

Chromosomal duplication can be an evolutionary detour on the road to adaptation

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Abstract

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Introduction

Aneuploidy is an imbalance in the number of chromosomes in the cell: an incorrect karyotype. Evidence suggests aneuploidy is very common in eukaryotes, e.g. animals^{34,26,2}, and fungi^{29,56,32,46}. Aneuploidy has been implicated in cancer formation and progression^{4,36,34}. It is also common in protozoan pathogens of the *Leishmania* genus, a major global health concern²⁴, and contributes to the emergence of drug resistance³⁷ and virulence²⁵ in fungal pathogens, which are under-studied³³, despite infecting a billion people per year, causing significant morbidity in >150 million and death in >1.5 million people per year^{37,33}.

Experiments with human and mouse embryos found that aneuploidy is usually lethal. It is also associated with developmental defects and lethality in other multicellular organisms⁴⁰. For example, aneuploid mouse embryonic cells grow slower than euploid cells⁵¹. Similarly, in unicellular eukaryotes growing in benign conditions, aneuploidy usually leads to slower growth and decreased overall fitness^{27,49,29,40,18,52}, in part due to proteotoxic stress caused by increased expression in aneuploid cells^{29,35,55} and hypo-osmotic-like stress⁵⁰.

However, aneuploidy can be beneficial under stressful conditions due to the wide range of phenotypes it can produce, some of which are advantageous^{29,52}. Thus, aneuploidy can lead to rapid adaptation in unicellular eukaryotes^{13,48,15,31}, as well as to rapid growth of somatic tumour cells^{36,42}. For example, aneuploidy in *Saccharomyces cerevisiae* facilitates adaptation to a variety of stressful conditions like heat and pH⁵³, copper^{6,13}, salt⁹, and nutrient limitation^{10,14,1}, with similar results in *Candida albicans*⁵². Importantly, aneuploidy can also lead to drug resistance in pathogenic fungi such as *C. albicans*^{39,38,12} and *Cryptococcus neoformans*⁴³, which cause candidiasis and meningoencephalitis, respectively.

Experimental evidence for the role of aneuploidy in adaptive evolution was demonstrated by Yona et al.⁵³. They evolved populations of *S. cerevisiae* under strong heat stress. The populations adapted to the heat stress within 450 generations, and this adaptation was determined to be due a duplication of chromosome III. Later on, after more than 1,500 generations, the populations reverted back to an euploid state, while remaining adapted to the heat stress. The authors hypothesized that aneuploidy is a *transient adaptive solution*, because it can rapidly appear and fixate in the population under stressful conditions, and can then be rapidly lost when the cost of aneuploidy outweighs its benefit—after the stress is removed, or after "refined" beneficial mutations appear and fixate.

Here, we test the hypothesis that aneuploidy is a *transient adaptive solution*. First, we analyze previously unpublished sequencing data from the original experimental populations of Yona et al.⁵³

to assess if the aneuploid population and the evolved euploid population carry the same mutant alleles, as expected if the later is descended from the former. Second, we develop an evolutionary genetic model and fit it to the experimental results of Yona et al.⁵³ in order to predict the genotype frequency dynamics in the experimental populations, thereby estimating the frequency of evolved euploid cells that descended from aneuploid cells. Our results show that aneuploidy reached high frequencies in the experimental populations, but nevertheless, the majority of cells in the evolved euploid populations likely did not descend from aneuploid cells, but rather directly from wild-type euploid cells. These results suggest that aneuploidy can be an evolutionary detour, rather than a stepping stone, on the road to adaptation.

Results

In the heat-stress experiment of Yona et al.⁵³, four populations of *S. cerevisiae* evolved under 39 °C. Aneuploidy fixed in all four experimental repetitions in the first 450 generations. Two of the repetitions, marked *H2* and *H4*, carried no large-scale duplications other than a chromosome III trisomy. These two repetitions continued to evolve under the same conditions, wherein aneuploidy was eliminated by generation 1,700 and 2,350.

Empirical frequencies of mutant alleles. Our first test of the *transient adaptive solution hypothesis* employs previously unpublished sequencing data. For each of two evolved populations (*H2* and *H4*) we sequenced the ancestral population (generation zero), the aneuploid population (generation 450), and the evolved euploid population (generation 1,700 or 2,350) to estimate the mutant allele frequencies (??). Overall, between 100 and 173 mutant alleles were detected with at least a single read in the six population that were sampled. Disregarding 45 and 40 alleles that were present in the ancestral populations at a frequency >10%, the aneuploid and euploid populations carried a large number of mutant alleles: 82 and 95, respectively, in repetition *H2*, and 60 and 66 in repetition *H4*.

Surprisingly, out of all these mutant alleles, none was present at a frequency >20% in both the aneuploid and the evolved euploid populations. Furthermore, a high mutant allele frequency in the aneuploid population implies a low frequency in the evolved euploid population, and vice-versa (Spearman's correlation coefficient $\rho = -0.64$ and -0.66 in the two experimental repetitions; Figure 1), such that mutant alleles frequent in the aneuploid populations decreased in frequency when aneuploidy was lost. Moreover, for the 18 mutant alleles with high frequency in the aneuploid populations (>20%), the highest frequencies in the euploid populations were 15.4%, 16%, 16.3% and 19.6% (the rest were below

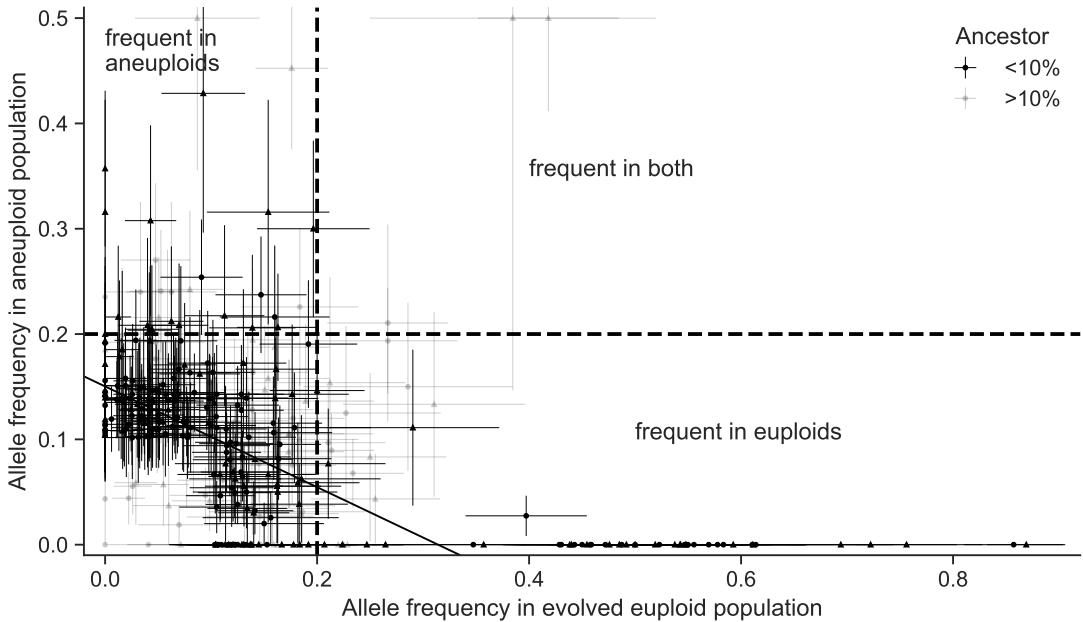


Figure 1: Frequencies of mutant alleles in the experimental populations are negatively correlated. Frequencies of mutant alleles when trisomy was widespread in the population (y-axis) and after it was eliminated (x-axis) in two experimental repetitions (circles for $H2$ and triangles for $H4$) from Yona et al.⁵³. Mutant alleles with $>20\%$ in the aneuploid population were $<20\%$ in the euploid population, and vice versa (the upper-right quadrant is empty), suggesting that the majority of evolved euploid cells did not descend from the most common aneuploid genotypes. Alleles with frequency below and above 10% in the ancestral populations are in black and gray, respectively. Solid black line is a linear orthogonal distance regression line (slope= -0.559 , intercept= 0.164 ; a regression through alleles that reach at least 20% in one of the populations has slope= -0.645 and intercept= 0.297). Dashed vertical and horizontal lines show allele frequencies 20% . Error bars show standard error of the mean accounting for the number of reads.

15%). Similarly, for the 48 mutant alleles with high frequency in the evolved euploid populations, the highest frequencies in the aneuploid populations were 2.7%, 7.7%, and 11.1% (the rest were below 1%). These results suggest evolved euploid cells are unlikely to descend from aneuploid cells.

Evolutionary genetic model. To further test the *transient adaptive solution hypothesis*, we developed an evolutionary genetic model, fitted the model to empirical data, and used it to predict the genotype frequency dynamics, or specifically, the fraction of the evolved euploid population descended from aneuploid cells.

The model includes the effects of natural selection, genetic drift, aneuploidy, and mutation, and follows a population of cells characterized by both their genotype: euploid wild-type, $2n$, is the

ancestral diploid genotype; euploid mutant, $2n^*$, has a diploid karyotype and a single beneficial mutation; aneuploid wild-type, $2n+1$, has an extra chromosome due to a chromosome duplication event; and aneuploid mutant, $2n+1^*$, has an extra chromosome and a beneficial mutation. Fitness values of the different genotypes are denoted by w_{2n} , w_{2n^*} , w_{2n+1} , and w_{2n+1^*} , and the rate of mutation and aneuploidy are denoted by μ and δ . See Figure 2 for an illustration of the model.

We fitted this model to the experimental results⁵³ – time for fixation and for loss of aneuploidy – using approximate Bayesian computation with sequential Monte Carlo (ABC-SMC)⁴⁴, thereby inferring the model parameters: rates and fitness effects of aneuploidy and mutation. We then sampled posterior predictions for the genotype frequency dynamics using the estimated parameter values and compared different versions of the model to test additional hypotheses about the evolutionary process.

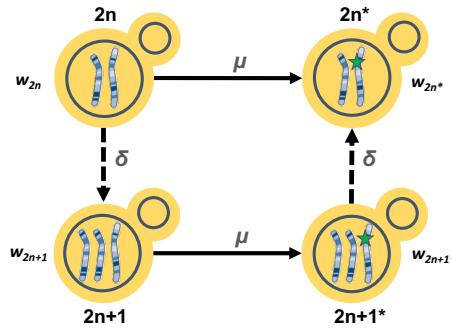


Figure 2: Model Illustration. There are four genotypes in our model: euploid wild-type, $2n$; euploid mutant, $2n^*$; aneuploid wild-type, $2n+1$; and aneuploid mutant, $2n+1^*$. Overall there are two possible trajectories from $2n$ to $2n^*$. Arrows denote transitions between genotypes, with transition rates μ for the beneficial mutation rate and δ for the aneuploidy rate.

Estimated rates and fitness effects of aneuploidy and mutation. We inferred the posterior distribution of model parameters (Figure 3). We report parameter estimates using the MAP (maximum a posteriori) and providing the 50% HDI (highest density interval) in square brackets. See *Supporting material* for sensitivity analysis.

