## Principles of Database Systems



Database Design and the E-R Model



#### Database Design and the E-R Model

- Overview of the Design Process
- The Entity-Relationship Model
- Constraints
- Removing Redundant Attributes in Entity Sets
- Entity-Relationship Diagrams
- Reduction to Relational Schemas
- Entity-Relationship Design Issues
- Extended E-R Features
- Alternative Notations for Modeling Data
- Other Aspects of Database Design





## Overview of the Design Process



#### **Design Phases**

- characterize the data needs
- conceptual-design
  - entity-relationship model
  - specification of functional requirements(功能需求规格说明)
- implementation of the database
  - logical-design phase
  - physical-design phase



#### **Design Alternatives**

- must avoid two major pitfalls:
  - Redundancy(冗余)
    - A bad design may repeat information.
  - Incompleteness(不完整)
    - A bad design may make certain aspects of the enterprise difficult or impossible to model.

```
classroom(building, room_number, capacity)
department(dept_name, building, budget)
course(course_id, title, dept_name, credits)
instructor(ID, name, dept_name, salary)
section(course_id, sec_id, semester, year, building, room_number, time_slot_id)
teaches(ID, course_id, sec_id, semester, year)
student(ID, name, dept_name, tot_cred)
takes(ID, course_id, sec_id, semester, year, grade)
advisor(s_ID, i_ID)
time_slot(time_slot_id, day, start_time, end_time)
prereq(course_id, prereq_id)
```



## The Entity-Relationship Model



## **Entity Sets**



- **Entity**(实体): a "thing" or "object" in the real world that is distinguishable from all other objects.
- **entity set**(实体集): a set of entities of the same type that share the same properties, or attributes.
- An entity is represented by a set of **attributes** (属性). Attributes are descriptive properties possessed by each member of an entity set.
- Each entity has a **value**(值) for each of its attributes.



## **Entity Sets**



76766	Crick
45565	Katz
10101	Srinivasan
98345	Kim
76543	Singh
22222	Einstein

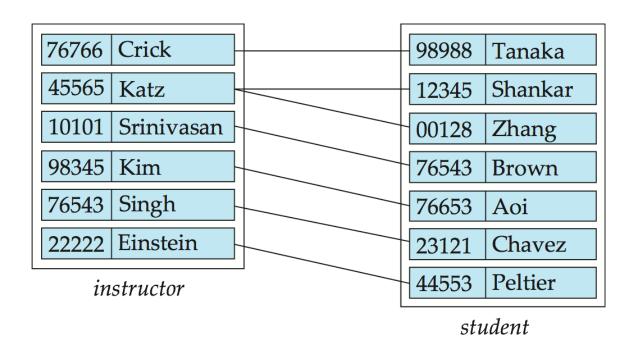
instructor

98988	Tanaka
12345	Shankar
00128	Zhang
76543	Brown
76653	Aoi
23121	Chavez
44553	Peltier

student



- Relationship(联系): an association among several entities.
- **relationship set**(联系集): a set of relationships of the same type.

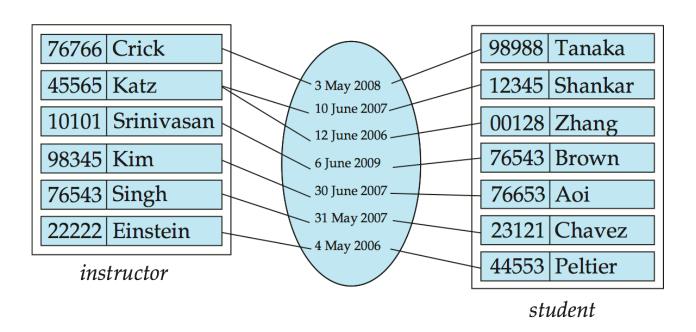




- The association between entity sets is referred to as participation; that is, the entity sets  $E1, E2, \ldots, En$  **participate**(\$5) in relationship set R.
- A **relationship instance**(联系实例) in an E-R schema represents an association between the named entities in the real-world enterprise that is being modeled.
- The function that an entity plays in a relationship is called that entity's **role**(角色).



• A relationship may also have attributes called **descriptive attributes**(描述性属性).







- Most of the relationship sets in a database system are binary(二元的).
- Occasionally, however, relationship sets involve more than two entity sets.
  - instructor, student and project
  - Each project can have multiple associated students and multiple associated instructors.
  - the relationship set *proj\_guide*, which indicates that a particular student is guided by a particular instructor on a particular project.

