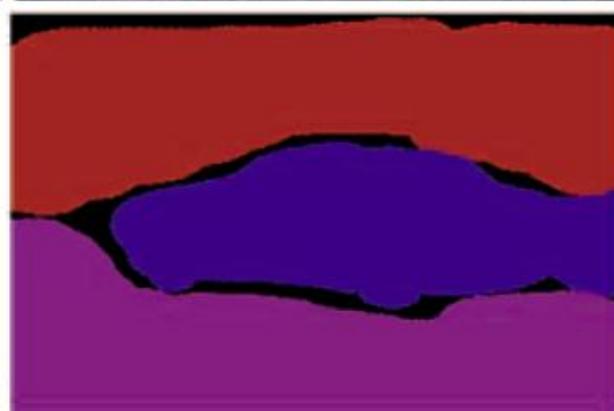


# CSL7320: Digital Image Analysis

## Segmentation

# Image Segmentation

A process that partitions  $R$  into subregions  $R_1, R_2, \dots, R_n$



Microsoft multiclass segmentation data set

ide credit: Yan Tong

# Image Segmentation - Applications

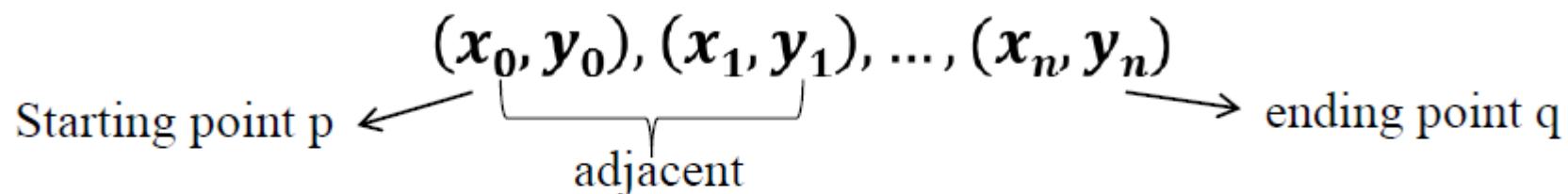
**Object localization**

**Object recognition**

**Specially important for medical imaging**

# Brief Review of Connectivity

- Path from p to q: a sequence of distinct pixels with coordinates



- p and q are *connected*: if there is a path from p to q in S
- *Connected component*: all the pixels in S connected to p
- *Connected set*: S has only one connected component
- R is a region if R is a connected set
- $R_i$  and  $R_j$  are adjacent if  $R_i \cup R_j$  is a connected set

# Image Segmentation

(a)  $\bigcup_{i=1}^n R_i = R$

(b)  $R_i$  is a connected set,  $i = 1, \dots, n$

(c)  $R_i \cap R_j = \emptyset, \forall i \neq j$

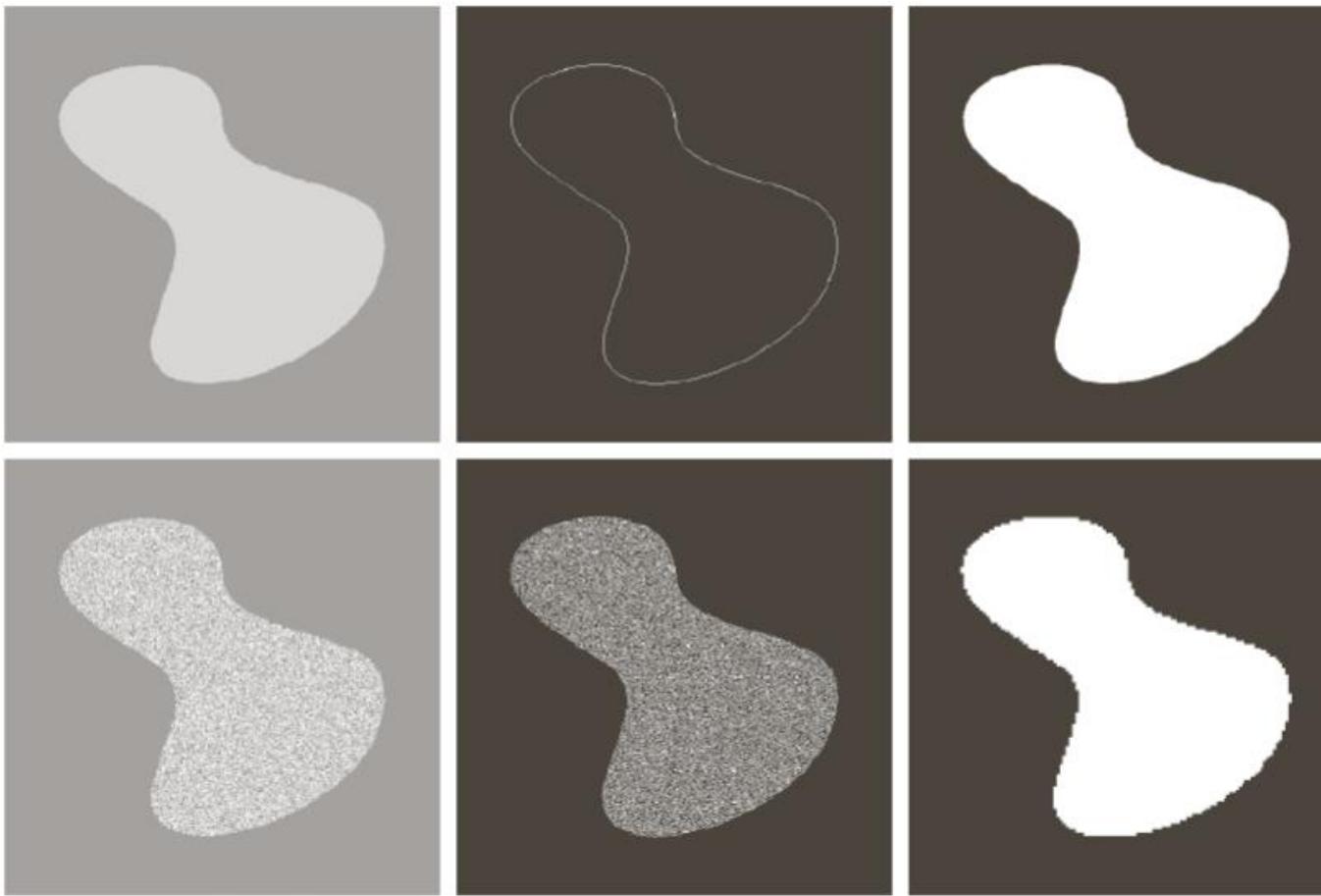
(d)  $Q(R_i) = \text{TRUE}$  ← Q is a criterion

(e)  $Q(R_i \cup R_j) = \text{FALSE}$  for adjacent regions  $R_i$  and  $R_j$

Two categories based on intensity properties:

- Discontinuity – edge-based algorithms
- Similarity – region-based algorithms

# Image Segmentation



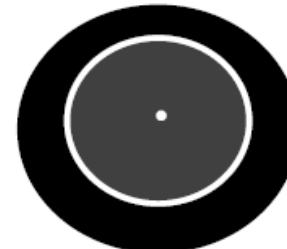
a b c  
d e f

**FIGURE 10.1** (a) Image containing a region of constant intensity. (b) Image showing the boundary of the inner region, obtained from intensity discontinuities. (c) Result of segmenting the image into two regions. (d) Image containing a textured region. (e) Result of edge computations. Note the large number of small edges that are connected to the original boundary, making it difficult to find a unique boundary using only edge information. (f) Result of segmentation based on region properties.

# Point, Line and Edge Detection

**Segmentation based on detecting sharp, local changes in intensity**

- **Edge pixels:** pixels at which the intensity changes abruptly
- **Edges:** sets of connected edge pixels
  - Edge detectors: methods to detect edges
- **Line (roof edges):** edge segments in which the intensity on either side of the line is either higher or lower than the intensity of line pixels
  - isolated point: a line with one-pixel length



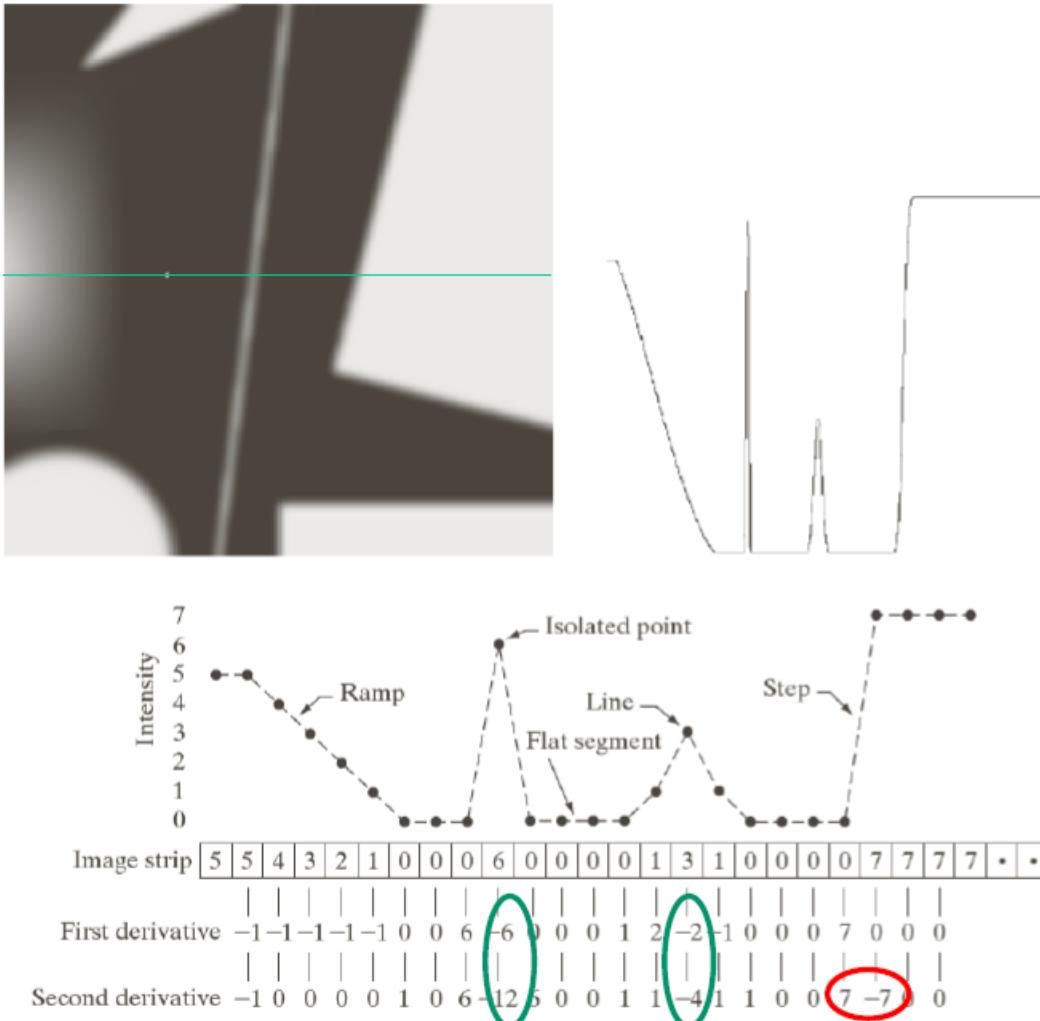
# Background

The first-order derivative

$$\frac{\partial f}{\partial x} = f'(x) = f(x+1) - f(x)$$

The second-order derivative

$$\begin{aligned}\frac{\partial^2 f}{\partial x^2} &= f''(x) \\ &= f(x+1) - 2f(x) + f(x-1)\end{aligned}$$



# Some Observations

1. First-order derivatives generally produce thicker edges
2. Second-order derivatives have a stronger response to fine details, such as thin lines, isolated points, and noise
3. Second-order derivatives produce a double-edge response at step transitions in intensity
4. The sign of the second-order derivative can be used to determine whether a transition into an edge is from light to dark or dark to light

Implementation by filtering

$w_1$	$w_2$	$w_3$
$w_4$	$w_5$	$w_6$
$w_7$	$w_8$	$w_9$

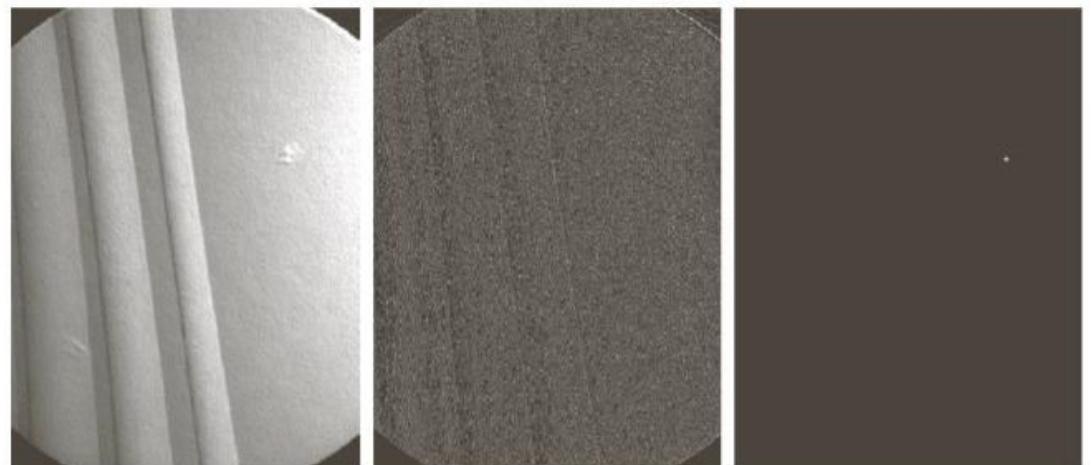
**FIGURE 10.3**  
A general  $3 \times 3$  spatial filter mask.

# Detection of Isolated Points

Laplacian

$$\nabla^2 f(x, y) = \frac{\partial^2 f}{\partial x^2} + \frac{\partial^2 f}{\partial y^2}$$

$$g(x, y) = \begin{cases} 1 & \text{if } |R(x, y)| \geq T \\ 0 & \text{otherwise} \end{cases}$$



$$R = \sum_{k=1}^9 w_k z_k$$

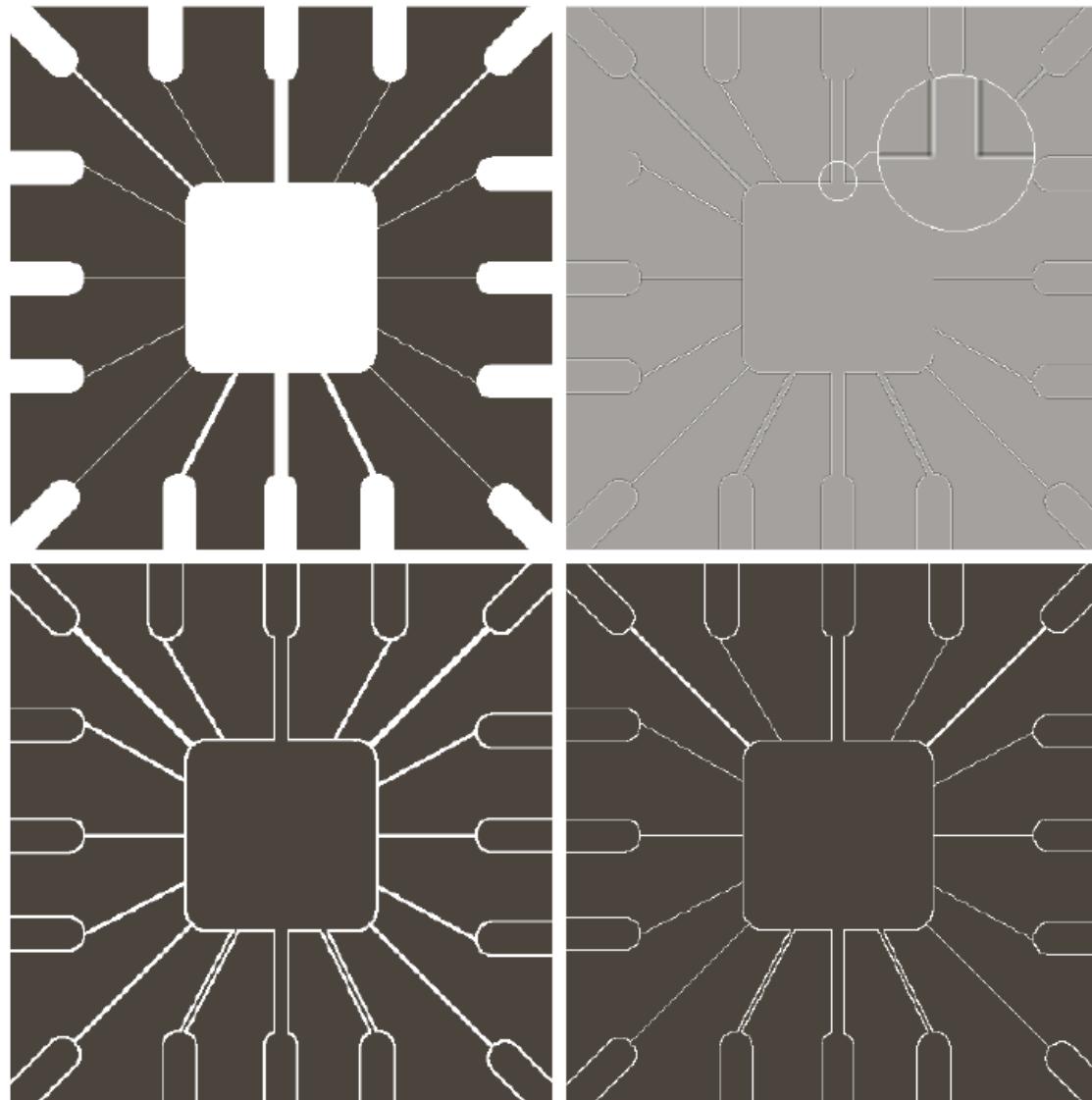
1	1	1
1	-8	1
1	1	1

a  
b c d

FIGURE 10.4

(a) Point detection (Laplacian) mask.  
(b) X-ray image of turbine blade with a porosity. The porosity contains a single black pixel.  
(c) Result of convolving the mask with the image.  
(d) Result of using Eq. (10.2-8) \* showing a single point (the point was enlarged to make it easier to see). (Original image courtesy of X-TEK Systems, Ltd.)

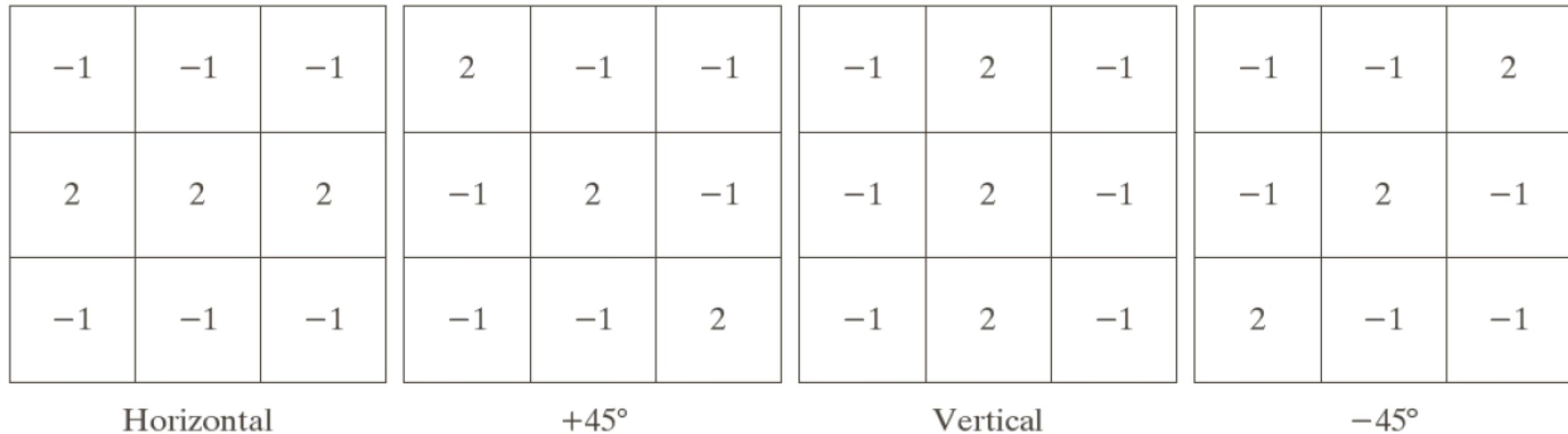
# Line Detections by Laplacian



**FIGURE 10.5**  
(a) Original image.  
(b) Laplacian  
image; the  
magnified section  
shows the  
positive/negative  
double-line effect  
characteristic of the  
Laplacian.  
(c) Absolute value  
of the Laplacian.  
(d) Positive values  
of the Laplacian.

