

Dynamic Programming

Longest Common Subsequence

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Longest Common Subsequence

What is Subsequences?

- Suppose you have a sequence $X = \langle x_1, x_2, \dots, x_m \rangle$ of elements over a finite set S .
- A sequence $Z = \langle z_1, z_2, \dots, z_k \rangle$ over S is called a **subsequence** of X **if and only** if it can be obtained from X by deleting elements.
- Put differently, there exist indices $i_1 < i_2 < \dots < i_k$ such that

$$z_a = x_{i_a}$$

for all a in the range $1 \leq a \leq k$.

What is Subsequences? Cont..

A **subsequence** of a string S , is a set of characters that appear in left-to-right order, but not necessarily consecutively.

Example

ACTTGCG

- **ACT**, **ATTC**, **T**, **ACTTGC** are all subsequences.
- **TTA** is not a subsequence

What is Common Subsequences ?

- Suppose that X and Y are two sequences over a set S .
- We say that **Z is a common subsequence** of X and Y if and only if
 - Z is a subsequence of X
 - Z is a subsequence of Y

What is Longest common subsequence ?

- **Subsequence:**
 - A subsequence is a sequence that can be derived from another sequence by deleting some elements without changing the order of the remaining elements.
- **Longest common subsequence:**
 - Longest common subsequence (*LCS*) of 2 sequences is a subsequence, with maximal length, which is common to both the sequences.

What is Longest common subsequence ?

A **common subsequence** of two strings is a subsequence that appears in both strings. A **longest common subsequence** is a common subsequence of maximal length.

Example

$S_1 = \text{AAACCGTGAGTTATTCGTTCTAGAA}$
 $S_2 = \text{CACCCCTAAGGTACCTTTGGTTC}$

The Longest Common Subsequence Problem

Given two sequences X and Y over a set S, the **longest common subsequence** problem asks to find a common subsequence of X and Y that is of maximal length.

Longest Common Subsequence

- Biologists need to *measure how similar strands of DNA are* to determine how closely related an organism is to another.
- *considering DNA as strings of letters A,C,G,T* and then comparing similarities in the strings.
- Formally , researchers *look at common subsequences in the strings.*
- **Example :** X = AGTCAACGTT, Y=GTTCGACTGTG
- Both S = AGTG and S'=GTCACGT are subsequences
- **How to do find these efficiently?**

What is Longest common subsequence ?

$S_1 = \text{AAACCGTGAGTTATTCGTTCTAGAA}$
 $S_2 = \text{CACCCCTAAGGTACCTTTGGTTC}$

LCS is

ACCTAGTACTTTG

Has applications in many areas including biology.

Brute Force solution

- if $|X| = m$, $|Y| = n$, then there are 2^m **subsequences of x**; we must compare each with Y (n comparisons)
- So the *running time of the brute-force algorithm* is $O(n 2^m)$
- **Notice that** the *LCS problem has optimal substructure*:
 - solutions of subproblems are parts of the final solution.
- **Subproblems:** “find LCS of pairs of *prefixes* of X and Y”

Dynamic Programming

Let us try to develop a dynamic programming solution to the LCS problem.

i^{th} prefix of X

- Let $X = \langle x_1, x_2, \dots, x_m \rangle$ be a sequence.
- i^{th} prefix of X :**
We denote by X_i the sequence $X_i = \langle x_1, x_2, \dots, x_i \rangle$ and call it the **i^{th} prefix of X**.
- For example:**
- if $X = \langle A; B; C; B; D; A; B \rangle$, then
4th prefix: $X_4 = \langle A; B; C; B \rangle$ and
- X_0 is the empty sequence.

LCS Notation

Let X and Y be sequences.

LCS(X, Y) represent :

- the set of longest common subsequences of X and Y.

Optimal Substructure

- Let $X = \langle x_1, x_2, \dots, x_m \rangle$
and $Y = \langle y_1, y_2, \dots, y_n \rangle$ be two sequences.
- Let $Z = \langle z_1, z_2, \dots, z_k \rangle$ is any LCS of X and Y.
- a) Case1:** If $x_m = y_n$ then certainly $x_m = y_n = z_k$ and Z_{k-1} is in $\text{LCS}(X_{m-1}, Y_{n-1})$

Optimal Substructure cont..

Let $X = \langle x_1, x_2, \dots, x_m \rangle$
and $Y = \langle y_1, y_2, \dots, y_n \rangle$ be two sequences.
Let $Z = \langle z_1, z_2, \dots, z_k \rangle$ is any LCS of X and Y.

