

1.如何构建triplet samples , 是否需要大量的人工标注 ?

2.triplet loss 适合类别数量很多, 每个类别的样本很少的数据集 ?

3.在SBIR 中, 是否可以通过GAN来生成一些训练样本? 从而使得最后的检索结果提高?
那么这里主要是使用 Sequence GAN 和 DC GAN 同时合作生成草图即可。

Learning Fine-grained Image Similarity with Deep Ranking

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Abstract

Learning fine-grained image similarity is a challenging task. It needs to capture between-class and within-class image differences. This paper proposes a deep ranking model that employs deep learning techniques to learn similarity metric directly from images. It has higher learning capability than models based on hand-crafted features. A novel multiscale network structure has been developed to describe the images effectively. An efficient triplet sampling algorithm is proposed to learn the model with distributed asynchronous stochastic gradient. Extensive experiments show that the proposed algorithm outperforms models based on hand-crafted visual features and deep classification models.



Figure 1. Sample images from the triplet dataset. Each column is a triplet. The upper, middle and lower rows correspond to query image, positive image, and negative image, where the positive image is more similar to the query image than the negative image, according to the human raters. The data are available at <https://sites.google.com/site/imagesimilaritydata/>.

1. Introduction

Search-by-example, i.e. finding images that are similar to a query image, is an indispensable function for modern image search engines. An effective image similarity metric is at the core of finding similar images.

Most existing image similarity models consider category-level image similarity. For example, in [12, 22], two images are considered similar as long as they belong to the same category. This category-level image similarity is not sufficient for the search-by-example image search application. Search-by-example requires the distinction of differences between images within the same category, i.e., *fine-grained image similarity*.

One way to build image similarity models is to first extract features like Gabor filters, SIFT [17] and HOG [4], and then learn the image similarity models on top of these features [2, 3, 22]. The performance of these methods is largely limited by the representation power of the hand-crafted features. Our extensive evaluation has verified that being able to jointly learn the features and similarity models

with supervised similarity information provides great potential for more effective fine-grained image similarity models than hand-crafted features.

Deep learning models have achieved great success on image classification tasks [15]. However, similar image ranking is different from image classification. For image classification, “black car”, “white car” and “dark-gray car” are all cars, while for similar image ranking, if a query image is a “black car”, we usually want to rank the “dark gray car” higher than the “white car”. We postulate that image classification models may not fit directly to task of distinguishing fine-grained image similarity. This hypothesis is verified in experiments. In this paper, we propose to learn fine-grained image similarity with a *deep ranking* model, which characterizes the fine-grained image similarity relationship with a set of triplets. A triplet contains a query image, a positive image, and a negative image, where the positive image is more similar to the query image than the negative image (see Fig. 1 for an illustration). The image similarity relationship is characterized by relative similarity ordering in the triplets. Deep ranking models can employ this fine-grained image similarity information, which

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is not considered in category-level image similarity models or classification models, to achieve better performance.

As with most machine learning problems, training data is critical for learning fine-grained image similarity. It is challenging to collect large data sets, which is required for training deep networks. **We propose a novel bootstrapping method (section 6.1) to generate training data, which can virtually generate unlimited amount of training data.** To use the data efficiently, an online triplet sampling algorithm is proposed to generate meaningful and discriminative *triplets*, and to utilize asynchronized stochastic gradient algorithm in optimizing triplet-based ranking function.

The impact of different network structures on similar image ranking is explored. Due to the intrinsic difference between image classification and similar image ranking tasks, a good network for image classification ([15]) may not be optimal for distinguishing fine-grained image similarity. A novel multiscale network structure has been developed, which contains the convolutional neural network with two low resolution paths. It is shown that this multi-scale network structure can work effectively for similar image ranking.

The image similarity models are evaluated on a human-labeled dataset. Since it is error-prone for human labelers to directly label the image ranking which may consist tens of images, we label the similarity relationship of the images with triplets, illustrated in Fig. 1. The performance of an image similarity model is determined by the fraction of the triplet orderings that agrees with the ranking of the model. To our knowledge, it is the first high quality dataset with similarity ranking information for images from the same category. We compare the proposed deep ranking model with state-of-the-art methods on this dataset. The experiments show that the deep ranking model outperforms the hand-crafted visual feature-based approaches [17, 4, 3, 22] and deep classification models [15] by a large margin.

