COMP 3011 DESIGN AND ANALYSIS OF ALGORITHMS FALL 2024

Divide and Conquer

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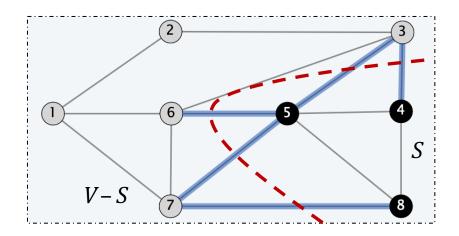


MINIMUM SPANNING TREES

Basic Definitions

A *cut* is a partition of the nodes into two nonempty subsets S and V-S, denoted by (S, V-S).

The *cutset* of a cut S is the set of edges with exactly one endpoint in S.



Cut
$$S = \{4,5,8\}$$
Cutset $D = \{(3,4), (3,5), (5,6), (5,7), (8,7)\}$

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Spanning Tree

Let H = (V, T) be a subgraph of an undirected graph G = (V, E). H is a **spanning tree** of G if H is both acyclic and connected.

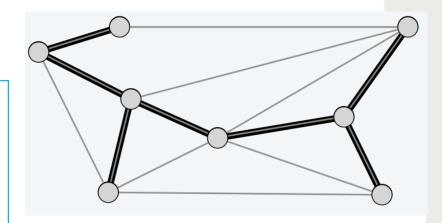
Proposition.

Let H = (V, T) be a subgraph of an undirected graph G = (V, E). Then, the following are equivalent:

- \triangleright H is a spanning tree of G.
- ➤ *H* is acyclic and connected.
- \triangleright H is connected and has |V|-1 edges.
- \blacktriangleright H is acyclic and has |V|-1 edges.
- \triangleright *H* is minimally connected: removal of any edge disconnects it.
- H is maximally acyclic: addition of any edge creates a cycle.

Minimum spanning tree (MST)

Given a <u>connected</u>, <u>undirected</u> graph G = (V, E) with edge costs c_e , a *minimum spanning tree* (V, T) is a spanning tree of G such that the sum of the edge costs in T is minimized.



24 6 16 8 10 11 7 14 7 4

 $Tree\ cost = 50 = 4 + 6 + 8 + 5 + 11 + 9 + 7$

Minimum spanning tree (MST)

Cayley's theorem. The complete graph on n nodes has n^{n-2} spanning trees.

can't solve by brute force

Both give the optimal solution!

Kruskal's Algorithm

Idea.

Starts without any edges and insert edges from E in order of increasing cost:

$$c_1 < c_2 < \dots < c_i < \dots < c_m$$

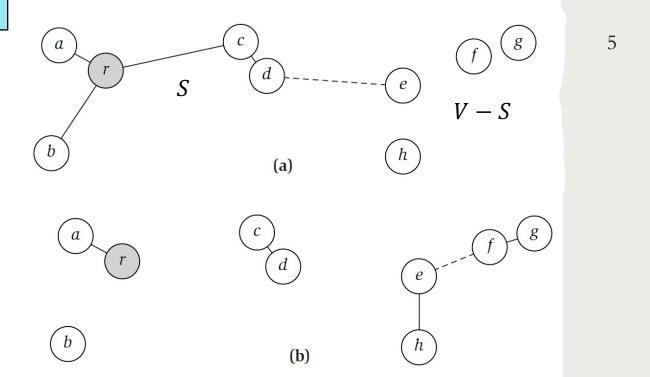
For edge e_i , insert it if it does not create a cycle with all inserted edges, and discard otherwise.

Prim's Algorithm

Idea (inspired by Dijkstra's Algorithm).

- Start with a root node $S = \{s\}$, and try to greedily grow a tree from S outward.
- At each step, we add the node v connected with S that can be attached as cheaply as possibly.

$$\min_{e=(u,v):u\in S} c_e$$



When Is It Safe to Include an Edge in the Minimum Spanning Tree?

Cut Property (Assume that all edge costs are distinct.) Let S be **any** subset of nodes $S \neq V$ or \emptyset .

Let edge e = (v, w) be the minimum cost edge with one end in S and the other in V - S.

Then \underline{every} MST contains the edge e.

