

Recurrence	Asymptotic Upper Bound
$T(n) = T(n-1) + c$	$O(n)$
$T(n) = T(n/2) + c$	$O(\log n)$
$T(n) = 2T(n/2) + c$	$O(n)$
$T(n) = T(n/2) + c_1 n + c_0$	$O(n)$
$T(n) = 2T(n/2) + c_1 n + c_0$	$O(n \log n)$
$T(n) = T(n-1) + c_1 n + c_0$	$O(n^2)$
$T(n) = 2T(n-1) + c$	$O(2^n)$

$$\sum_{i=0}^n 2^i = 2^{n+1} - 1$$

$$\sum_{i=0}^{\log n} \frac{1}{2^i} \leq \sum_{i=0}^{\infty} \frac{1}{2^i} = 2 \in O(1)$$

$$\sum_{i=0}^n i = \frac{n(n+1)}{2}$$

$$\sum_{i=0}^{n-1} a^i = \frac{1-a^n}{1-a}$$

function application is left associative: $f \times y$ means $(f x) y$
arrows right associative: $t1 \rightarrow t2 \rightarrow t3 = t1 \rightarrow (t2 \rightarrow t3)$

HOFs: take functions as arguments and/or return HOFs
combinators (HOFs): functions that combine small pieces of code into larger pieces of code – e.g., composition $f \circ g$

point-wise principle: specify what a particular combination of functions means by writing out explicitly how the combinator evaluates code for a given argument (currying makes this easy)
→ use the combinator to combine functions without referring explicitly to arguments of the functions: take in function values and return a function value: **point-free programming**

point-specific: $\text{fun } (f ++ g) \ x = f(x) + g(x)$
 $\text{fun } (f ++ g) = \text{fn } x \Rightarrow f(x) + g(x)$

point-free: $\text{fun quadratic} = \text{square} ++ \text{double}$

staging: move parts of the computation close to where the arguments required for the computation appear; perform useful work prior to receiving all its arguments

$\text{fun } g \ x \ y = \text{let } \text{val } z = \text{hc}(x) \text{ in } z + y \text{ end}$

staging **does not** occur, lambda expression not applied:

$g \ 5 \Rightarrow [5 / x] \ \text{fn } y \Rightarrow \text{let } \text{val } z = \text{hc}(x) \text{ in } z + y \text{ end}$
 $g5 \ 2 \Rightarrow [5/x, 2/y] \ \text{let } \text{val } z = \text{hc}(x) \text{ in } z + y \text{ end}$
 $=> [5/x, 2/y, \text{someint}/z] \ z + y \ (*\text{takes 10 months}*)$

staging: hc doesn't depend on x :

$\text{fun } h \ x = \text{let } \text{val } z = \text{hc}(x) \text{ in } (\text{fn } y \Rightarrow z + y) \text{ end}$

map: replace constituent values (*defined over general datatypes*)

(* map : ('a -> 'b) -> 'a list -> 'b list *)
 $\text{map } f \ [x_1, \dots, x_n] = [f x_1, \dots, f x_n]$

fold: replace (n-ary) constructors with (n-ary) functions

(* ('a * 'b -> 'b) -> 'b -> 'a list -> 'b *)

$\text{foldl } f \ z \ [x_1, \dots, x_n] = f(x_n, \dots, f(x_2, f(x_1, z)))$

$\text{foldr } f \ z \ [x_1, \dots, x_n] = f(x_1, \dots, f(x_{n-1}, f(x_n, z)))$

$L = [1, 2, 3, 4]$

$\text{fun } \text{foldr } f \ z \ [] = z$

| $\text{foldr } f \ z \ (x :: xs) = f(x, \text{foldr } f \ z \ xs)$

$\text{foldr } (\text{op } -) \ 0 \ L = 4 - (3 - (2 - (1 - 0))) = 2$

$\text{foldr } (\text{op } ::) \ [] \ L = [1, 2, 3, 4]$

$\text{fun } \text{foldl } f \ z \ [] = z$

| $\text{foldl } f \ z \ (x :: xs) = \text{foldl } f \ (f(x, z)) \ xs$

$\text{foldl } (\text{op } -) \ 0 \ L = 1 - (2 - (3 - (4 - 0))) = 1$

$\text{foldl } (\text{op } ::) \ [] \ L = [4, 3, 2, 1]$

$\text{op o : ('b -> 'c) * ('a -> 'b) -> ('a -> 'c)}$

$\text{val filter} : ('a -> \text{bool}) -> 'a list -> 'a list$

$\text{val zip} : 'a list * 'b list -> ('a * 'b) list$

a function, f , is written in continuation passing style (CPS) if:

1. f takes at least one continuation as an argument
2. if f makes a call to a recursive function g , then this call is a tail call and g must be in CPS
3. if f makes a call to a function g , which itself has continuations, then this call is a tail call and g must be in CPS
4. f calls its continuation(s) and does so in tail call(s)

in a CPS function, you may...	you may not...
<ul style="list-style-type: none"> case on value of input use let...in...end use non-recursive helper functions in non-tail calls use/case on result of predicates in non-tail calls pass in modified continuations, predicates, and/or other arguments to the recursive calls you make 	<ul style="list-style-type: none"> manipulate, case on, or otherwise use the result of either recursive calls, CPS helper functions, or continuation calls <ul style="list-style-type: none"> break the tail-call requirement use non-CPS recursive helper function

<ul style="list-style-type: none"> <code>raise Div : 'a</code> (not a value! but is an expression) <code>loop 0 : 'a</code>, where <code>fun loop x : 'a -> 'b = loop x</code> <code>[] : 'a list</code> datatypes cannot have base type! datatype <code>'a tree</code> <ul style="list-style-type: none"> polymorphic must be in scope where defined instance: type that follows form of another type structure
--

"extensible" datatype, 'extend' upon exception datatype & declare new exception constructors using `exception` keyword

- cannot declare top-level polymorphic exceptions
 - no possible binding in scope
 - allowed: `fun f (x : 'a) = let exception Poly of 'a in () end`
- `Div : exn, raise : exn -> 'a`
- `Fail of string` (Unimplemented)
- `Match` (non-exhaustive casing)
 - pattern-`match` → cannot find a clause that matches
- `Bind` (pattern matching failed in `val` binding)
 - `bind` values to variables, cannot create valid binding
- `handling: (expr : t) handle exn1 => e1 : t | exn2 => e2 : t`
where `exn1` are exception constructors
- `case (raise exn : t) of (exn1 : t) => A | (exn2 : t) => B`

```
fun match (r : regexp) (cs : char list)
  (k : char list -> bool) : bool = case r of
  | Plus (r1,r2) => match r1 cs k orelse match r2 cs k
  | Times (r1,r2) => match r1 cs (fn cs' => match r2 cs' k)
  | Star r =>
    k cs orelse match r cs (fn cs' => match (Star r) cs' k)
  | Star r =>
    let fun m' cs' = k cs' orelse match r cs' m' in m' cs end
```

$L(a) = \{a\}$	(singleton set) for every character $a \in \Sigma$
$L(\emptyset) = \{\}$	(the empty language, no strings)
$L(1) = \{\epsilon\}$	(the language consisting of the empty string)
$L(r_1 + r_2) = \{s s \in L(r_1) \text{ or } s \in L(r_2)\}$	
$L(r_1 r_2) = \{s_1 s_2 s_1 \in L(r_1) \text{ and } s_2 \in L(r_2)\}$	
$L(r^*) = \{s s = s_1 s_2 \dots s_n, \text{ some } n \geq 0, \text{ with each } s_i \in L(r)\}$	
$\{\epsilon, "a", "b", "ab"\}$	$(a+1)(b+1)$
set of all strings with no consecutive "b"	$(a+ba)^*(b+1)$

Proof of **correctness**

1. prove **termination** – $(\text{match } r \text{ cs } k)$ returns a value for all arguments r, cs, k satisfying REQUIRES specifications
2. given termination, simplify ENSURES, prove **soundness** ("only if") and **completeness** ("if") via structural induction ($\text{match } r \text{ cs } k = \text{true} \text{ if and only if there exists } p \text{ and } s \text{ s.t....}$)
 - Non-value expressions (2 + 2) are not valid patterns

```
val () = Test.string_int("test_1", ("two", 2), f 2)
val () = Test.int_list_eq("test_2", [1, 3], g 2)
```

