# Mutascope Reference Manual

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Mutascope Version 0.5.6

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#### **Overview**

**Mutascope** is a software suite designed to analyze data from high throughput sequencing of PCR amplicons, with an emphasis on normal-tumor comparison for the accurate and sensitive identification of low prevalence mutations.

PCR amplicons sequencing is a very specific application of high-throughput sequencing. In contract to common applications such as whole genome or whole exome sequencing, the sequenced fragments are not randomly distributed along the genome, but have fixed start and end coordinates corresponding to the amplicon and sequencing read length. These characteristics have direct consequences on the error profile along the amplicons, which are not accounted for by common genotype callers. **Mutascope** exploits this characteristic to improve variant calling in high throughput sequencing of PCR amplicons[1]. For example, **Mutascope** assigns a read-group to each sequenced read that corresponds to the PCR amplicon and strand it comes from. This information is then used when calculating specific error rates to call significant variants with as low as 1% frequency in the tumor.

#### 1.1. Main Features

- Read alignment and grouping
  - Leverages BWA to align the reads
  - Assigns each read to a read group based on the amplicon of origin and the sequenced read set (read1 or read2) in a paired end sequencing run.
  - Selects only reads originating from amplicons boundaries
- Accurate Variant Calling
  - Measures the error rate at each read position, substitution type and read type, using normal DNA reads
  - o Calculates binomial probability for the presence of a variant in the tumor
  - Classifies germline and somatic mutation using Fisher exact test
  - Calls germline genotypes using a Bayesian probabilistic approach
  - Excludes potential false positive mutations from non-specific PCR products
- Modular Structure allowing to run part or all analysis steps
- Comprehensive VCF:
  - Somatic and germline classification
  - Somatic quality score
  - Germline genotype quality score
  - Quantitative and qualitative filtering including
    - Somatic Fisher-Exact P-value
    - Low prevalence Binomial P-value
    - Presence of homopolymer
    - Mutant allele base quality score

#### 1.2. Availability

Mutascope relies on tools developed by others such as SAMTools[2], BWA[3,4], <u>Picard Tools</u> UCSCTools [5], R [6], and GATK[7] (<u>Section 2.1</u>).

Mutascope is available through the github repository (https://github.com/ucsdGIS/Mutascope)

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### 2. Installation

To install **Mutascope** unzip 'Mutascope.zip' file and follow the INSTALL instructions.

### 2.1. Prerequisites Tools

The following software is required to be installed on your system before you run **Mutascope**:

- o Perl: v5.12.3
- o R: v2.15.1
- o MCLUST (R-package): v4.0
- o SAMTools: v0.1.18
- o <u>BWA</u>: v0.5.9-r16

#### 2.2. Prerequisite Datasets

o **REF. fa**: Human genome: fasta file and BWA index. BWA index is generated by:

```
bwa index -a bwtsw REF.fa
```

- o **REF.2bit**: Human genome 2bit: This file should be called REF.2bit (if the reference is called REF.fa). Generated using the UCSCTools[5] script 'faToTwoBit' provided in the 'scripts/' folder.
- dbSNP.vcf: VCF formatted version of the <u>dbSNP</u> database. A VCF formatted dbSNP can be found in the GATK bundle[7]. Follow these instructions to access the <u>FTP</u> server and obtain the latest version of the <u>bundle</u>.

#### 3. Quick Start

This Quick Start procedure is specific for Illumina paired end sequencing of a tumor normal pair. It will let you go directly from a set of 4 fastq files to a VCF file containing germline and somatic variants.

#### Where:

- STRING is a character string you choose to name normal and tumor output files, respectively. Only letters and numbers permitted. No special characters.
- FASTQ corresponds to one of the 4 fastq files: Normal reads 1 & 2 or tumor reads 1 & 2.

#### It also assumes that

- The prerequisite packages in <u>Section 2.1</u> are in your path (besides the MCLUST package).
- You have created the project directory projectname/.
- You have a list of the amplicons in a BED format (Section 4.1).
- You have prepared a BWA indexed genome in the same folder as REF.fa (<u>Section 2.2</u>)
- You have prepared a 2bit genome in the same folder as REF.fa (Section 2.2)

# 4. General Usage

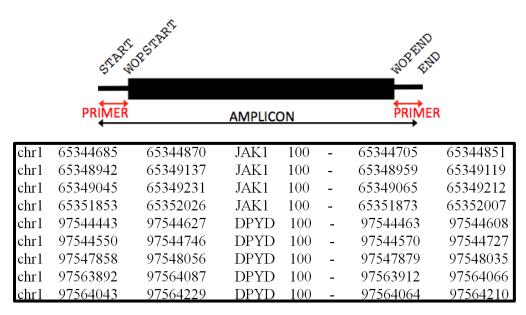
# 4.1. Required input files

#### **BED**

This file contains the PCR list amplicons, one amplicon per line in a format derived from the UCSC <u>BED standard</u>. For **Mutascope** it has the following 8 values per row.