Proof. [contradiction + exchange argument]

- ➤ Let *T* be an MST that does not contain e.
- \triangleright There must be a path P in T from v to w.
- \triangleright Exchange e' for e, get a set of edges

$$T' = T - \{e'\} \cup \{e\}.$$

- $\succ T'$ is a spanning tree:
 - \triangleright Connected: any path in (V, T) that used e' can now be "rerouted" by using e.
 - \triangleright Contains |V| 1 edges.
- $> c_e < c_e : \text{cost of } T' < \text{cost of } T \longrightarrow \text{a contradiction}.$

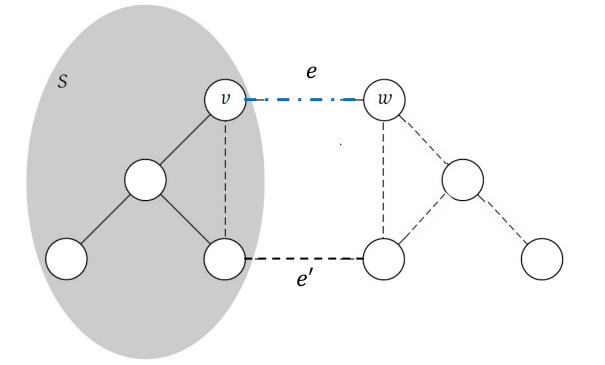
 $v' \in S$ is the node just before w' on Pfirst node w' on P that is in V - S.

Prim's Algorithm

- Start with a root node $S = \{s\}$, and try to greedily grow a tree from S outward.
- At each step, we add the node v connected with S that can be attached as cheaply as possibly.

$$\min_{e=(u,v):u\in S} c_e$$

Theorem. Prim's Algorithm produces an MST of *G*.



Prim's Algorithm outputs a spanning tree.

- Contains no cycles: by the design
- Connected: otherwise can add an edge between two components.

Prim's Algorithm outputs an MST.

At each step, we add the node v connected with S that can be attached as cheaply as possibly.

$$\min_{e=(u,v):u\in S} c_e$$

- Thus, e is the cheapest edge connecting S and V S.
- > By Cut Property, e belongs to every MST.

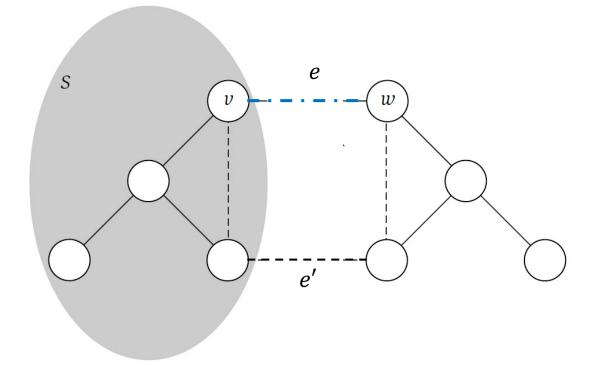
Kruskal's Algorithm

> Starts without any edges and insert edges from *E* in order of increasing cost:

$$c_1 < c_2 < \dots < c_i < \dots < c_m$$

For edge e_i insert it if it does not create a cycle with all inserted edges, and discard otherwise.

Theorem. Kruskal's Algorithm produces an MST of G.



Kruskal's Algorithm outputs a spanning tree.

- Contains no cycles: by the design
- Connected; otherwise can add an edge between two components.

Kruskal's Algorithm outputs an MST.

- \triangleright Consider any edge e = (v, w) added by Kruskal's Algorithm.
- Let S be the set of nodes to which v has a path before e is added. Clearly $v \in S$, but $w \notin S$.
- No edge from S to V S has been considered: any such edge could have been added without creating a cycle.
- Thus, e is the cheapest edge connecting S and V S.
- By Cut Property, e belongs to every MST.

Reverse-Delete Algorithm

Start with the full graph (V, E) and begin deleting edges in order of decreasing cost.

$$c_1 > c_2 > \cdots > c_i > \cdots > c_m$$

As we get to each edge *e* (starting from the most expensive), we delete it as long as doing so would not actually disconnect the graph we currently have.

Theorem.

