

## Elementary Graph Algorithms

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## Graphs

- ♦ **Graph**  $G = (V, E)$ 
  - »  $V$  = set of vertices
  - »  $E$  = set of edges  $\subseteq (V \times V)$
- ♦ **Types of graphs**
  - » **Undirected:** edge  $(u, v) = (v, u)$ ; for all  $v, (v, v) \notin E$  (No self loops.)
  - » **Directed:**  $(u, v)$  is edge from  $u$  to  $v$ , denoted as  $u \rightarrow v$ . Self loops are allowed.
  - » **Weighted:** each edge has an associated weight, given by a weight function  $w : E \rightarrow \mathbf{R}$ .
  - » **Dense:**  $|E| \approx |V|^2$ .
  - » **Sparse:**  $|E| \ll |V|^2$ .
- ♦  $|E| = O(|V|^2)$

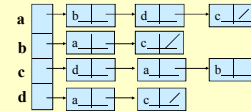
## Graphs

- ♦ If  $(u, v) \in E$ , then vertex  $v$  is **adjacent** to vertex  $u$ .
- ♦ **Adjacency relationship is:**
  - » Symmetric if  $G$  is undirected.
  - » Not necessarily so if  $G$  is directed.
- ♦ If  $G$  is **connected**:
  - » There is a **path** between every pair of vertices.
  - »  $|E| \geq |V| - 1$ .
  - » Furthermore, if  $|E| = |V| - 1$ , then  $G$  is a tree.
- ♦ Other definitions in Appendix B (B.4 and B.5) as needed.

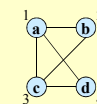
## Representation of Graphs

- ♦ **Two standard ways.**

- » **Adjacency Lists.**



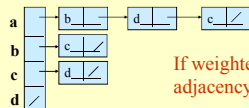
- » **Adjacency Matrix.**



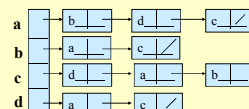
|   | 1 | 2 | 3 | 4 |
|---|---|---|---|---|
| 1 | 0 | 1 | 1 | 1 |
| 2 | 1 | 0 | 1 | 0 |
| 3 | 1 | 1 | 0 | 1 |
| 4 | 1 | 0 | 1 | 0 |

## Adjacency Lists

- ♦ Consists of an array  $Adj$  of  $|V|$  lists.
- ♦ One list per vertex.
- ♦ For  $u \in V$ ,  $Adj[u]$  consists of all vertices adjacent to  $u$ .



If weighted, store weights also in adjacency lists.



## Storage Requirement

- ♦ **For directed graphs:**

- » Sum of lengths of all adj. lists is

$$\sum_{v \in V} \text{out-degree}(v) = |E|$$

No. of edges leaving  $v$

- » Total storage:  $\Theta(V+E)$

- ♦ **For undirected graphs:**

- » Sum of lengths of all adj. lists is

$$\sum_{v \in V} \text{degree}(v) = 2|E|$$

No. of edges incident on  $v$ . Edge  $(u,v)$  is incident on vertices  $u$  and  $v$ .

- » Total storage:  $\Theta(V+E)$

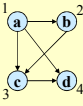
## Pros and Cons: adj list

- ♦ Pros
  - » **Space-efficient**, when a graph is sparse.
  - » Can be modified to support many graph variants.
- ♦ Cons
  - » **Determining if an edge  $(u,v) \in G$  is not efficient.**
    - Have to search in  $u$ 's adjacency list.  $\Theta(\text{degree}(u))$  time.
    - $\Theta(V)$  in the worst case.

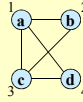
## Adjacency Matrix

- ♦  $|V| \times |V|$  matrix  $A$ .
- ♦ Number vertices from 1 to  $|V|$  in some arbitrary manner.
- ♦  $A$  is then given by:

$$A[i, j] = a_{ij} = \begin{cases} 1 & \text{if } (i, j) \in E \\ 0 & \text{otherwise} \end{cases}$$



|   | 1 | 2 | 3 | 4 |
|---|---|---|---|---|
| 1 | 0 | 1 | 1 | 1 |
| 2 | 0 | 0 | 1 | 0 |
| 3 | 0 | 0 | 0 | 1 |
| 4 | 0 | 0 | 0 | 0 |



|   | 1 | 2 | 3 | 4 |
|---|---|---|---|---|
| 1 | 0 | 1 | 1 | 1 |
| 2 | 1 | 0 | 1 | 0 |
| 3 | 1 | 1 | 0 | 1 |
| 4 | 1 | 0 | 1 | 0 |

$A = A^T$  for undirected graphs.

