# Inferring the effective start dates of non-pharmaceutical interventions during COVID-19 outbreaks

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#### May 19, 2020

9 Abstract

During February and March 2020, several countries implemented non-pharmaceutical interventions, such as school closures and lockdowns, with variable schedules to control the COVID--19 pandemic caused by the SARA-CoV-2 virus. Overall, these interventions seem to have successfully reduced the spread of the pandemic. We hypothesize that the official and effective start date of such interventions can significantly differ, for example due to slow diffusion of guidelines in the population, or due to unpreparedness of the authorities and the public. We use an SEIR epidemiological model and an MCMC inference framework to estimate the effective start of NPIs in several countries, and compare this effective dates to the official dates. We report our finding of both late and early effects of NPIs, and discuss potential causes and consequences of our results.

#### 19 Introduction

- 20 The COVID-19 pandemic has resulted in implementation of extreme non-pharmaceutical interventions
- 21 (NPIs) in many affected countries. These interventions, from social distancing to lockdowns, are
- 22 applied in a rapid and widespread fashion. The NPIs are designed and assessed using epidemiological
- 23 models, which follow the dynamics of the viral infection to forecast the effect of different mitigation and
- 24 suppression strategies on the levels of infection, hospitalization, and fatality. These epidemiological
- 25 models usually assume that the effect of NPIs on disease transmission begins at the officially declared
- 26 date (e.g. Flaxman et al. <sup>6</sup>, Gatto et al. <sup>8</sup>, Li et al. <sup>11</sup>).
- 27 Adoption of public health recommendations is often critical for effective response to infectious dis-
- 28 eases, and has been studied in the context of HIV 10 and vaccination 4,16, for example. However,
- 29 behavioral and social change does not occur immediately, but rather requires time to diffuse in the
- 30 population through media, social networks, and social interactions. Moreover, compliance to NPIs
- 31 may differ between different interventions and between people. For example, in a survey of 2,108
- 32 adults in the UK during Mar 2020, Atchison et al.<sup>2</sup> found that those over 70 years old were more
- 33 likely to adopt social distancing than young adults (18-34 years old), and that those with lower income
- 34 were less likely to be able to work from home and to self-isolate. Similarly, compliance to NPIs may
- 35 be impacted by personal experiences. Smith et al. 13 have surveyed 6,149 UK adults in late April
- and found that people who believe they have already had COVID-19 are more likely to think they are
- 37 immune, and less likely to comply with social distancing measures. Compliance may also depend on
- 38 risk perception as perceived by the the number of domestic cases or even by reported cases in other
- 39 regions and countries. Interestingly, the perceived risk of COVID-19 infection has likely caused a
- 40 reduction in the number of influenza-like illness cases in the US starting from mid-February <sup>17</sup>.
- 41 Here, we hypothesize that there is a significant difference between the official start of NPIs and their
- 42 adoption by the public and therefore their effect on transmission dynamics. We use a Susceptible-
- 43 Exposed-Infected-Recovered (SEIR) epidemiological model and Markov Chain Monte Carlo (MCMC)
- 44 parameter estimation framework to estimate the effective start date of NPIs from publicly available
- 45 COVID-19 case data in several geographical regions. We compare these estimates to the official dates
- 46 and find both late and early effects of NPIs on COVID-19 transmission dynamics. We conclude by
- 47 demonstrating how differences between the official and effective start of NPIs can confuse assessments
- 48 of the effectiveness of the NPIs in a simple epidemic control framework.

#### 49 Models and Methods

- 50 **Data.** We use daily confirmed case data  $\mathbf{X} = (X_1, \dots, X_T)$  from several different countries. These
- 51 incidence data summarize the number of individuals  $X_t$  tested positive for SARS-CoV-2 RNA (using
- 52 RT-qPCR) at each day t. Data for Wuhan, China retrieved from Pei and Shaman  $^{12}$ , data for 11
- 53 European countries retrieved from Flaxman et al. <sup>6</sup>. Regions in which there were multiple sequences
- of days with zero confirmed cases (e.g. France), we cropped the data to begin with the last sequence
- 55 so that our analysis focuses on the first sustained outbreak rather than isolated imported cases. For
- 56 dates of official NPI dates see Table 1.
- 57 **SEIR model.** We model SARS-CoV-2 infection dynamics by following the number of susceptible
- 58 S, exposed E, reported infected  $I_r$ , and unreported infected  $I_u$  individuals in a population of size N.
- 59 This model distinguishes between reported and unreported infected individuals: the reported infected
- are those that have enough symptoms to eventually be tested and thus appear in daily case reports, to
- 61 which we fit the model.

