

# Lie Groups and Representation Theory I

Note Title

9/8/2008

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## §1. Representation of Finite Groups over $\mathbb{C}$

i). Every rep is completely reducible

$\Leftrightarrow \mathbb{C}[G]$  is a semi-simple ring

$$\Leftrightarrow \mathbb{C}[G] = \bigoplus_{i=1}^m \text{Mat}(n_i, \mathbb{C})$$

(Shur's Lemma) If  $L$  is an irrep (simple module) over a ring  $A$ , then  $\text{End}_A(L)$  is a division ring. In particular,  $A = \mathbb{C}[G]$ ,  $L$  irrep. then  $\text{End}_A(L) (\text{Hom}_G(L, L)) = \mathbb{C}$

Notion: Intertwiners = homomorphisms of rep's.

If  $V$  is a  $G$ -rep.  $\Rightarrow \mathbb{C}[G] \rightarrow \text{End}(V) \cong \text{Mat}(n, \mathbb{C})$ ,  $n = \dim V$ .

If  $V$  is irreducible  $\Rightarrow$  this homo. is surjective

Since  $\mathbb{C}[G]$  is semi-simple  $\Rightarrow \exists$  finitely many irrep.  $V_1, \dots, V_m$

$\Rightarrow \phi: \mathbb{C}[G] \rightarrow \bigoplus_{i=1}^m \text{Mat}(n_i, \mathbb{C})$  and  $\phi$  is an isomorphism of rings.

Cor. The regular rep. of  $G$  contains each irrep of  $G$  with multiplicity equal to its dimension. In particular,  $\sum_{i=1}^m n_i^2 = |G|$

In fact  $\mathbb{C}[G] \cong \bigoplus_{i=1}^m V_i \otimes V_i^*$ , and this is an isomorphism as a  $\mathbb{C}[G]$ -bimodule

$$\begin{matrix} \text{left} & \text{right} \\ \mathbb{C}[G]\text{-mod} & \mathbb{C}[G]\text{-mod} \end{matrix}$$

- Tensor Representations

If  $V$  is a rep. of  $G$  and  $W$  is a rep. of  $H$ ,  $G \times H \curvearrowright V \otimes W$

$$g \times h: V \otimes W \mapsto gv \otimes hw$$

If  $V, W$  are rep's of  $G$ ,  $G \xrightarrow{\Delta} G \times G \curvearrowright V \otimes W$

Ex.  $V \otimes W$  is irrep of  $G \times H$  iff  $V$  and  $W$  are irrep's (Only if)

Pf by characters:  $\chi_{V \otimes W}(g, h) = \chi_V(g) \chi_W(h)$

$$\begin{aligned} \text{Thus } (\chi_{V \otimes W}, \chi_{V \otimes W}) &= \frac{1}{|G||H|} \sum_{g \in G} \sum_{h \in H} \chi_V(g) \chi_W(h) \chi_V(g^{-1}) \chi_W(h^{-1}) \\ &= \left( \frac{1}{|G|} \sum_g \chi_V(g) \chi_V(g^{-1}) \right) \cdot \left( \frac{1}{|H|} \sum_h \chi_W(h) \chi_W(h^{-1}) \right) \\ &= (\chi_V, \chi_V)(\chi_W, \chi_W) \end{aligned}$$

$$\Rightarrow 1 = (\chi_{V \otimes W}, \chi_{V \otimes W}) \Leftrightarrow (\chi_V, \chi_V) = 1 \text{ and } (\chi_W, \chi_W) = 1$$

□

$V_i \otimes V_j \cong \bigoplus V_k^{C_{ij}^k} \Rightarrow$  get a commutative ring  $\text{Rep}(G)$  with basis elts irrep's :

$$[V_i] \in \text{Rep}(G), [V_i] \cdot [V_j] = \sum_k C_{ij}^k [V_k]$$

As an abelian group,  $\text{Rep}(G)$  is free with basis  $[V_1], \dots, [V_m]$

$$(V_i \otimes V_j) \otimes V_k \cong V_i \otimes (V_j \otimes V_k) \Rightarrow \text{multiplication is associative}$$

$$V_i \otimes V_j \cong V_j \otimes V_i \Rightarrow \text{multiplication is commutative}$$

$$1 \otimes V \cong V \Rightarrow \exists \text{ unit elt.}$$

(For arbitrary  $A$ -modules, no natural action on  $V \otimes W$ , no natural trivial repns)

$$\text{Also define } [V] + [W] = [V \oplus W]. \text{ If } V \cong \bigoplus_{i=1}^m V_i^{k_i}, [V] = \sum_{i=1}^m k_i [V_i]$$

$\text{Rep}(G) \cong \text{Rep}(G)^+$  positive semi-ring with elements  $\{[V]\}$

One dim'l rep  $\Leftrightarrow G \longrightarrow \mathbb{C}^*$

$$\xrightarrow{\frac{G}{[G, G]}} = H(G, \mathbb{Z}) \cong H_1(G)$$

If  $H$  is abelian,  $H^\vee = \text{Hom}(H, \mathbb{Q}/\mathbb{Z}) = \text{Hom}(H, \mathbb{C}^*)$  : Pontryagin dual.

In general,  $H^\vee \cong H$  (non-canonically), but  $H^{\vee\vee} \cong H$  canonically.

Ex. Find the isomorphism  $H^{\vee\vee} \cong H$ .

In fact  $H^\vee \times H \rightarrow \mathbb{C}^*$  ( $\psi, h \mapsto \psi(h)$ ) is a perfect pairing

If  $\dim V = 1$ ,  $W \mapsto V \otimes W$  is an invertible operation (inv. functor on the category of rep.)

### • Matrix Coefficients of Irrep

Fact: Schur's lemma  $\Rightarrow$  Any irrep of a finite abelian group is 1-dim'l (fails if  $G$  is not abelian)

$V, W$  irrep of  $G$

•  $V \not\cong W$ .  $W \xrightarrow{f} V$  linear map.

$$f' \triangleq \sum_{g \in G} g \cdot f \cdot g^{-1} \Rightarrow \forall h \in G, h \cdot f' = f' \cdot h \Rightarrow f' = 0$$

Choose basis in  $V$  and  $W$  :  $\{v_i\}$  &  $\{w_j\}$ , then  $g$  acts on  $V$  by matrix

$A(g)$  on  $V$ , matrix  $B(g)$  on  $W$ .  $f$  acts by  $F$

$$\Rightarrow \sum_g A(g) F B(g^{-1}) = 0$$

$$\text{Choose } F = E_{jk} \Rightarrow \sum_g a_{ij}(g) b_{ke}(g^{-1}) = 0$$

- $V = W$ ,  $f: V \rightarrow V \Rightarrow \sum_g g f g^{-1} = \lambda \text{Id}_V$

$$\Rightarrow \lambda \cdot \dim V = \text{tr}(\lambda \text{Id}_V) = |G| \text{tr}(f) \Rightarrow \lambda = \frac{|G|}{\dim V} \text{tr}(f)$$

w.r.t.  $\{v_i\}$ ,  $F = E_{jk} \Rightarrow \sum_g a_{ij}(g) a_{ke}(g^{-1}) = \delta_{jk} \delta_{ie} \frac{|G|}{\dim V}$

Thus consider  $a_{ij}: G \rightarrow \mathbb{C} \in \text{Fun}(G)$ .  $\dim \text{Fun}(G) = |G|$

Introduce an inner product on  $\text{Fun}(G)$ :  $\alpha, \beta \in \text{Fun}(G)$

$$(\alpha, \beta) = \frac{1}{|G|} \sum_{g \in G} \alpha(g) \overline{\beta(g)}$$

Thus  $\{a_{ij}\}$  over all imps in  $\text{Fun}(G)$  is dual to  $\{a_{ji}\}$  itself.

If  $V$  is an imp with a  $G$ -inv. inner product ( $G$ -inv. inner products are unique up to scalar on  $V$ . ex.) Choose an orthogonal basis of  $V$

$$\Rightarrow a_{ji}(g^{-1}) = \overline{a_{ij}(g)}$$

$$\Rightarrow \sum_g a_{ik}(g) \overline{a_{jl}(g)} = \delta_{ij} \delta_{kl} \frac{|G|}{\dim V}$$

$\{a_{ij}\}$  forms an orthogonal basis of  $\text{Fun}(G)$

Ex. Work out the examples 1)  $G = \mathbb{Z}/n$  2)  $G = S_3$

Fourier transform for finite groups is to write a function in this basis

- Characters  $\chi_V(g) \triangleq \sum_{i=1}^{\dim V} a_{ii}(g)$

$\forall i: \text{imp. } \chi_i = \chi_{V_i} \Rightarrow (\chi_i, \chi_j) = \delta_{ij}$  where  $(\chi_v, \chi_w) \triangleq \frac{1}{|G|} \sum_{g \in G} \chi_v(g) \overline{\chi_w(g)}$

$V \cong \bigoplus V_i^{n_i}$ ,  $W \cong \bigoplus V_j^{k_j} \Rightarrow (\chi_v, \chi_w) = \sum_{i=1}^m n_i k_i$

$(\chi_v, \chi_v) = 1$  iff  $V$  is imp

Now  $\mathbb{C}[G] \cong \bigoplus_{i=1}^m \text{Mat}(n_i, \mathbb{C})$

$e_i \leftarrow 1 : e_i: \text{primitive central idempotent}$

$$e_i = \frac{\dim V_i}{|G|} \sum_g \chi_i(g^{-1}) g$$

Ex. Show that  $e_i e_j = \delta_{ij} e_i$ ,  $e_i^2 = e_i$  using orthogonality of matrix coefficients

$$\begin{aligned}
 \text{Pf: } e_i e_j &= \frac{\dim V_i \cdot \dim V_j}{|G|^2} \sum_g \chi_i(g^{-1}) \sum_h \chi_j(h^{-1}) gh \\
 &= \frac{\dim V_i \cdot \dim V_j}{|G|^2} \sum_k \sum_{gh=k} \chi_i(g^{-1}) \chi_j(h^{-1}) gh \\
 &= \frac{\dim V_i \cdot \dim V_j}{|G|^2} \sum_k \sum_{gh=k} \sum_{ij} a_{ii}(g^{-1}) b_{jj}(h^{-1}) gh \quad (\text{w.r.t. some orthonormal basis of } V_i \text{ & } V_j) \\
 &= \frac{\dim V_i \cdot \dim V_j}{|G|^2} \sum_k \sum_{gh=k} \sum_{i,j \in I} a_{ii}(g^{-1}) b_{je}e_k^{-1} b_{ej}(h^{-1}) gh
 \end{aligned}$$

$$\begin{aligned}
 \text{If } V_i = V_j, \quad e_i^2 &= \frac{(\dim V_i)^2}{|G|} \sum_{i,j,k} \sum_k a_{je}e_k^{-1} a_k \sum_g a_{ii}(g^{-1}) a_{ej}(g) \\
 &= \frac{(\dim V_i)^2}{|G|} \sum_{i,j,k} a_{je}e_k^{-1} a_k \delta_{ij} \frac{1}{\dim V_i} \\
 &= \frac{\dim V_i}{|G|} \sum_k a_{jj}(k^{-1}) k = e_i
 \end{aligned}$$

$$\text{If } V_i \neq V_j, \quad e_i e_j = \frac{\dim V_i \cdot \dim V_j}{|G|^2} \sum_{i,j,k} \sum_k b_{ji}(k^{-1}) k \sum_g a_{ii}(g^{-1}) b_{ej}(g) = 0 \quad \square$$

Thus:  $\mathbb{Z}\mathbb{C}[G] = \text{ring of class functions} \cong \mathbb{Z}(\oplus \text{Mat}(n_i, \mathbb{C})) \cong \bigoplus \mathbb{C}e_i$ .

### • Character table

Recall the representation ring  $\text{Rep}(G)$  with basis  $[V_0] \cong \mathbb{C}$ ,  $[V_1], \dots, [V_{m-1}]$

$$\begin{aligned}
 [V_i] \cdot [V_j] &= \sum a_{ij}^k [V_k] \quad \text{Applying } \chi: \quad (\chi_{Vi} = \chi_i, \quad \chi_{V \otimes W} = \chi_V \cdot \chi_W) \\
 \Rightarrow \chi_i \chi_j &= \sum a_{ij}^k \chi_k
 \end{aligned}$$

E.g. Character table of  $S_3$

$S_3$  has 3 irreducible representations.

The trivial rep      The sign rep      The 2-dim'l repn ( $S_3 \cong D_6$ )

$$V_0 \cong \mathbb{C} \quad V_1 \quad V_2$$

There are 3 conjugacy classes in  $S_3$

$$(1), \quad (12)_3, \quad (123)_2$$

$$\chi_0 \quad 1, \quad 1, \quad 1$$

$$\chi_1 \quad 1, \quad -1, \quad 1$$

$$\chi_2 \quad 2, \quad 0, \quad -1$$

$$V_2 \otimes V_1 \cong V_2 \quad V_1 \otimes V_1 \cong V_0 \quad V_2 \otimes V_2 \cong ?$$

$$\chi_2^2 = 4, \quad 0, \quad 1 = \chi_0 + \chi_1 + \chi_2$$

How is  $V_2$  defined?

$S_3 \curvearrowright \{1, 2, 3\} \Rightarrow S_3 \curvearrowright \mathbb{C}^3 = \mathbb{C}e_1 \oplus \mathbb{C}e_2 \oplus \mathbb{C}e_3$ . Then there is a 1-dim'l trivial subrepresentational spanned by  $e_1 + e_2 + e_3$ .  $V_2 = \{(x_1, x_2, x_3) \mid \sum_{i=1}^3 x_i = 0\}$

Similarly  $S_n \curvearrowright \{1, 2, \dots, n\} \Rightarrow S_n \curvearrowright \mathbb{C}^n, \quad \mathbb{C}^n = \mathbb{C} \oplus V^{n-1}$

Claim:  $V^{n-1}$  is irreducible.

Pf: It suffices to show that  $\|\chi_{\mathbb{C}^n}\|^2 = 2$ , since we know already that  $\mathbb{C} \hookrightarrow \mathbb{C}^n$ .

$$\|\chi\|^2 = \frac{1}{n!} \sum_g |\chi(g)|^2 = \frac{1}{n!} \sum_{k=0}^n \#\{g \mid g \text{ fixes } k \text{ elements of } \{1, \dots, n\}\} k^2$$

But  $\#\{g \mid g \text{ fixes } k \text{ elements of } \{1, \dots, n\}\} = \#\{g \mid g \text{ fixes certain } k \text{ elements}\}$

-  $\#\{g \mid g \text{ fixes certain } (k+1) \text{ elements}\} + \#\{g \mid g \text{ fixes certain } k+2 \text{ elements}\} - \dots$

$$= \binom{n}{k} (n-k)! - \binom{n}{k+1} (n-k-1)! + \binom{n}{k+2} (n-k+2)! - \dots$$

$$= \frac{n!}{k!} - \frac{n!}{(k+1)!} + \frac{n!}{(k+2)!} - \dots$$

$$= n! \left( \sum_{t=k}^n \frac{(-1)^{t-k}}{k!} \right)$$

$$\Rightarrow \|\chi\|^2 = \sum_{k=0}^n k^2 \sum_{t=k}^n \frac{(-1)^{t-k}}{k!} = 2. \quad (\text{Mathematica})$$

□

- More generally, whenever  $G \curvearrowright X \Rightarrow G \curvearrowright \mathbb{C}\{X\}$ . To consider the decomposition it suffices to assume  $G \curvearrowright X$  transitively. Then  $X \cong G/H$ ,  $H = \text{Stab}_x$ . This is a special case of induced representations of  $H$  on  $G$ . (the trivial rep. of  $H$ ).

$$\chi_{\mathbb{C}\{X\}}(g) = \#\{x \mid gx = x\} \Rightarrow \chi_{V^{n-1}}(g) = \#\{x \mid gx = x\} - 1.$$

Another point to observe is that : if  $V$  is irred,  $V \otimes V \cong \mathbb{C}$  iff  $V \cong V^*$ .

Pf: " $\Leftarrow$ "  $V \otimes V^* \xrightarrow{\sim} \mathbb{C}$

$$\Rightarrow \text{Hom}(V \otimes V, \mathbb{C}) = \text{Hom}(V, V^*)$$

$$\Rightarrow \text{Hom}_G(V, V^*) = \text{Hom}(V, V^*)^G \neq 0 \Rightarrow V \cong V^*$$

□

- Any irreducible rep of  $S_n$  is self-dual, since  $\forall g \in S_n$ ,  $g$  is conjugate to  $g^{-1}$ .

$$\Rightarrow \chi_V(g) = \overline{\chi_V(g^{-1})} = \overline{\chi_V(g)} \Rightarrow \chi_V(g)$$
's are real valued.  $\Rightarrow \langle \chi_{\mathbb{C}}, \chi_{V \otimes V} \rangle = \langle \chi_{\mathbb{C}}, \chi_V^2 \rangle = \frac{1}{n!} \sum 1 \cdot \chi_V(g)^2 > 0 \Rightarrow \mathbb{C} \hookrightarrow V \otimes V$ , thus  $V \cong V^*$ .

• Induction and Restriction

$$\text{A, B rings, } B \hookrightarrow A \quad \begin{matrix} B\text{-modules} & \xrightleftharpoons[\text{Res}]{\text{Ind}} & A\text{-modules} \\ V & \longmapsto & A \otimes V \\ B^W & \longleftrightarrow & W \end{matrix}$$

Induction is left adjoint to restriction :  $\text{Hom}_A(A \otimes V, W) \cong \text{Hom}_B(V, B^W)$

In case  $G$  is a finite group, and  $H$  a subgroup.

$$\mathbb{C}[H] \hookrightarrow \mathbb{C}[G] : H\text{-mod} \xrightleftharpoons[\text{Res}]{\text{Ind}} G\text{-mod}$$

$G = \coprod_{i=1}^k g_i H \quad k = [G : H] \Rightarrow \mathbb{C}[G]$  is a free right  $\mathbb{C}[H]$  module with basis  $\{g_i\}$ .

$$\text{Ind}(V) = \bigoplus_{i=1}^k g_i \otimes V \Rightarrow \dim(\text{Ind } V) = \dim V \cdot [G : H]$$

The  $G$ -action on  $\text{Ind } V$  is given by  $g \cdot g_i \otimes v = g_i h \otimes v = g_j \otimes hv$

$$\text{If } V = \mathbb{C}[H] \text{ is the regular rep. } \text{Ind}(V) = \mathbb{C}[G] \otimes_{\mathbb{C}[H]} \mathbb{C}[H] = \mathbb{C}[G]$$

$\text{Ind}(\mathbb{C}) = \mathbb{C}[G] \otimes_{\mathbb{C}[H]} \mathbb{C}$  : a basis is given by cosets representations  $\{g_i \otimes 1\}$

$g \cdot g_i \otimes 1 = gg_i \otimes 1 = g_j h \otimes 1 = g_j \otimes h \cdot 1 = g_j \otimes 1$ ; also action on cosets are given by left multiplication  $\Rightarrow \text{Ind}(\mathbb{C}) \cong \mathbb{C}\{G/H\}$

Frobenius reciprocity:  $\text{Hom}_G(\text{Ind } V, W) \cong \text{Hom}_H(V, {}_HW)$

If  $V, W$  are irreducible representations of  $H$  and  $G$ .

$\dim L.H.S. = \text{multiplicity of } W \text{ in } \text{Ind } V$  ;  $\dim R.H.S. = \text{multiplicity of } V \text{ in } {}_HW$

If  $W$  irred.  $V = \text{trivial rep. of } \{1\} \leq G$

$\Rightarrow \text{Ind}(V) = \mathbb{C}[G]$ , and  $\dim L.H.S. = \text{mult. of } W \text{ in } \mathbb{C}[G]$  and  $\dim R.H.S.$

$= \dim_{\mathbb{C}[H]}(V, W) = \dim W$ . Once again: multiplicity of  $W$  in  $\mathbb{C}[G]$  is  $\dim W$ .

If  $H$  abelian  $\text{Ind}(\mathbb{C}[H]) = \mathbb{C}[G]$ , which contains all irrep of  $G$ .

Moreover  $\mathbb{C}[H] = \bigoplus_{i=1}^{[H]} V_i$  and  $\text{Ind}(\mathbb{C}[H]) = \bigoplus_{i=1}^{[H]} \text{Ind}(V_i)$

$W$  irrep of  $G \Rightarrow W$  is contained in at least one  $\text{Ind}(V_i) \Rightarrow \dim W \leq [G : H]$ .

Cor. Any irrep of  $D_{2n}$  or  $D_{2n}^*$  is at most 2-dim'l.

Pf: Both  $D_{2n}$  and  $D_{2n}^*$  contain index 2 (normal) subgroups.

$$\begin{array}{ccccccc} & & & & & & \\ & \uparrow & & \uparrow & & & \\ 1 & \rightarrow & \mathbb{Z}/n & \rightarrow & D_{2n} & \rightarrow & \mathbb{Z}/2 \rightarrow 1 \\ & \uparrow & & \uparrow & & & \\ 1 & \rightarrow & \mathbb{Z}/2n & \rightarrow & D_{2n}^* & \rightarrow & \mathbb{Z}/2 \rightarrow 1 \\ & \uparrow & & \uparrow & & & \\ \mathbb{Z}/2 & = & \mathbb{Z}_2 & & & & \end{array}$$

□

If  $1 \rightarrow A \rightarrow B \rightarrow C \rightarrow 1$  is a short exact sequence of groups

- $B$  is called an extension of  $C$  by  $A$
- An extension is split if  $1 \rightarrow A \xrightarrow{\alpha} B \xleftarrow{\beta} C \rightarrow 1$   $\exists \gamma$  s.t.  $\beta \circ \gamma = \text{Id}_C$

Then  $B$  is called the semi-direct product of  $A \& C$ :  $B = C \rtimes A$ . It is determined by  $C \rightarrow \text{Aut}(A)$ ,  $\gamma(c) \cdot a = a' \gamma(c)$ .

- $D_n$  is a split extension, since  $D_n$  contains many order 2 elements (reflections) not in the kernel  $\mathbb{Z}/n$  (rotations)
- $D_n^*$  is not a split extension, since  $D_n^* \subseteq \text{SU}(2)$  doesn't contain any order two element other than  $-I$ , which is in  $\mathbb{Z}/2n$ .
- If  $n$  is odd  $1 \rightarrow \text{SO}(n) \rightarrow O(n) \rightarrow \mathbb{Z}/2 \rightarrow 1$   
 $-I \longleftrightarrow 1$

and  $-I \in Z(O(n)) \Rightarrow O(n) = \text{SO}(n) \times \mathbb{Z}/2$ .

### • Symmetric and Exterior Powers

$V$  vector space over  $k$ ,  $\text{char } k = 0$ .

$V^{\otimes n}$ :  $S_n \curvearrowright V^{\otimes n}$ :  $\sigma(v_1 \otimes \dots \otimes v_n) = v_{\sigma(1)} \otimes \dots \otimes v_{\sigma(n)}$

$S^n V$ : the subspace of  $S_n$ -invariants

$\Lambda^n V$ : the subspace of signed  $S_n$ -invariants

In particular,  $V^{\otimes 2} = S^2 V \oplus \Lambda^2 V$ , and if  $\{v_1, \dots, v_k\}$  is a basis of  $V$ , then  $\{v_i \wedge v_j\}_{i < j}$  is a basis of  $\Lambda^2 V$  and  $\{v_i v_j\}_{i < j}$  is a basis of  $S^2 V$ .

But if  $n > 2$ ,  $V^{\otimes n} = S^n V \oplus \Lambda^n V \oplus$  other terms.

If  $H \curvearrowright W \Rightarrow W = \bigoplus W_i^{n_i}$ : Isotypical decomposition.  $W_i^{n_i}$  sits canonically inside  $W$ :

$W_i \otimes \text{Hom}(W_i, W) \longrightarrow W$ . This is a homomorphism of rep's and the image is  $W_i^{n_i}$ .  
 $(\omega, f) \mapsto f|_{W_i}$

Note that, however, if  $n_i \geq 2$ , the choices of  $W_i^{n_i} = W_i \oplus W_i \oplus \dots$  is not canonical.

E.g.  $H = S_3 \curvearrowright V \Rightarrow V^{\otimes 3} = S^3 V \oplus \Lambda^3 V \oplus V'$  and  $S^3 V$  consists of trivial rep's and  $\Lambda^3 V$  consists of sign rep's.  $V'$  consists of the other rep  $V^2$  of  $S_3$ .

In general, if  $v_1, \dots, v_k$  is a basis of  $V$ ,  $\{v_{i_1} \wedge \dots \wedge v_{i_n} \mid 1 \leq i_1 < \dots < i_n \leq k\}$  is a basis for  $\Lambda^n V$  ( $n \leq k$ ), and  $\{v_{i_1} \dots v_{i_n} \mid 1 \leq i_1 < \dots < i_n \leq k\}$  is a basis of  $S^n V$ .

In particular,  $\Lambda^k V$  is 1-dim'l, spanned by  $v_1 \wedge \dots \wedge v_k$ .

Now  $G \curvearrowright V \Rightarrow G \curvearrowright V^{\otimes n}$   $g(v_1 \otimes \dots \otimes v_n) = gv_1 \otimes \dots \otimes gv_n$ .

This action commutes with the  $S_n$  action  $\Rightarrow G \curvearrowright S^n V$  and  $\Lambda^n V$  respectively.

How to compute characters of  $\Lambda^n V$  and  $S^n V$ ?

$n=2$ , choose a basis s.t.  $g = \begin{pmatrix} \lambda_1 & * \\ 0 & \lambda_2 \end{pmatrix}$ . In the basis  $\{v_i \wedge v_j\}_{i < j}$   $g(v_i \wedge v_j) = \lambda_i v_i \wedge v_j + \dots$ .  $\Rightarrow \text{Tr}_{\Lambda^2 V}(g) = \sum_{i < j} \lambda_i \lambda_j$ . Moreover  $g^2 = \begin{pmatrix} \lambda_1^2 & * \\ 0 & \lambda_2^2 \end{pmatrix} \Rightarrow \text{tr}_V(g^2) = \sum \lambda_i^2$   $\Rightarrow \text{tr}_{\Lambda^2 V}(g) = \sum_{i < j} \lambda_i \lambda_j = \frac{1}{2} ((\sum \lambda_i)^2 - \sum \lambda_i^2) = \frac{1}{2} ((\text{tr}_V(g))^2 - \text{tr}_V(g^2))$ . Similarly  $\text{tr}_{S^2 V}(g) = \frac{1}{2} ((\text{tr}_V(g))^2 + \text{tr}_V(g^2))$ .

### • Finite Subgroups of $SU(2)$

$$SU(2) = \{U \in M_2(\mathbb{C}) \mid UU^* = I, \det U = 1\} = \left\{ \begin{pmatrix} a & b \\ -b & \bar{a} \end{pmatrix} \mid |a|^2 + |b|^2 = 1 \right\}$$

$$\Rightarrow \exists \quad 1 \rightarrow \mathbb{Z}/2 \rightarrow SU(2) \xrightarrow{\Phi} SO(3) \rightarrow 1$$

Finite subgroups of  $SO(3)$  are:

$\mathbb{Z}/n$	$D_n$	$A_4$	$S_4$	$A_5$
cyclic groups 	dihedral groups 	sym. group of 	sym. group of 	sym group of dodecahedron

Starting with  $H \leq SO(3)$ , we may construct  $\Phi^{-1}(H) \leq SU(2)$ . Note that  $D_n, A_4, S_4, A_5$  have lots of elements of order 2, while  $SU(2)$  has only 1 order 2 element, namely  $-I$ , thus  $\Phi^{-1}(H)$  ( $H = D_n, A_4, S_4, A_5$ ) are not direct products. Similarly  $\Phi^{-1}(\mathbb{Z}/2n)$  cannot be  $\mathbb{Z}/2n \times \mathbb{Z}/2$ , otherwise there would be more than 1 order 2 elements.

Thus we obtain a classification of finite subgroups of  $SU(2)$

$$\text{cyclic: } \mathbb{Z}/n = \left\{ \begin{pmatrix} \zeta^n & 0 \\ 0 & \zeta^{-1} \end{pmatrix} \mid \zeta = e^{\frac{2\pi i}{n}} \right\}$$

$$\text{binary dihedral group: } D_{2n}^* \text{ (order } = 4n) = \left\langle \begin{pmatrix} \zeta^k & 0 \\ 0 & \zeta^{-k} \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \zeta = e^{\frac{\pi i}{n}} \right\rangle$$

$$\text{and 3 exceptional groups: } A_4^* = \Phi^{-1}(A_4), \quad S_4^* = \Phi^{-1}(S_4), \quad \text{and } S_5^* = \Phi^{-1}(S_5).$$

An interesting fact:  $SU(2)/A_5^*$  is the Poincaré homology 3-sphere.

$H_1(SU(2)/A_5^*) = 0$  since  $[A_5^*, A_5^*] = A_5^*$  (note that  $[A_5^*, A_5^*]$  is a normal subgroup of  $A_5^*$  of index at most 2 since  $\Phi([A_5^*, A_5^*]) = [\Phi(A_5^*), \Phi(A_5^*)] = [A_5, A_5] = A_5$ , and the sequence  $1 \rightarrow \mathbb{Z}/2 \rightarrow A_5^* \rightarrow A_5 \rightarrow 1$  cannot split.)

$\Rightarrow \pi_1(SU(2)/A_5^*) = A_5^* \Rightarrow H_1 = \pi_1 / [\pi_1, \pi_1] = \{0\}$ . Moreover it's oriented since  $SU(2)$  is connected and each group element action is homotopic to identity.  $\Rightarrow H_1 \cong H_2 = \{0\}, \quad H_3 = H_1 = \mathbb{Z}$ .

## • McKay Correspondence

$G \subseteq \mathrm{SU}(2)$  a finite subgroup, then  $G$  can only be:

$$\mathbb{Z}/n, D_n^*, A_4^*, S_4^*, A_5^*$$

Given  $G$ , we may construct a graph  $I = I'(G)$  called the McKay graph.

Vertices: irreps

Edges: if  $V_i \subseteq V_j \otimes V$ , where  $V$  is the standard rep of  $\mathrm{SU}(2)$  on  $\mathbb{C}^2$  restricted to  $G$ , then connect  $V_i$  with  $V_j$  with an edge.

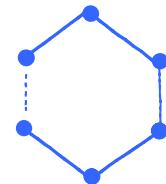
$$(V_i \subseteq V_j \otimes V \Rightarrow 0 \neq \mathrm{Hom}_G(V_i, V_j \otimes V) = \mathrm{Hom}_G(V_i \otimes V^*, V_j) = \mathrm{Hom}_G(V_i \otimes V, V_j))$$

The last step holds since for  $\mathrm{SU}(2)$  (and its subgroups),  $V \cong V^*$   $\cap V \otimes V = S^2 V \oplus \Lambda^2 V$

but  $\Lambda^2 V = \mathbb{C}$ : or can be seen as follows:  $\forall g \in \mathrm{SU}(2), g \sim (\lambda, \bar{\lambda})$   $\lambda^{IG_1} = 1 \Rightarrow \bar{\lambda} = \lambda^*$ .  $\Rightarrow \chi_V(g) = \lambda + \bar{\lambda}^* = \overline{\lambda + \lambda^*} = \lambda^* + \bar{\lambda} = \chi_{V^*}(g) = 1$

$V$  is irreducible iff  $G$  is nonabelian (not  $\mathbb{Z}/n$ )

If  $G$  is abelian, the McKay graph is like:



Prop. The graph  $G$  is connected.

Pf: First of all. we may assume  $G$  is non-abelian.

since the only abelian cases have connected graphs as above.

Thus  $V$  is an irrep and  $\mathbb{C}$  and  $V$  are connected.

If  $V_i \in$  this component  $\Rightarrow V_i - V_j - V_k - \dots - V$  and  $V_i \subseteq V_j \otimes V \subseteq V_k \otimes V \otimes V \subseteq \dots \subseteq V \otimes \dots \otimes V = V^m$  for some  $m$ .  $\Rightarrow (\chi_{V_i}, \chi_V^m) \neq 0$

If  $V_i \notin$  this component,  $(\chi_{V_i}, \chi_V^m) = 0$ ,  $\forall m$ . Otherwise, for some  $m$ .  $(\chi_{V_i}, \chi_V^m) \neq 0$

$V_i \subseteq V_j \otimes V$  for some  $V_j \subseteq V^{m-1}$ ; similarly,  $V_j \subseteq V_k \otimes V$  for some  $V_k \subseteq V^{m-2}$ , ...,

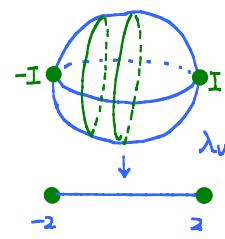
$V_s \subseteq V_t \otimes V$  for some  $V_t \subseteq V$  and  $V_t \subseteq \mathbb{C} \otimes V \Rightarrow V_i - V_j - V_k - \dots - V_s - V_t - \mathbb{C}$  contradiction.

Now  $\chi_V(g) = \lambda + \bar{\lambda}^{-1} \in [-2, 2]$ , where  $\chi_V: G \rightarrow [-2, 2]$ .

$$0 = (\chi_i, \chi_V) = \frac{1}{|G|} \sum_g \chi_i(g) \chi_V(g)^m = \frac{1}{|G|} \sum_g \chi_i(g) (\lambda_g + \bar{\lambda}_g^{-1})$$

$$\Rightarrow 0 = \sum_{g \in G} \chi_i(g) \left( \frac{\lambda_g + \bar{\lambda}_g^{-1}}{2} \right)^m = 1 + (-1)^m + \sum_{g \neq \pm I} \chi_i(g) \left( \frac{\lambda_g + \bar{\lambda}_g^{-1}}{2} \right)^m$$

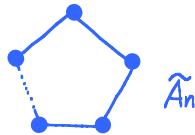
But  $g \neq \pm I$ ,  $\left( \frac{\lambda_g + \bar{\lambda}_g^{-1}}{2} \right)^m \rightarrow 0 \Rightarrow 0 = 1 \pm (-1)^m + O(1)$ ,  $\forall m$ , contradiction.



Now if  $(V_i \hookrightarrow V_j \otimes V)$  has multiplicity  $\geq 2$  (which must be equal to the multiplicity of

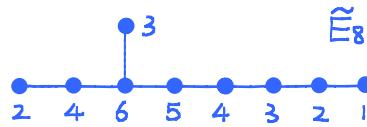
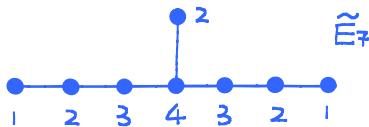
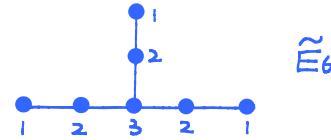
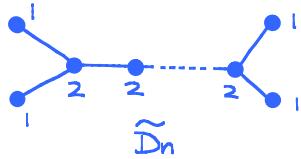
$(V_j \hookrightarrow V_i \otimes V)$ , since  $\text{Hom}(V_i, V_j \otimes V) \cong \text{Hom}(V_i \otimes V^*, V_j) \cong \text{Hom}(V_i \otimes V, V_j)$ .

$\Rightarrow$  (assuming  $\dim V_i \geq \dim V_j$ )  $2\dim V_i \leq 2\dim V_j \Rightarrow \dim V_i = \dim V_j$  and  $V_i \otimes V \cong V_j \oplus V$   
 $V_j \otimes V \cong V_i \oplus V_i$ , i.e. only  $i$  and  $j$  are connected  $\Rightarrow V_i, V_j$  are the only rep's of  $G$   
 $\Rightarrow G = \{1\}$  or  $\mathbb{Z}/2$ , whose McKay graph is like:



and can be realized as extreme cases of  $\tilde{A}_n \leftrightarrow \mathbb{Z}/n$ .

There are other graphs:



Thm. The only graphs  $I'$  with weight numbers  $d_i$  assigned to vertex  $i$  satisfying  $2d_i = \sum_{i,j} d_j$  are the graphs listed above

Pf: To each graph satisfying the equation we assign a vector space  $\mathbb{R}^{I'}$  with basis  $\{e_i\}$  where  $i$  stands for vertices, and inner product  $(e_i, e_j) = \begin{cases} 2 & i=j \\ -1 & i \neq j \\ 0 & \text{otherwise} \end{cases}$

For instance  $I' = \bullet$ ,  $\mathbb{R}^{I'} = \mathbb{R}e_1$ ,  $(e_1, e_1) = 2$

$I' = \bullet - \bullet$ ,  $\mathbb{R}^{I'} = \mathbb{R}e_1 \oplus \mathbb{R}e_2$



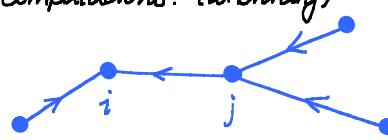
Lemma: The inner product for a McKay graph is positive semi-definite with null space spanned by  $w_0 = \sum_i d_i e_i$ .

Indeed,  $(w_0, e_j) = \sum_i (d_i e_i, e_j) = \sum_{i \neq j} d_i (e_i, e_j) + d_j (e_j, e_j) = 2d_j - \sum_{i \neq j} d_i = 0$   
 $\Rightarrow \forall v \in \mathbb{R}^{I'}, (w_0, v) = 0$ .

Now assign each edge an orientation to keep track of computations: (arbitrary)

Take  $w = \sum x_i e_i$ , then

$$0 \leq \sum_{i \rightarrow j} d_i d_j \left( \frac{x_i}{d_i} - \frac{x_j}{d_j} \right)^2$$



$$\begin{aligned}
&= \sum_{i \rightarrow j} d_i d_j \left( \frac{x_i^2}{d_i^2} - 2 \frac{x_i x_j}{d_i d_j} + \frac{x_j^2}{d_j^2} \right) \\
&= \sum_{i \rightarrow j} \left( \frac{d_j}{d_i} x_i^2 - 2 x_i x_j + \frac{d_i}{d_j} x_j^2 \right) \\
&= \sum_{i \rightarrow j} \frac{d_j}{d_i} x_i^2 - 2 \sum_{i \rightarrow j} x_i x_j + \sum_{j \rightarrow i} \frac{d_i}{d_j} x_j^2 \\
&= 2 \sum_{i \rightarrow j} \frac{d_j}{d_i} x_i^2 - 2 \sum_{i \rightarrow j} x_i x_j \\
&= 2 \sum_i x_i^2 - 2 \sum_{i \rightarrow j} x_i x_j = (\omega, \omega)
\end{aligned}$$

$\Rightarrow (\omega, \omega) \geq 0$  and  $= 0$  iff  $\frac{x_i}{d_i} = \frac{x_j}{d_j}$  i.e.  $\omega = \lambda \omega_0$   $\square$  of lemma.

Lemma: If the connected  $\Gamma'$  contains a proper subgraph  $\Gamma'$  which is a McKay graph, then the inner product on  $\mathbb{R}^{\Gamma'}$  is indefinite.

Indeed, there are two cases to consider:

(1) If  $\Gamma'$  contains a vertex not in  $\Gamma'$ , say  $i \in \Gamma', i \notin \Gamma'$ .

$\omega_0 \triangleq \sum_{j \in \Gamma'} d_j e_j$  and we have

$(\omega_0, \omega_0) \leq 0$  (there might be edges omitted

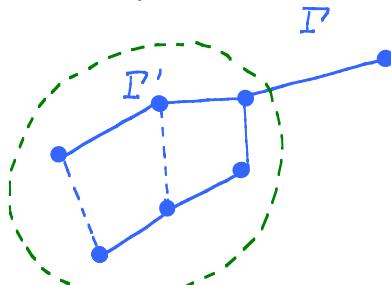
between vertices of  $\Gamma'$  inside  $\Gamma$ , which contribute to multiples of  $(-1)$ 's.)

Take  $\omega = \omega_0 + \varepsilon e_i$ ,  $\varepsilon > 0$ , then

$$\begin{aligned}
(\omega, \omega) &= (\omega_0, \omega_0) + 2(\omega_0, e_i) \cdot \varepsilon + 2\varepsilon^2 \\
&\quad (\leq 0) \quad (< 0)
\end{aligned}$$

$(\omega, \omega) < 0$  when  $\varepsilon \ll 1$ .

(2). All vertices are in  $\Gamma'$ , but some edges are omitted. In this case,  $(\omega_0, \omega_0) < 0$ , since the omitted edges give back multiples of  $(-1)$ 's.  $\square$  of lemma.



Thm. Any (simply-laced) connected graph is among:

Dynkin	Affine (McKay)	Indefinite
$A_n$ :	$\tilde{A}_n$	
$D_n$ :	$\tilde{D}_n$	
$E_6$ :	$\tilde{E}_6$	$(\mathbb{R}^{\Gamma}, \langle , \rangle \text{ indefinite})$
$E_7$ :	$\tilde{E}_7$	
$E_8$ :	$\tilde{E}_8$	

For Dynkin graphs,  $(\mathbb{R}^I, (\cdot, \cdot))$  is positive definite; for affine graphs,  $(\mathbb{R}^I, (\cdot, \cdot))$  is positive semi-definite. (Dynkin graphs are obtained from affine ones by removing one of their weight one vertices.)

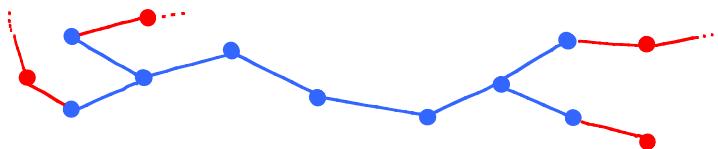
Pf: By its definition, Dynkin graphs assign positive definite inner products on  $\mathbb{R}^I$  since  $\mathbb{R}^I$  is a subspace of a positive semi-definite space transversal to  $w_0$  we constructed. We will show that if any graph  $I'$  which is neither Dynkin nor McKay, then  $I'$  contains properly a McKay graph  $I''$ . Then by the previous lemma, the inner product associated with  $I'$  is indefinite.

(i). If  $I'$  contains a cycle, then it contains  $\tilde{A}_n$  properly.

(ii). If  $I'$  contains a vertex of valency  $\geq 4$ , then it contains  $\tilde{D}_4$  properly.

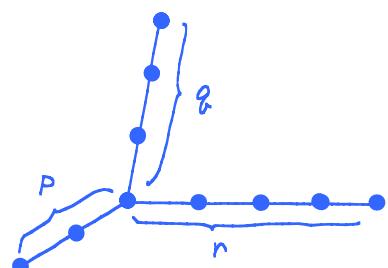


(iii). If  $I'$  contains two valency 3 vertices, we may find a path connecting them, and any such path gives rise to a  $\tilde{D}_n$ .



(iv). If  $I'$  contains only 1 vertex of valency 3

Let the number of vertices on each edge be  $p, q, r$  and without loss of generality, assume that  $p \leq q \leq r$



$p$	$q$	$r$	Results
2	2	$\geq 2$	it's $D_n$ of Dynkin
2	2	3, 4, 5	it's $E_6, E_7$ , or $E_8$ of Dynkin
2	3	6	it's $\tilde{E}_8$ ,
2	3	$\geq 7$	it contains $\tilde{E}_8$ properly
2	4	4	it's $\tilde{E}_7$
2	4	$\geq 5$	it contains $\tilde{E}_7$ properly
2	$\geq 5$	$\geq 5$	it contains $\tilde{E}_7$ properly
3	3	3	it's $\tilde{E}_6$

Note the fact here :

$$\begin{cases} \frac{1}{p} + \frac{1}{q} + \frac{1}{r} > 1 & \text{Dynkin} \\ \frac{1}{p} + \frac{1}{q} + \frac{1}{r} = 1 & \text{McKay} \\ \frac{1}{p} + \frac{1}{q} + \frac{1}{r} < 1 & \text{Indefinite} \end{cases}$$

$\geq 3$	$\geq 3$	$\geq 3$	it contains $\tilde{E}_6$ property.
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□ of thm.

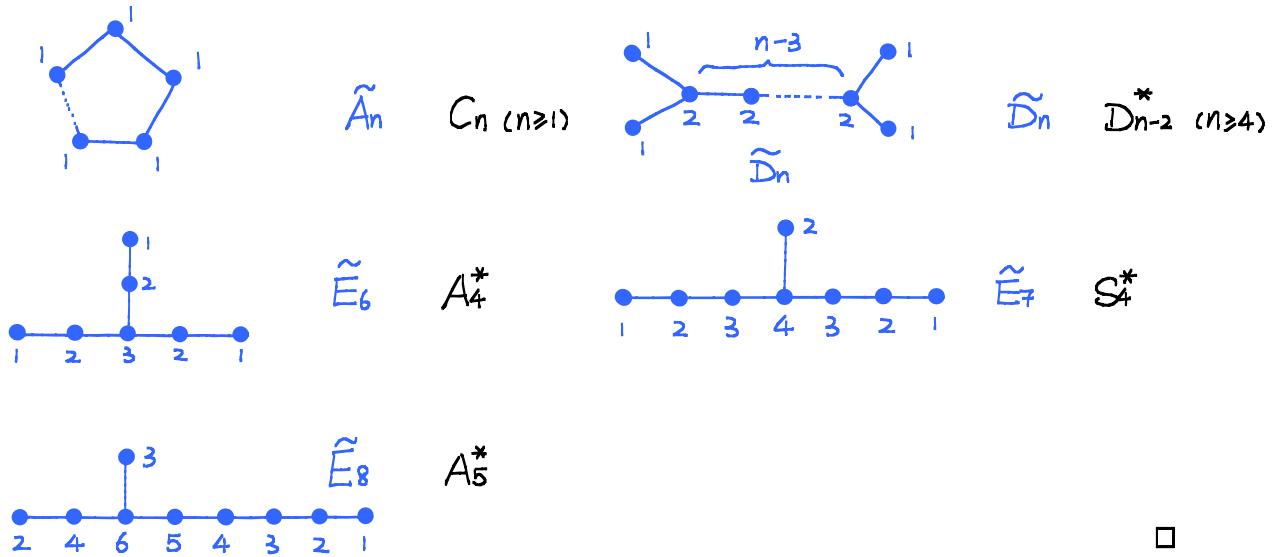
In summary, we have done:

A finite subgroup of  $SU(2)$   $\rightarrow$  A McKay graph labelling rep's  $\rightarrow$  positive semi-definite inner product on  $\mathbb{R}^{\mathbb{I}}$ .

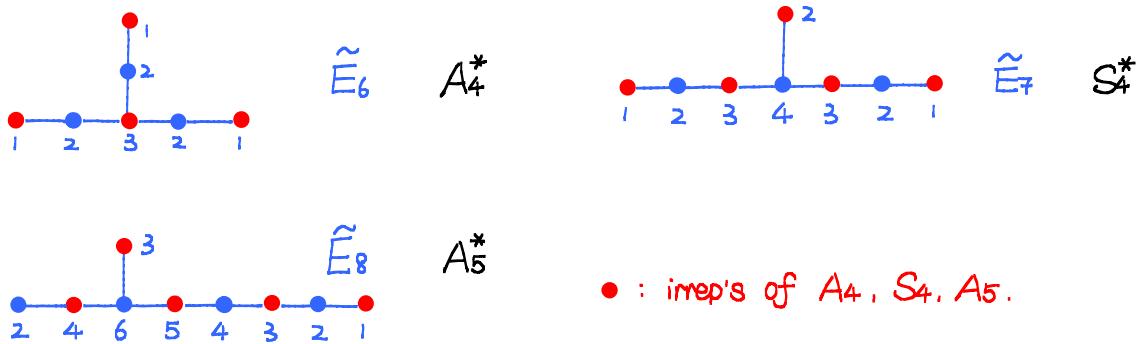
And for arbitrary graphs we have classified them by their associated inner product on  $\mathbb{R}^{\mathbb{I}}$ :

$\left\{ \begin{array}{l} \text{Dykin: } A_n, D_n, E_6, E_7, E_8, \text{ with associated inner product positive definite} \\ \text{McKay: } \tilde{A}_n, \tilde{D}_n, \tilde{E}_6, \tilde{E}_7, \tilde{E}_8, \text{ with associated inner product positive semi-definite.} \\ \text{Others: with associated inner product indefinite.} \end{array} \right.$

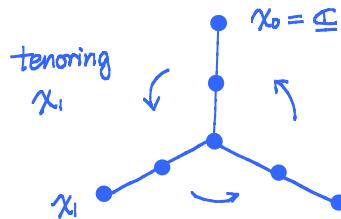
Thus we conclude that to each finite subgroup of  $SU(2)$ , the associated graphs are precisely the McKay graphs. Counting the order of group and use the relation that  $|G| = \sum_{i=1}^m d_i^2$ , we see the correspondence is like:



Now, if  $z = -I_{2 \times 2} \in G$ , then on each irrep of  $G$ ,  $z$  acts as the scalar  $\pm 1$  by Schur's lemma. Thus we can partition all irreps of  $G$  into 2 classes, those which  $z$  acts as  $1$  or those as  $-1$ . Moreover if  $i \neq j$ , then  $V_i \subseteq V_j \otimes V$ . Hence if  $z$  acts as  $1$  on  $V_j$ , then it acts as  $-1$  on  $V_i$  since  $z = -id$  on  $V$ , and if  $z$  acts as  $-1$  on  $V_j$ , it acts as  $1$  on  $V_i$ . Those irreps which  $z$  acts as  $1$  descend to irreps of  $SU(2)/\{1, z\} \cong SO(3)$



Moreover, tensoring with 1-dim'l irrep's gives automorphisms of the McKay graphs  
For instance, tensoring with one of the non-trivial 1-dim'l irrep's of  $A_4^*$  gives  
a rotation of the graph:



- Dykin Diagrams and Weyl Groups

E.g.  $\Gamma = A_n = \bullet - \bullet - \bullet - \dots - \bullet$ . Let  $\mathbb{R}^\Gamma \subseteq \mathbb{R}^{n+1} = \text{Span}\{\mathbf{e}_1, \dots, \mathbf{e}_{n+1}\}$   $\langle \mathbf{e}_i, \mathbf{e}_j \rangle = \delta_{ij}$

Let  $\mathbf{e}_i = \mathbf{e}_i - \mathbf{e}_{i+1} \Rightarrow \langle \mathbf{e}_i, \mathbf{e}_i \rangle = 2 \quad \langle \mathbf{e}_i, \mathbf{e}_{i+1} \rangle = -1 \quad \mathbb{R}^\Gamma = \text{Span}\{\mathbf{e}_1, \dots, \mathbf{e}_n\}$

Then  $\mathbb{R}^\Gamma \subseteq \mathbb{R}^{n+1}$  is a hyperplane of codimension 1 =  $\{v = \sum v_i \mathbf{e}_i \mid \sum v_i = 0\}$

Weyl group  $W(\Gamma) \cong$  the group generated by reflections about the planes perpendicular to  $\mathbf{e}_i \quad i=1, \dots, n$ .