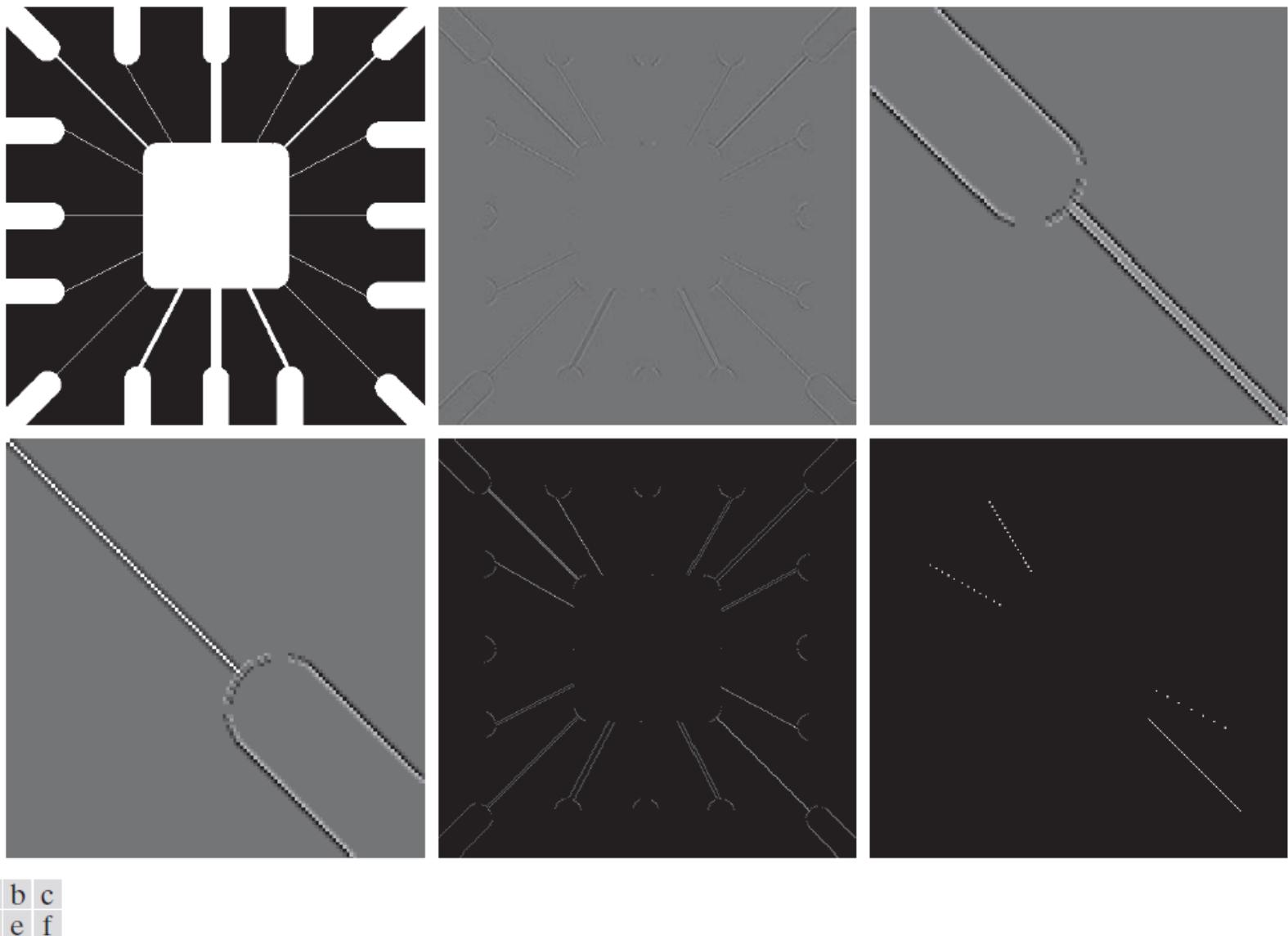
The line detection is  
insensitive to the  
direction

# Line Detections In Specified Directions



**FIGURE 10.6** Line detection masks. Angles are with respect to the axis system in Fig. 2.18(b).

# An Example



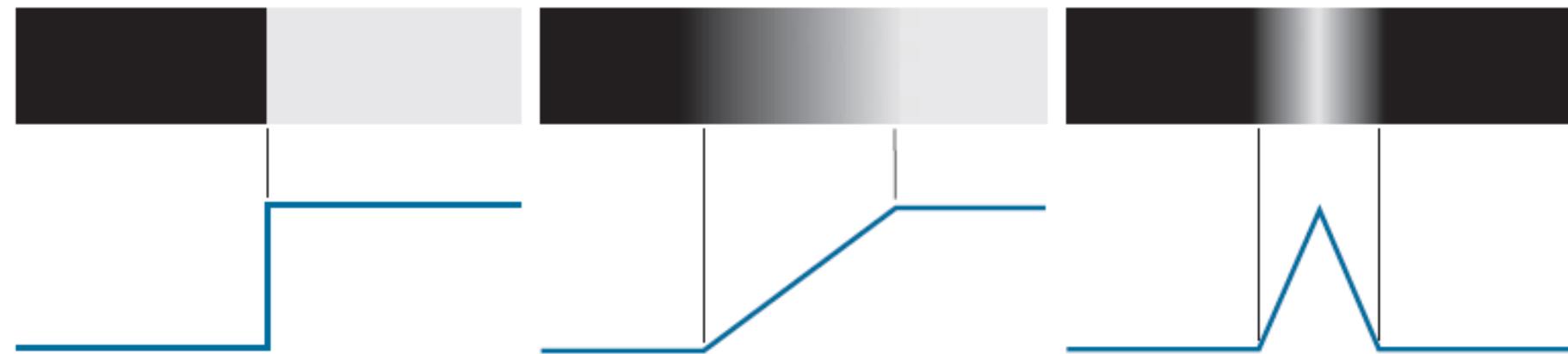
**FIGURE 10.7** (a) Image of a wire-bond template. (b) Result of processing with the  $+45^\circ$  line detector kernel in Fig. 10.6. (c) Zoomed view of the top left region of (b). (d) Zoomed view of the bottom right region of (b). (e) The image in (b) with all negative values set to zero. (f) All points (in white) whose values satisfied the condition  $g > T$ , where  $g$  is the image in (e) and  $T = 254$  (the maximum pixel value in the image minus 1). (The points in (f) were enlarged to make them easier to see.)

# Edge Models

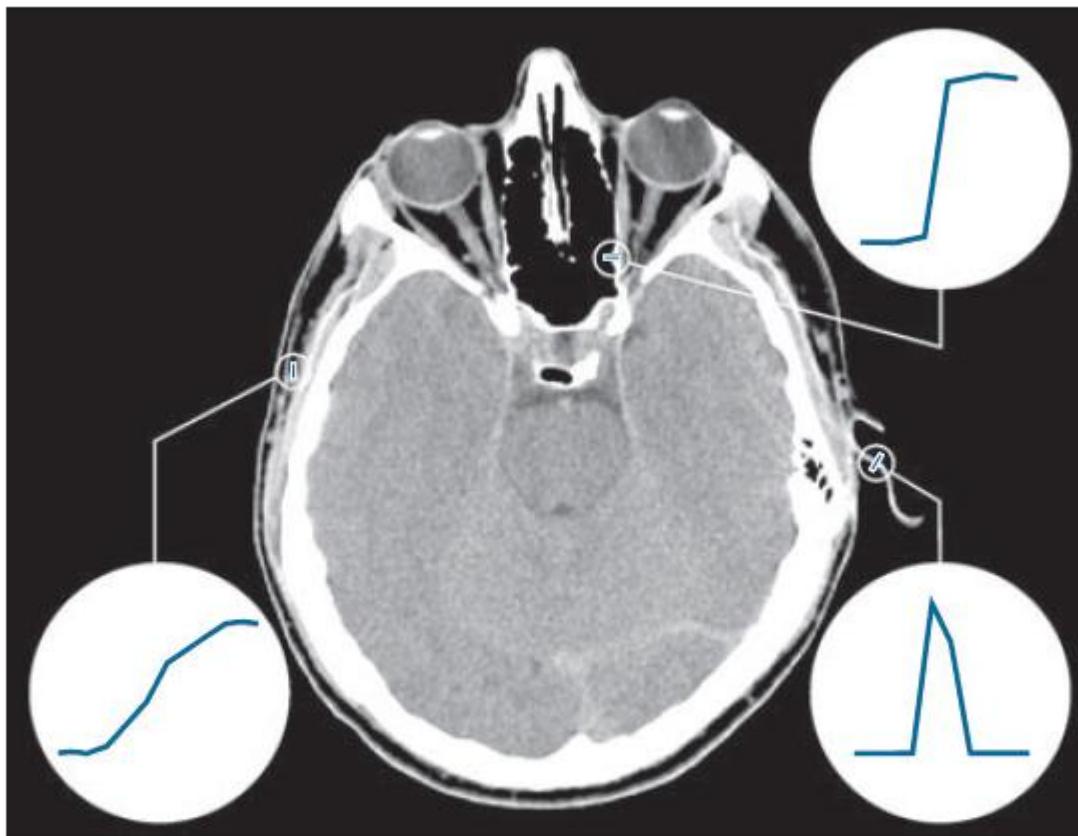
a | b | c

**FIGURE 10.8**

From left to right, models (ideal representations) of a step, a ramp, and a roof edge, and their corresponding intensity profiles.



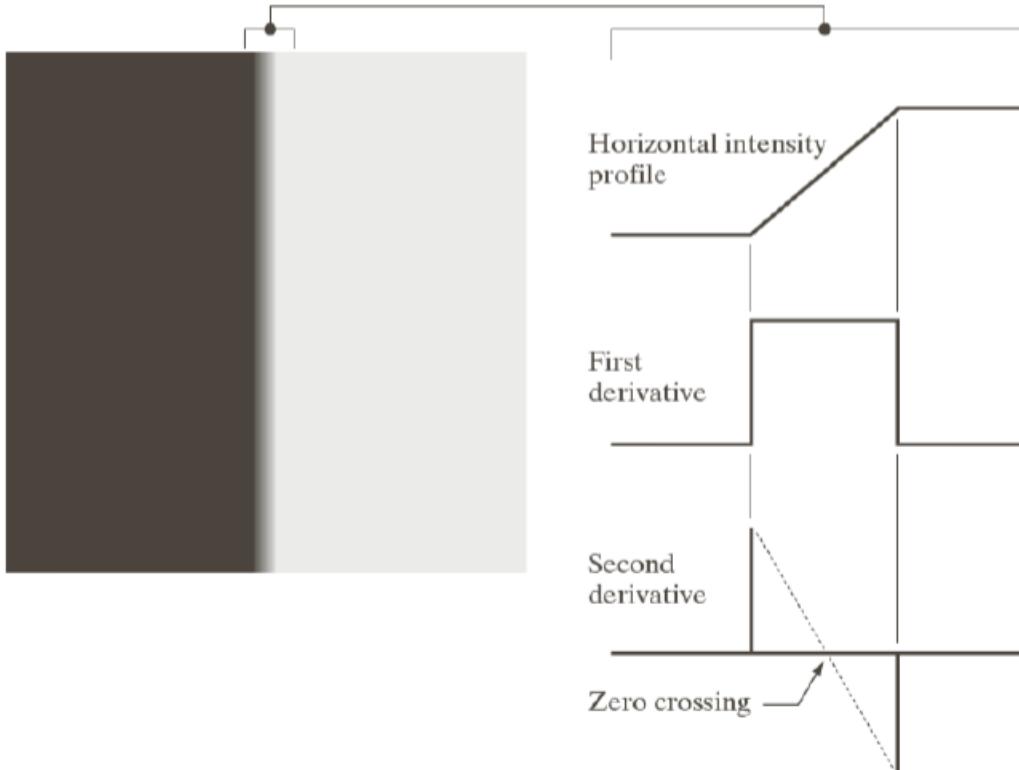
# Edge Models



**FIGURE 10.9** A  $1508 \times 1970$  image showing (zoomed) actual ramp (bottom, left), step (top, right), and roof edge profiles. The profiles are from dark to light, in the areas enclosed by the small circles. The ramp and step profiles span 9 pixels and 2 pixels, respectively. The base of the roof edge is 3 pixels. (Original image courtesy of Dr. David R. Pickens, Vanderbilt University.)

# Derivatives – Idea Cases

Observation:



a b

**FIGURE 10.10**

(a) Two regions of constant intensity separated by an ideal vertical ramp edge.  
(b) Detail near the edge, showing a horizontal intensity profile, together with its first and second derivatives.

## Conclusion

- The magnitude of first derivative -- the presence of an edge
- The sign of second derivative -- whether an edge pixel lies on the dark or light side of an edge
  - produces two values for every edge in an image
  - zero crossing – the center of ramp/thick edges

# But, In Practice ...

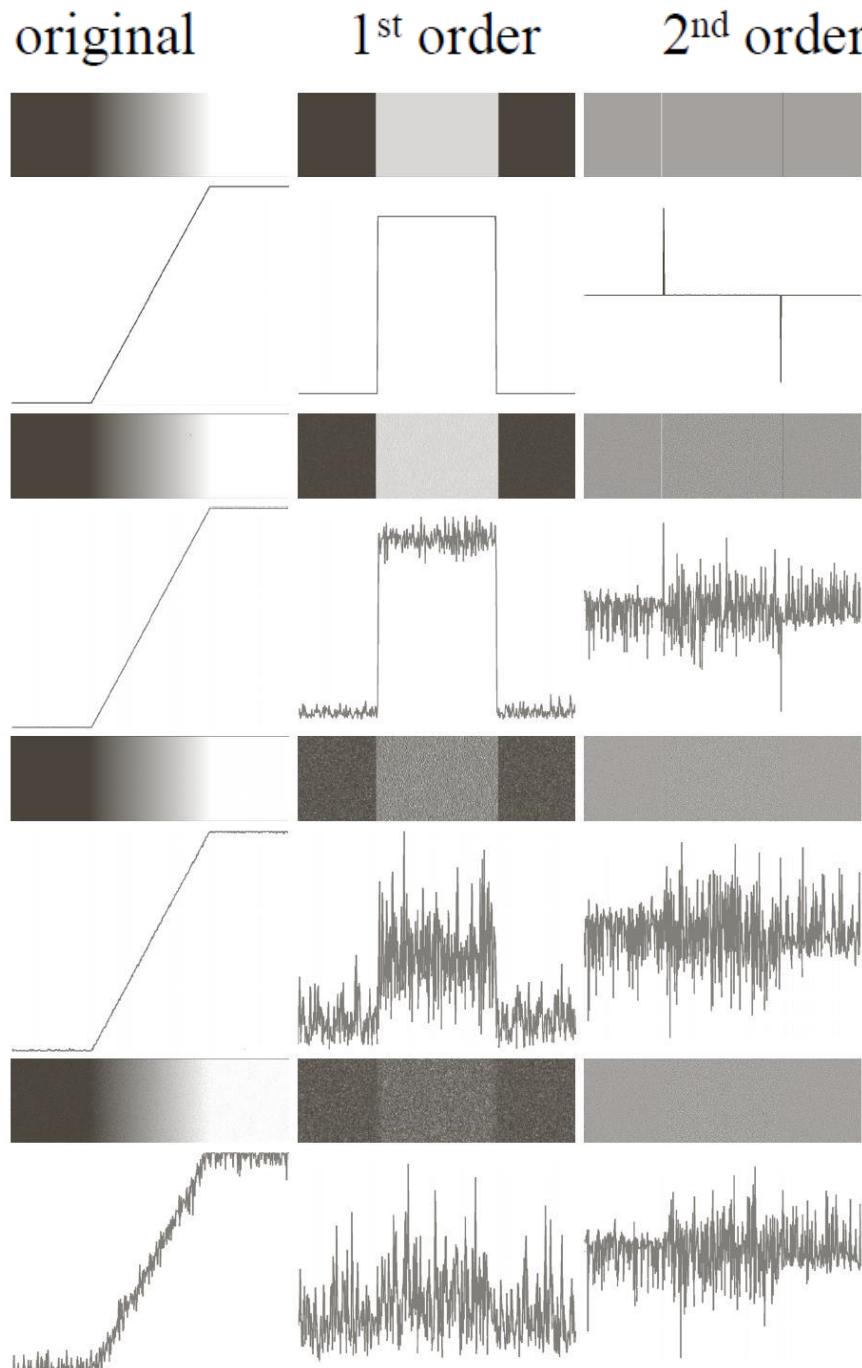
**FIGURE 10.11** First column: Images and intensity profiles of a ramp edge corrupted by random Gaussian noise of zero mean and standard deviations of 0.0, 0.1, 1.0, and 10.0 intensity levels, respectively. Second column: First-derivative images and intensity profiles. Third column: Second-derivative images and intensity profiles.

In summary, the three steps performed typically for edge detection are:

**1. Image smoothing for noise reduction.** The need for this step is illustrated by the results in the second and third columns of Fig. 10.11.

**2. Detection of edge points.** As mentioned earlier, this is a local operation that extracts from an image all points that are potential edge-point candidates.

**3. Edge localization.** The objective of this step is to select from the candidate points only the points that are members of the set of points comprising an edge.



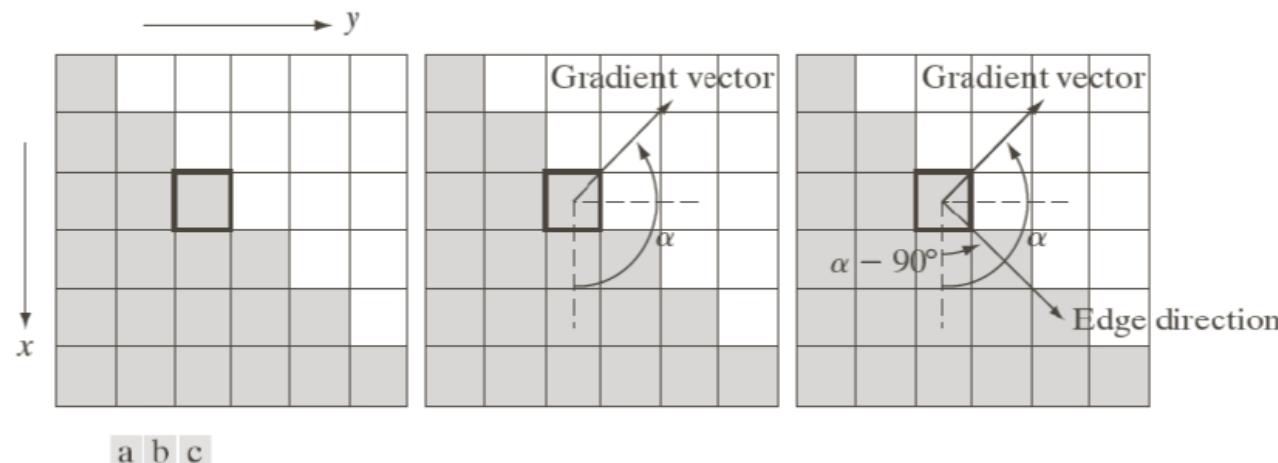
Slide credit: Yan Tong

# Basic Edge Detection

**First-order derivative:**

**Gradient**  $\nabla f(x, y) = \text{grad}(f) = \begin{bmatrix} g_x \\ g_y \end{bmatrix} = \begin{bmatrix} \partial f / \partial x \\ \partial f / \partial y \end{bmatrix}$

**Edge strength**  $M(x, y) = \sqrt{g_x^2 + g_y^2}$   
 $\approx |g_x| + |g_y|$       **Edge direction**  $\alpha(x, y) = \tan^{-1} \left[ \frac{g_y}{g_x} \right]$



**FIGURE 10.12** Using the gradient to determine edge strength and direction at a point. Note that the edge is perpendicular to the direction of the gradient vector at the point where the gradient is computed. Each square in the figure represents one pixel.