The main contributions of this paper includes the following. (1) A novel deep ranking model that can learn fine-grained image similarity model directly from images is proposed. We also propose a new bootstrapping way to generate the training data. (2) A multi-scale network structure has been developed. (3) A computationally efficient online triplet sampling algorithm is proposed, which is essential for learning deep ranking models with online learning algorithms. (4) We are publishing an evaluation dataset. To our knowledge, it is the first public data set with similarity ranking information for images from the same category (Fig. 1). **网上有5033个 triplet 对。**

2. Related Work

Most prior work on image similarity learning [23, 11] studies the category-level image similarity, where two images are considered similar as long as they belong to the

same category. Existing deep learning models for image similarity also focus on learning category-level image similarity [22]. Category-level image similarity mainly corresponds to semantic similarity. [6] studies the relationship between visual similarity and semantic similarity. It shows that although visual and semantic similarities are generally consistent with each other across different categories, there still exists considerable visual variability within a category, especially when the category’s semantic scope is large. Thus, it is worthwhile to learn a fine-grained model that is capable of characterizing the fine-grained visual similarity for the images within the same category.

The following works are close to our work in the spirit of learning fine-grained image similarity. Relative attribute [19] learns image attribute ranking among the images with the same attributes. OASIS [3] and local distance learning [10] learn fine-grained image similarity ranking models on top of the hand-crafted features. These above works are not deep learning based. [25] employs deep learning architecture to learn ranking model, but it learns deep network from the “hand-crafted features” rather than directly from the pixels. In this paper, we propose a Deep Ranking model, which integrates the deep learning techniques and fine-grained ranking model to learn fine-grained image similarity ranking model directly from images. The Deep Ranking models perform much better than category-level image similarity models in image retrieval applications.

Pairwise ranking model is a widely used learning-to-rank formulation. It is used to learn image ranking models in [3, 19, 10]. Generating good triplet samples is a crucial aspect of learning pairwise ranking model. In [3] and [19], the triplet sampling algorithms assume that we can load the whole dataset into memory, which is impractical for a large dataset. We design a computationally efficient online triplet sampling algorithm that does not require loading the whole dataset into memory, which makes it possible to learn deep ranking models with very large amount of training data.

3. Overview

Our goal is to learn image similarity models. We define the similarity of two images P and Q according to their squared Euclidean distance in the image embedding space:

$$D(f(P), f(Q)) = \|f(P) - f(Q)\|_2^2 \quad (1)$$

where $f(\cdot)$ is the image embedding function that maps an image to a point in an Euclidean space, and $D(\cdot, \cdot)$ is the squared Euclidean distance in this space. The smaller the distance $D(P, Q)$ is, the more similar the two images P and Q are. This definition formulates the similar image ranking problem as nearest neighbor search problem in Euclidean space, which can be efficiently solved via approximate nearest neighbor search algorithms.

We employ the pairwise ranking model to learn image similarity ranking models, partially motivated by [3, 19]. Suppose we have a set of images \mathcal{P} , and $r_{i,j} = r(p_i, p_j)$ is a pairwise relevance score which states how similar the image $p_i \in \mathcal{P}$ and $p_j \in \mathcal{P}$ are. The more similar two images are, the higher their relevance score is. Our goal is to learn an embedding function $f(\cdot)$ that assigns smaller distance to more similar image pairs, which can be expressed as:

$$\begin{aligned} D(f(p_i), f(p_i^+)) &< D(f(p_i), f(p_i^-)), \\ \forall p_i, p_i^+, p_i^- \text{ such that } r(p_i, p_i^+) &> r(p_i, p_i^-) \end{aligned} \quad (2)$$

