |\psi_{E-\tilde{G}_{1\omega}}\rangle)$$

(2.2) Now add inter-subspace coupling. In this basis,

$$H = \begin{bmatrix} E_1^- & 0 & 0 & 0 \\ 0 & E_1^+ & 0 & 2U_1 \\ 0 & 0 & E_2^- & 0 \\ 0 & 2U_1 & 0 & E_2^+ \end{bmatrix}$$

When U_1 is not negligible, $|\psi_1^\pm\rangle$ will couple to $|\psi_2^\pm\rangle$.

However, notice that $|\psi_1^\pm\rangle$ and $|\psi_2^\pm\rangle$ are still eigenstates of H . Coupling only happens between $|\psi_1^+\rangle$ and $|\psi_2^+\rangle$.

In the subspace spanned by $\{|\psi_1^+\rangle, |\psi_2^+\rangle\}$,

$$H = \begin{bmatrix} E_1^+ & 2U_1 \\ 2U_1 & E_2^+ \end{bmatrix}$$

$$E^\pm = \frac{1}{2} \left[(E_1^+ + E_2^+) \pm \sqrt{(E_1^+ - E_2^+)^2 + 4U_1^2} \right] + U_2$$

$$= \left\{ 1 + \frac{1}{2}[\beta^2 + (1-\beta)^2] \right\} \epsilon_0 \pm \sqrt{\frac{1}{4}(1-2\beta)^2 \epsilon_0^2 + 4U_1^2} + U_2$$

(2.2.1) When $1-2\beta \gg \frac{U_1}{\epsilon_0}$,

$$E^+ = \epsilon_0(1+(1-\beta)^2) + U_2 + \frac{4U_1^2}{(1-2\beta)\epsilon_0}$$

$$E^- = \epsilon_0(1+\beta^2) + U_2 - \frac{4U_1^2}{(1-2\beta)\epsilon_0}$$

Ordering of levels is

$$E^{(1)}(\vec{k}) = E_1^-$$

$$E^{(2)}(\vec{k}) = E^-$$

$$E^{(3)}(\vec{k}) = E_2^-$$

$$E^{(4)}(\vec{k}) = E^+$$

(2.2.2) When $1-2\beta \ll \frac{U_1}{\epsilon_0}$

$$E^+ = \left(1 + \frac{1}{2}(\beta^2 + (1-\beta)^2)\right) \epsilon_0 + 2U_1 + U_2$$

$$E^- = \left(1 + \frac{1}{2}(\beta^2 + (1-\beta)^2)\right) \epsilon_0 - 2U_1 + U_2$$

