

POSCAT Seminar 4 : Adv. Data Structure

yougatup @ POSCAT



Topic

■ Topic today

— Heap (Just for your knowledge)

- D-ary heap
- Binomial heap
- Fibonacci heap

~~— Indexed Tree~~

- ~~• Binary Indexed Tree~~
- ~~• Fenwick Tree~~

~~— Implementation of Indexed Tree~~



Heap

- You already know what it is
 - Definition ?
- Amazingly, there is **faster** data structures
 - Fibonacci heap 은 이론적으로 Time complexity 가장 적음
 - But, 구현해보면 느림 → 실제로 쓰지는 않습니다
 - d-ary heap, Binomial heap, Fibonacci heap
- Operations
 - find_min, delete_min, insert, delete, decrease_key



d -ary heap

- It has child at most d
 - Extended version of binary heap
 - How it works ?
 - We use it for Prim algorithm

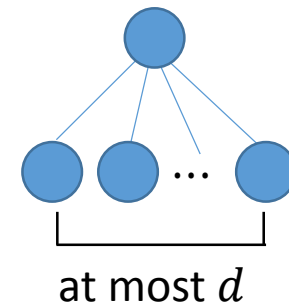
find_min ?

insert ?

delete ?

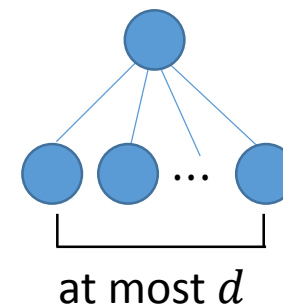
decrease_key ?

delete_min ?



d -ary heap

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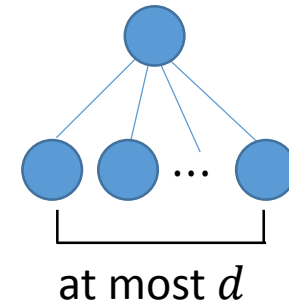


find_min : root !
insert : insert to right-most
delete : decrease a value to $-\infty$, and delete_min
decrease_key : decrease a value, and rearrange heap
delete_min : like binary heap 😊



d -ary heap

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Time complexity ?

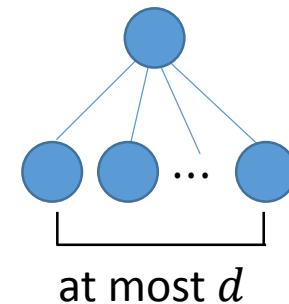


d -ary heap

- It has child at most d
 - Extended version of binary heap
 - How it works ?
 - We use it for Prim algorithm

find_min : $O(1)$
insert : $O(\log_d n)$
delete : $O(d \log_d n)$
decrease_key : $O(\log_d n)$
delete_min : $O(d \log_d n)$

Time complexity ?



Binomial tree

■ Definition

A binomial tree of height k (denoted by B_k) is defined as follows

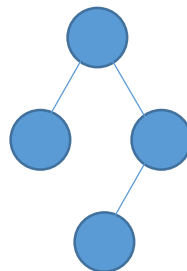
1. B_0 consists of a single node.
2. B_k is formed by joining two B_{k-1} trees, making one's root child of the other



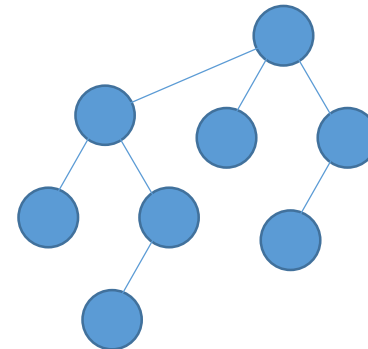
B_0



B_1



B_2



B_3



Binomial tree

- Property

1. B_k has 2^k nodes
2. The height of B_k is $k = \log |B_k|$
3. The root of B_k has k children

Use mathematical induction to prove

Therefore, the height and the degree of a node v in a binomial tree are both at most logarithmic in the size of the subtree rooted at v

Binomial tree

- Storing data

How can we store $n \neq 2^k$ nodes using binomial tree ?

Binomial tree

- Storing data

How can we store $n \neq 2^k$ nodes using binomial tree ?

Think about binary notation of n

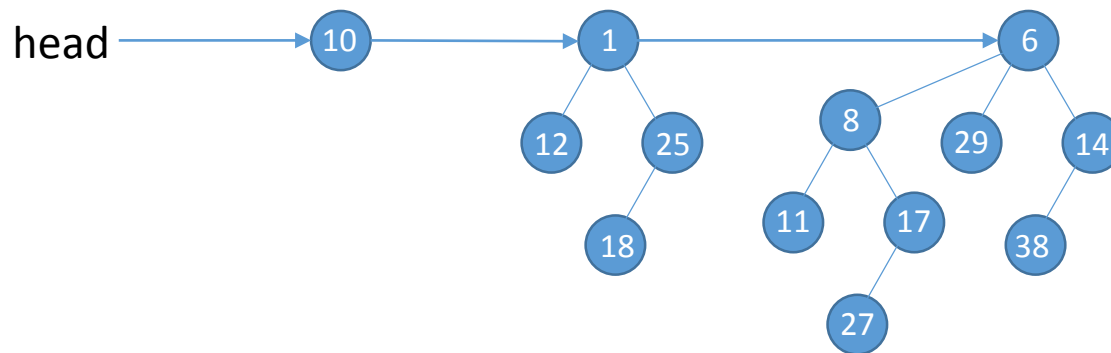
Binomial tree

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How can we store $n \neq 2^k$ nodes using binomial tree ?

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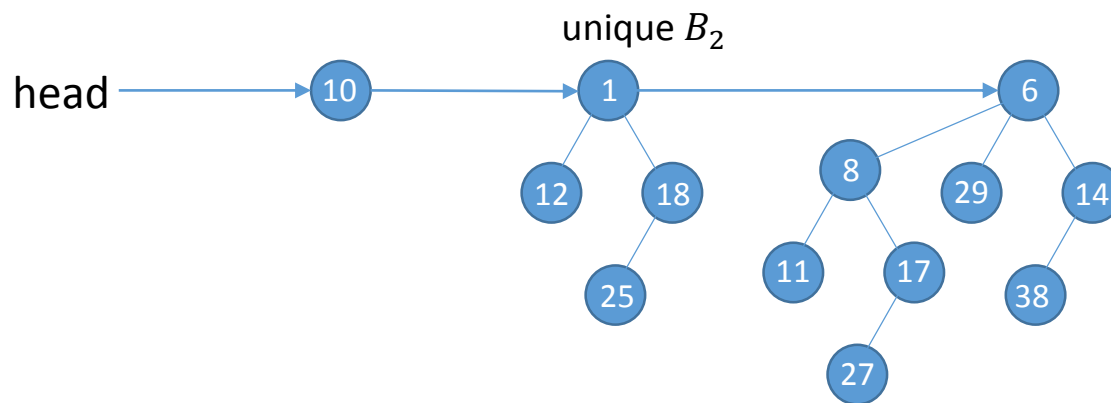
We can use binomial tree chain ! We call it as **root list**



Binomial heap

■ Definition

A binomial heap is a (set of) binomial tree(s) where each node is associated with a key and the heap-order property is preserved. We also have the requirement that for any i there is at most one B_i . In other words, it doesn't contain two B_i in the binomial heap.



Binomial heap

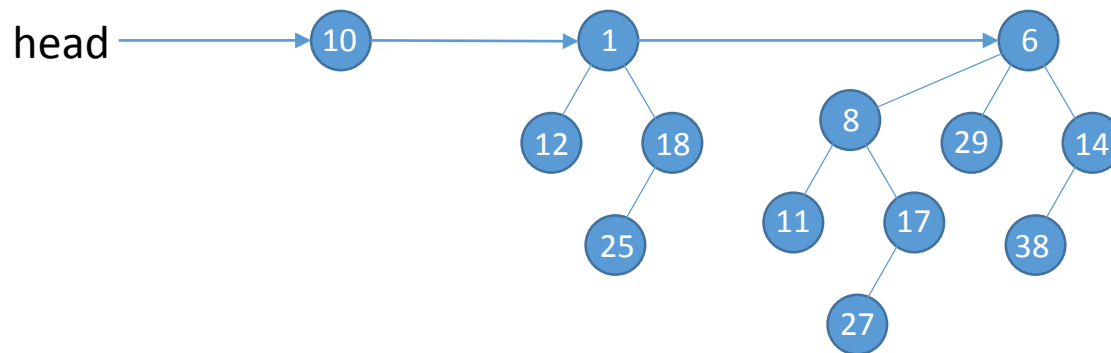
- Operations
 - find_min, delete_min, insert, delete, decrease_key

Binomial heap

- Find_min

We need to consider all the root nodes of binomial tree

It takes $O(\log n)$. Why ?