We call $t_i = (p_i, p_i^+, p_i^-)$ a triplet, where p_i, p_i^+, p_i^- are the query image, positive image, and negative image, respectively. A triplet characterizes a relative similarity ranking order for the images p_i, p_i^+, p_i^- . We can define the following hinge loss for a triplet: $t_i = (p_i, p_i^+, p_i^-)$:

$$\begin{aligned} l(p_i, p_i^+, p_i^-) = \\ \max\{0, g + D(f(p_i), f(p_i^+)) - D(f(p_i), f(p_i^-))\} \end{aligned} \quad (3)$$

where g is a gap parameter that regularizes the gap between the distance of the two image pairs: (p_i, p_i^+) and (p_i, p_i^-) . The hinge loss is a convex approximation to the 0-1 ranking error loss, which measures the model's violation of the ranking order specified in the triplet. Our objective function is:

$$\begin{aligned} \min \sum_i \xi_i + \lambda \|W\|_2^2 \\ \text{s.t. : } \max\{0, g + D(f(p_i), f(p_i^+)) - D(f(p_i), f(p_i^-))\} \leq \xi_i \\ \forall p_i, p_i^+, p_i^- \text{ such that } r(p_i, p_i^+) > r(p_i, p_i^-) \end{aligned} \quad (4)$$

where λ is a regularization parameter that controls the margin of the learned ranker to improve its generalization. W is the parameters of the embedding function $f(\cdot)$. We employ $\lambda = 0.001$ in this paper. (4) can be converted to an unconstrained optimization by replacing $\xi_i = \max\{0, g + D(f(p_i), f(p_i^+)) - D(f(p_i), f(p_i^-))\}$.

In this model, the most crucial component is to learn an image embedding function $f(\cdot)$. Traditional methods typically employ hand-crafted visual features, and learn linear or nonlinear transformations to obtain the image embedding function. In this paper, we employ the deep learning technique to learn image similarity models directly from images. We will describe the network architecture of the triplet-based ranking loss function in (4) and an efficient optimization algorithm to minimize this objective function in the following sections.

4. Network Architecture

A triplet-based network architecture is proposed for the ranking loss function (4), illustrated in Fig. 2. This net-

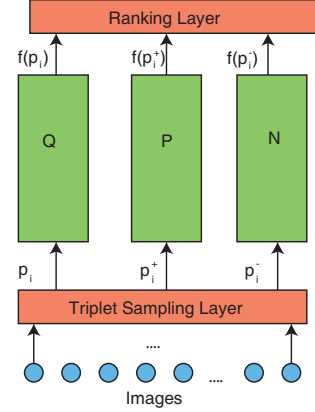


Figure 2. The network architecture of deep ranking model.

work takes image triplets as input. One image triplet contains a query image p_i , a positive image p_i^+ and a negative image p_i^- , which are fed independently into three identical deep neural networks $f(\cdot)$ with shared architecture and parameters. A triplet characterizes the relative similarity relationship for the three images. The deep neural network $f(\cdot)$ computes the embedding of an image p_i : $f(p_i) \in \mathcal{R}^d$, where d is the dimension of the feature embedding.

A *ranking layer* on the top evaluates the hinge loss (3) of a triplet. The ranking layer does not have any parameter. During learning, it evaluates the model's violation of the ranking order, and back-propagates the gradients to the lower layers so that the lower layers can adjust their parameters to minimize the ranking loss (3).

We design a novel multiscale deep neural network architecture that employs different levels of invariance at different scales, inspired by [8], shown in Fig. 3. The ConvNet in this figure has the same architecture as the convolutional deep neural network in [15]. The ConvNet encodes strong invariance and captures the image semantics. The other two parts of the network takes down-sampled images and use shallower network architecture. Those two parts have less invariance and capture the visual appearance. Finally, we normalize the embeddings from the three parts, and combine them with a linear embedding layer. In this paper, The dimension of the embedding is 4096.

We start with a convolutional network (ConvNet) architecture for each individual network, motivated by the recent success of ConvNet in terms of scalability and generalizability for image classification [15]. The ConvNet contains stacked *convolutional layers*, *max-pooling layer*, *local normalization layers* and *fully-connected layers*. The readers can refer to [15] or the supplemental materials for more details.