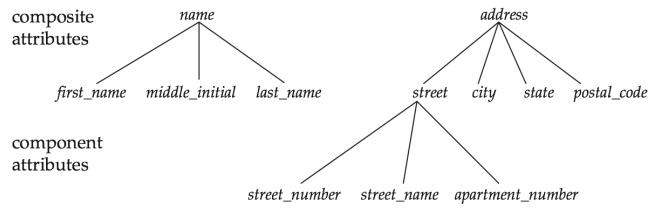


- For each attribute, there is a set of permitted values, called the **domain**(域), or **value set**(值集), of that attribute.
- An attribute of an entity set is a function that maps from the entity set into a domain.
- each entity can be described by a set of (attribute, data value) pairs
  - {(ID, 76766), (name, Crick), (dept name, Biology), (salary, 72000)}





- Simple and composite attributes
  - Simple attributes(简单属性): have not been divided into subparts
  - composite attributes(复合属性): can be divided into subparts
    - a composite attribute may appear as a hierarchy







- Single-valued and multivalued attributes
  - Single-valued attributes(单值属性): have a single value for a particular entity
  - multivalued attributes(多值属性): have a set of values for a specific entity
    - An *instructor* may have zero, one, or several phone numbers
    - any particular instructor may have zero, one, or more dependents

{phone\_number} or {dependent\_name}.

 upper and lower bounds may be placed on the number of values





- **Derived** attribute(派生属性)
  - The value can be derived from the values of other related attributes or entities.
    - instructor 's attribute: students\_advised
    - students's attribute: age
  - The value of a derived attribute is not stored but is computed when required.
- An attribute takes a **null** value when an entity does not have a value for it.



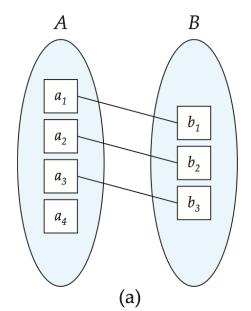


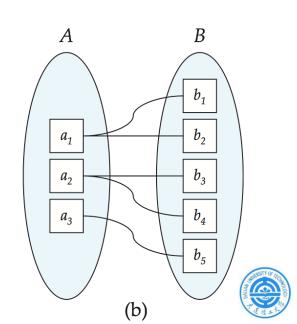
#### Constraints



## **Mapping Cardinalities**

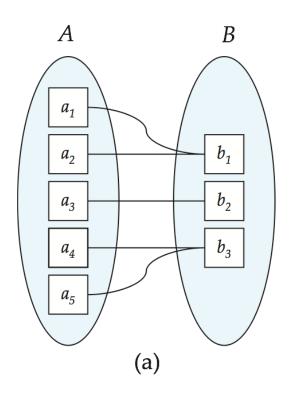
- Mapping cardinalities(映射基数), or cardinality ratios(基数比率), express the number of entities to which another entity can be associated via a relationship set.
  - One-to-one
  - One-to-many

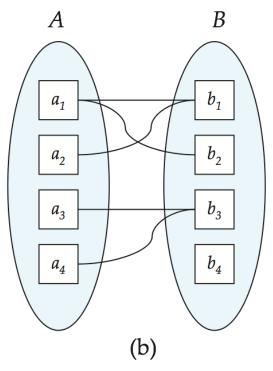




## **Mapping Cardinalities**

- Many-to-one
- Many-to-many



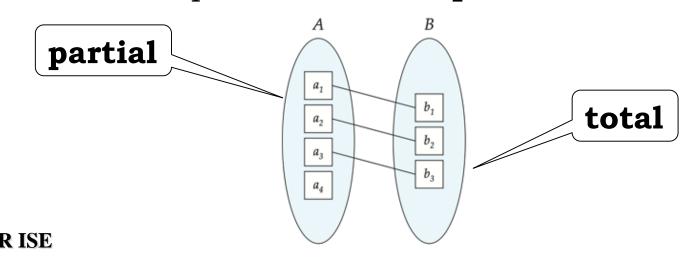






## **Participation Constraints**

- The participation of an entity set E in a relationship set R is said to be **total**( $\pm$ 8) if every entity in E participates in at least one relationship in R.
- If only some entities in E participate in relationships in R, the participation of entity set E in relationship R is said to be **partial**(部分的).





## Keys



- A key for an entity is a set of attributes that suffice to distinguish entities from each other.
- Keys also help to identify relationships uniquely, and thus distinguish relationships from each other.