Ordering of levels is

$$E^{(1)}(\vec{k}) = E_1^-$$

$$E^{(2)}(\vec{k}) = E_2^-$$

$$E^{(3)}(\vec{k}) = E^-$$

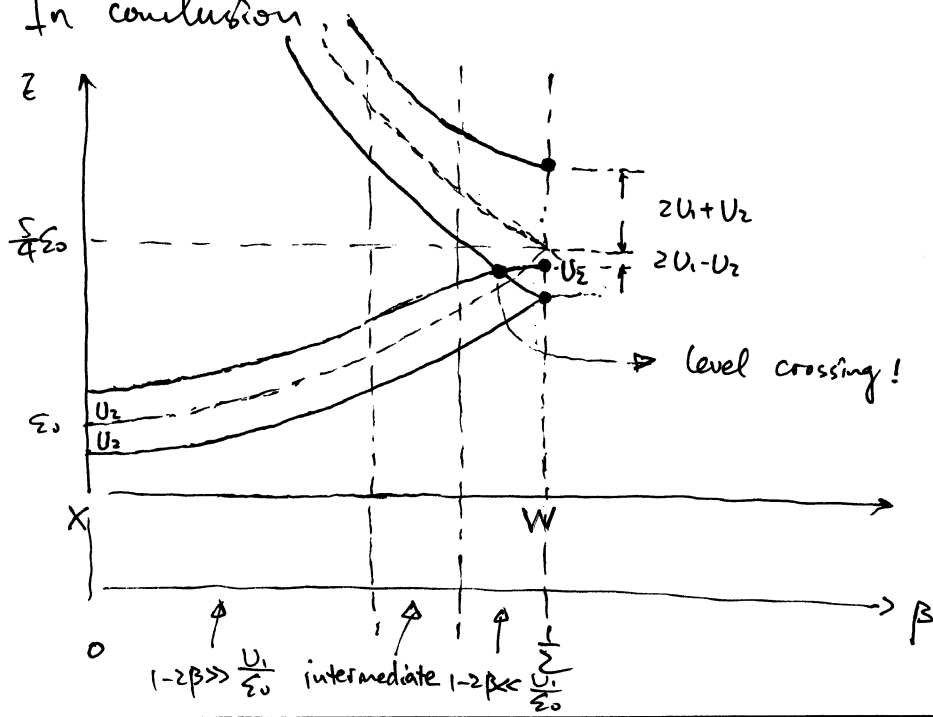
$$E^{(4)}(\vec{k}) = E^+$$

Comparing (2.2.1) and (2.2.2), we notice a level crossing between $E^{(2)}(\vec{k})$ and $E^{(3)}(\vec{k})$. This does not turn into an avoided crossing, since $|4_2^- \rangle$ does not couple with $\{|4_1^+ \rangle, |4_2^+ \rangle\}$ subspace.

$$\text{At } X, \quad E_1^- = E_2^- = \frac{5}{4} \epsilon_0 - U_2$$

$$E^\pm = \frac{5}{4} \epsilon_0 \pm 2U_1 + U_2.$$

In conclusion



(3) $W \rightarrow L$

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The entire path is on (111) face. Double degeneracy everywhere.
 Let $\vec{k} = \frac{\pi}{a}(1-\gamma, \frac{1}{2}, \gamma)$ Going from W to L is equivalent to increasing γ from 0 to $\frac{1}{2}$.

Degeneracy: $\Sigma_{\vec{k}} = \Sigma_{\vec{k}-\vec{G}_{111}} = \Sigma_0(\frac{3}{4} + \gamma^2 + (1-\gamma)^2)$

$$\Sigma_{\vec{k}-\vec{G}_{200}} = \Sigma_{\vec{k}-\vec{G}_{111}} = \Sigma_0(\frac{3}{4} + \gamma^2 + (1+\gamma)^2)$$

When $\gamma=0$ i.e. at W, we have four-fold degeneracy.

Use basis $\{|\psi_1^\pm\rangle = \frac{1}{\sqrt{2}}(|\psi_{\vec{k}}\rangle \pm |\psi_{\vec{k}-\vec{G}_{111}}\rangle), |\psi_2^\pm\rangle = \frac{1}{\sqrt{2}}(|\psi_{\vec{k}-\vec{G}_{200}}\rangle \pm |\psi_{\vec{k}-\vec{G}_{111}}\rangle)\}$

$$H = \begin{pmatrix} \Sigma_{\vec{k}} - U_1 & 0 & U_2 - U_1 & 0 \\ 0 & \Sigma_{\vec{k}} + U_1 & 0 & U_2 + U_1 \\ U_2 - U_1 & 0 & \Sigma_{\vec{k}-\vec{G}_{200}} - U_1 & 0 \\ 0 & U_2 + U_1 & 0 & \Sigma_{\vec{k}-\vec{G}_{111}} + U_1 \end{pmatrix}$$

Different from $X \rightarrow W$, none of $|\psi_1^\pm\rangle, |\psi_2^\pm\rangle$ is an eigenstate of H . I don't want to diagonalize a 4×4 matrix. So I use perturbation theory.

