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# UCF ICPC Training Camp

## Lecture II: FFT Variants

— Christian Yongwhan Lim —  
Saturday, March 30, 2024

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# Christian Yongwhan Lim



## Education



## Part-time Jobs



## Full-time Job



## Workshops



## Coach/Judge



<https://www.yongwhan.io>

# Christian Yongwhan Lim



- Currently:
  - **CEO** (Co-Founder) in a Stealth Mode Startup;
  - **Co-Founder** in Christian and Grace Consulting;
  - **ICPC Internship Manager**;
  - **ICPC North America Leadership Team**;
  - **Columbia ICPC Head Coach**;
  - **ICPC Judge** for NAQ and Regionals;
  - **ICPC NAPC Trainer**;
  - **Adjunct** (Associate in CS) at Columbia;



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# Today's Format

10:30am ET - 12:00pm ET

**Lecture: FFT Variants**

12pm ET - 12:30pm ET

**Lunch**

12:45pm ET - 5:45pm ET

**Saturday Practice Contest**

# Fast Fourier Transform [Cooley and Tukey, 1965]

- **Multiply two polynomials of length  $n$**  in  $O(n \log n)$  time, which is better than the trivial multiplication which takes  $O(n^2)$  time.

# Discrete Fourier Transform (DFT)

$$w_{n,k} = e^{\frac{2k\pi i}{n}} \quad w_{n,k} = (w_n)^k.$$

$$\begin{aligned} \text{DFT}(a_0, a_1, \dots, a_{n-1}) &= (y_0, y_1, \dots, y_{n-1}) \\ &= (A(w_{n,0}), A(w_{n,1}), \dots, A(w_{n,n-1})) \\ &= (A(w_n^0), A(w_n^1), \dots, A(w_n^{n-1})) \end{aligned}$$

# Inverse Discrete Fourier Transform (Inverse DFT)

$$\text{InverseDFT}(y_0, y_1, \dots, y_{n-1}) = (a_0, a_1, \dots, a_{n-1})$$

# Discrete Fourier Transform (DFT)

$$(A \cdot B)(x) = A(x) \cdot B(x).$$

$$\text{DFT}(A \cdot B) = \text{DFT}(A) \cdot \text{DFT}(B)$$

$$A \cdot B = \text{InverseDFT}(\text{DFT}(A) \cdot \text{DFT}(B))$$



# Fast Fourier Transform (FFT)

$$A(x) = a_0x^0 + a_1x^1 + \cdots + a_{n-1}x^{n-1}$$

$$A_0(x) = a_0x^0 + a_2x^1 + \cdots + a_{n-2}x^{\frac{n}{2}-1}$$

$$A_1(x) = a_1x^0 + a_3x^1 + \cdots + a_{n-1}x^{\frac{n}{2}-1}$$

$$A(x) = A_0(x^2) + xA_1(x^2).$$

# Fast Fourier Transform (FFT)

$$\left(y_k^0\right)_{k=0}^{n/2-1} = \text{DFT}(A_0) \quad \left(y_k^1\right)_{k=0}^{n/2-1} = \text{DFT}(A_1)$$

$$y_k = y_k^0 + w_n^k y_k^1, \quad k = 0 \dots \frac{n}{2} - 1.$$

# Fast Fourier Transform (FFT)

$$\begin{aligned}y_{k+n/2} &= A \left( w_n^{k+n/2} \right) \\&= A_0 \left( w_n^{2k+n} \right) + w_n^{k+n/2} A_1 \left( w_n^{2k+n} \right) \\&= A_0 \left( w_n^{2k} w_n^n \right) + w_n^k w_n^{n/2} A_1 \left( w_n^{2k} w_n^n \right) \\&= A_0 \left( w_n^{2k} \right) - w_n^k A_1 \left( w_n^{2k} \right) \\&= y_k^0 - w_n^k y_k^1\end{aligned}$$