- b) Case2:** If $x_m \neq y_n$ then $x_m \neq z_k$ implies that **Z is in $\text{LCS}(X_{m-1}, Y)$**
- c) Case3:** If $x_m \neq y_n$ then $y_n \neq z_k$ implies that **Z is in $\text{LCS}(X, Y_{n-1})$**

Overlapping Subproblems

- If $x_m = y_n$ then we solve the subproblem to find an element in $\text{LCS}(X_{m-1}, Y_{n-1})$ and append x_m
- If $x_m \neq y_n$ then we solve the two subproblems of finding elements in $\text{LCS}(X_{m-1}, Y_{n-1})$ and $\text{LCS}(X_{m-1}, Y_{n-1})$ and choose the longer one.

Recursive Solution

Let X and Y be sequences.

Let **$c[i,j]$** be **the length of an LCS** of the sequences X_i and Y_j

$$c[i,j] = \begin{cases} 0 & \bullet \text{ if } i=0 \text{ or } j=0 \\ c[i-1,j-1]+1 & \bullet \text{ if } i,j>0 \text{ and } x_i = y_j \\ \max(c[i,j-1], c[i-1,j]) & \bullet \text{ if } i,j>0 \text{ and } x_i \neq y_j \end{cases}$$

optimal substructure of the LCS problem

- The optimal substructure of the LCS problem gives the recursive formula

$$c[i, j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0, \\ c[i-1, j-1] + 1 & \text{if } i, j > 0 \text{ and } x_i = y_j, \\ \max(c[i, j-1], c[i-1, j]) & \text{if } i, j > 0 \text{ and } x_i \neq y_j. \end{cases} \quad (15.9)$$

Dynamic Programming Solution

To compute length of an element in LCS(X,Y) with X of length m and Y of length n,

we do the following:

- Initialize first row and first column of the array c with 0.
- Calculate:** $c[1, j]$ for $1 \leq j \leq n$,
 $c[2, j]$ for $1 \leq j \leq n$
...
- Return $c[m, n]$
- Complexity $O(mn)$.**

Dynamic Programming Solution cont..

- How can we get an actual longest common subsequence?**
- Store in addition to the array c an array b pointing to the optimal subproblem chosen when computing $c[i, j]$.

Example

LCS Example-1

- Consider the two sequences
- $X = \langle A, B, C, B, A \rangle$
- $Y = \langle B, D, C, A, B \rangle$

LCS Example-1

$$\begin{aligned} x_i = y_j &\Rightarrow c[i, j] = c[i-1, j-1] + 1 && \nearrow \\ x_i \neq y_j &\Rightarrow c[i-1, j] \geq c[i, j-1] && \uparrow \\ &c[i, j] = c[i-1, j] && \\ &c[i-1, j] < c[i, j-1] && \\ &c[i, j] = c[i, j-1] && \leftarrow \end{aligned}$$

LCS Example-1

	j	\rightarrow	1	2	3	4	5
i		y_j	B	D	C	A	B
\downarrow	x_i		0	0	0	0	0
1	A	0	0 \uparrow	0 \uparrow	0 \uparrow	1 \nwarrow	1 \leftarrow
2	B	0	1 \nwarrow	1 \leftarrow	1 \leftarrow	1 \uparrow	2 \nwarrow
3	C	0	1 \uparrow	1 \uparrow	2 \nwarrow	2 \leftarrow	2 \uparrow
4	B	0	1 \nwarrow	1 \uparrow	2 \uparrow	2 \uparrow	3 \nwarrow
5	A	0	1 \uparrow	1 \uparrow	2 \uparrow	3 \nwarrow	3 \uparrow

Thus the optimal LCS length is $c[m,n] = 3$.

LCS Algorithm

- **Computing the length of an LCS**
LCS-LENGTH (X, Y)
 - stores the $c[i,j]$ values in a table $c[0\dots m, 0\dots n]$, and it computes the entries in row-major order.
- **Constructing an LCS**
 - **PRINT-LCS(b, X, i, j)**
 - maintains the table $b[1\dots m; 1\dots n]$ to help us construct an optimal solution
 - $b[i,j]$ points to the table entry corresponding to the optimal subproblem solution chosen when computing $c[i,j]$.