CHR START END AMPID Score Strand WOPSTART WOPEND

Which corresponds to Chromosome [CHR], Amplicon start [START], Amplicon end [END], Amplicon Name [AMPID], Arbitrary number [Score], Strand [Strand], Amplicon without primer start [WOPSTART] and Amplicon without primer stop [WOPEND] (Figure 1). The *name*, *score*, and *strand* fields are not used by **Mutascope**, and can be set arbitrarily.



**Figure 1:** (Top) schematic representation of an amplicon, its primer and the location of START, WOPSTART, END and WOPEND values. (Bottom) Example of a properly formatted BED file for use in Mutascope.

#### **FASTQ**

A set of four <u>fastq</u> file, two for the sequence of normal DNA, two for the sequence of tumor DNA are required by **Mutascope**. For each sample **Mutascope** needs the first set of reads sequenced (read1) and the second set of reads sequenced (read2) in a paired-end sequencing run. Currently **Mutascope** only works with paired-end sequencing data.

# 4.2. Intermediate files

Intermediate files are generated by the different **Mutascope** modules and saved in the intermediate/folder.

# Blacklist (.blacklist)

List of positions identified by the module <a href="makeBlackList">makeBlackList</a> as more likely to be false positive bases on in silico PCR. A blacklist file is a list of mutations that are excluded from analysis in <a href="Mutascope">Mutascope</a>. As an example using a set a set of 1676 amplicons that cover 150kb of the genome, ~0.08% of all possible substitutions were removed from analysis. The file format is TAB delimited: Chromosome, Position, Reference base, Alternate Base. A '-' is used in the alternate base (resp. reference base) field if there is a nucleotide deletion (resp. insertion) at that position.

chr10	89725262	-	G
chr10	96698406	C	-
chr10	96698406	C	Α
chr10	96698407	Τ	Α
chr10	96698410	Τ	C
chr10	96698412	G	-
chr10	96698427	Α	G
chr10	96698446	T	C
chr10	96698449	C	T

**Figure 2:** Example of a blacklist file formatted for Mutascope. The file is generated by the makeBlackList module or by the runPlpeline module when run for the first time.

### Error Rates (.errorRate)

ErrorRates files contain the error rate information from the normal sample. It is a TAB delimited file. Each row consists of the following 6 fields:

- The first three field define the class of nucleotide substitutions evaluated depending on
  - Read type (either R1 or R2),
  - Position (position in the read)
  - Mutation Type (the type of mismatch: CGTA ==  $C \rightarrow T OR G \rightarrow A$ )
- The next 3 fields is extracted from the sample .xpileup, masked for dbSNP and blacklist substitutions:
  - Error Rate: the average fraction of mismatches in the class
  - Num. of Mismatches in the class
  - o Total Num. of Bases in the class

R1	20	CGTA	0.00181091073719158	8	4417
R1	21	ATCG	0.000877141687620607	5	5700
R1	21	ATGC	0.00645461417689132	37	5732
R1	21	ATTA	0.000877141687620607	5	5700
R1	21	CGAT	0.000231839258114374	2	8626
R1	21	CGGC	0.000115933067975422	1	8625
R1	21	CGTA	0.00185170897307307	16	8640

Figure 3: Example of errorRate file

# Extended Pileup (.xpileup):

Extended <u>pileup</u> file. An extended pileup file that is generated by the <u>xpileup</u> module. Each row is tab-delimited with the following fields:

- chromosome
- position
- reference base
- total coverage
- indel information: a string containing space separated information about indels in each read group. This field is left blank if there is no indel at the given position. The indel info for each read group is formatted as a underscore delimited string: [sample]\_[read type]\_[ampliconID as chr:start-stop]\_[indel info], where [indel info] is the number of reads containing that indel repeated for each indel starting at the given position (+ for insertion/for deletion, indel length, indel sequence, ":", total coverage). For example "+1A:16" indicates an insertion of one "A" in a total of 16 fold read coverage.
- read information: a tab delimited string containing the information in each read group. For each read group the information is space delimited as:
  - Read group ID: [sample]\_[read type]\_[ampliconID as chr:start-stop]
  - Base sequence (SAMtools pileup format)
  - Base Quality (SAMtools pileup format)
  - Mapping Quality (SAMtools pileup format)
  - o Position in the read . (SAMtools pileup format)

**Note**: generating the xpileup file is a slow process and requires storage. Typically, sequencing of 150kb at 1000x average can generate 2.2 Gb xpileup file. Do not use a regular pileup file when running 'Mutascope.pl callSomatic'

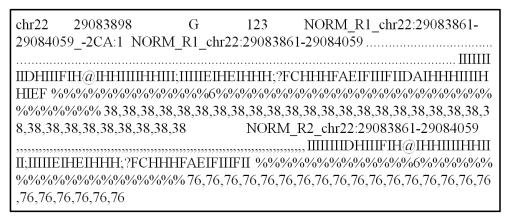


Figure 4: Example of an xpileup formatted file

# .VCF

Following the <u>VCF 4.1</u> format, specific FORMAT and INFO fields have been added. Various FILTERS are also preset by **Mutascope** and are featured in the VCF file.