# Masks for Calculating the Gradient (2x2)

Gradient in vertical/horizontal direction

-1
1

-1	1
----	---

Along x =>  $g_x$

Along y =>  $g_y$

Gradient in diagonal direction

$z_1$	$z_2$	$z_3$
$z_4$	$z_5$	$z_6$
$z_7$	$z_8$	$z_9$

-1	0
0	1

Along x =>  $g_x$

$z_1$	$z_2$	$z_3$
$z_4$	$z_5$	$z_6$
$z_7$	$z_8$	$z_9$

0	-1
1	0

Along y =>  $g_y$

# Masks for Calculating the Gradient (3x3)

Gradient in vertical/horizontal

Along x => g_x		
-1	-1	-1
0	0	0
1	1	1

-1	0	1
-1	0	1
-1	0	1

Prewitt

-1	-2	-1
0	0	0
1	2	1

-1	0	1
-2	0	2
-1	0	1

Sobel

Gradient in diagonal

0	1	1
-1	0	1
-1	-1	0

-1	-1	0
-1	0	1
0	1	1

Prewitt

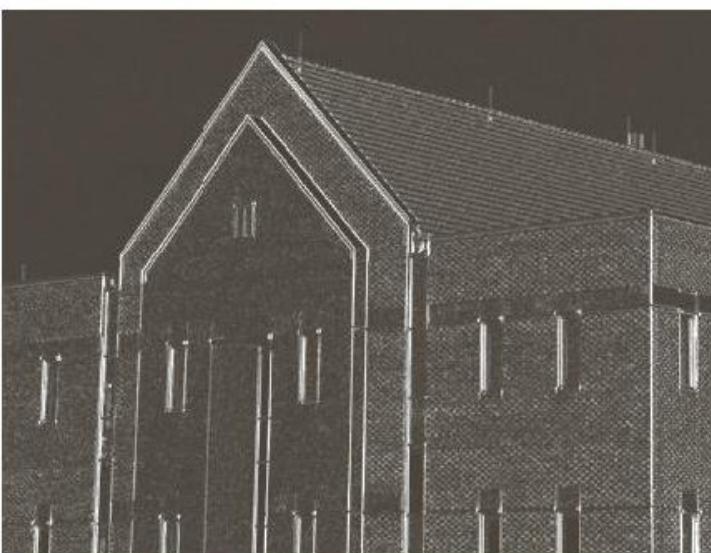
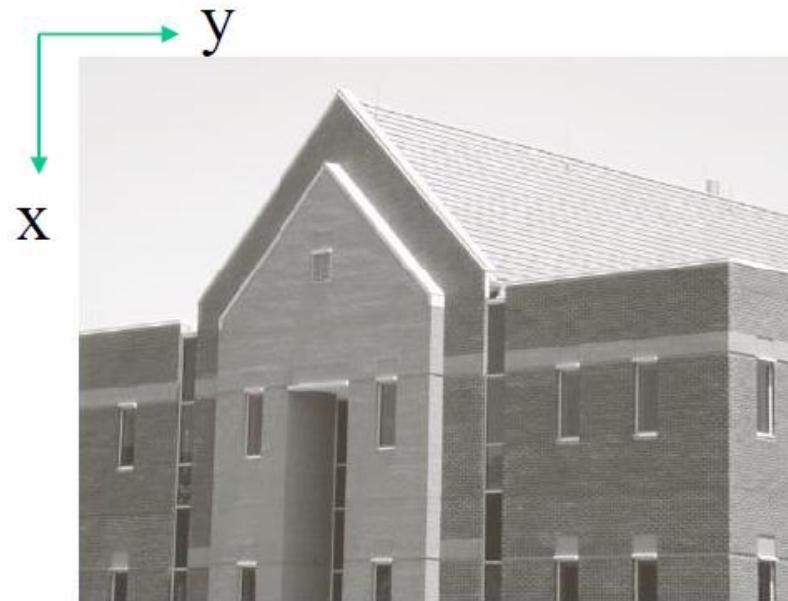
0	1	2
-1	0	1
-2	-1	0

-2	-1	0
-1	0	1
0	1	2

Sobel

Sobel operator performs edge detection and smoothing simultaneously.

# An Example



Sobel

-1	-2	-1
0	0	0
1	2	1

Along x =>  $g_x$

Along y =>  $g_y$

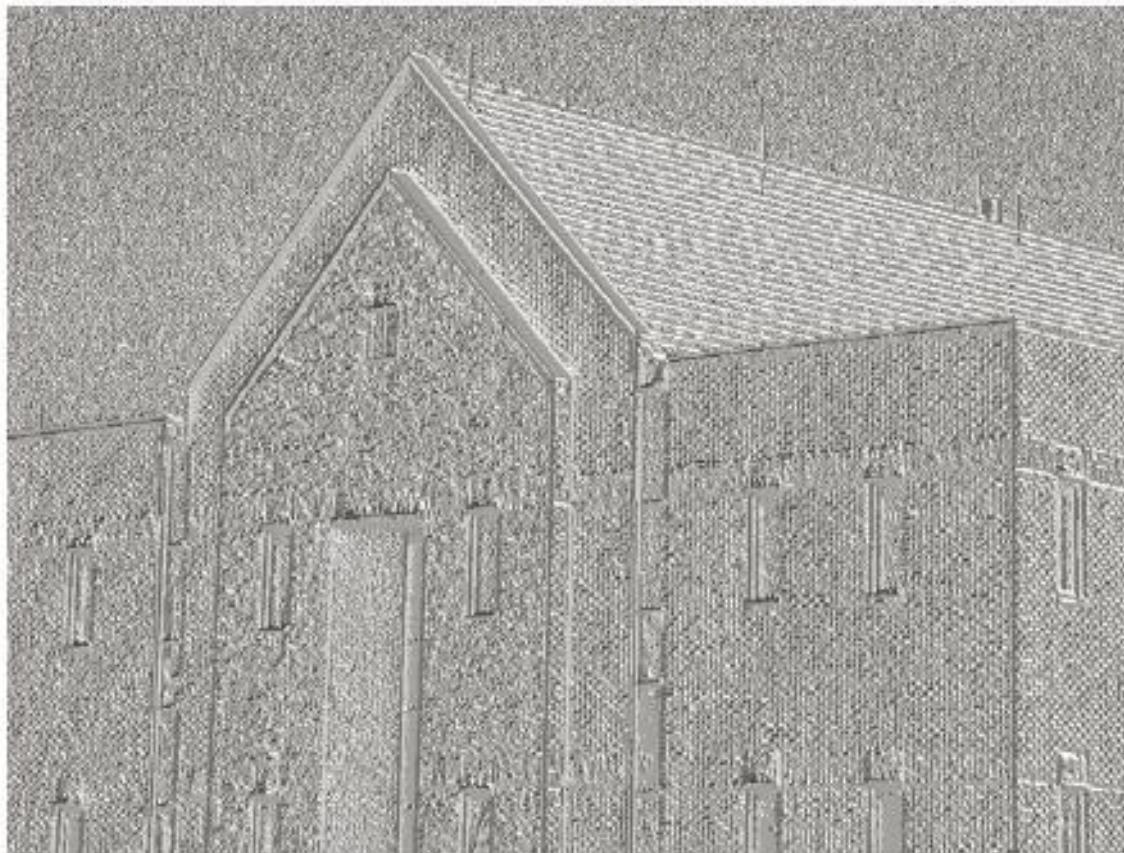
a	b
c	d

**FIGURE 10.16**

(a) Original image of size  $834 \times 1114$  pixels, with intensity values scaled to the range  $[0, 1]$ .  
(b)  $|g_x|$ , the component of the gradient in the  $x$ -direction, obtained using the Sobel mask in Fig. 10.14(f) to filter the image.  
(c)  $|g_y|$ , obtained using the mask in Fig. 10.14(g).  
(d) The gradient image,  $|g_x| + |g_y|$ .

Slide credit: Yan Tong

# An Example

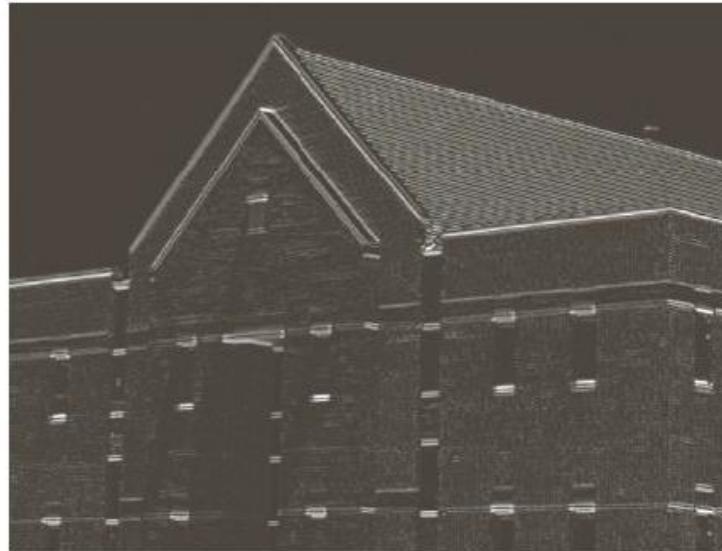


**FIGURE 10.17**  
Gradient angle  
image computed  
using  
Eq. (10.2-11).  
Areas of constant  
intensity in this  
image indicate  
that the direction  
of the gradient  
vector is the same  
at all the pixel  
locations in those  
regions.

Angle information is employed in Canny edge detector and other feature representation, such as Histogram of Orientated Gradients (HOG).

Slide credit: Yan Tong

# An Example



a	b
c	d

**FIGURE 10.18**

Same sequence as in Fig. 10.16, but with the original image smoothed using a  $5 \times 5$  averaging filter prior to edge detection.

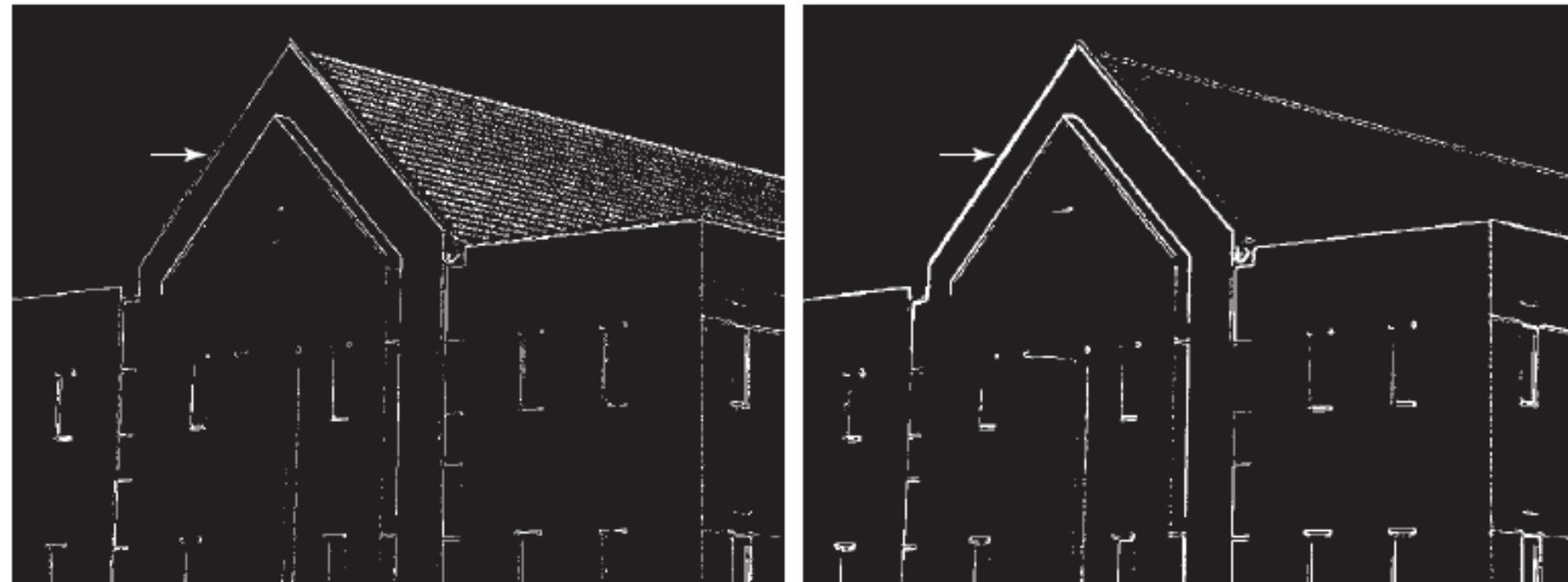


# Combining the Gradient with Thresholding: An Example

a b

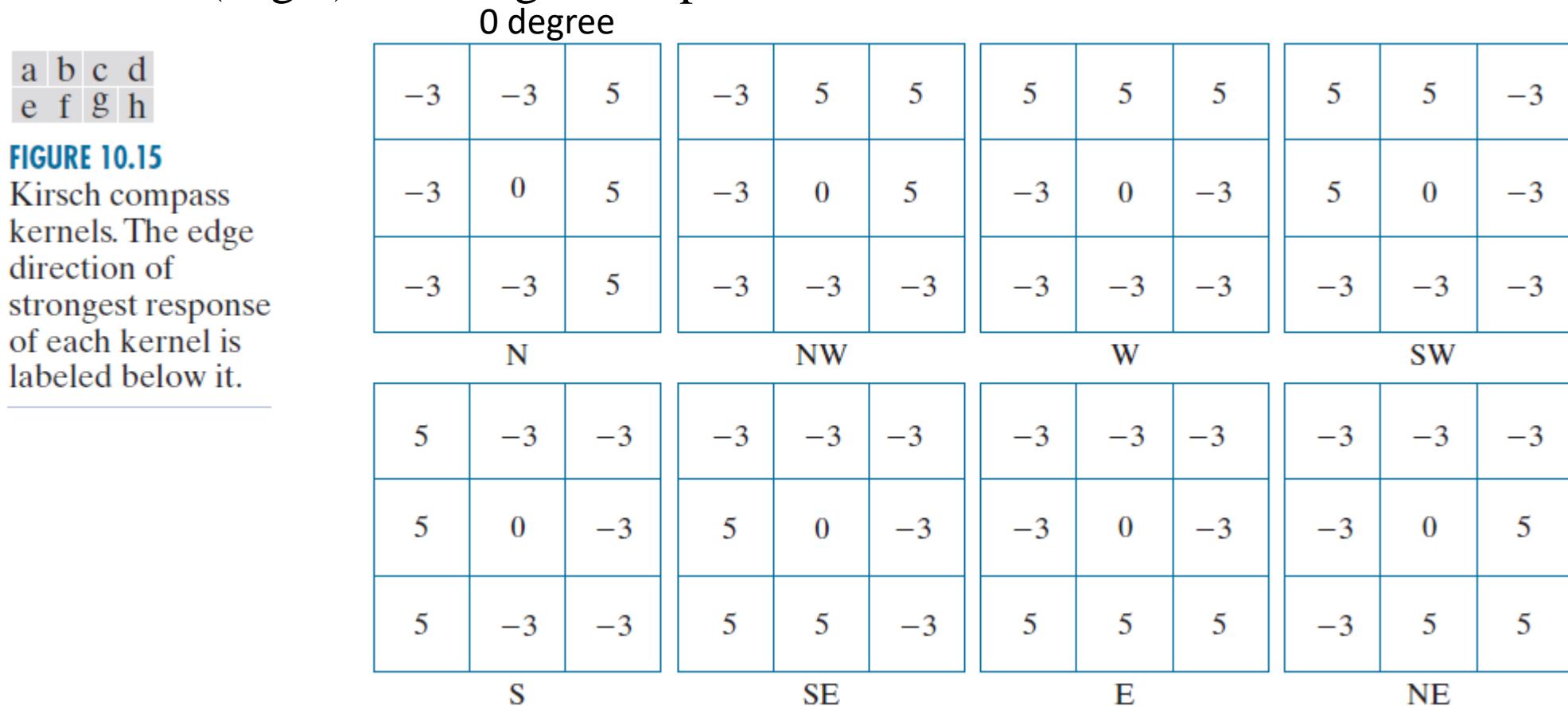
**FIGURE 10.20**

- (a) Result of thresholding Fig. 10.16(d), the gradient of the original image.  
(b) Result of thresholding Fig. 10.18(d), the gradient of the smoothed image.



# Edge Magnitude and Direction (Angle)

The *Kirsch compass kernels* in Fig. 10.15, are designed to detect edge magnitude *and* direction (angle) in all eight compass directions.



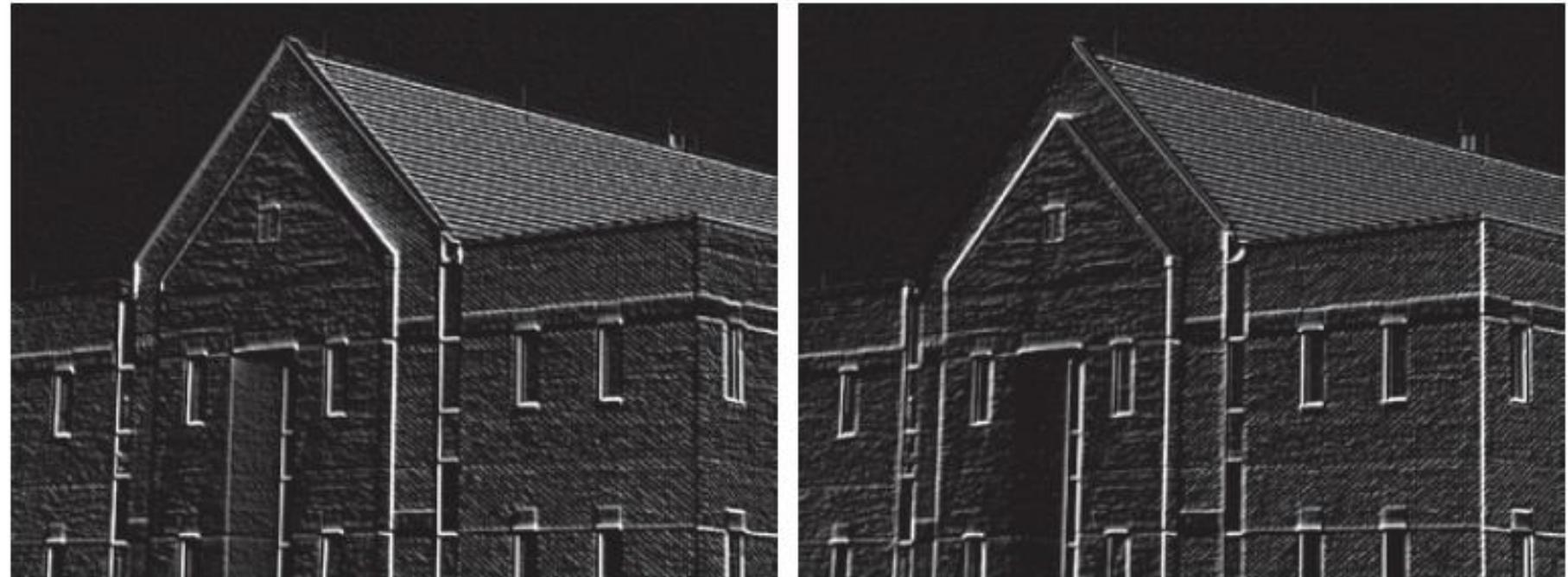
# Edge Magnitude and Direction (Angle): Example

a b

**FIGURE 10.19**

Diagonal edge detection.

- (a) Result of using the Kirsch kernel in Fig. 10.15(c).
- (b) Result of using the kernel in Fig. 10.15(d). The input image in both cases was Fig. 10.18(a).



