

	Recurrence	Asymptotic Upper Bound
$T(n) =$	$T(n-1) + c$	$O(n)$
$T(n) =$	$T(n/2) + c$	$O(\log n)$
$T(n) =$	$2T(n/2) + c$	$O(n)$
$T(n) =$	$T(n/2) + c_1n + c_0$	$O(n)$
$T(n) =$	$2T(n/2) + c_1n + c_0$	$O(n \log n)$
$T(n) =$	$T(n-1) + c_1n + c_0$	$O(n^2)$
$T(n) =$	$2T(n-1) + c$	$O(2^n)$

$$\sum_{i=0}^n 2^i = 2^{n+1} - 1$$

$$\sum_{i=0}^{\log n} \frac{1}{2^i} \leq \sum_{i=0}^{\infty} \frac{1}{2^i} = 2 \in O(1)$$

$$\sum_{i=0}^n i = \frac{n(n+1)}{2}$$

$$\sum_{i=0}^n a^i = \frac{1-a^{n+1}}{1-a}$$

function application is left associative: $f \ x \ y$ means $(f \ x) \ y$
arrows right associative: $t_1 \rightarrow t_2 \rightarrow t_3 = t_1 \rightarrow (t_2 \rightarrow t_3)$

HOFs: take functions as arguments and/or return HOFs

combinators (HOFs): functions that combine small pieces of code into larger pieces of code – e.g., composition $f \circ g$

point-wise principle: specify what a particular combination of functions means by writing out explicitly how the combinator evaluates code for a given argument (currying makes this easy)

→ use the combinator to combine functions without referring explicitly to arguments of the functions: take in function values

and return a function value: **point-free programming**

point-specific: `fun (f ++ g) x = f(x) + g(x)`
`fun (f ++ g) = fn x => f(x) + g(x)`

point-free: `fun quadratic = square ++ double`

staging: move parts of the computation close to where the arguments required for the computation appear; perform useful work prior to receiving all its arguments

`fun g x y = let val z = hc(x) in z + y end`

staging **does not** occur, lambda expression not applied:

`g 5 => [5 / x] fn y => let val z = hc(x) in z + y end`

`g5 2 => [5/x, 2/y] let val z = hc(x) in z + y end`

`=> [5/x, 2/y, someint/z] z + y (*takes 10 months*)`

staging: hc doesn't depend on x:

`fun h x = let val z = hc(x) in (fn y => z + y) end`

map: replace constituent values (*defined over general datatypes*)

`(* map : ('a -> 'b) -> 'a list -> 'b list *)`

`map f [x1, ..., xn] = [f x1, ..., f xn]`

fold: replace (n-ary) constructors with (n-ary) functions

`(* ('a * 'b -> 'b) -> 'b -> 'a list -> 'b *)`

`foldl f z [x1, ..., xn] = f(xn, ..., f(x2, f(x1, z)))`

`foldr f z [x1, ..., xn] = f(x1, ..., f(xn-1, f(xn, z)))`

`L = [1, 2, 3, 4]`

`fun foldr f z [] = z`

`| foldr f z (x :: xs) = f(x, foldr f z xs)`

`foldr (op -) 0 L = 4 - (3 - (2 - (1 - 0))) = 2`

`foldr (op ::) [] L = [1, 2, 3, 4]`

`fun foldl f z [] = z`

`| foldl f z (x :: xs) = foldl f f(x, z) xs`

`foldl (op -) 0 L = 1 - (2 - (3 - (4 - 0))) = ~2`

`foldl (op ::) [] L = [4, 3, 2, 1]`

`op o : ('b -> 'c) * ('a -> 'b) -> ('a -> 'c)`

`val filter : ('a -> bool) -> 'a list -> 'a list`

`val zip : 'a list * 'b list -> ('a * 'b) list`

a function, f , is written in continuation passing style (CPS) if:

1. f takes at least one continuation as an argument
2. if f makes a call to a recursive function g , then this call is a tail call and g must be in CPS
3. if f makes a call to a function g , which itself has continuations, then this call is a tail call and g must be in CPS
4. f calls its continuation(s) and does so in tail call(s)