A *convolutional layer* takes an image or the feature maps of another layer as input, convolves it with a set of k learnable kernels, and puts through the activation function to

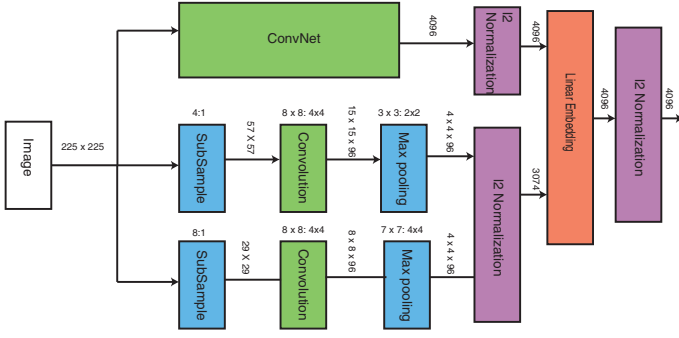


Figure 3. The multiscale network structure. Each input image goes through three paths. The top green box (ConvNet) has the same architecture as the deep convolutional neural network in [15]. The bottom parts are two low-resolution paths that extract low-resolution visual features. Finally, we normalize the features from both parts, and use a linear embedding to combine them. The number shown on the top of an arrow is the size of the output image or feature. The number shown on the top of a box is the size of the kernels for the corresponding layer.

generate k feature maps. The convolutional layer can be considered as a set of local feature detectors.

A *max pooling layer* performs max pooling over a local neighborhood around a pixel. The max pooling layer makes the feature maps robust to small translations.

A *local normalization layer* normalizes the feature map around a local neighborhood to have unit norm and zero mean. It leads to feature maps that are robust to the differences in illumination and contrast.

The stacked convolutional layers, max-pooling layer and local normalization layers act as translational and contrast robust local feature detectors. A fully connected layer computes a non-linear transformation from the feature maps of these local feature detectors.

Although ConvNet achieves very good performance for image classification, the strong invariance encoded in its architecture can be harmful for fine-grained image similarity tasks. The experiments show that the multiscale network architecture outperforms single scale ConvNet in fine-grained image similarity task.

5. Optimization

Training a deep neural network usually needs a large amount of training data, which may not fit into the memory of a single computer. Thus, we employ the distributed asynchronous stochastic gradient algorithm proposed in [5] with momentum algorithm [21]. The momentum algorithm is a stochastic variant of Nesterov’s accelerated gradient method [18], which converges faster than traditional stochastic gradient methods.

Back-propagation scheme is used to compute the gradi-

ent. A deep network can be represented as the composition of the functions of each layer.

$$f(\cdot) = g_n(g_{n-1}(g_{n-2}(\cdots g_1(\cdot) \cdots))) \quad (5)$$

where $g_l(\cdot)$ is the forward transfer function of the l -th layer. The parameters of the transfer function g_l is denoted as w_l . Then the gradient $\frac{\partial f(\cdot)}{\partial w_l}$ can be written as: $\frac{\partial f(\cdot)}{\partial g_l} \times \frac{\partial g_l}{\partial w_l}$, and $\frac{\partial f(\cdot)}{\partial g_l}$ can be efficiently computed in an iterative way: $\frac{\partial f(\cdot)}{\partial g_{l+1}} \times \frac{\partial g_{l+1}(\cdot)}{\partial g_l}$. Thus, we only need to compute the gradients $\frac{\partial g_l}{\partial w_l}$ and $\frac{\partial g_l}{\partial g_{l-1}}$ for the function $g_l(\cdot)$. More details of the optimization can be found in the supplemental materials.

To avoid overfitting, dropout [13] with keeping probability 0.6 is applied to all the fully connected layers. Random pixel shift is applied to the input images for data augmentation.

5.1. Triplet Sampling

To avoid overfitting, it is desirable to utilize a large variety of images. However, the number of possible triplets increases cubically with the number of images. It is computationally prohibitive and sub-optimal to use all the triplets. For example, the training dataset in this paper contains 12 million images. The number of all possible triplets in this dataset is approximately $(1.2 \times 10^7)^3 = 1.728 \times 10^{21}$. This is an extremely large number that can not be enumerated. If the proposed triplet sampling algorithm is employed, we find the optimization converges with about 24 million triplet samples, which is a lot smaller than the number of possible triplets in our dataset.