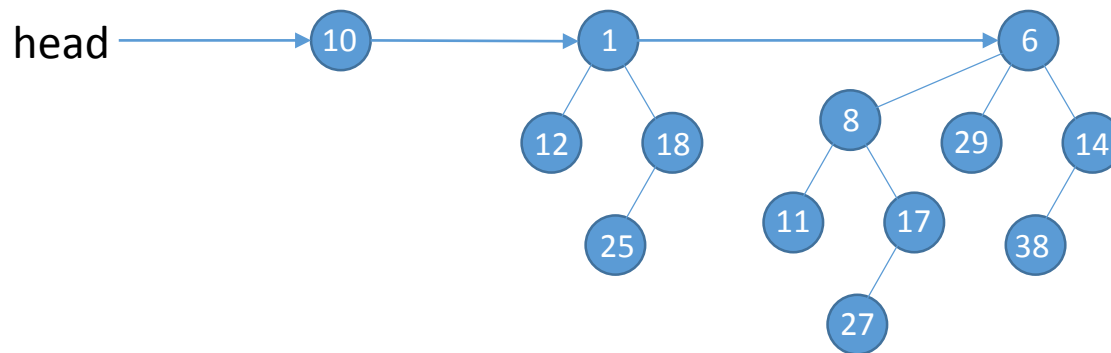


Binomial heap

- Find_min

We need to consider all the root nodes of binomial tree

It takes $O(\log n)$. **Why ?** we have $\log n$ binomial trees

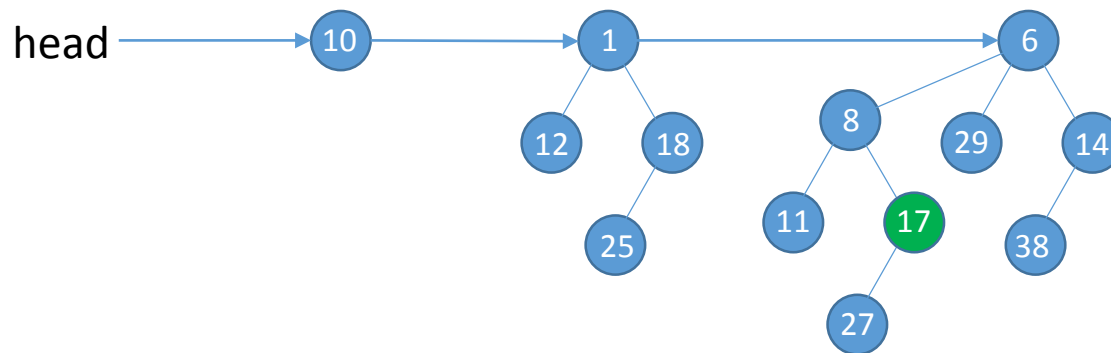


Binomial heap

- Decrease_key

Decrease the value and rearrange binomial tree

It takes $O(\log n)$

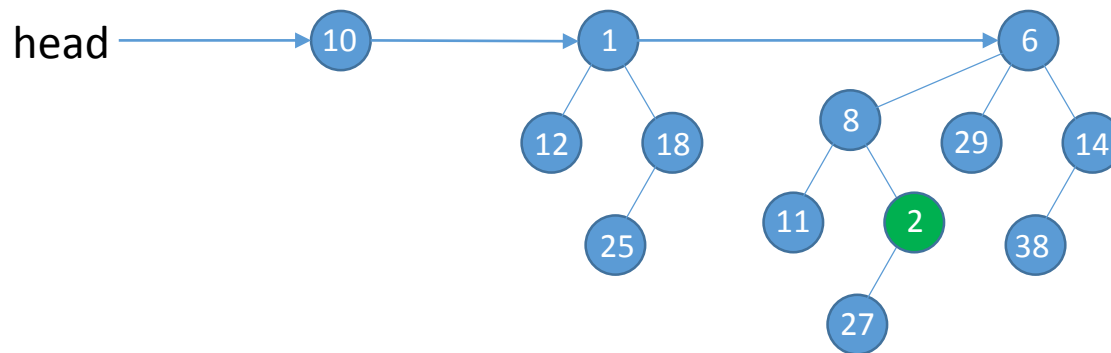


Binomial heap

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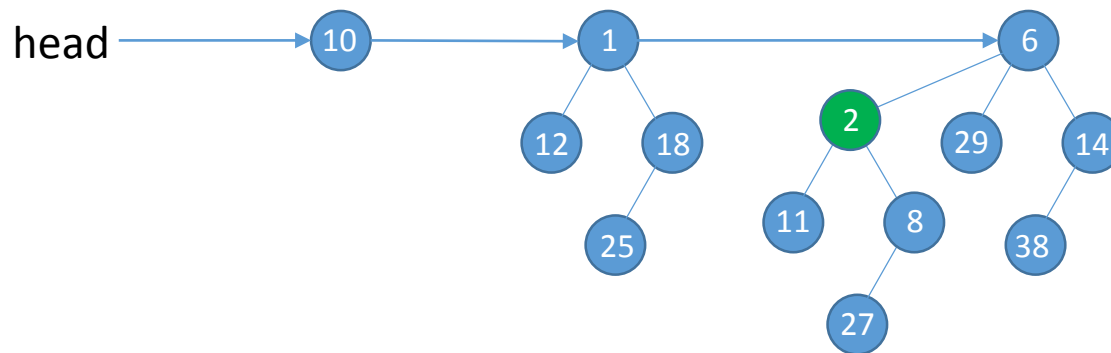


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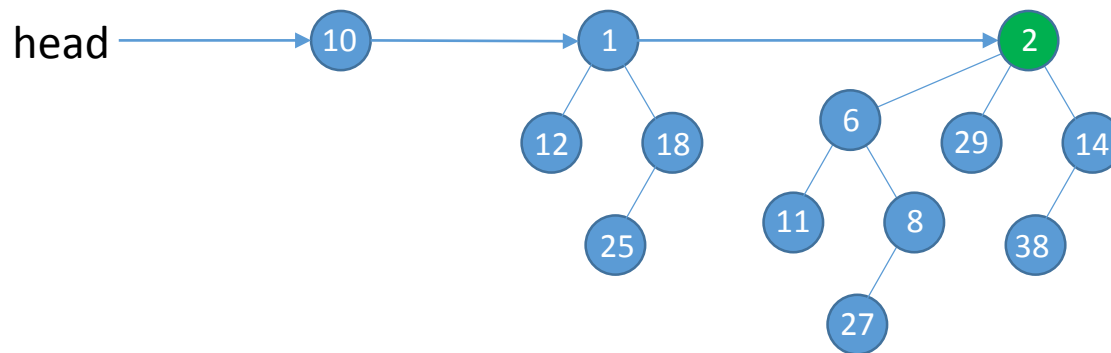


Binomial heap

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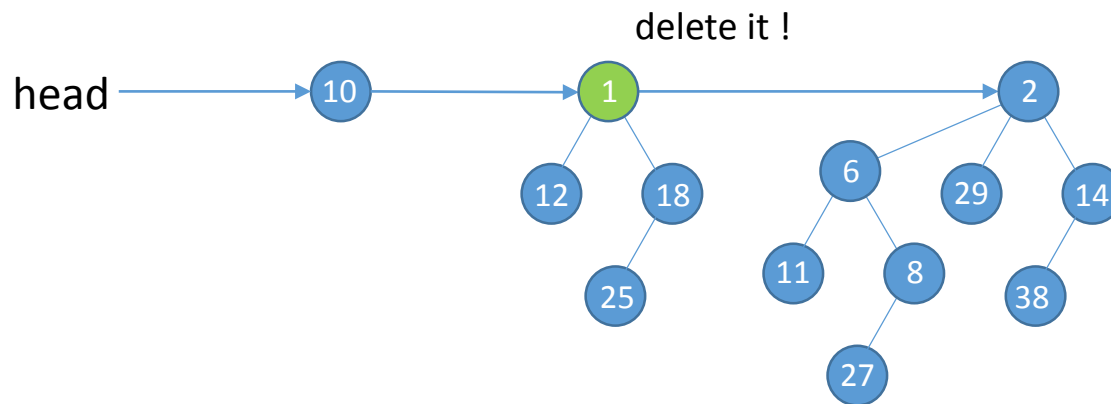
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Binomial heap

- Delete_min

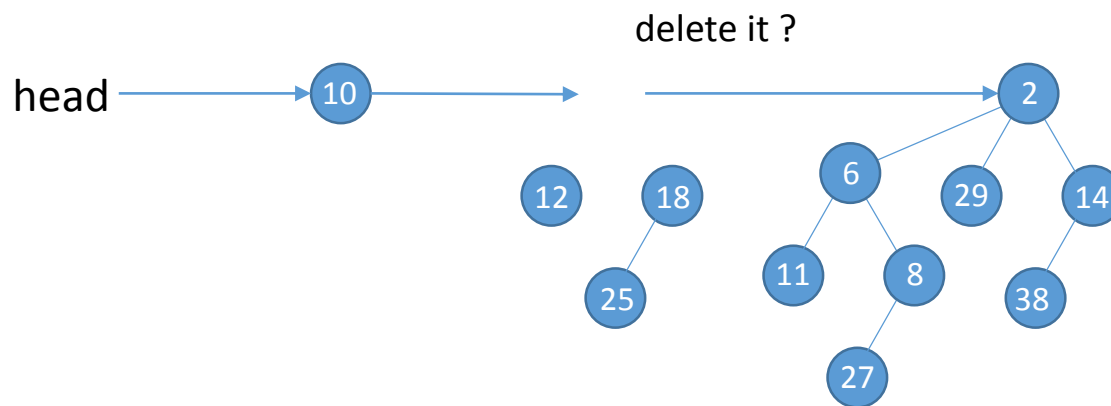
If we delete the root node of binomial tree, it is split into many trees



Binomial heap

- Delete_min

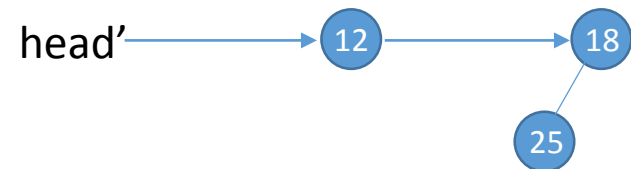
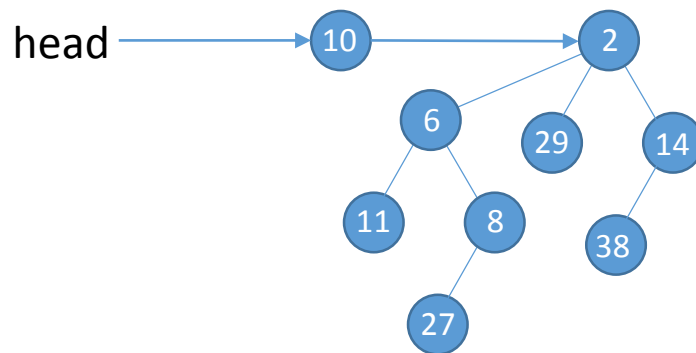
If we delete the root node of binomial tree, it is **split** into many trees



Binomial heap

- Delete_min

If we delete the root node of binomial tree, it is **split** into many trees
Make **another chain** ! Then we get another binomial heap.



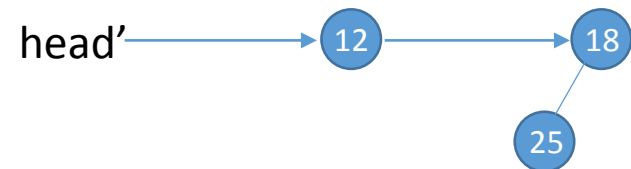
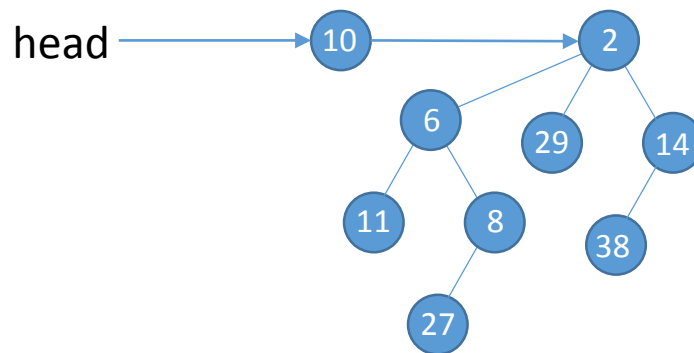
Binomial heap

- Delete_min

If we delete the root node of binomial tree, it is **split** into many trees

Make **another chain** ! Then we get another binomial heap.

Merge two binomial heaps into one ! **How ?**

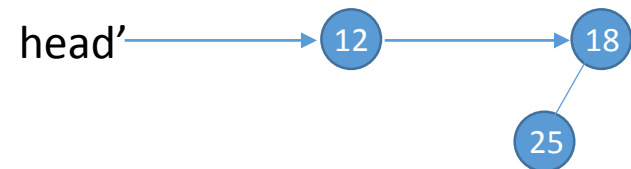
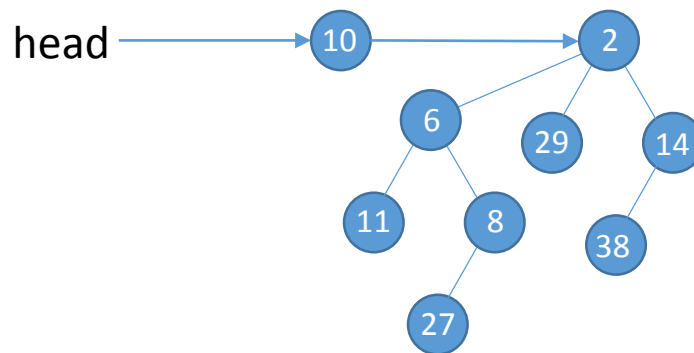


Binomial heap

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If we delete the root node of binomial tree, it is **split** into many trees
Make **another chain** ! Then we get another binomial heap.

Merge two binomial heaps into one ! **How ?** Merging on merge sort ?



