



# Windows Server Managing and Supporting Active Directory Certificate Services (ADCS)

## Module 4: Deploy a Two-Tier PKI Hierarchy

Microsoft Services





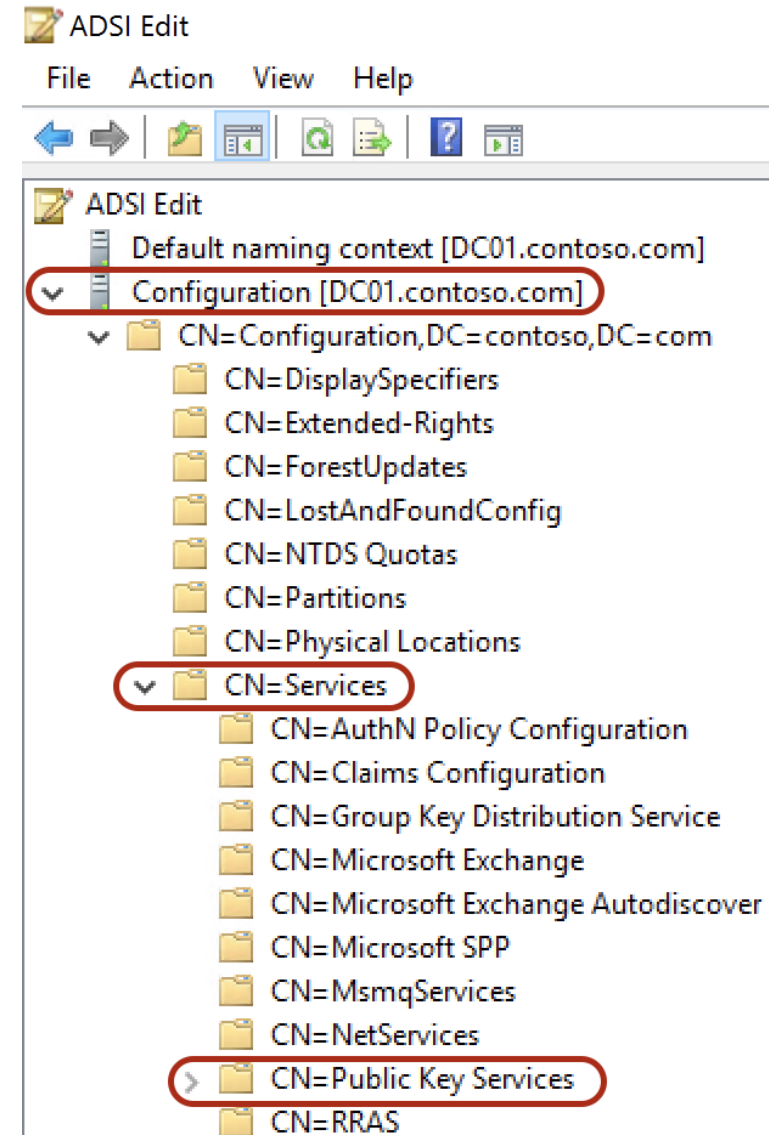
## Module Overview

- PKI Objects in AD
- Planning the Revocation Infrastructure
- CA Pre-Installation Tasks
- Deploy Offline Root CA
- Deploy Subordinate Issuing CA using GUI and PowerShell
- Post-install Configuration

# PKI Objects in Active Directory

# Forest Configuration Container

- A PKI has no relationship to any AD
- Yet, when installing an AD integrated CA (Enterprise CA), AD will be used to store PKI related information
- This information can be used by clients to simplify certificates issue process



# Public Key Services Containers in AD

Object Name and Type	Description and Usage
AIA	Contains CA certificates that can be retrieved by clients using the AIA extension. Certificates in this container are also automatically retrieved by the client and stored on the "Intermediate Certificate Store".
CDP	Contains all base CRLs and delta CRLs published in the forest.
Certificate Templates	Contains all certificate templates available in the forest.
Certification Authorities	Certificates in this container are automatically retrieved by the client and stored on the "Trusted Root Certification Authorities" store.

# Public Key Services Containers in AD (cont.)

Object Name and Type	Description and Usage
Enrollment Services	For each Enterprise CA there is a corresponding pKIEnrollmentService object in this container. Clients use these objects to retrieve available certificate templates and request certificates from Enterprise CAs.
KRA	Contains the certificates for key recovery agents for the forest.
OID	Contains forest registered OIDs for PKI objects.
NTAuth Certificates (Object)	<p>CAs issuing certificates used for authentication (e.g., Smart Card authentication) must be contained in this object.</p> <p>Certificates in this container are automatically retrieved by the client and stored on the "NTAuth" certificate store.</p>

# Adding related Information to AD Container

## AIA

- Will be populated automatically by Enterprise CAs.
- Manual population: `certutil -dspublish -f <PathToCertFile.cer> SubCA`

## CDP

- Will be populated automatically by Enterprise CAs.
- Manual population: `certutil -dspublish -f <PathToCRLFile.crl>`

## Certificate Templates

- Can only be populated by using the Certificate Template Management Tool.

