

# **Predicting outcomes in neovascular Age-related Macular Degeneration from retinal images using a convolutional neural network**

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**Supervisors:**

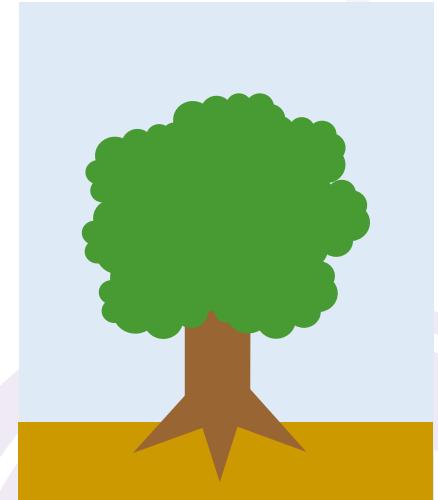
**Clinical:**\*\*\*

**Machine Learning:**\*\*\*

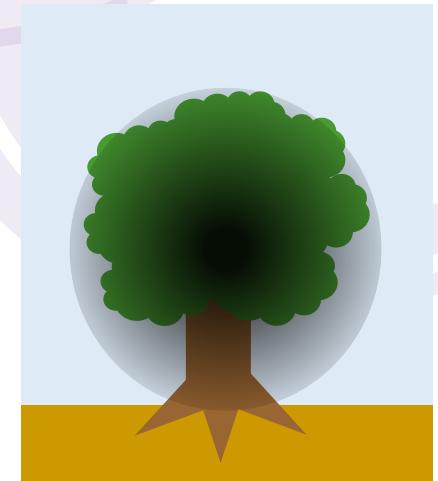
# Outline

1. Introduction & Background
2. Research aim
3. Literature Review
4. Data & Domain
5. Methodology
6. Results
7. Discussion
8. Conclusion & Future Work

Normal Vision



Vision in nAMD



# What is AMD?

## Age-related Macular Degeneration

### A Leading Cause of Vision Loss

- Can lead to **severe vision impairment**
- Affects mainly **elderly people**

### Rising Cases with an Ageing Population

- More AMD cases expected as people live longer
- Early diagnosis & effective treatment are crucial

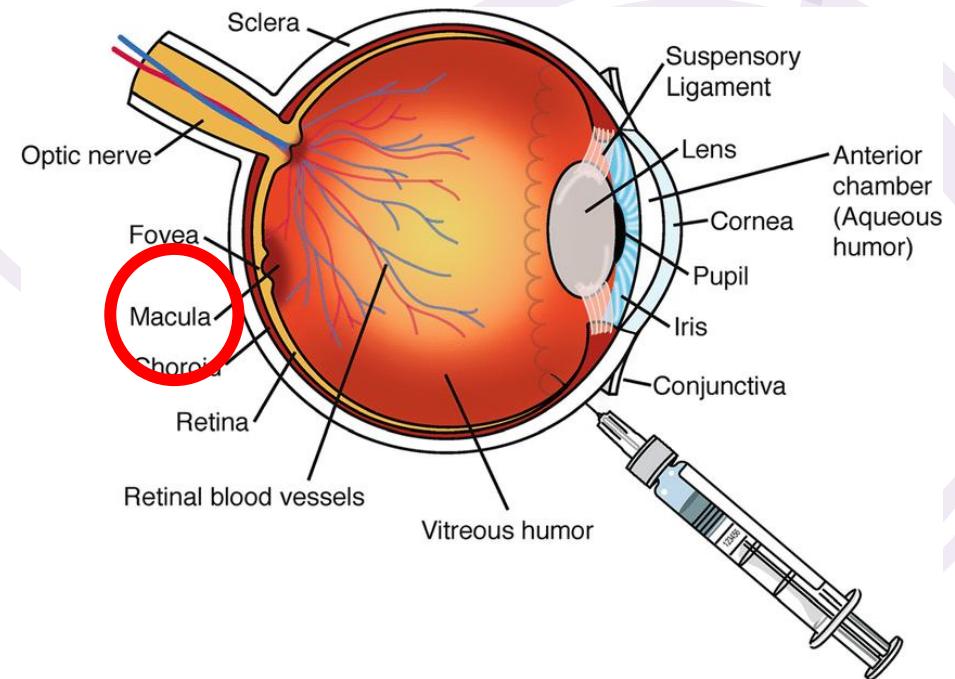
# AMD types

## Dry AMD

- More common
- Slower progression
- No effective treatment currently

## Wet AMD

- Less common but more severe
- Characterised by **abnormal blood vessel growth**
- **Treatable with anti-VEGF injections**



"Fig. 1. Illustration of the ocular anatomy and intravitreal injection...," n.d.

# AMD types

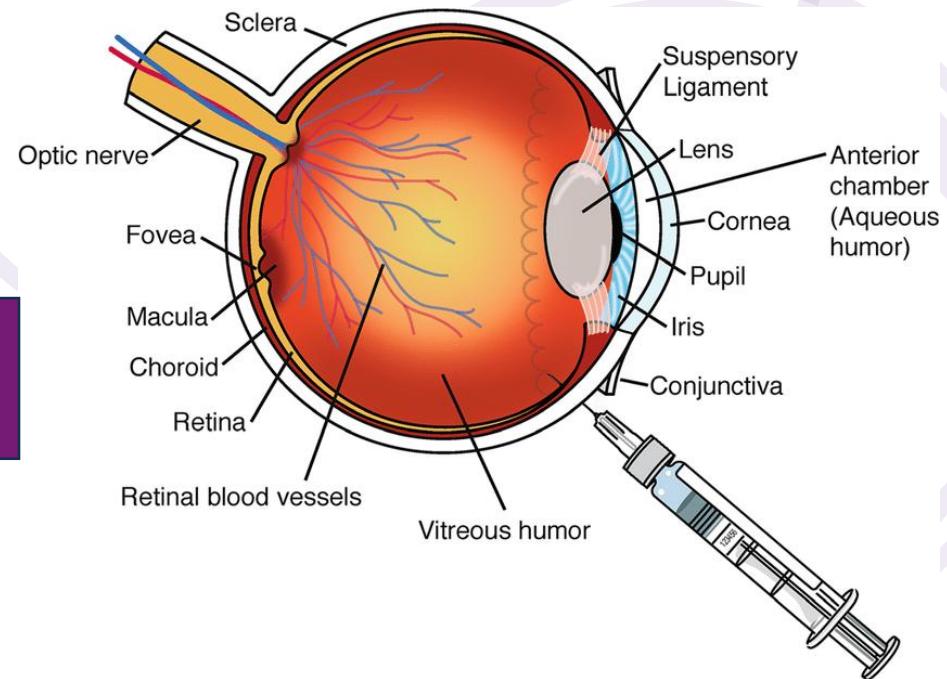
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- Less common but more severe
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OCT Images



"Fig. 1. Illustration of the ocular anatomy and intravitreal injection...," n.d.

# Optical Coherence Tomography (OCT) Scan

- Non-invasive imaging technique
- Produces high-resolution **cross-sectional** images of the retina
- Helps **visualise retinal layers**, fluid accumulation, and biomarkers relevant to AMD



*Image credit: spelio, Flickr (CC BY 2.0)*  
<https://www.flickr.com/photos/spelio/49526627638>

# What does an OCT scan look like?

- Shows retinal layers in detail
- Key tool for detecting abnormalities

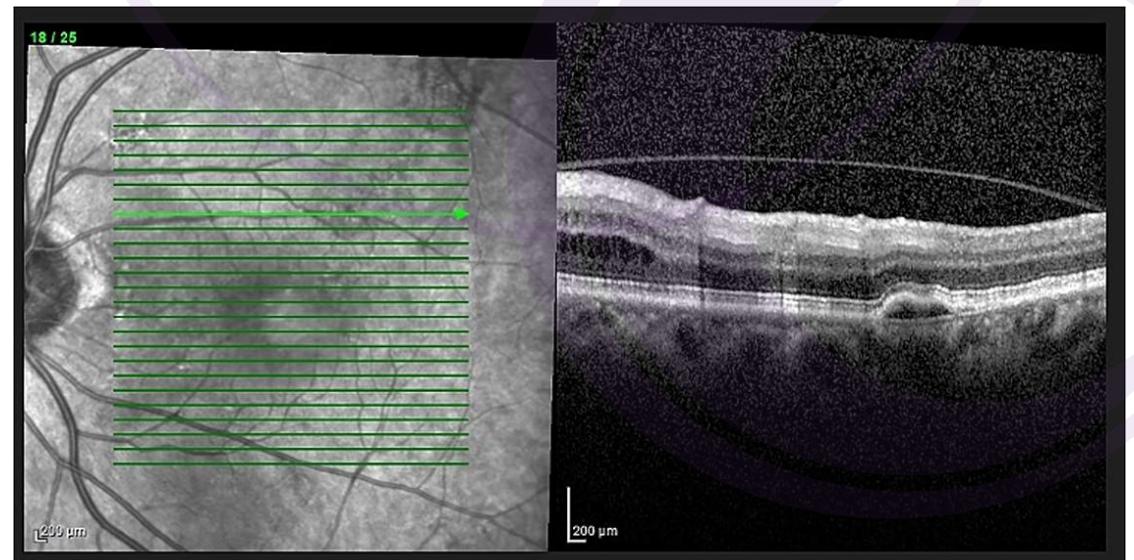


Figure2. "Figure 3 OCT of left eye – showing IRF... | Oxford Academic," n.d

# Patients Often Ask

- **How many injections** will they need?
- Will they still be able to **drive** in a year?

# To Address These Questions

- Can a deep learning model based on baseline OCT images predict **injection frequency** over 12 months?
- Can it predict **future visual acuity** (e.g., driving ability)?

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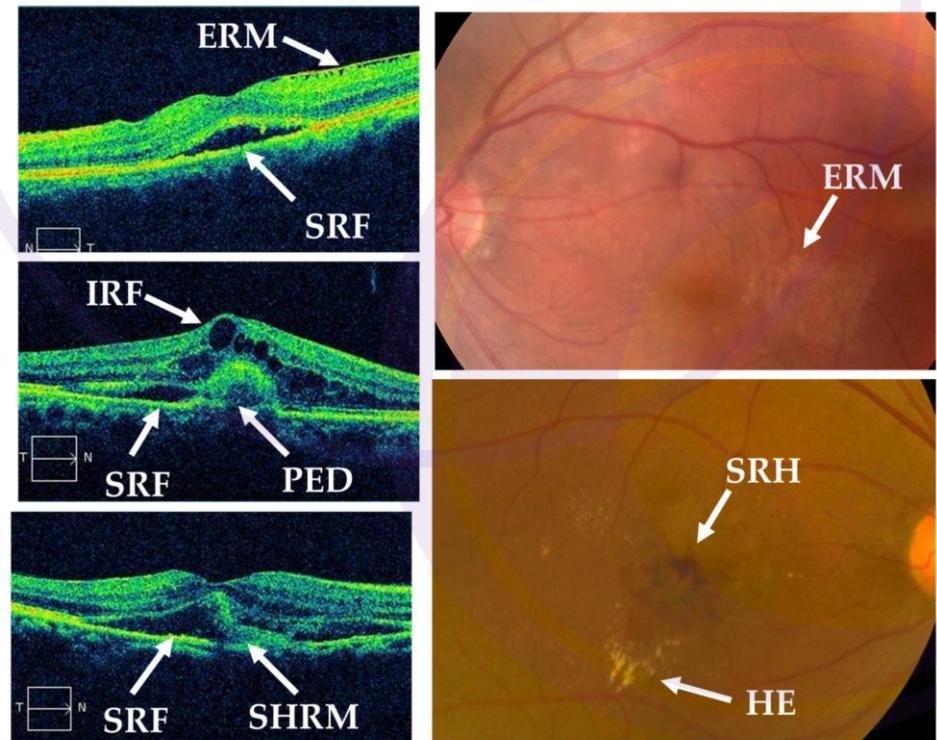
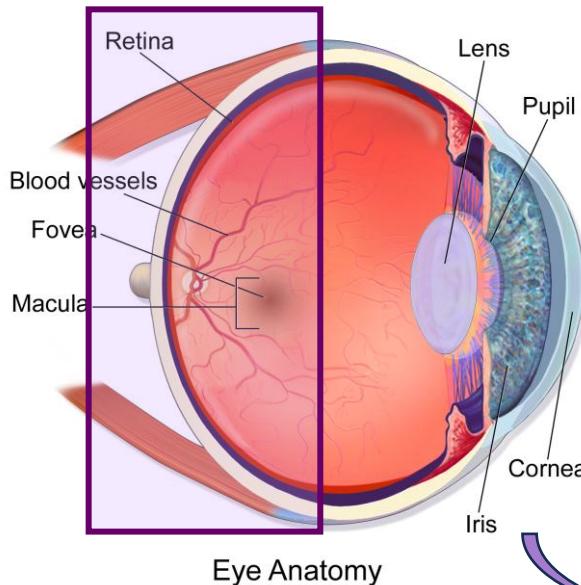
- Can a deep learning model based on baseline OCT images predict **injection frequency** over 12 months?
- Can it predict **future visual acuity** (e.g., driving ability)?



How to predict?

# Key Biomarkers - Features linked to disease

- **IRF** (Intraretinal Fluid), **SRF** (Subretinal Fluid)
- **SHRM** (Subretinal Hyperreflective Material)
- **PED** (Pigment Epithelial Detachment)

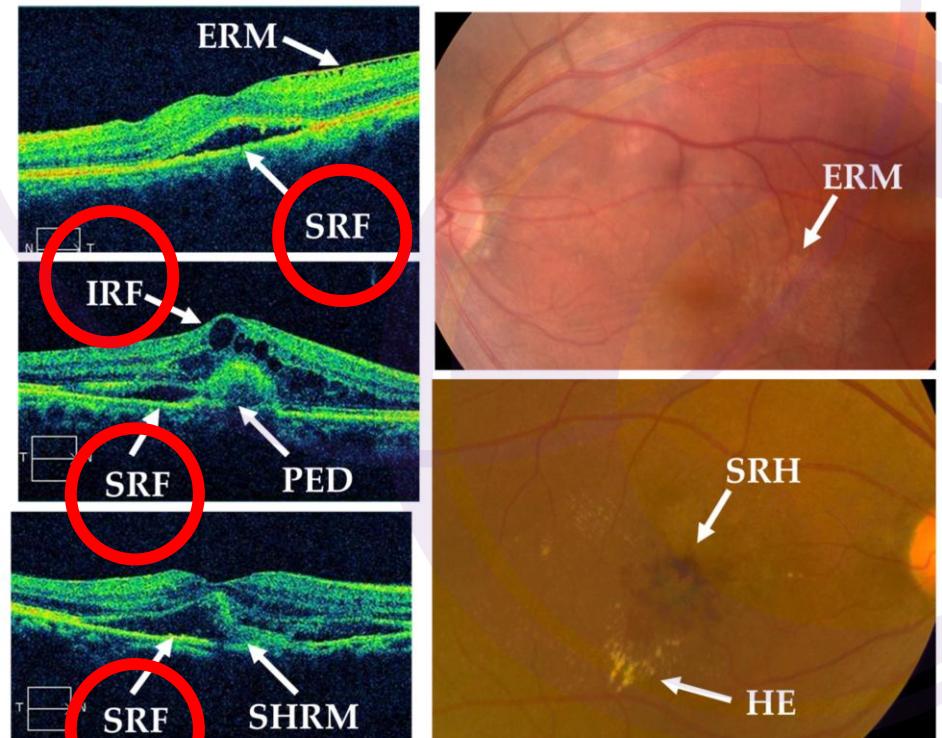
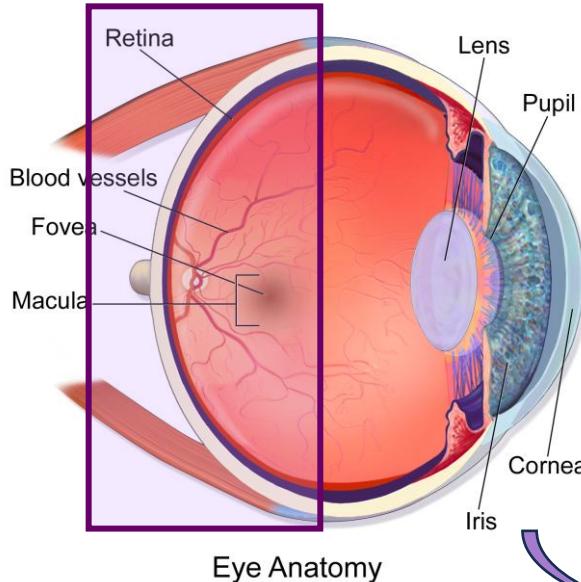


"jcm-13-06244-g001.png," n.d.

*Eye Anatomy.* Image by Blausen.com staff, 2014, used under CC BY 3.0 License. Available at: [https://commons.wikimedia.org/wiki/File:Blausen\\_0389\\_EyeAnatomy\\_02.png](https://commons.wikimedia.org/wiki/File:Blausen_0389_EyeAnatomy_02.png)

# Key Biomarkers

- **IRF (Intraretinal Fluid), SRF (Subretinal Fluid)**
- **SHRM (Subretinal Hyperreflective Material)**
  - Fluid - low signals