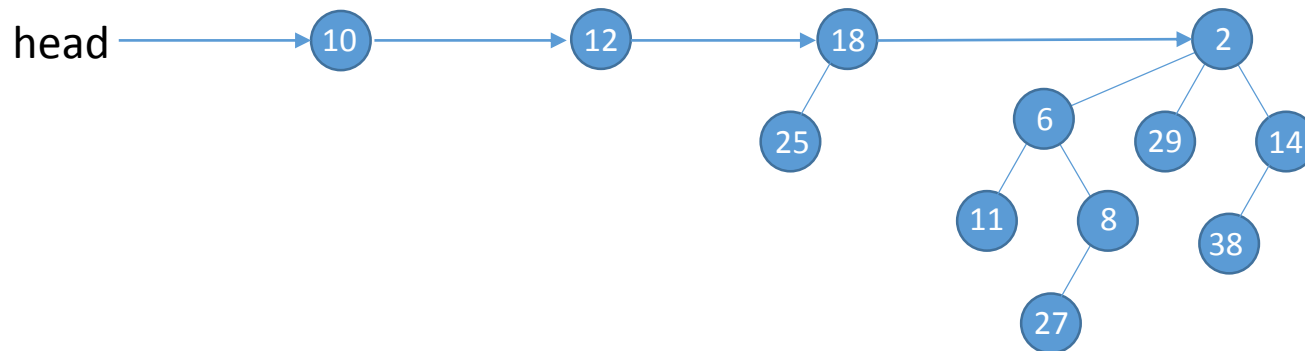
Binomial heap

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Binomial heap

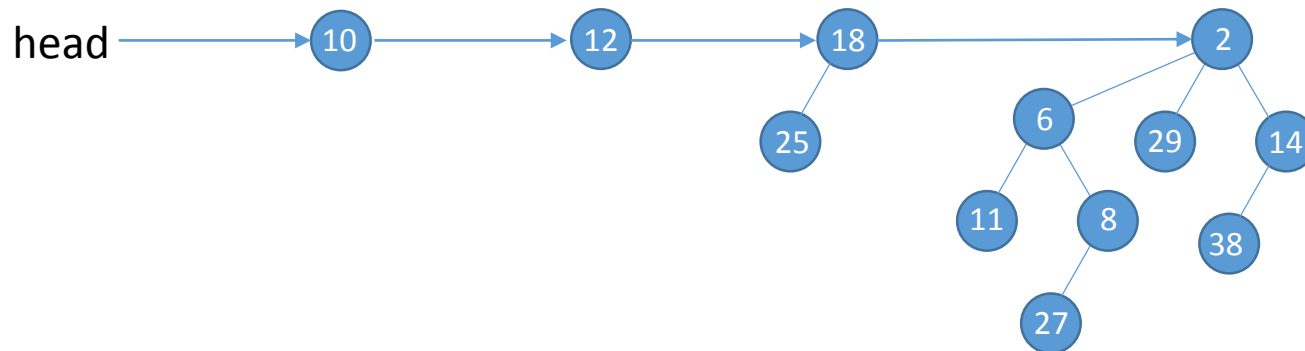
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If we delete the root node of binomial tree, it is **split** into many trees

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Merge two binomial heaps into one !

Rearrange the binomial heap



Binomial heap

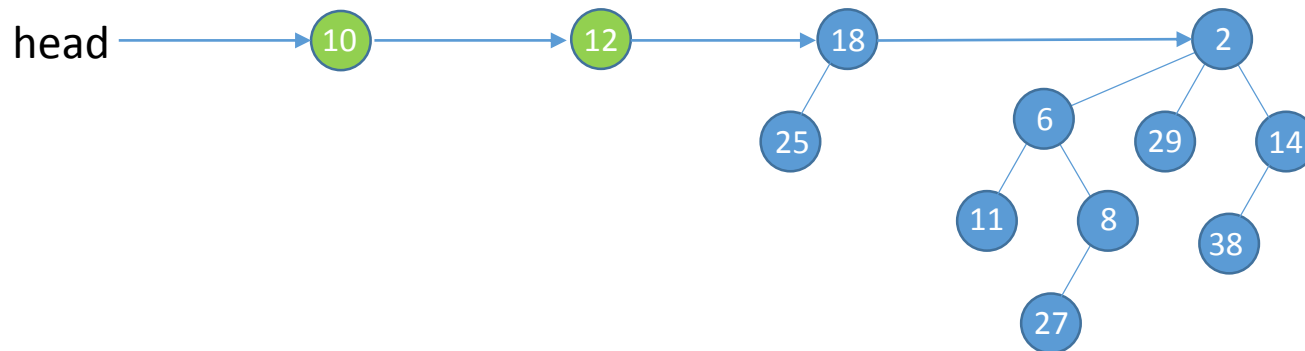
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Binomial heap

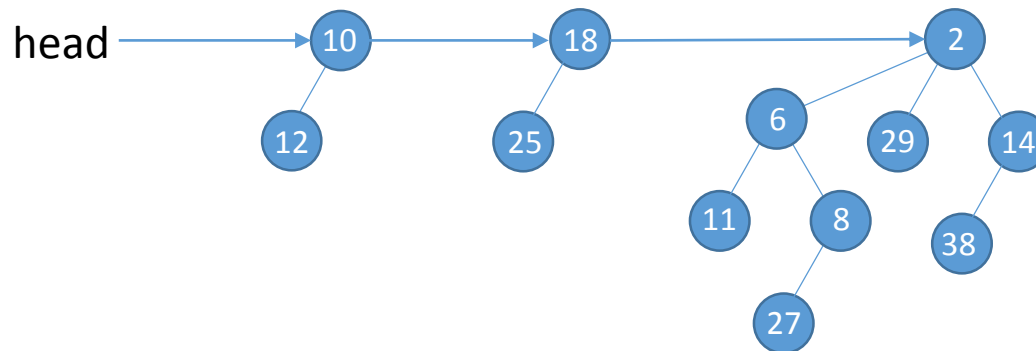
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Binomial heap

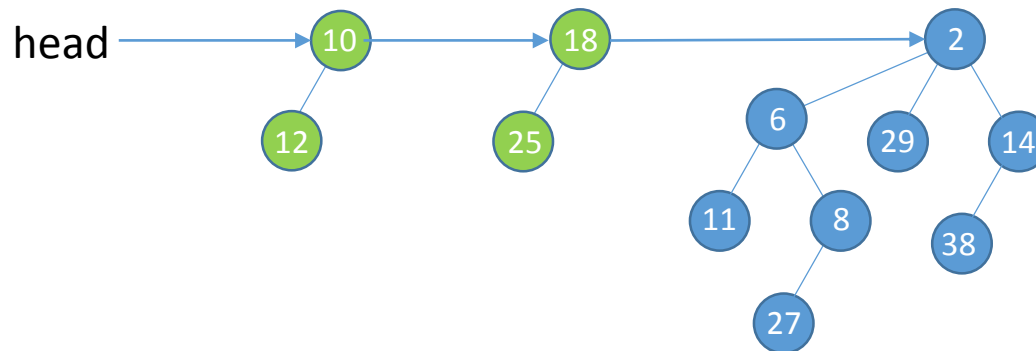
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Binomial heap

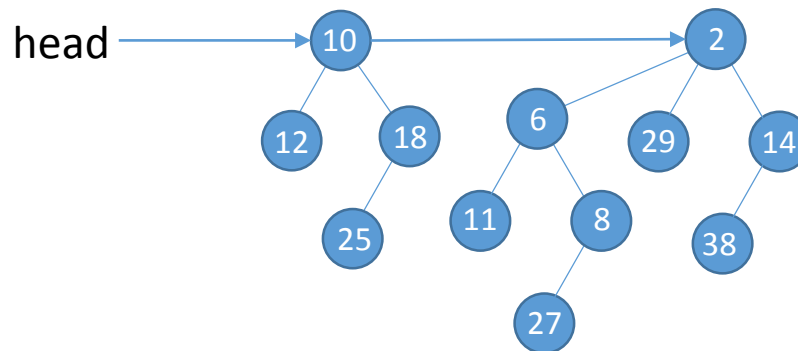
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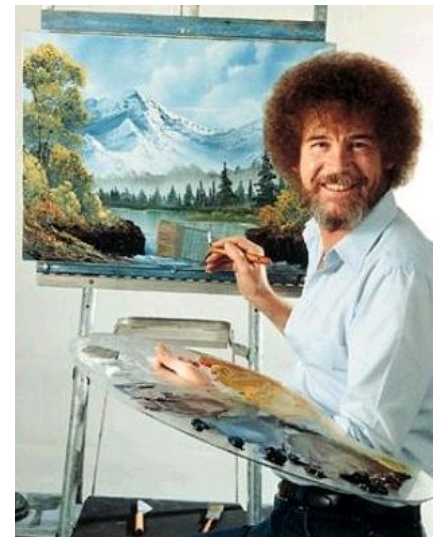
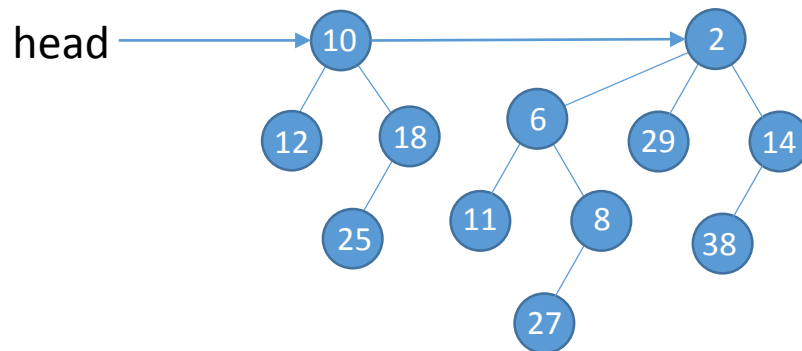
Binomial heap

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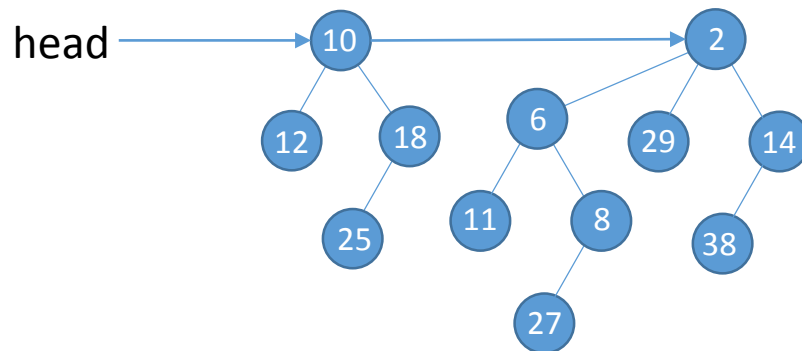
Rearrange the binomial heap



Binomial heap

- Delete_min

Time complexity ?

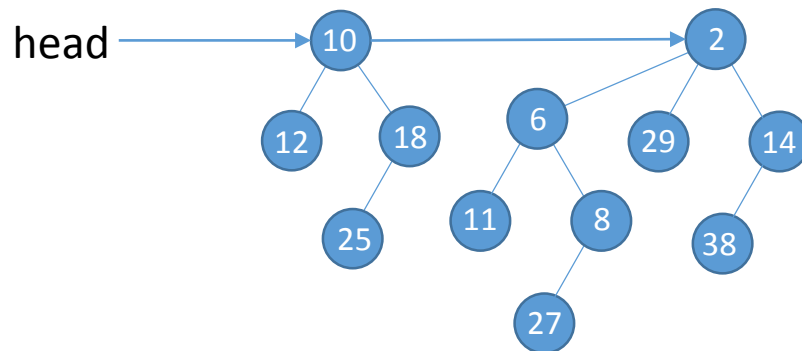


Binomial heap

- Delete_min

Time complexity ?

Each node has at most $\log n$ child.