## Certification Authorities

- Will be populated automatically by Enterprise Root CAs.
- Manual population: `certutil -dspublish -f <PathToCertFile.cer> RootCA`

# Planning the Revocation Infrastructure



# AIA/CDP - Stated vs Physical

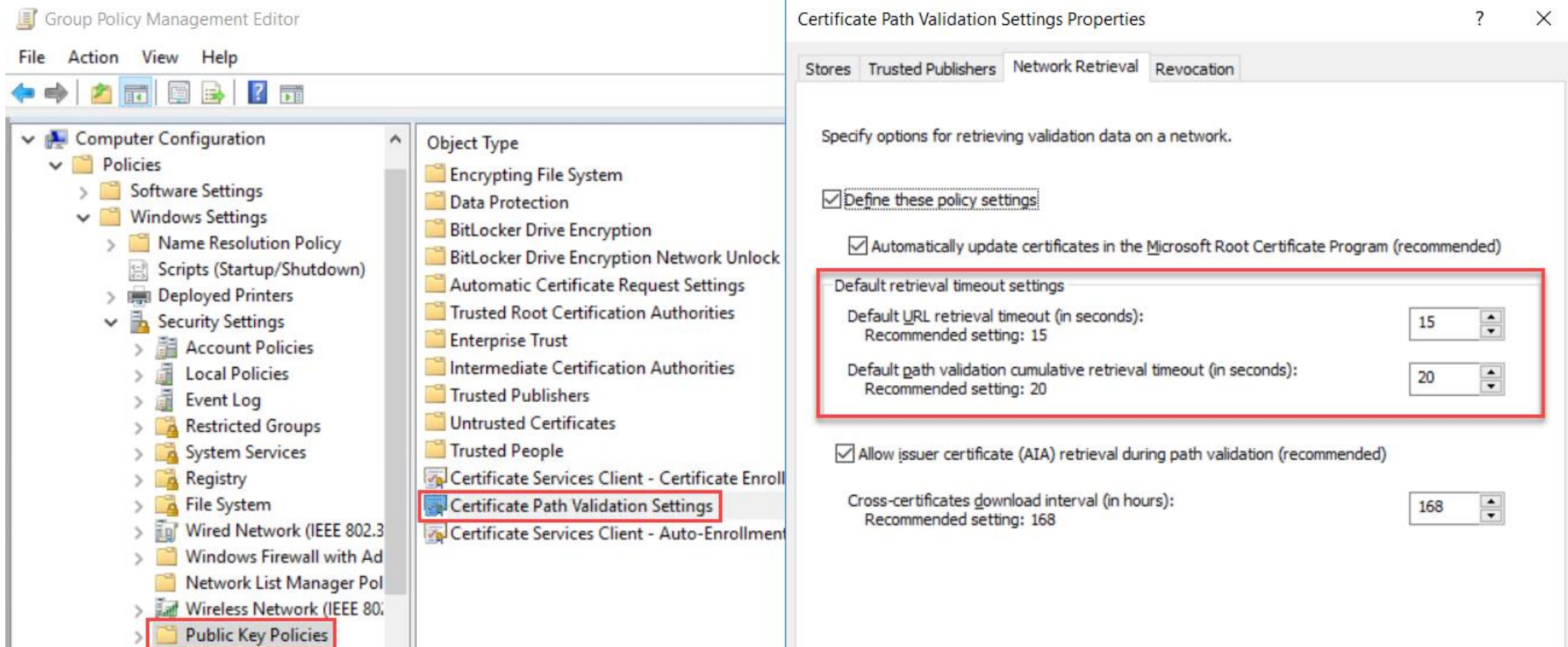
- A “**Stated**” location is a URL sealed on the AIA or CDP extension of an issued certificate, where the certificate or CRL can be retrieved by the clients
- A “**Physical**” location is where the CA or someone on behalf of the CA physically writes certificate & CRL information
  - Each CA is configured to write its certificate and CRL to a physical location (can be the same as a “stated” location)

# AIA/CDP - "Stated"

- Protocols Supported: LDAP, HTTP and FTP
- Hybrid approaches should be considered (i.e., both LDAP and HTTP)
- Does Order Matter: **YES!**
- Clients will process CDP and AIA locations in the order they are listed in the certificate