# Summary on Simple Edge Detectors

## -First-order derivative

- Roberts (2x2)
- Prewitt (3x3)
- Sobel (3x3, smooth + difference)
- Issues:
  - Thicker edge
  - One operator for one edge direction

## -Second-order derivative

- Laplacian (3x3)
- Issues:
  - Double edge
  - Zero-crossing

## -Common issues:

- Sensitive to image noise
- Cannot deal with the scale change of the image

# Advanced Edge Detection Techniques

- Deal with image noise
  - Exploit the properties of image
- Work much better for real images

## Advanced edge detectors:

- Laplacian of Gaussian (LoG)
- Difference of Gaussian (DoG)
- Canny

# Marr-Hildreth Detector (LoG)

## Observations:

- Intensity changes are dependent on the image scale
  - A sudden intensity change (step) causes a peak/trough in the 1<sup>st</sup> order derivative and a zero-crossing in the 2<sup>nd</sup> order derivative
  - The 2<sup>nd</sup> order derivative is especially sensitive to noise
- Smooth the image using a Gaussian filter first before applying the Laplacian

$$\text{Gaussian} \longrightarrow G(x, y) = e^{-\frac{x^2+y^2}{2\sigma^2}}$$

$$g(x, y) = \nabla^2[G(x, y) \otimes f(x, y)] = \boxed{\nabla^2 G(x, y)} \otimes f(x, y)$$

**Laplacian of a Gaussian (LoG)**

# Marr-Hildreth Detector (LoG)

**Laplacian of a Gaussian** (LoG):  $\nabla^2 G(x, y)$

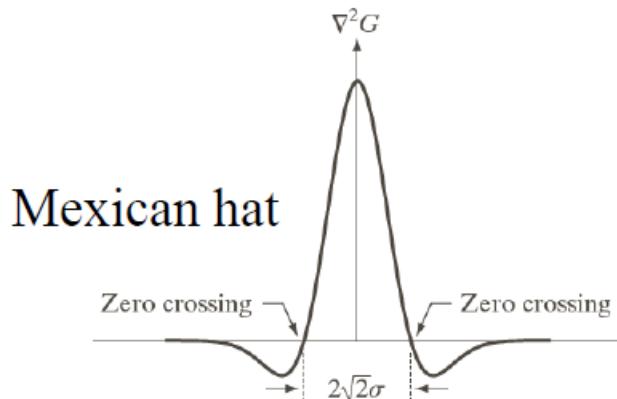
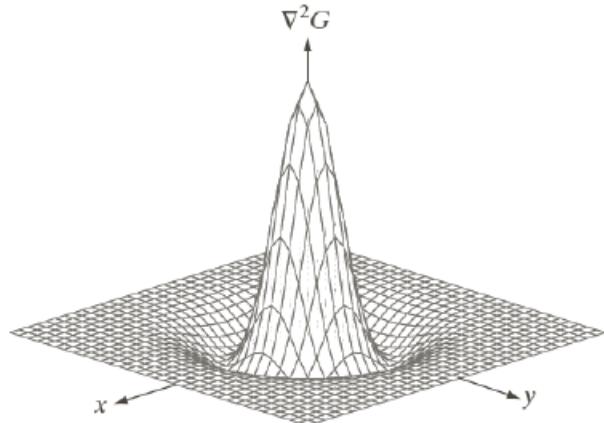
Gaussian  $\longrightarrow G(x, y) = e^{-\frac{x^2+y^2}{2\sigma^2}}$

$$\nabla^2 G(x, y) = \left[ \frac{x^2 + y^2 - 2\sigma^2}{\sigma^4} \right] e^{-\frac{x^2+y^2}{2\sigma^2}}$$

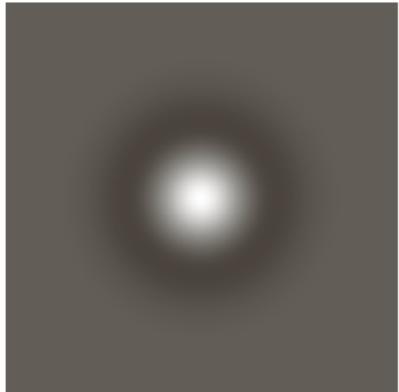
$$\begin{aligned}\nabla^2 G(x, y) &= \frac{\partial^2 G(x, y)}{\partial x^2} + \frac{\partial^2 G(x, y)}{\partial y^2} \\ &= \frac{\partial}{\partial x} \left( \frac{-x}{\sigma^2} e^{-\frac{x^2+y^2}{2\sigma^2}} \right) + \frac{\partial}{\partial y} \left( \frac{-y}{\sigma^2} e^{-\frac{x^2+y^2}{2\sigma^2}} \right) \\ &= \left( \frac{x^2}{\sigma^4} - \frac{1}{\sigma^2} \right) e^{-\frac{x^2+y^2}{2\sigma^2}} + \left( \frac{y^2}{\sigma^4} - \frac{1}{\sigma^2} \right) e^{-\frac{x^2+y^2}{2\sigma^2}}\end{aligned}$$

- Varying  $\sigma$  values for scale changes
- Rotation invariant in edge detection

# Marr-Hildreth Detector (LoG)



$$\nabla^2 G(x, y) = \left[ \frac{x^2 + y^2 - 2\sigma^2}{\sigma^4} \right] e^{-\frac{x^2+y^2}{2\sigma^2}}$$



a b  
c d

**FIGURE 10.21**

(a) Three-dimensional plot of the *negative* of the LoG. (b) Negative of the LoG displayed as an image. (c) Cross section of (a) showing zero crossings. (d)  $5 \times 5$  mask approximation to the shape in (a). The negative of this mask would be used in practice.

0	0	-1	0	0
0	-1	-2	-1	0
-1	-2	16	-2	-1
0	-1	-2	-1	0
0	0	-1	0	0

→ Sum to zero!

In practice, we use the negative of the mask

# LoG Filtering

$$\begin{aligned}g(x, y) &= \nabla^2 G(x, y) \otimes f(x, y) \\&= \nabla^2 [G(x, y) \otimes f(x, y)]\end{aligned}$$

1. Filter the input image with an  $n \times n$  Gaussian filter.
2. Compute the Laplacian of the intermediate image resulting from Step 1.
3. Find the zero-crossings of the image from Step 2.
  - opposite signs of the neighbors
  - the difference should be significant

**Note:**

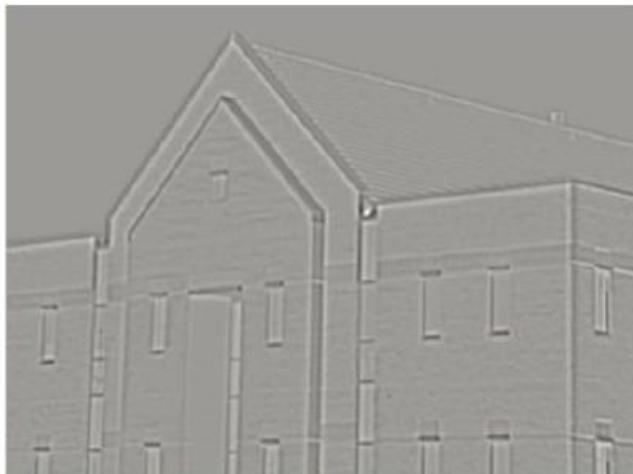
- Window size  $n \geq 6\sigma$  and  $n$  is an odd number

# An Example – Edges are 1 Pixel Thick

Original



LoG filtering



LoG filtering with T=0



Zero-crossing with T=0



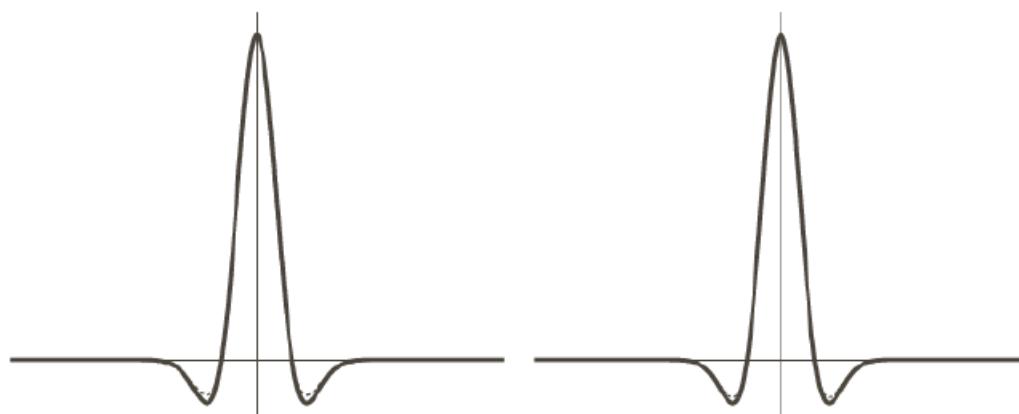
Zero-crossing with T=4%max

In practice, run LoG many times with different sigmas and keep the edges that are common for all sigmas

# Approximate LoG by DoG

LoG needs multiple filters for scale variations  
→ Difference of Gaussian (DoG)

$$DOG(x, y) = \frac{1}{2\pi\sigma_1^2} e^{-\frac{x^2+y^2}{2\sigma_1^2}} - \frac{1}{2\pi\sigma_2^2} e^{-\frac{x^2+y^2}{2\sigma_2^2}}, \quad \sigma_1 > \sigma_2$$



a b

**FIGURE 10.23**  
(a) Negatives of the LoG (solid) and DoG (dotted) profiles using a standard deviation ratio of 1.75:1.  
(b) Profiles obtained using a ratio of 1.6:1.

# Canny Edge Detector

**The general goal:**

1. Low error rate: all edges should be found and there should be no false response
2. Edge points should be well localized: the edges located must be as close as possible to the true edges
3. Single edge point response: the detector should return only one point for each true edge point

**Canny edge detector:** expressing these three criteria mathematically and then find optimal solutions

# Canny Edge Detector

**Gaussian smoothing + 1<sup>st</sup> order derivative**

→ **optimal step edge detector**

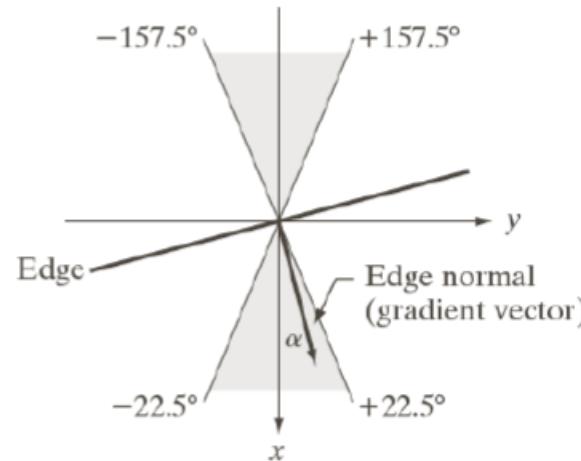
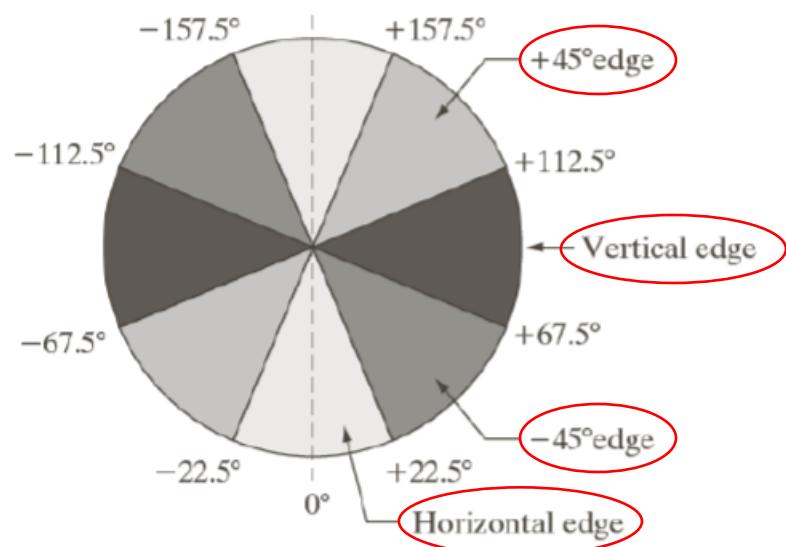
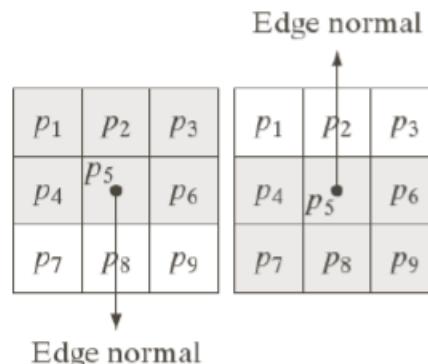
$$f_s(x, y) = G(x, y) \otimes f(x, y)$$

$$g_x = \partial f_s / \partial x \quad g_y = \partial f_s / \partial y$$

$$M(x, y) = \sqrt{g_x^2 + g_y^2} \quad \alpha(x, y) = \tan^{-1}(g_y / g_x)$$

**Thick edge**  **Nonmaxima suppression**  **Single edge**

# Quantize the Edge Direction



a  
b  
c

**FIGURE 10.24**

- (a) Two possible orientations of a horizontal edge (in gray) in a  $3 \times 3$  neighborhood.  
 (b) Range of values (in gray) of  $\alpha$ , the direction angle of the *edge normal*, for a horizontal edge. (c) The angle ranges of the edge normals for the four types of edge directions in a  $3 \times 3$  neighborhood. Each edge direction has two ranges, shown in corresponding shades of gray.

# Canny Detector -- Algorithm

- 1. Smooth the input image with a Gaussian filter**
- 2. Compute the gradient magnitude and angle images**
- 3. Apply nonmaximum suppression on the gradient magnitude image**

Let  $d_1, d_2, d_3$ , and  $d_4$  denote the four basic edge directions

1. At  $(x, y)$ , find the quantized edge normal  $d_k$
2. If the value  $M(x, y)$  is less than at least one of its two neighbors along  $d_k$ , let  $g_N(x, y) = 0$ ; otherwise  $g_N(x, y) = M(x, y)$

- 4. Reduce false edge: double thresholding and connectivity analysis to detect and link edges**

1. High-threshold  $\rightarrow$  strong edge pixels  $\rightarrow$  valid edge pixels
2. Low-threshold  $\rightarrow$  weak edge pixels  $\rightarrow$  valid only when connected to strong edge pixels

If we set the threshold too low, there will still be some false edges (called *false positives*). If the threshold is set too high, then valid edge points will be eliminated (*false negatives*).

# Canny Detector -- Algorithm

## Double Thresholding: Example

Uses two thresholds: a low threshold,  $T_L$  and a high threshold,  $T_H$ .

$$g_{NH}(x, y) = g_N(x, y) \geq T_H$$

Two  
thresholded  
images

$$g_{NL}(x, y) = g_N(x, y) \geq T_L$$

Modified

Initially,  $g_{NH}(x, y)$  and  $g_{NL}(x, y)$  are set to 0. After thresholding,  $g_{NH}(x, y)$  will usually have fewer nonzero pixels than  $g_{NL}(x, y)$ , but all the nonzero pixels in  $g_{NH}(x, y)$  will be contained in  $g_{NL}(x, y)$  because the latter image is formed with a lower threshold. We eliminate from  $g_{NL}(x, y)$  all the nonzero pixels from  $g_{NH}(x, y)$  by letting

$$g_{NL}(x, y) = g_{NL}(x, y) - g_{NH}(x, y) \quad (10-41)$$

The nonzero pixels in  $g_{NH}(x, y)$  and  $g_{NL}(x, y)$  may be viewed as being “strong” and “weak” edge pixels, respectively. After the thresholding operations, all strong pixels in  $g_{NH}(x, y)$  are assumed to be valid edge pixels, and are so marked immediately. Depending on the value of  $T_H$ , the edges in  $g_{NH}(x, y)$  typically have gaps.

# Canny Detector -- Algorithm

## Double Thresholding: Example

Longer edges are formed using the following procedure:

- (a) Locate the next unvisited edge pixel,  $p$ , in  $g_{NH}(x, y)$ .
- (b) Mark as valid edge pixels all the weak pixels in  $g_{NL}(x, y)$  that are connected to  $p$  using, say, 8-connectivity.
- (c) If all nonzero pixels in  $g_{NH}(x, y)$  have been visited go to Step (d). Else, return to Step ( a).
- (d) Set to zero all pixels in  $g_{NL}(x, y)$  that were not marked as valid edge pixels.

At the end of this procedure, the final image output by the Canny algorithm is formed by appending to  $g_{NH}(x, y)$  all the nonzero pixels from  $g_{NL}(x, y)$ .

# Canny Detector -- Algorithm

## Double Thresholding

We used two additional images,  $g_{NH}(x, y)$  and  $g_{NL}(x, y)$  to simplify the discussion. In practice, hysteresis thresholding can be implemented directly during nonmaxima suppression, and thresholding can be implemented directly on  $g_N(x, y)$  by forming a list of strong pixels and the weak pixels connected to them.

# An Example



a b  
c d

**FIGURE 10.25**

(a) Original image of size  $834 \times 1114$  pixels, with intensity values scaled to the range  $[0, 1]$ .

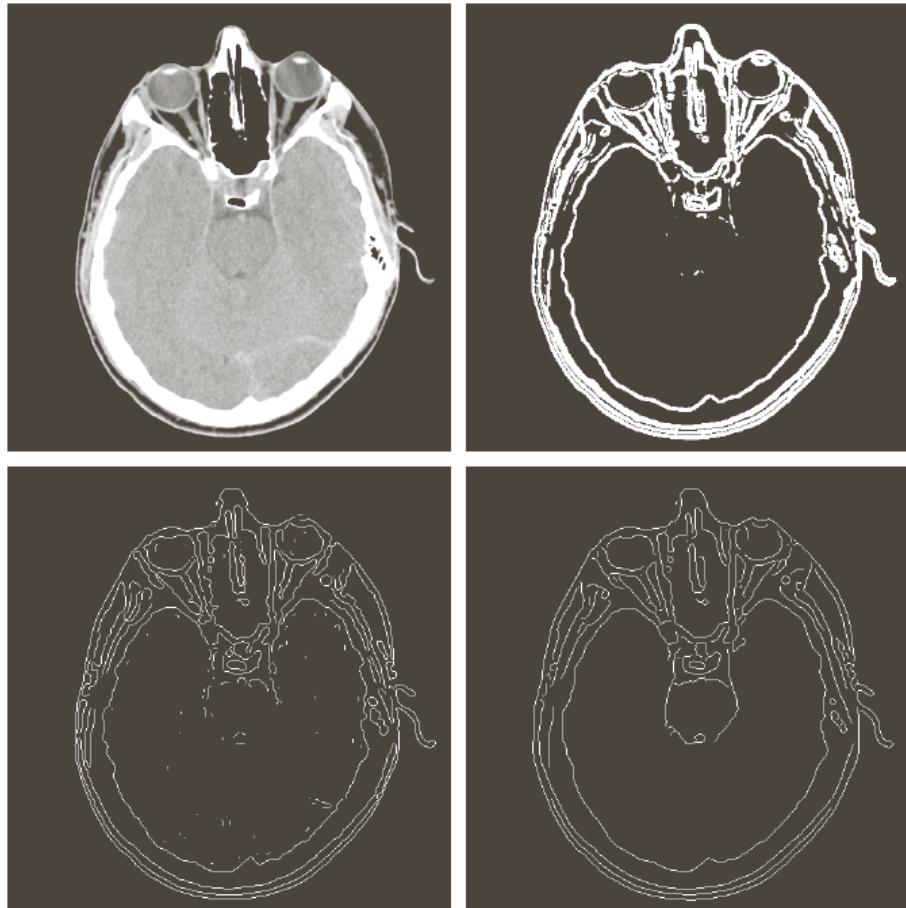
(b) Thresholded gradient of smoothed image.

(c) Image obtained using the Marr-Hildreth algorithm.

(d) Image obtained using the Canny algorithm. Note the significant improvement of the Canny image compared to the other two.



# An Example



a b  
c d

**FIGURE 10.26**  
(a) Original head CT image of size  $512 \times 512$  pixels, with intensity values scaled to the range  $[0, 1]$ .  
(b) Thresholded gradient of smoothed image.  
(c) Image obtained using the Marr-Hildreth algorithm.  
(d) Image obtained using the Canny algorithm.  
(Original image courtesy of Dr. David R. Pickens, Vanderbilt University.)