FIELD	NAME	Description	
INFO	DP	Total coverage depth	
INFO	BQ	Average RMS base quality of all samples	
INFO	MQ	Average RMS mapping quality of all samples	
INFO	VT	Variant type, can be SNP, INS, or DEL	
INFO	ER	Error rate used in the callSomatic module	
INFO	FEP	Somatic P-value calculated in the callSomatic module	
INFO	GQ	PHRED scale Genotype Quality Score: 2nd Best Score – Best Score	
INFO	PL	PHRED scaled Bayesian likelihood for REF,HET,ALT genotypes	
FORMAT	GT	Genotype	
FORMAT	AF	Percent of alleles with the alternate allele (Allele Frequency)	
FORMAT	DP4	Number of ref-forward, ref-reverse, alt-forward and alt-reverse bases	
FORMAT	AD	Ref,Alt coverage	
FORMAT	SS	Variant type relative to non-adjacent Normal: 0=wildtype, 1=germline,	
TORWAT	33	2=somatic, 3=LOH, 4=post transcriptional modification, 5=unknown	
FORMAT	BQ2	REF RMS base quality and ALT RMS base quality	
FORMAT	MQ2	REF RMS mapping quality and ALT RMS mapping quality	
FORMAT	NR	The total number of read groups overlapping the position	
FORMAT	DS	The distance to the second allele (#ALT1-#ALT2)/SUM(#ALT)	
FORMAT	ST	The number of strands supporting the alternate allele (1 or 2)	
FORMAT	RGB	Read Group Bias Score for the alternate allele using a chi-squared test	
FILTER	MIF	Multiple INDELs called at a single position	
FILTER	HRUN	≥5bp homopolymer repeat within 1bp of the start or end of the INDEL	
FILTER	MCF	Low coverage (Normal = X and Tumor = Y)	
FILTER	SBFILT	Variant shows Strand Bias	
FILTER	RGBF	Variant shows Read-Group Bias	
FILTER	DSF	The distance between the first and second alternate allele frequencies is too close (DS < 0.5)	
FILTER	MAMQ	Low average Mapping Quality score	
FILTER	MFEP	Low Fisher-exact P-value for Somatic variants	
FILTER	MBPF	Low Binomial P-value to call the position a variant	
FILTER	MAABQ	Low average alternate allele Base Quality score	
FILTER	CNIF	The SNP is within 10bp of an Indel	
FILTER	SNPCF	The SNP is within 10bp of 2 other SNPs	
FILTER	ICF	The Indel is within 10bp of 1 other Indel	

# 4.4. Directory Structure

The different **Mutascope** modules are relying on the following, expected directory structure. This structure is established in the working directory when **Mutascope** RunPipeline is run in the first time.

intermediate/ where intermediate files like bam, errorRate, .xpileup are written
 results/ where VCF are written
 qualities/ not used in the current version

#### 4.5. General Flow

**Mutascope** consists of a set of 8 modules written in Perl. The RunPipeline module is a master module which, when called, runs the seven other modules sequentially (Figure 5). Alternatively, each of the seven modules can be called separately, provide the correct input file and directory structure are present. Currently **Mutascope** is designed to write all output files in a PROJECT directory created in advance. **Mutascope** modules expect that certain files generated by the previous steps exist in the PROJECT directory (as indicated by the dashed arrows from one module to another).

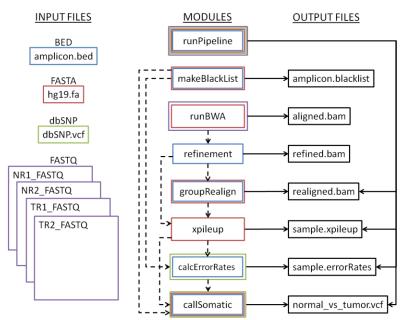


Figure 5: General workflow of Mutascope. The three columns consist of the input and output files as well as the Mutascope modules. The modules are framed by different colors indicating the required input files. The continuous arrows indicated intermediate or result files generated by the module. The dashed arrows indicated the different sequence of modules that are possible. For example, callSomatic requires that you ran and generated the output files from the makeBlackList, xpileup, and calcErrorRates modules.

