Atomic Consistency Memory in BAMP systems

Yosef Goren

On **'Atomic Read/Write Memory in Signature-Free Byzantine Asynchronous Message-Passing Systems'**.
A paper by:
Achour Mostefaoui, Matoula Petrolia, Michel Raynal, Claude Jard

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BAMP: Byzantine Asynchronous Message Passing

A distributed system of n processes $p_1, p_2, ...p_n$.

Byzantine

A byzantine process is one that acts arbitrarily, it may crash or even send 'malicious' messages to correct processes.
Let t be the number of byzantine processes, we assume $t < \frac{n}{3}$.

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Asynchronous

A message sent from p_i to p_j may take any amount of time to arrive.

Why care about implementing registers?

What we get

This implementation provides a reduction from Message Passing models to Atomic Consistency Memory models.

What it can be used for

Many distributed algorithms are based on atomic memory; this reduction provides instant implementations of these algorithms in message passing systems.

Prior Works

Sharing Memory Robustly in Message-Passing Systems ('95)

A prior work by Attaya, Bar-Noy and Dolev shows an algorithm implementing atomic *SWMR* registers in message passing systems with crash-failures.
The proceeding algorithm shares most of it's structure the algorithm from *ABD*.

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Read/Write shared memory in BAMP systems ('16)

A more recent work by Imbs, Rajsbaum, Raynal and Stainer also implements atomic *SWMR* registers in *BAMP* systems, but requires each member to store the entier history of each register, an is (arguably) more complex.

Signature Free

Many algorithms cope with byzantine processes by requiring them to sign messages, The correctness of the algorithm presented depends on no cryptographic primitives.

Reliable Broadcast Abstraction

We will be using a reliable broadcast algorithm from: 'Asynchronous Byzantine agreement protocols' - Bracha ('87) The algorithm has guarenteed properties in *BAMP* systems.

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Guarantees

The reliable broadcast will have syntax '*r_brodcast m*', and it guarantees that if the sender is correct, *m* arrives at all correct processes eventually. Moreover, if a message *m* arrives at any correct process running the protocol - it will eventually arrive at all correct processes.

Single Writer Multiple Reader Registers (*SWMR*)

A single process can write; everyone can read.

Write Limitation

Each process p_i can only write to $Reg[i]$.

Register	p_1	p_2	p_3
$Reg[1]$	r/w	r	r
$Reg[2]$	r	r/w	r
$Reg[3]$	r	r	r/w

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Single Writer & Byzantine Processes

If all shared memory can be written by all processes - a single Byzantine process can destroy it.

Consistency Models

- A set of formal requirments.

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- A set of formal requirments.
- Dictates what should be possible during concurrent executions.
- Used to define correctness of concurrent system implementations.
- A system is a set of operations with semantics.

Memory Consistency Models

Memory as a concurrent system

- Operations: *read*, *write*.

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Memory as a concurrent system

- Operations: *read*, *write*.
- Semantics: *read* returns value of the last *write*.

Atomic Consistency: Jargon

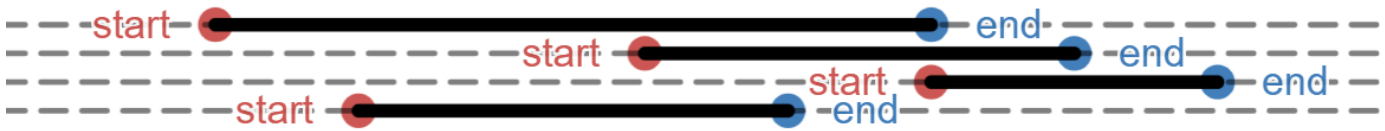
Atomic Consistency a.k.a **Linearizability**

- A strong *Consistency Model*.
- Requires a *total ordering* of operations.
- Requires operations be consistent with 'real timeline'.

Atomic Consistency - Auxilery Definitions

Execution

An execution is a set of invocations to *read* and *write* operations, each is represented by an interval $[s, e]$ on the real number line where $s < e$.



