

# Galois Theory - 5122GALO6Y

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# 1 Summary

## 2 Introduction

Galois theory is about studying Polynomials with coefficients in a field ( $\mathbb{Q}, \mathbb{R}, \mathbb{C}$  etc.). Let

$$f(T) = T^n + \cdots + a_1T + a_0 \in \mathbb{Q}[T].$$

Then  $f(T)$  splits completely in  $\mathbb{C}[T]$  as

$$f(T) = (T - \alpha_1) \cdots (T - \alpha_n)$$

with  $\alpha_1, \dots, \alpha_n \in \mathbb{C}$  are the roots of  $f$ . Galois theory studies permutation of the the roots that preserve algebraic relations between these roots. The allowed permutation of the roots give rise to a group denoted  $\text{Gal}(f)$ . The following definition of a Galois group does not require any background knowledge but is not very useful in practice.

**Definition.** Let  $\sigma : \mathbb{C} \rightarrow \mathbb{C}$  be a field automorphism and  $\alpha \in \mathbb{C}$  a root of  $F(T) \in \mathbb{Q}[T]$ . Since  $\sigma(1) = 1$  it follows that  $\sigma(n) = n$  for all integers and so  $\sigma(a/b) = \sigma(a)/\sigma(b) = a/b$  is the identity on  $\mathbb{Q}$ . Then

$$\begin{aligned} f(\sigma(\alpha)) &= \sigma(\alpha)^n + \cdots + a_1\sigma(\alpha) + a_0 \\ &= \sigma(f(\alpha)) \\ &= 0. \end{aligned}$$

Then each automorphism  $\sigma$  is a permutation of the roots which is precisely the Galois group of the polynomial  $\text{Gal}(f) \subset S_n$ . In other words we have a group action

$$\text{Aut}(\mathbb{C}) \times \{\alpha_1, \dots, \alpha_n\} \rightarrow \{\alpha_1, \dots, \alpha_n\}$$

Then  $\text{Gal}(f) := \text{Im}(\phi)$  where  $\phi : \text{Aut}(\mathbb{C}) \rightarrow S_n$  mapping  $\sigma \mapsto (\alpha_i \mapsto \sigma(\alpha_i))$

$\text{Gal}(f) \subset S_n$  is transitive subgroup (i.e. if its action on the set of roots is transitive) if and only if  $f$  is irreducible.

## 3 Symmetric Polynomials

A symmetric polynomial is a polynomial  $F(X_1, X_2, \dots, X_n)$  the is invariant under permutations of its variables. In other words

$$P(X_1, X_2, \dots, X_n) = P(X_{\sigma(1)}, X_{\sigma(2)}, \dots, X_{\sigma(n)})$$

for all  $\sigma \in S_n$ . Symmetric polynomials arise naturally in the study of the relation between the roots of a polynomial in one variable and its coefficients, since the coefficients can be given by polynomial expressions in the roots, and

all roots play a similar role in this setting. Let  $f \in K(T)$  be a monic polynomial of degree  $n$  that splits completely in  $K$ . Then

$$f(T) = (T - X_1)(T - X_2) \cdots (T - X_n)$$

where  $X_i$  are the roots of  $f$ . Then

$$f(T) = T^n + s_1 T^{n-1} + \cdots + (-1)^n s_n$$

where

$$\begin{aligned} s_1 &= X_1 + X_2 + \cdots + X_n \\ s_2 &= X_1 X_2 + X_1 X_3 + \cdots + X_{n-1} X_n \\ &\vdots \\ s_n &= X_1 X_2 \cdots X_n \end{aligned}$$

are called the *elementary symmetric polynomials* in  $X_1, X_2, \dots, X_n$ . Then the fundamental theorem of symmetric polynomials states that every symmetric polynomial can be written as a polynomial expression in the elementary symmetric polynomials.

To actually write a symmetric polynomial in terms of elementary symmetric polynomials we introduce some useful notation. We say a polynomial is ordered *lexicographically* if the monomial  $T_1^{e_1} T_2^{e_2} \cdots T_n^{e_n}$  with the highest  $e_1$  is in front. If two monomials have the same  $e_1$ , then we compare their  $e_2$  and so on. Like a dictionary. If  $P$  is a symmetric polynomial in  $n$  variables, choose a single representative preceded by the symbol  $\sum_n$  to denote the sum over the monomials in the  $S_n$  orbit of the representative. Then for example

$$\begin{aligned} s_1 &= \sum_n T_1 \\ s_2 &= \sum_n T_1 T_2 \\ &\vdots \\ s_n &= \sum_n T_1 T_2 \cdots T_n = T_1 T_2 \cdots T_n. \end{aligned}$$

Now suppose  $P$  is a symmetric polynomial. To find its representation in terms of symmetric polynomials:

1. Let  $a \cdot T_1^{e_1} T_2^{e_2} \cdots T_n^{e_n}$  be the first term in  $P$ , lexicographically.
2. Form the monomial

$$M = s_1^{e_1 - e_2} s_2^{e_2 - e_1} \cdots s_{n-1}^{e_{n-1} - e_n} s_n^{e_n}$$

3. Let  $P_i = P - cM$ .

4. Repeat steps (1)-(3) until  $\deg P_i = 0$ .
5. Then we can solve for  $P$  and write it as a polynomial in the elementary symmetric polynomials.

The representation obtained through the algorithm above is unique.

The following theorem is useful when applying the algorithm above.

**Theorem 3.1** (Orbit Stabilizer Theorem). *Let  $G$  be a group acting on set  $S$ . For any  $x \in S$  let  $G_x = \{g \in G \mid g \cdot x = x\}$  denote the stabilizer of  $x$ , and let  $G \cdot x = \{g \cdot x \mid g \in G\}$  denote the orbit of  $x$ . Then*

$$|G| = |G \cdot x| |G_x|$$

Wondering how it might be useful? Consider

$$s_1^4 = \left( \sum_n T_1 \right)^4 = (T_1 + \cdots + T_n)(T_1 + \cdots + T_n)(T_1 + \cdots + T_n)(T_1 + \cdots + T_n).$$

After some thinking you might conclude that there are five possible representatives:

$$T_1^4, \quad T_1^3 T_2, \quad T_1^2 T_2^2, \quad T_1^2 T_2 T_3 \quad \text{and} \quad T_1 T_2 T_3 T_4$$