# Fast Fourier Transform (FFT)

$$y_k = y_k^0 + w_n^k y_k^1,$$

$$k = 0 \dots \frac{n}{2} - 1,$$

$$y_{k+n/2} = y_k^0 - w_n^k y_k^1,$$

$$k = 0 \dots \frac{n}{2} - 1.$$

# Inverse Fast Fourier Transform (Inverse FFT)

$$\begin{pmatrix} w_n^0 & w_n^0 & w_n^0 & w_n^0 & \dots & w_n^0 \\ w_n^0 & w_n^1 & w_n^2 & w_n^3 & \dots & w_n^{n-1} \\ w_n^0 & w_n^2 & w_n^4 & w_n^6 & \dots & w_n^{2(n-1)} \\ w_n^0 & w_n^3 & w_n^6 & w_n^9 & \dots & w_n^{3(n-1)} \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ w_n^0 & w_n^{n-1} & w_n^{2(n-1)} & w_n^{3(n-1)} & \dots & w_n^{(n-1)(n-1)} \end{pmatrix} \begin{pmatrix} a_0 \\ a_1 \\ a_2 \\ a_3 \\ \vdots \\ a_{n-1} \end{pmatrix} = \begin{pmatrix} y_0 \\ y_1 \\ y_2 \\ y_3 \\ \vdots \\ y_{n-1} \end{pmatrix}$$

Vandermonde matrix

# Inverse Fast Fourier Transform (Inverse FFT)

$$\begin{pmatrix} a_0 \\ a_1 \\ a_2 \\ a_3 \\ \vdots \\ a_{n-1} \end{pmatrix} = \begin{pmatrix} w_n^0 & w_n^0 & w_n^0 & w_n^0 & \dots & w_n^0 \\ w_n^0 & w_n^1 & w_n^2 & w_n^3 & \dots & w_n^{n-1} \\ w_n^0 & w_n^2 & w_n^4 & w_n^6 & \dots & w_n^{2(n-1)} \\ w_n^0 & w_n^3 & w_n^6 & w_n^9 & \dots & w_n^{3(n-1)} \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ w_n^0 & w_n^{n-1} & w_n^{2(n-1)} & w_n^{3(n-1)} & \dots & w_n^{(n-1)(n-1)} \end{pmatrix}^{-1} \begin{pmatrix} y_0 \\ y_1 \\ y_2 \\ y_3 \\ \vdots \\ y_{n-1} \end{pmatrix}$$

# Inverse Fast Fourier Transform (Inverse FFT)

$$\frac{1}{n} \begin{pmatrix} w_n^0 & w_n^0 & w_n^0 & w_n^0 & \dots & w_n^0 \\ w_n^0 & w_n^{-1} & w_n^{-2} & w_n^{-3} & \dots & w_n^{-(n-1)} \\ w_n^0 & w_n^{-2} & w_n^{-4} & w_n^{-6} & \dots & w_n^{-2(n-1)} \\ w_n^0 & w_n^{-3} & w_n^{-6} & w_n^{-9} & \dots & w_n^{-3(n-1)} \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ w_n^0 & w_n^{-(n-1)} & w_n^{-2(n-1)} & w_n^{-3(n-1)} & \dots & w_n^{-(n-1)(n-1)} \end{pmatrix}$$

# Inverse Fast Fourier Transform (Inverse FFT)

$$a_k = \frac{1}{n} \sum_{j=0}^{n-1} y_j w_n^{-kj}$$

$$y_k = \sum_{j=0}^{n-1} a_j w_n^{kj}$$



# Fast Fourier Transform: Implementation

```
using cd = complex<double>;
const double PI = acos(-1);
void fft(vector<cd> & a, bool invert) {
    int n = a.size();
    if (n == 1) return;
    vector<cd> a0(n / 2), a1(n / 2);
    for (int i = 0; 2 * i < n; i++)
        a0[i] = a[2*i], a1[i] = a[2*i+1];
    fft(a0, invert), fft(a1, invert);
```

# Fast Fourier Transform: Implementation

```
double ang = 2 * PI / n * (invert ? -1 : 1);
cd w(1), wn(cos(ang), sin(ang));
for (int i = 0; 2 * i < n; i++) {
    a[i] = a0[i] + w * a1[i];
    a[i + n/2] = a0[i] - w * a1[i];
    if (invert)
        a[i] /= 2, a[i + n/2] /= 2;
    w *= wn;
}
```