Country	First	Last
Austria	Mar 10 2020	Mar 16 2020
Belgium	Mar 12 2020	Mar 18 2020
Denmark	Mar 12 2020	Mar 18 2020
France	Mar 13 2020	Mar 17 2020
Germany	Mar 12 2020	Mar 22 2020
Italy	Mar 5 2020	Mar 11 2020
Norway	Mar 12 2020	Mar 24 2020
Spain	Mar 9 2020	Mar 14 2020
Sweden	Mar 12 2020	Mar 18 2020
Switzerland	Mar 13 2020	Mar 20 2020
United Kingdom	Mar 16 2020	Mar 24 2020
Wuhan	Jan 23 2020	Jan 23 2020

**Table 1: Official start of non-pharmaceutical interventions.** The date of the first intervention is for a ban of public events, or encouragement of social distancing, or for school closures. In all countries except Sweden, the date of the last intervention is for a lockdown. In Sweden, where a lockdown was not ordered during the studied dates, the last date is for school closures. Dates for European countries from Flaxman et al. <sup>6</sup>, date for Wuhan, China from Pei and Shaman <sup>12</sup>.

Susceptible (S) individuals become exposed due to contact with reported or unreported infected 62 individuals  $(I_r \text{ or } I_u)$  at a rate  $\beta_t$  or  $\mu\beta_t$ . The parameter  $0 < \mu < 1$  represents the decreased transmission 63 rate from unreported infected individuals, who are often subclinical or even asymptomatic. The 64 transmission rate  $\beta_t \ge 0$  may change over time t due to behavioral changes of both susceptible and 65 infected individuals. Exposed individuals, after an average incubation period of Z days, become 66 reported infected with probability  $\alpha_t$  or unreported infected with probability  $(1 - \alpha_t)$ . The reporting 67 rate  $0 < \alpha_t < 1$  may also change over time due to changes in human behavior. Infected individuals 68 remain infectious for an average period of D days, after which they either recover, or becomes ill 69 enough to be quarantined. They therefore no longer infect other individuals, and the model does not 70 track their frequency. The model is described by the following equations: 71

$$\frac{dS}{dt} = -\beta_t S \frac{I_r}{N} - \mu \beta_t S \frac{I_u}{N} 
\frac{dE}{dt} = \beta_t S \frac{I_r}{N} + \mu \beta_t S \frac{I_u}{N} - \frac{E}{Z} 
\frac{dI_r}{dt} = \alpha_t \frac{E}{Z} - \frac{I_r}{D} 
\frac{dI_u}{dt} = (1 - \alpha_t) \frac{E}{Z} - \frac{I_r}{D}.$$
(1)

73 The initial numbers of exposed E(0) and unreported infected  $I_u(0)$  are considered model parameters,

74 whereas the initial number of reported infected is assumed to be zero  $I_r(0) = 0$ , and the number of

susceptible is  $S(0) = N - E(0) - I_u(0)$ . This model is inspired by Li et al. 11 and Pei and Shaman 12,

76 who used a similar model with multiple regions and constant transmission  $\beta$  and reporting rate  $\alpha$  to

77 infer COVID-19 dynamics in China and the continental US, respectively.

72

78 **Likelihood function.** For a given vector  $\theta$  of model parameters the *expected* cumulative number of reported infected individuals  $(I_r)$  until day t is, following Eq. (1),

$$Y_t(\theta) = \int_0^t \alpha_s \frac{E(s)}{Z} ds, \quad Y_0 = 0.$$
 (2)

81 We assume that reported infected individuals are confirmed and therefore observed in the daily case

82 report of day t with probability  $p_t$  (note that an individual can only be observed once, and that  $p_t$ 

83 may change over time, but t is a specific date rather than the time elapsed since the individual was

infected). We denote by  $X_t$  the number of confirmed cases in day t, and by  $\tilde{X}_t$  the cumulative number

85 of confirmed cases until day t,

$$\tilde{X}_t = \sum_{i=1}^t X_i. \tag{3}$$

87 Therefore, at day t the number of reported infected yet-to-be confirmed individuals is  $(Y_t(\theta) - \tilde{X}_{t-1})$ .