The estimated mutation rate, $\mu = 2.965 \cdot 10^{-6}$ [$2.718 \cdot 10^{-7} - 3.589 \cdot 10^{-6}$], corresponds to a mutation target size of $\sim 10^4$, assuming the mutation rate per base pair is roughly $2 \cdot 10^{-10}$ (ref.⁵⁷) or $3.3 \cdot 10^{-10}$ (ref.²³). The estimated aneuploidy rate, $\delta = 1.72 \cdot 10^{-3}$ [$1.47 \cdot 10^{-3} - 2.786 \cdot 10^{-3}$] is much higher than in previous studies: for chromosome III in diploid *S. cerevisiae*, Zhu et al.⁵⁷ estimated $6.7 \cdot 10^{-6}$ chromosome gain events per generation, and Kumaran et al.²² estimate $3.0 - 4.3 \cdot 10^{-5}$ chromosome loss events per generation (95% confidence interval). The estimated fitness values are $w_{2n+1} = 1.022$ [$1.021 - 1.023$], $w_{2n+1^*} = 1.025$ [$1.024 - 1.026$], $w_{2n^*} = 1.028$ [$1.026 - 1.029$], all

relative to the fitness of $2n$, which is set to $w_{2n} = 1$. Thus, we can infer that the cost of trisomy is $c = w_{2n^*} - w_{2n+1^*} = 0.003$ (or 0.3%) and the benefit of trisomy is $w_{2n+1} - 1 - c = 0.019$ (1.9%), whereas the benefit of beneficial mutation is $w_{2n^*} - 1 = 0.028$ (2.8%).

If we allow for transitions (mutation, chromosome loss and gain) to less-fit genotypes (e.g., $2n^*$ to $2n+1^*$), then we infer similar but slightly different values, see Supplementary Material.

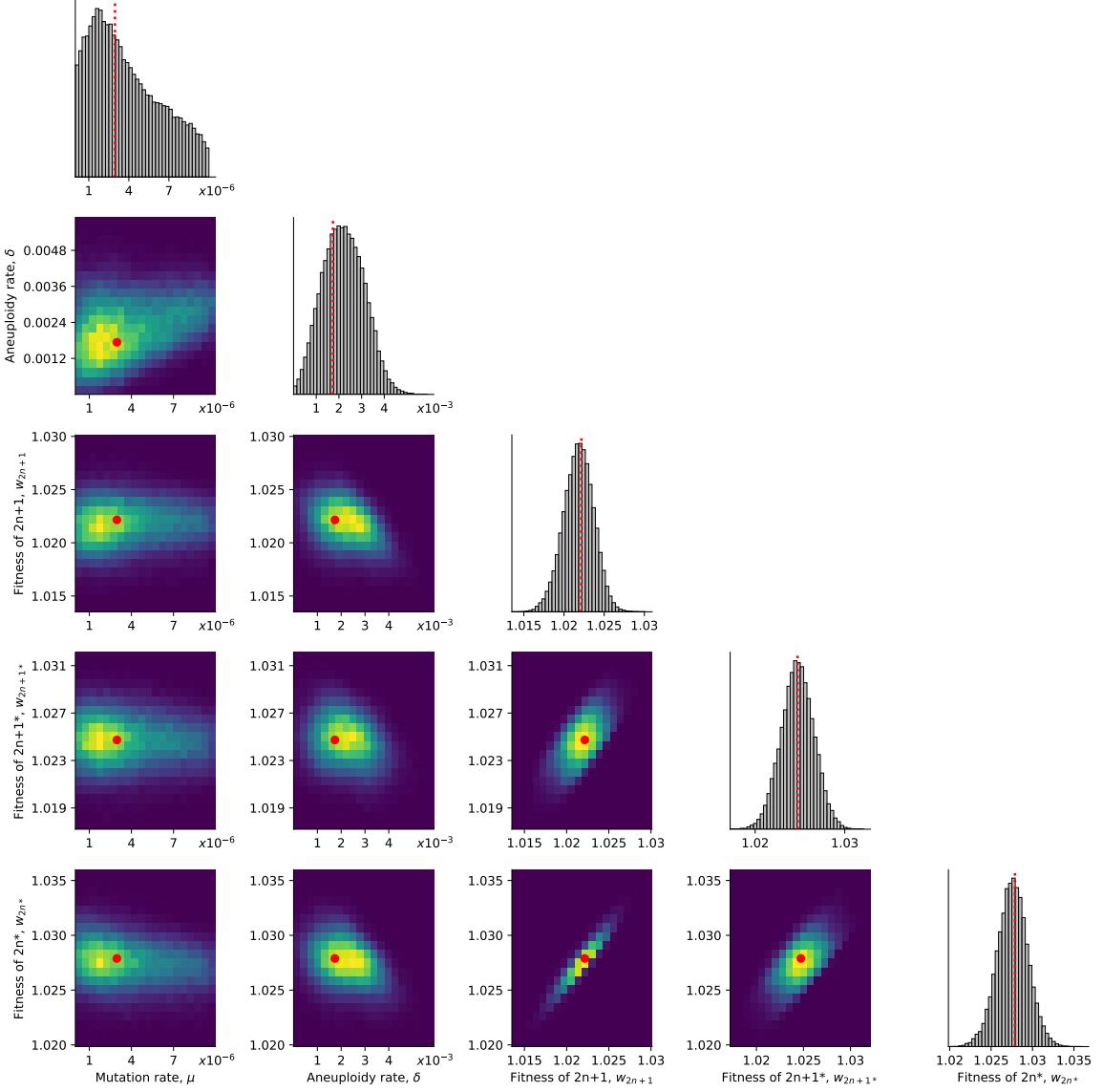


Figure 3: Posterior distribution of model parameters. On the diagonal, the marginal posterior distribution of each model parameter. Below the diagonal, the joint posterior distribution of pairs of model parameters (dark purple and bright yellow for low and high density, respectively). Red markers and orange lines for the joint MAP estimate (which may differ from the marginal MAP, as the marginal distribution integrates over all other parameters).

Model comparison and goodness-of-fit. Our model fits the data well: in simulations using the MAP parameter estimates, $2n^*$ fixed in 61% of simulations by generation 1,700 and in 100% of simulations by generation 2,350 (Figure 4B).

However, a model without aneuploidy (where the aneuploidy rate is fixed at zero, $\delta = 0$), fails to explain the experimental observations (Figure 4). The estimated mutation rate without aneuploidy is $\mu = 7.98 \cdot 10^{-9}$ [$7.906 \cdot 10^{-9} - 8.138 \cdot 10^{-9}$], much lower compared to a model with aneuploidy and suggesting a target size of just 40. The fitness of the mutant is also much lower at $w_{2n^*} = 1.013$ [$1.012 - 1.013$]. This is because, without aneuploidy, a high mutation rate or fitness effect will lead to faster appearance and fixation of $2n^*$ than in the experimental observations. Even with these lower estimates, the model fit is worse than that of a model with aneuploidy (Figure 4).

We also checked a model in which aneuploidy occurs but is adaptively neutral compared to the wild-type, that is, $w_{2n+1} = w_{2n}$ and $w_{2n+1^*} = w_{2n^*}$ but $\delta > 0$. This model fits the data better than the model with no aneuploidy (in which $\delta = 0$), but worse than a model with positive selection for aneuploidy, in which $w_{2n} < w_{2n+1} < w_{2n+1^*} < w_{2n^*}$ (Figure 4).

Model predictions of genotype frequency dynamics. We simulated 50 replicate genotype frequency dynamics using the MAP estimate parameters. Figure 5A shows the simulated frequencies of the four genotypes ($2n$, $2n+1$, $2n+1^*$ and $2n^*$), as well as the frequencies of $2n^*$ cells that arose from either $2n+1$ cells via a sequences of mutation and chromosome loss events ($2n_A^*$), or directly from $2n$ cells via a mutation event ($2n_M^*$). We find that $2n+1^*$ never reaches substantial frequency as it is quickly replaced by $2n^*$ in a process similar to *stochastic tunneling*^{16,21}.

We tested the *transient adaptive solution hypothesis* by estimating F_A , the expected frequency of $2n^*$ that arose from $2n+1$, computed as the average frequency of such cells at the end of simulations using the MAP estimate parameters. Surprisingly, we observe that the majority of $2n^*$ cells are a product of a direct mutation in $2n$ cells, rather than descending from $2n+1$ cells ($F_A^{MAP} = 0.106$, Figure 5A). This is despite the fact that the $2n+1$ genotype reaches high frequencies in the population (at least 0.98, Figure 5A).

This result is not unique to the MAP parameter estimate. We simulated genotype frequency dynamics using parameter samples from the posterior distribution (Figure 3), and computed the posterior distribution of F_A (Figure 5B). The mean F_A was just 0.1673 [0.0154-0.370 95% CI] and only in 489 of 100,000 posterior samples (0.489%) F_A was larger than 0.5, that is, $2n^*$ was more likely to arise from an aneuploid cell (see Supporting Material for results when transitions to less-fit genotypes are

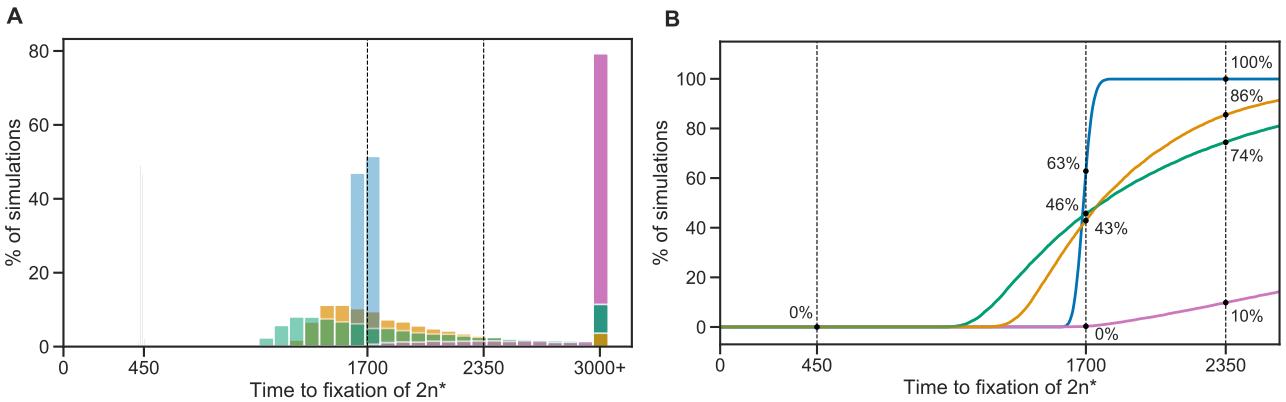


Figure 4: Model fit with and without aneuploidy. The distribution of time to fixation of $2n^*$ (i.e., adaptation time) in 10,000 simulations using MAP parameters of the model with beneficial aneuploidy (blue; $\delta > 0$, $w_{2n} < w_{2n+1} < w_{2n+1}^* < w_{2n}^*$) compared to alternative models: a model with the same parameter values but without aneuploidy (gray, $\delta = 0$, concentrated at $t = 450$); a model fitted to the data assuming no aneuploidy (green, $\delta = 0$); a model fitted to the data assuming neutral aneuploidy (yellow, $\delta > 0$, $w_{2n+1} = w_{2n}$, $w_{2n+1}^* = w_{2n}^*$); and a model with beneficial aneuploidy and an extended prior distribution (pink). In the experiment by Yona et al.⁵³, one population lost aneuploidy by generation 1,700 and another by generation 2,350 (dashed lines) but not before generation 450. Thus, the blue distribution has a better fit compared to the other distributions (the gray distribution has a particularly poor fit). The MAP likelihood (eq. (4)) is 0.84, 0.78, 0.67, and 0.14 for the models represented by blue, yellow, green, and pink distributions, respectively. **(A)** Histogram of the time to fixation of $2n^*$. The last bin contains all values equal or greater than 3,000. **(B)** Cumulative distribution of the time to fixation.

allowed, such as $2n^*$ to $2n+1^*$). Thus, if we sample a random cell from the evolved $2n^*$ population, it is more likely to have descended directly from an euploid cell than from an aneuploid cell. The probability of $2n^*$ descending from $2n+1$ (F_A) increases with the aneuploidy rate, δ , and decreases with the mutation rate, μ , and in some cases can also be affected by the fitness parameters (Figure 5C and Figure S10).