These reflections extend to reflections of  $\mathbb{R}^{n+1}$  (fixing  $\sum \mathbf{e}_i$ )

Let  $S_i$  be the reflection about the plane perpendicular to  $\mathbf{e}_i$ :

$$S_i(x_1, \dots, x_{n+1}) = \vec{x} - \langle \vec{x}, \mathbf{e}_i \rangle \mathbf{e}_i = (x_1, \dots, x_{i+1}, x_i, \dots, x_n)$$

$\Rightarrow W(\Gamma) \cong S_{n+1}$  and the generators satisfy  $S_i^2 = 1$ ,  $S_i S_{i+1} S_i = S_{i+1} S_i S_{i+1}$ ,

$$S_i S_j = S_j S_i \quad (j \neq i-1, i+1)$$

More generally,  $\Gamma \rightarrow W(\Gamma)$  has defining relations:

$$S_i^2 = 1 \quad S_i S_j S_i = S_j S_i S_j \quad S_i S_j = S_j S_i$$



E.g.  $D_n : \mathbb{R}^{D_n} \cong \mathbb{R}^n = \text{Span}\{e_1, \dots, e_n\}$



Surely  $S_n = W(A_{n-1}) \hookrightarrow W(D_n)$ , corresponding to



The reflections by  $E_i - E_{i+1}$  are given by:

$(x_1, \dots, x_n) \mapsto (x_1, \dots, x_{i+1}, x_i, \dots, x_n)$ , they generate  $S_n$ .

The reflection by  $E_{n-1} + E_n$  is given by:

$(x_1, \dots, x_n) \mapsto (x_1, \dots, x_{n-2}, -x_n, -x_{n-1})$

The composition of reflections by  $(E_{n-1} - E_n)$  and  $(E_{n-1} + E_n)$

$(x_1, \dots, x_n) \mapsto (x_1, \dots, x_{n-2}, -x_{n-1}, -x_n)$

It can then be shown that  $W(D_n)$  is the group of permutations of  $n$  letters and even number of sign changes.  $\Rightarrow |W(D_n)| = 2^{n-1} \cdot n!$

We have the exact sequence  $1 \rightarrow (\mathbb{Z}/2)^{n-1} \rightarrow W(D_n) \rightarrow S_n \rightarrow 1$  (forgetting about the sign changes!) The sequence splits since it contains a subgroup  $W(A_{n-1}) \cong S_n$ .

(Later we will study a slightly better group  $G$ :  $1 \rightarrow (\mathbb{Z}/2)^n \rightarrow G \rightarrow S_n \rightarrow 1$ . which is the Weyl group of the  $B_n$  diagram: :  $B_n$  Dynkin diagram.)

Prop.  $W(I')$  is finite.

Pf: Let  $\mathbb{R}^{I'}$  be the inner product space associated with  $I'$ , and  $\Lambda = \mathbb{Z}e_1 \oplus \dots \oplus \mathbb{Z}e_n$  the integral lattice spanned by  $e_1, \dots, e_n$ . Then  $\Lambda$  is preserved by the actions of  $W$ :

$$e_j \mapsto e_j - (e_i \cdot e_j)e_i, (e_i \cdot e_j) \in \mathbb{Z}.$$

Moreover,  $W$  is a group of isometries, thus preserves vectors of length  $z = (e_i \cdot e_i)$ .

However, there are only finitely many length  $z$  vectors in the lattice.

Now,  $W \curvearrowright \{wce_1, e_2, \dots, e_n\}$  transitively, with stabilizer  $\{e\} \Rightarrow |W| < \infty$ .  $\square$

- Real and Quaternionic Representations.

Recall that dim of an irrep divides  $|G|$  (over  $\mathbb{C}$ , not true for  $\mathbb{R}$ )

If  $V$  is an irrep of  $G/\mathbb{R}$ ,  $\text{End}_{\mathbb{R}}(\mathbb{R})$  is a division algebra over  $\mathbb{R}$

Thm.  $\mathbb{R}, \mathbb{C}, \mathbb{H}$  are the only finite dimensional division algebras over  $\mathbb{R}$ .

$$\text{End}_G(V) = \begin{cases} \mathbb{R} & : \text{real rep} \\ \mathbb{C} & : \text{complex rep} \\ \mathbb{H} & : \text{quaternion rep} \end{cases}$$

E.g.

- (1). real rep's : trivial rep's of any  $G$  : any rep's of  $S_n$ .
- (2). complex rep's :  $\mathbb{Z}/n \curvearrowright \mathbb{R}^2$  by rotation
- (3). quaternion rep's :  $G \subseteq \text{SU}(2) \hookrightarrow \mathbb{H}^* \curvearrowright \mathbb{H} \cong \mathbb{R}^4$ , acting by left multiplication.  
It commutes with right multiplication by elements of  $\mathbb{H}$ .  
If  $G$  large, say, containing  $Q_8 = \{1, \pm i, \pm j, \pm k\}$ , then  
the rep must be quaternionic.

## §2. Lie Groups

- Definitions

$G$  is called a topological group if  $G$  is a group as well as a topological space, and these structures are compatible, i.e.

$$G \times G \rightarrow G \quad (g, h) \mapsto gh \quad ; \quad G \rightarrow G \quad g \mapsto g^{-1}$$

are continuous maps.

Ex.  $G$  is a topological group iff  $G \times G \rightarrow G$ ,  $(g, h) \mapsto gh$  is continuous.

Pf: " $\Leftarrow$ "  $G \rightarrow G \times G$  ( $g \mapsto (e, g)$ ) is continuous, thus the composition map

$G \rightarrow G \times G \rightarrow G$  ( $g \mapsto (e, g) \mapsto g^{-1}$  is continuous). Furthermore  $G \times G \rightarrow G \times G \rightarrow G$  ( $(g, h) \mapsto (g, h^{-1}) \mapsto g(h^{-1})^{-1} = gh$  is continuous).

" $\Rightarrow$ "  $G \times G \rightarrow G \times G \rightarrow G$ :  $(g, h) \mapsto (g, h^{-1}) \mapsto g \cdot h^{-1}$  is a composition of continuous maps.  $\square$

$L_g: G \rightarrow G$   $h \mapsto gh$ ;  $R_g: G \rightarrow G$ :  $h \mapsto hg$  are homeomorphisms.

Moreover the conjugation map  $G \times G \rightarrow G$   $(g, h) \mapsto ghg^{-1}$  is a continuous map.

E.g.

i).  $G$  is discrete with discrete topology (every set is open)

ii).  $G$  indiscrete.  $\{\bar{1}\} \subseteq G$  is then a closed normal subgroup of  $G$ , and  $G/\{\bar{1}\}$  is Hausdorff. More generally,  $H$  a subgroup of  $G \Rightarrow \bar{H}$  is a closed subgroup of  $G$ .  $\bar{H} = H \Rightarrow G/H$  is a Hausdorff space.

Prop:  $H \subseteq G$  locally closed, then  $H$  is closed.

Pf: "locally closed" means  $\forall h \in H \exists U \subseteq G$  open and  $U \cap H$  is closed in  $U$ .

Take  $\bar{H} \subseteq G \Rightarrow \bar{H} = \bigcup Hg_i$   $g_i \in \bar{H}$ . Furthermore  $Hg_i \cong H$  homeomorphic by  $R_{g_i}$   $\Rightarrow R_{g_i}(\bar{H}) = \bar{H} \cdot g_i = \overline{Hg_i}$ . But since  $R_{g_i}$  is also a homeomorphism of  $\bar{H}$ , thus  $\bar{H} \cdot g_i = \bar{H}$  and  $Hg_i$  is dense in  $\bar{H}$ .

Next, since  $H$  is locally closed,  $H$  is open in  $\bar{H}$ , similarly for  $Hg_i$ . It follows that  $H \cap Hg_i \neq \emptyset \Rightarrow Hg_i = H$ ,  $\forall g_i \Rightarrow \bar{H} = H$ .  $\square$

For  $\mathbb{R}$ , closed subgroups are  $\{0\}$ ,  $\mathbb{R}$  or  $\mathbb{Z} \cdot a$ ,  $a > 0$ .

More examples are supplied by Lie groups. (e.g.  $GL_n(\mathbb{R})$ ,  $GL_n(\mathbb{C})$ ,  $O_n(\mathbb{R})$ , ...)

Profinite completions.

$G_1 \leftarrow G_2 \leftarrow G_3 \leftarrow \dots$   $\varphi_i : G_{i+1} \rightarrow G_i$ . We may assume  $\varphi_i$ 's are surjective

Then  $\varprojlim G_i$  is a topological group with profinite topology, the neighborhood of identity is given by sets of the form  $\{1, 1, \dots, 1, *, *, \dots\}$

Ex. 1)  $G$  is homeomorphic to the Cantor set.

2)  $G$  is a topological group, and totally disconnected.

Examples of profinite groups:  $\text{Gal}(\bar{F}/F)$  where  $F$  a field, with Krull topology.

$\text{Gal}(\bar{\mathbb{F}_q}/\mathbb{F}_q) = \hat{\mathbb{Z}}$  ( $G \rightarrow \hat{G}$ , completion of a group with respect to finite quotients)

$X$ : an algebraic variety,  $\pi_1(X)$  is then profinite.

- Lie groups

- Topological group with smooth manifold structure, s.t

$$G \times G \rightarrow G, g, h \mapsto gh; G \rightarrow G, g \mapsto g^{-1} \text{ are smooth.}$$

Prop.  $G$ : a Lie group.  $G_0$ : connected component of  $G$  containing  $1$ . Then  $G_0 \triangleleft G$  and  $G/G_0$  is discrete and  $1 \rightarrow G_0 \rightarrow G \rightarrow G/G_0 \rightarrow 1$ .  $G$  is the disjoint union:  $G = \coprod g_i G_0$   $g_i \in$  the  $i$ -th component of  $G$ .

Pf.  $G_0$  is normal since  $\forall g \in G, gG_0g^{-1}$  is connected, diffeomorphic to  $G_0$  and contains  $1 \Rightarrow gG_0g^{-1} = G_0$ . The other statements follow.  $\square$

### 3 Lie groups diffeomorphic to $S^1 \coprod S^1$

$SO(2) \times \mathbb{Z}/2$   $O(2)$  and  $O_2^*$  (These are the only 3 possibilities)

$$SU(2) \subseteq O_2^*$$

$$O_2^* : \quad \downarrow \quad \downarrow \quad \text{and } O_2^* = \left\{ \begin{pmatrix} e^{i\phi} & 0 \\ 0 & e^{i\phi} \end{pmatrix} \right\} \coprod \left\{ \begin{pmatrix} 0 & e^{i\phi} \\ -e^{i\phi} & 0 \end{pmatrix} \right\}$$

$$SO(3) \subseteq O(2)$$

Note that while  $1 \rightarrow SO(2) \rightarrow O(2) \rightarrow \mathbb{Z}/2 \rightarrow 1$  is split ( $-1 \mapsto \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ )

the sequence  $1 \rightarrow U(1) \rightarrow O_2^* \rightarrow \mathbb{Z}/2 \rightarrow 1$  is not. (there is only 1 order 2 element in  $SU(2)$ , namely  $-I_{2 \times 2}$ , but lies in  $U(1)$ )

The McKay graph can be extended to infinite closed subgroups of  $SU(2)$

$U(1)$ :  Each irrep of the form  $z \mapsto z^n \in GL(1, \mathbb{C})$

$O^*(2)$ : 

$SU(2)$ :  1 irrep in each positive dim:  $V \otimes S^n V \cong S^{n+1} V \oplus S^{n-1} V$   
 $S^n V$  irreducible of dim =  $n+1$

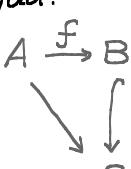
Prop: The universal cover  $\tilde{G}_0$  of a connected Lie group  $G_0$  has a natural Lie group structure.  $\square$

E.g.  $\tilde{SO}(2) = \mathbb{R}$ ,  $\tilde{SO}(3) = SU(2)$ . In general,  $\pi_1(SO(n)) = \mathbb{Z}/2$  ( $n \geq 3$ ) and the universal double cover of  $SO(n)$  is  $Spin(n)$ .  $Spin(n)$  has faithful  $2^{\frac{n-1}{2}}$  representations.

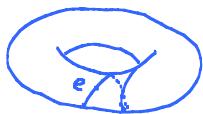
$G \xrightarrow{Lg} G$ : left multiplication by an element has no fixed point, by Lefschetz fixed point theorem, if we take  $g \sim 1$ .

Prop:  $G$  compact, non-discrete  $\Rightarrow \chi(G) = 0$   $\square$

Def:  $H \subseteq G$  to a Lie subgroup if it is both a subgroup and a submanifold.

$H \times H \xrightarrow{m} H$   $\Rightarrow m$  smooth into  $H$ . In general, if  $A, B, C$  are smooth manifolds and  $f(A) \subseteq B$ ,  $B$  a submanifold of  $C$  implies  $A \rightarrow B$  is smooth. 

Rmk: Any closed subgroup of a Lie group is a Lie subgroup.



$t \mapsto (e^{2\pi i t}, e^{2\pi i \alpha t})$ ,  $\alpha$  irrational is a subgroup of  $T^2$  which is not closed.

E.g.

- 1.  $GL_n(\mathbb{R})$  and  $GL_n(\mathbb{C})$  are Lie groups
- 2.  $G, H$  Lie groups  $\Rightarrow G \times H$  is a Lie group

3). Classical groups.  $GL(n)$ ,  $SL(n)$ ,  $O(n)$ ,  $U(n)$ ,  $SU(n)$ ,  $Sp(n)$  etc.

Prop. A normal discrete subgroup of a connected Lie group is central.

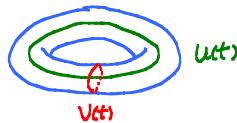
Pf:  $H \trianglelefteq G$  discrete, normal.  $\Rightarrow \forall g \sim 1$  (say in some small neighborhood  $U$  of 1)  
 $\Rightarrow ghg^{-1} \in H$ ,  $ghg^{-1} \sim h$ .  $\Rightarrow ghg^{-1} = h$ . This is true for all  $g$ , since  $\bigcup_{n \in \mathbb{Z}} U^n = G$   
 $\Rightarrow h \in Z(G) \Rightarrow H \subseteq Z(G)$ .  $\square$

$GL_n(\mathbb{Z}) \subseteq GL_n(\mathbb{R})$  discrete but not normal.

Cor.  $\pi_1(G)$  is abelian ( $G$  connected).

Pf: Take the universal cover  $\tilde{G}$  of  $G \Rightarrow 1 \rightarrow \pi_1(G) \rightarrow \tilde{G} \rightarrow G \rightarrow 1$   
 $\Rightarrow \pi_1(G) \subseteq Z(\tilde{G})$  since it's normal  $\Rightarrow \pi_1(G)$  abelian.

Another proof: Take  $\alpha(t), \beta(t) \in \pi_1(G) \Rightarrow p(t,s) = \alpha(t)\beta(s) : T^2 \rightarrow G$   
 $\Rightarrow \alpha(t) = P_*(\nu(t)) \quad \beta(t) = P_*(\nu(t))$  and  $\pi_1(T^2)$  is abelian.



$\square$

Ex.  $\pi_2(G) = 0$

$\pi_3(G) \cong \mathbb{Z}^n$  (torsion free)

$A \in \text{Mat}(n, \mathbb{R})$  (or  $\mathbb{C}$ ),  $\exp A \stackrel{\Delta}{=} \sum_{n=0}^{\infty} \frac{A^n}{n!}$  (the sum converges uniformly and absolutely)

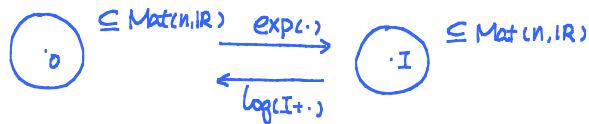
- $\bullet \exp(BAB^{-1}) = \sum_{n=0}^{\infty} \frac{(BAB^{-1})^n}{n!} = B \sum_{n=0}^{\infty} \frac{A^n}{n!} B^{-1} = B \exp A B^{-1}$

- $\bullet \exp(A+B) \neq \exp A \exp B$  unless  $AB = BA$ .

- $\bullet \exp A^t = (\exp A)^t, \quad \exp(-A) = (\exp A)^{-1}$

- $\bullet \exp: \text{Mat}(n, \mathbb{R}) \rightarrow \text{Mat}(n, \mathbb{R})$  is real analytic (smooth) and  $0 \mapsto I$

$d\exp_0 = \text{Id}_{\text{Mat}(n, \mathbb{R})} \Rightarrow \exp$  is a diffeomorphism from a neighborhood of 0 to a neighborhood of  $I$ . (Inverse:  $\log(I+B) = \sum_{k \geq 1} \frac{(-1)^{k-1}}{k} B^k$ )



$SL(n, \mathbb{R})$ ,  $SO(n, \mathbb{R})$ ,  $SL(n, \mathbb{C})$

- $\det e^A = e^{\text{tr} A}$ . In particular if  $\text{tr} A = 0$ ,  $\det e^A = 1$  and vice versa.

$$\begin{array}{ccc} \text{tr} = 0 & \xleftrightarrow{\exp \log} & \det = 1 \end{array}$$

For  $SO(n, \mathbb{R})$

$$AA^T = I \Rightarrow A = I + tB + O(t^2) \Rightarrow I = AA^T = I + t(B + B^T) + O(t^2)$$

$$\Rightarrow \frac{d}{dt}|_{t=0} : 0 = B + B^T$$

Conversely  $B = -B^T \Rightarrow (\exp B)^T \exp B = \exp B^T \exp B = \exp(-B) \exp B = I$ .

$$\begin{array}{ccc} B + B^T = 0 & \xleftrightarrow{\exp \log} & AA^T = I \end{array}$$

$U(n)$ ,  $SU(n)$  (taking log gives hermitian and traceless hermitian matrices)

$$Sp(n) \subseteq GL(n, \mathbb{H}) \quad A\bar{A}^t = I \quad ( \overline{a+bi+cj+dk} = a-bi-cj-dk )$$

taking log gives  $\{B \mid B + \bar{B}^t = 0, \text{ quaternionic matrices}\}$

In summary:

Group :	$GL(n, \mathbb{R})$	$SL(n, \mathbb{R})$	$O(n)/SO(n)$	$U(n)$	$SU(n)$	$Sp(n)$
Dimension :	$n^2$	$n^2-1$	$\frac{n(n-1)}{2}$	$n^2$	$n^2-1$	$2n^2+n$

Prop: All groups in the above table are Lie groups of that dimension.  $\square$

Prop:  $O(n)$ ,  $SO(n)$ ,  $U(n)$ ,  $SU(n)$ ,  $Sp(n)$  are compact Lie groups.

Pf:  $AA^* = I \Rightarrow \sum |a_{ij}|^2 = 1 \Rightarrow |a_{ij}| \leq 1 \Rightarrow$  These are bounded subsets of  $\text{Mat}(n)$ .

Furthermore, they are closed since they are defined by 0's of polynomials.  $\Rightarrow$  compactness.  $\square$

Thm. Any compact Lie group has a finite cover  $\tilde{G}$  s.t.  $\tilde{G} = T^n \times \prod_i G_i$ ,  $G_i$  among the list  $\{Sp(n) (n \geq 3), SU(n), Sp(n), E_6, E_7, E_8, F_4, G_2\}$ .  $\square$

Here  $O(n)$  ( $Sp(n)$ ) are symmetries of  $\mathbb{R}^n$ ,  $\langle x, y \rangle = \sum x_i y_i$   $x_i, y_i \in \mathbb{R}$

$SU(n)$  ( $U(n)$ ) are symmetries of  $\mathbb{C}^n$ .  $\langle x, y \rangle = \sum x_i \bar{y}_i$   $x_i, y_i \in \mathbb{C}$

$Sp(n)$  are symmetries of  $IH^n$ .  $\langle x, y \rangle = \sum x_i \bar{y}_i$   $x_i, y_i \in IH$

Exceptional Lie groups are symmetries associated with (10) : octonions.

- Vector fields on manifolds and Lie algebras

A smooth vector field on a manifold  $M$  is given locally in a coordinate chart  $U$  by  $\zeta = \sum_{i=1}^n a_i(x) \frac{\partial}{\partial x^i}$ , where  $U (\cong \mathbb{R}^n) \subseteq M$ .

We adopt Einstein's convention.

$$a^i(x) \frac{\partial}{\partial x^i} = a^i(x) \frac{\partial y^j}{\partial x^i} \frac{\partial}{\partial y^j} = b^j(y) \frac{\partial y^j}{\partial x^i} \frac{\partial}{\partial y^j} \Rightarrow b^j(y) = \frac{\partial y^j}{\partial x^i} a^i(x(y))$$

Write  $\vec{a} = \begin{pmatrix} a^1 \\ a^2 \\ \vdots \\ a^n \end{pmatrix}$   $\vec{b} = \begin{pmatrix} b^1 \\ b^2 \\ \vdots \\ b^n \end{pmatrix} \Rightarrow \vec{b} = \text{Jac} \cdot \vec{a}$ , where  $\text{Jac} = \begin{pmatrix} \frac{\partial y^1}{\partial x^1} & \cdots & \frac{\partial y^1}{\partial x^n} \\ \vdots & \ddots & \vdots \\ \frac{\partial y^n}{\partial x^1} & \cdots & \frac{\partial y^n}{\partial x^n} \end{pmatrix}$

Write  $\frac{\partial}{\partial x} = (\frac{\partial}{\partial x^1}, \dots, \frac{\partial}{\partial x^n})$ ,  $\frac{\partial}{\partial y} = (\frac{\partial}{\partial y^1}, \dots, \frac{\partial}{\partial y^n})$ . Then  $\frac{\partial}{\partial x} = \frac{\partial}{\partial y} \cdot \text{Jac}$ .

Vector fields act on smooth functions  $f \in C^\infty(M)$

$\zeta(f) \triangleq a^i(x) \frac{\partial f}{\partial x^i}(x)$ , well-defined and independent of coordinate systems.

$$\zeta: C^\infty(M) \rightarrow C^\infty(M)$$

i). (IR-linearity)  $\zeta(af + bg) = a\zeta(f) + b\zeta(g)$ ,  $a, b \in \mathbb{R}$ ,  $f, g \in C^\infty(M)$

ii). (Leibnitz rule)  $\zeta(fg) = g\zeta(f) + f\zeta(g)$

i.e.  $\zeta$  is a derivation of the algebra  $C^\infty(M)$

For any algebra  $A$  over  $\mathbb{k}$ , we can define  $\text{Der}(A) = \{\mathbb{k}\text{-linear derivations}\}$

$d \in \text{Der}(A)$ ,  $d: A \rightarrow A$  and  $d(ab) = da \cdot b + a \cdot db$ ,  $\forall a, b \in A$ .

$\text{Der}(A)$  is a  $\mathbb{k}$ -vector space and if  $d_1, d_2 \in \text{Der}(A) \Rightarrow [d_1, d_2] \in \text{Der}(A)$

$$\text{Pf: } [d_1, d_2](ab) = d_1(d_2(ab)) - d_2(d_1(ab))$$

$$= d_1(d_2a \cdot b + a \cdot d_2b) - d_2(d_1a \cdot b - a \cdot d_1b)$$

$$= d_1d_2a \cdot b + d_2a \cdot d_1b + d_1a \cdot d_2b + a \cdot d_1d_2b$$

$$- d_2d_1a \cdot b - d_1a \cdot d_2b - d_2a \cdot d_1b - a \cdot d_2d_1b$$

$$= [d_1, d_2]a \cdot b - a \cdot [d_1, d_2]b$$

]

Fact: Any derivation of  $C^\infty(M)$  comes from a vector field.

i.e.  $D \in \text{Der}(C^\infty(M)) \Leftrightarrow D(f) = \zeta(f)$  for a unique  $\zeta$

$\exists, \zeta$  vector fields,  $[\xi, \eta](f) \triangleq \xi\eta(f) - \eta\xi(f)$  is then a derivation.

In a coordinate chart  $\xi = a^i \partial_i$ ,  $\zeta = b^j \partial_j \Rightarrow [\xi, \zeta] = (a^i \frac{\partial b^j}{\partial x^i} - b^i \frac{\partial a^j}{\partial x^i}) \partial_j$

In particular,  $[\partial_i, \partial_j] = 0$

$\text{Vect}(M) = \text{all smooth vector fields on } M$ , an  $\mathbb{R}$ -vector space.

$$[ , ] : \text{Vect}(M) \times \text{Vect}(M) \longrightarrow \text{Vect}(M)$$

Def. A Lie algebra  $\mathfrak{g}$  over a field  $\mathbb{k}$  is a  $\mathbb{k}$ -vector space with a bilinear map  $[ , ]$  (Lie bracket) :  $\mathfrak{g} \times \mathfrak{g} \rightarrow \mathfrak{g}$  which satisfies

1). skew-symmetric :  $[\mathbf{a}, \mathbf{b}] = -[\mathbf{b}, \mathbf{a}] \quad \forall \mathbf{a}, \mathbf{b} \in \mathfrak{g} \quad \text{char } \mathbb{k} \neq 2$

2). Jacobi identity :  $[\mathbf{a}, [\mathbf{b}, \mathbf{c}]] + [\mathbf{b}, [\mathbf{c}, \mathbf{a}]] + [\mathbf{c}, [\mathbf{a}, \mathbf{b}]] = 0$

A Lie subalgebra  $\mathfrak{h} \subseteq \mathfrak{g}$  is a vector subspace closed under  $[ , ]$ .

### Examples

0).  $\text{Vect}(M)$ . (needs to check Jacobi's identity)

1).  $A$ : any associative algebra over  $\mathbb{k}$ . Then  $A$  can be made into a Lie algebra  $A^L$  by defining  $[\mathbf{a}, \mathbf{b}] \triangleq ab - ba$ . Jacobi's identity is verified by a direct calculation. For instance,  $A = \text{Mat}(n; \mathbb{k})$ ,  $A^L \triangleq \mathfrak{gl}(n, \mathbb{k}) = \text{Mat}(n, \mathbb{k})$ .  $\forall \mathbf{a}, \mathbf{b} \in \mathfrak{gl}(n, \mathbb{k})$ ,  $\text{tr}([\mathbf{a}, \mathbf{b}]) = 0$ . i.e.  $[\mathbf{a}, \mathbf{b}] \in \mathfrak{sl}(n, \mathbb{k}) = \{ \text{traceless matrices in } \text{Mat}(n, \mathbb{k}) \}$ . Thus  $\mathfrak{sl}(n, \mathbb{k})$  is a Lie subalgebra of  $\mathfrak{gl}(n, \mathbb{k})$ . If  $\text{char } \mathbb{k} + n$ ,  $\mathfrak{gl}(n, \mathbb{k}) = \mathfrak{sl}(n, \mathbb{k}) \oplus \mathbb{k}\mathbf{I}$ . But if  $\text{char } \mathbb{k} \mid n$  this is not true, since  $\text{tr}(\mathbf{a} \cdot \mathbf{I}) = n \cdot a = 0, \forall a \in \mathbb{k}$ , since  $\text{char } \mathbb{k} \mid n$ .

2).  $A$ : any algebra over  $\mathbb{k} \Rightarrow \text{Der}A$  is a Lie algebra.  
Thus  $A \rightsquigarrow \text{Der}(A) \hookrightarrow \text{End}_{\mathbb{k}}(A) = \mathfrak{gl}(A)$ . We don't need  $A$  to be associative or commutative, but only requires a product structure  $A \times A \rightarrow A$ .

For instance  $A = \textcircled{1}$  octonions,  $A \cong \mathbb{R}^8$ .  $\text{Der}(A) = G_2$  : an exceptional Lie algebra.

3). Classical Lie groups

Group	$\text{SL}(n)$	$\text{O}(n)/\text{SO}(n)$	$\text{U}(n)$	$\text{SU}(n)$	$\text{Sp}(n)$
$\text{Lie}(G)$	$\mathfrak{sl}(n)$ traceless $n \times n$ matrices	$\mathfrak{so}(n)$ traceless antisymmetric matrices	$\mathfrak{u}(n)$ antihermitian matrices	$\mathfrak{su}(n)$ traceless anti-hermitian matrices	$\mathfrak{sp}(n)$ quaternionic anti-hermitian matrices

Derivations / Vector fields are infinitesimal symmetries in the following sense

$A$ : a finite dimensional algebra over  $\mathbb{R}$ , ( $\mathbb{C}$ ), and  $D \in \text{Der}(A)$

Then  $\exp D$  is a well-defined invertible linear map with inverse  $\exp(-D)$ .

$\exp D \in \text{Aut}(A)$ , in the sense that  $\exp D(ab) = (\exp Da)b + a(\exp Db)$ .

$$\begin{aligned} \text{Pf: } \exp(D)(ab) &= \sum_{n=0}^{\infty} \frac{1}{n!} D^n(ab) = \sum_{n=0}^{\infty} \frac{1}{n!} \sum_{k=0}^n \binom{n}{k} (D^k a) \cdot (D^{n-k} b) \\ &= \sum_{n=0}^{\infty} \sum_{k=0}^n \frac{1}{k!} D^k a \frac{1}{(n-k)!} D^{n-k} b \\ &= \sum_{k=0}^{\infty} \frac{1}{k!} D^k a \sum_{m=0}^{\infty} \frac{1}{m!} D^m b \\ &= \exp(D)(a) \cdot \exp(D)(b). \end{aligned}$$

□

In this case  $D \mapsto a$  1-parameter group of automorphisms of  $A$ :  $\exp(tD)$ , since  $tD \in \text{Der}(A)$ ; and since  $[t_1 D, t_2 D] = 0 \Rightarrow \exp(t_1 D) \exp(t_2 D) = \exp((t_1 + t_2)D)$ . Hence  $\mathbb{R} \rightarrow \text{Aut}(A)$ ,  $t \mapsto \exp(tD)$  is a group homomorphism.

In general, the concept of  $\exp$  runs into trouble over finite fields  $\mathbb{F}_k$  or  $\dim A = \infty$ . thus it doesn't make sense to apply this definition.

E.g.  $a \in \text{Mat}(n, \mathbb{R})$ , then we can associate with  $a$  a derivation  $Da: \text{End}(\text{Mat}(n, \mathbb{R})) \cong \text{End}(n^2, \mathbb{R})$ :  $Da(b) \triangleq [a, b]$  (It's a derivation since  $[a, [b, c]] = [[a, b], c] + [b, [a, c]]$ ,  $\forall b, c \in \text{Mat}(n, \mathbb{R})$ , by Jacobi's identity).

Then  $(\exp Da) \in GL(n^2, \mathbb{R})$ , and

$$\begin{aligned} (\exp Da)(b) &= \sum_{n=0}^{\infty} \frac{1}{n!} D^n a(b) = b + [a, b] + \frac{1}{2} [a, [a, b]] + \dots \\ &= \sum_{n=0}^{\infty} \frac{1}{n!} \sum_{k=0}^n (-1)^{n-k} \binom{n}{k} a^k b a^{n-k} \\ &= \sum_{n=0}^{\infty} \sum_{k=0}^n \frac{(-1)^{n-k}}{k!(n-k)!} a^k b a^{n-k} \\ &= \sum_{k=0}^{\infty} \sum_{n=k}^{\infty} \frac{1}{k!} a^k \cdot b \cdot \frac{(-1)^{n-k}}{(n-k)!} a^{n-k} \\ &= \left( \sum_{k=0}^{\infty} \frac{1}{k!} a^k \right) b \left( \sum_{\ell=0}^{\infty} \frac{(-a)^{\ell}}{\ell!} \right) \\ &= \exp(a) b \exp(-a) \end{aligned}$$

Usually  $Da(b) \triangleq ad_a(b)$ ,  $\exp(a)b\exp(-a) = \text{Ad}(\exp(a))(b) \Rightarrow e^{ada} = \text{Ad}e^a$ .

Tangent vector v.s. vector fields

A tangent vector to  $M$  at  $p$  is a linear map  $\alpha: C^\infty(M) \rightarrow \mathbb{R}$  s.t.

$$\alpha(fg) = f(p)\alpha(g) + g(p)\alpha(f)$$

$T_p M \triangleq \{ \text{tangent vectors at } p \}$  is a vector space of  $\dim = \dim M$ .

$M \xrightarrow{\gamma} N$  smooth map,  $T_p M \xrightarrow{d\gamma_p} T_p N$  by the commutative diagram:

$$\begin{array}{ccc} \mathbb{R} & & \\ \uparrow \alpha & \nearrow d\gamma_p(\alpha) & \\ C^\infty(M) & \xleftarrow{\gamma^*} & C^\infty(N) \end{array}$$

If  $\gamma: M \rightarrow N$  is a diffeomorphism, then one can transfer anything on  $M$  to  $N$ , including vector fields.  $\xi \in \text{Vect}(M) \Rightarrow \gamma^*(\xi) \in \text{Vect}(N)$ .

$G$ : Lie group.  $\mathfrak{g} = T_1 G$ . If  $\alpha \in T_1 G$ , we can define a vector field on  $G$

$L_g: G \rightarrow G$  left translation  $\Rightarrow \xi_\alpha(g) = L_{g*}(\alpha)$ .  $\xi_\alpha$  is then left invariant.

Conversely, every left invariant vector field is defined by  $\xi_\alpha$  for some  $\alpha \in \mathfrak{g}$ .

Moreover, if  $\xi_\alpha, \xi_\beta$  are left invariant, then so is  $[\xi_\alpha, \xi_\beta]$ . Thus  $[\xi_\alpha, \xi_\beta] = \xi_\gamma$  for some  $\gamma \in \mathfrak{g}$ . Define  $[\alpha, \beta] \triangleq \gamma$ . Then  $\mathfrak{g}$  becomes a Lie algebra and  $\mathfrak{g} \subseteq \text{Vect}(G)$ .

Now since  $\mathfrak{g} = T_1 G$  depends only on a neighborhood of 1 in  $G$ . (since multiplication is continuous, if  $U$  is a neighborhood of 1, then  $\exists V$  a neighborhood of 1.  $V \subseteq U$ ,  $V = V^{-1}$  and  $V^2 \subseteq U$ ) Thus if  $\varphi: G_0 \rightarrow G$  is a homomorphism of Lie groups as well as a covering map  $\Rightarrow T_1 G_0 \cong T_1 G$ .

Thus if  $G$  is a Lie group.  $G_0$  its connected component containing 1.  $\tilde{G}_0$  the universal cover of  $G_0$ .  $T_1 G = T_1 G_0 \cong T_1 \tilde{G}_0$  ( $\cong \mathfrak{g}$ )

E.g.  $G = GL(n, \mathbb{R}) \subseteq \text{Mat}(n, \mathbb{R}) \cong \mathbb{R}^{n^2}$ .  $T_1 G = gl(n, \mathbb{R}) \cong \text{Mat}(n, \mathbb{R})$

We will see that the definition of  $[A, B] = AB - BA$  agrees with that of left invariant vector field.

$$A \in gl(n, \mathbb{R}) = \text{Mat}(n, \mathbb{R}) \Rightarrow \zeta_A(X) = X \cdot A, X \in GL(n, \mathbb{R})$$

$$\text{More precisely, } \zeta_A(X) = \sum_{i,j} X_{ik} A_{kj} \frac{\partial}{\partial x_{ij}}$$

$$\begin{aligned} \Rightarrow [\zeta_A, \zeta_B] &= [X_{ik} A_{kj} \frac{\partial}{\partial x_{ij}}, X_{lu} B_{uv} \frac{\partial}{\partial x_{lu}}] \\ &= A_{kj} B_{uv} (\frac{\partial}{\partial x_{ij}} X_{lu}) X_{ik} \frac{\partial}{\partial x_{lu}} - \frac{\partial}{\partial x_{lu}} (X_{ik} A_{kj}) X_{lu} \frac{\partial}{\partial x_{ij}} \\ &= A_{kj} B_{uv} (\delta_{iu} \delta_{jv} X_{lk} \frac{\partial}{\partial x_{lk}} - \delta_{ui} \delta_{vk} X_{lw} \frac{\partial}{\partial x_{lw}}) \\ &= A_{kj} B_{ju} X_{ik} \frac{\partial}{\partial x_{iu}} - A_{kj} B_{wk} X_{iw} \frac{\partial}{\partial x_{ij}} \\ &= A_{ku} B_{uj} X_{ik} \frac{\partial}{\partial x_{ij}} - A_{uj} B_{ku} X_{ik} \frac{\partial}{\partial x_{ij}} \end{aligned}$$

$$\begin{aligned} &= X_{ik} [A, B]_{kj} \partial_{ij} \\ &= \zeta_{[A, B]} \end{aligned}$$

□

### §3. Lie Algebras

The theory of Lie algebra works for more general fields than  $\mathbb{R}$  or  $\mathbb{C}$ .

$L$ : Lie algebra over a field  $\mathbb{k}$ ,  $\text{char}\mathbb{k}=0$ .

Def:  $L_1 \subseteq L$  is called a subalgebra if  $L_1$  is a subspace and  $[L_1, L_1] \subseteq L$ .  
 $I \subseteq L$  is called an ideal if  $[I, L] \subseteq I$ .

If  $I$  is an ideal,  $L/I$  is a Lie algebra :  $[a+I, b+I] \triangleq [a, b] + I$ .

We have a short exact sequence:  $0 \rightarrow I \rightarrow L \rightarrow L/I \rightarrow 0$

E.g.

- 1).  $L$  is called abelian if  $[L, L]=0$ , and any subspace  $I \subseteq L$  is an ideal.
- 2).  $a \in L$ ,  $\mathbb{k}a \subseteq L$  is an abelian subalgebra.
- 3).  $\dim L=2$ , choose  $x, y$  a basis of  $L$ .

$$[x, y] = 0 \Rightarrow L \text{ abelian}$$

$$[x, y] \neq 0 \Rightarrow [x, y] = ax+by. (\text{W.L.O.G assume } b \neq 0)$$

$$\Rightarrow [\frac{x}{b}, (ax+by)] = ax+by, \text{ let } y' = ax+by, x' = \frac{x}{b}.$$

$$\Rightarrow [x', y'] = y'$$

This is a Lie algebra (by checking the Jacobi's identity) :

$$[x, [x, y]] + [x, [y, x]] + [y, [x, x]] = [x, y] + [x, -y] + 0 = 0$$

$$[x, [y, y]] + [y, [y, x]] + [y, [x, y]] = 0 + [y, -y] + [y, y] = 0 + 0 + 0 = 0$$

The only ideals are  $0, \mathbb{k}y, L$ .

In fact if  $ax+by$  spans a nontrivial ideal  $\Rightarrow [ax+by, y] = \lambda(ax+by)$

$$\Rightarrow ay = \lambda ax + \lambda by \Rightarrow a = \lambda b \text{ and } \lambda a = 0 \Rightarrow a = 0.$$

4).  $\mathfrak{sl}(2, \mathbb{k})$  : traceless  $2 \times 2$  matrices

$$E = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, H = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, F = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \Rightarrow \mathfrak{sl}(2, \mathbb{k}) = \mathbb{k}E \oplus \mathbb{k}H \oplus \mathbb{k}F,$$

$$\text{and } [H, E] = 2E, [H, F] = -2F, [E, F] = H.$$

Thus  $\mathfrak{sl}(2, \mathbb{k})$  is a graded algebra, graded by the eigenvalue of  $\text{ad}(H)$  on  $\mathfrak{sl}(2, \mathbb{k})$

Claim:  $\mathfrak{sl}(2, \mathbb{k})$  has no non-trivial ideals other than  $0$  or itself.

In fact, take  $I \neq 0$  an ideal,  $0 \neq x \in I$ ,  $x = aE + bH + cF$

$$\text{If } c \neq 0, [E, [E, x]] = [E, -2bE + cH] = -2cE \in I$$

$$\Rightarrow E \in I \Rightarrow [E, F] = H \in I \Rightarrow [H, F] = -2F \in I \Rightarrow I = \mathfrak{sl}(2, \mathbb{k})$$

$$\begin{array}{c} \text{ad}E \begin{pmatrix} E \\ H \\ F \end{pmatrix} \text{ad}F \\ \begin{pmatrix} 2 \\ 0 \\ -2 \end{pmatrix} \end{array}$$

If  $c=0, b \neq 0 \Rightarrow [E, x] = -2bE \in I \Rightarrow E \in I \Rightarrow I = \mathfrak{sl}(2, \mathbb{k})$ , as above

If  $b, c = 0 \Rightarrow E \in I \Rightarrow I = \mathfrak{sl}(2, \mathbb{k})$  as above.

Def. A Lie algebra  $L$  is called simple if  $\dim L > 1$  and  $0, L$  are the only ideals of  $L$ .

Thus,  $\mathfrak{sl}(2, \mathbb{k})$  is simple, in general  $\mathfrak{sl}(n, \mathbb{k})$  is simple ( $n > 1$ ), by using a generalized argument as above.

Ideals in  $L$ :

Note that  $[L, L]$  is an ideal of  $L$  (by Jacobi's identity). Thus if  $L$  is simple  $[L, L] = L$  (simple  $\Rightarrow$  non-abelian)

If  $\varphi: L \rightarrow L'$  is a linear map and  $[\varphi(x), \varphi(y)]_{L'} = \varphi([x, y]_L)$ , then  $\varphi$  is a homomorphism of Lie algebras and  $\ker \varphi$  is an ideal of  $L$ . Ideals of  $L$  are in 1-1 correspondence with epimorphisms of Lie algebras.

$Z(L) \triangleq \{x \in L \mid [x, L] = 0\}$  is an (abelian) ideal of  $L$ . Thus if  $L$  is simple  $Z(L) = 0$ . e.g.  $Z(\mathfrak{sl}(2, \mathbb{k})) = 0$ ,  $Z(\mathfrak{gl}(2, \mathbb{k})) = \mathbb{k}I$ , and  $\mathfrak{gl}(2, \mathbb{k}) = \mathfrak{sl}(2, \mathbb{k}) \oplus \mathbb{k}I$ .

If  $I, J$  are ideals, then so is  $I+J$  and  $[I, J]$  (by Jacobi's identity)

**Fact:** we cannot classify finite dimensional Lie algebras in general.

E.g.  $V, W$  vector spaces.  $\varphi: \Lambda^2 V \rightarrow W$  a surjective linear map.

Define  $L = V \oplus W$ , with  $[], []$  defined as follows:

$v_1, v_2 \in V, w_1, w_2 \in W$ .  $[v_1, v_2] = \varphi(v_1 \wedge v_2)$ ;  $[v_1, w_1] = 0$ ;  $[w_1, w_2] = 0$ .

Then  $W \subseteq Z(L)$ ,  $[L, L] \subseteq W$ ,  $[L, [L, L]] = 0$  (Jacobi is then automatic)

**Problem:** Classify such Lie algebras up to isomorphism, or equivalently, classify such  $\varphi$ 's upto the action of  $GL(V) \times GL(W)$

Choose a basis for  $V$  and  $W$  respectively.  $\varphi \in \text{Mat}(m, \frac{n(n-1)}{2})$  (if  $\frac{n(n-1)}{2} > m$ ,  $\varphi$  surj is then an open condition)

$\mathcal{U}(\varphi) \subseteq \text{Mat}(m, \frac{n(n-1)}{2}) / GL(n) \times GL(m)$  and  $\dim \mathcal{U}(\varphi) = m \cdot \frac{n(n-1)}{2} - n^2 - m^2$   
(If  $m=n$ , then  $\dim \mathcal{U}(\varphi) > 0$  if  $n=m>0$ !)

Solvable Lie algebra.

$$L \rightsquigarrow L^{(1)} = [L, L] \rightsquigarrow L^{(2)} = [L^{(1)}, L^{(1)}] \rightsquigarrow \dots \rightsquigarrow L^{(i+1)} = [L^{(i)}, L^{(i)}]$$

$L$  is called solvable if  $L^{(i)} = 0$  for some  $i$ .

E.g.

1). The Lie algebra in e.g. 2) above is solvable.

$$L = \mathbb{k}x \oplus \mathbb{k}y, [x, y] = y \Rightarrow L^{(1)} = [L, L] = \mathbb{k}y \text{ and } [L^{(1)}, L^{(1)}] = 0$$

2). The Lie algebra of all upper triangular matrices.

$$\mathfrak{t}(n, \mathbb{k}) \triangleq \left\{ M \in \text{Mat}(n, \mathbb{k}) \mid M = \begin{pmatrix} * & * & * & \vdots & * \\ * & * & * & \vdots & * \\ * & * & * & \vdots & * \\ \vdots & & & \ddots & * \end{pmatrix} \right\}$$

Properties:

1). If  $I \subseteq L$ , an ideal, is solvable and  $L/I$  is solvable  $\Rightarrow L$  is solvable.

$$\text{In fact, } (L/I)^{(i)} = 0 \Rightarrow L^{(i)} \subseteq I. \quad I^{(j)} = 0 \Rightarrow L^{(i+j)} \subseteq I^{(j)} = 0.$$

2). If  $I, J$  are solvable  $\Rightarrow I+J$  is solvable.

$$\text{In fact, } 0 \rightarrow I \rightarrow I+J \rightarrow J/I \cap J \rightarrow 0 \text{ and } I, J/I \cap J \text{ are solvable.}$$

3)  $\Rightarrow$  Any finite dimensional Lie algebra contains a unique maximal solvable ideal, called its radical, and  $\text{Rad}(L/\text{Rad}L) = 0$

Digression:  $A$ : a finite dimensional, associative algebra over  $\mathbb{k}$ .

The Jacobson radical of  $A$ :  $J \triangleq \cap \text{all maximal left ideals}$

= maximal nilpotent 2-sided ideal

$$\Rightarrow 0 \rightarrow J \rightarrow A \rightarrow A/J \rightarrow 0 \text{ and } A/J \text{ is semi-simple.}$$

$A$  is called semi-simple if  $J=0$ .

For example  $A = \mathbb{R}[G]$ ,  $|G| < \infty$ ,  $A$  is semi-simple.

Semi-simple  $A$ 's are direct sums of matrix algebras over  $\mathbb{k}$ -division algebras.

In Lie algebra cases, semi-simple Lie algebras can also be classified.

- Representations of Lie Algebras

$L$ : finite dimensional Lie algebra over  $\mathbb{k}$ .

In case  $L$  arises as the Lie algebra of a Lie group. Then a homomorphism  $G \rightarrow GL(V)$

$$\rightsquigarrow L = \text{Lie}(G) \rightarrow gl(V) \cong \text{Mat}(n, \mathbb{k})$$

Def: A rep. of  $L$  is a homomorphism  $L \rightarrow gl(V)$  of Lie algebras. Or equivalently  $L \otimes V \rightarrow V$ ,  $x \otimes v \mapsto xv$ ,  $[x, y] \otimes v \mapsto x(yv) - y(xv)$ . Note that  $xy$  is not necessarily an element of  $L$ .

We shall study the category of  $L$ -modules ( $L$ -rep's)

Basic properties: (compare with finite group representations)

1). Trivial rep:  $L \rightarrow 0 \subseteq gl(V)$ ,  $V \cong \mathbb{k}$   $x \cdot v = 0 \quad \forall x \in L, v \in V$ .

2).  $V \xrightarrow{\varphi} W$  a homomorphism of  $L$ -modules, i.e.  $\varphi$  commutes with  $L$ -actions.

$\varphi(x \cdot v) = x \cdot \varphi(v) \quad \forall v \in V$ . Then,  $\ker \varphi$ ,  $\text{Im } \varphi$  are  $L$ -submodules of  $V$  and  $W$  respectively.

3).  $0 \rightarrow V \rightarrow W$ , (submodule),  $W/V$  is an  $L$ -module.