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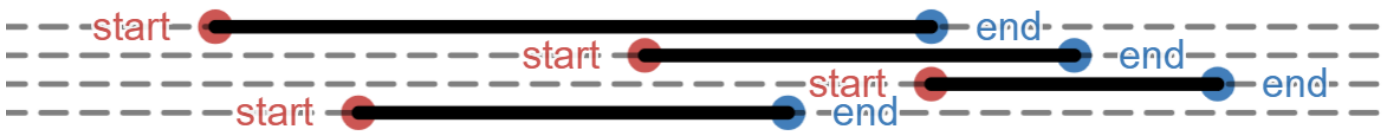

Serialization

Given an execution $[s_1, e_1], [s_2, e_2], ...[s_T, e_T]$, a serialization is unique set $a_1, a_2, ...a_T$ s.t. $a_i \in [s_i, e_i]$.

Atomic Consistency - Auxilery Definitions

Linearization = Serialization + Semantics

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Execution Linearizability

Execution is linearizable if exists a serialization which satisfies the system's semantics.

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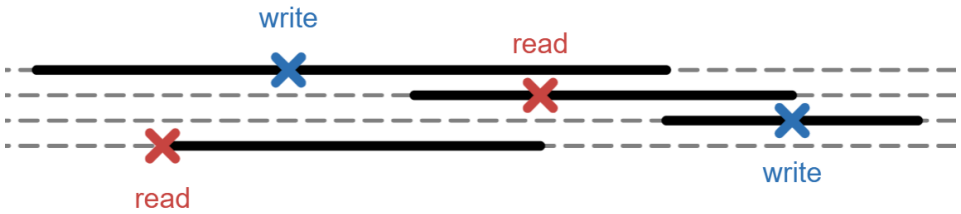

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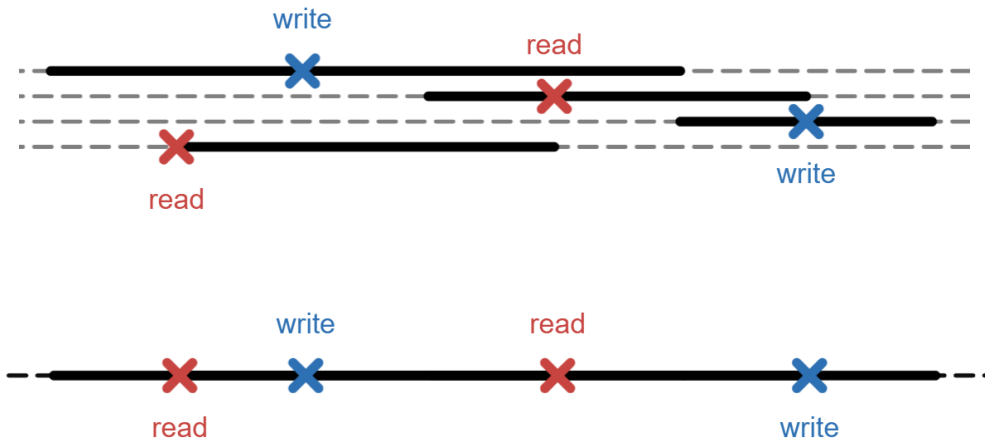

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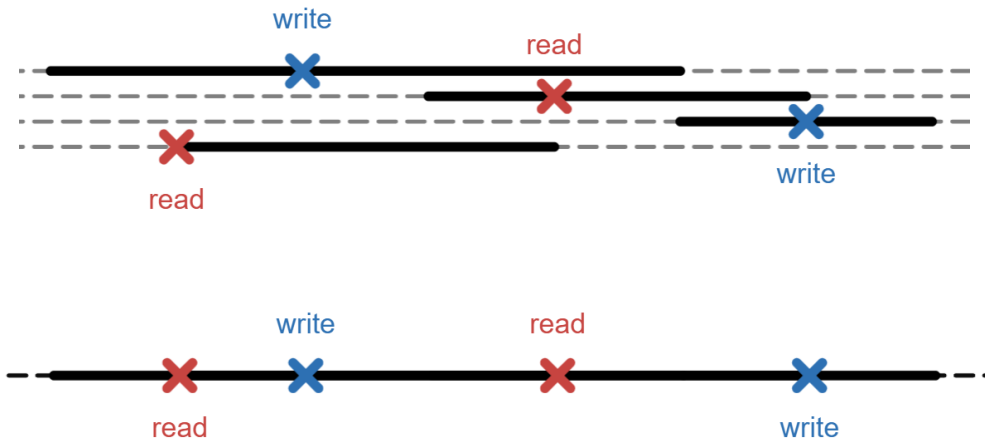

System Linearizability

System is linearizable if all possible executions are.

