

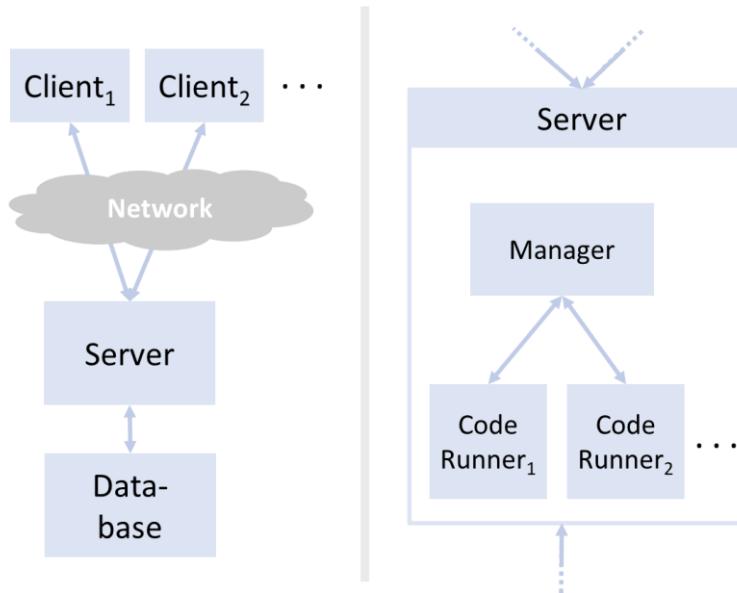
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# Software Engineering (D-MATH CSE)

*(Informal) Modeling with the UML*

Marcel Lüthi, Malte Schwerhoff

# Zooming Out



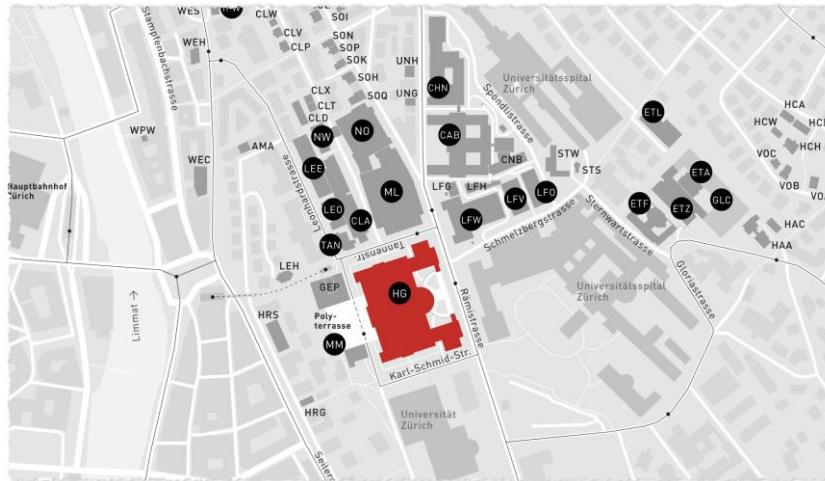
```
// computes  $\sqrt{x}$ 
double sqrt (double x) {
    double guess = x / 2.0;
    double last_guess = 0;
    while (std::abs(guess - last_guess) > 1e-12) {
        last_guess = guess;
        guess = (guess + x / guess) / 2.0';
    }
    return guess;
}
```

What do the diagram and the code comments have in common?

- ⇒ They **abstract** over an actual system, actual code
- ⇒ They provide us with a **model** of the reality

# Examples of models

- A Map of ETH



- Newton's Law

$$\mathbf{F} = m \frac{d\mathbf{v}}{dt} = m\mathbf{a} .$$

## Discussion

“All models are wrong – but some are useful” – George Box

Discuss the following questions:

- Why are all models “wrong”?
  - What does it mean for a model to be useful?
  - Is a more accurate model always more useful? Why or why not?
-

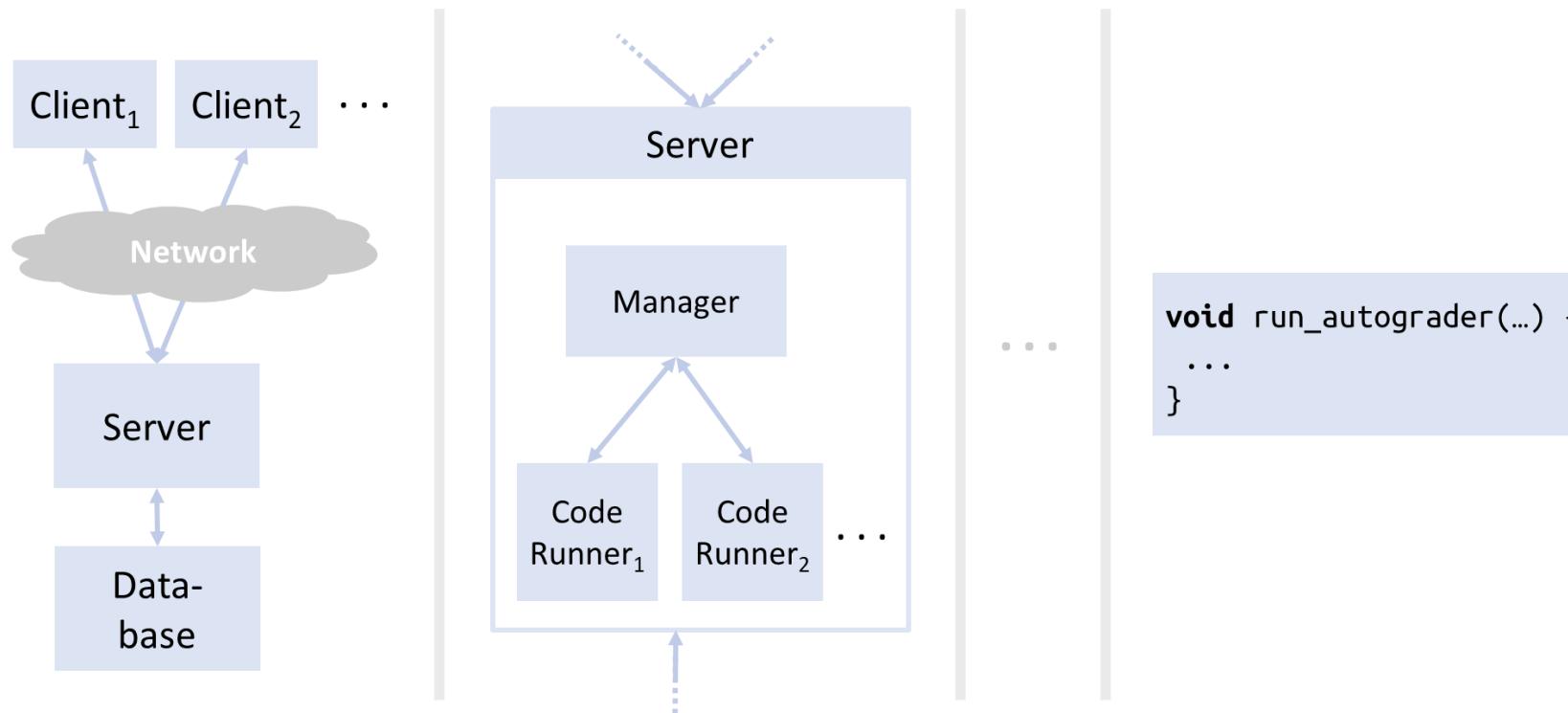
# What is Modeling?