4).  $V \oplus W$  is an  $L$ -module

5).  $V \otimes W$  is an  $L$ -module

In case of (Lie) groups,  $G \ni v \otimes w$ :  $g(v \otimes w) = gv \otimes gw$ . Now Lie algebra is an infinitesimal approximation of Lie groups (linear approximation), i.e.  $g = 1 + tx + O(t^2)$ , for some  $x \in L$ .

$$\begin{aligned} \Rightarrow (1 + tx + O(t^2))(v \otimes w) &= g(v \otimes w) = gv \otimes gw = (1 + tx + O(t^2))v \otimes (1 + tx + O(t^2))w \\ &= v \otimes w + t(xv \otimes w + v \otimes xw) + O(t^2) \end{aligned}$$

$$\Rightarrow x \cdot (v \otimes w) \triangleq xv \otimes w + v \otimes xw.$$

$$\begin{aligned} \text{This is well-defined, as it's easily checked that } [x, y](v \otimes w) &= ([x, y] \cdot v) \otimes w + v \otimes ([x, y] \cdot w) \\ [x, y](v \otimes w) &= x(y(v \otimes w)) - y(x(v \otimes w)) \\ &= x(yv \otimes w + v \otimes yw) - y(xv \otimes w + v \otimes xw) \\ &= xyv \otimes w + yv \otimes xw + xv \otimes yw + v \otimes xyw - yxv \otimes w - \cancel{xv \otimes yw} - \cancel{yv \otimes xw} - v \otimes yxw \\ &= ((xy - yx)v) \otimes w + v \otimes ((xy - yx)w) \\ &= ([x, y]v) \otimes w + v \otimes ([x, y]w) \end{aligned}$$

Moreover, we have canonical isomorphisms:  $U \otimes (V \otimes W) \cong (U \otimes V) \otimes W$ .

$$x \cdot (u \otimes v \otimes w) = xu \otimes v \otimes w + u \otimes xv \otimes w + u \otimes v \otimes xw$$

$$V \otimes \mathbb{k} \cong V \quad (\mathbb{k}: \text{trivial rep}): \quad x \cdot (v \otimes 1) = (x \cdot v) \otimes 1 + v \otimes x \cdot 1 = x \cdot v \otimes 1$$

$\varphi: V \otimes W \xrightarrow{\sim} W \otimes V \quad \varphi(v \otimes w) = w \otimes v$  is an intertwiner:

$$\varphi(x(v \otimes w)) = \varphi(xv \otimes w + v \otimes xw) = w \otimes xv + xw \otimes v = x(w \otimes v) = x \cdot (\varphi(v \otimes w))$$

6). Conjugate rep :  $V^* = \text{Hom}_{\mathbb{K}}(V, \mathbb{K})$   $f \in V^*$ . Again for group elements  $g \in G$ .

$$g = 1 + tx + O(t^2) \quad (g \cdot f)(v) = f(g^{-1}v) \Rightarrow ((1 + tx + O(t^2))f)(v) = f((1 - tx + O(t^2)) \cdot v) \\ \Rightarrow (x \cdot f)(v) \triangleq f(-xv) = -f(xv).$$

7). Adjoint rep:  $L \ni V = L : L \otimes L \rightarrow L$ ,  $x \otimes y \mapsto [x, y]$

or equivalently,  $L \rightarrow \text{End } L$ ,  $x \mapsto \text{ad } x$ ,  $(\text{ad } x)(y) = [x, y]$

It's a representation since  $[\text{ad } x, \text{ad } y] = \text{ad} [x, y]$ .

This is a consequence of Jacobi's identity:  $\forall z \in L$

$$[\text{ad } x, \text{ad } y](z) - \text{ad} [\text{ad } x, y](z) = [x, [y, z]] - [y, [x, z]] - [[x, y], z] \\ = [x, [y, z]] + [y, [z, x]] + [z, [x, y]] \\ = 0.$$

Subrep's of  $L$ :  $I \subseteq L$  is a subrep  $\Leftrightarrow [L, I] \subseteq I \Leftrightarrow I$  is an ideal of  $L$

E.g.  $L \cong \mathbb{K}x \oplus \mathbb{K}y$ ,  $[x, y] = y$ .  $I = \mathbb{K}y$

$$\Rightarrow 0 \rightarrow I \rightarrow L \rightarrow L/I \rightarrow 0$$

$I$  is a non-trivial 1-dim'l rep;  $L/I$  is the trivial rep of  $L$ .

This is a short exact sequence of  $L$ -modules, but not split, otherwise  $L$  would have a 1-dim'l center. As an  $L$ -module,  $L$  is reducible, but not completely reducible.

How to classify 1-dim'l rep's of  $L$ ?

$$V \cong \mathbb{K}v, x, y \in L \Rightarrow [x, y] \cdot v = x(yv) - y(xv) = 0 \Rightarrow [L, L] \cdot v = 0.$$

$\Rightarrow L/[L, L] \cong V$ . Thus 1-dim'l rep's of  $L \Leftrightarrow \text{Hom}_{\mathbb{K}}(L/[L, L], \mathbb{K})$

1-dim'l rep's are irreducible.

### • Universal Enveloping Algebra $U(L)$

Treat  $L$  as a vector space.  $T(L) = \bigoplus_{n=0}^{\infty} L^{\otimes n}$ , with multiplication  $V^{\otimes n} \times V^{\otimes m} \rightarrow V^{\otimes(n+m)}$   
 $(a, b) \mapsto a \otimes b$ .

$T(L)$  is an associative, non-commutative algebra. If  $\{x_1, \dots, x_n\}$  is a basis of  $L$ , then  $\{x_{i_1} \otimes \dots \otimes x_{i_k} \mid k \geq 0, 1 \leq i_j \leq n, \forall j\}$  is a basis of  $T(L)$ .

$U(L) \triangleq T(L)/I$ , where  $I$  is the two sided ideal generated by elements of the form  $x \otimes y - y \otimes x - [x, y]$ . Then if  $L$  is abelian,  $U(L) \cong S(L)$ , symmetric algebra over  $L$ .

$T(L) \cong lk\langle x_1, \dots, x_n \rangle$ . A  $T(L)$ -module is a  $lk$ -vector space with  $n$  endomorphisms on it. A  $L(L)$ -module must satisfy in addition:  $(xy - yx - [x, y]) \cdot v = 0$ .

An  $L$ -module is the same as a left  $L(L)$ -module:  $L(L) \times V \rightarrow V$

For an arbitrary ring  $A$  (non-commutative),  $A^{op}$  is the abelian group  $A$  with a new multiplication:  $a * b \triangleq b \cdot a$ .  $T(L) \cong T(L)^{op}$ :  $x \otimes y \mapsto (-y) \otimes (-x)$  (or  $x_{i_1} \otimes \dots \otimes x_{i_k} \mapsto (-1)^k x_{i_k} \otimes \dots \otimes x_{i_1}$ ) Moreover  $x \otimes y - y \otimes x - [x, y] \mapsto y \otimes x - x \otimes y + [x, y] \in I$   $\Rightarrow$  The isomorphism descends down to  $L(L) \cong L(L)^{op}$ . Moreover, for any ring  $A$   $A \cong A^{op} \Rightarrow \{ \text{left } A\text{-modules} \} \cong \{ \text{left } A^{op}\text{-modules} \} \cong \{ \text{right } A\text{-modules} \}$ .

Size of  $L(L)$ :

Take a basis of  $L$ :  $\{x_1, \dots, x_n\}$ , define an ordering of the basis:  $x_i < x_j$  if  $i < j$ . Then  $\{x_1^{a_1} \dots x_n^{a_n} \mid a_i \geq 0\}$  is a spanning set of  $L(L)$ .

Indeed, it suffices to check for elements of the form  $x_{i_1}^{a_{i_1}} \dots x_{i_k}^{a_{i_k}}$ . If it's like  $y x_j x_i z$ , then  $y x_j x_i z = y x_i x_j z + y [x_j, x_i] z$ , and we can change all  $x_j x_i$  into  $x_i x_j$ .

Thm (PBW)  $\{x_1^{a_1} \dots x_n^{a_n} \mid a_i \geq 0\}$  is a basis of  $L(L)$ .

Categorical Interpretation:

$$\begin{array}{ccc} \text{Cat}\{\text{Associative algebras}\} & \xrightleftharpoons[\text{U}(L) \hookrightarrow L]{A \mapsto A^{\text{Lie}}} & \text{Cat}\{\text{Lie algebras}\} \end{array}$$

$$\text{Then: } \text{Hom}_{\text{Alg}}(L(L), A) \cong \text{Hom}_{\text{LA}}(L, A^{\text{Lie}})$$

i.e. The universal enveloping algebra functor  $L$  is left adjoint to the "Lie" functor which is a forgetful functor. (any associative algebra has a natural Lie algebra structure, taking  $A^{\text{Lie}}$  "forgets" its associative algebra structure.)

Usually, free object functors are left adjoint to some forgetful functor.

## §4. Nilpotent and Solvable Lie Algebras

Goal: to classify all simple Lie algebras and their rep's.

Def: Derived series of  $L$ :  $L^{(1)} = [L, L]$ ,  $L^{(2)} = [L^{(1)}, L^{(1)}]$ , ...,  $L^{(k+1)} = [L^{(k)}, L^{(k)}]$ , ...

Lower central series of  $L$ :  $L^1 = [L, L]$ ,  $L^2 = [L, L^1]$ , ...,  $L^{k+1} = [L, L^k]$ , ...

$L$  is called solvable if  $L^{(k)} = 0$  for some  $k$ ; nilpotent if  $L^k = 0$  for some  $k$ .

By def, every nilpotent Lie algebra is solvable, but not conversely:

E.g.  $L = \mathbb{k}x \oplus \mathbb{k}y$ .  $L^{(1)} = L^1 = \mathbb{k}y$ ;  $L^{(2)} = 0$ .  $L^2 = L^3 = \dots = 0$ .

The Fact on page 29 shows that it's impossible to classify all nilpotent Lie algebras.

E.g.  $t(n) = L.A.$  of all upper triangular  $n \times n$  matrices is solvable but not nilpotent.

$t(n) = L.A.$  of all strict upper triangular matrices is nilpotent.

The above  $\mathbb{k}x \oplus \mathbb{k}y \subseteq t(2) \subseteq \mathfrak{gl}(2)$ , :  $x = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$      $y = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$

Prop. 1) If  $L$  is nilpotent, so is any subalgebra or quotient algebra.

2). If  $L$  is nilpotent  $\Rightarrow Z(L) \neq 0$

3). If  $L/Z(L)$  is nilpotent, so is  $L$ .

Pf: 1). is easy.

2). Take the last non-zero term of the lower central series:  $L^n \neq 0$ ,  $L^{n+1} = 0$

$$\Rightarrow [L, L^n] = 0 \Rightarrow 0 \neq L^n \subseteq Z(L).$$

3).  $(L/Z(L))^n = 0 \Rightarrow L^n \subseteq Z(L) \Rightarrow [L, L^n] = 0 \Rightarrow L^{n+1} = 0$ .  $\square$

Rmk: We see from 2) and 3) that a nilpotent Lie algebra is constructed from abelian Lie algebras by doing central extensions.

We have the notion of nilpotent groups too. If  $G$  is a  $p$ -group, then it's nilpotent: prove by letting  $G \curvearrowright G$  by conjugation, the isolated orbits are in  $Z(G)$  and  $|Z(G)| > 1$  and  $\equiv 0 \pmod p \Rightarrow Z(G)$  is non-trivial  $\Rightarrow G/Z(G)$  has non-trivial center since it's nilpotent ...

For the relation between  $p$ -groups and nilpotent matrices over  $\mathbb{F}_p$ , see Malcev.

Now  $\text{ad}: L \rightarrow \mathfrak{gl}(L)$  the adjoint representation. If  $Z(L) = 0$ ,  $\text{ad}$  is a

faithful rep:  $L \hookrightarrow gl(L)$ . In fact,  $\ker \text{ad} = Z(L)$

Thm. (Ado) Any finite dimensional Lie algebra is linear.

(For a proof, see Neretin, arxiv 2007, 2-page proof)

E.g.  $\mathfrak{sl}(2, \mathbb{C}) = \mathbb{C}\{E, H, F\}$ :  $[E, F] = H$ ,  $[H, E] = 2E$ ,  $[H, F] = -2F$

In the adjoint rep, since  $E = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ ,  $F = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$  are nilpotent matrices,  $\text{ad } E$ ,  $\text{ad } F$  must be nilpotent. Indeed, in the basis of  $\{E, H, F\}$

$$\text{ad } E: E \mapsto 0, H \mapsto -2E, F \mapsto H \Rightarrow \text{ad } E = \begin{pmatrix} 0 & -2 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$\text{ad } F: E \mapsto -H, H \mapsto 2F, F \mapsto 0 \Rightarrow \text{ad } F = \begin{pmatrix} 0 & 0 & 0 \\ -1 & 0 & 0 \\ 0 & 2 & 0 \end{pmatrix}$$

$$\text{ad } H: E \mapsto 2E, H \mapsto 0, F \mapsto -2F \Rightarrow \text{ad } H = \begin{pmatrix} 2 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -2 \end{pmatrix}$$

Note that  $H$  diagonalizable  $\Rightarrow \text{ad } H$  is also diagonalizable (semi-simple). In general  $A = \text{diag}(\lambda_1, \dots, \lambda_n) \Rightarrow \text{ad } A(E_{ij}) = (\lambda_i - \lambda_j)E_{ij}$  i.e.  $A$  semi-simple  $\Rightarrow \text{ad } A$  semi-simple. The converse is also true, i.e.  $\text{ad } A$  semi-simple  $\Rightarrow A$  is semi-simple. Indeed, in Jordan canonical form,  $A = \text{diag}(\lambda_1, \dots, \lambda_n) + N$  with  $N$  nilpotent  $\Rightarrow \text{ad } A = \text{ad } (\text{diag}) + \text{ad } N$ ,  $\text{ad } A$  s.s.  $\Rightarrow N=0$ .

Prop:  $X$  is ad-nilpotent iff  $X = \lambda I + y$  with  $y$  nilpotent.

Pf: Consider  $X \in gl(V) \otimes \bar{\mathbb{k}}$ ,  $X = \begin{pmatrix} J_1 & & \\ & \ddots & \\ & & J_k \end{pmatrix}$  in Jordan canonical form.

$$X = \begin{pmatrix} \lambda_1 & & & \\ & \ddots & & \\ & & \lambda_2 & \\ & \vdots & & \ddots \\ & & & \lambda_2 & \end{pmatrix} \Rightarrow \text{ad } X(E_{k,k+1}) = (\lambda_1 - \lambda_2)E_{k,k+1}$$

Thus  $\text{ad } X$  nilpotent  $\Rightarrow (\lambda_1 - \lambda_2)^k = 0 \Rightarrow \lambda_1 = \lambda_2$ . □

$L$  nilpotent  $\Rightarrow L^n = 0 \Leftrightarrow \forall x_1, \dots, x_{n-1}, y, [x_1, [\dots [x_{n-1}, y]]] = 0$

Take  $x_1 = \dots = x_{n-1} = x \Rightarrow (\text{ad } x)^{n-1}(y) = 0, \forall y \in L \Rightarrow \text{ad } x$  is a nilpotent operator on  $L$ .  $x \in L$  is called ad-nilpotent if  $\text{ad } x$  is a nilpotent operator on  $L$ .

E.g. Consider the Lie algebra of  $L = \left\{ \begin{pmatrix} \underbrace{A}_{k \times k} & \underbrace{B}_{n-k \times k} \\ 0 & C \end{pmatrix} \right\}_{n-k}^k$ , what's  $\text{Rad}(L)$ ?

By definition,  $0 \rightarrow \text{Rad}(L) \rightarrow L \rightarrow L/\text{Rad} \rightarrow 0$  is exact and  $L/\text{Rad} L$  is semi-simple. We can define a map:  $L \rightarrow \mathfrak{sl}(k) \times \mathfrak{sl}(n-k)$  by:

$$\begin{pmatrix} A & B \\ 0 & C \end{pmatrix} \mapsto (A - \frac{\text{tr} A}{k} \text{Id}_k, C - \frac{\text{tr} C}{n-k} \text{Id}_{n-k})$$

with kernel =  $\begin{pmatrix} \lambda \text{Id}_k & B \\ 0 & \mu \text{Id}_{n-k} \end{pmatrix}$  i.e.  $0 \rightarrow \ker \rightarrow L \rightarrow \mathfrak{sl}(k) \times \mathfrak{sl}(n-k) \rightarrow 0$  is exact with semi-simple quotient, the sequence splits as vector spaces (not as rep's of  $L$ )  
 $\Rightarrow \text{Rad } L = \begin{pmatrix} \lambda \text{Id} & B \\ 0 & \mu \text{Id} \end{pmatrix} \cong \mathbb{C} \oplus \mathbb{C} \oplus \{(0^*)\}$

This is also an example of  $\text{Rad}(L)$  being solvable but not nilpotent.

Rmk:  $0 \rightarrow \overset{s}{\text{Rad}} L \rightarrow L \xrightarrow{\text{split}} \overset{s.s.}{L} \rightarrow 0$  always splits as vector spaces. We call  $0 \rightarrow I \rightarrow L \xrightarrow{\text{split}} K \rightarrow 0$  with  $\text{pos} = \text{id}_k$  a split extension of  $K$  by  $I$ , in which case  $L \cong I \oplus K$ , but  $[K, I] \subseteq I$ , i.e.  $K \rightarrow \text{Der}(I) : k \mapsto dk$ , and  $dk[a, b]_I = [dka, b]_I + [a, dkb]_I$ . For example:

E.g.  $0 \rightarrow T \rightarrow \text{Iso}(\mathbb{R}^n) \xrightarrow{\text{split}} O(n) \rightarrow 1$  (split group extension.  $T$ : translations in  $\mathbb{R}^n$ ).  
 $\xrightarrow{\text{LA}}$   $0 \rightarrow t \rightarrow \text{iso}(\mathbb{R}^n) \xrightarrow{\text{split}} \text{so}(n) \rightarrow 1$

$$\begin{array}{c} \text{SII} \\ \mathbb{R}^n \end{array} \quad \begin{array}{c} \text{SII} \\ \left( \begin{array}{c|c} \text{so}(n) & \mathbb{R}^n \\ \hline 0 & 0 \end{array} \right) \end{array}$$

If  $L = \text{gl}(V)$ . Then the usual nilpotent matrices ( $x^n = 0$ ) is ad-nilpotent: Indeed, if  $y \in \text{gl}(V)$ ,  $(\text{ad}x)^{2n-1}y = \sum_{l=0}^{2n-1} (-1)^l x^{2n-1-l} y x^l$ , and at least one of  $2n-1-l$  or  $l \geq n$ .  $\Rightarrow$  the R.H.S. is 0  $\Rightarrow (\text{ad}x)^{2n-1} = 0$ .

But the converse is not true:  $X = I$ ,  $\text{ad}x = 0$  but  $X^n = I$ . And essentially this is the only counter-example due to the prop:  $\text{ad}x$  nilpotent  $\Leftrightarrow x = \lambda \text{Id} + y$ , with  $y$  nilpotent.

Now if  $K \subset L$  is an inclusion of Lie algebras.  $N_L(K) \cong \{x \in L \mid [x, K] \subseteq K\}$   
 $C_L(K) \cong \{x \in L \mid [x, K] = 0\}$ . By Jacobi's identity:  $[[x, y], k] = [[x, k], y] + [x, [y, k]]$ , both  $N_L(K)$  and  $C_L(K)$  are subalgebras of  $L$ .

Prop.  $L \subseteq \text{gl}(V)$ ,  $V \neq 0$ . If  $L$  consists of nilpotent endomorphisms of  $V$ , then  $L \cdot v = 0$  for some  $v \in V$ ,  $v \neq 0$ .

Pf: If  $L = \text{lk} \cdot x$ ,  $x$  nilpotent, then  $\exists n$  s.t.  $x^n \neq 0$ ,  $x^{n+1} = 0$ . Take any  $u \neq 0$  s.t.  $v = x^n \cdot u \neq 0$ , then  $x \cdot v = 0$ .

Now we prove by induction on  $\dim L$ . If  $K \subsetneq L$  is a proper subalgebra then  $K$  also consists of nilpotent endomorphisms, in particular,  $\text{ad}_{L/K} K$  is nilpotent. Thus by induction hypothesis, we may find  $z + K \in L/K$  s.t.  $[K, z] \subseteq K$ , i.e.  $z \in L$  is in  $N_L(K)$ , and  $K \subsetneq N_L(K)$ . Thus we may enlarge this nilpotent algebra until  $\dim(L/K) = 1$ , in which case  $K$  must be an ideal since  $[K, z] \subseteq K$ , and  $L = K \oplus \text{lk}v$  as vector spaces.

$K \subsetneq L \subseteq \text{gl}(V)$ ,  $\dim K = \dim L - 1$ . By induction hypothesis,  $\exists v \in \text{gl}(V)$  s.t.  $K \cdot v = 0$ . Let  $W = \{w \in V \mid K \cdot w = 0\}$ .  $W \neq 0$ . Moreover, we have

$$k \cdot z \cdot w = z \cdot kw + [k, z] \cdot w = 0 + 0 = 0 \text{ since } [k, z] \in K.$$

$\Rightarrow z \not\sim W$ . Also  $z$  is nilpotent  $\Rightarrow \exists 0 \neq v \in W$  s.t.  $z \cdot v = 0$ , since  $K \cdot v = 0$ , we have  $L \cdot v = 0$  □

Note that we have no assumption on the ground field  $\text{lk}$ . (algebraically closed or characteristic = 0 etc.)

Now if  $L \subseteq \text{gl}(V)$  consists of nilpotent matrices  $\Rightarrow \exists v \in V$ .  $L \cdot v = 0$ . Consider the action  $L \curvearrowright V/\text{lk}v$  is also by nilpotent endomorphisms  $\Rightarrow \exists v' \in V/\text{lk}v$  s.t.  $L \cdot v' = 0$  or equivalently,  $\exists v' \in V$ . s.t.  $L \cdot v' \subseteq \text{lk}v$ . By induction, we may obtain a basis  $\{v_1, \dots, v_n\}$  of  $V$  s.t.  $L$  acts by strictly upper triangular matrices in this basis. i.e.  $L \subseteq \text{to} \subseteq \text{gl}(n)$ . In particular,  $L$  is nilpotent.

Thm. (**Engel**) If all elements of  $L$  are nilpotent, then  $L$  is nilpotent.

Pf:  $L \xrightarrow{\text{ad}} \text{gl}(L)$  factors through  $L/Z(L) \xrightarrow{\text{ad}} \text{gl}(L)$ . By the previous proposition  $L/Z(L)$  is nilpotent. Thus so is  $L$  since it's a central extension of a nilpotent algebra. □

Rmk: As a representation, ( $L \hookrightarrow \text{gl}(V)$ ), we have  $0 \subsetneq V_1 \subsetneq V_2 \subsetneq \dots \subsetneq V_n = V$  and  $V_i = \text{lk}v_1 + \dots + \text{lk}v_n$ ,  $\dim V_i/V_{i-1} = 1$  and  $L \curvearrowright V_i/V_{i-1}$  trivially. (a composition

series of  $L$ -modules, and each neighboring quotients are trivial modules).

Similarly, we can prove:

Thm. Let  $L$  be a solvable subalgebra of  $\text{gl}(V)$ ,  $V \neq 0$  over an algebraically closed field. Then  $V$  contains a common eigen-vector for all endomorphisms in  $L$ .

i.e.  $\exists 0 \neq v \in V$ ,  $L \cdot v \subseteq \mathbb{k} \cdot v$ ,  $x \cdot v = \lambda(x)v$ ,  $\lambda: L \rightarrow \mathbb{k}$  a linear functional.

Rmk: As rep's.  $\mathbb{k}v \hookrightarrow V$  are inclusions of  $L$ -modules, with (possibly) non-trivial actions of  $L$  on  $\mathbb{k}v$ . Note that such 1-dim'l rep's are classified by  $(L/[L, L])^*$

Inductively, we may obtain a basis  $v_1, \dots, v_n$  of  $V$  and  $\lambda_1, \dots, \lambda_n \in (L/[L, L])^*$  s.t.  $L$  acts as  $\begin{pmatrix} \lambda_1 & & \\ 0 & \ddots & * \\ & 0 & \lambda_n \end{pmatrix}$ ,  $\forall x \in L$ . i.e. any finite dimensional rep of  $L$  has a filtration  $0 \leq V_1 \leq V_2 \leq \dots \leq V_n = V$ , with  $\dim V_i/V_{i-1} = 1$ ,  $V_i/V_{i-1}$  an irrep of  $L$ . (In particular, the adjoint rep of  $L$  is not irreducible; while if  $L$  is simple,  $L \xrightarrow{\text{ad}} \text{gl}(n)$  is irreducible.)

As a corollary of the thm, we have:

Thm. (*Lie*) A solvable subalgebra of  $\text{gl}(n, \mathbb{C})$  is conjugate to a subalgebra in  $\mathfrak{t}(n) \subseteq \text{gl}(n)$ .  $\square$

In particular  $L \subseteq \mathfrak{t}(n) \Rightarrow [L, L] \subseteq [\mathfrak{t}(n), \mathfrak{t}(n)] = \mathfrak{t}(n) \Rightarrow [L, L]$  is nilpotent.

Proof of thm.

Again by induction on  $\dim L$ .  $\dim L = 1$  is trivial. (abelian, alg. closed)  $K' = [L, L] \Rightarrow L/K'$  is abelian. take any preimage of a codim 1 subspace, say  $K$  of  $L$ , then  $L = K + \mathbb{k}z$ ,  $[z, K] \subseteq [L, L] \subseteq K \Rightarrow K$  is an ideal.

By induction hypothesis,  $\exists v \in V$  s.t.  $K \cdot v \subseteq \mathbb{C} \cdot v$ , and thus defines a linear functional  $\lambda: K \rightarrow \mathbb{C}$ ,  $x \cdot v = \lambda(x)v$ ,  $\forall x \in K$ .

Define  $W = \{w \mid x \cdot w = \lambda(x)w, \forall x \in K\}$ . Claim:  $z$  preserves  $W$ . Then take any eigen-vector of  $z$  in  $W$  suffices, which exists since  $\mathbb{k}$  algebraically closed.

Proof of claim: (from Humphreys)

$$\forall x \in K, x \cdot z w = z \cdot x w + [x, z] w = \lambda(x) \cdot z w + \lambda([x, z]) w.$$

It suffices to show that  $\lambda([x, z]) = 0$ .

Fix  $w \in W$ . Let  $n > 0$  be the smallest integer  $n > 0$  s.t.  $w, zw, \dots, z^{n-1}w$  are linearly dependent. Let  $W_i$  be the space spanned by  $w, zw, \dots, z^{i-1}w$ , ( $W_0 = 0$ )  
 $\forall x \in K, x \cdot W_i \subseteq W_i$ . Relative to this basis of  $W_n$ , any  $x \in K$  is represented by an upper triangular matrix, i.e. we can show by induction that.

$$x z^i w \equiv \lambda(x) z^i w \pmod{W_i}$$

$i=0$  is trivial.

$$\begin{aligned} \text{If } i < k \text{ is true } x z^k w &= z x z^{k-1} w + [x, z] z^{k-1} w \\ &= z(\lambda(x) z^{k-1} w + w_{k-1}) + [x, z] z^{k-1} w \quad (w_{k-1}, z^{k-1} w \in W_{k-1}) \\ &\equiv \lambda(x) z^k w \pmod{W_k} \end{aligned}$$

It follows that, as endomorphisms of  $W_n$ ,  $x \in K$  are all upper triangular with eigenvalue  $\lambda(x) \Rightarrow \text{tr}(x) = n \cdot \lambda(x)$ . Since  $x, z$  are both endomorphisms of  $W_n$   
 $\text{tr}([x, z]) = 0 \Rightarrow n \lambda([x, z]) = 0 \Rightarrow \lambda([x, z]) = 0$

□

### §5. Representation of $\mathfrak{sl}(2, \mathbb{C})$

$$\mathfrak{sl}(2, \mathbb{C}) = \mathbb{C}\{e, f, h\} \quad e = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \quad f = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \quad h = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$[e, f] = h, \quad [h, e] = 2e, \quad [h, f] = -2f.$$

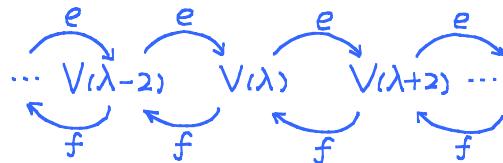
Let  $V$  be a finite dimensional rep of  $\mathfrak{sl}(2, \mathbb{C})$ .

Take an eigen-vector  $v$  of  $h$  with eigen-value  $\lambda \in \mathbb{C}$  (always possible over any algebraically closed field):  $hv = \lambda v$

$$\Rightarrow hev = ehu + [h, e]v = e(\lambda v) + 2ev = (\lambda + 2)ev$$

$$hfv = fhv + [h, f]v = fv(\lambda v) - 2fv = (\lambda - 2)fv.$$

i.e. if we define  $V(\lambda) \triangleq \{v \in V \mid hv = \lambda v\} \Rightarrow eV(\lambda) \subseteq V(\lambda+2), fV(\lambda) \subseteq V(\lambda-2)$



$$\bigoplus_{\lambda \in \mathbb{C}} V_\lambda \subseteq V. \quad \dim V < \infty \Rightarrow \text{only finitely many } V_\lambda \neq 0$$

Now, let  $V$  be an irrep of  $\mathfrak{sl}(2, \mathbb{C})$ . Take  $\lambda$  with the largest real part.

Then:  $V(\lambda) \neq 0, V(\lambda+2) = 0$ . Take  $v_0 \in V(\lambda)$ .  $ev_0 \in V(\lambda+2) \Rightarrow ev_0 = 0$

$$hv_0 = \lambda v_0. \quad \text{By PBW thm, } \mathcal{U}(\mathfrak{sl}(2, \mathbb{C})) \cong \mathbb{C}\langle f^i h^j e^k \rangle$$

$$\Rightarrow V = \mathcal{U}(\mathfrak{sl}(2, \mathbb{C})) \cdot v_0 = \mathbb{C}\langle f^k v_0 \rangle, k \in \{0, 1, 2, 3, \dots\}, \text{ by irreducibility of } V.$$

Define  $v_k \triangleq \frac{f^k v_0}{k!}$ . Then we have:

$$\text{Lemma: } hv_k = (\lambda - 2k)v_k, \quad fv_k = (k+1)v_{k+1}, \quad ev_k = (\lambda - k+1)v_{k-1}.$$

Pf: Only the last one is not by def. Proof by induction.

$$ev_1 = efv_0 = fev_0 + [ef]v_0 = hv_0 = \lambda v_0.$$

Suppose the hypothesis is true for  $< k$ . Now:

$$\begin{aligned} kev_k &= efv_{k-1} = fev_{k-1} + [ef]v_{k-1} = f(\lambda - k+2)v_{k-2} + hv_{k-1} \\ &= (\lambda - k+2)(k-1)v_{k-1} + (\lambda - 2k+2)v_{k-1} \quad (\text{by induction hypothesis}) \\ &= k(\lambda - k+1)v_{k-1} \\ \Rightarrow ev_k &= (\lambda - k+1)v_{k-1}. \end{aligned}$$

□

$V$  is finite dimensional  $\Rightarrow \exists m$  s.t.  $v_m \neq 0, v_{m+1} = 0$ .

$$\Rightarrow 0 = e v_{m+1} = (\lambda - m) v_m \Rightarrow \lambda = m, \text{ where } m \in \mathbb{N} \cup \{0\}.$$

$V$  irrep  $\Rightarrow V = \mathbb{C}\{v_0, \dots, v_m\}$  with  $v_m$  the lowest weight.

$v_m$ : an irrep of dim  $m+1$ , with basis  $\{v_0, \dots, v_m\}$ , with highest weight  $v_0$ . lowest  $v_m$ :  $h v_0 = m v_0, h v_m = -m v_0$

Moreover, any finite dimensional irrep  $V$  of  $\mathfrak{sl}(2, \mathbb{C}) \cong V_m$ ,  $m = \dim V - 1$ .

$m=0$ : the trivial rep.

$m=1$ : the defining rep of  $\mathfrak{sl}(2, \mathbb{C}) \cong \mathbb{C}^2$ . wgt vectors  $v_0 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, v_1 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$

$m=2$ : the adjoint rep of  $\mathfrak{sl}(2, \mathbb{C}) \cong \mathfrak{sl}(2, \mathbb{C}) \cong \mathbb{C}^3$ .

Note that the eigenspace decomposition of  $V_m$  is NOT canonical, and we may choose different basis of  $\mathfrak{sl}(2, \mathbb{C})$ , for instance.  $\{f, g, g^{-1}, f, g, g^{-1}\}$  for  $g \in \mathrm{SL}(2, \mathbb{C})$ .

Also note that for solvable Lie algebras, there may be uncountably many irreps in each dim:  $\dim 1 \text{ irrep} \Leftrightarrow (L/[L, L])^*$ . For instance,  $L = \mathbb{C}\{x\}$ .  $L/(L) = \mathbb{C}[x]$ ,  $1 \text{ dim'l irrep} \Leftrightarrow \mathbb{C}$ .

Thm. Any finite dim'l rep of  $\mathfrak{sl}(2, \mathbb{C})$  is completely reducible.

Reminder:  $A$ : a ring, rep's of  $A$  are completely reducible

$$\Leftrightarrow A = \bigoplus_{i=1}^k \mathrm{Mat}(n_i, D_i) \quad D_i \text{ division algebras.}$$

Thus it's very rare to have complete reducibility. Moreover, many rings even don't have finite dim'l rep's:

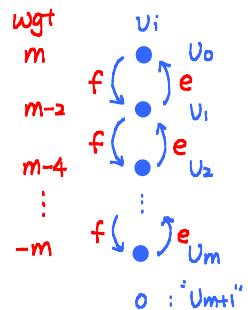
E.g. (First Weyl algebra):  $\mathbb{C}\langle x, \partial_x \rangle / \langle \partial_x \cdot x - x \partial_x - 1 \rangle$  has no non-0 finite dim'l rep's. Indeed,  $\dim V < \infty$  a rep  $\Rightarrow 0 = \mathrm{tr}(\partial_x \cdot x) - \mathrm{tr}(x \cdot \partial_x) = \mathrm{tr}(\partial_x \cdot x - x \cdot \partial_x) = \mathrm{tr}(1) = \dim V$ .

An infinite dim'l rep on  $\mathbb{C}[x]$ :  $\partial_x \cdot x^n = n x^{n-1}, x \cdot x^n = x^{n+1}$

In general, if  $A$  is a ring and  $L, L'$  are irreducible  $A$ -modules, then

$$0 \rightarrow L \rightarrow V \rightarrow L' \rightarrow 0 \quad (\text{s.e.s of } A\text{-modules})$$

need not split, i.e. there may be non-trivial extension of  $L'$  by  $L$ . (Compare with the following lemma).



Proof of Thm.

Lemma: If pairs of simple modules have only trivial extensions, then any finite length module is semi-simple.

(Recall that  $\text{length}(M) \triangleq$  the number of inclusions in a composition series.

$$0 = V^0 \subseteq V^1 \subseteq \cdots \subseteq V^n = V$$

Pf: By induction on the length  $n$  of the module.

$n=1$ . trivial.

Suppose the hypothesis is true for length  $\leq n$

$$\text{length}(V) = n+1 : \exists 0 = V^0 \subseteq V^1 \subseteq \cdots \subseteq V^n \subseteq V^{n+1} = V$$

Take  $V^n$ , which is of length  $n$ . By induction hypothesis  $V^n = \bigoplus_{i=1}^n L_i$ ,  $L_i$  simple.

$$\Rightarrow 0 \rightarrow \bigoplus_{i=1}^n L_i \rightarrow V \rightarrow W \rightarrow 0. \quad (*) \quad (L_i \text{ } W = V/V^n \text{ simple})$$

$$\text{mod } L_i \Rightarrow 0 \rightarrow \bigoplus_{i=2}^n L_i \rightarrow V/L_1 \rightarrow W \rightarrow 0.$$

Again by induction,  $V/L_1 = W \oplus \bigoplus_{i=2}^n L_i$ . Thus by considering  $V \xrightarrow{\text{pr}} V/L_1 \xrightarrow{\text{pr}} \bigoplus_{i=2}^n L_i$  and  $\bigoplus_{i=2}^n L_i \hookrightarrow V$ , we see that  $\bigoplus_{i=2}^n L_i$  is a direct summand of  $V$ . Choose a complementary subspace  $V'$  of  $V$ , then taking quotient of  $(*)$  by  $\bigoplus_{i=2}^n L_i$  gives

$$0 \rightarrow L_1 \rightarrow V' \rightarrow W \rightarrow 0. \quad W \text{ simple}$$

By assumption, we have  $V' \cong L_1 \oplus W \Rightarrow V \cong L_1 \oplus L_2 \oplus \cdots \oplus L_n \oplus W$ .  $\square$

Now, for finite dimensional  $\mathfrak{sl}(2, \mathbb{C})$ -modules (finite length), we will show that:

Lemma:  $0 \rightarrow V_n \rightarrow V \rightarrow V_m \rightarrow 0$  always splits as  $\mathfrak{sl}(2, \mathbb{C})$ -modules.

Pf:  $\Rightarrow 0 \rightarrow V_n \rightarrow V \xrightarrow{\Psi} V_0 \rightarrow 0$  always splits,  $\forall n$ .

Indeed, let  $V_0 = \mathbb{C}u$ .

If  $n$  is odd, then  $V_n$  contains no weight 0 vector for  $h$ . Take any preimage of  $u'$ , then by considering the weight vectors  $\{u_0, \dots, u_n\}$  of  $V_n$ , we obtain a basis  $\{u', u_0, \dots, u_n\}$  of  $V$  and  $\Psi(hu') = h\Psi(u') = 0 \Rightarrow hu' = \sum a_i u_i$

$\Rightarrow h(u' - \sum_{i=0}^n \frac{a_i}{(n-2i)} u_i) = 0$ . Let  $\tilde{u} = u' - \sum_{i=0}^n \frac{a_i}{(n-2i)} u_i$ , then  $h\tilde{u} = 0$ , and if  $e\tilde{u}$  or  $f\tilde{u}$  is not zero, then  $\Psi(e\tilde{u}) = e\Psi(\tilde{u}) = 0 \Rightarrow e\tilde{u} \in V_n$  and  $he\tilde{u} = eh\tilde{u} + [h, e]\tilde{u} = 2e\tilde{u} \Rightarrow V_n$  contains even weights  $\Rightarrow V_n$  contains the zero weight, contradiction.

$\Rightarrow e\tilde{u} = f\tilde{u} = h\tilde{u} = 0 \Rightarrow u \mapsto \tilde{u}$  is a splitting of  $\mathfrak{sl}(2, \mathbb{C})$ -modules.

In case  $n$  is even, take a preimage  $u'$  of  $u$  in  $V$  as before. Again consider the weight vectors  $\{v_0, \dots, v_{2k}\}$ ,  $2k=n$ . Since  $\psi(hu') = h\psi(u') = 0 \Rightarrow hu' \in V_n$  and  $hu' = \sum a_i v_i \Rightarrow h(u' - \sum_{i \neq k} \frac{a_i}{n-2i} v_i) = 0$ . Let  $u'' = u' - \sum_{i \neq k} \frac{a_i}{n-2i} v_i$ . Then similarly  $eu'' \in V_n$ , and  $heu'' = ehu'' + [h, e]u'' = 2eu''$ . Then there are two cases:

(i).  $eu'' \neq 0$ , then  $eu'' = \lambda v_{k+1} \Rightarrow e(u'' - \frac{\lambda}{k+1} v_k) = 0$ ,  $h(u'' - \frac{\lambda}{k+1} v_k) = 0$ . Then we let  $\tilde{u} = u'' - \frac{\lambda}{k+1} v_k$

(ii).  $eu'' = 0$ ,  $hu'' = 0$ . Let  $\tilde{u} = u''$ .

Claim:  $f\tilde{u}=0$ , and thus  $u \mapsto \tilde{u}$  defines a splitting of the s.e.s.

Indeed, if  $f\tilde{u} \neq 0$ . Then similar as in (i),  $f\tilde{u} = \lambda v_{k+1} \Rightarrow 0 \neq k\lambda v_k = e(\lambda v_{k+1}) = ef\tilde{u} = fe\tilde{u} + [e, f]\tilde{u} = 0 + h\tilde{u} = 0$ . Contradiction. Hence  $f\tilde{u}=0$ .

2). Now we prove that any s.e.s.  $0 \rightarrow U \rightarrow V \rightarrow V_0 \rightarrow 0$  splits by induction on  $\dim U$ . If  $U$  is irreducible, then i)  $\Rightarrow$  the claim is true. Otherwise,  $U \cong V_i$  for some  $i$ .  $\Rightarrow 0 \rightarrow U/V_i \rightarrow V/V_i \rightarrow V_0 \rightarrow 0$  is s.e. and splits by induction hypothesis.  $\Rightarrow V/V_i \cong V_0 \oplus U/V_i$ . Take the preimage  $V'_0$  of  $V_0$  in  $V$ , then we have a s.e.s:  $0 \rightarrow V_i \rightarrow V'_0 \rightarrow V_0 \rightarrow 0$ .  $V_i$  irrep  $\Rightarrow V'_0 \cong V_i \oplus V_0$  by i).  $\Rightarrow \frac{V}{V_i} \cong \frac{V'_0}{V_i} \oplus \frac{U}{V_i}$   $\Rightarrow V \cong U \oplus V_0$  since  $U \cap V'_0 = V_i$  implies  $U \cap V_0 = 0$ .

3). Consider  $0 \rightarrow V_n \rightarrow V \rightarrow V_m \rightarrow 0$  (\*)

Note that  $\text{Hom}_{\mathbb{C}}(V, V_n)$  is an  $\text{sl}(2, \mathbb{C})$ -module:  $x \in \text{sl}(2, \mathbb{C})$ ,  $f \in \text{Hom}_{\mathbb{C}}(V, V_n)$ ,  $v \in V$ , then  $(x \cdot f)(v) = x(f(v)) - f(x \cdot v)$

Let  $U \subseteq \text{Hom}_{\mathbb{C}}(V, V_n)$  be the subspace of maps which are multiples of the identity on  $V_n = \{f \in \text{Hom}(V, V_n) \mid f|_{V_n} = \lambda \text{Id}_{V_n}, \lambda \in \mathbb{C}\}$ .

We claim that  $U$  is a submodule of  $\text{Hom}_{\mathbb{C}}(V, V_n)$ .  $f \in U$ ,  $x \in \text{sl}(2, \mathbb{C})$ ,  $v \in V_n \Rightarrow (x \cdot f)(v) = x(f(v)) - f(x \cdot v) = x(\lambda v) - \lambda x v = 0$ . i.e.  $x: U \rightarrow U_0$  where  $U_0 = \{f \in U \mid f|_{V_n} = 0\}$ .

$\Rightarrow 0 \rightarrow U_0 \rightarrow U \rightarrow \mathbb{C} \rightarrow 0$  is exact.

By 2)  $\Rightarrow U \cong U_0 \oplus \mathbb{C}$ .

Take  $f \in \mathbb{C} \subseteq U$ ,  $f|_{V_n} = 1$ , then  $f$  serves as a splitting of the s.e.s. (\*)  
 $0 \rightarrow V_n \xleftarrow{f} V \rightarrow V_m \rightarrow 0$  □

Remark: One crucial step in the proof is that  $\mathfrak{sl}(2, \mathbb{C}) \curvearrowright \text{Hom}_{\mathbb{C}}(V, W) \cong V^* \otimes W$  or more generally any  $V \otimes W$  for  $V, W$   $\mathfrak{sl}(2, \mathbb{C})$ -modules. In general, if  $V, W$  are  $A$ -modules,  $V \otimes W$  is not a priori an  $A$ -module. If  $A \cong A^{\text{op}}$ , then  $V, W$  can be made into right  $A$ -modules:  $x \circ v \triangleq x^{\text{op}} \cdot v$

Combining the previous lemmas, we obtain the proof of the thm, stated again:

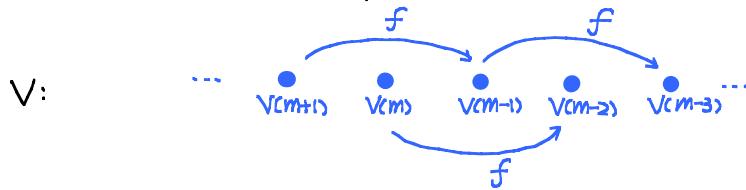
Thm  $\mathfrak{sl}(2, \mathbb{C})$ . 1)  $\mathfrak{sl}(2, \mathbb{C})$  has a unique irrep in each dimension  $n+1$ ,  $n=0, 1, 2, \dots$  denoted  $V_n$ , with highest weight  $n$ .

2) Any finite dimensional representation of  $\mathfrak{sl}(2, \mathbb{C})$  is completely reducible, i.e.

$\forall V$  an  $\mathfrak{sl}(2, \mathbb{C})$ -module,  $V \cong \bigoplus V_n^{\oplus a_i}$ .  $\square$

Remark: (base change). One cool thing about finite dimensional  $\mathfrak{sl}(2, \mathbb{C})$  rep's is that the eigen-values of  $h$  are in  $\mathbb{Z}$ . Consider any finite dimensional  $\mathfrak{sl}(2, \mathbb{Q})$  module  $V$ . Then  $V \otimes_{\mathbb{Q}} \mathbb{C}$  is an  $\mathfrak{sl}(2, \mathbb{C})$  module, and  $h$  acts diagonally with integer eigenvalues  $\Rightarrow V = \bigoplus_{n \in \mathbb{Z}} V_{(n)}$ ,  $h|V_{(n)} = n$ . and everything works as for  $\mathfrak{sl}(2, \mathbb{C})$ . Compare with  $L = \mathbb{Q}[x]$ ,  $L(L) \cong \mathbb{Q}[x]$ ,  $x$  is not always diagonalizable on finite dimensional (irreducible) modules!

Now take any  $\mathfrak{sl}(2, \mathbb{C})$ -module,  $V$  can be decomposed as weight spaces of  $h$ . Since  $V \cong \bigoplus V_n^{\oplus a_i}$  and  $V_n = \bigoplus_{m=-n}^n V_{n(m)}$ . Now



Take  $V_{(m)}$  to be of weight  $m$ , then  $f^m: V_{(m)} \xrightarrow{\sim} V_{(-m)}$  and  $e^m: V_{(-m)} \xrightarrow{\sim} V_{(m)}$  are isomorphisms, and  $f: V_{(m)} \rightarrow V_{(m-2)}$  is injective if  $m > 0$  (since  $ef(v_m) = m \cdot v_m$ ). Thus  $V_{(m-2)} = f \cdot V_{(m)} \oplus \text{kere } f$ ,  $\bigoplus_{k=0}^m f^k \text{kere } f$  is a submodule of  $V$ .

Graphically: (take  $V(N)$ ,  $N$  the highest weight)

$$\begin{array}{ccccccc}
 V(N) & \xrightleftharpoons[e]{f} & V(N-2) & \xrightleftharpoons[e]{f} & V(N-4) & \xrightleftharpoons[e]{f} & \dots \\
 \text{||s} & & \text{||s} & & \text{||s} & & \\
 V(N) & \longrightarrow & f(V(N)) & \longrightarrow & f^2(V(N)) & \longrightarrow & \dots \\
 & \oplus & & \oplus & & & \\
 \ker(e) & \longrightarrow & f(\ker(e)) & \longrightarrow & f^2(\ker(e)) & \longrightarrow & \dots \\
 & \oplus & & \oplus & & & \\
 \ker e & \longrightarrow & f(\ker e) & \longrightarrow & f^2(\ker e) & \longrightarrow & \dots \\
 & & & & & & \\
 & & & & \bigcup_{k=0}^N f^k(V(N)) & & \\
 & & & & \bigcup_{k=0}^{N-2} f^k(\ker e) & & \left. \right\} \text{submodules} \\
 & & & & \bigcup_{k=0}^{N-4} f^k(\ker e) & &
 \end{array}$$

Characters of  $\mathfrak{sl}(2, \mathbb{C})$ .

- $V$ : an  $\mathfrak{sl}(2, \mathbb{C})$ -module,  $\text{ch}(V) \triangleq \sum_{m \in \mathbb{Z}} \dim V(m) q^m \in \mathbb{Z}[q]$ .

