

# Homework assignment 4 – Symbolic Systems I – UvA, June 2020

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## Question 1

TBox:

- $$\begin{aligned}
 & Republic \sqcup ConstitutionalMonarchy \sqsubseteq Country & (1) \\
 & (Person \sqcap Country) \sqcup (Person \sqcap City) \sqcup (Country \sqcap City) \sqsubseteq \perp & (2) \\
 & Republic \sqcap ConstitutionalMonarchy \sqsubseteq \perp & (3) \\
 & County \sqsubseteq \exists hasCapital. City & (4) \\
 & County \sqsubseteq \forall hasCapital. City & (5) \\
 & County \sqcup City \sqsubseteq \exists hasHead. Person & (6) \\
 & President \sqcup Monarch \sqcup Mayor \sqsubseteq Person & (7) \\
 & ConstitutionalMonarchy \sqsubseteq \forall hasHead. Monarch & (8) \\
 & City \sqsubseteq \forall hasHead. Mayor & (9)
 \end{aligned}$$

ABox:

- $$\begin{aligned}
 & 'NL' : Country \sqcap ConstitutionalMonarchy & (10) \\
 & ('NL', 'WillemAlexander') : hasHead & (11) \\
 & ('NL', 'Amsterdam') : hasCapital & (12) \\
 & ('Amsterdam', 'Femke') : hasHead & (13)
 \end{aligned}$$

Statements expressed as general concept inclusion or assertion in ALC:

- $$\begin{aligned}
 & Country \sqcap Republic \sqsubseteq \neg \exists hasHead. Mayor & (14) \\
 & 'Femke' : Mayor & (15) \\
 & 'NL' : \neg Republic & (16) \\
 & 'WillemAlexander' : \neg Mayor & (17)
 \end{aligned}$$

In the following we will show whether (14) to (17) follow from the knowledge base.

(14):  $Country \sqcap Republic \sqsubseteq \neg \exists hasHead. Mayor$

We know from (9) that *City* is only connected by *hasHead* to objects in *Mayor*. So first we must verify that *Country* or *Republic* does not overlap with *City* (verification of one of the two is enough, since we take their intersection). From (2) we have that *Country* and *City* are disjunct, so  $Country \sqcap Republic$  does not overlap with *City*. However, we cannot conclude (14) yet, since both *Country* and *Republic* can still be connected by *hasHead* to objects in *Mayor* from themselves. To take away this possibility, we must say that either *Country* or *Republic* is only connected by *hasHead* to objects in a concept other than (and disjunct from) *Mayor*. The following two lines will therefore allow us to conclude (14) from the knowledge base:

- $$\begin{aligned}
 & President \sqcap Mayor \sqsubseteq \perp & (18) \\
 & Republic \sqsubseteq \forall hasHead. President & (19)
 \end{aligned}$$

(15):  $'Femke' : Mayor$

From (10), (12) and (13) we have that *'NL'* is in concept *Country*, connected by *hasCapital* to *'Amsterdam'*, which in turn is connected by *hasHead* to *'Femke'*. Now, since (5) states that objects in *Country* are only related by *hasCapital* to objects in *City*, we can conclude that *'Amsterdam'* is in *City*. And since (9) states that objects in *City* are only related by *hasHead* to objects in *Mayor*, we can conclude that *'Femke'* is in *Mayor*, without additional set inclusions or assertions.

(16): ‘NL’:  $\neg \text{Republic}$

According to (10), ‘NL’ is in *ConstitutionalMonarchy*. Given (3), we know that *ConstitutionalMonarchy* and *Republic* are disjoint, so we can conclude (16) from the knowledge base.

(17): ‘WillemAlexander’:  $\neg \text{Mayor}$

According to (10) and (11), ‘NL’ is in *ConstitutionalMonarchy*, and is connected by *hasHead* to ‘WillemAlexander’. Since (8) states that objects in *ConstitutionalMonarchy* are only connected by *hasHead* to objects in *Monarch*, we can conclude that ‘WillemAlexander’ is in *Monarch*. However we cannot conclude (17) yet, because it might be that *Monarch* and *Mayor* are overlapping. We can conclude (17) after ensuring that *Monarch* and *Mayor* are disjoint, i.e. after adding:

$$\text{Monarch} \sqcap \text{Mayor} \sqsubseteq \perp \quad (20)$$

## Question 2

In order to encode 3SAT into ALC satisfiability, we take the following steps: First, define for each propositional variable  $(x_1, \dots, x_n)$  a concept  $(A_1, \dots, A_n)$  and assert  $x_1 : A_1, \dots, x_n : A_n$ . Then for each clause define a concept  $(C_1, \dots, C_k)$ , which is encoded such that each disjunction in the original clause in the 3CNF formula is replaced by  $\sqcup$ , and the variables  $(x_1, \dots, x_n)$  by their corresponding concepts  $(A_1, \dots, A_n)$ . Negation  $\neg$  will also be encoded as  $\neg$  in ALC. For example, a clause (say the third clause in the 3CNF formula)  $\neg x_1 \vee x_2 \vee x_4$  is encoded as  $C_3 \sqsubseteq \neg A_1 \sqcup A_2 \sqcup A_4$  in ALC. Then finally, we combine all clauses similar to the original 3CNF formula, but with each  $\wedge$  replaced by  $\sqcap$ , i.e.  $C_\varphi \sqsubseteq C_1 \sqcap C_2 \sqcap \dots \sqcap C_k$ .  $C_\varphi$  is satisfiable if and only if  $\varphi$  is satisfiable, because whenever some inconsistency occurs, the final combination  $(C_1 \sqcap C_2 \sqcap \dots \sqcap C_k)$  will become the empty set, resulting in  $C_\varphi$  to be empty, which is not the case if  $\varphi$  is satisfiable.

## Question 3

To enforce that every object in concept  $A_0$  is related by some  $r$  to an object in  $B_{1,a} \sqcap A_1$  and to an object in  $B_{1,b} \sqcap A_1$ , we use the following set inclusions:

$$\begin{aligned} A_0 &\sqsubseteq \exists r. (B_{1,a} \sqcap A_1) \\ A_0 &\sqsubseteq \exists r. (B_{1,b} \sqcap A_1) \end{aligned}$$

Then to make sure that an object in  $B_{1,a}$  is not also an object in  $B_{1,b}$  (i.e.  $B_{1,a}$  and  $B_{1,b}$  are disjoint) we have:

$$B_{1,a} \sqcap B_{1,b} \sqsubseteq \perp$$

For  $KB_2$  we add the same set inclusions, but now for one index higher, i.e.

$$\begin{aligned} A_1 &\sqsubseteq \exists r. (B_{2,a} \sqcap A_2) \\ A_1 &\sqsubseteq \exists r. (B_{2,b} \sqcap A_2) \\ B_{2,a} \sqcap B_{2,b} &\sqsubseteq \perp \end{aligned}$$

However, to enforce that we really only have a tree structure (and no possibility for cheating), we must set some additional constraints. This is more easily seen if the structures are drawn on a piece of paper, but the following is to ensure that (i) we have nodes/points/objects in each  $A_i$  that do not lie in  $A_{i+1}$ ; and (ii) we have nodes/points/objects in each  $B_{i,a}$  and  $B_{i,b}$  that do not lie in  $B_{i+1,a}$  or  $B_{i+1,b}$ . This last part is implicitly done by making sure that if an object is in concept  $B_{1,a}$ , all objects that it is related to (by role  $r$ ) must also be in  $B_{1,a}$ . We get:

$$\begin{aligned} A_1 &\equiv B_{1,a} \sqcup B_{1,b} \\ A_2 &\equiv B_{2,a} \sqcup B_{2,b} \\ B_{1,a} &\sqsubseteq \exists r. B_{1,a} \\ B_{1,b} &\sqsubseteq \exists r. B_{1,b} \\ B_{2,a} &\sqsubseteq \exists r. B_{2,a} \\ B_{2,b} &\sqsubseteq \exists r. B_{2,b} \end{aligned}$$

The first two lines, together with the fact that  $B_{1,a}$  and  $B_{1,b}$  resp.  $B_{2,a}$  and  $B_{2,b}$  are disjoint, state that  $A_1$  is partitioned into  $B_{1,a}$  and  $B_{1,b}$ , and  $A_2$  is partitioned into  $B_{2,a}$  and  $B_{2,b}$ . To make this general, for  $KB_n$  we have the

TBox:

$$\begin{aligned}
A_0 &\sqsubseteq \exists r.(B_{1,a} \sqcap A_1) \\
A_0 &\sqsubseteq \exists r.(B_{1,b} \sqcap A_1) \\
A_1 &\equiv B_{1,a} \sqcup B_{1,b} \\
B_{1,a} &\sqsubseteq \exists r.B_{1,a} \\
B_{1,b} &\sqsubseteq \exists r.B_{1,b} \\
B_{1,a} \sqcap B_{1,b} &\sqsubseteq \perp \\
&\vdots \\
A_{n-1} &\sqsubseteq \exists r.(B_{n,a} \sqcap A_n) \\
A_{n-1} &\sqsubseteq \exists r.(B_{n,b} \sqcap A_n) \\
A_n &\equiv B_{n,a} \sqcup B_{n,b} \\
B_{n,a} &\sqsubseteq \exists r.B_{n,a} \\
B_{n,b} &\sqsubseteq \exists r.B_{n,b} \\
B_{n,a} \sqcap B_{n,b} &\sqsubseteq \perp,
\end{aligned}$$

with the assertion  $x : A_0$  in the ABox, which together can only be satisfied by a tree and not by a single object, or a path of objects, since we eliminated that possibility by the given constraints.

## Question 4

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