

## 機器學習基石(林軒田老師)筆記

### Lecture 6: Theory of Generalization

Proof  $B(N, k) \geq B(N-1, k) + B(N-1, k-1)$  ----- (\*)

First, we prove  $B(4, 3) \geq B(3, 3) + B(3, 2)$ .

Here is an example of  $B(3, 3)$ :

X1	X2	X3
○	○	○
×	○	○
○	×	○
○	○	×
○	×	×
×	○	×
×	×	○

Now, we add a new point X4, with value ○ in all dichotomies.

X1	X2	X3	X4
○	○	○	○
×	○	○	○
○	×	○	○
○	○	×	○
○	×	×	○
×	○	×	○
×	×	○	○

Then note that data in the orange box is an example of  $B(3, 2)$ . We copy these data, and add × as their value on X4. Then we add these rows.

X1	X2	X3	X4
○	○	○	○
×	○	○	○
○	×	○	○
○	○	×	○
○	×	×	○
×	○	×	○
×	×	○	○
○	○	○	×
×	○	○	×
○	×	○	×
○	○	×	×

To show the set is an example of  $B(4,3)$ , we need to prove “for any 3 points, they are not shattered”.

Consider  $\{X_1, X_2, X_3\}$ , since every row come from  $B(3,3)$ , they are not shattered.

For any 3 points contain  $X_4$ , for example  $\{X_2, X_3, X_4\}$ , we suppose they are shattered.

Then we have every possible values on them. Thus if we fix  $X_4$  with value  $\times$

, we still have every possible values on  $X_2$  and  $X_3$ .

X1	X2	X3	X4
○	○	○	○
×	○	○	○
○	×	○	○
○	○	×	○
○	×	×	○
×	○	×	○
×	×	○	○
○	○	○	×
×	○	○	×
○	×	○	×
○	○	×	×

But the values in green box is copied from above with the property  $B(3,2)$ . Those values can not shatter  $X_2$  and  $X_3$ , which leads to a contradiction. Thus  $\{X_2, X_3, X_4\}$  is not shattered. Similarly, any other 3 points can be shown that they are not shattered.

We complete the prove of  $B(4,3) \geq B(3,3) + B(3,2)$ .

The argument above also proves  $B(N, k) \geq B(N - 1, k) + B(N - 1, k - 1)$ , since we didn't use any particular property of  $B(4,3)$ ,  $B(3,3)$  or  $B(3,2)$ .