88 We therefore assume that  $X_t$  conditioned on  $\tilde{X}_{t-1}$  is Poisson distributed,

$$(X_{1} \mid \theta) \sim Poi(Y_{1}(\theta) \cdot p_{1}),$$

$$(X_{t} \mid \tilde{X}_{t-1}, \theta) \sim Poi((Y_{t}(\theta) - \tilde{X}_{t-1}) \cdot p_{t}), \quad t > 1.$$

$$(4)$$

90 Hence, the *likelihood function*  $\mathbb{L}(\theta \mid \mathbf{X})$  for the parameter vector  $\theta$  given the confirmed case data

91  $\mathbf{X} = (X_1, \dots, X_T)$  is defined by the probability to observe  $\mathbf{X}$  given  $\theta$ ,

92 
$$\mathbb{L}(\theta \mid \mathbf{X}) = P(\mathbf{X} \mid \theta) = P(X_1 \mid \theta) \cdot P(X_2 \mid \tilde{X}_1, \theta) \cdots P(X_T \mid \tilde{X}_{T-1}, \theta). \tag{5}$$

93 NPI model. To model non-pharmaceutical interventions (NPIs), we set the beginning of the NPIs

94 to day  $\tau$  and define

95 
$$\beta_t = \begin{cases} \beta, & t < \tau \\ \beta \lambda, & t \ge \tau \end{cases}, \quad \alpha_t = \begin{cases} \alpha_1, & t < \tau \\ \alpha_2, & t \ge \tau \end{cases}, \quad p_t = \begin{cases} 1/9, & t < \tau \\ 1/6, & t \ge \tau \end{cases}, \tag{6}$$

96 where  $0 < \lambda < 1$ . The values for  $p_t$  follow Li et al. 11, who estimated the average time between

97 infection and reporting in Wuhan, China, at 9 days before the start of NPIs and 6 days after start of

98 NPIs.

- 99 Parameter estimation. To estimate the model parameters from the daily case data X, we apply a
- Bayesian inference approach. We start our model  $\Delta t$  days <sup>8</sup> before the outbreak (defined as consecutive
- days with increasing confirmed cases) in each country. The model in Eq. (1) is parameterized by the
- 102 vector  $\theta$ , where

103 
$$\theta = \left( Z, D, \mu, \{ \beta_t \}, \{ \alpha_t \}, \{ p_t \}, E(0), I_u(0), \tau, \Delta t \right). \tag{7}$$

104 The likelihood function is defined in Eq. (5). The posterior distribution of the model parameters

105  $P(\theta \mid \mathbf{X})$  is estimated using an affine-invariant ensemble sampler for Markov chain Monte Carlo

106 (MCMC)<sup>9</sup> implemented in the emcee Python package<sup>7</sup>.

107 We defined the following prior distributions on the model parameters  $P(\theta)$ :

$$Z \sim Uniform(2,5)$$

$$D \sim Uniform(2,5)$$

$$\mu \sim Uniform(0.2,1)$$

$$\beta \sim Uniform(0.8,1.5)$$

$$\lambda \sim Uniform(0,1)$$

$$\alpha_{1}, \alpha_{2} \sim Uniform(0.02,1)$$

$$E(0) \sim Uniform(0,3000)$$

$$I_{u}(0) \sim Uniform(0,3000)$$

$$\tau \sim TruncatedNormal\left(\frac{\tau^{*} + \tau^{0}}{2}, \frac{\tau^{*} - \tau^{0}}{2}, 1, T - 2\right),$$
(8)

where the prior for  $\tau$  is a truncated normal distribution shaped so that the date of the first and last NPI,  $\tau^0$  and  $\tau^*$  (Table 1), are at minus and plus one standard deviation, and taking values only between 1 and T-2, where T is the number of days in the data X. We have also tested an uninformative uniform prior U(1, T-2). DIC (see below) was lower for the truncated normal prior in most countries. More importantly, the uninformative prior could result in non-negligible posterior probability 114 for unreasonable  $\tau$  values, such as Mar 1 in the United Kingdom. This was probably due to MCMC chains being stuck in low posterior regions of the parameter space. We therefore decided to use the 115 more informative truncated normal prior. Other priors follow Li et al. 11, with the following exceptions. 116  $\lambda$  is used to ensure transmission rates are lower after the start of the NPIs ( $\lambda$  < 1). We checked values 117 of  $\Delta t$  larger than five days and found they generally produce lower likelihood, higher DIC (see below), 118 and unreasonable parameter estimates, and therefore chose U(1,5) as the prior.