#### Keys



• If the relationship set *R* has no attributes associated with it, then the set of attributes

 $primary-key(E1) \cup primary-key(E2) \cup \cdots \cup primary-key(En)$ 

describes an individual relationship in set R.

• If the relationship set R has attributes  $a1, a2, \ldots$ , am associated with it, then the set of attributes

```
primary-key(E1) \cup primary-key(E2) \cup \cdots \cup primary-key(En) \cup \{a1, a2, \dots, am\}
```

describes an individual relationship in set R.







- When designing a database using the E-R model
  - Usually start by identifying entity sets
  - Then choose the appropriate attributes.
  - Then the relationship sets among the various entities are formed.
  - These relationship sets may result in a situation where attributes in the various entity sets are redundant(冗余的) and need to be removed from the original entity sets.





#### Example

- Instructor(<u>ID</u>, name, dept\_name, salary)
- department(dept\_name, building, budget)
- A relationship set inst\_dept relating instructor and department.
- The attribute *dept\_name* appears in both entity sets. Since it is the primary key for the entity set *department*, it is redundant in the entity set *instructor* and needs to be removed.







- Another example
  - Section(<u>course\_id</u>, <u>sec\_id</u>, <u>semester</u>, <u>year</u>, building, room\_number, time\_slot\_id)
  - Time\_slot(time\_slot\_id,{(day, start\_time, end\_time)})
    - {(day, start\_time, end\_time)}, multivalued composite attribute
  - The attribute *time\_slot\_id* appears in both entity sets. Since it is the primary key for the entity set *time\_slot*, it is redundant in the entity set *section* and needs to be removed.



- entity sets in the university example
  - classroom(building, room\_number, capacity).
  - department(<u>dept\_name</u>, building, budget).
  - **course**(<u>course</u> <u>id</u>, title, credits).
  - **instructor** (<u>ID</u>, name, salary).
  - **section** (*course\_id*, *sec\_id*, *semester*, *year*).
  - student (<u>ID</u>, name, tot\_cred).
  - time\_slot (time\_slot\_id, {(day, start time, end time) }).



- relationship sets in the university example
  - inst\_dept: relating instructors with departments.
  - stud\_dept: relating students with departments.
  - teaches: relating instructors with sections.
  - **takes**: relating students with sections, with a descriptive attribute *grade*.
  - course\_dept: relating courses with departments.
  - sec\_course: relating sections with courses.
  - sec\_class: relating sections with classrooms.
  - sec\_time\_slot: relating sections with time slots.
  - advisor: relating students with instructors.
  - prereq: relating courses with prerequisite courses.



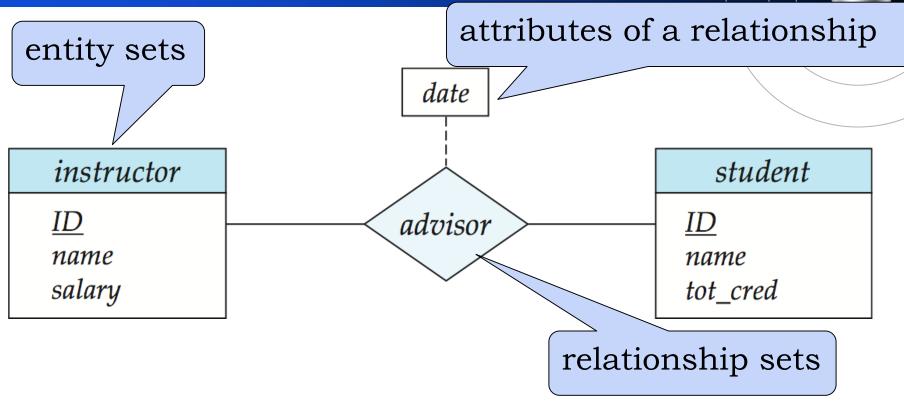


## Entity-Relationship Diagrams



#### **Basic Structure**





- **Double lines** indicate total participation of an entity in a relationship set.
- **Double diamonds** represent identifying relationship sets linked to weak entity sets