(note the the degrees always add up to four). But what are the coefficients? That's when the orbit-stabilizer theorem comes to the rescue. Let the permutation group  $S_4$  act on the set of indices by permuting them. Then the coefficients in front of  $\sum_n T_1^4$  is the size of the orbit of  $(1, 1, 1, 1)$ . Since every permutation in  $S_4$  return the same sequence, the size of the orbit is  $\frac{4!}{4!} = 1$ . Then the coefficients in front of  $\sum_n T_1^3 T_2$  is the size of the orbit of  $(1, 1, 2, 2)$ . The permutations that fix it are  $(1), (12), (34)$  and  $(12)(34)$ . So the size of the stabilizer is 4 and the size of the orbit is  $\frac{4!}{4} = 6$ . Similarly, the coefficients in front of  $\sum_n T_1^2 T_2^2$  is the size of the orbit of  $(1, 1, 2, 3)$ . Since the stabilizer contains only the permutations that switches the 1s and fixes the other two elements (namely  $(1)$  and  $(12)$ ) the size of the orbit is  $\frac{4!}{2} = 12$ . Lastly, the size of the orbit of  $\sum_n T_1 T_2 T_3 T_4$  is the  $4!$  since there is no permutations (except the identity of course) that stabilizes it. We conclude that

$$s_1^4 = \sum_n T_1^4 + 6 \sum_n T_1^3 T_2 + 12 \sum_n T_1^2 T_2^2 + 24 \sum_n T_1^2 T_2 T_3 + 24 \sum_n T_1 T_2 T_3 T_4$$

## 4 Field Extensions

### Prime Fields

**Definition.** *Let  $k$  be a field. Then the **prime field** in  $K$  is the intersection over all subfields of  $K$*

**Lemma 4.1.** *Let  $K$  be a field of characteristic  $k$ . Then the prime field of  $K$  is  $\mathbb{F}_p$  if  $k = p$  and  $\mathbb{Q}$  if  $k = 0$ .*

## Algebraic and Transcendental Extensions

Let  $L/K$  be a field extensions. Then we say that  $\alpha \in L$  is *algebraic* over  $K$  if there exists an  $f \in K[x], f \neq 0$ , such that  $f(\alpha) = 0$ . We say that  $\alpha$  is *transcendental* over  $K$  if there exists no such  $f$ . The number of algebraic elements over  $\mathbb{Q}$  in  $\mathbb{C}$  is countable, so in fact  $\mathbb{C}$  is mostly transcendental elements.

**Theorem 4.2.** *Let  $L/K$  be a field extension and take  $\alpha \in L$ . Then*

1. *If  $\alpha$  is transcendental over  $k$ , then  $K[\alpha] \simeq K[X]$*
2. *If  $\alpha$  is algebraic over  $K$  then there exists  $f \in K[X]$  monic and irreducible and*

$$K[X]/f \simeq K[\alpha] = K(\alpha)$$

*and the degree of  $L$  over  $K$  is the degree of  $f$ .*

**Definition.** *We say that an extension  $L/K$  is **algebraic** if  $\forall \alpha \in L, \alpha$  is algebraic over  $K$ .*

**Lemma 4.3.** *If a field extension is finite then it is algebraic.*

The converse of this lemma does not hold.

## 5 Exercises

### Symmetric Polynomial

#### Exercise 14.10

Express the symmetric polynomials  $\sum_n T_1^2 T_2$  and  $\sum_n T_1^3 T_2$  in the elementary symmetric polynomials.

*Solution.* To get the polynomial  $\sum_n T_1^2 T_2$  we start with

$$s_1 s_2 = \sum_n T_1 \sum_n T_1 T_2 = \sum_n T_1^2 T_2 + 3 \sum_n T_1 T_2 T_3 = \sum_n T_1^2 T_2 + 3s_3$$

Thus

$$\sum_n T_1^2 T_2 = s_1 s_2 - 3s_3$$

Similarly, to transform the polynomial  $\sum_n T_1^3 T_2$  we start with

$$\begin{aligned} s_1^2 s_2 &= \left( \sum_n T_1 \right)^2 \sum_n T_1 T_2 \\ &= \left( \sum_n T_1^2 + 2 \sum_n T_1 T_2 \right) \sum_n T_1 T_2 \\ &= \sum_n T_1^2 \sum_n T_1 T_2 + 2s_2^2 \\ &= \sum_n T_1^3 T_2 + \sum_n T_1^2 T_2 T_3 + 2s_2^2. \end{aligned}$$

And since

$$s_1 s_3 = \sum_n T_1 \sum_n T_1 T_2 T_3 = \sum_n T_1^2 T_2 T_3 + 4 \sum_n T_1 T_2 T_3 T_4$$

it follows that  $\sum_n T_1^2 T_2 T_3 = s_1 s_3 - 4s_4$  and so

$$\sum_n T_1^3 T_2 = s_1^2 s_2 - s_1 s_3 + 4s_4 - 2s_2^2$$

#### Exercise 14.14

Prove: For  $n \in \mathbb{Z}_{>0}$ , we have  $\Delta(X^n + a) = (-1)^{\frac{1}{2}n(n-1)} n^n a^{n-1}$ .

*Proof.* Let  $f(X) = X^n + a$  and let  $\alpha_i$  be its roots. Then  $f'(X) = nX^{n-1}$  and

$$\Delta(f) = (-1)^{n(n-1)/2} R(f, f').$$

Let  $f_1(X) = a$  and then  $f \equiv f_1 \pmod{(f')}$  since  $f = f_1 + f' \cdot \left(\frac{1}{n}X\right)$ . Simplifying the resultant we get

$$\begin{aligned}
R(f, f') &= R(f', f) && \text{(Property 1)} \\
&= n^n R(f', f_1) && \text{(Property 3)} \\
&= n^n \cdot \left( n^0 \prod_{i=1}^{n-1} f_1(\alpha_i) \right) && \text{(Property 2)} \\
&= n^n a^{n-1}
\end{aligned}$$

and the result follows.  $\square$

**Exercise 14.15**

Calculate the discriminant of the polynomial  $f(X) = X^4 + pX + q \in \mathbb{Q}(p, q)[X]$ .