*in a CPS function, you **may**...*

- case on value of input
- use let...in...end
- use non-recursive helper functions in non-tail calls
- use/case on result of predicates in non-tail calls
- pass in modified continuations, predicates, and/or other arguments to the recursive calls you make

*you **may not**...*

- manipulate, case on, or otherwise use the result of either recursive calls, CPS helper functions, or continuation calls
 - break the tail-call requirement
- use non-CPS recursive helper function

- **raise** `Div : 'a` (not a value! but is an expression)
- **loop** `0 : 'a`, where `fun loop x : 'a -> 'b = loop x`
- `[] : 'a list`
- datatypes cannot have base type! datatype `'a tree`
 - polymorphic must be in scope where defined
- instance: type that follows form of another type structure

*"extensible" datatype, 'extend' upon exception datatype & declare new exception constructors using **exception** keyword*

- cannot declare top-level polymorphic exceptions
 - no possible binding in scope
 - allowed: `fun f (x : 'a) = let exception Poly of 'a in () end`
- `Div : exn`, `raise : exn -> 'a`
- `Fail` of `string` (Unimplemented)
- `Match` (non-exhaustive casing)
 - pattern-**match** → cannot find a clause that matches
- `Bind` (pattern matching failed in `val` binding)
 - **bind** values to variables, cannot create valid binding
- **handling:** `(expr : t) handle exn1 => e1 : t`
`| exn2 => e2 : t`
where `exn1` are exception constructors
- **case** `(raise exn : t) of`
`(exn1 : t) => A | (exn2 : t) => B`

```
fun match (r : regexp) (cs : char list)
  (k : char list -> bool) : bool = case r of
| Plus (r1,r2) => match r1 cs k orelse match r2 cs k
| Times (r1,r2) => match r1 cs (fn cs' => match r2 cs' k)
| Star r =>
  k cs orelse match r cs (fn cs' => match (Star r) cs' k)
| Star r =>
  let fun m' cs' = k cs' orelse match r cs' m' in m' cs end
```

$L(a) = \{a\}$	(singleton set) for every character $a \in \Sigma$
$L(0) = \{\}$	(the empty language, no strings)
$L(1) = \{\epsilon\}$	(the language consisting of the empty string)
$L(r_1 + r_2) = \{s s \in L(r_1) \text{ or } s \in L(r_2)\}$	
$L(r_1 r_2) = \{s_1 s_2 s_1 \in L(r_1) \text{ and } s_2 \in L(r_2)\}$	
$L(r^*) = \{s s = s_1 s_2 \dots s_n, \text{ some } n \geq 0, \text{ with each } s_i \in L(r)\}$	
$\{\epsilon, "a", "b", "ab"\}$	$(a+1)(b+1)$
set of all strings with no consecutive "b"	$(a+ba)^*(b+1)$

Proof of **correctness**

1. prove **termination** – (`match r cs k`) returns a value for all arguments r, cs, k satisfying REQUIRES specifications
 2. given termination, simplify ENSURES, prove **soundness** ("only if") and **completeness** ("if") via structural induction
(match r cs k) = true if and only if there exists p and s s.t....
 - Non-value expressions $(2 + 2)$ are not valid patterns
- `val () = Test.string_int("test_1", ("two", 2), f 2)`
`val () = Test.int_list_eq("test_2", [1, 3], g 2)`

- `div, mod`: integer division, `mod`, `/`: real division
- `type age = int` – type abbreviations
- `datatype A = B | C` – new datatype
- “by type inference, the first argument to `v` should have type `int`, but not `x` has type `bool`; no value since ill-typed”
- **extensional equivalence** (expressions): (1) reduce to same value, (2) raise same exception, (3) loop forever (function-type exp.): for all $e_1 \cong e_2, f e_1 \cong g e_2$
- ephemeral (not persistent): mutable
- **type checking happens before evaluation**