Genetic instability in aneuploid cells. It has been suggested that aneuploidy increased genetic instability⁴¹. Therefore, we inferred model parameters under the assumption that the mutation rate increases in aneuploid cells by a factor $\tau = 1, 33/32$ (due to an additional chromosome), 2, 5, 10, or 100 (due to genetic instability). We found that the posterior distribution was similar for $\tau = 1, 33/32, 2$, and 5 (Figure S4). With $\tau = 100$, the estimated mutation rate was about 7-8-fold lower compared to $\tau = 1$ ($\mu = 4.094 \cdot 10^{-7}$ [$6.252 \cdot 10^{-8} - 6.046 \cdot 10^{-7}$]) and the aneuploidy rate was about 2-3-fold lower ($\delta = 0.744 \cdot 10^{-3}$ [$0.506 \cdot 10^{-3} - 1.827 \cdot 10^{-3}$]). With $\tau = 10$, the estimated mutation rate

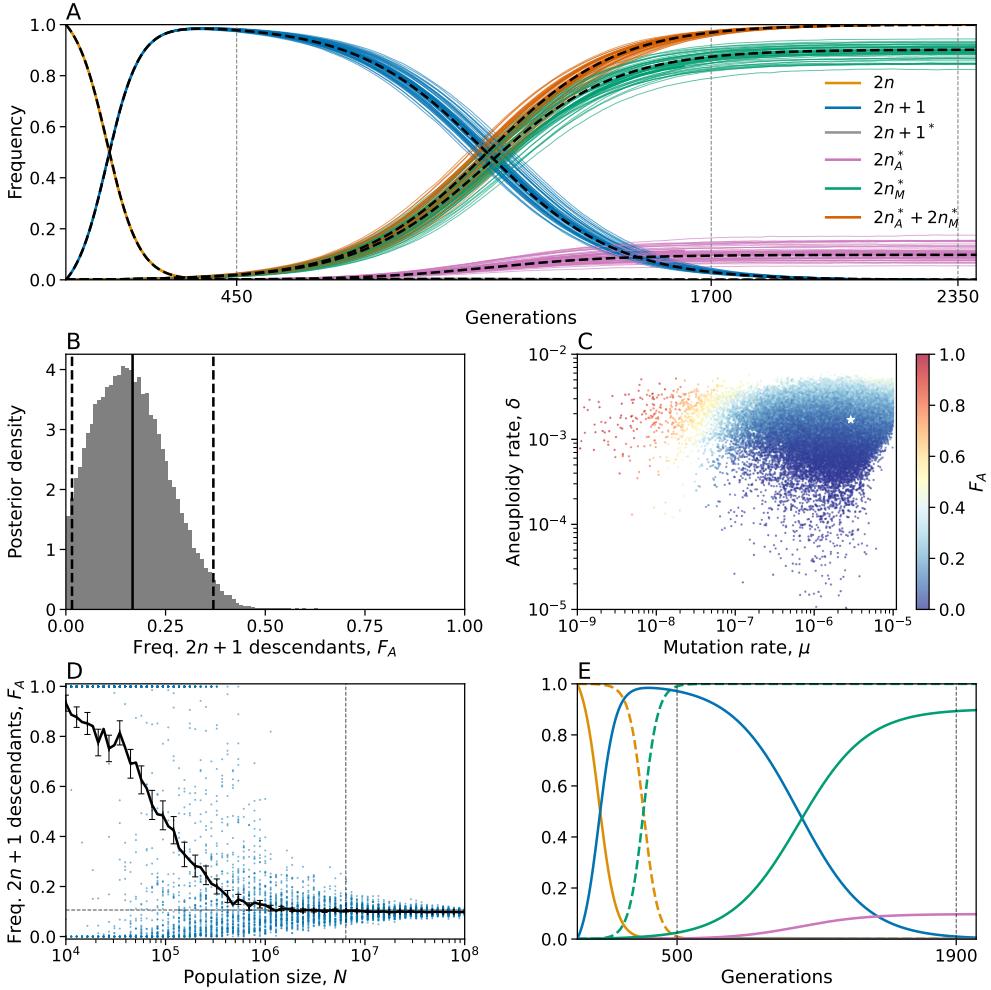


Figure 5: Predicted frequency of aneuploid-descended cells. (A) Posterior predicted genotype frequencies over time, including the source of $2n^*$: $2n_A^*$ arose from $2n + 1$, whereas $2n_M^*$ arose directly from $2n$. Colored curves are 50 simulations using the MAP estimate parameters. Black dashed curves are the expected genotype frequencies without genetic drift (from a deterministic model). See Figure S9 for log-log scale, in which the sequence of events is easier to observe. (B) Posterior distribution of F_A , the expected frequency of $2n^*$ cells descended from $2n+1$ cells, computed as the average frequency at the end of 100 simulations for 100,000 samples from the parameter posterior distribution. Solid and dashed lines show the mean and 95% CI. (C) F_A values (color coded) from panel B, with their corresponding mutation rate μ on x-axis and aneuploidy rate δ on the y-axis. White star shows the MAP estimate. See also Figure S10. (D) F_A as a function of the population size, N , in posterior predictions with MAP parameters. Markers show F_A in 250 simulations per population size. Error bars show mean F_A with 95% CI (bootstrap, $n = 10,000$). Vertical dashed line for population size in the experiment, $6.425 \cdot 10^6$. Horizontal line for $F_A^{MAP} = 0.106$. (E) Expected genotype frequencies (without drift) using MAP estimate parameters. Solid lines for dynamics with aneuploidy, where $2n^*$ fixates (frequency >99%) at generation 1,900. Dashed lines for dynamics without aneuploidy ($\delta = 0$), where $2n^*$ fixates much earlier, at generation 500.

was only slightly lower compared to $\tau = 1$ ($\mu = 1.67 \cdot 10^{-6}$ [$2.836 \cdot 10^{-8} - 2.245 \cdot 10^{-6}$]). WAIC (lower is better, see Methods) is lowest for $\tau = 33/32$ and $\tau = 1$ (Table S1). Therefore, evidence does not support an increase in mutation rate in aneuploid cells, and moreover, unless the increase is strong ($\tau \geq 10$), it does not seem to affect our inference. We also checked the differences in genotype frequency dynamics for different τ values. We observe $\tau = 100$ could be distinguished if accurate data was available for the waiting time until the frequency of $2n$ to decrease below 95% (Figure S5A) or for waiting time for the frequency of $2n+1$ to either reach or go below 95% (Figure S5B).

Discussion

In a landmark study on the role of chromosome duplication in adaptive evolution, Yona et al.⁵³ found that a chromosome III trisomy was acquired by *S. cerevisiae* populations evolving under heat stress, only to be later replaced by euploid mutant cells that carry "refined" solutions to the stress. Additionally, such a replacement also occurred when they initiated evolutionary experiments with a population in which all cells carry a chromosome III trisomy. They hypothesized that these evolved euploid cells were a consequence of mutations in genes that confer heat resistance, followed by reversion of trisomy by a chromosome loss event.

If indeed the evolved euploid population is descended from the aneuploid population, then mutant alleles that were common in the aneuploid populations should also be common in the evolved euploid population. However, we found that this is not the case (Figure 1): mutant allele frequencies in the aneuploid and euploid populations are negatively correlated, such that common alleles in the former are rare in the latter. Furthermore, we developed an evolutionary genetic model of adaptive evolution by aneuploidy and mutation (Figure 2), fitted it to the experimental results of Yona et al.⁵³, and used it to predict the genotype frequency dynamics. The model predicted that only about 10% of the evolved euploid population descended from aneuploid cells—that is, the majority of the euploid population are not descended from aneuploid cells, but rather are direct descendants of the ancestral population (Figure 5).

This happens despite aneuploidy reaching a high frequency in the population (>95%). Conventional wisdom might suggest that once the aneuploid genotype $2n+1$ reaches high frequency, it will have a better chance at producing "refined" solutions via mutations, and its descendants will come to dominate the population: the frequency $2n_A^*$ (which arises from $2n+1^*$) will be higher than the frequency of $2n_M^*$ (which arises directly from $2n$). So how does $2n_M^*$ prevail? At the first stage, $2n+1$ cells appear and reach a high frequency due to their advantage under heat. Accordingly, $2n+1^*$ cells appear more

frequently than $2n_M^*$. However, the fitness advantage of a "refined" mutation that emerges in the $2n+1^*$ genotype is hindered by the cost of aneuploidy as well as the extra waiting time needed to eliminate the extra copy of the chromosome by a chromosome loss event. Although such chromosome loss occurs fast relative to mutation, it still leaves a time window for $2n_M^*$ to arise and reach high frequencies. Indeed, we estimate that the advantage of $2n+1^*$ over $2n+1$ is similar to the advantage of $2n^*$ over $2n+1^*$ (about 0.3%), and that the rate of chromosome loss is roughly $1 - 3 \cdot 10^{-3}$.

Rate and fitness effect of aneuploidy and mutation. We inferred the rates and fitness effects of aneuploidy and mutation in a previously published evolutionary experiment in which yeast populations adapted to heat stress⁵³. We estimate that the aneuploidy rate (i.e., number of chromosome gains per generation) is $1.7 \cdot 10^{-3}$, is higher than a previous estimate of $6.7 \cdot 10^{-6}$ ⁵⁶. In addition, we find no evidence for increased mutation rates in aneuploid cells. Previous empirical studies have suggested that genetic instability (e.g., elevated mutation rates) in aneuploid cells is due to stress associated with the aneuploid state^{3,5,54}. However, in the experiment of Yona et al.⁵³, both the wild-type and the aneuploid were under heat stress, which may explain why we did not find evidence for an increased mutation rate.

Conclusions. Here, we tested the hypothesis that aneuploid cells are an evolutionary adaptive intermediate between wild-type euploid cells and mutant euploid cells. Our results suggest this is only true at the population level, as the population does go from euploid to aneuploid and back—but not at the individual level: we estimate that only about 10-15% of the euploid cells descended from aneuploid cells, whereas the rest are direct descendent of the wild-type euploid cells.

