

綜合日成交單及結單
Combined Daily Statement of Account & Contract Notes

SANDY LUI YUK WA

FRONT PORTION 2/F
40 ELGIN ST
C HK

客戶編號 A/C No : A0157

帳戶類別 Type : Cash

經紀 A/E Code : C004

日期 Issue Date : 30/09/22

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存款妥帳戶號碼 Easypay A/C No 57213427858

特別通告 Special Notice

手機版POEMS APP正式支援美股盤前交易，現最早可於美國時間上午07:00開始交易美股。詳情請參閱: <https://bit.ly/3QZbFhd> 借貸沽空服務已成功登錄POEMS手機平台，提供借貸沽空之開戶申請以及借貸沽空交易。最新限時優惠活動: <http://www.poems.com.hk/zh-hk/product-and-service/sbl/sbl-online/> 由2022年1月1日起，輝立推出全新美股佣金收費。網上電子平台交易美國市場證券標準佣金下調至每股0.99美仙，不設合單。合資格交易更可享US\$0佣金。美國證監會收費、結算費及交易活動費，相關費用將另行收取。日股零佣限額則放寬至250,000日圓。詳情請電+852 2277 6555 客戶服務部，或瀏覽輝立網站收費，並參閱外股推廣優惠條款及細則:<https://bit.ly/3py00dv>。POEMS APP now officially supports pre-market trading in US stocks. You can now start trading the US market as early as 07:00 (US time)! Learn more: <https://bit.ly/3QZ5Tfr> SBL service is now available on POEMS mobile for account opening and order placement. For any latest promotion campaign, please visit <http://www.poems.com.hk/zh-hk/product-and-service/sbl/sbl-online/> Start from 1 Jan 2022, Phillip launches new US stock commission scheme. The standard commission for online transaction is reduced to 0.99 US cents per share. No trade amalgamation. Also enjoy a US\$0 commission for eligible transactions. SEC fee, Clearing fees and FINRA TAF will be charged separately. We are expanding the JPY 0 threshold to JPY 250,000 as well. For details, please contact the Customer Service Department at +852 2277 6555, or refer to the fee schedule on our homepage and refer to the T&C of the Foreign stock promotion :<https://bit.ly/32vzpoP>.

交易記錄 Transaction Details

日期Date	產品	參考	類別	摘要	數量	單價	成交金額	金額 Amount		
交易Tran	交收Sett	Product	RefNo	Type	Particulars	Quantity	Price	Turnover	借Debit	貸Credit
CURRENCY : HKD										
承上結餘 Balance B/F										134,029.59
30/09/22	01/10/22	30911053	Payment	結單行政費	Statement Administrative Fee			60.00		
支付										
30/09/22	30/09/22	30916049	Receipt	股息 DIV FOR < 000716 > SINGAMAS CONT @ HKD \$0.04	(BC.Qty : 4,000 / Ex-Date: 09 SEP 2022)					160.00
收入										
30/09/22	30/09/22	30916105	Payment	股息 DIV FOR < 000716 > SINGAMAS CONT @ HKD \$0.04(HK-COLLECTION FEE)				30.00		
支付										
轉下結餘 Balance C/F										134,099.59

戶口資料 Account Details

貨幣	轉下結餘	未交收結餘 T+1	未交收結餘 T+2	未交收結餘 ≥T+3	累計利息	可用結餘	參考匯率	借貸利率
Currency	Balance C/F	Unsettled Balance T+1	Unsettled Balance T+2	Unsettled Balance ≥T+3	Accrued Interest	Available Balance	Ref ExRate	DR Int Rate
HKD	134,099.59	0.00	0.00	0.00	0.00	134,159.59	1.0000	15.375%
HKD(Base)	134,099.59	0.00	0.00	0.00	0.00	134,159.59		