- `div, mod`: integer division, `mod, /`: real division
- `type age = int` – type abbreviations
- `datatype A = B | C` – new datatype
- “by type inference, the first argument to `v` should have type `int`, but not `x` has type `bool`; no value since ill-typed”
- **extensional equivalence** (expressions): (1) reduce to same value, (2) raise same exception, (3) loop forever
(function-type exp.): for all $e_1 \cong e_2$, $f\ e_1 \cong g\ e_2$
- ephemeral (not persistent): mutable
- **type checking happens before evaluation**

in a signature	in both	in a structure
type specification	type declaration	val/fun declaration
val spec.	datatype decl.	structure decl.
structure spec.	exception decl.	

```

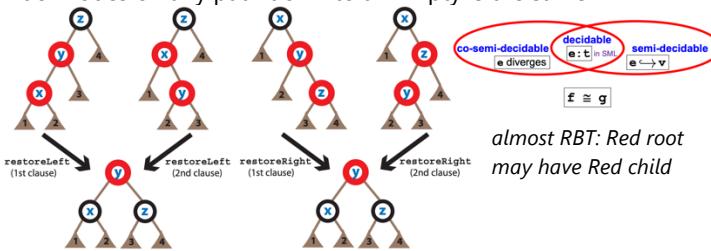
functor MkStruct (S1 : SIG1)
  :> SIG2 where type S2.t = S1.t
= struct ... end
MkStruct (structName)

*functors take in exactly 1 structure
-> structure ascribing to anon. sig.
sig
  type t      (* parameter *)
  type 'a dict (* abstract *)
end

functor MkSugar (
  structure A : SIG1
  structure B : SIG1
) : SIG2 = ...
MkSugar (
  structure A = ...
  structure B = ...)
struct
  type t = int
  datatype 'a dict =
    Empty | Node of ...

```

red-back tree invariants: (roughly balanced: $d \leq 2 \log(n+1)$)
(1) tree is sorted on key part of entries, (2) children of a Red node are Black, (3) each node has well-defined Black height: number of Black nodes on any path down to an Empty is the same



productive streams: `Stream.expose S => Stream.Empty`, or $\Rightarrow \text{Stream.Cons}(x, s')$, where `s'` is productive (i.e., doesn't loop forever or contains raised exceptions)

“constructors of datatype aren't declared in the signature: user external to the structure cannot pattern match on or use the constructors.”

```

datatype 'a stream = Stream of unit -> 'a front
  and 'a front = Empty | Cons of 'a * 'a stream
fun delay f : (unit -> 'a front) -> 'a stream = stream f
fun expose (Stream d) : 'a stream -> 'a front = d ()
empty : 'a stream, val : 'a * 'a stream -> 'a stream
fun interleave s1 s2 =
  S.delay (fn () => interleave' (S.expose s1, s2))
and interleave' (S.Empty, s2) = S.expose s2
| interleave' (S.Cons(x, s1'), s2) =
  S.Cons(x, interleave s2 s1')
(* iterate f x => f0(x), f1(x), f2(x) *)
fun iterate F x = S.delay (fn () => iterate' F x)
and iterate' F x = S.Cons (x,
  S.delay (fn () => S.expose (iterate F(F(x)))))
fun cycle s og n i = if i >= n then cycle og og n 0
  else S.delay (fn () => case S.expose s of
    S.Empty => S.Empty
    | S.Cons(x, s') => S.Cons(x, cycle s' og n (i+1)))
empty, cons(e, st), tabulate(int -> 'a), null, hd, take
(st, n), drop, append (s1, s2), map, filter, zip

```

Brent's theorem: an expression `e` with work `W` and span `S` can be evaluated on a p-processor machine in time $\Omega(W/p, S)$.

- work of cost graph `G`: number of nodes in `G`
- span: num. of nodes on longest path from source to sink

using recursion/CPS

```

fun ptn p [] = ([] , [])
| ptn p (x :: xs) =
let
  val (R1, R2) = ptn p xs
in if (p x) then
  (x :: R1, R2) else
  (R1, x :: R2)
end

```

using HOFs

```

fun partition' p L =
foldr (fn (x, (R1, R2)) =>
  if (p x) then
    (x :: R1, R2) else
    (R1, x :: R2))
([], []) L

