

# Inferring the effective start dates of non-pharmaceutical interventions during COVID-19 outbreaks

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## Abstract

During February and March 2020, several countries implemented non-pharmaceutical interventions, such as school closures and lockdowns, with variable schedules to control the COVID-19 pandemic caused by the SARS-CoV-2 virus. Overall, these interventions seem to have successfully reduced the spread of the pandemic. We hypothesize that the official and effective start date of such interventions can significantly differ, for example due to slow diffusion of guidelines in the population, or due to unpreparedness of the authorities and the public. We use an SEIR epidemiological model and an MCMC inference framework to estimate the effective start of NPIs in several countries, and compare this effective dates to the official dates. We report our finding of both late and early effects of NPIs, and discuss potential causes and consequences of our results.

## 19 Introduction

20 The COVID-19 pandemic has resulted in implementation of extreme non-pharmaceutical interventions  
21 (NPIs) in many affected countries. These interventions, from social distancing to lockdowns, are  
22 applied in a rapid and widespread fashion. The NPIs are designed and assessed using epidemiological  
23 models, which follow the dynamics of the viral infection to forecast the effect of different mitigation and  
24 suppression strategies on the levels of infection, hospitalization, and fatality. These epidemiological  
25 models usually assume that the effect of NPIs on disease transmission begins at the officially declared  
26 date (e.g. Flaxman et al.<sup>6</sup>, Gatto et al.<sup>8</sup>, Li et al.<sup>10</sup>).

27 Adoption of public health recommendations is often critical for effective response to infectious dis-  
28 eases, and has been studied in the context of HIV<sup>9</sup> and vaccination<sup>4,14</sup>, for example. However,  
29 behavioral and social change does not occur immediately, but rather requires time to diffuse in the  
30 population through media, social networks, and social interactions. Moreover, compliance to NPIs  
31 may differ between different interventions and between people. For example, in a survey of 2,108  
32 adults in the UK during Mar 2020, Atchison et al.<sup>2</sup> found that those over 70 years old were more  
33 likely to adopt social distancing than young adults (18-34 years old), and that those with lower income  
34 were less likely to be able to work from home and to self-isolate. Similarly, compliance to NPIs may  
35 be impacted by personal experiences. Smith et al.<sup>12</sup> have surveyed 6,149 UK adults in late April  
36 and found that people who believe they have already had COVID-19 are more likely to think they are  
37 immune, and less likely to comply with social distancing measures. Compliance may also depend on  
38 risk perception as perceived by the the number of domestic cases or even by reported cases in other  
39 regions and countries. Interestingly, the perceived risk of COVID-19 infection has likely caused a  
40 reduction in the number of influenza-like illness cases in the US starting from mid-February<sup>15</sup>.

41 Here, we hypothesize that there is a significant difference between the official start of NPIs and their  
42 adoption by the public and therefore their effect on transmission dynamics. We use a *Susceptible-*  
43 *Exposed-Infected-Recovered* (SEIR) epidemiological model and *Markov Chain Monte Carlo* (MCMC)  
44 parameter estimation framework to estimate the effective start date of NPIs from publicly available  
45 COVID-19 case data in several geographical regions. We compare these estimates to the official dates  
46 and find both late and early effects of NPIs on COVID-19 transmission dynamics. We conclude by  
47 demonstrating how differences between the official and effective start of NPIs can confuse assessments  
48 of the effectiveness of the NPIs in a simple epidemic control framework.

## 49 Models and Methods

50 **Data.** We use daily confirmed case data  $\mathbf{X} = (X_1, \dots, X_T)$  from several different countries. These  
51 incidence data summarize the number of individuals  $X_t$  tested positive for SARS-CoV-2 RNA (using  
52 RT-qPCR) at each day  $t$ . Data for Wuhan, China retrieved from Pei and Shaman<sup>11</sup>, data for 11  
53 European countries retrieved from Flaxman et al.<sup>6</sup>. Regions in which there were multiple sequences  
54 of days with zero confirmed cases (e.g. France), we cropped the data to begin with the last sequence  
55 so that our analysis focuses on the first sustained outbreak rather than isolated imported cases. For  
56 dates of official NPI dates see Table 1.

57 **SEIR model.** We model SARS-CoV-2 infection dynamics by following the number of susceptible  
58  $S$ , exposed  $E$ , reported infected  $I_r$ , and unreported infected  $I_u$  individuals in a population of size  $N$ .  
59 This model distinguishes between reported and unreported infected individuals: the reported infected  
60 are those that have enough symptoms to eventually be tested and thus appear in daily case reports, to  
61 which we fit the model.