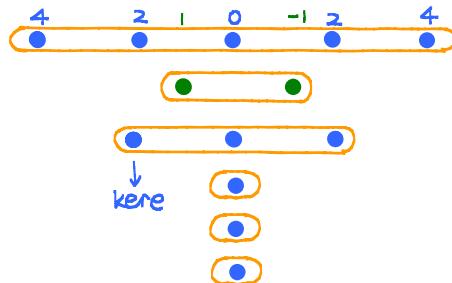
Basic properties of  $\text{ch}(V)$ :

- 1).  $\text{ch}(V_n) = q^n + q^{n-2} + \dots + q^{-n} = \frac{q^{n+1} - q^{-(n+1)}}{q - q^{-1}} \triangleq [n+1]$  (the "quantum  $n+1$ ", because its limit as  $q \rightarrow 1$  is  $(n+1)$ , i.e. a deformation of  $n+1$ )
  - 2). Since  $V(m) \cong V(-m)$ ,  $\text{ch}(V)(q) = \text{ch}(V)(q^{-1})$
  - 3).  $\text{ch}(V \oplus W) = \text{ch}(V) + \text{ch}(W)$
  - 4).  $\text{ch}(V \otimes W) = \text{ch}(V) \cdot \text{ch}(W)$ . This is because  $V \otimes W(m) = \sum_{k \in \mathbb{Z}} V(k) \otimes W(m-k)$ :
 
$$h(v_k \otimes w_{m-k}) = (hv_k) \otimes w_{m-k} + v_k \otimes hw_{m-k} = k \cdot v_k \otimes w_{m-k} + (m-k)v_k \otimes w_{m-k} = m v_k \otimes w_{m-k}.$$
  - 5). Up to isomorphism,  $V$  is uniquely determined by its character:  $\text{ch}(V) = \sum_{k \in \mathbb{Z}} a_k q^k$ .
- What's the multiplicity of  $V_m$  in  $V \cong \bigoplus V_m^{b_m}$

$$\begin{array}{ccccccc}
 \dots & \xrightleftharpoons[e]{f} & V(m+2) & \xrightleftharpoons[e]{f} & V(m) & \xrightleftharpoons[e]{f} & V(m-2) \xrightleftharpoons[e]{f} \dots \\
 & \dim=a_{m+2} & & \dim=a_m & & & \\
 & & \xrightleftharpoons[e]{f} & \xrightleftharpoons[e]{f} & & & \\
 & & V(m+1) & \xrightleftharpoons[e]{f} & V(m-1) & \xrightleftharpoons[e]{f} & \dots
 \end{array}$$

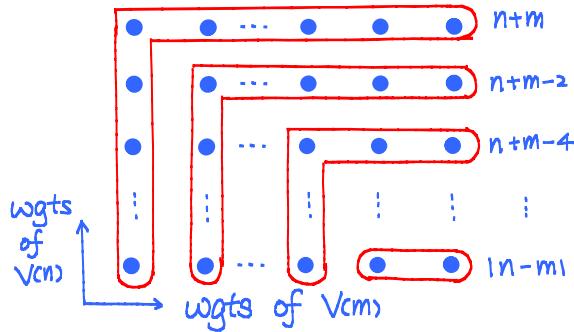
Then since  $V(m) = f(V(m+2)) \oplus \ker e \Rightarrow b_m = a_m - a_{m+2} = \dim \text{Hom}_{\mathfrak{sl}(2, \mathbb{C})}(V_m, V)$ .

E.g. Calculate the irrep's of  $V$ :  $\text{ch}(V) = q^4 + 2q^2 + q + 5 + q^{-1} + 2q^{-2} + q^{-4}$



Then  $V \cong V_4 \oplus V_2 \oplus V_1 \oplus V_0^3$

E.g. Decomposition of  $V_n \otimes V_m$



From the diagram, we see that  $V_n \otimes V_m \cong V_{n+m} \oplus V_{n+m-2} \oplus \dots \oplus V_{|n-m|}$

$V_k \leq V_n \otimes V_m \iff k+m+n \equiv 0 \pmod{2}$  and  $n, m, k$  satisfies the triangle inequality

$$\iff \mathbb{C} \hookrightarrow V_k^* \otimes V_n \otimes V_m \cong V_k \otimes V_n \otimes V_m;$$

$V_k \cong V_k^*$ : just flip the wghts:  $(V(m))^* = V^*(-m)$

$$\iff \text{Inv}(V_k \otimes V_n \otimes V_m) \triangleq \text{Hom}_{\text{Alg}(A)}(V_0, V_k \otimes V_n \otimes V_m) \neq 0$$

(and the multiplicity is at most 1).

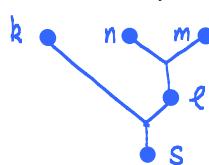
(In general we can also define  $\text{Inv}_G(V)$  ( $V^G \triangleq \text{Hom}_G(\mathbb{C}, V)$ ). But there is no such concept of invariants for arbitrary  $A$ -modules, since there need not be trivial rep)

If  $V_k \leq V_m \otimes V_n$ , then it's unique.  $U_j \in V_k(j) \mapsto \sum C_{jj''j'''} U_{j''} \otimes U_{j'''}$ , ( $C_{jj''j'''}$ ) are determined up to a scalar, which can be fixed by  $U_0 \mapsto U \in \text{kern} \subseteq V_m \otimes V_n(k)$

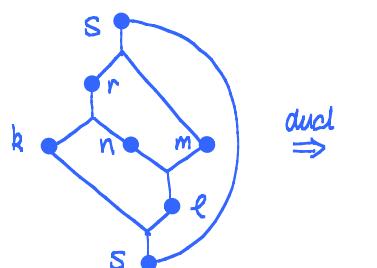
These numbers ( $j j' j''$ ), appearing in physics literature, are called 3j-symbols.

Furthermore, since  $V_k \otimes (V_n \otimes V_m) \cong (V_k \otimes V_n) \otimes V_m$  canonically, we have:

$$\begin{aligned} V_k \otimes (V_n \otimes V_m) &= \bigoplus_{\ell=m-n}^{m+n} V_k \otimes V_\ell = \bigoplus_{\ell, s} V_s \\ (V_k \otimes V_n) \otimes V_m &= \bigoplus_{r=n-k}^{n+k} V_r \otimes V_m = \bigoplus_{r, s} V_s \end{aligned} \quad \left. \right\} \Rightarrow (n, m, k, r, \ell, s) : 6j\text{-symbols}.$$



↙ glueing k, n, m, s

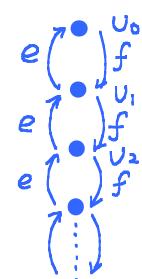


Ref: J. Roberts, Classical 6j-symbols and the tetrahedron)

The above decomposition also works over  $\mathbb{R}$ ,  $\mathbb{Q}$ , or any lk. charlk = 0.

Infinite dimensional rep's.

Take  $v_0$ , and let  $hv_0 = \lambda v_0$ .  $ev_0 = 0$ . Define  $v_k \triangleq \frac{f^k v_0}{k!}$ . Then by a previous lemma,  $hv_k = (\lambda - 2k)v_k$ ,  $f v_k = (k+1)v_{k+1}$ ,  $ev_k = (\lambda - k+1)v_{k-1}$ .  
 $M_\lambda \triangleq \bigoplus_{k=0}^{\infty} \mathbb{C} v_k$ : the Verma module with H.W.  $\lambda$ .



Lemma:  $M_\lambda$  is irreducible for  $\lambda \in \mathbb{C} \setminus \mathbb{Z}_{\geq 0}$

Pf: By def.  $M_\lambda = \bigoplus_{\mu \in \lambda - 2\mathbb{Z}_{\geq 0}} M_\lambda(\mu)$ . If  $N \subset M_\lambda$  is a submodule, take  $v \in N$ ,  $v = \sum_{i=1}^n v_i$ ,  $v_i \in M_\lambda(\mu_i)$ . Apply  $h$ ,  $h^2$ , ...,  $h^{n-1}$ , we obtain

$$v_1 + \dots + v_n \in N$$

$$\mu_1 v_1 + \dots + \mu_n v_n \in N$$

⋮

$$\mu_1^{n-1} v_1 + \dots + \mu_n^{n-1} v_n \in N$$

But the matrix  $\begin{pmatrix} 1 & 1 & \dots & 1 \\ \mu_1 & \mu_2 & \dots & \mu_n \\ \vdots & \vdots & & \vdots \\ \mu_1^{n-1} & \mu_2^{n-1} & \dots & \mu_n^{n-1} \end{pmatrix}$  is invertible since  $\mu_i \neq \mu_j$

$\Rightarrow v_i \in N$ . Apply  $e$  enough times, we obtain  $v_0 \in N$ , unless  $e \cdot v_{m+1} = 0$  for some  $m$ , in which case  $\lambda = m \in \mathbb{N}$ . Furthermore, if  $\lambda = m \in \mathbb{N}$ , then consider

$$0 \rightarrow M_{-m-2} \rightarrow M_m \quad u_0 \mapsto v_{m+1} \quad u_1 \mapsto (\dots) v_{m+2}, \dots$$

The quotient is the finite dimensional irrep  $V_m$ .  $M_{-m-2}$  is irred since  $-m-2 \notin \mathbb{Z}_{\geq 0}$

i.e.  $0 \rightarrow M_{-m-2} \xrightarrow{i} M_m \rightarrow V_m \rightarrow 0$  s.e.s. of  $\mathfrak{sl}(2, \mathbb{C})$ -modules, where the inclusion is given by  $i: u_{k-1} \mapsto \frac{(m+k)!}{(k-1)!} v_{m+k}$ , which is an  $\mathfrak{sl}(2, \mathbb{C})$ -module homomorphism:

$$\begin{aligned} i(eu_k) &= i(-(m+k+1)u_{k-1}) = -(m+k+1) \frac{(m+k)!}{(k-1)!} v_{m+k} = -\frac{(m+k+1)!}{(k-1)!} v_{m+k} = -\frac{(m+k+1)!}{(k-1)!} (-\frac{1}{k}) e v_{m+k+1} \\ &= e \frac{(m+k+1)!}{k!} v_{m+k+1} = e i(u_k); \end{aligned}$$

$$\begin{aligned} i(fu_k) &= i((k+1)u_{k+1}) = (k+1) \frac{(m+k+2)!}{(k+1)!} v_{m+k+2} = (k+1) \frac{(m+k+2)!}{(k+1)!} \frac{1}{(m+k+2)!} f v_{m+k+1} = f \left( \frac{(m+k+1)!}{k!} v_{m+k+1} \right) \\ &= f i(u_k); \end{aligned}$$

$$i(hu_k) = i((-m-2-2k)u_k) = (-m-2-2k) \frac{(m+k+1)!}{k!} v_{m+k+1} = \frac{(m+k+1)!}{k!} h v_{m+k+1} = h i(u_k).$$

□

In general, to construct  $M_\lambda$  for any simple Lie algebra  $L$ , consider  $L = L_1 \oplus L_2$ , sum of subalgebras, not necessarily ideals.

E.g.  $L = \mathfrak{gl}(n) = \text{Upper triangular matrices} \oplus \text{Strictly lower triangular matrices.}$

Take a basis of  $L_1 : \{v_1, \dots, v_n\}$ ,  $L_2 : \{w_1, \dots, w_m\}$

$$\text{PBW} \Rightarrow \mathcal{U}(L) \cong \mathbb{C}\{v_1^{a_1} \dots v_n^{a_n} w_1^{b_1} \dots w_m^{b_m} \mid a_i, b_j \geq 0\}$$

$$\mathcal{U}(L_1) \cong \mathbb{C}\{v_1^{a_1} \dots v_n^{a_n}\} \quad \mathcal{U}(L_2) = \mathbb{C}\{w_1^{b_1} \dots w_m^{b_m}\}.$$

$\Rightarrow \mathcal{U}(L) \cong \mathcal{U}(L_1) \otimes_{\mathbb{C}} \mathcal{U}(L_2)$ , the isomorphism being a bimodule isomorphism: as a left  $\mathcal{U}(L_1)$ -module and a right  $\mathcal{U}(L_2)$ -module.

Now take a rep of  $\mathcal{U}(L_2)$ , then  $\text{Ind}_{L_2}^L(V) \cong \mathcal{U}(L) \otimes_{\mathcal{U}(L_2)} V$ . Its size can be seen via  $\mathcal{U}(L) \otimes_{\mathcal{U}(L_2)} V \cong (\mathcal{U}(L_1) \otimes_{\mathbb{C}} \mathcal{U}(L_2)) \otimes_{\mathcal{U}(L_2)} V \cong \mathcal{U}(L_1) \otimes_{\mathbb{C}} V$  (as  $\mathcal{U}(L_1)$ -modules).

E.g.  $\mathfrak{sl}(2, \mathbb{C}) = n_- \oplus b_+$

$n_-$ : strictly lower triangular matrices =  $\mathbb{C}\langle f \rangle$

$b_+$ : traceless upper triangular matrices =  $\mathbb{C}\langle e, h \rangle$

Take the  $\mathcal{U}(b_+)$ -module  $V = \mathbb{C}v_0$ ,  $e \cdot v_0 = 0$ ,  $h \cdot v_0 = \lambda v_0$

$\Rightarrow \text{Ind}_{b_+}^{\mathfrak{sl}(2, \mathbb{C})}(V) = \mathcal{U}(n_-) \otimes \mathcal{U}(b_+) \otimes_{\mathcal{U}(b_+)} V \cong \mathcal{U}(n_-) \otimes_{\mathbb{C}} v_0 \cong \mathbb{C}[f] \cdot v_0$  as  $\mathcal{U}(n_-)$ -modules.

$\Rightarrow M(\lambda) = \text{Ind}_{b_+}^{\mathfrak{sl}(2, \mathbb{C})}(V)$ .

Consider the s.e.s. and its dual:

$$0 \rightarrow M_{-n-2} \rightarrow M_n \rightarrow V_n \rightarrow 0 \xrightarrow{*} 0 \rightarrow V_n^* \rightarrow M_n^* \rightarrow M_{-n-2}^* \rightarrow 0$$

i.e. we change the highest weight modules to lowest wgt modules, and  $V_n \cong V_n^*$ .

There is also another way around: let  $\mathfrak{sl}(2, \mathbb{C})$  act by  $h' \cdot v = -hv$ ,  $e' \cdot v = -fv$ ,  $f' \cdot v = -ev$  (i.e. by first composing with a Cartan involution of  $\mathfrak{sl}(2, \mathbb{C})$ )

$\Rightarrow M_n \xrightarrow{*} M_n^* \rightarrow M_n'$  ("twisted action")

$\Rightarrow 0 \rightarrow V_n \rightarrow M_n' \rightarrow M_{-n-2}' \rightarrow 0$  ( $M_{-n-2}' \cong M_{-n-2}$ , since  $M_{-n-2}$  is simple>)

Casimir element.

In  $\mathfrak{U}(\mathfrak{sl}(2, \mathbb{C}))$ , define  $c = ef + fe + \frac{1}{2}h^2$ .

Lemma:  $c \in Z(\mathfrak{U}(\mathfrak{sl}(2, \mathbb{C})))$ .

Pf: It's enough to check for  $[h, c] = 0$ ,  $[e, c] = 0$ ,  $[f, c] = 0$

$$\begin{aligned}[h, c] &= [h, ef] + [h, fe] = [h, e]f + e[h, f] + f[h, e] + [h, f]e \\ &= 2ef - 2fe + 2fe - 2fe = 0\end{aligned}$$

$$\begin{aligned}[e, c] &= [e, ef] + [e, fe] + \frac{1}{2}[e, h^2] = e[ef] + [e, f]e + \frac{1}{2}h[e, h] + \frac{1}{2}[e, h]h \\ &= eh + he - he - eh = 0\end{aligned}$$

$$\begin{aligned}[f, c] &= [f, ef] + [f, fe] + \frac{1}{2}[f, h^2] = [f, e]f + f[f, e] + \frac{1}{2}[f, h]h + \frac{1}{2}h[f, h] \\ &= -hf - fh + fh + hf = 0.\end{aligned}\quad \square$$

Remark: In  $\mathfrak{U}(L)$ , ad  $a$  acts as differentiation:  $[a, bc] = [a, b]c + b[a, c]$ . So is for any Lie algebra acting on an associative algebra.

Now, Shur's lemma  $\Rightarrow c$  acts as a scalar on any irrep  $V$  of  $\mathfrak{sl}(2, \mathbb{C})$ .

In particular,  $\forall v \in V_n$ ,  $c \cdot v = \lambda_n v$ , for some  $\lambda_n \in \mathbb{C}$ . To specify  $\lambda_n$ , take  $v = v_0$ , then  $c \cdot v = (ef + fe + \frac{1}{2}h^2)v_0 = (2fe + [ef] + \frac{1}{2}h^2)v_0 = (2fe + h + \frac{h^2}{2})v_0 = (n + \frac{n^2}{2})v_0$   
 $\Rightarrow \lambda_n = \frac{n^2+2n}{2}$ .

We can use  $c$  to obtain another proof that  $0 \rightarrow V_n \rightarrow V \rightarrow V_m \rightarrow 0$  always splits:

$$\begin{array}{ccccccc} 0 & \rightarrow & V_n & \rightarrow & V & \xrightarrow{\varphi} & V_m \rightarrow 0 \\ & & \downarrow c & & \downarrow c & & \downarrow c \\ 0 & \rightarrow & V_n & \rightarrow & V & \xrightarrow{\varphi} & V_m \rightarrow 0 \end{array}$$

Since  $c = \frac{m^2+2m}{2}$  on  $V_m$ ,  $c - \frac{m^2+2m}{2} \text{Id}_V : V \rightarrow V_n$ . Indeed,  $\forall v \in V$ ,  $\varphi(c(c - \frac{m^2+2m}{2})v) = (c - \frac{m^2+2m}{2})\varphi(v) = 0 \Rightarrow (c - \frac{m^2+2m}{2} \text{Id}_V)v \in V_n$ . Moreover, restricted to  $V_n$ ,  $c - \frac{m^2+2m}{2} \text{Id}_V = \frac{n^2+2n}{2} - \frac{m^2+2m}{2} = N \neq 0$ , thus  $\frac{1}{N}(c - \frac{m^2+2m}{2} \text{Id}_V)$  defines a splitting of the s.e.s.  $\square$

Later we will prove:

Thm.  $Z(\mathfrak{U}(\mathfrak{sl}(2, \mathbb{C}))) = \mathbb{C}[c]$ .

Makay Correspondence for  $SU(2)$ .

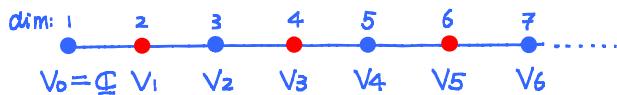
$$\text{Note that: } \begin{array}{ccccc} SU(2) & \hookrightarrow & SL(2, \mathbb{C}) & \hookleftarrow & SL(2, \mathbb{R}) \\ \downarrow L & & \downarrow L & & \downarrow L \\ \mathfrak{su}(2) & \longrightarrow & \mathfrak{sl}(2, \mathbb{C}) & \longleftarrow & \mathfrak{sl}(2, \mathbb{R}), \end{array}$$

and  $\mathfrak{su}(2) \otimes_{\mathbb{R}} \mathbb{C} \cong \mathfrak{sl}(2, \mathbb{C}) \cong \mathfrak{sl}(2, \mathbb{R}) \otimes_{\mathbb{R}} \mathbb{C}$  (the latter is obvious, while the first follows from  $\mathfrak{sl}(2, \mathbb{C}) = \{\text{traceless anti-hermitian}\} \oplus \{\text{traceless hermitian}\}$   
 $= \mathfrak{su}(2) \oplus i \cdot \mathfrak{su}(2) \cong \mathfrak{su}(2) \otimes_{\mathbb{R}} \mathbb{C}$ .)

Let  $V_i$  be the fundamental rep of  $SU(2)$ . ( $SL(2, \mathbb{C})$ ,  $\mathfrak{sl}(2, \mathbb{C})$ ,  $\mathfrak{su}(2)$ ), then

$V_n \otimes V_i \cong V_{n-1} \oplus V_{n+1}$ . (In fact,  $V_n \cong S^n V_1$ : write  $V_i = \mathbb{C} v_0 \oplus \mathbb{C} v_1$ , then  $S^n V_i = \mathbb{C} \langle v_0^k \otimes v_1^{n-k} \rangle$ ,  $\dim S^n V = n+1$ , highest wgt:  $h v_0^n = n v_0^n$ , thus  $S^n V \cong V_n$ )

Thus the Makay graph is:



where the blue dots represent those representations which also descend down to  $SO(3)$  representations, i.e.  $-I \in SU(2)$  acts as  $I$  on them.

Irrep's of  $\mathfrak{sl}(2, \mathbb{C}) \oplus \mathfrak{sl}(2, \mathbb{C})$ .

Take arbitrary  $A$ -module  $V$ ,  $B$ -module  $W$ . if  $V$  and  $W$  are irrep's, then so is the  $A \otimes_{\mathbb{C}} B$  module  $V \otimes_{\mathbb{C}} W$  ( $A, B$  are rings over  $\mathbb{C}$ , or any algebraically closed field). Indeed,  $\text{End}_{A \otimes B}(V \otimes W) \cong \text{End}_A(V) \otimes_{\mathbb{C}} \text{End}_B(W) \cong \mathbb{C} \otimes_{\mathbb{C}} \mathbb{C} \cong \mathbb{C}$ .

For example,  $gl(2, \mathbb{C}) \cong \mathfrak{sl}(2, \mathbb{C}) \oplus \mathbb{C} I$ , the irrep's of  $\mathbb{C} I$  are 1-dim':  $\mathbb{C} \lambda$ , parametrized by  $\lambda \in \mathbb{C}$ :  $I \cdot v_0 = \lambda \cdot v_0$ . Thus irrep's of  $gl(2, \mathbb{C})$  are  $V_n \otimes \mathbb{C} \lambda$ .

In particular, take  $A = U(\mathfrak{sl}(2, \mathbb{C})) = B$ ,  $A \otimes_{\mathbb{C}} B \cong U(\mathfrak{sl}(2, \mathbb{C})) \oplus \mathfrak{sl}(2, \mathbb{C})$  thus  $\forall V_n, V_m$  irrep's of  $\mathfrak{sl}(2, \mathbb{C})$ ,  $V_n \otimes V_m$  is an irrep of  $\mathfrak{sl}(2, \mathbb{C}) \oplus \mathfrak{sl}(2, \mathbb{C})$ . Since  $\mathfrak{sl}(2, \mathbb{C}) \xrightarrow{\sim} \mathfrak{sl}(2, \mathbb{C}) \oplus \mathfrak{sl}(2, \mathbb{C})$ ,  $x \mapsto (x, x)$ , and under this map,  $U(\mathfrak{sl}(2, \mathbb{C})) \rightarrow U(\mathfrak{sl}(2, \mathbb{C}) \oplus \mathfrak{sl}(2, \mathbb{C})) \cong U(\mathfrak{sl}(2, \mathbb{C})) \otimes_{\mathbb{C}} U(\mathfrak{sl}(2, \mathbb{C}))$ ,  $x \mapsto x \otimes 1 + 1 \otimes x$   $x \cdot (v \otimes w) = (x \otimes 1)(v \otimes w) + (1 \otimes x)(v \otimes w) = xv \otimes w + v \otimes xw$ , which agrees with our previous definition.

We may similarly consider  $\text{Rep}_{\mathbb{C}}(\mathfrak{sl}(2, \mathbb{C}))$ :

$\text{Rep}_{\mathbb{C}}(\mathfrak{sl}(2, \mathbb{C})) = \mathbb{Z}[[V_0], \dots, [V_n], \dots]$  : a commutative, unital ring with a set

of basis elements:  $[v_i]$ ,  $i=0, 1, 2, \dots$ . The multiplication rule is given by:

$$[v_n] \cdot [v_m] = \sum_{\substack{k=|n-m| \\ k \equiv n+m \pmod{2}}}^{n+m} [v_k]$$

## §6. Semisimple Lie Algebras

Killing form

Consider  $L \xrightarrow{\text{ad}} \mathfrak{gl}(L)$ . The Killing form  $B$  is defined as:

$$B: L \times L \rightarrow \mathbb{k}, \quad B(x, y) \triangleq \text{tr}(\text{ad}x \circ \text{ad}y).$$

Here  $\mathbb{k}$  may be any field,  $\text{char}\mathbb{k}=0$ .

Lemma:  $B(x, y) = B(y, x)$  (symmetric)

$B([x, y], z) = B(x, [y, z])$  (associative invariant).

Pf: The first one is easy.

$$\begin{aligned} B([x, y], z) &= \text{Tr}(\text{ad}[x, y] \circ \text{ad}z) = \text{Tr}([\text{ad}x, \text{ad}y] \circ \text{ad}z) \\ &= \text{Tr}(\text{adxadyad}z - \text{ad}y\text{adxad}z) \end{aligned}$$

$$\begin{aligned} B(x, [y, z]) &= \text{Tr}(\text{adx} \circ \text{ad}[y, z]) = \text{Tr}(\text{adx} \circ [\text{ady}, \text{ad}z]) \\ &= \text{Tr}(\text{adxadyad}z - \text{adxad}z\text{ady}) \end{aligned}$$

$$\Rightarrow B([x, y], z) - B(x, [y, z]) = \text{Tr}(\text{adxad}z\text{ady}) - \text{Tr}(\text{ad}y\text{adxad}z). \quad \square$$

Rmk: For  $G$  finite,  $G \curvearrowright V$ , we can always have an invariant bilinear form  $(\cdot, \cdot)$ :  $(gv, gw) = (v, w)$ . Now if  $G$  is a Lie group, we may have the infinitesimal invariance of the bilinear form:  $(xv, \omega) + (v, x\omega) = 0$ . In particular, if  $V=L$  and  $(\cdot, \cdot) = B(\cdot, \cdot)$  on  $L$ , the above lemma just says that the infinitesimal action  $L \xrightarrow{\text{ad}} \mathfrak{gl}(L)$  preserves  $(\cdot, \cdot)$ :  $([x, v], \omega) + (v, [x, \omega]) = 0$ .

E.g.

$$1). \mathfrak{sl}(2, \mathbb{R}): \text{w.r.t. } e, h, f, \text{ we have } B = \begin{pmatrix} e & h & f \\ 4 & 8 & 4 \\ 4 & 8 & -4 \end{pmatrix} \sim \begin{pmatrix} 4 & 8 & 4 \\ 4 & 8 & -4 \end{pmatrix}$$

Thus the Killing form is indefinite.

$$2). \mathfrak{su}(2) \cong \mathbb{R}^3 = \mathbb{R}\langle a, b, c \rangle, \quad a \times b = c, \quad b \times c = a, \quad c \times a = b.$$

A basis for  $\mathfrak{su}(2)$  is  $\alpha = (-1, 1)^\top$ ,  $\beta = (1, -1)^\top$ ,  $\gamma = (i, i)^\top$ , satisfying

$[\alpha, \beta] = 2\gamma$ ,  $[\beta, \gamma] = 2\alpha$ ,  $[\gamma, \alpha] = 2\beta$ . Thus  $\frac{\alpha}{2} \mapsto a$ ,  $\frac{\beta}{2} \mapsto b$ ,  $\frac{\gamma}{2} \mapsto c$  is an isomorphism of LA's:  $\mathfrak{su}(2) \cong \mathbb{R}^3$ . It's easy to check that  $\text{tr}(\text{ad}a)^2 = -2 = \text{tr}(\text{ad}b)^2 = \text{tr}(\text{ad}c)^2$ , and all other terms are 0. Thus  $B = \begin{pmatrix} -2 & -2 & -2 \\ -2 & -2 & -2 \\ -2 & -2 & -2 \end{pmatrix}$  w.r.t.  $a, b, c$ . and it's negative definite.

In particular,  $\text{su}(2) \not\cong \text{sl}(2, \mathbb{R})$  since the Killing form is intrinsically defined, yet the signatures of  $B_{\text{su}(2)}$ ,  $B_{\text{sl}(2, \mathbb{R})}$  are different.

Recall that  $L$  is semi-simple iff  $\dim L > 1$  and  $0 = \text{Rad } L =$  the maximal solvable ideal in  $L$  iff  $L$  has no abelian ideals other than  $0$ .

Thm. (**Cartan**).  $L$  is semi-simple iff the Killing form is non-degenerate.  
i.e.  $\text{Rad } B \triangleq \{x \mid B(x, y) = 0, \forall y \in L\} = 0$ .

Note that  $\text{Rad } B$  is an ideal of  $L$ : If  $x \in \text{Rad } B$ ,  $y, z \in L$ ,  $B([x, y], z) = B(x, [y, z]) = 0 \Rightarrow [x, y] \in \text{Rad } B$ .

To prove the thm, we need the following thm. of Cartan, whose proof is in Humphreys:

Thm. (**Cartan Criterion**)  $L \subseteq \text{gl}(V, \mathbb{k})$ ,  $\text{char } \mathbb{k} = 0$ . Then  $L$  solvable  $\Leftrightarrow \text{Tr}(xy) = 0, \forall x \in L, y \in [L, L]$ .

(One side of the thm is easy, by  $\otimes \bar{\mathbb{k}}$ , we may assume  $L \subseteq \text{gl}(\bar{V}, \bar{\mathbb{k}})$  and  $L$  is contained in  $t(n)$ , then  $\forall y \in [L, L], y \in t(n) \Rightarrow \text{tr}(xy) = 0$ ).

Now we can prove Cartan's thm using this Criterion.

$\Rightarrow$ : Observe that  $\text{Rad } B \subseteq \text{Rad } L$ . Let  $S = \text{Rad } B$ , then  $\text{ad}: S \rightarrow \text{gl}(S)$   $\ker \text{ad} = Z(S)$ , then we may assume  $S \xrightarrow{\text{ad}} \text{gl}(S)$ , since a central extension of  $S$  will still be solvable if  $S$  is. Now  $\forall x \in S, y \in [S, S], \text{tr}(xy) = 0$  by definition of  $S = \text{Rad } B \Rightarrow S$  is solvable by Cartan criterion.

Now  $L$  is semi-simple  $\Rightarrow 0 = \text{Rad } L \supseteq \text{Rad } B \Rightarrow \text{Rad } B = 0 \Rightarrow B$  is non-degenerate.

Remark that it may happen that  $\text{Rad } B \not\subseteq \text{Rad } L$ .

$\Leftarrow$ :  $B$  non-degenerate. It suffices to show that  $L$  has no abelian ideals.  
i.e. those  $I \subseteq L$  s.t.  $[I, L] \subseteq I, [I, I] = 0$ .

If yes, take  $x \in I$ ,  $y \in L$ , then consider  $\text{adx} \circ \text{ady}$

$$L \xrightarrow{\text{ady}} L \xrightarrow{\text{adx}} I \xrightarrow{\text{ady}} I \xrightarrow{\text{adx}} 0$$

$\Rightarrow (\text{adx} \circ \text{ady})^2 = 0$ . (nilpotent)  $\Rightarrow \text{Tr}(\text{adx} \circ \text{ady}) = B(x, y) = 0$ , contradiction with  $B$  being non-degenerate.  $\square$

Jordan-Chevalley decomposition

Take  $X: V \rightarrow V$ , an endomorphism of  $V/\mathbb{k}$ ,  $\mathbb{k} = \bar{\mathbb{k}}$ . Then in some basis of  $V$ ,  $X$  is given by Jordan matrices  $\text{diag}(J_1, \dots, J_r)$ ,  $J_i = \begin{pmatrix} \lambda_i & & \\ & \ddots & \\ & & \lambda_i \end{pmatrix}$ ,  $i=1, \dots, r$ .

With  $X$ ,  $V$  is turned into a  $\mathbb{k}[X]$ -module,  $x \cdot v = Xv$ .  $\Rightarrow V \cong \bigoplus_i \mathbb{k}[X]/(X - \lambda_i)^n$ .  $J_i = \lambda_i \text{Id} + \begin{pmatrix} 0 & & \\ & \ddots & \\ & & 0 \end{pmatrix}$  = semi-simple part + nilpotent part.  $\Rightarrow X = X_s + X_n$ ,  $X_s$ : the semi-simple part of  $X$ ;  $X_n$ : the nilpotent part of  $X$ . Surely  $[X_s, X] = 0 \Rightarrow [X_n, X] = 0$  and  $[X_s, X_n] = 0$ .

Claim:  $X_s$  is a polynomial in  $X$ .

Indeed, write  $V = \bigoplus \ker(X - \lambda)^N$ : decomposition of  $V$  into generalized weight spaces of  $X$ , where  $N = \dim V$ . Take  $f(x) \in \mathbb{k}[X]$  s.t.  $f(x) \equiv \lambda_i \pmod{(X - \lambda_i)^N}$ . (It's possible since  $(X - \lambda)^N, (X - \mu)^N$  are coprime if  $\lambda \neq \mu$ ). Let  $X_s = f(X)$ , then  $X_s \equiv \lambda_i \pmod{(X - \lambda_i)^N}$  and  $X_s$  acts on  $V(\lambda_i)$  as  $\lambda_i \text{Id}$ . This is a more intrinsic way to define  $X_s$ , and we may set  $X_n = X - X_s$ . Now  $X_n \equiv X - \lambda_i \pmod{(X - \lambda_i)^N} \Rightarrow X_n^N \equiv 0 \pmod{(X - \lambda_i)^N}$ , and thus  $X_n$  is nilpotent.

Such decompositions are unique. If  $X = X_s + X_n = X'_s + X'_n \Rightarrow X_s - X'_s = X'_n - X_n$ . But the left hand side is semisimple and the right hand side is nilpotent  $\Rightarrow 0 = X_s - X'_s = X'_n - X_n$ .

In case  $\mathbb{k}$  is not algebraically closed, take  $X \in V \otimes_{\mathbb{k}} \bar{\mathbb{k}} \cong \bar{V}$ , and  $\text{Gal}(\bar{\mathbb{k}}/\mathbb{k})$  acts on coefficients of matrices in  $\text{gl}(\bar{V}, \bar{\mathbb{k}})$  and  $G$  fixes the entries of  $X$  (w.r.t a basis taken from  $V$ ).  $\Rightarrow \bar{X}_s + \bar{X}_n = X = gX = g\bar{X}_s + g\bar{X}_n$ . But  $g\bar{X}_s$  and  $g\bar{X}_n$  are still semi-simple and nilpotent respectively  $\Rightarrow g\bar{X}_s = \bar{X}_s$  and  $g\bar{X}_n = \bar{X}_n \forall g \in \text{Gal}(\bar{\mathbb{k}}/\mathbb{k})$   $\Rightarrow \bar{X}_s, \bar{X}_n \in \text{Mat}(n, \mathbb{k})$ .

In char  $p$ , if  $\mathbb{k}$  is not separable, then it may happen that  $X_s, X_n \notin \text{Mat}(n, \mathbb{k})$ . Indeed, take  $\mathbb{k}$ ,  $\text{char } \mathbb{k} = p$ ,  $a \in \mathbb{k}$ ,  $\sqrt[p]{a} \notin \mathbb{k}$ . Take the  $\mathbb{k}[X]$ -module  $V \cong \mathbb{k}[X]/(X^p - a)$ . Then  $\bar{\mathbb{k}} \otimes V \cong \bar{\mathbb{k}}[X]/(X - \sqrt[p]{a})^p$ ,  $X = \sqrt[p]{a} \text{Id} + \begin{pmatrix} 0 & & \\ & \ddots & \\ & & 0 \end{pmatrix}$  in  $\bar{V}$ . However  $X_s \notin \text{Mat}(p, \mathbb{k})$

since w.r.t. any basis of  $\bar{V}$   $X_s = \sqrt{\alpha} \text{Id}$  and  $\sqrt{\alpha} \in k$ .

Now take  $X \in gl(V)$ , and  $X \mapsto gl(V)$  by adjoint representation:  $\text{ad } X \in gl(gl(V)) = \text{Mat}(n^2, k)$ .  $\text{ad } X = (\text{ad } X)_s + (\text{ad } X)_n = \text{ad}(X_s) + \text{ad}(X_n)$ . Moreover,  $X_s$  semi-simple  $\Rightarrow \text{ad } X_s$  is semi-simple;  $X_n$  nilpotent  $\Rightarrow \text{ad } X_n$  is nilpotent. Thus by uniqueness  $(\text{ad } X)_s = \text{ad } X_s$ ,  $(\text{ad } X)_n = \text{ad } X_n$ .

Characterization of semi-simple Lie algebras.

Lemma:  $I \subseteq L$  is an ideal, then  $B_I = B_L|_{I \times I}$ .

Pf:  $\forall x, y \in I$ ,  $\text{ad } x \circ \text{ad } y : L \rightarrow I$  and  $\text{ad } x \circ \text{ad } y = \begin{bmatrix} I & \\ A & B \end{bmatrix}^I \Rightarrow \text{tr}_L(\text{ad } x \circ \text{ad } y) = \text{tr}A = \text{tr}_I(\text{ad } x \circ \text{ad } y)$ , i.e.  $B_L|_{I \times I} = B_I$ .  $\square$

Thm.  $L$ : Lie algebra,  $L \neq 0$ . Then the following are equivalent:

- 1).  $L$  is semi-simple
- 2).  $\text{Rad } L = 0$
- 3).  $\text{Rad } B = 0$ , where  $B$  is the Killing form of  $L$ .
- 4).  $L$  has no solvable ideals other than 0.
- 5).  $L$  has no abelian ideals other than 0.
- 6).  $L = \bigoplus$  simple Lie algebras.

Pf: It only suffices to check 6) now, the other equivalences were established before.

1)  $\Rightarrow$  6). If  $L$  is semi-simple, choose  $I \triangleleft L$  a proper ideal, and look at  $I^\perp$  w.r.t. the Killing form, i.e.  $I^\perp = \{x \in L \mid B(x, y) = 0, \forall y \in I\}$ . Then by the associative invariance property of  $B$ , we know that  $I^\perp$  is an ideal.

Claim:  $I \cap I^\perp = 0$ .

Indeed  $\forall x, y \in I \cap I^\perp$ , lemma  $\Rightarrow B_{I \cap I^\perp}(x, y) = B_L(x, y) = 0 \Rightarrow I \cap I^\perp$  is solvable. Cartan's criterion  $\Rightarrow I \cap I^\perp$  is solvable  $\Rightarrow I \cap I^\perp = 0$ , by assumption that  $L$  s.s.

It follows that  $L = I \oplus I^\perp$ . We may keep on decomposing  $I$  until it's simple and obtain that  $L = \bigoplus_i I_i$  as direct sums of simple ideals (Lie algebras).

6)  $\Rightarrow$  1) is easy.  $\square$

**Remark:** The decomposition in 6) is unique in the strongest sense: up to permutation of the  $I_i$ 's! Indeed if  $I$  is any ideal of  $L$ ,  $[I, I_i] \subseteq I_i$ , thus could only be 0 or  $I_i$  itself. In the latter case  $I \supseteq [I, I_i] = I_i$ . Inductively, one obtains that  $I = \bigoplus_{j \in J} I_j$  where  $J = \{i \mid I_i \leq I\}$ . Thus if  $I$  is any simple ideal of  $L$ ,  $I = I_i$  for some  $i$ . This is more rigid than the decomposition of representations, where one decomposes a module  $V = V_1^{a_1} \oplus \dots \oplus V_n^{a_n}$ , and if  $a_i > 1$ ,  $V_i^{a_i} \cong V_i \oplus \dots \oplus V_i$  is not a canonical decomposition!

In case of decomposition of semi-simple rings:  $A = \bigoplus \text{Mat}(n_i, D_i)$ ,  $D_i$ : division algebras /  $\mathbb{k}$ . The  $\text{Mat}(n_i, D_i)$  are minimal 2-sided ideals of  $L$ , and the only minimal two sided ideals. This is similar as for s.s. Lie algebras.

Thm  $\Rightarrow$  to classify s.s. Lie algebras, it suffices to classify the simple Lie algebras.

Cor.  $L$  s.s.  $\Rightarrow [L, L] = L$ . □

Conversely,  $[L, L] = L \not\Rightarrow L$  s.s. We can only say that  $L$  is not solvable.

E.g.  $0 \rightarrow \mathbb{k}^n \rightarrow L \rightarrow \text{sl}(n, \mathbb{k}) \rightarrow 0 \quad (n > 1)$

$$L = \left\{ \begin{pmatrix} * & | \mathbb{k}^n \\ \hline 0 & 0 \end{pmatrix} \right\}$$

$L$  is not s.s.:  $\text{rad } L = \mathbb{k}^n$ ,  $[L, L] = L$ , and  $L$  is not solvable.

For  $L$ , consider  $\text{Der } L = \{d \mid d[x, y] = [dx, y] + [x, dy], \forall x, y \in L\}$ , the L.A. of all derivations on  $L$ .  $\Rightarrow 0 \rightarrow \text{Inn } L \rightarrow \text{Der } L \rightarrow \text{Out}(L) \rightarrow 0$ , where

$$0 \rightarrow Z(L) \rightarrow L \xrightarrow{\text{ad}} \text{Inn } L \rightarrow 0, \quad L \ni x \mapsto \text{ad } x \in \text{Inn } L$$

$\text{Inn } L$  is an ideal in  $\text{Der } L$ , since  $[d, \text{ad } x](y) = d[x, y] - [x, dy] = [dx, y] = \text{ad } dx(y)$ .  $\Rightarrow [\text{Der } L, \text{Inn } L] \subseteq \text{Inn } L$ .

The concept of inner derivations is the infinitesimal version of the fact that  $\text{Inn}(G)$  sits in  $\text{Aut}(G)$  as a normal subgroup.

E.g.  $L$  abelian  $\Rightarrow \text{Inn } L = 0$ ,  $\text{Der } L \cong \text{Out}(L)$ .

Take any linear map  $f: L \rightarrow L \Rightarrow [f(x), f(y)] = 0 = f([x, y])$   
 $\Rightarrow \text{Der } L = \text{Out}(L) = \text{End } L$ .

On the other extreme, we have:

Cor.  $L$  s.s.  $\Rightarrow \text{Inn}L \cong \text{Der}L$ . ( $\Rightarrow \text{Out}L = 0$ )

Pf: Take  $d \in \text{Der}L$ ,  $\text{tr}(d \circ \text{ad}x)$  defines a linear functional on  $L$ . Since the Killing form  $B$  of  $L$  is non-degenerate,  $\text{tr}(d \circ \text{ad}x) = B(y, x)$  for some  $y \in L$ .

Claim:  $d(z) = [y, z]$ ,  $\forall z \in L$ , thus  $d$  is inner.

Indeed,  $[d, \text{ad}z] = \text{ad}(dz) \Rightarrow B(dz, x) = \text{tr}(\text{ad}dz \circ \text{ad}x) = \text{tr}([d, \text{ad}z] \circ \text{ad}x) = \text{tr}(d, [\text{ad}z, \text{ad}x]) = \text{tr}(d, \text{ad}[z, x]) = B(y, [z, x]) = B([y, z], x)$ , and  $B$  is non-degenerate.  $\square$

Remark: In finite group case, there is no such analogue. Indeed, even if  $G$  is a simple group,  $\text{Out}(G)$  may not be trivial. For instance  $\text{Out}(A_n) \cong \mathbb{Z}/2$ ,  $n > 6$  (coming from conjugation by  $S_n$ ). However, in case of Lie groups, we have the fact that all automorphisms of  $G$  close to identity is inner. (since  $\text{Der}_0 g = \text{Lie}(\text{Aut}(G) \circ)$ , where  $g = \text{L.A. of } G$ .)

Casimir element.

$L$ : simple L.A.  $V$ : an irrep of  $L$ . Then  $\varphi: L \rightarrow \text{gl}(V)$  is either trivial or faithful.

Now suppose  $V$  an irrep, non-trivial. We may define a symmetric bilinear form  $B_V$  on  $L$ :  $B_V: L \times L \rightarrow \mathbb{k}$  :  $B_V(x, y) = \text{tr}(\varphi(x) \circ \varphi(y))$ . Then

- 1).  $B_V(x, y) = B_V(y, x)$ , symmetric
- 2).  $B_V([x, y], z) = B_V(x, [y, z])$  associative invariant.

These two properties actually works for any Lie algebra with any rep. In particular

3).  $B_L$  is the Killing form, where  $L \rightarrow \text{gl}(L)$  is the adjoint rep.

4).  $B_V$  is non-degenerate in case  $L$  simple and  $V$  irrep. Indeed  $\text{Rad}B_V$  is an ideal of  $L$  (by 2)), thus could only be  $L$  or  $0$ . But by Cartan's criterion, if  $V$  is non-trivial,  $\varphi: L \xrightarrow{\sim} \varphi(L) \subseteq \text{gl}(V)$ , and  $\text{tr}(\varphi(x) \circ \varphi(y)) \neq 0$ . Thus  $\text{Rad}B_V = 0$ .

5). The  $B_V$ 's are proportional to each other. This follows from the more general fact that  $L$  simple  $\Rightarrow$  all associative bilinear maps on  $L$  are proportional. (Indeed, as rep's of  $L$ ,  $L \xrightarrow{B_1^*} L^* \xrightarrow{B_2^*} L$ ,  $L$  irreducible  $\Rightarrow B_1^* \circ B_2^* = \text{const.}$  by Schur's lemma).

Choose a basis of  $L : \{x_i\}$ , and take its dual basis w.r.t.  $V$ , say  $\{y_j\}$   
i.e.  $B(x_i, y_j) = \delta_{ij}$ .

Def: (**Casimir element**)  $C_V \triangleq \sum_i x_i y_i \in \text{L}(L)$ .

Lemma:  $C_V$  is central in  $\text{L}(L)$ .

Pf:  $\forall x \in L$ .  $[x, x_i] = a_{ij} x_j$ .  $B_V$  associative  $\Rightarrow a_{ij} = B_V([x, x_i], y_j) = -B_V(x_i, [x, y_j])$   
 $\Rightarrow [x, y_j] = -a_{ij} y_i$ .  
 $\Rightarrow [x, C_V] = \sum_i ([x, x_i] y_i + x_i [x, y_i])$   
 $= \sum_i (a_{ij} x_j y_i + x_i (-a_{ji}) y_j)$   
 $= \sum_i (a_{ij} x_j y_i - a_{ij} x_j y_i)$   
 $= 0$ . □

Now, by Schur's lemma,  $C_V : V \rightarrow V$  as an endomorphism of  $V$  must be constant since  $V$  is irrep.  $\Rightarrow C_V = \lambda \text{Id}_V \Rightarrow \text{tr}_V(C_V) = \lambda \cdot \dim V$ , also  $\text{tr}_V(C_V) = B_V(x_i, y_i) = \dim L$   
 $\Rightarrow \lambda = \frac{\dim L}{\dim V}$ . Presumably,  $C_V$  might be a constant in  $\text{L}(L)$ , but this computation and the fact that  $C_V = 0$  on the trivial rep shows that this won't happen.

Lemma:  $C_V$  is invariant under changes of basis.

Pf: Take  $\{x'_i\}, \{y'_j\}$  new basis and dual basis. Then  $x'_i = a_{ij} x_j$ , for some invertible matrix  $(a_{ij})$ . If  $y'_j = \sum b_{ji} y_i$ , then  $\delta_{ij} = B(x'_i, y'_j) = B(a_{ik} x_k, b_{jl} y_l) = a_{ik} b_{jl} \epsilon^{kl}$   
 $\Rightarrow \sum x'_i y'_j = \sum a_{ij} x_j b_{jl} y_l = \sum \delta_{ij} x_j y_l = \sum x_j y_j$ . □

Thus  $C_V$  is intrinsically associated with  $(L, V)$ . Moreover, since for non-trivial irreps of  $L$ ,  $B_V$  are proportional to each other by 5) above, such  $C_V$ 's differ only by a non-zero constant.

### Complete reducibility

Thm. Any finite dimensional representation of a simple LA is completely reducible.

Pf: The proof is almost identical to the  $\text{sl}(2, \mathbb{C})$  case.

Step 1. We show that the trivial repn can always be split off.

By a reduction argument as in step 2 of  $\mathfrak{sl}(2, \mathbb{C})$ , it suffices to check that

$$0 \rightarrow W \rightarrow V \rightarrow \mathbb{C} \rightarrow 0 \quad (*)$$

where  $W$  is an irrep of  $L$ , always splits.

If  $W \cong \mathbb{C}$ , then  $L$  acts on  $V$  nilpotently:  $V \xrightarrow{L} W \xrightarrow{\text{nil}} 0$ , thus trivially  $\Rightarrow (*)$  splits.

If  $W \not\cong \mathbb{C}$ , then  $C_w \in Z(U(L))$  acts as a non-zero scalar on  $W$  ( $= \frac{\dim L}{\dim W}$ ). Thus

$$\begin{array}{ccccccc} 0 & \rightarrow & W & \rightarrow & V & \rightarrow & \mathbb{C} \rightarrow 0 \\ & & \downarrow C_w & \searrow & \downarrow C_w & & \downarrow C_w \\ 0 & \rightarrow & W & \rightarrow & V & \rightarrow & \mathbb{C} \end{array}$$

$C_w: V \rightarrow W$  since  $C_w$  acts as 0 on  $\mathbb{C}$   $\Rightarrow \frac{\dim W}{\dim L} \cdot C_w$  is a splitting of  $(*)$  and  $V \cong W \oplus \ker C_w$ .

Step 2. Any  $0 \rightarrow W \rightarrow V \rightarrow V/W \rightarrow 0$  splits.

Again consider the  $L$ -module  $R = \text{Hom}_L(V, W)$  and its submodules  $S = \{f \in R \mid f|_W = \lambda \text{Id}_W\}$ ,  $S_0 = \{f \in S \mid f|_W = 0\}$ ,  $S \xrightarrow{L} S_0$  as in  $\mathfrak{sl}(2, \mathbb{C})$  case. The sequence:

$$0 \rightarrow S_0 \rightarrow S \rightarrow \mathbb{C} \rightarrow 0$$

is exact, thus splits by step 1. Thus we may find  $f \in S$ ,  $f|_W = \text{Id}_W$ ,  $f(xv) = xf(v)$ ,  $\forall x \in L, v \in V$ . Thus  $f$  serves as a splitting map.  $\square$

Thm. Any finite dimensional representation of a semi-simple L.A. is completely reducible.