*Solution.* Then  $f'(X) = 4X^3 + p$  and so

$$f_1(X) = f - f' \cdot h = X^4 + pX + q + (4X^3 + p)\left(\frac{1}{4}X\right) = \frac{3p}{4}X + q.$$

Then the resultant is

$$\begin{aligned}
R(f, f') &= R(f', f) && \text{(Property 1)} \\
&= 4^{4-1} R(f', f_1) && \text{(Property 3)} \\
&= 4^3 \left( (-1)^{3-1} R(f_1, f') \right) && \text{(Property 1)} \\
&= -4^3 \left( \left( \frac{3p}{4} \right)^3 \prod_{i=1}^1 f' \left( \frac{-4q}{3p} \right) \right) && \text{(Property 2)} \\
&= -3^3 p^3 \left( 4 \left( \frac{-4q}{3p} \right)^3 + p \right) \\
&= 4^4 q^3 - 3^3 p^4.
\end{aligned}$$

Therefore the discriminant of  $f$  is

$$\Delta(f) = (-1)^{4 \cdot 3/2} R(f, f') = R(f, f') = 4^4 q^3 - 3^3 p^4.$$

**Exercise 14.16**

For every  $n > 1$ , determine an expression for the discriminant of the polynomial  $f(X) = X^n + pX + q \in \mathbb{Q}(p, q)[X]$ .

*Solution.* Let  $f(X) = X^n + pX + q \in \mathbb{Q}(p, q)[X]$  for  $n > 1$ . Then  $f'(X) = nX^{n-1} + p$  and  $f \equiv f_1 \pmod{(f')}$  where

$$f_1 = f - f' \cdot h = X^n + pX + q - (nX^{n-1} + p) \left( \frac{1}{n}X \right) = \frac{p(n-1)}{n}X + q.$$

The resultant of  $f$  and  $f'$  is given by

$$\begin{aligned}
R(f, f') &= R(f', f) && \text{(Property 1)} \\
&= n^{n-1} R(f', f_1) && \text{(Property 3)} \\
&= n^{n-1} ((-1)^{n-1} R(f_1, f')) && \text{(Property 1)} \\
&= (-n)^{n-1} \left( \frac{p(n-1)}{n} \right)^{n-1} \prod_{i=1}^1 f' \left( -\frac{nq}{(n-1)p} \right) && \text{(Property 2)} \\
&= (-1)^{n-1} p^{n-1} (n-1)^{n-1} \left( \frac{(-1)^{n-1} n^n q^{n-1}}{(n-1)^{n-1} p^{n-1}} + p \right) \\
&= n^n q^{n-1} + (-1)^{n-1} p^n (n-1)^{n-1}.
\end{aligned}$$

Hence the discriminant of  $f$  is

$$\Delta(f) = (-1)^{n(n-1)/2} R(f, f') = (-1)^{n(n-1)/2} (n^n q^{n-1} + (-1)^{n-1} p^n (n-1)^{n-1})$$

**Exercise 14.17**

Let  $f \in \mathbb{Z}[X]$  be a monic polynomial. Prove that the following are equivalent

1.  $\Delta(f) \neq 0$ .
2. The polynomial  $f$  has no double zeroes in  $\mathbb{C}$ .
3. The decomposition of  $f$  in  $\mathbb{Q}[X]$  has no multiple prime factors.
4. The polynomial  $f$  and its derivative  $f'$  are relatively prime in  $\mathbb{Q}[X]$ .
5. The polynomial  $f \bmod p$  and  $f' \bmod p$  are relatively prime in  $\mathbb{F}_p[X]$  for almost all prime numbers  $p$ .

*Proof.* Let  $f \in \mathbb{Z}[X]$  be monic and  $\{\alpha_1, \alpha_2, \dots, \alpha_n\}$  its roots in  $\mathbb{C}$ .

(1)  $\Rightarrow$  (2). Suppose that  $\alpha_i = \alpha_j$  for some  $i \neq j$ . Then

$$\Delta(f) = \prod_{1 \leq i < j \leq n} (\alpha_i - \alpha_j) = 0,$$

which is a contradiction. Therefore if  $f$  has non-zero discriminant it has no double zeroes in  $\mathbb{C}$ .

(2)  $\Rightarrow$  (3).

(3)  $\Rightarrow$  (4).

(4)  $\Rightarrow$  (5). If  $f$  and  $f'$  are relatively prime in  $\mathbb{Q}[X]$  then

(1)  $\Rightarrow$  (1). □

**Exercise 14.19**

Let  $f \in \mathbb{Q}[X]$  be a monic polynomial with  $n = \deg(f)$  distinct complex roots. Prove: the sign of  $\Delta(f)$  is equal to  $(-1)^s$  where  $2s$  is the number of non-real zeroes of  $f$ .



*Proof.* Let  $\{\alpha_1, \dots, \alpha_n\}$  be all the roots of  $f$ . Then each term  $(\alpha_i - \alpha_j)^2$  in the discriminant falls into one of 3 cases

1. Both  $\alpha_i$  and  $\alpha_j$  are non-real. Then

(a) If  $\alpha_j = \overline{\alpha_i}$  then  $\alpha_i - \alpha_j$  is purely complex and  $(\alpha_i - \alpha_j)^2$  is negative.

(b) If  $\alpha_j \neq \overline{\alpha_i}$  then  $\overline{\alpha_i}$  and  $\overline{\alpha_j}$  are also roots of  $f$  and the term

$$(\alpha_i - \alpha_j)^2(\overline{\alpha_i} - \overline{\alpha_j})^2 = ((\overline{\alpha_i} - \overline{\alpha_j})(\alpha_i - \alpha_j))^2 = |\alpha_i - \alpha_j|^2$$

is positive.

2.  $\alpha_i$  is non-real and  $\alpha_j$  is real. Then  $\overline{\alpha_i}$  is a root of  $f$  and the term

$$(\alpha_i - \alpha_j)^2(\overline{\alpha_i} - \alpha_j)^2 = |\alpha_i - \alpha_j|^2$$

is positive.

3. Both  $\alpha_i$  and  $\alpha_j$  are real. Then  $(\alpha_i - \alpha_j)^2$  is positive.

Since the only negative terms are of the form  $(\alpha_i - \overline{\alpha_i})^2$  and there are  $2s$  non-real roots the sign of the determinant is  $(-1)^s$ . □

#### Exercise 14.20

Prove:  $f(X) = X^3 + pX + q \in \mathbb{R}[X]$  has three (counted with multiplicity) real zeroes  $\iff 4p^3 + 27q \leq 0$ .