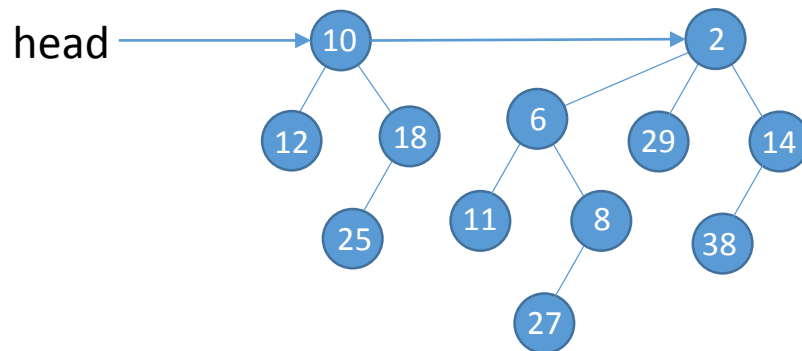
Binomial heap

- Delete_min

Time complexity ?

Each node has at most $\log n$ child.

New binomial heap consists of at most $\log n$ binomial trees



Binomial heap

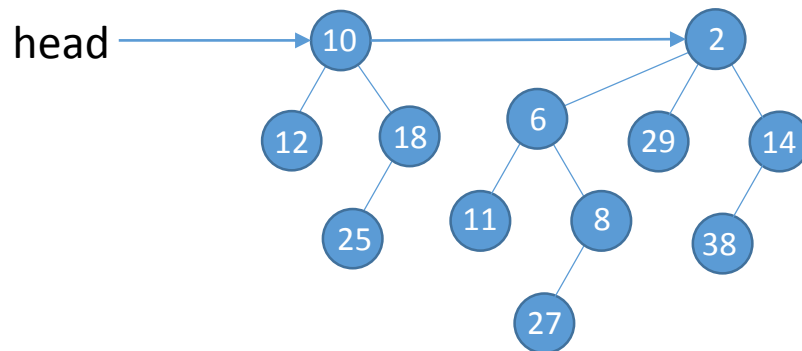
- Delete_min

Time complexity ?

Each node has at most $\log n$ child.

New binomial heap consists of at most $\log n$ binomial trees

Therefore, Merging and rearrange takes $O(\log n)$.

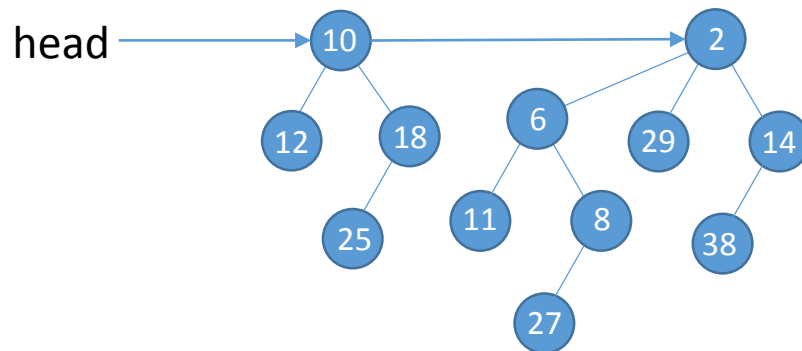


Binomial heap

- Insert

Insert a new node and rearrange binomial heap

$O(\log n)$

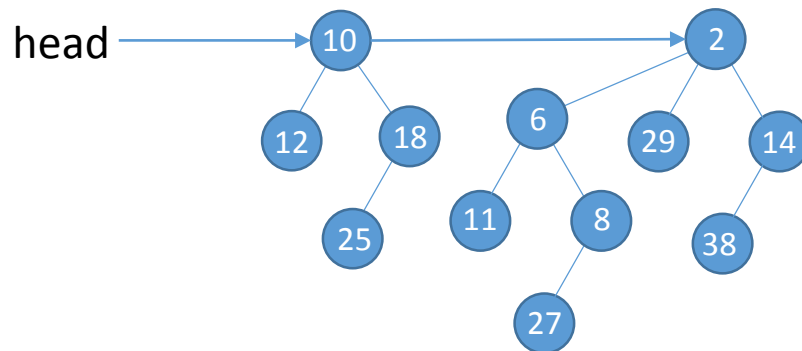


Binomial heap

- Delete

Make it as $-\infty$, and delete_min !

$O(\log n)$



Fibonacci heap

- Theoretically fastest heap
 - But it is just theoretical. It is slow if you implement it
 - We don't use it
- Similar with binomial heap
 - Relaxed version of binomial heap
 - Use a *lazy* update scheme.

Operation	Binary ^[1]	Binomial ^[1]	Fibonacci ^[1]
find-min	$\Theta(1)$	$O(\log n)$	$\Theta(1)$
delete-min	$\Theta(\log n)$	$\Theta(\log n)$	$O(\log n)^*$
insert	$\Theta(\log n)$	$O(\log n)$	$\Theta(1)$
decrease-key	$\Theta(\log n)$	$\Theta(\log n)$	$\Theta(1)^*$
merge	$\Theta(n)$	$O(\log n)^{**}$	$\Theta(1)$

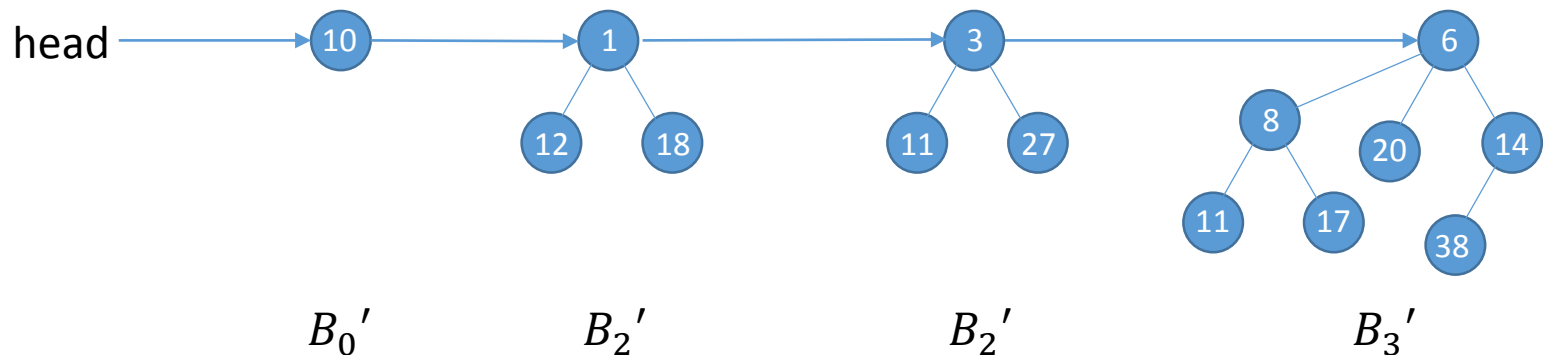
Fibonacci heap

- Main feature

- Individual trees are not necessarily binomial (let me denote it as B_i')
- Allow many copies of B_i' for the same i .

- Operations

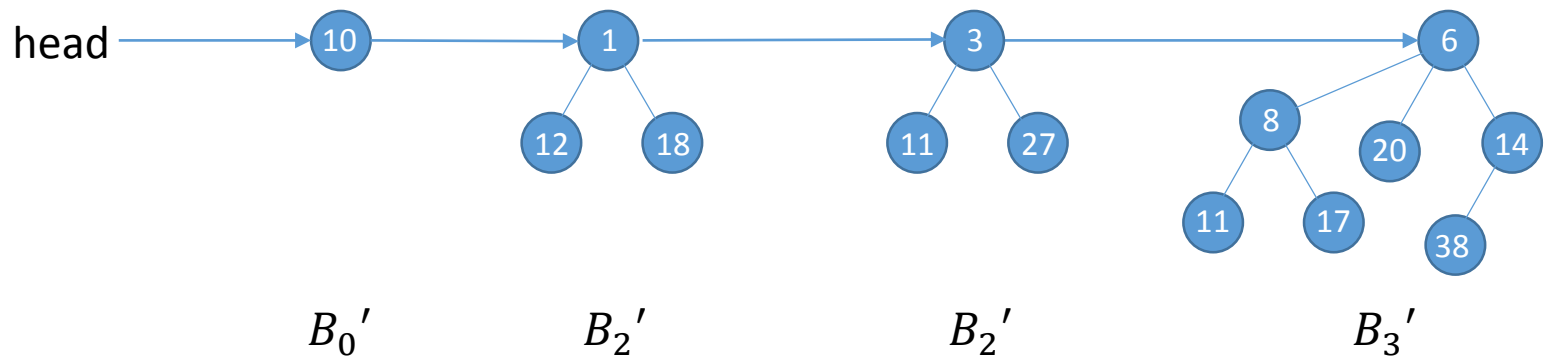
- find_min, delete_min, insert, delete, decrease_key



I allow it because I'm lazy 😊

Fibonacci heap

- Find_min
via pointer !

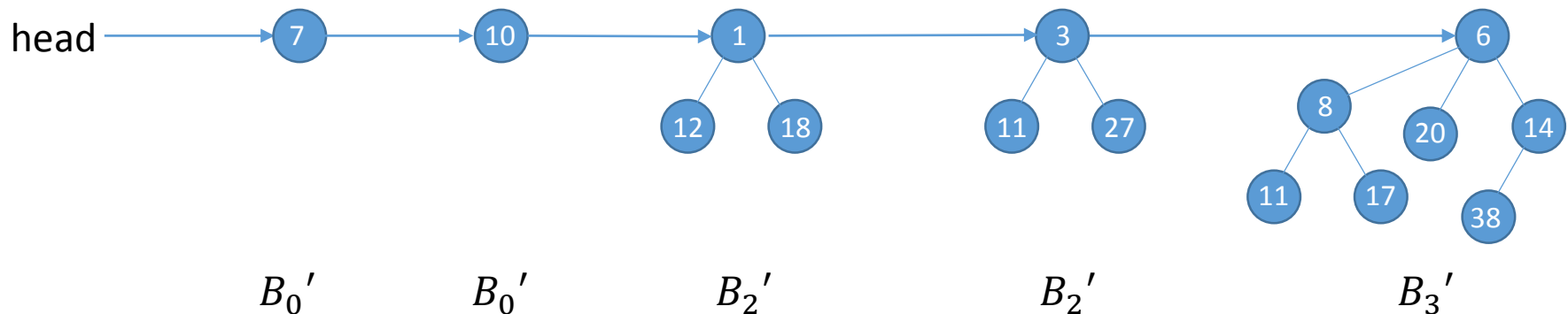


Fibonacci heap

■ Insert

Create a node. No update because I'm lazy ☺

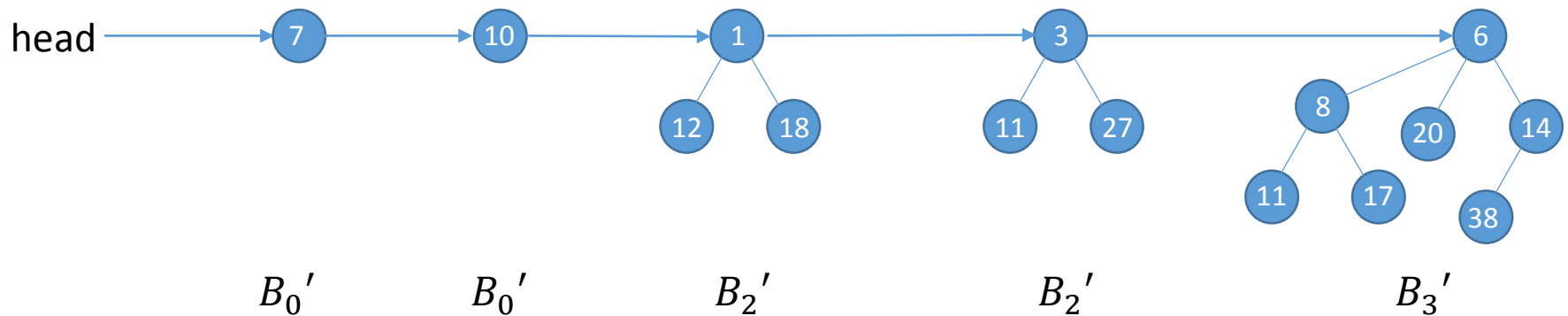
Give \$1 to inserted node. I'll explain the meaning of 'coin' later.