# Edge Linking and Boundary Detection

All edge detection algorithms can only detect fragments of boundaries, due to image noise, non-uniform illuminations, or other effects

Edge linking: link edges into longer meaningful edges or a full region boundaries

## Three classic methods:

- Local processing
- Regional Processing
- Hough transform **Global Processing**

# Edge Linking – Local Processing

**Link the edge points with similar properties:**

- Strength
- Direction

**Two edge pixels are linked if**

Let  $S_{xy}$  denote the set of coordinates of a neighborhood centered at point  $(x, y)$  in an image. An edge pixel with coordinates  $(s, t)$  in  $S_{xy}$  is similar in *magnitude* to the pixel at  $(x, y)$  if

$$|M(s, t) - M(x, y)| \leq E \quad \text{where } E \text{ is a positive threshold.}$$

Similarly,  $|\alpha(s, t) - \alpha(x, y)| \leq A \quad \text{where } A \text{ is a positive angle threshold}$

# Edge Linking – Local Processing

## Simplified Procedure

1. Compute the gradient magnitude and angle arrays,  $M(x, y)$  and  $\alpha(x, y)$ , of the input image,  $f(x, y)$ .
2. Form a binary image,  $g(x, y)$ , whose value at any point  $(x, y)$  is given by:

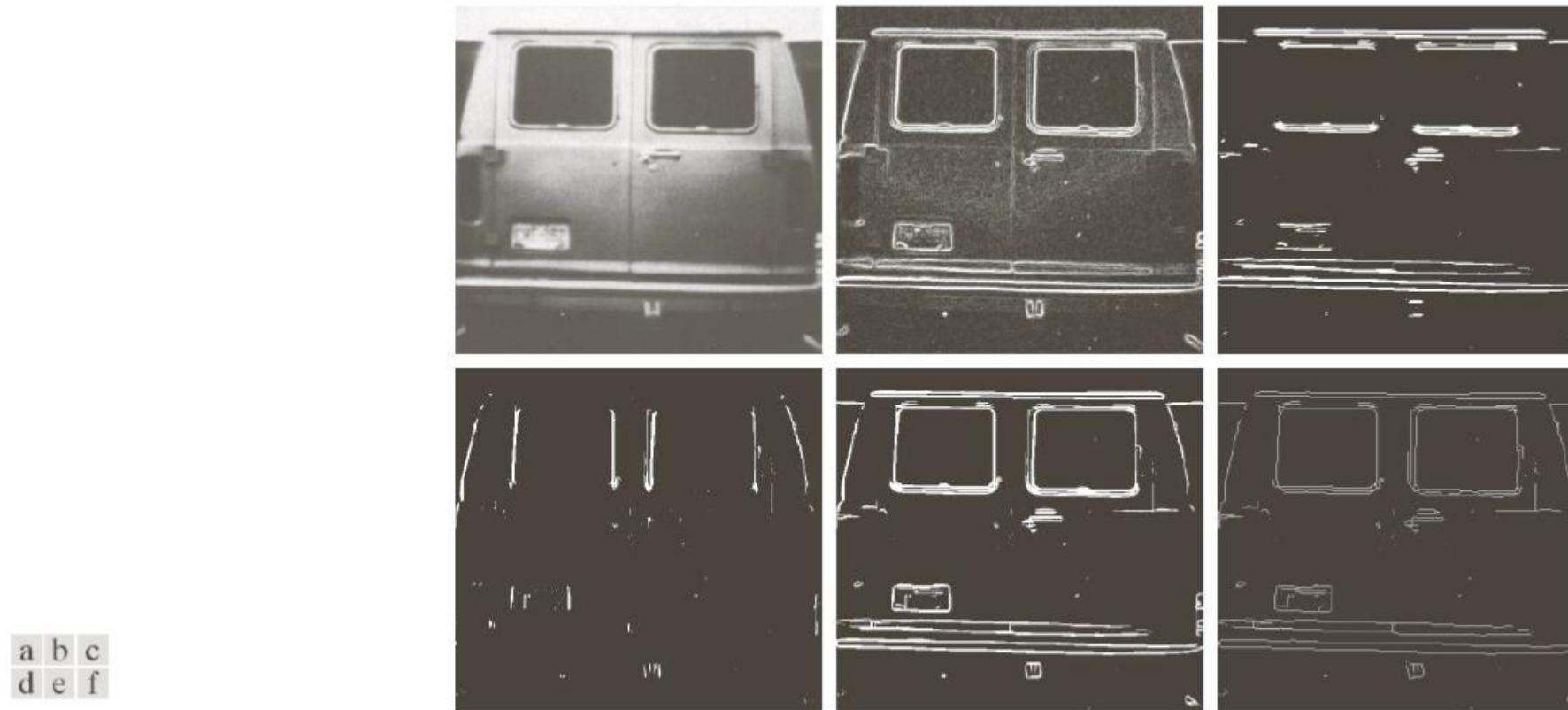
$$g(x, y) = \begin{cases} 1 & \text{if } M(x, y) > T_M \text{ AND } \alpha(x, y) = A \pm T_A \\ 0 & \text{otherwise} \end{cases}$$

where  $T_M$  is a threshold,  $A$  is a specified angle direction, and  $\pm T_A$  defines a “band” of acceptable directions about  $A$ .

3. Scan the rows of  $g$  and fill (set to 1) all gaps (sets of 0's) in each row that do not exceed a specified length,  $L$ . Note that, by definition, a gap is bounded at both ends by one or more 1's. The rows are processed individually, with no “memory” kept between them.
4. To detect gaps in any other direction,  $\theta$ , rotate  $g$  by this angle and apply the horizontal scanning procedure in Step 3. Rotate the result back by  $-\theta$ .

# Edge Linking – Local Processing Example

Objective: find rectangular region for license plate recognition.



**FIGURE 10.27** (a) A  $534 \times 566$  image of the rear of a vehicle. (b) Gradient magnitude image. (c) Horizontally connected edge pixels. (d) Vertically connected edge pixels. (e) The logical OR of the two preceding images. (f) Final result obtained using morphological thinning. (Original image courtesy of Perceptics Corporation.)

# Edge Linking – Hough Transform

Global Processing

A basic idea: Given  $n$  points

1. Find  $n(n - 1)/2$  lines between each pair of points  $O(n^2)$
2. Find all subset of points that are close to particular lines. This needs  $n(n - 1)n/2$  comparisons  $O(n^3)$

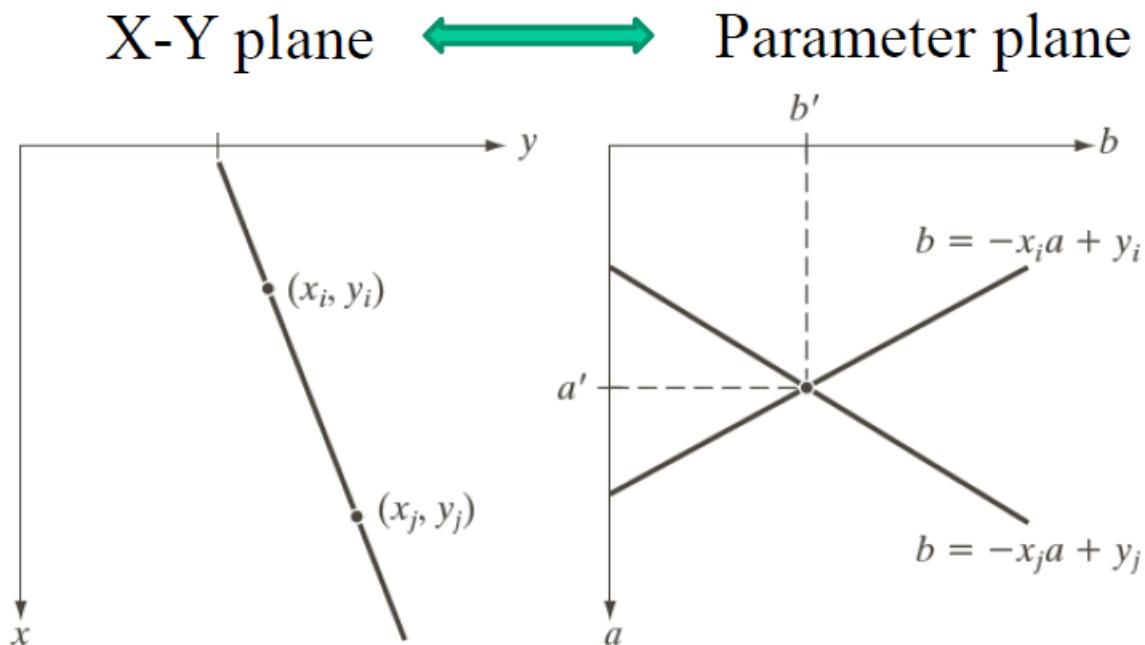
This is computationally expensive!



Hough transform

# Hough Transform

A line in x-y plane is a point in the parameter plane.  
A point in x-y plane is a line in the parameter plane.



a | b

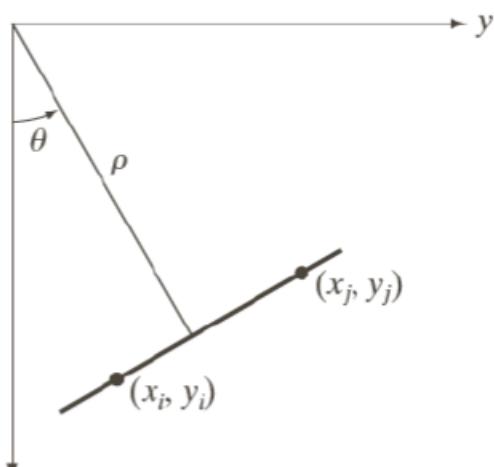
**FIGURE 10.31**  
(a) xy-plane.  
(b) Parameter space.

Problem of slope-intercept form: the slope  $a$  approaches infinity for vertical lines

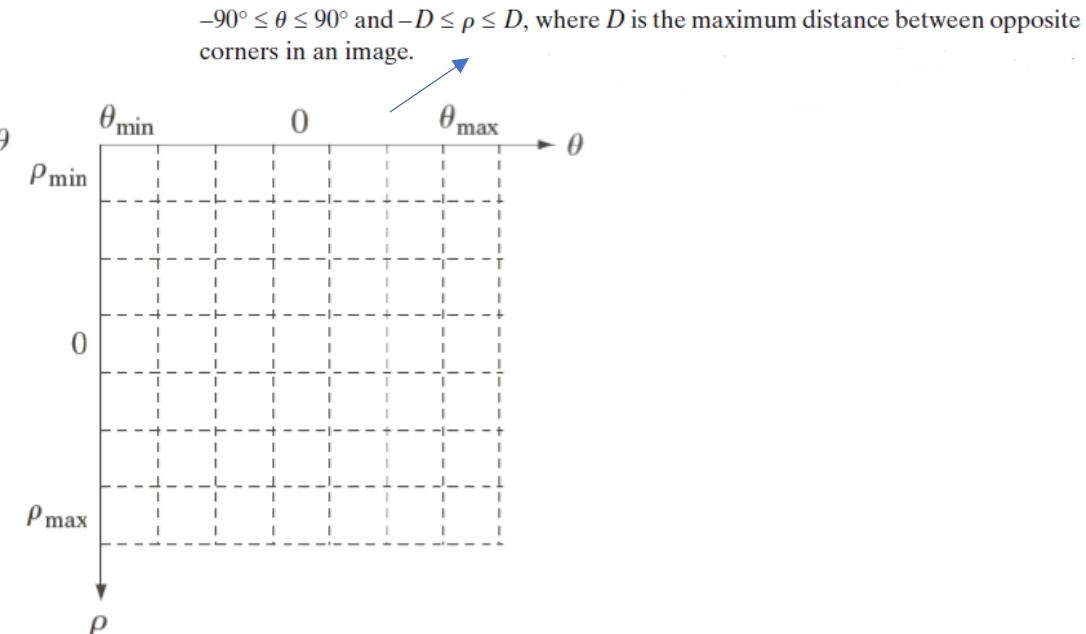
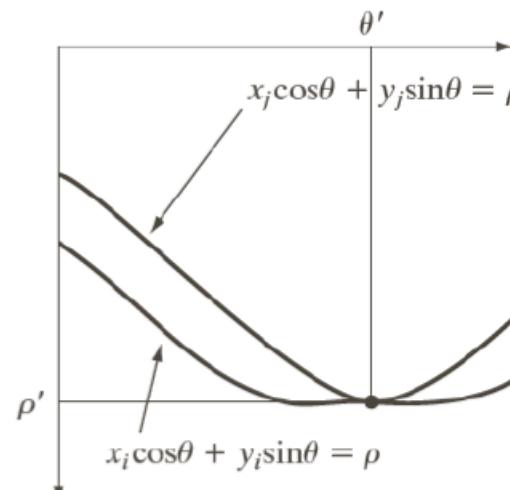
# Hough Transform

**A line in x-y plane is a point in the parameter plane.  
A point in x-y plane is a sinusoidal in the parameter plane  
(polar space).**

$$x \cos \theta + y \sin \theta = \rho$$

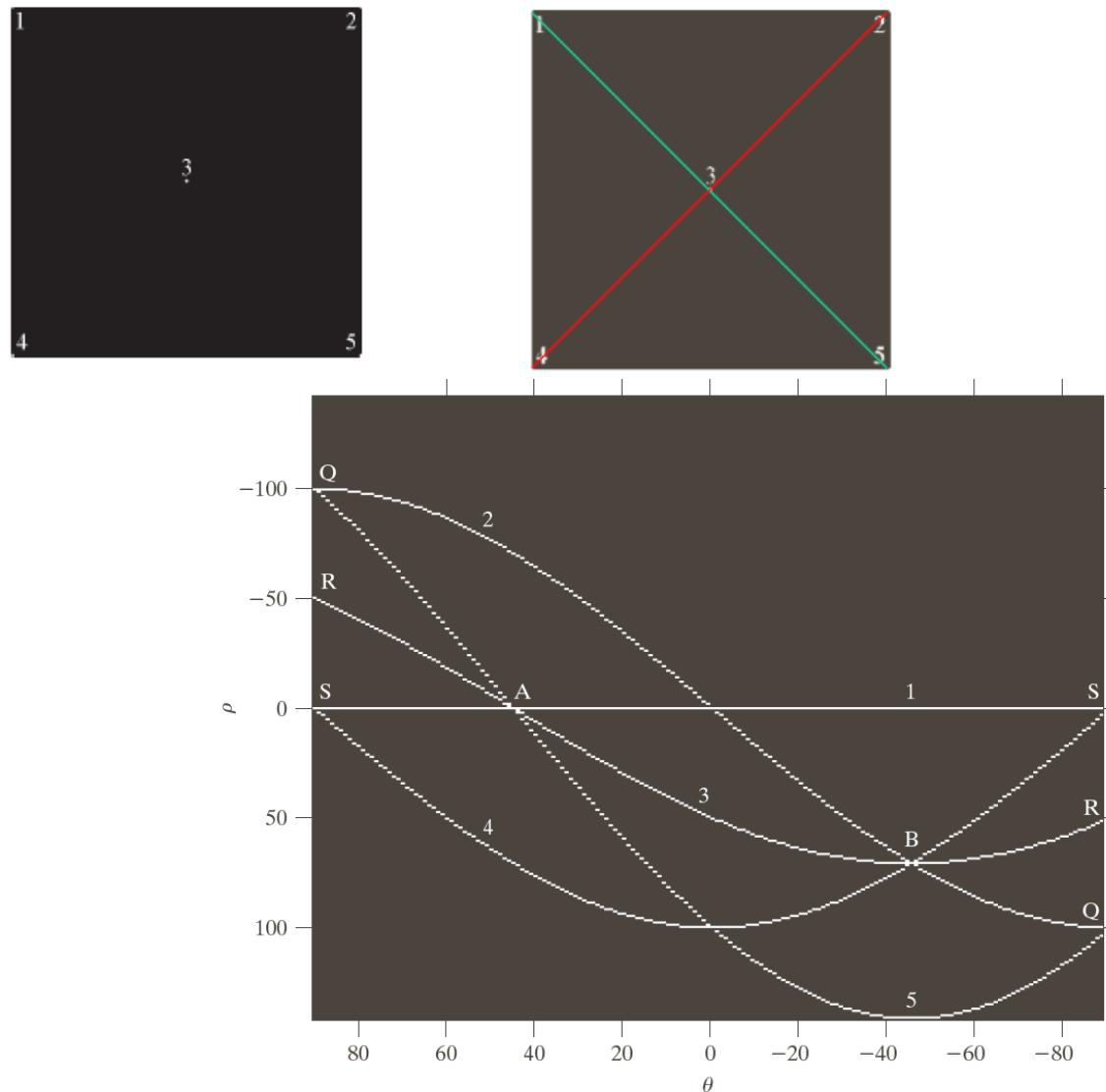


a b c



**FIGURE 10.32** (a)  $(\rho, \theta)$  parameterization of line in the  $xy$ -plane. (b) Sinusoidal curves in the  $\rho\theta$ -plane; the point of intersection  $(\rho', \theta')$  corresponds to the line passing through points  $(x_i, y_i)$  and  $(x_j, y_j)$  in the  $xy$ -plane. (c) Division of the  $\rho\theta$ -plane into accumulator cells.

# Hough Transform: Toy example



a  
b

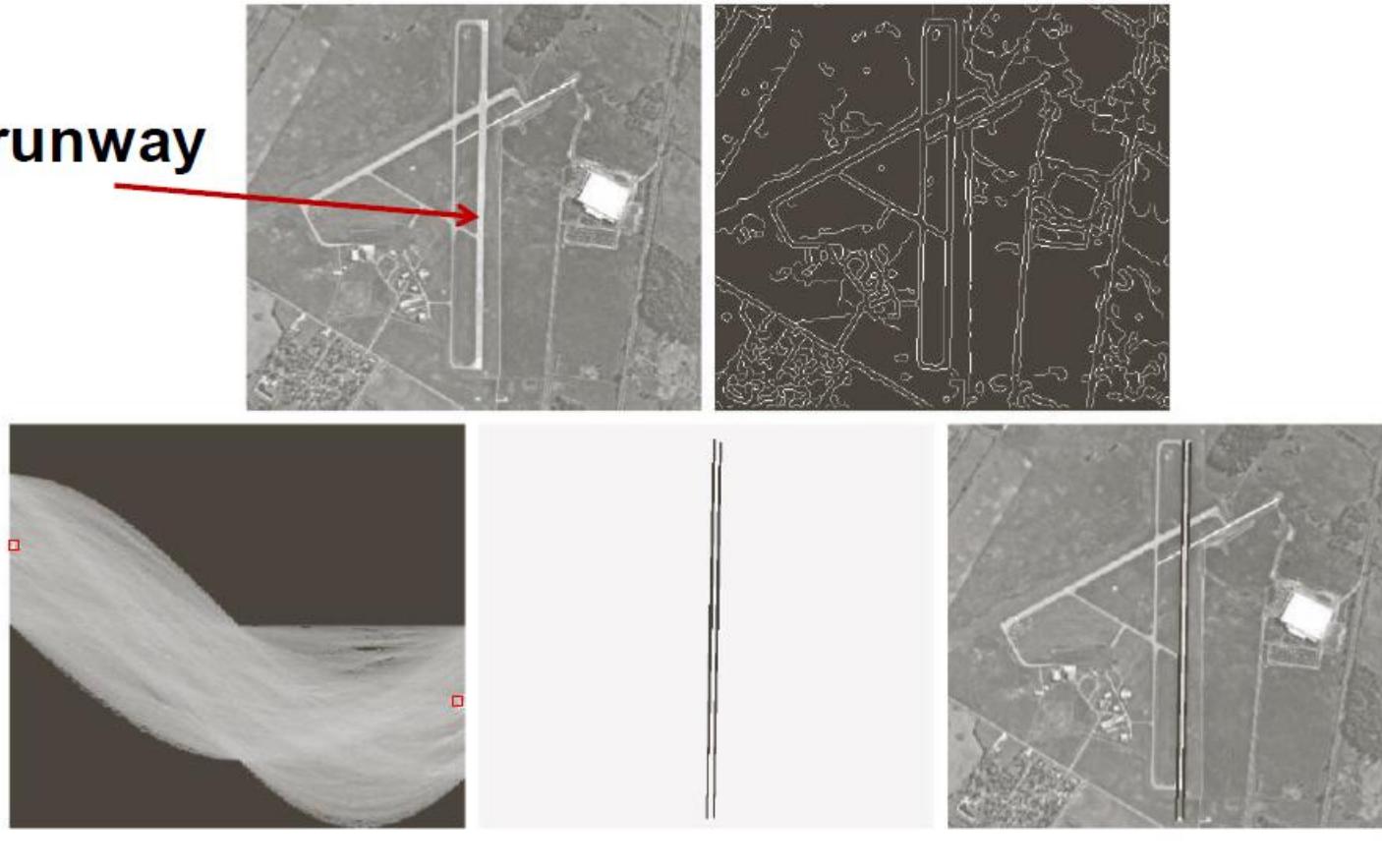
**FIGURE 10.33**

(a) Image of size  $101 \times 101$  pixels, containing five points.  
(b) Corresponding parameter space. (The points in (a) were enlarged to make them easier to see.)