- Building an abstraction of reality
  - Abstractions from things, people, processes, ...
  - Relationships between these abstractions
- Abstractions are simplifications
  - They ignore irrelevant details
  - What is relevant or irrelevant depends on the purpose of the model
- Modeling is a means for dealing with complexity

*Ideally enables drawing complicated conclusions about the reality through simple steps in the model*

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# Modeling and Programming



- Gradually refine “black box components” to code

# Modeling and Programming

Advantages of **models over code**:

- Focus on essential aspects, omit irrelevant details
- Postpone decisions until they must be taken: intentional underspecification

# Focus (Examples)

- Many software engineering tasks require specific **views** on the system
- Examples
  - Software architecture: Which components depend on each other?
  - Test data generation: Is the algorithm expected to handle cyclic data structures?
  - Security audit: Is the communication protocol prone to attacks?
  - Deployment: Which software component runs on which hardware?

*Relevant information difficult to extract from code*

# Underspecification (Examples)

## ■ Relationships

```
class University {  
    set<Student> students;  
    ...  
}
```

```
class Student {  
    Program major;  
    ...  
}
```

```
class University {  
    map<Student, Program>  
        enrollment;  
    ...  
}
```

```
class Student {  
    ...  
}
```

One university, many students,  
each of which has a major → decision for  
concrete data structure too early

## ■ Conditions

```
class BankAccount {  
    bool flagged;  
  
    void detect_irregularities(...) {  
        if /* something fishy */)  
            flagged = true;  
    }  
}
```

Difficult to leave definition of “something fishy” open. Premature implementation may influence further discussions and decisions.

# The Unified Modeling Language UML

- UML is a modeling language
  - Using **text** and **graphical notation**
  - For documenting **specification, analysis, design, and implementation**
  
- Relevancy
  - **De facto standard** in industrial software development
  - Unified and standardized various older modeling approaches
  - First version from 1994, ISO standard since 2005, last version from 2017 (UML 2.5.1)

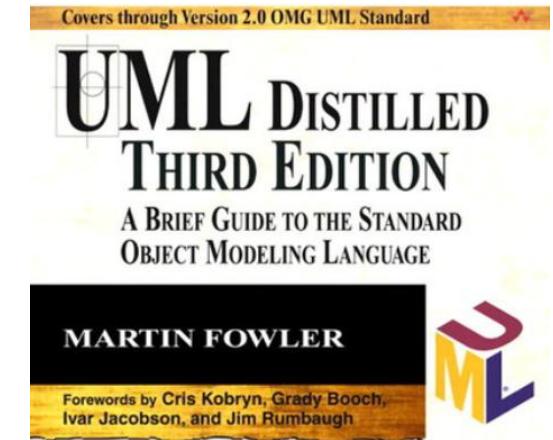


# UML Notations

- Use case diagrams – requirements of a system
  - Class diagrams – structure of a system
  - Interaction diagrams – message passing
    - Sequence diagrams
    - Collaboration diagrams
  - State and activity diagrams – actions of an object
  - Implementation diagrams
    - Component model – dependencies between code
    - Deployment model – structure of the runtime system
  - Object constraint language (OCL)
-

# UML Resources

- Many books available, e.g. from ETH library
- Standard publicly available:  
<https://www.omg.org/spec/UML/> (PDF has >700 pages!)
- Many UML summaries/cheatsheets can be found online,  
e.g.
  - <https://modeling-languages.com/best-uml-cheatsheets-and-reference-guides/>
  - <https://pl.cs.jhu.edu/oose/resources/uml-cheatsheet.pdf>



# Modeling and Specification

- **Informal Models**

- Static Models
- Dynamic Models
- Mapping Models to Code

# Classes



- A class includes **state** (attributes) and **behavior** (operations)
  - Each attribute has a type
  - Each operation has a signature

# More on Classes

- The class name is the only mandatory information
- Examples of valid UML class diagrams

TarifSchedule

TarifSchedule

zone2price

get\_zones()

get\_price()

TarifSchedule

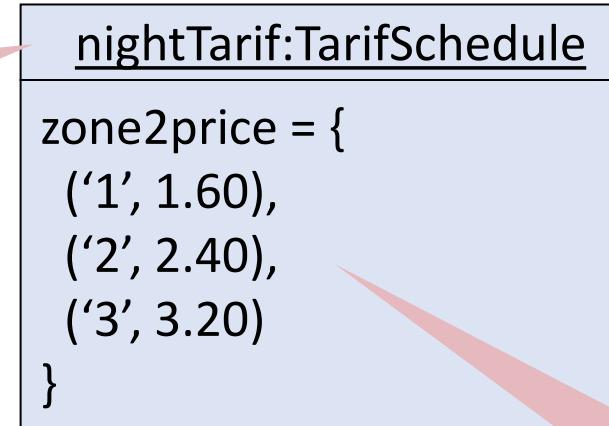
zone2price: Table

get\_zones(): Enumeration

get\_price(z: Zone): Price

# Instances (Objects)

Name of an instance is underlined



Name of an instance can contain the class of the instance

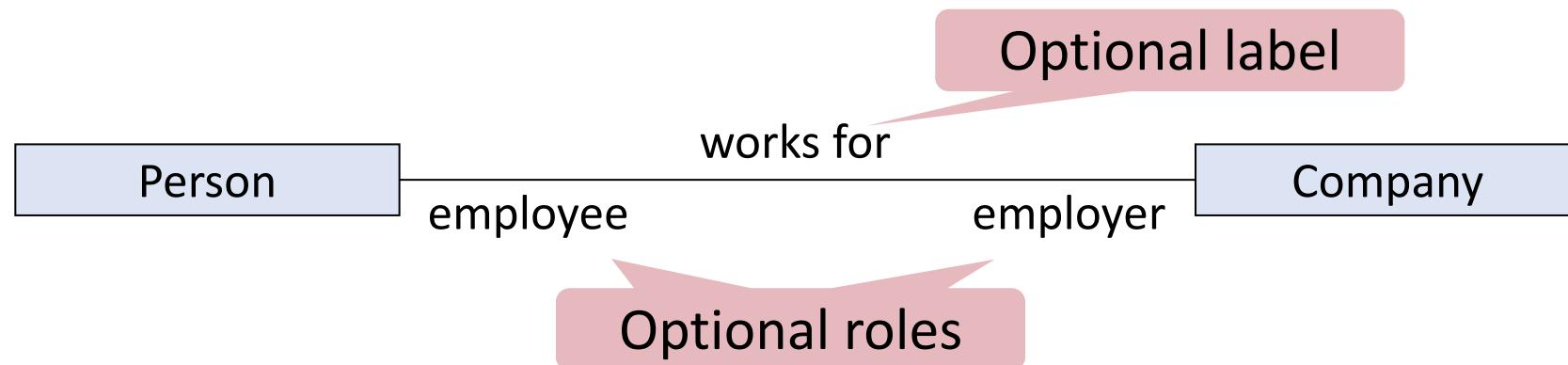
Name of an instance is optional



Attributes are represented with their values

# Associations

- A **link** represents a **connection** between two objects
  - Object A can send messages to/communicate with object B
  - Object A has an attribute whose value is B
  - Object A creates object B
  - ...
- **Associations** denote **relationships** between classes

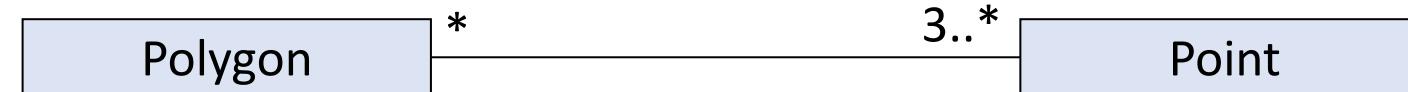


# Multiplicity of Associations

- The multiplicity of an association end denotes **how many** objects the source object can reference
  - Exact number: 1, 2, etc.
  - Arbitrary number: \* (zero or more)
  - Range: 1..3, 1..\*
- 1-to-(at most) 1 association