Fibonacci heap

- Delete

$-\infty$, delete_min



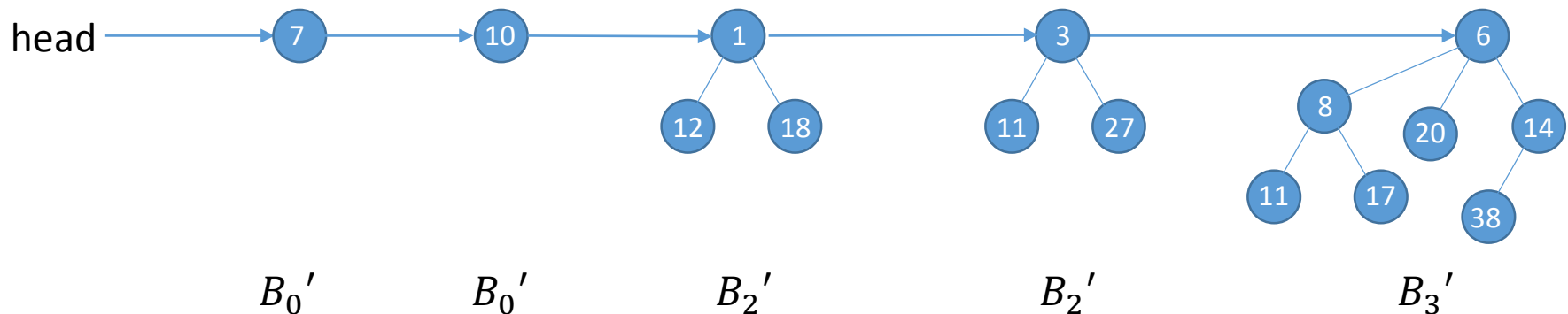
Fibonacci heap

- Delete_min

Delete min and rearrange Fibonacci heap.

Time complexity will **proportional** to the number of trees.

Is it fast ?



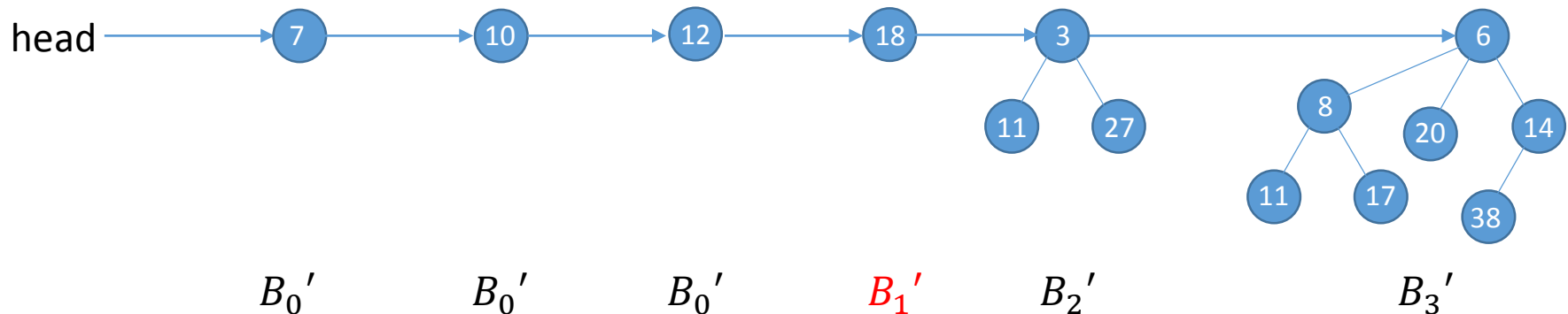
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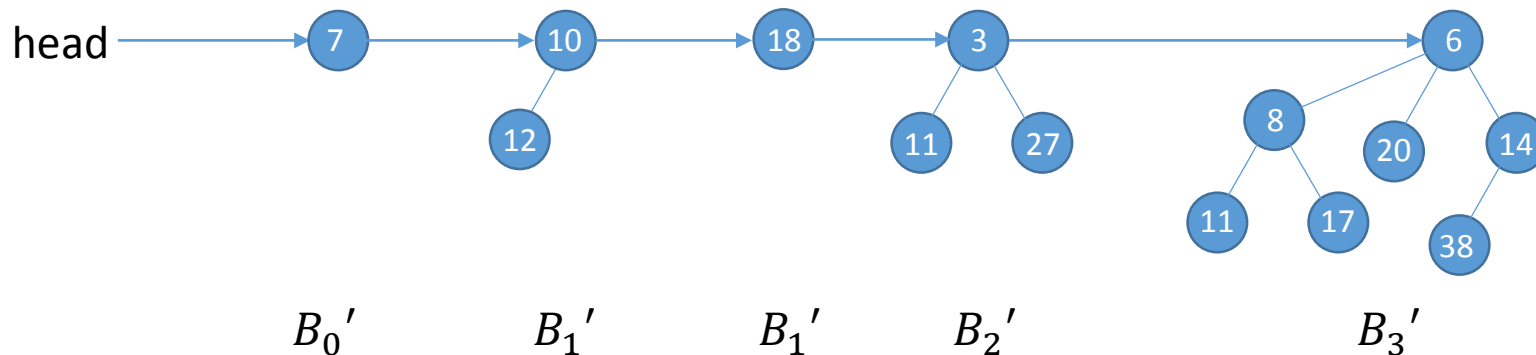
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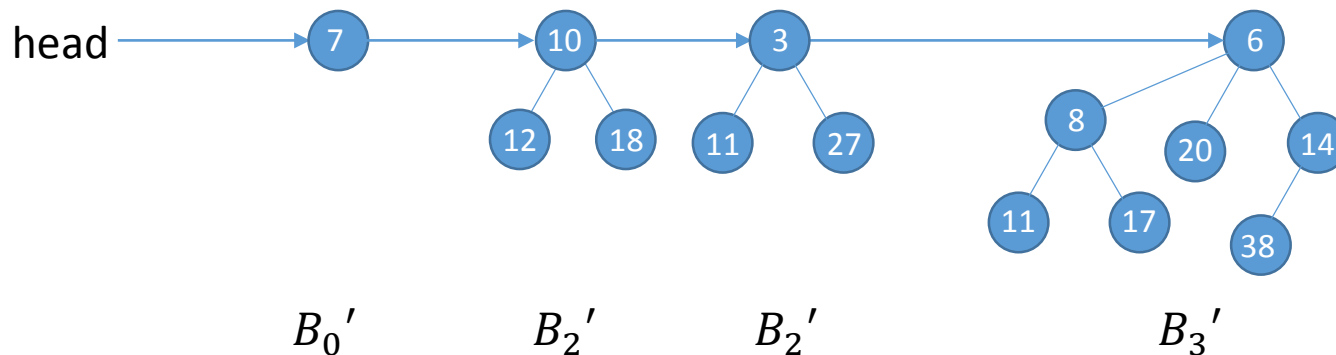
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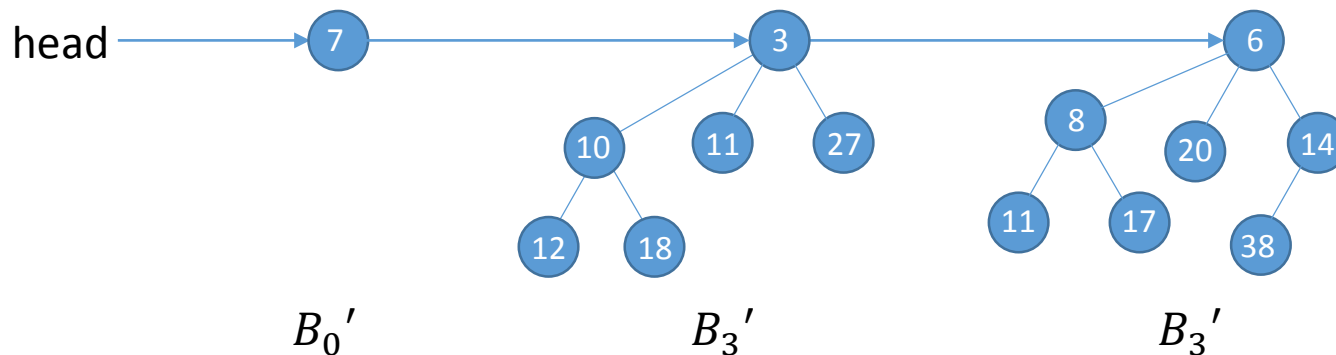
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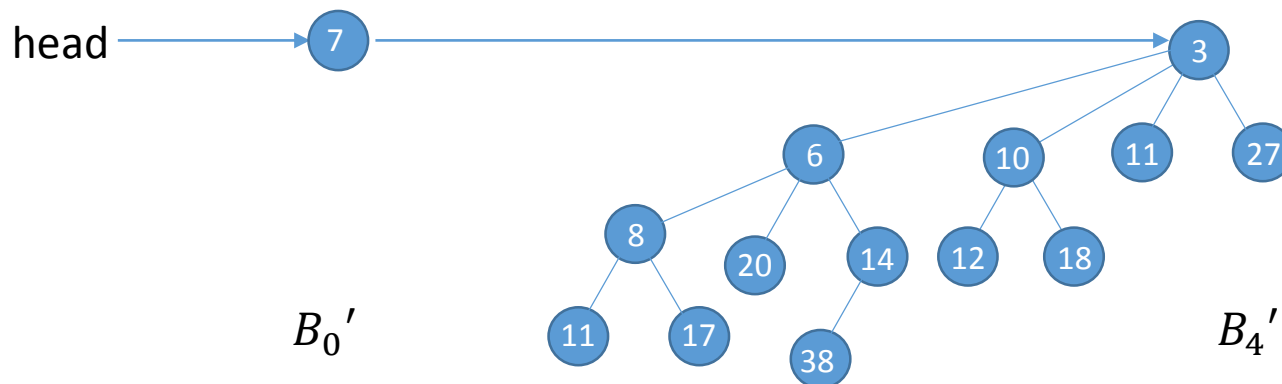
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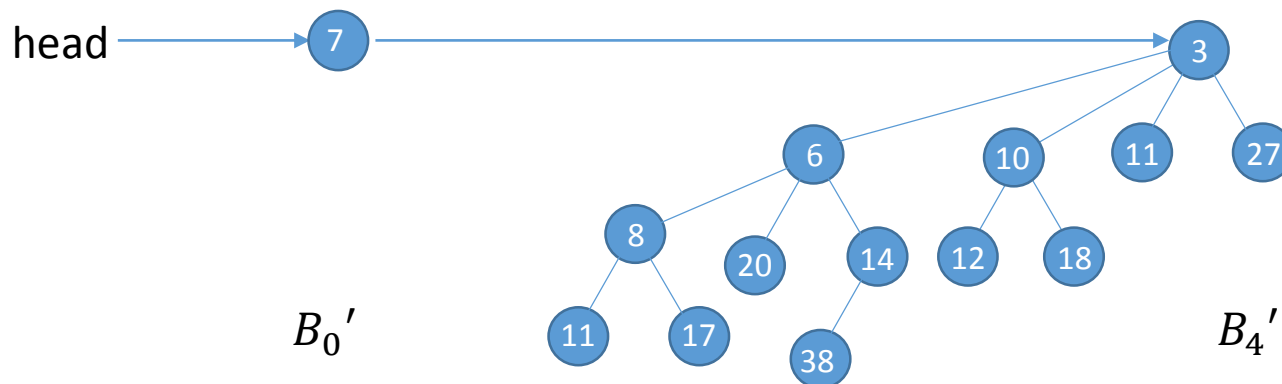
Fibonacci heap

- Delete_min

Delete min and rearrange Fibonacci heap.