# **Full Pipeline**

Mutascope.pl runPipeline (Section 5.1) runs the full **Mutascope** pipeline from fastq to VCF. This is the preferred mode of operation.

#### **Stepwise Modules**

- 1. Mutascope.pl makeBlackList (Section 5.2): This module identifies the set of mutations to ignore during variant calling. These black listed mutations are a set of mutations that can be caused by misalignments of reads to homologous regions in the genome. This module needs to be run once for each set of PCR amplicon (BED file).
- 2. Mutascope.pl runBWA (Section 5.3): This module aligns reads using BWA's Smith-Waterman alignment algorithm.
- 3. Mutascope.pl refinement (Section 5.4): This module refines the alignment/BAM files generated in step 2 to assign a read group to each read, remove low quality aligned reads and soft-clip primer sequences. The read group given to each read assigns it to a specific PCR amplicon in the BED file. This read group is used when calculating error rates and calling variants.

- 4. Mutascope.pl groupRealign (Section 5.5): This module uses GATK to realign together reads around indels from both the tumor and normal samples. This step is optional but recommended.
- 5. Mutascope.pl xpileup (Section 5.6): Generates the xpileup file for both the tumor and normal sample from the files generated in step 3 or 4.
- 6. Mutascope.pl calcErrorRates (<u>Section 5.7</u>): Calculate the error rates of the normal and tumor samples from the files generated in step 5.
- 7. Mutascope.pl callSomatic (Section 5.8): Call and filter variants using the xpileup files generated in step 5 and the error rates generated in step 6.

# 5. Specific Usage

# 5.1. runPipeline

runPipeline is the master module used to run the full **Mutascope** pipeline. This module requires the following module line options: -bed, -fasta, -dbsnp, -pdir, -normal, -tumor, -nr1\_fastq, -nr2\_fastq, -tr1\_fastq, and -tr2\_fastq.

Command	Format	Option	Description
la a al	DED	REQUIRED	A file in BED format containing the PCR amplicon
-bed	BED		information (Section 4.1)
			The FASTA file of the reference genome used to
-fasta	FASTA	REQUIRED	align the reads. <b>Mutascope</b> assumes the same
Tasta	IASIA	REQUIRED	folder also contains the 2bit version of the
			genome ( <u>Section 2.2</u> )
-dbsnp	VCF	REQUIRED	A dbSNP file in VCF format ( <u>Section 2.2</u> ).
-pdir	PATH	REQUIRED	The path to the PROJECT directory (Section 4.4).
			The name of the 'normal' sample containing only
-normal	STRING	REQUIRED	numbers and letters (No symbols). The same
HOLINAL	JIMING	REQUIRED	name needs to be used for the same sample for
			all <b>Mutascope</b> modules.
			The name of the 'tumor' sample containing only
-tumor	STRING	REQUIRED	numbers and letters (No symbols). The same
		REQUIRED	name needs to be used for the same sample for
			all <b>Mutascope</b> modules.
-nr1_fastq	FASTQ	REQUIRED	The Read1 FASTQ file of the 'normal' sample
-nr2_fastq	FASTQ	REQUIRED	The Read2 FASTQ file of the 'normal' sample
-tr1_fastq	FASTQ	REQUIRED	The Read1 FASTQ file of the 'tumor' sample
-tr2_fastq	FASTQ	REQUIRED	The Read2 FASTQ file of the 'tumor' sample
-BQ	INT	Optional	The minimum base quality used to calculate error
DQ	1141	Optional	rates and call variants (Default 10).
-MQ	INT	Optional	The minimum read mapping quality used to
	1	Optional	calculate error rates and call variants (Default 1).
-saaf	FLOAT	Optional	Minimum Percent of alternate alleles in the
	1		TUMOR for calling Somatic variants (Default 0.5).
			Minimum Percent of alternate alleles reads in the
-gaaf	INT	Optional	NORMAL sample for calling Germline variants
		,	(Default 10). Decreasing this will increase the
			variant calling time.
	INIT		The minimum coverage and lower coverage percentile used to calculate the minimum
-mcovs	INT, FLOAT Optional	Optional	coverage to call variants; whichever is greater
			(Default 10,0.5).
			The default error rate for SNPs and Indels missing
-e	FLOAT	Optional	a measured error rate (Default 0.005).
			a measured error rate (Derault 0.005).

