# **Citation Prediction Challenge**

**ALTEGRAD 2021/2022** 

Marine Mercier, Yoach Lacombe MVA 21/22 ENS Paris-Saclay

February 22, 2022

### **Overview**

### 1. Pipeline

### 2. Features extraction

- 2.1 Simple features
- 2.2 NLP-based embeddings
- 2.3 Author embeddings
- 2.4 Graph-based embeddings

### 3. Training and testing

- 3.1 Building the dataset
- 3.2 Binary Classification
- 3.3 Testing and results

### 4. Conclusion

## Overview of the pipeline

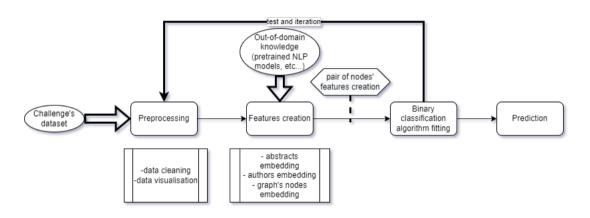


Figure: Challenge's pipeline.

## Simple features - 1

#### **Baseline**

- 1. Sum of degree
- 2. Absolute difference of degree
- 3. Sum of the length of the abstracts
- 4. Absolue difference of the len of the abstracts
- 5. Number of common words in the abstracts

#### **Authors** related

- 1. Number of common authors
- Number of common authors weighted by number of listed papers in which the authors appeared
- 3. Number of authors
- 4. Popularity of each paper (sum of appearances of each author)

## Simple features - 2 - graph related

#### Graph related features

 $\Gamma(u)$  denotes the set of neighbors of u.

- Jaccard Coefficient  $\frac{|\Gamma(u) \cap \Gamma(v)|}{|\Gamma(u) \cup \Gamma(v)|}$
- Ressource Allocation index  $\sum_{w \in \Gamma(u) \cap \Gamma(v)} \frac{1}{|\Gamma(w)|}$
- Adamic Adar Index  $\sum_{w \in \Gamma(u) \cap \Gamma(v)} \frac{1}{\log |\Gamma(w)|}$

### Testing difficulties

These similarities measures heavily rely on the graph structure. Splitting the positive edges when testing modify this structure, which makes the testing difficult.

## **Limitations and overcoming**

These features are **hand-made**, based on simple intuitions :

- Papers are more likely to be linked if they have similar contents (based on abstracts).
- Famous or similar authors are more likely to quote each other.

To get better results, our features need to be robust to **neighborhood context** and **semantic meaning**.

We thus looked at **NLP** and **graph-based** algorithms.

## **Abstract embedding - Doc2Vec**

#### Doc2Vec

- Extension of the classic Word2Vec algorithm (CBOW approach)
- Previous words AND document related embeddings are used to predict the current word (PV-DM).
- 3. Trained with Gensim directly on the abstracts

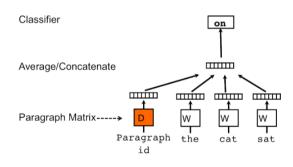


Figure: Visual illustration of the doc2vec's PV-DM approach. From https://medium.com/wisio/agentle-introduction-to-doc2vec-db3e8c0cce5e

## Abstract embedding - USE

#### Universal Sentence Encoder

- Transformer-based encoder.
- 2. Pre-trained on multiple tasks (skip-thought, response prediction, natural language inference) on a large dataset.
- 3. Wasn't fine-tuned on the abstracts.
  - use of out-of-domain knowledge.

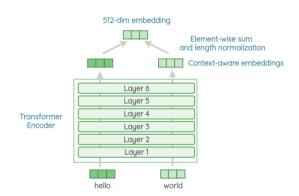


Figure: Transformer mechanism. From https://amitness.com/2020/06/universal-sentenceencoder/

## **Authors embedding - Node2vec**

#### Node2Vec

- Sample sentences of nodes with random walks starting from each node.
- Use word2vec algorithm on sentences of nodes to create node embeddings.

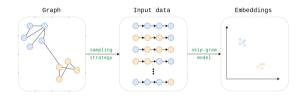


Figure: Node2vec framework. From :"node2vec: Scalable Feature Learning for Networks", Aditya Grover and Jure Leskovec

## **Graph-based embeddings - GraphSAGE**

#### **GraphSAGE** framework

On a graph with node features. K aggregators functions,  $W^k$  weight matrices.

- Sample uniformly a fixed-size set among neighbors of nodes in the considered layer.
- 2. Aggregate features of each layer's nodes with the aggregators.
- 3. Use a fully connected layer on the concatenation of features of each layer with weights  $W^k$ .

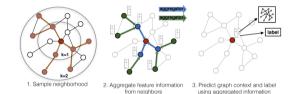


Figure: GraphSAGE framework. From : Inductive Representation Learning on Large Graphs, William L. Hamilton and Rex Ying and Jure Leskovec

### **Building the binary dataset**

### Negative edges sampling

To create a binary dataset, we need negative samples. We sampled pairs of nodes as long as they weren't on the positive edges set.

### Negative to positive edges ratio

We used a negative to positive edges ratio of around 1.

#### From unstructured dataset to tabular dataset

Two categories of features:

- Node-based features either using similarity functions (I2, I1, cosine similarity) or concatenating them.
- Edge-based features used as it is.

## **Binary classifier**

Input: Edge embeddingsOutput: Link prediction

#### **Neural Network**

- Three linear layers with ReLu activation function,
- Dropout to reduce over-fitting,
- Sigmoid function for the output,
- Trained simultaneously with GraphSAGE.

#### XGBoost

- Random forest technique: bagging of shallow trees trained on a sample of the dataset, with a random selection of features.
- At each iteration use the error residuals of the previous model to fit the next model.
- Average all trees to predict the output:
  ŷ = ∑<sub>i=0</sub><sup>m</sup> α<sub>i</sub>F<sub>i</sub>(X) with F<sub>i</sub> the learned trees, α<sub>i</sub> the learning rate at iteration i.

### Results on train

Results after training on 70% of the dataset, 30% for validation. With XGBoost classifier.

Features used	Accuracy	Log Loss	AUC
Authors based features	0.776	0.501	0.629
+ baseline features	0.898	0.253	0.940
+ USE embedding similarity	0.919	0.208	0.960
+ Doc2Vec embedding similarity	0.931	0.178	0.971
+ SAGE embedding similarity	0.961	0.110	0.989

Table: Results on train/test split with 70 percent of the edges on the train set.

Our different features improved all metrics we used to evaluate our pipeline.

## **Conclusion and next steps**

#### **Conclusion**

- All in all, our best model, trained on the full dataset,led to a public score of 0.12626.
- The GraphSAGE embedding (which encompass node features) and the popularity of the authors were the most important features.
- The structure of the graph, and the number of connected components impacts some similarity measures more than others.

#### To go further

- Our model is inductive and would generalize well on new nodes in an evolving graph.
- We could use the entire provided dataset to train our model using the similarity measures impacted by the train/validation split.

## **Annexe - Feature importance**

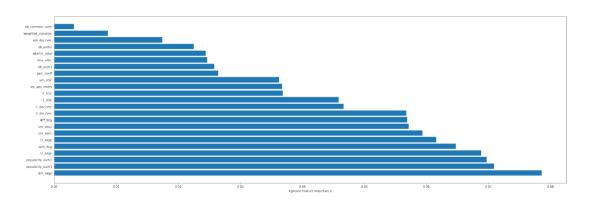


Figure: Features importance by "weight".

# The End