Time complexity will **proportional** to the number of trees.

Is it fast ? → we'll **analyze** it soon.

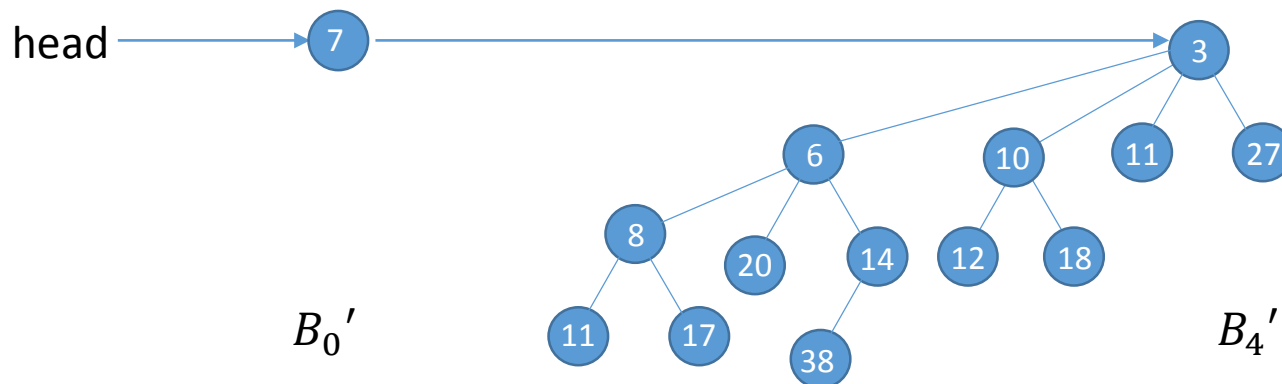


Fibonacci heap

- Decrease_key

Decrease the value of the element. If the heap-order property is violated, **cut** the link between the node and its parent. (It may produce a result which is not a binomial tree)

Not just cut, we use "**Cascading cut**"

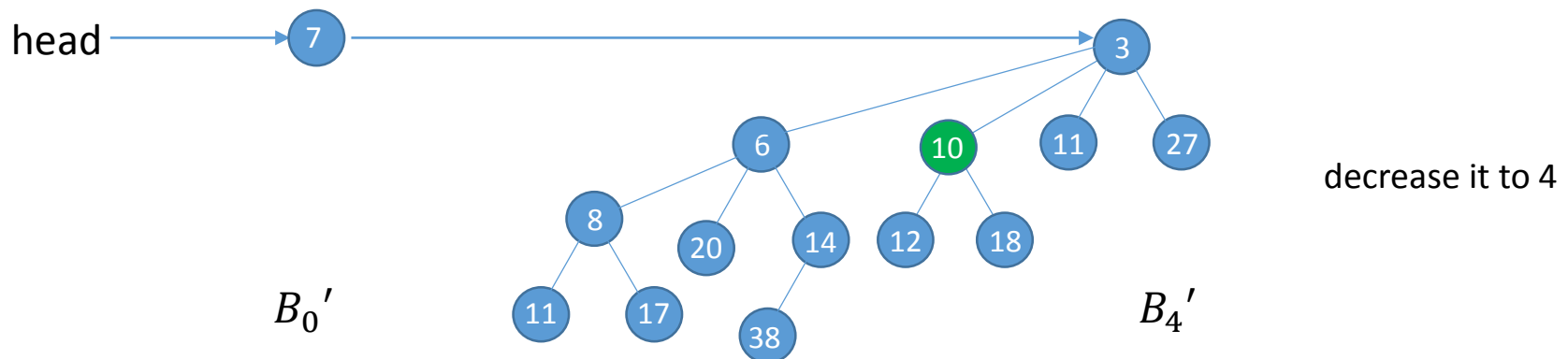


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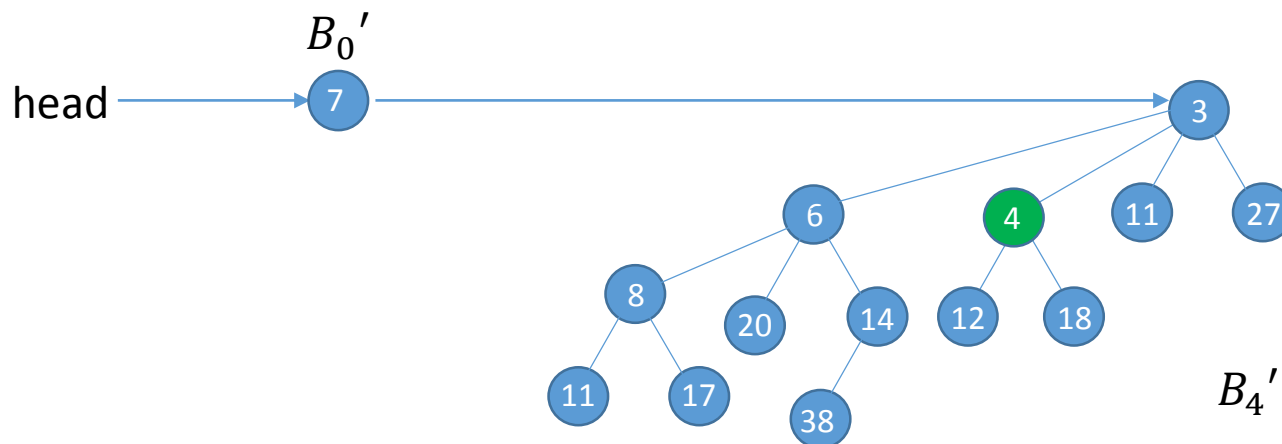


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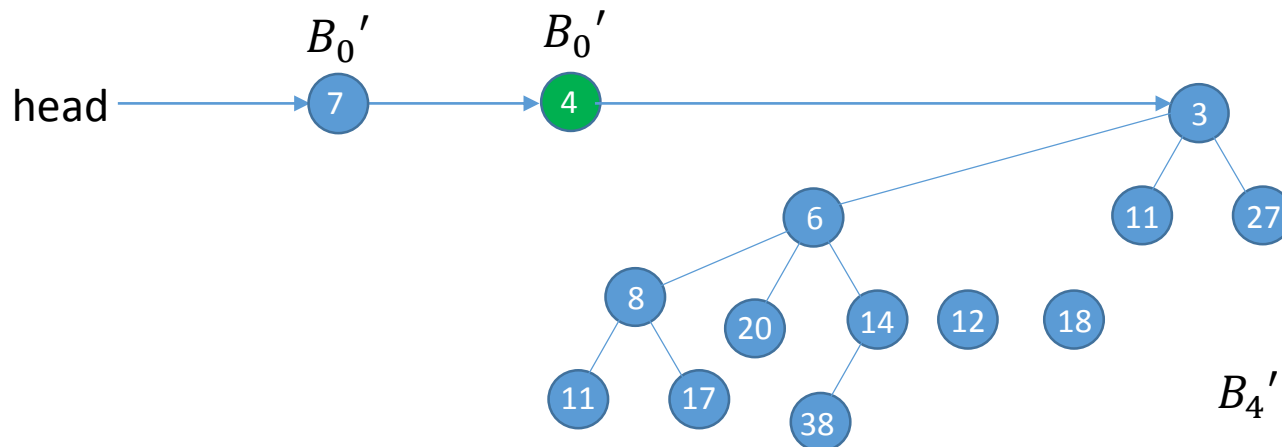
decrease it to 4
→ heap-order is violated

Fibonacci heap

■ Decrease_key

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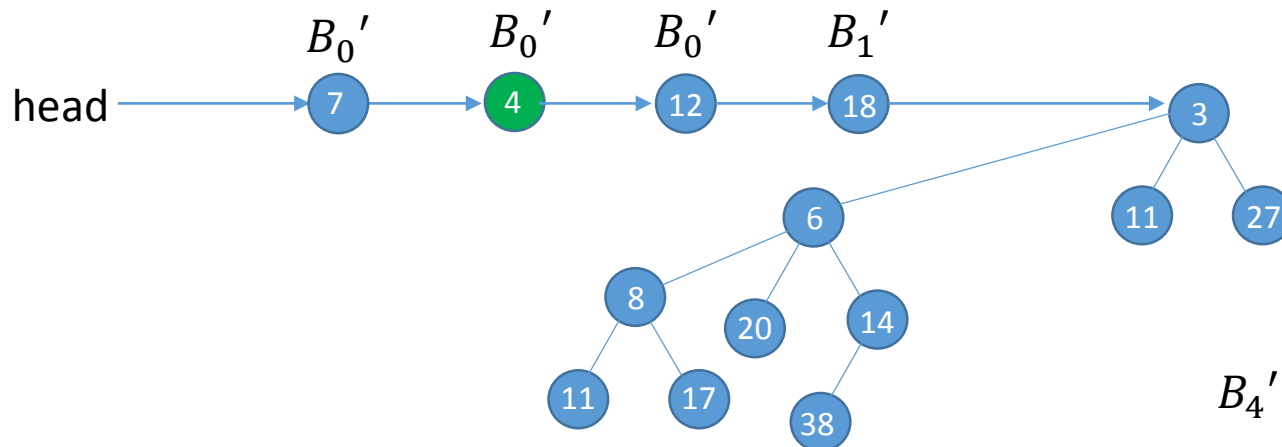
decrease it to 4
→ heap-order is violated
→ Cut !

Fibonacci heap

■ Decrease_key

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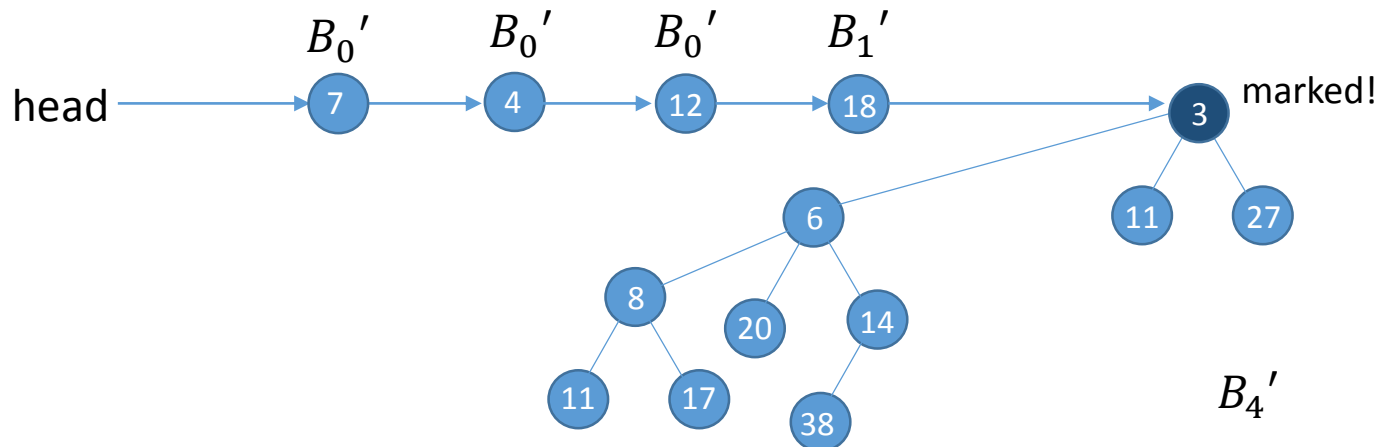
decrease it to 4
→ heap-order is violated
→ Cut !