Models and Methods

DNA sequencing. BLA BLA BLA

Evolutionary genetic model. We model the evolution of a population of cells using a Wright-Fisher model²⁸, assuming a constant effective population size N , non-overlapping generations, and including the effects of natural selection, genetic drift, aneuploidy, and mutation. We focus on beneficial genetic modifications, neglecting the effects of deleterious and neutral mutations or karyotypic changes. The model allows for a single aneuploid karyotype (e.g., chromosome III duplication) and a single mutation to accumulate in the genotype. Thus, the model follows four genotypes (Figure 2): euploid wild-type,

$2n$, the initial genotype; euploid mutant, $2n^*$, with the standard karyotype and a single beneficial mutation; aneuploid wild-type, $2n+1$, with an extra chromosome, i.e., following chromosome duplication; and aneuploid mutant, $2n+1^*$, with an extra chromosome and a beneficial mutation.

Transitions between the genotypes occur as follows (Figure 2): Beneficial mutations from $2n$ to $2n^*$ and from $2n+1$ to $2n+1^*$ occur with probability μ , the mutation rate. We neglect back-mutations (i.e., from $2n^*$ to $2n$ and from $2n+1^*$ to $2n+1$). Aneuploidy is formed by chromosome mis-segregation, so that cells transition from $2n$ to $2n+1$ and from $2n+1^*$ to $2n^*$ with probability δ , the aneuploidy rate. That is, we assume chromosomes are gained and lost at the same rate, and we neglect events that form a less-fit genotype (i.e., $2n+1$ to $2n$ and $2n^*$ to $2n+1^*$).

In the experiment by Yona et al.⁵³, the population was grown every day from $1.6 \cdot 10^6$ cells until reaching stationary phase and then diluted 1:120. Thus, we set the population size to $N = 6.425 \cdot 10^6$, the harmonic mean of $\{2^k \cdot 1.6 \cdot 10^6\}_{k=0}^7$ ⁸. The initial population has N cells with genotype $2n$. The effect of natural selection on the frequency f_i of genotype $i = 2n, 2n + 1, 2n + 1^*,$ or $2n^*$ is given by

$$f_i^s = \frac{f_i w_i}{\bar{w}}, \quad (1)$$

where w_i is the fitness of genotype i and $\bar{w} = \sum_j f_j w_j$ is the population mean fitness. The effect of mutation and aneuploidy on genotype frequencies is given by

$$\begin{aligned} f_{2n}^m &= (1 - \delta - \mu) f_{2n}^s, \\ f_{2n+1}^m &= \delta f_{2n}^s + (1 - \mu) f_{2n+1}^s, \\ f_{2n+1^*}^m &= \mu f_{2n+1}^s + (1 - \delta) f_{2n+1^*}^s, \\ f_{2n^*}^m &= \mu f_{2n}^s + \delta f_{2n+1}^s + f_{2n^*}^s. \end{aligned} \quad (2)$$

Finally, random genetic drift is modeled using a multinomial distribution²⁸,

$$\mathbf{f}' \sim \frac{1}{N} \cdot \text{Mult}(N, \mathbf{f}^m), \quad (3)$$

where $\mathbf{f}^m = (f_{2n}^m, f_{2n+1}^m, f_{2n+1^*}^m, f_{2n^*}^m)$ are the frequencies of the genotypes after mutation and aneuploidy, \mathbf{f}' are the genotype frequencies in the next generation, and $\text{Mult}(N, \mathbf{f})$ is a multinomial distribution parameterized by the population size N and the genotype frequencies \mathbf{f} . Overall, the change in genotype frequencies from one generation to the next is given by the transformation $f_i \rightarrow f'_i$.

Empirical data for model inference. We use the results of evolutionary experiments reported by Yona et al.⁵³. In their heat-stress experiment, four populations of *S. cerevisiae* evolved under 39 °C. Aneuploidy fixed in all four population in the first 450 generations (hereafter, fixation or elimination

of a genotype *by generation t* means that more than 95% or less than 5% of the population carry the genotype at generation t , and possibly earlier). From re-analysis of data not published in the original paper, aneuploidy did not fix before at least 200 generations elapsed. The experiment continued with two populations, in which aneuploidy was eliminated by generation 1,700 and 2,350 while still under the same conditions of elevated heat (39 °C).

Likelihood function. Because our model, just like the Wright-Fisher model, is non-linear and stochastic, computing the distribution of fixation time $T(g)$ of genotype g for use in the likelihood function is intractable (it is even hard to use a diffusion-equation approximation due to the model having multiple genotypes, rather than just two). We overcome this problem by approximating the likelihood using simulations. We simulate 1,000 experiments per parameter vector $\theta = (\mu, \delta, s, b, c)$, resulting in a set of simulated observations $\tilde{\mathbf{X}} = \{\tilde{X}_i\}_{i=1}^{1000}$. We then compute the approximate likelihood,

$$\begin{aligned} \mathcal{L}(\theta) = P^4(200 \leq T(2n+1) \leq 450) \cdot & \left[1 - \right. \\ & P_{\tilde{\mathbf{X}}}^4(\{\{T(2n^*) < 1700\} \mid 200 \leq T(2n+1) \leq 450\}) - \\ & P_{\tilde{\mathbf{X}}}^4(\{\{1700 < T(2n^*) < 2350\} \mid 200 \leq T(2n+1) \leq 450\}) + \\ & \left. P_{\tilde{\mathbf{X}}}^4(\{\{T(2n^*) < 1700\} \wedge \{\{1700 < T(2n^*) < 2350\} \mid 200 \leq T(2n+1) \leq 450\}\}) \right], \end{aligned} \quad (4)$$

where $\{\dots\}$ is the "logical not" operator, $P^4(\dots)$ is the 4th power of $P(\dots)$, and all probabilities $P_{\tilde{\mathbf{X}}}(\dots)$ are approximated from the results of the simulations $\tilde{\mathbf{X}}$. For example, $P_{\tilde{\mathbf{X}}}(\{\{T(2n^*) < 1700\} \mid 200 \leq T(2n+1) \leq 450\})$ is approximated by taking simulations in which $2n+1$ fixed before generation 450 but not before generation 200, and computing the fraction of such simulations in which $2n^*$ did not fix by generation 1,700, and hence aneuploidy did not extinct before generation 1,700. Figure S1 compares results with less and more simulated experiments, demonstrating that 1,000 simulations are likely sufficient.

For a model without aneuploidy (that is, when the aneuploidy rate is fixed at zero, $\delta = 0$), we disregard the increased expression in chromosome III and the growth advantage measured in generation 450, and focus on the growth advantage measured in later generations, presumably due to a beneficial mutation. Therefore, the likelihood is approximated by

$$\begin{aligned} \mathcal{L}_!(\theta) = 1 - P_{\tilde{\mathbf{X}}}^4(\{\{T(2n^*) < 1700\}\}) - \\ P_{\tilde{\mathbf{X}}}^4(\{\{1700 < T(2n^*) < 2350\}\}) + \\ P_{\tilde{\mathbf{X}}}^4(\{\{T(2n^*) < 1700\} \wedge \{\{1700 < T(2n^*) < 2350\}\}) . \end{aligned} \quad (5)$$

Parameter inference. To infer model parameters, we use approximate Bayesian computation with a sequential Monte-Carlo scheme, or ABC-SMC⁴⁴, implemented in the `pyABC` Python package²⁰ pyabc.readthedocs.io. This approach uses numerical stochastic simulations of the model to infer a posterior distribution over the model parameters. It is a method of likelihood-free, simulation-based inference⁷, that is, for estimating a posterior distribution when a likelihood function cannot be directly computed. It is therefore suitable in our case, in which the likelihood function can only be approximated from simulations, and cannot be directly computed.

The ABC-SMC algorithm employs sequential importance sampling over multiple iterations^{47,19,45}. In iteration t of the algorithm, a set of parameter vectors, $\{\theta_{i,t}\}_{i=1}^{n_t}$, also called *particles*, are constructed in the following way. A proposal particle, θ^* , is sampled from a proposal distribution, and is either accepted or rejected, until n_t particles are accepted. The number of particles, n_t , is adapted at every iteration t using the adaptive population strategy²⁰ pyabc.readthedocs.io. For $t = 0$, the proposal particle is sampled from the prior distribution, $p(\theta)$. For $t > 0$, the proposal particle is sampled from the particles accepted in the previous iteration, $\{\theta_{i,t-1}\}_{i=1}^{n_{t-1}}$, each with a probability relative to its weight $W_{t-1}(\theta_{i,t-1})$ (see below). The proposal particle is then perturbed using a kernel perturbation kernel, $K_t(\theta^* | \theta)$ where θ is the sample from the previous iteration. Then, a set of synthetic observations $\tilde{\mathbf{X}}^*$ is simulated, and the proposal particle θ^* is accepted if its approximate likelihood (eq. (4)) is high enough, $\mathcal{L}(\theta^*) > 1 - \epsilon_t$ (or more commonly, if $1 - \mathcal{L}(\theta^*) < \epsilon_t$), where $\epsilon_t > 0$ is the *acceptance threshold*, as higher values of ϵ_t allow more particles to be accepted. The acceptance threshold ϵ_t is chosen as the median of the $1 - \mathcal{L}(\theta)$ of the particles accepted in the previous iteration, $t - 1$, and $\epsilon_0 = 0.01$. For each accepted particle $\theta_{i,t}$ a weight $W_t(\theta_{i,t})$ is assigned: for $t = 0$, $W_0(\theta_{i,0}) = 1$, and for $t > 0$, $W_t(\theta_{i,t}) = p(\theta_{i,t}) / \sum_{i=1}^{n_{t-1}} W_{t-1}(\theta_{i,t-1}) K_t(\theta_{i,t}, \theta_{i,t-1})$, where $p(\theta)$ is the prior density of θ and $K_t(\theta', \theta)$ is the probability of a perturbation from θ to θ' . $K_t(\theta' | \theta)$ is a multivariate normal distribution, fitted at iteration t to the particles from the previous iteration, $\{\theta_{i,t-1}\}_{i=1}^{n_{t-1}}$, and their weights, $\{W(\theta_{i,t-1})\}_{i=1}^{n_{t-1}}$.

Acceptance is determined according to the approximate likelihood (eq. (4)), which has a maximum value of $\mathcal{L}_{max} = 0.875$ (giving a minimal value of $\epsilon_{min} = 0.125$). We terminated the inference iterations when the change in ϵ value from one iteration to the next was small. With our standard prior and model, we reached $\epsilon = 0.13$ (or $\mathcal{L} = 0.87$) after six iterations, with $n_6 = 982$ accepted parameter vectors and effective sample size ESS=651 (Figure S2). Running the inference algorithm with different initialization seeds and less or more simulations for approximating the likelihood produced similar posterior distributions (Figure S1).

After producing a set of weighted particles from the the posterior distribution using the above ABC-

SMC algorithm, we approximate the posterior using kernel density estimation (KDE) with Gaussian kernels. We truncate the estimated posterior to avoid positive posterior density for values with zero prior density. The MAP (maximum a posteriori) estimate is computed as the the maximum of the estimated joint posterior density. We then draw 5,000,000 samples from the posterior distribution to compute the HDI (highest density interval) and draw 50,000 samples to visualize the posterior distribution with histograms.