# AIA/CDP - "Stated" (Cont.)

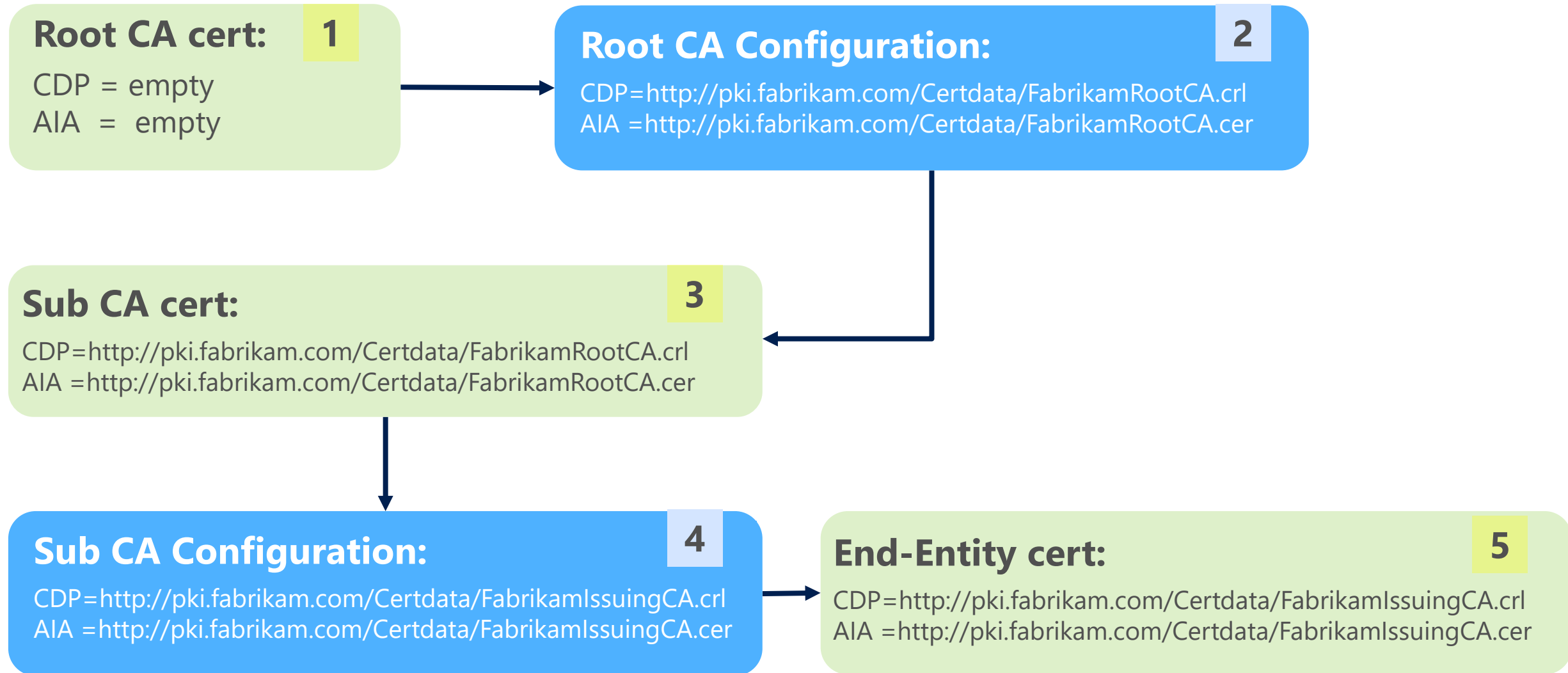
- If a client cannot access the CDP or AIA location, they will proceed to the next one after the configured retrieval timeout has been reached (**15 seconds by default**).
- You can change the default retrieval timeout by Group Policy using the setting: "**Certificate Path Validation Settings**"



# AIA/CDP – “Physical”

- Manual procedure to publish offline Root CA certificate and CRL to stated (i.e., “claimed”) AIA & CDP locations
  - Published to AD using certutil
  - Published to HTTP locations via file copy
  - Publication of online Issuing CA certificate & CRL to Active Directory is automatic during deployment, certificate renewals, & CRL publications

# CDP and AIA Interaction



# Understanding CDP Options

FabrikamRootCA Properties

Enrollment Agents   Auditing   Recovery Agents   Security

General   Policy Module   Exit Module

Extensions   Storage   Certificate Managers

Select extension:

CRL Distribution Point (CDP)

Specify locations from which users can obtain a certificate revocation list (CRL).

C:\Windows\system32\CertSrv\CertEnroll\<CaName><CRLNameSuffix><I  
http://pki.fabrikam.com/CertData/<CaName><CRLNameSuffix><DeltaCRI  
ldap:///CN=<CATruncatedName><CRLNameSuffix>,CN=FabrikamCorpora

< >

Add... Remove

☐ Publish CRLs to this location

☒ Include in all CRLs. Specifies where to publish in the Active Directory when publishing manually.

☐ Include in CRLs. Clients use this to find Delta CRL locations.

☒ Include in the CDP extension of issued certificates

☐ Publish Delta CRLs to this location

☐ Include in the IDP extension of issued CRLs

OK Cancel Apply Help

- 1 ☐ Publish CRLs to this location
- 8 ☒ Include in all CRLs. Specifies where to publish in the Active Directory when publishing manually.
- 4 ☐ Include in CRLs. Clients use this to find Delta CRL locations.
- 2 ☒ Include in the CDP extension of issued certificates
- 64 ☐ Publish Delta CRLs to this location
- 128 ☐ Include in the IDP extension of issued CRLs

# Understanding LDAP CDP Configuration

- Default LDAP CDP:  
`79:ldap:///CN=%7%8,CN=%2,CN=CDP,CN=Public Key Services,CN=Services,%6%10`
  - The number `79` means that all checkboxes (except for the IDP) are enabled
  - The variable `%2` stands for "ServerShortName"

<b>1</b>	<input checked="" type="checkbox"/> Publish CRLs to this location
<b>8</b>	<input checked="" type="checkbox"/> Include in all CRLs. Specifies where to publish in the Active Directory when publishing manually.
<b>4</b>	<input checked="" type="checkbox"/> Include in CRLs. Clients use this to find Delta CRL locations.
<b>2</b>	<input checked="" type="checkbox"/> Include in the CDP extension of issued certificates
<b>64</b>	<input checked="" type="checkbox"/> Publish Delta CRLs to this location
<b>128</b>	<input type="checkbox"/> Include in the IDP extension of issued CRLs

# Understanding LDAP CDP Configuration

- CDP and AIA parameters:

- %1 = ServerDNSName
- %2 = ServerShortName
- %3 = SANITIZEDCANAME
- %4 = CERTFILENAMESUFFIX
- %5 = DOMAINDN
- %6 = CONFIGDN
- %7 = SANITIZEDCANAMEHASH
- %8 = CRLFILENAMESUFFIX
- %9 = CRLDELTAFILENAMESUFFIX
- %10 = DSCRLATTRIBUTE
- %11 = DSCACERTATTRIBUTE
- %12 = DSUSERCERTATTRIBUTE
- %13 = DSKRACERTATTRIBUTE
- %14 = DSCROSSCERTPAIRATTRIBUTE



# Understanding LDAP CDP Configuration (cont.)

The screenshot displays the Active Directory Sites and Services console. In the left-hand tree view, the hierarchy is: Sites > Services > Public Key Services > CDP > ADCSCA01. The 'ADCSCA01' folder is selected and highlighted with a red box. The main pane on the right shows a table with two columns: 'Name' and 'Type'. A single entry, 'FabrikamRootCA', is listed with the type 'cRLDistributionPoint'. This entry is also highlighted with a red box. A blue arrow points from the 'ADCSCA01' folder in the tree to the 'FabrikamRootCA' entry in the table.

