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Two types of reverse sluicing in English:

Focusing on discourse

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Sluicing and reverse sluicing



Canonical sluicing in English (Hankamer and Sag 1976; Chung et al. 1995; Merchant 2001)

(1)	Lois was talking	(to someone),	but I don't know who.
		CORRELATE	REMNANT
ANTECEDENT			

Sentential interpretation and form-function mismatch

(2) Kim likes <u>someone</u>, but I don't know *who*. = '... I don't know *who* Kim likes.' (Sag and Nykiel 2011: 189-190)

Reverse sluicing in English (i.a., Giannakidou and Merchant 1998; Ha 2008; Lipták 2012)

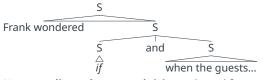
- Differing in the position of the antecedent
- (3) a. The homeowner wasn't sure **why**, but <u>his house had been snuck into the previous night</u>. (= '... **why** his house had been... ') (Gullifer 2004: 2)
 - Frank wondered if and when the guests would arrive.
 (= '... if the guests... and when the guests... ") (Giannakidou and Merchant 1998: 249)

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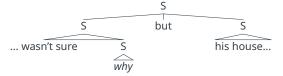
Research questions

Different structures, inconsistent previous analyses

(4) a. Coordinated reverse sluicing (structure by Giannakidou and Merchant 1998)



b. Non-coordinated reverse sluicing (adapted from canonical sluicing structure by Merchant 2001)



Research questions

- Are these two have any differences in their usage patterns? If so, different how? (i.e., licensing condition, sentential interpretation)
- How about their syntactic structure and form-function mismatch?

Key properties

Canonical and reverse sluicing: Similarities

REMNANT



Components

ANTECEDENT

(5) a. I don't know who, but Anne invited someone.

CORRELATE

ANTECEDENT

b. It's not clear \underline{if} and when the police will arrest the demonstrators.

Surface anaphor and overt linguistic antecedent (Hankamer and Sag 1976)

- (6) a. A: Someone's just been shot.
 - B: Yeah, I wonder who.
 - b. [A produces a gun, points it offstage and fires, whereupon a scream is heard]
 - B: #Jesus, I wonder who.

Remnant: [QUE +] feature (i.a., Merchant 2001):

- (7) a. Anne invited someone, but I don't know who_[QUE+]. (Merchant 2001: 53)
 - b. I don't know *who*[QUE+], but Anne invited someone.
- (8) a. It's not clear $if_{[QUE+]}$ or when the police will arrest the demonstrators.
 - b. *I didn't remember $that_{\text{[QUE-]}}$ or when Jack got married. (Giannakidou and Merchant 1998: 250)

Canonical and reverse sluicing: Differences

Overt and covert correlate

- (9) <u>Canonical sluicing</u>
 - a. She's reading. I can't imagine what.
 - b. She's reading *something*. I can't imagine *what*. (Chung et al. 1995: 4) [merger]
- (10) Reverse sluicing
 - a. I can't imagine what, but she's reading (something).
 - b. I don't know **if** or *(when) she will come.

[merger/sprouting]
[merger/*sprouting]

[sprouting]

Syntactic distribution

- (11) a. She's reading. I can't imagine what. (Chung et al. 1995: 4)
 - b. I can't imagine *what*, but she's reading.
- (12) a. A: She's reading. B: What?
 - b. A: *What? B: She's reading.

[non-coordinated reverse]

- (13) a. He wondered *whether* or *which day* the guests would arrive. (Giannakidou and Merchant 1998: 238)
 - b. A: The guests would arrive next week.
 - B: *Whether and which day would they arrive?

[coordinated reverse]

[canonical]

Canonical and reverse sluicing: Differences (Cont'd)

Syntactic categories, grammatical functions, and restrictions

- (14) Non-coordinated reverse sluicing: Restrictions on remnant
 - \Rightarrow All grammatical functions and *wh*-words, but not Cs
 - a. You can't imagine what/why/how fast/with whom, but he's writing something. (Ross 1969: 252)
 - b. *I don't know whether/if, but Susan hit Johnny. (Cho 2014: 26, adapted)
- (15) Coordinated reverse sluicing: Restrictions on correlate...?
 - ⇒ Only adjunct wh-words (generatlization & data from Giannakidou and Merchant 1998: 238-240)
 - a. *Lucy was wondering *whether* and **who** might come to her party.

[*subject]

b. *I can't remember whether or which patient he had bathed/shaved.

[*object]

c. Frank wondered whether and which day the guests would arrive.

[adjunct]

- (16) New data!
 - a. "We want to create a group chain that will be branded with the company's image, track how many people are involved in the volunteer efforts, how many hours they volunteered, and whether and how much money was raised," Lee said. [subject; COCA 2009 NEWS]
 - Irish girls peeled apples, roasted nuts, unraveled yarn, stared into mirrors, [...], and played with
 fire to find out whether and whom they would marry. [object; COCA 2001 MAG]

Semantic and pragmatic properties



Pragmatic focus (c.f., Merchant 2001)

- (17) a. I don't know wнo, but John met soмеone.
 - b. *I don't know wнo, but John met someone.
- (18) a. The journalists want to know IF and when the suspect will make a statement. (На 2008: 3)
 - b. *The journalists want to know IF and when the suspect WILL make a statement.

Sentential interpretation and parallelism (Merchant 2001: 30; in most cases)

- (19) Abby called Ben an idiot, but I don't know **who else**.

 → ... but I don't know **who else** ⟨she called an idiot / #she insulted⟩.