Pf:  $L = L_1 \oplus \dots \oplus L_k$ , where each  $L_i$  is simple  $\Rightarrow U(L) \cong U(L_1) \otimes \dots \otimes U(L_k)$ .

To give a rep of  $L$  on  $V \iff L \rightarrow \mathfrak{gl}(V)$  as Lie algebras  $\iff U(L) \rightarrow \text{End}(V)$  as associative algebras. In this language, any finite dim'l rep of  $L$  is completely reducible  $\iff$  any finite dim'l quotient algebra of  $U(L) \cong \bigoplus_i \text{Mat}(n_i, \mathbb{C})$ .

Now, given a finite dim'l quotient  $A$  of  $U(L)$ , then  $A \cong A_1 \otimes \dots \otimes A_n$  where  $A_i$  is a finite dim'l quotient of  $U(L_i)$ . By the previous thm,  $A_i \cong \bigoplus_j \text{Mat}(n_{ij}, \mathbb{C})$   $\Rightarrow A \cong \bigotimes_i (\bigoplus_j \text{Mat}(n_{ij}, \mathbb{C})) \cong \bigoplus_{i, j_1, \dots, j_k} \text{Mat}(n_{i, j_1, \dots, j_k}, \mathbb{C})$ , thus completely reducible.

Here we used  $\text{Mat}(n, \mathbb{C}) \otimes \text{Mat}(m, \mathbb{C}) \cong \text{Mat}(nm, \mathbb{C})$ .  $\square$

Semisimple and nilpotent elements.

Let  $A$  be a finite dimensional algebra over  $\mathbb{C}$ ,  $d$  a derivation on  $A$ . Then  $A$  can be decomposed as generalized weight spaces of  $d$ :  $A = \bigoplus_{\lambda \in \mathbb{C}} A(\lambda)$ , where  $A(\lambda) = \ker(d - \lambda \text{Id})^N$ ,  $N \gg 0$ , and  $d = ds + dn$ , where  $ds|_{A(\lambda)} = \lambda \text{Id}$ .

Claim:  $ds$  is also a derivation, i.e.  $ds(xy) = (dsx)y + xdsy$ .

Indeed, we have  $A(\lambda) \cdot A(\mu) = A(\lambda+\mu)$ :  $\forall x \in A(\lambda), y \in A(\mu)$

$$(d - (\lambda + \mu) \text{Id})^N(xy) = \sum_{k=0}^N \binom{N}{k} (d - \lambda)^k x \cdot (d - \mu)^{N-k} y = 0 \text{ for } N \gg 0.$$

Thus  $ds(xy) = (\lambda + \mu)xy = (\lambda x) \cdot y + x \cdot (\mu y) = (dsx) \cdot y + x \cdot dsy \Rightarrow ds \in \text{Der}(A)$ .

Now if  $L$  is semi-simple,  $\text{Der}L \cong L$ .  $\forall x \in L, \text{adx} \in \text{Der}L \Rightarrow \text{adx} = (\text{adx})_s + (\text{adx})_n$  ( $\text{adx})_s \in \text{Der}L \cong L, (\text{adx})_n = \text{adx} - (\text{adx})_s \in \text{Der}L = L$ . Since moreover, the adjoint rep is faithful,  $(\text{adx})_s = \text{ad}x_s, (\text{adx})_n = \text{ad}x_n$  for some  $x_s, x_n \in L$ , and  $x = x_s + x_n$ .

Def:  $h \in L$  is called semisimple if  $\text{adh} = (\text{adh})_s$ ;  $h$  is called nilpotent if  $\text{adh} = (\text{adh})_n$ .

Lemma: A semisimple element acts semisimply on any finite dim'l rep of  $L$ .

Pf:  $h \in L, L \rightarrow \text{gl}(V)$  a rep.  $V = \bigoplus V(\lambda)$ . decomposition of  $V$  as generalized eigenspaces of  $h$ :  $v \in V(\lambda)$  iff  $(h - \lambda)^N v = 0$ . Take  $0 \neq V'(\lambda) \subseteq V(\lambda)$  be the subspace of eigenvectors of  $h$ . Then  $\bigoplus V(\lambda) = V \supseteq V' = \bigoplus V'(\lambda)$ .

Claim:  $V'$  is a subrep of  $V$ .

Since we may also decompose  $L$  as  $\text{adh}$ -weight spaces:  $L = \bigoplus L(\lambda)$ , where  $x \in L(\lambda)$  iff  $[h, x] = \lambda x$ , it suffices to check that  $L(\mu) V'(\lambda) \subseteq V'(\mu + \lambda)$ :  $\forall x \in L(\mu), v \in V'(\lambda)$

$$h x v = x h v + [h, x] v = x \lambda v + \mu x v = (\lambda + \mu) x v.$$

The claim follows. Finally, since  $V$  is completely reducible,  $V \cong V' \oplus V''$  for some  $V'' \subseteq V$ . The lemma follows by induction on  $\dim V$ .  $\square$

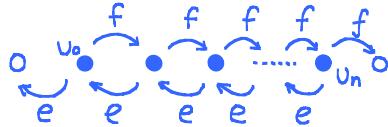
Furthermore if  $L$  is a simple LA,  $\varphi: L \rightarrow \text{gl}(V)$  a non-trivial rep. If  $h$  acts semisimply on  $V$ , then  $h = h_s + h_n \Rightarrow \varphi(h)_n = \varphi(h_n) = 0$ , but  $\varphi$  faithful  $\Rightarrow h_n = 0$ . It follows that  $h$  is semisimple.

Combining the above discussion with the lemma, we obtain the following characterization of elements of  $L$ :

- $L$ : a simple LA,  $h \in L$ .
  - $h$  is semisimple in  $L$
  - $\Leftrightarrow \text{ad } h$  is semisimple
  - $\Leftrightarrow h$  acts semisimply in all finite dim'l rep of  $L$ .
  - $\Leftrightarrow h$  acts semisimply in some non-trivial finite dim'l irrep of  $L$ .
  - $h$  is nilpotent in  $L$
  - $\Leftrightarrow \text{ad } h$  is nilpotent
  - $\Leftrightarrow h$  acts nilpotently in all finite dim'l rep of  $L$ .
  - $\Leftrightarrow h$  acts nilpotently in some non-trivial finite dim'l irrep of  $L$ .

E.g.  $L = \mathfrak{sl}(2, \mathbb{C}) = \mathbb{C}\{e, f, h\}$ .  $h$  is semisimple and acts semisimply in any  $V_n$ .  $e, f$  are nilpotent and act nilpotently on any  $V_n$ :

$$e^{n+1} = 0 = f^{n+1}, \quad h = \text{diag}(n, n-2, \dots, -n)$$



### Application on Lie algebras

$L$ : LA,  $h \in L$  semisimple,  $\Rightarrow L = \bigoplus_{\lambda \in \mathbb{C}} L_\lambda$ ,  $[L_\lambda, L_\mu] \subseteq L_{\lambda+\mu}$ , i.e.  $L$  is graded by eigenvalues of  $h$ . If  $I$  is an ideal in  $L$ , then  $I$  respects the weight decomposition of  $L$ :  $I_\lambda \triangleq I \cap L_\lambda$ , then  $I = \bigoplus_\lambda I_\lambda$ .

Indeed, if  $x \in I$ ,  $x = \sum x_{\lambda_i} [h, x] = \sum \lambda_i x_{\lambda_i} \in I$ ,  $\dots$ ,  $(\text{ad } h)^{n-1} x = \sum \lambda_i^{n-1} x_{\lambda_i} \in I$   
 $\Rightarrow x_{\lambda_i} \in I, \forall i$ .

### E.g. $\mathfrak{sl}(3, \mathbb{C})$ , ( $\mathfrak{sl}(n, \mathbb{C})$ )

$\mathfrak{sl}(n, \mathbb{C}) \cong H \triangleq \text{Span}\{h_i \mid h_i = e_{ii} - e_{i+1, i+1}\}$ : diagonal, ad-semisimple, commutative.  
 $\Rightarrow L = \bigoplus_{\lambda \in H^*} L_\lambda$ ,  $L_\lambda = \{x \mid [h, x] = \lambda(h)x, \forall h \in H\}$ . Such  $\lambda$  can be described by  $(n-1)$ -numbers, since we have fixed a distinguished basis of  $H$ .

$$\lambda = (\lambda_1, \dots, \lambda_{n-1}), \quad \lambda_i = \lambda(h_i), \quad i=1, \dots, n-1.$$

Since  $[h_k, e_{ij}] = [e_{kk}, e_{ij}] - [e_{k+1, k+1}, e_{ij}] = (\delta_{ki} - \delta_{kj} - \delta_{k+1, i} + \delta_{k+1, j})e_{ij}$

$\Rightarrow \mathfrak{sl}(n, \mathbb{C}) = L_0 \oplus (\bigoplus_{\lambda \in H^*} L_\lambda) = H \oplus (\bigoplus_{i \neq j} \mathbb{C}e_{ij})$  and these  $\lambda \in H^*$  separate  $e_{ij}$ , ( $i \neq j$ ), i.e.  $[h, e_{ij}] = \lambda(h)e_{ij}$ ,  $[h, e_{ki}] = \lambda'(h)e_{ki}$ ,  $\lambda(h) = \lambda(h')$   $\Rightarrow e_{ij} = e_{ki}$ .

$$\mathfrak{sl}(2, \mathbb{C}) = \mathbb{C}h \oplus \mathbb{C}e \oplus \mathbb{C}f$$

wgt  $\begin{matrix} f & e & h \\ -2 & 0 & 2 \end{matrix}$

$$\mathfrak{sl}(3, \mathbb{C}): H = \mathbb{C}h_1 \oplus \mathbb{C}h_2 : h_1 = \begin{pmatrix} 1 & -1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}, h_2 = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}. H^* \cong \mathbb{C}^2$$

Take  $e_{12} \in \mathfrak{sl}(3, \mathbb{C})$  and let  $[h, e_{12}] = \alpha_1(h) e_{12}$ ,  $\alpha_1 \in H^*$

$$\text{then } [h_1, e_{12}] = 2e_{12}, [h_2, e_{12}] = -e_{12} \Rightarrow \alpha_1(h_1) = 2, \alpha_1(h_2) = -1$$

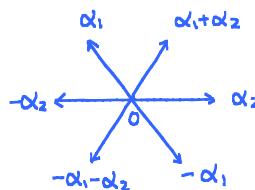
Take  $e_{23} \in \mathfrak{sl}(3, \mathbb{C})$  and similarly define  $\alpha_2(h)$ :  $[h, e_{23}] = \alpha_2(h) e_{23}$

$$\text{then } \alpha_2(h_1) = -1, \alpha_2(h_2) = 2.$$

$\Rightarrow \alpha_1, \alpha_2$  span  $H^*$ . Since  $e_{13} = [e_{12}, e_{23}]$ ,  $[h, e_{13}] = (\alpha_1 + \alpha_2)(h) e_{13}$

$$e_{12}^\dagger = e_{21}, h^\dagger = h \Rightarrow [h, e_{21}] = -\alpha_1(h) e_{21}, \text{ similarly for } e_{31} \leftrightarrow -\alpha_2, e_{31} \leftrightarrow -\alpha_1 - \alpha_2.$$

We obtain the weight diagram of  $\mathfrak{sl}(3, \mathbb{C})$ :



Thus  $\mathfrak{sl}(3, \mathbb{C})$  consists of 3 copies of  $\mathfrak{sl}(2, \mathbb{C})$ , each one taking up a direction in the weight diagram:  $\alpha_1: \{e_{12}, h_1, e_{21}\}$ ,  $\alpha_2: \{e_{23}, h_2, e_{32}\}$ ,  $\alpha_1 + \alpha_2: \{e_{13}, h_1 + h_2, e_{31}\}$

If I is any ideal  $\neq 0$  in  $\mathfrak{sl}(3, \mathbb{C})$ , it will contain some weight vector. If it contains  $e_{ij}$ , then it contains all  $\mathfrak{sl}(3, \mathbb{C})$  since  $[e_{ij}, e_{jk}] = e_{ik}$ ,  $[e_{ij}, e_{ji}] = e_{ii} - e_{jj}$ . Thus we obtain, by similar methods extended to  $\mathfrak{sl}(n, \mathbb{C})$ :

Thm.  $\mathfrak{sl}(n, \mathbb{C})$  is simple,  $\forall n > 1$ .

□

Now if  $H \subseteq L$  an (abelian) subalgebra consisting of semisimple elements. Then we can decompose  $L$  as  $L = \bigoplus_{\alpha \in H^*} L_\alpha$ ,  $L_\alpha = \{x \in L \mid [h, x] = \alpha(h)x, \forall h \in H\}$ .  $\Phi = \{\alpha \neq 0 \mid L_\alpha \neq 0\}$  are called roots.

Rmk: if  $H$  consists of semisimple elements, it's necessarily abelian. Indeed, if  $\exists x \in H$ ,  $[x, H] \neq 0$ , then  $\exists y \in H$  s.t.  $[x, y] = \lambda y$ ,  $y \in H$ ,  $\lambda \neq 0$ . ( $H$  respects the weight decomposition of  $L$  w.r.t.  $ad x$  just as for the ideal case). Now  $x \xrightarrow{ady} \lambda y \xrightarrow{ady} 0 \Rightarrow x \in$  generalized 0-eigenspace of  $ady$  and  $x \neq 0$ . This contradicts the fact that  $y$  is semisimple.

Def:  $H \subseteq L$  a subalgebra consisting of semisimple elements is called toral. Maximal toral subalgebras are called Cartan subalgebras of  $L$ .

Now take a Cartan subalgebra  $H \subseteq L$  and apply the wgt space decomposition:  
 $= \bigoplus_{\alpha \in H^*} L_\alpha = L_0 \oplus \bigoplus_{\alpha \in \Phi} L_\alpha$  where  $L_0 = \{y \in L \mid [h, y] = 0, \forall h \in H\} = C_L(H)$  and  
 $\Phi = \{\alpha \in H^* \setminus \{0\} \mid L_\alpha \neq 0\}$ .

Lemma:  $B(L_\alpha, L_\beta) = 0$  if  $\alpha + \beta \neq 0$ . Consequently, since  $B$  is non-degenerate, we have:  $L_\alpha \neq 0$  iff  $L_{-\alpha} \neq 0$ .

Pf:  $\forall x \in L_\alpha, y \in L_\beta, h \in H, B([x, h], y) = B(x, [h, y])$   
 $\Rightarrow -\alpha(h)B(x, y) = \beta(h)B(x, y) \Rightarrow (\alpha + \beta)(h)B(x, y) = 0$ .  
 Thus if  $\alpha + \beta \neq 0, \exists h \in H$  s.t.  $(\alpha + \beta)(h) \neq 0 \Rightarrow B(x, y) = 0$  □

Lemma  $\Rightarrow B: L_\alpha \times L_{-\alpha} \rightarrow \mathbb{C}$  is non-degenerate. Thus  $L_\alpha \cong L_{-\alpha}^*$  canonically and  $\dim L_\alpha = \dim L_{-\alpha}$ . Furthermore  $B|_{C_L(H)} = L_0$  is non-degenerate.

Prop:  $C_L(H) = H$ , where  $H \subseteq L$  is maximal toral.

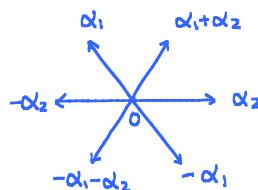
Idea of proof: Keep track of  $x = x_s + x_n$ , and if  $x = x_s \in C_L(H)$ ,  $x = x_s \Rightarrow x \in H$ .

Otherwise  $H + lkx$  is toral and  $H + lkx \not\supseteq H$ , contradiction with  $H$  being maximal.

For the proof see Humphreys. □

Cor.  $B|_{H \times H}$  is non-degenerate. □

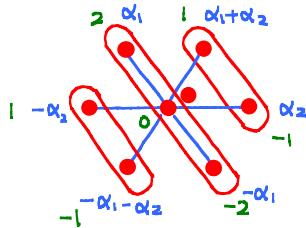
E.g. Restriction of  $B$  to  $H = \mathbb{C}\{h_1, h_2\}$ ,  $h_1 = \begin{pmatrix} 1 & -1 \\ 0 & 0 \end{pmatrix}$ ,  $h_2 = \begin{pmatrix} 0 & 1 \\ 1 & -1 \end{pmatrix}$ .



To calculate  $B(h_i, h_j)$  ( $i, j = 1, 2$ ), we use the following adjoint actions of  $\mathfrak{sl}(2)$ 's on  $\mathfrak{sl}(3)$  (an 8-dim'l rep of  $\mathfrak{sl}(2)$ ):

$$\mathfrak{sl}(2)_1 = \left\{ \begin{pmatrix} * & * & 0 \\ 0 & * & 0 \\ 0 & 0 & 0 \end{pmatrix} \right\} \quad \mathfrak{sl}(2)_2 = \left\{ \begin{pmatrix} 0 & 0 & 0 \\ 0 & * & * \\ 0 & 0 & * \end{pmatrix} \right\}$$

As an  $\mathfrak{sl}(2)_1$ -module,  $\mathfrak{sl}(3)$  decomposes as  $\mathfrak{sl}(2)$  representations:  $V_2 \oplus V_1^{\oplus 2} \oplus V_0$

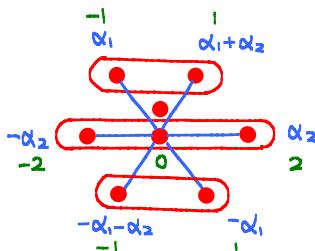


$$\alpha_1(h_1) = 2$$

$$\alpha_2(h_1) = -1$$

The  $\text{ad}h_1$ -weight decomposition of  $\mathfrak{sl}(3)$

and  $\text{ad}h_1$  acts with weights as shown above. Similarly for the copy  $\mathfrak{sl}(2)_2$



$$\alpha_2(h_2) = 2$$

$$\alpha_1(h_2) = -1$$

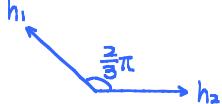
The  $\text{ad}h_2$ -weight decomposition of  $\mathfrak{sl}(3)$

$$\text{Thus } B(h_1, h_1) = \text{Tr}(\text{ad}h_1 \circ \text{ad}h_1) = 2^2 + (-2)^2 + 1^2 + (-1)^2 + 1^2 + (-1)^2 + 0^2 + 0^2 = 12$$

$$\text{and similarly } B(h_1, h_2) = 2 \cdot (-1) + 1 \cdot 1 + (-1) \cdot 2 + (-2) \cdot 1 + (-1) \cdot (-1) + 1 \cdot (-2) = -6$$

$$B(h_2, h_2) = (-1)^2 + 1^2 + 2^2 + 1^2 + (-1)^2 + (-2)^2 = 12.$$

It follows that in  $H$ ,  $h_1, h_2$  makes an angle  $\frac{2}{3}\pi$  and are of the same length.



and we can see that  $B(h, h') = \sum_{\alpha \in \Phi} \alpha(h) \alpha(h')$ ,  $\Phi = \{\pm \alpha_1, \pm \alpha_2, \pm (\alpha_1 + \alpha_2)\}$ .

**Def:** Since  $B$  is non-degenerate on  $H$ ,  $H \cong H^*$  canonically via  $B$ .  $\forall v \in H^*$ , we may define  $t_v$  via:  $B(h, t_v) = v(h)$ ,  $\forall h \in H$ .

E.g.

For  $\mathfrak{sl}(2) = \mathbb{C}\{e, h, f\}$   $B = \begin{pmatrix} 4 & 8 & 4 \\ 8 & 4 & 4 \\ 4 & 4 & 4 \end{pmatrix} \Rightarrow B(h, h) = 8$ .  $H = \mathbb{C}h$   $H^* = \mathbb{C}\alpha$  where  $\alpha(h) = 2$ .  $t_\alpha \in H$ .  $B(t_\alpha, h) = \alpha(h) = 2 \Rightarrow t_\alpha = \frac{h}{4}$ .

For  $\mathfrak{sl}(3)$ ,  $H = \mathbb{C}\{h_1, h_2\}$   $H^* = \mathbb{C}\{\alpha_1, \alpha_2\}$ .  $\alpha_1(h_1) = 2$ ,  $\alpha_1(h_2) = -1$ ;  $\alpha_2(h_1) = -1$ ,  $\alpha_2(h_2) = 2$ , and  $B(h_1, h_1) = 12$ ,  $B(h_1, h_2) = -6$ ,  $B(h_2, h_2) = 12$ .

$$B(t_{\alpha_1}, h) = \alpha_1(h) \Rightarrow t_{\alpha_1} = \frac{h_1}{6}; B(t_{\alpha_2}, h) = \alpha_2(h) \Rightarrow t_{\alpha_2} = \frac{h_2}{6}.$$

Now fix maximal  $H$  and  $L = H \oplus \bigoplus_{\alpha \in \Phi} L_\alpha$ . We list some basic properties:

a).  $\bar{\Phi}$  spans  $H^*$

Pf: If  $C\bar{\Phi} \neq H^*$ , then  $\exists h \in H, [h, x_\alpha] = 0, \forall x_\alpha \in L_\alpha \Rightarrow [h, L] = 0$ , then  $h \in Z(L)$ , contradiction.  $\square$

b).  $\alpha \in \bar{\Phi} \Rightarrow -\alpha \in \bar{\Phi}$

Pf: Since  $B$  is non-degenerate  $B(L_\alpha, L_\beta) = 0$  for  $\beta \neq -\alpha$ .  $\square$

c).  $x \in L_\alpha, y \in L_{-\alpha} \Rightarrow [x, y] \in L_0 = H$ . Moreover  $[x, y] = B(x, y)t_\alpha$ .

Pf:  $B(h[x, y]) = B([h, x], y) = \alpha[h, B(x, y)] = B(\alpha t_\alpha, h)B(x, y)$   
 $= B(h, B(x, y)t_\alpha), \forall h \in H$

$B$  non-degenerate on  $H \Rightarrow [x, y] = B(x, y)t_\alpha$ .  $\square$

d).  $\dim [L_\alpha, L_{-\alpha}] = 1$  and  $[L_\alpha, L_{-\alpha}] = C t_\alpha$ .  $\square$

e).  $\alpha(t_\alpha) = B(t_\alpha, t_\alpha) \neq 0$

Pf: Suppose  $\alpha(t_\alpha) = 0$ . Choose  $x \in L_\alpha, y \in L_{-\alpha}$ , s.t.  $[x, y] = t_\alpha$  (this can be done since  $B$  is non-degenerate on  $L_\alpha \times L_{-\alpha}$ ).  $[t_\alpha, x] = \alpha(t_\alpha)x = 0, [t_\alpha, y] = 0$ .  
 $\Rightarrow S = C\{x, y, t_\alpha\}$  is a 3 dimensional subalgebra of  $L$ .  $[S, S] = C t_\alpha$  and  $[[S, S], [S, S]] = 0 \Rightarrow S$  is nilpotent. Thus  $\text{ad}: S \rightarrow \mathfrak{gl}(L)$  can be conjugated to strictly upper triangular matrices.  $\Rightarrow t_\alpha = (t_\alpha)_n$ , contradiction.  $\square$

f).  $L_\alpha \oplus L_{-\alpha} \oplus C t_\alpha$  spans a copy of  $\mathfrak{sl}(2, \mathbb{C})$  in  $L$ .

Pf: Take  $0 \neq x_\alpha \in L_\alpha$  ( $\leftrightarrow e = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ ). Let  $h_\alpha = \frac{2t_\alpha}{B(t_\alpha, t_\alpha)}$  ( $\leftrightarrow h = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ )

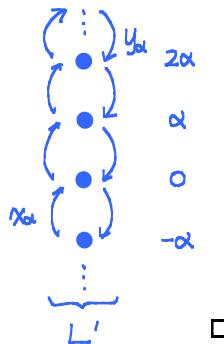
Then  $[h_\alpha, x_\alpha] = \frac{2}{\alpha(t_\alpha)} [t_\alpha, x_\alpha] = \frac{2\alpha(t_\alpha)}{\alpha(t_\alpha)} x_\alpha = x_\alpha$ . Finally, define  $y_\alpha \in L_{-\alpha}$  by  $[x_\alpha, y_\alpha] = h_\alpha$ . (which is possible since  $B|_{L_\alpha \times L_{-\alpha}}$  is non-degenerate).  $\square$

g).  $\dim L_\alpha = 1$ .

Pf: Consider the  $\mathfrak{sl}(2)$  constructed above and  $L' = \bigoplus_{\lambda \in \mathbb{Z}} L_\lambda$ .

$\mathfrak{sl}(2) \rightarrow L'$  and  $L'$  decomposes as  $L'$  wgt spaces:

Since  $[L_\alpha, L_{-\alpha}] = \mathbb{C}h_\alpha$  and  $\text{ad } y_\alpha : L_\alpha \rightarrow L_0 = H$  is always injective  $\Rightarrow L' \cong \mathfrak{sl}(2) \oplus \ker x_\alpha$ , where  $\mathfrak{sl}(2) = \langle x_\alpha, h_\alpha, y_\alpha \rangle$ .  
 $\Rightarrow L_\alpha = \mathbb{C}x_\alpha$  and  $\dim L_\alpha = 1$ .



In particular, we have:

Cor. Multiples of roots are not roots.  $\square$

Rmk: The idea is to study  $L$  via these copies of  $\mathfrak{sl}(2)$ 's constructed as in f), one for each pair of  $\{\alpha, -\alpha\}$ . Also note that although the choices of  $x_\alpha, h_\alpha$



$y_\alpha$  are not canonical.  $\mathfrak{sl}(2)_\alpha = L_\alpha \oplus L_{-\alpha} \oplus [L_\alpha, L_{-\alpha}]$  is canonically associated with  $\alpha$ .

However, potentially,  $\frac{\alpha}{2}$  might be in  $\Phi$ , but we may start by working with  $\mathfrak{sl}(2)_{\frac{\alpha}{2}}$   $\Rightarrow \alpha \notin \Phi$  which is a contradiction. More generally, consider the subspace of  $L$ :  $\bigoplus_{n \in \mathbb{Q}} L_{n\alpha}$ , which is also an  $\mathfrak{sl}(2)_\alpha$ -module, but by similar reasoning,  $\frac{\alpha}{2} \notin \Phi$ , for any  $\ell \in \mathbb{N}$ ,  $\ell > 1$ , and  $\bigoplus_{n \in \mathbb{Q}} L_{n\alpha} = L_\alpha \oplus L_{-\alpha} \oplus H$ .

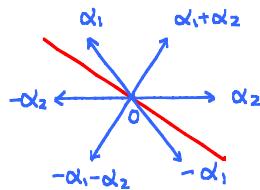
Now, if  $\alpha, \beta \in \Phi$ , we may consider  $\bigoplus_{n \in \mathbb{Z}} L_{\beta+n\alpha}$  as an  $\mathfrak{sl}(2)_\alpha$  module, which must in fact be an irrep of  $\mathfrak{sl}(2)_\alpha$ . Indeed,  $\dim L_\gamma = 1 \quad \forall \gamma \in \Phi$  and the weights  $\beta+n\alpha$  on  $h_\alpha$  always shift by 2. In particular:

Cor. If  $\alpha, \beta, \alpha+\beta \in \Phi$ , then  $[L_\alpha, L_\beta] = L_{\alpha+\beta}$  (previously we only know that  $[L_\alpha, L_\beta] \subseteq L_{\alpha+\beta}$ ) since  $e_\alpha$  always maps  $L_\beta$  isomorphically onto  $L_{\beta+\alpha}$  in this irrep  $\bigoplus_{n \in \mathbb{Z}} L_{\beta+n\alpha}$ .  $\square$

Cor.  $\beta(h_\alpha) = \frac{2\langle \alpha, \beta \rangle}{\langle \alpha, \alpha \rangle} \in \mathbb{Z}$ .  $\square$

Fix  $L = H \oplus \bigoplus_{\alpha \in \Phi} L_\alpha$ . we can always take a plane to separate  $\Phi$  into  $\Phi^+$  and  $\Phi^-$  so that  $\alpha \in \Phi^+$  then  $-\alpha \in \Phi^-$  ( $\alpha, -\alpha$  lie on different sides of the plane), and if  $\alpha, \beta \in \Phi^+$ ,  $\alpha + \beta \in \Phi \Rightarrow \alpha + \beta \in \Phi^+$ .

E.g.  $\mathfrak{sl}(3)$ .



Then  $L^+ \triangleq \bigoplus_{\alpha \in \Phi^+} L_\alpha$  is a (nilpotent) subalgebra of  $L$  since  $[L_\alpha, L_\beta] = L_{\alpha+\beta}$  or 0. (In  $\mathfrak{sl}(3)$  case as above,  $[L^+, L^+] = L_{\alpha_1 + \alpha_2}$  and  $[L^+, [L^+, L^+]] = 0$ .) This is an analogue of the subalgebra of strictly upper triangular matrices in  $\mathfrak{sl}(n)$  for arbitrary simple LA's.

Similarly,  $H \oplus L^+$  is a (solvable) subalgebra of  $L$  which is not nilpotent ( $[H \oplus L^+, H \oplus L^+] = L^+$ , but  $[H \oplus L^+, L^+] = L^+$ ). This is an analogue of upper triangular matrices in  $\mathfrak{sl}(n)$  for arbitrary simple LA's.

Def:  $L^+ \oplus H$  is called a Borel subalgebra of  $L$ .  $L = L^+ \oplus \underbrace{H}_{\text{negative Borel}} \oplus \underbrace{L^-}_{\text{positive Borel}}$

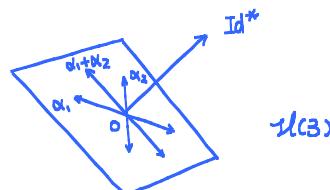
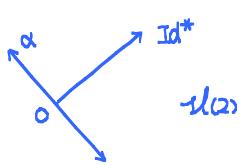
Root (Weight) decomposition of classical LA's.

- $\mathfrak{sl}(n)$  ( $\subseteq \mathfrak{gl}(n)$ )

Let  $\tilde{H} \subseteq \mathfrak{gl}(n)$  ( $H \subseteq \mathfrak{sl}(n)$ ): (traceless) diagonal matrices.

$\tilde{H} = \mathbb{C}\langle h_1, \dots, h_n \rangle$   $h_i = e_{ii}$ , and  $[h_i, e_{ij}] = e_{ij}$   $[h_j, e_{ij}] = -e_{ij}$  ( $i \neq j$ ), and  $[h_k, e_{ij}] = 0$  if  $k \neq i, j$ . Thus in  $\tilde{H}^*$ , we obtain a dual basis  $E_1 = h_1^*, E_2 = h_2^* \dots E_n = h_n^*$  and the weight of  $e_{ij}$  is  $E_i - E_j$ .

Now  $\tilde{H} = H \oplus \mathbb{C}\text{Id} \Rightarrow \tilde{H}^* = H^* \oplus \mathbb{C}\text{Id}^*$ ,  $H^* = \mathbb{C}\{E_i - E_j\}_{i \neq j}$ , which is an  $(n-1)$ -dim'l hyperplane of  $\tilde{H}^*$ .



Note that  $[e_{ij}, e_{jk}] = e_{ik}$  ( $i \neq k$ ) corresponds in  $H^*$   $\epsilon_i - \epsilon_j + \epsilon_j - \epsilon_k = \epsilon_i - \epsilon_k$ .

$\Phi = \{\epsilon_i - \epsilon_j\}_{i \neq j}$  can be partitioned into  $\Phi^+ = \{\epsilon_i - \epsilon_j\}_{i < j}$  and  $\Phi^- = \{\epsilon_i - \epsilon_j\}_{i > j}$  and using this fact, we may prove the thm that  $\mathfrak{sl}(n)$  is simple:

Take  $I \subseteq \mathfrak{sl}(n)$  an ideal, then  $I$  is homogeneous in the sense that

$$I = I \cap H \oplus \bigoplus_{\alpha \in \Phi} I \cap L_\alpha$$

whose proof is similar as before. Here we may take  $h \in H$  s.t.  $\alpha \neq \beta \Rightarrow \alpha(h) \neq \beta(h)$ .

$((\alpha - \beta)h) = 0$  are finitely many hyperplanes,  $\alpha, \beta \in \Phi$ ,

If  $I \supseteq L_{\epsilon_i - \epsilon_j}$  or  $e_{ij} \in I$ , then by subsequent actions of  $ad e_{jk}$  shows that  $I \supseteq L_\alpha$ ,  $\forall \alpha \in \Phi$ . If  $I \ni h$ , then take  $\epsilon_i - \epsilon_j$  s.t.  $(\epsilon_i - \epsilon_j)h \neq 0$ , then  $[h, e_{ij}] = (\epsilon_i - \epsilon_j)h \cdot e_{ij} \in I \Rightarrow I \supseteq L_{\epsilon_i - \epsilon_j}$ .

- $\mathfrak{so}(n)$

Usually  $\mathfrak{so}(n)$  refers to LA of antisymmetric matrices, but it's not so convenient to work with since it contains no diagonal matrices. Instead, we work with another version of  $\mathfrak{so}(n)$ .

- Orbit of  $GL_n(\mathbb{k}) \curvearrowright \text{Sym}(n) = \{ \text{symmetric } n \times n \text{ matrices} \} \quad T \in GL_n(\mathbb{k}), J \in \text{Sym}(n)$ ,  $T \cdot J \triangleq TJT^t$ .

$$\mathbb{k} = \mathbb{R}, \quad O = \left\{ \begin{pmatrix} I_k & -I_\ell \\ 0 & 0 \end{pmatrix} \mid 0 \leq k + \ell \leq n \right\}$$

$$\mathbb{k} = \mathbb{C}, \quad O = \left\{ \begin{pmatrix} I_k & 0 \\ 0 & 0 \end{pmatrix} \mid 0 \leq k \leq n \right\}$$

Consider the even dimensional case first.  $SO(2n, \mathbb{C}) = \{A \mid A^t A = \text{Id}\}$  can be conjugated to the group  $SO(2n, \mathbb{C}) = \{A^t JA = J\}$ , where  $J = \begin{pmatrix} 0 & I_n \\ I_n & 0 \end{pmatrix}$ . It's LA  $\{X \mid X^t J + JX = 0\}$  = infinitesimal symmetries of the bilinear form  $\langle x, y \rangle = x^t J y$ .

Write  $X = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$ .  $X^t J + JX = 0 \Leftrightarrow C^t = -C, B^t = -B, A^t + D = 0$ . Now this LA have a large subalgebra of diagonal matrices  $H = \left\{ \begin{pmatrix} D & 0 \\ 0 & D \end{pmatrix} \mid D \text{ diagonal } n \times n \text{ matrices} \right\}$  which is in fact a C.S.A.  $\dim H = n$ . (In case  $\mathbb{k} = \mathbb{R}$ ,  $J \sim \begin{pmatrix} I_n & -I_n \\ -I_n & I_n \end{pmatrix}$ , and  $\mathfrak{so}(2n, \mathbb{R})$  is isomorphic to the LA  $\mathfrak{so}(n, n; \mathbb{R})$ , a real form of  $\mathfrak{so}(2n, \mathbb{C})$ ).

Now, as an example, consider the special case when  $n=2$ :

$$\text{SO}(4) \ni H = \mathbb{C}\langle h_1, h_2 \rangle. \quad h_1 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = e_{11} - e_{33}, \quad h_2 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = e_{22} - e_{44}$$

and we can take weight vectors:

$$e_1 = \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix} \quad e_2 = \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \end{pmatrix} \quad e_3 = \begin{pmatrix} 0 & 0 \\ 0 & 0 \\ 1 & 0 \\ 0 & 0 \end{pmatrix} \quad e_4 = \begin{pmatrix} 0 & 0 \\ 0 & 0 \\ 0 & 1 \\ 0 & 0 \end{pmatrix}$$

We have:

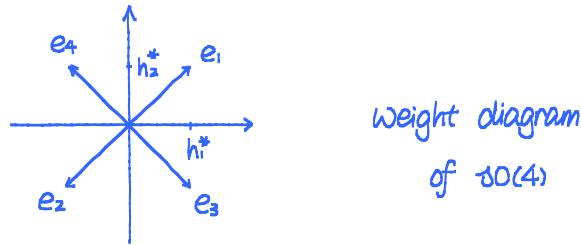
$$[h_1, e_1] = e_1 \quad [h_1, e_2] = -e_2$$

$$[h_2, e_1] = e_1 \quad [h_2, e_2] = -e_2$$

$$[h_1, e_3] = e_3 \quad [h_1, e_4] = -e_4$$

$$[h_2, e_3] = -e_3 \quad [h_2, e_4] = e_4$$

diagrammatically, we have



In particular, the diagram shows that  $e_1, e_2, [e_1, e_2]$ ;  $e_3, e_4, [e_3, e_4]$  span two copies of non-interfering  $\mathfrak{sl}(2)$ 's, and

$$\text{SO}(4) = \mathbb{C}\langle e_1, e_2, [e_1, e_2] \rangle \oplus \mathbb{C}\langle e_3, e_4, [e_3, e_4] \rangle \cong \mathfrak{sl}(2) \oplus \mathfrak{sl}(2)$$

(Over  $\mathbb{R}$ ,  $\text{SO}(4; \mathbb{R}) \cong \text{SU}(2) \oplus \text{SU}(2) \xrightarrow{\otimes \mathbb{C}} \text{SO}(4, \mathbb{C}) \cong \mathfrak{sl}(2) \oplus \mathfrak{sl}(2)$ . Indeed, we have the Lie group homomorphisms:  $1 \rightarrow \mathbb{Z}/2 \rightarrow \text{SU}(2) \times \text{SU}(2) \rightarrow \text{SO}(4) \rightarrow 1$ ,  $\mathbb{Z}/2$  generated by  $(-I, -I)$  of  $\text{SU}(2) \times \text{SU}(2)$ ).

In general,  $h_i = e_{ii} - e_{n+i, n+i}$  ( $1 \leq i \leq n$ ),  $H = \mathbb{C}\langle h_1, \dots, h_n \rangle$ , and we obtain a weight decomposition of  $\text{SO}(2n) = H \oplus \sum_{\alpha \in \Phi} L_\alpha$  : ( $\lambda_i \triangleq h_i^*$ ,  $1 \leq i \leq n$ )

Weight spaces  $L_\alpha$

$H$

$$e_{ij}^1 = e_{i, n+j} - e_{j, n+i}$$

$$e_{ij}^2 = e_{n+i, j} - e_{n+j, i}$$

$$e_{ij}^3 = e_{ij} - e_{n+j, n+i}$$

$$e_{ij}^4 = e_{ji} - e_{n+i, n+j}$$

weights:  $\alpha \in \Phi \cup \{0\}$

0

$$\lambda_i + \lambda_j$$

$$-\lambda_i - \lambda_j$$

$$\lambda_i - \lambda_j \quad (i < j)$$

$$\lambda_j - \lambda_i \quad (i < j)$$

In particular,  $\#\{\text{roots}\} = 2n(n-1)$  and  $\dim \text{SO}(2n) = n + 2n(n-1) = 2n^2 - n$ .

We can check from the above decomposition that if  $\alpha, \beta, \alpha+\beta \in \Phi$ , which implies:

Cor.  $\text{SO}(2n)$  is simple for  $n > 2$ .  $\square$

- Aside:  $\text{SO}(6) \cong \text{SL}(4)$  (they have the same root system)

Now let's consider the odd dimensional case :  $\text{SO}(2n+1)$

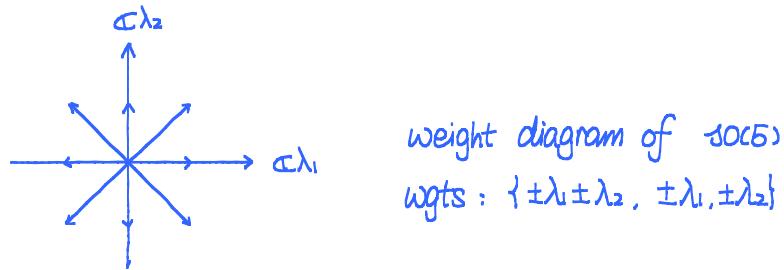
Similar as above, we may use  $J = \begin{pmatrix} 1 & 0 & I_n \\ 0 & I_n & 0 \\ 0 & 0 & 0 \end{pmatrix}$ .  $\text{SO}(2n+1) = \{AJA^t = J\}$  and  $\text{SO}(2n+1) = \{XJ + JX^t = 0\}$ . Write  $X = \begin{pmatrix} e & a & b \\ c & A & B \\ d & C & D \end{pmatrix} \Rightarrow e=0, c=-b^t, d=-a^t$  and  $A, B, C, D$  as in  $\text{SO}(2n)$ .

For example, consider  $n=2$  :  $\text{SO}(5)$ ,  $\text{SO}(4) \subseteq \text{SO}(5)$  with the same CSA :  $H = \mathbb{C}\langle h_1, h_2 \rangle$ . The weight decomposition are the weights of  $\text{SO}(4)$  plus  $\pm \lambda_i$

For instance :

$$e' = \begin{pmatrix} 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ -1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix} \Rightarrow [h_1, e'] = -e' \quad [h_2, e'] = 0$$

i.e wgt  $-\lambda_1$



The weight diagram also shows that  $\text{SO}(5)$  is simple.

In general,  $\text{SO}(2n) \subseteq \text{SO}(2n+1)$  have the same CSA, and  $\text{SO}(2n+1)$  has  $2n$  more roots than those in  $\text{SO}(2n)$ , namely  $\Phi = \{\pm\lambda_i \pm \lambda_j, \pm\lambda_i, 1 \leq i \leq n, 1 \leq j \leq n\}$ , and the weight vectors for  $\pm\lambda_i$  are  $e_{i,n+i+1} - e_{i+1,1}$  for  $\lambda_i$  and  $e_{1,i+1} - e_{n+i+1,1}$  for  $-\lambda_i$ .

Weight spaces  $L_\alpha$

$H$

$$e_{ij}^1 = e_{i+1, n+j+1} - e_{j+1, n+i+1}$$

$$e_{ij}^2 = e_{n+i+1, j+1} - e_{n+j+1, i+1}$$

weights :  $\alpha \in \Phi \cup \{0\}$

$O$

$$\lambda_i + \lambda_j$$

$$-\lambda_i - \lambda_j$$

$$\begin{aligned}
 e_{ij}^3 &= e_{i+1,j+1} - e_{n+j+1,n+i+1} & \lambda_i - \lambda_j \quad (i < j) \\
 e_{ij}^4 &= e_{i+1,i+1} - e_{n+i+1,n+j+1} & \lambda_j - \lambda_i \quad (i < j) \\
 e_i^5 &= e_{1,n+i+1} - e_{i+1,1} & \lambda_i \\
 e_i^6 &= e_{1,i+1} - e_{n+i+1,1} & -\lambda_i
 \end{aligned}$$

- Aside:  $\mathfrak{so}(3) \cong \mathfrak{sl}(2)$ ,  $\mathfrak{so}(5) \cong \mathfrak{sp}(2)$ .

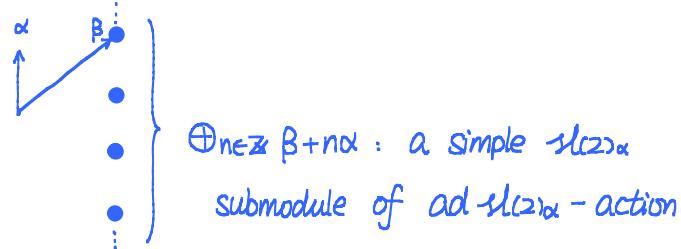
Rationality:

Question: why the real pictures are legitimate for  $H^*/\mathbb{C}$ ?

Recall that for  $L$  simple  $\rightsquigarrow H$  CSA,  $L \cong H \oplus_{\alpha \in \Phi} L_\alpha$ ,  $B|_{H \times H}$  non-degenerate.

$\rightsquigarrow H^* \cong H$ ,  $\alpha \mapsto t_\alpha : B(h, t_\alpha) = \alpha(h)$ .

$\rightsquigarrow$  For each  $\alpha$ , we can construct a copy of  $\mathfrak{sl}(2)_\alpha$ :  $x_\alpha \in L_\alpha$ ,  $h_\alpha = \frac{2t_\alpha}{\alpha(t_\alpha)}$  and  $y_\alpha \in L_{-\alpha}$ . W.r.t. the adjoint action of this copy of  $\mathfrak{sl}(2)$ ,  $L$  decomposes into simple submodules:

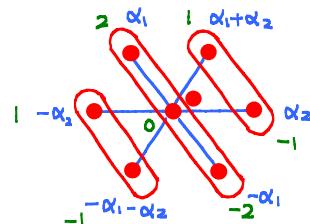


$\forall x \in L_\beta$ ,  $[h_\alpha, x] = \beta(\alpha)x$  and  $\beta(\alpha) = \frac{2\beta(t_\alpha)}{(t_\alpha, t_\alpha)} = \frac{2(\beta, \alpha)}{(\alpha, \alpha)} \in \mathbb{Z}$  by properties of  $\mathfrak{sl}(2)$  representations. Moreover, for  $\mathfrak{sl}(2)$ -modules, if  $m$  is a wgt, so is  $-m$   $\Rightarrow \beta - \beta(\alpha)\alpha \in \Phi^-$   $(\beta - \beta(\alpha)\alpha)(h_\alpha) = \beta(h_\alpha) - \beta(\alpha)\frac{2(\alpha, \alpha)}{(\alpha, \alpha)} = -\beta(h_\alpha)$ .

E.g.  $\text{ad-}\mathfrak{sl}(2)_{\alpha_1}$ -decomposition of  $\mathfrak{sl}(3)$

Notation:  $\langle \beta, \alpha \rangle \triangleq \frac{2(\beta, \alpha)}{(\alpha, \alpha)} \in \mathbb{Z}$  (only for roots).

Note that  $\langle \cdot, \alpha \rangle$  is linear in the first spot.



Now we shall show that  $H, H^*/\mathbb{C} \rightsquigarrow \mathbb{Q}$  (defined over  $\mathbb{Q}$ ). Take a basis in  $\Phi$  of  $H^*$ , possible since  $\Phi$  spans  $H^*$ , say,  $\alpha_1, \dots, \alpha_l \in \Phi$ . Then  $\forall \beta \in \Phi$

$$\beta = \sum_{i=1}^l c_i \alpha_i$$

Claim:  $c_i \in \mathbb{Q}$

Indeed,  $\langle \beta, \alpha_j \rangle = \sum_{i=1}^l c_i \langle \alpha_i, \alpha_j \rangle$ , and  $\langle \beta, \alpha_j \rangle, \langle \alpha_i, \alpha_j \rangle \in \mathbb{Z}$ . Moreover, since  $B$  is non-degenerate,  $(\langle \alpha_i, \alpha_j \rangle)_{i,j=1}^n \in GL_n(\mathbb{Q}) \Rightarrow c_i \in \mathbb{Q}$ .

Furthermore,  $\forall \alpha, \beta \in \Phi, (\alpha, \beta) \in \Phi$ . Indeed, recall that we have  $\forall \gamma, \delta \in H^*$

$$(\gamma, \delta) = \sum_{\lambda \in \Phi} (\gamma, \lambda)(\delta, \lambda) \Rightarrow (\beta, \beta) = \sum_{\lambda \in \Phi} (\beta, \lambda)^2 \Rightarrow \frac{1}{(\beta, \beta)} = \sum \frac{(\beta, \lambda)^2}{(\beta, \beta)} = \sum \frac{1}{4} \langle \beta, \lambda \rangle^2 \in \mathbb{Q}$$

$$\Rightarrow (\beta, \beta) \in \mathbb{Q} \Rightarrow (\alpha, \beta) = \frac{1}{2} \langle \alpha, \beta \rangle \cdot (\alpha, \alpha) \in \mathbb{Q}.$$

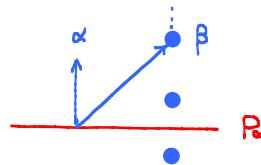
Define  $E_{\mathbb{Q}} \triangleq \mathbb{Q}\Phi \subseteq H^*$ , ( $\mathbb{Q}$  vector space).  $\dim_{\mathbb{Q}} E_{\mathbb{Q}} = \dim_{\mathbb{C}} H^*$

Properties of  $E_{\mathbb{Q}}$  (which establishes the legitimacy of our drawing of  $H^*$  as a real vector space)

- 1).  $B: E_{\mathbb{Q}} \times E_{\mathbb{Q}} \rightarrow \mathbb{Q}$ , and is positive definite ( $B = \sum_{\lambda} (\lambda, \cdot)^2$ , and  $(\lambda, \alpha) \in \mathbb{Q}$ ).
- 2).  $\forall \alpha, \beta \in \Phi, \langle \beta, \alpha \rangle \in \mathbb{Z}; \alpha, \beta \in \Phi \Rightarrow \beta - \langle \beta, \alpha \rangle \alpha \in \Phi$ .
- 3).  $\alpha \in \Phi \Rightarrow -\alpha \in \Phi$  and no other rational multiples of  $\alpha$  are in  $\Phi$ .
- 4).  $\Phi$  spans  $E_{\mathbb{Q}}$ .