# Fast Fourier Transform: Multiplying Two Polynomials

```
vector<int> multiply(vector<int> const& a,
                    vector<int> const& b) {
    vector<cd> fa(a.begin(), a.end()),
               fb(b.begin(), b.end());

    int n = 1;
    while (n < a.size() + b.size()) n <= 1;
    fa.resize(n), fb.resize(n);
    fft(fa, false), fft(fb, false);
    for (int i = 0; i < n; i++) fa[i] *= fb[i];
    fft(fa, true);
```

# Fast Fourier Transform: Multiplying Two Polynomials

```
vector<int> result(n);  
for (int i = 0; i < n; i++)  
    result[i] = round(fa[i].real());  
return result;  
}
```

# Number Theoretic Transform (NTT)

- The NTT is a generalization of the classic DFT to finite fields (e.g., a polynomial with coefficients modulo a prime  $P$ ).
- A fast convolutions on integer sequences can be performed **without any round-off errors**, guaranteed.
  - Useful for multiplying large numbers or long polynomials
  - NTT is faster than Karatsuba.

# Number Theoretic Transform (NTT)

- Suppose the input vector is a sequence of  $n$  non-negative integers.
- Choose a minimum working modulus  $M$  such that  $1 \leq n < M$  and every input value is in the range  $[0, M)$ .
- Select some integer  $k \geq 1$  and define  $P = kn + 1$  as the working modulus. We require  $P$  is a prime number at least  $M$ .
  - **Dirichlet's theorem** guarantees that for any  $n$  and  $M$ , there exists some choice of  $k$  to make  $P$  a prime.
  - Sometimes, for convenience, we pick  $P := 2^k c + 1$  where  $P$  is a prime with some positive integers  $k$  and  $c$ .

# Number Theoretic Transform (NTT)

- Because  $P$  is a prime, the multiplicative group of  $\mathbb{Z}_P$  has size  $\varphi(P)=P-1=kn$ . Also, the group must have at least one generator  $g$ , which is also a primitive  $(P-1)^{\text{th}}$  root of unity.
- Define  $\omega \equiv g^k \pmod{P}$ . We have  $\omega^n = g^{kn} = g^{P-1} = g^{\varphi(P)} \equiv 1 \pmod{P}$  due to **Euler's theorem**. Also, because  $g$  is a generator, we know that  $\omega^i = g^{ik} \not\equiv 1$  for  $1 \leq i < n$  because  $ik < nk = N-1$ . Hence  $\omega$  is a primitive  $n^{\text{th}}$  root of unity, as required by the DFT of length  $n$ .

# Number Theoretic Transform (NTT)

- The rest of the procedure for the forward and inverse transforms is identical to the complex DFT.
  - Moreover, the NTT can be modified to implement a FFT algorithm such as Cooley–Tukey.



# FFT + Generating Functions

- Often, the power of FFT comes from coupling it with generating functions.
- In particular, the  $i^{\text{th}}$  coefficients of the generating function encodes the information about the  $i^{\text{th}}$  term in a combinatorial object.

# Generating Function

- a way of encoding an infinite sequence of numbers  $(a_n)$  by treating them as the **coefficients** of a formal **power series**.

# Ordinary Generating Function (OGF)

$$G(a_n; x) = \sum_{n=0}^{\infty} a_n x^n.$$

$$G(a_{m,n}; x, y) = \sum_{m,n=0}^{\infty} a_{m,n} x^m y^n$$

# Exponential Generating Function (EGF)

$$\text{EG}(a_n; x) = \sum_{n=0}^{\infty} a_n \frac{x^n}{n!}$$

## Generating Function: Example: Geometric Series

$$\sum_{n=0}^{\infty} x^n = \frac{1}{1-x}$$

1, 1, 1, 1, 1, ...

$$\sum_{n=0}^{\infty} (ax)^n = \frac{1}{1-ax}$$

1, a, a<sup>2</sup>, a<sup>3</sup>, a<sup>4</sup>, a<sup>5</sup>, ...