Country	First	Last
Austria	Mar 10 2020	Mar 16 2020
Belgium	Mar 12 2020	Mar 18 2020
Denmark	Mar 12 2020	Mar 18 2020
France	Mar 13 2020	Mar 17 2020
Germany	Mar 12 2020	Mar 22 2020
Italy	Mar 5 2020	Mar 11 2020
Norway	Mar 12 2020	Mar 24 2020
Spain	Mar 9 2020	Mar 14 2020
Sweden	Mar 12 2020	Mar 18 2020
Switzerland	Mar 13 2020	Mar 20 2020
United Kingdom	Mar 16 2020	Mar 24 2020
Wuhan	Jan 23 2020	Jan 23 2020

**Table 1: Official start of non-pharmaceutical interventions.** The date of the first intervention is for a ban of public events, or encouragement of social distancing, or for school closures. In all countries except Sweden, the date of the last intervention is for a lockdown. In Sweden, where a lockdown was not ordered during the studied dates, the last date is for school closures. Dates for European countries from Flaxman et al.<sup>6</sup>, date for Wuhan, China from Pei and Shaman<sup>11</sup>.

Susceptible ( $S$ ) individuals become exposed due to contact with reported or unreported infected individuals ( $I_r$  or  $I_u$ ) at a rate  $\beta_t$  or  $\mu\beta_t$ . The parameter  $0 < \mu < 1$  represents the decreased transmission rate from unreported infected individuals, who are often subclinical or even asymptomatic. The transmission rate  $\beta_t \geq 0$  may change over time  $t$  due to behavioral changes of both susceptible and infected individuals. Exposed individuals, after an average incubation period of  $Z$  days, become reported infected with probability  $\alpha_t$  or unreported infected with probability  $(1 - \alpha_t)$ . The reporting rate  $0 < \alpha_t < 1$  may also change over time due to changes in human behavior. Infected individuals remain infectious for an average period of  $D$  days, after which they either recover, or becomes ill enough to be quarantined. They therefore no longer infect other individuals, and the model does not track their frequency. The model is described by the following equations:

$$\begin{aligned}
\frac{dS}{dt} &= -\beta_t S \frac{I_p}{N} - \mu\beta_t S \frac{I_s}{N} \\
\frac{dE}{dt} &= \beta_t S \frac{I_p}{N} + \mu\beta_t S \frac{I_s}{N} - \frac{E}{Z} \\
\frac{dI_r}{dt} &= \alpha_t \frac{E}{Z} - \frac{I_r}{D} \\
\frac{dI_u}{dt} &= (1 - \alpha_t) \frac{E}{Z} - \frac{I_r}{D}.
\end{aligned} \tag{1}$$

The initial numbers of exposed  $E(0)$  and unreported infected  $I_u(0)$  are considered model parameters, whereas the initial number of reported infected is assumed to be zero  $I_r(0) = 0$ , and the number of susceptible is  $S(0) = N - E(0) - I_u(0)$ . This model is inspired by Li et al.<sup>10</sup> and Pei and Shaman<sup>11</sup>, who used a similar model with multiple regions and constant transmission  $\beta$  and reporting rate  $\alpha$  to infer COVID-19 dynamics in China and the continental US, respectively.

**Likelihood function.** The *expected* cumulative number of reported infected individuals until day  $t$  is

$$Y_t = \int_0^t \alpha_s \frac{E(s)}{Z} ds, \quad Y_0 = 0. \tag{2}$$

We assume that reported infected individuals are confirmed and therefore observed in the daily case report of day  $t$  with probability  $p_t$  (note that an individual can only be observed once, and that  $p_t$  may change over time, but  $t$  is a specific date rather than the time elapsed since the individual was infected). Hence, we assume that the number of confirmed cases in day  $t$  is binomially distributed,

$$X_t \sim \text{Bin}(n_t, p_t),$$

where  $n_t$  is the *realized* (rather than expected) number of reported infected individuals yet to appear in daily reports by day  $t$ . The cumulative number of confirmed cases until day  $t$  is

$$\tilde{X}_t = \sum_{i=1}^t X_i, \quad X_0 = 0.$$

Given  $\tilde{X}_{t-1}$ , we assume  $n_t$  is Poisson distributed,

$$(n_t \mid \tilde{X}_{t-1}) \sim \text{Poi}(Y_t - \tilde{X}_{t-1}), \quad n_1 \sim \text{Poi}(Y_1).$$