*Proof.* By Ex. 16 we know that  $\Delta(f) = (-1)^3(3^3q^2 + 2^2p^3) = -27q^2 - 4p^3$ . Let  $a, b$  and  $c$  be the roots of  $f$ . If  $a, b, c \in \mathbb{R}$  then

$$-27q^2 - 4p^3 = \Delta(f) = (a - b)^2(a - c)^2(b - c)^2 \geq 0$$

and so  $4p^3 + 27q \leq 0$ .

Now suppose that  $a = x + yi$  and  $b = x - yi$  are complex conjugates and  $c$  is real. Then

$$\begin{aligned} -27q^2 - 4p^3 &= \Delta(f) \\ &= (a - b)^2(a - c)^2(b - c)^2 \\ &= -4y^2((a - c)(\overline{a - c}))^2 \\ &= -4y^2|a - c|^2 \\ &\leq 0. \end{aligned}$$

Hence  $4p^3 + 27q \geq 0$  and the result follows by contraposition. □

#### Exercise 14.21

Express  $p_4 = \sum_n T_1^4$  in elementary symmetric polynomials

*Solution.* Let  $n \geq 4$ . Starting with

$$\begin{aligned} s_1^4 &= \left( \sum_n T_1 \right)^4 \\ &= \sum_n T_1^4 + 4 \sum_n T_1^3 T_2 + 12 \sum_n T_1^2 T_2 T_3 + 6 \sum_n T_1^2 T_2^2 + 24 \sum_n T_1 T_2 T_3 T_4. \end{aligned}$$

To understand how the coefficients of the sum are obtained, consider the number of ways the  $T_i$  can be arranged. For example,  $T_1^4 = T_1 T_1 T_1 T_1$  can only be arranged in 1 way but  $T_1^2 T_2 T_3 = T_1 T_1 T_2 T_3$  can be arranged in  $\frac{4!}{2} = 12$  ways (where we divided by 2 since the two  $T_1$  can be swapped in any given arrangement). Then

$$s_1^2 s_2 = \left( \sum_n T_1 \right)^2 s_2 = \left( \sum_n T_1^2 + 2 \sum_n T_1 T_2 \right) s_2 = \sum_n T_1^3 T_2 + \sum_n T_1^2 T_2 T_3 + 2 s_2^2.$$

So far we have

$$\begin{aligned} p_4 &= s_1^4 - 4 \left( s_1^2 s_2 - 2 s_2^2 - \sum_n T_1^2 T_2 T_3 \right) - 12 \sum_n T_1^2 T_2 T_3 - 6 \sum_n T_1^2 T_2^2 - 24 \sum_n T_1 T_2 T_3 T_4 \\ &= s_1^4 - 4 s_1^2 s_2 + 8 s_2^2 - 24 s_4 - 6 \sum_n T_1^2 T_2^2 - 8 \sum_n T_1^2 T_2 T_3. \end{aligned}$$

So continuing with  $\sum_n T_1^2 T_2^2$  we get

$$s_2^2 = \left( \sum_n T_1 T_2 \right)^2 = \sum_n T_1^2 T_2^2 + 2 \sum_n T_1^2 T_2 T_3 + 6 \sum_n T_1 T_2 T_3 T_4.$$

Finding the coefficients here is slightly trickier since  $s_2$  contains pairs not all arrangements are allowed. For example,  $T_1^2 T_2^2$  can only come from the pair  $T_1 T_2$ . On the other hand  $T_1 T_2 T_3 T_4$  can come from  $T_1 T_2$  and  $T_3 T_4$  or  $T_1 T_4$  and  $T_2 T_3$  and so on. We choose the first pair ( $\binom{4}{2} = 6$  ways) which also fixes the second pair and so there are 6 ways to get  $T_1 T_2 T_3 T_4$ . Hence

$$\begin{aligned} p_4 &= s_1^4 - 4 s_1^2 s_2 + 8 s_2^2 - 24 s_4 - 6 \left( s_2^2 - 2 \sum_n T_1^2 T_2 T_3 - 6 s_4 \right) - 8 \sum_n T_1^2 T_2 T_3 \\ &= s_1^4 - 4 s_1^2 s_2 + 2 s_2^2 + 12 s_4 + 4 \sum_n T_1^2 T_2 T_3. \end{aligned}$$

Using Exercise 14.10 we get

$$\begin{aligned} p_4 &= s_1^4 - 4 s_1^2 s_2 + 2 s_2^2 + 12 s_4 + 4(s_1 s_3 - 4 s_4) \\ &= s_1^4 - 4 s_1^2 s_2 + 2 s_2^2 - 4 s_4 + 4 s_1 s_3 \end{aligned}$$

**Exercise 14.22**

A rational function  $f \in \mathbb{Q}[T_1, \dots, T_n]$  is called symmetric if it is invariant under all permutations of the variables  $T_i$ . Prove that every symmetric rational function is a rational function in the elementary symmetric functions.

*Proof.* Let  $f \in \mathbb{Q}[T_1, \dots, T_n]$  be a symmetric rational function. Then  $f = g/h$  for  $g, h$  polynomials. If  $h$  is a symmetric polynomial then  $g = fh$  is symmetric as well. By the fundamental theorem of symmetric polynomial both  $g$  and  $h$  can be written in terms of elementary symmetric polynomials and we're done. If  $h$  is not symmetric, then let

$$\tilde{h} = \prod_{\sigma \in S_n \setminus \{e\}} \sigma(h)$$

and then  $h\tilde{h}$  is symmetric so  $f = \frac{g\tilde{h}}{h\tilde{h}}$  which is again the case above.  $\square$

**Exercise 14.23**

Write  $\sum_n T_1^{-1}$  and  $\sum_n T_1^{-2}$  as rational functions in  $\mathbb{Q}[s_1, \dots, s_n]$

*Solution.* Starting with

$$\sum_n T_1^{-1} = \frac{1}{T_1} + \dots + \frac{1}{T_n}.$$

We multiply by  $1 = \frac{s_n}{s_n}$  and simplify

$$\begin{aligned} \frac{s_n}{s_n} \sum_n T_1^{-1} &= \frac{T_1 T_2 \dots T_n}{T_1 T_2 \dots T_n} \left( \frac{1}{T_1} + \dots + \frac{1}{T_n} \right) \\ &= \frac{s_{n-1}}{s_n} \end{aligned}$$

For the second expression we present two approaches.

