



# Intro to Neural Nets

Week 6: RNNs for Text

# Today's Agenda

## Background on NLP

- Use Cases
- Quick review on bag of words approaches, etc.

## TextVectorization Layer

- This implements basic standardization and punctuation removal. It assumes 1-grams, then one-hot encodes.
- No stemming or stop word removal, by default.

## Sequence vs. Bag-of-Words

- Conceptually

## Architectures for Sequences

- Bidirectional LSTM



# Quick Review of NLP Concepts

## Pre-processing Text

- Standardization, stop words, stemming, tokenization (words), n-grams.
- One-hot-encoding / vectorization.
- Final state is often a Term-Frequency Matrix
- *Q: why is this called a bag-of-words approach?*

	Database	SQL	Index	Regression	Likelihood	linear
D1	24	21	9	0	0	3
D2	32	10	5	0	3	0
D3	12	16	5	0	0	0
D4	6	7	2	0	0	0
D5	43	31	20	0	3	0
D6	2	0	0	18	7	6
D7	0	0	1	32	12	0
D8	3	0	0	22	4	4
D9	1	0	0	34	27	25

# Weighting Term-Documents: TF-IDF

**Not all phrases are of equal importance...**

- E.g., David less important than Beckham
- If a term occurs all the time, observing its presence is less informative

**Inverse-document frequency (IDF) helps address this.**

$$\text{IDF} = \log(N/n_j)$$

- Term 'weighting' is then calculated as Term Frequency (TF) x IDF
- $n_j$  = # of docs containing the term,  $N$  = total # of docs
- A term is deemed important if it has a high TF and/or a high IDF.
- As TF goes up, the word is more common generally. As IDF goes up, it means very few documents contain this term.

# TextVectorization Layer

## Pre-processing Text

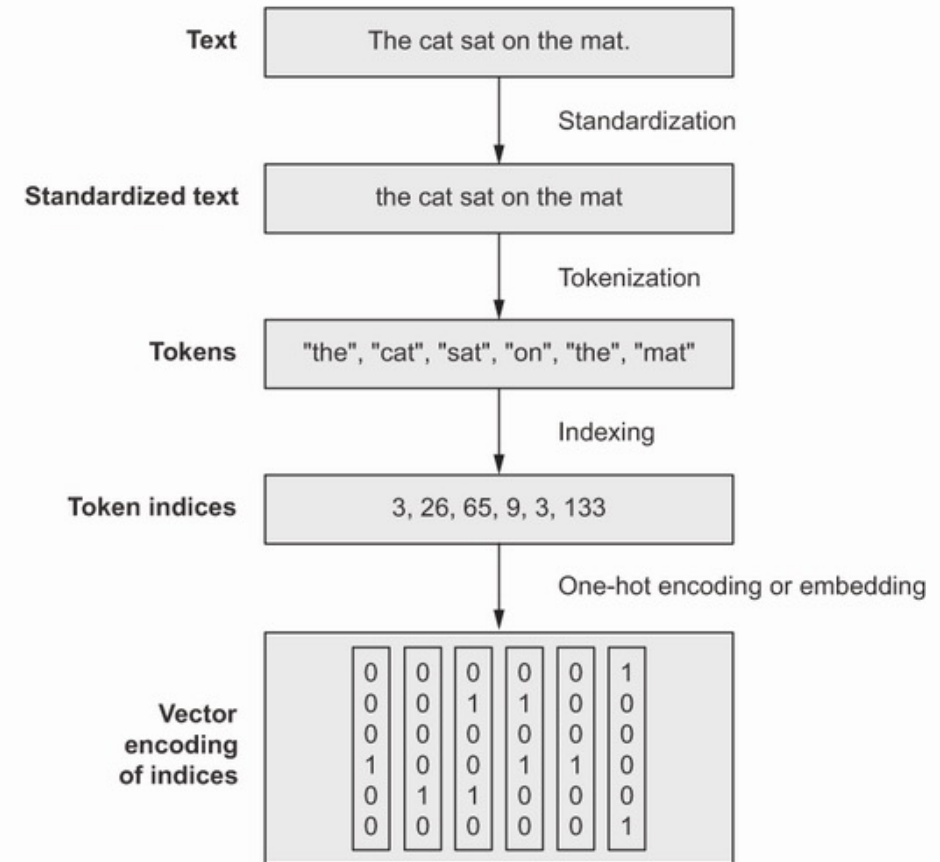
- Standardization, tokenization (words), one-hot-encoding / vectorization.
- The Keras TextVectorization() layer achieves these steps quickly.