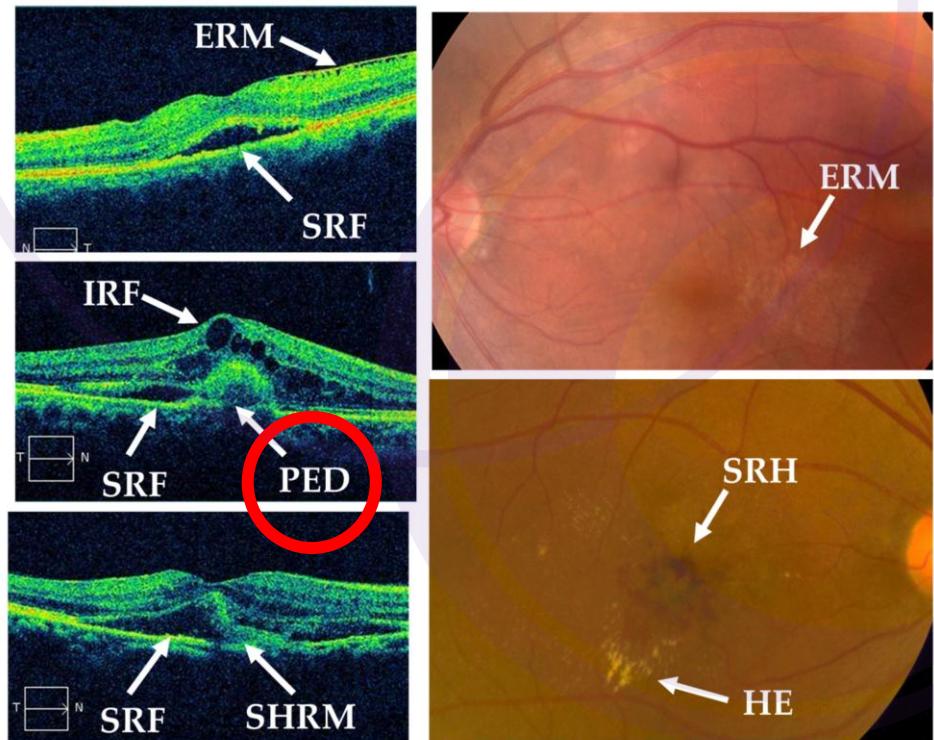
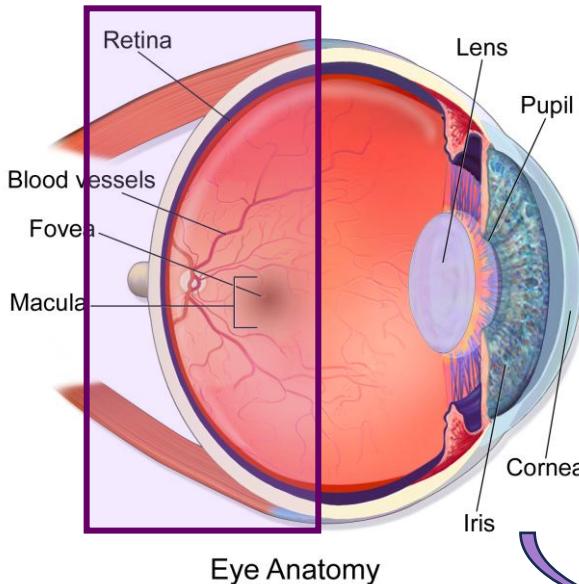
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*Eye Anatomy.* Image by Blausen.com staff, 2014, used under CC BY 3.0 License. Available at: [https://commons.wikimedia.org/wiki/File:Blausen\\_0389\\_EyeAnatomy\\_02.png](https://commons.wikimedia.org/wiki/File:Blausen_0389_EyeAnatomy_02.png)

## 1-2. Key Biomarkers

The retinal pigment layer separates from the tissue below

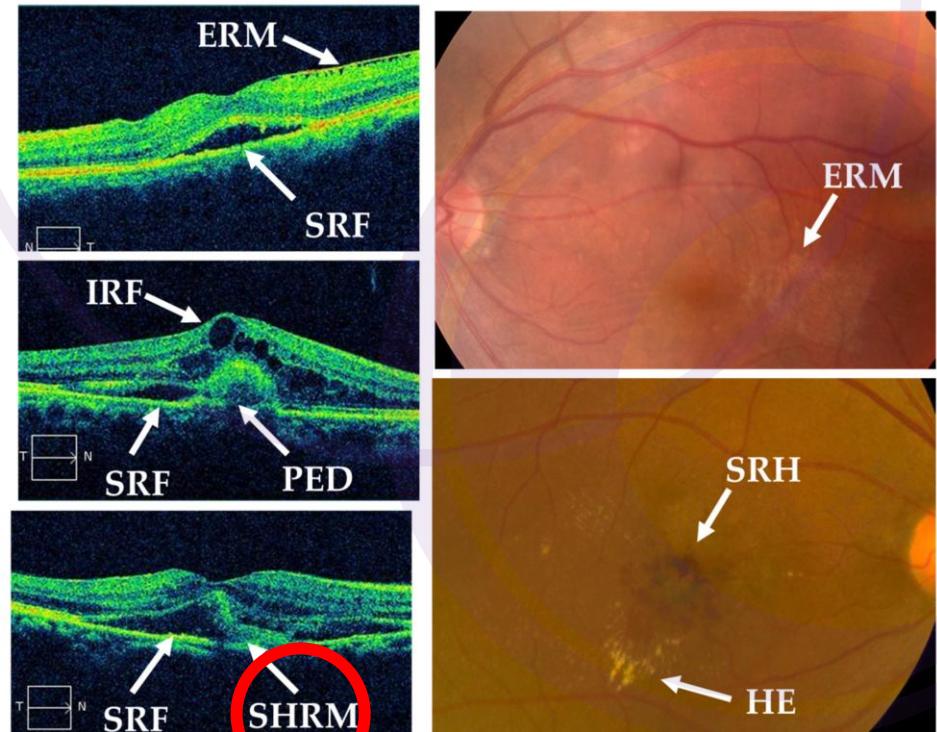
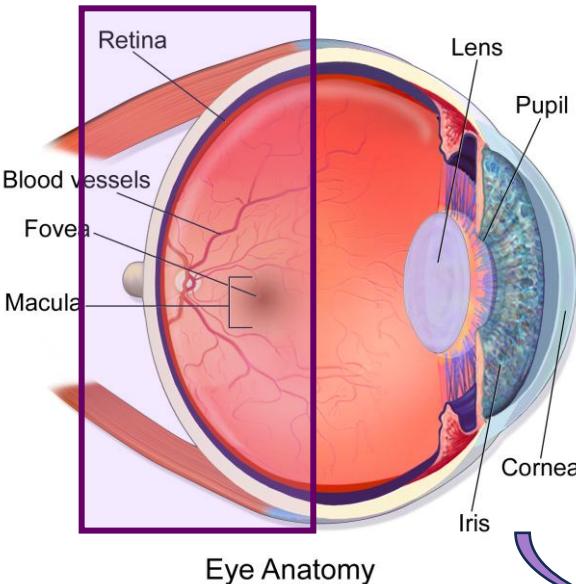
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- **PED (Pigment Epithelial Detachment)**
- **SHRM (Subretinal Hyperreflective Material)**



"jcm-13-06244-g001.png," n.d.

## 1-2. Key Biomarkers

- IRF (IntraRetinal Fluid) / SRF (Subretinal Fluid)
- PED (Pigment Epithelial Detachment)
- SHRM (Subretinal Hyperreflective Material)

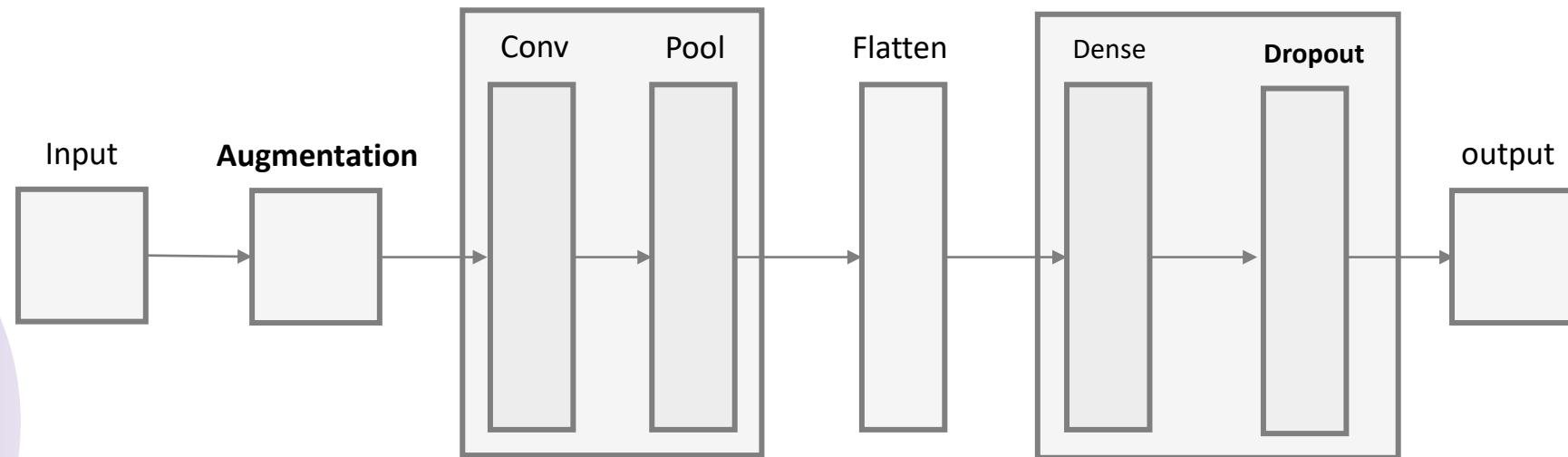


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*Eye Anatomy.* Image by Blausen.com staff, 2014, used under CC BY 3.0 License. Available at: [https://commons.wikimedia.org/wiki/File:Blausen\\_0389\\_EyeAnatomy\\_02.png](https://commons.wikimedia.org/wiki/File:Blausen_0389_EyeAnatomy_02.png)

# What are Convolutional Neural Networks (CNNs)?

- CNNs extract **image features** hierarchically.
- Construct of convolution, ReLU activation, pooling, and fully connected layers.
- In ophthalmology, CNNs detect fluid and classify AMD stages.



# Prior Work

- AI in medical imaging improves diagnosis and efficiency.
- In ophthalmology, CNNs detect fluid and classify disease stages in OCT.

## Existing models:

- RETFluidNet, Vienna Fluid Monitor, Deep Sequence, 3D U-Net.
- Strong performance in segmentation and risk stratification.