1. Observing that

$$\left( \sum_n T_1^{-1} \right)^2 = \sum_n T_1^{-2} + 2 \sum_n T_1^{-1} T_2^{-1}$$

we can write using the previous part

$$\sum_n T_1^{-2} = \frac{s_{n-1}^2}{s_n^2} - 2 \sum_n T_1^{-1} T_2^{-1}$$

and multiplying by the second term by  $\frac{s_n}{s_n}$  we get

$$\sum_n T_1^{-2} = \frac{s_{n-1}^2}{s_n^2} - 2 \left( \frac{1}{T_1 T_2} + \dots + \frac{1}{T_{n-1} T_n} \right) \frac{T_1 \dots T_n}{T_1 \dots T_n} = \frac{s_{n-1}^2}{s_n^2} - 2 \frac{s_{n-2}}{s_n}.$$

$$\text{Hence } \sum_n T_1^{-2} = \frac{s_{n-1}^2 - 2s_{n-2}s_n}{s_n^2}.$$

2. The second approach is slightly more involved. We start by multiplying by 1 in a clever (but different) way

$$\left(\sum_n T_1^{-2}\right) \frac{s_n^2}{s_n^2} = \left(\frac{1}{T_1^2} + \cdots + \frac{1}{T_n^2}\right) \frac{T_1^2 \cdots T_n^2}{T_1^2 \cdots T_n^2} = \frac{\sum_n T_1^2 \cdots T_{n-1}^2}{s_n^2}.$$

Then  $\sum_n T_1^2 \cdots T_{n-1}^2$  is obviously (condescending much?) a symmetric polynomial and so we can use our trusty algorithm. Starting with

$$\begin{aligned} s_1^{2-2} s_2^{2-2} \cdots s_{n-1}^{2-0} &= s_{n-1}^2 \\ &= \left(\sum_n T_1 \cdots T_{n-1}\right)^2 \\ &= \sum_n T_1^2 \cdots T_{n-1}^2 + 2 \sum_n T_1^2 \cdots T_{n-2}^2 T_{n-1} T_n. \end{aligned}$$

Moving to the second term

$$\begin{aligned} s_1^{2-2} \cdots s_{n-2}^{2-1} s_{n-1}^{1-1} s_n^1 &= s_{n-2} s_n \\ &= \left(\sum_n T_1 \cdots T_{n-2}\right) T_1 \cdots T_n \\ &= \sum_n T_1^2 \cdots T_{n-2}^2 T_{n-1} T_n \end{aligned}$$

and it follows that

$$\sum_n T_1^2 \cdots T_{n-1}^2 = s_{n-1}^2 - 2s_{n-2}s_n.$$

So we conclude that

$$\sum_n T_1^{-2} = \frac{s_{n-1}^2 - 2s_{n-2}s_n}{s_n^2}$$

which is reassuring.

Note that in the first approach we stumbled upon something rather interesting:

$$\sum_n T_1^{-1} \cdots T_k^{-1} = \frac{s_{n-k}}{s_n}$$

the proof of which is left as an exercise to the reader.

**Exercise 14.24**

## Field Extensions

### Exercise 21.18

Let  $K \subset L$  be an algebraic extension. For  $\alpha, \beta \in L$  prove that we have

$$[K(\alpha, \beta) : K] \leq [K(\alpha) : K] \cdot [K(\beta) : K].$$

Show that equality does not always hold. Does equality always hold if  $[K(\alpha) : K]$  and  $[K(\beta) : K]$  are relatively prime?

*Proof.* Let  $f$  and  $g$  be the minimal polynomials of  $\alpha$  and  $\beta$  (respectively) in  $K[x]$  and  $f'$  be the minimal polynomial of  $\alpha$  in  $K(\beta)[x]$ . If  $\deg f' > \deg f$  then  $f$  is a lower degree polynomial in  $K(\beta)[x]$  with  $f(\alpha) = 0$  which is a contradiction. Hence  $\deg f' \leq \deg f$  and so

$$\begin{aligned} [K(\alpha, \beta) : K] &= [K(\alpha, \beta) : K(\beta)] \cdot [K(\beta) : K] \\ &= \deg f' \cdot \deg g \\ &\leq \deg f \cdot \deg g \\ &= [K(\alpha) : K] \cdot [K(\beta) : K], \end{aligned}$$

as desired.

To show that equality does not always hold consider  $\mathbb{Q}(\sqrt{2}, \sqrt[4]{2})$ . Then  $[\mathbb{Q}(\sqrt{2}) : \mathbb{Q}] = 2$  and  $[\mathbb{Q}(\sqrt[4]{2}) : \mathbb{Q}] = 4$  but

$$[\mathbb{Q}(\sqrt{2}, \sqrt[4]{2}) : \mathbb{Q}] = 4 \cdot [\mathbb{Q}(\sqrt{2}, \sqrt[4]{2}) : \mathbb{Q}(\sqrt[4]{2})] = 4 < 8$$

since  $(\sqrt[4]{2})^2 = \sqrt{2} \in \mathbb{Q}(\sqrt[4]{2})$

Lastly, suppose that  $\deg f$  and  $\deg g$  are relatively prime. Since

$$\begin{aligned} [K(\alpha, \beta) : K] &= [K(\alpha, \beta), K(\alpha)] \cdot \deg f \\ &= [K(\alpha, \beta), K(\beta)] \cdot \deg g \end{aligned}$$

it follows that  $[K(\alpha, \beta) : K]$  is divisible by  $\deg f$  and  $\deg g$  and since they are relatively prime it is also divisible by  $\deg f \cdot \deg g$ . But we know that  $[K(\alpha, \beta) : K] \leq \deg f \cdot \deg g$  and so  $[K(\alpha, \beta) : K] = \deg f \cdot \deg g$ .  $\square$

### Exercise 21.19

Let  $K \subset K(\alpha)$  be an extension of odd degree. Prove that  $K(\alpha^2) = K(\alpha)$ .