## Customization

- You can work with n-grams, and do other sorts of pre-processing, using arguments.

## Options

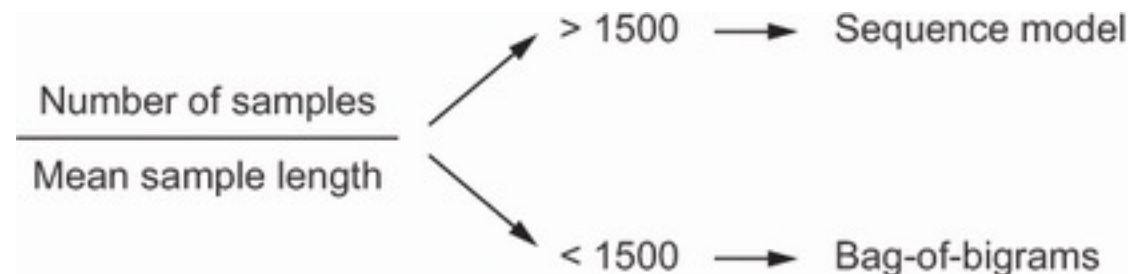
- Include as part of TF Dataset pipeline (more efficient)
- Include as a layer in your Keras model.



# Sequence vs. Bag-of-Words

## Word-Ordering Contains Information

- We can get a weak representation of language sequences using n-grams, but this can be limited.
- Sequence-models may provide leverage more information from language in prediction tasks (if we have enough examples, and the sequences are short enough).
- We can represent these sequences with RNNs, typically bidirectional RNNs (because word ordering and interpretation is not always linear).



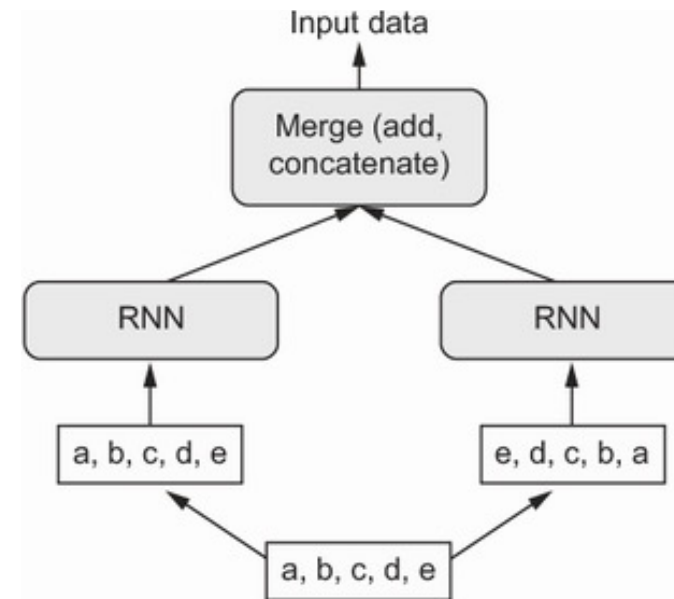
# Bidirectional LSTM

## We Saw This Last Time

- Take each sequence as input data, as well as a flipped/reversed copy.
- Was state of the art for text processing until relatively recently (transformers now dominate).

## Instead of Time Series We Pass...

- Sequences of one-hot-encodings of terms.
- Sequences of pre-trained vector embeddings of terms.



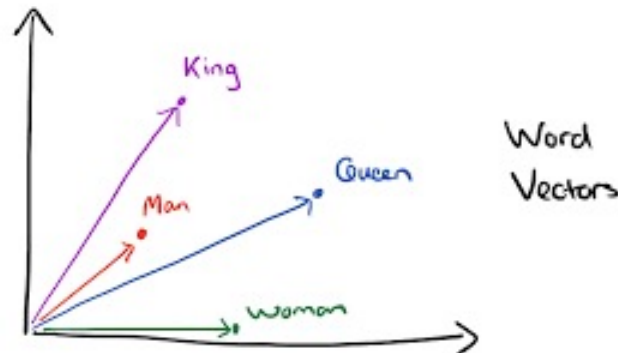
# Embedding Layer

## LSTM Will Still Struggle to Figure Out Semantics

- Despite having sequence, it will struggle with synonyms, grammar, concepts.

