

## §1.2 6d $(1,0)$ SCFT's

Relevant SCA:  $\text{osp}(2,6|2)$  "  $(1,0)$  algebra "

bosonic subgroups:  $\text{SO}(2,6)$  and  $\text{Sp}(1) \cong \text{SU}(2)$   
 "conformal symmetry" "R-symmetry"

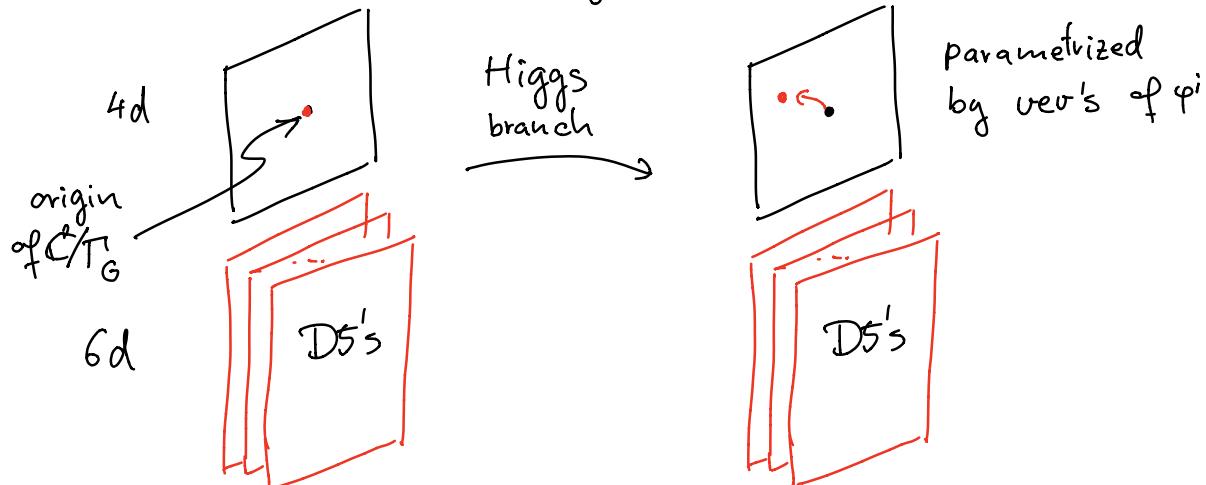
field content:

- Hypermultiplet:  $\varphi^1, \dots, ^4 + \text{fermions}$
- Vector multiplet:  $A_\mu + \text{fermions}$
- tensor multiplet:  $B_{\mu\nu}, \phi + \text{fermions}$

tensor branch:  $\langle \phi \rangle > 0$

Example:

Consider  $N$  D5 branes probing an ADE singularity in type IIB



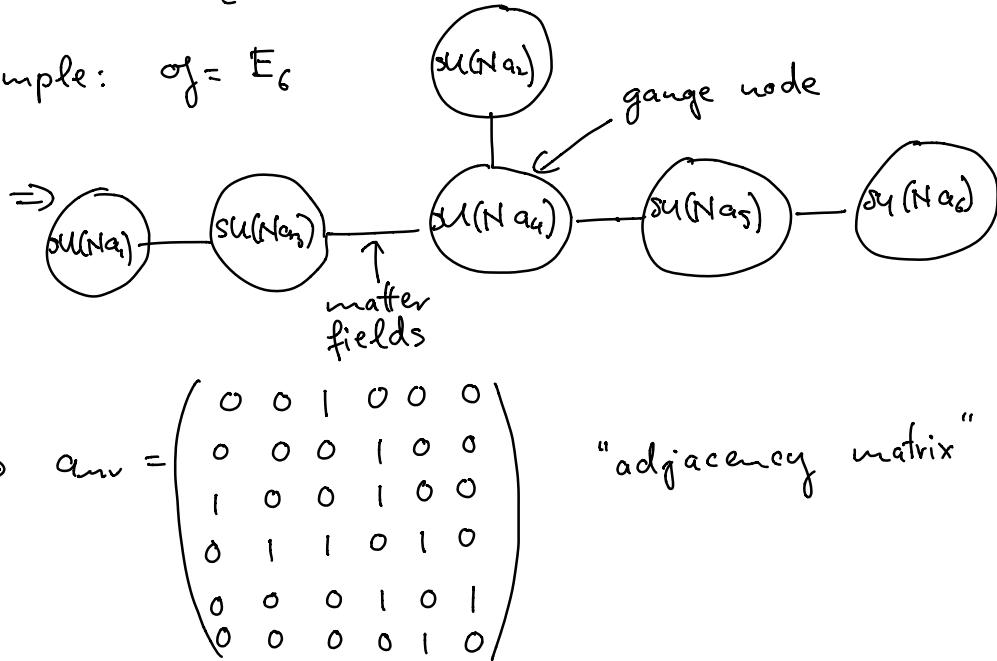
tensor branch: blow-up of ADE singularity  
 $\rightarrow r$  (rank of  $\phi$ ) tensor multiplets