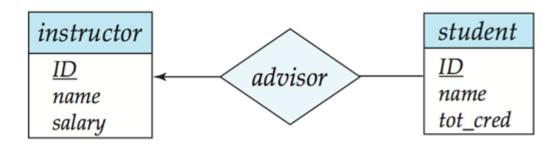
## **Mapping Cardinality**



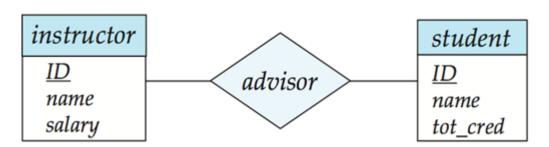
One-to-one



- One-to-many
- Many-to-one



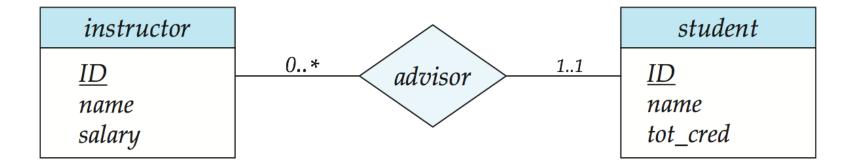
Many-to-many





#### **Mapping Cardinality**

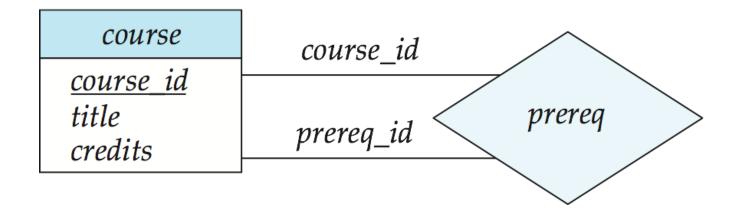
• A line may have an associated minimum and maximum cardinality, shown in the form *l..h*, where *l* is the minimum and *h* the maximum cardinality.





#### Roles

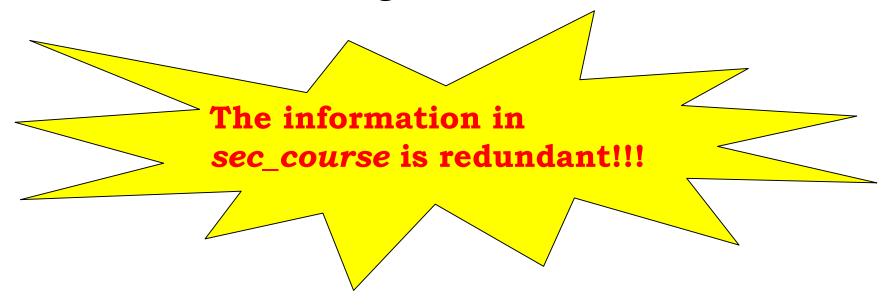
• We indicate roles in E-R diagrams by labeling the lines that connect diamonds to rectangles.





## Weak Entity Sets

- section (course id, sec id, semester, year).
- course (course id, title, credits).
- **sec\_course**: relating sections with courses.





#### Weak Entity Sets

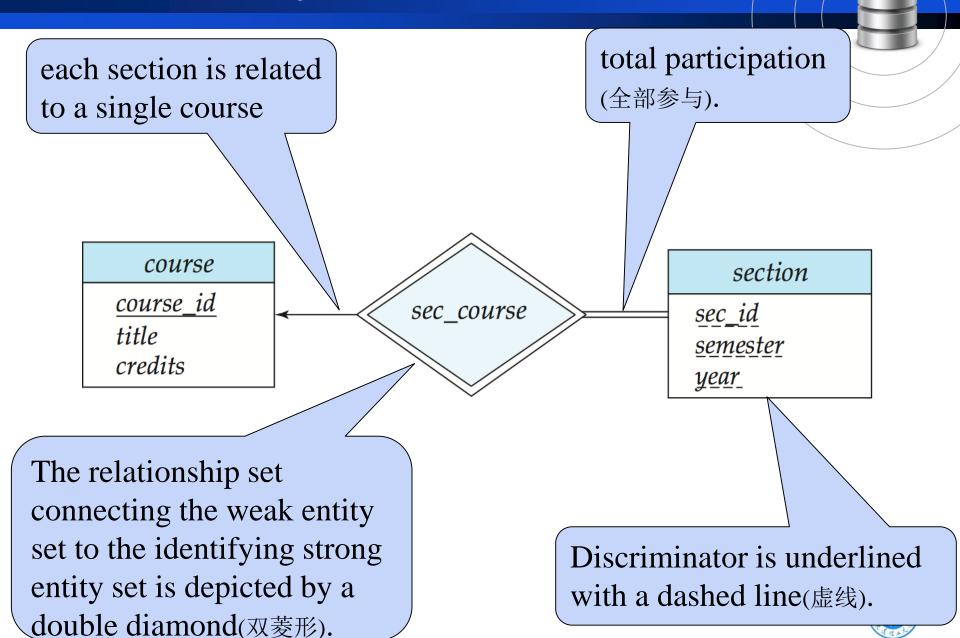


#### SOLUTION

- Getting rid of the relationship sec\_course
- Do not store the attribute *course\_id* in the *section* entity
- section (sec id, semester, year).
  - Another problem: entity section does not have enough attributes to identify a particular section entity uniquely