## Textual Embedding Layer First Provides Dimensionality Reduction

- Cast words into a latent dimensional space – similar vector = similar meaning.
- The Embedding layer is a lookup table that maps tokens to vectors. The vector associations are weights in the network, randomly initialized. Network updates them to learn dimensionality reductions that help with prediction (just like with convolution filters).
- We can pass the output sequences of learned vector representations into our LSTM.





# Pre-Trained Embeddings: GloVe

## Global Vector Representation

- Based on a giant term-term co-occurrence matrix – rows are vectors of co-occurrence (conditional) probabilities.
- Two terms are similar if their ratios of co-occurrences with *other* terms are about equal.
- Roughly speaking, GloVe learns word vectors, e.g.,  $v_i$  and  $v_j$ , such that the dot product of any pair of vectors is equal to their co-occurrence ratio  $P(v_j | v_i)$ .
- This is achieved via a gradient-descent optimization.

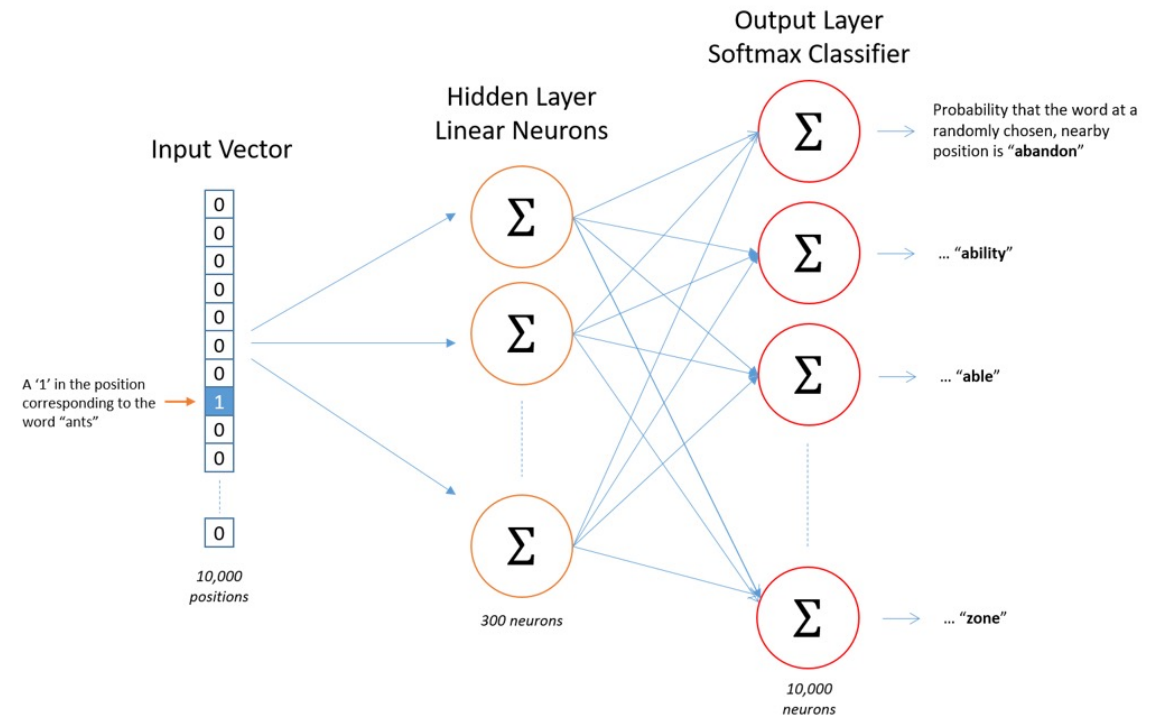
	the	cat	sat	on	mat
the	0	1	0	1	1
cat	1	0	1	0	0
sat	0	1	0	1	0
on	1	0	1	0	0
mat	1	0	0	0	0

# Pre-Trained Embeddings: Word2Vec

## Word2Vec

- Two types: CBoW and Skipgram
- Construct training examples and labels.