Notations

We define these notations for any correct processes p_i, p_j:

Reads

$read[i, j, x]$ will refer to an invocation by p_i, to read $Reg[j]$ which returns the x'th value written by p_j.

Writes

$write[i, y]$ will refer to the y'th invocation by p_i, to write $Reg[i]$.

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$write[i, y]$ will refer to the y'th invocation by p_i, to write $Reg[i]$.

Note: $read[i, j, x]$ does **NOT** mean the value x was read, it means that the x'th value written to the register was read.
Similarly with $write[i, y]$

Algorithm Correctness Requirments - Termination

Let p_i be a correct process.

Write Termination

Each invocation of $Reg_i[i].write()$ terminates.

Read Termination

For any j, all invocations $Reg_i[j].read()$ terminates.

Alternative Semantics

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- Instead we prove equivalent semantics - Read invocations should consistent with order of writes:
  - Assign an index to each write.
  - The index of a read is the index of the write of the returned value.
  - If an invocation happends after invocation event - the prior should have a smaller index!

Algorithm Correctness Requirments - Atomic Consistency

For any correct p_i, p_j, p_k where p_i and p_j are correct, require:

Write History Sequence

We can associate a single sequence $H_k[x]$ with p_k with the set of writes by p_k and $H_k[x]$ is the value written by $write[k, x]$ if p_k is correct.

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Read followed by Write

if $read[j, i, x]$ terminates before $write[i, j, y]$ starts then $x < y$.

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Read followed by Write

if $read[j, i, x]$ terminates before $write[i, j, y]$ starts then $x < y$.

Write followed by Read

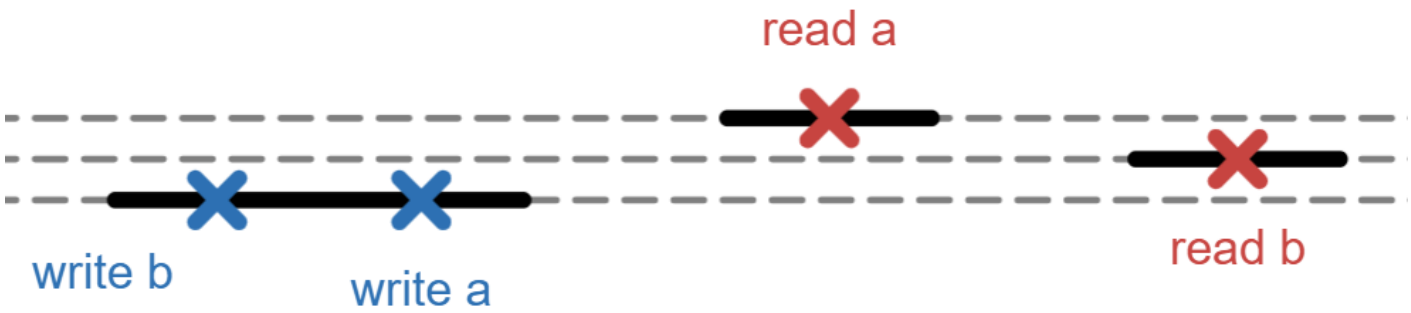
if $write[j, x]$ terminates before $read[i, j, y]$ starts then $x \leq y$.

No Read inversion

if $read[i, k, x]$ terminates before $read[j, k, y]$ starts then $x \leq y$.