It is crucial to choose an effective triplet sampling strategy to select the most important triplets for rank learning. Uniformly sampling of the triplets is sub-optimal, because we are more interested in the top-ranked results returned by the ranking model. In this paper, we employ an online importance sampling scheme to sample triplets.

Suppose we have a set of images \mathcal{P} , and their pairwise relevance scores $r_{i,j} = r(p_i, p_j)$. Each image p_i belongs to a category, denoted by c_i . Let the total relevance score of an image r_i defined as

$$r_i = \sum_{j: c_j = c_i, j \neq i} r_{i,j} \quad (6)$$

The total relevance score of an image p_i reflects how relevant the image is in terms of its relevance to the other images in the same category.

To sample a triplet, we first sample a query image p_i from \mathcal{P} according to its total relevance score. The probability of an image being chosen as query image is proportional to its total relevance score.

Then, we sample a positive image p_i^+ from the images sharing the same categories as p_i . Since we are more interested in the top-ranked images, we should sample more positive images p_i^+ with high relevance scores $r_{i,i+}$. The probability of choosing an image p_i^+ as positive image is:

$$P(p_i^+) = \frac{\min\{T_p, r_{i,i+}\}}{Z_i} \quad (7)$$

where T_p is a threshold parameter, and the normalization constant Z_i equals $\sum_{i+} P(p_i^+)$ for all the p_i^+ sharing the same categories with p_i .

We have two types of negative image samples. The first type is *out-of-class* negative samples, which are the negative samples that are in a different category from query image p_i . They are drawn uniformly from all the images with different categories with p_i . The second type is *in-class* negative samples, which are the negative samples that are in the same category as p_i but is less relevant to p_i than p_i^+ . Since we are more interested in the top-ranked images, we draw in-class negative samples p_i^- with the same distribution as (7). In order to ensure robust ordering between p_i^+ and p_i^- in a triplet $t_i = (p_i, p_i^+, p_i^-)$, we also require that the margin between the relevance score $r_{i,i+}$ and $r_{i,i-}$ should be larger than T_r , i.e.,

$$r_{i,i+} - r_{i,i-} \geq T_r, \forall t_i = (p_i, p_i^+, p_i^-) \quad (8)$$

We reject the triplets that do not satisfy this condition. If the number of failure trails for one example exceeds a given threshold, we simply discard this example.

Learning deep ranking models requires large amount of data, which cannot be loaded into main memory. The sampling algorithms that require random access to all the examples in the dataset are not applicable. In this section, **we propose an efficient online triplet sampling algorithm based on reservoir sampling [7].** 蓄水池抽样

We have a set of buffers to store images. Each buffer has a fixed capacity, and it stores images from the same category. When we have one new image p_j , we compute its key $k_j = u_j^{(1/r_j)}$, where r_j is its total relevance score defined in (6) and $u_j = \text{uniform}(0, 1)$ is a uniformly sampled number. The buffer corresponding to the image p_j 's can be found according to its category c_j . If the buffer is not full, we insert the image p_j into the buffer with key k_j . Otherwise, we find the image p'_j with smallest key k'_j in the buffer. If $k_j > k'_j$, we replace the image p'_j with image p_j in the buffer. Otherwise, the image example p_j is discarded. If this replacing scheme is employed, uniformly sampling from a buffer is equivalent to drawing samples with probability proportional to the total relevance score r_j .

One image p_i is uniformly sampled from all the images in the buffer of category c_j as the query image. We then uniformly generate one image p_i^+ from all the images

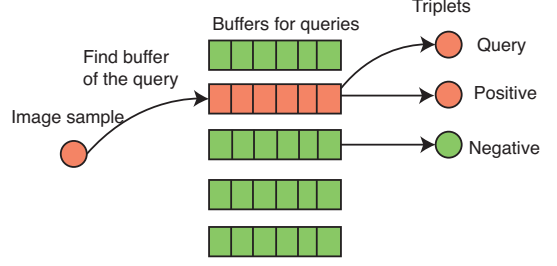


Figure 4. Illustration of the online triplet sampling algorithm. The negative image in this example is an out-of-class negative. We have one buffer for each category. When we get a new image sample, we insert it into the buffer of the corresponding category with prescribed probability. The query and positive examples are sampled from the same buffer, while the negative image is sampled from a different buffer.

in the buffer of category c_j , and accept it with probability $\min(1, r_{i,i+}/r_{i+})$, which corresponds to the sampling probability (7). Sampling is continued until one example is accepted. This image example acts as the positive image.