Source Text	Training Samples generated from source text
I will have orange juice and eggs for breakfast	(will, I) (will, have) (will, orange)
I will have orange juice and eggs for breakfast	( have, I) ( have, will) ( have, orange) ( have, juice)
I will have orange juice and eggs for breakfast	(orange, will) (orange, have) (orange, juice) (orange, and)
I will have orange juice and eggs for breakfast	(juice, have) (juice, orange) (juice, and) (juice, eggs)
I will have orange juice and eggs for breakfast	(and, orange) (and, juice) (and, eggs) (and, for)
I will have orange juice and eggs for breakfast	(eggs, juice) (eggs, and) (eggs, for) (eggs, breakfast)
I will have orange juice and eggs for breakfast	( for, and) ( for, eggs) ( for, breakfast)



# Pre-Trained Embeddings: Limitation

## Out of Sample Words

- Both GloVe and Word2Vec are limited to words you've seen before in training. They cannot handle new words. Those words thus get omitted / dropped, or you need to do something different.

## FastText

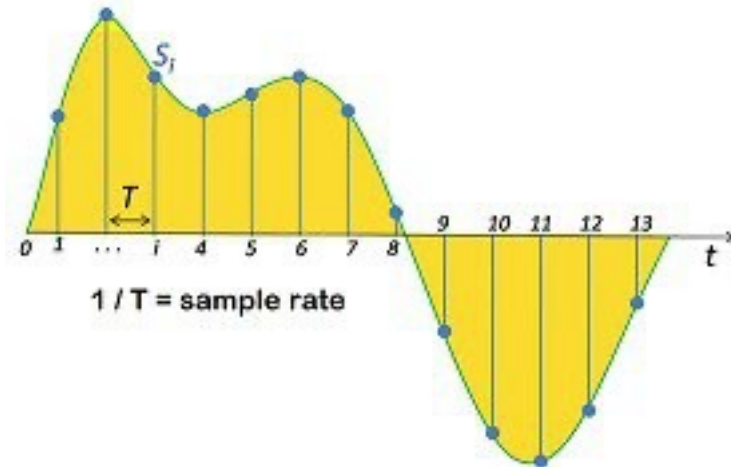
- An extension to Word2Vec which learns character n-grams of words. So, instead of embedding words, we embed portions of words (e.g., a 3-gram character representation would break up the word 'coffee' into 'cof', 'off', 'ffe', ... and then learn vector embeddings of each).



# RNN for Audio

## Same Sequence Concepts Work for Audio Data

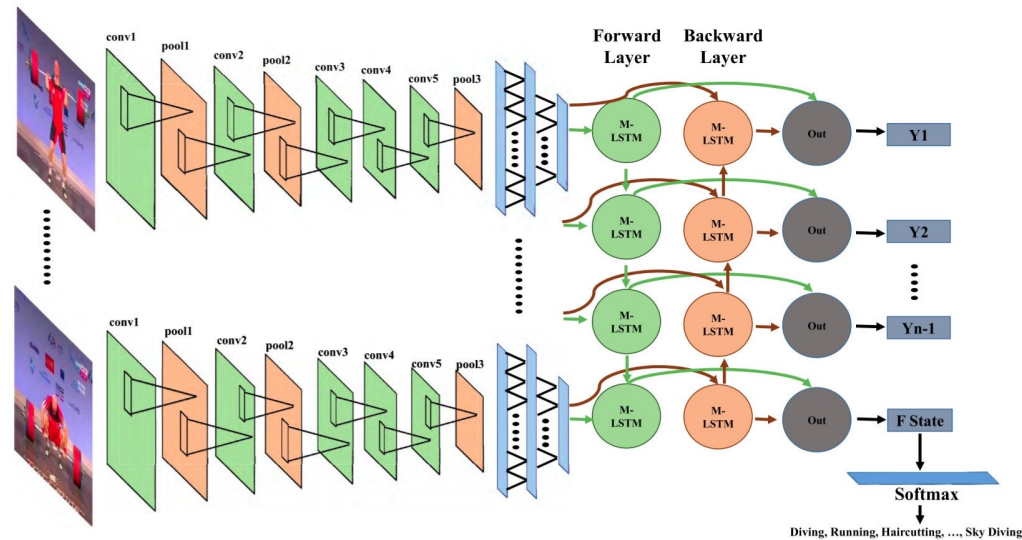
- Audio files are just sequences of numeric values (amplitude), possibly two if it was recorded in stereo.
- Once we recognize this, we realize we can predict things about audio sequences too!



