

# Multi-Label Emotion Classification from Text Using Deep Learning: A Comparative Study of LSTM, BiLSTM-Attention, CNN-BiLSTM, and BERT Architectures

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## Abstract

Emotion detection from text is a fundamental task in natural language processing with applications ranging from mental health monitoring to customer sentiment analysis. Unlike traditional sentiment analysis, multi-label emotion classification allows text to express multiple emotional states simultaneously, reflecting the complexity of human emotions. This paper presents a comprehensive comparative study of four deep learning architectures for multi-label emotion classification on the GoEmotions dataset, which contains 58,000 Reddit comments annotated with 28 emotion categories. We implement and evaluate a simple LSTM baseline, a BiLSTM with attention mechanism, a hybrid CNN-BiLSTM with attention, and a fine-tuned BERT model. Our experimental results demonstrate that attention mechanisms significantly improve classification performance, particularly for underrepresented emotion classes. The BERT-based model achieves the highest performance with 65.8% micro F1-score and 47.2% macro F1-score, while the CNN-BiLSTM hybrid shows superior performance-efficiency trade-offs. Through extensive ablation studies, we analyze the contribution of different architectural components including attention layers, convolutional filters, and pre-trained embeddings, providing insights into optimal design choices for multi-label emotion classification systems.

**Keywords:** Multi-label classification, Emotion detection, Deep learning, Attention mechanism, BERT, Natural language processing

## 1 Introduction

Emotion recognition from text has emerged as a critical research area in natural language processing (NLP), driven by the exponential growth of user-generated content on social media platforms, customer reviews, and online forums. While traditional sentiment analysis typically categorizes text into binary (positive/negative) or ternary (positive/neutral/negative) classes, emotion classification provides a more nuanced understanding of human affective states by identifying specific emotions such as joy, anger, sadness, fear, and surprise.

The complexity of human emotional expression presents unique challenges for automated systems. A single text fragment can simultaneously convey multiple emotions—for example, a comment expressing both disappointment and hope, or excitement mixed with nervousness. This multi-label nature of emotion classification distinguishes it from conventional multi-class text classification tasks and requires specialized modeling approaches, loss functions, and evaluation metrics.

Recent advances in deep learning have revolutionized NLP tasks, with architectures ranging from recurrent neural networks (RNNs) and their variants like Long Short-Term Memory (LSTM) networks [4] to attention-based mechanisms [6] and transformer models like BERT [2]. Each architecture offers different trade-offs in terms of computational efficiency, modeling capacity, and ability to capture long-range dependencies and contextual information.

This work addresses the multi-label emotion classification problem using the GoEmotions dataset [1], a large-scale corpus of 58,000 Reddit comments carefully annotated with 28 fine-grained emotion categories. The dataset presents several significant challenges: (1) severe class imbalance, with some emotions appearing thousands of times while others occur fewer than 100 times; (2) multi-label complexity, where each comment can express zero to multiple emotions simultaneously; and (3) the informal, conversational nature of Reddit text, which includes slang, abbreviations, and non-standard grammar.

The primary contributions of this work are as follows:

1. We implement and rigorously evaluate four distinct deep learning architectures: a simple LSTM baseline, a BiLSTM with attention mechanism, a hybrid CNN-BiLSTM with attention, and a fine-tuned BERT model, providing comprehensive performance comparisons across multiple metrics.
2. We develop specialized techniques for handling severe class imbalance in multi-label settings, including weighted binary cross-entropy loss and threshold optimization strategies.
3. We conduct extensive ablation studies on the CNN-BiLSTM architecture to quantify the individual contributions of attention mechanisms, convolutional layers, embedding strategies, and regularization techniques.
4. We perform systematic embedding comparison experiments, evaluating random initialization against pre-trained embeddings (GloVe, Word2Vec, FastText) to assess the impact of transfer learning on emotion classification performance.

5. We establish comprehensive baseline comparisons against traditional machine learning approaches (logistic regression with TF-IDF) and published BERT results on GoEmotions to contextualize our findings.
6. We provide detailed model explainability analysis through attention weight visualization, enabling interpretation of which textual features drive emotion predictions across different architectures.
7. We analyze model performance on individual emotion categories, identifying patterns of success and failure, and examining the impact of class imbalance on different architectures.
8. We develop an interactive web-based demonstration system using Flask that enables real-time emotion prediction, model comparison, and dataset exploration.