Cascading cut

■ Definition

1. Whenever a node is being cut, *mark the parent* of the cut node in the original tree, and

- Pay \$1 for the cut
- Store \$2 in the parent of the cut node
- Store \$1 in the new root (cut node).



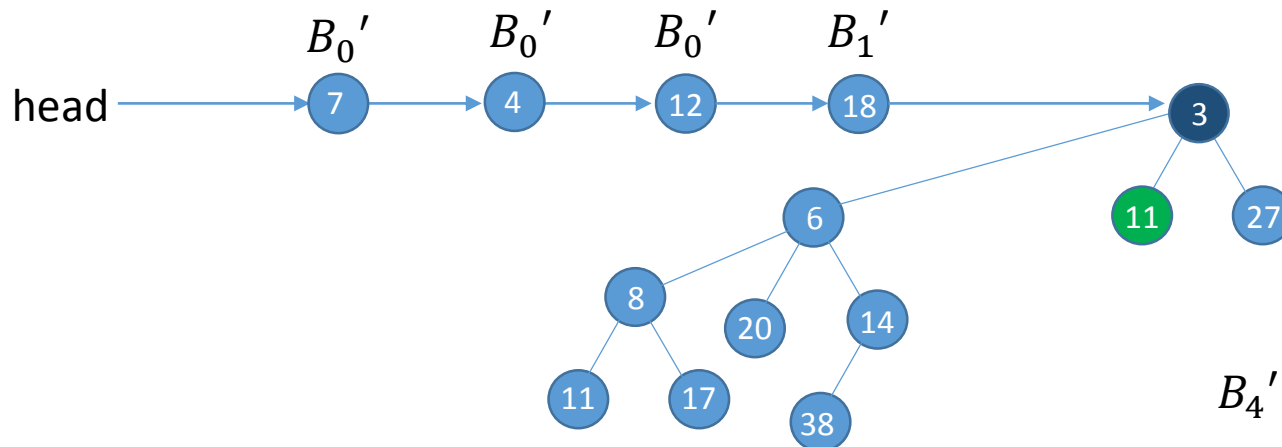
decrease it to 4
→ heap-order is violated
→ Cut !

Cascading cut

- Definition

2. When a 2nd child of a node v is lost (cutting a child of an already marked node), by that time node v will have accumulated \$ 4; recursively cut that node from its parent, marking again the parent of v and using \$4 to pay for the operation before.

\$1 for the cut, \$2 to its parent, \$1 to the new root



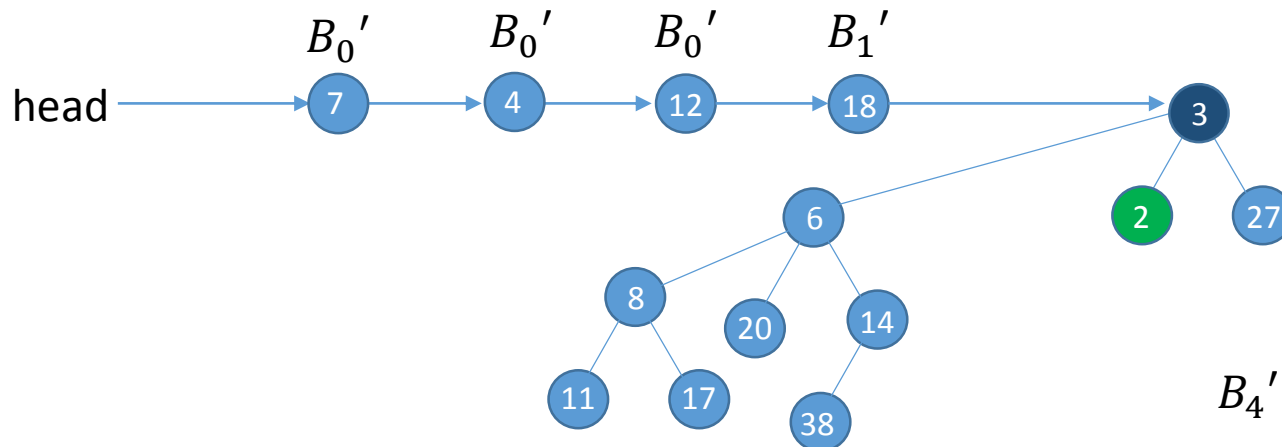
decrease it to 2 !

Cascading cut

■ Definition

2. When a 2nd child of a node v is lost (cutting a child of an already marked node), by that time node v will have accumulated \$ 4; recursively cut that node from its parent, marking again the parent of v and using \$4 to pay for the operation before.

\$1 for the cut, \$2 to its parent, \$1 to the new root



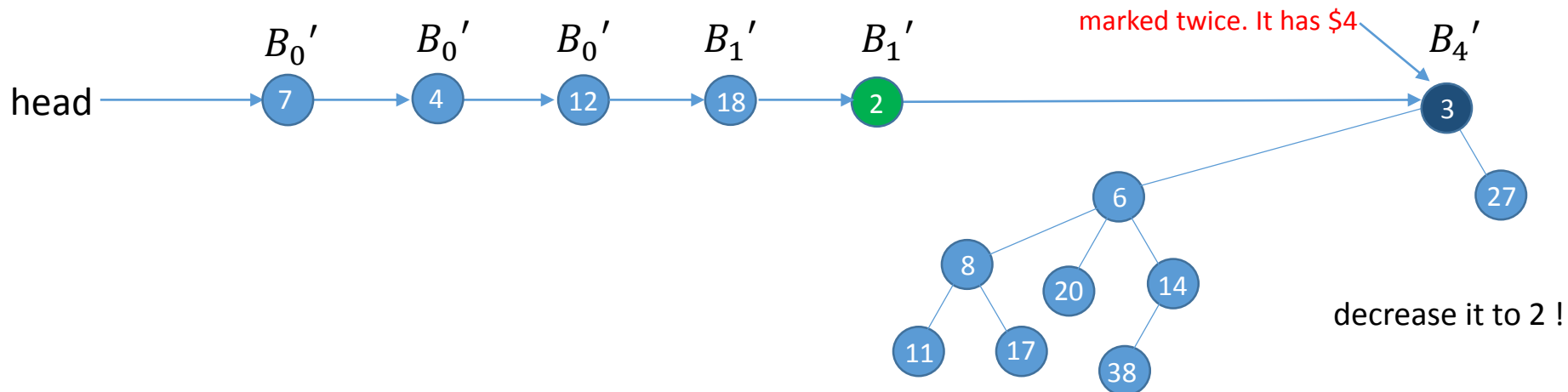
decrease it to 2 !

Cascading cut

■ Definition

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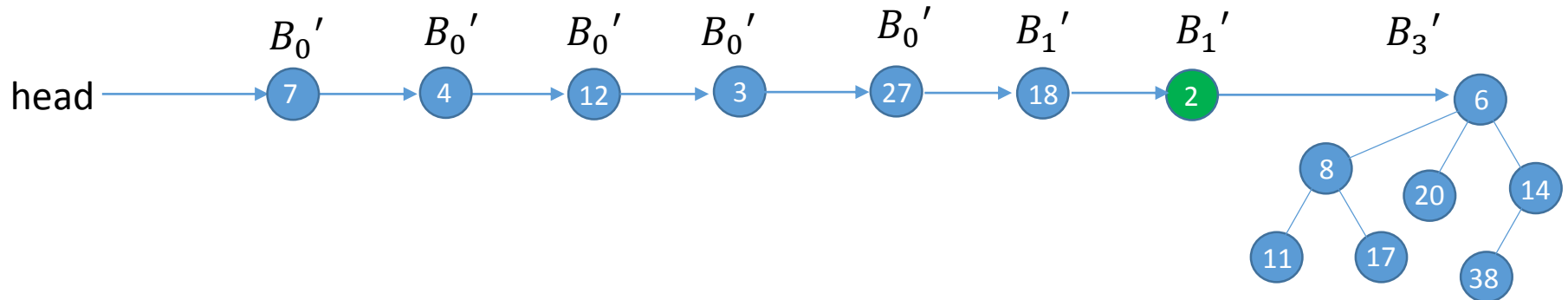


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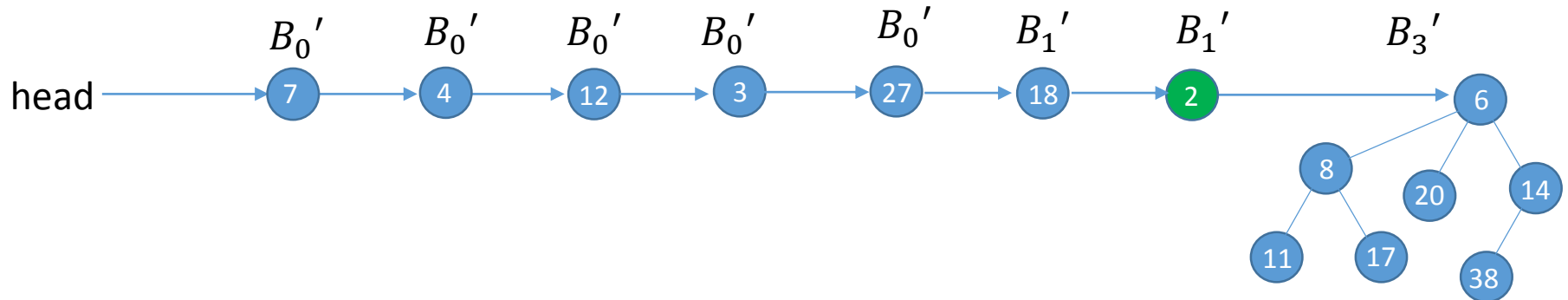


Cascading cut

- Time complexity of cascading cut

Each node has the coin they already have.