A: green line  
B: red line

# Hough Transform: Real example

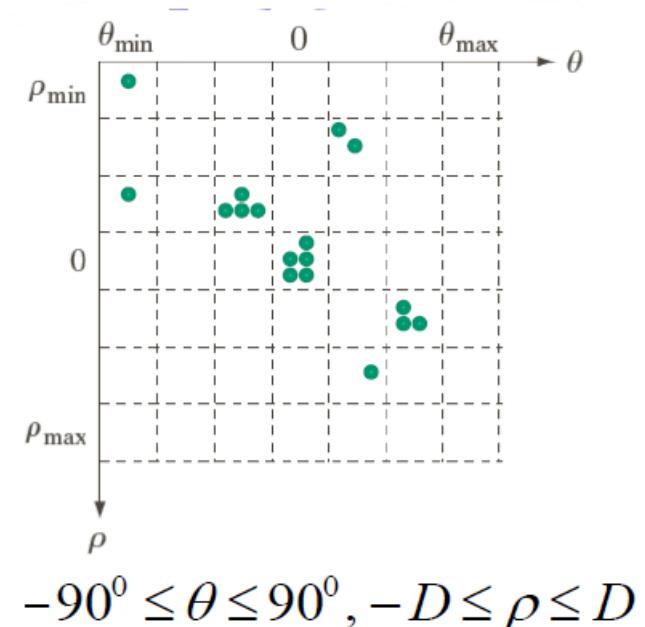
**Find the runway**



**FIGURE 10.34** (a) A  $502 \times 564$  aerial image of an airport. (b) Edge image obtained using Canny's algorithm. (c) Hough parameter space (the boxes highlight the points associated with long vertical lines). (d) Lines in the image plane corresponding to the points highlighted by the boxes). (e) Lines superimposed on the original image.

# Hough Transform: Real example

- Obtain a binary edge image using any edge detector
- Specify subdivisions in the  $\rho$ - $\theta$  plane
- For each edge point
  - For each  $\theta$  value in the accumulator cell
  - Update the accumulator cell with the corresponding  $\rho$
- Examine the counts of accumulator cell for high pixel concentrations
- For each chosen cell, link the pixels based on the continuity



$$-90^\circ \leq \theta \leq 90^\circ, -D \leq \rho \leq D$$

# Notes

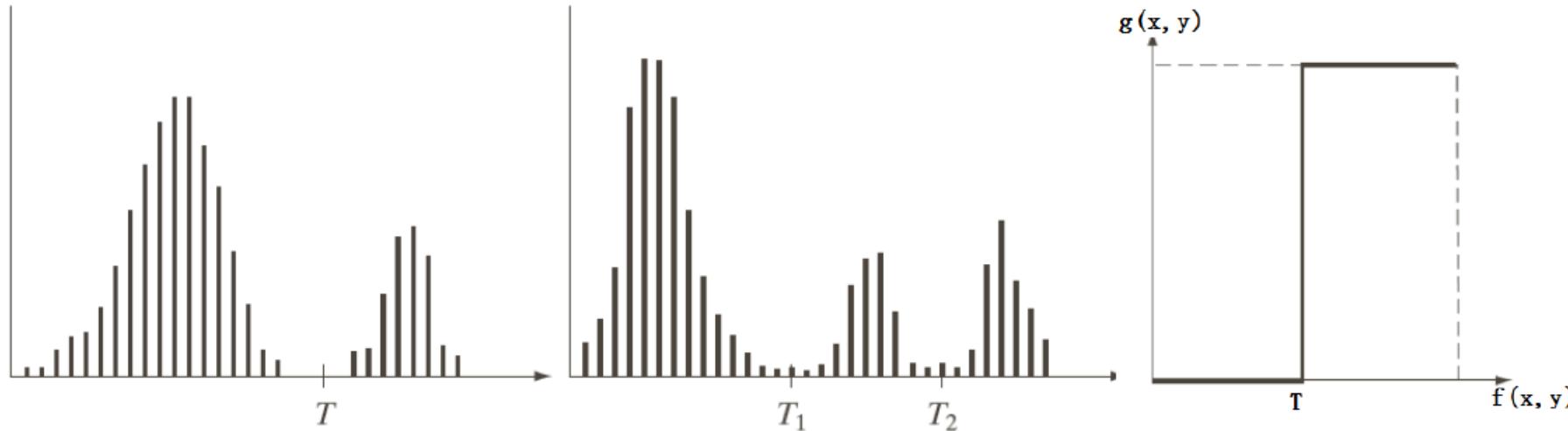
Thresholding is required to get edge pixels and realize edge-based segmentation

Boundary detection (edge linking) is still a hot research topic in image processing and computer vision

## **Incorporate domain knowledge:**

- Psychology rules on the boundary: smooth, convex, symmetry, closed, complete, etc
- Template shape information: hand, stomach, lip, etc
- Appearance information: region-based texture, intensity/color, etc.

# Intensity Thresholding



**Object/background segmentation:**

- A constant  $T$  - global thresholding
- A variable  $T$  - local/regional thresholding; adaptive thresholding
- Multiple  $T$  - multiple thresholding

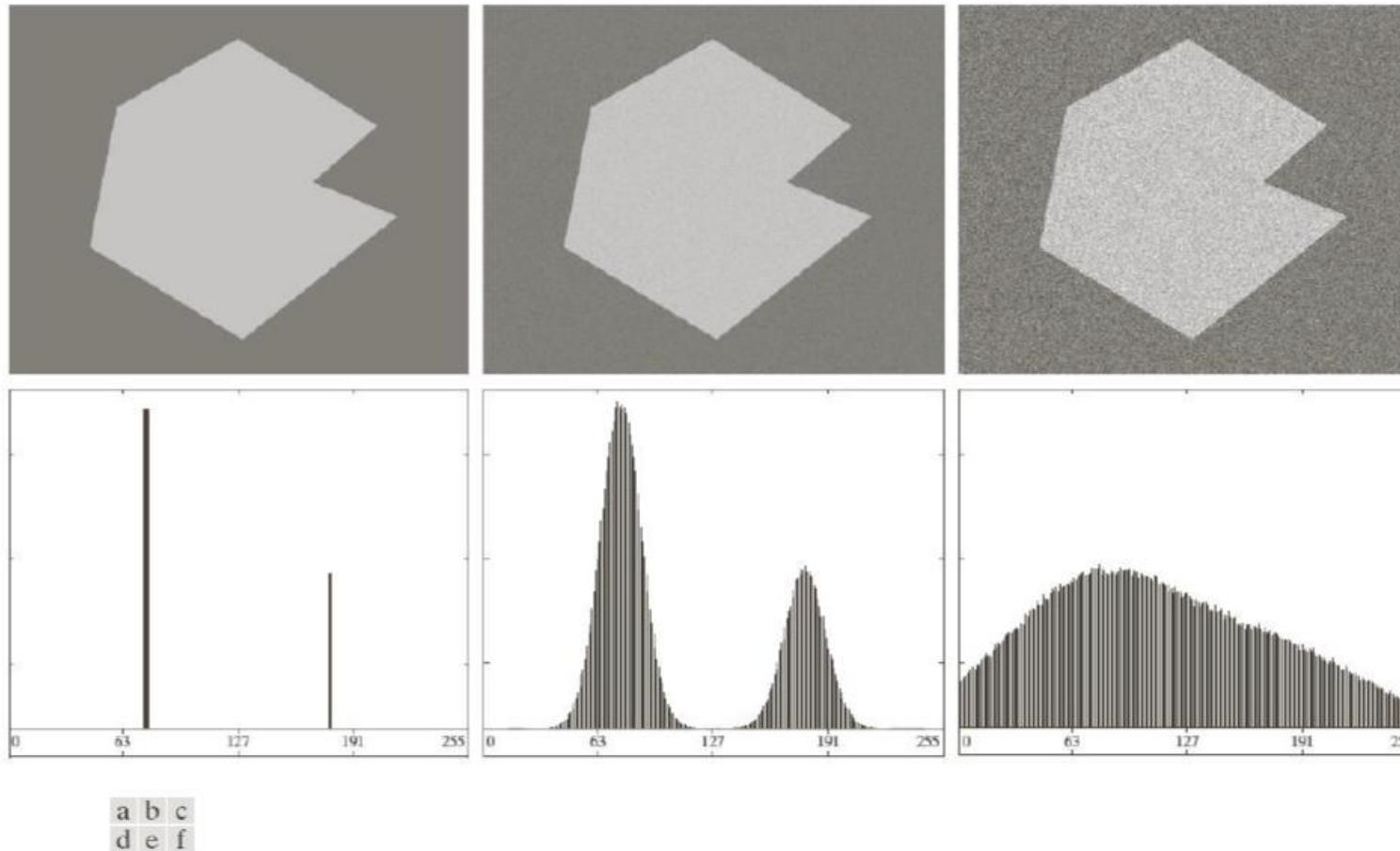
$$g(x, y) = \begin{cases} 1 & \text{if } f(x, y) > T \\ 0 & \text{if } f(x, y) \leq T \end{cases}$$

$$g(x, y) = \begin{cases} a & \text{if } f(x, y) > T_2 \\ b & \text{if } T_1 < f(x, y) \leq T_2 \\ c & \text{if } f(x, y) \leq T_1 \end{cases}$$

# Key Factors Affect Thresholding

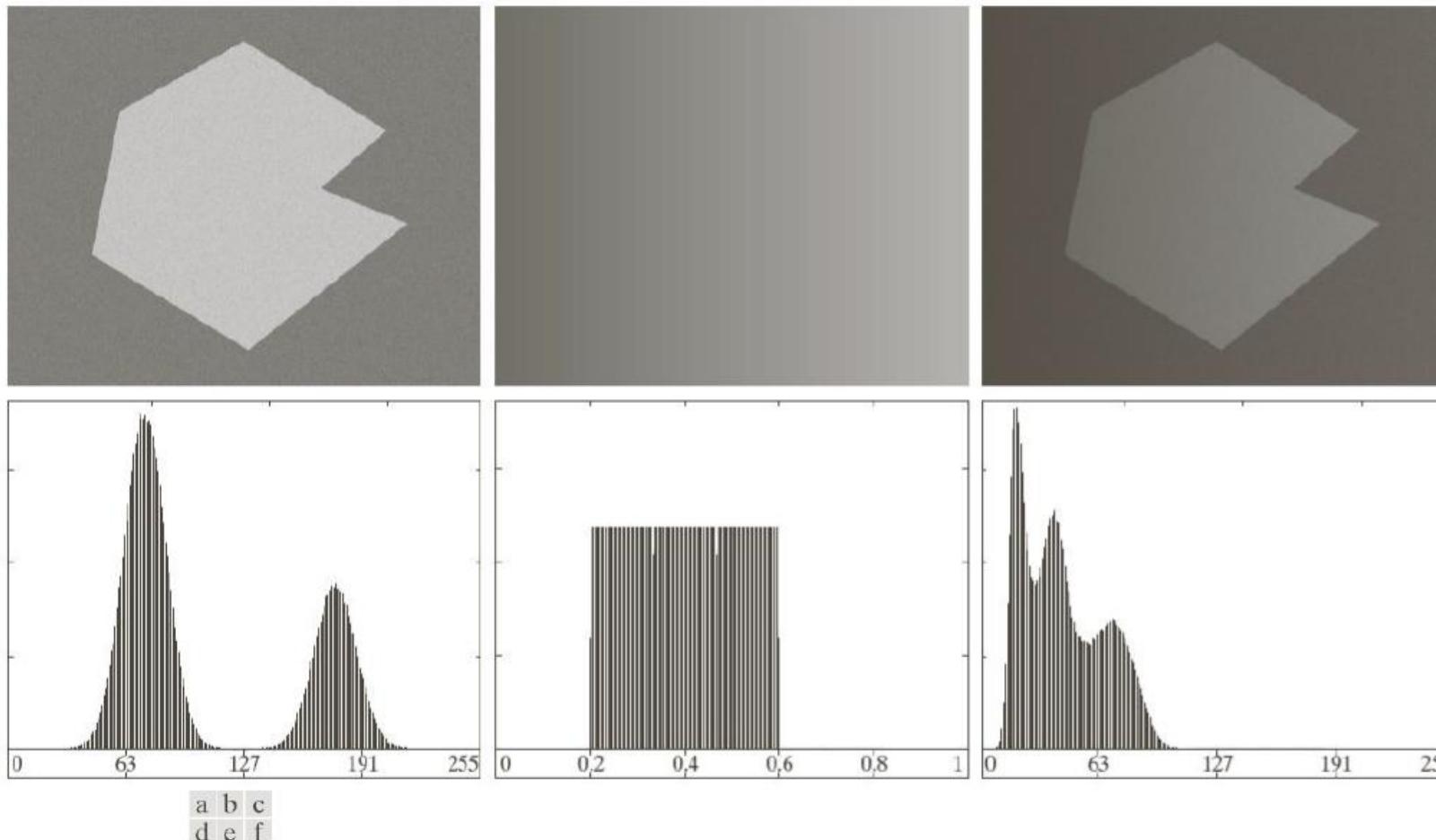
- Separation between peaks
- Noise level
- Relative sizes of objects and background
- Uniformity of the illumination source
- Uniformity of the reflectance of the image

# The Role of Noise in Image Thresholding



**FIGURE 10.36** (a) Noiseless 8-bit image. (b) Image with additive Gaussian noise of mean 0 and standard deviation of 10 intensity levels. (c) Image with additive Gaussian noise of mean 0 and standard deviation of 50 intensity levels. (d)–(f) Corresponding histograms.

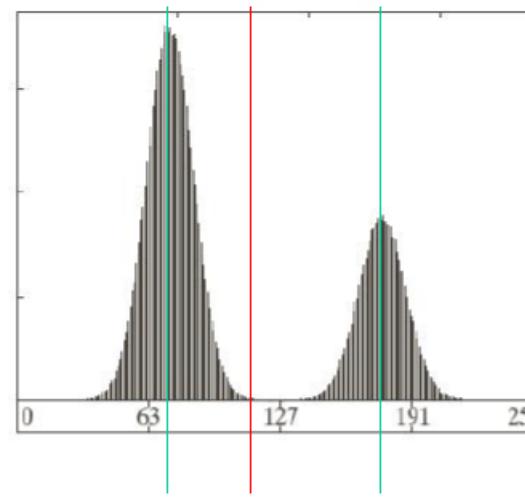
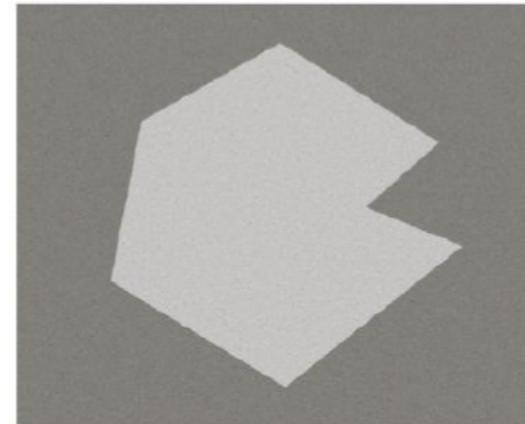
# The Role of Illumination in Thresholding



**FIGURE 10.37** (a) Noisy image. (b) Intensity ramp in the range [0.2, 0.6]. (c) Product of (a) and (b). (d)–(f) Corresponding histograms.

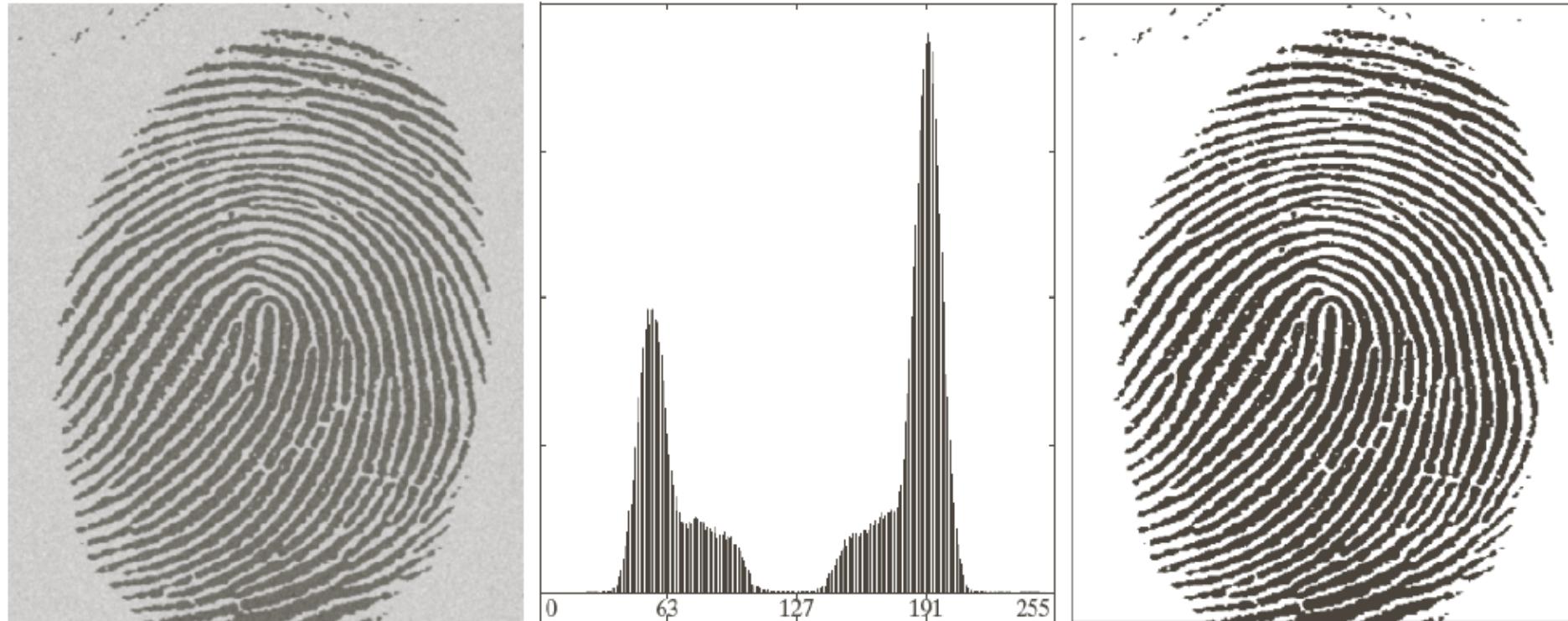
# How to Pick the Threshold

1. Select an initial estimate for the global threshold,  $T$ .
2. Segment the image using  $T$  by producing two groups of pixels
3. Compute the mean of these two groups of pixels, say  $m_1$  and  $m_2$ .
4. Update the threshold  $T = (m_1 + m_2)/2$
5. Repeat Steps 2 through 4 until convergence



$m_1$   $T$   $m_2$

# An Example



a b c

**FIGURE 10.38** (a) Noisy fingerprint. (b) Histogram. (c) Segmented result using a global threshold (the border was added for clarity). (Original courtesy of the National Institute of Standards and Technology.)

