

Randomization

$\sum_{i=1}^n i = \frac{n(n+1)}{2}$ ,  $H_n = \sum_{i=1}^n \frac{1}{i} \leq \ln n + 1 \in O(\log n)$

① Insertion sort: on iteration i, first (i-1) elements are sorted, insert i-th element by swapping left.

- elements i,j swap  $\Leftrightarrow A[i] > A[j]$  (i < j)  
-  $EEX = \sum_{i=0}^{n-1} \sum_{j=i+1}^n E[X_{ij}] \rightarrow$  indicator,  $1 \Leftrightarrow i < j$   
 $Pr[A[i] > A[j]] = \frac{1}{2}$

② bamboo,  $X_0 = n$ , cut off x meters, stop:  $X_m \leq 1$

- if current len = i,  $E[cut size] = \sum_{j=1}^i j \cdot Pr[cut size = j]$   
 $= \sum_{j=1}^i j \cdot \frac{1}{i} = \frac{i+1}{2} \Rightarrow E[rem. size] = i - \frac{i+1}{2} = \frac{i-1}{2}$   
-  $E[X_m] = \sum_i E[X_m | X_{m-1} = i] Pr[X_{m-1} = i]$   
 $= \sum_i \frac{i-1}{2} Pr[X_{m-1} = i] \leq \frac{1}{2} \sum_i i \cdot Pr[X_{m-1} = i] = \frac{E[X_{m-1}]}{2}$

\* Markov: if  $x \geq 0$ ,  $Pr[X > a] \leq \frac{E[X]}{a} \forall a$   
&  $\omega(n) \in O(f(n))$  w.h.p if  $\exists$  constants c, n<sub>0</sub> st.  
 $\omega(n) \in O(k \cdot f(n)) \forall n \geq n_0$ , w probability  $\geq (1 - \frac{1}{nk})$   $\forall$  constants k.

-  $E[X_m] \leq \frac{n}{2^m} \Rightarrow Pr[X_k \log_2 n \geq 1] \leq \frac{E[X_k \log_2 n]}{1}$   
 $\leq n(\frac{1}{2})^k \log_2 n = n(\frac{1}{2})^k \log_2 n^{-k} = \frac{1}{n^{k-1}}$   
\*  $(\frac{1}{2})^k \log_2 n = n^k \log_2 (\frac{1}{2})$   $\leftarrow$  union bound  
 $Pr[\text{any of k calls bad}] \leq \sum_{i=1}^k Pr[i\text{th call bad}]$

③ Quicksort: generate a random priority p for each element of S; choose element w highest priority as pivot  $\rightarrow$  i,j compared if either has highest priority in range of ranks [i,r-1]  
 $E[X] = \sum_{i=0}^{n-1} \sum_{j=i+1}^n \frac{2}{j-i+1} = 2n H_n \in O(n \log n)$   
 $\rightarrow$  pivot trees: depth = # of recursive calls to place node in sorted spot, span = max depth.  
= # calls of quickselect =  $O(\log n)$  w.h.p.

\* rank-k = element k in sorted (ascending) seq.  
 $Pr[\text{pivot tree of height } n] = \frac{2^{n-1}}{n!}$

E.g. cut in half w.p. p, then  
 $E[Y_n] = p \cdot \frac{1}{2} \cdot E[Y_{n-1}] + (1-p) \cdot E[Y_{n-1}]$

BST & Treaps

- inorder traversal: left, node, right  
- preorder traversal: root, left, right.  
- joinMid T<sub>1</sub>, T<sub>2</sub> has  $O(\log(T_1 + T_2))$  work/span  
 $\uparrow$  rebalances  $\Leftrightarrow$  depth =  $\log n$   
split, find, insert, delete T k  $\in O(\log |T|)$

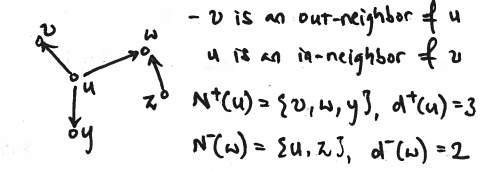
- Treap = BST with priority function  $p: U \rightarrow Z$   
1. BST invariant:  $\forall \text{Node}(L, k, R)$ , set of keys  $l < k, r > k, \forall l \in L, r \in R$   
2. Heap invariant:  $p(k) > p(x) \forall x \in (L \cup R)$   
-  $A_j = 1$  if  $s[j]$  is an ancestor of  $s[i]$  of pivot tree  
depth(j) =  $\sum_{i=0}^{j-1} A_i$ , size(j) =  $\sum_{i=0}^{j-1} A_i$