# Generating Function: Common Series

$$\frac{1}{1-x} = 1 + x + x^2 + \dots = \sum_{n \geq 0} x^n$$

$$-\ln(1-x) = x + \frac{x^2}{2} + \frac{x^3}{3} + \dots = \sum_{n \geq 1} \frac{x^n}{n}$$

$$e^x = 1 + x + \frac{x^2}{2!} + \frac{x^3}{3!} + \dots = \sum_{n \geq 0} \frac{x^n}{n!}$$

$$(1-x)^{-k} = \binom{k-1}{0} x^0 + \binom{k}{1} x^1 + \binom{k+1}{2} x^2 + \dots = \sum_n \binom{n+k-1}{n} x^n$$

## Example #1: OGF of Fibonacci Number

- Consider the sequence  $f_n$  defined by  $f_0=0$  ,  $f_1=1$  and  $f_n=f_{n-1}+f_{n-2}$  for  $n\geq 2$ .
- Find the OGF of  $f$  (we usually denote it with a capital letter, say  $F$ ).

## Example #1: OGF of Fibonacci Number: Solution

- Clearly,  $f_n$  is the  $n$ th Fibonacci number. We will use the recurrence relation to find the OGF of  $f_n$ .
- Firstly, we need to make the terms of the series appear. The easiest way to do this is to multiply the recurrence relation by  $x^n$  to obtain  $f_n x^n = f_{n-1} x^n + f_{n-2} x^n$ .
- Next, we sum up the terms on both sides over all valid  $n$  (in this case  $n \geq 2$ ) to obtain:

$$\sum_{n=2}^{\infty} f_n x^n = x \sum_{n=2}^{\infty} f_{n-1} x^{n-1} + x^2 \sum_{n=2}^{\infty} f_{n-2} x^{n-2}$$



## Example #1: OGF of Fibonacci Number: Solution (con't)

- This is equivalent to:
  - $F(x) - f_0x^0 - f_1x^1 = x(F(x) - f_0x^0) + x^2F(x)$
  - $F(x) - x = (x + x^2)F(x)$
  - $F(x)(1 - x - x^2) = x$
  - $F(x) = x / (1 - x - x^2)$

## Example #2: OGF of Catalan Number

- The Catalan numbers  $c_n$  are defined by  $c_0=1$  and  $c_{n+1}=\sum c_i c_{n-i}$  for  $n \geq 0$ .
- Find the OGF of  $c_n$ .

## Example #2: OGF of Catalan Number: Solution

$$\sum_{n=0}^{\infty} c_{n+1} x^{n+1} = \sum_{n=0}^{\infty} \sum_{i=0}^n c_i c_{n-i} x^{n+1} = x \sum_{n=0}^{\infty} \sum_{i=0}^n c_i x^i c_{n-i} x^{n-i}$$

- The LHS is just  $C(x)-1$ .
- The RHS is just  $x C(x)^2$ .
  - Consider the expansion of  $C(x)^2$ .
  - If we look at  $C(x)^2 = (c_0 + c_1 x + c_2 x^2 + \dots)(c_0 + c_1 x + c_2 x^2 + \dots)$ , we see that we can only obtain  $x^n$  by picking  $c_i x^i$  from the first bracket and  $c_{n-i} x^{n-i}$  from the second bracket.
  - Hence, the coefficient of  $x^n$  in  $C(x)^2$  is  $\sum c_i c_{n-i}$ , as desired.

## Example #2: OGF of Catalan Number: Solution (con't)

- Hence, we get  $C(x)-1=xC(x)^2$ .
- Using the quadratic formula, we can obtain:

$$C(x) = \frac{1 \pm \sqrt{1-4x}}{2x}$$

- $C(x)$  can be expanded as a power series at  $x=0$ ; so,  $C(x)$  should converge at  $x=0$ . If we choose **+ sign**, then, as  $x \rightarrow 0$ , (numerator)  $\rightarrow 2$  while the denominator  $\rightarrow 0$ ; so, the ratio will become infinite at 0.
- Thus, we should choose the **- sign** to obtain:

$$C(x) = \frac{1 - \sqrt{1-4x}}{2x}$$

# Trick #1: Multiplication by n

- For both OGF and EGF,  $C(x)=xC'(x)$  generates the sequence  $c_n=na_n$ .

## Trick #2: Left/Right Shifting

- For OGF,  $C(x)=x^k A(x)$  generates the sequence  $c_n=a_{n-k}$  where  $a_i=0$  for  $i<0$ .
- For EGF, you need to integrate the series  $A(x)$   $k$  times to get the same effect.
- For OGF,  $C(x)=(A(x)-(a_0+a_1x+a_2x^2+\dots+a_{k-1}x^{k-1}))/x^k$  generates the sequence  $c_n=a_{n+k}$ .
- For EGF,  $C(x)=A^{(k)}(x)$  generates the sequence  $c_n=a_{n+k}$ , where  $A^{(k)}(x)$  denotes  $A$  differentiated  $k$  times.