-het	FLOAT	Optional	The heterozygous probability used in the Bayesian likelihood genotyping method (Default 0.001).
-length	INT	Optional	The length of the sequencing reads. If the sequencing length varies then use the length of the longest sequencing read (Default 151).
-t	INT	Optional	Threading option for BWA (Default 1).
-dist	INT	Optional	Read vs Amplicon start or stop alignment offset, in nucleotides (default 2)
- strand_specific	TRUE/FA LSE	Optional	Reads are strand specific e.g. all read1 align on the same strand (Default FALSE).
-r1_strand	positive/ negative	Optional	Strand to which read1 aligns (if -strand_specific is set to TRUE).
-r2_strand	positive/ negative	Optional	Strand to which read1 aligns (if -strand_specific is set to TRUE).
-bwa_path	FILE	Optional	BWA executable (full path) (Default is your \$PATH)
-samtools_path	FILE	Optional	SAMTools executable (full path) (Default is your \$PATH)
-noBlacklist			Specifies to not generate/use a black-list file when calculating error rates and calling variants.
-h			Outputs the help menu for the given command.

### 5.2. makeBlackList

makeBlackList generates a list of mutations to exclude from analysis (blacklist file). This module identifies alternate alignment possibilities using BWA and reads of length length created from the sequence of PCR amplicons (BED). Specific base-pair mismatches (SNP or indels) resulting from these alternate alignments are added to the file for further exclusion from the analysis. The file generated is BED.blacklist. This step is essential to remove potential false positive calls resulting from unspecific PCR products. makeBlacklist is only run once for a given set of amplicons. The specific substitutions or indels are then removed from the .xpileup file.

### Input/Output

The required inputs are the list of PCR amplicons in a BED format and a reference genome in both .fa and .2bit format.

The output is a file called BED.blacklist, which contains a list of potential false positive mutations that are ignored in the further analysis.

Command	Format	Option	Description
-bed	BED	REQUIRED	A file in BED format containing the PCR amplicon information (Section 4.1)

-fasta	FASTA	REQUIRED	The FASTA file of the reference genome used to align the reads. <b>Mutascope</b> assumes the same folder also contains the 2bit version of the genome (Section 2.2)
-bwa_path	FILE	Optional	BWA executable (full path) (Default is your \$PATH)
-length	INT	Optional	The length of the sequencing reads. If the sequencing length varies then use the length of the longest sequencing read (Default 151).
-t	INT	Optional	Threading option for BWA (Default 1).
-h			Outputs the help option for the given command

# 5.3. runBWA

runBWA is a module to run BWA using the bwasw command (Smith-Waterman alignment).

# Input/Output

The required inputs are a reference genome in a FASTA format, sample name, and the read1 and read2 FASTQ files.

This program generates 2 alignment files in the *intermediate* sub-directory called "SAMPLE\_read1\_bwaSWAln.bam" and "SAMPLE\_read2\_bwaSWAln.bam".

Command	Format	Option	Description
-fasta	FASTA	REQUIRED	The FASTA file of the reference genome used to align the reads. <b>Mutascope</b> assumes the same folder also contains the 2bit version of the genome (Section 2.2)
-sample	STRING	REQUIRED	The name of the sample containing only numbers and letters (No symbols). The same name needs to be used for the same sample for all <b>Mutascope</b> modules.
-pdir	PATH	REQUIRED	The path to the PROJECT directory (Section 4.4).
-r1_fastq	FASTQ	REQUIRED	The <b>Read1</b> FASTQ file
-r2_fastq	FASTQ	REQUIRED	The <b>Read2</b> FASTQ file
-bwa_path	FILE	Optional	BWA executable (full path) (Default is your \$PATH)
-samtools_path	FILE	Optional	SAMTools executable (full path) (Default is your \$PATH)
-t	INT	Optional	Threading option for BWA (Default 1).
-h			Outputs the help option for the given command.

# 5.4. refinement

refinement is a module to remove low quality aligned reads along with un-clipping soft-clipped bases and trimming the primer sequence from the aligned reads. It also assigns a read group to each sequencing read that corresponds to the PCR amplicon and read (1 or 2) it was generated from. This is a key step in **Mutascope** because the read groups assigned here are used to generate the xpileup file, calculate error rates, and call variants.

# Input/Output

The required inputs are a BED file of the PCR amplicons, the sample name, and an output directory containing a sub-directory called *intermediate* containing the output files from *runBWA* (SAMPLE\_read2\_bwaSWAln.bam).

This program outputs a BAM in the *intermediate* sub-directory called "SAMPLE\_merged\_unClipped\_primersClipped.bam".

Command	Format	Option	Description
-bed	BED	REQUIRED	A file in BED format containing the PCR amplicon information (Section 4.1)
-pdir	PATH	REQUIRED	The path to the PROJECT directory (Section 4.4).
-sample	STRING	REQUIRED	The name of the sample containing only numbers and letters (No symbols). The same name needs to be used for the same sample for all <b>Mutascope</b> modules.
-dist	INT	Optional	Read vs Amplicon start or stop alignment offset, in nucleotides (default 2).
-length	INT	Optional	The length of the sequencing reads. If the sequencing length varies then use the length of the longest sequencing read (Default 151).
-strand_specific	TRUE/ FALSE	Optional	Reads are strand specific e.g. all read1 align on the same strand (Default FALSE).
-r1_strand	positive/ negative	Optional	Strand to which read1 aligns (if –strand_specific is set to TRUE).
-r2_strand	positive/ negative	Optional	Strand to which read1 aligns (if –strand_specific is set to TRUE).
-samtools_path	FILE	Optional	SAMTools executable (full path) (Default is your \$PATH)
-h			Outputs the help menu for the given command.

