

# Nonconvex optimization and jamming

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# Sphere packing in 3D



#### Theorem (Hales, 1998–2015)

Every nonoverlapping arrangement of spheres in  $\mathbb{R}^3$  fills at most  $\pi/\sqrt{18} = 0.7405$  of space.

# Sphere packing in 3D and higher dimensions



#### Theorem (Hales, 1998–2015)

Every nonoverlapping arrangement of spheres in  $\mathbb{R}^3$  fills at most  $\pi/\sqrt{18} = 0.7405$  of space.

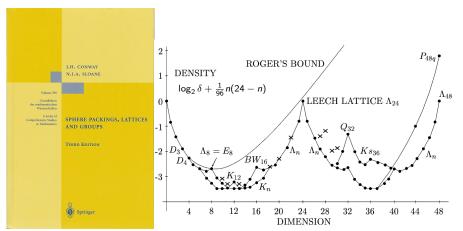
Applications in higher dimensions to transmitting, storing, and digitizing signals.



## Curse of dimensionality

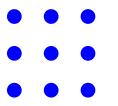
How to search for dense packings in high d? dof  $\sim \exp(d)$ .

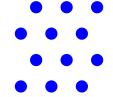
Solution: good packings are often lattices. dof  $\sim d^2$ 

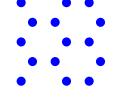


## What do I mean by a lattice

$$L = A\mathbb{Z}^n = \{\sum_{i=1}^n m_i \mathbf{a}_i : m_i \in \mathbb{Z}\}$$

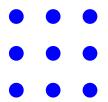


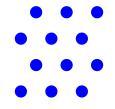


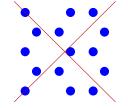


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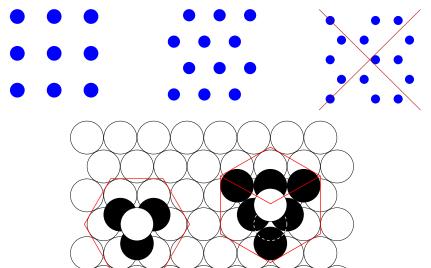






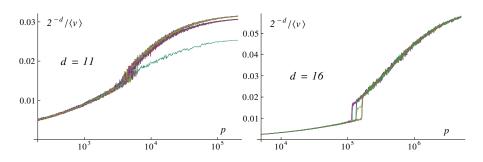
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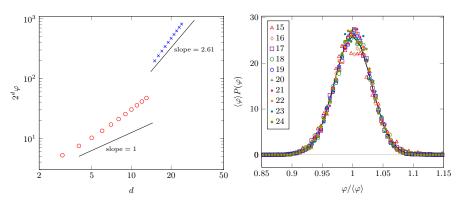
#### Thermodynamics of hard-sphere lattices

MC sampling from the space of lattices with probability  $\sim \exp(-pv)$ 



Kallus, Phys. Rev. E 87, 063307 (2013)

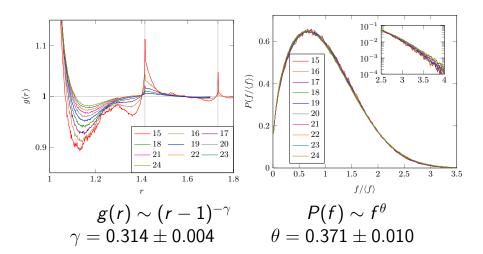
#### Lattice RCP



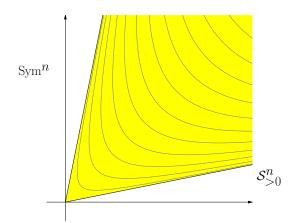
Best theoretic lower bound:  $\varphi \gtrsim d2^{-d}$ 

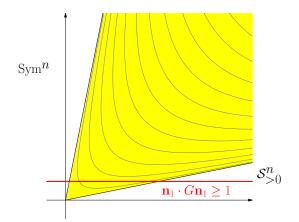
Kallus, Marcotte, & Torquato, Phys. Rev. E 88, 062151 (2013)

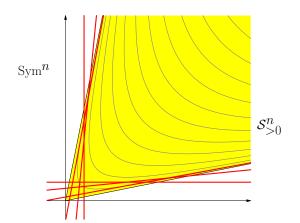
#### Pair correlations and force distribution