(3.1) When $\gamma \ll \frac{|U_2 - U_1|}{\Sigma_0}$. Treat $\Sigma_{\vec{k}-\vec{G}_{200}} - \Sigma_{\vec{k}} = 4\gamma\Sigma_0$ as a perturbation.

$$H_0 = \frac{1}{2}(\Sigma_{\vec{k}} + \Sigma_{\vec{k}-\vec{G}_{200}})I + \begin{pmatrix} -U_1 & 0 & U_2 - U_1 & 0 \\ 0 & U_1 & 0 & U_2 + U_1 \\ U_2 - U_1 & 0 & -U_1 & 0 \\ 0 & U_2 + U_1 & 0 & U_1 \end{pmatrix}$$

$$\delta H = 2\gamma\Sigma_0 \begin{pmatrix} -1 & & & \\ & -1 & & \\ & & 1 & \\ & & & 1 \end{pmatrix}$$

Diagonalizing H_0 :

$$E_1 = (\frac{\Sigma}{4} + 2\gamma^2)\Sigma_0 - U_2$$

$$|\phi_1\rangle = \frac{1}{\sqrt{2}}(1, 0, -1, 0)^T$$

$$E_2 = (\frac{\Sigma}{4} + 2\gamma^2)\Sigma_0 - U_2$$

$$|\phi_2\rangle = \frac{1}{\sqrt{2}}(0, 1, 0, -1)^T$$

$$E_3 = (\frac{\Sigma}{4} + 2\gamma^2)\Sigma_0 + U_2 - 2U_1$$

$$|\phi_3\rangle = \frac{1}{\sqrt{2}}(1, 0, 1, 0)^T$$

$$E_4 = (\frac{\Sigma}{4} + 2\gamma^2)\Sigma_0 + U_2 + 2U_1$$

$$|\phi_4\rangle = \frac{1}{\sqrt{2}}(0, 1, 0, 1)^T$$

In the eigen basis:

$$\delta H = 2\gamma\Sigma_0 \begin{pmatrix} 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \\ -1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix}$$

Second order perturbation:

$$\delta E_1 = \frac{(2\gamma \epsilon_0)^2}{(-U_2) - (U_2 - 2U_1)} = -\frac{2\gamma^2 \epsilon_0^2}{U_2 - U_1} = -\delta E_3$$

$$\delta E_2 = \frac{(2\gamma \epsilon_0)^2}{(-U_2)(U_2 + 2U_1)} = -\frac{2\gamma^2 \epsilon_0^2}{U_2 + U_1} = -\delta E_4$$

Therefore,

$$E^{(1)}(\vec{k}) = \frac{\epsilon_0}{4} - 2\gamma^2 \epsilon_0 \left(\frac{\epsilon_0}{U_2 - U_1} - 1 \right) - U_2$$

$$E^{(2)}(\vec{k}) = \frac{\epsilon_0}{4} - 2\gamma^2 \epsilon_0 \left(\frac{\epsilon_0}{U_2 + U_1} - 1 \right) - U_2$$

$$E^{(3)}(\vec{k}) = \frac{\epsilon_0}{4} + 2\gamma^2 \epsilon_0 \left(\frac{\epsilon_0}{U_2 - U_1} + 1 \right) + U_2 - 2U_1$$

$$E^{(4)}(\vec{k}) = \frac{\epsilon_0}{4} + 2\gamma^2 \epsilon_0 \left(\frac{\epsilon_0}{U_2 + U_1} + 1 \right) + U_2 + 2U_1$$

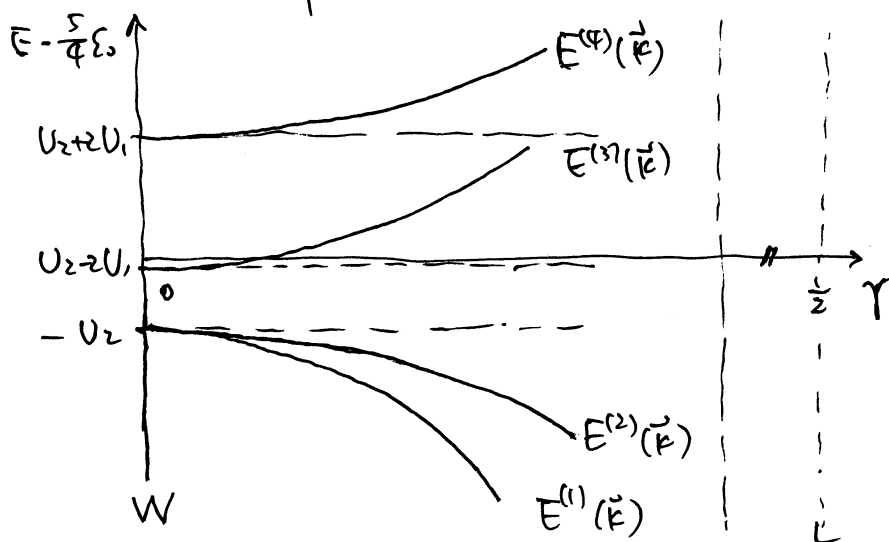
$$\frac{\partial^2 E^{(1)}(\vec{k})}{\partial \gamma^2} = -4\epsilon_0 \left(\frac{\epsilon_0}{U_2 - U_1} - 1 \right) < 0$$