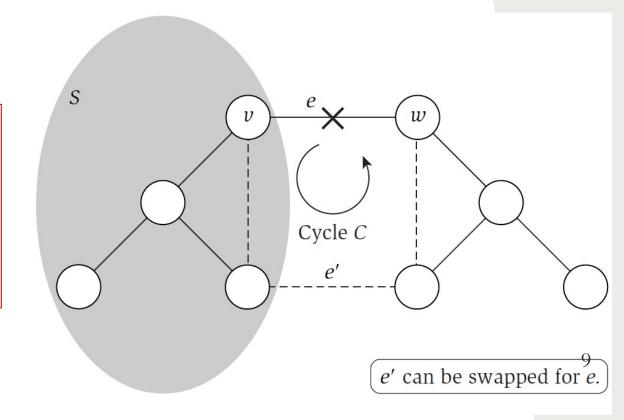
The Reverse-Delete Algorithm produces an MST of G.

Cycle Property

(Assume that all edge costs are distinct.)

Let C be any cycle in G.

Let edge e = (v, w) be the most expensive edge on C. Then e does not belong to any MST of G.



Proof [by contradiction].

- \triangleright Let T be an MST that contains e = (v, w).
- \blacktriangleright Deleting *e* from *T* and partition the nodes into *S* and V-S.
- \triangleright There is another edge e' crosses from S to V-S.
- Consider the set of edges

$$T = T - \{e\} \cup \{e'\}$$

which is a spanning tree of G with smaller cost.

DIVIDE AND CONQUER

Divide and Conquer

- > Divide up problem into several subproblems (of the same kind).
- > Solve (conquer) each subproblem recursively.
- > Combine solutions to subproblems into overall solution

Most common usage:

- \triangleright Divide problem of size n into two subproblems of size n/2.
- > Solve (conquer) each subproblem recursively.
- > Combine two solutions into overall solution.

Consequence:

- \triangleright Brute force: $\Theta(n^2)$.
- \triangleright Divide-and-conquer: $O(n \log n)$.

Brute-force algorithm may already be polynomial time, and the divide and conquer strategy is to reduce the running time to a lower polynomial.

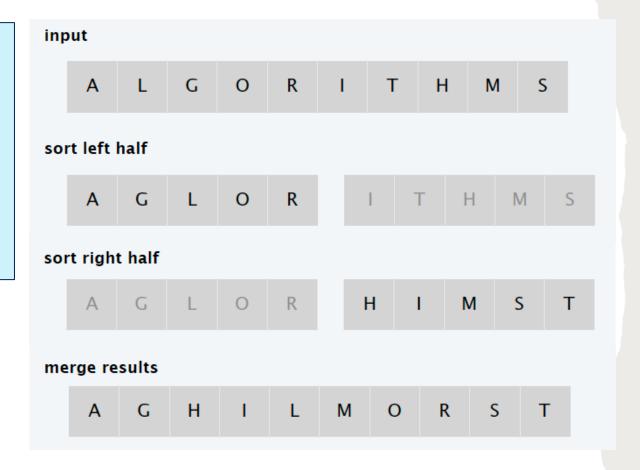
THE MERGESORT ALGORITHM

The Mergesort Algorithm

Problem. Given a list L of n elements from an ordered universe, rearrange them in ascending order.

The algorithm

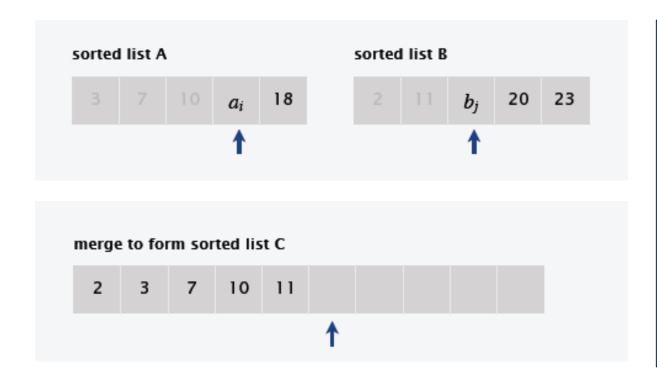
- > Divide into left and right smaller problems.
- > Recursively sort left half.
- > Recursively sort right half.
- ➤ Merge two halves to make sorted whole.



How to do this?

The Mergesort Algorithm

Goal. Combine two sorted lists A and B into a sorted whole C.



The algorithm

- > Scan A and B from left to right.
- \triangleright Compare a_i and b_j .
- ▶ If $a_i < b_j$, append a_i to C (no larger than any remaining element in B).
- > If $a_i > b_j$, append b_j to C (smaller than every remaining element in A).