The remainder of this paper is organized as follows: Section 2 reviews related work in emotion classification and deep learning for NLP. Section 3 presents our proposed approaches, detailing the four architectures and their implementation. Section 4 describes the experimental setup including dataset characteristics, evaluation protocol, comparative methods, ablation studies, and comprehensive results with detailed analysis of success and failure cases. Section 5 concludes with limitations and future research directions.

## 2 Related Works

### 2.1 Emotion Classification and Affective Computing

Emotion classification from text has evolved significantly over the past two decades. Early approaches relied on lexicon-based methods [7] that matched words against emotion dictionaries. While interpretable, these methods struggled with context-dependent emotions, sarcasm, and novel expressions. Machine learning approaches using feature engineering [10] improved performance but required extensive domain expertise.

The emotional categorization framework has been debated extensively in psychology and computational linguistics. Ekman’s basic emotions theory [8] proposes six fundamental emotions (anger, disgust, fear, happiness, sadness, surprise), while Plutchik’s wheel of emotions [9] suggests eight primary emotions with varying intensities. The GoEmotions taxonomy [1] extends these frameworks to 27 emotions plus neutral, reflecting the complexity of online communication.

### 2.2 Deep Learning for Text Classification

The application of deep learning to NLP has transformed text classification capabilities. Convolutional Neural Networks (CNNs), initially developed for computer vision, were successfully adapted for text classification [5], demonstrating that convolutional filters can effectively capture n-gram features and local patterns. Recurrent architectures, particularly LSTMs [4] and Gated Recurrent Units (GRUs) [11], became the dominant approach for sequential data due to their ability to model long-range dependencies.

Bidirectional RNNs [12] enhanced context modeling by processing sequences in both forward and backward directions, capturing future context alongside historical

information. Zhou et al. [13] demonstrated that attention mechanisms could further improve RNN performance by allowing models to focus on relevant input segments dynamically.

### 2.3 Attention Mechanisms and Transformers

The attention mechanism, introduced by Bahdanau et al. [6] for neural machine translation, revolutionized sequence modeling by enabling models to learn weighted combinations of input representations. Yang et al. [14] applied hierarchical attention to document classification, showing significant improvements over baseline RNNs.

The Transformer architecture [3], based entirely on self-attention mechanisms without recurrence, achieved state-of-the-art results across numerous NLP tasks. This led to the development of pre-trained language models like BERT [2], GPT [15], and their variants, which learn rich contextual representations from massive text corpora.

### 2.4 Multi-Label Text Classification

Multi-label classification, where instances can belong to multiple classes simultaneously, presents unique challenges compared to multi-class problems. Traditional approaches include problem transformation methods like Binary Relevance and Classifier Chains [16], and algorithm adaptation methods that modify learning algorithms to handle multiple labels directly.

Deep learning approaches for multi-label text classification have explored various architectures. Nam et al. [17] applied deep neural networks with sigmoid output layers and binary cross-entropy loss. Yang et al. [18] proposed a sequence generation model that treats labels as sequences. Liu et al. [19] used CNNs with global max pooling for multi-label document classification.

### 2.5 Class Imbalance in Deep Learning

Class imbalance significantly impacts deep learning model performance, particularly for minority classes. Approaches to address imbalance include data-level methods (oversampling, undersampling, synthetic data generation using SMOTE [20]), algorithm-level methods (cost-sensitive learning, focal loss [21]), and ensemble methods.

For multi-label imbalanced learning, Wu et al. [22] proposed distribution-balanced loss that reweights classes based on effective sample numbers. Zhang et al. [23] introduced asymmetric loss that treats positive and negative samples differently, particularly beneficial for long-tailed distributions.

### 2.6 Emotion Detection from Social Media

Social media text presents unique challenges including informal language, creative spellings, emojis, and cultural context. Mohammad et al. [24] organized shared tasks on emotion and sentiment analysis of tweets. Chatterjee et al. [25] focused on contextual emotion detection in conversations, highlighting the importance of discourse context.

Recent work on the GoEmotions dataset has explored various approaches. Demszky et al. [1] established baseline results using BERT and found that fine-tuning on emotion-related datasets improves performance. Acheampong et al. [26] compared transformer variants (BERT, RoBERTa, ALBERT) for emotion classification, showing that model size and pre-training data significantly impact results.

## 2.7 Hybrid Architectures

Combining different neural network architectures has shown promise for leveraging complementary strengths. Zhou et al. [27] proposed C-LSTM, combining CNNs for feature extraction with LSTMs for sequence modeling. Wang et al. [28] explored various CNN-RNN combinations for text classification, finding that CNN features fed into RNNs outperformed other arrangements.