Below the main console, the 'FabrikamRootCA Properties' dialog box is open, with the 'Attribute Editor' tab selected. The 'Attributes' section contains a table with two columns: 'Attribute' and 'Value'. The 'certificateRevocationList' attribute is highlighted with a red box, and its value is '\30\82\03\9F\30\82\01\87\02\01\00'.

Name	Type
FabrikamRootCA	cRLDistributionPoint

Attribute	Value
adminDescription	<not set>
adminDisplayName	<not set>
authorityRevocationList	<not set>
certificateAuthorityObject	<not set>
certificateRevocationList	\30\82\03\9F\30\82\01\87\02\01\00

# CRL Publication Options

Display Name	Description	Label	Value
Publish CRLs to this location	Identifies locations to which the CA should automatically publish the physical CRL files	ServerPublish	1
Include in all CRLs. Specifies where to publish in the Active Directory when publishing manually	Create an extension in the CRL file, which specifies where to publish the CRL in AD when publishing manually.	AddtoCertCDP	8
Include in CRLs. Clients use this to find delta CRL locations	Place a URL for delta CRL retrieval in a base CRL. This publication point is stored in the freshest CRL extension of a CRL, and it retrieved only during the CRL checking process	AddtoFreshestCRL	4
Include in the CDP extension of issued certificates	Place a URL in the CDP extension of a CRL issued by the CA to allow the relying party certificate chaining engine to download the latest CRL version if the current version has expired	AddtoCRLCDP	2
Publish delta CRLs to this location	If the CA is configured to enable delta CRLs, the delta CRL files are automatically published to this location	ServerPublishDelta	64
Include in the IDP extension of issued CRLs	Used by non-Windows clients to determine the scope of the CRL. The scope can include end-entity certificates only, CA certificates only, attribute certificate only, or a limited set of reason codes	IssuingDistributionPoint	128

# Examples for CRL Validity Periods for the PKI

## RootCA

- Validity Period: 6 Months
- Overlap Period: 2 Months

## DevicesIssuingCA

- Validity Period: 5 Days
- Overlap Period: 1 Day

## UserIssuingCA

- Validity Period: 2 Days
- Overlap Period: 1 Day
- Delta CRL Period: 1 Day
- Delta CRL Overlap Period: 1 Day

# PKI Pre-Installation Tasks

# PKI Management Groups & Accounts

## CA Administrator

- Manage any aspect of the CA, Including Public Key Services Container
- Can restart the service
- Modify CA configuration
- CA Administrators (domain group)
- Administrators (local group)

## Certificate Manager

- Manage certificate issuance
- Manage revocation issuance
- Certificate Managers (domain group)
- Administrators (local group)

FabrikamUserIssuingCA01 Properties

Extensions Storage Certificate Managers

General Policy Module Exit Module

Enrollment Agents Auditing Recovery Agents Security

Group or user names:

- Authenticated Users
- Certificate Managers (FABRIKAM\CertificateManagers)**
- CA Administrators (FABRIKAM\CAAdministrators)
- Domain Admins (FABRIKAM\Domain Admins)
- Enterprise Admins (FABRIKAM\Enterprise Admins)
- Administrators (ADCSCA02\Administrators)

Add... Remove

Permissions for Certificate Managers

	Allow	Deny
Read	<input type="checkbox"/>	<input type="checkbox"/>
Issue and Manage Certificates	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Manage CA	<input type="checkbox"/>	<input type="checkbox"/>
Request Certificates	<input type="checkbox"/>	<input type="checkbox"/>

OK Cancel Apply Help

# PKI Management Groups & Accounts

## CA Administrator

- Manage any aspect of the CA, Including Public Key Services Container
- Can restart the service
- Modify CA configuration

- CA Administrators (domain group)
- Administrators (local group)

## Certificate Manager

- Manage certificate issuance
- Manage revocation issuance

- Certificate Managers (domain group)
- Administrators (local group)

## Certificate Template Manager

- Manage certificate template, including creating, modifying and deleting templates

- Certificate Template Managers (domain group)
- Custom delegation

# Delegating Public Key Services Container to CA Administrators

- Provide “Full Control” permissions to the “Public Key Services” AD container
- In order to harden the management of AD CS services we only give Full permission to CA Administrators
- Domain Admin and Enterprise Admins we remove every permission except READ

ADSI Edit

Configuration [ADCSDC01.Fabrikam.com]

CN=Configuration,DC=Fabrikam,DC=com

CN=DisplaySpecifiers

CN=Extended-Rights

CN=ForestUpdates

CN=LostAndFoundConfig

CN=NTDS Quotas

CN=Partitions

CN=Physical Locations

CN=Services

CN=AuthN Policy Configuration

CN=Claims Configuration

CN=Group Key Distribution Service

CN=Microsoft SPP

CN=MsmqServices

CN=NetServices

CN=Public Key Services

CN=RRAS

CN=Shadow Principal Configuration

CN=Windows NT

Name	Class	Distinguished Name
CN=AIA	container	CN=AIA,CN=Public Key Services,CN=Services,CN=Configuration,DC=Fabrikam,...
CN=CDP	container	CN=CDP,CN=Public Key Services,CN=Services,CN=Configuration,DC=Fabrikam,...
CN=Certificate Templates	container	CN=Certificate Templates,CN=Public Key Services,CN=Services,CN=Configuratio...
CN=Certification Authorities	container	CN=Certification Authorities,CN=Public Key Services,CN=Services,CN=Configur...
CN=Enrollment Services	container	CN=Enrollment Services,CN=Public Key Services,CN=Services,CN=Configuration...
CN=KRA	container	CN=KRA,CN=Public Key Services,CN=Services,CN=Configuration,DC=Fabrikam,...
CN=OID	msPKI-Enter...	CN=OID,CN=Public Key Services,CN=Services,CN=Configuration,DC=Fabrikam,...