## Space and Time

- ♦ **Space:**  $\Theta(V^2)$ .
  - » Not memory efficient for large graphs.
- ♦ **Time:** to list all vertices adjacent to  $u$ :  $\Theta(V)$ .
- ♦ **Time:** to determine if  $(u, v) \in E$ :  $\Theta(1)$ .
- ♦ Can store weights instead of bits for weighted graph.

## Graph-searching Algorithms

- ♦ **Searching a graph:**
  - » Systematically follow the edges of a graph to visit the vertices of the graph.
- ♦ Used to **discover the structure of a graph**.
- ♦ Standard graph-searching algorithms.
  - » Breadth-first Search (BFS).
  - » Depth-first Search (DFS).

## Breadth-first Search

- ♦ **Input:** Graph  $G = (V, E)$ , either directed or undirected, and **source vertex**  $s \in V$ .
- ♦ **Output:**
  - »  $d[v]$  = distance (smallest # of edges, or shortest path) from  $s$  to  $v$ , for all  $v \in V$ .  $d[v] = \infty$  if  $v$  is not reachable from  $s$ .
  - »  $\pi[v] = u$  such that  $(u, v)$  is last edge on shortest path  $s \rightsquigarrow v$ .
    - $u$  is  $v$ 's **predecessor**.
  - » Builds breadth-first tree with root  $s$  that contains all reachable vertices.

### Definitions:

**Path** between vertices  $u$  and  $v$ : Sequence of vertices  $(v_1, v_2, \dots, v_k)$  such that  $u=v_1$  and  $v=v_k$ , and  $(v_i, v_{i+1}) \in E$ , for all  $1 \leq i \leq k-1$ . **Error!**

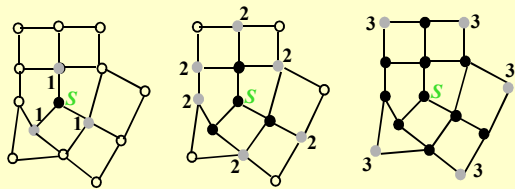
**Length of the path:** Number of edges in the path.

Path is **simple** if no vertex is repeated.

## Breadth-first Search

- ♦ Expands the frontier between discovered and undiscovered vertices **uniformly** across the breadth of the frontier.
  - » A vertex is "**discovered**" the first time it is encountered during the search.
  - » A vertex is "**finished**" if all vertices adjacent to it have been discovered.
- ♦ Colors the vertices to keep track of progress.
  - » **White** – Undiscovered.
  - » **Gray** – Discovered but not finished.
  - » **Black** – Finished.
    - Colors are required only to reason about the algorithm. Can be implemented without colors.

## BFS for Shortest Paths



● Finished    ● Discovered    ○ Undiscovered

### BFS(G,s)

```

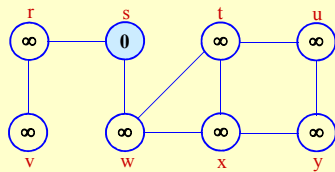
1. for each vertex u in V[G] - {s}
2.   do color[u] ← white
3.   d[u] ← ∞
4.   π[u] ← nil
5. color[s] ← gray
6. d[s] ← 0
7. π[s] ← nil
8. Q ← ∅
9. enqueue(Q,s)
10. while Q ≠ ∅
11.   do u ← dequeue(Q)
12.     for each v in Adj[u]
13.       do if color[v] = white
14.         then color[v] ← gray
15.            d[v] ← d[u] + 1
16.            π[v] ← u
17.            enqueue(Q,v)
18.   color[u] ← black
    
```

white: undiscovered  
gray: discovered  
black: finished

Q: a queue of discovered  
vertices  
color[v]: color of v  
d[v]: distance from s to v  
π[u]: predecessor of v

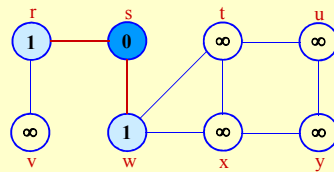
Example: animation.