股票投資組合 Securities Portfolio

產品	市場	產品代號	代號名稱	上日存貨	最後買貨日期	是日存貨	收市價	市值	按貨比率	按倉值
Product	Market	InstrumentCd	DisplayName	Qty B/F	LastBoughtOn	Qty C/F	ClsPrice	Market Value	MgnRatio	Margin Value
CURRENCY : HKD										
Equity	XHKG	000001	CKH HOLDINGS	684	02/06/15	684	43.4000	29,685.60	0.0000	0.00
股票			長和							
Equity	XHKG	000017	NEW WORLD DEV	1,710	03/01/17	1,710	22.3000	38,133.00	0.0000	0.00
股票			新世界發展							
Equity	XHKG	000716	SINGAMAS CONT	4,000	28/02/11	4,000	0.6000	2,400.00	0.0000	0.00
股票			勝獅貨櫃							
Equity	XHKG	000723	RELIANCE GLO HL	16,250	18/10/13	16,250	0.0160	260.00	0.0000	0.00
股票			信保環球控股							
Equity	XHKG	000764	ETERNITY INV	30,000	13/03/13	30,000	0.1110	3,330.00	0.0000	0.00
股票			永恒策略							
Equity	XHKG	001102	ENVIRO ENERGY	1,500	17/11/14	1,500	0.0900	0.00	0.0000	0.00
股票			環能國際 (Suspended)							
Equity	XHKG	001113	CK ASSET	684	02/06/15	684	47.2000	32,284.80	0.0000	0.00
股票			長實集團							

詳情請參閱尾頁之重要提示。
Please see important notes of last page.

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產品 Product	市場 Market	產品代號 InstrumentCd	代號名稱 DisplayName	上日存貨 Qty B/F	最後買貨日期 LastBoughtOn	是日存貨 Qty C/F	收市價 ClsPrice	市值 Market Value	按貨比率 MgnRatio	按倉值 Margin Value
Equity 股票	XHKG	001398	ICBC 工商銀行	3,000	14/10/11	3,000	3.6800	11,040.00	0.0000	0.00
Equity 股票	XHKG	003300	CHINA GLASS 中國玻璃	70,000	06/11/12	70,000	0.7900	55,300.00	0.0000	0.00
Equity 股票	XHKG	044356	ANXIN-CHINA-D (1149) 中國安芯 (1149)	4,000		4,000	0.0000	0.00	0.0000	0.00
Sub-Total : HKD								172,433.40		0.00
Total : HKD(Base)								172,433.40		0.00

Exchange Rate 匯率 (For Stamp Duty Calculation Only 只供計算印花稅用)

1 CNY = 1.0817 HKD 1 USD = 7.8195 HKD

寬限額 Exposure Limit:(HKD) 200,000.00 利率 Interest Rate : 15.375

重要提示 Important Notes

此結單為電腦編印，無需任何簽署。如發現任何錯誤，請在此結單發出日期14天內通知本公司，否則將會為被定為準確無誤。
This is a computer generated document, no signature is required. This statement is deemed to be correct if no discrepancies are reported within 14 days.

以上交易均透過輝立證券(香港)有限公司("PSHK")於當地的交易所參與者或基金公司所開立的受託代管戶口處理。
The above transaction(s) was/were conducted through the nominee account of Phillip Securities (Hong Kong) Limited ("PSHK") with the respective executing broker(s) on the applicable Exchange or fund house.

輝立證券(香港)有限公司("PSHK")是以代理人身份為客戶進行交易，除債券/股票掛鈎票據(不包括香港政府零售債券)交易則以主事人身份行事。
All transactions are completed by Phillip Securities (Hong Kong) Limited ("PSHK") as an agent, except for Bond (excluding HK Govt Retail Bonds)/ELN transactions where PSHK acts as a principal.

若本公司因經營倒閉而令客戶遭受金錢上之損失時，賠償基金之責任只限於《證券及期貨條例》中所規定之有效索償。
In the event of a default committed by PSHK resulting in the clients suffering pecuniary loss, the liability of the Compensation Fund will be restricted to valid claims as provided for in the Securities and Futures Ordinance.