# Module 4, Lab 1 Exercise 1:

Establish PKI Deployment Pre-requisites



Lab

Duration: 30 Minutes





## Lesson Review

### Question 1:

Why we created a new Web Site on the IIS Server?  
In order to publish Revocation Information

### Question 2:

Which group has full permission on Public Key Services Container?

CA Administrators

### Question 3:

Which are the 3 primary AD Groups that Responsible AD CS infrastructure?

CA Administrator

Certificate Manager

Certificate Template Manager



Lab

## Module 4, Lab 1 Exercise 2:

### Question 1:

As part of the exercise, we configured the IIS Request Filtering options and enabled 'Double escaping'. Why we did it?

To support the delta CRL file name, which, by default, ended with a '+'.

### Question 2:

What is the purpose of 'Cert Publishers' group, and who is a member of this group by default?

The purpose of 'Cert Publishers' group is to publish certificates to Active Directory. By default, the Certificate Authority computer accounts from same domain are members in this group.

Offline Root CA

# Install and Configure Offline Root CA

- An offline Root CA has to be **truly offline**:
  - Should never be attached to a network
  - Service Packs/Cumulative Updates should be deployed offline
  - Secure location (secured server rack, special virtualization environment)
- Pay attention that:
  - CA common name and domain membership status cannot be changed without uninstalling CA
  - Machine name can be changed during migration or when required

# Define CAPolicy.inf File

- Optional configuration file that defines settings which cannot be specified using the GUI
  - Does not exist by default
  - Has to be manually copied to `%windir%\capolicy.inf` prior to setup
- May contain the following settings:
  - Policies to be included in the CA certificate
  - Constraints to be included in the CA certificate
  - CA certificate renewal settings
  - (Delta) CRL validity settings
  - Do not load default templates switch

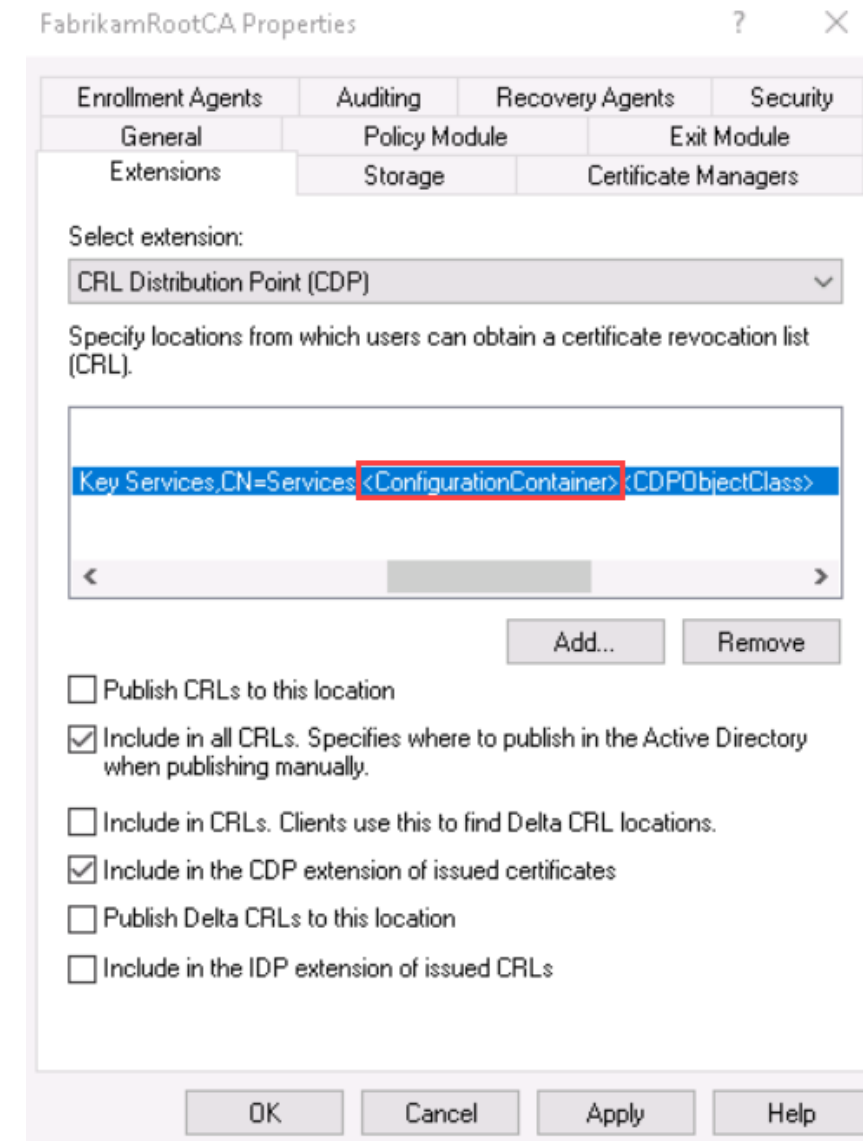
# Root CA: Post-Install Configuration

1. Define CRL publication intervals
2. Define CDP and AIA URLs (change defaults to appropriate HTTP and/or LDAP URLs)
3. Configure CA auditing
4. Define validity period for certificates
5. Restart AD CS service
6. Publish new CRL
7. Make CRL and AIA distribution points available:
  - a. Copy the CRL and AIA to the Web Server
  - b. Publish the CRL and AIA to AD