120 **Model selection.** We perform model selection using DIC (deviance information criterion) <sup>14</sup>,

121 
$$DIC(\theta, \mathbf{X}) = 2\mathbb{E}[D(\theta)] - D(\mathbb{E}[\theta])$$
$$= 2\log \mathcal{L}(\mathbb{E}[\theta] \mid \mathbf{X}) - 4\mathbb{E}[\log \mathcal{L}(\theta \mid \mathbf{X})],$$
(9)

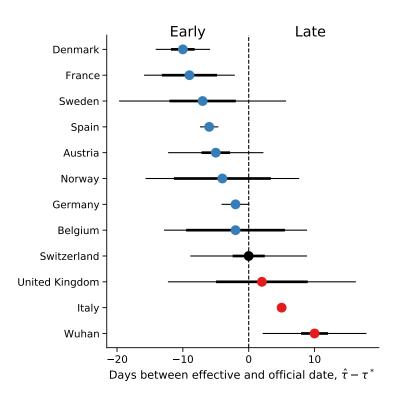
where  $D(\theta) = -2 \log \mathcal{L}(\theta \mid \mathbf{X})$  is the Bayesian deviance, and expectations  $\mathbb{E}[\cdot]$  are taken over the posterior distribution  $P(\theta \mid \mathbf{X})$ . We compare models by reporting their relative DIC; lower is better.

124 **Source code.** We use Python 3 with the NumPy, Matplotlib, SciPy, Pandas, Seaborn, and emcee packages. All source code will be publicly available under a permissive open-source license at github.com/yoavram-lab/EffectiveNPI.

#### Results

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Several studies have described the effects of non-pharmaceutical interventions in different geographical regions <sup>6,8,11</sup>. These studies have assumed that the parameters of the epidemiological model change at a specific date, as in Eq. (6), and set the change date  $\tau$  to the official NPI date  $\tau^*$  (Table 1). They then fit the model once for time  $t < \tau^*$  and once for time  $t \ge \tau^*$ . For example, Li et al. <sup>11</sup> estimate the dynamics in China before and after  $\tau^*$  at Jan 23. Thereby, they effectively estimate  $(\beta, \alpha_1)$  and  $(\lambda, \alpha_2)$  separately. Here we estimate the posterior distribution  $P(\tau \mid \mathbf{X})$  of the *effective* start date of the NPIs by jointly estimating  $\tau, \beta, \lambda, \alpha_1, \alpha_2$  on the entire data per region (e.g. Italy, Austria), rather than splitting the data at  $\tau^*$ . We then estimate the posterior probability  $P(\tau \mid \mathbf{X})$  by marginalizing the joint posterior, and estimate  $\hat{\tau}$  as the posterior median.



**Figure 1: Official and effective start of non-pharmaceutical interventions.** The difference between  $\hat{\tau}$  the effective and  $\tau^*$  the official start of NPI is shown for different regions. The effective NPI dates in Italy and Wuhan are significantly delayed compared to the official dates, whereas in Denmark, France, Spain, and Germany, the effective date is earlier than the official date.  $\hat{\tau}$  is the posterior median, see Table 2.  $\tau^*$  is the last NPI date, see Table 1. Thin and bold lines show 95% and 75% credible intervals (area in which  $P(|\tau - \hat{\tau}| | \mathbf{X}) = 0.95$  and 0.75.)

37 We find that a model that considers an NPI (Eq. (6)) is a better fit to the data than a model without an

NPI, i.e. with constant  $\beta$  and  $\alpha$  ( $\Delta DIC > ?$  for all regions.) We compare the official  $\tau^*$  and effective

139  $\hat{\tau}$  start of NPIs and find that in some regions the effective start of NPI significantly differs from the

official date (Figure 1): the credible interval on  $\hat{\tau}$  does not include  $\tau^*$ , and the DIC of the model with

141 free  $\tau$  parameter is lower than that of a model with a fixed  $\tau \equiv \tau^* (\Delta DIC > ?)$ .

142 In the following, we describe our findings on late and early effective start of NPI in detail.

143 Late effective start of NPIs. In both Wuhan, China, and in Italy we find that our estimated effective

144 start of NPI  $\hat{\tau}$  is significantly later than the official date  $\tau^*$  (Figure 1).

In Italy, the first case officially confirmed on Feb 21, a lockdown was declared in Northern Italy on

Mar 8, with social distancing implemented in the rest of the country, and the lockdown was extended

47 to the entire nation on Mar  $11^8$ . That is, the official date  $\tau^*$  is either Mar 8 or 11. However, we

148 estimate the effective date  $\hat{\tau}$  at Mar 16 (±0.7 days 95% CI; Figure 2). Similarly, in Wuhan, China, a

lockdown was ordered on Jan 23<sup>11</sup>, but we estimate the effective start of NPIs to be several days layer

at around Mar 2 ( $\pm 2.65$  days 95% CI Figure 2).