SETS & TABLES

- implemented via arrays/hash tables/balanced trees  $\leftarrow$  used.  
- tables: sets of key-value pairs.  
 $\rightarrow$  restrict TS: restricts domain to ones in S  
subtract TS:  $\{k \rightarrow v \in T \mid k \notin S\}$   
- Ord tables: ordered keys (total ordering)  
Any tables: values reducible to combining function  
struct type t = int  
val f = Int.max  
val l = valOf (Int.minInt)  
val toString = Int.toString  
structure Table =  
MkTreapTable (Key = Int, Val = Val)

- fun allval(T) = Table.reduce Set.union of T  
 $\sum_{j=1}^n A_j = \sum_{j=1}^n \frac{1}{j-j+1} = H_j + H_{n-j+1} - 1$   
1. 1 unique path between 2 vertices in a tree  
2. (n-k) edges in forest w n nodes & k trees  
3. # of SCC in DAG with 10 vertices & 20 edges = 10 (since acyclic, either u > v or v > u).  
 $v_j$  reachable from  $v_i \Rightarrow i < j$  in top ordering

GRAPHS



path = sequence of vertices, adj. vertices are connected by an edge; if no repeats, simple  
cycle = path that start & end on same vertex, no repeat edges (trivial = self-edge)  
- undirected G connected if  $\forall u, v \in G, u \rightarrow v$   
directed G strongly connected if  $\forall u, v, u \rightarrow v$

\* Directed Acyclic Graph  $\Leftrightarrow$  no nontrivial connected components  $\rightarrow$  neighbor query  $\in O(n)$   
A. adjacency matrix: good in dense ( $m \in O(n^2)$ ) graphs, bad on sparse ( $m \in \omega(n^2)$ ) graphs  
B. adjacency sets: ( $V \times V$  set) table  $\rightarrow O(1)$   
 $\rightarrow O(\log n)$   
C. adjacency sequence: int seq seq. (tree-based)  
 $\rightarrow$  check if u,v neighbors in  $O(1)$ .

Depth-First Search

$\rightarrow$  fully explore all nodes reachable from a vertex before moving on to the next vertex  
① DFS tree: tree, forward, back, cross edge  
② Numbers: increment a counter when visit/finish  
 $\rightarrow$  critical edges:  $Z = (l, Tr, C, L, Plist)$   
 $\rightarrow$  update  $L[p] \leftarrow \min(Tr[v], L[p])$  when revisiting not-self.  
 $\rightarrow$  finish: if  $L[v] \neq Tr[p]$ ,  $C \leftarrow (v, p) :: C$  else,  $L[p] \leftarrow \min(L[v], L[p])$   
\* directed G has back edge  $\Leftrightarrow$  DFSall has back edge  
 $\rightarrow$  topological sort: total order/dependencies  
 $a \leq b \Rightarrow a \leq b, \leq$  is total ordering  
 $\rightarrow$  reverse sort finish times  $\leftarrow$  require DAG!  
 $\rightarrow$  finding SCC - Kosaraju's algo.

DFS  $G(Z, X), v =$

if  $v \in X$  then (revisit  $(Z, v), X$ )  
else let  
 $Z' = \text{visit } Z, v, X' = X \cup \{v\}$   $\leftarrow$  add v to visited set  
 $Z'', X'' = \text{iterate}(\text{DFS } G)(Z', X') N_G^+(v)$   
in (finish  $Z'' v, X$ )  $\leftarrow$  explore everything reachable from v

DFSall  $G Z =$

iterate (DFS G) (Z, ES) v  $\leftarrow$  return set  
operation #times computed  
 $v \in X$  n+m  
 $X \cup \{v\}, N_G^+(v)$ , visit, finish n  
revisit  $\rightarrow$  work  $\leftarrow$  m  
 $\rightarrow O(\log n)$  for adjacency table  $\rightarrow O((nm) \log n)$   
 $\rightarrow O(1)$  for adjacency sequence  $\rightarrow O(nm)$

Breadth-First Search

$\rightarrow$  explore all new neighbors of current frontier at once in parallel (i.e. union)  
 $\rightarrow$  diameter: length of longest shortest path = # layers to search graph from source  
visited set of vertices  
BFS  $G s =$   $\leftarrow$  iff  $|| = \sum_{v \in F} 1 + d_G^+(v)$   
let explore X F =  $\leftarrow$  frontier empty = null left to explore  
if  $|| F || = 0$  then X  
else let  $X' = X \cup F, F' = N_G^+(F) \setminus X'$   
in explore  $X' F'$  end  $\leftarrow$  new frontier = out-neighbors - visited vertices