*Proof.* Let  $f$  be the minimal polynomial of  $\alpha$  in  $K[x]$ . Then  $\deg f = 2n + 1$  for some  $n \in \mathbb{Z}_+$ . Since  $\alpha^2 \in K(\alpha)$  we get the tower  $K(\alpha)/K(\alpha^2)/K$  and so

$$[K(\alpha) : K] = [K(\alpha) : K(\alpha^2)] \cdot [K(\alpha^2) : K].$$

Let  $g$  be the minimal polynomial of  $\alpha$  in  $K(\alpha^2)$ . Then  $\deg g \leq 2$  since  $x^2 - \alpha^2 \in K(\alpha^2)$  is a polynomial with a root  $\alpha$ . Since  $[K(\alpha) : K]$  is odd, it is not divisible by two and so  $\deg g = 1$ . Hence  $[K(\alpha) : K(\alpha^2)] = 1$  and it follows that  $K(\alpha) = K(\alpha^2)$ .  $\square$

**Exercise 21.23**

Show that every quadratic extension of  $\mathbb{Q}$  is of the form  $\mathbb{Q}(\sqrt{d})$  with  $d \in \mathbb{Z}$ . For what  $d$  do we obtain the cyclotomic field  $\mathbb{Q}(\zeta_3)$ ?

*Proof.* Let  $K/\mathbb{Q}$  be a quadratic extension. Take  $\alpha \in K \setminus \mathbb{Q}$ . Then

$$\mathbb{Q} \subset \mathbb{Q}(\alpha) \subset K$$

and so

$$2 = [K : \mathbb{Q}] = [K : \mathbb{Q}(\alpha)] [\mathbb{Q}(\alpha) : \mathbb{Q}].$$

If  $[\mathbb{Q}(\alpha) : \mathbb{Q}] = 1$  then  $\mathbb{Q}(\alpha) = \mathbb{Q}$  and so  $\alpha \in \mathbb{Q}$ , which contradicts our assumption. It follows that  $[K : \mathbb{Q}(\alpha)] = 1$  and so  $K = \mathbb{Q}(\alpha)$ . Let

$$f(x) = x^2 + a_1x + a_0 \in \mathbb{Q}[x]$$

be the minimal polynomial of  $\alpha$ . Let  $d = \frac{a_1^2}{4} - a_0 \in \mathbb{Q}$  and note that  $a_0 = -\alpha a_1 - \alpha^2$ . Then

$$\begin{aligned} \sqrt{d} &= \sqrt{\frac{a_1^2}{4} - a_0} \\ &= \sqrt{\frac{a_1^2}{4} + a_1\alpha + \alpha^2} \\ &= \frac{a_1 + 2\alpha}{2}. \end{aligned}$$

Hence  $\sqrt{d} \in \mathbb{Q}(\alpha)$ . By similar calculations we get  $\alpha = \frac{2\sqrt{d} - a_1}{2} \in \mathbb{Q}(\sqrt{d})$ . Hence  $K = \mathbb{Q}(\alpha) = \mathbb{Q}(\sqrt{d})$ . Of course, it is not yet the case the  $d$  is an integer. Suppose that  $d = \frac{p}{q}$ . Since  $\sqrt{d} = \frac{1}{q^2} \sqrt{qp} \in \mathbb{Q}(\sqrt{qp})$  we have

$$K = \mathbb{Q}(\alpha) = \mathbb{Q}(\sqrt{d}) = \mathbb{Q}(\sqrt{qp})$$

with  $qp \in \mathbb{Z}$  as desired. □

**Exercise 21.24**

Is every cubic extension of  $\mathbb{Q}$  of the form  $\mathbb{Q}(\sqrt[3]{d})$  for some  $d \in \mathbb{Q}$ ?

*Solution.* No.

**Exercise 21.26**

Let  $M = \mathbb{Q}(\alpha) = \mathbb{Q}(1 + \sqrt{2} + \sqrt{3})$ . Show that  $M$  is of degree 4 over  $\mathbb{Q}$ , determine the minimal polynomial and write  $\sqrt{2}$  and  $\sqrt{3}$  in the basis  $\{1, \alpha, \alpha^2, \alpha^3\}$ . Also prove that the group  $G = \text{Aut}_{\mathbb{Q}}(M)$  is isomorphic to  $V_4$  and that  $f_{\mathbb{Q}}^{\alpha} = \prod_{\sigma \in G} X - \sigma(\alpha) \in \mathbb{Q}[X]$ .

*Solution.* Let  $\beta = \alpha - 1 = \sqrt{2} + \sqrt{3}$ . Then clearly  $M = \mathbb{Q}(\alpha) = \mathbb{Q}(\beta)$ . Let

$$\begin{aligned} f(x) &= (x - \sqrt{2} - \sqrt{3})(x + \sqrt{2} - \sqrt{3})(x - \sqrt{2} + \sqrt{3})(x + \sqrt{2} + \sqrt{3}) \\ &= x^4 - 10x^2 + 1 \in \mathbb{Q}[x] \end{aligned}$$

and so  $f(\beta) = 0$  by construction.

Is  $f$  the minimal polynomial of  $\beta$  in  $\mathbb{Q}[x]$ ? It is if we can prove that  $[M : \mathbb{Q}] = 4$ . From

$$(\sqrt{2} + \sqrt{3})(\sqrt{3} - \sqrt{2}) = 1$$

It follows that  $\beta^{-1} = \sqrt{3} - \sqrt{2}$ . Therefore

$$\sqrt{2} = \frac{1}{2}(\beta - \beta^{-1}) \quad \text{and} \quad \sqrt{3} = \frac{1}{2}(\beta + \beta^{-1})$$

and so  $M = \mathbb{Q}(\sqrt{2} + \sqrt{3}) = \mathbb{Q}(\sqrt{2}, \sqrt{3})$ . Hence we have the towers  $M/\mathbb{Q}(\sqrt{2})/\mathbb{Q}$  and  $M/\mathbb{Q}(\sqrt{3})/\mathbb{Q}$ . Let  $g(x) = x^2 - 3$ . Suppose it is not the minimal polynomial of  $\sqrt{3}$  in  $\mathbb{Q}(\sqrt{2})$ . Then there exists  $a + b\sqrt{2} \in \mathbb{Q}(\sqrt{2})$  such that

$$0 = g(a + b\sqrt{2}) = a^2 + 2b^2 - 3 + 2ab\sqrt{2}.$$

But since

$$\begin{cases} a^2 + 2b^2 - 3 = 0 \\ 2ab = 0 \end{cases}$$

has no solutions it follows that no such element exists. Therefore  $g$  is the minimal polynomial of  $\sqrt{3}$  and  $[M : \mathbb{Q}(\sqrt{2})] = \deg g = 2$ . Since  $x^2 - 2$  is the minimal polynomial of  $\sqrt{2}$  in  $\mathbb{Q}$  we conclude that

$$[M : \mathbb{Q}] = [M : \mathbb{Q}(\sqrt{2})] \cdot [\mathbb{Q}(\sqrt{2}) : \mathbb{Q}] = 4$$

and therefore  $f$  is the minimal polynomial of  $\beta$ .