Read Inversion - Example

p_1 reads before p_2, but gets an older value:



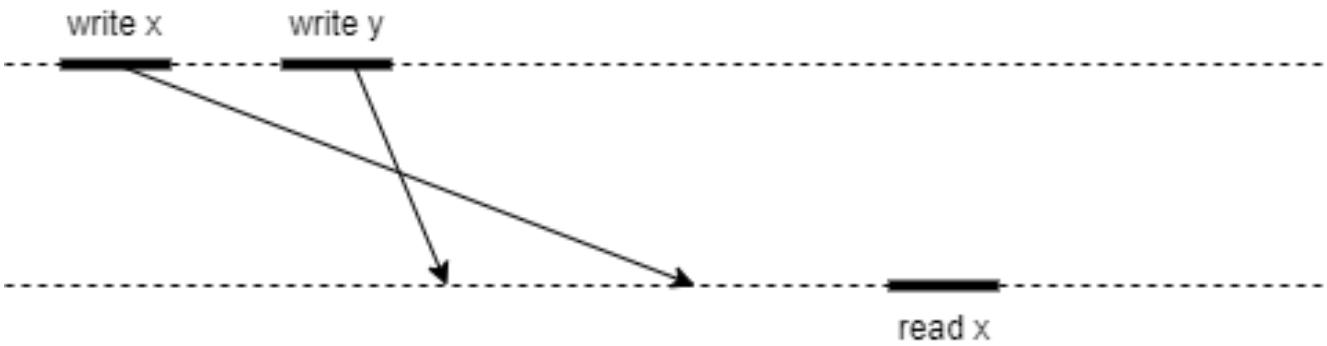
Attempt 1 - No Synchronization

Algorithm 1 Incorrect algorithm with no synchronization

operation $REG[i].write(v)$ **is**
    $Reg[i].value \leftarrow v$
    brodcast $WRITE(v)$
operation $REG[j].read()$ **is**
    return $Reg[j].value$
when a message $WRITE(v)$ **arrives** from p_j **do**
    $Reg[j].value \leftarrow v$

Algorithm 1 - Not even eventually consistent

1: **operation** $REG[i].write(v)$ **is**
  2: $Reg[i].value \leftarrow v$
  3: *brodcast* $WRITE(v)$
4: **operation** $REG[j].read()$ **is**
  5: *returnReg*$[j].value$
6: **when a message** $WRITE(v)$ **arrives** from p_j **do**
  7: $Reg[j].value \leftarrow v$