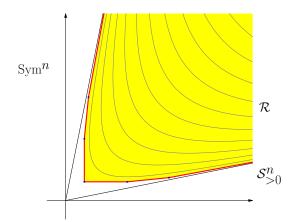


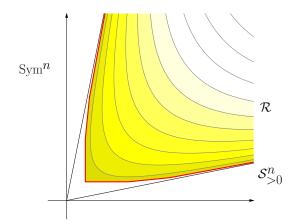
Kallus, Marcotte, & Torquato, Phys. Rev. E 88, 062151 (2013)



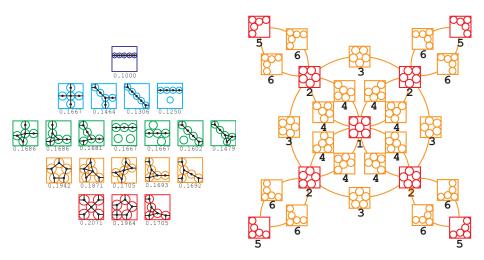




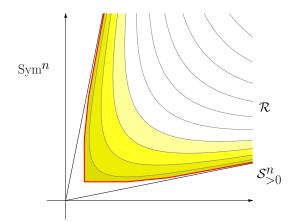


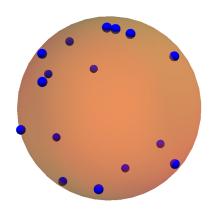


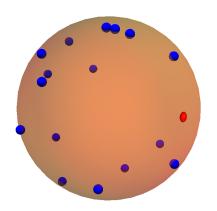
## The topological theory of phase transitions

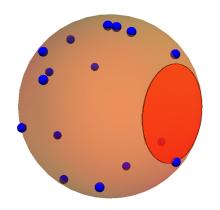


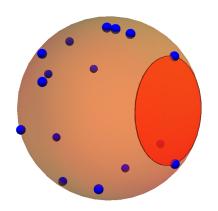
Carlsson, Gorham, Kahle, & Mason, Phys. Rev. E 85, 011303 (2012)

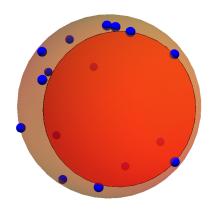




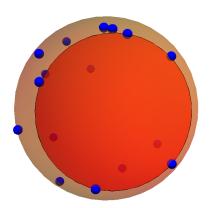






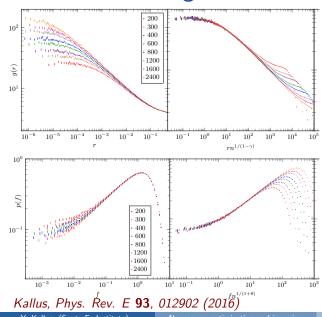


Place  $m = \alpha n$  points randomly on the (n-1)-sphere, and try to find the point farthest from all of these.

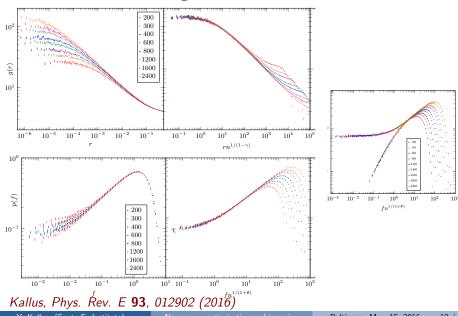


Same universality class as (off-lattice) sphere packing in  $d o \infty$ 

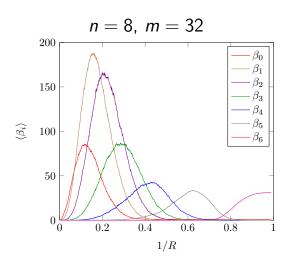
## Finite-size scaling



## Finite-size scaling



## Computational topology



#### **Conclusions**

#### Lattice sphere packing

- random packings have high density
- no quenched disorder

#### The "simplest" model

 same universality class as off-lattice packing

- almost linear (minimizing a concave objective over a convex polytope)
- nice framework to study: topology, isostaticity, marginal stability, finite-size scaling