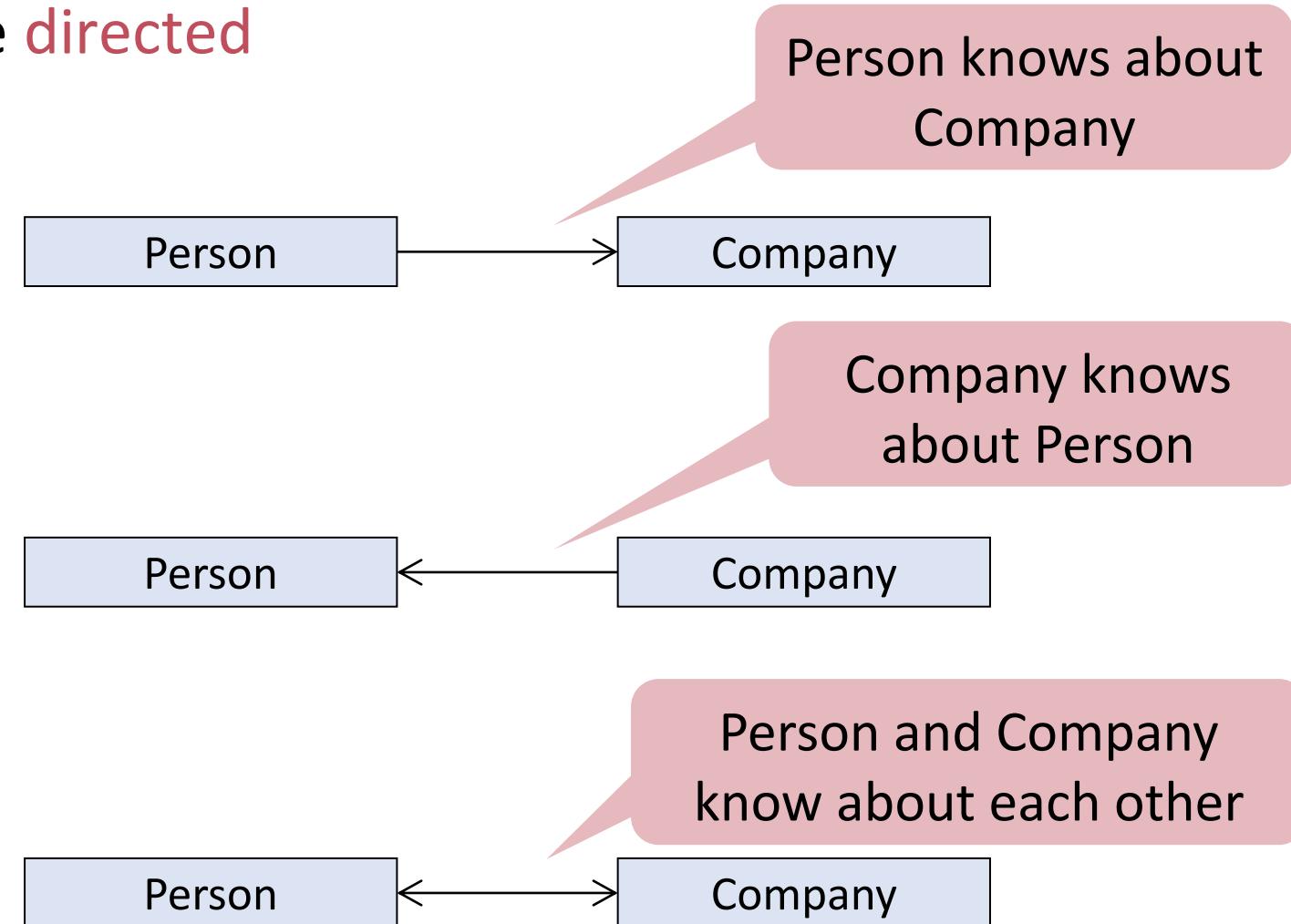


- Many-to-many association



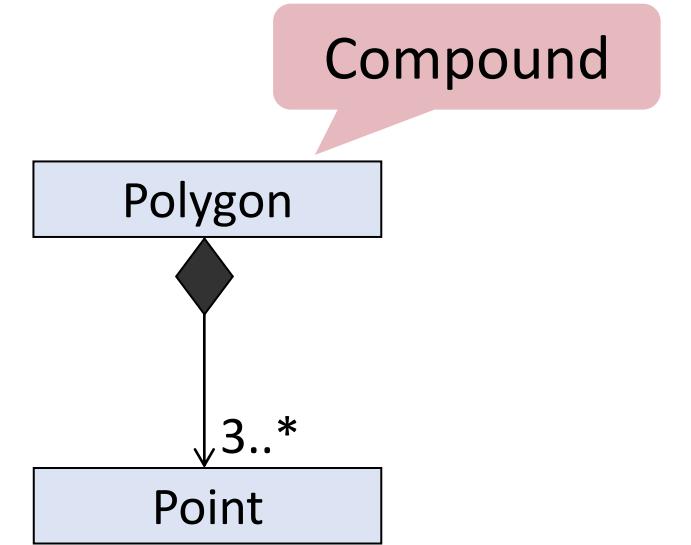
# Navigability

- Associations can be **directed**



# Composition

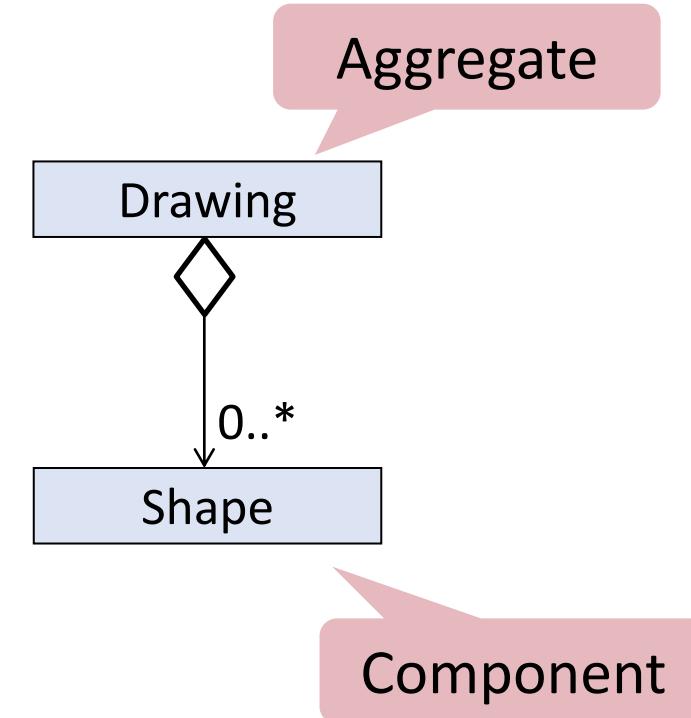
- Composition expresses an exclusive **part-of** (“has-a”) **relationship**
  - Special form of association
  - Composed parts (components) cannot exist independently (exclusive ownership)
    - Implies no sharing
- Composition can be decorated like other associations: multiplicity, label, roles



# Aggregation

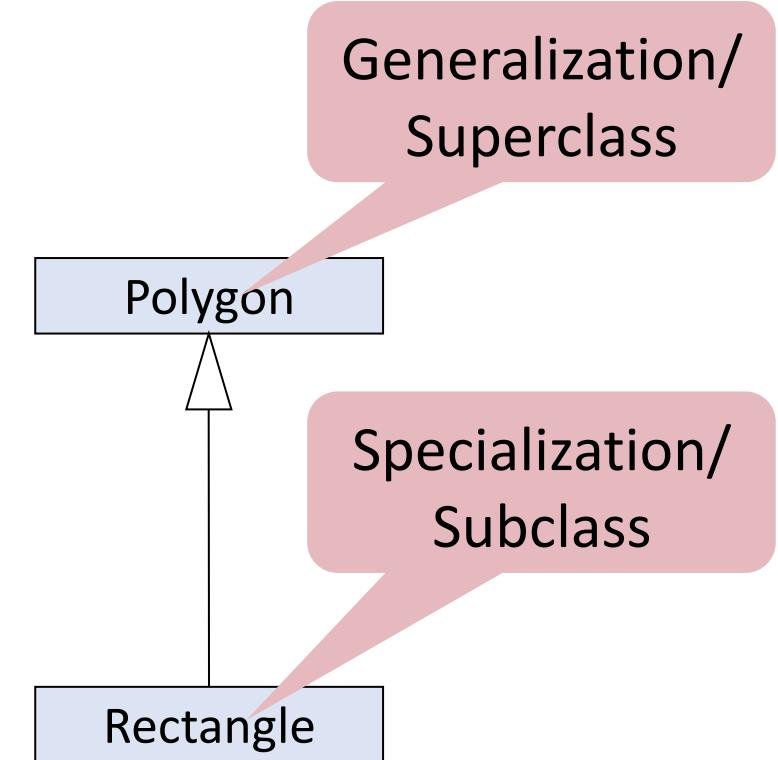
- UML also has aggregation (hollow diamond):
  - Aggregated parts can exist independently