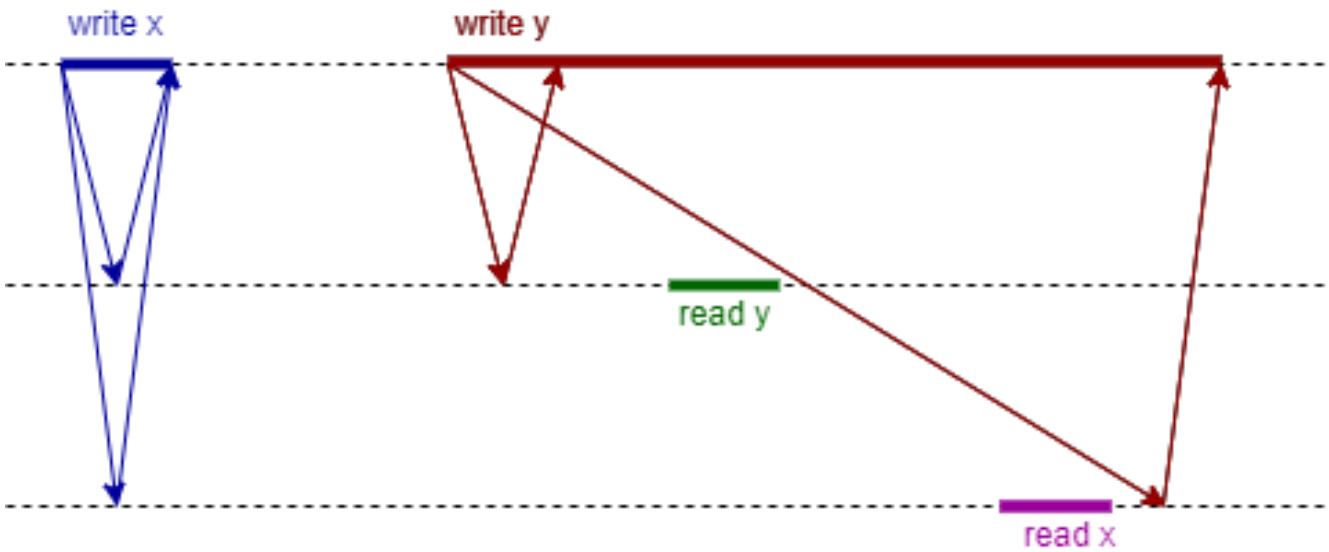
Attempt 2 - Write Synchronization

Algorithm 2 wait on writes - Sequentially Consistent, but not Linerarizable

operation $REG[i].write(v)$ **is**
    $sn \leftarrow sn + 1$
    $Reg[i].value \leftarrow v$
    brodcast $WRITE(v)$
    wait *got* $WRITE\_DONE(sn)$ *from all*
operation $REG[j].read()$ **is**
    return $Reg[j].value$
when a message $WRITE(v, sn)$ **arrives** from p_j **do**
    $Reg[j].value \leftarrow v$
    send $WRITE\_DONE(sn)$ *to* p_j

Algorithm 2 - Not Linearizable due to Read Inversion

operation $REG[i].write(v)$ **is**
2: $sn \leftarrow sn + 1$
$Reg[i].value \leftarrow v$
4: *brodcast* $WRITE(v)$
wait *got* $WRITE\_DONE(sn)$ *from all*
6: **operation** $REG[j].read()$ **is**
$returnReg[j].value$
8: **when a message** $WRITE(v, sn)$ **arrives** from p_j **do**
$Reg[j].value \leftarrow v$
10: $sendWRITE\_DONE(sn)top_j$



Attempt 3 - Waiting Read

Algorithm 3 Wait on both reads and writes - Linearizable but cannot handle faulty processes

operation $REG[i].write(v)$ **is**
$wsn \leftarrow wsn + 1$
$Reg[i].value \leftarrow v$
brodcast $WRITE(v)$
wait *got* $WRITE\_DONE(wsn)$ *from all*

Attempt 3 - Waiting Read. Cont.

operation $REG[j].read()$ **is**
$rsn[j] \leftarrow rsn[j] + 1$
brodcast $READ(j, rsn[j])$
wait *got* $STATE(wsn_k[j], rsn[j])$ *from each* p_k
$sn := max\{rsn_k[j] \mid k \in [n]\}$
wait $rsn[j] \geq sn$
when done : $w, sn \leftarrow Reg[j]$
return w

Attempt 3 - Waiting Read. Cont.

when a message $WRITE(v, sn)$ **arrives** from p_j **do**
    $Reg[j].value \leftarrow v$
    send $WRITE\_DONE(sn)$ *to* p_j
when a message $READ(j, rsn)$ **arrives** from p_j **do**
    send $STATE(Reg[j].sn, rsn)$ *to* p_j

Algorithm 3 - Cannot handle faulty processes

Faulty Processes?

- p_i writes, and waits for *WRITE_DONE* from p_j.
- p_j fails.
- p_i is stuck.

Never wait for everyone!

What if we just wait for majority?
If we don't change anything else, it will bring back read inversion.

Algorithm 4 - BAMP

Main Idea

Use the messages from **alg. 3** to provide linearizability, and use **majority** and **reliable brodcast** to handle faulty (including byzantine) processes.

Initialization and Invocations

local variables initialization:

$reg_i[1..n] \leftarrow [\langle init_0, 0\rangle, \ldots, \langle init_n, 0\rangle]$; $wsn_i \leftarrow 0$; $rsn_i[1..n] \leftarrow [0, \cdots, 0]$.

%---

operation $REG[i]$.write(v) **is**

(1) $wsn_i \leftarrow wsn_i + 1$;
(2) R_broadcast WRITE(v, wsn_i);
(3) **wait** WRITE_DONE(wsn_i) received from $(n - t)$ different processes;
(4) return()

end operation.

operation $REG[j]$.read() **is**

(5) $rsn_i[j] \leftarrow rsn_i[j] + 1$;
(6) broadcast READ($j, rsn_i[j]$);
(7) **wait** $(reg_i[j].sn \geq \max(wsn_1, ..., wsn_{n-t})$ where $wsn_1, ..., wsn_{n-t}$ are from messages STATE($rsn_i[j], -$) received from $n - t$ different processes$)$;
(8) **let** $\langle w, wsn\rangle$ the value of $reg_i[j]$ which allows the previous wait to terminate;
(9) broadcast CATCH_UP(j, wsn);
(10) **wait** (CATCH_UP_DONE(j, wsn) received from $(n - t)$ different processes$)$;
(11) return(w)

end operation.