Note that  $\beta \mapsto \beta - \langle \beta, \alpha \rangle \alpha$  is nothing but the reflection of  $E_{\mathbb{Q}}$  about the hyperplane  $P_{\alpha} \perp \alpha$ :

Thus 2)  $\Rightarrow$  the reflections  $s_{\alpha}$  about  $P_{\alpha}$  preserves  $\Phi$ :  $s_{\alpha} \Phi = \Phi$



Def: (Weyl group) The Weyl group of root datum is the group generated by the reflections  $s_{\alpha}$ .

E.g.

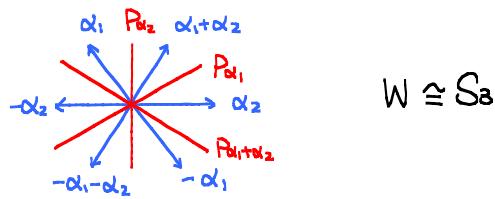
- 1).  $A(2)$ : only one root  $\alpha$  and one reflection:  $\alpha \mapsto \alpha - \langle \alpha, \alpha \rangle \alpha = -\alpha$



$$W \cong S_2 \cong \mathbb{Z}/2.$$

2).  $\mathfrak{sl}(3)$ : recall that  $B$  on  $H$  is given by  $\begin{pmatrix} h_1 & h_2 \\ 12 & -6 \end{pmatrix}_{h_1}$   
 $\Rightarrow t_1 = \frac{h_1}{6}, t_2 = \frac{h_2}{6}$  ( $t_1 \leftrightarrow \alpha_1, t_2 \leftrightarrow \alpha_2$ )  
 $\Rightarrow B$  on  $H^*$  is given by  $\frac{1}{36} \begin{pmatrix} 12 & -6 \\ -6 & 12 \end{pmatrix} = \begin{pmatrix} \frac{1}{3} & -\frac{1}{6} \\ -\frac{1}{6} & \frac{1}{3} \end{pmatrix}$  (w.r.t.  $\alpha_1, \alpha_2$ ).

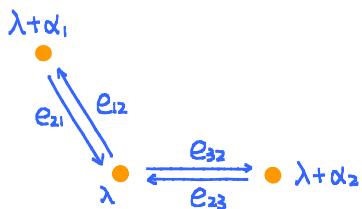
After rescaling,  $B$  can be given by  $\begin{pmatrix} 2 & -1 \\ -1 & 2 \end{pmatrix}$ , in particular,  $\{\pm\alpha_1, \pm\alpha_2, \pm(\alpha_1+\alpha_2)\}$  are of the same length, and also shows the angles between neighboring roots are  $\frac{\pi}{3}$  (in  $E_6$ ).



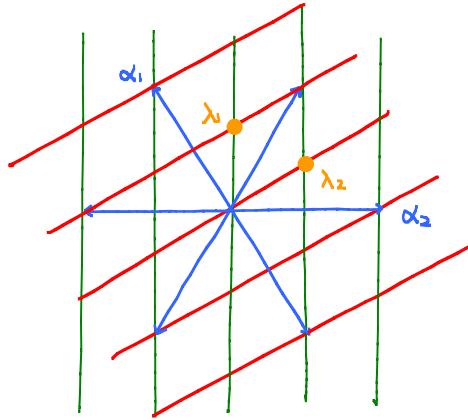
- Finite dimensional  $\mathfrak{sl}(3)$ -modules.

They are:

- Completely reducible
- Weight space decomposition w.r.t.  $H$ :  $V = \bigoplus_{\lambda \in H^*} V(\lambda)$ , where  $V(\lambda) = \{v \in V \mid h.v = \lambda(h)v\}$  (recall that  $x \in L$  acts semisimply iff  $x$  acts semisimply in the adjoint representation).
- If  $V(\lambda)$  is a weight space then  $V(\lambda) \xrightarrow[e_{12}]{e_{21}} V(\lambda + \alpha_1)$  and  $V(\lambda) \xrightarrow[e_{23}]{e_{32}} V(\lambda + \alpha_2)$  or graphically:



Hence, as  $\mathfrak{sl}(2)_{\alpha_1}$ -modules,  $e_{12}, e_{21}$  shift  $h_1$  wghts; as  $\mathfrak{sl}(2)_{\alpha_2}$ -modules,  $e_{32}, e_{23}$  shift  $h_2$  wghts. Thus the wghts of  $V$  should be on lines where  $\alpha_1, \alpha_2$  take integer values:



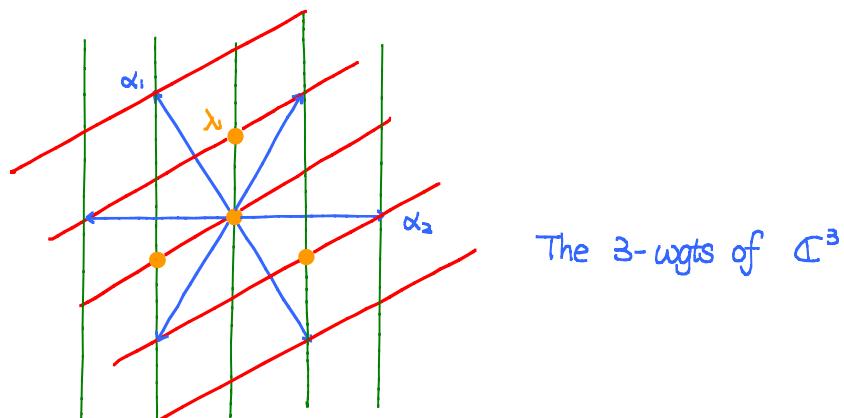
In the lattice, we may find  $\lambda_1, \lambda_2$  of the smallest length,  $\langle \lambda_i, \alpha_j \rangle = \delta_{ij}$

The lattice  $\Lambda = \mathbb{Z}\lambda_1 \oplus \mathbb{Z}\lambda_2$  spans an integral lattice and  $\forall \lambda \in \mathbb{H}^*, \langle \lambda, \alpha_i \rangle \in \mathbb{Z}$   
 $\forall \alpha_i \Leftrightarrow \lambda \in \Lambda$ .

In particular,  $\Phi \subseteq \Lambda$  since  $\mathfrak{sl}(3)$  is itself a rep ( $\alpha_1 = 2\lambda_1 - \lambda_2, \alpha_2 = 2\lambda_2 - \lambda_1$ )  
 Moreover,  $\Lambda_r \triangleq \text{root vectors} = \mathbb{Z}\Phi$ , then  $\Lambda/\Lambda_r \cong \mathbb{Z}/3$ , since it's easy to check that  $3\lambda_1 = 2\alpha_1 + \alpha_2 \in \Lambda_r$  and  $\alpha_1, \lambda_1$  also generate  $\Lambda$ .  $\{0, \lambda_1, 2\lambda_1\}$  is a set of representatives for  $\Lambda/\Lambda_r$ .

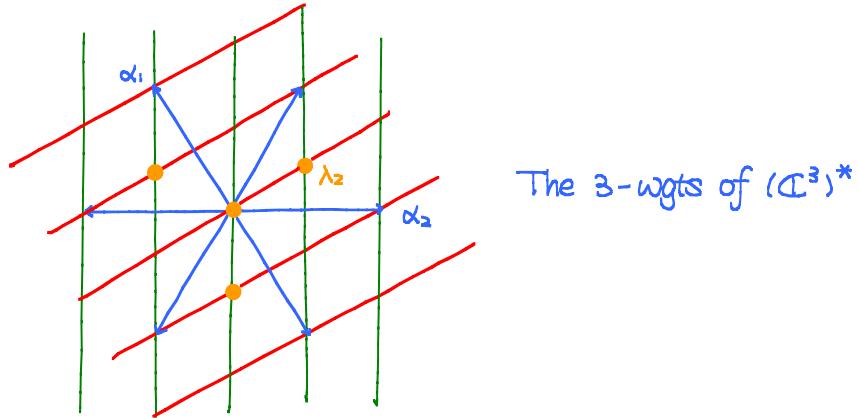
Let's look at specific representations:

- Trivial rep: only the zero weight.
- Fundamental rep :  $\mathfrak{sl}(3) \rightarrow \mathbb{C}^3$ . Highest weight vector  $v_0 = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$   
 $h_1 \cdot v_0 = v_0, h_2 \cdot v_0 = 0$  ( $h_1 = e_{11} - e_{22}, h_2 = e_{22} - e_{33}$ )  $\Rightarrow \lambda_1$  is the highest wgt.  
 Moreover  $\lambda_1 \xrightarrow{e_{21}} \lambda_1 - \alpha_1 \xrightarrow{e_{32}} \lambda_1 - \alpha_1 - \alpha_2$  are the three wghts:



- $(\mathbb{C}^3)^* : V(\lambda)^* = V^*(-\lambda)$  by definition of conjugate actions.

$\Rightarrow V^*$  has wghts :  $\lambda_2, \lambda_2 - \alpha_2, \lambda_2 - \alpha_1 - \alpha_2$



Notice that  $(\mathbb{C}^3)^* \cong \Lambda^2 \mathbb{C}^3$ , ( $\Lambda^2 \mathbb{C}^3$  has basis  $v_0 \wedge v_1, v_0 \wedge v_2, v_1 \wedge v_2$ )

$$h(v_0 \wedge v_1) = (hv_0) \wedge v_1 + v_0 \wedge hv_1 = (\lambda_2(h) + (\lambda_1 - \alpha_1)h)v_0 \wedge v_1 = \lambda_2 v_0 \wedge v_1.$$

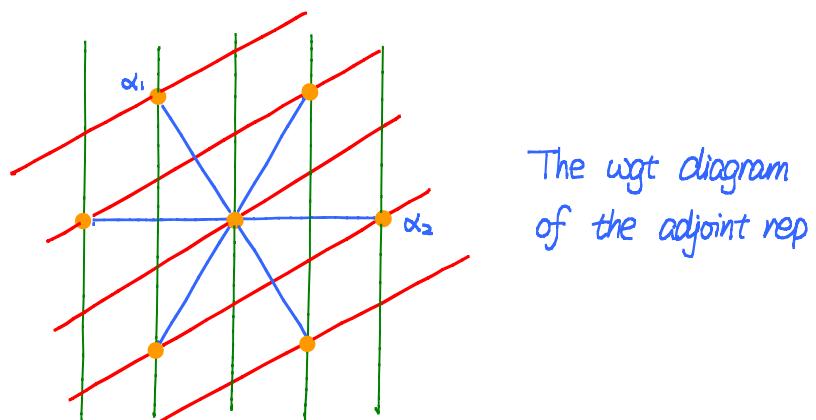
$$h(v_1 \wedge v_2) = (\lambda_1 - \alpha_1 + \lambda_2 - \alpha_1 - \alpha_2)v_1 \wedge v_2 = (\lambda_2 - \alpha_1 - \alpha_2)h v_1 \wedge v_2$$

$$h(v_0 \wedge v_2) = (\lambda_1 + \lambda_2 - \alpha_1 - \alpha_2)v_0 \wedge v_2 = (\lambda_2 - \alpha_2)h v_0 \wedge v_2$$

- Adjoint rep. and its dual

wgts:  $\bar{\Phi}$ , and  $\mathfrak{sl}(3)^* \cong \mathfrak{sl}(3)$ , since  $B$  is an intertwiner:  $\mathfrak{sl}(3) \otimes \mathfrak{sl}(3) \rightarrow \mathbb{C}$ .

This argument works for any simple LA :  $L \otimes L \xrightarrow{B} \mathbb{C} \Rightarrow L \cong L^*$ .



Notice that in this case weights are also invariant under the Weyl group actions. In general, weights of  $L$ -modules are invariant under  $W(L)$  actions. Moreover,  $W \subseteq D_3 = \text{Sym}(\bar{\Phi})$ , and in general this is also true, that  $W(L) \subseteq \text{Sym}(\bar{\Phi})$ .

- Characters.

Since for any  $\mathfrak{sl}(3)$ -module  $V$ :  $V = \bigoplus_{\lambda \in \mathbb{H}^*, \lambda \in \Lambda} V(\lambda)$ , we may form a group ring  $\mathbb{Z}[\Lambda]$  from  $\Lambda$ , where we write  $e^\lambda$  symbolically for  $\lambda \in \Lambda$ ;  $e^\lambda \cdot e^\mu = e^{\lambda+\mu}$ .

Then  $\mathbb{Z}[\lambda]$  is a unital ring with unit  $e^0 = 1$ . If  $\lambda \in \mathbb{Z}[\lambda] = \mathbb{Z}\lambda_1 \oplus \mathbb{Z}\lambda_2$ ,  $\lambda = a\lambda_1 + b\lambda_2$ , then  $e^\lambda = (e^{\lambda_1})^a (e^{\lambda_2})^b$ .

Take  $V$  an  $\mathfrak{sl}(3)$ -module. We define  $\text{ch}(V) = \sum_{\lambda \in \Lambda} \dim V(\lambda) \cdot e^\lambda$

E.g. 1)  $\text{ch}(\mathbb{C}) = 1$

2). Denote the fundamental rep  $\mathbb{C}^3$  by  $V_{1,0}$ ; its dual  $V_{0,1}$ . then

$$ch(V_{1,0}) = e^{\lambda_1} + e^{\lambda_1 - \alpha_1} + e^{\lambda_1 - \alpha_1 - \alpha_2} = e^{\lambda_1} + e^{\lambda_2 - \lambda_1} + e^{-\lambda_2}$$

$$ch(V_{0,1}) = e^{-\lambda_1} + e^{\lambda_1 - \lambda_2} + e^{\lambda_2}$$

$$3). \operatorname{ch}(\mathfrak{sl}(3)_{\text{ad}}) = e^{\alpha_1} + e^{\alpha_1 + \alpha_2} + e^{\alpha_2} + 2 + e^{-\alpha_2} + e^{-\alpha_1 - \alpha_2} + e^{-\alpha_1} = \operatorname{ch}(\mathfrak{sl}(3)_{\text{ad}}^*)$$

In general, we have

$$10. \quad ch(V \oplus W) = ch(V) + ch(W) ; \quad ch(V \otimes W) = chV \cdot chW.$$

$$2). \text{ch}(V^*) = \text{ch}(V)[\lambda \mapsto -\lambda] \quad \text{since} \quad V^*(\lambda) \cong V(-\lambda)^*.$$

The rep ring of  $\mathfrak{sl}(3)$  is the free abelian group with basis  $\{\mathbb{C}V\}$  where  $V$  ranges over isomorphism classes of irreps, which is denoted  $\text{Rep-}\mathfrak{sl}(3)$ . We have

$$\{ \text{-}sl(3) \text{-modules} \} \rightarrow \text{Rep}(sl(3))$$

V → [V]

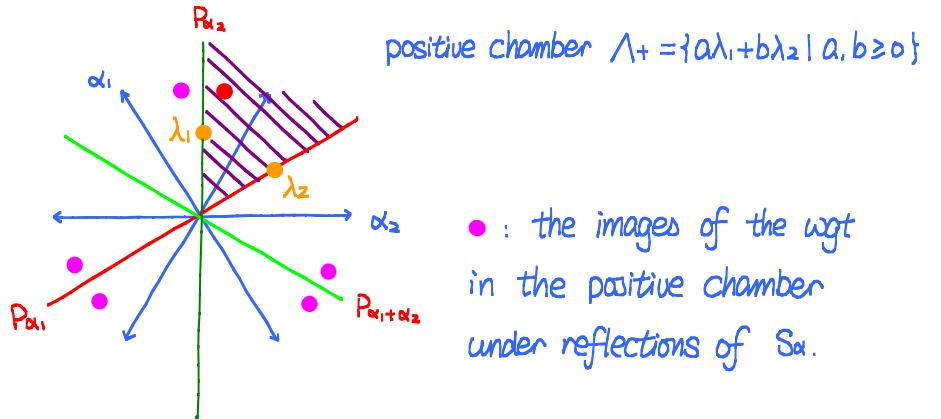
satisfying :  $[V \oplus W] = [V] + [W]$ ,  $[V \otimes W] = [V] \cdot [W]$      $[I]$  : unit element.

It follows that  $\text{ch} : \text{Rep}_{\text{ct}}(\mathfrak{sl}(3)) \rightarrow \mathbb{Z}[\Lambda]$  is a unital ring homomorphism.

Notice that the Weyl group generated by reflections  $S_\alpha$ ,  $\alpha \in \Phi$  preserves  $\Lambda$ , and  $\dim V(\lambda) = \dim V(\lambda - \langle \lambda, \alpha \rangle \alpha)$ . (Consider the  $\mathfrak{sl}(2)_\alpha$ -action!)

E.g.  $S_{\alpha_1} : \lambda_1 \mapsto \lambda_1 - \alpha_1 = \lambda_2 - \lambda_1, \quad \lambda_2 \mapsto \lambda_2$ . Thus  $S_{\alpha_1}(e^{\lambda_1}) \triangleq e^{\lambda_2 - \lambda_1}, \quad S_{\alpha_1}(e^{\lambda_2}) = e^{\lambda_2}$   
 and  $S_{\alpha_1}(ch(V_{1,0})) = S_{\alpha_1}(e^{\lambda_1}) + S_{\alpha_1}(e^{\lambda_2 - \lambda_1}) + S_{\alpha_1}(e^{\lambda_2}) = e^{\lambda_2 - \lambda_1} + e^{\lambda_1} + e^{\lambda_2} = ch(V_{1,0})$ .

Thus  $\text{ch}: \text{Rep}(\mathfrak{sl}(3)) \rightarrow \mathbb{Z}[\Lambda]^W \subseteq \mathbb{Z}[\Lambda]$  ( $W$ -invariant subalgebra). To specify an element in  $\mathbb{Z}[\Lambda]^W$ , it suffices to specify it inside the positive chamber.



If  $V$  is an imep, then  $\exists \lambda \in \Lambda$  s.t.  $V(\lambda) \neq 0$ , but  $e_{12} \cdot V(\lambda) = 0$ ,  $e_{23} \cdot V(\lambda) = 0$   
 $(\Rightarrow e_{13} = [e_{12}, e_{23}] V(\lambda) = 0)$

$$\begin{array}{c}
 V(\lambda + \alpha_1) = 0 \\
 \bullet \\
 \xrightarrow{e_{21}} \quad \xleftarrow{e_{12}} \\
 \bullet \quad V(\lambda) \neq 0 \quad \xleftarrow{e_{32}} \quad \bullet \quad V(\lambda + \alpha_2) = 0 \\
 \xleftarrow{e_{23}}
 \end{array}$$

Def:  $\lambda$  is called the highest weight of  $V$  if  $V(\lambda) \neq 0$  but  $V(\lambda + a\alpha_1 + b\alpha_2) = 0$ ,  $\forall a, b \geq 0$ ,  $a+b > 0$ . More generally, any  $v \in W$ , an  $\mathfrak{sl}(3)$ -module is said to have h.w.  $\lambda$  if  $h.v = \lambda(h)v$  and  $e_{12}v = e_{13}v = e_{23}v = 0$ .

Since if  $V(\lambda) \neq 0$ ,  $V(S_\alpha \cdot \lambda) \neq 0$ ,  $S_\alpha \lambda = \lambda - \langle \lambda, \alpha \rangle \alpha$ . If  $\langle \lambda, \alpha \rangle < 0$ , we can consider  $S_\alpha \cdot \lambda$  which will be of the form  $\lambda + a\lambda_1 + b\lambda_2$ ,  $a, b \geq 0$ . Continue this process we end up with a h.w. in  $\Lambda_+$ .

Prop. There is a bijection between finite dimensional imep's of  $\mathfrak{sl}(3)$  and the elements of  $\Lambda_+$ , the positive integral wghts.

The proof is divided into steps.

- Construction of  $V_\lambda$  with h.w.  $\lambda = a\lambda_1 + b\lambda_2 \in \Lambda_+$ .

Notice that if  $V$  has h.w.  $\lambda$ ,  $W$  has h.w.  $\mu$ , then  $V \otimes W$  has a wght vector with h.w.  $\lambda + \mu$ . Indeed,  $V(\lambda + \mu) = V(\lambda) \otimes W(\mu) \neq 0$ .

Now, consider  $V_{i,0}^{\otimes a} \otimes V_{0,i}^{\otimes b}$ . Take  $v_0 \in V_{i,0}(\lambda_1)$  and  $v_1 \in V_{0,i}(\lambda_2)$ . Then  $v_\lambda = v_0^{\otimes a} \otimes v_1^{\otimes b} \in V_{i,0}^{\otimes a} \otimes V_{0,i}^{\otimes b}$  has wgt  $a\lambda_1 + b\lambda_2$ , and as a h.w., since  $e_{12}, e_{23}, e_{13}$  kill each  $v_0, v_1$ , thus kill  $v_\lambda$  by Leibnitz rule.

Thus  $v_\lambda$  generate a subrep of  $V_{i,0}^{\otimes a} \otimes V_{0,i}^{\otimes b}$ , namely  $\mathcal{U}(sl(3)) \cdot v_\lambda \cong V_\lambda$ .

- $V_\lambda$  is an irrep.

Indeed if  $V_\lambda = V \oplus W$ . Since this decomposition must preserve the wgt decomposition.  $V_\lambda(\lambda) = V(\lambda) \oplus W(\lambda)$ . But  $V(\lambda)$  is 1-dim'l.  $W(\lambda) = 0$  and  $W$  is not generated by  $v_\lambda$ .

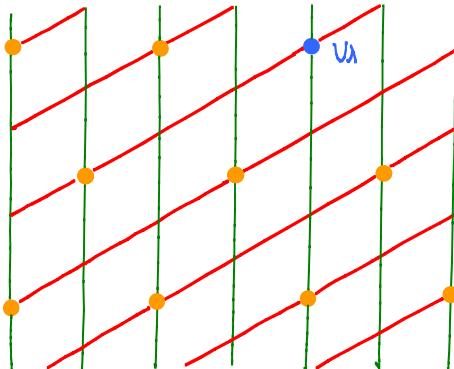
- Verma modules and uniqueness of  $V_\lambda$

$\mathcal{U}(sl(3)) = n_+ \oplus H \oplus n_-$ , and  $b_+ = n_+ \oplus H$  is a positive Borel subalgebra.

If  $\lambda \in H^*$ , we may construct a 1-dim'l rep of  $\mathcal{U}(b_+)$ :  $\mathbb{C}v_\lambda$  by:

$$n_+ \cdot v_\lambda = 0, \quad h \cdot v_\lambda = \lambda \text{ch}_h v_\lambda.$$

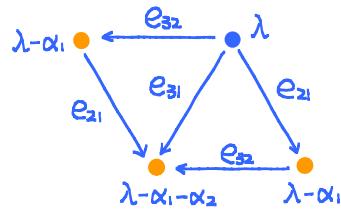
Define  $M_\lambda = \mathcal{U}(sl(3)) \otimes_{\mathcal{U}(b_+)} \mathbb{C}v_\lambda$ . The size of  $M_\lambda$  can be measured as follows  
 $\mathcal{U}(sl(3)) \cong \mathcal{U}(n_-) \otimes \mathcal{U}(b_+)$  (P.B.W. Thm; only as  $\mathcal{U}(n_-)$ -modules, not as rings)  
 $\Rightarrow M_\lambda \cong \mathcal{U}(n_-) \otimes \mathbb{C}v_\lambda$ .  $M_\lambda$  has a wgt decomposition:  $M = \bigoplus_{a,b \geq 0} M(\lambda - a\alpha_1 - b\alpha_2)$ .



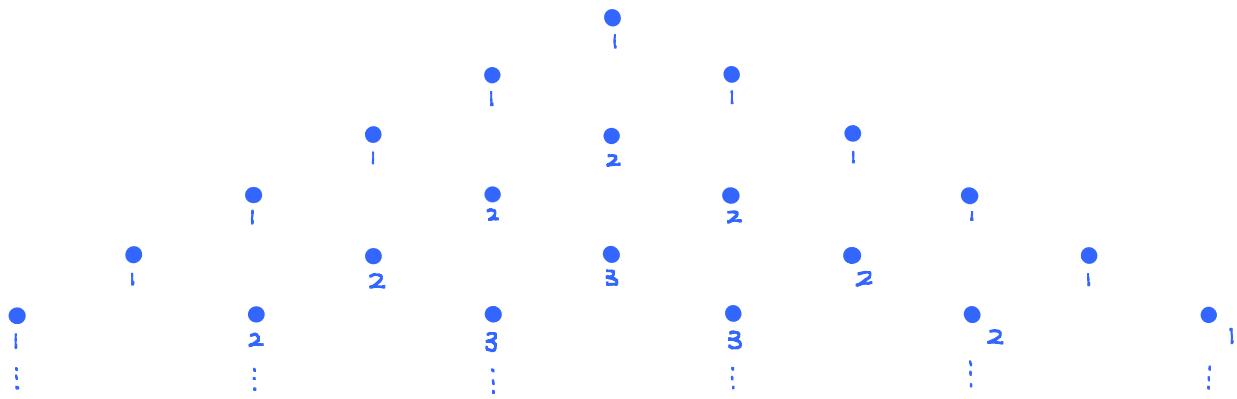
The wgt diagram  
of the Verma  
module  $M_\lambda$ .

Notice that  $\mathcal{U}(n_-) \cong \mathbb{C}\langle e_{21}^a e_{32}^b e_{31}^c \rangle$  as vector spaces.  $e_{21}^a e_{32}^b e_{31}^c$  has wgt  $\lambda - a\alpha_1 - b\alpha_2 - c(\alpha_1 + \alpha_2) = \lambda - (a+c)\alpha_1 - (b+c)\alpha_2 = \lambda - d_1\alpha_1 - d_2\alpha_2$ . Moreover, since  $e_{32} e_{21} \cdot v_\lambda = e_{21} e_{32} \cdot v_\lambda + [e_{32}, e_{21}] v_\lambda = e_{21} e_{32} v_\lambda + e_{31} v_\lambda$ , and  $e_{21} e_{32} v_\lambda$  and

$e_{31}v_\lambda$  and  $e_{21}v_\lambda$  are both of wgt  $\lambda - \alpha_1 - \alpha_2$ . It follows that  $\dim V(\lambda - \alpha_1 - \alpha_2) = 2$ .



In general,  $\dim M_\lambda(\lambda - d_1\alpha_1 - d_2\alpha_2) = \min(d_1, d_2) + 1$ , (the same as  $\mathfrak{sl}(n)$ , in any case), the dimension of the wghts are fitted into the diagram:



Now, consider  $\text{Hom}_{\mathfrak{sl}(3)}(M_\lambda, V)$ , where  $V$  is any  $\mathfrak{sl}(3)$ -module. If  $0 \neq f \in \text{Hom}_{\mathfrak{sl}(3)}(M_\lambda, V)$ , then  $f(v_\lambda)$  is a non-zero h.w. of wgt  $\lambda$  for  $V$ . Indeed,  $h \cdot f(v_\lambda) = f(hv_\lambda) = \lambda(h)f(v_\lambda)$ ,  $n_+ \cdot f(v_\lambda) = f(n_+ \cdot v_\lambda) = 0$ . Thus  $f(v_\lambda)$  in  $V$  has h.w.  $\lambda$ .

In our case, if  $V$  is finite dimensional,  $V = \bigoplus_{\mu \in \Lambda} V(\mu)$ ,  $\exists v_\lambda \in V(\lambda)$ , and  $e_{12} \cdot v_\lambda = 0$ ,  $e_{23} \cdot v_\lambda = 0$ , then  $e_{13} \cdot v_\lambda = [e_{12}, e_{23}] v_\lambda = 0 \Rightarrow n_+ \cdot v_\lambda = 0 \Rightarrow \exists f: M_\lambda \rightarrow V_\lambda, f(v_\lambda) = v_\lambda$ .

E.g.  $V = \mathfrak{sl}(3)_{\text{ad}}$

$\lambda = 0$ .  $\text{Hom}_{\mathfrak{sl}(3)}(M_0, \mathfrak{sl}(3)_{\text{ad}}) = 0$  since there is no vector in wgt 0 killed by  $e_{12}$  and  $e_{23}$ . Similar reason applies to  $\lambda = \alpha_1$ ,  $\text{Hom}(M_{\alpha_1}, \mathfrak{sl}(3)_{\text{ad}}) = 0$ .

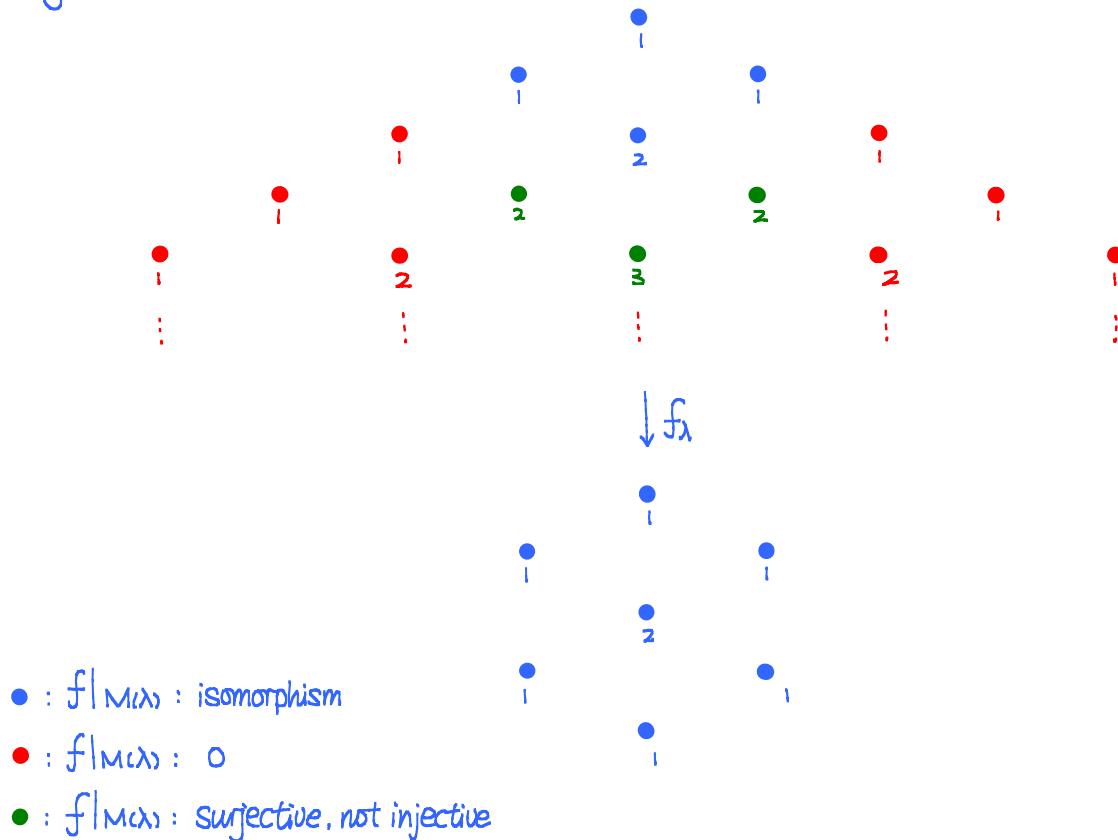
$\lambda = \alpha_1 + \alpha_2$ .  $\text{Hom}_{\mathfrak{sl}(3)}(M_{\alpha_1 + \alpha_2}, \mathfrak{sl}(3)_{\text{ad}}) = \mathbb{C}$ .

Now if  $\lambda \in \Lambda^+$  and  $v_\lambda$  is an impt of h.w.  $\lambda$ . Then the map  $f_\lambda: M_\lambda \rightarrow V_\lambda$  is surjective, and  $\ker f_\lambda \subseteq M_\lambda$ .

Notice that any submodule  $M \subseteq M_\lambda$  must respect the weight decomposition by similar arguments as we did for  $\mathfrak{sl}(2)$  case, i.e.  $M = \bigoplus_{\mu} M \cap M_{\lambda(\mu)}$ . It follows that  $M_\lambda$  has a unique maximal proper submodule  $M'_\lambda \subsetneq M_\lambda$ .  $M'_\lambda$  proper  $\Rightarrow V_\lambda \notin M'_\lambda \Rightarrow M_\lambda / M'_\lambda \cong V_\lambda$ .

This argument shows that  $V_\lambda$  is unique, and finishes the proof of the proposition.

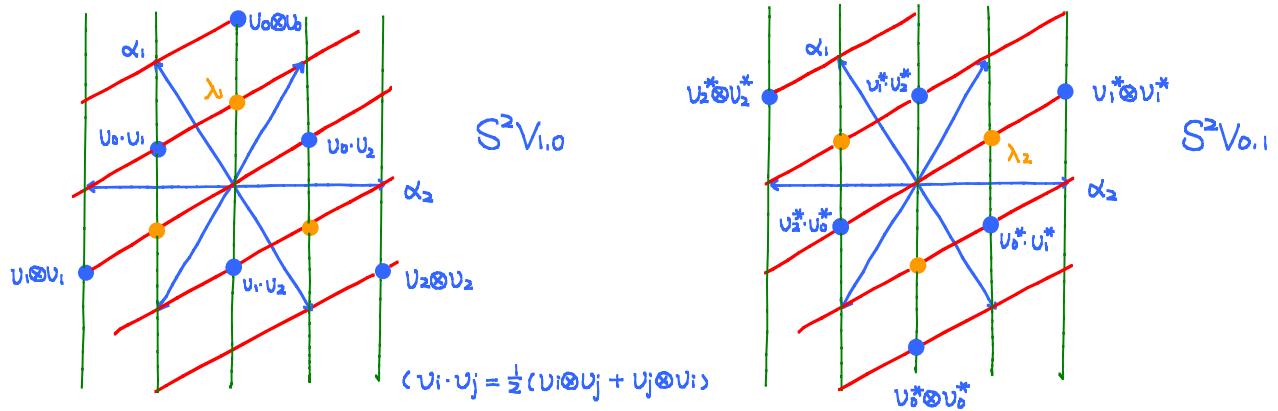
E.g.  $M_{\alpha_1 + \alpha_2} \rightarrow \mathfrak{sl}(3)\text{ad}$ .



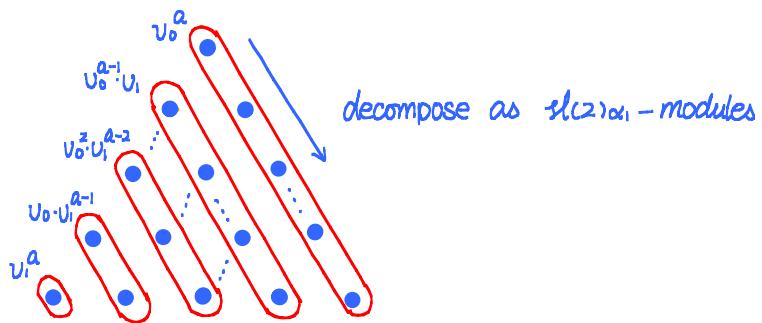
Previously we have found  $v_\lambda \in V_{1,0}^{\otimes a} \otimes V_{0,1}^{\otimes b}$  with h.w.  $a\lambda_1 + b\lambda_2$ . Then to obtain  $V(\lambda)$ , it suffices to apply  $e_{21}, e_{31}, e_{32}$  to  $v_\lambda$  repeatedly.

E.g.  $\mathfrak{sl}(3)\text{ad}$  has h.w.  $\alpha_1 + \alpha_2 = \lambda_1 + \lambda_2 \Rightarrow \mathfrak{sl}(3)\text{ad} \subseteq V_{1,0} \otimes V_{0,1} = V \otimes V^*$   
 Indeed,  $V \otimes V^* \cong \text{Hom}_\mathbb{C}(V, V) \cong \mathfrak{sl}(3) \oplus \mathfrak{U}$  ( $\mathfrak{U}$  coming from Shur's lemma!)  
 This is actually true for any  $\mathfrak{sl}(n)$  and its fundamental rep.  $V \cong \mathbb{C}^n$ .  
 $V \otimes V^* = \text{End}(V) \cong \mathfrak{gl}(n) \cong \mathfrak{sl}(n) \oplus \mathfrak{U}$ .

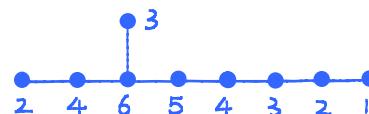
E.g.  $S^2V_{1,0}$  is an irrep with h.w.  $2\lambda_1$ ;  $S^2V_{0,1}$  is an irrep with h.w.  $2\lambda_2$ .  
 Indeed, if  $V_{1,0} = \mathbb{C}\langle v_0, v_1, v_2 \rangle$ ,  $S^2V_{1,0}$  contains wgt vectors  $v_0 \otimes v_0, v_1 \otimes v_1, v_2 \otimes v_2$ , of wghts  $2\lambda_1$ . By successively applying  $e_{ij}$  ( $i \neq j$ ), we have all 6-wgts.  
 Dually we have the diagram for  $S^2V_{0,1}$ .



Moreover,  $\forall a, b \geq 0$ ,  $S^a V, S^b V^*$  are irreps of  $\mathfrak{sl}(3)$  of h.w.  $a\lambda_1$  and  $b\lambda_2$ , since each wgt space only has dimension 1. Indeed, the wgts form a triangle as below:



More generally,  $S^n V$  is irreducible for any defining rep of  $\mathfrak{sl}(k)$ ,  $k \geq 2$ , of h.w.  $n\lambda_1$ . However, this is not a general phenomenon. i.e it is not true for other simple LA's, Lie groups, or finite group rep's. As a counter example, consider  $A_5^*$  and its McKay graph:



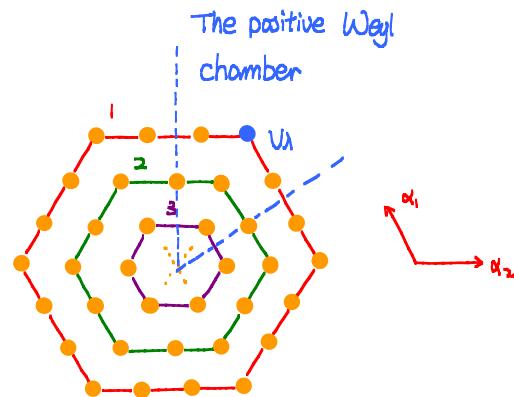
$\tilde{E}_8$ :  $A_5^*$  irrep's

Here rep's 1-6 are  $\underline{\mathbb{C}}$ ,  $V$ ,  $S^2V$ , ...,  $S^5V$  resp. but  $S^6V \cong \underline{\mathbb{C}} \oplus \underline{\mathbb{C}}$ . Indeed  $\underline{\mathbb{C}} \oplus \underline{\mathbb{C}} \oplus \underline{\mathbb{C}} \cong V \otimes \underline{\mathbb{C}} = V \otimes S^5V \cong S^6V \oplus S^4V \cong S^6V \oplus \underline{\mathbb{C}}$ .

Compare with  $\mathfrak{sl}(2)$ -modules ( $\text{SU}(2)$ -Mackay).  $S^nV$  is always an irrep, and  $V \otimes S^nV \cong S^{n-1}V \otimes S^{n+1}V$ .

$$\begin{array}{ccccccc} 1 & 2 & 3 & 4 & 5 & 6 & 7 \dots \\ \underline{\mathbb{C}} & V_1 & V_2 & V_3 & V_4 & V_5 & V_6 \end{array} \quad \tilde{A}_\infty : \text{SU}(2) \text{ irreps.}$$

Hence if  $\lambda = a\lambda_1 + b\lambda_2 \in \Lambda^+$  is the h.w. of  $V_\lambda$ , then  $V_\lambda \subseteq S^a(V) \otimes S^b(V^*) \subseteq V^{\otimes a} \otimes (V^*)^{\otimes b}$ , since the h.w. vector  $v_0^{\otimes a} \otimes (v_0^*)^{\otimes b}$  lies inside  $S^a(V) \otimes S^b(V^*)$ . If  $V_\lambda = \bigoplus_\mu V_\lambda(\mu)$ , the wts form a diagram as below: the multiplicity of the weights increases toward the center, and are equal on the barycentric edges. (since  $V_\lambda$  decomposes as various  $\mathfrak{sl}(2)_{\alpha_i}$  modules; for instance,  $\{e_{2i}^m, v_\lambda, m \geq 0\}$  form the upper right, outer-most edge, which corresponds to an  $\mathfrak{sl}(2)_{\alpha_1}$ -module thus each with multiplicity one; so are all the outer-most edges since they are in one Weyl group orbit)



Prop:  $\text{Rep}(\mathfrak{sl}(3)) \xrightarrow{\cong} \mathbb{Z}[\Lambda]^W \cong \mathbb{Z}[\Lambda^+]$  as free abelian groups.

Pf: Recall that the map is induced by  $[V] \mapsto \text{ch}V$ . It suffices to show that  $\{\text{ch}V_\lambda\}$  gives a basis of  $\mathbb{Z}[\Lambda]^W$ . An obvious basis is given by  $\tilde{e}^\lambda \cong \sum_{\text{gew}} e^{\text{gew}}$ ,  $\lambda \in \Lambda^+$ .

We can order  $\Lambda$  or  $\Lambda^+$  by  $\lambda > \mu$  iff  $\lambda - \mu = a\alpha_1 + b\alpha_2$ ,  $a, b \geq 0$ . Then we see that:

$$ch V_\lambda = \hat{e}^\lambda + \sum_{\mu \in \Lambda^+, \mu < \lambda} \dim V_{\lambda(\mu)} \cdot \hat{e}^\mu$$

Thus the basis formed by  $\{ch V_\lambda \mid \lambda \in \Lambda^+\}$  differs from that formed by  $\{\hat{e}^\lambda \mid \lambda \in \Lambda^+\}$  by an infinite upper triangular matrix with 1's on the diagonal, thus is invertible.  $\square$

Thus to specify a rep. it suffices to specify its character. For instance, to determine how  $V_\lambda \otimes V_\mu$  decomposes, it suffices to check for  $ch(V_\lambda \otimes V_\mu) = ch V_\lambda \cdot ch V_\mu$ .

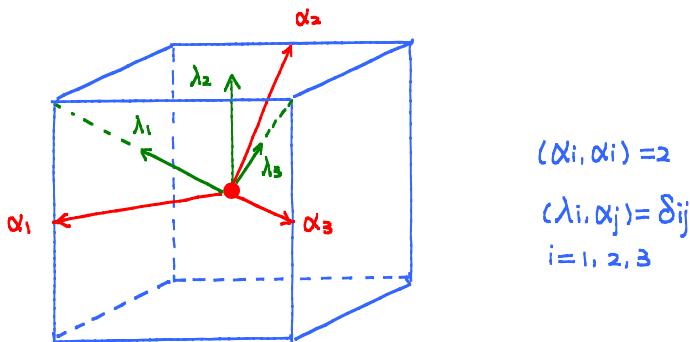
- $sl(4)$ ,  $sl(n)$ .

Recall that for  $sl(n)$ ,  $E_Q \otimes \mathbb{R} \cong \mathbb{R}^{n-1} \subseteq \mathbb{R}^n = \mathbb{R}\{\varepsilon_1, \dots, \varepsilon_n\}$ , and is spanned by  $\{\varepsilon_i - \varepsilon_{i+1} \mid i \leq n-1\}$ .  $\Phi = \{\varepsilon_i - \varepsilon_j\} = \Phi^+ \sqcup \Phi^-$ , where  $\Phi^+ = \{\varepsilon_i - \varepsilon_j \mid i < j\}$ ,  $\Phi^- = \{\varepsilon_i - \varepsilon_j \mid i > j\}$ , and  $(\varepsilon_i, \varepsilon_j) = \delta_{ij}$ . Define  $\{\alpha_i = \varepsilon_i - \varepsilon_{i+1} \mid i \leq n-1\}$  the simple roots, then  $\forall i > j$ ,  $\varepsilon_i - \varepsilon_j = \alpha_i + \dots + \alpha_{j-1}$ . Note that

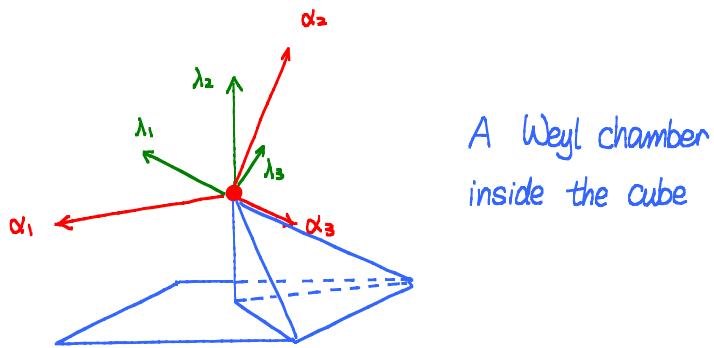
$$(\alpha_i, \alpha_j) = \begin{cases} 2 & i=j \\ -1 & i=j \pm 1 \\ 0 & \text{otherwise} \end{cases}$$

It follows that  $\alpha_i, \alpha_{i \pm 1}$  form an angle of  $\frac{2}{3}\pi$ , and  $\alpha_i \perp \alpha_j$ ,  $j \neq i, i \pm 1$ .

E.g.  $sl(4)$



$H^* \cong \mathbb{R}^3$ ,  $\Phi = \{\text{mid-points of the cube's edges}\}$ . Note that  $\mathbb{R}^3$  is divided into 24 Weyl chambers, with the positive chamber  $C_+ = \{\lambda \mid (\lambda, \alpha_i) \geq 0, i=1,2,3\} = \{\lambda \mid \langle \lambda, \alpha_i \rangle \geq 0, i=1,2,3\} = \left\{ \sum_{i=1}^3 a_i \lambda_i \mid a_i \in \mathbb{R}_+ \right\}$ .



A Weyl chamber  
inside the cube

Note that the group of symmetry of the cube is  $\text{Rot}(\square) \times \mathbb{Z}/2 \cong S_4 \times \mathbb{Z}/2$ . The  $S_4$  factor generated by the permutation of the 4 main diagonals. However the Weyl group  $W \cong S_4$  sits as the graph of sign  $S_4 \rightarrow \mathbb{Z}/2$  in  $\text{Sym}(\square)$ .

In general, if  $\alpha_1, \dots, \alpha_{n-1}$  are the simple roots of  $\mathfrak{sl}(n)$ ,  $\lambda_1, \dots, \lambda_{n-1}$  their dual w.r.t. the Killing form, then (in  $\mathbb{R}^n = \bigoplus \mathbb{R} \epsilon_i$ )

$$\begin{aligned}\lambda &= \left( \frac{n-1}{n}, -\frac{1}{n}, \dots, -\frac{1}{n} \right) \\ &\vdots \\ \lambda_k &= \underbrace{\left( \frac{n-k}{n}, \dots, \frac{n-k}{n} \right)}_k, \underbrace{\left( -\frac{k}{n}, \dots, -\frac{k}{n} \right)}_{n-k}\end{aligned}$$

Prop. Imp's of  $\mathfrak{sl}(n) \leftrightarrow \Lambda^+ = \{ \sum a_i \lambda_i \mid a_i \in \mathbb{Z}_+ \}$ .

The proof is almost identical to that of  $\mathfrak{sl}(3)$  case

- Construction of  $V\lambda_i$  ( $i=1, \dots, n-1$ )

Recall that for  $\mathfrak{sl}(3)$ , we have  $V\lambda_1 \cong V$ ,  $V\lambda_2 \cong V^* \cong \Lambda^2 V$ . Similarly, consider the defining rep  $V \cong \mathbb{C}^n$  of  $\mathfrak{sl}(n)$ , and  $\Lambda^k V$ ,  $k=1, \dots, n-1$

$$\Lambda^k V = \mathbb{C} \{ e_{i_1} \wedge \dots \wedge e_{i_k}, 1 \leq i_1 < \dots < i_k \leq n \},$$

Note that  $\Lambda^k V \times \Lambda^{n-k} V \xrightarrow{\sim} \Lambda^n V \cong \mathbb{C}$  is a perfect pairing and  $\Lambda^k V \cong \Lambda^{n-k} V^*$ .

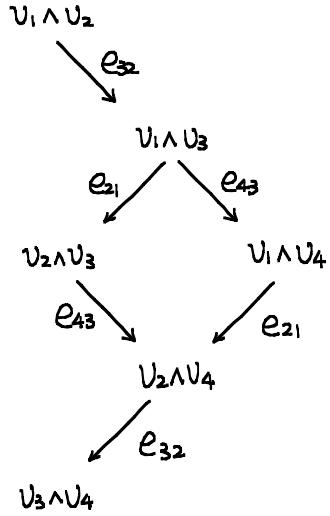
Prop.  $\Lambda^k V$  ( $k=1, \dots, n-1$ ) is irreducible of h.w.  $\lambda_k$ .

Pf: It's easy to check that  $e_{i_1} \wedge \dots \wedge e_{i_k}$  is a h.w. vector of h.w.  $\lambda_k$ .

Successive application of  $e_{ij}$  ( $i > j$ ) gives all  $e_{i_1} \wedge \dots \wedge e_{i_k}$

□

E.g. For  $\mathfrak{sl}(4)$ ,  $U_1 \wedge U_2$  is a h.w. vector for  $\Lambda^2 V$ .