## Example (BFS)



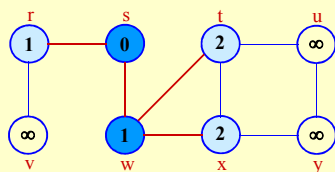
Q: s  
0

## Example (BFS)



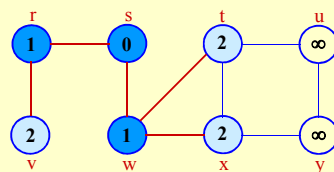
Q: w r  
1 1

## Example (BFS)



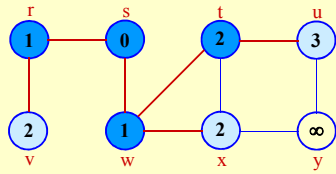
Q: r t x  
1 2 2

## Example (BFS)



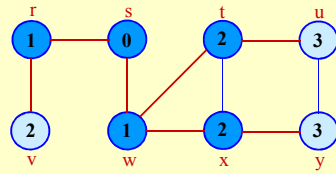
Q: t x v  
2 2 2

### Example (BFS)



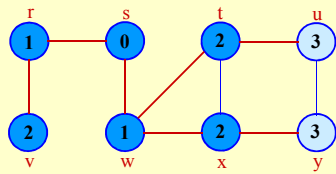
Q: x v u  
2 2 3

### Example (BFS)



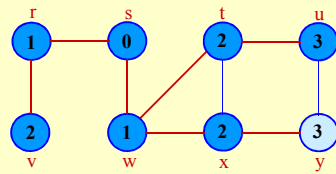
Q: v u y  
2 3 3

### Example (BFS)



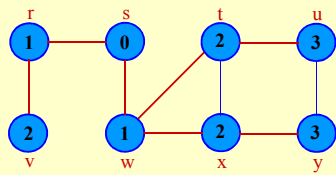
Q: u y  
3 3

### Example (BFS)



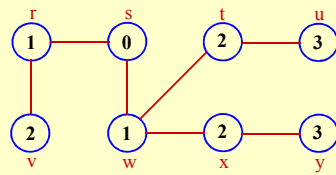
Q: y  
3

### Example (BFS)



Q: ∅

### Example (BFS)



BF Tree

## Analysis of BFS

- Initialization takes  $O(V)$ .
- Traversal Loop
  - » After initialization, each vertex is enqueued and dequeued at most once, and each operation takes  $O(1)$ . So, total time for queuing is  $O(V)$ .
  - » The adjacency list of each vertex is scanned at most once. The sum of lengths of all adjacency lists is  $O(E)$ .
- Summing up over all vertices  $\Rightarrow$  total running time of BFS is  $O(V+E)$ , linear in the size of the adjacency list representation of graph.
- **Correctness Proof**
  - » We omit for BFS and DFS.
  - » Will do for later algorithms.

## Breadth-first Tree

- For a graph  $G = (V, E)$  with source  $s$ , the **predecessor subgraph** of  $G$  is  $G_\pi = (V_\pi, E_\pi)$  where
  - »  $V_\pi = \{v \in V : \pi[v] \neq \text{NIL}\} \cup \{s\}$
  - »  $E_\pi = \{(\pi[v], v) \in E : v \in V_\pi - \{s\}\}$
- The predecessor subgraph  $G_\pi$  is a **breadth-first tree** if:
  - »  $V_\pi$  consists of the vertices reachable from  $s$  and
  - » for all  $v \in V_\pi$ , there is a unique simple path from  $s$  to  $v$  in  $G_\pi$  that is also a shortest path from  $s$  to  $v$  in  $G$ .
- The edges in  $E_\pi$  are called **tree edges**.  
 $|E_\pi| = |V_\pi| - 1$ .