$\rightarrow$  compute work/per round in terms of  $||F||$   
add up u/r over all d=diameter rounds  
 $G = \text{Adj. Table} \begin{cases} \text{work} \in O((n+m) \log n) \\ \text{span} \in O(d \log^2 n) \end{cases}$   
 $X = \text{set}$   
 $F = \text{set}$   
 $G = \text{Adj. Seq} \begin{cases} \text{work} \in O(nm) \\ \text{span} \in O(d \log n) \end{cases}$   
 $X = \text{STSeq}$   
 $F = \text{Seq}$

Shortest Paths

- $\forall G, \delta_G(s, v) \leq \delta_G(s, u) + w_G(u, v)$
- BFS for unweighted graphs: single source
- Dijkstra: let  $y \notin X$  be the vertex that minimizes  $\delta_G(s, x) + w_G(x, y) = m$ , then  $\delta_G(s, y) = m$   
 \* only works when edge weights  $\geq 0$

→ priority-first search, use for single-source  
 dijkstra PQ ( $G, s$ ) = (minimum) PQ  
 let dijkstra ( $X, Q$ ) = (lowest val, highest priority)  
 PQ empty case deleteMin  $Q$  if if all visited, ignore & continue  
 ⇒ done (NONE, -) ⇒  $X$   
 if (SOME ( $d, v$ ),  $Q'$ ) ⇒  
 if  $v \in X$  then dijkstra ( $X, Q'$ )  
 else let add to visited table.

for each neighbor  $X' = X \cup \{v\} \mapsto d\}$   
 u of v, add relax ( $Q, (u, u)$ ) =  
 ( $d + u(u, v), u$ ) ← insert ( $Q, (d + u(u, v), u)$ )  
 to PQ  $Q'' = \text{iterate relax } Q' \text{ } N_G^+(v)$   
 in dijkstra ( $X', Q''$ ) end  
 in dijkstra ( $\{s\}$ , singleton ( $0, s$ )) end

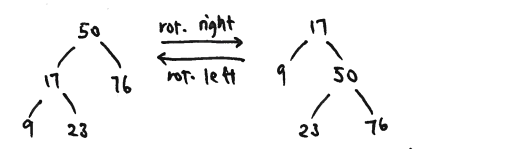
- ① inserting only if not visited do not change asymptotic costs (worst case  $\leq j$ )
- ② if  $\exists$  decreaseKey function to change priority  $\in O(1)$ ,  
 - if sth already in PQ, don't need to delete & insert ⇒  $n$  inserts & deletes,  $m$  decreaseKey  
 - new cost  $O(m + n \log n)$  ← req. special heap (ex. Fibonacci)
- ③ usual work = span  $\in O(m \log n)$

\* if unweighted, multi-source (any  $u \in U$ ):  
 run BFS with  $U$  as initial frontier  
 A. shortest paths from  $U$ : BFS w  $U$  as frontier  
 B. shortest paths to  $U$ : reverse edges (via flatten & collect), then do A.

- Bellman Ford: for each vertex  $v$ , keep track of the shortest path from  $s$  to  $v$  that uses  $\leq k$  edges & works unless negative cycle exists → ret. NONE  
 → longest cycle has  $(n-1)$  edges  
 ⇒ if path lengths don't converge after  $n$  iterations, negative cycle must exist  
 → each round takes  $O(m)$  work,  $O(\log n)$  span  
 → each edge considered as last edge of a  $k$ -edge path to  $v$  (round  $k$ )  
 → if implemented w non-enumerable  $G$ :  $w \in m \log n$
- Johnson's: for all-pairs shortest paths  
 - create a dummy node connected to all vertices  
 - run Bellman Ford,  $w' \leftarrow w - \phi(b) + \phi(a)$   
 - run Dijkstra from every vertex,  $m \log n$  work,  $m \log n$  span ⇒ run in parallel!