Model comparison. We examine several versions of our evolutionary models, e.g. without aneuploidy or with increased mutation rate in aneuploid cells, as well as several different prior distributions (see below). To compare these, we plot posterior predictions: for each model we execute 10,000 simulations using the MAP parameter estimates and plot the distributions of time to fixation of $2n^*$, one of key properties of the model likelihood. These plots visualize the fit of each model to the data. Also, for similar models we plot the marginal and joint posterior distributions of the parameters; if these are similar, we consider the models interchangeable. We validate this by comparing HDI (highest density interval) of posterior distributions.

Where posterior plots are very similar and the number of parameters is the same, we use WAIC, or the widely applicable information criterion¹¹, defined as

$$WAIC(\theta) = -2 \log \mathbb{E}[\mathcal{L}(\theta)] + 2\mathbb{V}[\log \mathcal{L}(\theta)] \quad (6)$$

where θ is a parameter vector, and $\mathbb{E}[\cdot]$ and $\mathbb{V}[\cdot]$ are the expectation and variance taken over the posterior distribution, which in practice are approximated using 50,000 samples from the posterior KDE. We validated that upon resampling WAIC values do not significantly change and that differences in WAIC between models are preserved. WAIC values are scaled as a deviance measure: lower values imply higher predictive accuracy¹⁷.

Prior distributions. We used informative prior distributions for $w_{2n+1} = 1 - c + b$, $w_{2n+1^*} = (1+s)(1-c)+b$ and $w_{2n^*} = 1+s$, which we estimated from growth curves data from mono-culture growth experiments previously reported by Yona et al.⁵³, Figs. 3C, 4A, and S2. We used Curveball, a method for predicting results of competition experiments from growth curve data³⁰ curveball.yoavram.com. Briefly, Curveball takes growth curves of two strains growing separately in mono-culture and predicts how they would grow in a mixed culture, that is, it predicts the results of a competition assay. From these predictions, relative fitness values can be computed. Because Curveball uses a maximum-likelihood approach to estimate model parameters, we were able to estimate a distribution of relative fitness

values to be used as a prior distribution by sampling 10,000 samples from a truncated multivariate normal distribution defined by the maximum-likelihood covariance matrix (Figure S3).

We used growth curves of $2n$ and $2n+1$ in 39 °C to estimate an informative prior distribution for w_{2n+1} (Figure S3-D, assuming $w_{2n} = 1$). In this prior distribution, we used the same prior for w_{2n+1*} and w_{2n*} . To increase computational efficiency, we also assumed $w_{2n*} > w_{2n+1*} > w_{2n+1} > w_{2n}$; running the inference without this assumption produced similar results. See *supporting material* for an extended informative prior distribution that uses growth curves of $2n^*$ and $2n+1$ growing in 39 °C; this prior distribution proved to be less useful.

As a control, we tested an uninformative uniform prior with $U(1, 6)$, for (i) all w_{2n+1} , w_{2n+1*} , w_{2n*} , or (ii) only for w_{2n+1*} , w_{2n*} , using the above informative prior for w_{2n+1} . In these cases the inference algorithm failed to converge.

For the mutation rate, μ , and aneuploidy rate, δ , we used uninformative uniform priors, $\mu \sim U(10^{-9}, 10^{-5})$ and $\delta \sim U(10^{-6}, 10^{-2})$. A wider mutation rate prior, $\mu \sim U(10^{-9}, 10^{-3})$, produced similar results.

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Supplementary Material

Supplementary Analysis

Sensitivity analysis. Changing a single parameter while keeping the rest fixed at the MAP estimate produces a worse fit to the data (Figure S6). Furthermore, we fitted models with a mutation rate fixed at $\mu=10^{-5}$, 10^{-6} and 10^{-7} . We inferred similar parameters estimates for the model with $\mu = 10^{-6}$ compared to the model with a free μ parameter, in which the inferred mutation rate is $\mu \approx 3 \cdot 10^{-6}$. Models with $\mu=10^{-5}$ and $\mu=10^{-7}$ inferred different parameters estimates, including higher aneuploidy rate and lower fitness values for $2n+1$ and $2n^*$ (Figure S7).

Extended informative prior distribution. In an extended informative prior distribution, we used additional growth curves of $2n^*$ (*refined* strain from Yona et al.⁵³) and $2n+1$ in 39 °C to estimate w_{2n^*}/w_{2n+1} (Figure S3L). The same distribution was used for w_{2n^*}/w_{2n+1*} . Thus, our main informative prior uses a single prior distribution for fitness values of $2n+1$, $2n+1^*$, and $2n^*$, whereas the extended informative prior uses one distribution for $2n+1$, and another distribution for both $2n+1^*$ and $2n^*$.

We estimated the parameters under this extended informative prior. Inference took much longer to run but the posterior distribution seemed to converge, as it did not change much in the final iterations. The posterior predictive plot shows that inference with this extended prior produces a posterior distribution that fails to explain the empirical observations (pink in Figure 4). However, the inferred posterior distribution is considerably narrower (compare Figures 3 and S8) and therefore parameter estimates are less variable. The estimated mutation rate was much lower compared to the main informative prior, with $\mu = 2.475 \cdot 10^{-9}$ [$2.419 \cdot 10^{-9} - 2.609 \cdot 10^{-9}$]. Other parameter estimates are: $\delta = 2.707 \cdot 10^{-3}$ [$2.093 \cdot 10^{-3} - 3.092 \cdot 10^{-3}$], $w_{2n+1} = 1.022$ [$1.021 - 1.024$], $w_{2n+1*} = 1.029$ [$1.027 - 1.03$], $w_{2n^*} = 1.03$ [$1.029 - 1.031$]. Notably, the maximum posterior ratio $w_{2n^*}/w_{2n+1} = 1.007$ is much lower than the maximum prior ratio of 1.033 (Figure S3H) and closer to the ratio of 1 that we assume in our standard prior. Together with the posterior predictive results, we conclude that the main informative prior is preferable over the extended informative prior.

Supplementary Results

We also estimated the parameters of a version of the model that includes transitions (mutation, chromosome loss and gain) to less-fit genotypes (e.g., $2n^*$ to $2n+1^*$),

$$\begin{aligned} f_{2n}^m &= (1 - \delta - \mu)f_{2n}^s + \delta f_{2n+1}^s + \mu f_{2n^*}^s, \\ f_{2n+1}^m &= \delta f_{2n}^s + (1 - \delta - \mu)f_{2n+1}^s + \mu f_{2n+1^*}^s, \\ f_{2n+1^*}^m &= \mu f_{2n+1}^s + (1 - \delta - \mu)f_{2n+1^*}^s + \delta f_{2n^*}^s, \\ f_{2n^*}^m &= \mu f_{2n}^s + \delta f_{2n+1^*}^s + (1 - \delta - \mu)f_{2n^*}^s. \end{aligned} \tag{7}$$

The inferred values are slightly different. The estimated mutation rate, $\mu = 1.036 \cdot 10^{-7}$ [$8.01 \cdot 10^{-8} - 1.339 \cdot 10^{-7}$], corresponds to a mutation target size of $\sim 300 - 500$, assuming the mutation rate per base pair is roughly $2 \cdot 10^{-10}$ (ref.⁵⁷) or $3.3 \cdot 10^{-10}$ (ref.²³). The estimated aneuploidy rate, $\delta = 2.358 \cdot 10^{-4}$ [$1.766 \cdot 10^{-4} - 2.837 \cdot 10^{-4}$] is 5-35-fold higher than in previous studies: for chromosome III in diploid *S. cerevisiae*, Zhu et al.⁵⁷ estimated $6.7 \cdot 10^{-6}$ chromosome gain events per generation, and Kumaran et al.²² estimate $3.0 - 4.3 \cdot 10^{-5}$ chromosome loss events per generation (95% confidence interval). The estimated fitness values are $w_{2n+1} = 1.024$ [1.023 – 1.025], $w_{2n+1^*} = 1.025$ [1.024 – 1.026], $w_{2n^*} = 1.032$ [1.031 – 1.033], all relative to the fitness of $2n$, which is set to $w_{2n} = 1$. Thus, we can infer that the cost of trisomy is $c = w_{2n^*} - w_{2n+1^*} = 0.007$ (or 0.7%) and the benefit of trisomy is $w_{2n+1} - 1 - c = 0.017$ (1.7%), whereas the benefit of beneficial mutation is $w_{2n^*} - 1 = 0.032$ (3.2%).

We simulated genotype frequency dynamics using parameter samples from the posterior distribution, and computed the posterior distribution of F_A . The mean F_A in this case is just 0.0189 [0.0004 - 0.1214 95% CI], lower than without the transitions to less-fit genotypes. Here, F_A is the sum of frequencies of both $2n_A^*$ and $2n + 1_A^*$, which reaches a frequency of 0.0007. Out of 100,000 posterior samples, none had F_A above 0.05 (i.e., 5% of the population).

Supplementary Figures & Tables

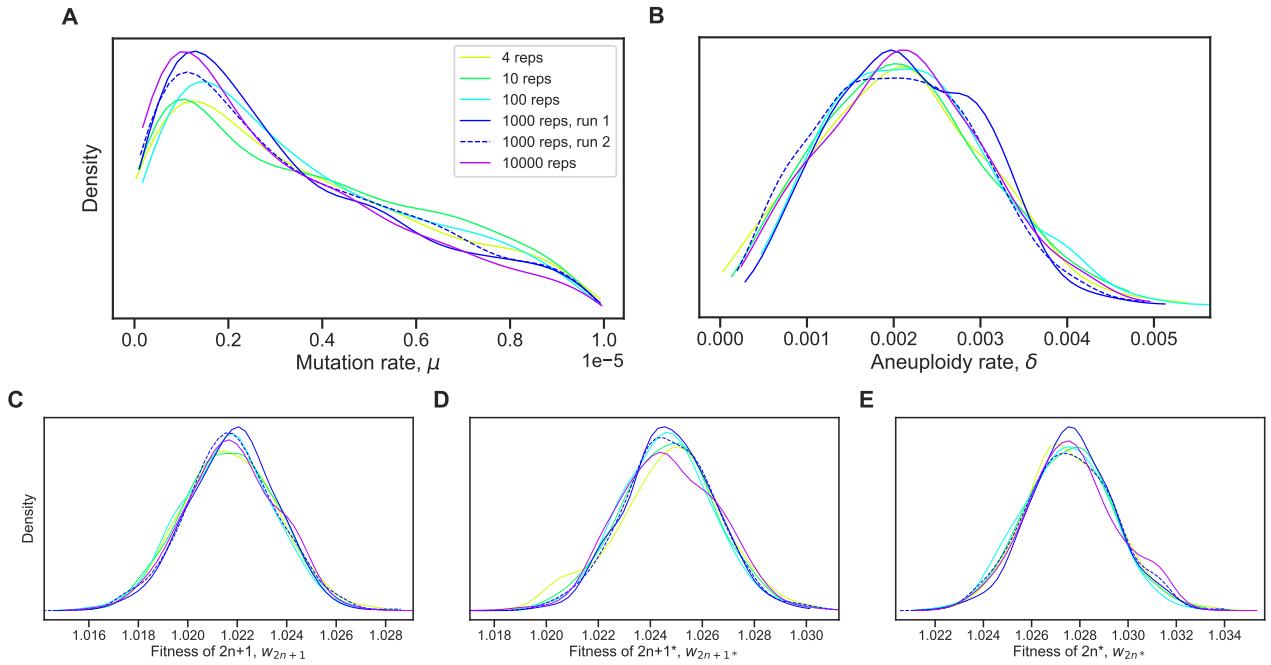


Figure S1: Posterior distribution validation. The posterior distribution of model parameters is roughly the same regardless of the number of simulations (4-10,000 replicates) used to approximate the likelihood (eq. (4)).