## Weak Entity Sets



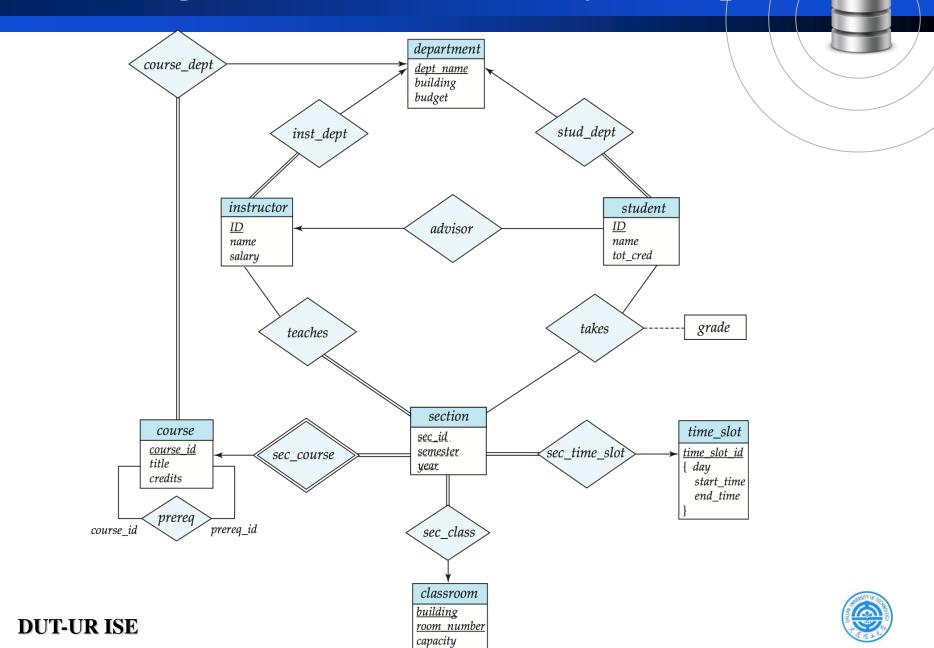
### Weak Entity Sets



- weak entity set(弱实体集): An entity set that does not have sufficient attributes to form a primary key.
- **strong entity set**(强实体集): An entity set that has a primary key.
- For a weak entity set to be meaningful, it must be associated with another entity set, called the **identifying**(标识) or **owner entity set**(属主实体集).
- **discriminator**(分辨符): a set of attributes that distinguishes among all those entities in the weak entity set.



#### E-R diagram for the University Enterprise





#### Reduction to Relational Schemas



# Representation of Strong Entity Sets with Simple Attributes

- Let E be a strong entity set with only simple descriptive attributes  $a1, a2, \ldots, an$ . We represent this entity by a schema called E with n distinct attributes.
- The primary key of the entity set serves as the primary key of the resulting schema.
  - student (<u>ID</u>, name, tot cred)
  - classroom (<u>building</u>, room number, capacity)
  - department (dept\_name, building, budget)
  - course (course id, title, credits)
  - instructor (<u>ID</u>, name, salary)



# Representation of Strong Entity Sets with Complex Attributes

- composite attributes(复合属性)
  - creating a separate attribute for each of the component attributes;
  - do not create a separate attribute for the composite attribute itself.

```
instructor (ID, first_name,
middle_name, last_name, street
number, street_name,
apt_number, city, state, zip code,
date_of_birth)
```

#### instructor

```
ID
name
  first_name
   middle_initial
   last name
address
  street
      street number
      street name
      apt_number
  city
  state
{ phone_number }
date_of_birth
```

# Representation of Strong Entity Sets with Complex Attributes

- multivalued attribute(多值属性) M
  - create a relations chema R with an attribute A that corresponds to M, and attributes corresponding to the primary key of the entity set or relationship set.

```
instructor

ID
.....
{ phone_number }
```

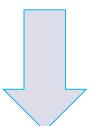
instructor\_phone (<u>ID, phone\_number</u>)