By the prop., given any  $\lambda \in \Lambda_+$ ,  $\lambda = \alpha_1 \lambda_1 + \dots + \alpha_{n-1} \lambda_{n-1}$ , there is a h.w.  $\lambda$ -vector

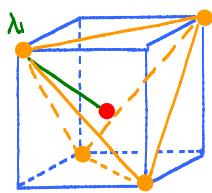
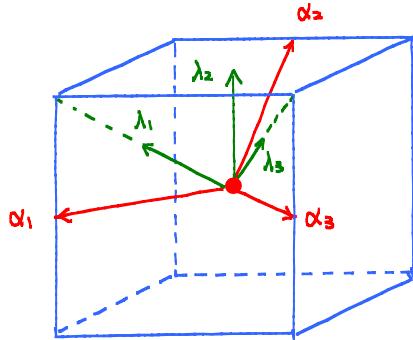
$$\begin{aligned}
V_\lambda &= U_1^{\otimes \alpha_1} \otimes (U_1 \wedge U_2)^{\otimes \alpha_2} \otimes \dots \otimes (U_1 \wedge \dots \wedge U_{n-1})^{\otimes \alpha_{n-1}} \in S^{\alpha_1}(V) \otimes S^{\alpha_2}(\Lambda^2 V) \otimes \dots \otimes S^{\alpha_{n-1}}(\Lambda^{n-1} V) \\
&\subseteq V^{\otimes \alpha_1} \otimes (\Lambda^2 V)^{\otimes \alpha_2} \otimes \dots \otimes (\Lambda^{n-1} V)^{\otimes \alpha_{n-1}} \\
&\subseteq V^{\otimes \alpha_1} \otimes (V^{\otimes 2})^{\otimes \alpha_2} \otimes \dots \otimes (V^{\otimes n-1})^{\otimes \alpha_{n-1}} \\
&= V^{\otimes (\alpha_1 + 2\alpha_2 + \dots + (n-1)\alpha_{n-1})}
\end{aligned}$$

This  $V_\lambda$  generates an irreducible  $\mathfrak{sl}(n)$ -submodule :  $L(n-1) \cdot V_\lambda$ .

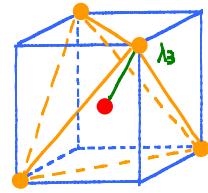
Rmk: Any finite dim'l irrep of  $\mathfrak{sl}(n)$  is contained in  $V^{\otimes N}$  for some  $N$ . In fact, for any finite group  $G$ , and a faithful rep  $V$  of  $G$ , and  $W$  an irrep of  $G$ , we have  $W \subseteq V^{\otimes n}$  for some  $n$ . Since finite dim'l irreps of  $\mathfrak{sl}(k)$  are in 1-1 correspondence with irreps of  $SU(k)$ , we see that this result is an analogue of finite groups with compact Lie groups.

Now, similar as for  $\mathfrak{sl}(3)$ , let  $M_\lambda$  be the Verma module of  $\mathfrak{sl}(n)$  with h.w.  $\lambda$ . It has a unique maximal proper submodule  $M'_\lambda$  (the one which doesn't contain  $V_\lambda$ ). Then  $\exists!$  homomorphism  $M_\lambda \xrightarrow{\varphi_\lambda} V_\lambda$  carrying  $V_\lambda$  to a h.w. vector of  $V_\lambda$ . Since  $V_\lambda$  is irreducible,  $\varphi_\lambda$  must be surjective, and  $\ker \varphi_\lambda \cong M'_\lambda$ . Thus  $V_\lambda \cong M_\lambda / M'_\lambda$  is unique.

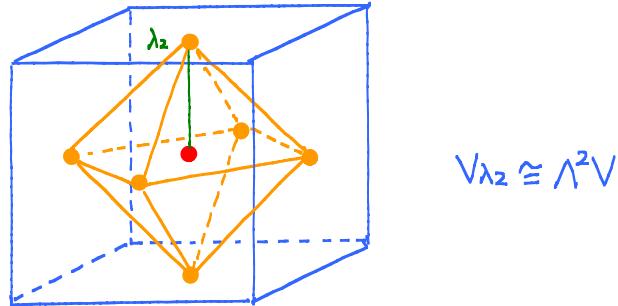
E.g. Weight diagrams of  $V_{\lambda_i}$  for  $\mathfrak{sl}(4)$



$$V_{\lambda_1} \cong V$$



$$V_{\lambda_3} \cong \Lambda^3 V \cong V^*$$



A generic irrep of  $\mathfrak{sl}(4)$  would span a 24 vertices convex hull, since  $\mathbb{R}^3$  is divided into 24 Weyl chambers.

Def. An irrep  $V_\lambda$  of  $L$  is called minuscule if all wghts belongs to  $W \cdot \lambda$   
E.g.

- 1). Trivial rep of any  $L$
- 2).  $\Lambda^k V$  of  $\mathfrak{sl}(n)$ ,  $0 \leq k \leq n-1$ . (Since  $\Lambda^k V$  has h.w vector  $v_{i_1} \wedge \dots \wedge v_{i_k}$ , and all the other wght vectors are  $v_{i_1} \wedge \dots \wedge v_{i_k}$ , and are h.w vectors w.r.t. another choices of positive root system, thus on the same Weyl orbit, C.f. the next section).

Note that  $V$  minuscule  $\Rightarrow$  all wgt spaces have dim 1 (since the h.w. space has dim 1). The converse is not true. For instance,  $S^m V$  of  $\mathfrak{sl}(n)$  has all wgt spaces dim 1, but is not minuscule unless  $m=1$

## §7. Root Systems

Def. A set  $\Phi \subseteq E$  ( $\cong \mathbb{R}^n$  as Euclidean spaces) is called a root system if

- 1).  $\Phi$  spans  $E$
- 2).  $\alpha \in \Phi \Rightarrow \mathbb{R}\alpha \cap E = \{\pm\alpha\}$
- 3).  $S_\alpha$  (reflections about the hyperplane  $\perp \alpha$ ) preserves  $\Phi$ ,  $\forall \alpha$  i.e.  
 $\forall \beta \in \Phi, S_\alpha(\beta) = \beta - \frac{2(\beta, \alpha)}{(\alpha, \alpha)}\alpha \in \Phi$
- 4).  $\langle \beta, \alpha \rangle \in \frac{\mathbb{Z}}{(\alpha, \alpha)} \in \mathbb{Z}$  (Cartan integers)

The reflections generated by  $S_\alpha, \alpha \in \Phi$  is called the Weyl group.

Def.  $(\Phi, E), (\Phi', E')$  are called equivalent if  $\exists$  a vector space isomorphism  $\varphi$   
 $\varphi: E \rightarrow E'$  s.t.  $\varphi(\Phi) = \Phi'$  and  $\langle \varphi(\alpha), \varphi(\beta) \rangle = \langle \alpha, \beta \rangle, \forall \alpha, \beta \in \Phi$ .

Def. If  $(\Phi, E)$  satisfies  $\Phi = \Phi_1 \sqcup \Phi_2$ ,  $\langle \Phi_1, \Phi_2 \rangle = 0$ , then  $E = E_1 \oplus E_2$ , where  
 $E_1 = \mathbb{R}\Phi_1, E_2 = \mathbb{R}\Phi_2, W \cong W_1 \times W_2$ . Such root systems are called decomposable  
or reducible. Otherwise it's called indecomposable or irreducible.

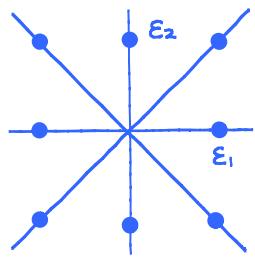
Rmk: L semisimple LA  $\Rightarrow L = \bigoplus L_i$ . Then the root system of L is a  
a sum of irreducibles, each corresponding to some  $L_i$ .

Rank n root systems

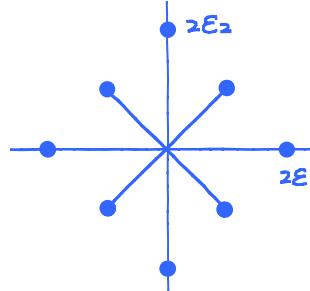
- $A_n: E \subseteq \mathbb{R}^{n+1}$  (with the standard Euclidean metric), the subspace of codim 1 and  $E \perp (1, \dots, 1)$ .  $\Phi = \{\varepsilon_i - \varepsilon_j \mid i \neq j\}$ , where  $\{\varepsilon_i \mid i=1, \dots, n+1\}$  is the standard basis of  $\mathbb{R}^{n+1}$ .  $W \cong S_{n+1}$   $\alpha = \varepsilon_i - \varepsilon_j \Rightarrow S_\alpha(x_1, \dots, x_i, \dots, x_j, \dots, x_n) = (x_1, \dots, x_j, \dots, x_i, \dots, x_n)$
- $B_n: E = \mathbb{R}^n, \Phi = \{\pm \varepsilon_i, \pm \varepsilon_i \pm \varepsilon_j \mid i=1, \dots, n, i < j\}$ 
 $S_{\varepsilon_i} (x_1, \dots, x_i, \dots, x_n) = (x_1, \dots, -x_i, \dots, x_n) \quad S_{\varepsilon_i + \varepsilon_j} (x_1, \dots, x_i, \dots, x_j, \dots, x_n) = (x_1, \dots, -x_j, \dots, -x_i, \dots, x_n)$ 
 $S_{\varepsilon_i - \varepsilon_j} (x_1, \dots, x_i, \dots, x_j, \dots, x_n) = (x_1, \dots, x_j, \dots, x_i, \dots, x_n)$ 

Thus we have  $1 \rightarrow (\mathbb{Z}/2)^n \rightarrow W \rightarrow S_n \rightarrow 1 \Rightarrow |W| = 2^n \cdot n!$
- $C_n$ : (Dual to  $B_n$ )

$E \cong \mathbb{R}^n$ ,  $\Phi = \{\pm 2\epsilon_i, \pm \epsilon_i \pm \epsilon_j\}$ . The Weyl group is isomorphic to that of  $B_n$ .



$B_2$



$C_2$

In general, from a root system  $(\Phi, E)$  we may define  $(\Phi^\vee, E^\vee)$ , where  $\Phi^\vee = \{\alpha^\vee \mid \alpha \in \Phi\}$  and  $\alpha^\vee \triangleq \frac{2\alpha}{(\alpha, \alpha)}$  (shorten  $\alpha \mapsto$  longer  $\alpha^\vee$ ),  $E^\vee = E$

Then  $(\Phi^\vee, E^\vee)$  is still a root system. In particular,  $A_n^\vee \cong A_n$ ,  $B_n^\vee \cong C_n$

- $D_n : E = \mathbb{R}^n$ ,  $\Phi = \{\pm \epsilon_i \pm \epsilon_j \mid i < j\}$

The Weyl group:  $1 \rightarrow (\mathbb{Z}/2)^{n-1} \rightarrow W \rightarrow S_n \rightarrow 1$  ( $(\mathbb{Z}/2)^n$ : only even number of sign changes occur).

Note that  $D_2 \cong A_1 \oplus A_1$  ( $so(4) \cong sl(2) \oplus sl(2)$ ),  $D_3 \cong A_3$  ( $so(6) \cong sl(4)$ )

$B_2 \cong C_2$  ( $so(5) \cong sp(2)$ ), thus to avoid redundancy, we require that

$A_n$  ( $n \geq 1$ ),  $B_n$  ( $n \geq 2$ ),  $C_n$  ( $n \geq 3$ ),  $D_n$  ( $n \geq 4$ )

There will be 5 more exceptional cases  $E_6, E_7, E_8, F_4, G_2$ .

Def. A root system is called simply-laced if all roots have the same length.

Note that a simply-laced root system  $\cong$  its own dual. (e.g.  $A_n, D_n, E_6, E_7, E_8$ ).

Rank 2 systems.

Take  $\alpha, \beta \in \Phi$ ,  $\beta \neq \pm \alpha$ . Assume that  $\alpha, \beta$  are not orthogonal and  $|\alpha| \geq |\beta|$ .

Then  $\langle \alpha, \beta \rangle = \frac{2(\alpha, \beta)}{|\alpha|^2} = \frac{2|\beta|}{|\alpha|} \cos \theta$ ,  $\langle \beta, \alpha \rangle = \frac{2|\alpha|}{|\beta|} \cos \theta$ . ( $0 < \theta < \frac{\pi}{2}$ , or consider  $-\alpha$ ).

$\Rightarrow 4 \cos^2 \theta = \langle \alpha, \beta \rangle \langle \beta, \alpha \rangle \in \mathbb{N}$ , but  $0 < \cos^2 \theta < 1$

$\Rightarrow \langle \alpha, \beta \rangle \langle \beta, \alpha \rangle = 4 \cos^2 \theta = 1, 2, 3$ .

Moreover, since  $\frac{\langle \alpha, \beta \rangle}{\langle \beta, \alpha \rangle} = \frac{|\alpha|^2}{|\beta|^2} \geq 1$ , if we set  $|\alpha|=c \cdot |\beta|$  ( $c \geq 1$ )

$$\Rightarrow c^2 \cdot \langle \beta, \alpha \rangle^2 = 1, 2, 3. \quad \begin{cases} \langle \beta, \alpha \rangle = 1, c^2 = 1 \Rightarrow \cos \theta = \frac{1}{2}, \theta = \frac{\pi}{3} \\ \langle \beta, \alpha \rangle = 1, c^2 = 2 \Rightarrow \cos \theta = \frac{\sqrt{2}}{2}, \theta = \frac{\pi}{4} \\ \langle \beta, \alpha \rangle = 1, c^2 = 3 \Rightarrow \cos \theta = \frac{\sqrt{3}}{2}, \theta = \frac{\pi}{6} \end{cases}$$

Lemma: If  $\alpha, \beta \in \Phi$ ,  $\beta \neq \pm \alpha$ ,  $\langle \alpha, \beta \rangle > 0$ , then  $\alpha - \beta \in \Phi$ .

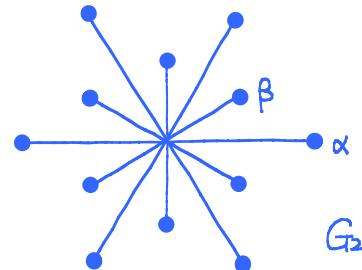
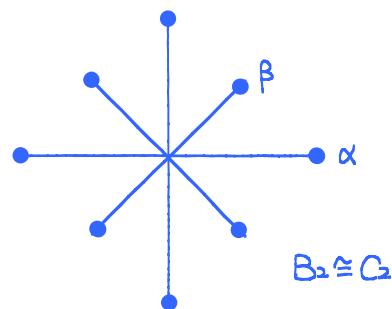
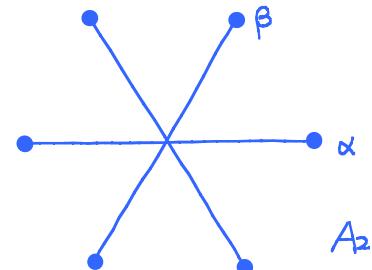
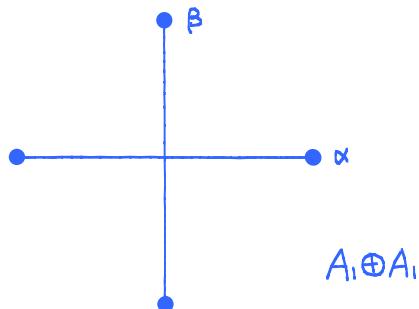
Pf:  $S_\alpha(\beta) = \beta - \langle \beta, \alpha \rangle \alpha \in \Phi$ ;  $S_\beta(\alpha) = \alpha - \langle \alpha, \beta \rangle \beta \in \Phi$  and  $\langle \alpha, \beta \rangle > 0$ ,  $\langle \beta, \alpha \rangle > 0$

By the above computation, at least one of  $\langle \alpha, \beta \rangle$ ,  $\langle \beta, \alpha \rangle$  equals 1.

$\Rightarrow$  either  $\beta - \alpha$  or  $\alpha - \beta \in \Phi$ . Also if  $\beta - \alpha \in \Phi$ ,  $\alpha - \beta = S_{\beta - \alpha}(\beta - \alpha) \in \Phi$ .  $\square$

From these discussion, we conclude the only possible rank 2 root systems are:

(In case  $\alpha \perp \beta$  and there are no other roots than  $\pm \alpha$ ,  $\pm \beta$ , we may rescale  $\beta$  so that  $|\beta|=|\alpha|$ .)



Note that the Weyl groups of the systems for  $A_2$ ,  $B_2$ ,  $G_2$  are dihedral groups  $D_{3,4} \cong S_3$ ,  $D_4$ ,  $D_6$  respectively, but the automorphism group of the graphs are  $D_6$ ,  $D_4$ ,  $D_6$  respectively.

Simple roots

Def.  $\Delta \subseteq \Phi$  is called a base if

(1).  $\Delta$  is a basis of  $\Phi$

(2).  $\Phi = \Phi^+ \sqcup \Phi^-$ , where  $\Phi^- = -\Phi^+$  and any  $\beta \in \Phi^+$  can be written as  $\beta = \sum_{\alpha \in \Delta} k_\alpha \cdot \alpha$ ,  $k_\alpha \in \mathbb{Z}_+$ .

Elements  $\alpha \in \Delta$  are called simple (w.r.t.  $\Delta$ )

E.g.  $A_n$ :  $\Delta = \{\varepsilon_i - \varepsilon_j \mid i < j\}$ ,  $\Phi^+ = \{\varepsilon_i - \varepsilon_j \mid i < j\}$ ,  $\Phi^- = \{\varepsilon_i - \varepsilon_j \mid i > j\}$ .

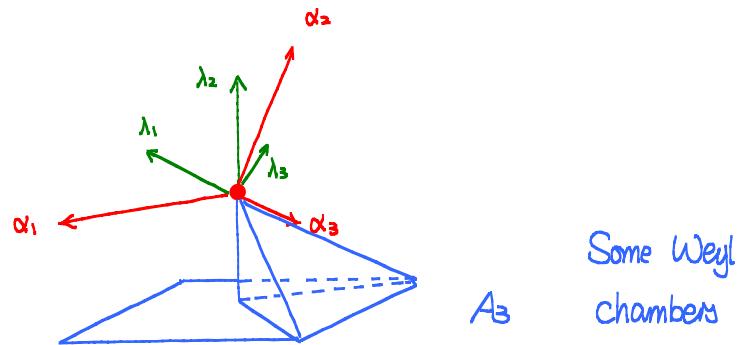
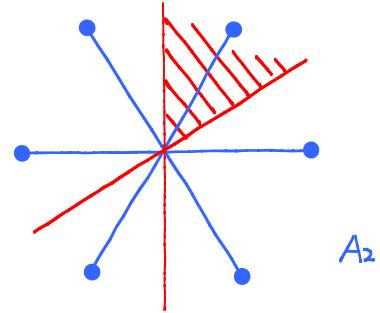
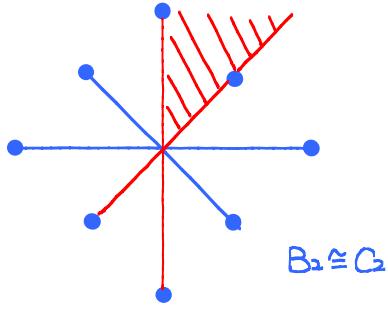
Thm. 1). Any root system has a base.

2). The Weyl group acts simply transitively on all bases

3).  $\{\text{Bases}\} \xleftrightarrow{\sim} \{\text{Weyl chambers}\}$

(Recall that  $\mathbb{R}^n \setminus \bigcup_{\alpha \in \Phi} P_\alpha = \sqcup (\text{open Weyl chambers})$ ).

E.g.



We will prove the theorem in steps.

Lemma: If  $\Delta$  is a base, then  $(\alpha, \beta) \leq 0, \forall \alpha, \beta \in \Delta$ .

Pf: Indeed, otherwise  $\alpha - \beta$  or  $\beta - \alpha \in \Phi$ , which contradicts condition (ii) of a base.  $\square$

Let  $E^{\text{reg}} = E \setminus \bigcup_{\alpha \in \Phi} P_\alpha = \coprod \text{open Weyl chambers}, \forall \gamma \in E^{\text{reg}}$ , define  $\Phi^+(\gamma) \triangleq \{ \alpha \in \Phi \mid (\gamma, \alpha) > 0 \}$ ,  $\Phi^-(\gamma) \triangleq \{ \alpha \in \Phi \mid (\gamma, \alpha) < 0 \}$ .  $\alpha \in \Phi^+(\gamma)$  is said to be decomposable if  $\alpha = \alpha_1 + \alpha_2$  for some  $\alpha_1, \alpha_2 \in \Phi^+(\gamma)$ . Let  $\Delta(\gamma)$  be the set of indecomposable elements. Then:

$$1). \forall \alpha \in \Phi^+(\gamma), \alpha \in \mathbb{Z}_+ \Delta(\gamma).$$

Indeed, it's true for indecomposable elements. If  $\exists \alpha \in \Phi^+(\gamma), \alpha \notin \mathbb{Z}_+ \Delta(\gamma)$ , choose such  $\alpha$  that  $(\alpha, \gamma)$  is the smallest. Then  $\alpha = \alpha_1 + \alpha_2$  and  $0 < (\alpha, \gamma) = (\alpha_1, \gamma) + (\alpha_2, \gamma) \Rightarrow \alpha_1, \alpha_2 \in \mathbb{Z}_+ \Delta(\gamma) \Rightarrow \alpha \in \mathbb{Z}_+ \Delta(\gamma)$ , contradiction.

$$2). \alpha, \beta \in \Delta(\gamma), \alpha \neq \beta \Rightarrow (\alpha, \beta) \leq 0$$

Otherwise,  $(\alpha, \beta) > 0 \Rightarrow \alpha - \beta, \beta - \alpha$  are roots, say,  $\alpha - \beta \in \Phi^+(\gamma) \Rightarrow \alpha = \alpha - \beta + \beta$  is decomposable, contradiction.

$$3). \Delta(\gamma) \text{ is a set of linearly independent elements.}$$

Otherwise,  $\sum_{\alpha \in \Delta} k_\alpha \alpha = 0, \Rightarrow \sum_{k_\alpha > 0} k_\alpha \alpha = \sum_{k_\beta < 0} (-k_\beta) \beta \triangleq \varepsilon \Rightarrow (\varepsilon, \varepsilon) = \sum_{k_\alpha > 0, k_\beta < 0} k_\alpha (-k_\beta) (\alpha, \beta) \leq 0 \Rightarrow \varepsilon = 0$ .

$$4). \Delta(\gamma) \text{ is a basis of } E.$$

Since  $\mathbb{Z}_+ \Delta(\gamma) \supseteq \Phi^+ \Rightarrow \mathbb{Z} \cdot \Delta(\gamma) \supseteq \Phi$ , and  $\Phi$  spans  $E$ .

Rmk: Each base  $\Delta$  gives a partial order on  $E$ :  $\mu < \lambda$  iff  $\lambda - \mu \in \mathbb{Z}_+ \Delta$ .

$$5). \text{Any base } \Delta \text{ of } \Phi = \Phi^+ \sqcup \Phi^- \text{ has the form } \Delta(\gamma) \text{ for some } \gamma \in E^{\text{reg}}.$$

Indeed, if  $\Delta = \{\alpha_1, \dots, \alpha_n\}$ , just take any  $\gamma: (\gamma, \alpha_i) > 0, \forall \alpha_i \in \Delta$ . Note that this  $\gamma$  decomposes  $\Phi$  into  $\Phi^+(\gamma)$  and  $\Phi^-(\gamma)$ , and  $\Phi^+ \subseteq \Phi^+(\gamma)$ ,  $\Phi^- \subseteq \Phi^-(\gamma)$ , but  $|\Phi^+| = \frac{|\Phi|}{2} = |\Phi^+(\gamma)| \Rightarrow \Phi^+ = \Phi^+(\gamma), \Phi^- = \Phi^-(\gamma)$ .

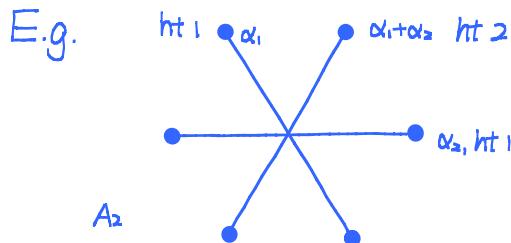
Cor. There is a natural bijection between bases and Weyl chambers.  $\square$

Prop. Fix  $\Delta$ , if  $\alpha$  is a positive, but not simple  $\Rightarrow \exists \beta \in \Delta$  s.t.  $\alpha - \beta \in \Phi^+$

Pf: Note that  $\langle \alpha, \beta \rangle > 0$  for some  $\beta \in \Delta$ , since  $0 < \langle \alpha, \alpha \rangle = \sum_{\gamma \in \Delta} k_\gamma \langle \alpha, \gamma \rangle$  and  $k_\alpha > 0$ .  
 $\Rightarrow S_\beta(\alpha) = \alpha - \langle \alpha, \beta \rangle \beta = \sum_{\gamma \in \Delta, \gamma \neq \beta} k_\gamma \cdot \gamma + (k_\beta - \langle \alpha, \beta \rangle) \beta$   
 $\alpha$  not simple  $\Rightarrow$  some other  $k_\gamma \neq 0$ . Since every element is either in  $\mathbb{Z}_+ \cdot \Delta$   
 $\Rightarrow k_\beta - \langle \alpha, \beta \rangle \geq 0$ . By a previous lemma,  $\alpha - \beta \in \bar{\Phi}$  and  $\alpha - \beta \in \mathbb{Z}_+ \cdot \Delta$ , thus  
 $\alpha - \beta \in \bar{\Phi}^+$ . □

Cor. Every  $\alpha \in \bar{\Phi}$  can be written as  $\alpha = \alpha_1 + \dots + \alpha_r$  (may have repetition),  $\alpha_i \in \Delta$ , and each  $\alpha_1 + \dots + \alpha_i \in \bar{\Phi}$ ,  $1 \leq i \leq r$ . □

Def: Write  $\alpha = \sum_{\gamma \in \Delta} k_\gamma \cdot \gamma$ , the height of  $\alpha$  is defined as  $ht(\alpha) = \sum_\gamma k_\gamma$ .



In general, for  $A_n$ ,  $\Delta = \{\epsilon_1 - \epsilon_2, \dots, \epsilon_n - \epsilon_{n+1}\}$   
 $\epsilon_1 - \epsilon_{n+1} = \epsilon_1 - \epsilon_2 + \dots + \epsilon_n - \epsilon_{n+1}$  has height  $n$ .

Note that if  $\alpha \in \bar{\Phi}^+$  is not simple, then by the same argument as in prop.  
 $S_\beta(\alpha) \in \bar{\Phi}^+$ ,  $\beta \in \Delta$  and  $ht(S_\beta(\alpha)) = ht(\alpha) - \langle \alpha, \beta \rangle$ .

Cor. Any positive root can be reflected by simple reflections (i.e. reflections w.r.t.  $P_\alpha$ ,  $\alpha$  simple) to a simple root. □

Cor.  $W$  is generated by simple reflections. (w.r.t. any  $\Delta$ )

Pf:  $\forall \alpha$ ,  $\alpha = S_{\alpha_r} \cdots S_{\alpha_1} \alpha_0 (\triangleq \sigma(\alpha_0))$ ,  $\alpha_0, \alpha_1, \dots, \alpha_r \in \Delta$ .  
 $\Rightarrow S_\alpha = \sigma S_{\alpha_0} \sigma^{-1} = S_{\alpha_r} \cdots S_{\alpha_1} S_{\alpha_0} S_{\alpha_1} \cdots S_{\alpha_r}$ . □

Note that, under  $S_\alpha$ ,  $\bar{\Phi}^+ = \bar{\Phi}^+ \setminus \{\alpha\} \sqcup \{\alpha\}$  and  $S_\alpha$  only permutes  $\bar{\Phi}^+ \setminus \{\alpha\}$  and sends  $\alpha$  to  $-\alpha$ . (c.f. proof of prop. above)

Def.  $\delta = \frac{1}{2} \sum_{\alpha \in \bar{\Phi}^+} \alpha$

Cor.  $\forall \beta \in \Delta$ ,  $S_\beta(\delta) = \delta - \beta$ .

Pf: Indeed,  $S_\beta(\delta) = \frac{1}{2} (\sum_{\alpha \in \Phi^+ \setminus \beta} \alpha + (-\beta)) = \delta - \beta$ .  $\square$

Rmk: Note that if  $\{\lambda_i\}$  is a dual basis of  $\Delta$ , i.e.  $\langle \lambda_i, \alpha_j \rangle = \delta_{ij}$ .

$S_\beta(\sum_{i=1}^n \lambda_i) = \sum \lambda_i - \beta$ ,  $\forall \beta \in \Delta$ . Thus  $S_\beta(\delta - \sum_{i=1}^n \lambda_i) = \delta - \sum_{i=1}^n \lambda_i$ ,  $\forall \beta \in \Delta$   
 $\Rightarrow \delta = \sum_{i=1}^n \lambda_i$ .

E.g.  $\delta$  for some LA's.

$$A_1 \quad \begin{array}{c} \uparrow \alpha \\ \downarrow -\alpha \\ \delta \end{array}$$

$$A_2 \quad \begin{array}{c} \alpha_1 \\ -\alpha_2 \\ \alpha_1 + \alpha_2 = \delta \\ -\alpha_1 \\ -\alpha_1 - \alpha_2 \\ -\alpha_2 \end{array}$$

$$B_2 \quad \begin{array}{c} \alpha_1 \\ \alpha_2 \\ \delta \\ \alpha_1 \\ \alpha_2 \\ -\alpha_1 \\ -\alpha_2 \end{array}$$

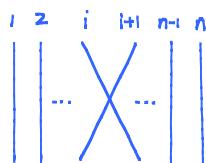
Prop. Let  $\alpha_1, \dots, \alpha_t \in \Delta$ , write  $s_i = s_{\alpha_i}$  for simplicity. If  $s_i \cdots s_{t-1}(\alpha_t) \in \Phi^-$   
 $\Rightarrow$  for some  $1 \leq j < t$ ,  $s_i \cdots s_{t-1} \cdot s_t = s_i \cdots s_{j-1} s_{j+1} \cdots s_t$ .

Pf: Let  $\beta_i = s_{i+1} \cdots s_{t-1}(\alpha_t)$ ,  $\beta_{t-1} = \alpha_t$ . Find the smallest  $j$  s.t.  $\beta_j > 0$   
and  $s_j(\beta_j) = \beta_{j-1} < 0 \Rightarrow \beta_j = \alpha_j = -\beta_{j-1} \Rightarrow \alpha_j = s_{j+1} \cdots s_{t-1}(\alpha_t)$ . Write  
 $\sigma = s_{j+1} \cdots s_{t-1} \Rightarrow s_j = \sigma s_t \sigma^{-1} = s_{j+1} \cdots s_{t-1} s_t s_{t-1} \cdots s_{j+1}$   
 $\Rightarrow 1 = s_j s_{j+1} \cdots s_t s_{t-1} \cdots s_{j+1} \Rightarrow s_i \cdots s_{j-1} s_{j+1} \cdots s_{t-1} = s_i \cdots s_{j-1} s_{j+1} \cdots s_t$ .  $\square$

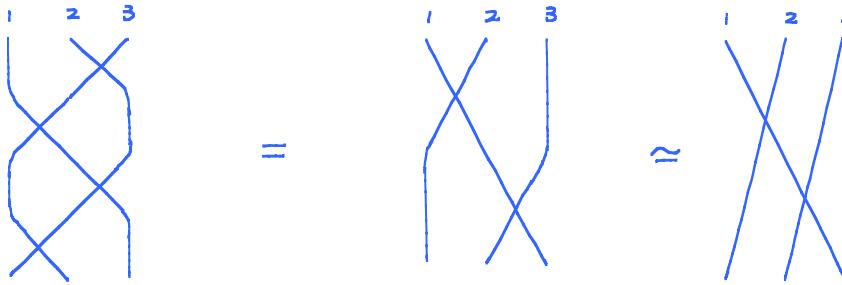
Cor. If  $\sigma = s_i \cdots s_t$  is a shortest way of writing  $\sigma \in W$ , then  $\sigma(\alpha_t) < 0$   $\square$

- A pictorial presentation of classical Weyl groups.

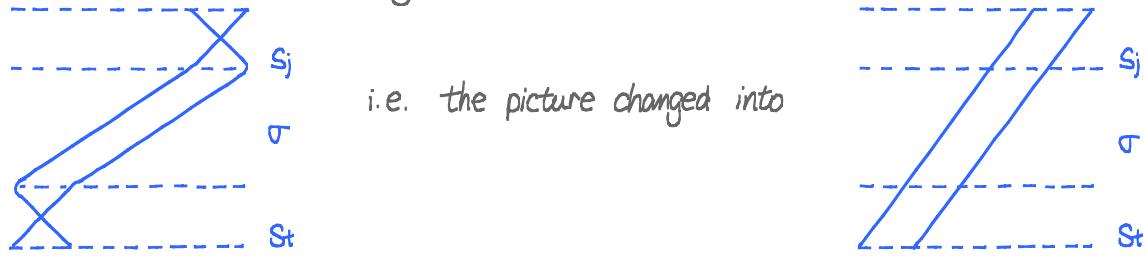
We may use the picture below to represent  $s_i = (i, i+1) \in S_n$



The fact that  $s_2 s_1 s_2 s_1 = s_1 s_2$  is then:

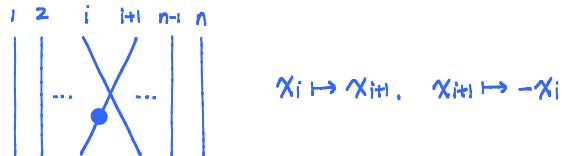


Thus in the proof of the prop. above, note that  $S_j, S_t$  (in case of  $A_n$ ),  $S_j = S_{\epsilon_j - \epsilon_{j+1}} = (j, j+1)$  and  $S_t = (t, t+1)$ . Moreover,  $\sigma(\alpha) = S_{j+1} \cdots S_{t-1}(\alpha)$   $\Rightarrow S_j \cdot \sigma = \sigma \cdot S_t \Rightarrow S_j \sigma S_t = \sigma$  ( $j \mapsto j+1 \mapsto \sigma(j+1) \mapsto S_t(\sigma(j+1)) = \sigma(j)$ ;  $j+1 \mapsto j \mapsto \sigma(j) \mapsto S_t(\sigma(j)) = \sigma(j+1) \Rightarrow \sigma(j) = t$   $\sigma(j+1) = t+1$ , not the other way around since  $S_j$  is smallest such.)

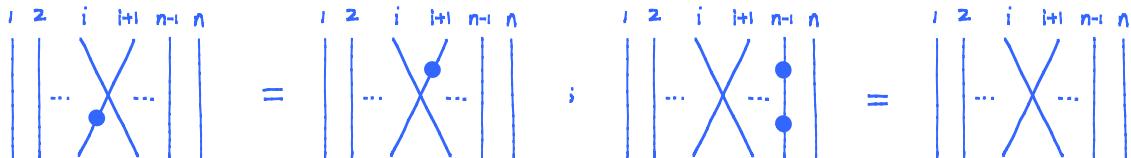


which has less crossings! Such graphs are very useful when dealing with  $S_n$ , or for  $A_n$ 's whose Weyl groups are precisely  $S_n$ 's.

To represent signed  $S_n$ 's (Weyl groups of  $B_n, C_n, D_n$ ), we can put beads on the edges to represent a sign change:



Of course, the beads can glide on edges and any two on one edge cancel:



For example,  $W(B_n)$ :  $1 \rightarrow (\mathbb{Z}/2)^n \rightarrow W \rightarrow S_n \rightarrow 1$  is generated by reflections:



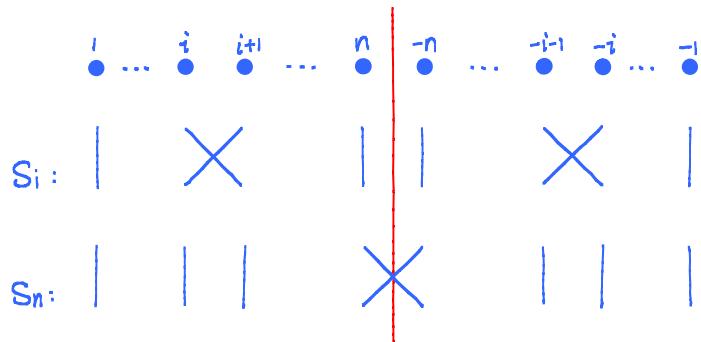
As examples, we decompose the element

$$1) \quad \left| \begin{array}{c} | \\ \bullet \\ | \end{array} \right| = ? = \left| \begin{array}{c} | \\ \text{wavy lines} \\ | \end{array} \right| = s_2 \cdots s_{n-1} s_n s_{n-1} \cdots s_2$$

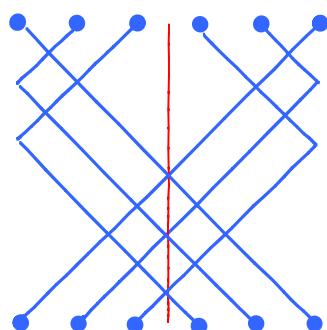
$$2) \quad \left| \begin{array}{c} | \\ \bullet \\ | \\ \bullet \end{array} \right| = ? = \left| \begin{array}{c} | \\ \bullet \\ | \\ \bullet \end{array} \right| = \left| \begin{array}{c} | \\ \text{wavy lines} \\ | \\ \bullet \end{array} \right| = s_{n-1} \cdots s_n s_{n-1} s_n$$

Note that these graphical representations only work for classical LA's, whose Weyl groups are more or less  $S_n$ 's with signs (= beads, or even number of beads).

Another way of such graphical representation is to imbed  $W(B_n)$  into  $S_{2n}$  as permuting  $\{x_i | i=1, 2, \dots, n, -n, \dots, -2, -1\}$ , then  $s_i(x_i) = x_{i+1}$ ,  $s_i(x_{-i}) = x_{-(i+1)}$ ,  $i=1, \dots, n-1$ ;  $s_n(x_n) = x_{-n}$ ,  $s_n(x_{-n}) = x_n$ .



i.e. symmetric graphs w.r.t the vertical line, subject to simplification rules as before for  $S_n$  (now symmetrically). As an example, we can compute the length of  $\sigma_{\max}(1, -1)(2, -2)(3, -3) \cdots (n, -n)$  (C.f. def below for  $\sigma_{\max}$ )



$$\text{length } (\sigma_{\max}) = \frac{n(n-1)}{2} + \frac{n(n-1)}{2} + n = n^2$$

**Road Map:** We are moving toward establishing the correspondences  
 (Coxtergroups: some finite subgroups of  $O(n)$  generated by reflections. C.f. Humphreys  
 Reflection groups and Coxeter groups)

$$\begin{array}{ccc} \text{Simple LA's} & \xleftrightarrow{1:1} & \text{Irreducible root systems} \\ L & \mapsto & \Phi(L, H) \end{array} \quad \begin{array}{ccc} & & \xrightarrow{\text{not } 1:1} \\ & & \text{Coxter groups} \end{array}$$

$$\Phi \quad \mapsto \quad W(\Phi)$$

Not 1:1 since  $W(B_n) = W(C_n)$ ;  
 and the Coxter group  $D_{i,n}$  ( $n \neq 3, 4, 6$ )  
 have no preimage

Moreover, we will study representations of LA's on root system point of view (Combinatorics).

Def:  $\sigma \in W$ . The length of  $\sigma$  is defined as the length of the shortest presentation as a product of simple reflections. (It may not be unique since pictorially, we have:

$$\cancel{\cancel{\cancel{\sigma}} \quad = \quad \cancel{\cancel{\cancel{\sigma}}}} \quad \text{i. e. } S_1 S_2 S_1 = S_2 S_1 S_2$$

$$l(\sigma) \triangleq \#\{\alpha \in \Phi^+ \mid \sigma(\alpha) < 0\} = \#\{\sigma(\Phi^+) \cap \Phi^-\}.$$

Prop.  $W$  acts simply transitively on Weyl chambers.

Pf: 1). Transitivity.

Fix a base  $\Delta$ , with associated Weyl chamber  $C(\Delta)$ . Take any  $\gamma$  regular, and consider the numbers  $\{(\sigma(\gamma), \delta) \mid \sigma \in W\}$ . Choose  $\sigma$  such that this number is maximal.

$$\Rightarrow (\sigma(\gamma), \delta) \geq (s_i \cdot \sigma(\gamma), \delta) = (\sigma(\gamma), s_i(\delta)) = (\sigma(\gamma), \delta - \alpha_i) = (\sigma(\gamma), \delta) - (\sigma(\gamma), \alpha_i).$$

$$\Rightarrow (\sigma(\gamma), \alpha_i) \geq 0, \text{ and "}>0\text{" holds since } \gamma \text{ is chosen to be regular.}$$

$$\Rightarrow \sigma(\gamma) \in C(\Delta) \Rightarrow \gamma \in \sigma^{-1}(C(\Delta)) = C(\sigma^{-1}(\Delta))$$

2). Simply-transitivity.

$\forall \sigma \in \text{Stab}_W(\Delta)$ , if  $\sigma \neq 1$ , then  $\sigma$  necessarily permutes simple roots in  $\Delta$ . Take a shortest representation of  $\sigma$ ,  $\sigma = s_1 \cdots s_m \Rightarrow \sigma(\alpha_m) < 0$  by a previous lemma, contradiction.  $\square$

Note that we have established the following 1-1 correspondence:

$$\begin{array}{c} \{\text{Bases of a root system}\} \xleftrightarrow{\text{canonical}} \{\text{Weyl chambers}\} \xleftrightarrow{\text{fix } \Delta} W = \text{Weyl group} \\ \Delta \quad \longmapsto \quad C(\Delta); \sigma \cdot C(\Delta) \quad \longleftarrow \quad \sigma \end{array}$$

Lemma.  $n(\sigma) = l(\sigma)$

Pf: Write  $\sigma = s_1 \cdots s_m$  a shortest length representation. Then  $n(\sigma) \leq l(\sigma)$  is obvious since each simple reflection sends only one positive element to  $\Phi^-$ .

If  $n(\sigma) < l(\sigma)$ , then  $\sigma$  necessarily sends some simple root to a negative simple root then back to a positive simple root again, this would imply that such a representation can be shortened, contradiction.  $\square$

Note that  $-\Delta$  is also a base  $\Rightarrow \exists \tau \in W$ ,  $\tau(\Delta) = -\Delta$ , then  $\tau(\Phi^+) = \Phi^-$  and this element has maximal length  $|\Phi^+|$ . Thus we can call it  $\tau_{\max}$ . However  $\tau_{\max}$  is not necessarily  $-\text{Id}$ , i.e.  $\tau_{\max}(\alpha_i) = -\alpha_i$  is not necessarily true for all simple roots  $\alpha_i \in \Delta$ . For instance  $\tau = -\text{Id}$  for  $A_1$  but  $\tau \neq -\text{Id}$  for  $A_2$  ( $-\text{Id}$  is not in the Weyl group).

Cor. (1).  $l(\sigma\sigma') \leq l(\sigma) + l(\sigma')$

(2).  $W \xrightarrow{l} \mathbb{Z}_{\geq 0} \xrightarrow{\text{parity}} \mathbb{Z}/2$  is the sign homomorphism.

Pf: (1) Take the shortest representations of  $\sigma, \sigma'$ , then their product is a representation of  $\sigma\sigma'$ , not necessarily the shortest.

(2). Write  $\sigma = s_1 \cdots s_m \Rightarrow \det \sigma = (-1)^{l(\sigma)}$ .  $\square$

Structure of a root system

The structure of a base determines the root system:

$(E, \Phi, \Delta) = \bigoplus \text{Irreducible root systems} = \bigoplus (E_i, \Phi_i, \Delta_i)$ ,  $(\Delta_i \perp \Delta_j \text{ if } i \neq j)$

$\Delta = \{\alpha_1, \dots, \alpha_n\}$ , and we have a set of Cartan integers  $\{\langle \alpha_i, \alpha_j \rangle\}$ .  
 Construct a graph  $\Gamma(\Phi)$  as follows:

(1). Each vertex stands for a simple root

(2). For each pair of simple roots  $\alpha_i, \alpha_j$ , assuming  $|\alpha_i| > |\alpha_j|$

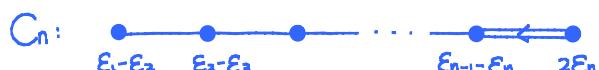
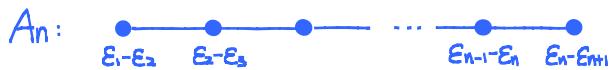


If  $\alpha_i, \alpha_j$  span:  $A_1 \times A_1$        $A_2$        $B_2$        $G_2$

Then to each root system  $\Phi$ , we have associated it with a graphical invariant  $\Gamma(\Phi)$ . If  $\Phi$  is reducible, then the connected components of  $\Gamma(\Phi)$  will correspond to irreducible summands of  $\Phi$ .

E.g.

The classical case:



The exceptional case:



Note that if  $\Phi$  is irreducible, then  $E$  is an irrep of  $W$ . Indeed, otherwise, if  $E = E' \oplus E''$  as  $W$ -rep's,  $E' \perp E''$ , then any  $\alpha \in \Phi$  lies either in  $E'$  or  $E''$ , since  $S\alpha$  preserves  $E'$  and  $E''$ . This is impossible if  $\Phi$  is irreducible.

In particular, if  $\Phi$  is irreducible,  $\alpha, \beta \in \Phi$ , then we can find  $\sigma \in W$  s.t.  $(\sigma(\alpha), \beta) \neq 0$  since  $W \cdot \alpha$  must span  $E$ . It follows that  $\sigma(\alpha), \beta$  span a non-trivial rank 2 root system.  $\Rightarrow \frac{|\alpha|^2}{|\beta|^2} \in \{1, 2, \frac{1}{2}, 3, \frac{1}{3}\}$ . It follows that there are at most 2 root length in an irreducible root system, for otherwise  $\frac{|\alpha|^2}{|\beta|^2} = 2, \frac{|\beta|^2}{|\gamma|^2} = 3 \Rightarrow \frac{|\alpha|^2}{|\gamma|^2} = 6$ .

Def. A root system is called simply-laced if all roots have the same length

Note that rescaling of  $(\cdot, \cdot)$  preserves the Cartan integers, and thus preserves the graph. Usually, we rescale  $(\cdot, \cdot)$  so that  $(\alpha, \alpha) = 2$  for a shortest root.

Thm. Any irreducible root system is one of the following:

$A_n$  ( $n \geq 1$ )     $B_n$  ( $n \geq 2$ )     $C_n$  ( $n \geq 3$ )     $D_n$  ( $n \geq 4$ )

$E_6, E_7, E_8, F_4, G_2$ .

Idea of proof:

As we did for McKay correspondence, we associate  $\Phi$  with:

$\Phi \rightsquigarrow \Delta \rightsquigarrow I^*(\Phi) \rightsquigarrow \mathbb{R}^n$ , with an inner product  $(\cdot, \cdot)$  satisfying a shortest root  $\alpha$  has  $(\alpha, \alpha) = 2$  and  $\frac{2(\alpha_i, \alpha_j)}{(\alpha_i, \alpha_j)} = \langle \alpha_i, \alpha_j \rangle \quad \forall \alpha_i, \alpha_j \in \Delta$ .  $\langle \alpha_i, \alpha_j \rangle \langle \alpha_j, \alpha_i \rangle = \# \text{ of edges connecting } \alpha_i, \alpha_j$ .

If more generally we do this for any graph, then the associated inner product will be:

- (1). Indefinite, i.e.  $\exists v \in \mathbb{R}^n, (v, v) < 0$ .
- (2). positive semi-definite (affine)
- (3). positive definite (Dynkin)

and the only possible positive definite case will be  $A_n - G_2$  cases above.

(C.f. Humphreys)

□

More on Weyl groups

For each  $\alpha_i, \alpha_j \in \Delta$ , define  $m(i,j) \triangleq$  order of  $s_i s_j$  in  $W$ .



$$m(i,j)=2$$



$$m(i,j)=3$$



$$m(i,j)=4$$



$$m(i,j)=6$$

Thm.  $W$  has generators  $s_i, i \in \Delta$  and defining relations  $s_i^2 = 1, (s_i s_j)^{m_{ij}} = 1$  over all  $i, j \in \Delta$ .

For a proof, see Humphreys, Reflection groups and Coxter groups.

Note that since  $s_i^2 = 1$

$$(s_i s_j)^2 = 1 \Leftrightarrow s_i s_j = s_j s_i$$

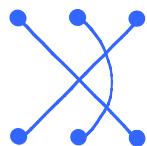
$$(s_i s_j)^3 = 1 \Leftrightarrow s_i s_j s_i = s_j s_i s_i$$

$$(s_i s_j)^4 = 1 \Leftrightarrow s_i s_j s_i s_j = s_j s_i s_j s_i$$

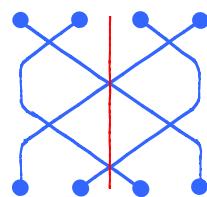
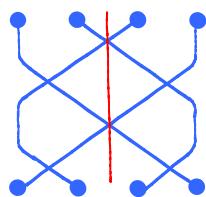
$$(s_i s_j)^6 = 1 \Leftrightarrow s_i s_j s_i s_j s_i s_j = s_j s_i s_j s_i s_j s_i$$

Thus any 2 minimal representation of  $\sigma \in W$  as products of simple reflections can be related by the above relations. (These relations keep length).