151 Early effective start of NPIs. In contrast, in some regions we estimate an effective start of NPIs  $\hat{\tau}$ 

that is earlier then the official date  $\tau^*$  (Figure 1). In Spain, social distancing was encouraged starting

on Mar 8<sup>6</sup>, but mass gatherings still occurred on Mar 8, including a march of 120,000 people for the

154 International Women's Day, and a football match between Real Betis and Real Madrid (2:1) with a

Country	$ au^*$	τ	75% CI	95% CI	Z	D	μ	β	$\alpha_1$	λ	$\alpha_2$	E(0)	$I_u(0)$	$\Delta t$
Sweden	Mar 18	Mar 11	5.04	12.68	4.06	3.49	0.43	1.06	0.10	0.62	0.24	261.60	340.99	2.79
Belgium	Mar 18	Mar 16	7.51	10.86	3.96	3.61	0.47	1.10	0.18	0.80	0.36	236.36	307.03	2.66
United Kingdom	Mar 24	Mar 26	6.96	14.27	3.98	3.76	0.64	1.12	0.17	0.71	0.25	144.66	163.83	2.42
Switzerland	Mar 20	Mar 20	2.44	8.86	3.97	3.76	0.62	1.13	0.18	0.47	0.18	107.92	103.17	1.86
Wuhan	Jan 23	Feb 02	2.05	7.88	3.83	3.79	0.64	1.17	0.18	0.20	0.24	331.06	383.46	1.78
Germany	Mar 22	Mar 20	0.54	2.12	3.69	3.97	0.76	1.22	0.28	0.78	0.12	84.89	57.82	1.58
Austria	Mar 16	Mar 11	2.17	7.24	3.97	3.55	0.43	1.10	0.05	0.71	0.47	360.26	399.82	2.59
Spain	Mar 14	Mar 08	0.71	1.39	3.88	3.70	0.60	1.14	0.05	0.73	0.46	637.80	617.46	1.91
France	Mar 17	Mar 08	4.19	6.89	3.96	3.72	0.60	1.14	0.13	0.67	0.36	345.21	364.73	2.14
Italy	Mar 11	Mar 16	0.39	0.51	4.29	3.36	0.49	1.05	0.41	0.44	0.38	313.36	1430.55	1.57
Denmark	Mar 18	Mar 08	1.79	4.12	3.96	3.51	0.39	1.06	0.04	0.31	0.53	339.72	428.69	2.40
Norway	Mar 24	Mar 20	7.36	11.67	4.06	3.40	0.42	1.08	0.13	0.64	0.19	265.91	448.07	2.48

**Table 2: Parameter estimates for different regions.** See Eq. (1) for model parameters. All estimates are posterior medians. 75% and 95% credible intervals given only for  $\tau$ , in days.  $\tau^*$  is the official last NPI date, see Table 1.

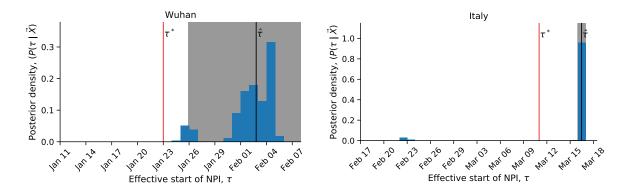


Figure 2: Late effect of non-pharmaceutical interventions in Italy and Wuhan, China. Posterior distribution of  $\tau$ , the effective start date of NPI, is shown as a histogram of MCMC samples. Red line shows the official last NPI date  $\tau^*$ . Black line shows the estimated  $\hat{\tau}$ . Shaded area shows a 95% credible interval (area in which  $P(|\tau - \hat{\tau}| \mid \mathbf{X}) = 0.95$ ).

155 crowd of 50,965 in Seville. A national lockdown was only announced on Mar  $14^6$ . Nevertheless, we 156 estimate the effective start of NPI  $\hat{\tau}$  at Mar 8 or 9 (±2.96 95%CI), rather than Mar 14 (Figure 3).

Similarly, in France the official lockdown started at Mar 17 ( $\tau^*$ ), with initial NPIs at Mar 13<sup>6</sup>. However, we estimate the effective start of NPIs  $\hat{\tau}$  at Mar 8 (±5.9 days 95% CI). Although the credible interval is wide, spanning from Mar 2 to Mar 13, the official lockdown start at Mar 17 is later still (Figure 3).