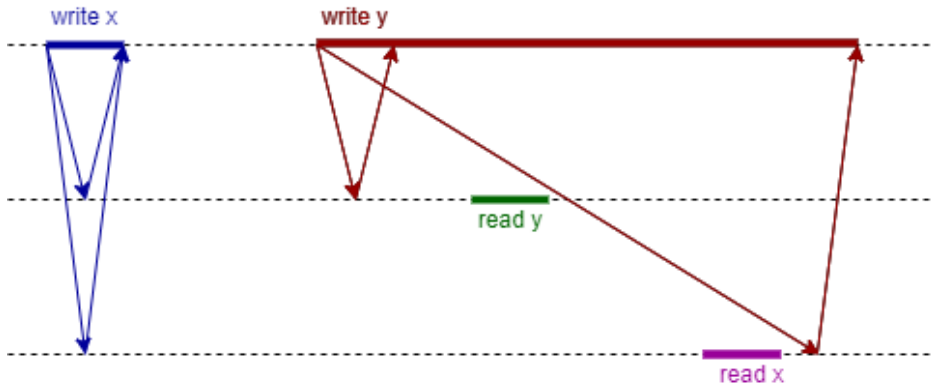
Message Handling

when a message WRITE(v, wsn) **is** R_delivered **from** p_j **do**
(12) wait$(wsn = reg_i[j].sn + 1)$;
(13) $reg_i[j] \leftarrow \langle v, wsn \rangle$;
(14) send WRITE_DONE(wsn) to p_j.

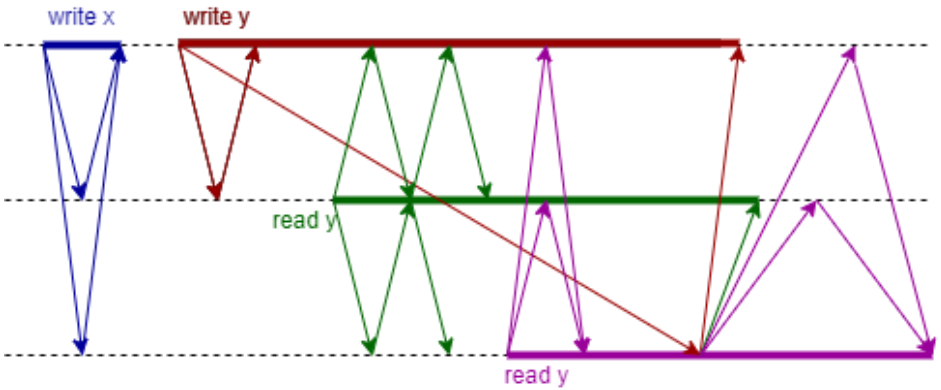
when a message READ(j, rsn) **is** received **from** p_k **do**
(15) send STATE$(rsn, reg_i[j].sn)$ to p_k.

when a message CATCH_UP(j, wsn) **is** received **from** p_k **do**
(16) wait $(reg_i[j].sn \geq wsn)$;
(17) send CATCH_UP_DONE(j, wsn) to p_k.

Algorithm 4 - No Read Inversion



No Read Wait (alg. 2).



With Read Wait (alg. 3).

Lemma 1 - Brodcast Conformity

Lemma

If a correct process p_i recives a message m from a $r\_brodcast(m)$ by another correct process - any other correct process will recive m.

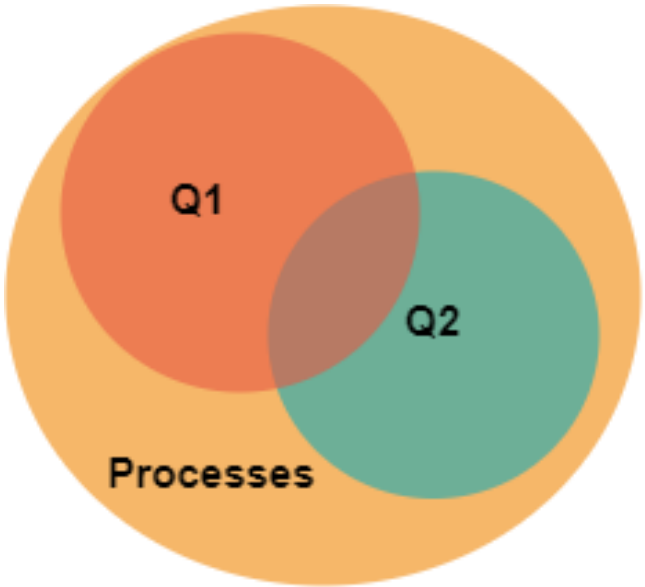
Proof.