81 Therefore,  $(X_t \mid \tilde{X}_{t-1})$  is a binomial conditioned on a Poisson, which reduces to a Poisson with

$$82 \quad (X_t \mid \tilde{X}_{t-1}) \sim \text{Poi}\left((Y_t - \tilde{X}_{t-1}) \cdot p_t\right), \quad X_1 \sim \text{Poi}(Y_1 \cdot p_1). \quad (3)$$

83 For given vector  $\theta$  of model parameters (Eq. (6)), we compute the expected cumulative number  
84 of reported infected individuals  $\{Y_t\}_{t=1}^T$  for each day (Eq. (2)). Then, since  $\tilde{X}_{t-1}$  is a function of  
85  $X_1, \dots, X_{t-1}$ , we can use Eq. (3) to write the probability to observe the confirmed case data  $\mathbf{X} =$   
86  $(X_1, \dots, X_T)$  as

$$87 \quad \mathbb{L}(\theta \mid \mathbf{X}) = P(\mathbf{X} \mid \theta) = P(X_1 \mid \theta)P(X_2 \mid \tilde{X}_1, \theta) \cdots P(X_T \mid \tilde{X}_{T-1}, \theta). \quad (4)$$

88 This defines a *likelihood function*  $\mathbb{L}(\theta \mid \mathbf{X})$  for the parameter vector  $\theta$  given the data  $\mathbf{X}$ .

89 **NPI model.** To model non-pharmaceutical interventions (NPIs), we set the beginning of the NPIs  
90 to day  $\tau$  and define

$$91 \quad \beta_t = \begin{cases} \beta, & t < \tau \\ \beta\lambda, & t \geq \tau \end{cases}, \quad \alpha_t = \begin{cases} \alpha_1, & t < \tau \\ \alpha_2, & t \geq \tau \end{cases}, \quad p_t = \begin{cases} 1/9, & t < \tau \\ 1/6, & t \geq \tau \end{cases}, \quad (5)$$

92 where  $0 < \lambda < 1$ . The values for  $p_t$  follow Li et al.<sup>10</sup>, who estimated the average time between  
93 infection and reporting in Wuhan, China, at 9 days before the start of NPIs (Jan 23, 2020) and 6 days  
94 after start of NPIs. The parameter  $\tau$  is then added to the parameter vector  $\theta$  (Eq. (6)).

95 **Parameter estimation.** To estimate the parameters of our model from the data  $\mathbf{X}$ , we apply a  
96 Bayesian inference approach. We start our model  $\Delta t$  days before the outbreak (defined as consecutive  
97 days with increasing confirmed cases) in each country<sup>8</sup>. The model in Eq. (1) is parameterized by the  
98 vector  $\theta$ , where

$$99 \quad \theta = \left( Z, D, \mu, \{\beta_t\}, \{\alpha_t\}, \{p_t\}, E(0), I_u(0), \tau, \Delta t \right). \quad (6)$$

100 The likelihood function is defined in Eq. (4). We define the following prior distributions on the model  
 101 parameters  $P(\theta)$ :

$$\begin{aligned}
 Z &\sim \text{Uniform}(2, 5) \\
 D &\sim \text{Uniform}(2, 5) \\
 \mu &\sim \text{Uniform}(0.2, 1) \\
 \beta &\sim \text{Uniform}(0.8, 1.5) \\
 \lambda &\sim \text{Uniform}(0, 1) \\
 \alpha_1, \alpha_2 &\sim \text{Uniform}(0.02, 1) \\
 E(0) &\sim \text{Uniform}(0, 3000) \\
 I_u(0) &\sim \text{Uniform}(0, 3000) \\
 \tau &\sim \text{TruncatedNormal}(\tau^*, 5, 1, T - 2),
 \end{aligned}
 \tag{7}$$

103 where  $\text{TruncatedNormal}(\mu, \sigma, a, b)$  is a truncated normal distribution with mean  $\mu$  and standard deviation  $\sigma$  taking values between  $a$  and  $b$ ;  $T$  is the number of days in the data  $\mathbf{X}$ ; and  $\tau^*$  is the official  
 104 start of the NPI. Most priors follow Li et al.<sup>10</sup>, with the following exceptions.  $\lambda$  is used to ensure  
 105 transmission rates are lower after the start of the NPIs ( $\lambda < 1$ ). We checked values of  $\Delta t$  larger than  
 106 five days and found they generally produce lower likelihood, higher DIC (see below), and unreasonable  
 107 parameter estimates. For the effective start of NPIs  $\tau$  we have also tested an uninformative uniform  
 108 prior  $U(1, T - 1)$ . DIC (see below) was lower for the truncated normal prior in **most countries**. More  
 109 importantly, the uninformative prior could result in non-negligible posterior probability for unrea-  
 110 sonable  $\tau$  values, such as Mar 1 in the United Kingdom. This was probably due to MCMC chains  
 111 being stuck in low posterior regions of the parameter space. We therefore decided to use the more  
 112 informative truncated normal prior.