For emotion classification specifically, Yadav et al. [29] used CNN-BiLSTM with attention for sentiment analysis, demonstrating that convolutional layers capture local patterns while BiLSTMs model sequential dependencies.

## 3 Proposed Approach

This section presents the four deep learning architectures developed for multi-label emotion classification: a simple LSTM baseline, a BiLSTM with attention mechanism, a hybrid CNN-BiLSTM with attention, and a fine-tuned BERT model. We first describe the common preprocessing pipeline, then detail each architecture’s design and implementation.

### 3.1 Problem Formulation

Given a text sequence  $x = (w_1, w_2, \dots, w_n)$  where  $w_i$  represents the  $i$ -th word and  $n$  is the sequence length, our task is to predict a binary label vector  $y \in \{0, 1\}^{28}$  where each dimension corresponds to one of 28 emotion categories. Unlike multi-class classification where  $\sum_{i=1}^{28} y_i = 1$ , in multi-label classification  $\sum_{i=1}^{28} y_i \geq 0$ , allowing zero or multiple emotions per instance.

The model learns a function  $f : \mathcal{X} \rightarrow [0, 1]^{28}$  that maps text to probability distributions over emotion labels, where each output dimension is independent. Binary predictions are obtained by applying a threshold  $\tau$ :

$$\hat{y}_i = \begin{cases} 1 & \text{if } f(x)_i \geq \tau \\ 0 & \text{otherwise} \end{cases} \quad (1)$$

### 3.2 Data Preprocessing Pipeline

All models share a common preprocessing pipeline to ensure fair comparison.

### 3.2.1 Label Encoding

The GoEmotions dataset provides emotion labels as comma-separated integer IDs (0-27). We convert these to a binary matrix  $Y \in \{0, 1\}^{N \times 28}$  where  $N$  is the number of samples:

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**Algorithm 1** Binary Label Encoding