$$\frac{\partial^2 E^{(2)}(\vec{k})}{\partial \gamma^2} = -4\epsilon_0 \left(\frac{\epsilon_0}{U_2 + U_1} - 1 \right) < 0$$

$$\frac{\partial^2 E^{(3)}(\vec{k})}{\partial \gamma^2} = 4\epsilon_0 \left(\frac{\epsilon_0}{U_2 - U_1} + 1 \right) > 0$$

$$\frac{\partial^2 E^{(4)}(\vec{k})}{\partial \gamma^2} = 4\epsilon_0 \left(\frac{\epsilon_0}{U_2 + U_1} + 1 \right) > 0$$

The dispersion near W look like



No level crossing!

$$\gamma \ll \frac{|U_2 - U_1|}{\epsilon_0}$$

(3.2) When $\gamma \gg \frac{U_1}{\epsilon_0}, \frac{U_2}{\epsilon_0}$.

Use $\{|\psi_1^{\pm}\rangle, |\psi_2^{\pm}\rangle\}$ basis. Treat off-diagonal as perturbation.

$$\delta E_{1-} = \frac{(U_2 - U_1)^2}{-4\gamma \epsilon_0} = -\delta E_{2-}$$

$$\delta E_{1+} = \frac{(U_2 + U_1)^2}{-4\gamma \epsilon_0} = -\delta E_{2+}$$

Therefore, the lowest two bands are:

$$E^{(1)}(\vec{k}) = \epsilon_{\vec{k}} - U_1 - \frac{(U_2 - U_1)^2}{4\gamma \epsilon_0}$$

$$E^{(2)}(\vec{k}) = \epsilon_{\vec{k}} + U_1 - \frac{(U_2 + U_1)^2}{4\gamma \epsilon_0}$$

when γ is large enough such that mixing from $\{|\psi_2^{\pm}\rangle\}$ is negligible, this simplifies (dropping second order).