#### 5.5. groupRealign

groupRealign is a module to run GATK's realignment program on the tumor and normal samples together to improve SNP and indel calling. Realigning reads around indels improves both SNP and indel calling. Realigning both the matched normal and tumor sample together will help remove false positive LOH and/or somatic variants that can be caused by different alignments around a homopolymer run.

# Input/Output

The required inputs are a BED file of the PCR amplicons, a FASTA file of the reference genome, the normal sample name, tumor sample name, and an output directory containing a sub-directory called *intermediate* containing the output files from *refinement* 

- Normal merged unClipped primersClipped.bam
- Tumor merged unClipped primersClipped.bam

This program outputs two BAM files in the intermediate sub-directory called

- Normal\_merged\_unClipped\_primersClipped\_realigned.bam
- Tumor merged unClipped primersClipped realigned.bam

Command	Format	Option	Description
-bed	BED	REQUIRED	A file in BED format containing the PCR amplicon
Dea	DED	REQUIRED	information ( <u>Section 4.1</u> )
			The FASTA file of the reference genome used to align
-fasta	FASTA	REQUIRED	the reads. <b>Mutascope</b> assumes the same folder also
			contains the 2bit version of the genome (Section 2.2)
-pdir	PATH	REQUIRED	The path to the PROJECT directory (Section 4.4).
			The name of the 'normal' sample containing only
-normal	STRING	REQUIRED	numbers and letters (No symbols). The same name
-HOTHIAT			needs to be used for the same sample for all
			Mutascope modules.
			The name of the 'tumor' sample containing only
-tumor	STRING	REQUIRED	numbers and letters (No symbols). The same name
- Cullion			needs to be used for the same sample for all
			Mutascope modules.
-aamtoola nath	EILE	Ontional	SAMTools executable (full path) (Default is your
-samtools_path	FILE	Optional	\$PATH)
-h			Outputs the help menu for the given command.

#### 5.6. xpileup

xpileup is a module that uses SAMTools pileup and custom perl scripts to generate a <u>xpileup</u> file used for variant calling and calculating error rates. The xpileup file is an extended pileup file containing the read group information assigned during the refinement module.

# Input/Output

The required inputs are a BED file of the PCR amplicons, a FASTA file of the reference genome, sample name, and an output directory containing a sub-directory called *intermediate* containing the output files from groupRealign (SAMPLE\_merged\_unClipped\_primersClipped\_realigned.bam).

This program outputs a xpileup file in the intermediate sub-directory called "SAMPLE.xpileup".

#### Usage

Command	Format	Option	Description
-bed	BED	REQUIRED	A file in BED format containing the PCR amplicon
-bea	DED	REQUIRED	information ( <u>Section 4.1</u> )
			The FASTA file of the reference genome used to align
-fasta	FASTA	REQUIRED	the reads. <b>Mutascope</b> assumes the same folder also
			contains the 2bit version of the genome (Section 2.2)
-pdir	PATH	REQUIRED	The path to the PROJECT directory (Section 4.4).
			The name of the sample containing only numbers and
-sample	STRING	REQUIRED	letters (No symbols). The same name needs to be
			used for the same sample for all <b>Mutascope</b> modules.
-samtools nath	FILE	Ontional	SAMTools executable (full path) (Default is your
-samtools_path	FILC	Optional	\$PATH)
-h			Outputs the help menu for the given command.

#### *5.7.* calcErrorRates

calcErrorRates is a module to calculate error rates of a given SAMPLE using non-dbSNP sites and non-blackList sites. The <a href="errorRate">errorRate</a> file generated contains the calculated error rates given the position within a read, read1/read2, and the mismatch type. These error rates are used when determining if a position contains a variant. The base quality and mapping qualities used in this step should be the same values used in the callSomatic module. Bases are filtered out in this step because they are filtered in the callSomatic module to improve variant calling and the bases used in the callSomatic module should be the same bases used to calculate the error rates.

### Input/Output

The required inputs are a BED file of the PCR amplicons, a VCF file of dbSNP, sample name, and an output directory containing a sub-directory called *intermediate* containing the output files from <code>xpileup</code>: SAMPLE.xpileup.

This program outputs an error rate file in the *intermediate* sub-directory called SAMPLE.errorRates.