The Mergesort Algorithm

Definition. $T(n) = \max \text{ number of compares to Mergesort a list of length } n$.

$$T(n) \le \begin{cases} 0 & \text{if } n = 1 \\ T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + n & \text{if } n > 1 \end{cases}$$

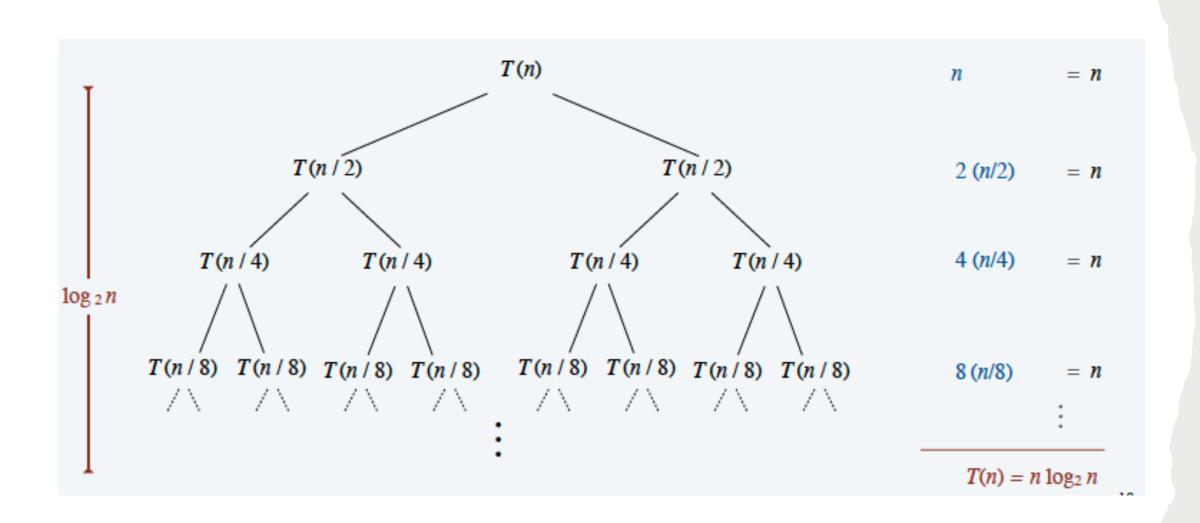
Solving this recurrence: assume n is a power of 2 and replace \leq with = in the recurrence.

$$T(n) = \begin{cases} 0 & \text{if } n = 1 \\ 2T(n/2) + n & \text{if } n > 1 \end{cases}$$

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The Mergesort Algorithm

$$T(n) = \begin{cases} 0 & \text{if } n = 1 \\ 2T(n/2) + n & \text{if } n > 1 \end{cases}$$



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The Mergesort Algorithm

Proposition. If T(n) satisfies the recurrence, then $T(n) = n\log_2 n$.

Proof. [by induction on n]

- Base case: when $n = 1, T(1) = 0 = n \log_2 n$.
- ightharpoonup Inductive hypothesis: assume $T(n) = n \log_2 n$.
- **Coal**: show that $T(2n) = 2n\log_2 2n$.

$$T(2n) = 2T(n) + 2n$$
inductive hypothesis
$$= 2n\log_2 n + 2n$$

$$= 2n[\log_2 2n - 1] + 2n$$

$$= 2n\log_2 2n$$

What if n is not a power of 2??

The Mergesort Algorithm

Proposition. If T(n) satisfies the recurrence, then $T(n) \leq n \lceil \log_2 n \rceil$.

$$T(n) \le \begin{cases} 0 & \text{if } n = 1 \\ T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + n & \text{if } n > 1 \end{cases}$$

Proof*. [by induction on n]

- \triangleright Base case: n=1
- \triangleright Define $n_1 = \lfloor n/2 \rfloor$ and $n_2 = \lceil n/2 \rceil$ and note that $n = n_1 + n_2$.
- > Induction step: assume true for $1, 2, \dots, n-1$.

$$\begin{split} T(n) &\leq T(n_1) + T(n_2) + n \\ &\leq n_1 \lceil \log_2 n_1 \rceil + n_2 \lceil \log_2 n_2 \rceil + n \\ &\leq n_1 \lceil \log_2 n_2 \rceil + n_2 \lceil \log_2 n_2 \rceil + n \\ &= n \lceil \log_2 n_2 \rceil + n \leq n (\lceil \log_2 n \rceil - 1) + n = n \lceil \log_2 n \rceil \end{split}$$

$$n_2 = \lceil n/2 \rceil$$

$$\leq \left\lceil 2^{\lceil \log_2 n \rceil} / 2 \right\rceil$$

$$= 2^{\lceil \log_2 n \rceil} / 2$$

$$\log_2 n_2 \leq \lceil \log_2 n \rceil - 1$$
an integer

 \rightarrow no longer assuming n is a power of 2

COUNTING INVERSIONS

Counting inversions

Similarity metric: number of inversions between two rankings.