# Configure DSConfigDN for LDAP CDP

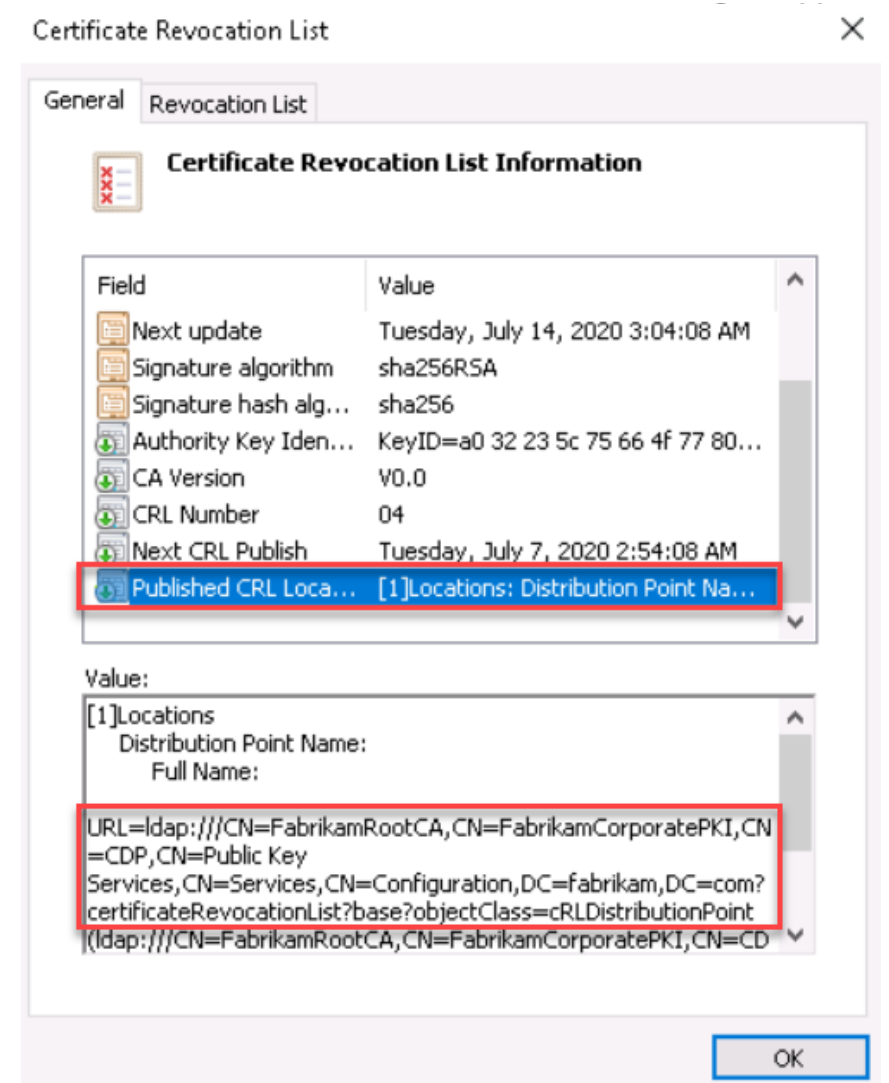
- We decided to publish the Offline Root CA's CRL to Active Directory
- Because the Root CA is a standalone CA (not joined to a domain), it cannot translate the '**ConfigurationContainer**' variable (%6) in the CDP to the configuration partition DN (e.g. "CN=Configuration,DC=Fabrikam,DC=com")
- To enable the Root CA to translate 'ConfigurationPartition' correctly, **DSConfigDN** value will be configured:

```
Certutil -setreg CA\DSConfigDN  
"CN=Configuration,DC=fabrikam,DC=com"
```



# “Include in all CRLs” Option

- From the same reasons, the Root CA won't be able to publish the CRL to Active Directory automatically, and we will have to do it manually using `certutil -dspublish`
- To make this process a bit easier, we decided to add the **Published CRL Locations** extension to the CRL, which specifies where to publish the CRL in AD when publishing manually using `certutil -dspublish`.
- The Published CRL Locations extension was added by enabling the “Include in all CRLs” option in the CDP.