# Prior Work

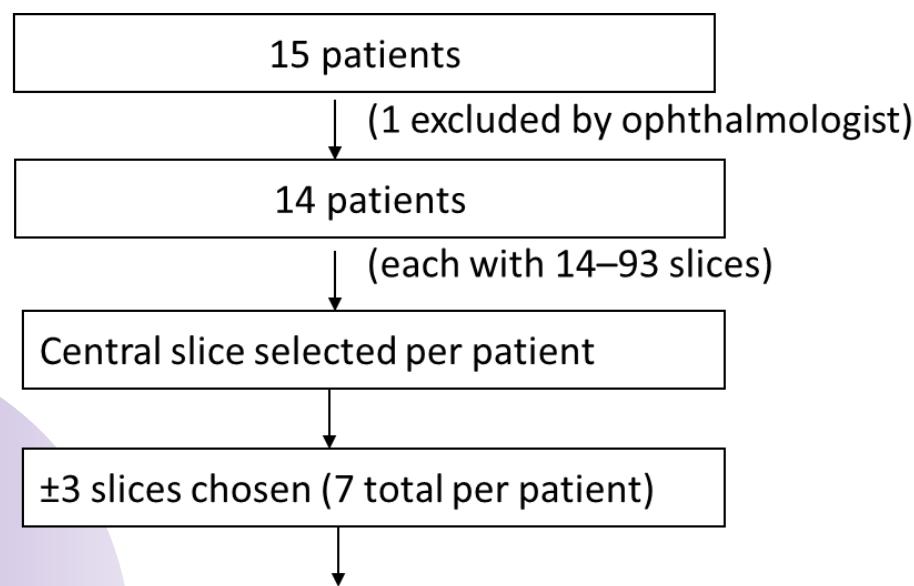
- Prior CNNs focus on **image-level tasks** (fluid detection, disease staging).
- They **do not predict clinical outcomes** (e.g., treatment count, visual acuity).
- This dissertation explores **outcome prediction**, aiming for direct clinical relevance.

# Data & Domain

- OCT scans from the Heidelberg Spectralis system
  - **15** patients' OCT scans with nAMD (wet AMD) from **6** NHS clinics across the UK
- Multi-site data ensures diversity in imaging and clinical cases

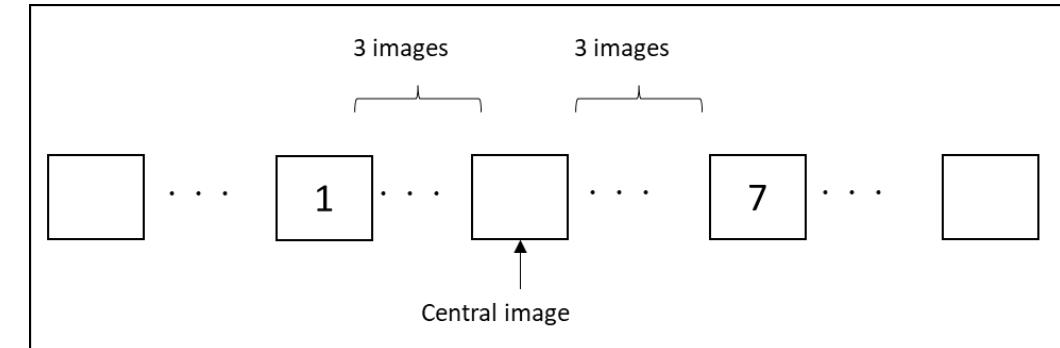
# Dataset & Preprocessing

1. Selected **14** patients' data out of 15  
(clinician decision – poor-quality scan excluded)



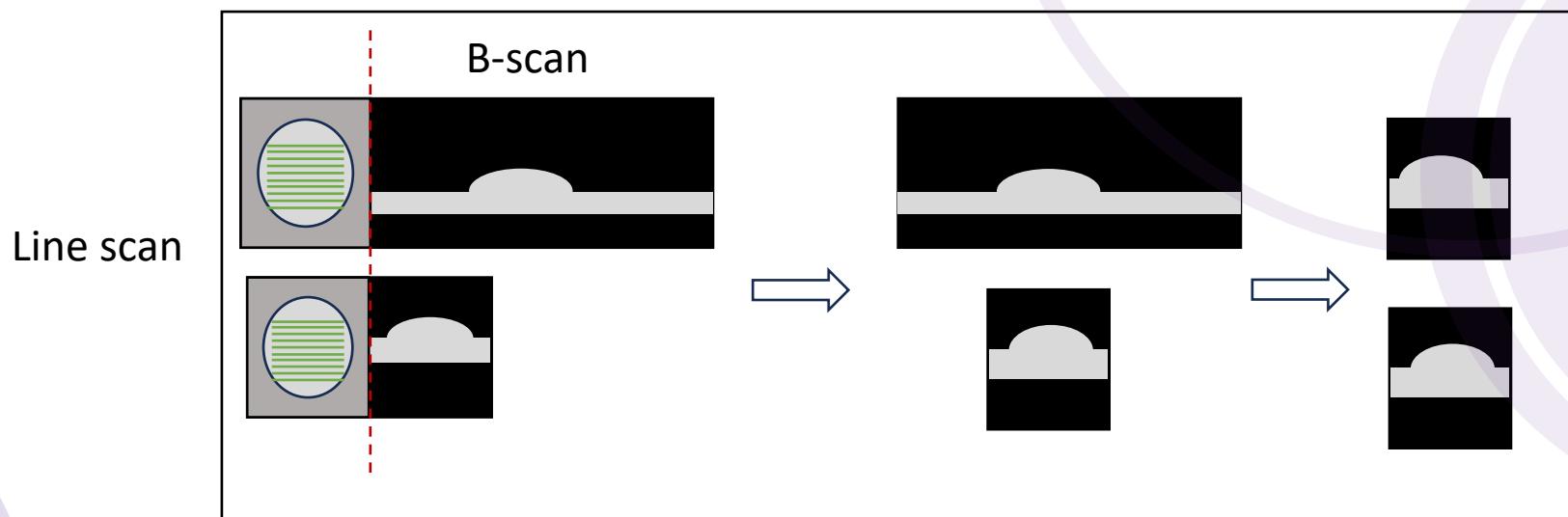
Final dataset:  $14 \text{ patients} \times 7 \text{ slices} = 98 \text{ OCT images}$

2. Selected **7** important slices per patient



# Dataset & Preprocessing

3. Cropped and resized images to **450 × 450** pixels



# Approaches

*How well can a CNN predict Treatment Frequency and Visual Acuity?*

**Challenges:** Limited dataset size

**Approaches:**

1. **Cross-validation (7 splits):** Reducing variance and ensuring more **robust** evaluation
2. **Data Augmentation:** Expanding the dataset artificially to **stabilise** training
3. **Transfer Learning:** Leveraging **prior knowledge** from pre-trained models

# Approach 1 - Data split (Cross-Validation)

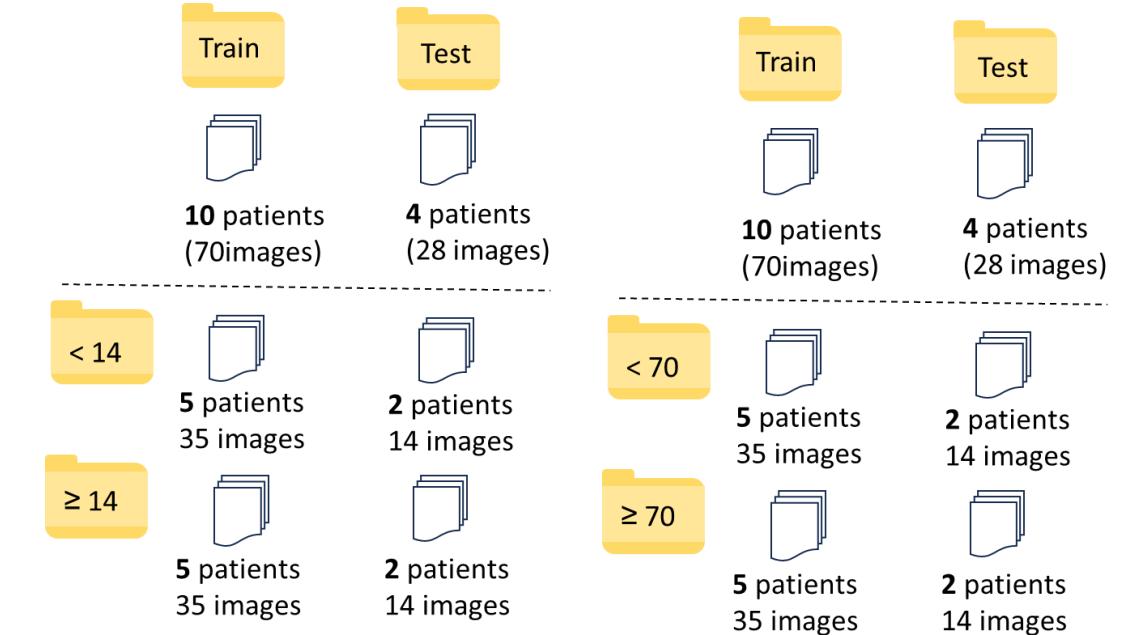
Two groups each:

- Treatment frequency:  $< 14$  or  $\geq 14$
- Visual acuity (words) :  $< 70$  or  $\geq 70$
- 7-fold cross-validation with balanced splits.