114 The posterior distribution of the model parameters  $P(\theta \mid \mathbf{X})$  is then estimated using an *affine-*  
 115 *invariant ensemble sampler for Markov chain Monte Carlo* (MCMC) implemented in the `emcee`  
 116 Python package<sup>7</sup>. The maximum a posteriori

117 **Model selection.** We perform model selection using DIC (deviance information criterion)<sup>13</sup>,

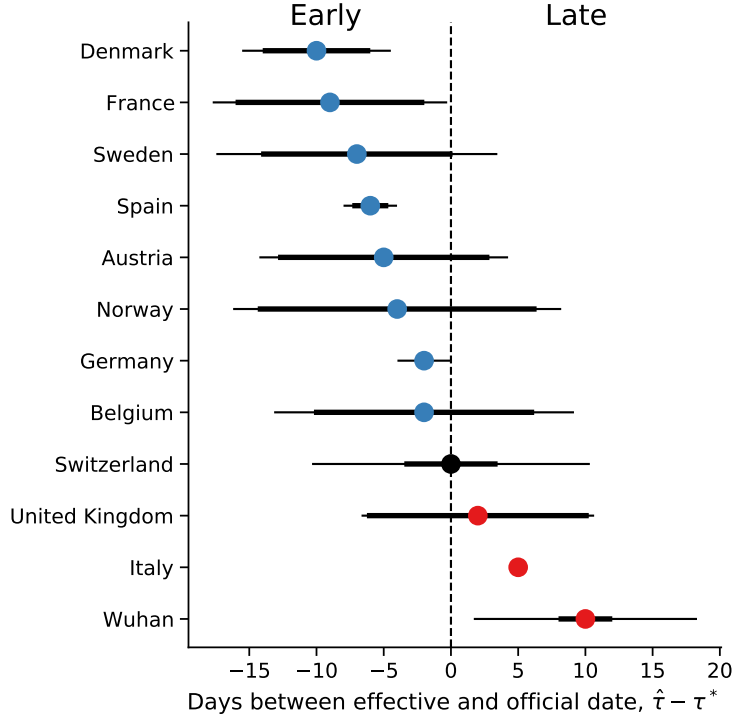
$$\begin{aligned}
 DIC(\theta, \mathbf{X}) &= 2\mathbb{E}[D(\theta)] - D(\mathbb{E}[\theta]) \\
 &= 2\log \mathcal{L}(\mathbb{E}[\theta] \mid \mathbf{X}) - 4\mathbb{E}[\log \mathcal{L}(\theta \mid \mathbf{X})],
 \end{aligned}
 \tag{8}$$

119 where  $D(\theta) = -2\log \mathcal{L}(\theta \mid \mathbf{X})$  is the Bayesian deviance, and expectations  $\mathbb{E}[\cdot]$  are taken over the pos-  
 120 terior distribution  $P(\theta \mid \mathbf{X})$ . We compare models by reporting their relative DIC; lower is better.

121 **Source code.** We use Python 3 (Anaconda) with the NumPy, Matplotlib, SciPy, Pandas, Seaborn,  
 122 and `emcee` packages. All source code will be publicly available under a permissive open-source  
 123 license at [github.com/yoavram-lab/EffectiveNPI](https://github.com/yoavram-lab/EffectiveNPI).

## 124 Results

125 Several studies have described the effects of non-pharmaceutical interventions in different geographical  
 126 regions<sup>6,8,10</sup>. These studies have assumed that the parameters of the epidemiological model change  
 127 at a specific date, as in Eq. (5), and set the change date  $\tau$  to the official NPI date  $\tau^*$  (Table 1). They  
 128 then fit the model once for time  $t < \tau^*$  and once for time  $t \geq \tau^*$ . For example, Li et al.<sup>10</sup> estimate  
 129 the dynamics in China before and after  $\tau^*$  at Jan 23. Thereby, they effectively estimate  $(\beta, \alpha_1)$  and  
 130  $(\lambda, \alpha_2)$  separately. Here we estimate the posterior distribution  $P(\tau \mid \mathbf{X})$  of the *effective* start date of the



**Figure 1: Official and effective start of non-pharmaceutical interventions.** The difference between  $\hat{\tau}$  the effective and  $\tau^*$  the official start of NPI is shown for different regions. The effective NPI dates in Italy and Wuhan are significantly delayed compared to the official dates, whereas in Denmark, France, Spain, and Germany, the effective date is earlier than the official date.  $\hat{\tau}$  is the posterior median, see Table 2.  $\tau^*$  is the last NPI date, see Table 1. Thin and bold lines show 95% and 75% credible intervals (area in which  $P(|\tau - \hat{\tau}| | \mathbf{X}) = 0.95$  and 0.75.)