in a signature	in both	in a structure
type specification	type declaration	val/fun declaration
val spec.	datatype decl.	structure decl.
structure spec.	exception decl.	

```

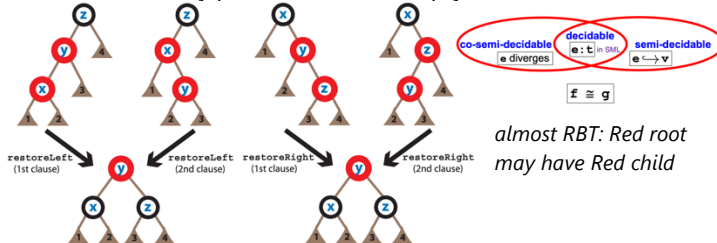
functor MkStruct (S1 : SIG1)
  :> SIG2 where type S2.t = S1.t
= struct ... end
MkStruct (structName)

*functors take in exactly 1 structure
-> structure ascribing to anon. sig.
sig
  type t          (* parameter *)
  type 'a dict    (* abstract *)
end

functor MkSugar (
  structure A : SIG1
  structure B : SIG1
) : SIG2 = ...
MkSugar (
  structure A = ...
  structure B = ...)
struct
  type t = int
  datatype 'a dict =
    Empty | Node of ...

```

red-back tree invariants: (roughly balanced: $d \leq 2 \log(n+1)$)
 (1) tree is sorted on key part of entries, (2) children of a Red node are Black, (3) each node has well-defined Black height: number of Black nodes on any path down to an Empty is the same



productive streams: `Stream.expose S => Stream.Empty`, or `=> Stream.Cons (x, s')`, where `s'` is productive (i.e., doesn't loop forever or contains raised exceptions)

“constructors of datatype aren't declared in the signature: user external to the structure cannot pattern match on or use the constructors.”

```

datatype 'a stream = Stream of unit -> 'a front
and 'a front = Empty | Cons of 'a * 'a stream
fun delay f : (unit->'a front)->'a stream = stream f
fun expose (Stream d) : 'a stream -> 'a front = d ()
empty : 'a stream, val : 'a * 'a stream -> 'a stream
fun interleave s1 s2 =
  S.delay (fn () => interleave' (S.expose s1, s2))
and interleave' (S.Empty, s2) = S.expose s2
| interleave' (S.Cons(x, s1'), s2) =
  S.Cons(x, interleave s2 s1')
(* iterate f x => f0(x), f1(x), f2(x) *)
fun iterate F x = S.delay (fn () => iterate' F x)
and iterate' F x = S.Cons(x,
  S.delay (fn () => S.expose (iterate F(F(x))))
fun cycle s og n i = if i >= n then cycle og s og n 0
  else S.delay(fn () => case S.expose s of
    S.Empty => S.Empty
    | S.Cons(x, s') => S.Cons(x, cycle s' og n (i+1)))
empty, cons(e, st), tabulate(int -> 'a), null, hd, take
(st, n), drop, append (s1, s2), map, filter, zip

```

Brent's theorem: an expression `e` with work `W` and span `S` can be evaluated on a `p`-processor machine in time $\Omega(W/p, S)$.

- work of cost graph `G`: number of nodes in `G`
- span: num. of nodes on longest path from source to sink

using recursion/CPS	using HOFs
<pre> fun ptn p [] = ([], []) ptn p (x :: xs) = let val (R1, R2) = ptn p xs in if (p x) then (x :: R1, R2) else (R1, x :: R2) end </pre>	<pre> fun partition' p L = foldr (fn (x, (R1, R2)) => if (p x) then (x :: R1, R2) else (R1, x :: R2)) L </pre>

context-free grammar `G` is specified by a (1) finite alphabet Σ of terminals (disjoint with V), (2) set V of non-terminals, (3) start symbol in V (often `S`), (3) and set of expansion rules, each of the form $N \rightarrow \omega$, with $N \in V, \omega \in (\Sigma \cup V)^*$. $L(G) = \{\omega \in \Sigma^* | S \Rightarrow \omega\}$
leftmost derivation: each step expands current leftmost non-terminal (if more than one leftmost derivation: `G` is *ambiguous*)
pumping lemma (for regex): let `L` be an infinite regular language ($L = L(r)$ for some regex `r`), then \exists strings α, ω, β such that $\omega \neq \epsilon$ and $\alpha\omega^k\beta \in L$ for every $k \geq 0$. ex.: rules `R` of `G` such that $L(G) = L(G_1) \cup L(G_2)$ is `R : S -> S1|S2` along with rules `R1` and `R2`

```

! : 'a ref -> 'a.      (op :=) : 'a ref * 'a -> unit
! e : t if e : t ref   ref e : t ref if e : t
e1 := e2 : unit if e1 : t ref and e2 : t
• evaluate e1, if  $e_1 \hookrightarrow c$ , evaluate e2, if  $e_2 \hookrightarrow v$ , change
  contents of c to be v and return ()