Finally, we draw a negative image sample. If we are drawing out-of-class negative image sample, we draw a image p_i^- uniformly from all the images in the other buffers. If we are drawing in-class negative image samples, we use the positive example's drawing method to generate a negative sample, and accept the negative sample only if it satisfies the margin constraint (8). Whether we sample in-class or out-of-class negative samples is controlled by a out-of-class sample ratio parameter. An illustration of this sampling method is shown in Fig. 4 The outline of reservoir importance sampling algorithm is shown in the supplemental materials.

6. Experiments

6.1. Training Data

We use two sets of training data to train our model. The first training data is ImageNet ILSVRC-2012 dataset [1], which contains roughly 1000 images in each of 1000 categories. In total, there are about 1.2 million training images, and 50,000 validation images. This dataset is utilized to learn image semantic information. We use it to pre-train the "ConvNet" part of our model using soft-max cost function as the top layer.

The second training data is relevance training data, responsible for learning fine-grained visual similarity. The data is generated in a bootstrapping fashion. It is collected from 100,000 search queries (using Google image search), with the top 140 image results from each query. There are about 14 million images. We employ a golden feature to compute the relevance $r_{i,i}$ for the images from the same search query, and set $r_{i,j} = 0$ to the images from different

queries. The golden feature is a weighted linear combination of twenty seven features. It includes features described in section 6.4, with different parameter settings and distance metrics. More importantly, it also includes features learned through image annotation data, such as features or embeddings developed in [24]. The linear weights are learned through max-margin linear weight learning using human rated data. The golden feature incorporates both visual appearance information and semantic information, and it is of high performance in evaluation. However, it is expensive to compute, and "cumbersome" to develop. This training data is employed to fine-tune our network for fine-grained visual similarity.

6.2. Triplet Evaluation Data

Since we are interested in fine-grained similarity, which cannot be characterized by image labels, we collect a triplet dataset to evaluate image similarity models¹.

We started from 1000 popular text queries and sampled triplets (Q, A, B) from the top 50 search results for each query from the Google image search engine. We then rate the images in the triplets using human raters. The raters have four choices: (1) both image A and B are similar to query image Q; (2) both image A and B are dissimilar to query image Q; (3) image A is more similar to Q than B; (4) image B is more similar to Q than A. Each triplet is rated by three raters. Only the triplets with unanimous scores from the three rates enter the final dataset. For our application, we discard the triplets with rating (1) and rating (2), because those triplets does not reflect any image similarity ordering. About 14,000 triplets are used in evaluation. Those triplets are solely used for evaluation. Fig 1 shows some triplet examples.

6.3. Evaluation Metrics

Two evaluation metrics are used: *similarity precision* and *score-at-top-K* for $K = 30$.

Similarity precision is defined as the percentage of triplets being correctly ranked. Given a triplet $t_i = (p_i, p_i^+, p_i^-)$, where p_i^+ should be more similar to p_i than p_i^- . Given p_i as query, if p_i^+ is ranked higher than p_i^- , then we say the triplet t_i is correctly ranked.

Score-at-top- K is defined as the number of correctly ranked triplets minus the number of incorrectly ranked ones on a subset of triplets whose ranks are higher than K . The subset is chosen as follows. For each query image in the test set, we retrieve 1000 images belonging to the same text query, and rank these images using the learned similarity metric. One triplet's rank is higher than K if its positive image p_i^+ or negative image p_i^- is among the top K nearest neighbors of the query image p_i . This metric is similar to the precision-at-top- K metric, which is widely used to

evaluate retrieval systems. Intuitively, score-at-top- K measures a retrieval system's performance on the K most relevant search results. This metric can better reflect the performance of the similarity models in practical image retrieval systems, because users pay most of their attentions to the results on the first few pages. we set $K = 30$ in our experiments.