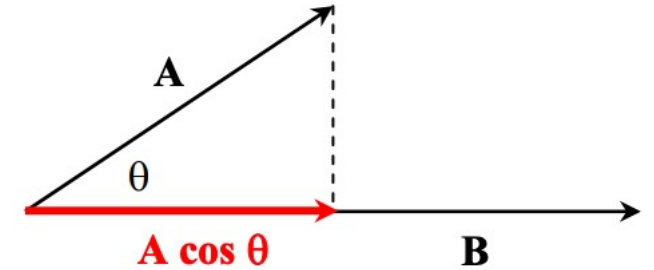
# CNN-RNN for Video

## Hybrid Topology for Image Sequences

- We Use CNN's to detect features at a given input.
- We feed those feature maps into an RNN architecture, like LSTM.
- We can use this topology to predict things about videos.
- You might pre-process frames using a pre-trained CNN and pass feature maps as sequences to an RNN.

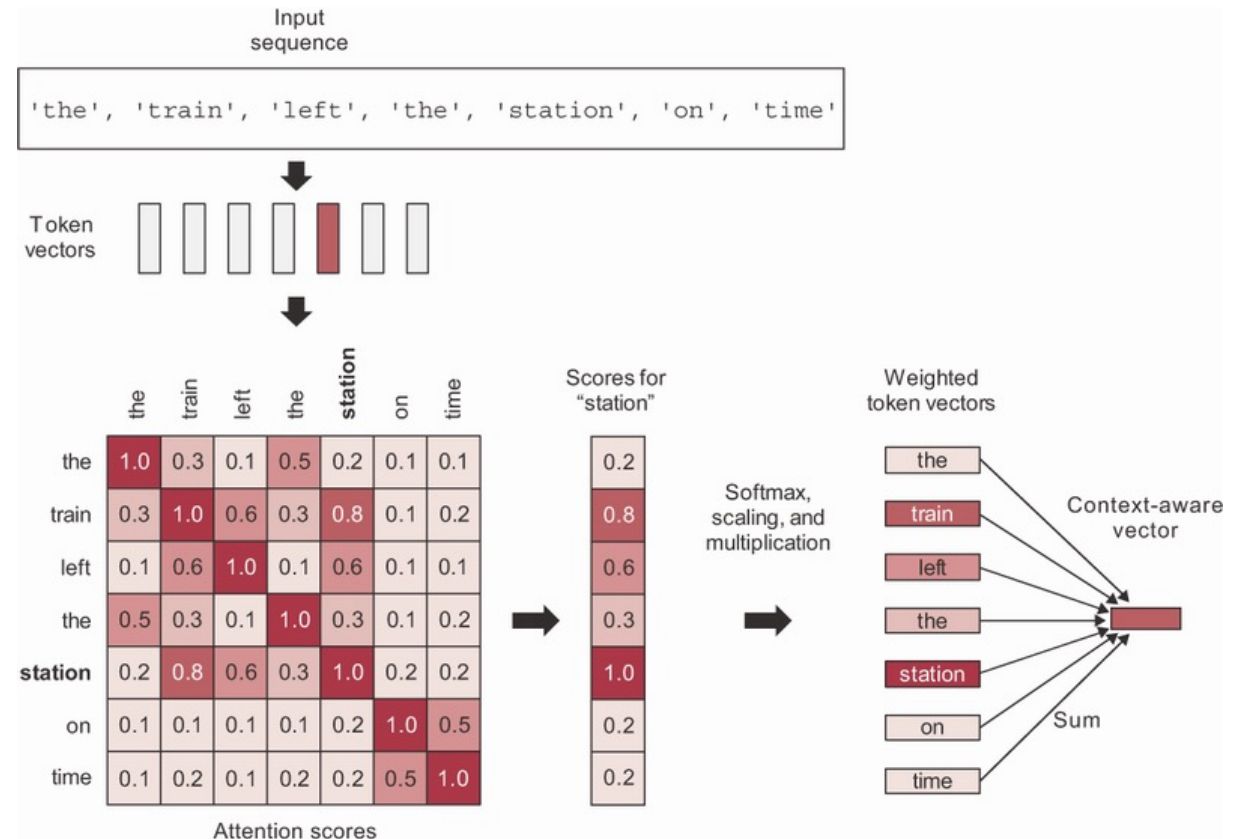


# Attention Layers



## Drawback of LSTM: Tries to Memorize Everything!

- BUT: some pieces of a sequence are more important than others for understanding values at a particular position.
- Self-attention Layer: a dense layer that takes sequences of values as input and implements some mechanism to figure out weights that can be used to amplify or attenuate sequence elements.
- Basically, it gives the network a way to shift focus to certain items that are useful