LCS-LENGTH(X, Y)

```

1  m = X.length
2  n = Y.length
3  let b[1..m, 1..n] and c[0..m, 0..n] be new tables
4  for i = 1 to m
5      c[i, 0] = 0
6  for j = 0 to n
7      c[0, j] = 0
8  for i = 1 to m
9      for j = 1 to n
10         if  $x_i == y_j$ 
11              $c[i, j] = c[i - 1, j - 1] + 1$ 
12              $b[i, j] = "\nwarrow"$ 
13         elseif  $c[i - 1, j] \geq c[i, j - 1]$ 
14              $c[i, j] = c[i - 1, j]$ 
15              $b[i, j] = "\uparrow"$ 
16         else  $c[i, j] = c[i, j - 1]$ 
17              $b[i, j] = "\leftarrow"$ 
18  return c and b
```

PRINT-LCS

PRINT-LCS(b, X, i, j)

```

1  if i == 0 or j == 0
2      return
3  if  $b[i, j] == "\nwarrow"$ 
4      PRINT-LCS(b, X, i - 1, j - 1)
5      print  $x_i$ 
6  elseif  $b[i, j] == "\uparrow"$ 
7      PRINT-LCS(b, X, i - 1, j)
8  else PRINT-LCS(b, X, i, j - 1)
```

Analysis

- since each table entry takes $O(1)$ time to compute.
- The running time of the procedure is $\Theta(mn)$.

LCS Example

We'll see how LCS algorithm works on the following example:

- $X = \text{ABCB}$
- $Y = \text{BDCAB}$

What is the Longest Common Subsequence of X and Y?

$\text{LCS}(X, Y) = \text{BCB}$

$X = \text{A} \text{B} \text{C} \text{B}$

$Y = \text{B} \text{D} \text{C} \text{A} \text{B}$

LCS Example (0)

i	j	0	1	2	3	4	5
		Y _j	B	D	C	A	B
0	X _i						
1	A						
2	B						
3	C						
4	B						

X = ABCB; m = |X| = 4
 Y = BDCAB; n = |Y| = 5
 Allocate array c[6,5]

LCS Example (1)

i	j	0	1	2	3	4	5
		Y _j	B	D	C	A	B
0	X _i						
1	A	0					
2	B	0					
3	C	0					
4	B	0					

for i = 1 to m c[i,0] = 0

LCS Example (2)

i	j	0	1	2	3	4	5
		Y _j	B	D	C	A	B
0	X _i	0	0	0	0	0	0
1	A	0					
2	B	0					
3	C	0					
4	B	0					

for j = 0 to n c[0,j] = 0

LCS Example (3)

i	j	0	1	2	3	4	5
		Y _j	B	D	C	A	B
0	X _i	0	0	0	0	0	0
1	A	0	0 ↑				
2	B	0					
3	C	0					
4	B	0					

case i=1 and j=1
 A != B
 but, c[0,1] >= c[1,0]
 so c[1,1] = c[0,1], and b[1,1] = ↑

LCS Example (4)

i	j	0	1	2	3	4	5
		Y _j	B	D	C	A	B
0	X _i	0	0	0	0	0	0
1	A	0	0 ↑	0 ↑			
2	B	0					
3	C	0					
4	B	0					

case i=1 and j=2
 A != D
 but, c[0,2] >= c[1,1]
 so c[1,2] = c[0,2], and b[1,2] = ↑

LCS Example (5)

i	j	0	1	2	3	4	5
		Y _j	B	D	C	A	B
0	X _i	0	0	0	0	0	0
1	A	0	0 ↑	0 ↑	0 ↑		
2	B	0					
3	C	0					
4	B	0					

case i=1 and j=3
 A != C
 but, c[0,3] >= c[1,2]
 so c[1,3] = c[0,3], and b[1,3] = ↑

LCS Example (6)

i	j						
		0	1	2	3	4	5
		Yj	B	D	C	A	B
0	Xi	0	0	0	0	0	0
1	A	0	0 ↑	0 ↑	0 ↑	1 ↘	
2	B	0					
3	C	0					
4	B	0					

case i=1 and j=4

A = A

so $c[1,4] = c[0,2] + 1$, and $b[1,4] = \searrow$

LCS Example (7)

i	j						
		0	1	2	3	4	5
		Yj	B	D	C	A	B
0	Xi	0	0	0	0	0	0
1	A	0	0 ↑	0 ↑	0 ↑	1 ↘	1 ←
2	B	0					
3	C	0					
4	B	0					

case i=1 and j=5

A != B

this time $c[0,5] < c[1,4]$

so $c[1,5] = c[1,4]$, and $b[1,5] = \leftarrow$

LCS Example (8)

i	j						
		0	1	2	3	4	5
		Yj	B	D	C	A	B
0	Xi	0	0	0	0	0	0
1	A	0	0 ↑	0 ↑	0 ↑	1 ↘	1 ←
2	B	0	1 ↘				
3	C	0					
4	B	0					

case i=2 and j=1

B = B

so $c[2,1] = c[1,0] + 1$, and $b[2,1] = \searrow$

LCS Example (9)