## Trick #3: Convolution

- For OGF,  $C(x)=A(x)B(x)$  generates the sequence  $c_n=\sum a_k b_{n-k}$ .
- For EGF,  $C(x)=A(x)B(x)$  generates the sequence  $c_n=\sum c(n,k)a_k b_{n-k}$ .
  - EGF is useful for recurrences with binomial coefficients or factorials.

## Trick #4: Power of Generating Function

- For OGF,  $C(x)=A(x)^k$  generates the sequence

$$c_n = \sum_{i[1]+i[2]+\dots+i[k]=n} a_{i[1]} a_{i[2]} \dots a_{i[k]}$$

- For EGF,  $C(x)=A(x)^k$  generates the sequence

$$c_n = \sum_{i[1]+i[2]+\dots+i[k]=n} \frac{n!}{(i[1]! i[2]! \dots i[k]!)} a_{i[1]} a_{i[2]} \dots a_{i[k]}$$



## Trick #5: Prefix Sum Trick

- This only works for OGF.
- Suppose want to generate the sequence  $c_n = a_0 + a_1 + \dots + a_n$ .
- We can take  $C(x) = 1/(1-x) A(x)$ .
  - If we expand, we get  $(1+x+x^2+\dots)A(x)$ .
  - To obtain the coefficient of  $x_n$ ,  $c_n$ , we need to choose  $x^i$  from the first bracket and  $a_{n-i}$  from  $A(x)$ .
  - Summing over all  $i$  gives us,  $c_n = a_0 + a_1 + \dots + a_n$ .

## Exercise #0 (Warm-Up): A+B Problem

Given  $N$  integers in the range  $[-50\,000, 50\,000]$ , how many ways are there to pick three integers  $a_i, a_j, a_k$ , such that  $i, j, k$  are pairwise distinct and  $a_i + a_j = a_k$ ? Two ways are different if their ordered triples  $(i, j, k)$  of indices are different.

### Input

The first line of input consists of a single integer  $N$  ( $1 \leq N \leq 200\,000$ ). The next line consists of  $N$  space-separated integers  $a_1, a_2, \dots, a_N$ .

### Output

Output an integer representing the number of ways.

## Exercise #0 (Warm-Up): A+B Problem (con't)

### Sample Input 1

```
4
1 2 3 4
```



### Sample Output 1

```
4
```



### Sample Input 2

```
6
1 1 3 3 4 6
```



### Sample Output 2

```
10
```



## Exercise #0 (Warm-Up): A+B Problem (con't)

- Any idea?

## Exercise #0: Solution Idea

- Write a generating function with a solution for  $n$  encoded in the  $n$ th term.

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- Write a generating function with a solution for  $n$  encoded in the  $n$ th term.
- Square the polynomial (using Fast Fourier Transform)!

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- Write a generating function with a solution for  $n$  encoded in the  $n$ th term.
- Square the polynomial (using Fast Fourier Transform)!
- Since  $i$  and  $j$  should be different, subtract the terms that have them same.

## Exercise #0: Solution Idea

- Write a generating function with a solution for  $n$  encoded in the  $n$ th term.
- Square the polynomial (using Fast Fourier Transform)!
- Since  $i$  and  $j$  should be different, subtract the terms that have them same.
- Read off the correct coefficients; to remove negatives, use offset!



# Exercise #1

- Count the number of permutations of length  $n$  with  $k$  cycles.

# Exercise #1: Solution Idea

- **Stirling numbers of the first kind**
- Let  $c_n = (n-1)!$  be the number of permutations of length  $n$  which is a cycle.
- Let  $C(x) = \sum (c_n / n!) x_n$  denote the EGF of  $c$ .
- Let  $f_n$  be our answer and  $F(x)$  be its EGF.