#### Usage

Command	Format	Option	Description
-bed	BED	REQUIRED	A file in BED format containing the PCR amplicon
-bea			information ( <u>Section 4.1</u> )
-dbsnp	VCF	REQUIRED	A dbSNP file in VCF format ( <u>Section 2.2</u> ).
-pdir	PATH	REQUIRED	The path to the PROJECT directory (Section 4.4).
			The name of the sample containing only numbers and
-sample	STRING	REQUIRED	letters (No symbols). The same name needs to be used
			for the same sample for all <b>Mutascope</b> modules.
_DO	INT	Optional	The minimum base quality used to calculate error
-BQ			rates and call variants (Default 10).
-MO	INT	Optional	The minimum read mapping quality used to calculate
-MQ			error rates and call variants (Default 1).
-noBlacklist			Specifies to not generate/use a black-list file when
-HODIaCKIISU			calculating error rates and calling variants.
-h			Outputs the help menu for the given command.

#### 5.8. callSomatic

callSomatic is the modules that calls variants from a tumor-normal pair. It also applies a set of filters to remove false positive variants. This module uses the tumor-normal pair information as well as the calculated error rates to call variants. A Bayesian-likelihood algorithm is used to determine germline genotypes and a binomial test using calculated error rates is used to call somatic SNVs. Indels are filtered based on coverage, proximity to other indels, and homopolymer runs. **Mutascope** uses a set of standard filters, such as SNP clusters and proximity to an indel, as well as some specific filters, such as the read group bias and minimum average alternate allele base quality score filter.

#### Input/Output

The required inputs are a BED file of the PCR amplicons, a fasta version of the reference sequence, a VCF file of dbSNP, normal and tumor sample name, and an output directory containing a sub-directory called *intermediate* containing the output files from xpileup (NORMAL.xpileup and TUMOR.xpileup).

This program outputs a single VCF file after applying the filters below. The file is located in the *results* sub-directory and called "NORMAL\_vs\_TUMOR \_filtered.vcf".

FILTER	Description			
MIF	Multiple INDELs called at a single position (≥2)			
HRUN	Homopolymer repeat within 1bp of the start or end of the INDEL (≥5bp)			
MCF	Low coverage (Normal = X and Tumor = Y)			
SBFILT	Variant shows Strand Bias (Reference contains coverage on both strands while the alternate is seen online on 1 strand)			
RGBF	Variant shows Read-Group Bias (< 10 <sup>-15</sup> )			
DSF	The distance between the first and second alternate allele frequencies is too close (DS < 0.5)			

MAMQ	Low average Mapping Quality score (< 10)				
MFEP	Low Fisher-exact P-value for Somatic variants (> 0.0005)				
MBPF	Low Binomial P-value to call the position a variant (< 54)				
MAABQ	Low average alternate allele Base Quality score (> 0.99 Probability of being in the				
	High Quality cluster)				
CNIF	The SNP is within 10bp of an Indel				
SNPCF	The SNP is within 10bp of 2 other SNPs				
ICF	The Indel is within 10bp of 1 other Indel				

Command	Format	Option	Description
-bed	BED	REQUIRED	A file in BED format containing the PCR amplicon information (Section 4.1)
-dbsnp	VCF	REQUIRED	A dbSNP file in VCF format (Section 2.2).
-fasta	FASTA	REQUIRED	The FASTA file of the reference genome used to align the reads. <b>Mutascope</b> assumes the same folder also contains the 2bit version of the genome (Section 2.2)
-pdir	PATH	REQUIRED	The path to the PROJECT directory (Section 4.4).
-normal	NAME	REQUIRED	The name of the 'normal' sample containing only numbers and letters (No symbols). The same name needs to be used for the same sample for all <b>Mutascope</b> modules.
-tumor	NAME	REQUIRED	The name of the 'tumor' sample containing only numbers and letters (No symbols). The same name needs to be used for the same sample for all <b>Mutascope</b> modules.
-BQ	INT	Optional	The minimum base quality used to calculate error rates and call variants (Default 10).
-MQ	INT	Optional	The minimum read mapping quality used to calculate error rates and call variants (Default 1).
-saaf	FLOAT	Optional	Minimum Percent of alternate alleles in the TUMOR for calling Somatic variants (Default 0.5).
-gaaf	INT	Optional	Minimum Percent of alternate alleles reads in the NORMAL sample for calling Germline variants (Default 10). Decreasing this will increase the variant calling time.
-mcovs	INT, FLOAT	Optional	The minimum coverage and lower coverage percentile used to calculate the minimum coverage to call variants; whichever is greater (Default 10,0.5).

-e	FLOAT	Optional	The default error rate for SNPs and Indels missing a measured error rate (Default 0.005).
-het	FLOAT	Optional	The heterozygous probability used in the Bayesian likelihood genotyping method (Default 0.001).
- noBlacklist		Optional	Specifies to not generate/use a black-list file when calculating error rates and calling variants.
-h			Outputs the help option for the given command.

