

### §5.3 Pions as Goldstone Bosons

Example in particle physics:

approximate symmetry of strong interactions

→ chiral  $SU(2) \times SU(2)$

2 quark fields, u and d, with very small mass:

$$\mathcal{L} = -\bar{u} \gamma^\mu D_\mu u - \bar{d} \gamma^\mu D_\mu d - \dots, \quad (1)$$

where  $D_\mu = \partial_\mu - iA_\mu$  and "... are

independent of u and d

(take the limit with vanishing masses)

→ invariant under

$$\begin{pmatrix} u \\ d \end{pmatrix} \mapsto \exp(i\vec{\theta}^v \cdot \vec{t} + i\gamma_5 \vec{\Theta}^A \cdot \vec{t}) \begin{pmatrix} u \\ d \end{pmatrix}$$

where  $\vec{t}$  is three-vector of isospin matrices

$$t_1 = \frac{1}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad t_2 = \frac{1}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad t_3 = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

and  $\vec{\theta}^v$  and  $\vec{\Theta}^A$  are independent real 3-vectors.

To see this, note

$$\overline{\gamma_5} \gamma^\mu = -\overline{\gamma} \gamma_5 \gamma^\mu = +\overline{\gamma} \gamma^\mu \gamma^5 \quad \text{and} \quad \gamma^5 \gamma^5 = 1$$

another representation:

$$\vec{t}_L = \frac{1}{2}(1 + \gamma_5)\vec{t}, \quad \vec{t}_R = \frac{1}{2}(1 - \gamma_5)\vec{t}$$

$\uparrow$   
 acting on  
 left-handed part

$\uparrow$   
 acting on right-handed  
 part

with commutation relations

$$[t_{Li}, t_{Lj}] = i\epsilon_{ijk} t_{Lk}, \quad su(2),$$

$$[t_{Ri}, t_{Rj}] = i\epsilon_{ijk} t_{Rk}, \quad su(2)_2$$

$$[t_{Li}, t_{Rj}] = 0. \quad \text{independent}$$

$$\rightarrow SU(2) \times SU(2)$$

another subgroup:

ordinary isospin trfs. with  $\vec{\Theta}^A = 0$  and  
 generators  $\vec{t} = \vec{T}_L + \vec{T}_R$

$\rightarrow SU(2) \times SU(2)$  may be written in terms  
 of  $\vec{t}$  and  $\vec{x} = \vec{t}_L - \vec{t}_R = \gamma_5 \vec{t}$

with commutation relations

$$[t_i, t_j] = i\epsilon_{ijk} t_k,$$

$$[t_i, x_j] = i\epsilon_{ijk} x_k,$$

$$[x_i, x_j] = i\epsilon_{ijk} t_k$$

$SU(2) \times SU(2)$  is spontaneously broken

$$L_{UV} \quad su(2) \times su(2)$$

$$\downarrow RG$$

$$L_{IR} \quad su(2) \text{ with generator } \vec{T} \text{ preserved}$$

→ Noether's method gives conserved currents

$$\vec{V}^{\mu} = i\bar{q}\gamma^{\mu}\vec{\tau}q \text{ (vector),}$$

$$\vec{A}^{\mu} = i\bar{q}\gamma^{\mu}\gamma_5\vec{\tau}q \text{ (axial-vector)}$$

$$\partial_{\mu}\vec{V}^{\mu} = 0 = \partial_{\mu}\vec{A}^{\mu} = 0$$

and where  $q$  is the quark doublet

$$q = \begin{pmatrix} u \\ d \end{pmatrix}$$

→ associated charges :

$$\vec{T} = \int d^3x \vec{V}^0,$$

$$\vec{X} = \int d^3x \vec{A}^0$$

satisfy the same commutation relations as  $\vec{T}$  and  $\vec{X}$ :

$$[T_i, T_j] = i\epsilon_{ijk}T_k, \quad [T_i, X_j] = i\epsilon_{ijk}X_k,$$

$$[X_i, X_j] = i\epsilon_{ijk}T_k$$

with action on quark fields given by

$$[\vec{T}, q] = -\vec{f} q,$$

$$[\vec{X}, q] = -\vec{x} q$$

Chiral  $SU(2)$ -symmetry generated by  $\vec{X}$

is spontaneously broken in QCD

→ approximately massless Goldstone  
bosons with negative parity, zero spin,  
unit isospin, and zero baryon number  
(quantum numbers of  $\vec{X}$ )