# Exercise #1: Solution Idea

- The key observation here is that  $F(x) = (1/k!)C(x)^k$ .
  - Suppose for a moment our cycles are labelled from 1 to  $k$ .
  - For every permutation, label each element with the label of the cycle it is in. Let's fix the length of cycle  $i$  to be  $a_i$  (so  $\sum a_i = n$ ).
  - Then, there are  $c_{ai}$  ways to permute the elements in the  $i^{\text{th}}$  cycle and  $n!/(a_1!a_2!\dots a_k!)$  ways to assign cycle labels to the elements of the permutation.
  - Finally, in our actual problem, the order of cycles doesn't matter, so we need to divide by  $k!$  in the end.

# Exercise #1: Solution Idea

- The answer is:

$$\frac{n!}{k!} \sum_{a_1+a_2+\dots+a_k=n} \frac{c_{a_1} c_{a_2} \dots c_{a_k}}{a_1! a_2! \dots a_k!}$$

## Exercise #1: Solution Idea

- Now, you can check:  $[x^n]C(x)^k$  is:

$$\sum_{a_1+a_2+\dots+a_k=n} \frac{c_{a_1} c_{a_2} \dots c_{a_k}}{a_1! a_2! \dots a_k!}$$

- So, we get:  $F(x) = (1/k!)C(x)^k$

# Exercise #1: Solution Idea

- Now, for a CP problem with  $(n,k)$ , we can do it in  $O(n \log n)$ .

## Exercise #1: Solution Idea

- Now, for a CP problem with  $(n, k)$ , we can do it in  $O(n \log n)$ .
- All you need to do is to use  $P(x)^k = \exp(k \ln(P(x)))$ !

## Exercise #2

- Count the number of permutations of length  $n$  such that all cycle lengths are in a fixed set of positive integers  $S$ .



## Exercise #2: Solution Idea

- We use the same trick as the previous problem, but let  $c_i=0$  if  $i$  is not in  $S$ .
- Because we need to sum over all values of  $k$  (number of cycles):

$$[x^n] \sum_{k \geq 0} \frac{1}{k!} C(x)^k = [x^n] \exp(C(x))$$

## Exercise #3

- Find the expected number of cycles of a permutation of length  $n$ .

## Exercise #3: Solution Idea

- To compute the expected number of cycles, we count the sum of number of cycles over all permutations of length  $n$ .
- Let  $g_n$  denote the sum of number of cycles over all permutations of length  $n$  and  $G(x)$  as the EGF of  $g$ .
- Using the same function  $C$  in the previous problems, we need to find:

## Exercise #3: Solution Idea

$$[x^n]G(x) = [x^n] \sum_{k \geq 0} \frac{k}{k!} C(x)^k = [x^n] C(x) \sum_{k \geq 1} \frac{1}{(k-1)!} C(x)^{k-1} = [x^n] C(x) \exp(C(x))$$

## Exercise #3: Solution Idea

$$[x^n]G(x) = [x^n] \sum_{k \geq 0} \frac{k}{k!} C(x)^k = [x^n] C(x) \sum_{k \geq 1} \frac{1}{(k-1)!} C(x)^{k-1} = [x^n] C(x) \exp(C(x))$$

- but,

$$C(x) = \sum_{k \geq 1} \frac{(k-1)!}{k!} x^k = \sum_{k \geq 1} \frac{x^k}{k} = -\ln(1-x)$$

## Exercise #3: Solution Idea

- So,

$$C(x) \exp(C(x)) = -\frac{\ln(1-x)}{(1-x)}$$

- Now,

$$[x^n](-\ln(1-x)) = \frac{1}{n}$$

## Exercise #3: Solution Idea

- By prefix sum trick, we have:

$$[x^n] \frac{-\ln(1-x)}{1-x} = 1 + \frac{1}{2} + \dots + \frac{1}{n}$$

- So,

$$[x^n] G(x) = 1 + \frac{1}{2} + \dots + \frac{1}{n}$$

## Exercise #3: Solution Idea

- Since  $g_n/n!$  is the expected number of cycles of a permutation of length  $n$ , the answer is  $1+1/2+\dots+1/n$ , the  $n^{\text{th}}$  **Harmonic number**!



## Exercise #4

- Find the number of ways to partition the set  $\{1, 2, \dots, n\}$  into  $k$  subsets.