Interestingly, the effective start of NPIs  $\hat{\tau}$  in both France and Spain is estimated at Mar 8, although the official dates are differ by three days. Moreover, the number of daily cases was similar until Mar 8 in both countries, but diverged by Mar 13, reaching significantly higher numbers in Spain (Figure S2). This may suggest that correlation exist between effective start in NPIs due to global or international events.

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The exception that proves the rule. We find one case in which the official and effective dates match: Switzerland ordered a national lockdown on Mar 20, after banning public evens and closing schools on Mar 13 and  $14^6$ . Indeed, we estimate that  $\hat{\tau}$  is Mar 20, and the posterior distribution shows two density peaks: a smaller one between Mar 10 and Mar 14, and a taller one between Mar 17 and Mar 22. It's also worth mentioning that Switzerland was the first to mandate self isolation of confirmed cases<sup>6</sup>.

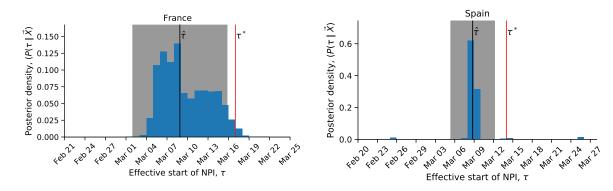


Figure 3: Early effect of non-pharmaceutical interventions in France and Spain. Posterior distribution of  $\tau$ , the effective start date of NPI, is shown as a histogram of MCMC samples. Red line shows the official last NPI date  $\tau^*$ . Black line shows the estimated  $\hat{\tau}$ . Shaded area shows a 95% credible interval (area in which  $P(|\tau - \hat{\tau}| \mid \mathbf{X}) = 0.95$ ).

171 **Effect of late and early effect of NPIs on real-time assessment.** The success of non-pharmaceutical interventions is assessed by health officials using various metrics, such as the decline in the growth rate of daily cases. These assessments are made a specific number of days after the intervention began, to accommodate for the expected serial interval<sup>3</sup> (i.e. time between successive cases in a chain of transmission), which is estimated at about 4-7 days<sup>8</sup>.

176 However, a significant difference between the beginning of the intervention and the effective change in transmission rates can invalidate assessments that assume a serial interval of 4-7 days and neglect 177 the late or early population response to the NPI. Such a case is illustrated in Figure 4 using data and 178 179 parameters from Italy. Here, a lockdown is officially ordered on Mar 10 ( $\tau^*$ , but its late effect on the 180 transmission dynamics starts on Mar 15 ( $\hat{\tau}$ ). If health officials assume the dynamics to immediately change at  $\tau^*$ , they will expect the number of cases to follow the dashed red line. However, the number 181 182 of cases will actually follow the black line, leading to a significant different ( $\Delta$ ) between the projections and the realization. 183

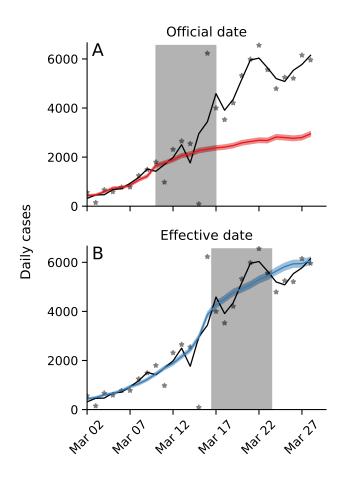


Figure 4: Late effective start of NPIs leads to under-estimation of daily confirmed cases. Real number of daily cases in Italy in black (markers: data, line: time moving average). Model predictions, assuming a 50% decrease in transmission rate after the NPI starts, are shown as colored lines with 95% confidence intervals. Shaded box illustrates a serial interval of seven days. (A) Using the official date  $\tau^*$  for the start of the NPI, the model under-estimates the number of cases seven days after the start of the NPI. (B) Using the effective date  $\hat{\tau}$ for the start of the NPI, the model correctly estimates the number of cases seven days after the start of the NPI. Here, model parameters are estimates for Italy (Table 2) but with  $\lambda = 0.5$  and  $\alpha_1 = \alpha_2$ .