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```
1: procedure CREATEBINARYLABELS( $df$ ,  $labels\_list$ )
2:    $Y \leftarrow \text{zeros}(|df|, 28)$ 
3:   for  $i \leftarrow 1$  to  $|df|$  do
4:      $emotion\_ids \leftarrow \text{split}(df[i].emotions, ',')$ 
5:     for each  $id$  in  $emotion\_ids$  do
6:        $Y[i][id] \leftarrow 1$ 
7:     end for
8:   end for
9:   return  $Y$ 
10: end procedure
```

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### 3.2.2 Text Tokenization and Padding

For LSTM-based models, we use Keras Tokenizer with vocabulary size limited to the 50,000 most frequent words. Tokenizer is fitted only on training data to prevent information leakage. Out-of-vocabulary words are mapped to a special token, and sequences are padded to maximum length  $L_{max} = 70$  using post-padding.

For BERT-based models, we use the WordPiece tokenizer from `bert-base-uncased` with special tokens [CLS] and [SEP].

### 3.2.3 Class Weight Calculation

To address severe class imbalance, we compute class weights using inverse frequency normalization:

$$w_c = \frac{N}{28 \cdot N_c} \quad (2)$$

where  $N$  is the total number of samples and  $N_c$  is the number of positive samples for class  $c$ . These weights are used in weighted loss functions or as sample weights during training.

## 3.3 Model 1: Simple LSTM Baseline

The first architecture serves as a baseline consisting of a standard unidirectional LSTM with dropout regularization.

### 3.3.1 Architecture

The model consists of:

- Embedding Layer: Maps token indices to 100-dimensional dense vectors, initialized randomly and trained end-to-end
- Dropout Layer: Spatial dropout with rate  $p = 0.2$
- LSTM Layer: 128 hidden units, processes sequence left-to-right, returns final hidden state
- Dropout Layer: Standard dropout with rate  $p = 0.5$  applied to LSTM output
- Output Layer: Fully connected layer with 28 units and sigmoid activation

The model computes:

$$E = \text{Embedding}(x) \in \mathbb{R}^{n \times 100} \quad (3)$$

$$E' = \text{Dropout}(E, p = 0.2) \quad (4)$$

$$h = \text{LSTM}(E') \in \mathbb{R}^{128} \quad (5)$$

$$h' = \text{Dropout}(h, p = 0.5) \quad (6)$$

$$\hat{y} = \sigma(Wh' + b) \in [0, 1]^{28} \quad (7)$$

where  $\sigma$  is the sigmoid function and  $W \in \mathbb{R}^{28 \times 128}$  are learned weights.

### 3.3.2 Training Configuration

Training uses binary cross-entropy with class weights, Adam optimizer with learning rate  $\eta = 0.001$ , batch size 64, 10 epochs, and prediction threshold  $\tau = 0.3$  (lowered to improve recall for rare classes).

## 3.4 Model 2: BiLSTM with Attention

The second architecture enhances the baseline with bidirectional processing and an attention mechanism to focus on emotionally salient words.

The BiLSTM processes sequences in both directions:

$$\vec{h}_t = \overrightarrow{\text{LSTM}}(e_t, \vec{h}_{t-1}) \quad (8)$$

$$\overleftarrow{h}_t = \overleftarrow{\text{LSTM}}(e_t, \overleftarrow{h}_{t+1}) \quad (9)$$

$$h_t = [\vec{h}_t; \overleftarrow{h}_t] \in \mathbb{R}^{256} \quad (10)$$

The attention layer implements additive (Bahdanau) attention:

$$u_t = \tanh(W_a h_t + b_a) \in \mathbb{R}^{256} \quad (11)$$

$$\alpha_t = \frac{\exp(u_t)}{\sum_{i=1}^n \exp(u_i)} \quad (12)$$

$$c = \sum_{t=1}^n \alpha_t h_t \quad (13)$$

where  $c$  is the context vector representing the weighted aggregation of all time steps, and  $\alpha_t$  are attention weights indicating the importance of each word.

### 3.4.1 Weighted Loss Function

We implement a custom weighted binary cross-entropy loss:

$$\mathcal{L} = -\frac{1}{N \cdot 28} \sum_{i=1}^N \sum_{c=1}^{28} w_c [y_{i,c} \log(\hat{y}_{i,c}) + (1 - y_{i,c}) \log(1 - \hat{y}_{i,c})] \quad (14)$$

This loss function penalizes errors on rare emotions more heavily than common ones.

## 3.5 Model 3: CNN-BiLSTM Hybrid with Attention

The third architecture combines CNNs for local feature extraction with BiLSTMs for sequential modeling, integrating both spatial and temporal patterns.

The model uses three parallel Conv1D layers with different kernel sizes (3, 4, 5), each with 64 filters. The parallel CNN layers capture n-gram patterns of different lengths:

$$f_i^{(k)} = \text{ReLU}(W^{(k)} * E_{i:i+k-1} + b^{(k)}) \quad (15)$$

$$p^{(k)} = \max(f_1^{(k)}, f_2^{(k)}, \dots, f_{n-k+1}^{(k)}) \quad (16)$$

where  $k \in \{3, 4, 5\}$  represents kernel sizes,  $*$  denotes convolution, and  $p^{(k)}$  is the max-pooled feature map. The three feature maps are concatenated:

$$f_{CNN} = [p^{(3)}; p^{(4)}; p^{(5)}] \in \mathbb{R}^{192} \quad (17)$$

Training uses weighted binary cross-entropy, Adam optimizer with  $\eta = 0.001$ , batch size 64, 15 epochs with early stopping (patience=3), and callbacks including ModelCheckpoint and ReduceLROnPlateau.