# Representation of Strong Entity Sets with Complex Attributes



• **time\_slot** (<u>time\_slot\_id</u>, {(day, start time, end time)}).



time\_slot (time\_slot\_id, day, start\_time, end\_time)



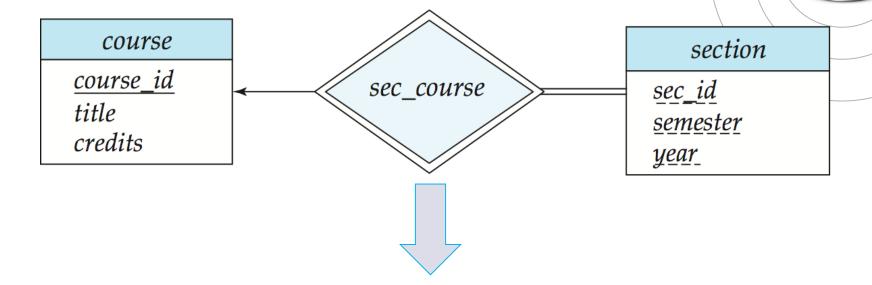
# Representation of Weak Entity Sets

- Let A be a weak entity set with attributes  $a1, a2, \ldots, am$ .
- Let *B* be the strong entity set on which *A* depends.
- Let the primary key of B consist of attributes  $b1, b2, \ldots, bn$ .
- We represent the entity set *A* by a relation schema called *A* with one attribute for each member of the set:

```
\{a1, a2, \ldots, am\} \cup \{b1, b2, \ldots, bn\}
```



# Representation of Weak Entity Sets

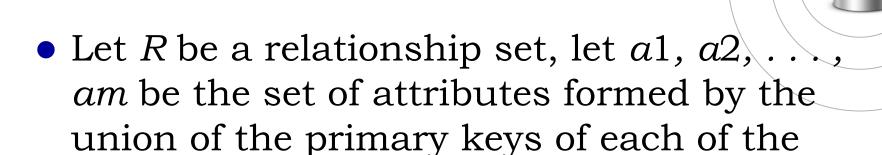


section(course\_id, sec\_id, semester, year)

Can you conclude the primary key and foreign key?



entity sets participating in R.



- Let the descriptive attributes (if any) of R be  $b1, b2, \ldots, bn$ .
- We represent this relationship set by a relation schema called *R* with one attribute for each member of the set:

```
\{a1, a2, \ldots, am\} \cup \{b1, b2, \ldots, bn\}
```



- The primary key is chosen as follows:
  - binary many-to-many relationship:
    - the union of the primary-key attributes
  - binary one-to-one relationship :
    - the primary key of either entity set
  - binary many-to-one or one-to-many relationship :
    - the primary key of the entity set on the "many" side



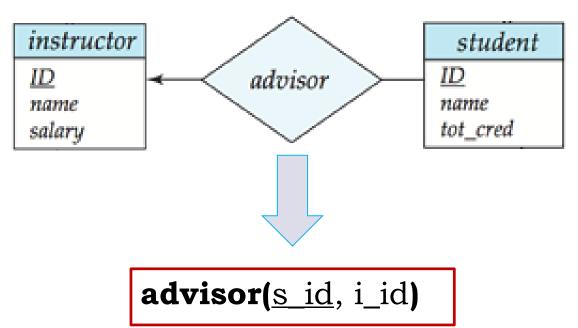


- The primary key is chosen as follows:
  - For an *n*-ary relationship set without any arrows on its edges
    - the union of the primary key-attributes from the participating entity sets becomes the primary key.
  - For an *n*-ary relationship set with an arrow on one of its edges
    - the primary keys of the entity sets not on the "arrow" side of the relationship set serve as the primary key for the schema.





For example

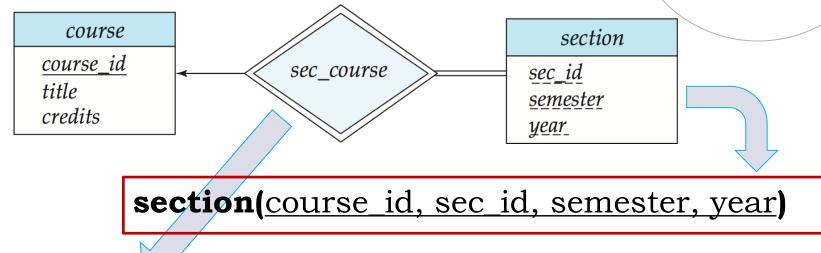


Any foreign keys?