# 6. Frequently Asked Questions

# 6.1. Can I analyze a Tumor sample without a matched Normal?

Yes but it is not recommended. **Mutascope** has not been tested without a normal sample. A matched normal sample sequenced in the same run as the tumor sample is essential to measure accurately the experimental error rate and reliably call rare somatic mutations. If you do not have a matched normal sample, we recommend using another sample (may be tumor) run on the same sequencing run. You can also combine multiple samples from the same run and use that as a normal sample. The main problem with analyzing the tumor sample this way is that the variant type (germline, LOH, or somatic) may be incorrect. Some somatic variants in the tumor sample may exist in the non-matched 'normal' sample; thus changing the variant type from somatic to germline. The opposite may also occur; a germline variant in the tumor sample may not exist in the non-matched 'normal' sample and thus changing the variant type from germline to somatic. Therefore you may not be able to trust the variant's classification called by **Mutascope** because you do not have a matched normal sample.

# 6.2. What happens if the normal DNA was sequenced independently from the tumor DNA?

The error rates can be slightly different from sequencing run to sequencing run. This could potentially lead to false positive low frequency somatic variants. First you should look at the error rates of the normal sample and the tumor sample and see how much they vary. You could still use the normal sample's error rates if, on average, the error rates are within 2-fold of the tumor sample's error rates. Otherwise you may want to consider instead using the tumor sample's error rate file in the callSomatic script, assuming the mutation rate of your sample is low and the mutations are at low prevalence.

#### 6.3. How long does it take to run Mutascope?

Analyzing exome with Mutascope is greedy. For a set of 1676 amplicons (~150kb) and a small number of reads per sample (4.25 million); **Mutascope** takes 7 hours and 4G of RAM using 1CPU to align with BWA and an additional 10 hours using 1CPU and 2G of RAM to run the remaining modules. Future version of **Mutascope** will all multithreading and show increased speed.

#### 6.4. What version of dbSNP should I use?

Any version of dbSNP greater than 131 should work as it contains most variants present at ≥1% of the population. **Mutascope** does not currently select for common SNPs. Excluding too many sites can affect the accuracy of the error rate and can affect overall **Mutascope** performance. However, for dbSNP137 and lower this should not be a concern. Alternatively, one can create a subset of dbSNP containing only common variants to use with Mutascope.

#### 6.5. Can I use **MUTASCOPE** to discover copy number aberration

Not yet in the current version. A future version of **Mutascope** will include coverage quality control and an option to call copy number aberrations based on coverage. In contrast to somatic SNV calling, this method will be sensitive to sample heterogeneity.

#### 6.6. Can I use **MUTASCOPE** to analyze Ion Torrent PGM or Proton data?

Maybe. **Mutascope** has not been tested using Ion Torrent PGM or Proton data. The PGM data should be aligned using Ion Torrent's recommended alignment algorithm. We do not currently recommend using **Mutascope** on Ion Torrent or Proton data but encourage users to explore this possibility and report on their experience.

#### 6.7. How does **MUTASCOPE** compares to other somatic variant callers?

**Mutascope** has a higher sensitivity and positive predictive value than the standard somatic variant callers. Currently, and to our knowledge, **Mutascope** is the only variant caller that was designed specifically to detect somatic variants with a frequency lower that 5% using targeted sequencing.

#### 6.8. How does **MUTACOPE** call indels?

In contrast to the probabilistic approach used for SNVs, **Mutascope** currently uses a frequency approach to call indels. callSomatic uses the *gaaf* and *saaf* options to determine the genotype of the indel. **Mutascope** does not calculate error rates for indels and thus uses the given default error rate (-e) as the error rate in the Binomial test. The default error rate should not be changed unless the average error rates in the error rate file generated by **Mutascope** is higher than –e. The dataset used to test **Mutascope** did not have a set of reference indels to check the performance of indel calling. However, we did compare the indel calling results of **Mutascope** to a set of standard variant callers (i.e. GATK, SAMTools, and VarScan) and found that indels called by **Mutascope** were also called by the standard variant callers. Similar to GATK, identifying homopolymer runs around the indels and filtering out false positive indels was key to obtain this performance.

# 6.9. How can I just call variants using **MUTASCOPE** without doing the refinement or groupRealign steps?

Future versions of **Mutascope** wil feature a *addReadGroups* module to do that. You can then generate the <u>xpileup</u> files and <u>error rate</u> files and finally call variants with the <u>callSomatic</u> module. The key to **Mutascope**'s variant calling is the read groups that are assigned to each read. These read groups are used when calculating error rates and calling variants.

#### 7. References

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