If difference is not crucial, use aggregation



# Generalization and Specialization

- Generalization expresses a **kind-of** (“is-a”) relationship
- Generalization is implemented by inheritance
  - The child classes inherit the attributes and operations of the parent class
- Generalization simplifies the model by eliminating redundancy



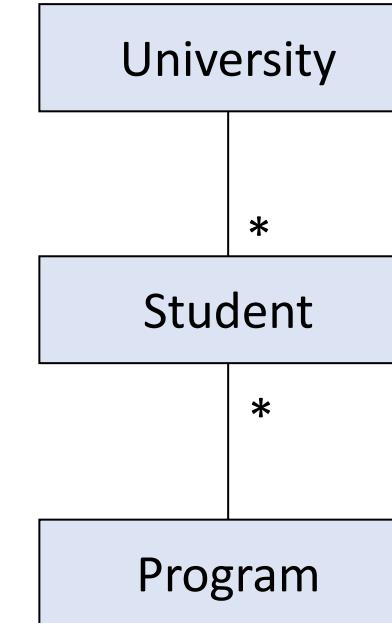
# Recall Underspecification Discussion

```
class University {  
    set<Student> students;  
    ...  
}
```

```
class Student {  
    Program major;  
    ...  
}
```

```
class University {  
    map<Student, Program>  
        enrollment;  
    ...  
}
```

```
class Student {  
    ...  
}
```



- The class diagram leaves **the choice of data structure unspecified**

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## Mini exercise

- Draw an UML class diagram to model this simple statement“  
“A person can be married to another person”

# Modeling and Specification

- Code Documentation
- Informal Models
  - Static Models
  - Dynamic Models
  - Mapping Models to Code

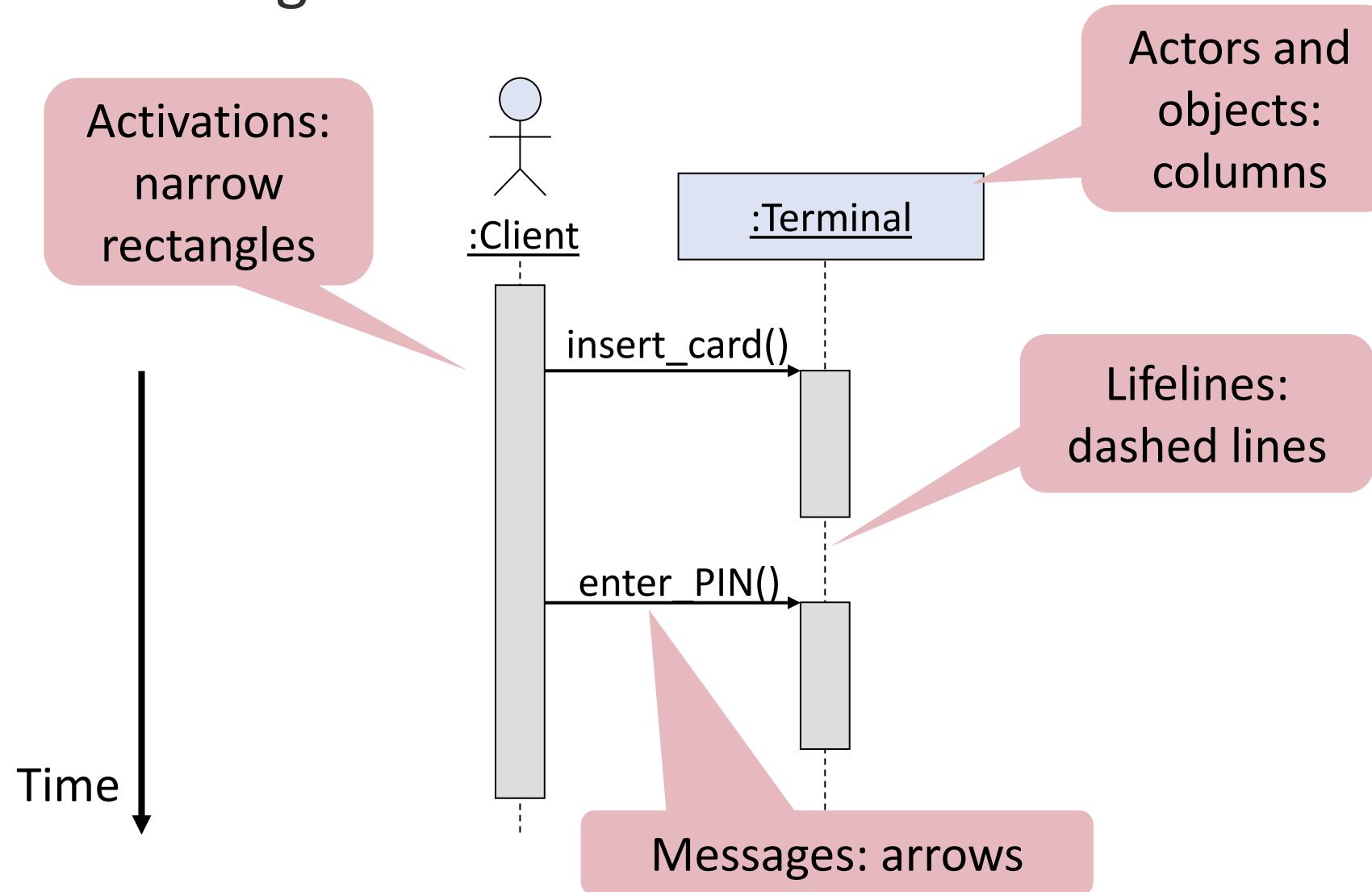
# Dynamic Models

- Static models describe the **structure** of a system
- Dynamic models describe its **behavior**