Each cut requires only \$4, and decrease_key takes **overall** still amortized time $O(1)$ (Overall cost / The number of operation)

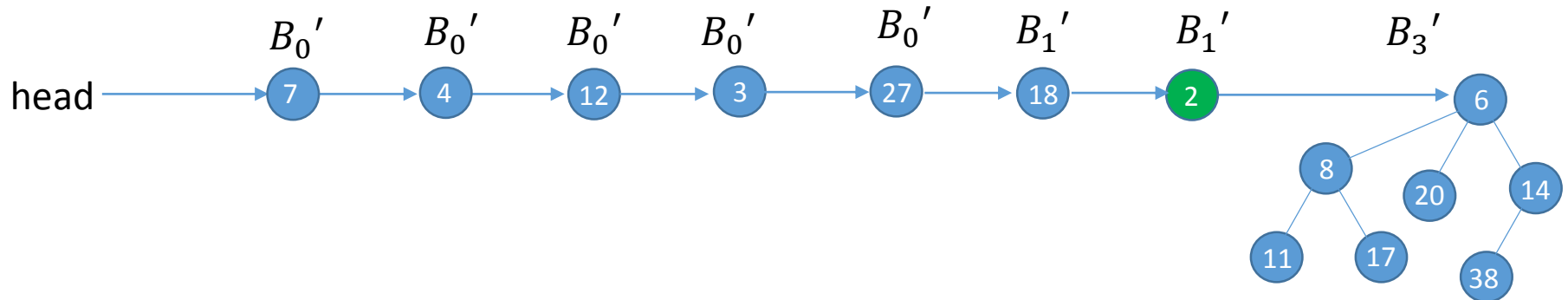


Fibonacci heap

- Decrease_key

Decrease the value of the element. If the heap-order property is violated, **cut** the link between the node and its parent. (It may produce a result which is not a binomial tree)

Not just cut, we use "**Cascading cut**"

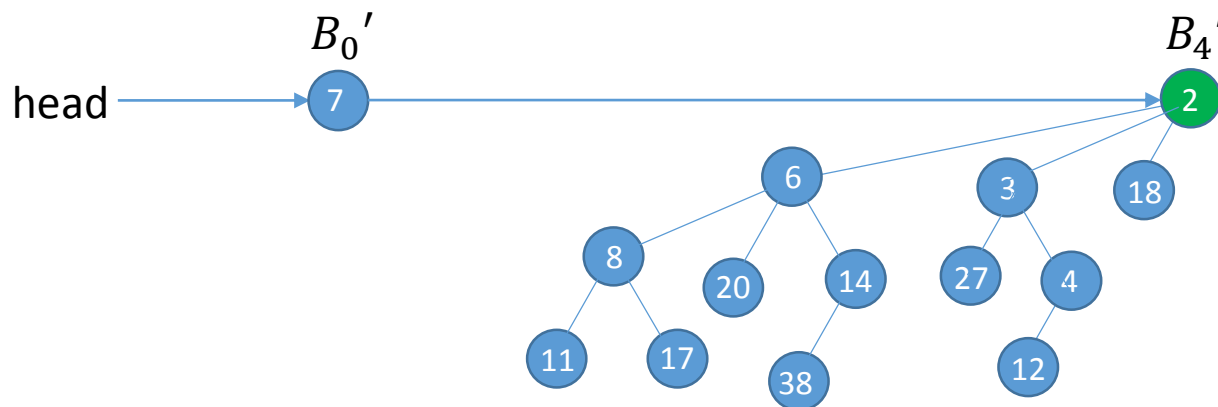


Fibonacci heap

■ Decrease_key

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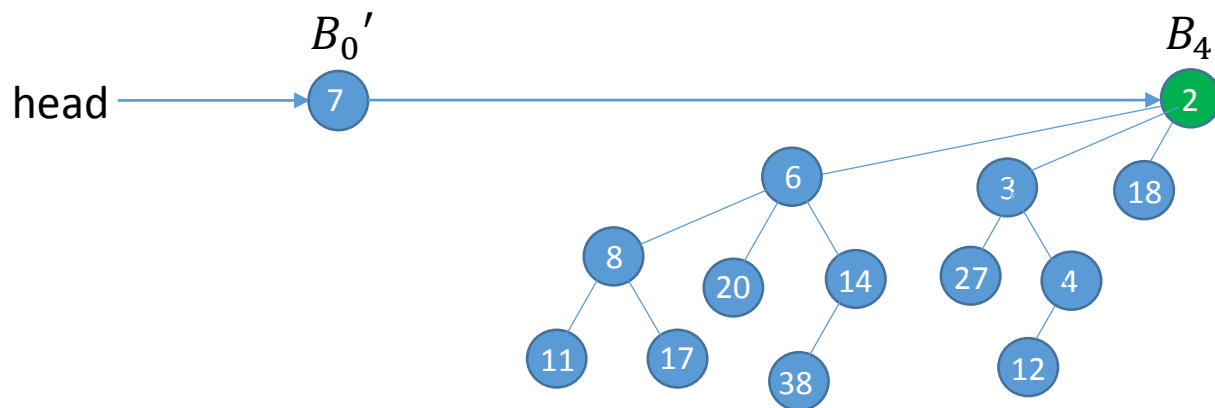
Rearrange !

Fibonacci heap

- Analysis

Cascading cut takes $O(1)$

How much is merging cost ?



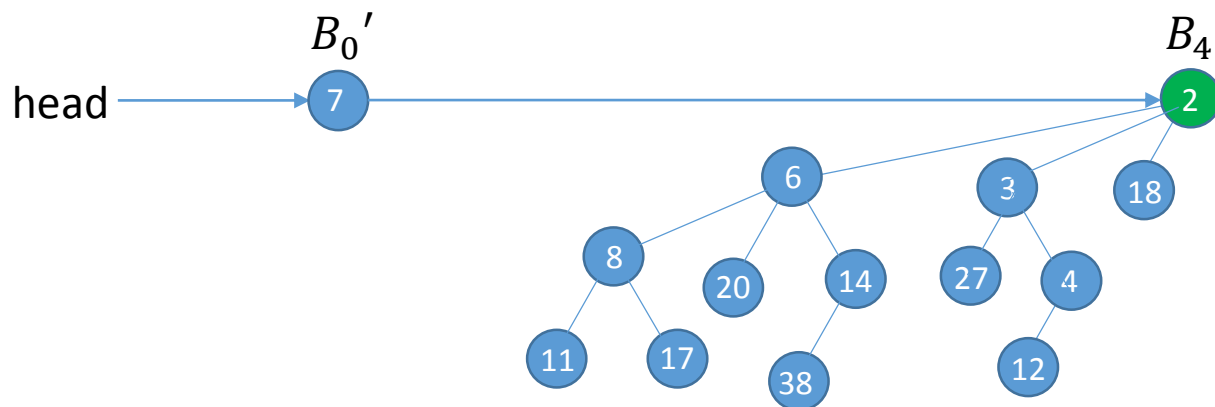
Rearrange !

Fibonacci heap

■ Analysis

Cascading cut takes $O(1)$

How much is merging cost ? $O(1)$ because of the coin



Rearrange !

Fibonacci heap

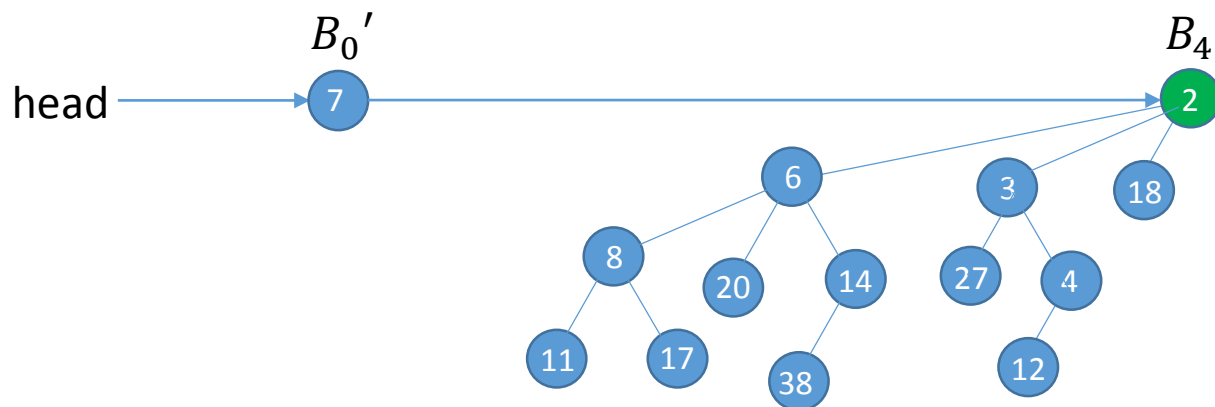
■ Analysis

Cascading cut takes $O(1)$

After cut, we get (# of child) additional trees

How much is merging cost per child ? $O(1)$ because of the coin

How many child does a node has ?



Rearrange !

Fibonacci heap

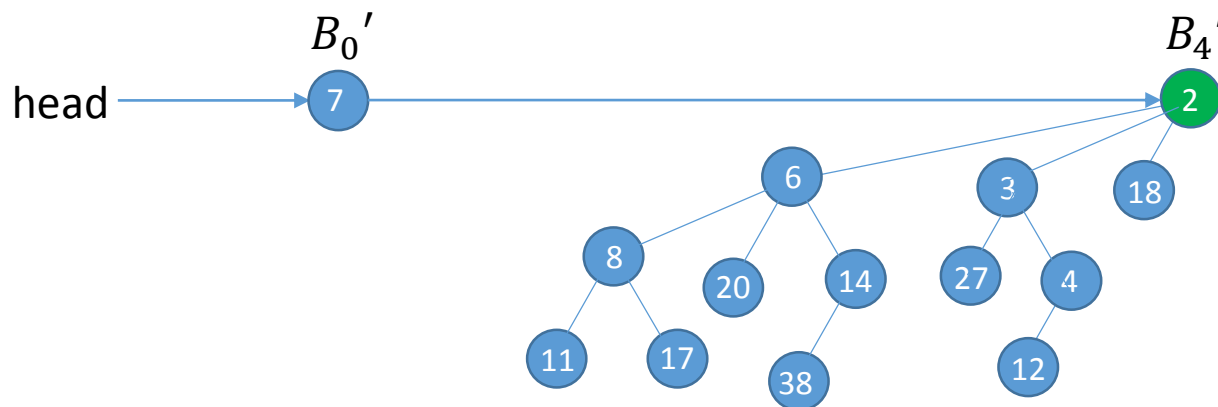
■ Analysis

Cascading cut takes $O(1)$

After cut, we get (# of child) additional trees

How much is merging cost per child ? $O(1)$ because of the coin

How many child does a node has ? \rightarrow Degree of a node



Rearrange !

Fibonacci heap

- Analysis

Let x be any node in a Fibonacci heap. Then

$\text{size}(x) \geq F_{k+2} \geq \phi^k$ where k is the degree of x , ϕ is golden ratio

Proof by induction on k . Consider a node x of degree $k = n + 1$.

Let y_i be the k children of x , from oldest to youngest (i.e. y_0 was made children of x before y_1 , and so on). Then

$$\text{size}(x) = 1 + \text{size}(y_0) + \text{size}(y_1) + \dots + \text{size}(y_{k-1})$$

Fibonacci heap

- Analysis

Let x be any node in a Fibonacci heap. Then

$\text{size}(x) \geq F_{k+2} \geq \phi^k$ where k is the degree of x , ϕ is golden ratio

Proof by induction on k . Consider a node x of degree $k = n + 1$.

The degree of y_i is at least $i - 1$ for $1 \leq i \leq d$ because we merge two trees when their degree is the same.

Fibonacci heap

- Analysis

Let x be any node in a Fibonacci heap. Then

$\text{size}(x) \geq F_{k+2} \geq \phi^k$ where k is the degree of x , ϕ is golden ratio

Proof by induction on k . Consider a node x of degree $k = n + 1$.

Therefore,

$$\begin{aligned}\text{size}(x) &= 1 + \text{size}(y_0) + \text{size}(y_1) + \text{size}(y_2) + \dots + \text{size}(y_{k-1}) \\ &\geq 1 + 1 + F_2 + F_3 + \dots + F_k \\ &\geq F_{k+2} \quad \geq \phi^k \text{ (also it can be proved by induction)}\end{aligned}$$

Fibonacci heap

- Analysis

Therefore, $k \leq \log_{\phi} \text{size}(x)$.

$$\therefore k \leq \log n$$

Therefore, `decrease_key` takes $O(\log n)$