```

```

fun flatten ([] : int list list) : int list = []
  | flatten (L :: LS) = (case L of
    [] => flatten LS
    | x :: xs => x :: (flatten (xs :: LS)))
WTS for all values LL: int list list, flatten LL  $\cong$  oldFlat LL.

```

Proof: We proceed by structural induction on `LL`

Base Case: `LL = []` ... Hence, `flatten [] \cong oldFlat []`

Inductive Case: `LL = L :: LS` for some values `L : int list` and `LS : int list list`

Inductive Hypothesis: Assume `flatten LS \cong oldFlat LS`

Want to show: `flatten L :: LS \cong oldFlat L :: LS`

We then proceed by structural induction on `L`

Inner Base Case: Let `L \cong []` ...

Inner Inductive Case: Let `L \cong x :: xs` for some `x : int` and `xs : int list`

Inner IH: `flatten (xs :: LS) \cong oldFlat (xs :: LS)`

WTS: `flatten((x::xs)::LS) \cong oldFlat ((x::xs)::LS)`
 [cite outer/inner IH, **totality**, clause # of function]

Since `flatten L :: LS \cong oldFlat L :: LS`, by structural induction on `LL`, for all `LL : int list list`, `flatten LL \cong oldFlat LL`.

```

fun leaves Nub = []
  | leaves (Branch (L, C, R, v)) =
    (case (leaves L, leaves C, leaves R) of
      ([], [], []) => [v] | (L', C', R') => (L' @ C') @ R')
Let n be the number of Branches in the tree.

```

- $W_{\text{leaves}}(0) = k_0, W_{\text{leaves}}(1) = k_1$
- $W_{\text{leaves}}(n) = W_{\text{leaves}}(n_l) + W_{\text{leaves}}(n_c) + W_{\text{leaves}}(n_r) + W_{\text{leaves}}(n_l) + W_{\text{leaves}}(n_l + n_c) + k_2 = 3W_{\text{leaves}}(\frac{n}{3}) + kn$

$\log_3 n$ levels, work/node at level i is $\frac{kn}{3^i}$, 3^i nodes at level i .

$$\sum_{i=0}^{\log_3 n} 3^i \cdot \frac{kn}{3^i} = kn \log_3 n \Rightarrow W_{\text{leaves}}(n) \in O(n \log n)$$

$$S(n) = \max(S(n_l), S(n_c), S(n_r)) + \max(S_{\text{leaves}}(n_l), S_{\text{leaves}}(n_l + n_c)) + k_2 = S(\frac{n}{3}) + kn$$

constant-time: `empty ()`, `singleton x`, `nth S i`, `null S`, `length S`, `subseq S (i, 1)`, `take/drop/split S i`

constant-span: `tabulate f n`, `rev S n`, `append (S1, S2)` $|S1| + |S2|$, `map f S` $|S|$, `zip (S1, S2)` $\min(|S1|, |S2|)$, `enum S` $|S|$, `update (S, (i, x))` $|S|$, `inject (S, U)` $|S| + |U|$

`flatten S` $|S| + \text{sum}|S|, \log|S|$, `filter p S` $|S|, \log|S|$, `toString ts S` $|S|, \log|S|$, `reduce g z S` $|S|, \log|S|$, `sort cmp S` $|S| \log|S|, \log^2|S|$, `equal f (S1, S2)` $\min(|S1| + |S2|, \log(...))$, `merge cmp (S1, S2)` $|S1| + |S2|, \log(...)$, `search cmp x S` $\log|S|$