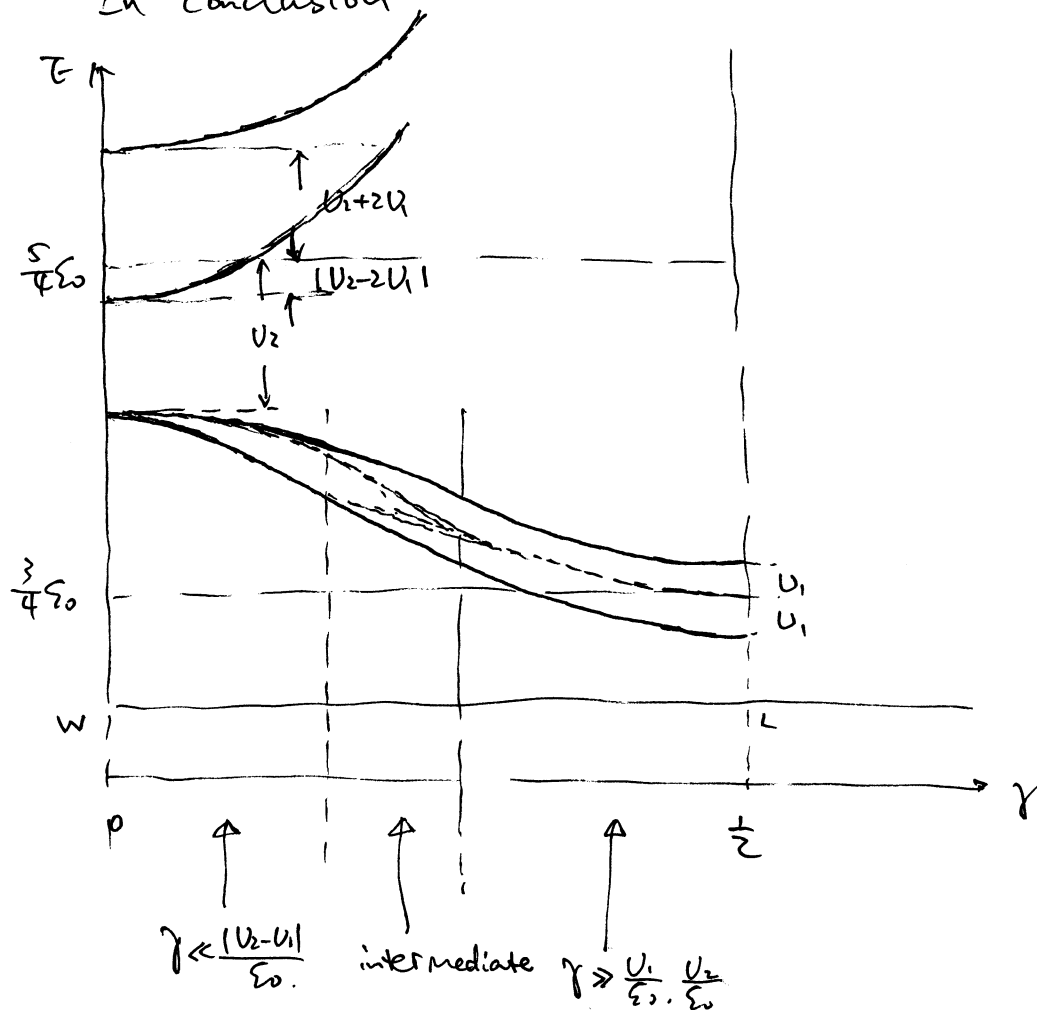
$$E^{(1)}(\vec{k}) = \epsilon_{\vec{k}} - U_1 = \epsilon_0 \left(\frac{1}{4} + \gamma^2 + (1-\gamma^2) \right) - U_1$$

$$E^{(2)}(\vec{k}) = \epsilon_{\vec{k}} + U_1 = \epsilon_0 \left(\frac{1}{4} + \gamma^2 + (1-\gamma^2) \right) + U_1$$

At L , $\gamma = \frac{1}{2}$

$$E^{(1)}(L) = \frac{3}{4}\epsilon_0 - U_1 \quad E^{(2)}(L) = \frac{3}{4}\epsilon_0 + U_1$$

In conclusion



(4) $L \rightarrow \Gamma$

Let $\vec{k} = \frac{2\pi}{a}(\frac{1}{2}-\delta, \frac{1}{2}-\delta, \frac{1}{2}-\delta)$. Going from L to Γ is equivalent to increasing δ from 0 to $\frac{1}{2}$.

The calculation is similar to $\Gamma \rightarrow X$. So I will skip some steps.

(4.1) δ is in the neighborhood of $\frac{1}{2}$

$$E^{(1)}(\vec{k}) = \frac{\hbar^2 k^2}{2m} = 3\epsilon_0 \left(\frac{1}{2} - \delta \right)^2$$

$E^{(2)}(\vec{k})$ requires diagonalizing a much larger matrix.

$E^{(2)}(\vec{k})$ high above E_F .

(4.2) δ is far away from $\frac{1}{2}$.

