

't Hooft anomaly matching:

UV: symmetry with generators  $T_\alpha$  acting on left-handed spin  $\frac{1}{2}$  fermions with anomalies  $\text{tr}[\{T_\alpha, T_\beta\} T_\gamma] \neq 0$

IR: massless bound states transform under the same symmetry with generators  $T_\alpha, T_\beta, \dots$ , etc.

$$\rightarrow \text{tr}[\{T_\alpha, T_\beta\} T_\gamma] = \text{tr}[\{T_\alpha, T_\beta\} T_\gamma] \quad (1)$$

Example:

Suppose underlying theory contains  $n$  "flavors" of massless fermions in the defining rep.  $N$  of  $SU(N)$  gauge group (asymptotically free)  $\rightarrow$  take  $N$  to be odd, so that there can be  $SU(N)$ -neutral bound states

$\rightarrow$  global symmetry:

$$SU(n) \times SU_R(n) \times U_V(1)$$

non-vanishing anomaly constants:

$$SU(n)_L - SU(n)_R - U(1)_Y \text{ and } SU(n)_L - SU(n)_R - U(1)_Y$$

$$\text{with } D_{aL, bL, 0} = D_{aR, bR, 0} = N \delta_{ab}$$

where  $a, b, \dots$  label  $SU(n)$  generators  $\lambda_a$ ,  
with  $\text{tr}\{\lambda_a \lambda_b\} = \frac{1}{2} \delta_{ab}$ .

For  $n > 2$  also have:

$SU(n)_L - SU(n)_L - SU(n)_L$  and

$SU(n)_R - SU(n)_R - SU(n)_R$  anomalies

with values

$$D_{aL, bL, cL} = D_{aR, bR, cR} = N \text{tr}[\{\lambda_a, \lambda_b\}, \lambda_c]$$

Suppose that  $SU_L(n) \times SU_R(n) \times U(1)$  symmetry  
is not spontaneously broken.

→ confinement leads to bound states  
of  $m_L$  and  $m_R$  elementary fermions  
of helicity  $+\frac{1}{2}$  and  $-\frac{1}{2}$ , and  $\bar{m}_L$  and  
 $\bar{m}_R$  of their antiparticles, with

$$m_L + m_R - \bar{m}_L - \bar{m}_R = kN$$

(example :  $k=1, N=3, m_L=3$  and  
 $m_R, \bar{m}_L, \bar{m}_R = 0$ , Then  $u_L^\alpha u_L^\beta d_L^r \bar{u}_L^s \bar{d}_L^t$   
is a  $SU(3)$ -neutral bound state)

→ encounter irreducible reps  $(r, s)$  of  
 $SU(n)_L \times SU_R(n)$  where  $\underbrace{n \times n \times \dots \times n}_{m_L \text{ times}} \times \underbrace{\bar{n} \times \dots \times \bar{n}}_{\bar{m}_L \text{ times}}$   
 $= \dots + r + \dots$

and  $\underbrace{n \times n \times \cdots \times n}_{m_R\text{-times}} \times \underbrace{\bar{n} \times \cdots \times \bar{n}}_{\bar{m}_R\text{-times}} = \cdots + s + \cdots$

$U(1)_V$  quantum number  $= kN$

Define  $p(r,s,k) = \#(r,s)$ -rep of  $SU(n)_L \times SU(n)_R$   
with  $U(1)_V$ -quantum number  
 $kN$  appears

Then eq. (1) becomes:

$$(2) \sum_{r,s,k} p(r,s,k) d_s \operatorname{tr}^{(r)} [\{ \tilde{T}_a, \tilde{T}_b \} \tilde{T}_c] = N \operatorname{tr} [\{ \gamma_a, \gamma_b \} \gamma_c]$$

$$(3) \sum_{r,s,k} p(r,s,k) d_s k \operatorname{tr}^{(r)} [\{ \tilde{T}_a, \tilde{T}_b \}] = \operatorname{tr} [\{ \gamma_a, \gamma_b \}]$$

where  $\operatorname{tr}^{(r)}$  is the trace in the irreducible rep-  $r$  of  $SU(n)$ , and  $d_s$  is the dim. of rep.  $s$  of  $SU(n)$ , and  $p(r,s,k)$  must be positive integers.

For  $(\bar{r}, \bar{s}, -k)$  (c.c. rep.) the traces

$\operatorname{tr}^{(\bar{r})} [\{ \tilde{T}_a, \tilde{T}_b \} \tilde{T}_c]$  and  $k \operatorname{tr}^{(\bar{r})} [\{ \tilde{T}_a, \tilde{T}_b \}]$  have opposite values to those of  $(r, s, k)$ .

$$\rightarrow l(r,s,k) = p(r,s,k) - p(\bar{r}, \bar{s}, -k)$$

and eqs. (2) and (3) become:

$$(4) \sum_{r,s,k>0} l(r,s,k) d_s \text{tr}^{(r)} \left[ \{ \widetilde{T_a}, \widetilde{T_b} \} \widetilde{T_c} \right] = N \text{tr} \left[ \{ \gamma_a, \gamma_b \} \gamma_c \right]$$

$$(5) \sum_{r,s,k>0} l(r,s,k) d_s \text{tr}^{(r)} \left[ \{ \widetilde{T_a}, \widetilde{T_b} \} \right] = \text{tr} \left[ \{ \gamma_a, \gamma_b \} \right],$$

Consider the case  $n=2$ :

no  $\text{SU}(2)$ -invariant out of 3 3-vectors

→ both sides of (4) vanish

defining 2-dim. of  $\text{SU}(2)$  appears in any odd product of itself

→ get a solution of (5) by taking

$l(r,s,k) = 0$  except for  $r=\text{defining rep}$ ,  
 $s=\text{trivial}$ ,

$k=1$

→ set  $l(n,1,1) = 1$

This solution is far from unique!