## Exercise #4: Solution Idea

- Stirling numbers of the second kind.
- Denote the answer by  $f(n,k)$ .
- Consider the following **Deck polynomial**:

$$D(x) = \sum_{n \geq 1} \frac{x^n}{n!}$$

## Exercise #4: Solution Idea

- What is  $D(x)^k$ ?

$$[x^n]D(x)^k = \sum_{a_1+a_2+\dots+a_k=n, a_i \geq 1} \frac{1}{a_1! a_2! \dots a_k!}$$

## Exercise #4: Solution Idea

- This sum has a similar combinatorial interpretation as the ones in the previous problems.
- Let's assume the partition sets are labelled from 1 to  $k$ .
- Then,  $a_i$  denotes the size of the  $i^{\text{th}}$  set and there are  $n!/(a_1!a_2!\dots a_k!)$  ways to assign a set to each element by the multinomial theorem.
- However, we have counted each partition  $k!$  times, since in our final answer the sets shouldn't be ordered. So,

$$k!f(n, k) = n![x^n]D(x)^k$$

## Exercise #4: Solution Idea

- So, rearranging:

$$\frac{f(n,k)}{n!} = \frac{[x^n]D(x)^k}{k!}$$

- Therefore,

$$\sum_{n \geq 0} \frac{f(n,k)}{n!} x^n = \frac{D(x)^k}{k!}$$

## Exercise #4: Solution Idea

- Introducing the variable  $y$  to correspond to the variable  $k$ , we have:

$$\sum_{k \geq 0} \sum_{n \geq 0} \frac{f(n, k)}{n!} x^n y^k = \sum_{k \geq 0} \frac{[D(x)y]^k}{k!} = \exp(D(x)y)$$

- We call the following polynomial a **hand enumerator**:

$$H(x, y) = \sum_{k \geq 0} \sum_{n \geq 0} f(n, k) \frac{x^n}{n!} y^k$$

## Exercise #4: Solution Idea

- Thus, we have the simple formula  $H(x,y)=\exp(D(x)y)$ .

- We know:

$$D(x) = \sum_{n \geq 1} \frac{x^n}{n!} = e^x - 1$$

- Therefore,

$$H(x, y) = e^{(e^x - 1)y}$$

## Exercise #4: Solution Idea

- Finally,

$$n![x^n y^k]H(x, y) = n![x^n] \frac{(e^x - 1)^k}{k!}$$

- So, we are back to **polynomial operations**!
- **Check the references for more details!**



# Programming Exercise #1: Call It What You Want

- <https://www.acmicpc.net/problem/18559>

# Programming Exercise #1: Solution Idea

- Mobius Inversion
- FFT

# Programming Exercise #2: Rock Paper Scissors

- <https://www.acmicpc.net/problem/14958>

# Programming Exercise #2: Solution Idea

- FFT

# Programming Exercise #3 [2400] Lucky Tickets

- <https://codeforces.com/contest/1096/problem/G>

# Programming Exercise #3: Solution Idea

- NTT

# Programming Exercise #4: Many Easy Problems

- [https://atcoder.jp/contests/agc005/tasks/agc005\\_f](https://atcoder.jp/contests/agc005/tasks/agc005_f)

# Programming Exercise #4: Solution Idea

- NTT
- <https://img.atcoder.jp/data/agc/005/editorial.pdf>



# Programming Exercise #5: The Child and Binary Tree

- <https://codeforces.com/problemset/problem/438/E>

# Programming Exercise #5: Solution Idea

- Generating functions
- Catalan numbers
- FFT

# References

- **Fast Fourier Transform (FFT)**
  - <https://cp-algorithms.com/algebra/fft.html>
- **Number Theoretic Transform (NTT)**
  - <https://www.nayuki.io/page/number-theoretic-transform-integer-dft>
  - <https://codeforces.com/blog/entry/48798>
- **Generating Function**
  - <https://codeforces.com/blog/entry/77468>
  - <https://codeforces.com/blog/entry/77551>

# THANK YOU



# Generating Functions in Counting Problems

- Generating functions is a powerful tool in enumerative combinatorics.
- Here, I will show you a classic example using **Catalan numbers**, to illustrate the counting problems involving generating functions.

