All programs should be written in Python 3, unless specified otherwise in the problem instructions. Don't use any external libraries (that are not part of the Python 3 distribution) unless otherwise specified.

## Mandatory part

- 1. (Bigram models) We want a program that computes all bigram probabilites from a given (training) corpus, and stores it in a file. For instance, from the file data/small.txt we want to produce the contents of the file small\_model\_correct.txt. Note that:
  - The first line contains two numbers, separated by space: The vocabulary size V (= the number of unique tokens, including punctuation), and the size of the corpus N (= the total number of tokens).
  - Then follows V lines, each containing three items: an identifier (0, 1, ...), a token, and the number of times that token appears in the corpus.
  - Then follows a number of lines, one for each non-zero bigram probability. Each line contains three numbers: The identifiers of the first and second token of the bigram, respectively, followed by the logarithm of the bigram probability, printed with 15 decimals. The natural logarithm is used (as computed by the math.log library method).
  - The final line is "-1" to mark end-of-file.

The BigramTrainer.py program contains a skeleton program for reading a corpus, computing unigram counts and bigram probabilities, and printing the model. Your task is to extend the code so that the program works correctly (look for the comments YOUR CODE HERE in the program). First go to the folder LanguageModels and type:

```
pip install -r requirements.txt
```

Now everything needed for the assignment should be installed. To run the program on test examples use the scripts run\_trainer\_small.sh and run\_trainer\_kafka.sh

You can use the -d option to save the model to file, e.g.:

```
python BigramTrainer.py -f data/kafka.txt -d kafka_model.txt
```

If you are using Windows, printing the model to the terminal will likely lead to character encoding errors.

2. (Text generation) It is now possible to generate words by sampling from the language model. If, for example, the last generated word was red, and according to the model red can be followed by one of the words ball with p = 0.5, toy with p = 0.3, or car with p = 0.2, then the next generated word should be either ball, toy, car with probabilities 0.5, 0.3 and 0.2, respectively.

The Generator.py program contains a skeleton program for generating words from a language model in the way just described. Extend the code so that the program works correctly (look for

the comments YOUR CODE HERE in the program). Then generate some words from the various models you have constructed in the preceding problems. (In the rare event where all bigram probabilies from the last generated word are zero, then pick any word at random using a uniform distribution).

- 3. (Evaluating n-gram models) The BigramTester.py program contains a skeleton program for reading a model on the format described in the problem 1, reading a test corpus, and computing the entropy of the test corpus given the model.
  - (a) Extend the code so that the program works correctly (look for the comments YOUR CODE HERE in the program). The entropy of the test set is computed as the average log-probability:

$$-\frac{1}{N}\sum_{i=1}^{N}\log P(w_{i-1}w_i)$$

where N is the number of tokens in the test corpus. To be able to handle missing words and missing bigrams, use linear interpolation:

$$P(w_{i-1}w_i) = \lambda_1 P(w_i|w_{i-1}) + \lambda_2 P(w_i) + \lambda_3$$

The values for the constants  $\lambda_1$ ,  $\lambda_2$  and  $\lambda_3$  are given in the code for the BigramTester program. The script run\_tester\_small\_kafka.sh tests the model built from small.txt using kafka.txt as a test corpus, and the script run\_tester\_kafka\_small.sh tests the model build from kafka.txt on the test corpus small.txt. Compare your numbers to the correct\_entropies.txt file.

- (b) Build a model from the file data/guardian\_training.txt and another model from the file data/austen\_training.txt. Compute the entropy of the test file guardian\_test.txt and the test file austen\_test.txt, using both models. Report your numbers and your conclusions from these experiments!
  - guardian\_train x guardian\_test: 6.56, guardian\_train x austen\_test: 6.62, nb\_words\_guardian : 871878 austen\_train x guardian\_test: 9.75, austen\_train x austen\_test: 6.97, nb\_words\_guardian : 10738
- 4. (Named Entity Recognition) In this problem, we will explore the use of binary logistic regression for doing named entity recognition. You will extend BinaryLogisticRegression.py to make it train a binary logistic regression model from a training set, and to use that model to classify words from a test set as either 'name' or 'not name'.

Have a look in the training file ner\_training.csv. Every line consists of a word and a label. If the label is 'O', then the word is not a name; if it something else, then the word is a name of some kind. Currently we will consider all of these as just 'names'.

The class NER.py reads a corpus on this format, and transforms it to a vector of labels, and a vector of features. The labels are either 1 (if the word is a name), or 0 (if it is not). There are two features: The first feature is 1 if the word is capitalized (starts with an uppercase letter), and 0 if it does not. The second feature is 1 if the word is the first word of a sentence, and 0 if it is not. For instance, from the row

Demonstrators, O

we get the label 0, since the word is not a name, and the feature vector (1,1), since the word is capitalized and first in a sentence. These features are computed by the methods capitalized\_token and first\_token\_in\_sentence, respectively.

Note that when you call the class BinaryLogisticRegression.py, an extra "dummy" feature (which is always 1) is added to each datapoint. The datapoints are thus represented as a matrix x of size DATAPOINTS  $\times$  (FEATURES + 1), and the corresponding labels as a vector y of length DATAPOINTS.