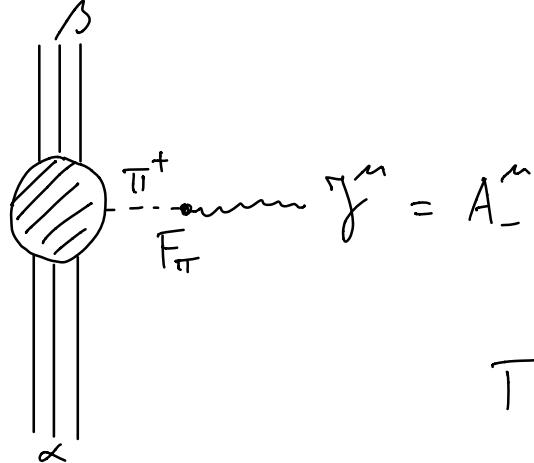
→ theoretical reason for existence of pions!

Pions are emitted in "weak interactions":

$$\mathcal{L}_{wk} = -i \frac{G_{wk}}{\sqrt{2}} \left( V_f^\lambda + A_f^\lambda \right) \sum_\ell \bar{\ell} \gamma_\lambda (1 + \gamma_5) \nu_e + h.c.$$

where  $\ell$  runs over leptons  $e, \mu$ , and  $\tau$ :

$\nu_e$  runs over associated neutrinos



From

$$\langle VAC | A_i^\mu | \bar{\nu}_j \rangle = i F_\pi S_{ij} p_\pi^\mu e^{i p_\pi \cdot x}$$

one then gets

$$\Gamma(\pi^+ \rightarrow \mu^+ + \nu_\mu) \sim G_{wk}^2 F_\pi^2$$

## § 5.4 Effective Field Theories

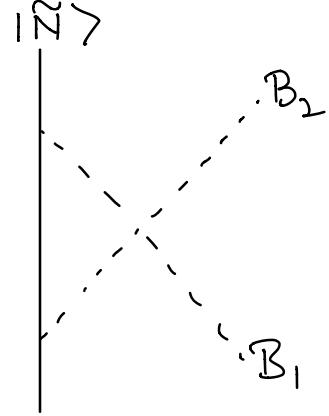
Want to construct current appearing in L<sub>W</sub>K from "effective field theories" for pions

→ construct Lagrangian that respects the broken symmetry

→ construct conserved currents using Noether method

For example, in the emission/absorption process of two Goldstone bosons, we must compute matrix elements of the form

$$\langle \beta | T \{ \tilde{f}_1^{\lambda_1}(x_1), \tilde{f}_2^{\lambda_2}(x_2) \} | \alpha \rangle$$



$|N\rangle$  ( $= |p\rangle, |n\rangle$ )  
nuclear state

useful for  
computing the  
amplitude for the  
emission of a set  
of Goldstone bosons:

$$\alpha \rightarrow \beta + B_1 + B_2 + \dots$$

→ need effective Lagrangian for Pion-interactions!

$\sigma$ -model:

start with the  $SO(4) = SU(2) \times SU(2)$  invariant Lagrangian:

$$\mathcal{L} = -\frac{1}{2} \partial_\mu \phi_n \partial^\mu \phi_n - \frac{m^2}{2} \phi_n \phi_n - \frac{\lambda}{4} (\phi_1 \phi_2)^2, \quad (1)$$

where  $n$  is understood to be summed over the values 1, 2, 3, 4, with  $SU(2)$  isospin acting as vector-rep. on  $\vec{\phi} = \begin{pmatrix} \phi_1 \\ \phi_2 \\ \phi_3 \end{pmatrix}$  and  $\phi_4$  an isoscalar

Lagrangian (1) cannot be used for computing scattering amplitudes between Goldstone bosons (no small expansion parameter)

→ recast in a way that each Goldstone mode is accompanied by a spacetime derivative

→ in Fourier space this becomes the energy (small)

→ obtain expansion in terms of energy!