Table S1: WAIC values for different τ values.

Model	WAIC
$\tau = 1$	-9
$\tau = 33/32$	-9
$\tau = 2$	-8
$\tau = 5$	-12
$\tau = 10$	-9
$\tau = 100$	-12

WAIC defined in eq. (6).

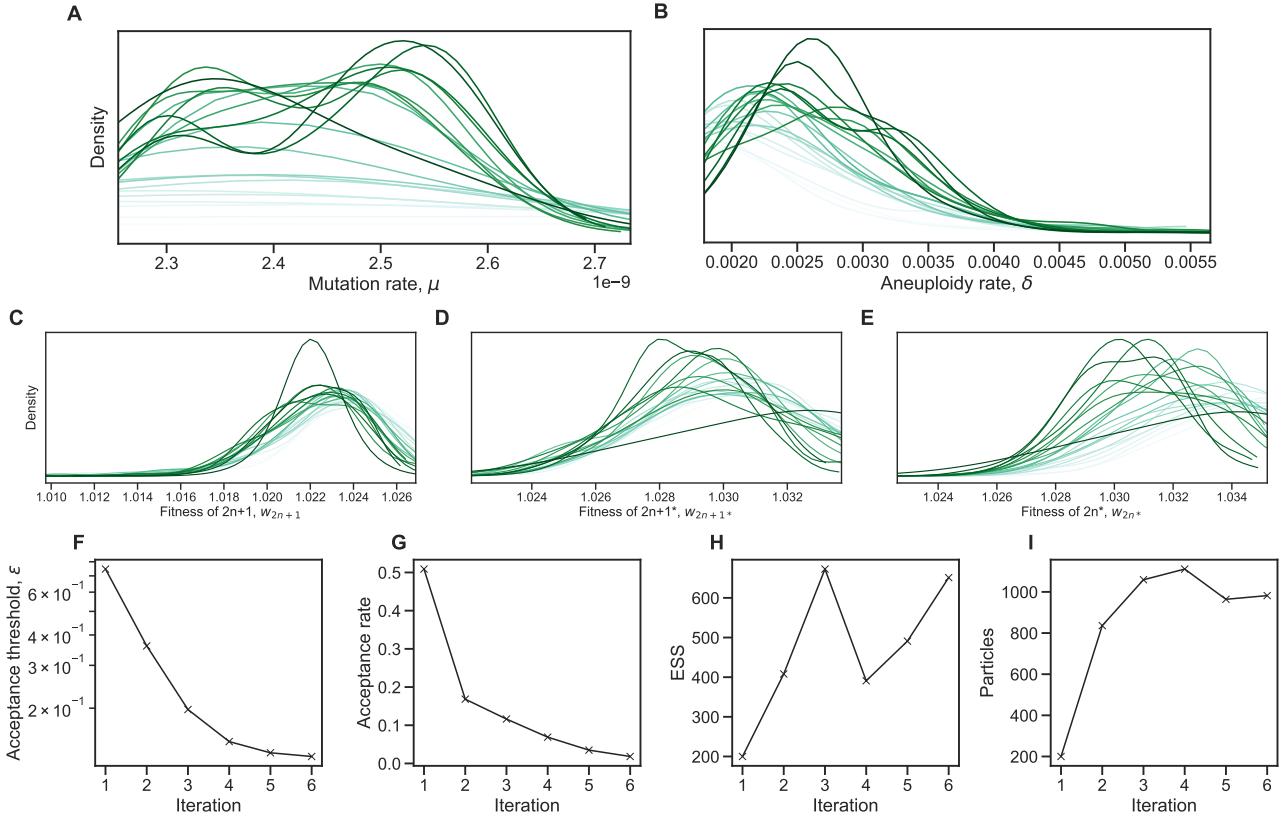


Figure S2: Inference convergence. The ABC-SMC algorithm was used to infer the model parameters. **(A-E)** The approximate posterior distributions of model parameters at each iteration of the ABC-SMC algorithm demonstrates convergence, as the posterior did not significantly change after the first iteration, $t = 1$. **(F-I)** ABC-SMC measures of convergence. After iteration number 6, the acceptance threshold was $\epsilon = 0.13$ (i.e., $\mathcal{L} = 0.87$, eq. (4)), the acceptance rate was 0.018, the number of particles was 982, and the effective sample size ESS=651.

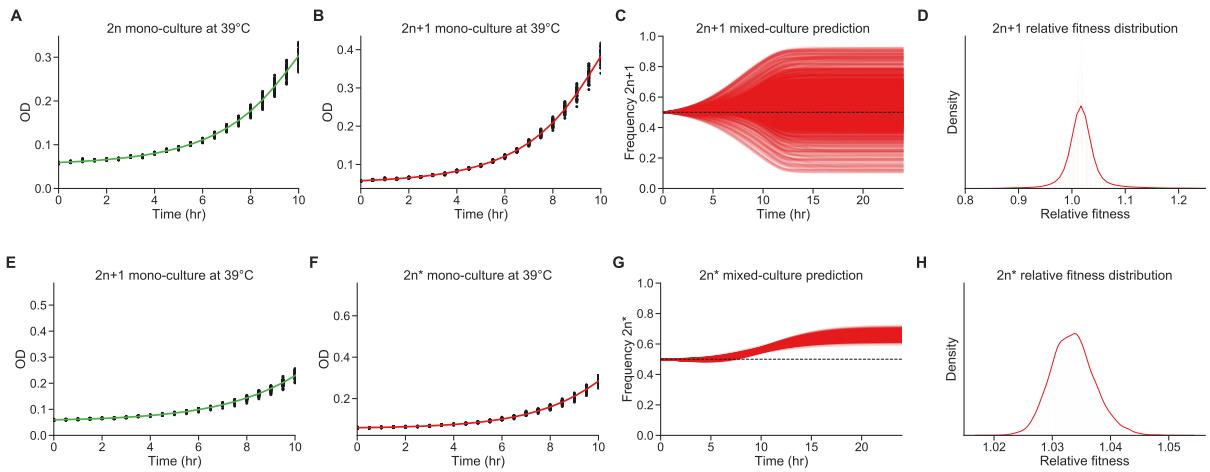


Figure S3: Fitness estimation from growth curves. **(A-D)** Fitness estimation from growth curves of $2n$ and $2n+1$ at 39°C . $w_{2n+1}/w_{2n}=1.024$ (95% CI: 0.959 - 1.115). **Curveball (E-H)** Fitness estimation from growth curves of $2n+1$ and $2n^*$ at 39°C . $w_{2n^*}/w_{2n+1}=1.033$ (95% CI: 1.027 - 1.041). Growth curves previously described in Yona et al.⁵³, Figs. 3C, 4A, and S2. Fitness estimated from growth curves using Curveball, a method for predicting results of competition experiments from growth curve data³⁰ curveball.yoavram.com. See *Models and Methods, Prior distributions* for more details. **(A,B;E,F)** Mono-culture growth curve data (markers) and best-fit growth models (lines). **(C,G)** The mixed-culture prediction for the strains from A,B and E,F respectively, 6,375 generated curves. **(D,H)** The relative fitness distribution for $2n+1$ relative to $2n$ (panel D) and $2n^*$ relative to $2n+1$ (panel H). Figures generated by Curveball.

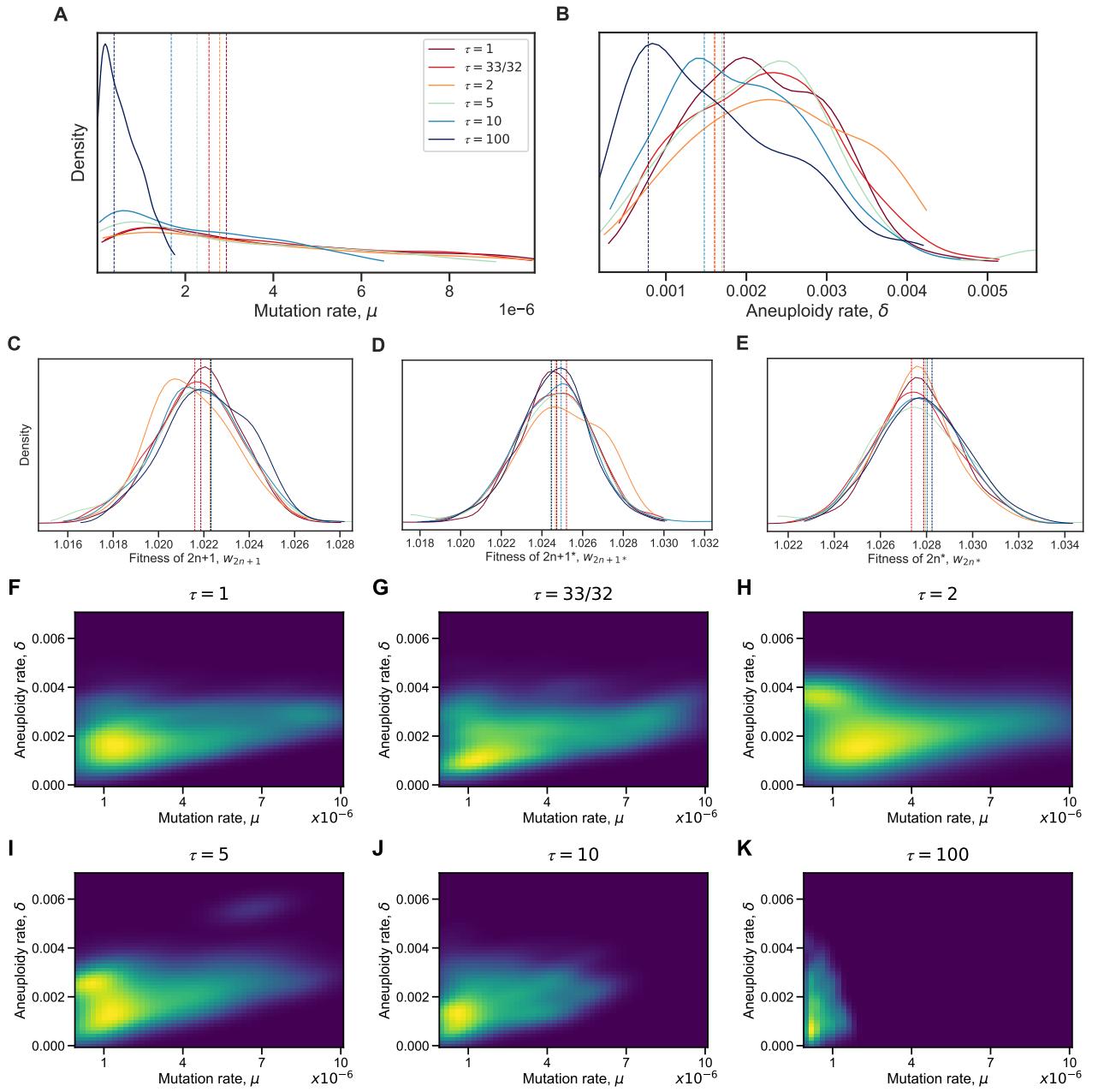


Figure S4: Model with elevated mutation rate in aneuploid cells. (A-E) The inferred posterior distributions for models with different values of τ , the fold-increase in mutation rate in aneuploid cells ($2n+1$ and $2n+1^*$). Vertical dashed lines represent the MAP (maximum a posteriori) of each distribution. When the increase in mutation rate is high, $\tau = 10$ and $\tau = 100$, the inferred mutation (A) and aneuploidy (B) rates tend to be lower. (F-K) The inferred joint posterior distribution of mutation rate (μ) and aneuploidy rate (δ) with different τ values (dark purple and bright yellow for low and high density, respectively).