(a) Add code to the class BinaryLogisticRegression.py: the method fit should implement batch gradient descent to compute the model parameter vector  $\theta$ , where  $\theta_0$  is the bias term, and  $\theta_1$  and  $\theta_2$  are the weights for features 1 and 2, respectively. The method conditionalProb should compute the conditional probability P(label|d), where label is either 1 or 0, and d is the index of the datapoint. Test your model on the test set ner\_test.csv by running the script

## run\_batch\_gradient\_descent.sh.

To view the progress of the algorithm, you may plot the gradient (see problem (b) below). Batch gradient descent: (m is the number of datapoints, n is the number of features,

Batch gradient descent: (m is the number of datapoints, n is the number of features,  $\alpha$  is the learning rate). Convergence happens when the absolute value of all the partial derivatives gradient [k] are below the constant CONVERGENCE\_MARGIN.

```
Repeat until convergence: for k = 0 to n: gradient[k] = \frac{1}{m} \sum_{i=1}^m x_k^{(i)} (h_{\theta}(x^{(i)}) - y^{(i)}) for k = 0 to n: \theta[k] = \theta[k] - \alpha * \text{gradient}[k]
```

Recall that  $h_{\theta}(x) = \sigma(\theta^T x) = P(y = 1|x)$ .

(b) Track the convergence by inserting the following call at a suitable place in the loop.

```
update_plot(np.sum(np.square(self.gradient)))
```

However, note that plotting every iteration might slow down the computation considerably. If the learning is slow, try increasing the learning rate.

(c) Add code to the method stochastic\_fit so that it implements stochastic gradient descent to compute  $\theta$ . Use plotting to track the convergence. Test your code by running the script

```
run_stochastic_gradient_descent.sh.
```

What is the difference in performance compared to batch gradient descent?

Stochastic gradient descent:

```
Repeat a fixed number of times (e.g. 10m), or until convergence: Select i randomly, 0 \le i \le m: for k = 0 to n: gradient[k] = x_k^{(i)}(h_\theta(x^{(i)}) - y^{(i)}) for k = 0 to n: \theta[k] = \theta[k] - \alpha * \text{gradient}[k]
```

(d) Add code to the method minibatch\_fit so that it implements minibatch gradient descent. Use plotting to track the convergence. To test your code please run

```
\verb"run_minibatch_gradient_descent.sh".
```

What is the difference in performance compared to the earlier variants of gradient descent?

(e) Compute the **accuracy** of the model given the testset, as well as the **precision** and **recall** of the classes "name" and "no name". Present your numbers, and explain how you computed them.

```
accuracy = TP + TN / all test = 0.96, precision = TP / (TP+FP) = 0.99, recall = TP / (TP+FN) = 0.96
```

(f) Try to improve on the results by adding a new feature, or by modifying some existing feature.

Predicted class: 0 83915.000 3284.000 add "in the previous word symbol 1 795.000 12004.000

Optional part

5. (Classification for transition-based dependency parsing) In assignment 1, you implemented the function compute\_correct\_move, where we used a correct parse tree as an oracle for predicting the next best move given the current parser configuration. In reality, of course, we don't know the correct parse tree for every sentence. To remedy that, we have to create an automatic move classifier that is able to assign probabilities to every possible action, i.e. shift (SH), left-arc (LA) or right-arc (RA). In this problem we will train such a classifier using logistic regression.

The problem is a 3-way classification problem (one has to predict one of the 3 classes SH, LA, RA), and therefore we are going to employ *multinomial logistic regression*. The skeleton for this problem is located in DepParser subfolder of the assignment 2 zip-folder.

(a) Implement the methods for multinomial logistic regression having the comment YOUR CODE HERE in logreg.py. Detect when the model is overfitting by checking the loss on a validation set. In this task we'll employ **early stopping**, saying that the model overfits if the validation loss increases for *P* measurements straight (*P* is sometimes called **patience**). Incorporate early stopping to the method fit of LogisticRegression.

To test your implementation of multinomial logistic regression on a toy problem run the following command:

```
python logreg.py
```

If implemented correctly, you should get 100% accuracy on the held-out validation (development) set.

(b) Implement the build and evaluate methods of the TreeConstructor class in the file dep\_classify.py to build dependency parsing trees and calculate the ratio of correctly parsed sentences and the ratio of correctly assigned arcs (=unlabeled arc score, UAS), respectively. Use the trained logistic regression model to predict the next move for each parser configuration and select the one with the highest probability if such move is valid, otherwise select the move with the second highest probability, and so on.

Note that our move classifier can't predict the end of parsing, so you'll have to check for the terminal condition yourself (the buffer is empty and the stack only contains the ROOT node). To test your implementation run the following command:

## python dep\_classify.py

Our reference implementation gets a move-level accuracy of around 80%, the UAS of around 55%, and sentence-level accuracy of around 20% without proper hyper-parameter tuning. Try to push these numbers and see where you get!

To help you with the implementation, here are some hints:

- When running dep\_classify.py, the trained logistic regression model is stored in the binary file model.pkl and then reloaded on the subsequent runs. If you want to train the model again, please remove model.pkl and run dep\_classify.py again.
- When implementing a multinomial logistic regression, first make sure that the gradient computations are implemented correctly by checking whether the training loss steadily decreases. You can plot the value of the loss continuously by simply calling the method update\_plot of LogisticRegression class, i.e. self.update\_plot(loss).
- When you have ensured that gradients are computed correctly, do **NOT** compute the training loss, as it will take too much time. Compute and plot only the validation loss to implement early stopping.
- Reuse as much code as possible from your implementation of BinaryLogisticRegression.
- Experiment with the number of features by changing the THRESHOLD instance variable of the Dataset class in parse\_dataset.py.
- If you want to perform hyper-parameter search (e.g., adjusting learning rate, batch size, etc) in a principled manner, you could try doing a grid search, but this is **NOT** required.