E.g.



$$(s_i s_j s_i = s_j s_i s_j : \alpha_i, \alpha_j \text{ span an } A_2)$$



$$(s_i s_j s_i s_j = s_j s_i s_j s_i : \alpha_i, \alpha_j \text{ span a } B_2)$$

If we remove the restriction  $s_i^2 = 1$ , we obtain the so called Artin (braid) group. For example, for  $A_n$ , we obtain the braid group on  $n+1$  strands.



Given  $W$ , we may consider the connected components of  $E \setminus \cup_{\alpha \in \Phi} P_\alpha^+$ , i.e.  $\pi_0(E \setminus \cup_{\alpha \in \Phi} P_\alpha^+)$ . Moreover, if we consider  $E^c \setminus \cup_{\alpha \in \Phi} P_\alpha^c$ , which is then connected, we obtain:

$$1 \rightarrow \pi_1(E^c \setminus \cup_{\alpha \in \Phi} P_\alpha^c) \rightarrow \text{Br}(\bar{\Phi}) \rightarrow W \rightarrow 1$$

where  $\text{Br}(\bar{\Phi})$  is the Artin braid group of  $\bar{\Phi}$ .  $\pi_1(E^c \setminus \cup_{\alpha \in \Phi} P_\alpha^c)$  is called the pure braid group. A nontrivial fact is that  $E^c \setminus \cup_{\alpha \in \Phi} P_\alpha^c$  is  $K(\pi_1, 1)$ !

Generators and relations.

Recall that from a simple L.A., we obtain an irreducible root system:

$(L, H) \rightsquigarrow (E, \bar{\Phi}, \Delta)$ , and  $L = H \oplus \bigoplus_{\alpha \in \bar{\Phi}} L_\alpha$ . For each  $\alpha_i \in \Delta$ , we can form a copy of  $\mathfrak{sl}(2)\alpha_i$  by choosing  $x_i \in L_{\alpha_i}$ ,  $y_i \in L_{-\alpha_i}$ ,  $h_i \in H$ .

Prop.  $L$  is generated by  $\{x_i, y_i, h_i\}_{i=1}^n$  as a LA.

Pf: Recall that if  $\alpha, \beta \in \bar{\Phi}$ , not proportional and non-zero, then  $[L_\alpha, L_\beta] = L_{\alpha+\beta}$  ( $L_{\alpha+\beta} = 0$  if  $\alpha+\beta \notin \bar{\Phi}$ ). Moreover, any  $\alpha \in \bar{\Phi}^+$  can be written as a sum of simple roots  $\alpha = \alpha'_1 + \dots + \alpha'_r$  such that each partial sum  $\alpha'_1 + \dots + \alpha'_s$  ( $1 \leq s \leq r$ ) is a root  $\Rightarrow L_\alpha = [L_{\alpha'_r} \cdots [L_{\alpha'_1}, L_{\alpha'_2}], \dots]$ . Similarly for  $\alpha \in \bar{\Phi}^-$ .  $\square$

Relations satisfied by  $\{x_i, y_i, h_i\}$ : define  $C_{ij} \triangleq \langle \alpha_i, \alpha_j \rangle = \frac{2(\alpha_i, \alpha_j)}{(\alpha_i, \alpha_j)} \in \{\pm 3, \pm 2, \pm 1, 0\}$ .

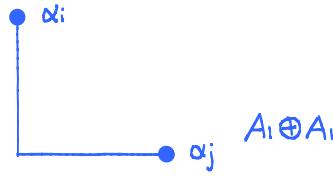
Then: (i).  $[h_i, x_j] = C_{ji} x_j$

(ii).  $[h_i, y_j] = -C_{ji} y_j$

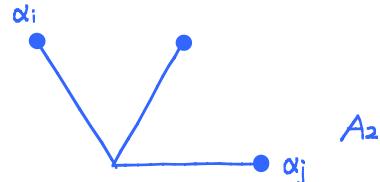
(iii).  $[h_i, h_j] = 0$

(iv).  $[x_i, y_j] = \delta_{ij} h_i$  (since if  $i \neq j$ ,  $[x_i, y_j]$  lies in  $L_{\alpha_i - \alpha_j} = 0$ , as  $\alpha_i - \alpha_j \notin \bar{\Phi}$ ).

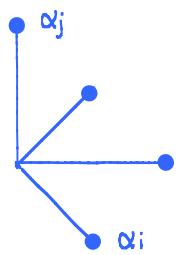
Moreover, we have the commutation relations between  $\{x_i, x_j\}$ ,  $\{y_i, y_j\}$ 's.



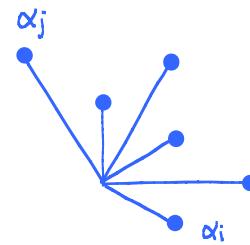
$$\langle \alpha_j, \alpha_i \rangle = 0, \quad [x_i, x_j] = 0$$



$$\langle \alpha_j, \alpha_i \rangle = -1, \quad [x_i, [x_i, x_j]] = 0$$



$B_2$



$G_2$

$$\langle \alpha_j, \alpha_i \rangle = -2 \quad [x_i, [x_i, [x_i, x_j]]] = 0$$

$$\langle \alpha_j, \alpha_i \rangle = -3, \quad [x_i, [x_i, [x_i, [x_i, x_j]]]] = 0$$

Hence if  $i \neq j$ :

$$(v). \quad (\text{ad } x_i)^{-c_{j,i+1}}(x_j) = 0$$

$$(vi). \quad (\text{ad } y_i)^{-c_{j,i+1}}(y_j) = 0$$

Thm. (Serre). Given an irreducible root system  $\Phi$ ,  $\Delta = \{\alpha_1, \dots, \alpha_n\}$ , the Lie algebra with generators  $\{x_i, y_i, h_i\}_{i=1}^n$  satisfying the relations (i)–(vi) exists and is finite dimensional and simple.

### Free Lie algebras

Given generators  $x_1, \dots, x_n$ , then all possible  $\mathbb{C}$ -linear combination of iterated commutators  $[x_i, x_j]$ ,  $[x_i, [x_j, x_k]]$ ,  $[[x_i, x_j], [x_k, x_l]]$ ,  $\dots$  modulo the relations:

(i). Anti-symmetry:  $[a, b] = -[b, a]$

(ii). Jacobi's identity:  $[a, [b, c]] + [b, [c, a]] + [c, [a, b]] = 0$

is the free Lie algebra generated by  $x_1, \dots, x_n$ , denoted  $\text{FLA}(x_1, \dots, x_n)$ .

Compare with the free associative algebra  $\mathbb{C}\langle x_1, \dots, x_n \rangle$

$\text{FLA}(x_1, \dots, x_n) \cong \mathbb{C}\langle x_1, \dots, x_n \rangle$  ( $\cong$  tensor algebra over  $x_1, \dots, x_n$ ).

### Proof of Serre's thm.

Form the Lie algebra  $L'$  with generators  $\{x_i, y_i, h_i\}_{i=1}^n$ . Subject to relation (i)–(iv).

Since  $[h, [a, b]] = [[h, a], b] + [a, [h, b]]$ , we see that, by relations (i), (ii), (iii)

terms involving  $h$  can always be reduced to those only involving  $x_i, y_j$ 's. Further,

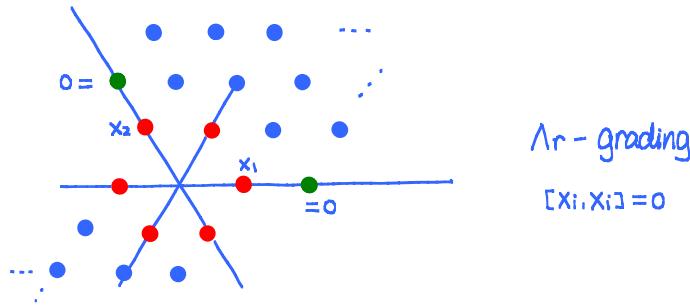
$[x_i, y_j]$  can always be reduced into  $\bigoplus_{i=1}^n \mathbb{C}h_i \cong H$ . Thus we see that:

$$L' = L'_+ + H + L'_-$$

where  $L'_+$  is the subalgebra generated by  $\{x_i\}$ ,  $L'_-$  generated by  $\{y_i\}$ .

Lemma.  $L' = L'_+ \oplus H \oplus L'_-$

Pf: This follows since we have a multi-grading on  $L'$ , by assigning  $\deg x_i = \alpha_i$ ;  $\deg y_j = -\alpha_j$ ;  $\deg h_k = 0$ ,  $1 \leq i, j, k \leq n$ . This gives a grading on  $L'$  by the root lattice  $\Lambda_r$ , since the ideal generated by the relations preserves the grading.



□

Cor. Any ideal  $I$  of  $L'$  respects the grading:  $I = \bigoplus_{\lambda \in \Lambda_r} I \cap L'_\lambda$

Pf: Look at the  $H$  action, this grading is just the  $H$ -wgt decomposition. □

Idea: take the simple quotient of  $L'$ , i.e. take the sum of all such ideals of  $L'$  that doesn't intersect  $H$ , and by the cor, it's still an ideal. But firstly we need to know the size of  $L'$ , in particular, if it's sufficiently large (non-0). For this purpose, we should consider a sufficiently large rep of  $L'$ .

Consider  $\mathbb{C}_0 = \mathbb{C} \cdot v$ , the trivial rep of  $L'_+ \oplus H$ .  $h v = 0$ ,  $x_i v = 0$ ,  $\forall i$ . Then  $\text{Ind}_{L'_+ \oplus H}^{\mathbb{U}(L')}, \mathbb{C}_0 = \mathbb{C}_0 \otimes_{\mathbb{U}(L'_+ \oplus H)} \mathbb{U}(L')$  has the same size as  $\mathbb{U}(L') \cong \mathbb{C}\langle y_1, \dots, y_n \rangle$ . (since there are no relations among  $x_i$ 's at the moment). In other words, we may build a  $\mathbb{U}(L')$ -module out of  $\mathbb{C}\langle y_1, \dots, y_n \rangle \cdot v \cong M_0$ , as follows:

$$M_0 = \mathbb{C}\{y_1, \dots, y_m v \mid 1 \leq i_1, \dots, i_m \leq n, m \in \mathbb{Z}_{\geq 0}\}.$$

$y_i$  acts by left multiplication:  $y_i(y_{i_1} \cdots y_{i_m} v) = y_i y_{i_1} \cdots y_{i_m} v$ .

$h_i$  acts by  $h_i v = 0$ ;  $h_i y_{i_1} \cdots y_{i_m} v = \sum_{k=1}^m (-C_{i_k, i}) y_{i_1} \cdots \hat{y}_{i_k} \cdots y_{i_m} v$

$x_i$  acts by  $x_i v = 0$ ;  $x_i y_{i_1} \cdots y_{i_m} v = \sum_{k=1}^m \delta_{i, i_k} y_{i_1} \cdots \hat{y}_{i_k} \cdots h_i \cdot (y_{i_{k+1}} \cdots y_{i_m} v)$   
 $= \sum_{k=1}^m \delta_{i, i_k} \sum_{k=k+1}^m (-C_{i_k, i}) y_{i_1} \cdots \hat{y}_{i_k} \cdots y_{i_m} v$ .

We can check that the relations (i)-(iv) hold for this action. In particular, this argument shows that  $x_i, h_i, y_i \neq 0$  in  $L'$ .

(Actually,  $L'$  are free LA's on generators  $\{x_i\}_{i=1}^n$  and  $\{y_i\}_{i=1}^n$  resp.).

Next, consider the ideal  $D_-$  of  $L'$  generated by all  $y_{ij} \equiv (\text{ad } y_i)^{-c_{ji+1}}(y_j)$ ,  $i \neq j$ .

Claim:  $D_-$  is an ideal in  $L'$ .

It suffices to check:  $[x_k, D_-] \subseteq D_-$ ,  $[h_k, D_-] \subseteq D_-$ ,

$[h_k, (\text{ad } y_i)^{-c_{ji+1}}(y_j)]$  just rescales the element.

$[x_k, (\text{ad } y_i)^{-c_{ji+1}}(y_j)]$ : there are 3 cases:

If  $k \neq i, j$ , it's 0.

If  $k=j$ .  $[x_j, (\text{ad } y_i)^{-c_{ji+1}}(y_j)] = (\text{ad } y_i)^{-c_{ji+1}}([x_j, y_j]) = (\text{ad } y_i)^{-c_{ji+1}}(h_j)$

$$= \begin{cases} (\text{if } c_{ji} = \langle \alpha_j, \alpha_i \rangle = 0) & [y_i, h_j] = c_{ji} y_i = 0 \\ (\text{if } c_{ji} < 0) & [\dots [y_i, y_j, h_j] \dots] = [\dots [y_i, c_{ji} y_j] \dots] = 0. \end{cases}$$

$$(\text{if } c_{ji} > 0) = [\dots [y_i, y_j, h_j] \dots] = [\dots [y_i, c_{ji} y_j] \dots] = 0.$$

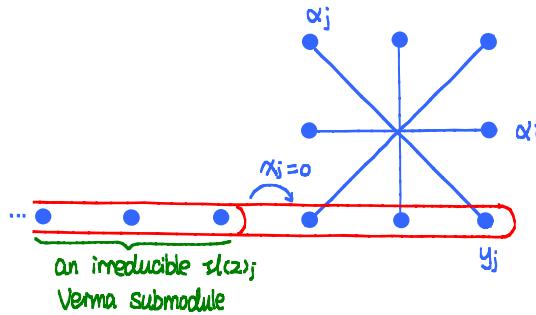
If  $k=i$ . We have a copy of  $\mathfrak{sl}(2) \cong \mathbb{C}\{x_i, y_i, h_i\} \subseteq L'$ . As an  $\mathfrak{sl}(2)$ -module,

$h_i$  is diagonalizable on  $L'$  and  $y_j$  generates a copy of  $\mathfrak{sl}(2)$ -Verma module.

Indeed,  $[x_i, y_j] = 0$  and  $y_j \xrightarrow{\text{ad } y_i} [y_i, y_j] \xrightarrow{\text{ad } y_i} [y_i, [y_i, y_j]] \mapsto \dots y_j$  has h.w.

$-c_{ji}$ , and thus from our knowledge on  $\mathfrak{sl}(2)$ -modules we know that

$$[x_i, (\text{ad } y_i)^{-c_{ji+1}}(y_j)] = 0$$



Likewise  $\{(\text{ad } x_i)^{-c_{ji+1}}(x_j)\}$  generates an ideal  $D_+$  of  $L'$ . Thus taking their sum, we obtain an ideal  $D = D_- \oplus D_+$  of  $L'$ . Let  $L \cong L'/D$ , which is still graded by  $L'$  since  $D$  is.

Claim:  $L$  is simple and has wgt decomposition given by the root system  $\Phi$ .

Indeed, note that  $\text{ad } x_i, \text{ad } y_i$  are locally nilpotent operators on  $L$ , not on  $L'$ , that's the difference), i.e. they act nilpotently on all generators  $\{x_k, y_k, h_k\}_{k=1}^n$ :

$\text{ad } h_i$  acts semisimply on  $L'$  (thus on  $L$ ). Hence  $L$  can be decomposed into  $L = \bigoplus \text{finite dim'l irrep's of } \mathfrak{sl}(2)$ .

By our construction,  $H \subseteq L$ ,  $L_{\alpha_i} = \mathbb{C}x_i$ ,  $L_{-\alpha_i} = \mathbb{C}y_i \Rightarrow \dim L_{\alpha} = \dim L_{-\alpha}$  from our knowledge of finite dim'l  $\mathfrak{sl}(2)$ -modules. It follows that  $\dim L_{\beta} = 1$ ,  $\forall \beta \in \Phi$  since  $W \cdot \Delta = \Phi$ . Furthermore,  $L_{\pm k\beta} = 0$ ,  $\forall k > 1$ , since this is true for the simple roots, and again  $W \cdot \Delta = \Phi$ . Finally, if  $\beta \in \Lambda_r$  is not a multiple of a root, then for some  $\sigma \in W$ ,  $\sigma(\beta)$  will have both positive and negative coefficients w.r.t.  $\alpha$ , and hence  $L_{\sigma(\beta)} = 0 \Rightarrow L_{\beta} = 0$ . It follows that  $L$  is simple since  $H$  is a CSA.  $L = H \oplus \bigoplus_{\alpha \in \Phi} L_{\alpha}$  is the root decomposition, and  $\Phi$  is an irreducible root system.

Altogether, the above arguments prove Serre's thm.

Cor.  $D$  is the maximal ideal disjoint from  $H$ .

Pf: Indeed, if  $D'$  is another such ideal.  $L \rightarrow L'/(D+D') \neq 0$ .  $L$  simple  $\Rightarrow D+D' = D \Rightarrow D' \subseteq D$ .  $\square$

Cor. (Uniqueness of  $L$ ). Isomorphic irreducible root systems give rise to isomorphic simple Lie algebras.

Pf: Our construction only used the integers  $C_{ij} = \langle \alpha_i, \alpha_j \rangle$ .  $\square$

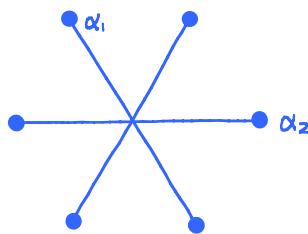
Cor. Inclusion of (reducible) root systems  $(E', \Phi') \hookrightarrow (E, \Phi)$  give rise to inclusion of (semi) simple LA's.  $\square$

Cor. (Existence of exceptional LA's).  $\exists E_6, E_7, E_8, F_4, G_2$  simple LA's.  $\square$

Rmk: By our construction,  $L \cong L_+ \oplus H \oplus L_-$ .  $L_+ \oplus H$  is solvable (actually a maximal solvable subalgebra in  $L$ ), called the positive Borel subalgebra in  $L$ ;  $L_- \oplus H$  the negative Borel subalgebra, (which is positive w.r.t.  $-\Delta$ ).

**Fact:** Any maximal solvable subalgebra of  $L \cong b_+$ . For a proof, see Humphreys or Stemberg.

E.g.  $\mathfrak{sl}(3)$



$x_1, x_2$  generates  $L_+$ , subject to relations  $[x_1, [x_1, x_2]] = 0$  and  $[x_2, [x_2, x_1]] = 0$   
 $\Rightarrow$  Any double commutator is 0, by Jacobi's identity.  
 $\Rightarrow L_+$  is generated by  $x_1, x_2, [x_1, x_2]$ .

Automorphism of Dynkin diagram.

Now that we have an exact sequence:

$$1 \rightarrow W(\Phi) \rightarrow \text{Aut}(\Phi) \rightarrow \text{Aut}(\Phi)/W(\Phi) \rightarrow 1$$

Since  $\text{Aut}(\Phi)$  acts transitively on the set of bases  $\Delta$  of  $\Phi$ , and  $W(\Phi)$  acts simply transitively on the set of bases  $\Rightarrow \text{Aut}(\Phi)/W(\Phi) \cong \text{Stab}_{\text{Aut}(\Phi)}(\Delta)$ , and thus the sequence splits, since  $\text{Stab}_{\text{Aut}(\Phi)}(\Delta)$  is a subgroup of  $\text{Aut}(\Phi)$ . Hence  $\text{Aut}(\Phi)/W(\Phi) \cong \text{Stab}_{\text{Aut}(\Phi)}(\Delta)$  can be identified as the automorphism group of the Dynkin diagram, denoted  $\text{Aut}(\Gamma)$

E.g. For  $D_5$ ,  $\text{Aut}(\Gamma) \cong \mathbb{Z}/2$ .



In general,

$$\text{Aut}(\Gamma) \cong \begin{cases} \{1\} : & A_1, B_n (n \geq 2), C_n (n \geq 3), F_4, E_7, E_8, G_2 \\ \mathbb{Z}/2 : & A_n (n \geq 2), D_n (n \geq 5) \\ S_3 : & D_4. \end{cases}$$

Moreover, fixing a C.S.A.  $H$  of  $L$ ,  $\text{Aut}(L, H)$  satisfies:

$$1 \rightarrow (\mathbb{C}_*)^n \rightarrow \text{Aut}(L, H) \rightarrow \text{Aut}(\Phi) \rightarrow 1$$

Indeed, the kernel comes from rescaling:  $x_{\alpha_i} \mapsto \lambda x_{\alpha_i}$ ,  $h_{\alpha_i} \mapsto h_{\alpha_i}$ ,  $y_{\alpha_i} \mapsto \lambda^{-1} y_{\alpha_i}$ , and thus one  $\mathbb{C}_*$  for each  $\alpha_i \in \Delta$ .

Rmk:  $\text{Aut}(L) \cong \tilde{G}_L / Z(\tilde{G}_L)$  where  $\tilde{G}_L$  is the simply connected Lie group with L.A.  $L$ , and  $Z(\tilde{G}_L)$  its center.

(to be shown in the next semester).

## §.8. Finite Dimensional $L$ -Modules

Recall that we know already, for finite dimensional  $\mathfrak{sl}(n)$ -modules, we have

$$\{\text{Irrep's of } \mathfrak{sl}(n)\} \leftrightarrow \{\text{Positive integral wts } \lambda \in \Lambda^+\}$$

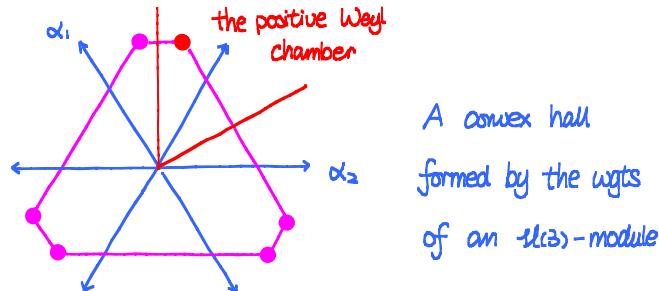
The story is more generally true, for (semi) simple Lie algebras  $L$ .

Let  $\Delta = \{\alpha_1, \dots, \alpha_n\}$  be a base.  $\Lambda_r = \text{root lattice} \cong \mathbb{Z} \cdot \Delta$ .  $\Lambda = \text{wgt lattice}$   $\Lambda^+ \subseteq \Lambda$  the abelian semigroup of integral wts s.t.  $\langle \lambda, \alpha_i \rangle \geq 0, \forall \alpha_i \in \Delta$ . The fundamental wts  $\{\lambda_1, \dots, \lambda_n\}$  are defined by  $\langle \lambda_i, \alpha_j \rangle = \delta_{ij}$ . Then  $\Lambda = \bigoplus_{i=1}^n \mathbb{Z} \lambda_i$  and  $\lambda \in \Lambda^+$  iff  $\lambda = \sum a_i \lambda_i, a_i \in \mathbb{Z}_{\geq 0}$ .

Take  $V$  a finite dimensional  $L$ -module,  $V = \bigoplus_{\mu \in \Lambda} V(\mu)$ . Recall that we say  $v \in V$  is a h.w. vector if  $L_+ \cdot v = 0$ , or equivalently,  $x_i \cdot v = 0$  for each simple root vector.

Furthermore, if  $V$  is irreducible,  $V$  has a h.w. vector  $v \in V(\lambda)$ , unique up to a non-zero scalar, and  $\lambda \in \Lambda^+$ :

Recall that as an  $\mathfrak{sl}(2)$ -module,  $V$  decomposes as direct sums of irrep's and  $\dim V(\mu) = \dim V(S\alpha_i \mu) \Rightarrow$  the wgt diagram of  $V$  has  $W$ -symmetry:  $ch(V) \in \mathbb{Z}[\Lambda]^W$ . In particular, this shows that the h.w.  $\lambda \in \Lambda^+$ , since otherwise,  $\langle \lambda, \alpha_i \rangle < 0 \Rightarrow \lambda - \langle \lambda, \alpha_i \rangle \alpha_i > \lambda$  is another wgt of  $V$ . Note that the wgt diagram lies in the convex hull formed by  $W \cdot \lambda$ .



Thus given  $V$  an irrep, we can find  $v \in V(\lambda)$  a h.w. vector.

$\Rightarrow \exists M_\lambda \xrightarrow{f} V \rightarrow 0, v_\lambda \mapsto v$ , where  $M_\lambda$  is the Verma module of h.w.  $\lambda$ .

( $M_\lambda \cong L(L_-) \cdot v_\lambda, h \cdot v_\lambda = \lambda(h) v_\lambda, x_i \cdot v_\lambda = 0, \forall i=1, \dots, n$ ). Furthermore,  $V$  irrep

$\Rightarrow f$  is surjective. Now we analyze  $\ker f$ .

Now that  $S\alpha_i(\lambda) = \lambda - \langle \lambda, \alpha_i \rangle \alpha_i = \lambda - \alpha_i \alpha_i$ .  $\alpha_i \triangleq \langle \lambda, \alpha_i \rangle$ . Thus  $\dim V(\lambda - \alpha_i \alpha_i) = 1$  and  $V(\lambda - \alpha_i \alpha_i) \cong \mathbb{C} y_i^{\alpha_i} v_\lambda$ . By the convexity of the wgt diagram of  $V$ ,  $y_i^{\alpha_i+1} v = 0$

$\Rightarrow y_i^{a_{i+1}} v_\lambda \in \ker f$ . Furthermore, we claim that

$y_i^{a_i} v_\lambda$  is a h.w. vector of wgt  $\lambda - (a_{i+1})\alpha_i$

Indeed, if  $j \neq i$ ,  $x_j y_i^{a_i} v_\lambda = y_i^{a_i} x_j v_\lambda = 0$ .

If  $j = i$ , this follows from the fact that the  $\mathfrak{sl}(2)$  Verma module  $M_m$  contains a unique maximal Verma submodule  $M_{m-2}$ . ( $m \geq 0$ )

This is true for all  $\alpha_i \in \Delta \Rightarrow \exists$  homomorphism  $\varphi$ :

$$\bigoplus_{i=1}^n M_{\lambda - (a_{i+1})\alpha_i} \xrightarrow{\varphi} M_\lambda \rightarrow 0$$

$$v_{\lambda - (a_{i+1})\alpha_i} \mapsto y_i^{a_{i+1}} v_\lambda$$

Prop:  $V_\lambda \cong M_\lambda / \text{Im } \varphi$  is a finite dimensional irrep of h.w.  $\lambda$ .

Pf: We will first show that, the quotient is finite dimensional. Fix  $i$ , and look at  $\mathfrak{sl}(2)_i \cong \mathbb{C}\{x_i, h_i, y_i\}$  and its action on  $M_\lambda / \text{Im } \varphi$ . Our first observation is that the action of  $x_i, y_i$  are locally nilpotent, i.e.  $x_i^{N_i} v = 0, y_i^{N_i} v = 0, \forall v \in M_\lambda / \text{Im } \varphi$ , and  $N_i \gg 0$ . This is automatic for  $x_i^{N_i} v = 0$  since all wghts are bounded above. For the second equality, note that it's true for  $v_\lambda$  by our def. Furthermore, any  $v \in M_\lambda$  is of the form  $\sum a_{j_1, j_2, \dots, j_n} y_i^{j_1} \dots y_n^{j_n} v_\lambda$  (P.B.W. Thm). The second equality follows now since  $y_i$  acts ad-nilpotently on each  $y_j$  and nilpotently on  $v_\lambda$ .

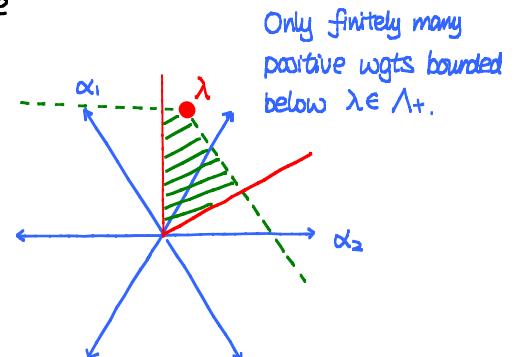
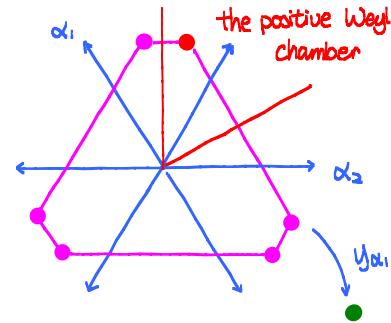
It follows that  $M_\lambda / \text{Im } \varphi$  decomposes into (possibly infinitely many) direct sums of finite  $\mathfrak{sl}(2)_i$ -modules.  $\Rightarrow$  The wghts of  $M_\lambda / \text{Im } \varphi$  are invariant under  $S_{\alpha_i}$ .

Since this holds for all  $\alpha_i \in \Delta$ , the wghts of  $M_\lambda / \text{Im } \varphi$  are invariant under  $W$ .

Moreover, the positive wghts bounded by  $\lambda$  are finite

$\Rightarrow \dim M_\lambda / \text{Im } \varphi$  is finite

Recall that every Verma module has a unique maximal proper submodule  $M'_\lambda$ , s.t.  $M_\lambda / M'_\lambda$  is irreducible. Now  $M_\lambda / \text{Im } \varphi$  is finite dimensional cyclic, thus must be irreducible by reducibility of finite dim'l  $L$ -modules  $\Rightarrow \text{Im } \varphi = M'_\lambda$ , thus  $V_\lambda$  is irreducible.

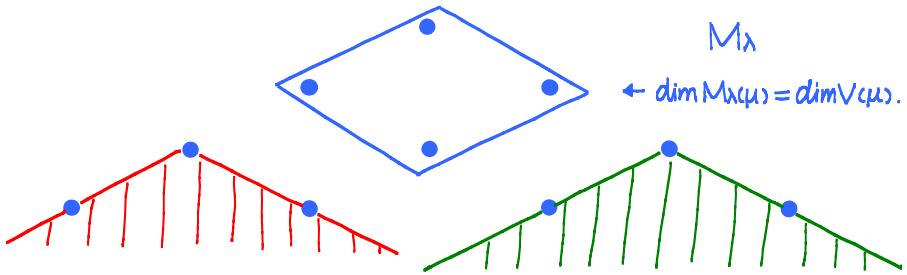


Note that  $M_\lambda \cong L(L_-) v_\lambda \cong \mathbb{C}\{y_1^{b_1} \dots y_n^{b_n} v_\lambda, b_i \in \mathbb{Z}_{\geq 0}\}$ . Thus

□

$\dim M_{\lambda}(\mu) = \#\{\text{presentations of } \lambda - \mu \text{ as sums of positive roots}\}$

In particular, we can count the dimension of wgt spaces of  $V_{\lambda}$  lying above  $\text{Im} \mathbb{Z}$ , which are :  $\dim V_{\lambda}(\mu) = \dim M_{\lambda}(\mu)$

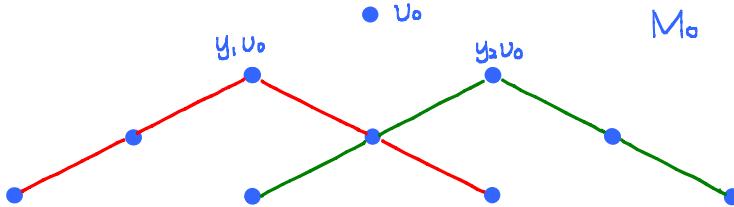


Constructions (existence)

$\forall \lambda \in \Lambda_+$ ,  $\lambda = \sum_{i=1}^n \alpha_i \lambda_i$ ,  $\alpha_i \in \mathbb{Z}_{\geq 0}$ . In particular, if  $\lambda = \lambda_i$ , a fundamental wgt, we have, by our construction,  $V_{\lambda} = M_{\lambda}/\text{Im} \mathbb{Z} = M_{\lambda_i} / \bigoplus_{j \neq i} M_{\lambda_i - \alpha_j} \oplus M_{\lambda_i - 2\alpha_i}$ .

E.g. (Trivial rep as  $M_{\lambda}/\text{Im} \mathbb{Z}$ )

$$V_0 \cong M_0 / \bigoplus_{i=1}^n M_{-\alpha_i} \cong \mathbb{C} V_0$$



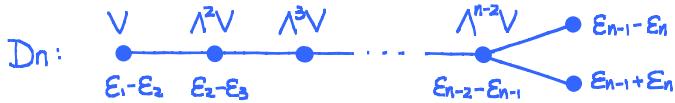
In general, similar as for  $\mathfrak{sl}(n)$ , if we have  $V_{\lambda_i}$ , then  $V_{\lambda}$  would be contained in  $S^{\alpha_1} V_{\lambda_1} \otimes \dots \otimes S^{\alpha_n} V_{\lambda_n}$ .

Now, each fundamental representation is labeled by the vertices of the Dynkin diagram,  $\alpha_i \leftrightarrow V_{\lambda_i}$ , we look at cases.

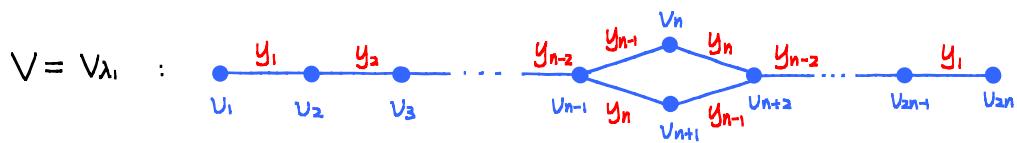
$\mathfrak{sl}(n)$ : Let  $V$  be the defining representation of  $\mathfrak{sl}(n)$  on  $\mathbb{C}^n$ .

$$\text{An-1: } \begin{array}{ccccccc} & \bullet & \cdots & \bullet & \cdots & \bullet & \\ & V & \wedge^2 V & \wedge^3 V & \cdots & \wedge^{n-2} V & \wedge^{n-1} V \cong V^* \end{array}$$

$\mathfrak{so}(2n)$ : Let  $V$  be the defining representation of  $\mathfrak{so}(2n)$  on  $\mathbb{C}^{2n}$ .



We can check that,  $V, \Lambda^2 V, \dots, \Lambda^{n-2} V$  are irreps of socns of h.w.  $\lambda_1, \dots, \lambda_{n-2}$ , h.w. vector  $v_1, v_1 \wedge v_2, \dots, v_1 \wedge \dots \wedge v_{n-2}$  resp. by applying  $L(L)$  on the h.w. vector and counting dimensions. For instance:



Here recall that  $V$  is the defining representation of  $SO(2n) = \{ \begin{pmatrix} * & * \\ * & * \end{pmatrix}_n \}$ , the C.S.A  $H = \text{Span}\{ h_i | h_i = e_{ii} - e_{n+i, n+i}, 1 \leq i \leq n \}$ .  $V$  has as basis  $v_1, \dots, v_{2n}$ ,  $v_i = (\underbrace{0, \dots, 0}_{i-1}, \underbrace{1}_{i-th}, \underbrace{0, \dots, 0}_{n-i})$  ( $1 \leq i \leq n$ ),  $v_{i+n} = (\underbrace{0, \dots, 0}_n, \underbrace{0, \dots, 0}_{n+i-th})$ . They are respectively of wghts:

$$\begin{array}{ll} \text{h.w. } v_1 : (1, 0, \dots, 0) & v_{n+1} : (0, 0, \dots, -1) \\ v_2 : (0, 1, \dots, 0) & v_{n+2} : (0, 0, \dots, -1, 0) \\ \dots & \dots \\ v_n : (0, 0, \dots, 1) & v_{2n} : (-1, 0, \dots, 0) \end{array}$$

$\Lambda^2 V = V_{\lambda_2}$ . Since  $v_1$  is of wght  $(1, 0, \dots, 0)$ ,  $v_2$  of wght  $(0, 1, \dots, 0)$ ,  $v_1 \wedge v_2$  is of wght  $(1, 1, 0, \dots, 0) \Rightarrow V_{\lambda_2} \subseteq \Lambda^2 V$ . Moreover,  $\{y_i | i=1, \dots, n\}$  carry  $v_1 \wedge v_2$  to all  $v_i \wedge v_j$  ( $i < j$ ) thus  $\Lambda^2 V$  is cyclic and  $\Lambda^2 V = V_{\lambda_2}$ , and  $v_1 \wedge v_2$  is of h.wgt.

In general,  $V, \Lambda^2 V, \dots, \Lambda^{n-2} V$  form the fundamental irreps  $V_{\lambda_1}, \dots, V_{\lambda_{n-2}}$ , by a similar argument as above.

The fundamental rep's corresponding to  $E_{n-1}-E_n$  and  $E_{n-1}+E_n$  are called half spin rep's, which are not rep's of the compact Lie group  $SO(2n)$ . Recall that  $\pi_1(SO(n)) \cong \mathbb{Z}/2$  for  $n \geq 3$ :  $SO(3) \cong SU(2)/(\pm 1) \cong S^3/\mathbb{Z}/2 \cong RP^3$ ;  $SO(n-1) \hookrightarrow SO(n) \rightarrow S^{n-1}$   $\Rightarrow \{\pm 1\} = \pi_2(S^{n-1}) \rightarrow \pi_1(SO(n-1)) \rightarrow \pi_1(SO(n)) \rightarrow \pi_1(S^{n-1}) = \{\pm 1\}$  ( $n \geq 3$ )  $\Rightarrow \pi_1(SO(n)) \cong \pi_1(SO(n-1))$

Thus the universal cover  $\widetilde{SO}(n)$  form a double cover of  $SO(n)$ . This is called the spin group  $Spin(n)$ .

Fact: The fundamental rep  $V_{\lambda_{n-1}}, V_{\lambda_n}$  are rep's of  $Spin(2n)$  which don't descend to  $SO(2n)$ , thus are not built from  $V$ .

$$\alpha_{n-1} = (0, \dots, 1, -1) \Rightarrow \lambda_{n-1} = (\frac{1}{2}, \frac{1}{2}, \dots, \frac{1}{2})$$

$$\alpha_n = (0, \dots, 1, 1) \Rightarrow \lambda_n = (\frac{1}{2}, \frac{1}{2}, \dots, -\frac{1}{2})$$

The wghts of  $V_{\lambda_{n-1}} (V_{\lambda_n})$  are within the convex hull formed by  $W \cdot \lambda_{n-1}, (W \cdot \lambda_n)$ . Since  $W$  consists of interchanging coordinates and even number of sign changes.

$$W \cdot \lambda_{n-1} = \{(\pm \frac{1}{2}, \pm \frac{1}{2}, \dots, \pm \frac{1}{2}) \mid \text{even } \# \text{ of } '-' \}$$

(odd # for  $\lambda_n$ ). Note that the only wgt within the convex hull is 0, which is not a wgt of  $V_{\lambda_{n-1}} (V_{\lambda_n})$  since its difference with any member of  $W\lambda_{n-1} (W\lambda_n)$  is not an integral combination of roots. It follows that  $\dim V_{\lambda_{n-1}} = \text{size of } W\lambda_{n-1} = 2^{n-1}$ , and:

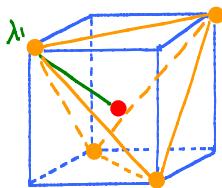
$$V_{\lambda_{n-1}} = \bigoplus V_{\lambda_{n-1}} (\pm \frac{1}{2}, \dots, \pm \frac{1}{2})$$

$$V_{\lambda_n} = \bigoplus V_{\lambda_n} (\pm \frac{1}{2}, \dots, \pm \frac{1}{2})$$

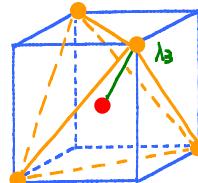
with even number of negative signs, and each wgt space is 1-dim'l, since they are on the Weyl group orbit of the h.w.

E.g.  $sl(4) \cong so(6)$ , since their Dynkin diagrams are the same:

$$\begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \quad sl(4) \quad = \quad \begin{array}{c} \bullet & \bullet \\ & \swarrow \end{array} \quad so(6)$$



$$\lambda_1 = (\frac{1}{2}, \frac{1}{2}, \frac{1}{2})$$



$$\lambda_3 = (\frac{1}{2}, \frac{1}{2}, -\frac{1}{2})$$

Over  $\mathbb{R}$ ,  $Spin(6) \cong SU(3)$ , these 2 fundamental rep's are isomorphic to the defining rep  $\mathbb{C}^3$  of  $SU(3)$  and  $\Lambda^2 \mathbb{C}^2 \cong (\mathbb{C}^3)^*$ .

Note in particular that if  $n$  is even,  $-\lambda_{n-1} = (-\frac{1}{2}, -\frac{1}{2}, \dots, -\frac{1}{2}) \in W\lambda_{n-1}$  and  $-\lambda_n = (-\frac{1}{2}, -\frac{1}{2}, \dots, \frac{1}{2}) \in W\lambda_n$ , and thus  $V_{\lambda_{n-1}}$  and  $V_{\lambda_n}$  are self-dual. On the other hand, if  $n$  is odd,  $V_{\lambda_n} \cong V_{\lambda_{n-1}}^*$  and  $V_{\lambda_{n-1}}^* \cong V_n$  since now  $-\lambda_{n-1} \in W\lambda_n$ ,  $-\lambda_n \in W\lambda_{n-1}$ .

$\mathfrak{so}(2n+1)$ : Again let  $V$  be the defining representation,  $\dim V = 2n+1$ .

The difference here from  $\mathfrak{so}(2n)$  case is that now  $0$  is a wgt besides  $\{(0, \dots, \pm 1, \dots, 0)\}$ . (In particular,  $V$  is not minuscule now since  $0 \notin W\lambda_1$ .) Indeed, recall our construction about  $\mathfrak{so}(2n+1) = \left\{ \begin{pmatrix} 0 & * & * \\ * & * & * \\ * & * & * \end{pmatrix} \right\}$  with CSA  $\{(0^*, \dots, *)\}$ , and the CSA kills  $(1, 0, \dots, 0)$ .

$$B_n: \quad \begin{array}{ccccccc} V & \xrightarrow{\Lambda^2 V} & \Lambda^3 V & \cdots & \xrightarrow{\Lambda^{n-1} V} & V_{\lambda_n} \\ \downarrow & \downarrow & \downarrow & & \downarrow & \\ E_1 - E_2 & E_2 - E_3 & & & E_{n-1} - E_n & E_n \end{array}$$

The fundamental rep's  $V_{\lambda_1}, \dots, V_{\lambda_{n-1}}$  are constructed similar as above, while there is only 1 spin representation  $V_{\lambda_n}$ .  $V_{\lambda_n} \notin \otimes V^{\otimes m}$  for any  $m$  (since  $-I$  of  $\text{Spin}(n)$  would act as  $\text{Id}$  on  $V^{\otimes m}$ ). Furthermore,  $V_{\lambda_n}$  is minuscule with h. w.  $\lambda_n = (\frac{1}{2}, \dots, \frac{1}{2})$  and wgs  $W\lambda_n = \{(\pm \frac{1}{2}, \pm \frac{1}{2}, \dots, \pm \frac{1}{2})\}$  (recall that  $W(B_n) \cong (\mathbb{Z}/2)^n \times S_n$ , all sign changes are there!)  $\Rightarrow \dim V_{\lambda_n} = 2^n$ .

Dual fundamental representations.

Note that  $V \mapsto V^*$  always gives an automorphism of the Dynkin diagram.

$\mathfrak{sl}(n)$ :

$$\begin{array}{ccccccc} & & & \text{*} & & & \\ & & & \curvearrowright & & & \\ \bullet & \bullet & \bullet & \cdots & \bullet & \bullet & \\ \downarrow & \downarrow & \downarrow & & \downarrow & \downarrow & \\ V & \Lambda^2 V & \Lambda^3 V & & \Lambda^{n-2} V & \Lambda^{n-1} V \cong V^* & \end{array}$$

$$\Lambda^k V \cong (\Lambda^{n-k} V)^*$$

$\mathfrak{so}(2n+1)$ :

$$\begin{array}{ccccccc} V & \Lambda^2 V & \Lambda^3 V & \cdots & \xrightarrow{\Lambda^{n-1} V} & V_{\lambda_n} \\ \downarrow & \downarrow & \downarrow & & \downarrow & \\ E_1 - E_2 & E_2 - E_3 & & & E_{n-1} - E_n & E_n \end{array}$$

The only automorphism of the Dynkin diagram is trivial, thus all fundamental rep's are self-dual.

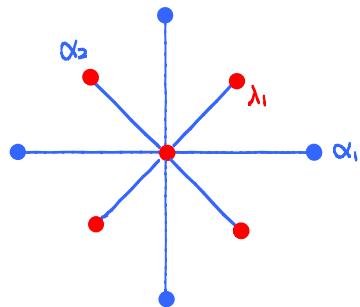
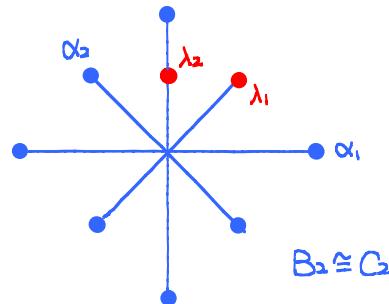
$\mathfrak{so}(2n)$ :

$$\begin{array}{ccccccc} V & \Lambda^2 V & \Lambda^3 V & \cdots & \xrightarrow{\Lambda^{n-2} V} & \bullet & \\ \downarrow & \downarrow & \downarrow & & \downarrow & \nearrow & \\ E_1 - E_2 & E_2 - E_3 & & & E_{n-2} - E_{n-1} & E_{n-1} - E_n & \\ & & & & & \searrow & \\ & & & & & E_{n-1} + E_n & \end{array} \quad \text{for } \mathfrak{so}(4n+2)$$

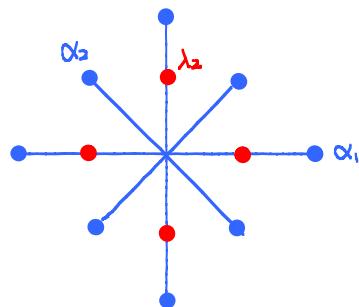
For  $\mathfrak{so}(4n)$ , all fundamental reps are self-dual, since in this case,  $V_{\lambda_{2n-1}}^* \cong V_{\lambda_{2n-1}}$ ,  $V_{\lambda_{2n}}^* \cong V_{\lambda_{2n}}$ . On the other hand, for  $\mathfrak{so}(4n+2)$ ,  $V_{\lambda_{2n}}^* \cong V_{\lambda_{2n+1}}$ ,  $V_{\lambda_{2n+1}}^* \cong V_{\lambda_{2n}}$ , this corresponds to the non-trivial flip of the Dynkin diagram.

To summarize, any rep  $V$  of  $\mathrm{SO}(m)$  is self-dual if  $m \neq 2 \pmod{4}$ . ( $m=2$ ,  $\mathrm{SO}(2) \cong \mathrm{U}(1)$  and irreps are parametrized by the winding number  $z \mapsto z^n$ ,  $V(n)^* \cong V(-n)$ ).

E.g.  $\mathrm{SO}(5) \cong \mathrm{Sp}(2)$



$\dim V_{\lambda_1} = 5$ , which is the 5-dim'l defining rep of  $\mathrm{SO}(5)$  (note that there is only one way to reach 0 by  $y_{\alpha_1}, y_{\alpha_2}$ 's, and thus  $\dim V_{\lambda_1}(0) = 1$ ).



$\dim V_{\lambda_2} = 4$ , which is the defining rep of  $\mathrm{Sp}(2)$  on  $\mathrm{IH}^2 \cong \mathbb{C}^4$

Note that both rep's are self-dual.

- Summary of Lie algebras and their representations.

- $L$ : any Lie algebra over  $\mathbb{C}$ . Then:

$$0 \rightarrow \text{Rad}L \rightarrow L \rightarrow L^{\text{s.s.}} \rightarrow 0$$

and  $L^{\text{s.s.}} \cong \bigoplus \text{simple LA's}$ , where  $\text{Rad}L = \text{maximal solvable ideal}$ .

- Simple LA's /  $\mathbb{C} \longleftrightarrow$  Dynkin diagrams :  $A_n, B_n, C_n, D_n, E_6, E_7, E_8, F_4, G_2$ .
- There is no way to classify solvable LA's except in low dimensions, and there is no classification of representations of a given solvable Lie algebra. (Yet any finite dimensional irrep is 1 dim'l.). Solvable subalgebras of  $\mathfrak{gl}(n)$  are conjugate to some subalgebra contained in the upper triangular matrices.
- Classification of rep's of a s.s. LA :  $L \cong L_1 \oplus \dots \oplus L_n$ ,  $L_i$  simple. Then any finite dim'l rep  $U$  of  $L$  is completely reducible :  $U \cong U_1 \oplus \dots \oplus U_n$ , and each  $U_i$  is of the form  $V_1 \otimes \dots \otimes V_n$  where each  $V_i$  is an irrep of  $L_i$  (only  $\mathbb{C}!$ )
- Finally, if  $L$  is simple, then upon choosing a CSA, we obtain a root system (all CSA's of  $L$  are conjugate, thus give isomorphic root systems), and by choosing a base, we obtain a positive root lattice and positive wgt lattice  $\Lambda^+$

$$\{\text{Irrep's of } L\} \xleftrightarrow{1:1} \{\text{positive integral (dominant) wghts}\} = \Lambda^+$$

The basic building blocks are the fundamental wghts  $\lambda_1, \dots, \lambda_n$ , in the sense that if  $\lambda \in \Lambda^+$ ,  $\lambda = a_1\lambda_1 + \dots + a_n\lambda_n$ , then  $V_\lambda \subseteq S^{a_1}V_{\lambda_1} \otimes \dots \otimes S^{a_n}V_{\lambda_n}$ .