i	j						
		0	1	2	3	4	5
		Yj	B	D	C	A	B
0	Xi	0	0	0	0	0	0
1	A	0	0 ↑	0 ↑	0 ↑	1 ↘	1 ←
2	B	0	1 ↘	1 ←			
3	C	0					
4	B	0					

case i=2 and j=2

B != D

and $c[1,2] < c[2,1]$

so $c[2,2] = c[2,1]$ and $b[2,2] = \leftarrow$

LCS Example (10)

i	j						
		0	1	2	3	4	5
		Yj	B	D	C	A	B
0	Xi	0	0	0	0	0	0
1	A	0	0 ↑	0 ↑	0 ↑	1 ↘	1 ←
2	B	0	1 ↘	1 ←	1 ←		
3	C	0					
4	B	0					

case i=2 and j=3

B != D

and $c[1,3] < c[2,2]$

so $c[2,3] = c[2,2]$ and $b[2,3] = \leftarrow$

LCS Example (11)

i	j						
		0	1	2	3	4	5
		Yj	B	D	C	A	B
0	Xi	0	0	0	0	0	0
1	A	0	0 ↑	0 ↑	0 ↑	1 ↘	1 ←
2	B	0	1 ↘	1 ←	1 ←	1 ↑	
3	C	0					
4	B	0					

case i=2 and j=4

B != A

and $c[1,4] = c[2,3]$

so $c[2,4] = c[1,4]$ and $b[2,4] = \uparrow$

LCS Example (12)

i	j						
		0	1	2	3	4	5
		Yj	B	D	C	A	B
0	Xi	0	0	0	0	0	0
1	A	0	0 ↑	0 ↑	0 ↑	1 ↘	1 ←
2	B	0	1 ↘	1 ←	1 ←	1 ↑	2 ↘
3	C	0					
4	B	0					

case $i=2$ and $j=5$ $B = B$ so $c[2, 5] = c[1, 4] + 1$ and $b[2, 5] = \nwarrow$

LCS Example (13)

i	j						
		0	1	2	3	4	5
		Yj	B	D	C	A	B
0	Xi	0	0	0	0	0	0
1	A	0	0 ↑	0 ↑	0 ↑	1 ↘	1 ←
2	B	0	1 ↘	1 ←	1 ←	1 ↑	2 ↘
3	C	0	1 ↑				
4	B	0					

case $i=3$ and $j=1$ $C \neq B$ and $c[2, 1] > c[3, 0]$ so $c[3, 1] = c[2, 1]$ and $b[3, 1] = \uparrow$

LCS Example (14)

i	j						
		0	1	2	3	4	5
		Yj	B	D	C	A	B
0	Xi	0	0	0	0	0	0
1	A	0	0 ↑	0 ↑	0 ↑	1 ↘	1 ←
2	B	0	1 ↘	1 ←	1 ←	1 ↑	2 ↘
3	C	0	1 ↑	1 ↑			
4	B	0					

case $i=3$ and $j=2$ $C \neq D$ and $c[2, 2] = c[3, 1]$ so $c[3, 2] = c[2, 2]$ and $b[3, 2] = \uparrow$

LCS Example (15)

i	j						
		0	1	2	3	4	5
		Yj	B	D	C	A	B
0	Xi	0	0	0	0	0	0
1	A	0	0 ↑	0 ↑	0 ↑	1 ↘	1 ←
2	B	0	1 ↘	1 ←	1 ←	1 ↑	2 ↘
3	C	0	1 ↑	1 ↑	2 ↘		
4	B	0					

case $i=3$ and $j=3$ $C = C$ so $c[3, 3] = c[2, 2] + 1$ and $b[3, 3] = \nwarrow$

LCS Example (16)

i	j						
		0	1	2	3	4	5
		Yj	B	D	C	A	B
0	Xi	0	0	0	0	0	0
1	A	0	0 ↑	0 ↑	0 ↑	1 ↘	1 ←
2	B	0	1 ↘	1 ←	1 ←	1 ↑	2 ↘
3	C	0	1 ↑	1 ↑	2 ↘	2 ←	
4	B	0					

case $i=3$ and $j=4$ $C \neq A$ $c[2, 4] < c[3, 3]$ so $c[3, 4] = c[3, 3]$ and $b[3, 4] = \rightarrow$

LCS Example (17)

i	j						
		0	1	2	3	4	5
		Yj	B	D	C	A	B
0	Xi	0	0	0	0	0	0
1	A	0	0 ↑	0 ↑	0 ↑	1 ↘	1 ←
2	B	0	1 ↘	1 ←	1 ←	1 ↑	2 ↘
3	C	0	1 ↑	1 ↑	2 ↘	2 ←	2 ↑
4	B	0					

case $i=3$ and $j=5$ $C \neq B$ $c[2, 5] = c[3, 4]$ so $c[3, 5] = c[2, 5]$ and $b[3, 5] = \uparrow$