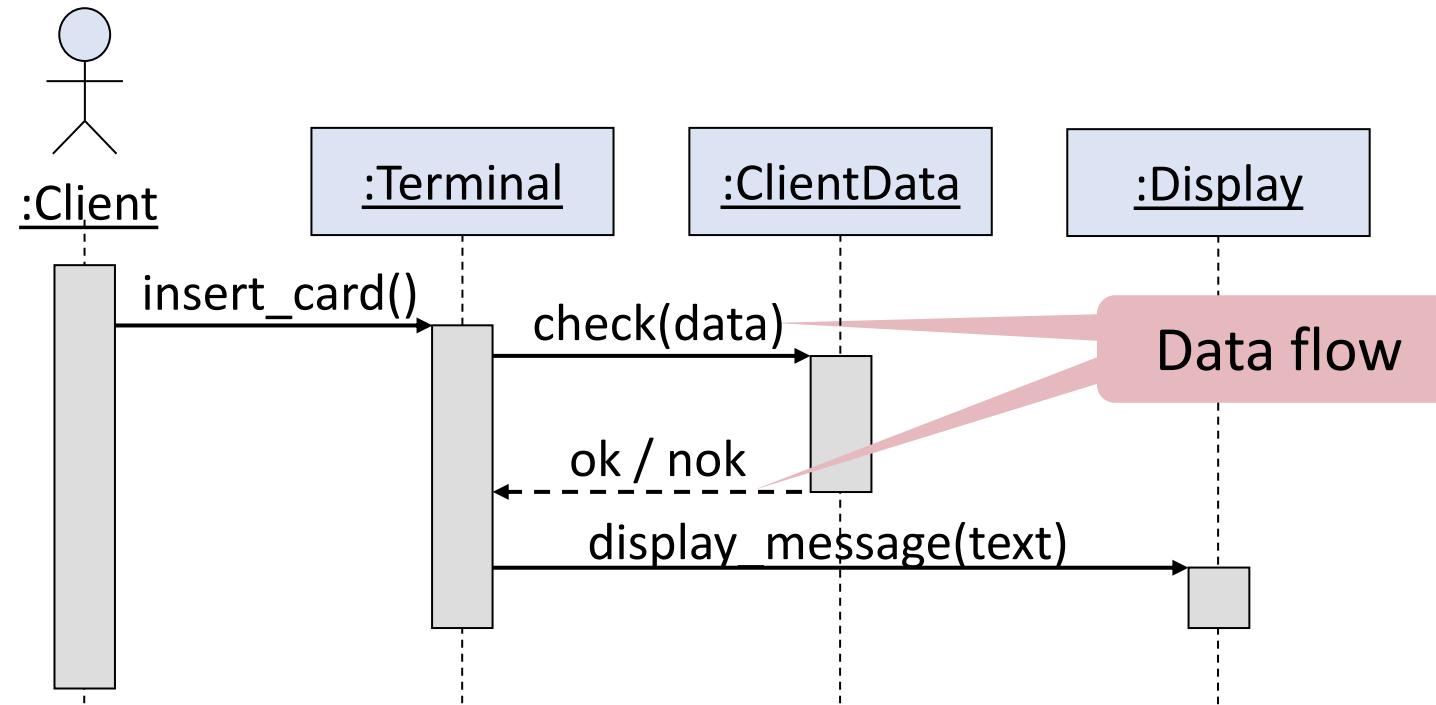
Sequence diagrams  
describe collaboration  
between objects

State diagrams  
describe the lifetime of a  
single object

# UML Sequence Diagrams

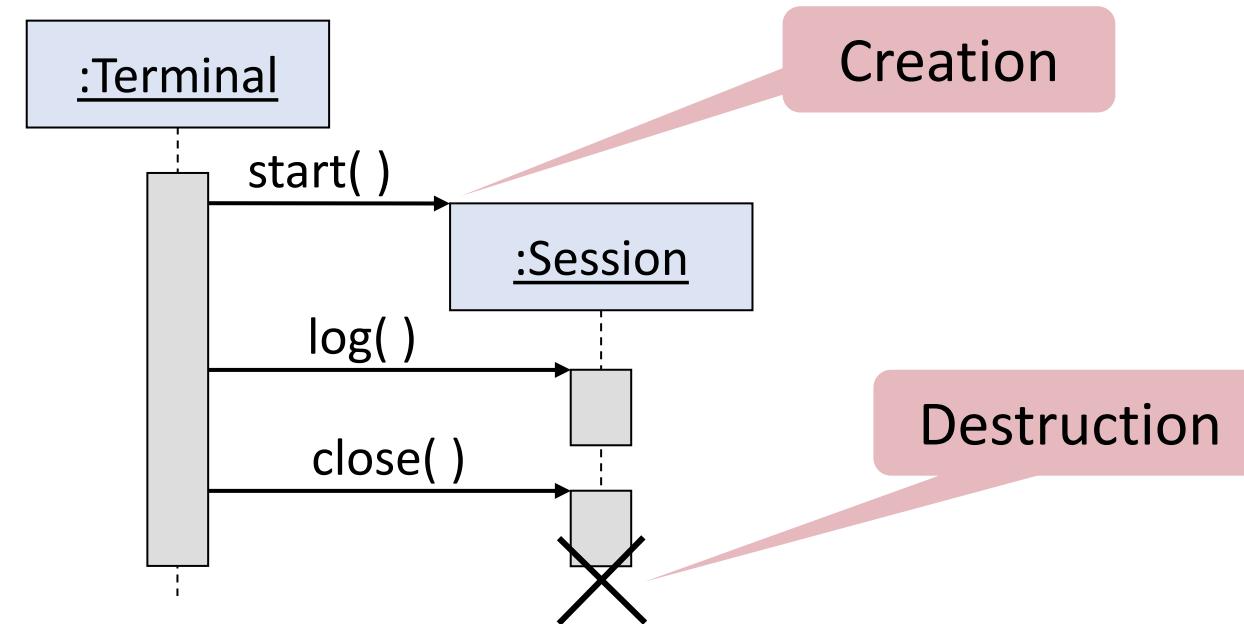


# Nested Messages



- The source of an arrow indicates the activation which sent the message
- An activation is at least as long as all nested activations

# Creation and Destruction

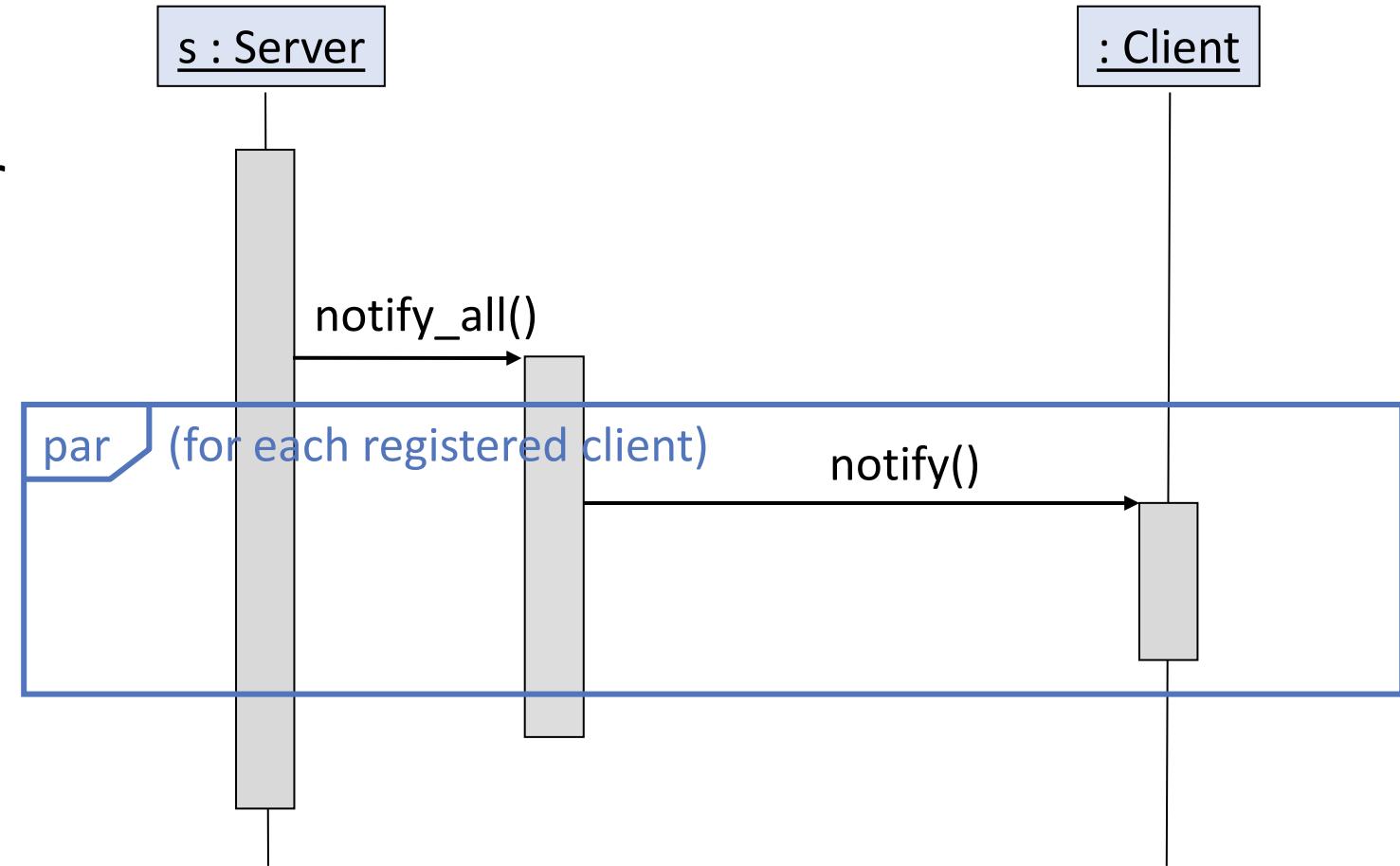


- Creation is denoted by a message arrow pointing to the new object
- Destruction is marked by an X at the end of the object's lifeline