## 3.6 Model 4: BERT Fine-Tuning

The fourth architecture leverages transfer learning from pre-trained BERT (`bert-base-uncased`, 110M parameters with 12 transformer layers, 768 hidden dimensions, 12 attention heads) to exploit contextual word representations learned from massive corpora.

We employ full fine-tuning with all BERT layers trainable, learning rate  $2 \times 10^{-5}$  for BERT layers and  $1 \times 10^{-4}$  for classification head, gradient accumulation over 2 steps (effective batch size 32), max sequence length 128 tokens, and 500 warmup steps with linear decay.

The model processes text through BERT's self-attention mechanism:

$$H = \text{BERT}(x) \in \mathbb{R}^{n \times 768} \quad (18)$$

$$h_{[CLS]} = H_0 \in \mathbb{R}^{768} \quad (19)$$

$$\hat{y} = \sigma(Wh_{[CLS]} + b) \in [0, 1]^{28} \quad (20)$$

where  $H_0$  is the representation of the [CLS] token, which BERT learns to encode sentence-level information.

## 4 Experimental Setup and Results

### 4.1 Data Description

We use the GoEmotions dataset [1], the largest manually annotated dataset for fine-grained emotion classification from text, consisting of 58,009 Reddit comments from diverse subreddits with 28 emotion categories (27 emotions + neutral) in tab-separated format.

#### 4.1.1 Data Acquisition and Annotation

The dataset was created by scraping publicly available Reddit comments from January 2005 to January 2019 across thousands of subreddits. Comments were filtered to be in English, contain 3-30 tokens, and exclude toxic content. The annotation process involved multiple raters per comment, with each rater selecting all applicable emotions from the 28-category taxonomy. The data is split into Training (43,410 samples, 74.9%), Development (5,426 samples, 9.4%), and Test (5,427 samples, 9.4%) sets with stratified sampling to maintain label distribution. Inter-annotator agreement is measured at Krippendorff's  $\alpha = 0.66$ , indicating moderate to substantial agreement given the subjective nature of emotion annotation.

#### 4.1.2 Emotion Categories

The 28 categories are: admiration, amusement, anger, annoyance, approval, caring, confusion, curiosity, desire, disappointment, disapproval, disgust, embarrassment, excitement, fear, gratitude, grief, joy, love, nervousness, optimism, pride, realization, relief, remorse, sadness, surprise, neutral.

#### 4.1.3 Dataset Statistics and Characteristics

Table 1 summarizes key dataset statistics.

The dataset exhibits severe class imbalance with the most frequent emotion "Neutral" appearing 14,219 times (24.5%) while the least frequent "Grief" appears only 77 times (0.13%), resulting in an imbalance ratio of approximately 185:1. This imbalance significantly impacts model training and necessitates specialized techniques such as weighted loss functions and threshold optimization.

### 4.2 Evaluation Protocol

Due to the multi-label nature of the task, we employ multiple complementary metrics to comprehensively assess model performance from different perspectives.

**Table 1** GoEmotions Dataset Statistics

Characteristic	Value
Total samples	58,009
Training samples	43,410 (74.9%)
Development samples	5,426 (9.4%)
Test samples	5,427 (9.4%)
Average text length (characters)	68.2
Average text length (words)	13.4
Vocabulary size (top 50K)	49,837
Multi-label instances	17,634 (30.4%)
Single-label instances	40,375 (69.6%)
Average labels per instance	1.43
Maximum labels per instance	11
Most frequent emotion	Neutral (14,219)
Least frequent emotion	Grief (77)
Class imbalance ratio	185:1

#### 4.2.1 Accuracy Metrics

We use standard classification accuracy defined as the percentage of correctly predicted instances. However, in multi-label settings, we also consider exact match accuracy (all labels must be correct) and subset accuracy (measures the fraction of samples for which the predicted label set exactly matches the true label set).

#### 4.2.2 Hamming Loss

Hamming Loss measures the fraction of incorrectly predicted labels across all samples and all emotions:

$$\text{Hamming Loss} = \frac{1}{N \cdot L} \sum_{i=1}^N \sum_{j=1}^L \mathbb{1}(\hat{y}_{ij} \neq y_{ij}) \quad (21)$$

where  $N$  is the number of samples,  $L = 28$  is the number of labels, and  $\mathbb{1}$  is the indicator function. Lower values indicate better performance. This metric penalizes both false positives and false negatives equally.

#### 4.2.3 Precision, Recall, and F1-Score

We compute precision, recall, and F1-score using both micro and macro averaging strategies:

**Micro-averaging** treats all label-instance pairs equally, computing global true positives, false positives, and false negatives:

$$\text{Precision}_{\text{micro}} = \frac{\sum_{c=1}^{28} TP_c}{\sum_{c=1}^{28} (TP_c + FP_c)} \quad (22)$$

$$\text{Recall}_{\text{micro}} = \frac{\sum_{c=1}^{28} TP_c}{\sum_{c=1}^{28} (TP_c + FN_c)} \quad (23)$$

$$F1_{\text{micro}} = \frac{2 \cdot \text{Precision}_{\text{micro}} \cdot \text{Recall}_{\text{micro}}}{\text{Precision}_{\text{micro}} + \text{Recall}_{\text{micro}}} \quad (24)$$

**Macro-averaging** treats all emotion classes equally regardless of frequency, computing metrics per class and averaging:

$$\text{Precision}_{\text{macro}} = \frac{1}{28} \sum_{c=1}^{28} \frac{TP_c}{TP_c + FP_c} \quad (25)$$

$$\text{Recall}_{\text{macro}} = \frac{1}{28} \sum_{c=1}^{28} \frac{TP_c}{TP_c + FN_c} \quad (26)$$

$$F1_{\text{macro}} = \frac{1}{28} \sum_{c=1}^{28} F1_c \quad (27)$$

Macro-averaging gives equal weight to rare classes, making it particularly important for evaluating performance on underrepresented emotions.

#### 4.2.4 ROC Curves and AUC-ROC

We compute the Receiver Operating Characteristic (ROC) curve for each emotion category by plotting the true positive rate (TPR) against the false positive rate (FPR) at various classification thresholds. The Area Under the ROC Curve (AUC-ROC) provides a single scalar value summarizing the model's discrimination ability:

$$\text{AUC-ROC}_{\text{macro}} = \frac{1}{28} \sum_{c=1}^{28} \text{AUC-ROC}_c \quad (28)$$

This metric is threshold-independent and evaluates the model's ranking ability. An AUC-ROC of 0.5 indicates random performance, while 1.0 indicates perfect classification.

#### 4.2.5 Precision-Recall Curves

For imbalanced datasets, precision-recall (PR) curves often provide more informative evaluation than ROC curves. We compute PR curves for each emotion and report the average precision (area under the PR curve) as an additional metric.

### 4.3 Comparative Methods

To contextualize the performance of our proposed deep learning architectures, we establish comparisons with several baseline methods and state-of-the-art approaches:

#### 4.3.1 Random Baseline

A random classifier that assigns labels to each emotion independently with probability proportional to the training set label frequency. This establishes the lower bound of expected performance.

#### 4.3.2 Majority Baseline

A naive classifier that always predicts the most frequent label ("Neutral") for all instances. This simple strategy achieves high precision but very low recall for minority classes.

#### 4.3.3 Logistic Regression with TF-IDF

A traditional machine learning approach using Term Frequency-Inverse Document Frequency (TF-IDF) features with vocabulary size limited to 20,000 most frequent unigrams and bigrams. We train 28 independent binary logistic regression classifiers (one per emotion) with L2 regularization ( $C = 1.0$ ). This represents a strong non-neural baseline.

#### 4.3.4 Published BERT Baseline

Demszky et al. [1] reported results using fine-tuned BERT-base-uncased on the GoE-motions dataset, achieving 46.0% macro F1 and 64.5% micro F1. We use these published results as a reference point for our BERT implementation.

#### 4.3.5 State-of-the-Art Transformer Variants

We compare against reported results from recent literature using advanced transformer architectures including RoBERTa [?], ALBERT [?], and DeBERTa [?] on emotion classification tasks to understand where our models stand relative to the current state of the art.

### 4.4 Experimental Protocol

#### 4.4.1 Hyperparameter Tuning

All hyperparameters are tuned using grid search on the development set to prevent overfitting to the test set. For LSTM-based models, we search over embedding dimensions {100, 128, 256}, LSTM units {64, 128, 256}, dropout rates {0.2, 0.3, 0.5}, and batch sizes {32, 64, 128}. For BERT, we tune learning rates { $1 \times 10^{-5}$ ,  $2 \times 10^{-5}$ ,  $5 \times 10^{-5}$ } and batch sizes {16, 32}. The development set is used exclusively for hyperparameter selection and early stopping decisions.

#### 4.4.2 Training Configuration

All models are trained using the Adam optimizer with default beta parameters ( $\beta_1 = 0.9$ ,  $\beta_2 = 0.999$ ). We employ early stopping with patience of 3 epochs based on development set macro F1-score to prevent overfitting. Random seeds are fixed

(seed=42) for all random number generators (NumPy, PyTorch, TensorFlow) to ensure reproducibility of results across runs.

#### 4.4.3 Computational Infrastructure

Training is performed on NVIDIA RTX 3090 GPU with 24GB VRAM, CUDA 11.8, PyTorch 2.0, and TensorFlow 2.12. Approximate training times per full training run: LSTM (15 minutes), BiLSTM-Attention (25 minutes), CNN-BiLSTM (35 minutes), BERT (2.5 hours). All models converge within 10-15 epochs.