# Optimal global thresholding using Otsu's method

- Otsu's method (1979) maximizes between-class variance
- Based entirely on computations performed on histogram (1-D) of image

- Normalized histogram:  $p_i = \frac{n_i}{MN}$ ,  $i = 0, \dots, L - 1$ , with  $\sum_{i=0}^{L-1} p_i = 1$ ,  $p_i \geq 0$

- Select threshold  $T(k)$  to segment image → Class  $C_1$  (values  $[0, k]$ )  
Class  $C_2$  (values  $[k + 1, L - 1]$ )

$$\Rightarrow \text{Prob of pixel assigned to } C_1 \text{ (ie of } C_1 \text{ occurring): } P_1(k) = \sum_{i=0}^k p_i$$

$$\Rightarrow \text{Prob of pixel assigned to } C_2 \text{ (ie of } C_2 \text{ occurring): } P_2(k) = \sum_{i=k+1}^{L-1} p_i = 1 - P_1(k)$$

# Optimal global thresholding using Otsu's method

⇒ Mean value of pixels assigned to  $C_1$ :

$$\begin{aligned} m_1(k) &= \sum_{i=0}^k i P(i/C_1) \\ &= \sum_{i=0}^k i \underbrace{\frac{1}{P(C_1/i)}}_{=p_i} \underbrace{\frac{P(i)}{P(C_1)}}_{=P_1(k)} \quad (\text{Bayes' formula}) \\ &= \frac{1}{P_1(k)} \sum_{i=0}^k i p_i \end{aligned}$$

⇒ Mean value of pixels assigned to  $C_2$ :

$$\begin{aligned} m_2(k) &= \sum_{i=k+1}^{L-1} i P(i/C_2) \\ &= \frac{1}{P_2(k)} \sum_{i=k+1}^{L-1} i p_i \end{aligned}$$

# Optimal global thresholding using Otsu's method

⇒ **Mean intensity up to level  $k$ :**  $m(k) = \sum_{i=0}^k i p_i$

• **Global mean:**  $m_G = \sum_{i=0}^{L-1} i p_i$

$$\Rightarrow [P_1 m_1 + P_2 m_2 = m_G] \quad \text{and} \quad [P_1 + P_2 = 1] \quad (\text{ks temporarily omitted})$$

• “Goodness” of threshold at level  $k$  evaluated by dimensionless metric:

$$\eta = \frac{\sigma_B^2}{\sigma_G^2}$$

$$\sigma_G^2 = \sum_{i=0}^{L-1} (i - m_G)^2 p_i \quad (\textbf{Global variance})$$

$$\sigma_B^2 = P_1(m_1 - m_G)^2 + P_2(m_2 - m_G)^2 \quad (\textbf{Between-class variance})$$

**Also:**  $\sigma_B^2 = P_1 P_2 (m_1 - m_2)^2 = \frac{(m_G P_1 - m)^2}{P_1(1 - P_1)} \leftarrow \textbf{most efficient}$

# Optimal global thresholding using Otsu's method

**Reintroduce  $k \rightsquigarrow$  final results:**

$$\eta(k) = \frac{\sigma_B^2(k)}{\sigma_G^2}$$
$$\sigma_B^2(k) = \frac{[m_G P_1(k) - m(k)]^2}{P_1(k)[1 - P_1(k)]}$$

**Optimum threshold is  $k^*$  that maximizes  $\sigma_B^2(k)$ :**

$$\sigma_B^2(k^*) = \max_{k \in [0, L-1]} \sigma_B^2(k)$$

**Segmentation is as follows:**

$$g(x, y) = \begin{cases} 1, & \text{if } f(x, y) > k^* \\ 0, & \text{if } f(x, y) \leq k^* \end{cases},$$

**The metric  $\eta(k^*)$  can be used to obtain a quantitative estimate of the separability of the classes and has values in the range:**

$$\eta(k^*) \in [0, 1]$$

# Optimal global thresholding using Otsu's method

## Summary of Otsu's algorithm

(1) Compute normalized histogram of the image,  $p_i = \frac{n_i}{MN}$ ,  $i = 0, \dots, L - 1$

(2) Compute cumulative sums,  $P_1(k) = \sum_{i=0}^k p_i$ ,  $k = 0, \dots, L - 1$

(3) Compute cumulative means,  $m(k) = \sum_{i=0}^k i p_i$ ,  $k = 0, \dots, L - 1$

(4) Compute global intensity mean,  $m_G = \sum_{i=0}^{L-1} i p_i$

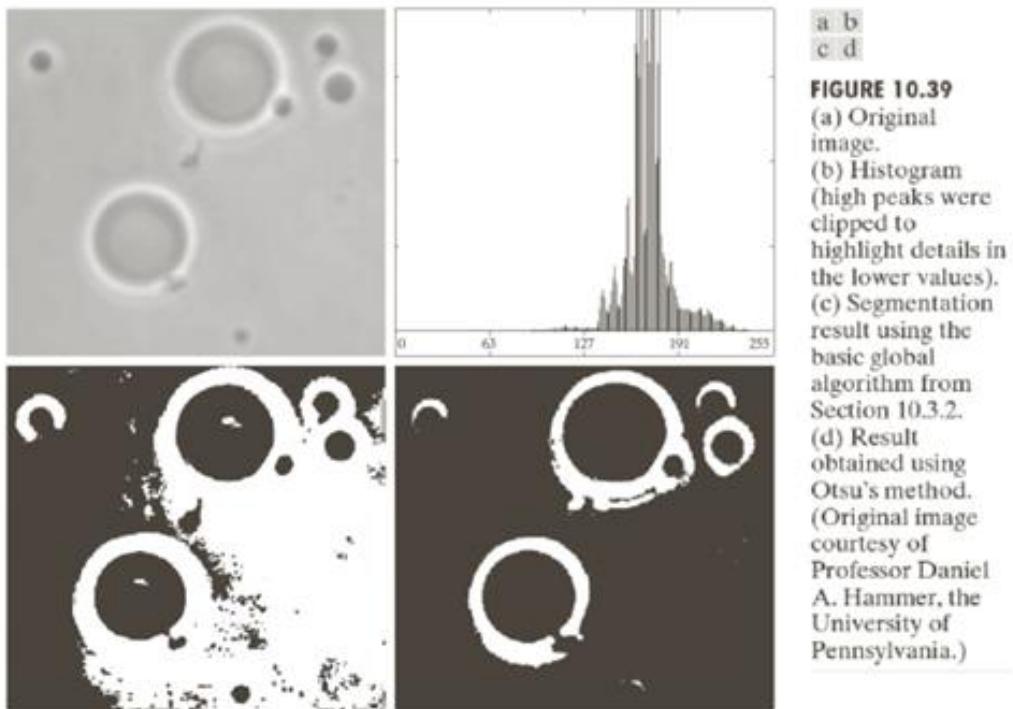
(5) Compute between-class variance,  $\sigma_B^2(k) = \frac{[m_G P_1(k) - m(k)]^2}{P_1(k)[1 - P_1(k)]}$ ,  $k = 0, \dots, L - 1$

(6) Obtain the Otsu threshold,  $k^*$ , that is the value of  $k$  for which  $\sigma_B^2(k^*)$  is a maximum – if this maximum is not unique, obtain  $k^*$  by averaging the values of  $k$  that correspond to the various maxima detected

(7) Obtain the separability measure  $\eta(k^*) = \frac{\sigma_B^2(k^*)}{\sigma^2}$

# Optimal global thresholding using Otsu's method

## Optimal global thresholding using Otsu's method



For the above image... Threshold found by basic algorithm:  $T = 161$ ;

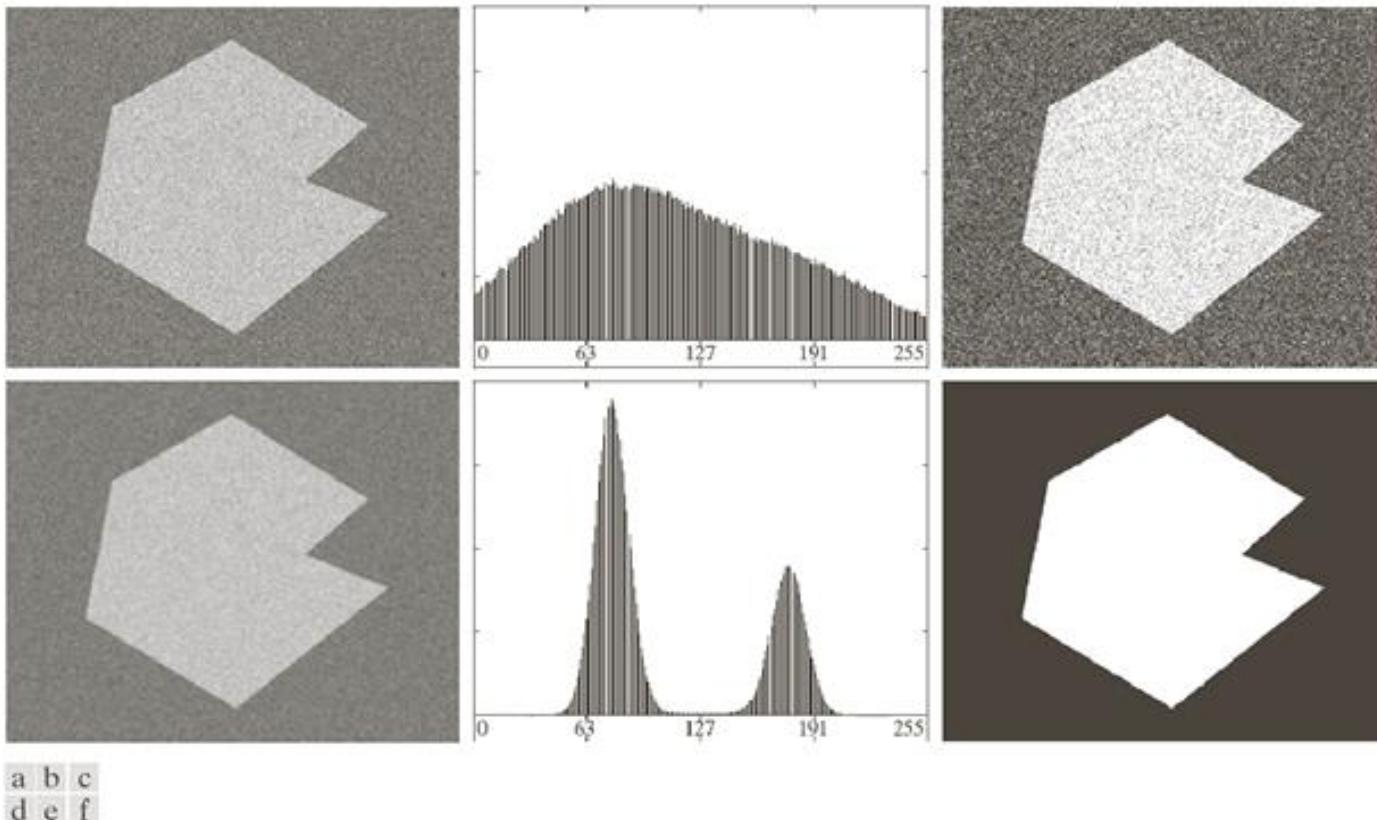
Threshold found by Otsu's algorithm:  $T = 181$  (Sep measure:  $\eta = 0.467$ )

For fingerprint image... basic and Otsu's algorithm:  $T = 125$  ( $\eta = 0.944$ )

Slide credit: Milton Maritz

# Optimal global thresholding using Otsu's method

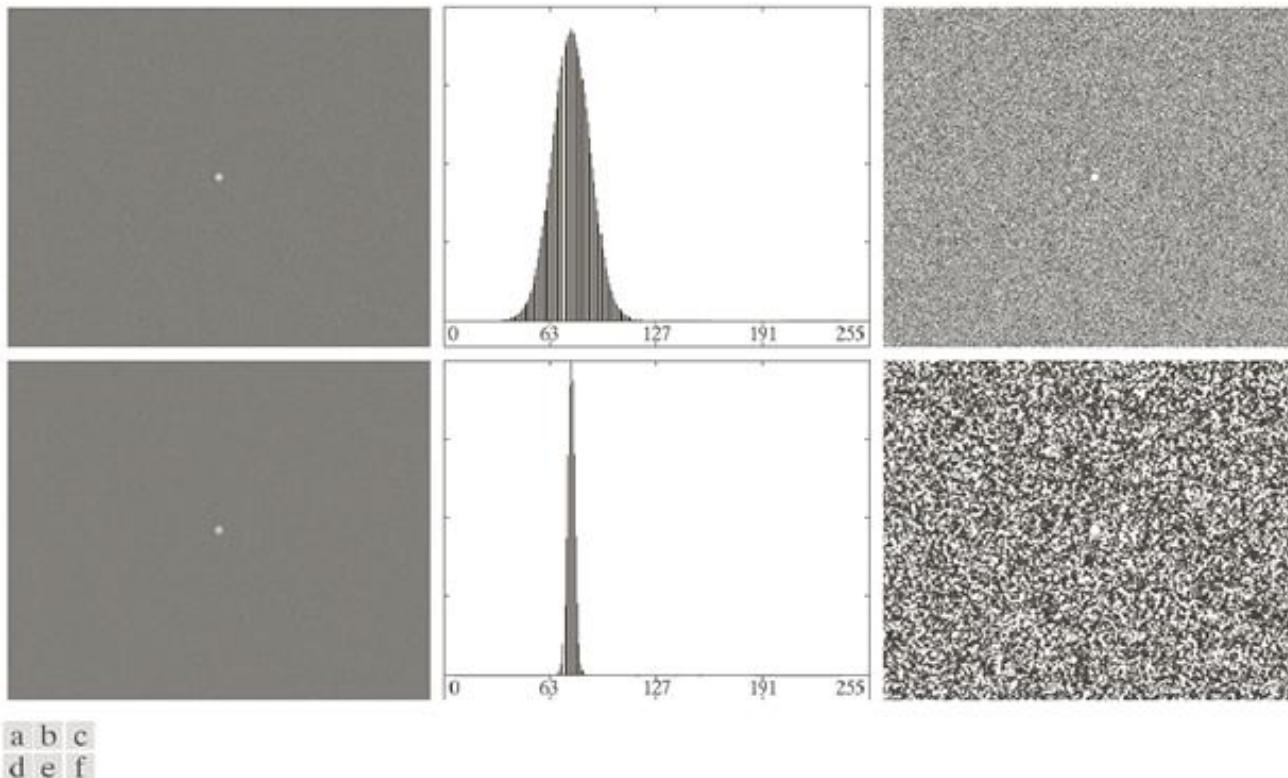
Using image smoothing to improve global thresholding



**FIGURE 10.40** (a) Noisy image from Fig. 10.36 and (b) its histogram. (c) Result obtained using Otsu's method. (d) Noisy image smoothed using a  $5 \times 5$  averaging mask and (e) its histogram. (f) Result of thresholding using Otsu's method.

# Optimal global thresholding using Otsu's method

**Small object ⇒ thresholding fails even after smoothing**



**FIGURE 10.41** (a) Noisy image and (b) its histogram. (c) Result obtained using Otsu's method. (d) Noisy image smoothed using a  $5 \times 5$  averaging mask and (e) its histogram. (f) Result of thresholding using Otsu's method. Thresholding failed in both cases.

# Multiple Thresholds

**Otsu's method extended to  $K$  classes,  $C_1, C_2, \dots, C_K$**

**Between-class variance:**

$$\sigma_B^2 = \sum_{k=1}^K P_k(m_k - m_G)^2, \quad \text{where } P_k = \sum_{i \in C_k} p_i \quad \text{and} \quad m_k = \sum_{i \in C_k} i p_i$$

**The  $K$  classes are separated by  $K-1$  thresholds whose values  $k_1^*, k_2^*, \dots, k_{K-1}^*$  maximize**

$$\sigma_B^2(k_1^*, k_2^*, \dots, k_{K-1}^*) = \max_{0 < k_1 < k_2 < \dots < k_{K-1} < L-1} \sigma_B^2(k_1, k_2, \dots, k_{K-1})$$

**Otsu's method extended to three classes,  $C_1, C_2, C_3$**

**Between-class variance:**

$$\sigma_B^2 = P_1(m_1 - m_G)^2 + P_2(m_2 - m_G)^2 + P_3(m_3 - m_G)^2$$

$$P_1 = \sum_{i=0}^{k_1} p_i, \quad P_2 = \sum_{i=k_1+1}^{k_2} p_i, \quad P_3 = \sum_{i=k_2+1}^{L-1} p_i$$

# Multiple Thresholds

$$m_1 = \frac{1}{P_1} \sum_{i=0}^{k_1} ip_i, \quad m_2 = \frac{1}{P_2} \sum_{i=k_1+1}^{k_2} ip_i, \quad m_3 = \frac{1}{P_3} \sum_{i=k_2+1}^{L-1} ip_i$$

**The following relationships also hold:**

$$P_1 m_1 + P_2 m_2 + P_3 m_3 = m_G, \quad P_1 + P_2 + P_3 = 1$$

**The three classes are separated by two thresholds whose values  $k_1^*$  and  $k_2^*$  maximize**

$$\sigma_B^2(k_1^*, k_2^*) = \max_{0 < k_1 < k_2 < L-1} \sigma_B^2(k_1, k_2)$$

## Algorithm

- (1) Let  $k_1 = 1$
- (2) Increment  $k_2$  through all its values greater than  $k_1$  and less than  $L - 1$
- (3) Increment  $k_1$  to its next value and increment  $k_2$  through all its values greater than  $k_1$  and less than  $L - 1$
- (4) Repeat until  $k_1 = L - 3$

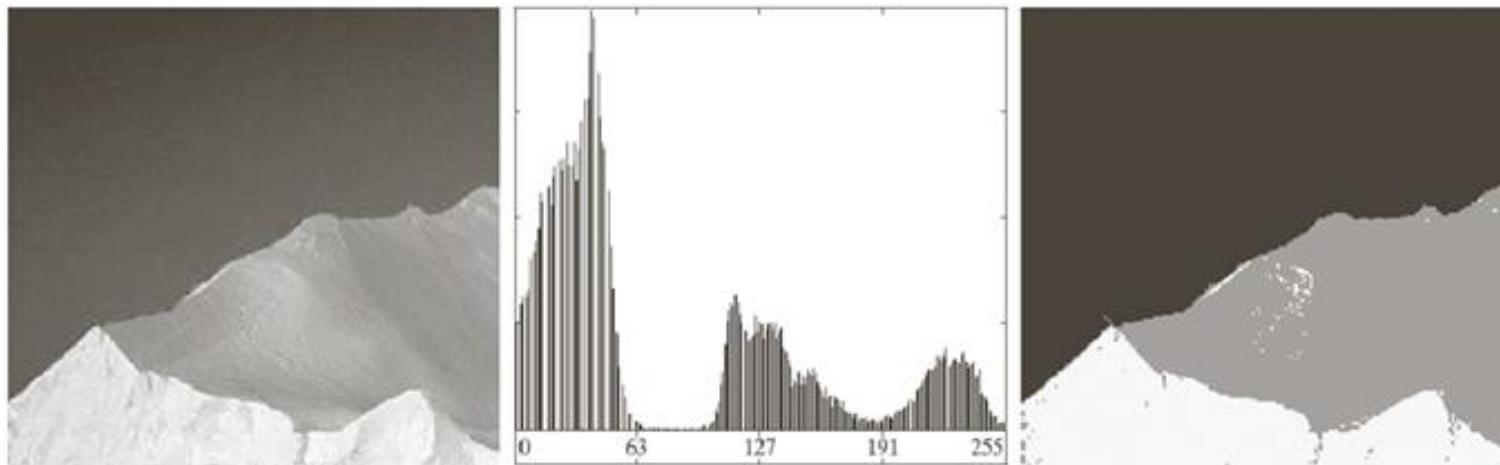
This results in a 2-D array  $\sigma_B^2(k_1, k_2)$ , after which  $k_1^*$  and  $k_2^*$  that correspond to the maximum value in the array, are selected

# Multiple Thresholds

**Segmentation is as follows:**  $g(x, y) = \begin{cases} a, & \text{if } f(x, y) \leq k_1^* \\ b, & \text{if } k_1^* < f(x, y) \leq k_2^* \\ c, & \text{if } f(x, y) > k_2^* \end{cases}$

**Separability measure:**  $\eta(k_1^*, k_2^*) = \frac{\sigma_B^2(k_1^*, k_2^*)}{\sigma_G^2}$

## Example 10.19: Multiple global thresholding



a b c

**FIGURE 10.45** (a) Image of iceberg. (b) Histogram. (c) Image segmented into three regions using dual Otsu thresholds. (Original image courtesy of NOAA.)

Slide credit: Milton Maritz

# Variable Thresholding

**Examples:** (1)  $T_{xy} = a \sigma_{xy} + b m_{xy}$  (2)  $T_{xy} = a \sigma_{xy} + b m_G$

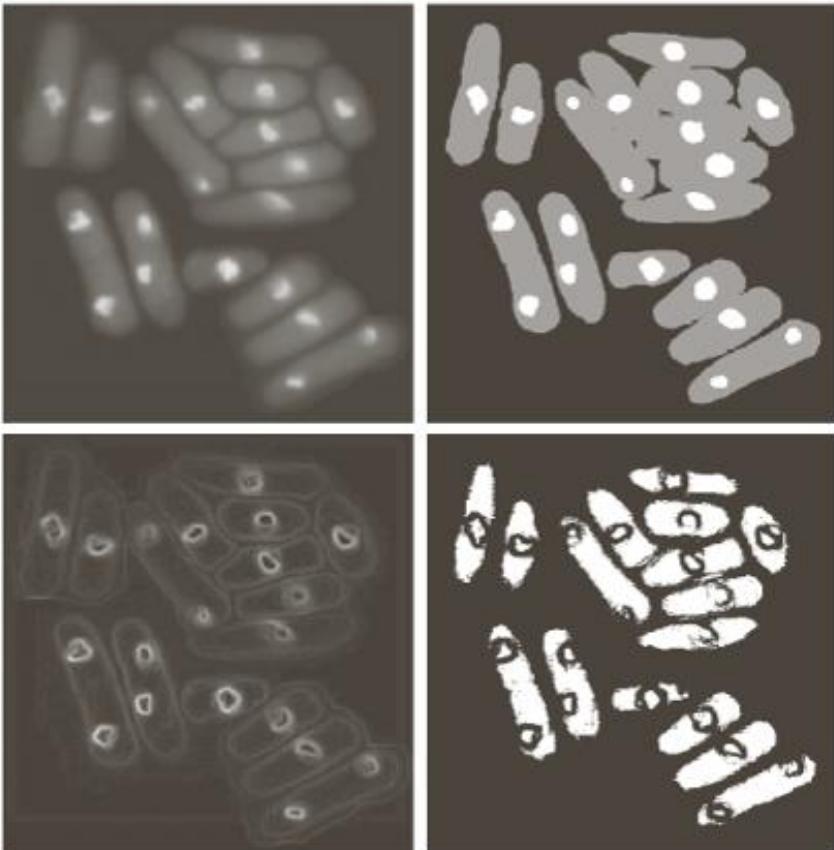
$$g(x, y) = \begin{cases} 1, & \text{if } f(x, y) > T_{xy} \\ 0, & \text{if } f(x, y) \leq T_{xy} \end{cases}$$

$$g(x, y) = \begin{cases} 1, & \text{if } Q(\text{local parameters}) \text{ is true} \\ 0, & \text{if } Q(\text{local parameters}) \text{ is false} \end{cases}$$

$$Q(\sigma_{xy}, m_{xy}) = \begin{cases} \text{true,} & \text{if } f(x, y) > a \sigma_{xy} \text{ AND } f(x, y) > b m_{xy} \\ \text{false,} & \text{otherwise} \end{cases}$$

# Variable Thresholding

## Variable thresholding based on local image properties



a b  
c d

**FIGURE 10.48**  
(a) Image from Fig. 10.43.  
(b) Image segmented using the dual thresholding approach discussed in Section 10.3.6.  
(c) Image of local standard deviations.  
(d) Result obtained using local thresholding.