VM's give rise to gauge group  $\prod_{i=1}^r \text{SU}(N_{\alpha_i})$

with matter multiplets in reps.  $\frac{1}{2} \sum_{m,n} a_{mn} (\square_m, \overline{\square}_n)$

where  $a_{\mu\nu} = \begin{cases} 1 & \text{if } \mu \text{ and } \nu \text{ are linked in Dynkin diag} \\ 0 & \text{otherwise} \end{cases}$

Example:  $\mathfrak{g}_f = E_6$



$$\Rightarrow a_{\mu\nu} = \begin{pmatrix} 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 \end{pmatrix} \quad \text{"adjacency matrix"}$$

effective action :

$$2\pi \int \eta^{ij} \left( -\frac{1}{2} d\phi_i \wedge *d\phi_j - \frac{1}{2} dB_i \wedge *dB_j + \phi_i \left( \frac{1}{4} \text{Tr } F_i \wedge *F_i \right) + B_i \left( \frac{1}{4} \text{Tr } F_i \wedge F_i \right) \right) \quad (\star)$$

due to anomaly cancellation

where  $\eta^{ij} = \text{tr}(H^i H^j)$  with  $H^i$  being the Cartan generators of  $\mathfrak{g}_f \rightarrow \eta^{ij}$  is Cartan matrix of  $\mathfrak{g}_f$

• anomaly cancellation :

$I_8$  should be representable as

$$I_8 = \frac{1}{2} \Omega_{ij} X^i X^j$$

with  $X^i = b_\alpha^i \text{tr } F_\alpha^2$  (summation over  $\alpha$  implied)

(closed and gauge invariant)

cancellation due to "Green-Schwarz" mechanism :

$$A = \int_{\mathbb{R}^{5,11}} \Omega_{ij} B^i X^j$$

where  $H^i = dB^i + b_a^i \omega_{xy}^a$

Yang-Mills CS-form

$$\rightarrow S_\lambda(A + S) = \int \Omega_{ij}(\delta_\lambda B^i) X^j + \int I_6'(\lambda) = 0$$

from descent formalism

- supersymmetry : the term  $\phi_i \left( \frac{1}{4} \text{Tr } F_j \wedge *F_j \right)$   
 in the action (\*) is due to  $N=(4,8)$   
 supersymmetry ( $\phi_i$  and  $B_i$  are in the  
 same multiplet)

dimensional reduction:

$$6d : \phi_i, B_i$$

$\downarrow S_R^i$

$$5d : \Phi_i = 2\pi R \phi_i, A_{im} = 2\pi R B_{i5}$$

$$S \rightarrow \int \eta^{ij} \left( -\frac{1}{2R} (d\Phi_i \wedge *d\Phi_j + dA_i \wedge *dA_j) + 2\pi \Phi_i \left( \frac{1}{4} \text{Tr } F_j \wedge *F_j \right) + 2\pi A_i \left( \frac{1}{4} \text{Tr } F_j \wedge F_j \right) \right)$$