→ meld: joins 2 PQs, size, findMin & deleteMin  
 → sets: filterKey (key.e → bad) → set → set  
 reduceKey f b  $x = \text{Seq.reduce f b (toSeq x)}$   
 ↑ similar for iterateKey  
 → ordsets: first (karr), last, prev/next  $S$  k  
 split ( $S, k$ ) = ( $l, m, r$ ),  $m \leftarrow \text{true if } k \in S$   
 join ( $a, b$ ) = ( $a \cup b$ ) if  $\forall a < v \leq b$ , else Order (\*)  
 getRange  $S(x, y) = \{k \in S \mid x \leq k \leq y\}$  1st-i largest elt  
 rank ( $S, k$ ) =  $|\{k' \in S \mid k' < k\}|$ , splitRank ( $S, i$ ) = ( $l, r$ )  
 select ( $S, i$ ) =  $i$ th smallest element 1 smallest elements  
 → table: insertWith  $f(t, (k, v)) = t \cup \{(k \mapsto v)\}$   
 if  $k \notin t$ , else  $t \cup \{(k \mapsto f(v, v))\}$ ,  $v$  existing  
 tabulate f set = table  $\{(k \mapsto f(k)) \mid k \in S\}$   
 mapKey f t =  $\{(k \mapsto f(k, v)) \mid (k, v) \in t\}$   
 filterKey p t = table of  $(k \mapsto v) \in t$  satisfying  $p(k, v)$   
 reduce, iterate, iteratePrefixes.  
 → ordtable: first, last, prev, next ⇒  $k \mapsto v$   
 split ( $t, k$ ) = ( $l, v$  or NONE,  $r$ ), etc. (\*)  
 → AugTable: domain  $T = \text{set of all keys}$   
 range  $T = \text{sequence of all values}$ , reduceVal  
 coll( $t \leftarrow \langle (3, 7), (3, 5), (2, 6) \rangle = \langle (2, 6), (3, 7), (3, 5) \rangle$ )  
 → f must be associative!

SCCs: if we contract each SCC into a single vertex, we get a directed acyclic graph  
 Lemma: if  $u$  is the first vertex to be visited in its SCC, all vertices reachable from  $u$  finishes before  $u$   
 SCC  $G \ v = \text{reachable}(G, v) \cap \text{reachable}(G^T, v)$   
 →  $G^T = G$  with every edge reversed (in direction)  
 SCC  $G = \text{let } F = \text{reverseFinish } G, \text{ if } X \in F, (X, F)$   
 accumSCCs ( $(X, L), u$ ) = elx, visit prev. unvisited vertices, return  
 let ( $X', A$ ) = reach  $G^T \ X \ u$  ← in  $A$   
 in if  $|A| = 0$  then ( $X, L$ )  
 else ( $X'$ , append ( $L, A$ )) end  
 in iterate accumSCCs ( $\{s\}, \{s\}$ )  $F$



- rotate tree  $T$  to left to get valid heap  $T'$ ,  
 $T$  may not be valid heap, but  $T$  satisfies BST inv.  
 $(T$  doesn't satisfy heap inv.)
- WORST CASE COMPLEXITY of find in treaps:  $O(n)!!!$   
 Seq. scan Table. union Table. empty  $S \rightarrow O(nm)$   
 ① contraction: union 2 tables of size  $m$   
 ② expansion: even indices come from recursive step  
 odd indices come from  $f(\text{ori}[2i], \text{rec}[i])$   
 →  $(i+1)$ -th index union:  $m$  &  $2im$   
 ⇒  $w_{ex} = \sum_{i=0}^{n/2} m \log(\frac{2im}{m}) \geq O(nm \log n)$   
 then,  $w(n) = w(\frac{n}{2}, 2m) + O(nm \log n) \rightarrow \text{balanced}$   
 ⇒  $O(nm \log^2 n)$ , here,  $m=1 \Rightarrow O(n \log^2 n)$   
 \* reduceFunc = merge, then cost of updating reducedVal of ancestors is  $O(n)$  for root,  $O(\frac{n}{2})$  for child, ...,  $w(n) = w(\frac{n}{2}) + O(n) = O(n)$ .
- undirected graphs have NO CROSS EDGES.  
 → only appear bc. Edirected edge from vertex searched later to vertex searched earlier  
 BUT in undirected, they'd be in same branch.
- \*  $\sum_{v \in V} \text{deg}(v) = 2|E|$ ,

table/set/via BSTs: filter, map, tabulate:  
 $w \in O(\sum_{(k,v) \in T} w(f(v)))$ ,  $s \in O(\log |T| + \max_{(k,v) \in T} s(f(v)))$   
 $n, o, \lambda: O(m \log \frac{n+m}{m}), O(\log(n+m))$   
 BSTs: join & joinMid  $\in \log(A+B)$  work & span  
 split ( $T, k$ )  $\in \log |T|$  (implemented w treaps)  
 → keys hashed to gen. pseudo-random priorities.