# Catalan Numbers, revisited

- We found the ordinary generating function for Catalan number is:

$$C(x) = \frac{1 - \sqrt{1 - 4x}}{2x}$$

- We want to "expand" our generating function  $C(x)$ , but there is a troublesome square root in our way. We can use **generalized binomial theorem**.
- Let's derive:

$$c_n = \frac{1}{n+1} \binom{2n}{n}$$

# Catalan Numbers, revisited

- Let  $r$  be any complex number and  $n$  be a nonnegative integer. Then,

$$\binom{r}{n} = \frac{r(r-1)\dots(r-(n-1))}{n!}$$

- This is the same as the usual binomial coefficients, but now we no longer require the first term to be a nonnegative integer.

# Catalan Numbers, revisited

- Let  $r$  be a real number and  $n$  be a nonnegative integer. Then,

$$(1 + x)^r = \sum_{n \geq 0} \binom{r}{n} x^n$$



## Catalan Numbers, revisited

$$\sqrt{1 - 4x} = (1 - 4x)^{\frac{1}{2}} = \sum_{n \geq 0} \binom{\frac{1}{2}}{n} (-4x)^n$$

## Catalan Numbers, revisited

$$\begin{aligned}\sqrt{1-4x} &= (1-4x)^{\frac{1}{2}} = \sum_{n \geq 0} \binom{\frac{1}{2}}{n} (-4x)^n \\&= \sum_{n \geq 0} \frac{1}{2} \cdot \frac{-1}{2} \cdot \frac{-3}{2} \cdots \frac{-(2n-3)}{2} \cdot \frac{1}{n!} \cdot (-4)^n x^n \\&= 1 + \sum_{n \geq 1} \frac{(-1)^{n-1} (1 \cdot 3 \cdots (2n-3))}{2^n} \cdot \frac{(-4)^n}{n!} x^n\end{aligned}$$

## Catalan Numbers, revisited

$$\begin{aligned}\sqrt{1-4x} &= (1-4x)^{\frac{1}{2}} = \sum_{n \geq 0} \binom{\frac{1}{2}}{n} (-4x)^n \\&= 1 + \sum_{n \geq 1} -2^n \cdot \frac{(2n-2)!}{2^{n-1}(n-1)!} \cdot \frac{1}{n!} x^n \\&= 1 + \sum_{n \geq 1} \frac{-2 \cdot (2n-2)!}{(n-1)!n!} x^n \\&= 1 + \sum_{n \geq 1} -\frac{2}{n} \cdot \binom{2n-2}{n-1} x^n.\end{aligned}$$

## Catalan Numbers, revisited

$$C(x) = \frac{1 - \sqrt{1 - 4x}}{2x} = \frac{1}{2x} \left[ 1 - 1 - \sum_{n \geq 1} -\frac{2}{n} \cdot \binom{2n-2}{n-1} x^n \right]$$

## Catalan Numbers, revisited

$$C(x) = \frac{1 - \sqrt{1 - 4x}}{2x} = \frac{1}{2x} \left[ 1 - 1 - \sum_{n \geq 1} -\frac{2}{n} \cdot \binom{2n-2}{n-1} x^n \right]$$

$$= \sum_{n \geq 1} \frac{1}{n} \cdot \binom{2n-2}{n-1} x^{n-1}$$

## Catalan Numbers, revisited

$$C(x) = \frac{1 - \sqrt{1 - 4x}}{2x} = \frac{1}{2x} \left[ 1 - 1 - \sum_{n \geq 1} -\frac{2}{n} \cdot \binom{2n-2}{n-1} x^n \right]$$
$$= \sum_{n \geq 1} \frac{1}{n} \cdot \binom{2n-2}{n-1} x^{n-1} \Rightarrow = \sum_{n \geq 0} \frac{1}{n+1} \binom{2n}{n} x^n$$

## Catalan Numbers, revisited

$$C(x) = \frac{1 - \sqrt{1 - 4x}}{2x} = \frac{1}{2x} \left[ 1 - 1 - \sum_{n \geq 1} -\frac{2}{n} \cdot \binom{2n-2}{n-1} x^n \right]$$
$$= \sum_{n \geq 1} \frac{1}{n} \cdot \binom{2n-2}{n-1} x^{n-1} \Rightarrow = \sum_{n \geq 0} \frac{1}{\underline{n+1}} \binom{2n}{n} x^n$$