Systematic study:

Specialize to case  $N=3$ ,  $K=1$ , and  $\bar{m}_L = \bar{m}_R = 0$

→ get following possibilities:

a)  $r$  is symmetric 3rd-rank  $\text{SU}(n)$  tensor;

$s$  is trivial rep.

b)  $r$  is anti-sym 3rd-rank  $\text{SU}(n)$  tensor;

$s$  is trivial

- c)  $r$  is 3rd-rank of mixed symmetry;  $s$  triv
  - d)  $r$  is sym 2nd-rank tensor;  $s$  is  $SU(n)$ -vector
  - e)  $r$  is anti-sym. 2nd-rank-tensor;  
 $s$  is  $SU(n)$  vector
  - f)  $r$  is  $SU(n)$  vector;  $s$  is sym. 2nd-rank tensor
  - g)  $r$  is  $SU(n)$  vector;  $s$  is anti-sym.  
2nd-rank  $SU(n)$  tensor.
- :  $r$  is trivial,

For  $n > 2$ , eqs. (4) and (5) read:

$$(6) \quad \begin{aligned} & \frac{1}{2}(n+3)(n+6)l_a + \frac{1}{2}(n-3)(n-6)l_b + (n^2-9)l_c \\ & + n(n+4)l_d + n(n-4)l_e + \frac{1}{2}n^2(n+1)l_f + \frac{1}{2}n^2(n-1)l_g = 3 \end{aligned}$$

and

$$\begin{aligned} & \frac{1}{2}(n+2)(n+3)l_a + \frac{1}{2}(n-2)(n-3)l_b + (n^2-3)l_c + n(n+2)l_d \\ (7) \quad & + n(n-2)l_e + \frac{1}{2}n(n+1)l_f + \frac{1}{2}n(n-1)l_g = 1 \end{aligned}$$

→ for  $n$  a multiple of 3, for all values  
of  $l$ 's, each term on the LHS of (6)  
is a multiple of 3

→ impossible to satisfy eq. (6) for  $n$  a  
multiple of 3!

Take for example QCD:

has  $SU(3)_L \times SU(3)_R \times U(1)_V$  global symmetry  
in UV (rotations of u, d, s quarks)

→ since eq. (4) cannot be satisfied,  
the symmetry must be broken in IR  
spontaneously!

## §6.5 Consistency Conditions

Useful to assume that all symmetry currents (even global symmetries) are coupled to gauge fields. At the end, we can always take  $g \rightarrow 0$  for these symmetries and return to a global symmetry

→ apart from anomalies, the effective action  $\Gamma[A]$  is invariant under  
 $\uparrow$   
background gauge field

$$A_{\mu\nu}(y) \mapsto A_{\mu\nu}(y) + i \int d^4x \Sigma_\alpha(x) \mathcal{T}_\alpha(x) A_{\mu\nu}(y)$$

where we must take

$$-i \mathcal{T}_\alpha(x) = -\frac{\partial}{\partial x^\mu} \frac{\delta}{\delta A_{\mu\nu}(x)} - C_{\alpha\beta\gamma} A_{\mu\nu}(x) \frac{\delta}{\delta A_{\mu\nu}(x)}$$

in order to reproduce

$$\delta A_m^\beta = \partial_m \varepsilon^\beta + i \varepsilon^\beta (t_\alpha^\lambda)^{\rho} \gamma^\lambda A_m^\rho - i C_{\rho\lambda}^\beta$$

Taking anomalies into account, have

$$\tilde{J}_\alpha(x) T[A] = G_\alpha[x; A]$$

where

$$D_m \langle \tilde{J}_\alpha(x) \rangle = -i G_\alpha[x; A]$$

$$\text{and } \langle \tilde{J}_\alpha(x) \rangle = \frac{\delta}{\delta A_{\alpha m}(x)} T[A]$$

with  $D_m = \partial_m - i A_m^\beta(x) (t_\beta)_e^m$  the gauge-covariant derivative

The commutation relations

$$[\tilde{J}_\alpha(x), \tilde{J}_\beta(y)] = i C_{\alpha\beta\gamma} \delta^4(x-y) \tilde{J}_\gamma(x)$$

imply the "Wess-Zumino" consistency conditions:

$$\begin{aligned} & \tilde{J}_\alpha(x) G_\beta[y; A] - \tilde{J}_\beta(y) G_\alpha[x; A] \\ &= i C_{\alpha\beta\gamma} \delta^4(x-y) G_\gamma[y; A] \end{aligned}$$