Consider the mixing of $|\psi_E\rangle$ and $|\psi_{E-\bar{G}_{III}}\rangle$.

$$H = \begin{pmatrix} \epsilon_E & U_1 \\ U_1 & \epsilon_E - \bar{G}_{III} \end{pmatrix}$$

$$E^{(1)}(E) = \frac{1}{2} \left[(\epsilon_E + \epsilon_E - \bar{G}_{III}) - \sqrt{(\epsilon_E - \epsilon_E - \bar{G}_{III})^2 + 4U_1^2} \right]$$

$$E^{(2)}(E) = \frac{1}{2} \left[(\epsilon_E + \epsilon_E - \bar{G}_{III}) + \sqrt{(\epsilon_E - \epsilon_E - \bar{G}_{III})^2 + 4U_1^2} \right]$$

In terms of δ ,

$$E^{(1)}(E) = \frac{1}{2} \left[3(\delta^2 + \frac{1}{4})\epsilon_0 - \sqrt{(3\delta\epsilon_0)^2 + U_1^2} \right]$$

$$E^{(2)}(E) = \frac{1}{2} \left[3(\delta^2 + \frac{1}{4})\epsilon_0 + \sqrt{(3\delta\epsilon_0)^2 + U_1^2} \right]$$

(4.2.1) when $\delta \gg \frac{U_1}{\epsilon_0}$

$$E^{(1)}(E) = \frac{1}{2} \left[3(\delta^2 + \frac{1}{4})\epsilon_0 - \frac{U_1^2}{6\delta\epsilon_0} \right]$$

$$E^{(2)}(E) = \frac{1}{2} \left[3(\delta^2 + \frac{1}{4})\epsilon_0 + \frac{U_1^2}{6\delta\epsilon_0} \right]$$

$$\xrightarrow{\text{lowest order}} \frac{1}{2} \left[3(\delta - \frac{1}{2})^2 \epsilon_0 \right]$$

$$\xrightarrow{\text{lowest order}} \frac{1}{2} \left[3(\delta + \frac{1}{2})^2 \epsilon_0 \right]$$

(4.2.2) when $\delta \ll \frac{U_1}{\epsilon_0}$

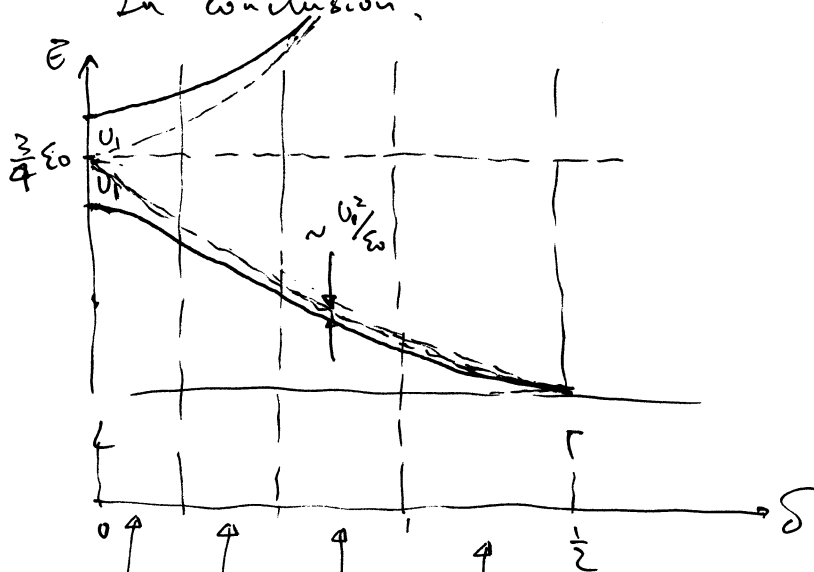
$$E^{(1)}(E) = \frac{1}{2} \left[3(\delta^2 + \frac{1}{4})\epsilon_0 - U_1 - \frac{(3\delta\epsilon_0)^2}{2U_1} \right]$$

$$E^{(2)}(E) = \frac{1}{2} \left[3(\delta^2 + \frac{1}{4})\epsilon_0 + U_1 + \frac{(3\delta\epsilon_0)^2}{2U_1} \right]$$