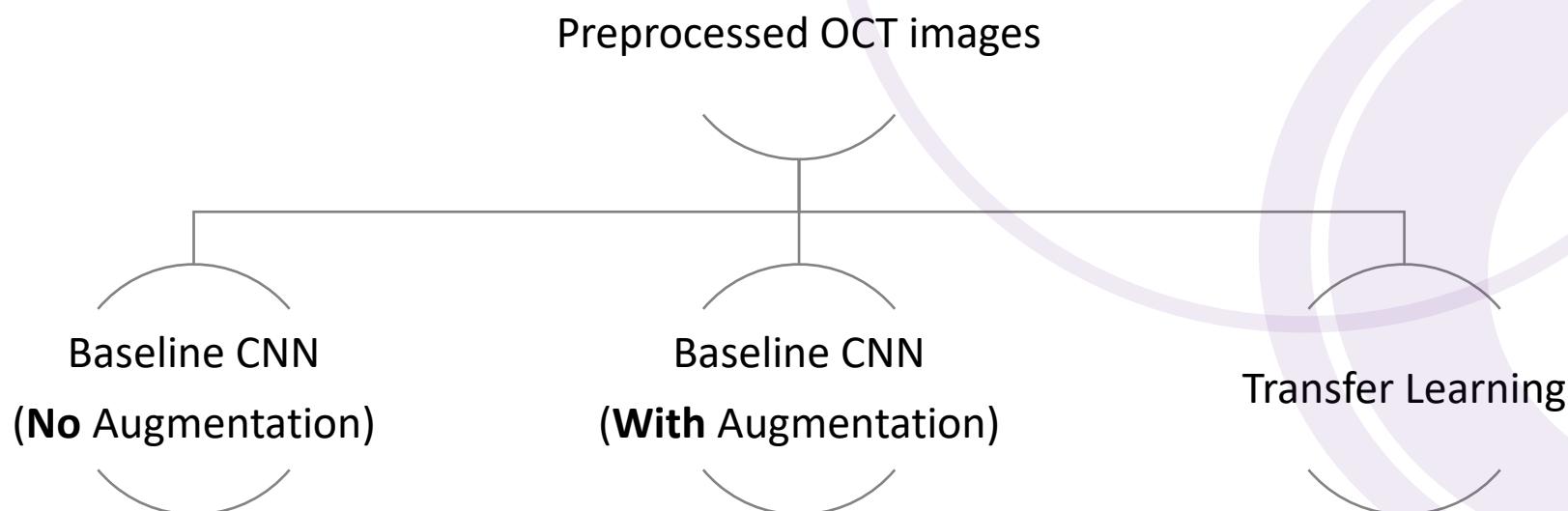


Treatment frequency

VA



# Models



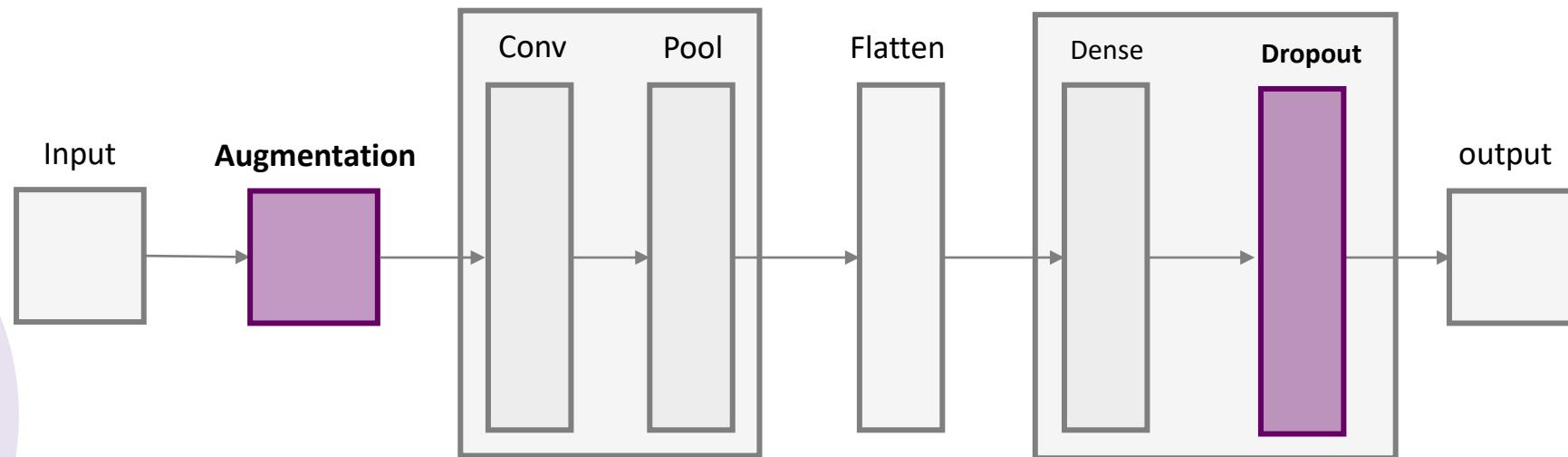
# Approach 2 - Model Generalisation

**Augmentation:**

**flip, zoom, contrast changes, noise** — improves robustness to OCT scan variations

**Regularisation:**

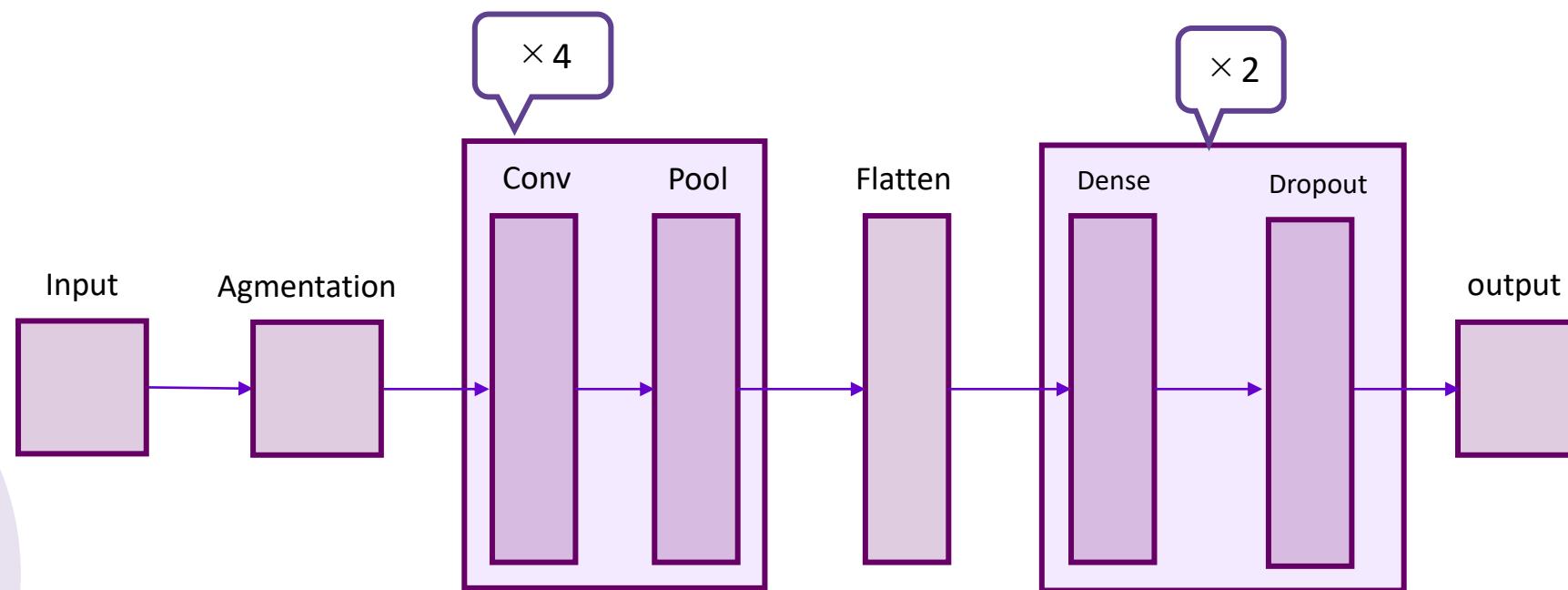
**batch normalisation, dropout layers, early stopping** — prevents overfitting



# Baseline CNN Model

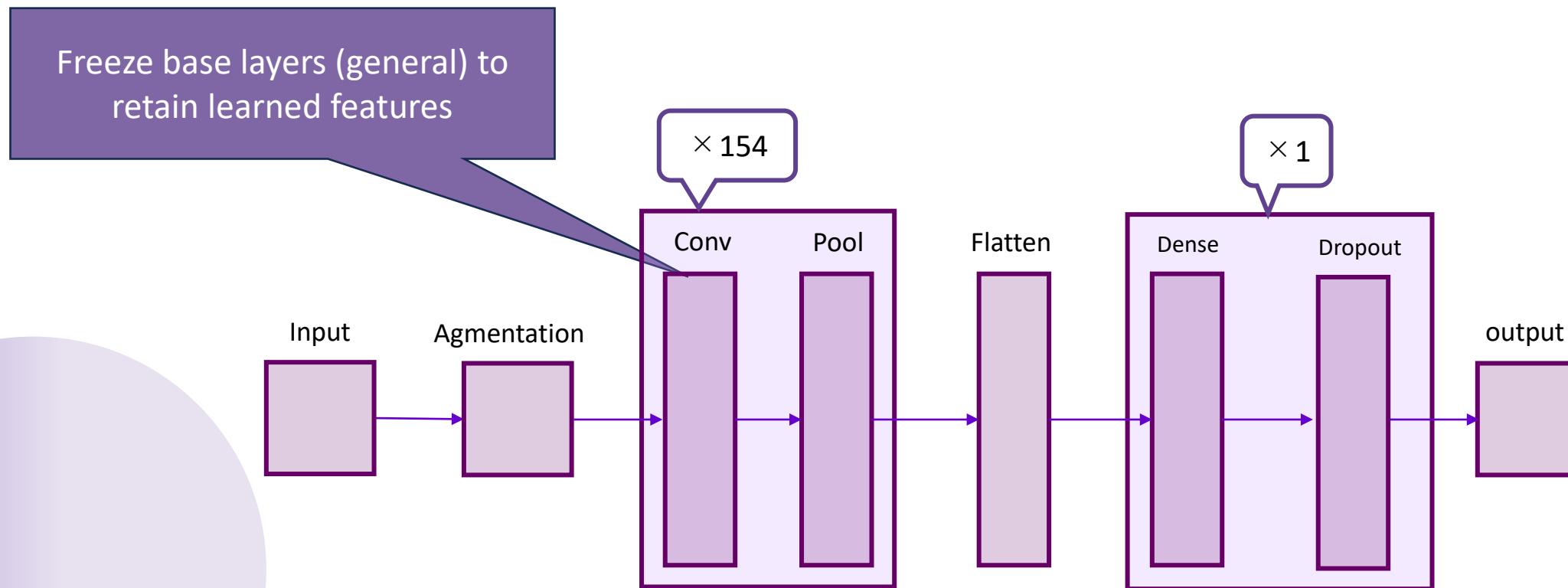
## Model Architecture

- 4 convolutional layers -> Detect patterns from edges to complex shapes
- 2 fully connected layers -> Combine features for final classification