Immidiate from the guarantees of the broadcast algorithm. □

Lemma 2 - Correct Process Intersection

Lemma

Any two sets of processes of size $(n - t)$ must have at least one correct process in common.



Lemma 2 - Correct Process Intersection. Proof.

Proof.

Denote the set of processes with P, and the set of faulty ones F.
Let $Q_1, Q_2 \subseteq P$ s.t. $|Q_1| = |Q_2| = n - t$.

$$|\overline{Q}_1 \cup \overline{Q}_2| \leq |\overline{Q}_1| + |\overline{Q}_2| \Rightarrow n - |\overline{Q}_1 \cup \overline{Q}_2| \geq n - |\overline{Q}_1| - |\overline{Q}_2|$$

$$\Rightarrow |\overline{\overline{Q}_1 \cup \overline{Q}_2}| \geq n - t - t$$

$$\Rightarrow |Q_1 \cap Q_2| \geq n - 2t > 3t - 2t = t = |F|$$

$$\Rightarrow \exists p \in Q_1 \cap Q_2 \notin F$$

Lemma 3 - Write Termination

Lemma

Let p_i be a correct process. Any invocation of $Reg[i].write()$ terminates.

Proof.

By induction; Assume k'th write invocation by p_i recives *WRTIE_DONE* from all correct processes.

- When p_i invokes write for $k+1$ time, it brodcasts *WRITE*.
- All correct processes recive *WRITE* (eventually).
- In each of those, $reg[j].sn$ is k due to induction assumption (line 12).
- (line 12) satisfied and *WRITE_DONE* is sent back.

□

Lemma 4 - Read Termination

Lemma

Let p_i be a correct process. Any invocation of $Reg_i[j].read()$ terminates.

Lemma 4 - Read Termination. Proof.

(*) Conclusion from Lemma 3

If one correct process get's to $Reg[j].sn = k$ (p_j is also correct), then all correct processes eventually have $Reg[j].sn \geq k$.
Otherwise - they could not send $WRITE\_DONE$ to p_j in contrediction to write termination.

Assume $read[i, j, \cdot]$ - meaing p_i invokes $Reg[j].read()$.

Line (7) termination

- Denote m as the max (min-max...) index recived at line (7).
- This means some p_k must have $Reg[j].sn = m$.
- Due to (*), p_i eventually gets $Reg[j].sn = m$ too.

Lemma 4 - Read Termination. Proof.

Line (10) termination

- p_i sends $wsn := Reg[j].sn$.
- All correct processes recive $CATCH\_UP(j, wsn)$.
- Due to (*), each one eventually has $Reg[j].sn = wsn$, meaning they finish wait at (16).
- So each one sends back $CATCH\_UP\_DONE$.

Lemma 5 - Write Serialization

Lemma

It is possible to associate a single sequence of values H_i with each register $Reg[i]$. Moreover, if p_i is correct - H_i is the sequence of values written to $Reg[i]$ by p_i.

Lemma 6 - Read before Write

Lemma

Let p_i, p_j be two correct processes.
If read$[i, j, x]$ terminates before write$[j, y]$ starts, then $x < y$.

Lemma 6 - Read before Write. Proof.

Assume $read[r, w, sn_r]$ before $write[w, sn_w]$, meaning p_r read $Reg[w]$ before p_w wrote to it.

- Denote Q_r the processes which terminated line (10).
- Denote Q_w the processes which terminated line (3).
- Due to lemma 2: $\exists p_k \in Q_1 \cap Q_2$.
- Denote p_k's serial number when *CATCH_UP_DONE* was sent with sn_k.
- Due to line (16), $sn_r \leq sn_k$.
- Denote sn'_k the serial number at p_k's when wait at (12) finshed.
- Due to line (12), $sn_w = sn'_k + 1$.
- $sn_r \leq sn_k \leq sn'_k = sn_w - 1 \Rightarrow sn_r < sn_w$.