Thus  $f(x - 1)$  is the minimal polynomial of  $\alpha$  in  $\mathbb{Q}$ . From  $f(\beta) = 0$  it follows that  $1 = \beta(10\beta - \beta^3)$  and so  $\beta^{-1} = 10\beta - \beta^3$ . Hence

$$\sqrt{2} = \frac{1}{2}(\beta - \beta^{-1}) = \frac{1}{2}(\beta - 10\beta + \beta^3) = \frac{1}{2}(-9(\alpha - 1) + (\alpha - 1)^3)$$

and

$$\sqrt{3} = \frac{1}{2}(\beta + \beta^{-1}) = \frac{1}{2}(11(\alpha - 1) - (\alpha - 1)^3)$$

Let  $G = \text{Aut}(M)$  and take  $\sigma \in G$ . Then by definition  $\sigma(1) = 1$  and it follows by induction and the properties of isomorphism that  $\sigma(a) = a$  for all  $a \in \mathbb{Z}$ . Since  $1 = \sigma(1) = \sigma(a \cdot a^{-1}) = \sigma(a) \cdot \sigma(a)^{-1} = a \cdot a^{-1}$  it also follows that  $\sigma\left(\frac{p}{q}\right) = \frac{p}{q}$ . Hence  $\sigma$  restricted to  $\mathbb{Q}$  is simply the identity map. Therefore  $\sigma$  is completely determined by  $\sigma(\sqrt{2})$  and  $\sigma(\sqrt{3})$ . Since  $0 = \sigma(0) = \sigma(\sqrt{2}^2 - 2) = \sigma(\sqrt{2})^2 - 2$  the only options are  $\sigma(\sqrt{2}) = \pm\sqrt{2}$ . Similarly we conclude that  $\sigma(\sqrt{3}) = \pm\sqrt{3}$ . This gives four possible automorphism. Take  $\sigma, \tau \in G$  such

that  $\sigma(\sqrt{2}) = -\sqrt{2}, \sigma(x) = x \ \forall x \in M \setminus \{\sqrt{2}\}$  and  $\tau(\sqrt{3}) = -\sqrt{3}, \tau(x) = x \ \forall x \in M \setminus \{\sqrt{3}\}$ . Since

$$\sigma \circ \sigma = \tau \circ \tau = \sigma \circ \tau \circ \sigma \circ \tau = e$$

where  $e$  is the identity map it follows that  $G$  is isomorphic to  $V_4$ , the Klein four-group.

Lastly, consider

$$\begin{aligned} \tilde{f} &= \prod_{\sigma \in G} x - \sigma(\alpha) \\ &= (x - 1 - \sqrt{2} - \sqrt{3})(x - 1 + \sqrt{2} - \sqrt{3})(x - 1 - \sqrt{2} + \sqrt{3}) \\ &\quad (x - 1 + \sqrt{2} + \sqrt{3}). \end{aligned}$$

Hence  $\tilde{f}(x) = f(x-1)$  which we already proved is the minimal polynomial of  $\alpha$  in  $\mathbb{Q}[x]$ .

### Exercise 21.29

Take  $K = \mathbb{Q}(\alpha)$  with  $f_{\mathbb{Q}}^{\alpha} = x^3 + 2x^2 + 1$ .

1. Determine the inverse of  $\alpha + 1$  in the basis  $\{1, \alpha, \alpha^2\}$  of  $K$  over  $\mathbb{Q}$ .
2. Determine the minimal polynomial of  $\alpha^2$  over  $\mathbb{Q}$ .

*Solution.*

1. Since

$$\begin{aligned} 0 &= \alpha^3 + 2\alpha^2 + 1 \\ &= (\alpha + 1)(\alpha^2 + \alpha - 1) + 2. \end{aligned}$$

It follows that  $(\alpha + 1)^{-1} = -\frac{1}{2}(\alpha^2 + \alpha - 1)$ .

2. From  $\alpha^3 + 2\alpha^2 + 1 = 0$  it follows that  $\alpha^3 = -2\alpha^2 - 1$ . Squaring both sides we get that  $\alpha^6 = 4\alpha^4 + 4\alpha^2 + 1$  or alternatively

$$(\alpha^2)^3 - 4(\alpha^2)^2 - 4(\alpha^2) - 1 = 0.$$

By Ex. 19 we know that  $\mathbb{Q}(\alpha) = \mathbb{Q}(\alpha^2)$ . Therefore the minimal polynomial of  $\alpha^2$  over  $\mathbb{Q}$  has degree 3 and it follows that

$$f_{\mathbb{Q}}^{\alpha^2}(x) = x^3 - 4x^2 - 4x - 1.$$

### Exercise 21.30

Define the cyclotomic field  $\mathbb{Q}(\zeta_5)$  and let  $\alpha = \zeta_5^2 + \zeta_5^3$ .

1. Show that  $\mathbb{Q}(\alpha)$  is a quadratic extension of  $\mathbb{Q}$  and determine  $f_{\mathbb{Q}}^{\alpha}$ .



2. Prove:  $\mathbb{Q}(\alpha) = \mathbb{Q}(\sqrt{5})$
3. Prove:  $\cos(2\pi/5) = \frac{\sqrt{5}-1}{4}$  and  $\sin(2\pi/5) = \sqrt{\frac{5+\sqrt{5}}{8}}$