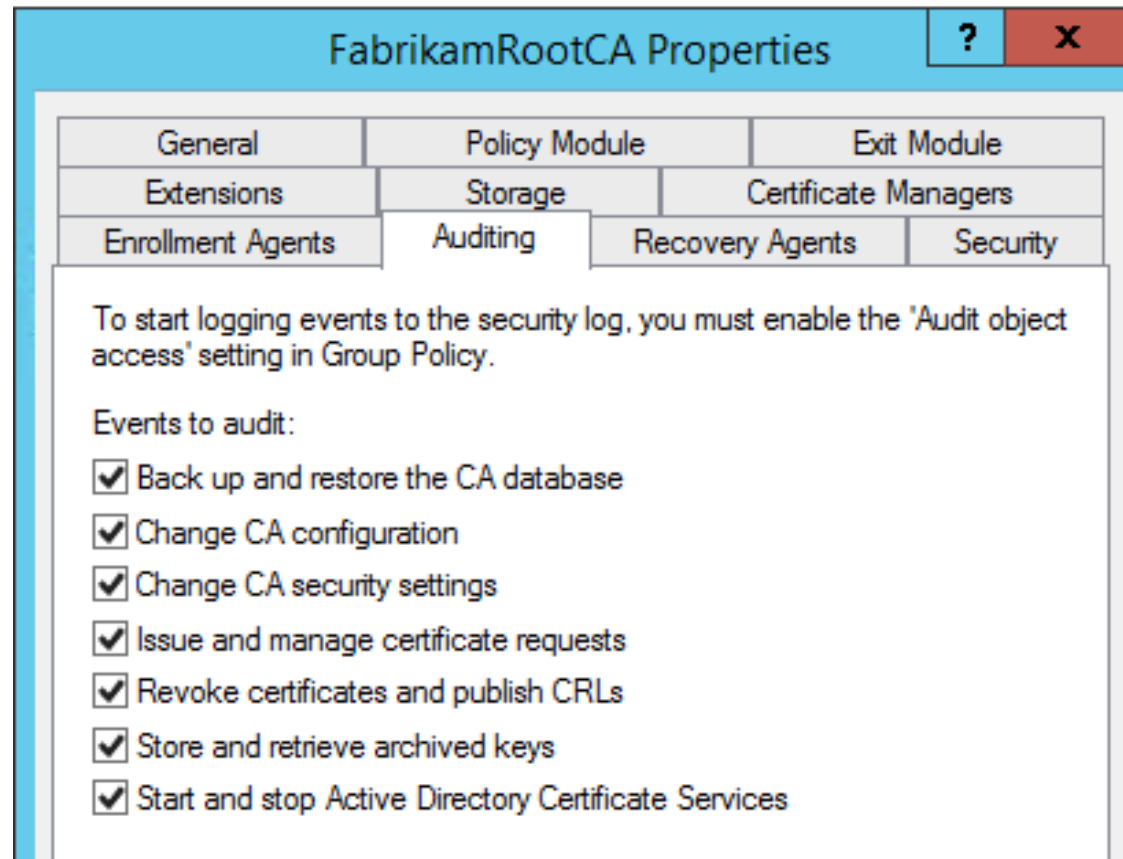




# CA Auditing

Enabling auditing on the CA:

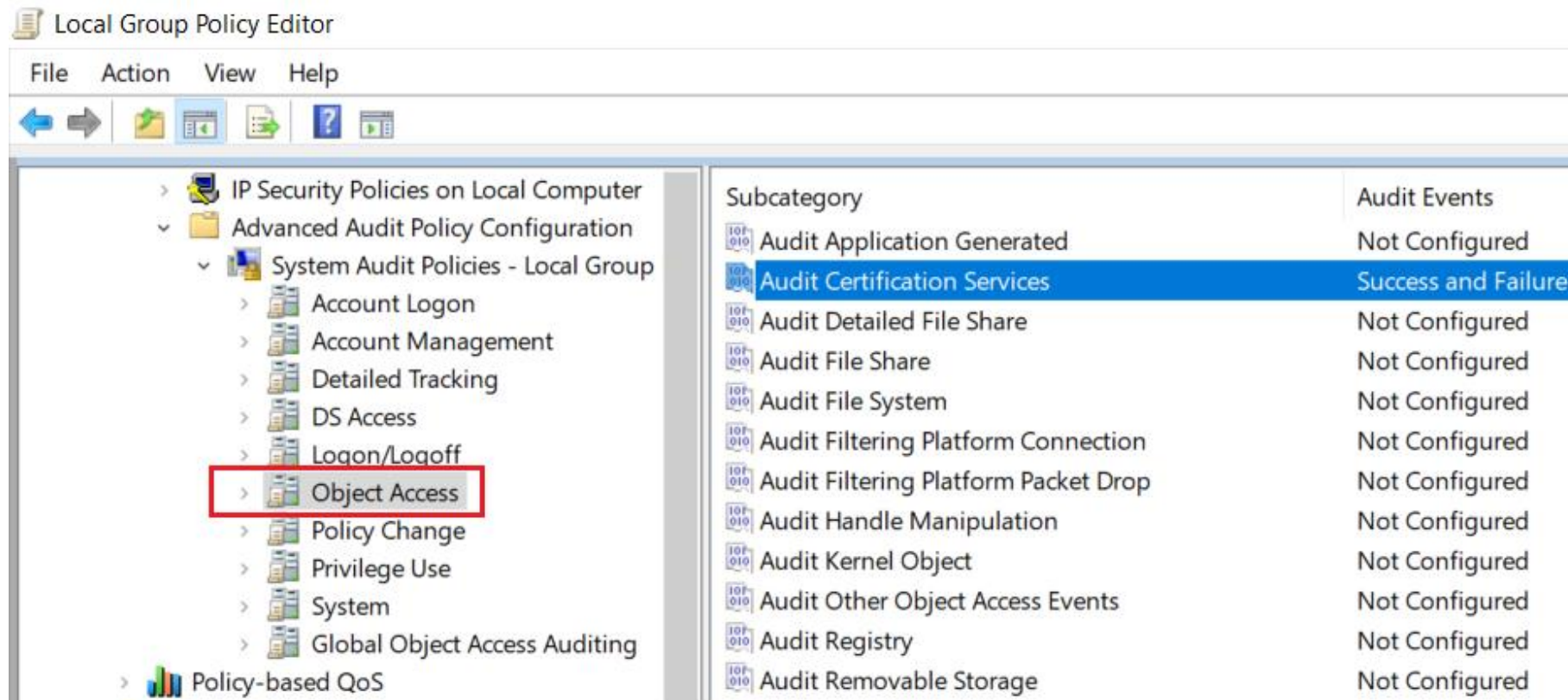
- Using certutil: **Certutil -setreg CA\AuditFilter 127**
- Using the GUI as described in the screenshot



# CA Auditing (Cont.)

Enable auditing on the OS using Group Policy:

- Advanced Audit Policy Configuration > System Audit Policies > Object Access > Audit Certification Services





## Lesson Review

### Question 1:

How to enable Audit for Certificate Services?