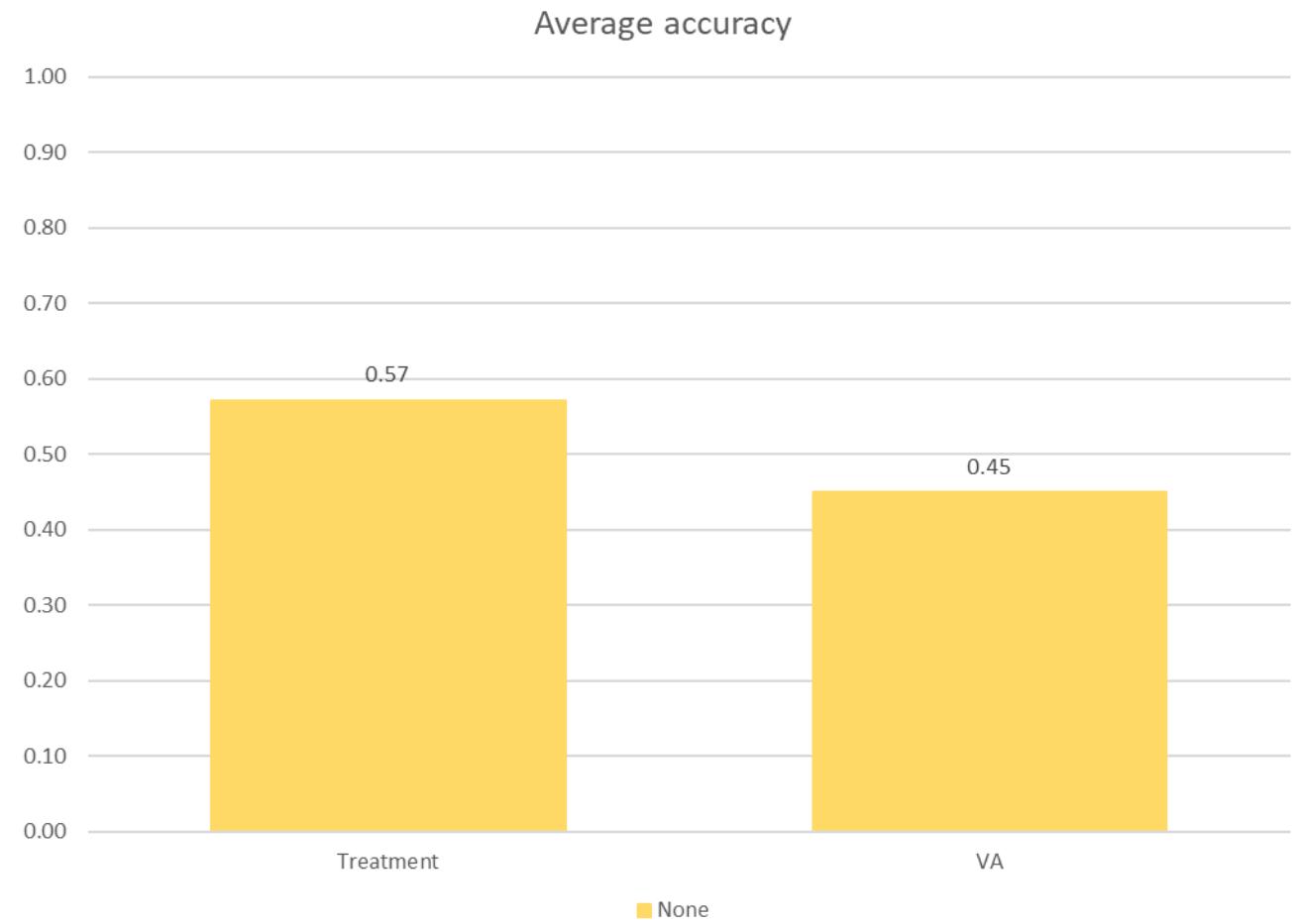
# Approach 3 - Transfer learning

Use knowledge from a pretrained model to improve performance on target task



# Baseline Performance (No Augmentation)

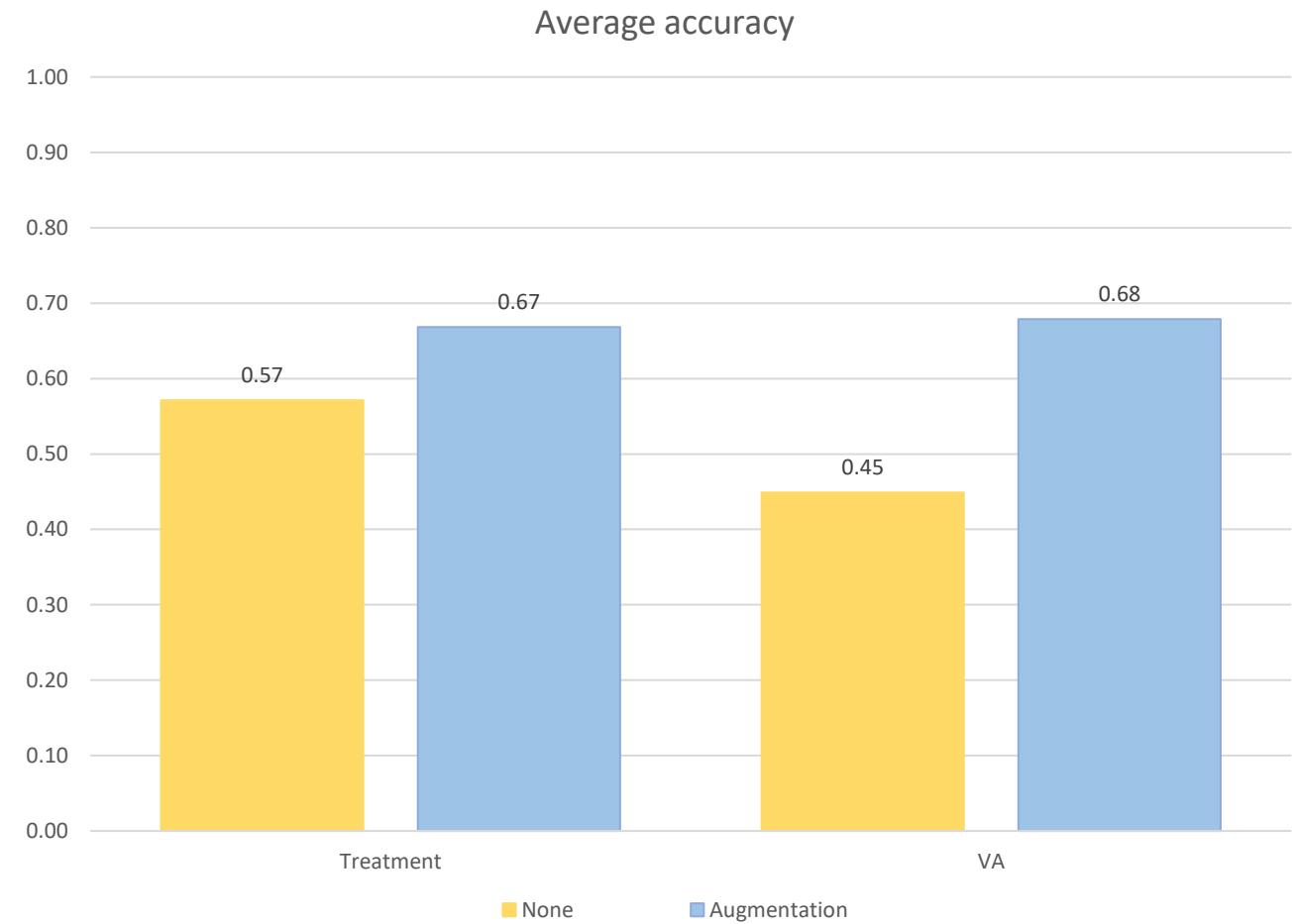
Treatment, VA  $\rightarrow$  Around 0.5