香港交易所會以港元方式向輝立收取非港元結算產品的證監會交易徵費、財匯局交易徵費、交易費及其他費用。其後輝立會將有關費用(港元)按照本公司的匯率兌換為該產品結算的幣值方向客戶收取，其間可能因匯率之浮動或差別因而產生差額。
SFC transaction levy, FRC transaction levy, trading fee and other charges relating to non-Hong Kong Dollar denominated HKEx products will be settled in Hong Kong dollars and has been / will be paid through the Stock Exchange of Hong Kong Ltd. There may be differences in the specified fees/charges due to exchange rate fluctuation and variation.

本公司證實已將印花稅予香港聯合交易所。如交易金額為外幣，印花稅將按交易金額以《印花稅條例》(第117章)訂明的匯率折算為港幣的金額計算。
We confirm that the stamp duty imprinted herein has been / will be paid through the Stock Exchange of Hong Kong Ltd. Where the consideration is in a foreign currency, the stamp duty paid / to be paid will be based on the Hong Kong dollar equivalent of the consideration at the rate of exchange prescribed by the Stamp Duty Ordinance (Cap 117).

所有由本公司代表客戶之買賣均為不時修訂之憲法、交易所規則、規則、細則、交易所之習慣及用法、中央結算及交收系統規則及香港法律之有關規定所管制，PSHK客戶同樣受制於上述規定。有關之交易所規則可向交易所購閱。
All transactions made for or on behalf of clients shall be subject to the relevant provisions of the constitution, Exchange Rules, regulations, by-laws, customs and usages of the Exchange, the Central Clearing and Settlement System Rules and of the Laws of Hong Kong as amended from time to time, and shall be binding on both PSHK and its clients.

客戶之證券乃由輝立證券(香港)有限公司根據證券及期貨條例持有及託管。
The abovementioned securities are being held (will upon delivery to us be held) by us for safe custody purposes in accordance with Securities and Futures Ordinance.

參考代號 此符號*代表該宗交易是由中介機構提出; #代表該宗交易是拋空指令。
Ref. No. marked with an asterisk (*) indicate trades initiated by the intermediary; a pound sign (#) indicate short selling orders.

輝立證券(香港)有限公司(PSHK)是以代理人身份為客戶進行交易，除債券(不包括香港政府零售債券)/股票掛鈎票據/參考代號符號 @ 交易代表則以主事人身份行事。符號 @ 僅代表碎股交易。
All transactions are completed by Phillip Securities (Hong Kong) Limited (PSHK) as an agent, except for Bond (excluding HK Govt Retail Bonds)/ELN transaction/Ref.No marked with an At sign (@) where PSHK acts as a principal. An At sign (@) represents odd lot transaction only.

輝立證券最新修訂之客戶協議(不定期更新)可瀏覽以下連結: <http://www.poems.com.hk/media/25434/ChiCashAgreement.pdf>。
PSHK's updated client agreement (which may be amended from time to time) can be found at the following link: <http://www.poems.com.hk/media/153427/CashAgreement.pdf>

輝立正推行雙重認證，客戶屆時必須登記PHK Key後才可使用網上交易服務。詳情可參閱以下網站: www.poems.com.hk -> Phillip Apps -> 電子保安編碼器 PHK Key Two-factor login authentication (2FA) is launched. Clients must register PHK Key for 2FA when logging in to the online trading platform. Details of PHK Key: www.poems.com.hk -> Phillip Apps -> Security Code Generator PHK Key

輝立港元貨幣市場基金的基金說明書和產品資料概要已更新。客戶可於資本管理網頁<http://www.poems.com.hk/en-us/>內查閱相關通知信函和修訂後的基金銷售文件。
The Explanatory Memorandum and Product Key Fact Statement of the Phillip HKD Money Market Fund has been updated. A Notice to Unitholders and the revised offering documents can be found on Phillip Capital Management's webpage <http://www.poems.com.hk/en-us/>.