- \triangleright My rank: 1, 2, ..., n.
- \triangleright Your rank: a_1, a_2, \dots, a_n .
- \triangleright Items *i* and *j* are inverted if i < j, but $a_i > a_j$.

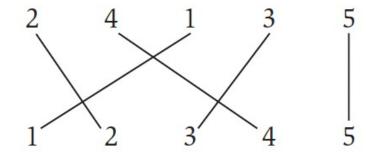
Brute force: check all $\Theta(n^2)$ pairs.

Divide-and-Conquer:

- Divide: separate list into two halves A and B.
- Conquer: recursively count inversions in each list.
- \triangleright Combine: count inversions (a, b) with a \in A and b \in B.
- Return sum of three counts.

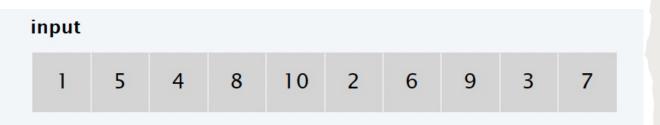
	А	В	С	D	E
me	1	2	3	4	5
you	1	3	4	2	5

2 inversions: 3-2 and 4-2



- Three inversions in this sequence: (2, 1), (4, 1), and (4, 3).
- Each crossing corresponds to one inversion.

Counting inversions



Divide-and-Conquer:

- Divide: separate list into two halves A and B.
- Conquer: recursively count inversions in each list.
- \triangleright Combine: count inversions (a, b) with a \in A and b \in B.

Return sum of three counts.

How to execute this step?

count inversions in left half A

1 5 4 8 10 5-4 count inversions in right half B

2 6 9 3 7 6-3 9-3 9-7

In total: 1 + 3 + 13 = 17

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count inversions (a, b) with $a \in A$ and $b \in B$

1 5 4 8 10 2 6 9 3 7

4-2 4-3 5-2 5-3 8-2 8-3 8-6 8-7 10-2 10-3 10-6 10-7 10-9

Counting inversions

Divide-and-Conquer:

- Divide: separate list into two halves A and B.
- Conquer: recursively count inversions in each list.
- \triangleright Combine: count inversions (a, b) with a \in A and b \in B.
- Return sum of three counts.

Warmup algorithm.

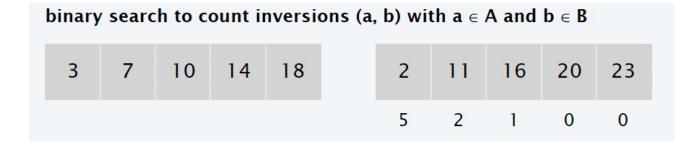
- Sort A and B.
- For each element $b \in B$, binary search in A to find how elements in A are greater than b.



list A

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Can be better?

How to execute this step?

list B

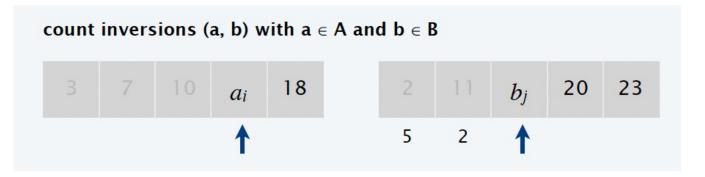
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Merge-and-Count

Goal: Count inversions (a, b) with $a \in A$ and $b \in B$, assuming A and B are sorted.

- Scan A and B from left to right.
- \triangleright Compare a_i and b_j .
- ightharpoonup If $a_i < b_i$, then a_i is not inverted with any element left in B.
- ightharpoonup If $a_i > b_j$, then b_j is inverted with every element left in A.
- ➤ Append smaller element to sorted list C.





Counting inversions: divide-and-conquer algorithm

Input. List L.

Output. Number of inversions in L and L in sorted order.

SORT-AND-COUNT(L)

IF (list *L* has one element)

RETURN (0, L).