• Redundancy(冗余) of Schemas



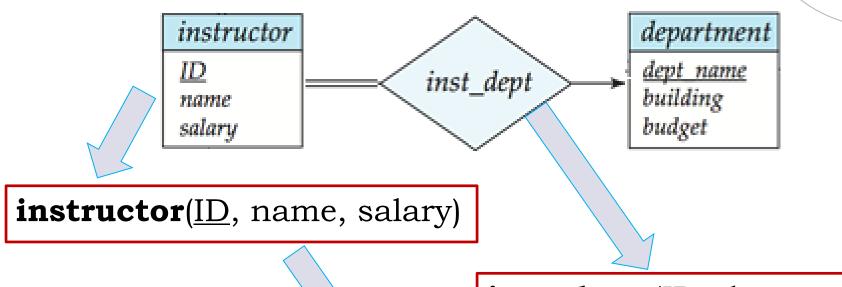
sec\_course(course\_id, sec\_id, semester, year)

In general, the schema for the relationship set linking a weak entity set to its corresponding strong entity set is redundant.





• Combination(合并) of Schemas



inst\_dept (ID, dept\_name)

instructor(ID, name, salary, dept\_name)





- Combination(合并) of Schemas
  - Consider a many-to-one relationship set AB from entity set A to entity set B.
  - we get three schemas: *A*, *B*, and *AB*.
  - Suppose further that the participation of *A* in the relationship is total; that is, every entity *a* in the entity set *A* must participate in the relationship *AB*.
  - Then we can combine the schemas *A* and *AB* to form a single schema consisting of the union of attributes of both schemas.

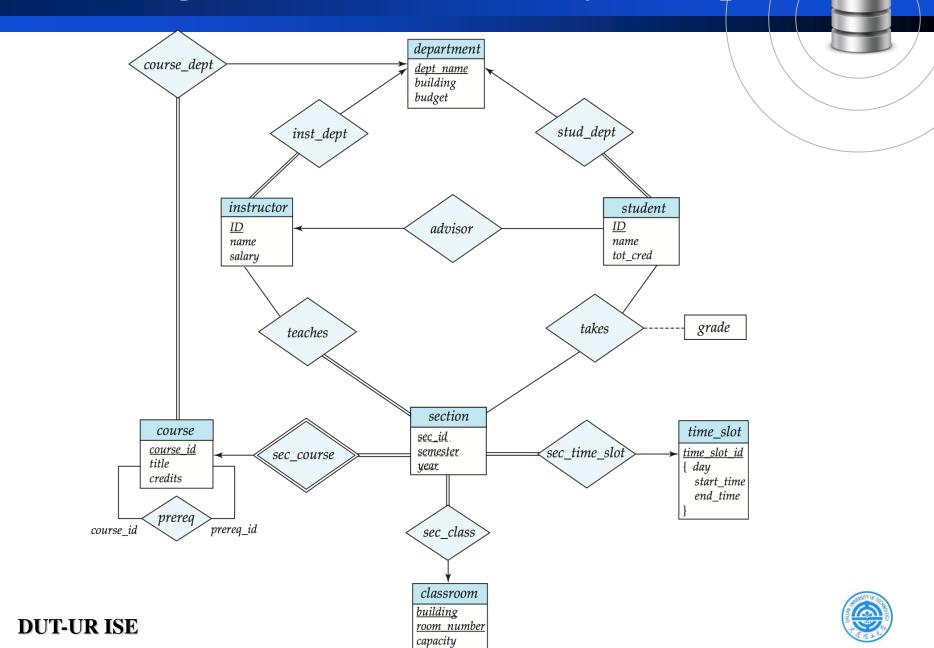




- Combination(合并) of Schemas
  - In the case of one-to-one relationships, the relation schema for the relationship set can be combined with the schemas for either of the entity sets.
  - We can combine schemas even if the participation is partial by using null values.
  - Drop the constraint referencing the entity set into whose schema the relationship set schema is merged, and add the other foreign-key constraints to the combined schema.