# Self-Attention Layer

```
def self_attention(input_sequence):  
  
    # Our output will be new vector representations for each word in the sequence.  
    output = np.zeros(shape=input_sequence.shape)  
  
    # For each word-vector representation in the input sequence  
    for i, pivot_vector in enumerate(input_sequence):  
  
        # Scores will be scalars, one for each word in the sequence.  
        scores = np.zeros(shape=(len(input_sequence),))  
  
        # For each word-vector representation in the input sequence (i.e., look at all pairwise combinations of word vectors.)  
        for j, vector in enumerate(input_sequence):  
  
            # Take the dotproduct between word i's vector and word j's vector - this value is larger for semantically related words, and smaller for orthogonal words.  
            scores[j] = np.dot(pivot_vector, vector.T)  
  
        # Scale the scores - divide the dot products by the root of the dimensionality of the embedding space.  
        scores /= np.sqrt(input_sequence.shape[1])  
  
        # Run the results through a softmax. So, for a given word, i, we get a set of scores for all other terms in the sequence, each 0-1, summing to 1.  
        scores = softmax(scores)  
  
        # Make a new placeholder vector representation for word i.  
        new_pivot_representation = np.zeros(shape=pivot_vector.shape)  
  
        # For all pairwise dot-products, i.e., attention scores, multiply the score by the associated word j, and add them up.  
        for j, vector in enumerate(input_sequence):  
            new_pivot_representation += vector * scores[j]  
  
        # the vector representation of word i is now shifted toward other terms in the sequence that have similar semantic meaning.  
        output[i] = new_pivot_representation  
  
    return output
```



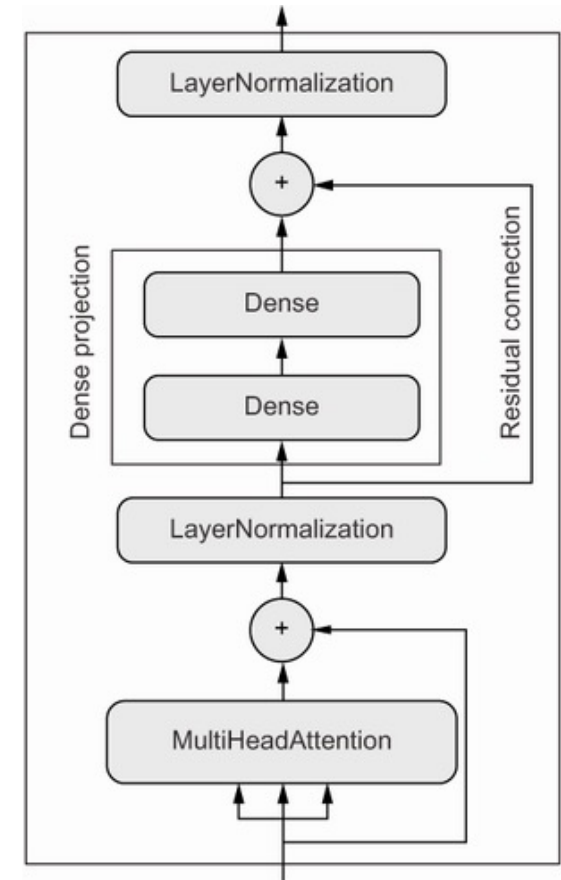
# Transformer Architecture

## Implement Multiple, Parallel Attention Mechanisms

- This allows the model to figure out different ‘types’ of attention patterns.
- So, maybe the model should pay attention to word 1 and word 4 for one ‘reason’ and it should pay attention to word 3 and word 8 too, for a different ‘reason’.

## Transformer Builds on Multi-Head Attention

- It stacks the parallel attention layers with normalization layers and dense layers, plus some residual connections to enable better gradient updates.
- LayerNormalization() normalizes within sequence, instead of across the batch.





# Questions?