*Proof.*

1. The degree of the 5th cyclotomic polynomial

$$\Phi_5(x) = \prod_{\substack{1 \leq k \leq 5 \\ (k,5)=1}} \left( x - e^{\frac{2\pi k}{5}i} \right) = x^4 + x^3 + x^2 + x + 1$$

is 4 and since  $\Phi_5 = f_{\mathbb{Q}}^{\zeta_5}$  it follows that  $[\mathbb{Q}(\zeta_5) : \mathbb{Q}] = 4$ . Thus

$$[\mathbb{Q}(\zeta_5) : \mathbb{Q}(\alpha)] \mid 4.$$

Note that  $\zeta_5^3 = \frac{1}{\zeta_5^2} = \overline{\zeta_5^2}$ . Hence  $\alpha = \zeta_5^2 + \zeta_5^3 = \zeta_5^2 + \overline{\zeta_5^2} \in \mathbb{R}$  and so  $\mathbb{Q}(\alpha) \subsetneq \mathbb{Q}(\zeta_5)$ . Together with the fact that  $\zeta_5$  is a root of  $x^3 + x^2 - \alpha \in \mathbb{Q}(\alpha)$  it follows that

$$1 < [\mathbb{Q}(\zeta_5) : \mathbb{Q}(\alpha)] \leq 3 \implies [\mathbb{Q}(\zeta_5) : \mathbb{Q}(\alpha)] = 2.$$

Finally, since  $\mathbb{Q}(\zeta_5)/\mathbb{Q}(\alpha)/\mathbb{Q}$  is a tower of fields and

$$[\mathbb{Q}(\alpha) : \mathbb{Q}] = \frac{[\mathbb{Q}(\zeta_5) : \mathbb{Q}]}{[\mathbb{Q}(\zeta_5) : \mathbb{Q}(\alpha)]} = 2$$

it follows that  $\mathbb{Q}(\alpha)$  is a quadratic extension.

Let  $w = \zeta_5^2$ . Then  $\alpha = w + \frac{1}{w}$  and  $\Phi_5(w) = 0$  by definition of  $\Phi_5$ . Since  $w \neq 0$  it follows that

$$\begin{aligned} 0 &= 1 + w + w^2 + w^3 + w^4 \\ 0 &= \frac{1}{w^2} + \frac{1}{w} + 1 + w + w^2 \\ 0 &= \left( w + \frac{1}{w} \right)^2 + w + \frac{1}{w} - 1 \\ 0 &= \alpha^2 + \alpha - 1. \end{aligned}$$

Since  $x^2 + x - 1$  is monic polynomial of degree 2 we conclude that  $f_{\mathbb{Q}}^{\alpha} = x^2 + x - 1$ .

2. By construction  $\alpha$  is a root of  $x^2 + x - 1$  and so

$$\alpha \in \left\{ \frac{-1 \pm \sqrt{5}}{2} \right\}.$$

Since we can write  $\alpha$  as polynomial in  $\sqrt{5}$  and vice versa it follows that  $\mathbb{Q}(\alpha) = \mathbb{Q}(\sqrt{5})$ .

3. Let  $\zeta_5 = e^{\frac{6\pi}{5}i}$ . Then  $w = \zeta_5^2 = e^{\frac{2\pi}{5}i}$  and

$$\cos \frac{2\pi}{5} = \frac{w + \bar{w}}{2} = \frac{\alpha}{2}.$$

Thus  $2\cos \frac{2\pi}{5}$  is a root of  $f_Q^\alpha$ . Since  $\frac{2\pi}{5}$  is in the first quadrant,  $\cos \frac{2\pi}{5}$  is positive and so

$$\cos \frac{2\pi}{5} = \frac{-1 + \sqrt{5}}{4}.$$

Therefore we also have

$$\begin{aligned} \sin \frac{2\pi}{5} &= \sqrt{1 - \cos^2 \frac{2\pi}{5}} \\ &= \sqrt{\frac{5 + \sqrt{5}}{8}}. \end{aligned}$$

□

1. The degree of the  $p$ th cyclotomic polynomial is  $\varphi(p) = p - 1$  and so

$$4 = \deg \Phi_5 = [\mathbb{Q}(\zeta_5) : \mathbb{Q}] = [\mathbb{Q}(\zeta_5) : \mathbb{Q}(\alpha)] \cdot [\mathbb{Q}(\alpha) : \mathbb{Q}]$$

Since  $\zeta_5$  is a root of  $x^3 + x^2 - \alpha \in \mathbb{Q}(\alpha)[x]$  it follows that

$$[\mathbb{Q}(\zeta_5) : \mathbb{Q}(\alpha)] \leq 3.$$

Thus the minimal polynomial of  $\alpha$  over  $\mathbb{Q}$  is of degree 2 or 4.

Let  $f(x) = x^2 + x - 1 \in \mathbb{Q}[x]$ . Then

$$\begin{aligned} f(\alpha) &= \alpha^2 + \alpha - 1 \\ &= (\zeta_5^2 + \zeta_5^3)^2 + \zeta_5^2 + \zeta_5^3 - 1 \\ &= \zeta_5^4 + 2 + \zeta_5^6 + \zeta_5^2 + \zeta_5^3 - 1 \quad (\text{Since } \zeta_5^5 = 1) \\ &= 1 + \zeta_5 + \zeta_5^2 + \zeta_5^3 + \zeta_5^4. \end{aligned}$$

Since the 5th cyclotomic polynomial is given by

$$\Phi_5(x) = \prod_{\substack{1 \leq k \leq 5 \\ (k,5)=1}} \left( x - e^{\frac{2\pi k}{5}i} \right) = x^4 + x^3 + x^2 + x + 1$$

and  $\Phi_5(\zeta_5) = 0$  by definition it follows that  $f(\alpha) = 0$ . Since  $f$  is monic and of degree 2 it is the minimal polynomial of  $\alpha$  over  $\mathbb{Q}$ . Hence  $\mathbb{Q}(\alpha)$  is a quadratic extension.

2. Since  $\alpha$  is a root of  $f(x) = x^2 + x - 1$  and the roots of  $f$  are given by  $\frac{-1 \pm \sqrt{5}}{2}$  it follows that  $\mathbb{Q}(\alpha) = \mathbb{Q}(\sqrt{5})$ .

3. We know that

$$\frac{-1 \pm \sqrt{5}}{4} = \alpha = \zeta_5^2 + \zeta_5^3 = \cos \frac{4\pi k}{5} + \cos \frac{6\pi k}{5} + \left( \sin \frac{4\pi k}{5} + \sin \frac{6\pi k}{5} \right) i.$$

and since

$$\sin \frac{4\pi k}{5} + \sin \frac{6\pi k}{5} = 2 \cos \frac{2\pi k}{10} \sin \pi k = 0, \quad k \in \mathbb{Z}$$

it follows that

$$\frac{-1 \pm \sqrt{5}}{4} = \cos \frac{4\pi k}{5} + \cos \frac{6\pi k}{5}.$$

## **Finite Fields**

### **Separable and Normal Extensions**