#### **Discussion** 184

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- We have estimated the effective start date of NPIs in several geographical regions using an SEIR 185
- 186 epidemiological model and an MCMC parameter estimation framework. We find examples of both
- late and early effect of NPIs (Figure 1). 187
- 188 For example, in Italy and Wuhan, China, the effective start of the lockdowns seems to have occurred
- 3-5 after the official date (Figure 2). This could be explained by low compliance. In Italy, for example, 189
- a leak about the intent to lockdown Northern provinces results in people leaving those provinces<sup>8</sup>. 190
- However, late effect of NPIs could also be due to the time required by both the government and the 191
- 192 citizens to organize for a lockdown.
- 193 In contrast, in most investigated countries, such as Spain and France, transmission rates seem to have
- 194 been reduced even before official lockdowns were implemented (Figure 3). This early response is
- 195 possibly due to adoption of social distancing and similar behavioral adaptations in parts of the popula-
- tion, maybe in response increased risk perception due to domestic or international COVID-19-related 196

reports. This finding may also suggest that severe NPIs, such as lockdowns, were unnecessary, and that

- 198 milder measures that were adopted by the population, possibly due to government recommendations,
- 199 media coverage, and social networks, could have been sufficient for epidemic control. check if this is

- 200 true Indeed, the evidence supports a change in transmission dynamics (i.e. a model with  $\tau$ ) even for
- 201 Sweden, in which a lockdown was not implemented, suggesting that lockdowns may not be necessary
- 202 if other NPIs are adopted early enough during the outbreak <sup>3</sup> (Sweden banned public events on Mar 12,
- 203 encouraged social distancing on Mar 16, and closed schools on Mar 18<sup>6</sup>.)
- 204 Attempts to asses the effect of NPIs<sup>3,6</sup> generally assume a 7 day delay between the implementation
- 205 of the intervention and the observable change in dynamics, due to the characteristic serial interval of
- 206 COVID-198. However, the late and early effects we have estimated can confuse these assessments and
- 207 lead to wrong conclusions about the effects of NPIs (Figure 4).
- 208 We have found that the evidence supports a model in which the parameters change at a specific
- 209 time point  $\tau$  over a model without such a change-point. It may be interesting to investigate if the
- 210 evidence favors a model with two change-points, rather than one. Two such change-points could reflect
- 211 escalating NPIs (e.g. school closures followed by lockdowns), a mix of NPIs and changes in weather,
- 212 a mix of domestic and international effects on risk perception, or other similar factors.
- 213 As several countries (e.g. Austria, Israel) begin to relieve lockdowns and ease restrictions, we expect
- 214 similar delays and advances to occur: in some countries people will begin to behave as if restrictions
- 215 were eased even before the official date, and in some countries people will continue to self-restrict
- 216 even after restrictions are officially removed.
- 217 Conclusions. We have estimated the effective start date of NPIs and found that they often differ
- 218 from the official dates. Our results emphasize the complex interaction between personal, regional,
- 219 and global determinants of behavioral. Thus, our results highlight the need to further study variability
- 220 in compliance and behavior over both time and space. This can be accomplished both by surveying
- 221 differences in compliance within and between populations<sup>2</sup>, and by incorporating specific behavioral
- 222 models into epidemiological models <sup>1,5,15</sup>.