$$\xrightarrow{\text{lowest order}} \frac{3}{4}\epsilon_0 - U_1$$

$$\xrightarrow{\text{lowest order}} \frac{3}{4}\epsilon_0 + U_1$$

In conclusion,



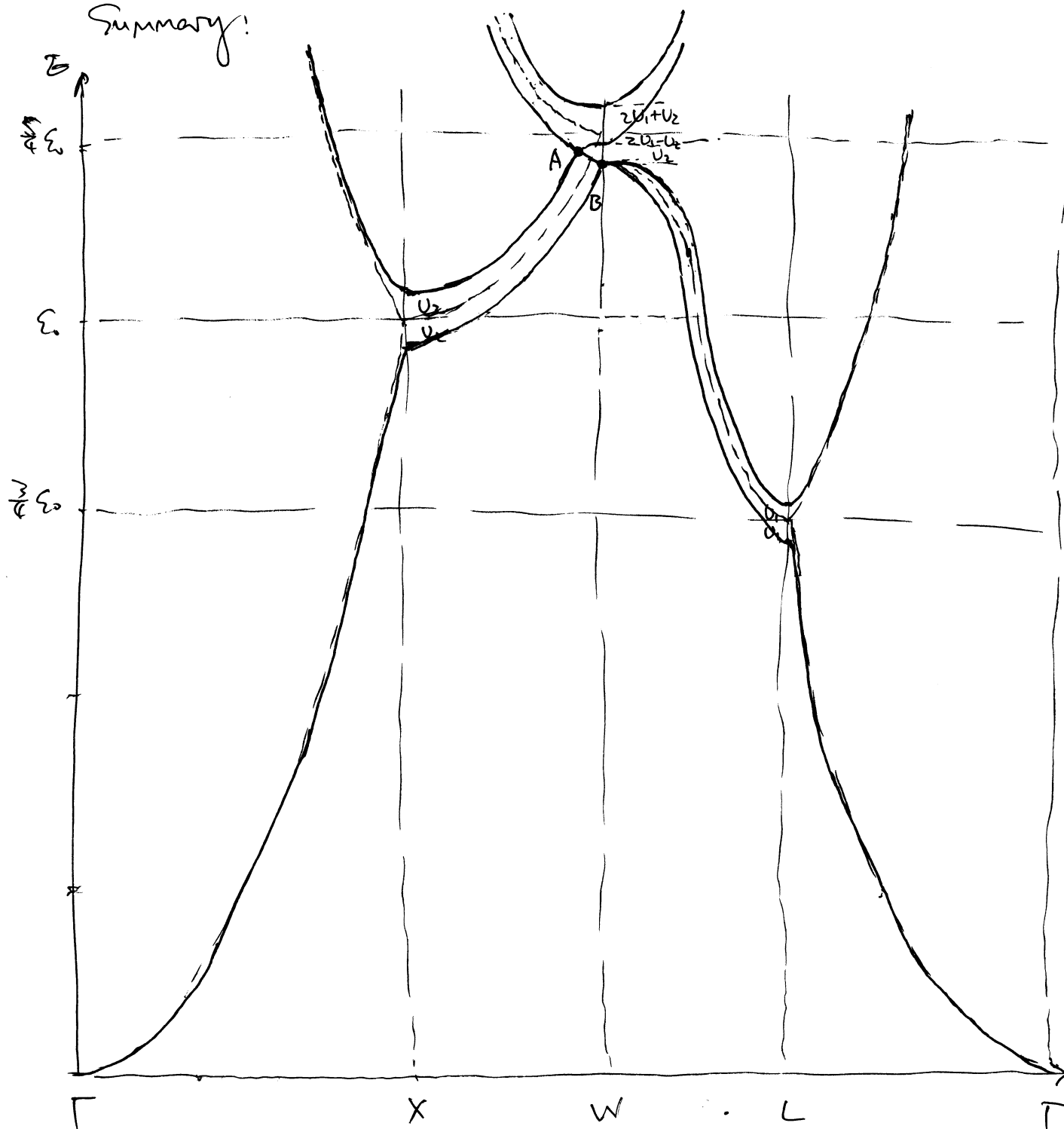
$\delta \ll \frac{U_1}{\epsilon_0}$

$\delta \gg \frac{U_1}{\epsilon_0}$

intermediate.