```

context-free grammar G is specified by a (1) finite alphabet Σ of terminals (disjoint with V), (2) set V of non-terminals, (3) start symbol in V (often S), (3) and set of expansion rules, each of the form $N \rightarrow \omega$, with $N \in V, \omega \in (\Sigma \cup V)^*$. $L(G) = \{\omega \in \Sigma^* | S \Rightarrow \omega\}$

leftmost derivation: each step expands current leftmost non-terminal (if more than one leftmost derivation: G is *ambiguous*)

pumping lemma (for regex): let L be an infinite regular language ($L = L(r)$ for some regex r), then \exists strings α, ω, β such that $\omega \neq \epsilon$ and $\alpha\omega^k\beta \in L$ for every $k \geq 0$. ex.: rules R of G such that $L(G) = L(G_1) \cup L(G_2)$ is $R : S \rightarrow S_1 | S_2$ along with rules R_1 and R_2

```

! : 'a ref -> 'a.      (op :=) : 'a ref * 'a -> unit
!e : t if e : t ref   ref e : t ref if e : t
e1 := e2 : unit if e1 : t ref and e2 : t
• evaluate e1, if  $e1 \hookrightarrow$  cell  $c$ , evaluate  $e2$ , if  $e2 \hookrightarrow v$ , change
  contents of  $c$  to be  $v$  and return ()

```

```

fun flatten ([] : int list list) : int list = []
| flatten (L :: LS) = (case L of
  [] => flatten LS
  | x :: xs => x :: (flatten (xs :: LS)))

```

WTS for all values $LL : \text{int list list}$, $\text{flatten } LL \cong \text{oldFlat } LL$.

Proof: We proceed by structural induction on LL

Base Case: $LL = []$... Hence, $\text{flatten } [] \cong \text{oldFlat } []$

Inductive Case: $LL = L :: LS$ for some values $L : \text{int list}$ and $LS : \text{int list list}$

Inductive Hypothesis: Assume $\text{flatten } LS \cong \text{oldFlat } LS$

Want to show: $\text{flatten } L :: LS \cong \text{oldFlat } L :: LS$

We then proceed by structural induction on L

Inner Base Case: Let $L \cong []$...

Inner Inductive Case: Let $L \cong x :: xs$ for some $x : \text{int}$ and $xs : \text{int list}$

Inner IH: $\text{flatten } (xs :: LS) \cong \text{oldFlat } (xs :: LS)$

WTS: $\text{flatten } ((x :: xs) :: LS) \cong \text{oldFlat } ((x :: xs) :: LS)$
[cite outer/inner IH, **totality**, clause # of function]

Since $\text{flatten } L :: LS \cong \text{oldFlat } L :: LS$, by structural induction on LL , for all $LL : \text{int list list}$, $\text{flatten } LL \cong \text{oldFlat } LL$.

```

fun leaves Nub = []
| leaves (Branch (L, C, R, v)) =
(case (leaves L, leaves C, leaves R) of
  ([], [], []) => [v] | (L', C', R') => (L' @ C') @ R')

```

Let n be the number of Branches in the tree.

- $W_{\text{leaves}}(0) = k_0$, $W_{\text{leaves}}(1) = k_1$
- $W_{\text{leaves}}(n) = W_{\text{leaves}}(n_l) + W_{\text{leaves}}(n_c) + W_{\text{leaves}}(n_r) + W_{@}(n_l) + W_{@}(n_l + n_c) + k_2 = 3W_{\text{leaves}}(\frac{n}{3}) + kn$

$\log_3 n$ levels, work/node at level i is $\frac{kn}{3^i}$, 3^i nodes at level i .

$$\sum_{i=0}^{\log_3 n} 3^i \cdot \frac{kn}{3^i} = kn \log_3 n \Rightarrow W_{\text{leaves}}(n) \in O(n \log n)$$

$$S(n) = \max(S(n_l), S(n_c), S(n_r)) + \max(S_{@}(n_l), S_{@}(n_l + n_c)) + k_2 = S\left(\frac{n}{3}\right) + kn$$

constant-time: empty(), singleton x , nth S i , null S , length S , subseq S $(i, 1)$, take/drop/split S i

constant-span: tabulate f n n , rev S n , append (S_1, S_2) $|S_1| + |S_2|$, map f S $|S|$, zip (S_1, S_2) $\min(|S_1|, |S_2|)$, enum S $|S|$, update $(S, (i, x))$ $|S|$, inject (S, U) $|S| + |U|$

flatten S $|S| + \sum |S|$, log $|S|$, filter p S $|S|$, log $|S|$, toString S $|S|$, log $|S|$, reduce g z S $|S|$, log $|S|$, sort cmp S $|S| \log |S|$, $\log^2 |S|$, equal f (S_1, S_2) $\min(|S_1| + |S_2|, \log(...))$, merge cmp (S_1, S_2) $|S_1| + |S_2|$, log $(...)$, search cmp x S log $|S|$