### 223 Acknowledgements

224 This work was supported in part by the Israel Science Foundation 552/19 and 1399/17.

#### 225 References

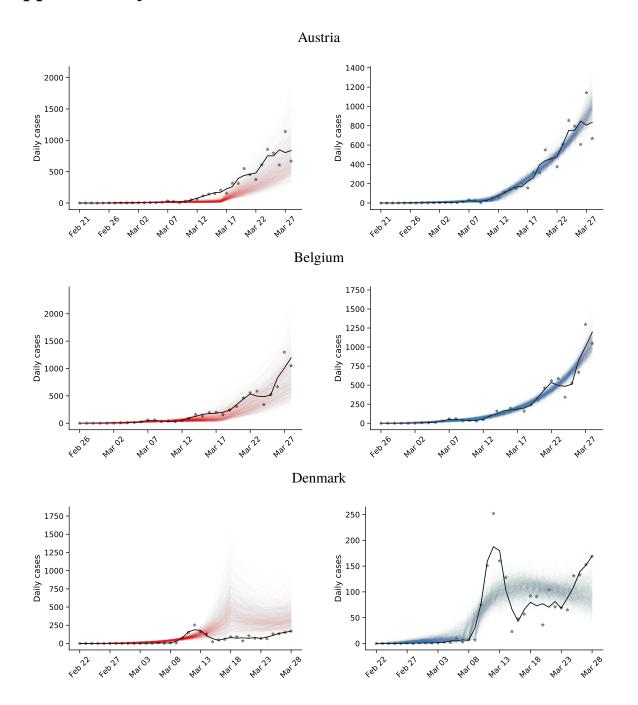
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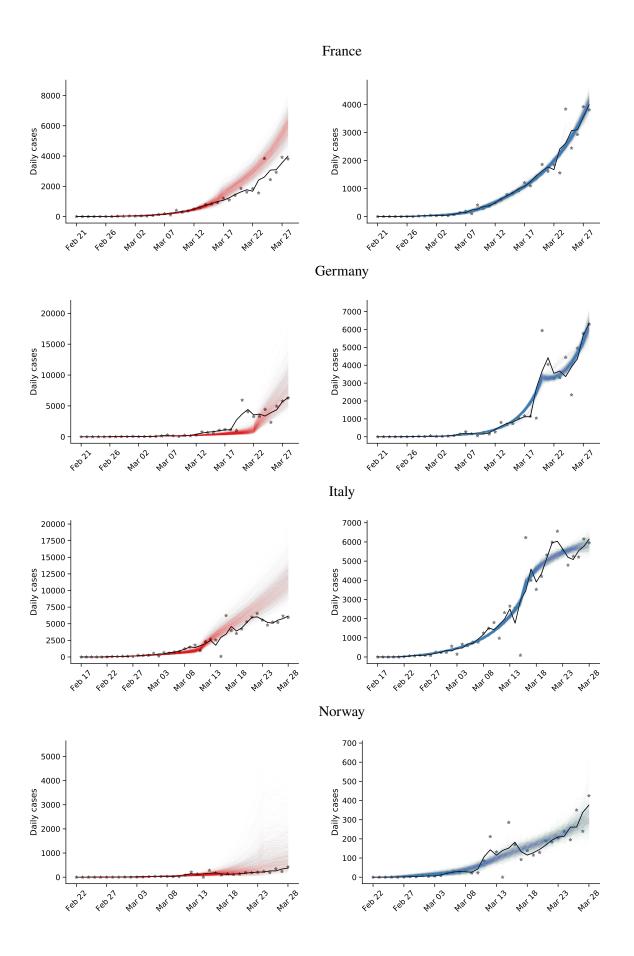
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## 226 Supplementary Material





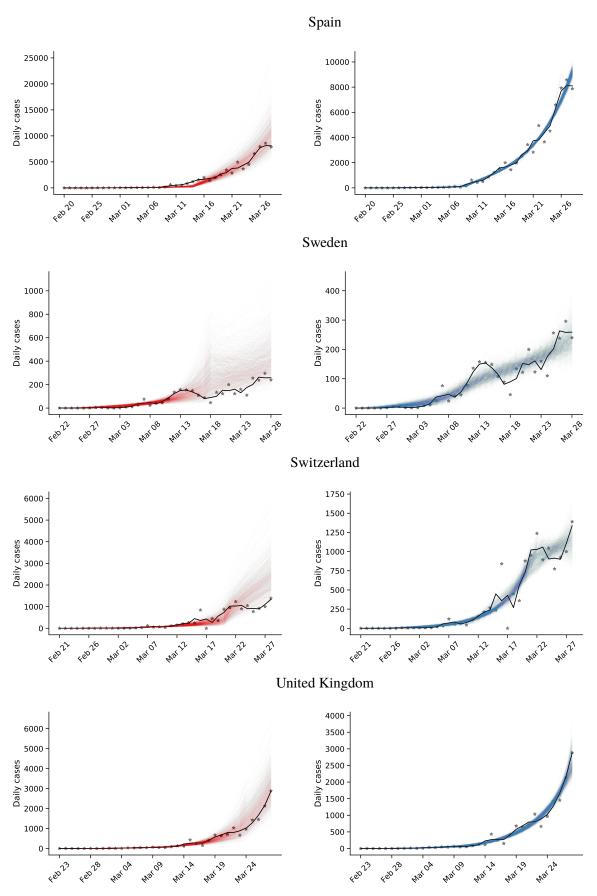
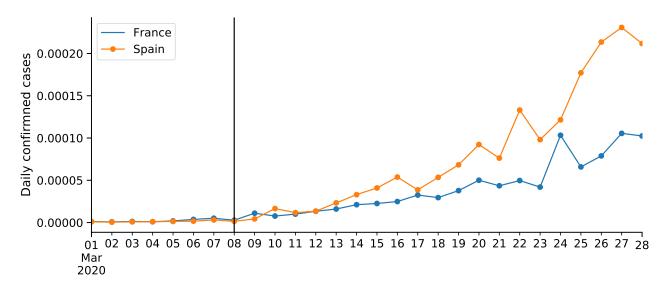


Figure S1. Posterior predictive plots.



**Figure S2: COVID-19 confirmed cases in France and Spain.** Number of cases proportional to population size (as of 2018). Vertical line shows Mar 8, the effective start of NPIs  $\hat{\tau}$  in both countries.