$E^{(1)}(E)$ quadratic,
 $E^{(2)}(E)$ fails.

Summary:



points A, B have double degeneracies that are not broken.

(b) $\Omega = \frac{4}{3}a^3$.

$$V(q) = \frac{1}{\Omega} \int_{\Omega} d^3\vec{r} e^{-i\vec{q}\cdot\vec{r}} V(\vec{r})$$

$$\approx \frac{1}{\Omega} \int_{R_c}^{\infty} r^2 dr \int_0^{\pi} \sin\theta d\theta \int_0^{2\pi} d\varphi \cdot e^{-iqr \cos\theta} \cdot \left(-\frac{ze^2}{r}\right)$$

$$= -\frac{2\pi ze^2}{\Omega} \int_{R_c}^{\infty} r dr \int_0^{\pi} e^{-iqr \cos\theta} \sin\theta d\theta$$

$$= -\frac{2\pi ze^2}{\Omega} \cdot \int_{R_c}^{\infty} r dr \cdot \frac{1}{-iqr} (e^{-iqr} - e^{iqr})$$

$$= -\frac{2\pi ze^2}{q^2 \Omega} \int_{R_c}^{\infty} \sin qr dr$$

$$= -\frac{4\pi ze^2}{q^2 \Omega} [-\cos qr] \Big|_{R_c}^{\infty}$$

$$= -\frac{4\pi ze^2}{q^2 \Omega} \cos(qR_c)$$

$$= -\frac{16\pi ze^2}{q^2 a^3} \cos(qR_c)$$

$$U_1: \vec{q} = \frac{2\pi}{a}(1,1,1) \quad q = \frac{2\pi}{a}\sqrt{3}$$

$$U_1 = -\frac{16\pi ze^2}{\frac{4\pi^2 \cdot 3}{a^2} \cdot a^3} \cos\left(2\sqrt{3}\pi \frac{R_c}{a}\right)$$

$$= -\frac{4ze^2}{3\pi a} \cos\left(2\sqrt{3}\pi \frac{R_c}{a}\right)$$

$$\approx 0.188 \text{ eV}$$

$$U_2: \vec{q} = \frac{2\pi}{a}(2,0,0) \quad q = \frac{4\pi}{a}$$

$$U_2 = -\frac{16\pi ze^2}{\frac{16\pi^2}{a^2} a^3} \cos\left(4\pi \frac{R_c}{a}\right)$$

$$= -\frac{ze^2}{\pi a} \cos\left(4\pi \frac{R_c}{a}\right)$$

$$\approx 0.974 \text{ eV}$$

Therefore, splitting at X:

$$E^{(2)}(X) - E^{(1)}(X) = 2U_2 \approx 1.948 \text{ eV}$$

Splitting at W:

$$E^{(2)}(W) - E^{(1)}(W) = 0$$

$$E^{(3)}(W) - E^{(2)}(W) = (U_2 - 2U_1) - (-U_2) = 2U_2 - 2U_1 \approx 1.572 \text{ eV}$$

$$E^{(4)}(W) - E^{(3)}(W) = (U_2 + 2U_1) - (U_2 - 2U_1) = 4U_1 \approx 0.752 \text{ eV}$$

Splitting at L:

$$E^{(2)}(L) - E^{(1)}(L) = 2U_1 \approx 0.376 \text{ eV}.$$

- (c) To make the calculation accurate, I use a Hilbert space whose dimension is $d = 559$.

The band structure is shown in Fig. 1 on next page.

- (d) The electrons should take up 1.5 bands. Since my bands are discrete points, I just need to sort their energies and cut at 1.5-band level. The estimation is

$$E_F \approx 10.16 \text{ eV}$$

KKR method gives $E_F \approx 0.83 \sim 0.84 \text{ Ry.}$, which is around $11.3 \sim 11.4 \text{ eV}$. So I am off by 10%. Still OK.