#### E-R diagram for the University Enterprise





```
classroom(building, <u>room_number</u>, capacity)
department(dept_name, building, budget)
course(<u>course_id</u>, title, dept_name, credits)
instructor(ID, name, dept_name, salary)
section(<u>course_id</u>, <u>sec_id</u>, <u>semester</u>, year, building, room_number, time_slot_id)
teaches(<u>ID</u>, <u>course_id</u>, <u>sec_id</u>, <u>semester</u>, year)
student(<u>ID</u>, name, dept_name, tot_cred)
takes(ID, course_id, sec_id, semester, year, grade)
advisor(s_ID, i_ID)
time_slot(<u>time_slot_id</u>, day, <u>start_time</u>, end_time)
prereq(<u>course_id</u>, prereq_id)
```



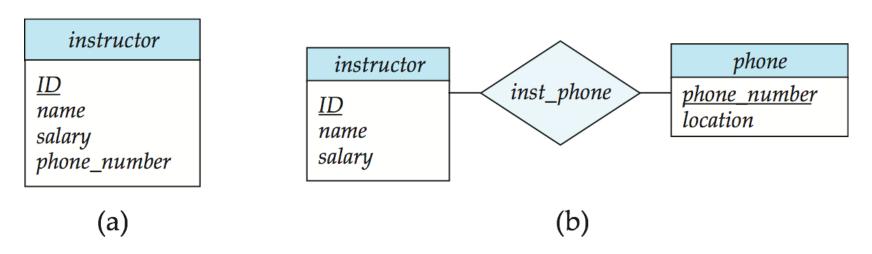


# Entity-Relationship Design Issues



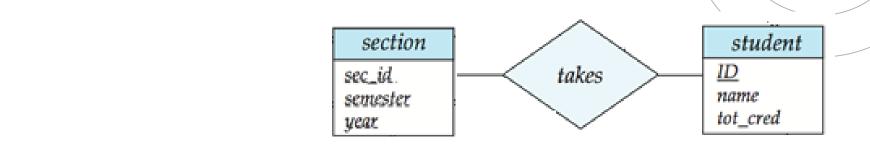
# Use of Entity Sets versus Attributes

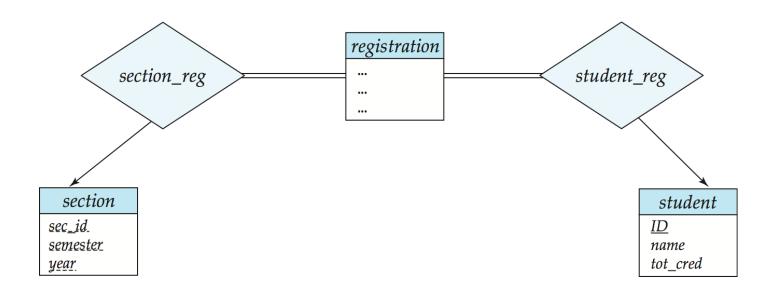
- What constitutes an attribute, and what constitutes an entity set?
  - depend on the structure of the real-world enterprise being modeled, and on the semantics (语义) associated with the attribute in question.





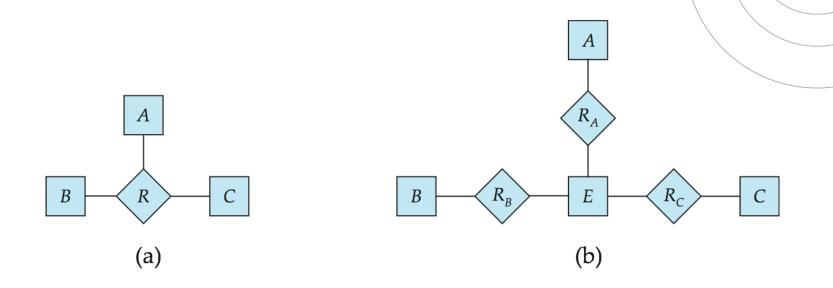
#### Use of Entity Sets versus Relationship Sets







# Binary versus *n*-ary Relationship Sets

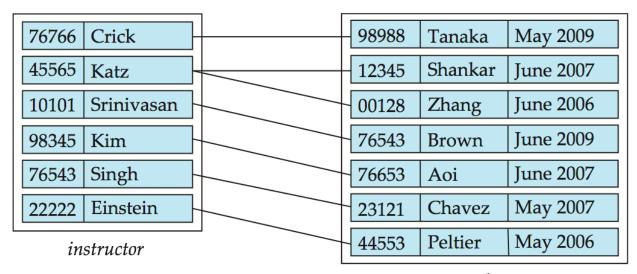


replace a nonbinary (n-ary, for n > 2) relationship set by a number of distinct binary relationship sets.



### Placement of Relationship Attributes

- Attributes of a one-to-many relationship set can be repositioned to only the entity set on the "many" side of the relationship.
- For one-to-one relationship sets, the relationship attribute can be associated with either one of the participating entities.







# Thanks

