

Design and Analysis of Algorithms  
CS 575, Spring 2023  
Theory Assignment 3.1

1. The edit distance between two strings  $S_1$  and  $S_2$  is the minimum number of operations to convert one string to the other string. We assume that three types of operations can be used: Insert (a character), Delete (a character), and Replace (a character by another character). For example, the edit distance between `dof` and `dog` is 1 (one Replace), between `cat` and `act` is 2 (one Delete and one Insert or two Replace), between `cat` and `dog` is 3 (3 Replace). Design a dynamic programming algorithm to compute the edit distance between two strings by following the steps below:
  - a. [10 points] Write down the principle of optimality for the minimum edit distance problem, and prove that the problem satisfies the principle of optimality.

Solution:

- The principle of optimality states that an optimal solution to a problem contains within it optimal solutions to subproblems.
- In the case of minimum edit distance, this means that the minimum edit distance between two strings  $S_1$  and  $S_2$  can be computed by finding the minimum edit distance between prefixes of  $S_1$  and  $S_2$ , and using those minimum edit distances to compute the minimum edit distance between  $S_1$  and  $S_2$ .
- For example, suppose we want to find the minimum edit distance between the strings "cat" and "bat". We can break the problem down into smaller subproblems by considering the prefixes of the two strings:
  - The minimum edit distance between the prefixes "" and "" is 0.
  - The minimum edit distance between the prefixes "c" and "" is 1 (one deletion).
  - The minimum edit distance between the prefixes "c" and "b" is 1 (one substitution).
  - The minimum edit distance between the prefixes "ca" and "b" is 2 (one substitution and one deletion).
  - The minimum edit distance between the prefixes "cat" and "b" is 3 (one substitution and two deletions).
- Using the minimum edit distances between prefixes, we can compute the minimum edit distance between "cat" and "bat" as 3 (one substitution and two deletions). This shows that the problem satisfies the principle of optimality, as the optimal solution to the problem contains within it optimal solutions to subproblems.

- b. [10 points] Show the recurrence equation for computing the edit distance. (Hint: Let  $d[i, j]$  be the edit distance between the substring of the first  $i$  characters of  $S_1$  and the substring of the first  $j$  characters of  $S_2$ . Then consider the prefixes of the two strings in a way similar to the analysis for the LCS problem.)

Solution:

1 b) Recurrence Equation :

$$ed(i, j) = \begin{cases} 0 & i=0 \\ & j=0 \\ ed(i-1, j-1) & \text{if } s_1[i] = s_2[j] \\ \min \begin{cases} ed(i, j-1) + 1 \\ ed(i-1, j) + 1 \\ ed(i-1, j-1) + \text{cost} \end{cases} & \begin{cases} \text{if } s_1[i] = s_2[j] \\ \text{then cost} = 0 \\ \text{else cost} = 1 \end{cases} \end{cases}$$

c. [10 points] Provide pseudocode for Edit-Distance( $S_1, S_2$ ).

Solution:

```
1. c) int editDistance( $s_1, s_2$ )
     $x = \text{length}(s_1)$ 
     $y = \text{length}(s_2)$ 
     $ed[x+1][y+1]$ 

    for  $i = 0$  to  $x$  do:
         $ed[i][0] \leftarrow i$ 

    for  $j = 0$  to  $y$  do:
         $ed[0][j] \leftarrow j$ 

    for  $i = 1$  to  $x$  do:
        for  $j = 1$  to  $y$  do:
            if  $s_1[i-1] == s_2[j-1]$  do:
                 $ed[i][j] \leftarrow ed[i-1][j-1]$ 
            else do:
                 $ed[i][j] \leftarrow 1 + \min(ed[i][j-1], ed[i-1][j], ed[i-1][j-1])$ 

    return  $ed[x][y]$ 
```

- d. [10 points] Use Edit-Distance() to create the table  $d$  ( $d[i, j]$  is defined above) for  $S1 = \text{cats}$  and  $S2 = \text{fast}$ . The entry at  $d[4, 4]$  should show the correct edit distance between the two words.

Solution:

1 d)

		f	a	s	t
	0	1	2	3	4
c	1	1	2	3	4
a	2	2	1	2	3
t	3	3	2	2	2
s	4	4	3	2	3

2. [35 points] Use Floyd's algorithm to find all pairs shortest paths in the following graph.

- a. [15 points] construct the matrix  $D$ , which contains the lengths of the shortest paths, and the matrix  $P$ , which contains the highest indices of the intermediate vertices on the shortest paths. Show the actions step by step. You need to show  $D^0$  to  $D^7$  and  $P^0$  to  $P^7$  (i.e. matrix  $P$  updated along with  $D$  step by step). You can use your computer program to output them or do it manually.