由二零二二年九月二十三日起，公司將跟隨渣打銀行最優惠利率(P)由5.25厘加至5.375厘。
WITH EFFECT FROM 23 SEP 2022, SCB PRIME INTEREST RATE (P) INCREASED FROM 5.25% TO 5.375%.

客戶所提供的存款收據需顯示客戶的名字或已登記在輝立的銀行號碼。否則，客戶需另提供銀行帳戶憑證。憑證需包含：1.銀行帳戶姓名2.銀行帳戶號碼。而支票存款除需提供存款收據外，亦需提供該支票的影印本。
All deposit proof submitted for confirmation must clearly show clients full name or registered bank account in Phillip. If the previous requirement cannot be met, client must provide additional proof of

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the deposit bank account regarding specific transaction. Any additional proof must include : 1. Bank account holder name 2. Bank account number. For cheque deposit, client also needs to provide a copy of the deposit cheque.

輝立港元貨幣市場基金之2021上半年度未經審核財務報表現可於資本管理網頁內查閱。

The unaudited semi-annual financial report of Phillip HKD Money Market Fund for the period ended 30 June 2021 can now be viewed on the webpage of Phillip Capital Management

輝立港元貨幣市場基金之2021年度已審計財務報表及產品資料概要現可於資本管理網頁內查閱。

The audited financial report and product key facts statement of Phillip HKD Money Market Fund for the year ended 31 December 2021 can now be reviewed on the webpage of Phillip Capital Management.

客戶須向本公司提交存款憑證，以確認該款項是來自客戶本人的銀行賬戶。憑證包括但不限於銀行結單、支票圖像、網上銀行截圖等。直至客戶提供該存款的相關憑證，則該存款才被視作提供存款憑證當天的存款。截止收取存款憑證的時間為每天下午四時正，任何下午四時後收到的存款憑證將當作下一個工作日的存款。在未有提交存款憑證前，該存款將會被視作“未入賬”狀態，因而令閣下需要承擔因為“未入賬”而導致的相關利息、佣金計算、罰款和其他收費。

Clients must submit supporting documentation to the Company to confirm that the depository payment is from his/her own bank account. Acceptable documentation include, but is not limited to, bank statements, cheque images, and online banking screenshots. The deposit will be credited to the Client account on the day that supporting documentation is provided. Until the supporting documentation is submitted, the deposit will not be treated as "credited". The daily cut-off time for collecting the supporting documentation is 4:00 p.m., any supporting documentation received after 4:00 p.m. will be deemed to be received on next working day. Clients will be liable for any relevant interest, commission, fines and other charges incurred as a result of not being "credited".

免責聲明及風險警告 Disclaimer & Risk Warning

債券主要是中期至長期投資，而不是短期投機。債券投資並非銀行存款，並涉及風險，及可能損失全部投資本金。債券的持有人亦要承擔發行人的信用風險。雖然發行人有責任支付票息和償還債券的本金，但是如果發行人違約，債券的持有人可能無法收到此等票息和本金。所有價格僅供參考，市場的變化可導致債券價格波動，造成本金的損失，並且債券可能沒有二手市場。影響債券市場價格的因素包括，但不限於利率、信用性和流動性的波動。投資於非當地貨幣計價的債券的投資者，應注意匯率波動的風險，這可能會導致本金損失。

Bonds are mainly for medium to long-term investment, not for short-term speculation. Bond investments are not bank deposits and involve risks, including the possible loss of all principal amount invested. The holder of bonds bears the credit risk of the issuer. It is the issuers responsibility to pay coupon and repay the principal of bonds. If the issuer defaults, the holder of bonds may not be able to receive the coupon and the principal invested. All pricing is indicative only and bond price fluctuations when market changes which may cause a loss of principal, and that there may not be a secondary market for bonds. Factors affecting market price of bonds include, and are not limited to, fluctuations in interest rates, credit spreads and liquidity premiums. Investors investing in bonds denominated in non-local currency should be aware of the risk of exchange rate fluctuations which may cause a loss of principal.