Compactification on  $T^2$  to 4d:

$\rightarrow$  4d conformal quiver gauge theory

$$\rho_i = \text{Vol}(T^2)(i\phi_i + B_{45}^i)$$

running of gauge couplings

$$\beta_i = 2\pi i \frac{d\rho_i}{d \log \Lambda} = -2N_i + \sum_{e: t(e)=i} N_{s(e)} + \sum_{e: s(e)=i} N_{t(e)}$$

$\Lambda$ : energy scale

$N_i$ : rank of node  $i$

$e$ : edge of quiver

$t(e)$ : target node

$s(e)$ : source node

$$\Rightarrow \beta_i = \sum_j (-2s_{ij} + a_{ij})N_j = -\sum_j \underset{\substack{\uparrow \\ \text{Cartan matrix}}}{c_{ij}} N_j$$

demand  $\beta_i = 0 \forall i$  (conformal invariance)

$\Rightarrow N_j = N a_j$ , where  $a_j$  are Dynkin indices of node  $i$

for example: for  $E_6$  we have  $\vec{a} = \begin{pmatrix} 1 \\ 2 \\ 2 \\ 3 \\ 2 \\ 1 \end{pmatrix}$

What happens at the SCFT fixed point?

Let us rewrite the 5d action as follows:

introduce  $\Phi_G = 2\pi H^i \Phi_i$ ,  $A_G = 2\pi H^i A_i$

$$\rightarrow S = \int \left( -\frac{1}{g_G^2} \text{tr}(d\Phi_G \wedge * d\Phi_G + F_G \wedge * F_G) \right. \\ \left. + \text{tr}(H^i \Phi_G) \left( \frac{1}{4} \text{Tr} F_i \wedge * F_i \right) + \text{tr}(H^i A_G) \left( \frac{1}{4} \text{Tr} F_i \wedge * F_i \right) \right)$$

$$\text{where } \frac{8\pi^2}{g_G^2} = R^{-1}$$

where on tensor branch  $\langle \Phi_G \rangle \neq 0$

at origin of t.b.  $\Phi_G = 0$

$\rightarrow$  expect gauge group  $G$  to be restored

$\rightarrow$  5d SCFT  $S^{5d}\{G\}$  coupled to  $G$  gauge field  
flavor sym.

then  $S^{5d}\{G\} \xrightarrow{\text{mass def.}} 5d$  quiver th.

mass def.:  $\langle \Phi_G \rangle = m_G$  hypermultiplets get mass

$$\rightarrow \frac{8\pi^2}{g_i^2} = \text{tr}(H^i m_G)$$

## § 2. Classification of 6d (1,0) SCFT's

We seek a geometric classification

→ given by F-theory (12d) on elliptic  $CY_3$

### § 2.1 Introduction to F-theory

What is F-theory?

typ IIB supergravity has  $N=1$  SUSY in 10d  
(32 susy gen.)

bosonic fields:

$$\tau := C_0 + ie^{-\phi}, \quad \phi \text{ is the dilaton } (g_{IIB} = e^\phi)$$

$$G_3 := F_3 - \tau H_3 \quad \begin{matrix} \uparrow \\ \text{string coupling constant} \end{matrix}$$

$$\tilde{F}_5 := F_5 - \frac{1}{2} C_2 \wedge H_3 + \frac{1}{2} B_2 \wedge F_3$$

$$F_p := dC_{p-1} \quad (p=1,3,5), \quad H_3 := dB_2$$

action:

$$S_{IIB} = \frac{2\pi}{l_s^8} \left[ \int d^10 x \sqrt{-g} R - \frac{1}{2} \int \frac{1}{(\text{Im}\tau)^2} d\tau \wedge * d\bar{\tau} \right] \quad (1)$$

$$\text{length} \rightarrow \frac{1}{\text{Im}\tau} \left[ G_3 \wedge * \overline{G_3} + \frac{1}{2} \tilde{F}_5 \wedge * \overline{\tilde{F}_5} + C_4 \wedge H_3 \wedge \overline{F_3} \right]$$

supplemented with the self-duality constraint

$$*\tilde{F}_5 = \tilde{F}_5 \quad \text{after varying action}$$

$$l_s = 2\pi \sqrt{\alpha'}$$

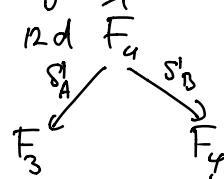
$$\text{tension of } D_p\text{-branes: } T_{D_p} = \frac{2\pi}{l_s^{p+1}}$$