6.4. Comparison with Hand-crafted Features

We first compare the proposed deep ranking method with hand-crafted visual features. For each hand-crafted feature, we report its performance using its best experimental setting. The evaluated hand-crafted visual features include Wavelet [9], Color (LAB histogram), SIFT [17]-like features, SIFT-like Fisher vectors [20], HOG [4], and SPMK Taxton features with max pooling [16]. Supervised image similarity ranking information is not used to obtain these features.

Two image similarity models are learned on top of the concatenation of all the visual features described above.

- L1HashKCPA [14]: A subset of the golden features (with L1 distance) are chosen using max-margin linear weight learning. We call this set of features "L1 visual features". Weighted Minhash and Kernel principal component analysis (KPCA) [14] are applied on the L1 visual features to learn a 1000-dimension embedding in an unsupervised fashion.
- OASIS [3]: Based on the L1HashKCPA feature, an transformation (OASIS transformation) is learnt with an online image similarity learning algorithm [3], using the relevance training data described in Sec. 6.1.

The performance comparison is shown in Table 1. The "DeepRanking" shown in this table is the deep ranking model trained with 20% out-of-class negative samples. We can see that any individual feature without learning does not performs very well. The L1HashKCPA feature achieves reasonably good performance with relatively low dimension, but its performance is inferior to DeepRanking model. The OASIS algorithm can learn better features because it exploits the image similarity ranking information in the relevance training data. By directly learning a ranking model on images, the deep ranking method can use more information from image than two-step "feature extraction"- "model learning" approach. Thus, it performs better both in terms of similarity precision and score-at-top-30.

The DeepRanking model performs better in terms of similarity precision than the golden features, which are used to generate relevance training data. This is because the DeepRanking model employs the category-level information in ImageNet data and relevance training data to better characterize the image semantics. The score-at-top-30 metric of DeepRanking is only slightly lower than the golden features.

¹<https://sites.google.com/site/imagesimilaritydata/>

Method	Precision	Score-30
Wavelet [9]	62.2%	2735
Color	62.3%	2935
SIFT-like [17]	65.5%	2863
Fisher [20]	67.2%	3064
HOG [4]	68.4%	3099
SPMKtexton1024max [16]	66.5%	3556
L1HashKPCA [14]	76.2%	6356
OASIS [3]	79.2%	6813
Golden Features	80.3%	7165
DeepRanking	85.7%	7004

Table 1. Similarity precision (Precision) and score-at-top-30 (Score-30) for different features.

6.5. Comparison of Different Architectures

We compare the proposed method with the following architectures: (1) Deep neural network for classification trained on ImageNet, called ConvNet. This is exactly the same as the model trained in [15]. (2) Single-scale deep neural network for ranking. It only has a single scale ConvNet in deep ranking model, but It is trained in the same way as DeepRanking model. (3) Train an OASIS model [3] on the feature output of single-scale deep neural network for ranking. (4) Train a linear embedding on both the single-scale deep neural network and the visual features described in the last section. The performance are shown in Table 2. In all the experiments, the Euclidean distance of the embedding vectors of the penultimate layer before the final softmax or ranking layer is exploited as similarity measure.

First, we find that the ranking model greatly increases the performance. The performance of single-scale ranking model is much better than ConvNet. The two networks have the same architecture except single-scale ranking model is fine-tuned with the relevance training data using ranking layer, while ConvNet is trained solely for classification task using logistic regression layer.

We also find that single-scale ranking performs very well in terms of similarity precision, but its score-at-top-30 is not very high. The DeepRanking model, which employs multiscale network architecture, has both better similarity precision and score-at-top-30. Finally, although training an OASIS model or linear embedding on the top increases performance, their performance is inferior to DeepRanking model, which uses back-propagation to fine-tune the whole network.

An illustration of the learned filters of the multi-scale deep ranking model is shown in Fig. 5. The filters learned in this paper captures more color information compared with the filter learned in [15].