# Example: Underspecification and Views

- Underspecification: `par` = potentially parallel, not (yet) committed to an order

- View:
  - Server-centric (server vs. client activation)
  - Core protocol (notifications); no data & data format, unclear how server & client establish first contact



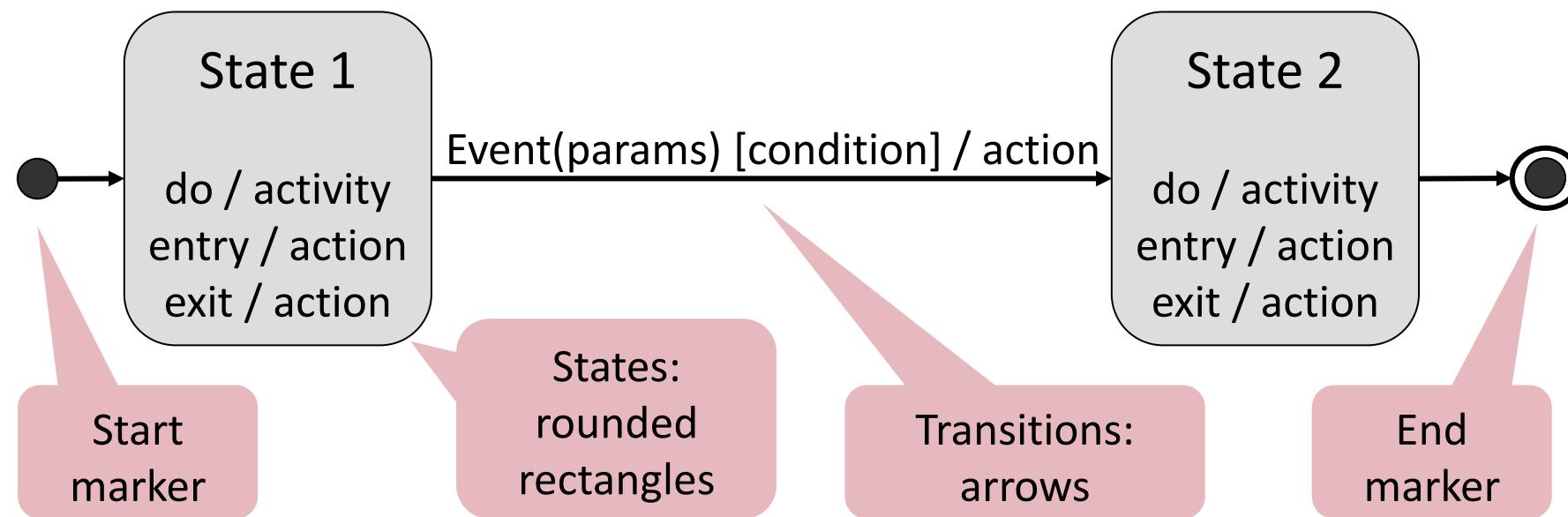
## One minute Challenge:

- Draw an UML sequence diagram to model this simple statement:  
“Bob is proposing to Alice to get married. Alice thinks then answers yes”

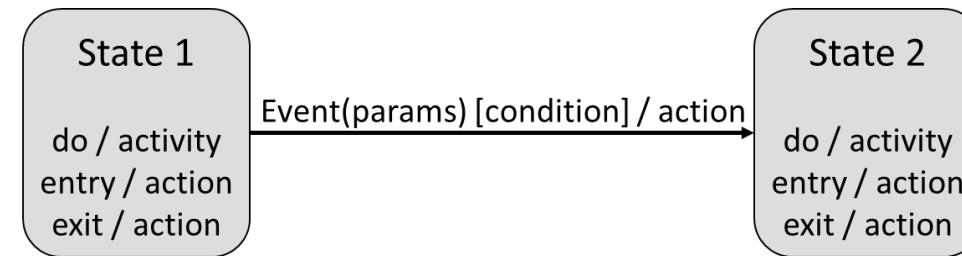
## State

- An **abstraction** of the **attribute values** of an object
- A state is an **equivalence class** of all those attribute values and links that do not need to be distinguished for the control structure of the class
- Example: State of a bank account
  - An account is in state open, flagged, closed
  - Omissions: account number, owner, etc.
  - All open accounts are in the same equivalence class, independent of their number, owner, etc.

# UML State Diagrams

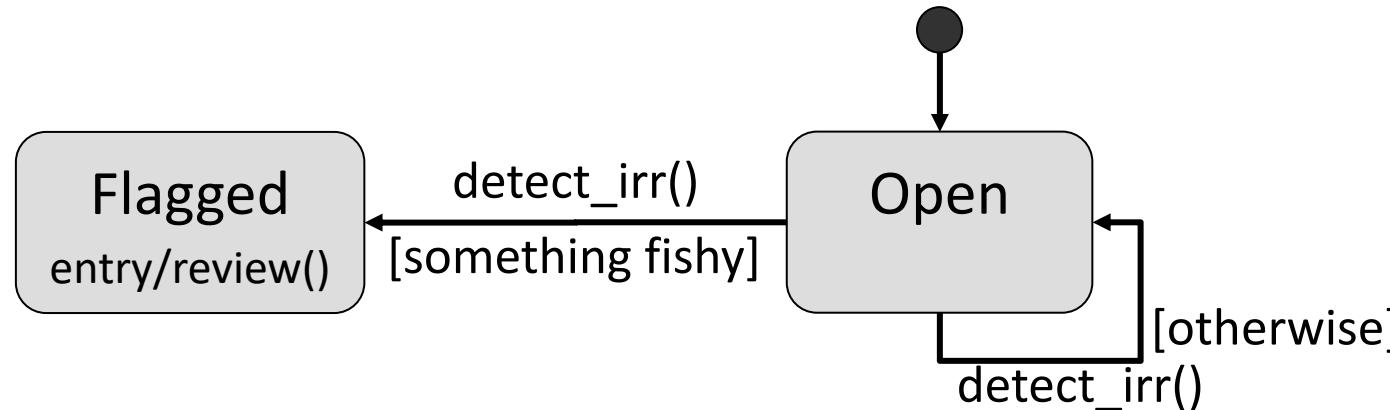


# Events, Actions, and Activities



- **Activity:** Operation performed as long as object is **in some state**
  - Example: Object watches for file system changes, or refines a result (numerical computation)
- **Event:** Something that happens at a point in time
  - Examples: Receipt of a message, change event for a condition, time event
- **Action:** Operation in **response to an event**
  - Example: Object performs a computation upon receipt of a message