The audit should be enabled for both the CA and the OS level.

### Question 2:

What is the advantage of configuring the "Include in all CRLs" option at the offline root CA?

Simplify the process of manually publishing the Root CA CRL.

# Module 4, Lab 2 Exercise 1:

Install and Configure Standalone  
Offline Root CA



Lab

Duration: 30 Minutes

# Subordinate Issuing CA

# Publishing Root CA certificate and CRL

As a prerequisite to the subordinate CA deployment, the Root CA certificate and CRL should be published to relevant locations.

1. Copy Root CA's CRT and CRL files to a domain-joined server
2. Publish Root CA certificate and CRL to Active Directory:
  - To the AIA and *Certification Authorities* containers: `certutil -f -dspublish <RootCACertFileName.crt> RootCA`
  - To the CDP container: `certutil -f -dspublish FabrikamRootCA.crl FabrikamCorporatePKI`
3. Publish Root CA certificate and CRL to the web server:
  - Copy the files to the relevant folder (CertData) under the web server
4. Update the subordinate local store with the new certificates:
  - `gpupdate /force` (on the subordinate CA server)

# Install and Configure Subordinate Issuing CA

- Install AD CS role:
  - Member server running Windows Server 2016, fully patched with network connection and static IP
  - CA common name cannot be changed, domain membership status cannot be changed without uninstalling CA
  - Machine name can be changed during migration or when requested

# Post-install Configuration

- Using a secure method (USB or external media), copy subordinate CA certificate request file to the root CA:
  - Submit a request to the Root CA using CA manager
  - Issue certificate on the Root CA
  - Export certificate as .p7b
  - Using USB or external media to move the .p7b file back to the subordinate CA
- Import certificate into subordinate CA
  - Install the CA certificate using CA manager (certsrv.msc)



# Post-install Configuration (continued)

- Start the CA service
- Delete all published certificate templates using CA manager (not necessary if LoadDefaultTemplates=0 used in CAPolicy.inf)
- Define CRL publication intervals
- Define CDP and AIA URLs (change defaults to appropriate HTTP/LDAP URLs)
- Define Validity Period
- Configure CA auditing
- Configure object access auditing using local security policy
- Restart AD CS service
- Publish new CRL

## Module 4, Lab 2 Exercise 2:

Install and configure the User Issuing CA (Subordinate CA)



Lab

Duration: 30 Minutes

# PowerShell-based Installation of Subordinate Issuing CA

# PowerShell 4.0 Deployment Cmdlets (ADCS)

- The following Cmdlet copies the binaries for the appropriate role to the system:
  - `Add-WindowsFeature <Role> -IncludeManagementTools`
- The `<Role>` parameter can be replaced with the following options:
  - `Adcs-Device-Enrollment`
  - `Adcs-Web-Enrollment`
  - `Adcs-Enroll-Web-Svc`
  - `Adcs-Enroll-Web-Pol`
  - `Adcs-Online-Cert`

# PowerShell 4.0 Deployment Cmdlets (ADCS)

The following Cmdlet install/remove the appropriate role:

- Install-/Uninstall-<Role>

The <Role> parameter can be replaced with the following options:

- AdcsCertificationAuthority
- AdcsNetworkDeviceEnrollmentService
- AdcsWebEnrollment
- AdcsEnrollmentWebService
- AdcsEnrollmentPolicyWebService
- AdcsOnlineResponder

# PowerShell 4.0 Deployment Cmdlets (ADCS)

Add- / Get- / Remove-

- **CAAuthorityInformationAccess**  
Configures Authority Information Access (AIA) or Online Certificate Status Protocol (OCSP) URI on a CA.
- **CACrldistributionPoint**  
Configures certificate revocation list (CRL) distribution point uniform resource indicator (URI) where the CA publishes certification revocations.
- **CATemplate:**  
Configures certificate template to the CA.

Backup- / Restore- CARoleService

- Backs up/Restore the CA database and private key information.

# Health Check Validation

- PKIView.msc (Enterprise PKI)
  - Verify status 'OK' for all items for each CA
- AD CS Best Practices Analyzer (BPA)
  - Server Manager, AD CS role, BPA
    - Eight compliance checks
- Enroll an end entity certificate
  - Export certificate and check status
    - Certutil.exe -URL <CertFileName.cer>



Lab

## Module 4, Lab 2 – Exercise 3 (Potential):

PowerShell-based Installation of  
Subordinate Issuing CA

Validate PKI Deployment Health

Duration: 30 Minutes



# Module 4, Lab 2 Exercise 4:

Validate PKI Deployment Health



Lab

Duration: 15 Minutes



## Module Summary

- Installation and configuration of an offline Root CA
- Installation and configuration of a subordinate Issuing CA using GUI and PowerShell
- Design and configure CDP and AIA
- Understand the different containers under "Public Key Services" container in AD