Take 4-vector  $\phi_n$  as  $(0, 0, 0, \sigma)$  (using rotation matrix  $R$ ):

$$\phi_n(x) = R_{n4}(x) \sigma(x)$$

$$\text{with } R^T(x) R(x) = \mathbb{1}.$$

Therefore,

$$\sigma(x) = \sqrt{\sum_n \phi_n(x)^2}$$

→ Lagrangian (1) then becomes :

$$\mathcal{L} = -\frac{1}{2} \sum_{n=1}^4 (R_{n4} \partial_n \sigma + \sigma \partial_n R_{n4})^2 - \frac{1}{2} \mu^2 \sigma^2 - \frac{\lambda}{4} \sigma^4$$

Using

$$\sum_n R_{n4}^2 = 1, \quad \sum_n R_{n4} \partial_n R_{n4} = \frac{1}{2} \partial_n \sum_n R_{n4}^2 = 0,$$

we get

$$\begin{aligned} \mathcal{L} = & -\frac{1}{2} \partial_n \sigma \partial^n \sigma - \frac{1}{2} \sigma^2 \sum_{n=1}^4 \partial^n R_{n4} \partial_n R_{n4} \\ & - \frac{1}{2} \mu^2 \sigma^2 - \frac{\lambda}{4} \sigma^4 \end{aligned} \quad (2)$$

For  $\mu^2 \leq 0$ ,  $\sigma$  attains non-vanishing vacuum expectation value :  $\bar{\sigma} = |\mu|/\sqrt{\lambda}$

For the remaining fields, choose parametrization:

$$\tilde{\sigma}_a = \frac{\phi_a}{\phi_4 + \sigma}, \quad a = 1, 2, 3 \quad (*)$$

and take

$$R_{a4} = \frac{2 \tilde{\sigma}_a}{1 + \tilde{\sigma}^2} = -R_{4a}, \quad R_{44} = \frac{1 - \tilde{\sigma}^2}{1 + \tilde{\sigma}^2},$$

$$R_{ab} = \delta_{ab} - \frac{2 \tilde{\sigma}_a \tilde{\sigma}_b}{1 + \tilde{\sigma}^2}$$

so that

$$\phi_3/\sigma = R_{34} = \frac{2\vec{\jmath}_3}{1 + \vec{\jmath}^2}, \quad \phi_4/\sigma = \frac{1 - \vec{\jmath}^2}{1 + \vec{\jmath}^2}$$

Then eq. (2) becomes

$$(3) \quad \mathcal{L} = -\frac{1}{2} \partial_\mu \sigma \partial^\mu \sigma - 2\sigma^2 \vec{D}_\mu \cdot \vec{D}^\mu - \frac{1}{2} m^2 \sigma^2 - \frac{3}{4} \sigma^4$$

where  $\vec{D}_\mu = \frac{\partial_\mu \vec{\jmath}}{1 + \vec{\jmath}^2}$

→ fields  $\vec{\jmath}$  describe particles of zero mass  
these are our new pion fields

$\mathcal{L}$  is invariant under  $SU(4)$  which is realized non-linearly:

- under isospin trfs. we have:

$$\delta \vec{\jmath} = \vec{\theta} \times \vec{\jmath} \quad , \quad \delta \sigma = 0$$

↑  
inf. parameter

→  $\mathcal{L}$   $SU(2)_{\text{iso}}$ -invariant

- under broken  $SU(2)_{\text{chir}}$  we have

$$\delta \vec{\phi} = 2\vec{\varepsilon} \phi_4, \quad \delta \phi_4 = -2\vec{\varepsilon} \cdot \vec{\phi}$$

from (\*) we get:

$$\delta \vec{\jmath} = \vec{\varepsilon} (1 - \vec{\jmath}^2) + 2\vec{\jmath} (\vec{\varepsilon} \cdot \vec{\jmath}), \quad \delta \sigma = 0$$

$$\rightarrow \delta \vec{D}_\mu = 2(\vec{\jmath} \times \vec{\varepsilon}) \times \vec{D}_\mu$$

→  $\mathcal{L}$  remains invariant!