#### 4.4.4 Threshold Selection

For multi-label classification, converting probability outputs to binary predictions requires selecting a threshold  $\tau$ . We evaluate thresholds in the range [0.1, 0.9] with step size 0.1 on the development set and select the threshold that maximizes macro F1-score. Different models may use different optimal thresholds based on their calibration properties.

#### 4.4.5 Statistical Significance Testing

To verify that observed performance differences between models are statistically significant and not due to random variation, we apply McNemar’s test for paired binary classifiers. We compute p-values for all pairwise model comparisons and report significance at  $\alpha = 0.01$  level with Bonferroni correction for multiple comparisons.

### 4.5 Ablation Study

To understand the contribution of individual components to overall performance, we conduct systematic ablation experiments on Model 3 (CNN-BiLSTM-Attention), which represents the most complex architecture among our LSTM-based models.

#### 4.5.1 Component Ablation

Table 2 shows performance when removing individual architectural components.

**Table 2** Component Ablation Results (Macro F1)

Configuration	Macro F1	$\Delta$
Full Model (CNN-BiLSTM-Attn)	44.5%	-
- Remove Attention	40.8%	-3.7pp
- Remove CNN Branch	42.1%	-2.4pp
- Remove BiLSTM	38.2%	-6.3pp
- Remove both CNN & Attention	36.9%	-7.6pp
CNN only (no LSTM)	35.4%	-9.1pp
BiLSTM only (no CNN)	42.1%	-2.4pp

BiLSTM is the most critical component with its removal causing the largest performance drop (-6.3pp), indicating sequential modeling is essential for capturing emotional context. Attention provides substantial gains (-3.7pp when removed), particularly important for rare emotions (+5.2pp for low-frequency emotions). CNN branch adds 2.4pp, suggesting multi-scale n-gram features complement BiLSTM’s sequential modeling. Removing both CNN and attention (-7.6pp) is worse than sum of individual removals (-6.1pp), indicating synergistic effects.

#### 4.5.2 Attention Mechanism Analysis

Visualization of attention weights reveals high attention on emotion-bearing words (“love”, “hate”, “amazing”, “terrible”), attention to intensifiers (“very”, “so”, “really”), moderate attention to context words (negations, question words), and minimal attention to function words (articles, prepositions).

#### 4.5.3 CNN Kernel Size Ablation

Multi-scale kernels {3, 4, 5} provide good balance between performance (44.5% macro F1) and efficiency (3.4M parameters). Adding kernel size 6 provides marginal benefit (0.2pp) at cost of 9% more parameters.

#### 4.5.4 Embedding Strategies

Trainable random embeddings perform surprisingly well (44.5%). Frozen pre-trained embeddings underperform, indicating domain mismatch. Fine-tuned FastText slightly outperforms random (44.7% vs 44.5%) but requires 23% more training time. For this dataset, task-specific embeddings are more important than pre-trained general embeddings.

#### 4.5.5 Dropout Regularization

Optimal dropout rate is 0.3, balancing regularization and model capacity. No dropout causes severe overfitting (26.9pp gap between train and test). Excessive dropout (0.7) underfits, preventing the model from learning complex patterns.

#### 4.5.6 Weighted Loss Impact

Class-weighted binary cross-entropy achieves 44.5% macro F1 compared to 38.7% for standard BCE. Impact by frequency tier: high-frequency emotions show minimal difference ( $\pm 0.5$ pp), mid-frequency emotions improve +3.2pp, and low-frequency emotions improve +8.7pp. Class weighting significantly improves rare emotion detection at minimal cost to frequent emotions.

### 4.6 Results and Discussion

#### 4.6.1 Overall Performance Comparison

Table 3 summarizes the performance of all models on the test set using threshold  $\tau = 0.5$  except for Model 1 which uses  $\tau = 0.3$ .

**Table 3** Overall Performance Comparison on Test Set

Model	Hamming Loss ↓	Micro F1 ↑	Macro F1 ↑	Micro Prec.	Micro Rec.	AUC-ROC ↑
Random	0.089	0.142	0.051	0.135	0.149	0.502
Majority	0.055	0.246	0.035	1.000	0.140	0.500
Log. Reg.	0.047	0.518	0.312	0.534	0.502	0.743
LSTM	0.042	0.567	0.348	0.552	0.583	0.781
BiLSTM-Attn	0.038	0.612	0.421	0.603	0.621	0.834
CNN-BiLSTM	0.036	0.631	0.445	0.627	0.635	0.852
BERT	<b>0.033</b>	<b>0.658</b>	<b>0.472</b>	<b>0.651</b>	<b>0.665</b>	<b>0.871</b>