Action (1) is invariant under:

$$\tau \rightarrow \frac{a\tau + b}{c\tau + d} \quad \tilde{F}_5 \rightarrow \tilde{F}_5 \quad (2)$$

$$\begin{pmatrix} H \\ F \end{pmatrix} \rightarrow \begin{pmatrix} d & c \\ b & a \end{pmatrix} \begin{pmatrix} H \\ F \end{pmatrix} \quad g_{MN} \xrightarrow{\text{metric}} g_{MN}$$

→ as if obtained from "12d theory" on  $T^2 = S_A^1 \times S_B^1$   
 with compl. str.  $\tau$  and 12d



→  $SL(2, \mathbb{Z})$  symmetry (1) becomes symmetry of  $T^2$ .

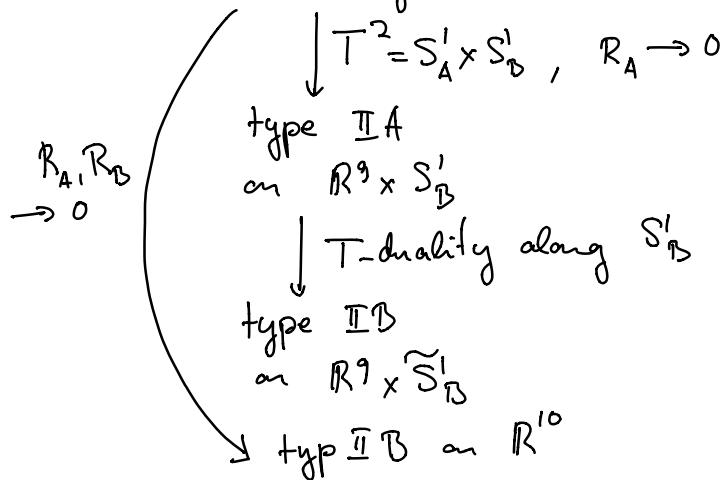
→ F-theory as 12 theory

Some hurdles:

- no (1, 11)d Supergravity theory
- where is the size modulus of  $T^2$ ?

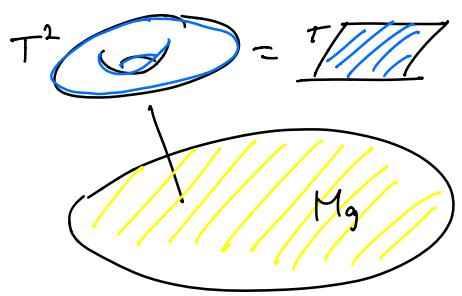
Solution:

start with M-theory (11d)

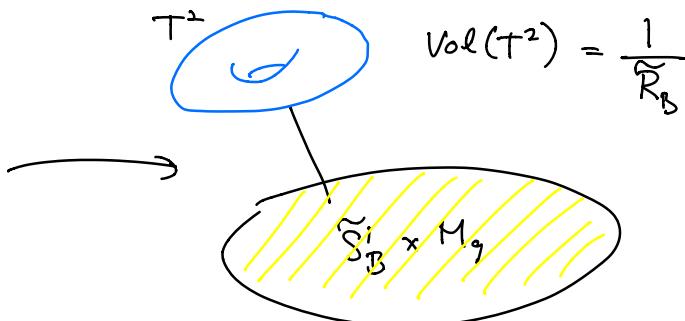


→ can be done fiber-wise

→ extend the procedure to varying  $\tau$



M-theory



F-theory

$$\text{Vol}(T^2) = \frac{1}{R_B}$$

Take  $M_9$  to be  $\mathbb{R}^5 \times \mathcal{B}_4$   
 $\rightarrow$  to preserve SUSY need  $T^2 \hookrightarrow X \xrightarrow{\downarrow} \mathcal{B}_4$  to be CY