131 NPIs by jointly estimating  $\tau, \beta, \lambda, \alpha_1, \alpha_2$  on the entire data per region (e.g. Italy, Austria), rather than  
 132 splitting the data at  $\tau^*$ . We then estimate the posterior probability  $P(\tau | \mathbf{X})$  by marginalizing the joint  
 133 posterior, and estimate  $\hat{\tau}$  as the posterior median.

134 We find that a model that considers an NPI (Eq. (5)) is a better fit to the data than a model without an  
 135 NPI, i.e. with constant  $\beta$  and  $\alpha$  ( $\Delta DIC > ?$  for all regions.) We compare the official  $\tau^*$  and effective  
 136  $\hat{\tau}$  start of NPIs and find that in some regions the effective start of NPI significantly differs from the  
 137 official date (Figure 1): the credible interval on  $\hat{\tau}$  does not include  $\tau^*$ , and the DIC of the model with  
 138 free  $\tau$  parameter is lower than that of a model with a fixed  $\tau \equiv \tau^*$  ( $\Delta DIC > ?$ .)

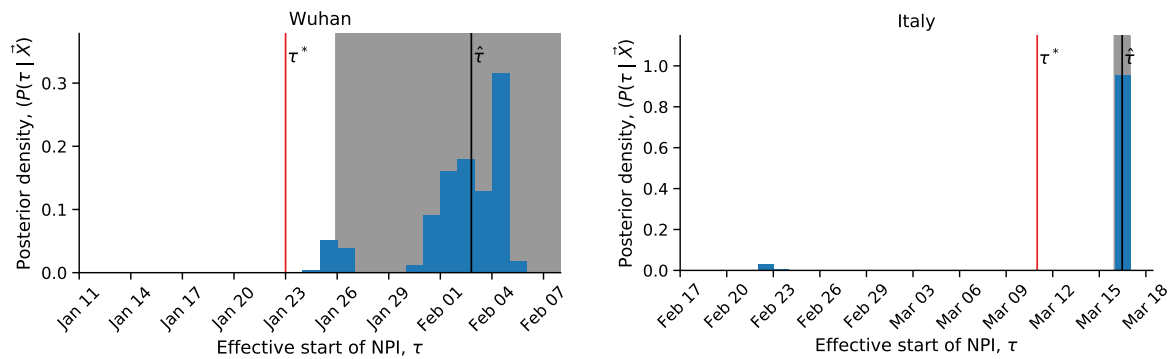
139 In the following, we describe our findings on late and early effective start of NPI in detail.

Country	$\tau^*$	$\tau$	75% CI	95% CI	$Z$	$D$	$\mu$	$\beta$	$\alpha_1$	$\lambda$	$\alpha_2$	$E(0)$	$I_u(0)$	$\Delta t$
Sweden	Mar 18	Mar 11	7.12	10.45	4.06	3.49	0.43	1.06	0.10	0.62	0.24	261.60	340.99	2.79
Belgium	Mar 18	Mar 16	8.19	11.15	3.96	3.61	0.47	1.10	0.18	0.80	0.36	236.36	307.03	2.66

**Table 2: Parameter estimates for different regions.** See Eq. (1) for model parameters. All estimates are posterior medians. 75% and 95% credible intervals given only for  $\tau$ , in days.  $\tau^*$  is the official last NPI date, see Table 1.

140 **Late effective start of NPIs.** In both Wuhan, China, and in Italy we find that our estimated effective  
 141 start of NPI  $\hat{\tau}$  is significantly later than the official date  $\tau^*$  (Figure 1).

142 In Italy, the first case officially confirmed on Feb 21, a lockdown was declared in Northern Italy on  
 143 Mar 8, with social distancing implemented in the rest of the country, and the lockdown was extended  
 144 to the entire nation on Mar 11<sup>8</sup>. That is, the official date  $\tau^*$  is either Mar 8 or 11. However, we  
 145 estimate the effective date  $\hat{\tau}$  at Mar 16 ( $\pm 0.7$  days 95% CI ; Figure 2). Similarly, in Wuhan, China,  
 146 lockdown was ordered on Jan 23<sup>10</sup>, but we estimate the effective start of NPIs to be several days later  
 147 at around Mar 2 ( $\pm 2.65$  days 95% CI Figure 2).



**Figure 2: Late effect of non-pharmaceutical interventions in Italy and Wuhan, China.** Posterior distribution of  $\tau$ , the effective start date of NPI, is shown as a histogram of MCMC samples. Red line shows the official last NPI date  $\tau^*$ . Black line shows the estimated  $\hat{\tau}$ . Shaded area shows a 95% credible interval (area in which  $P(|\tau - \hat{\tau}| | \mathbf{X}) = 0.95$ ).

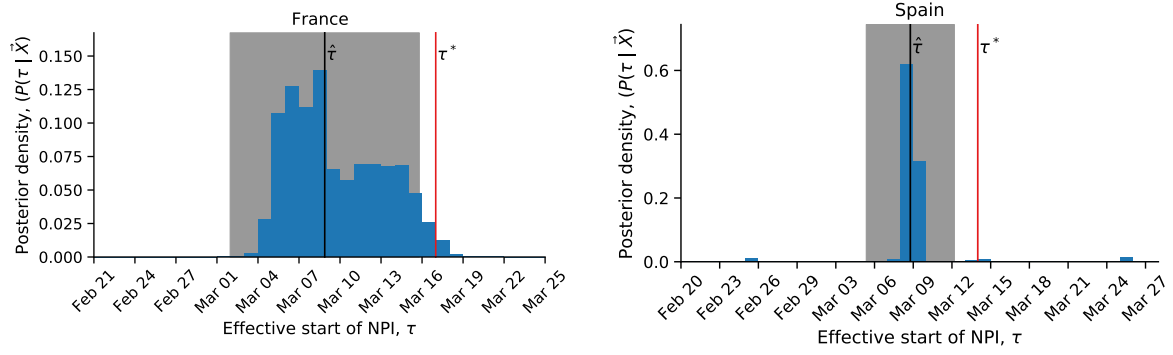
148 **Early effective start of NPIs.** In contrast, in some regions we estimate an effective start of NPIs  $\hat{\tau}$   
 149 that is *earlier* then the official date  $\tau^*$  (Figure 1). In Spain, social distancing was encouraged starting  
 150 on Mar 8<sup>6</sup>, but mass gatherings still occurred on Mar 8, including a march of 120,000 people for the  
 151 [International Women's Day](#), and a football match between [Real Betis and Real Madrid](#) (2:1) with a  
 152 crowd of 50,965 in Seville. A national lockdown was only announced on Mar 14<sup>6</sup>. Nevertheless, we  
 153 estimate the [effective start of NPI](#)  $\hat{\tau}$  at Mar 8 or 9 ( $\pm 2.96$  95%CI), rather than Mar 14 (Figure 3).

154 Similarly, in [France](#) the official lockdown started at Mar 17 ( $\tau^*$ ), with initial NPIs at Mar 13<sup>6</sup>. However,  
 155 we estimate the effective start of NPIs  $\hat{\tau}$  at Mar 8 ( $\pm 5.9$  days 95% CI). Although the credible interval is  
 156 wide, spanning from Mar 2 to Mar 13, the official lockdown start at Mar 17 is later still (Figure 3).

157 Interestingly, the effective start of NPIs  $\hat{\tau}$  in both France and Spain is estimated at Mar 8, although the  
 158 official dates are differ by three days. Moreover, the number of daily cases was similar until Mar 8 in  
 159 both countries, but diverged by Mar 13, reaching significantly higher numbers in Spain (Figure S1).  
 160 This may suggest that correlation exist between effective start in NPIs due to global or international  
 161 events.

162 **The exception that proves the rule.** We find one case in which the official and effective dates  
 163 match: Switzerland ordered a national lockdown on Mar 20, after banning public evens and closing  
 164 schools on Mar 13 and 14<sup>6</sup>. Indeed, we estimate that  $\hat{\tau}$  is [Mar 20](#), and the posterior distribution  
 165 shows two density peaks: a smaller one between Mar 10 and Mar 14, and a taller one between Mar 17  
 166 and Mar 22. It's also worth mentioning that Switzerland was the first to mandate self isolation of  
 167 confirmed cases<sup>6</sup>.

168 **Effect of late and early effect of NPIs on real-time assessment.** The success of non-pharmaceutical  
 169 interventions is assessed by health officials using various metrics, such as the decline in the growth  
 170 rate of daily cases. These assessments are made a specific number of days after the intervention began,

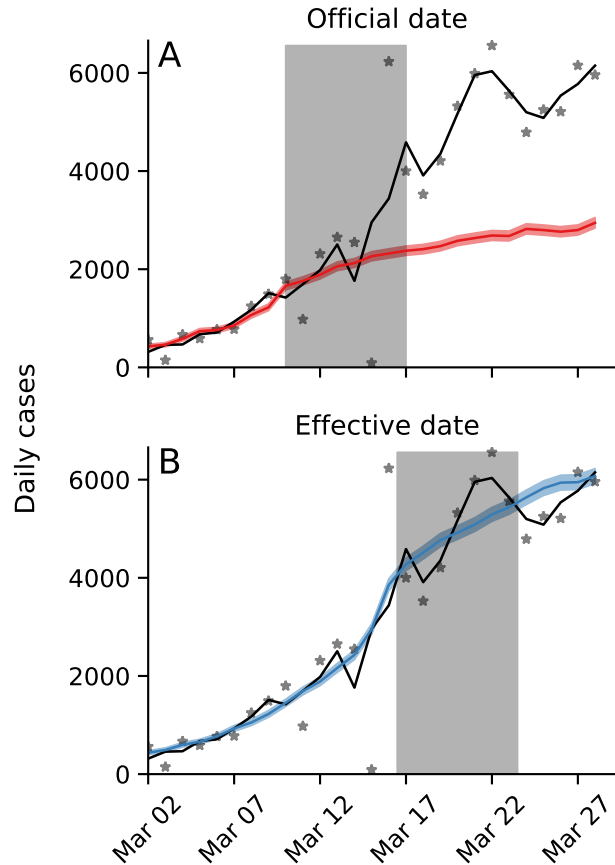


**Figure 3: Early effect of non-pharmaceutical interventions in France and Spain.** Posterior distribution of  $\tau$ , the effective start date of NPI, is shown as a histogram of MCMC samples. Red line shows the official last NPI date  $\tau^*$ . Black line shows the estimated  $\hat{\tau}$ . Shaded area shows a 95% credible interval (area in which  $P(|\tau - \hat{\tau}| | \mathbf{X}) = 0.95$ ).

171 to accommodate for the expected serial interval<sup>3</sup> (i.e. time between successive cases in a chain of  
 172 transmission), which is estimated at about 4-7 days<sup>8</sup>.

173 However, a significant difference between the beginning of the intervention and the effective change  
 174 in transmission rates can invalidate assessments that assume a serial interval of 4-7 days and neglect  
 175 the late or early population response to the NPI. Such a case is illustrated in Figure 4 using data and  
 176 parameters from Italy. Here, a lockdown is officially ordered on Mar 10 ( $\tau^*$ ), but its late effect on the  
 177 transmission dynamics starts on Mar 15 ( $\hat{\tau}$ ). If health officials assume the dynamics to immediately  
 178 change at  $\tau^*$ , they will expect the number of cases to follow the dashed red line. However, the number  
 179 of cases will actually follow the black line, leading to a significant different ( $\Delta$ ) between the projections  
 180 and the realization.





**Figure 4: Late effective start of NPIs leads to under-estimation of daily confirmed cases.** Real number of daily cases in Italy in black (markers: data, line: time moving average). Model predictions, assuming a 50% decrease in transmission rate after the NPI starts, are shown as colored lines with 95% confidence intervals. Shaded box illustrates a serial interval of seven days. (A) Using the official date  $\tau^*$  for the start of the NPI, the model under-estimates the number of cases seven days after the start of the NPI. (B) Using the effective date  $\hat{\tau}$  for the start of the NPI, the model correctly estimates the number of cases seven days after the start of the NPI. Here, model parameters are estimates for Italy (Table 2) but with  $\lambda = 0.5$  and  $\alpha_1 = \alpha_2$ .

## Discussion

We have estimated the effective start date of NPIs in several geographical regions using an SEIR epidemiological model and an MCMC parameter estimation framework. We find examples of both late and early effect of NPIs (Figure 1).

For example, in Italy and Wuhan, China, the effective start of the lockdowns seems to have occurred 3-5 after the official date (Figure 2). This could be explained by low compliance. In Italy, for example, a leak about the intent to lockdown Northern provinces results in people leaving those provinces<sup>8</sup>. However, late effect of NPIs could also be due to the time required by both the government and the citizens to organize for a lockdown.