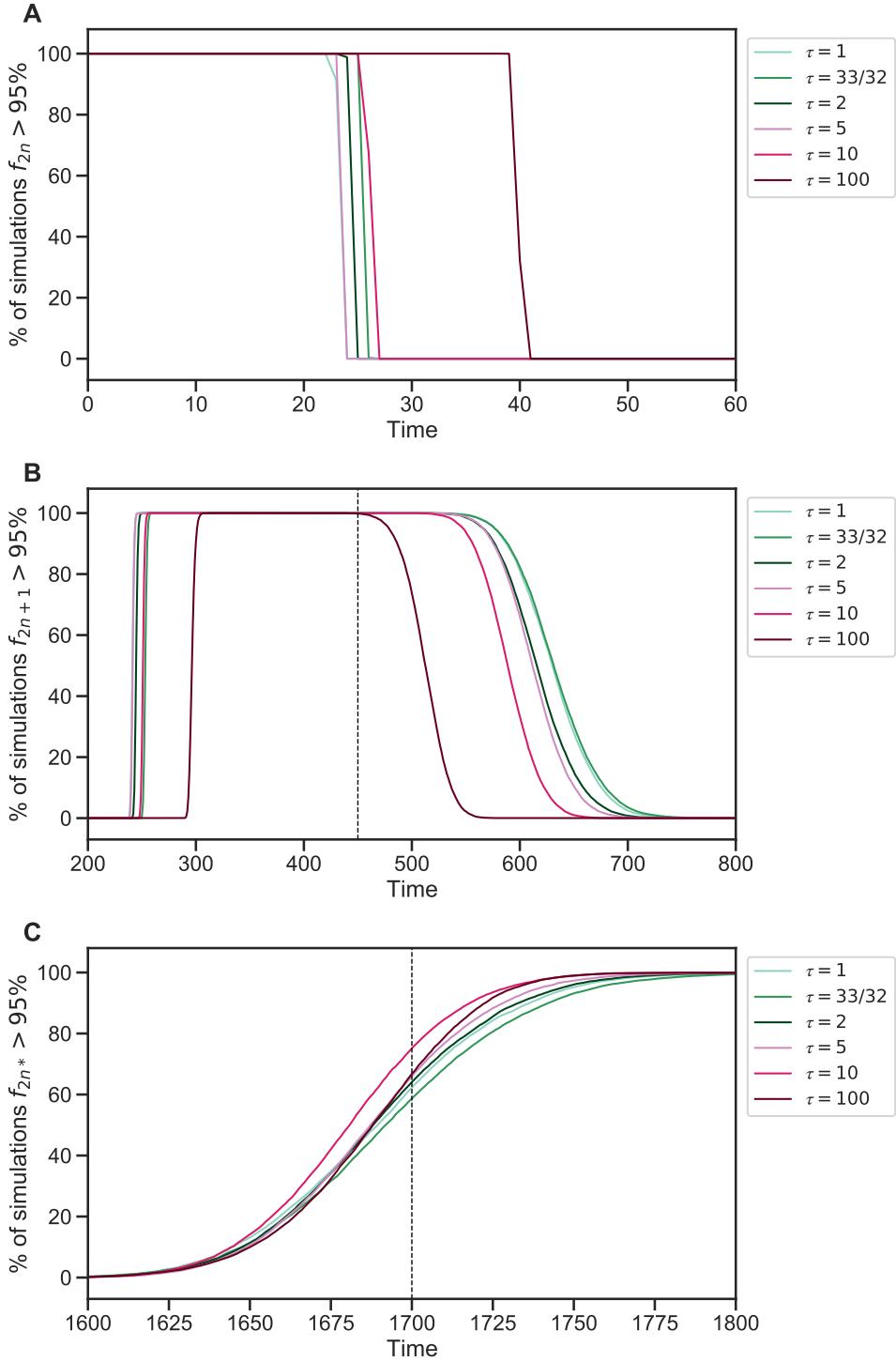


Figure S5: Genotype fixations for models with increased genetic instability. We estimated the parameters for different models, each assuming a different value of τ , the fold-increase in mutation rate in aneuploid cells. We then generated 10,000 simulations using the MAP estimate of each model and evaluated the fraction of simulations in which the frequency of genotype $2n$ (**A**), $2n+1$ (**B**), and $2n^*$ (**C**) is above 95% (y-axis) at each generation (x-axis). Note that $2n+1^*$ did not fix. We can see that $\tau = 100$ can be distinguished if the waiting time for $f_{2n} < 95\%$ is known (panel A) or if the waiting time for $f_{2n+1} > 95\%$ or $f_{2n+1} < 95\%$ is known (panel B). It is harder to distinguish between $1 \leq \tau \leq 10$.

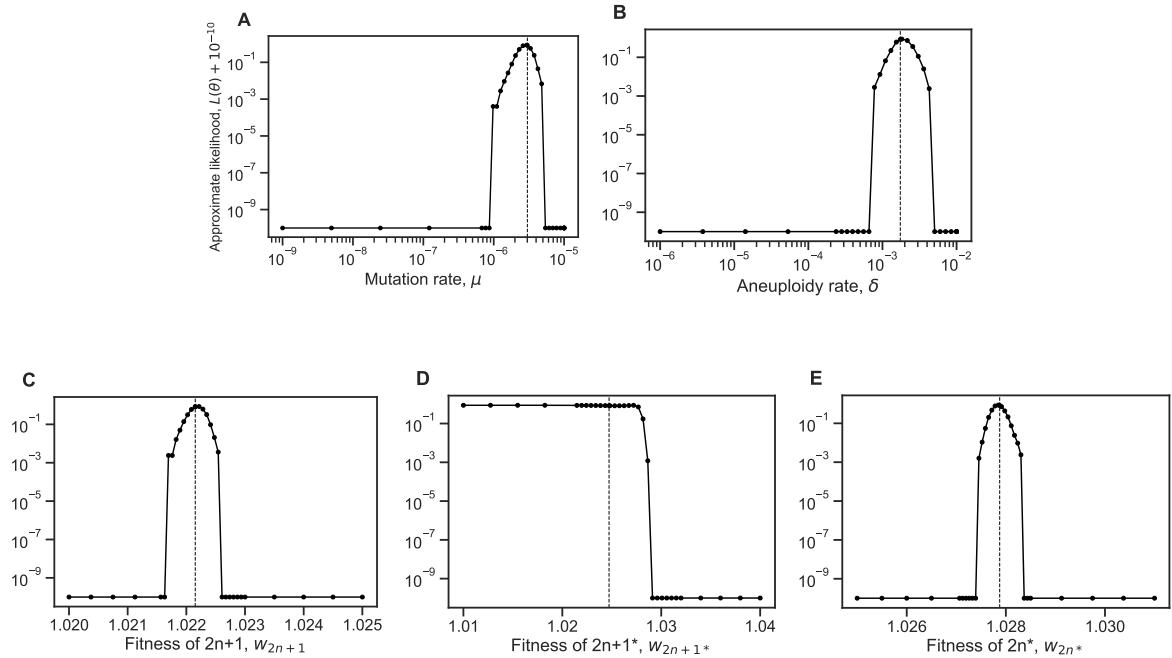


Figure S6: Likelihood profiles. Sensitivity of the model approximate likelihood, $\mathcal{L}(\theta)$, to changing a single parameter while the other parameters remain fixed at their MAP estimates. Dashed vertical line represents the MAP value. The prior distributions for the mutation rate and aneuploidy rate are $\mu \sim U(10^{-9}, 10^{-5})$ and $\delta \sim U(10^{-6}, 10^{-2})$, respectively.