# Example: Underspecification

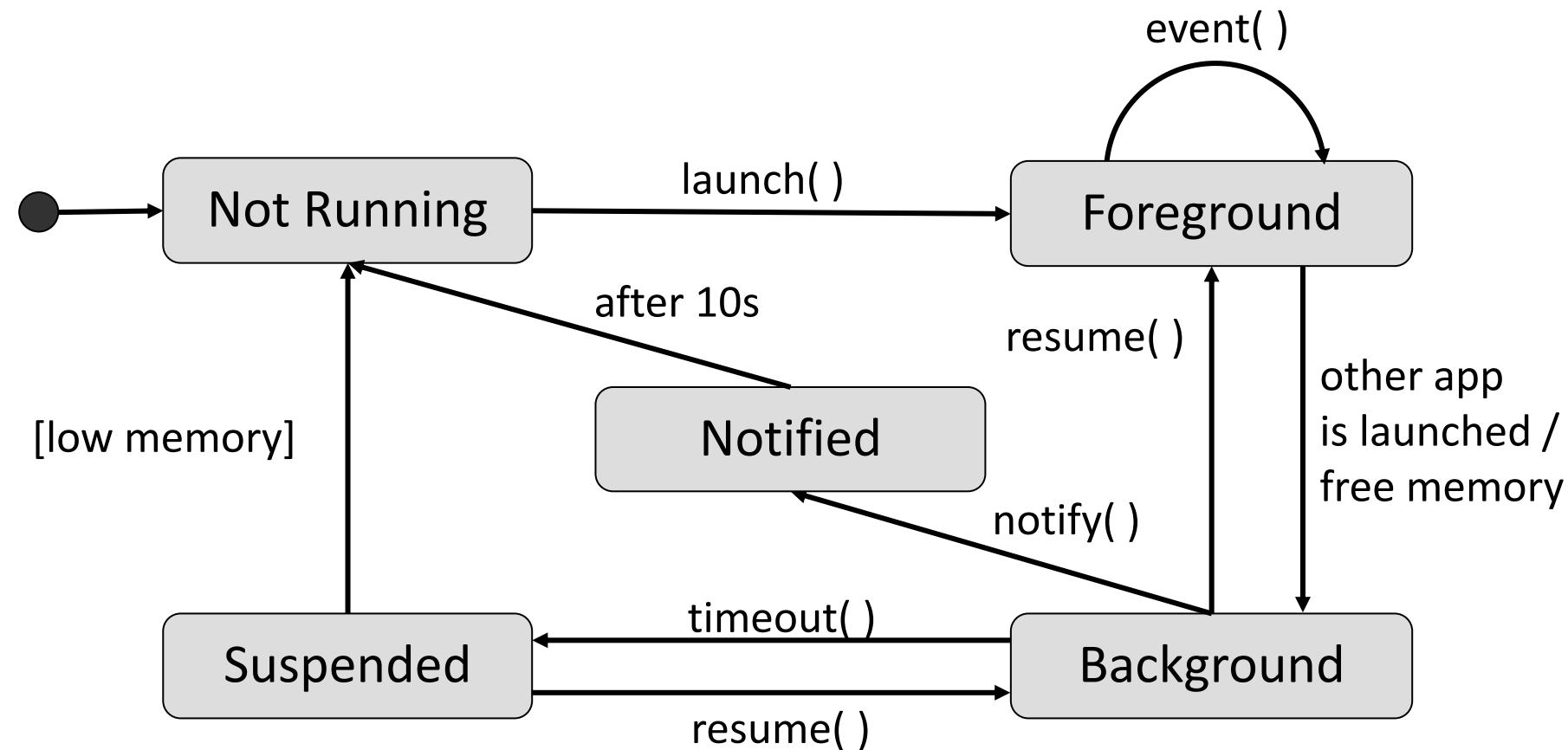


```
class BankAccount {  
    bool flagged;  
  
    void detect_irregularities(...) {  
        if /* something fishy */  
            flagged = true;  
    }  
}
```

- Conditional can be left informal
- Diagram is deliberately incomplete, e.g. post-review transitions missing
- Concrete implementation still needs to handle unexpected messages and message arguments

## Example: Views

Simplified iOS app lifecycle diagram that answers the question  
“May an app be terminated without prior notification?”



## One minute Challenge:

- Draw a state diagram that illustrates Alice's state (remember Bob's proposal)

# Modeling and Specification

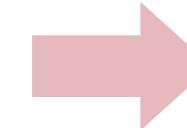
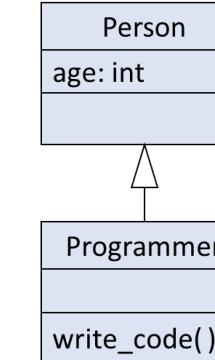
- Code Documentation
- Informal Models
  - Static Models
  - Dynamic Models
  - Mapping Models to Code

## Discussion

- Discuss the following idea: Instead of writing code directly, programs are produced from a sequence of ever more detailed models, and thereby
  - raise the level of abstraction
  - make programming languages superfluous
  - make it easier for non-technical staff to write programs

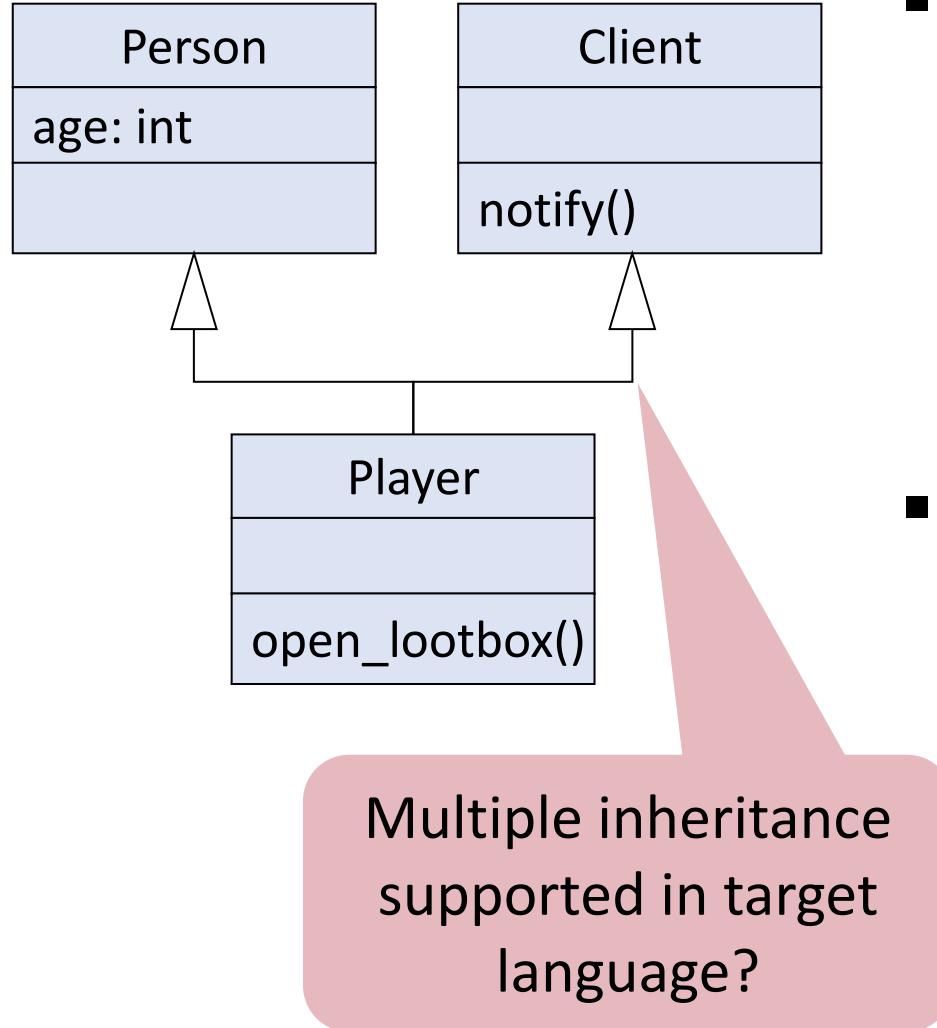
# Model-Driven Development: Idea

- Work on the level of design models
- Generate code automatically
- Advantages
  - Supports many implementation platforms
  - Frees programmers from recurring activities
  - Leads to uniform code
  - Useful to enforce coding conventions (e.g., getters and setters)
  - Models are no longer “mere” documentation