Lemma 7 - Write before Read

Lemma

Let p_i, p_j be two correct processes.
If write$[i, x]$ terminates before read$[j, i, y]$ starts, then $x \leq y$.

Lemma 7 - Write before Read. Proof.

Assume $write[w, sn_w]$ before $read[w, r, sn_r]$, meaning p_w wrote before p_r read from $Reg[w]$.

- Denote Q_w the processes which terminated line (3).
- Denote Q_r the processes which terminated line (7).
- $\exists p_k \in Q_1 \cap Q_2$.
- Denote sn_k the serial number at p_k's when wait at (12) finshed.
- Due to line (12), $sn_w = sn_k + 1$.
- Denote p_k serial number when $STATE$ was sent with sn'_k.
- Due to line (7), $sn_r \geq sn'_k$.
- $sn_w = sn_k + 1 \leq sn'_k \leq sn_r \Rightarrow sn_w \leq sn_r$.

Lemma 8 - No Read Inversion

Lemma

Let p_i, p_j be two correct processes.
If read$[i, k, x]$ terminates before read$[j, k, y]$ starts, then $x \leq y$.

Lemma 8 - No Read Inversion. Proof.

Assume $read[r_1, j, sn_1]$ before $read[r_2, j, sn_2]$, meaning p_1 read before p_2 from $Reg[j]$.

- Denote Q_1 the processes which terminated line (10) in p_1's run.
- Denote Q_2 the processes which terminated line (7) in p_2's run.
- $\exists p_k \in Q_1 \cup Q_2$.
- Denote sn_k the serial number at p_k when wait at (16) finished.
- Due to line (16), $sn_k \geq sn_1$.
- Denote sn_k' the serial number sent from p_k to p_2 as $STATE$.
- Due to line (7), $sn'_k \leq sn_2$.
- $sn_1 \leq sn_k \leq sn'_k \leq sn_2$.

Theorem

The algorithm showcased implements and array of n SWMR registers with atomic Consistency, in BAMP with $t < \frac{n}{3}$ *systems.*

Proof.

We have seen required termination properties in lemmas 3,4 and atomicity properties in lemmas 5,6,7,8.

□

Complexity

Read Complexity

$O(n)$ messages are required for each read - as can be seen by the brodcasts at lines (6) and (9).

Write Complexity

$O(n^2)$ messages are required for each write, since for a reliable brodcast is required by the write invocation - which could require up to $O(n^2)$ messages to be sent.

What we have seen

Taxonomy and building blocks

Atomic Consistency, SWMR, Reliable Brodcast

Shared Memory Algorithms

We have seen some intuition about what is needed required for providing atomic consistency in an Asynchronous system, and a correct algorithm for *BAMP* systems.

Correctness Proof

Each of the algorithm's wanted properies has been shown.

Sequential Consistency too much?

Runtime Limitations

Requiring a system to implement Atomic Consistency is a very strong requirement and often comes at a steep runtime cost.

Alternative Models: $AC \subseteq SC \subseteq RC$

Is an algorithm for (only) Sequential Consistency possible?
Or better yet - an algorithm for Release Consistency with some sort of *'fence'* operation?

Exploding Serial Numbers

Number of messages sent is unbounded, memory complexity is logarithmic with number of messages sent (due to counters).

Reset Serial Numbers

Is it possible to add a mechanism to reset the serial numbers?

Mallicious Serial Numbers

Is it possible for byzantine processes to cause the serial numbers (within correct processes) to explode?
If so, is it possible to prevent this?

Thanks for listening!

Appendix 1. Algorithm 3 - No Read Inversion

- Assume p_2 starts reading after p_1 finishes reading.
- Denote the maximal the index read by p_1 with m.
- Some process p_k must have replied to p_1 with m as index.
- The time of p_k sending reply to p_1 is before p_1 finishes read, which is before p_2 starts read.
- When p_2 asks p_k to send it's index, p_k will send $m' \geq m$ since serial numbers are ascending.
- The index of value returned by p_2 is $m'' = max(m', \cdot, ...) \geq m' \geq m$.
- So the index of p_2's values is at-least that of p_1's value.