Divide the list into two halves A and B.

$$(r_A, A) \leftarrow \text{SORT-AND-COUNT}(A). \leftarrow T(n/2)$$

$$(r_B, B) \leftarrow \text{SORT-AND-COUNT}(B). \leftarrow T(n/2)$$

$$(r_{AB}, L) \leftarrow \text{MERGE-AND-COUNT}(A, B). \leftarrow \Theta(n)$$

RETURN
$$(r_A + r_B + r_{AB}, L)$$
.

$$T(n) = \begin{cases} \Theta(1) & \text{if } n = 1 \\ T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + \Theta(n) & \text{if } n > 1 \end{cases}$$

Running time =
$$O(n \log n)$$

INTEGER MULTIPLICATION

INTEGER ADDITION AND SUBTRACTION

- \triangleright **Addition**. Given two *n*-bit integers *a* and *b*, compute a + b.
- > Subtraction. Given two n-bit integers a and b, compute a b.

Grade-school algorithm. $\Theta(n)$ bit operations. ———— "bit complexity" (instead of word time complexity)

1	1	1	1	1	1	0	1	
	1	1	0	1	0	1	0	1
+	0	1	1	1	1	1	0	1
1	0	1	0	1	0	0	1	0

Remark. Grade-school addition and subtraction algorithms are optimal.

INTEGER MULTIPLICATION

- ightharpoonup Multiplication. Given two n-bit integers a and b, compute $a \times b$.
- > Grade-school algorithm (long multiplication). $\Theta(n^2)$ bit operations.

	1100
	× 1101
12	1100
× 13	0000
36	1100
12	1100
156	10011100

Divide-and-Conquer?

DIVIDE-AND-CONQUER MULTIPLICATION

Let's assume we're in base-2.

- Write x as $x = x_1 \cdot 2^{n/2} + x_0$. In other words, x_1 corresponds to the "high-order" n/2 bits, and x_0 corresponds to the "low-order" n/2 bits.
- \triangleright Similarly, write $y = y_1 \cdot 2^{n/2} + y_0$.

Ex.
$$x = 10001101$$
 $y = 11100001$
 x_1 x_0 y_1 y_0

Thus, we have

$$xy = (x_1 \cdot 2^{n/2} + x_0)(y_1 \cdot 2^{n/2} + y_0)$$

$$= x_1 y_1 \cdot 2^n + (x_1 y_0 + x_0 y_1) \cdot 2^{n/2} + x_0 y_0.$$

$$(x_1 + x_0)(y_1 + y_0) = x_1 y_1 + x_1 y_0 + x_0 y_1 + x_0 y_0$$

Warm-up Algorithm

Reduce the problem of solving a single n-bit instance to the problem of solving four n/2-bit instances:

- $\rightarrow x_1y_1, x_1y_0, x_0y_1, x_0y_0.$
- \triangleright Combining of the solution requires a constant number of additions of O(n)-bit numbers.
- $ightharpoonup T(n) \le 4T(n/2) + cn.$ $O(n^2)$

$$T(n) \le 3T\left(\frac{n}{2}\right) + cn = O(n^{1.59})$$

Master Theorem

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DIVIDE-AND-CONQUER MULTIPLICATION

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Recursive-Multiply(x,y):