# Variable Thresholding

## Variable thresholding

$$m(k+1) = \frac{1}{n} \sum_{i=k+2-n}^{k+1} z_i = m(k) + \frac{1}{n}(z_{k+1} - z_{k-n})$$

$$g(x, y) = \begin{cases} 1, & \text{if } f(x, y) > T_{xy} \\ 0, & \text{if } f(x, y) \leq T_{xy} \end{cases}, \quad T_{xy} = bm_{xy}$$

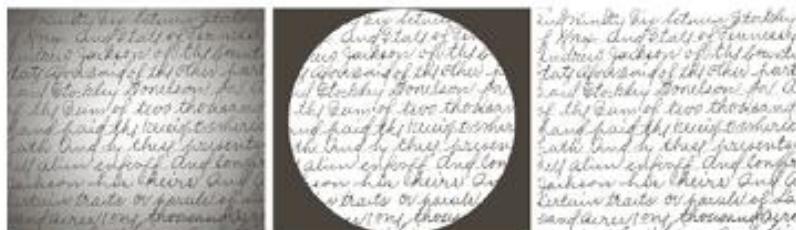


FIGURE 10.49 (a) Text image corrupted by spot shading. (b) Result of global thresholding using Otsu's method. (c) Result of local thresholding using moving averages.

(10.3.8 Multi-variable thresholding:  
See 6.7.2)

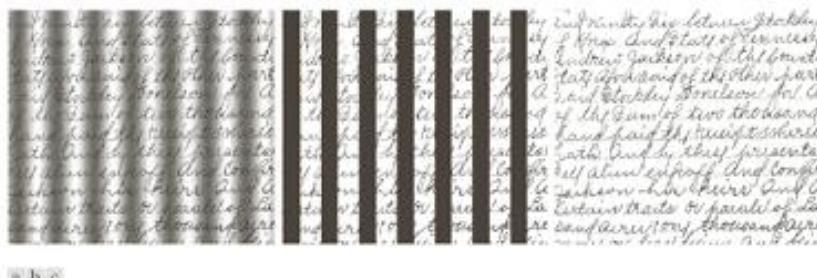


FIGURE 10.50 (a) Text image corrupted by sinusoidal shading. (b) Result of global thresholding using Otsu's method. (c) Result of local thresholding using moving averages.

## Moving averages

Slide credit: Milton Maritz

# Region-Based Segmentation

- **Region growing**
- **Region splitting and merging**

# Region Growing Algorithm

- A procedure that groups pixels or subregions into larger regions based on predefined criteria for growth
- Start with a set of “seed” points and grow regions by appending neighboring pixels that satisfy the given criteria
  - Connectivity
  - Stopping rules
    - Local criteria: intensity values, textures, color
    - Prior knowledge: size and shape of the object

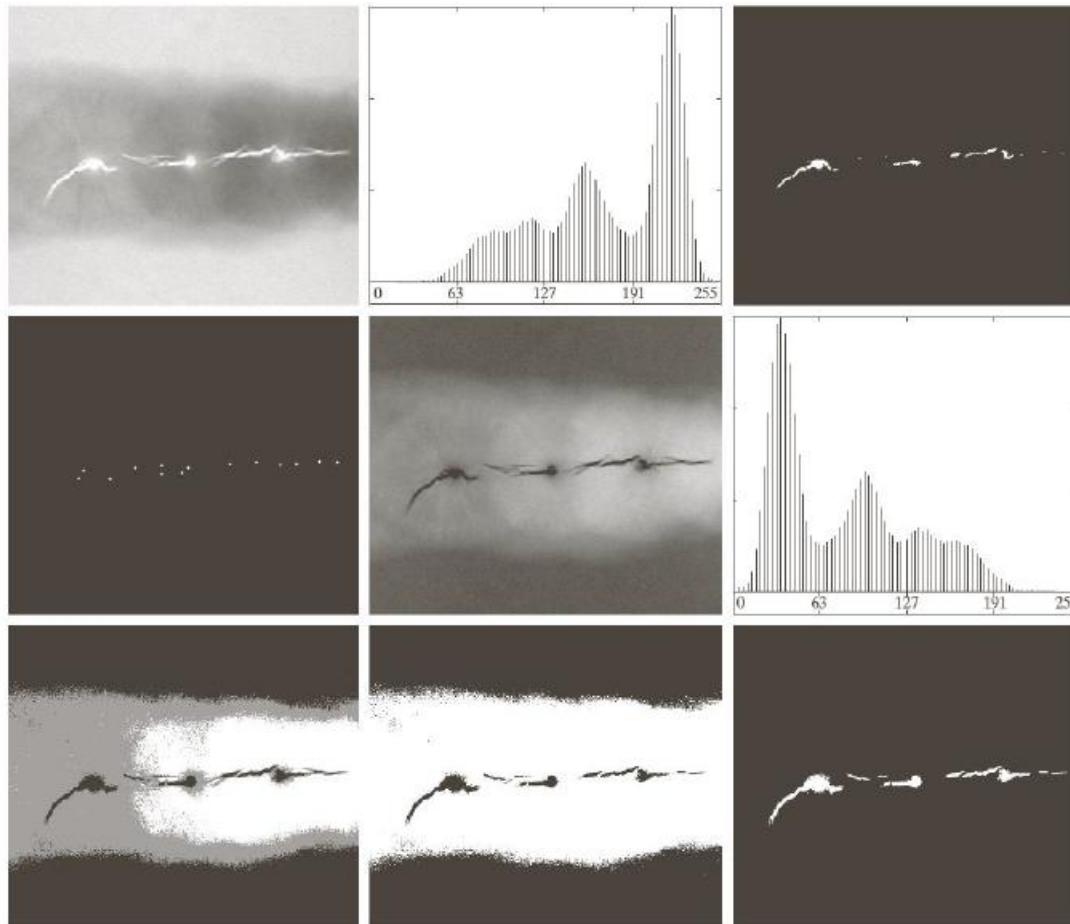
# Region Growing Algorithm

**Algorithm** (based on 8-connectivity):

- $f(x, y) \equiv$  **input image array**;
- $S(x, y) \equiv$  **seed array (1s at locations of seed points and 0s elsewhere)**;
- $Q \equiv$  **predicate to be applied at each location**  $(x, y)$

- (1) Find all connected components in  $S(x, y)$  and erode each connected component to one pixel; label all such pixels found as 1. All other pixels in  $S$  are labelled 0.
- (2) Form an image  $f_Q$  such that, at a pair of coordinates  $(x, y)$ , let  $f_Q(x, y) = 1$  if the input image satisfies the given predicate,  $Q$ , at those coordinates; otherwise let  $f_Q(x, y) = 0$ .
- (3) Let  $g$  be an image formed by appending to each seed point in  $S$  all the 1-valued points in  $f_Q$  that are 8-connected to that seed point.
- (4) Label each connected component in  $g$  with a different region label (e.g. 1, 2, 3, ...). This constitutes the segmented image obtained by region growing.

# An Example



**FIGURE 10.51** (a) X-ray image of a defective weld. (b) Histogram. (c) Initial seed image. (d) Final seed image (the points were enlarged for clarity). (e) Absolute value of the difference between (a) and (c). (f) Histogram of (e). (g) Difference image thresholded using dual thresholds. (h) Difference image thresholded with the smallest of the dual thresholds. (i) Segmentation result obtained by region growing. (Original image courtesy of X-TEK Systems, Ltd.)

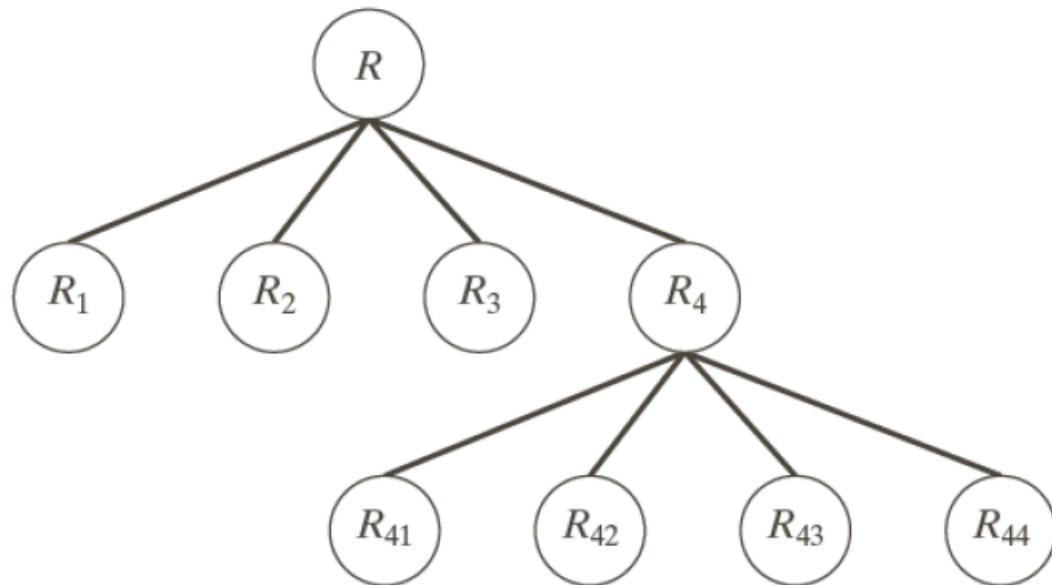
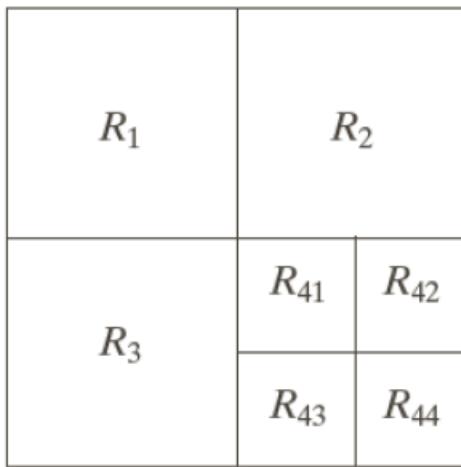
$$Q = \begin{cases} \text{True} & \text{if } |I_{seed} - I(x, y)| \leq T \\ \text{False} & \text{otherwise} \end{cases}$$

# Region-Splitting and Merging Algorithm

Step1: Keep splitting the region while  $Q(R_i) = \text{FALSE}$  and  $R_i > \text{min Size}$

Step 2: Merge the subregions while  $Q(R_i \cup R_j) = \text{TRUE}$

a b  
**FIGURE 10.52**  
(a) Partitioned image.  
(b) Corresponding quadtree.  $R$  represents the entire image region.



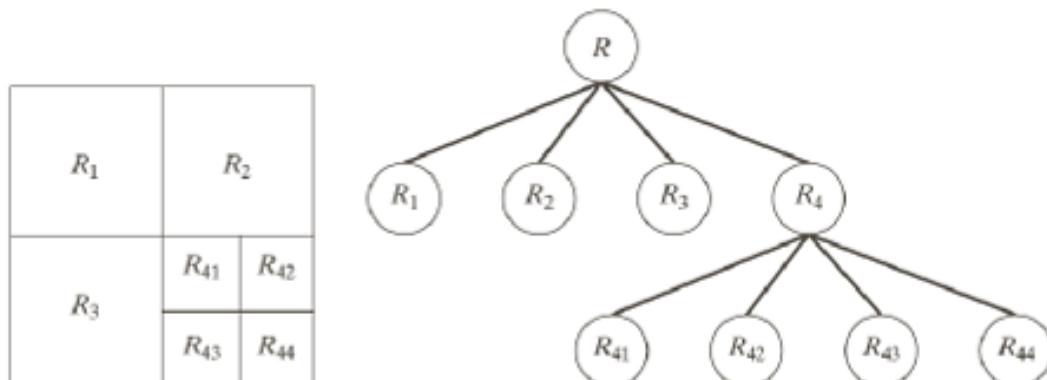
# Region-Splitting and Merging Algorithm

Subdivide an image initially into a set of arbitrary, disjoint regions and then merge and/or split the regions in an attempt to satisfy the necessary conditions

Let  $R$  represent entire image region and select a predicate  $Q$

- (1) Split into four disjoint quadrants any region  $R_i$  for which  $Q(R_i) = \text{FALSE}$
- (2) Merge any adjacent regions  $R_j$  and  $R_k$  for which  $Q(R_j \cup R_k) = \text{TRUE}$
- (3) Stop when no further merging or splitting is possible

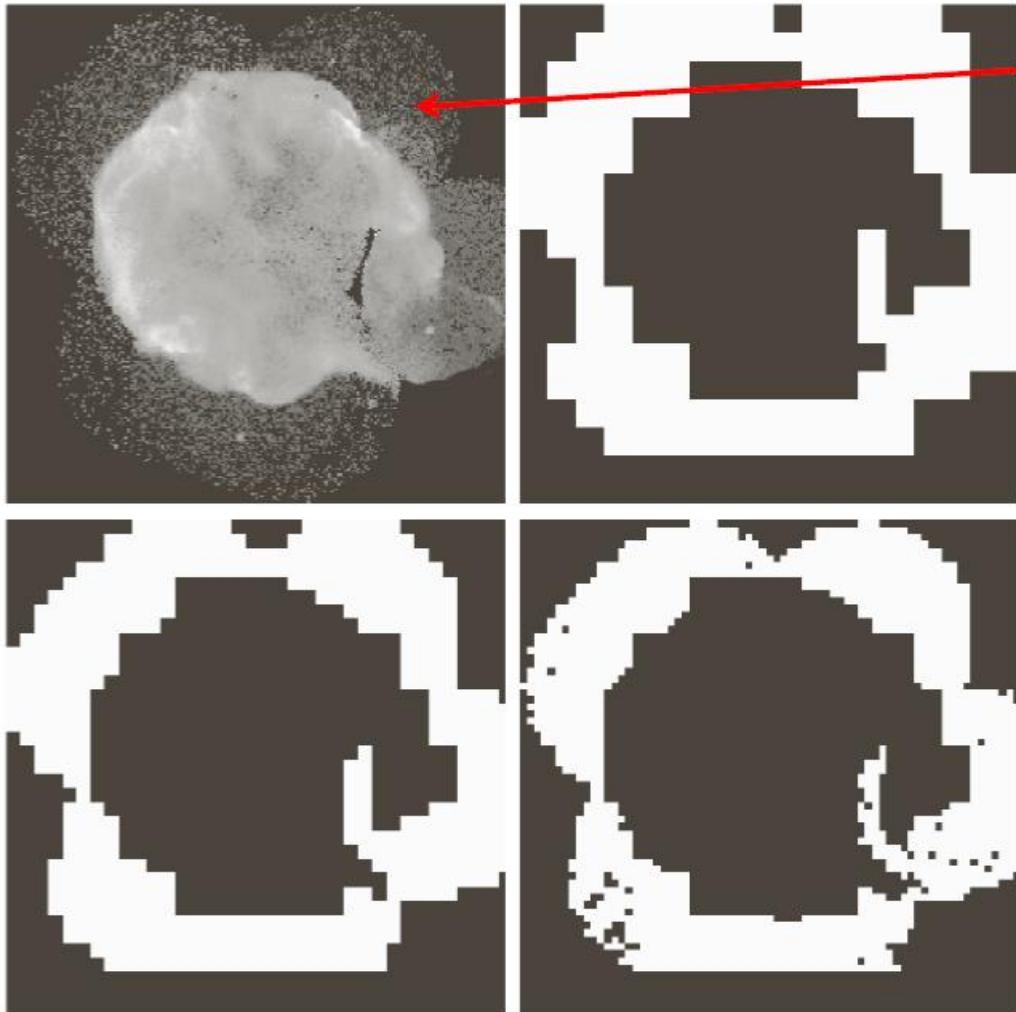
Several variations of this theme are possible



a b

**FIGURE 10.52**  
(a) Partitioned image.  
(b) Corresponding quadtree.  $R$  represents the entire image region.

# An Example



a  
b  
c  
d

**FIGURE 10.53**  
(a) Image of the Cygnus Loop supernova, taken in the X-ray band by NASA's Hubble Telescope.  
(b)–(d) Results of limiting the smallest allowed quadregion to sizes of  $32 \times 32$ ,  $16 \times 16$ , and  $8 \times 8$  pixels, respectively.  
(Original image courtesy of NASA.)

Extract the outer ring

$$Q = \begin{cases} \text{TRUE} & \text{if } \sigma > a \text{ \& } 0 < m < b \\ \text{FALSE} & \text{otherwise} \end{cases}$$

where  $\sigma_R$  and  $m_R$  are the standard deviation and mean of the region being processed, and  $a$  and  $b$  are nonnegative constants.

# Advanced Approaches for Image Segmentation

**Image segmentation is still a research problem that is being investigated by many researchers**

**General-purpose image segmentation is far from well solved**

- Image segmentation by K-means clustering
- Image segmentation with Graphic Models (MRF, CRF, etc.)

**Semantic Segmentation with Deep Learning**

<http://blog.qure.ai/notes/semantic-segmentation-deep-learning-review>

# Image Segmentation: K-Means Clustering

1. **Initialize the algorithm:** Specify an initial set of means,  $\mathbf{m}_i(1)$ ,  $i = 1, 2, \dots, k$ .
2. **Assign samples to clusters:** Assign each sample to the cluster set whose mean is the closest (ties are resolved arbitrarily, but samples are assigned to only *one* cluster):

$$\mathbf{z}_q \rightarrow C_i \text{ if } \|\mathbf{z}_q - \mathbf{m}_i\|^2 < \|\mathbf{z}_q - \mathbf{m}_j\|^2 \quad j = 1, 2, \dots, k \quad (j \neq i); \quad q = 1, 2, \dots, Q$$

3. **Update the cluster centers (means):**

$$\mathbf{m}_i = \frac{1}{|C_i|} \sum_{\mathbf{z} \in C_i} \mathbf{z} \quad i = 1, 2, \dots, k$$

where  $|C_i|$  is the number of samples in cluster set  $C_i$ .

4. **Test for completion:** Compute the Euclidean norms of the differences between the mean vectors in the current and previous steps. Compute the residual error,  $E$ , as the sum of the  $k$  norms. Stop if  $E \leq T$ , where  $T$  a specified, nonnegative threshold. Else, go back to Step 2.

$$\arg \min_C \left( \sum_{i=1}^k \sum_{\mathbf{z} \in C_i} \|\mathbf{z} - \mathbf{m}_i\|^2 \right)$$

# Image Segmentation: K-Means Clustering

a b

**FIGURE 10.49**

(a) Image of size  $688 \times 688$  pixels.  
(b) Image segmented using the  $k$ -means algorithm with  $k = 3$ .



# Additional Notes and Readings

**Image segmentation is a fundamental problem in image processing and computer vision**

**There are huge number of algorithms available for different applications**

**General-purpose image segmentation is far from well solved**

**It is still a research problem that is being investigated by many researchers**

**Deep-learning-based Tools and resources:**

[Image Segmentation with Deep Learning \(Guide\) - viso.ai](#) [Click here](#)

[Segment Anything | Meta AI \(segment-anything.com\)](#) [Click here](#)

[Paper tables with annotated results for Segment Everything Everywhere All at Once | Papers With Code](#) [Click here](#)