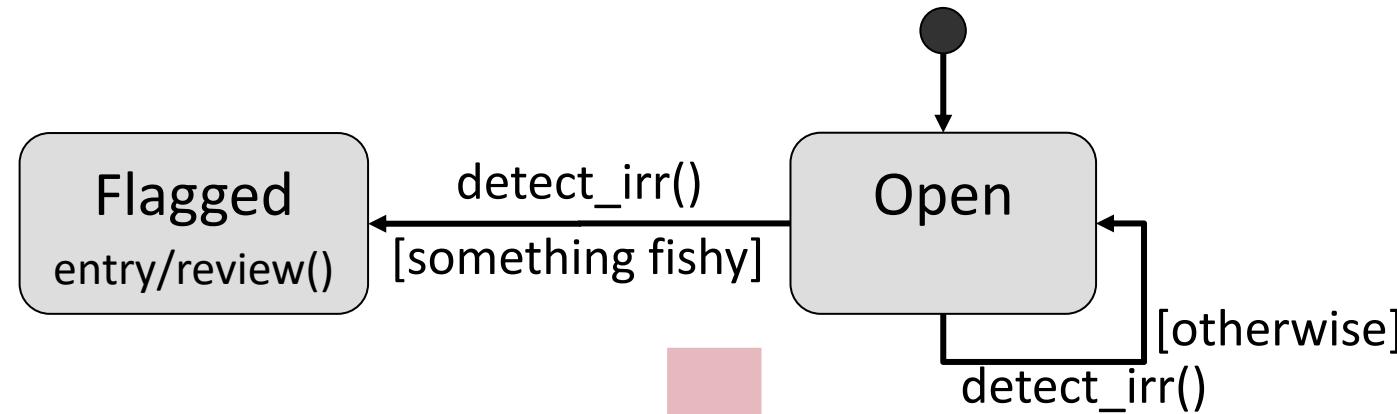
```
class Person {  
    unsigned int age;  
  
public:  
    set_age(unsigned int a)  
    { age = a; }  
    unsigned int get_age()  
    { return age; }  
    ...  
};  
  
class Programmer: public Person {  
public:  
    void write_code() { ... }  
    ...  
};
```

# MDD Problems: Abstraction Mismatch



- UML models
  - may use **different abstractions** than the programming language
  - should not depend on implementation language
  - e.g. Java (OOP) vs. Haskell (FP)
- Models, e.g. due to multiple inheritance, cannot always be mapped to code **directly**

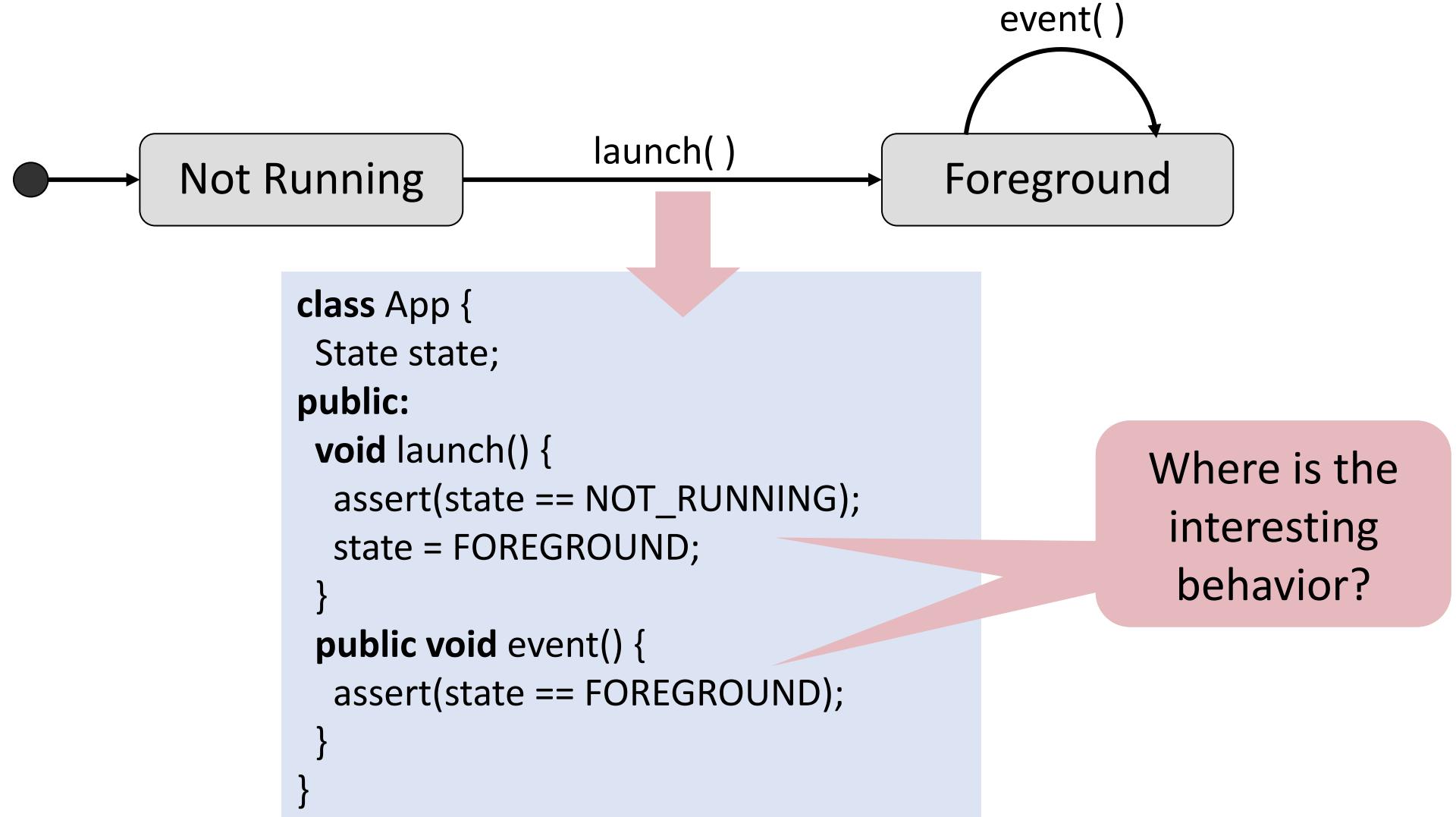
# MDD Problems: Specifications may be Informal



```
void open() {  
    assert(state == OPEN);  
    assert(/* something fishy || otherwise */);  
    if /* something fishy */ {  
        state = OPEN;  
        review();  
    }  
}
```

How to map informal specifications?

# MDD Problems: Specifications are Incomplete



# MDD Problems: Switching between Models and Code

- Code has to be **changed manually**
  - Add interesting behavior
  - Clarify informal specifications
  - Implement incomplete specifications
  - Optimizations (memory, runtime, user experience, ...)
- Modification of code requires complicated synchronization between code and models

# Model-Driven Development: Reality

- Works in specific domains, e.g.,
  - Business Process Modeling (BPML)
  - Event-driven graphical user interfaces
  - Stereotypical games: 2D platformers, point & click adventures, ...
- Code generation works for **basic, standardized properties**
- Interesting code is still **implemented manually**
- Problems
  - Mapping **manual code changes** back to the model is difficult, often impossible
  - Maintaining code that has no models (reverse-engineering)

# Informal Modeling: Summary

## Strengths

- Describes particular views on the overall system
- Abstracts information
- Allows to specify information informally
- Graphical notation facilitates **communication** and **informal reasoning**

## Weaknesses

- Precise meaning of models is often unclear
- Incomplete and informal models hamper tool support
- Graphical notation limits level of detail (they become hard to depict)

*Next week: Formal modelling with Alloy*