Write x = x_1 \cdot 2^{n/2} + x_0
y = y_1 \cdot 2^{n/2} + y_0
Compute x_1 + x_0 and y_1 + y_0
p = \text{Recursive-Multiply}(x_1 + x_0, y_1 + y_0)
x_1y_1 = \text{Recursive-Multiply}(x_1, y_1)
x_0y_0 = \text{Recursive-Multiply}(x_0, y_0)
Return x_1y_1 \cdot 2^n + (p - x_1y_1 - x_0y_0) \cdot 2^{n/2} + x_0y_0
O(n)
```

Theorem. The running time of Recursive-Multiply on two n-bit factors is $O(n^{\log_2 3}) = O(n^{1.59})$.

MASTER THEOREM

MASTER THEOREM

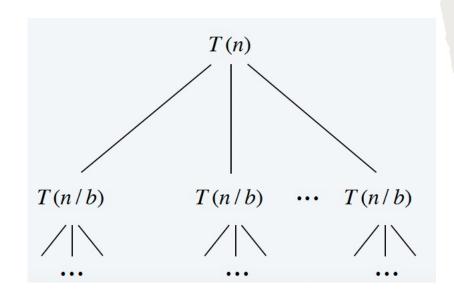
Goal. To solve divide-and-conquer recurrences:

$$T(n) = a \cdot T(\frac{n}{b}) + f(n)$$

with T(0) = 0 and $T(1) = \Theta(1)$.

Terms.

- $> a \ge 1$ is the number of subproblems.
- $\gt b \ge 2$ is the factor by which the subproblem size decreases.
- $rightarrow f(n) \ge 0$ is the work to divide and combine subproblems.



Master theorem. Let $a \ge 1$, $b \ge 2$, and $c \ge 0$ and suppose that T(n) is a function on the non-negative integers that satisfies the recurrence

$$T(n) = aT\left(\frac{n}{b}\right) + \Theta(n^c)$$

with T(0) = 0 and $T(1) = \Theta(1)$, where n/b means either $\lfloor n/b \rfloor$ or $\lceil n/b \rceil$. Then,

Case 1. If $c > \log_b a$, then $T(n) = \Theta(n^c)$.

Case 2. If $c = \log_b a$, then $T(n) = \Theta(n^c \log n)$.

Case 3. If $c < \log_b a$, then $T(n) = \Theta(n^{\log_b a})$.

Mergesort: $T(n) \le 2T(\frac{n}{2}) + \Theta(n)$ $c = 1 = \log_b a = \log_2 2 = 1 \Rightarrow T(n) = \Theta(n \log n)$

Integer Multiplication I: $T(n) \le 4T(\frac{n}{2}) + \Theta(n) \longrightarrow c = 1 < \log_b a = \log_2 4 = 2 \Rightarrow T(n) = \Theta(n^2)$

Integer Multiplication II: $T(n) \le 3T\left(\frac{n}{2}\right) + \Theta(n) \longrightarrow c = 1 < \log_b a = \log_2 3 = 1.59 \Rightarrow T(n) = \Theta(n^{1.59})$

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MATRIX MULTIPLICATION*

MATRIX MULTIPLICATION

Can we improve this?

Matrix multiplication. Given two n-by-n matrices A and B, compute C = AB.

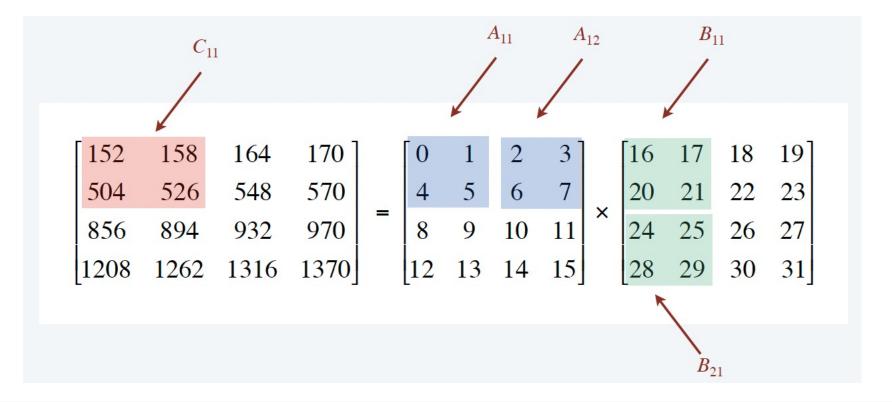
Grade-school. $\Theta(n^3)$ arithmetic operations.

$$\begin{bmatrix} c_{11} & c_{12} & \cdots & c_{1n} \\ c_{21} & c_{22} & \cdots & c_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ c_{n1} & c_{n2} & \cdots & c_{nn} \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n1} & a_{n2} & \cdots & a_{nn} \end{bmatrix} \times \begin{bmatrix} b_{11} & b_{12} & \cdots & b_{1n} \\ b_{21} & b_{22} & \cdots & b_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ b_{n1} & b_{n2} & \cdots & b_{nn} \end{bmatrix}$$