# Improvement 1: Data Augmentation

**Treatment: 0.57 -> 0.67**

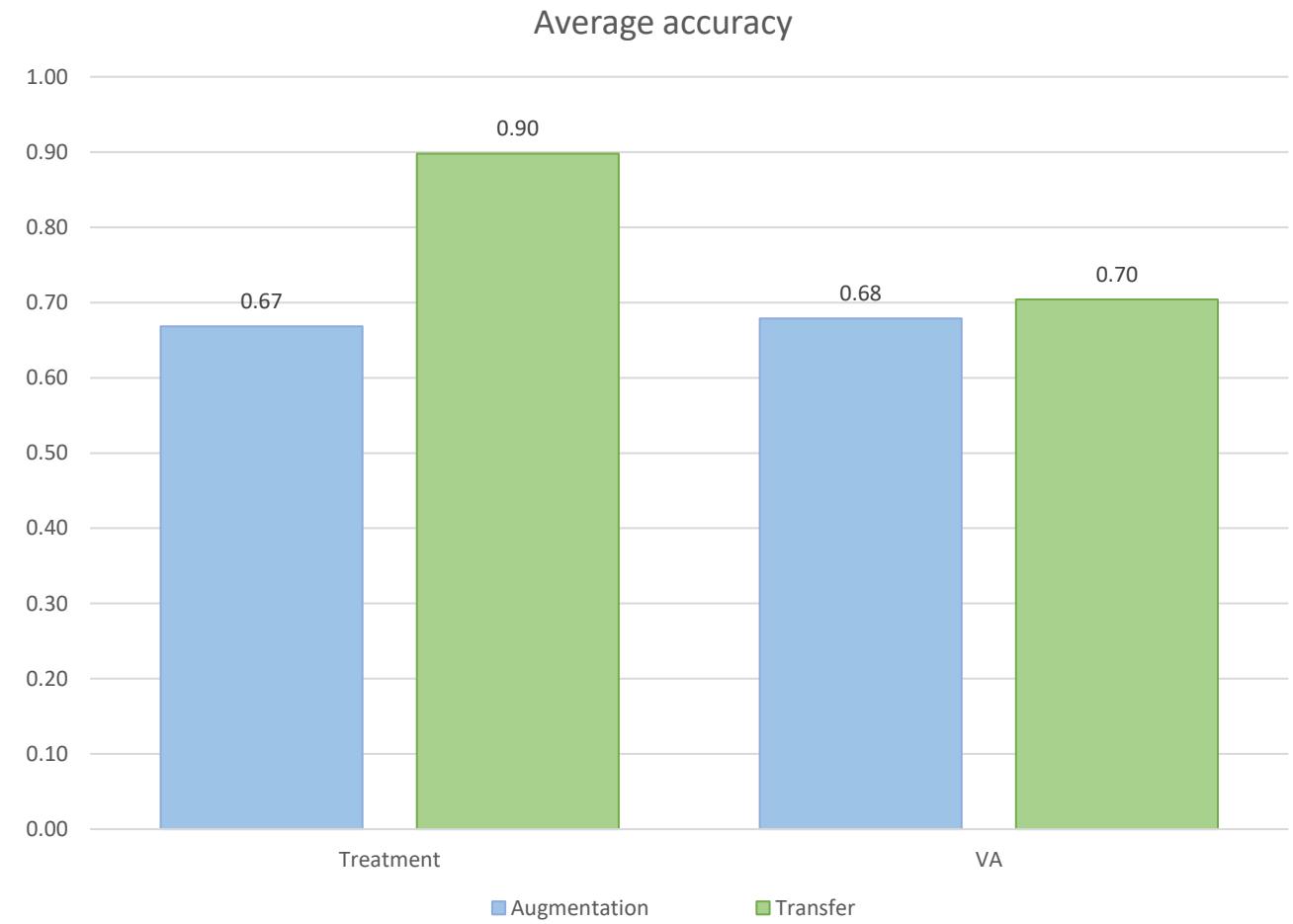
**VA: 0.45 -> 0.68**



# Improvement 2: Transfer Learning

**Treatment: 0.67 -> 0.9**

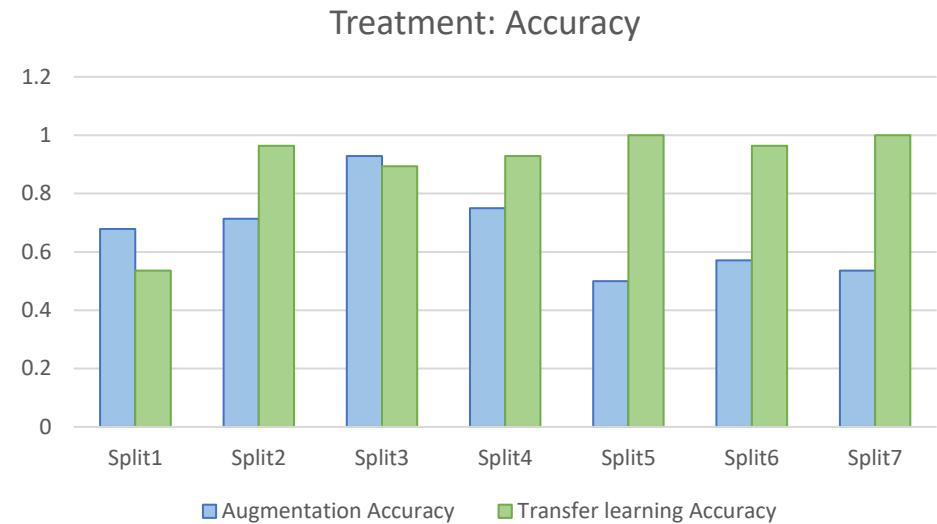
**VA: 0.68 -> 0.70**



# Cross-validation

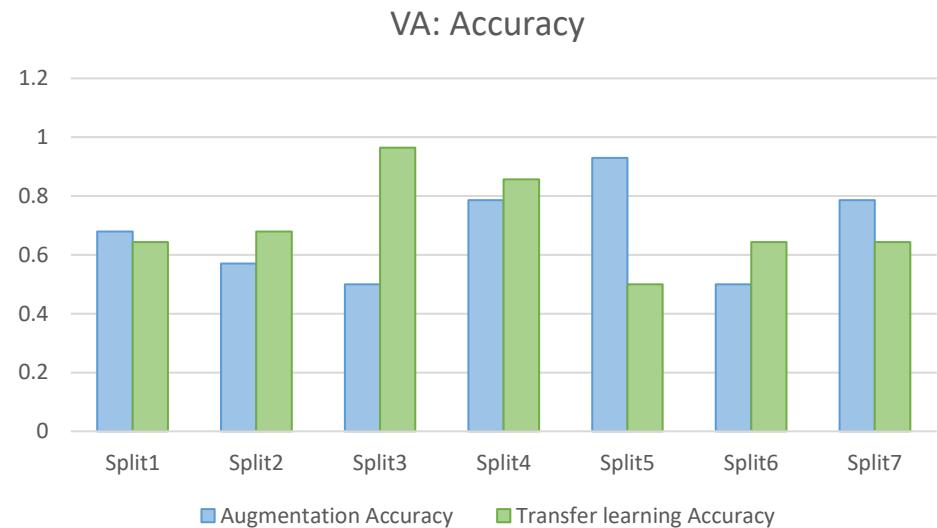
## Treatment:

- Augmentation  $\approx 0.69 \pm 0.15$
- Transfer  $\approx 0.86 \pm 0.20$



## Visual Acuity (VA):

- Augmentation  $\approx 0.68 \pm 0.16$
- Transfer  $\approx 0.71 \pm 0.17$
- Transfer shows higher mean accuracy but **larger variability across splits**
- Augmentation is slightly more stable



# Summary of findings

## Without augmentation:

- Treatment > VA

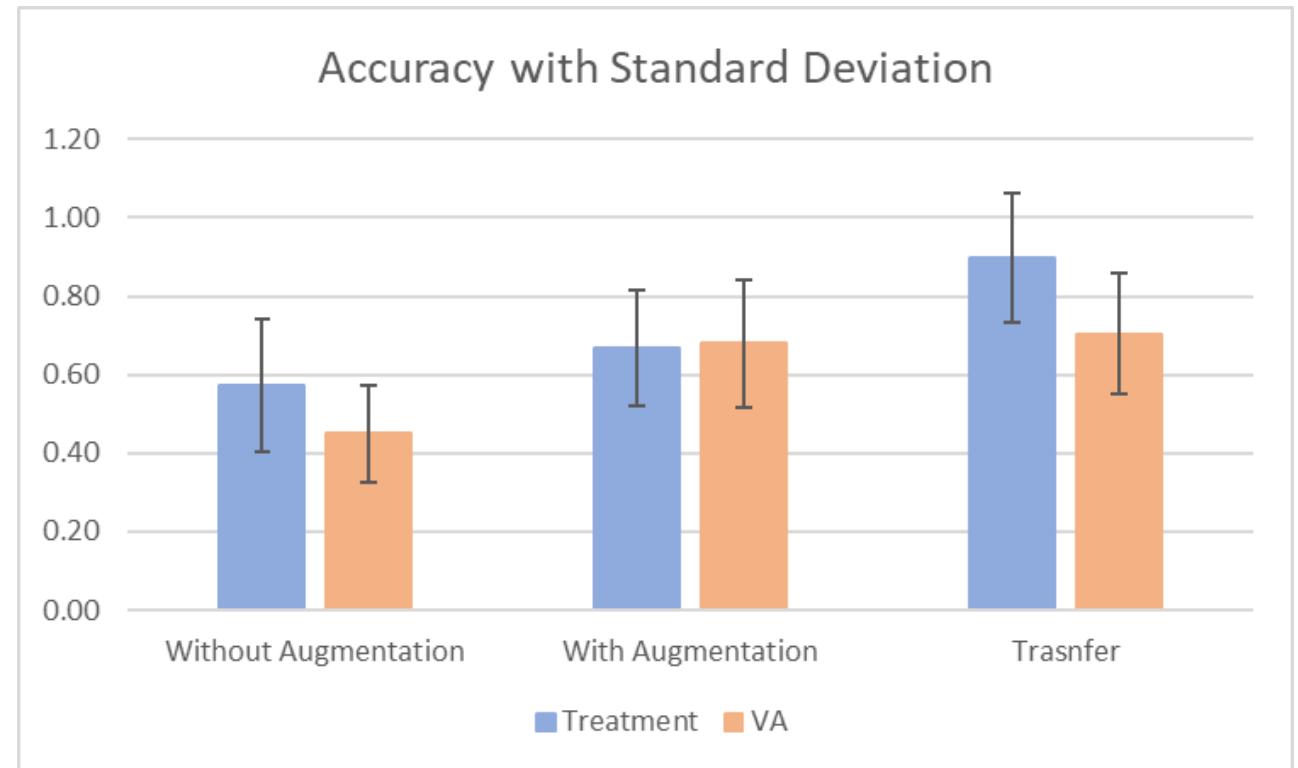
## With augmentation:

- Treatment < VA

## Transfer learning:

- Treatment > VA

None < Augmentation < Transfer



# Black box & Explainable AI (XAI)

## Clinicians

- Look for **biomarkers** (fluid, PED, SHRM, etc.)
- Use them for diagnosis and treatment planning
- Link biomarkers to prognosis



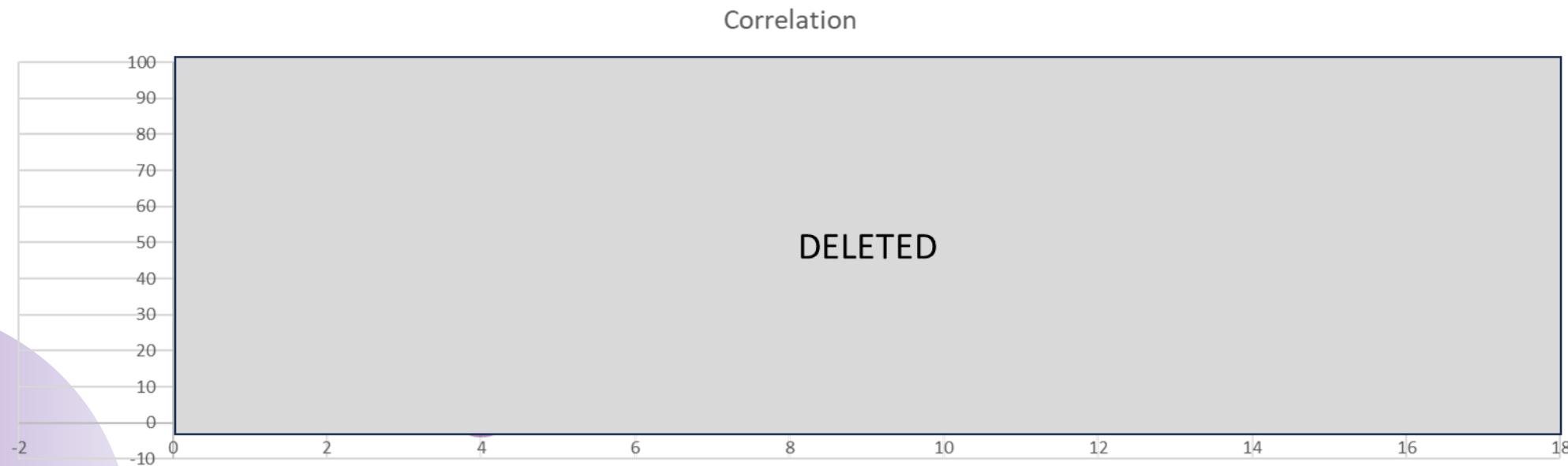
## CNN Model

- Learns patterns directly from OCT images
- Highlights regions contributing most to prediction (e.g. via **Grad-CAM**)
- Ideally aligns with clinical biomarkers



# Correlation between Treatment and VA

Very low correlation between treatment and vision. → **0.086585**

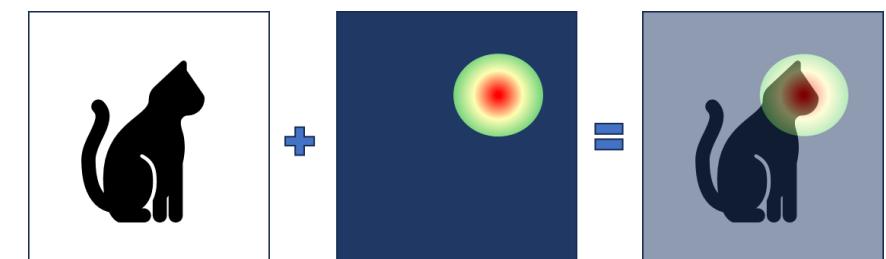
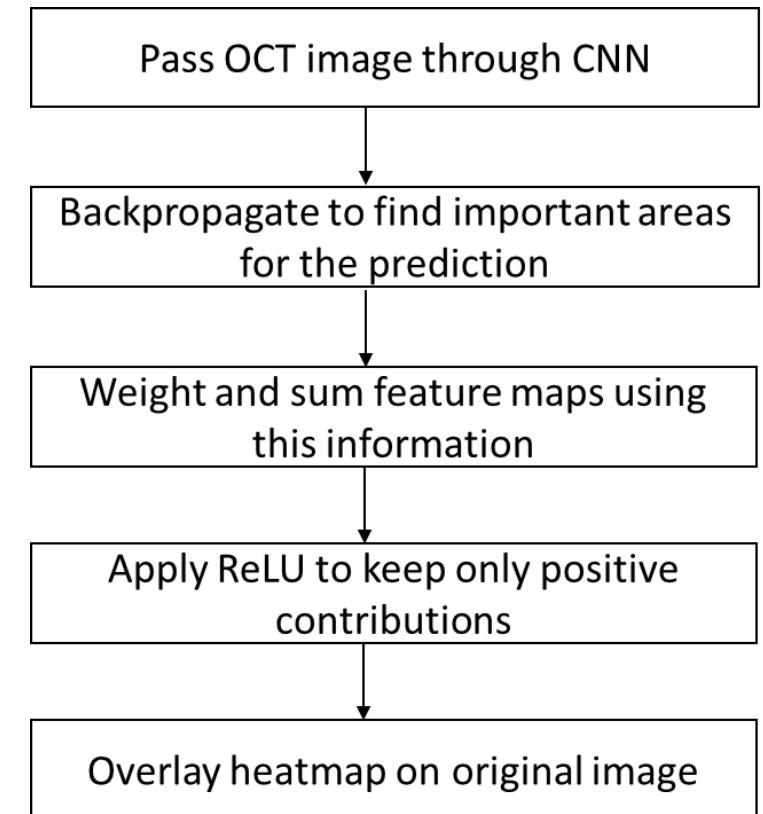
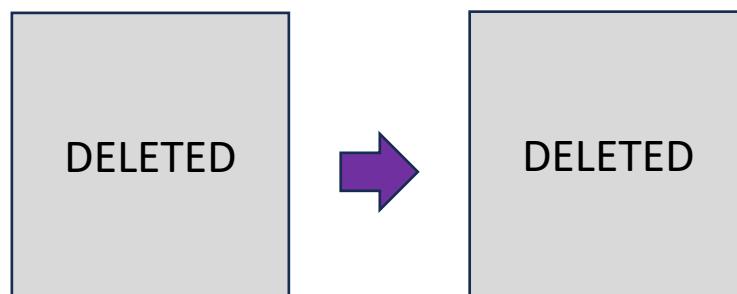


# Grad-Cam

- Highlights regions in an image that influence the CNN's prediction
- Supports clinical validation and interpretability

## In This Study

- Applied to each model's predictions (were verified by ophthalmologists)



# Result - Grad-Cam

Treatment frequency

Focuses on **localised lesions or specific regions**

DELETED

. Visual acuity

Focuses on the **overall retinal structure.**

DELETED

# Model Performance from Clinician Perspective

- Model struggles to detect **intra-retinal and subretinal fluid** consistently
- Performs better at identifying **highly reflective material** in certain cases
- Some false positives/negatives remain, highlighting the need for further refinement

# Discussion

- **Augmentation** generally boosts treatment prediction accuracy.
- VA prediction is **less** affected by augmentation, needing tailored strategies.
- **Transfer learning** yields the best accuracy, especially for treatment.
- Accuracies vary per **split**, so task-specific tuning is essential.

# Summary

## Findings

- CNN with augmentation is effective on small datasets
- Transfer learning achieves higher accuracy but risks of overfitting
- Grad-CAM improves interpretability, but clinical alignment is limited

## Future Work

- Larger datasets to improve stability and reduce overfitting
- Multi-class prediction for Treatment; regression for VA
- Stronger validation strategies

# CNNs layers

| Layer            | Feature Type            | Description  | Biomarkers    |
|------------------|-------------------------|--|---------------|
| Shallow layers   | Edges                   | Detects boundaries, contours, and transitions in light and dark areas, helping to identify retinal layers in OCT images.                                 |               |
| Shallow layers   | Textures                | Captures surface patterns and fine structures in the image, such as the textures of the retinal pigment epithelium and neuroretina.                      |               |
| Shallow layers   | Lines and Shapes        | Detects straight lines, curves, and simple geometric shapes, useful for detecting retinal layers and abnormalities.                                      |               |
| Deep layers      | Complex Patterns        | Detects complex shapes and structures, such as retinal layers and abnormalities (e.g., IRF, SRF, PED), combining edges and textures from shallow layers. | IRF, SRF, PED |
| Deep layers      | Abstract Features       | Captures subtle changes, such as fluid accumulation (e.g., SRF, PED), that are essential for disease detection in OCT images.                            | SRF, PED      |
| Very deep layers | Higher-Level Structures | Combines multiple layers to understand larger structures like full retinal layers or lesion sites, helping to identify lesions and abnormalities.        | IRF, SRF, PED |