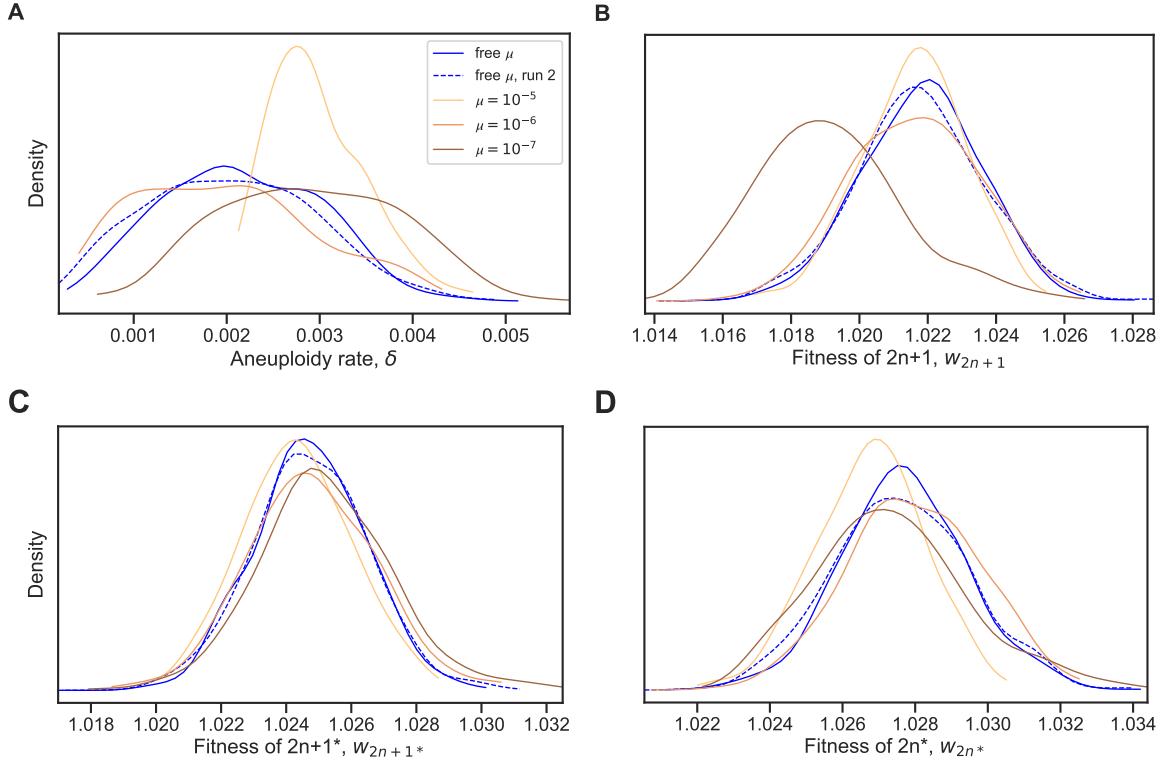


Figure S7: Model with fixed mutation rate. (A-D) The inferred posterior distributions for models with free and fixed mutation rate, μ . The MAP (maximum a posteriori) and 50% HDI (highest density interval) for each model are: **free μ , run 1:** $\delta = 2.749 \cdot 10^{-3}$ [$1.476 \cdot 10^{-3} - 2.822 \cdot 10^{-3}$], $w_{2n+1} = 1.022$ [1.021 – 1.023], $w_{2n+1*} = 1.025$ [1.023 – 1.026], $w_{2n*} = 1.027$ [1.026 – 1.029]; **free μ , run 2:** $\delta = 1.938 \cdot 10^{-3}$ [$1.338 \cdot 10^{-3} - 2.748 \cdot 10^{-3}$], $w_{2n+1} = 1.022$ [1.02 – 1.023], $w_{2n+1*} = 1.025$ [1.023 – 1.026], $w_{2n*} = 1.027$ [1.026 – 1.029]; **$\mu = 10^{-5}$:** $\delta = 3.089 \cdot 10^{-3}$ [$2.412 \cdot 10^{-3} - 3.169 \cdot 10^{-3}$], $w_{2n+1} = 1.022$ [1.021 – 1.023], $w_{2n+1*} = 1.024$ [1.023 – 1.026], $w_{2n*} = 1.027$ [1.026 – 1.028]; **$\mu = 10^{-6}$:** $\delta = 1.413 \cdot 10^{-3}$ [$1.04 \cdot 10^{-3} - 2.529 \cdot 10^{-3}$], $w_{2n+1} = 1.021$ [1.02 – 1.023], $w_{2n+1*} = 1.024$ [1.023 – 1.026], $w_{2n*} = 1.028$ [1.026 – 1.029]; **$\mu = 10^{-7}$:** $\delta = 3.4 \cdot 10^{-3}$ [$2.043 \cdot 10^{-3} - 3.578 \cdot 10^{-3}$], $w_{2n+1} = 1.019$ [1.017 – 1.02], $w_{2n+1*} = 1.026$ [1.024 – 1.027], $w_{2n*} = 1.027$ [1.026 – 1.029].

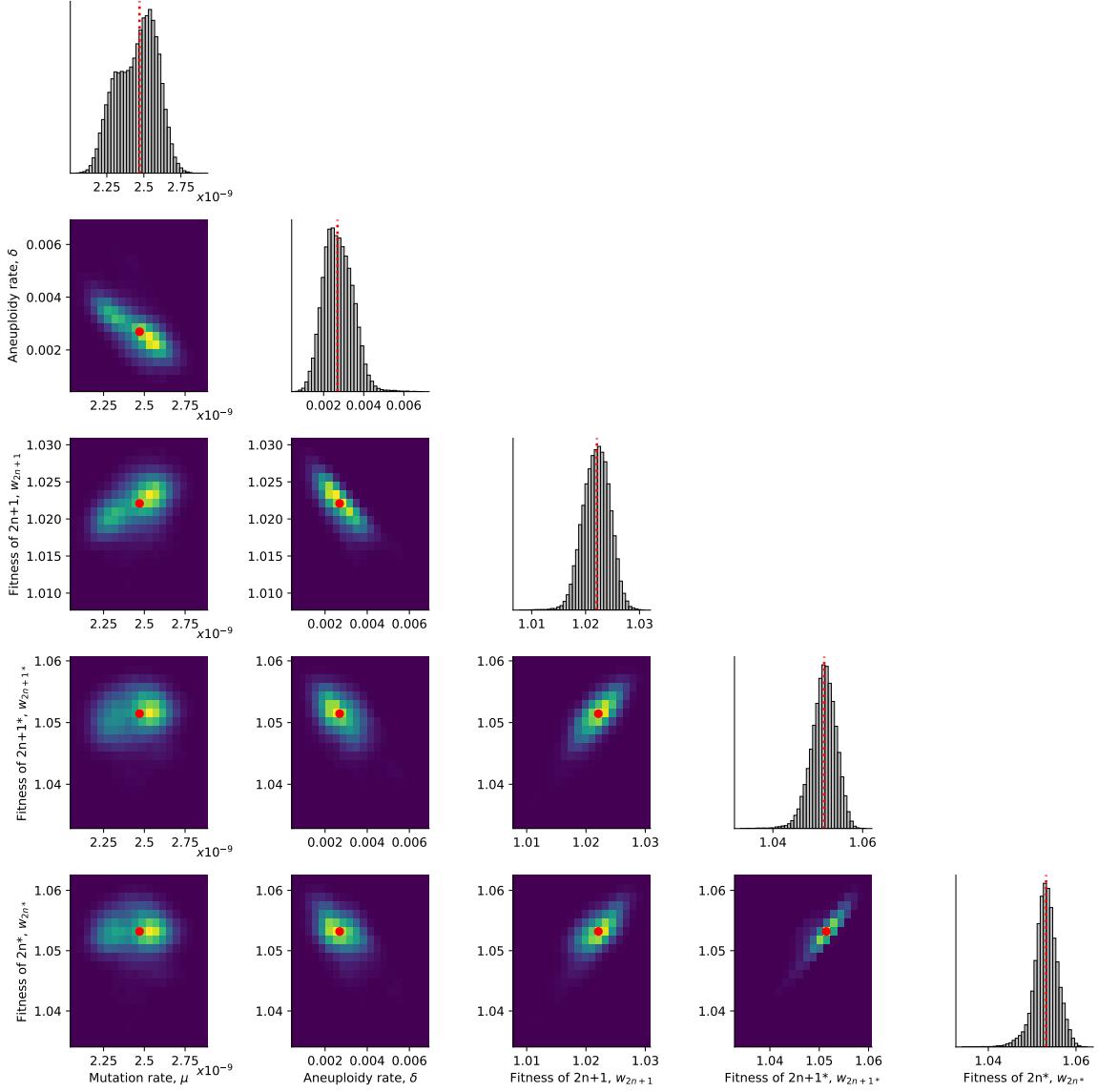


Figure S8: Posterior distribution of parameters inferred with the extended prior distribution. On the diagonal, the inferred posterior distribution of each model parameter. Below the diagonal, the inferred joint posterior distribution of pairs of model parameters (dark purple and bright yellow for low and high density, respectively). Red markers and orange lines for the joint MAP estimate (which may differ from the marginal MAP, as the marginal distribution integrates over all other parameters).

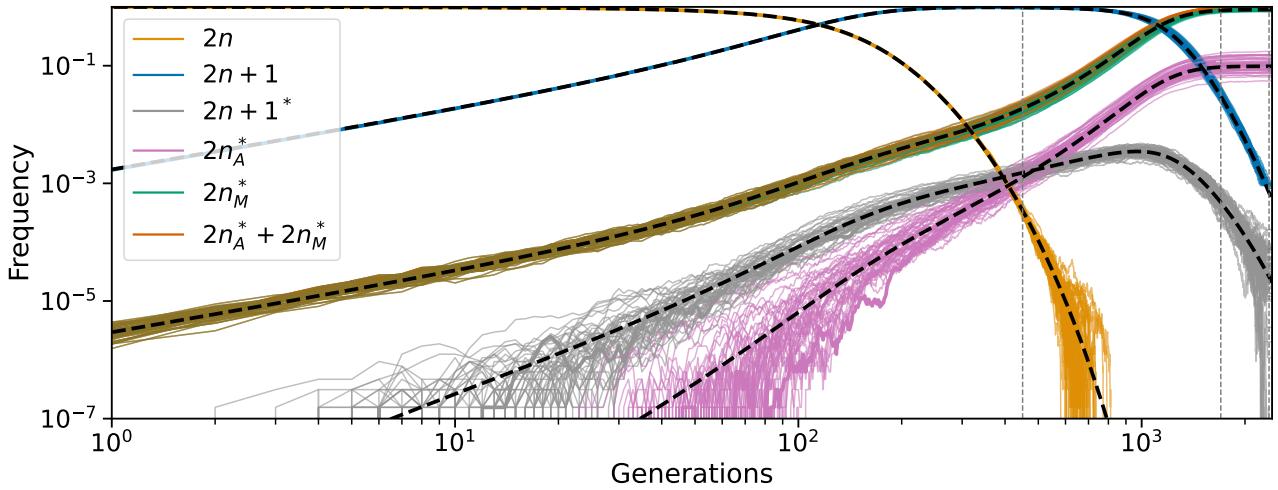


Figure S9: Posterior predicted genotype frequencies in log-log scale. Frequency dynamics of the different genotypes with MAP parameter estimates, same as Figure 5A, but in log-log scale. Black dashed curves for a deterministic model without genetic drift. Clearly, appearance of $2n+1$ and $2n_M^*$ is deterministic. Appearance of $2n+1^*$, and therefore $2n_A^*$, is stochastic, however, the frequency dynamics are deterministic above a frequency of roughly 0.001.

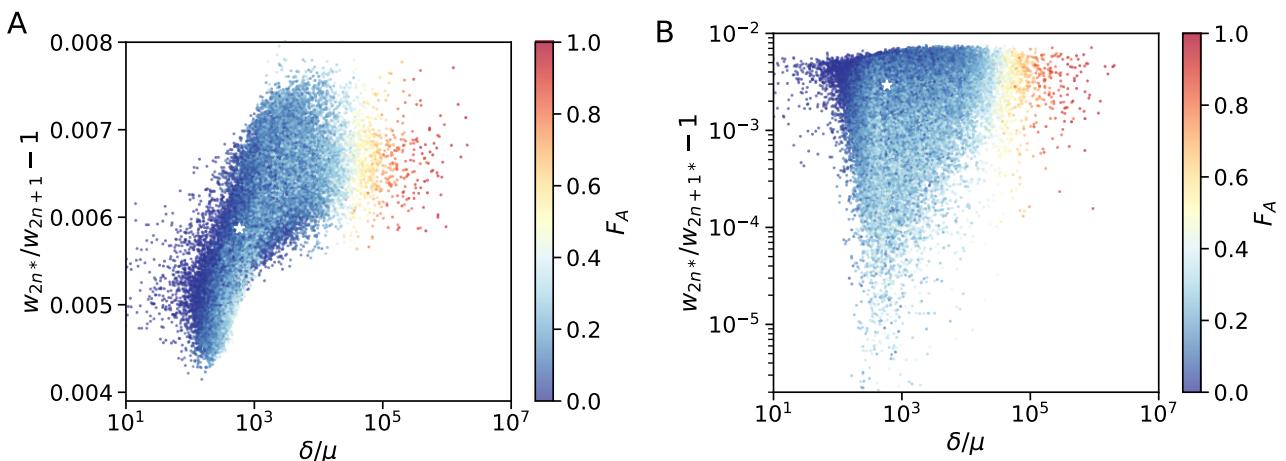


Figure S10: Posterior distribution of F_A . (A,B) F_A values (color coded) as in Figure 5 for different parameter choices on the x- and y-axes. White star denotes the MAP estimate.