LCS Example (18)

i	j	Yj	0	1	2	3	4	5
				B	D	C	A	B
0	Xi		0	0	0	0	0	0
1	A		0	0↑	0↑	0↑	1↘	1←
2	B		0	1↘	1←	1←	1↑	2↘
3	C		0	1↑	1↑	2↘	2←	2↑
4	B		0	1↘				

case $i=4$ and $j=1$

$B = B$

so $c[4, 1] = c[3, 0] + 1$ and $b[4, 1] = \nwarrow$

LCS Example (19)

i	j	Yj	0	1	2	3	4	5
				B	D	C	A	B
0	Xi		0	0	0	0	0	0
1	A		0	0↑	0↑	0↑	1↘	1←
2	B		0	1↘	1←	1←	1↑	2↘
3	C		0	1↑	1↑	2↘	2←	2↑
4	B		0	1↘	1↑			

case $i=4$ and $j=2$

$B \neq D$

$c[3, 2] = c[4, 1]$

so $c[4, 2] = c[3, 2]$ and $b[4, 2] = \uparrow$

LCS Example (20)

i	j	Yj	0	1	2	3	4	5
				B	D	C	A	B
0	Xi		0	0	0	0	0	0
1	A		0	0↑	0↑	0↑	1↘	1←
2	B		0	1↘	1←	1←	1↑	2↘
3	C		0	1↑	1↑	2↘	2←	2↑
4	B		0	1↘	1↑	2↑		

case $i=4$ and $j=3$

$B \neq C$

$c[3, 3] > c[4, 2]$

so $c[4, 3] = c[3, 3]$ and $b[4, 3] = \uparrow$

LCS Example (21)

i	j	Yj	0	1	2	3	4	5
				B	D	C	A	B
0	Xi		0	0	0	0	0	0
1	A		0	0↑	0↑	0↑	1↘	1←
2	B		0	1↘	1←	1←	1↑	2↘
3	C		0	1↑	1↑	2↘	2←	2↑
4	B		0	1↘	1↑	2↑	2↑	

case $i=4$ and $j=4$

$B \neq A$

$c[3, 4] = c[4, 3]$

so $c[4, 4] = c[3, 4]$ and $b[4, 5] = \uparrow$

LCS Example (22)

i	j	Yj	0	1	2	3	4	5
				B	D	C	A	B
0	Xi		0	0	0	0	0	0
1	A		0	0↑	0↑	0↑	1↘	1←
2	B		0	1↘	1←	1←	1↑	2↘
3	C		0	1↑	1↑	2↘	2←	2↑
4	B		0	1↘	1↑	2↑	2↑	3↘

case $i=4$ and $j=5$

$B = B$

so $c[4, 5] = c[3, 4] + 1$ and $b[4, 5] = \nwarrow$

LCS Algorithm Running Time

- LCS algorithm calculates the values of each entry of the array $c[m, n]$
- So the running time is clearly $O(mn)$ as each entry is done in 3 steps.
- Now how to get at the solution?
- We use the arrows we created to guide us.
- We simply follow arrows back to base case 0

Finding LCS

i	j						
		0	1	2	3	4	5
		Yj	B	D	C	A	B
0	Xi	0	0	0	0	0	0
1	A	0	0	0	0	1	1
2	B	0	1	1	1	1	2
3	C	0	1	1	2	2	2
4	B	0	1	1	2	2	3

Finding LCS (2)

i	j						
		0	1	2	3	4	5
		Yj	B	D	C	A	B
0	Xi	0	0	0	0	0	0
1	A	0	0	0	0	1	1
2	B	0	1	1	1	1	2
3	C	0	1	1	2	2	2
4	B	0	1	1	2	2	3

LCS (reversed order): **B C B**LCS (straight order): **B C B**
(this string turned out to be a palindrome)

```

LCS-Length(X, Y)
m = length(X), n = length(Y)
for i = 1 to m
  do c[i, 0] = 0
for j = 0 to n
  do c[0, j] = 0
for i = 1 to m
  do for j = 1 to n
    do if (xi == yj)
      then c[i, j] = c[i - 1, j - 1] + 1
      b[i, j] = "↖"
    else if c[i - 1, j] >= c[i, j - 1]
      then c[i, j] = c[i - 1, j]
      b[i, j] = "↑"
    else c[i, j] = c[i, j - 1]
      b[i, j] = "←"
return c and b

```

End