Method	Precision	Score-30
ConvNet	82.8%	5772
Single-scale Ranking	84.6%	6245
OASIS on Single-scale Ranking	82.5%	6263
Single-Scale & Visual Feature	84.1%	6765
DeepRanking	85.7%	7004

Table 2. Similarity precision (Precision) and score at top 30 (Score-30) for different neural network architectures.



Figure 5. The learned filters of the first level convolutional layers of the multi-scale deep ranking model.

6.6. Comparison of Different Sampling Methods

We study the effect of the fraction of the out-of-class negative samples in online triplet sampling algorithm on the performance of the proposed method. Fig. 6 shows the results. The results are obtained from drawing 24 million triplets samples. We find that the score-at-top-30 metric of DeepRanking model decreases as we have more out-of-class negative samples. However, having a small fraction of out-of-class samples (like 20%) increases the similarity precision metric a lot. 加上 out-of-class negative samples , top30的召回率下降了, 但是整体的相似度提高了。

We also compare the performance of the weighted sampling and uniform sampling with 0% out-of-class negative samples. In weighted sampling, the sampling probability of the images is proportional to its total relevance score r_j and pairwise relevance score $r_{i,j}$, while uniform sampling draws the images uniformly from all the images (but the ranking order and margin constraints should be satisfied). We find that although the two sampling methods perform similarly in overall precision, the weighted sampling algorithm does better in score-at-top-30. Thus, weighted sampling is employed.

6.7. Ranking Examples

A comparison of the ranking examples of ConvNet, OASIS feature (L1HashKPCA features with OASIS learning) and Deep Ranking is shown in Fig. 7. We can see that ConvNet captures the semantic meaning of the images very well, but it fails to take into account some global visual appearance, such as color and contrast. On the other hand,

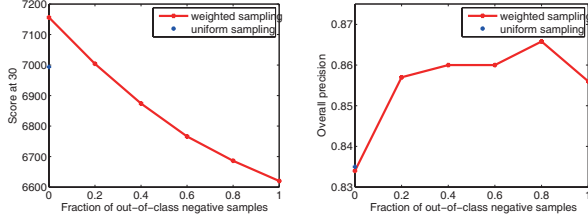


Figure 6. The relationship between the performance of the proposed method and the fraction of out-of-class negative samples.

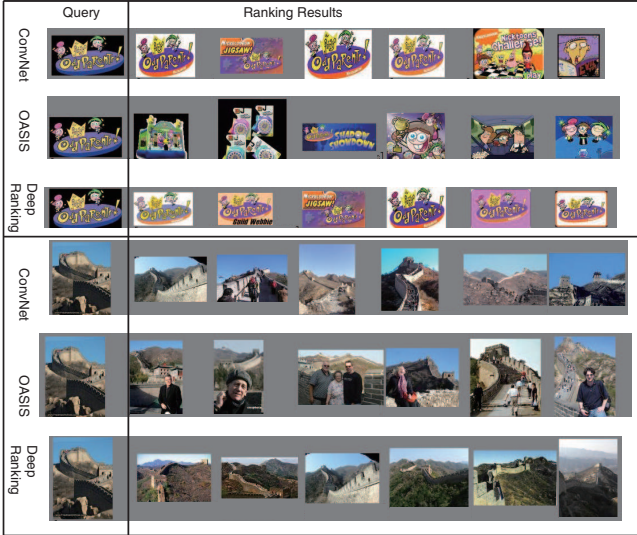


Figure 7. Comparison of the ranking examples of ConvNet, Oasis Features and Deep Ranking.

Oasis features can characterize the visual appearance well, but fall short on the semantics. The proposed deep ranking method incorporates both the visual appearance and image semantics.

7. Conclusion

In this paper, we propose a novel deep ranking model to learn fine-grained image similarity models. The deep ranking model employs a triplet-based hinge loss ranking function to characterize fine-grained image similarity relationships, and a multiscale neural network architecture to capture both the global visual properties and the image semantics. We also propose an efficient online triplet sampling method that enables us to learn deep ranking models from very large amount of training data. The empirical evaluation shows that the deep ranking model achieves much better performance than the state-of-the-art hand-crafted features-based models and deep classification models. Image similarity models can be applied to many other computer vision applications, such as exemplar-based object recognition/detection and image deduplication. We will explore

along these directions.

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