$$c_{ij} = \sum_{k=1}^{n} a_{ik} \cdot b_{kj}$$

$$\begin{bmatrix} .59 & .32 & .41 \\ .31 & .36 & .25 \\ .45 & .31 & .42 \end{bmatrix} = \begin{bmatrix} .70 & .20 & .10 \\ .30 & .60 & .10 \\ .50 & .10 & .40 \end{bmatrix} \times \begin{bmatrix} .80 & .30 & .50 \\ .10 & .40 & .10 \\ .10 & .30 & .40 \end{bmatrix}$$

MATRIX MULTIPLICATION



$$C_{11} = A_{11} \times B_{11} + A_{12} \times B_{21} = \begin{bmatrix} 0 & 1 \\ 4 & 5 \end{bmatrix} \times \begin{bmatrix} 16 & 17 \\ 20 & 21 \end{bmatrix} + \begin{bmatrix} 2 & 3 \\ 6 & 7 \end{bmatrix} \times \begin{bmatrix} 24 & 25 \\ 28 & 29 \end{bmatrix} = \begin{bmatrix} 152 & 158 \\ 504 & 526 \end{bmatrix}$$

$$"(x_1 + x_0)(y_1 + y_0) = x_1y_1 + x_1y_0 + x_0y_1 + x_0y_0"$$

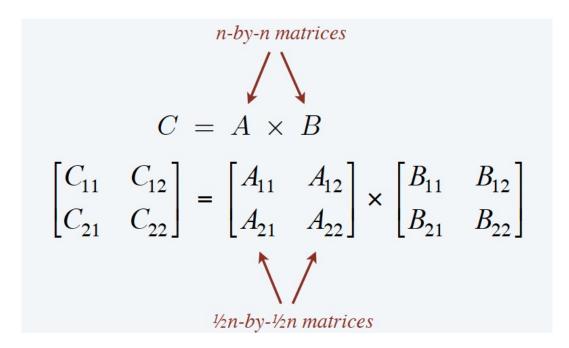
MATRIX MULTIPLICATION

Running time.

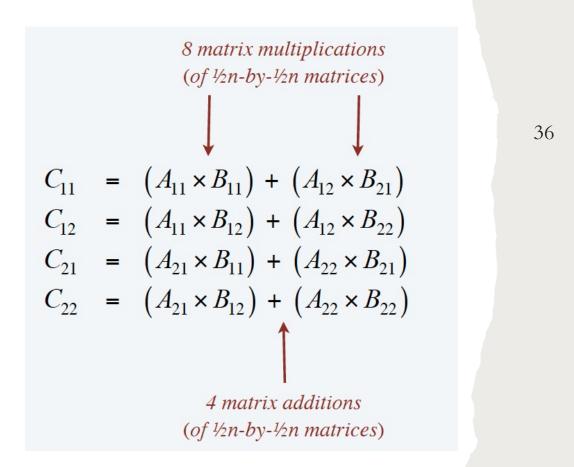
$$T(n) = 8T\left(\frac{n}{2}\right) + \Theta(n^2)$$

To multiply two n-by-n matrices A and B:

- **Divide**: partition A and B into $\frac{1}{2}n$ -by- $\frac{1}{2}n$ blocks.
- > Conquer: multiply 8 pairs of $\frac{1}{2}n$ -by- $\frac{1}{2}n$ matrices.
- **Combine**: 4 matrix additions



$$c = 2 < \log_b a = \log_2 8 = 3 \Rightarrow T(n) = \Theta(n^3)$$



MATRIX MULTIPLICATION

$$C_{11} = P_5 + P_4 - P_2 + P_6$$

$$C_{12} = P_1 + P_2$$

$$C_{21} = P_3 + P_4$$

$$C_{22} = P_1 + P_5 - P_3 - P_7$$

11 additions and 7 subtractions

7 multiplications of $\frac{1}{2}n$ -by- $\frac{1}{2}n$ matrices

Running time.

$$T(n) = 7T\left(\frac{n}{2}\right) + \Theta(n^2)$$

$$c = 2 < \log_b a = \log_2 7 = 2.81 \Rightarrow T(n) = \Theta(n^{2.81})$$

$$\begin{bmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{bmatrix} = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix} \times \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix}$$

$$P_1 \leftarrow A_{11} \times (B_{12} - B_{22})$$

$$P_2 \leftarrow (A_{11} + A_{12}) \times B_{22}$$

$$P_3 \leftarrow (A_{21} + A_{22}) \times B_{11}$$

$$P_4 \leftarrow A_{22} \times (B_{21} - B_{11})$$

$$P_5 \leftarrow (A_{11} + A_{22}) \times (B_{11} + B_{22})$$

$$P_6 \leftarrow (A_{12} - A_{22}) \times (B_{21} + B_{22})$$

$$P_7 \leftarrow (A_{11} - A_{21}) \times (B_{11} + B_{12})$$

Thank You!