本行與產品的發行人沒有從屬關係。本行有權收取手續費、交易費(不多於債券面值的一個百分點)、退還的分帳(不多於債券面值的一個百分點)及其他費用。

The company has no affiliation with product issuers. The company is entitled to receive a handling fee, transaction fee (up to one percent of nominal value), including rebate (up to one percent of nominal value), and other fees.

「寬限額」，「補倉金額」/「尚餘金額」是以港股和外股的總和作計算，僅作參考。落盤買賣交易的購買力以交易平台顯示為準。

"Exposure Limit", "Call For Deposit" / "Value Remained" is calculated based on the sum of local stock and foreign stock, and are for reference only. The purchasing power of placing orders is subject to the display on the trading platform.

在其他司法地區的交易會為你帶來額外風險，同時，PSHK 於香港以外收取或持有的客戶資產，可能不會享有賦予在香港收取或持有的客戶資產的相同保障。外國股票交易並非由聯交所管轄，不受投資者賠償基金所保障。

Transactions on markets in other jurisdictions may expose you to additional risks and Customer assets received or held by PSHK outside of Hong Kong may not enjoy the same level of protection as that conferred on assets received or held in Hong Kong. In particular, foreign securities trading is not regulated by the SEHK and will not be covered by the Investor Compensation Fund.

部份海外證券交易所會以市場莊家形式運作。有些市場莊家可能於下一個交易日開市後才確定交易結果。

Some overseas stock exchanges operate with a market maker system only. Some market makers may not be able to confirm the order execution until the market opens on the following trading day.

客戶即日於歐洲或北美市場買賣之股票，將於翌日之成交單顯示。而有關之市價將於顯示為成交前一天的收市價。

Shares of Europe and North America markets executed today will be stated in the statement of the following day. Shares closing prices of Europe and North America markets stated in this statement are the closing prices from the previous day.

有折扣優惠將以額外基金單位回贈。

Discount offer is given in terms of bonus units.

非獨立中介人聲明：

客戶明白本公司並非獨立的中介人，理由如下：

(i) 本公司有收取由其他人士（可能包括產品發行人）就本公司向客戶分銷投資產品而提供的費用、佣金或其他金錢收益。詳情請參閱本公司在訂立任何投資產品交易前或在訂立任何投資產品交易時向客戶所提供的金錢收益披露；及／或

(ii) 本公司有收取由其他人士提供的非金錢收益，或與本公司可能向客戶分銷的產品的發行人有緊密聯繫或其他法律或經濟關係。

*我們會從 [三星資產運用(香港)有限公司] 最多收以下交易所買賣基金的年度管理費的34%，作為我們在閣下的整段投資期間內每季持續收取的佣金：中證中國龍網 ETF (2812)；標普高盛原油ER期貨ETF (3175)；亞太高息房地產信託ETF (3187)。

Non-Independent Intermediary Disclaimer:

The Customer understands that the Company is NOT an independent intermediary because:

(i) the Company receives fees, commissions, or other monetary benefits from other parties (which may include product issuers) in relation to the Company's distribution of investment products to the Customers. For details, the Customer may refer to the Company's disclosure on monetary benefits which are delivered to the Customer prior to or at the point of entering into a transaction for Fund(s); and/or

(ii) the Company receives non-monetary benefits from other parties, or have close links or other legal or economic relationships with issuers of products that the Company may distribute to the Customer.

*We will receive from Samsung Asset Management (Hong Kong) Ltd. up to 34% of CSI China Internet ETF (2812), WTI Oil ETF (3175), High Div REITs ETF (3187)s annual Management Fee as ongoing commission every year throughout the term of your investment.

如有任何疑問，請致電2277 6555 查詢。

For enquires, please contact our Customer Service at 2277 6555.