Solution:





$D_2$	$\begin{bmatrix} 0 & 4 & \infty & 22 & \infty & 10 & \infty \\ 3 & 0 & \infty & 18 & \infty & 13 & \infty \\ 9 & 6 & 0 & 24 & \infty & 19 & \infty \\ 8 & 5 & 15 & 0 & 2 & 18 & 5 \\ \infty & \infty & 12 & 1 & 0 & \infty & \infty \\ \infty & \infty & \infty & \infty & \infty & 0 & 10 \\ \infty & \infty & \infty & 8 & \infty & \infty & 0 \end{bmatrix}$	$P^2 = \begin{bmatrix} 0 & 0 & 0 & 2 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 2 & 0 & 0 & 2 & 0 & 2 & 0 \\ 2 & 0 & 0 & 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$
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$D_3$	$\begin{bmatrix} 0 & 4 & \infty & 22 & \infty & 10 & \infty \\ 3 & 0 & \infty & 18 & \infty & 13 & \infty \\ 9 & 6 & 0 & 24 & \infty & 19 & \infty \\ 8 & 5 & 15 & 0 & 2 & 18 & 5 \\ 21 & 18 & 12 & 1 & 0 & 31 & \infty \\ \infty & \infty & \infty & \infty & \infty & 0 & 10 \\ \infty & \infty & \infty & 8 & \infty & \infty & 0 \end{bmatrix}$	$P^3 = \begin{bmatrix} 0 & 0 & 0 & 2 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 2 & 0 & 0 & 2 & 0 & 2 & 0 \\ 2 & 0 & 0 & 0 & 0 & 2 & 0 \\ 3 & 3 & 0 & 0 & 0 & 3 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$
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$D_4$	$\begin{bmatrix} 0 & 4 & 37 & 22 & 24 & 10 & 27 \\ 3 & 0 & 33 & 18 & 20 & 13 & 23 \\ 9 & 6 & 0 & 24 & 26 & 19 & 29 \\ 8 & 5 & 15 & 0 & 2 & 13 & 5 \\ 9 & 6 & 12 & 1 & 0 & 19 & 6 \\ \infty & \infty & \infty & \infty & \infty & 0 & 10 \\ 16 & 13 & 23 & 8 & 10 & 26 & 0 \end{bmatrix}$	$P^4 = \begin{bmatrix} 0 & 0 & 4 & 2 & 4 & 0 & 4 \\ 0 & 0 & 4 & 0 & 4 & 0 & 4 \\ 2 & 0 & 0 & 2 & 4 & 2 & 4 \\ 2 & 0 & 0 & 0 & 0 & 2 & 0 \\ 4 & 4 & 0 & 0 & 0 & 4 & 4 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 4 & 4 & 4 & 0 & 4 & 4 & 0 \end{bmatrix}$
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$D_5$	$\begin{bmatrix} 0 & 4 & 36 & 22 & 24 & 10 & 27 \\ 3 & 0 & 32 & 18 & 20 & 13 & 23 \\ 9 & 6 & 0 & 24 & 26 & 19 & 29 \\ 8 & 5 & 14 & 0 & 2 & 18 & 5 \\ 9 & 6 & 12 & 1 & 0 & 19 & 6 \\ \infty & \infty & \infty & \infty & \infty & 0 & 10 \\ 16 & 13 & 22 & 8 & 10 & 26 & 0 \end{bmatrix}$	$P^5 = \begin{bmatrix} 0 & 0 & 5 & 2 & 4 & 0 & 4 \\ 0 & 0 & 5 & 0 & 4 & 0 & 4 \\ 2 & 0 & 0 & 2 & 4 & 2 & 4 \\ 2 & 0 & 5 & 0 & 0 & 2 & 0 \\ 4 & 4 & 0 & 0 & 0 & 4 & 4 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 4 & 4 & 5 & 0 & 4 & 4 & 0 \end{bmatrix}$
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- b. [10 points] Use the Print Shortest Path algorithm (slide 48 of the dynamic programming lecture notes) to find the shortest path from vertex v7 to vertex v3 using the matrix P you constructed from the previous step. Show the actions step by step (either trace the algorithm or show the call tree). You can take the slide 51 as an example of the call tree.

Solution:

2 b) Shortest path from  $V_7$  to  $V_3$

The path is  $V_7 \rightarrow V_4 \rightarrow V_5 \rightarrow V_3$

-  $P_7 : q=7, r=3, \text{callpath}(7,3)$

- call  $\text{path}(7,5)$

- call  $\text{path}(7,4) \rightarrow p(q)(r) = 0$ , ~~hence~~ we return.

- Printing  $V_4$

- calling  $\text{path}(4,5) \rightarrow p(q)(r) = 0$ , hence we return.

- Returning from call to  $\text{path}(7,5)$

- Printing  $V_5$

- calling  $\text{path}(5,3) \rightarrow p(q)(r) = 0$ , hence we return.

- Returning from call to  $\text{path}(7,3)$ .

- Complete

$V_7, V_4, V_5, V_3$ .



- c. [10 points] Analyze the Print Shortest Path algorithm and show that it has a linear-time complexity (input size is the number of vertices in the graph).  
(Hint: You can consider each array access to  $P[i][j]$  as a basic operation.)

Solution:

