The role of aneuploidy in the evolution of cancer drug resistance

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10 Abstract

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12 Introduction

Aneuploidy in cancer. Chromosomal instability (CIN) is the mitotic process in which cells suffer from chromosome mis-segregation that leads to aneuploidy, where cells are characterized by structural changes of the chromosomes and copy number alterations (Schukken and Foijer, 2018). Interestingly, aberrations in chromosome copy number have been shown to allow cancer cells to survive under stressful conditions such as drug therapy. Indeed, cancer cells are often likely to be aneuploid, and aneuploidy is associated with poor patient outcomes (Ben-David and Amon, 2020).

The role of chromosomal instability (CIN) in the emergence of cancer has been studied extensively in the past decades (Christine et al., 2018, Komarova et al., 2003, Michor et al., 2005, Nowak et al., 2002, Pavelka et al., 2010, Zhu et al., 2018). One hypothesis is that CIN facilitates tumor genesis by accelerating the removal of tumor suppression genes (TSG) and subsequent appearance of cancer. The deletion of tumor suppression genes can happen in two ways: two point mutations deleting both alleles of the TSG (assuming a diploid genotype), or one point mutation and one chromosomal loss event. Initial theoretical studies have shown that aneuploidy can have a significant role in the deletion of the the tumor suppressing genes when compared to two consecutive point mutations (Komarova et al., 2008, 2003, Michor et al., 2005, Nowak et al., 2002). However, when taking into account that the appearance of aneuploidy requires a mutation to trigger CIN, the probability that CIN precedes tumor genesis is highly unlikely.

Evolutionary rescue. Populations adapted to a certain environment are vulnerable to environmental changes, which might cause extinction of the population. Examples of such environmental changes include climate change, invasive species or the onset of drug therapies. Adaptation is a race against time as the population size decreases in the new environment (Tanaka and Wahl, 2022). Evolutionary rescue is the process where the population acquires a trait that increases fitness in the new environment such that extinction is averted. It is mathematically equivalent to the problem of crossing of fitness valley (Weissman et al., 2009, 2010). There are three potential ways for a population to survive environmental change: migration to a new habitat similar to the one before the onset of environmental change (Cobbold and Stana, 2020); adaptation by phenotypic plasticity without genetic modification (Carja and Plotkin, 2017, 2019, Levien et al., 2021); and adaptation through genetic modifications, e.g., mutation (Uecker and Hermisson, 2011, 2016, Uecker et al., 2014).

Models of evolutionary rescue usually assume that the fitness of the wildtype and mutant are homogeneous in time. An exception was given by Marrec and Bitbol (2020), who modeled the fitness of the wildtype and mutant as time dependent. Additionally, Uecker and Hermisson (2011) investigated the probability of fixation of a beneficial mutation in a variable environment with arbitrary time-dependent selection coefficient and population size. Most models focus on the probability that at least one mutation rescues the population. How multiple mutations contribute to the survival of the population is less explored, but Wilson et al. (2017) have shown that evolutionary rescue is significantly enhanced by soft selective sweeps when multiple mutations contribute. Evolutionary rescue that requires two successive mutations has been investigated using diffusion approximation by Martin et al. (2013).

Methods

52 Evolutionary model

We follow the number of cancer cells that have one of three different genotypes at time t: wildtype, w_t ; aneuploid, a_t ; and mutant, m_t . These cells divide and die with rates λ_k and μ_k (for k = w, a, m). The difference between the division and death rate is $\Delta_k = \lambda_k - \mu_k$. We assume the population of cells is under a strong stress, such as drug therapy, to which the wildtype genotype is susceptible and

therefore Δ_w < 0, whereas the mutant is resistant to the stress, Δ_m > 0 We analyze three scenarios:
in the first, aneuploid cells are partially resistant, Δ_m > Δ_a > 0; in the second, aneuploid cells are tolerant, 0 > Δ_a > Δ_w (see Brauner et al., 2016, for the distinction between susceptible, resistant, and tolerant); in the third, aneuploid cells are non-growing or "barely growing", that is, either slightly tolerant or slightly resistant, such that Δ_a ≈ 0. Wildtype cells may missegregate to become aneuploids at rate u. Both aneuploid and wildtype cells may mutate to become mutants at rate v (Figure 1).

Stochastic simulations

64 Simulations are performed using a *Gillespie algorithm* (Gillespie, 1976, 1977) implemented in Python (Van Rossum and Others, 2007). The simulation monitors the number of cells of each type: wildtype,

aneuploid, and mutant. The wildtype population initially consists of w_0 cells, whereas the other cell types are initially absent.

The state of the stochastic system at time t is represented by the triplet (w_t, a_t, m_t) . The following describes the events that may occur (right column), the rates at which they occur (middle column), and the effect these events have on the state (Figure 1):

(+1,0,0):
$$\lambda_w w_t$$
 (birth of wildtype cell),
(-1,0,0): $\mu_w w_t$ (death of wildtype cell),
(-1,+1,0): uw_t (wildtype cell becomes aneuploid),
(-1,0,+1): vw_t (wildtype cell becomes mutant),
(0,+1,0): $\lambda_a a_t$ (birth of aneuploid cell),
(0,-1,0): $\mu_a a_t$ (death of aneuploid cell),
(0,-1,+1): va_t (aneuploid cell becomes mutant),
(0,0,+1): $\lambda_a m_t$ (birth of mutant cell),
(0,0,-1): $\mu_a m_t$ (death of mutant cell).

Each iteration of the simulation loop starts with computing the rates ν_j of each event j. We then draw the time until the next event, Δt, from an exponential distribution whose rate parameter is the sum of the rates of all events, such that Δt ~ Exp(∑_j ν_j). Then, we randomly determine which event occurred, where the probability for event j is p_j = ν_j/∑_i ν_i. Finally, we update the number of cells of each type according to the event that occurred and update the time from t to t + Δt. We repeat these iterations until either the population becomes extinct (the number of cells of all types is zero) or the number of mutant cells is high enough so that its extinction probability is < 0.1%, that is until

$$m_t > \left[-\frac{3\log 10}{\log \left(\frac{\mu_m}{\lambda_m} \right)} \right] + 1,$$

7-leaping. When simulations are slow (e.g. due to large population size), we utilize τ -leaping (Gillespie, 2001), where change in number of cells of genotype i in a fixed time interval Δt is Poisson distributed with mean $v_i \Delta t$. If the change in number of cells is negative and larger then the subpopulation size then the subpopulation size is updated to be zero.

Density-dependent growth. In our analysis we assume that lineages produced by cells from the initial population divide and die independently of each other, which may be unrealistic, as cells usually compete for resources. A more realistic model includes competition for limited resources and spatial structure, which may play an important role in the development of cancer (e.g., Martens et al.,

96 2011). To simulate birth and death rates that depend on the number of cells in the population, we transform the rates of division and death to the following:

98
$$\lambda'_{w} = \lambda_{w},$$

$$\mu'_{w} = \mu_{w},$$
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$$\lambda'_{a} = C_{1} + (\lambda_{a} - \mu_{a}) \left(1 - \frac{w + a + m}{K}\right),$$

$$\mu'_{a} = C_{1},$$

$$\lambda'_{m} = C_{2} + (\lambda_{m} - \mu_{m}) \left(1 - \frac{w + a + m}{K}\right),$$

$$\mu'_{m} = C_{2},$$

where $C_1, C_2 > 0$ are constants and K is the maximum carrying capcity.

Code and data availability.

106 All source code is available online at https://github.com/yoavram-lab/EvolutionaryRescue.

Results

108 Survival probability

To analyze evolutionary rescue in this model, we use the framework of *multitype branching processes*110 (Harris et al., 1963, Rybnikov et al., 2021). This allows us to find explicit expressions for the *survival probability*: the probability that a lineage descended from a single cell does not become extinct.

Let p_w, p_a, and p_m be the survival probabilities of a population consisting initially of single wildtype cell, aneuploid cell, or mutant cell, respectively. The complements 1 – p_w, 1 – p_a, and 1 – p_m
 are the extinction probabilities, which satisfy each its respective equation,

$$1 - p_{w} = \frac{\mu_{w}}{\lambda_{w} + \mu_{w} + u + v} + \frac{u}{\lambda_{w} + \mu_{w} + u + v} (1 - p_{a}) + \frac{\lambda_{w}}{\lambda_{w} + \mu_{w} + u + v} (1 - p_{w})^{2} + \frac{v}{\lambda_{w} + \mu_{w} + u + v} (1 - p_{m}),$$

$$1 - p_{a} = \frac{\mu_{a}}{\lambda_{a} + \mu_{a} + v} + \frac{v}{\lambda_{a} + \mu_{a} + v} (1 - p_{m}) + \frac{\lambda_{a}}{\lambda_{a} + \mu_{a} + v} (1 - p_{a})^{2},$$

$$1 - p_{m} = \frac{\mu_{m}}{\lambda_{m} + \mu_{m}} + \frac{\lambda_{m}}{\lambda_{m} + \mu_{m}} (1 - p_{m})^{2}.$$

$$(2)$$

The survival probabilities are given by the smallest solution for each quadratic equation (Uecker et al., 2015). Therefore we have

$$p_{w} = \frac{\lambda_{w} - \mu_{w} - u - v + \sqrt{(\lambda_{w} - \mu_{w} - u - v)^{2} + 4\lambda_{w} (up_{a} + vp_{m})}}{2\lambda_{w}},$$

$$p_{a} = \frac{\lambda_{a} - \mu_{a} - v + \sqrt{(\lambda_{a} - \mu_{a} - v)^{2} + 4\lambda_{a}vp_{m}}}{2\lambda_{a}},$$

$$p_{m} = \frac{\lambda_{m} - \mu_{m}}{\lambda_{m}}.$$

$$(3)$$

Note that the equation for p_w depends on both p_a and p_m , and the equation for p_a depends on p_m . To proceed, we can plug the solution for p_m and p_a into the solution for p_w . We perform this for three different scenarios.

122 Scenario 1: Aneuploid cells are partially resistant

We first assume that an euploidy provides partial resistance to drug therapy, $\lambda_a > \mu_a$, and that this resistance is significant, $(\lambda_a - \mu_a - v)^2 > 4\lambda_a v p_m$. We thus rewrite eq. (3) as

$$p_{w} = \frac{\lambda_{w} - \mu_{w} - u - v}{2\lambda_{w}} \left(1 - \sqrt{1 + \frac{4\lambda_{w} \left(v p_{m} + u p_{a}\right)}{\left(\lambda_{w} - \mu_{w} - u - v\right)^{2}}} \right), \text{ and}$$

$$p_{a} = \frac{\lambda_{a} - \mu_{a} - v}{2\lambda_{a}} \left(1 + \sqrt{1 + \frac{4\lambda_{a} v p_{m}}{\left(\lambda_{a} - \mu_{a} - v\right)^{2}}} \right).$$

Using the quadratic Taylor expansion $\sqrt{1+x} = 1 + x/2 + O(x^2)$ and assuming $u, v \ll 1$, we obtain the following approximation for the survival probability of a population initially consisting of a single wildtype cell,

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$$p_{w} \approx -\frac{vp_{m} + up_{a}}{\lambda_{w} - \mu_{w} - u - v}$$

$$\approx -\frac{1}{\lambda_{w} - \mu_{w}} \left[\frac{u(\lambda_{a} - \mu_{a})}{\lambda_{a}} + \frac{uv(\lambda_{m} - \mu_{m})}{\lambda_{m}(\lambda_{a} - \mu_{a})} + \frac{v(\lambda_{m} - \mu_{m})}{\lambda_{m}} \right]$$
132
$$(5)$$

Second-order approximation. To improve our approximation, we can consider the second term of the Taylor series expansion,

$$\left(1 + \frac{4\lambda_a v p_m}{(\lambda_a - \mu_a - v)^2}\right)^{\frac{1}{2}} = 1 + \frac{2\lambda_a v p_m}{(\lambda_a - \mu_a - v)^2} - \frac{(\lambda_a v p_m)^2}{4(\lambda_a - \mu_a - v)^4} + \cdots,$$

136 which gives us the following approximation,

$$p_a \approx \frac{\lambda_a - \mu_a - \nu}{\lambda_a} + \frac{\nu p_m}{\lambda_a - \mu_a - \nu} - \frac{\lambda_a (\nu p_m)^2}{8 (\lambda_a - \mu_a - \nu)^3}.$$
 (6)

138 We therefore have

$$p_{w} \approx -\frac{1}{\lambda_{w} - \mu_{w} - u - v} \left[\frac{u \left(\lambda_{a} - \mu_{a} - v\right)}{\lambda_{a}} + \frac{uv \left(\lambda_{m} - \mu_{m}\right)}{\lambda_{m} \left(\lambda_{a} - \mu_{a} - v\right)} + \frac{v \left(\lambda_{m} - \mu_{m}\right)}{\lambda_{m}} - \frac{uv^{2} \lambda_{a} \left(\lambda_{m} - \mu_{m}\right)^{2}}{8\lambda_{m}^{2} \left(\lambda_{a} - \mu_{a} - v\right)^{3}} \right]$$

$$1 \left[u \left(\lambda_{a} - \mu_{a}\right) \quad uv \left(\lambda_{m} - \mu_{m}\right) \quad v \left(\lambda_{m} - \mu_{m}\right) \quad uv^{2} \lambda_{a} \left(\lambda_{m} - \mu_{m}\right)^{2} \right]$$

 $140 \qquad \approx -\frac{1}{\lambda_w - \mu_w} \left[\frac{u \left(\lambda_a - \mu_a \right)}{\lambda_a} + \frac{u v \left(\lambda_m - \mu_m \right)}{\lambda_m \left(\lambda_a - \mu_a \right)} + \frac{v \left(\lambda_m - \mu_m \right)}{\lambda_m} - \frac{u v^2 \lambda_a \left(\lambda_m - \mu_m \right)^2}{8 \lambda_m^2 \left(\lambda_a - \mu_a \right)^3} \right], \tag{7}$

and using $\Delta_k = \lambda_k - \mu_k$, we can write the above equation as

$$p_w \approx -\frac{1}{\Delta_w} \left(\frac{u\Delta_a}{\lambda_a} + \frac{uv\Delta_m}{\lambda_m \Delta_a} + \frac{v\Delta_m}{\lambda_m} - \frac{uv^2\lambda_a \Delta_m^2}{8\lambda_m^2 \Delta_a^3} \right). \tag{8}$$

Scenario 2: Aneuploid cells are tolerant.

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We now assume that an euploidy provides tolerance to drug therapy, that is, the number of an euploid cells significantly declines over time, but at a lower rate than the number of wildtype cells, $\lambda_w - \mu_w <$

146 $\lambda_a - \mu_a < 0$. We also assume that the decline are significant, $(\lambda_a - \mu_a - v)^2 > 4\lambda_a v p_m$. We rewrite eq. (3) as

$$p_{w} = \frac{\lambda_{w} - \mu_{w} - u - v}{2\lambda_{w}} \left(1 - \sqrt{1 + \frac{4\lambda_{w} \left(v p_{m} + u p_{a} \right)}{\left(\lambda_{w} - \mu_{w} - u - v \right)^{2}}} \right), \text{ and}$$

$$p_{a} = \frac{\lambda_{a} - \mu_{a} - v}{2\lambda_{a}} \left(1 - \sqrt{1 + \frac{4\lambda_{a} v p_{m}}{\left(\lambda_{a} - \mu_{a} - v \right)^{2}}} \right). \tag{9}$$

Since $u, v \ll 1$, the term in the root can be approximated using a 1st-order Taylor expansion. So, substituting the expressions for p_a and p_m , we have

$$p_{w} \approx -\frac{vp_{m} + up_{a}}{\lambda_{w} - \mu_{w} - u - v}$$

$$\approx \frac{1}{\lambda_{w} - \mu_{w} - u - v} \left[\frac{uv \left(\lambda_{m} - \mu_{m}\right)}{\lambda_{m} \left(\lambda_{a} - \mu_{a} - v\right)} - \frac{v \left(\lambda_{m} - \mu_{m}\right)}{\lambda_{m}} \right]$$

$$\approx \frac{v \left(\lambda_{m} - \mu_{m}\right)}{\lambda_{m} \left(\lambda_{w} - \mu_{w}\right)} \left[\frac{u}{\left(\lambda_{a} - \mu_{a}\right)} - 1 \right]$$

$$(10)$$

152 Scenario 3: Aneuploid cells are non-growing

We now assume that the growth rate of an euploid cells is close to zero (either positive or negative), such that $(\lambda_a - \mu_a - v)^2 < 4\lambda_a v p_m$. We rewrite eq. (3) as

$$p_a = \frac{\lambda_a - \mu_a - \nu + 2\sqrt{\lambda_a \nu p_m} \left(1 + \frac{\left(\lambda_a - \mu_a - \nu\right)^2}{4\lambda_a \nu p_m}\right)^{\frac{1}{2}}}{2\lambda_a}.$$
(11)

156 Using a following Taylor series expansion

$$\left(1+\frac{\left(\lambda_a-\mu_a-\nu\right)^2}{4\lambda_a\nu p_m}\right)^{\frac{1}{2}}=1+\frac{\left(\lambda_a-\mu_a-\nu\right)^2}{8\lambda_a\nu p_m}+\cdots,$$

158 we obtain the approximation

$$p_{a} \approx \frac{\lambda_{a} - \mu_{a} - \nu + 2\sqrt{\lambda_{a}\nu p_{m}} \left[1 + \frac{(\lambda_{a} - \mu_{a} - \nu)^{2}}{8\lambda_{a}\nu p_{m}} \right]}{2\lambda_{a}}$$

$$= \frac{\lambda_{a} - \mu_{a} - \nu + 2\sqrt{\lambda_{a}\nu p_{m}} + \frac{(\lambda_{a} - \mu_{a} - \nu)^{2}}{4\sqrt{\lambda_{a}\nu p_{m}}}}{2\lambda_{a}}$$

$$= \frac{\left(\lambda_{a} - \mu_{a} - \nu + 2\sqrt{\lambda_{a}\nu p_{m}}\right)^{2} + 4\lambda_{a}\nu p_{m}}{8\lambda_{a}\sqrt{\lambda_{a}\nu p_{m}}}$$

$$= \frac{4\lambda_{a}\nu p_{m} + 4\lambda_{a}\nu p_{m} \left(1 + \frac{\lambda_{a} - \mu_{a} - \nu}{2\sqrt{\lambda_{a}\nu p_{m}}}\right)^{2}}{8\lambda_{a}\sqrt{\lambda_{a}\nu p_{m}}}$$

$$= \frac{1}{2\lambda_{a}} \left(\lambda_{a} - \mu_{a} - \nu + 2\sqrt{\lambda_{a}\nu p_{m}}\right).$$
(12)

Plugging this in eq. (10), the survival probability of a population starting from one wildtype individual is

$$p_{w} \approx -\frac{1}{\lambda_{w} - \mu_{w} - u - v} \left[v \frac{\lambda_{m} - \mu_{m}}{\lambda_{m}} + \frac{u}{2\lambda_{a}} \left(\lambda_{a} - \mu_{a} - v + 2\sqrt{\lambda_{a}vp_{m}} \right) \right]$$

$$= -\frac{1}{\lambda_{w} - \mu_{w} - u - v} \left[v \frac{\lambda_{m} - \mu_{m}}{\lambda_{m}} + \frac{u}{2\lambda_{a}} \left(\lambda_{a} - \mu_{a} - v \right) + u \sqrt{\frac{v \left(\lambda_{m} - \mu_{m} \right)}{\lambda_{a}\lambda_{m}}} \right]. \tag{13}$$

Evolutionary rescue probability

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In our model, *evolutionary rescue* occurs when resistant cells appear and fixate $(m_t \gg 1)$ in the population before the population becomes extinct $(w_t = a_t = m_t = 0)$. Aneuploidy may contribute to evolutionary rescue by either preventing (when $\Delta_a > 0$) or delaying (when $0 > \Delta_a > \Delta_w$) the extinction of the population before mutant cells appear and fixate.

To estimate the rescue probability p_{rescue} , we assume independence between clonal lineages starting from an initial population of N wildtype cells (we check the effect of density-dependent growth on our results below). Thus, the rescue probability is given by

$$p_{rescue} = 1 - (1 - p_w)^N$$
 (14a)
 $\approx 1 - e^{-Np_w},$ (14b)

where the approximation $(1 - p_w) \approx e^{-p_w}$ assumes that p_w (but not Np_w) is small.

Applying the approximations for the survival probability p_w from eqs. (4), (10) and (13) in eq. (14b) and substituting $\Delta_k = \lambda_k - \mu_k$, we find that the rescue probability can be approximated by

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$$\begin{cases}
1 - \exp\left[\frac{N}{\Delta_{w} - u - v} \left(v \frac{\Delta_{m}}{\lambda_{m}} + \frac{u(\Delta_{a} - v)}{2\lambda_{a}} + u \sqrt{\frac{v\Delta_{m}}{\lambda_{a}\lambda_{m}}}\right)\right], & 4\lambda_{a}v p_{m} > (\Delta_{a} - v)^{2}, \\
1 - \exp\left[\frac{v\Delta_{m}N}{\lambda_{m}\Delta_{w}} \left(1 - \frac{u}{\Delta_{a}}\right)\right], & \Delta_{a} < 0 \text{ and } 4\lambda_{a}v p_{m} < (\Delta_{a} - v)^{2}, \\
1 - \exp\left[\frac{N}{\Delta_{w}} \left(\frac{u\Delta_{a}}{\lambda_{a}} + \frac{uv\Delta_{m}}{\lambda_{m}\Delta_{a}} + \frac{v\Delta_{m}}{\lambda_{m}}\right)\right], & \Delta_{a} > 0 \text{ and } 4\lambda_{a}v p_{m} < (\Delta_{a} - v)^{2}.
\end{cases}$$
(15)

We validate these approximations by comparing them to results of stochastic evolutionary simulations. We find that the approximations work very well (Figures 2 to 4).

Density-dependent growth. In our analysis we used branching processes, which assume that growth
 (division and death) are density-independent. However, growth may be limited by resources (oxygen, nutrients, etc.) and therefore depend on cell density. We therefore performed stochastic simulations of
 a logistic growth model with carrying capacity *K* (Methods). We find that our approximations agree with results of simulations with density-dependent growth for biologically relevant parameter values
 (Figure 4).

Standing vs. de-novo genetic variation In the above we assumed that upon beginning of drug therapy, the initial tumor consisted entirely of wildtype cells. However, aneuploid cells are likely generated even before onset of treatment at some rate $\tilde{u} \le u$ (because the treatment itself may promote generation of aneuploid cells REF), which are likely to have a deleterious effect (REF). But if the number of cells in the tumor N is large, as expected if drug treatment is applied, there may already be a fraction $f = \tilde{u}/s$ of aneuploid cells in the population, where s is the cost of aneuploidy (REF).

In this scenario, the probability of evolutionary rescue by cells with aneuploidy from the initial population is

 $p_{sgv} = 1 - (1 - p_a)^{fN} \approx 1 - e^{-fNp_a}$.

194 The total probability of evolutionary rescue is given by

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$$p_{rescue} = p_{sgv} + (1 - p_{sgv}) p_{de-novo}$$

$$= 1 - \exp\left(-\left[\left(1 - f\right) p_w + f p_a\right] N\right). \tag{16}$$

The fraction of cases in which the population is rescued by pre-existing aneuploid cells (i.e., standing genetic variation) is given by $F\left(f\right) = \frac{p_{sgv}}{p_{total}}$ (Figure 5).

Effect of aneuploidy on the probability of evolutionary rescue

To determine the extent to which an euploidy may affect evolutionary rescue, we define H to be the ratio of the rescue probability with and without an euploidy (u > 0 and u = 0, respectively),

$$H = \frac{p_{rescue}(u>0)}{p_{rescue}(u=0)}.$$
 (17)

Plugging in our approximations from eq. (14a), we have

$$H = \begin{cases} \frac{1 - \exp\left[\frac{N}{\Delta_{w} - u - v}\left(v\frac{\Delta_{m}}{\lambda_{m}} + \frac{u(\Delta_{a} - v)}{2\lambda_{a}} + u\sqrt{\frac{v\Delta_{m}}{\lambda_{a}\lambda_{m}}}\right)\right]}{1 - \exp\left[\frac{v}{\Delta_{m}N}\left(1 - \frac{u}{\Delta_{a}}\right)\right]}, & 4\lambda_{a}vp_{m} > (\Delta_{a} - v)^{2}, \\ \frac{1 - \exp\left[\frac{v\Delta_{m}N}{\lambda_{m}\Delta_{w}}\left(1 - \frac{u}{\Delta_{a}}\right)\right]}{1 - \exp\left[\frac{v\Delta_{m}N}{\lambda_{m}\Delta_{w}}\right]}, & \Delta_{a} < 0 & \text{and} & 4\lambda_{a}vp_{m} < (\Delta_{a} - v)^{2}, \\ \frac{1 - \exp\left[\frac{N}{\Delta_{w}}\left(\frac{u\Delta_{a}}{\lambda_{a}} + \frac{uv\Delta_{m}}{\lambda_{m}\Delta_{a}} + \frac{v\Delta_{m}}{\lambda_{m}}\right)\right]}{1 - \exp\left[\frac{v\Delta_{m}N}{\lambda_{m}\Delta_{w}}\right]}, & \Delta_{a} > 0 & \text{and} & 4\lambda_{a}vp_{m} < (\Delta_{a} - v)^{2}. \end{cases}$$

$$(18)$$

We find that the rescue ratio increase with the aneuploidy growth rate Δ_a , because the better 206 aneuploid cells are in growth, the better they are at rescuing the population (when they provide partial resistance) or delaying the extinction of the population (when they provide tolerance). However, the 208 rescue decreases with the wildtype growth rate Δ_w , because the better the wildtype is at growth, the less is depends on aneuploidy for rescue or delay, and the more likely it is to directly produce mutant 210 cells, rather than relying on aneuploid cells for producing mutant cells (Figure 6). The effect of the initial tumor size N is the similar to that of the wildtype growth rate. Importantly, in large tumors, the 212 ratio converges to unity, that is, aneuploidy does not affect the probability for evolutionary rescue.

Evolutionary rescue time

Even when evolutionary rescue occurs, it may take a long time. We therefore wish to estimate the mean waiting time for rescue and the effect aneuploidy may have on it. We calculate the mean time for
the appearance of the first mutant that rescues the cell population. This can occur either through the evolutionary trajectory wildtype → mutant or through the trajectory wildtype → aneuploid →
mutant. We start with the former.

Assuming no aneuploidy (u=0), we define T_1 to be the time at which the first mutant cell appears that will avoid extinction and will therefore rescue the population. Note that if extinction occurs, that is the frequency of mutants after a very long time is zero, $m_{\infty} = 0$, then it is implied that $T_1 = \infty$, and vice versa if $T_1 < \infty$ then $m_{\infty} > 0$.

The number of successful mutants generated until time t can be approximated by an inhomogeneous Poisson process with rate $R(t) = up_a w_t$, where $w_t = Ne^{\Delta_w t}$ is the number of wildtype cells at time t. Note that

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$$\int_0^t R(z)dz = up_a N \frac{\exp[\Delta_w t] - 1}{\Delta_w} \approx up_a N t, \tag{19}$$

by integrating the exponential and because $\frac{\exp[\Delta_w t] - 1}{\Delta_w} = \frac{1 + \Delta_w t + O(t^2) - 1}{\Delta_w} = t + O(t^2)$. The probability density function of T_1 is thus $R(t) \exp\left(-\int_0^t R(z) dz\right)$. Therefore, the probability density function of the conditional random variable $(T_1 \mid T_1 < \infty)$ is $f_1(t) = \frac{R(t) \exp\left(-\int_0^t R(z) dz\right)}{p_{rescue}}$.

We are interested in the mean conditional time, $\tau_1 = \mathbb{E}[T_1 \mid T_1 < \infty]$, which is given by

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$$\tau_1 = \int_0^\infty t f_1(t) dt = \frac{\int_0^\infty t R(t) \exp\left(-\int_0^t R(z) dz\right) dt}{p_{rescue}} = \frac{\int_0^\infty \exp\left(-\int_0^t R(z) dz\right) dt}{p_{rescue}}$$
(20)

after applying integration by parts. Therefore, plugging eqs. (14b) and (19) in eq. (20),

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$$\tau_1 = \frac{\int_0^\infty e^{-uNp_a} \frac{e^{\Delta_w t} - 1}{\Delta_w} dt}{1 - \left(1 - p_w\right)^N} \approx \frac{\int_0^\infty \exp\left(-up_a Nt\right) dt}{1 - e^{-Np_w}} \approx \tag{21}$$

$$\left(1 + e^{-Np_w}\right) \int_0^\infty e^{-up_a Nt} dt = \frac{1 + e^{-Np_w}}{up_a N},\tag{22}$$

- where we use the approximations $\frac{e^{\Delta_w \tau} 1}{\Delta_w} = \frac{1 + \Delta_w \tau + O(\tau^2) 1}{\Delta_w} = \tau + O(\tau^2)$ and $(1 e^{-Np_w})^{-1} \approx 1 + e^{-Np_w}$ and integrate the exponent. Figure 7B show the agreement between this approximating and simulation results for intermediate and large tumor sizes.
- When $Nu \gg 1$ the aneuploid frequency dynamics is roughly deterministic and therefore can be approximated by

$$a_t \approx \frac{Nue^{\Delta_w t}}{\Delta_w - \Delta_a} \left[1 - e^{(\Delta_w - \Delta_a)t} \right]. \tag{23}$$

As a result, when $N \gg 1$ the number of successful mutants created by direct mutation and via an an an analysis and an approximated by inhomogeneous Poisson processes with the rates

$$r_1(t) = v p_m \int_0^t a_z dz = \frac{u v N p_m}{\Delta_w - \Delta_a} \left(\frac{e^{\Delta_w t} - 1}{\Delta_w} - \frac{e^{\Delta_a t} - 1}{\Delta_a} \right), \tag{24}$$

$$r_2(t) = v p_m \int_0^t w_z dz = v N p_m \frac{e^{\Delta_w t} - 1}{\Delta_w}.$$
 (25)

For large initial population sizes we assume that the two processes are independent and as a result, they can be merged into a single Poisson process with rate $(r_1 + r_2)(t)$. Consequently, the mean time to the appearance of the first rescue mutant is

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$$\tau_{2} = \frac{\int_{0}^{\infty} e^{-(r_{1}(t)+r_{2}(t))} dt}{1 - (1 - p_{w})^{N}} = \frac{\int_{0}^{\infty} \exp\left[-\frac{uvNp_{m}}{\Delta_{w} - \Delta_{a}} \left(\frac{e^{\Delta_{w}t} - 1}{\Delta_{w}} - \frac{e^{\Delta_{a}t} - 1}{\Delta_{a}}\right) - vNp_{m} \frac{e^{\Delta_{w}t} - 1}{\Delta_{w}}\right] dt}{1 - (1 - p_{w})^{N}}, \quad (26)$$

which we plot in Figure 7A as a function of the initial population size, N.

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We wish to obtain a simpler formula for τ_2 , similar to eq. (21). We thus have the following expansions,

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$$\frac{e^{\Delta_{w}t} - 1}{\Delta_{w}} = \frac{1 + \Delta_{w}t + O(t^{2}) - 1}{\Delta_{w}} = t + O(t^{2}),$$
$$\frac{e^{\Delta_{a}t} - 1}{\Delta_{a}} = \frac{1 + \Delta_{a}t + O(t^{2}) - 1}{\Delta_{a}} = t + O(t^{2}),$$

256 which we use to derive a first-order approximation for τ_2 ,

$$\tau_2 \approx \left(1 + e^{-Np_w}\right) \int_0^\infty e^{-uNp_m t} dt = \frac{\left(1 + e^{-Np_w}\right)}{uNp_m},\tag{27}$$

- Figure 7A shows that the approximation eq. (27) has a good fit with simulation results for large initial wildtype population size (N > 2 · 10⁷). An approximation that uses the second-order terms,
 Δ²/_wt²/₂ and Δ²/_at², does not perform better (Figure 7A).
- Importantly, in Figure 7 we observe that in initially large tumors, direct mutation drives evolutionary rescue while aneuploidy plays a role for intermediate sized tumors. This is consistent with Figure 6B,D, where aneuploidy only increases the probability of evolutionary rescue in small and intermediate tumors ($N < 10^7$).

266 Effect of aneuploidy on mean evolutionary rescue time

Next, we wish to find the ratio of the mean rescue time with and without an uploidy (u > 0) and u = 0, respectively),

$$\frac{\tau_2}{\tau_1} = H^{-1} \cdot \frac{\int_0^\infty \exp\left[-\frac{uvNp_m}{\Delta_w - \Delta_a} \left(\frac{e^{\Delta_w t} - 1}{\Delta_w} - \frac{e^{\Delta_a t} - 1}{\Delta_a}\right) - vNp_m \frac{e^{\Delta_w t} - 1}{\Delta_w}\right] dt}{\int_0^\infty e^{-vNp_m \frac{e^{\Delta_w t} - 1}{\Delta_w}} dt},$$
(28)

where H is the ratio of the rescue probability with and without aneuploidy, see eq. (17). Figure 8 shows how this ratio, τ_2/τ_1 , changes as a function of the initial tumor size, N, for different aneuploid growth rates, Δ_a .

Discussion

- We have modeled a tumor—a population of cancer cells—exposed to drug therapy that causes the population to decline in size towards potential extinction. The cancer cell population can be "evolutionary
- 276 rescued", or escape extinction, by two paths. In the direct path, a sensitive cell acquires a mutation that confers resistance that allows it to rapidly grow. In the indirect path, a sensitive cell first becomes
- aneuploid, which diminishes the effect of the drug, and then an aneuploid cell acquires a mutation that confers resistance (Figure 1).
- Using multitype branching processes, we derived the probability of evolutionary rescue of the population of cancer cells under different scenarios for the effect of aneuploidy, ranging from tolerance
- to partial resistance. We obtained exact and approximate expressions for the probability of evolutionary rescue (eq. (14a)). Our results show that the probability of evolutionary rescue increases with the
- initial tumor size N, the sensitive growth rate Δ_w , the mutation rate v, and the aneuploidy rate u.
- When an euploid cells are partially resistant to the drug ($\Delta_w \ll 0 \ll \Delta_a \ll \Delta_m$), evolutionary 286 rescue can be approximated by a one-step process in which an euploidy itself rescues the population

(Figure 2). When aneuploidy only provides tolerance to the drug (Δ_w « Δ_a « 0 « Δ_m), it cannot rescue the population. Instead, it acts as a *stepping stone* through which the resistant mutant can appear more rapidly, given that the aneuploid cell population declines slower then the sensitive cell population. In this case, aneuploidy provides two benefits. First, it delays the extinction of the population–providing more time for appearance of the resistance mutation. Second, it increases the population size relative to a sensitive population–providing more cells in which mutations can occur, i.e., it increases the mutation supply, N_V.

We find that aneuploidy can have a significant effect on evolutionary rescue (Figures 6 and 8). For example, when aneuploidy cells are "barely-resistant" (they grow at a very low rate, Δ_a = 10⁻³) the probability of evolutionary rescue is 1,000-fold higher with aneuploidy than without it (for parameters previously described in cancer, see Table 1). Interestingly, aneuploidy is unlikely to contribute to evolutionary rescue in primary tumors in which the number of cells is large enough (N > 10⁷) for the appearance of resistant mutation directly in sensitive cells before these cells become extinct (Figure 6). However, aneuploidy can have a crucial role in evolutionary rescue of secondary tumors, in which the number of sensitive cells may be below the detection threshold of ~ 10⁷ (Bozic et al., 2013). Given the fact that the mean time for such secondary tumors to overcome chemotherapy can be of the order of 100 days (Figure 7), this can explain the reappearance of cancer even after initial remission. Indeed, we find that the tumor size can decrease by orders of magnitude before it is rescued (Figure 9).

We hypothesized that presence of *standing variation*—the existence of a subpopulation of aneuploid cancer cells before therapy begins—can facilitate evolutionary rescue by reducing the waiting time for the appearance of aneuploid cells. Indeed, we observe that even when a small fraction of the initial tumor is aneuploid, evolutionary rescue is more likely to occur through this existing standing variation, rather then through *de novo* aneuploid cells (Figure 5).

We have assumed that cancer cell lineages are independent of each other. However, this may not be the case, as cancer cells compete for resources (e.g., blood supply). Nevertheless, we find that when the carrying capacity if large our approximation for the probability of evolutionary rescue agrees with results of stochastic simulations with density-dependent growth Figure 4). Future work may focus on scenarios with small carrying capacity by analyzing density-dependent branching processes.

Our model predictions may be tested by experiments (Martin et al., 2013). For example, to study the effects of initial tumor size on the probability of evolutionary rescue, a large culture mass can be propagated from a single cancer cell in permissive conditions and then diluted to a range of starting tumor sizes. Afterwards, these tumors may be exposed to anti-cancer drugs that induces aneuploidy or to saline solution for control. Cell density can then be measured and compared to the predictions of our model.

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	Name	Value	Units	References		
N	Initial tumor size	$10^7 - 10^9$	cells	Del Monte (2009)		
λ_w	Wildtype division rate	0.14	1/days	(Bozic 2013)	et	al.,
μ_w	Wildtype death rate	0.17	1/days	Bozic (2013)	et	al.
λ_a	Aneuploid division rate*	0.14	1/days	-		
μ_a	Aneuploid death rate*	0.13 - 0.17	1/days	-		
λ_m	Mutant division rate	0.14	1/days	Bozic (2013)	et	al.
μ_m	Mutant death rate	0.13	1/days	Bozic (2013)	et	al.
и	Missegregation rate	$10^{-3} - 10^{-2}$	1/cell division	Bakker	et	al.
				(2023), Nowak		
				et al. (2004)		
v	Mutation rate	$10^{-7} - 10^{-9}$	1/gene/cell division	Nowak	et	al.
				(2004)		

Table 1: Model parameters. Aneuploid birth rate λ_a is set to the same value as the wildtype and mutant birth rates, λ_w and λ_m . Aneuploid death rate μ_a is set to an intermediate value between the wildtype and mutant death rates, μ_w and μ_m .

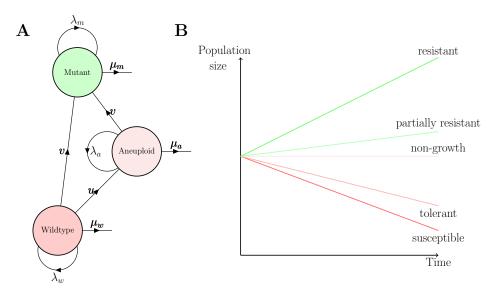


Figure 1: Model illustration. (A) A population of cancer cells is composed of wildtype, aneuploid, and mutant cells, which divide with rates λ_w , λ_a , and λ_m and die at rates μ_w , μ_a , and μ_m , respectively. Wildtype cells can become aneuploid at rate u. Both aneuploid and wildtype cells can acquire a beneficial mutation with rate v. Color denotes the relative growth rates of the three genotypes such that $\lambda_w - \mu_w < \lambda_a - \mu_a < \lambda_m - \mu_m$. (B) The wildtype and the mutant are susceptible and resistant, respectively, to the drug. The aneuploid may be tolerant, non-growing, or or partially resistant.

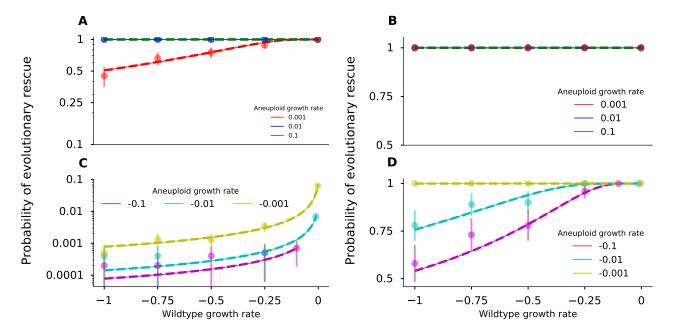


Figure 2: Evolutionary rescue probability with partially resistant or tolerant aneuploid cells. Rescue probability is very high when aneuploidy provides partial resistance ($\lambda_a = 0.01$), in an initially small tumor (\mathbf{A} , $N = 10^4$) and even more so in an initially large tumor (\mathbf{B} , $N = 10^8$). When aneuploidy provides tolerance (\mathbf{C} , $N = 10^4$; \mathbf{D} , $N = 10^8$), the rescue probability is much lower. In both scenarios, rescue probability increase with both the wildtype growth rate (x-axis) and the aneuploidy growth rate (colors). Markers represent simulation results with 95% CI; solid and dashed lines for the exact formula (eq. (3) in eq. (14a)); dashed lines for the approximate formula (eq. (15)), demonstrating that they all agree. Parameters: division rate $\lambda_w = \lambda_a = \lambda_m = 0.14$ (so that growth rate changes due to variable death rate); mutant death rate $\mu_m = 0.13$ (so that mutant growth rate $\Delta_m = 0.01$); aneuploidy rate $u = 10^{-2}$; mutation rate $v = 10^{-7}$.

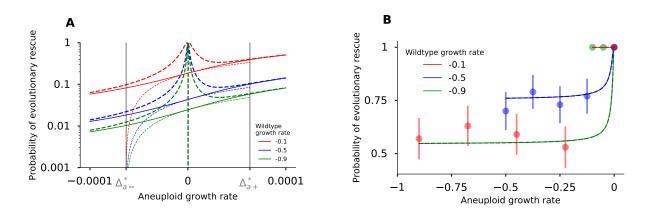


Figure 3: Evolutionary rescue probability with tolerant or non-growing aneuploid cells. Rescue probability grows with the aneuploid growth rate Δ_a (x-axis), and is much higher in an initially large tumor than in a small one (**A**: $N = 10^4$; **B**: $N = 10^8$). Markers for simulation results with 95% CI; solid lines for the exact formula (eq. (3) in eq. (14a)); dashed lines for the approximate formula (eq. (15)). The approximation agrees with the simulation and exact solution when the initial tumor size is large (panel B). When the tumor size is small (panel A), we switch between the approximation for tolerant and for non-growing aneuploid cells; the switch occurs at $\Delta_a^* = 2vp_m + v + 2\sqrt{vp_m \left(vp_m + \mu_a + v\right)}$. Parameters: $\lambda_w = \lambda_a = \lambda_m = 0.14$; $\mu_m = 0.13$; $u = 10^{-2}$; $v = 10^{-7}$.

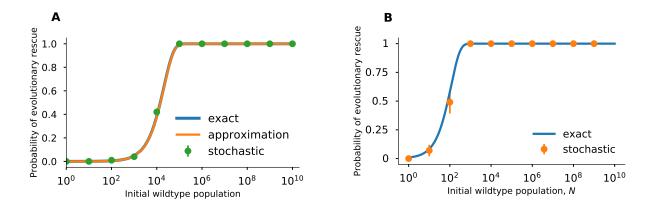


Figure 4: Evolutionary rescue probability for variable initial tumor size. (A) Comparison of simulation results (markers with 95% CI, too small to appear with 10^5 simulations per marker), the exact formula (blue line, eq. (3) in eq. (14a)) and the approximate formula (orange line, eq. (15)). (B) Comparison of results of simulations with density-dependent growth (markers with with 95% CI) and the exact formula (blue line, eq. (3) in eq. (14a)) with maximum carrying capacity $K = 10^9$. Parameters: $\lambda_w = \lambda_a = \lambda_m = 0.14$; $\mu_w = 0.17$; (A) $\mu_a = 0.15$, (B) $\mu_a = 0.135$; $\mu_m = 0.13$; $\mu_m =$

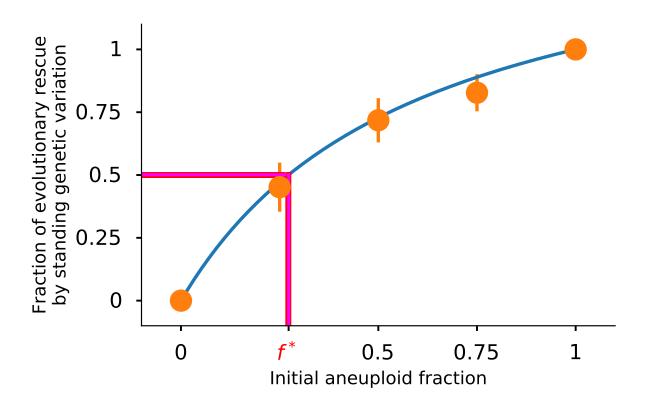


Figure 5: Effect of standing variation on evolutionary rescue. In an euploid cells already exist in the population at the onset of drug therapy as standing genetic variation, then evolutionary rescue is more likely... Parameters: $\lambda_w = \lambda_a = \lambda_m = 0.14$; $\mu_w = 0.17$; $\mu_a = 0.145$; $\mu_m = 0.13$; $\mu_m = 0.1$

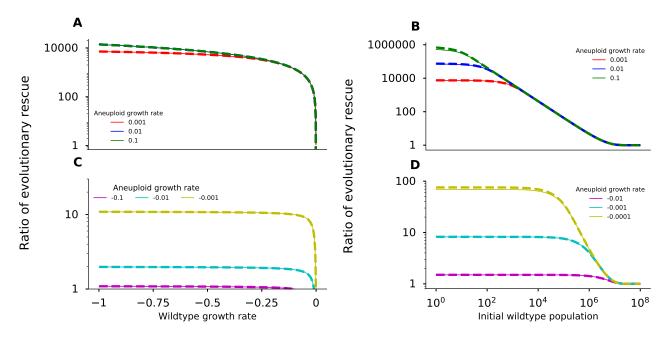


Figure 6: Effect of aneuploidy on evolutionary rescue. The ratio of rescue probability with and without aneuploid (H, eq. (18)) increases with the aneuploid growth rate (colors) and decreases with the wildtype growth rates and initial tumor size (x-axes), except for large tumors where where the ratio converges to unity. (A, B) Aneuploidy provides partial resistance. (C, D) Aneuploidy provides tolerance. Solid and dashed lines apply p_{rescue} from the exact formula of (eq. (3) in eq. (14a)); dashed lines apply p_{rescue} from the approximate formula (eq. (15)), with good agreement. Parameters: $N = 10^4$; $\lambda_w = \lambda_a = \lambda_m = 0.14$; (B) $\mu_w = 0.17$; $\mu_m = 0.13$; $\mu = 10^{-2}$; $\nu = 10^{-7}$.

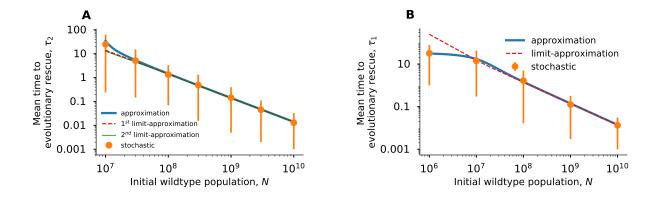


Figure 7: Evolutionary rescue time. Shown is the mean time for appearance of a resistance mutation the leads to evolutionary rescue (left) with (u > 0) and (right) without (u = 0) and an appearance of a resistance Our inhomogeneous Poisson-process approximations (solid blue lines, right: eq. (20), left: eq. (26)) is in agreement with simulation results (orange markers with 95% CI). Our 1st-order (dashed red lines, right: eq. (21), left: ??) and 2nd-order (green line, left: eq. (27)) approximations work well when the initial tumor size is large (here > 10^8 cells). Parameters: $\lambda_w = \lambda_a = \lambda_m = 0.14$; $\mu_w = 0.17$; (A) $\mu_a = 0.145$; $\mu_m = 0.13$; $\mu_a = 10^{-2}$; $\nu = 10^{-7}$.

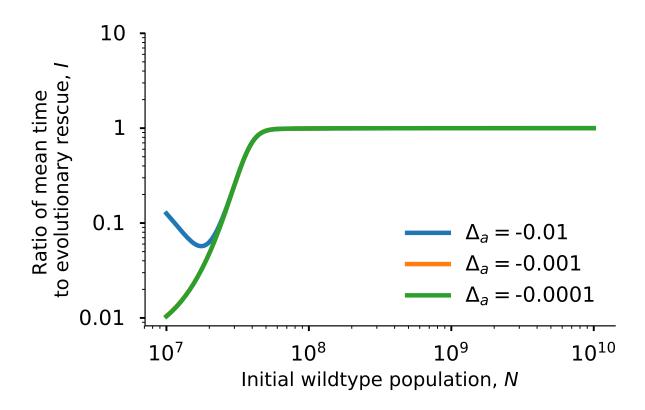


Figure 8: Ratio of evolutionary rescue time with and without aneuploidy. The ratio of the mean time to appearance of a resistance mutation that leads to evolutionary rescue with (u > 0) and without (u = 0) aneuploidy for variable initial tumor sizes (eq. (28)) when aneuploidy provides tolerance to the drug ($\Delta_a < 0$). When the initial tumor size is not large ($< 10^8$), aneuploidy can decrease the rescue time by 10-100-fold.

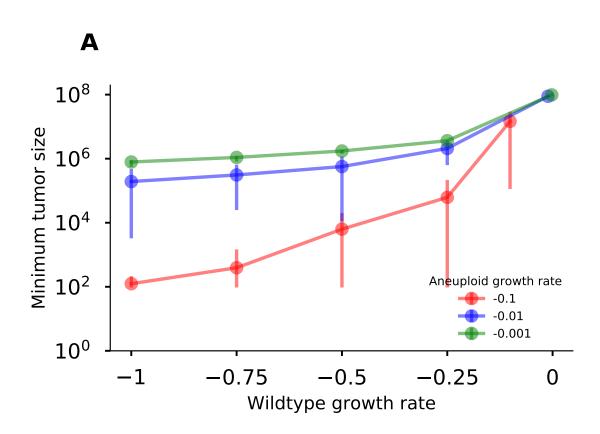


Figure 9: TODO. TODO