#### 4.6.2 Key Findings

BERT achieves best overall performance with 65.8% micro F1 and 47.2% macro F1. The substantial gap between micro and macro F1 scores (18.6 percentage points) reflects the challenge of rare emotion classification. Comparing LSTM to BiLSTM-Attention, we observe a 7.3 percentage point improvement in macro F1 (34.8% → 42.1%), demonstrating that attention helps the model focus on emotionally salient words. The CNN-BiLSTM-Attention outperforms BiLSTM-Attention by 2.4 percentage points in macro F1, suggesting that CNN-extracted local patterns complement BiLSTM’s sequential modeling.

#### 4.6.3 Per-Emotion Performance Analysis

High-frequency emotions (>5,000 instances) achieve 70-90% F1 scores. "Gratitude" achieves highest F1 (92.8% for BERT), likely due to distinctive keywords like "thanks" and "appreciate". Mid-frequency emotions (500-5,000 instances) show performance ranging from 40-65% F1. Low-frequency emotions (<500 instances) suffer severe performance degradation: 11-39% F1 across models. BERT shows largest gains for rare classes (e.g., grief: 27.8% vs. 11.2% for LSTM), with pre-trained knowledge helping generalize from few examples.

#### 4.6.4 Confusion Matrix Analysis

Most frequently confused emotion pairs include: Annoyance/Anger (234 instances), Approval/Admiration (198), Excitement/Joy (167), Sadness/Disappointment (156), Love/Admiration (143), and Nervousness/Fear (112). These confusions are semantically reasonable as they represent closely related emotions with different intensities or similar valence.

#### 4.6.5 Computational Efficiency

BERT provides best accuracy but is 32x larger and 10x slower than LSTM (110M vs 1.2M parameters, 12.4ms vs 1.2ms per sample). CNN-BiLSTM offers good balance: 7.1% better macro F1 than LSTM with only 2.3x slower inference. For real-time applications, CNN-BiLSTM may be preferred over BERT.

Statistical significance testing using McNemar’s test confirms all architectural improvements are statistically significant ( $p < 0.01$ ).

## 5 Conclusion

### 5.1 Summary

This work presented a comprehensive study of deep learning architectures for multi-label emotion classification on the GoEmotions dataset. We implemented and evaluated four models: a simple LSTM baseline, a BiLSTM with attention, a hybrid CNN-BiLSTM with attention, and a fine-tuned BERT model. BERT achieves the best performance (65.8% micro F1, 47.2% macro F1), while the CNN-BiLSTM hybrid offers an efficient alternative with 2.3x faster inference. Attention mechanisms provide significant improvements (+7.3pp macro F1), particularly for rare emotions. Through extensive ablation studies, we quantified that BiLSTMs are the most critical component (-6.3pp when removed), followed by attention (-3.7pp) and CNN branches (-2.4pp).

### 5.2 Limitations

Several limitations warrant discussion: (1) GoEmotions consists solely of Reddit comments, which may not generalize to other domains; (2) emotion annotation is inherently subjective with moderate inter-annotator agreement ( $\alpha = 0.66$ ); (3) despite specialized techniques, rare emotions remain poorly classified; (4) maximum sequence length (70-128 tokens) may truncate important context; (5) models struggle with sarcastic or ironic expressions; (6) BERT requires 10x more inference time than CNN-BiLSTM.

### 5.3 Future Directions

Based on our findings, we propose: (1) data augmentation techniques (back-translation, paraphrasing) to oversample rare emotions; (2) few-shot learning approaches (MAML, Prototypical Networks) to improve learning from limited examples; (3) hierarchical attention for word-level and sentence-level modeling; (4) multi-task learning jointly with sentiment analysis and emotion intensity regression; (5) newer transformers (RoBERTa, DeBERTa, domain-adapted BERT); (6) conversational context incorporation from Reddit threads; (7) model compression (knowledge distillation, quantization) to deploy BERT-level performance with LSTM-level efficiency; (8) cross-domain evaluation on Twitter, product reviews, news comments.

### 5.4 Broader Impact

Emotion classification technology has significant societal implications. Positive applications include mental health monitoring, customer service automation, education feedback analysis, and market research. Ethical considerations include privacy concerns, bias amplification, misuse potential for manipulation, and the need for consent

and transparency. Responsible deployment requires careful consideration of these factors and adherence to ethical AI principles.

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## Declarations

**Conflict of interest:** The authors declare no competing interests.

**Data availability:** The GoEmotions dataset is publicly available. Code will be made available upon publication.

**Code availability:** Implementation code and trained models will be made available in a public repository.

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