In contrast, in most investigated countries, such as Spain and France, transmission rates seem to have been reduced even before official lockdowns were implemented (Figure 3). This early response is possibly due to adoption of social distancing and similar behavioral adaptations in parts of the population, maybe in response increased risk perception due to domestic or international COVID-19-related reports. This finding may also suggest that severe NPIs, such as lockdowns, were unnecessary, and that milder measures that were adopted by the population, possibly due to government recommendations, media coverage, and social networks, could have been sufficient for epidemic control. check if this is

197 **true** Indeed, the evidence supports a change in transmission dynamics (i.e. a model with  $\tau$ ) even for  
198 Sweden, in which a lockdown was not implemented, suggesting that lockdowns may not be necessary  
199 if other NPIs are adopted early enough during the outbreak<sup>3</sup> (Sweden banned public events on Mar 12,  
200 encouraged social distancing on Mar 16, and closed schools on Mar 18<sup>6</sup>.)

201 Attempts to assess the effect of NPIs<sup>3,6</sup> generally assume a 7 day delay between the implementation  
202 of the intervention and the observable change in dynamics, due to the characteristic serial interval of  
203 COVID-19<sup>8</sup>. However, the late and early effects we have estimated can confuse these assessments and  
204 lead to wrong conclusions about the effects of NPIs (Figure 4).

205 We have found that the evidence supports a model in which the parameters change at a specific  
206 time point  $\tau$  over a model without such a change-point. It may be interesting to investigate if the  
207 evidence favors a model with *two* change-points, rather than one. Two such change-points could reflect  
208 escalating NPIs (e.g. school closures followed by lockdowns), a mix of NPIs and changes in weather,  
209 a mix of domestic and international effects on risk perception, or other similar factors.

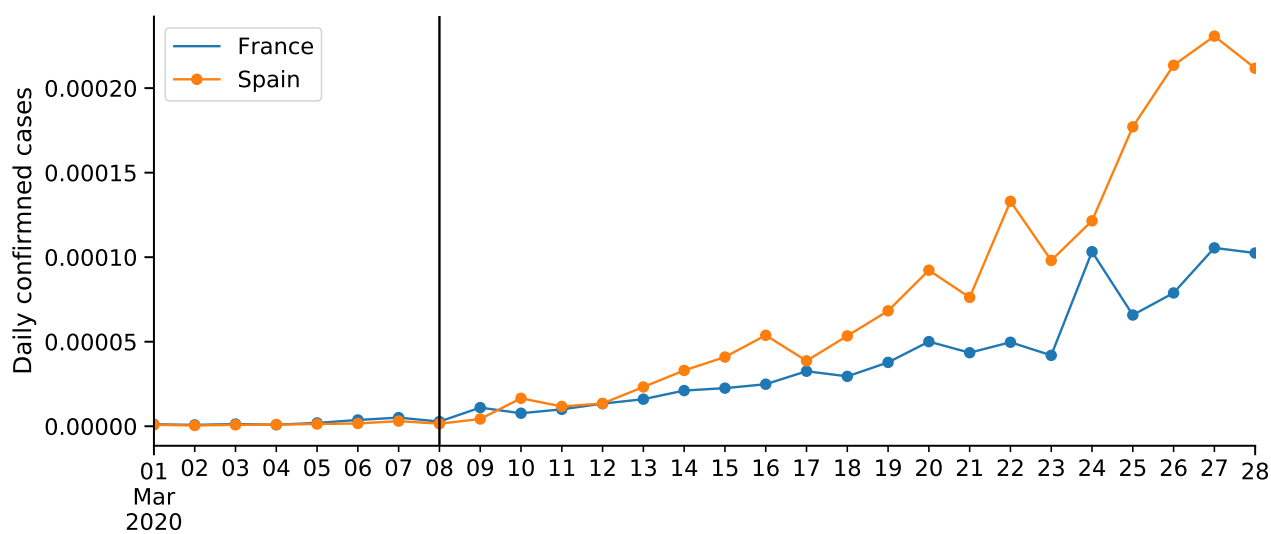
210 As several countries (e.g. Austria, Israel) begin to relieve lockdowns and ease restrictions, we expect  
211 similar delays and advances to occur: in some countries people will begin to behave as if restrictions  
212 were eased even before the official date, and in some countries people will continue to self-restrict  
213 even after restrictions are officially removed.

214 **Conclusions.** We have estimated the effective start date of NPIs and found that they often differ  
215 from the official dates. Our results emphasize the complex interaction between personal, regional,  
216 and global determinants of behavioral. Thus, our results highlight the need to further study variability  
217 in compliance and behavior over both time and space. This can be accomplished both by surveying  
218 differences in compliance within and between populations<sup>2</sup>, and by incorporating specific behavioral  
219 models into epidemiological models<sup>1,5</sup>.

## 220 **Acknowledgements**

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**Figure S1: COVID-19 confirmed cases in France and Spain.** Number of cases proportional to population size (as of 2018). Vertical line shows Mar 8, the effective start of NPIs  $\hat{t}$  in both countries.