Example: K3

$\mathcal{B}_4 = \mathbb{P}^1 \times \mathbb{R}^2$ , i.e. F-theory on  $\mathbb{R}^{1,7} \times \text{K3}$

K3 eq. :  $y^2 = x^3 + f(u,v)xz^4 + g(u,v)z^6$  (\*)

where  $x, y, z, u, v \in \mathbb{C} \setminus \{0\}$

$$(u, v, x, y, z) \sim (\lambda u, \lambda v, \lambda^3 x, \lambda^6 y, z)$$

$$\sim (u, v, \mu^2 x, \mu^3 y, \mu z)$$

consistent

where  $\mu, \lambda \in \mathbb{C}^* = \mathbb{C} \setminus \{0\}$ , and  $(u, v) \neq (0, 0)$ ,

$(x, y, z) \neq (0, 0, 0)$

$$f(\lambda u, \lambda v) = \lambda^8 f(u, v),$$

$$g(\lambda u, \lambda v) = \lambda^{12} g(u, v)$$

rule: deg of (\*) = sum of weights

$$\lambda: 12 = 1+1+4+6+0$$

$$\mu: 6 = 0+0+2+3+1$$

$\rightarrow$  total space is Calabi-Yau

projection  $\pi: \text{K3} \rightarrow \mathbb{P}^1$ :  $(x, y, z, u, v) \mapsto (u, v)$   
 $\{(u, v) \neq (0, 0) \mid (u, v) \simeq (\lambda u, \lambda v)\}$

at fixed  $z=1, v=1$ , we get

$$(*) \rightarrow y^2 = x^3 + f(u)x + g(u) \Leftrightarrow *$$

$\rightarrow$  equation of elliptic curve in  $(x, y, z)$  space

$$\deg = 6 = \text{sum of weights} = 2+3+1=6$$

Relation to  $T^2 = \mathbb{C}/(\mathbb{Z} + \tau\mathbb{Z})$

holomorphic coordinate:  $z = x + \tau y$

for  $P \in T^2$ :  $\tau(P) = \int_P \Omega_1, \quad \Omega_1 = dz$

For  $T^2$  described by (\*\*),

$$\Omega_1 = \frac{c dx}{y}, \quad c = \text{const.}$$

$$\text{then } \tau = \frac{\oint_B \Omega_1}{\oint_A \Omega_1}$$

→ ambiguity in basis choice is  $SL(2, \mathbb{Z})$

How to compute  $\tau$ ?

Use  $j$ -invariant!

$$j(\tau) = \frac{4f(24f)^3}{\Delta}, \quad \Delta = 27g^2 + 4f^3$$

and  $j(\tau)$  is  $SL(2, \mathbb{Z})$  modular invariant

$$j(\tau) = e^{-2\pi i \tau} + 744 + O(e^{2\pi i \tau})$$

$\Delta = 0$  is "discriminant locus"

→  $\deg(\Delta) = 24 \rightarrow 24$  zero's on  $\mathbb{P}^1 \rightarrow$  denote by  $u_i$ ,  
 $i=1, \dots, 24$

We have:  $j(\tau(u)) \sim \frac{1}{u - u_i}$  near  $u_i$

$$\rightarrow \tau(u) \simeq \frac{1}{2\pi i} \ln(u - u_i)$$

for  $u \rightarrow u_i$ :  $\tau \rightarrow i\infty$  (ratio of A- and B-cycle of  $T^2$  vanishes)

Since  $\tau = \zeta_0 + \frac{i}{g_{IB}}$  this is "weak coupling" limit:

$$g_{IB} \rightarrow 0$$