Previous analyses

Two previous analyses



Due to the lack of consensus, previous analyses vary from each other:

Syntactic approach

- LF-copying analysis (Giannakidou and Merchant 1998)
- Rignt-Node-Raising analysis (Ha 2008)
- Other possible candidates:
 PF-deletion analysis (Ellipsis, Merchant 2001), Structure-sharing analysis (Citko and Gračanin-Yüksek 2013)

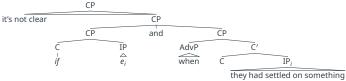
Semantic approach

• **Semantic** *e***-GIVENNess** (Merchant 2001)

LF-copying analysis

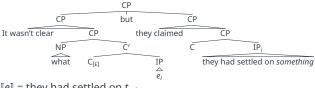
Anaphoric null *e* **analysis** by Chung et al. (1995):

- (21)John met someone i, but I don't know who e_i . $[e] = John met t_{who}$
- Coordinated reverse sluicing (Giannakidou and Merchant 1998) (22)It's not clear if e_i or when the police will arrest the demonstrators i.



[e] = they had settled on something

(23)Non-coordinated reverse sluicing (adapted from Chung et al. 1995: 13-14) It wasn't clear what e_i , **but** they claimed they had settled on something i.



 $\llbracket e \rrbracket = \text{they had settled on } t_{what}$

LF-copying analysis: Empirical challenges



Coordinated reverse sluicing: Argument correlates

• Giannakidou and Merchant (1998: 240) claim coordinated reverse sluicing **must** take **an adjunct remnant** (or, must be sprouting) to support their analysis.

(24) Counterexamples

- a. [...], and we're in the process of trying to gather the facts as a prelude to determine whether and what discipline is appropriate. [SUBJ; COCA 2017 MAG]
 - \Rightarrow ... determine [CP *whether (is appropriate)] and [CP what discipline is appropriate]
- The unified Germany may, in exercising its unrestricted sovereignity, decide freely and by itself if and which alliance it wants to be a member of. [PP COMP; COCA 1990 NEWS]
 - \Rightarrow ... decide [CP *if (it wants to be a member of)] and [CP which alliance it wants to be a member of]

LF-copying analysis: Empirical challenges (Cont'd)



Cataphoric *e* and the Backward Anaphora Constraint:

(25) <u>Backward Anaphora Constraint (BAC)</u> (BAC; Langacker 1969; summarized in Ha 2008: 122) An anaphora preceding its antecedent needs to be contained in **a subordinate clause**.

Other cataphora in subordinated clause (c.f., Ha 2008; Lipták 2012)

- (26) a. Because Jeff did e_i , his children had to go to church last Sunday_i.
 - b. *Jeff did e_i and his children had to go to church last Sunday_i, too. (VP ellipsis, Ha 2008: 122)

Non-subordinated null e

- (27) a. It's not clear if e_i or when the police will arrest the demonstrators_i.
 - b. It wasn't clear what e_i , but they claimed they had settled on something_i.

Right-Node-Raising analysis

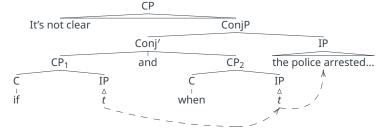


The Right-Node-Raising (RNR) analysis assumes that...

- i) The IP of the antecedent originates in the first CP, then
- ii) it moves to the IP position of the second CP, and finally
- iii) it gets extraposed outside the two CPs (Ha 2008):

Then, it successfully predicts English coordinated reverse sluicing:

(28) It's not clear $[Conip [Conip [Cp if t_i]]]$ and $[Cp when t_i][Cp when t_i]$ [Ip the police arrested the demonstrators;]].



Right-Node-Raising analysis: Empirical challenge

Non-coordinated reverse sluicing with an argument remnant

- (29) I don't know exactly *what*, but he ate *something bad*.
 - a. Case 1: Underlier matching antecedent I don't know exactly $[CP_1]$ *what; $\langle he$ ate t_i something $bad \rangle_j$, but $[CP_2]$ $\langle he$ ate t_i something $bad \rangle_i$. The ate t_i something $bad \rangle_i$.
 - b. Case 2: Underlier matching displaced materials

 I don't know exactly [CP, what_i (he ate t_i)], [CP, but (he ate t_i)] he ate t_i . (\neq he ate something bad)

(30)ConjP ConjP IΡ CP_1 (antecedent clause) but CP_2 ĪΡ ĪΡ NP T' VP do AdvP V not CP V know NP what;

Parallelism and absence of syntactic structure to copy



Reconstruction may not be syntactic

(31) a. Polarity mismatch?

<u>All of us will die some day.</u> It is not the matter of **if**, but *when*.

(= 'it is **not** the matter of **if** \(we will \, die \) but \(\text{it is (?not)} \) the matter of \(\text{when} \) we will \(\text{die'} \)

b. NP antecedent

British authorities warning ever since 9/11 that a terrorist attack on British soil is not a question of **if** but *when*.

(= '... not a question of
$$\begin{cases} if \\ but when \end{cases}$$
 they attack on British soil')

Then, neither of the two syntactic approaches can account for the linguistic properties of the constructions in question.

Semantic approach



Licensing condition and *e***-GIVENNESS** (Merchant 2001)

- (32) e-GIVENness
 An expression E counts as e-GIVEN iff E has a salient antecedent A and, modulo \exists -type shifting,
 - a. A entails F-clo(E) and
 - b. E entails F-clo(A)
- (ex) She is eating [something]_F, but I don't know [what]_F (she is eating).
 - a. F-clo(A) = F-clo($\exists x.eat(s, x)$) = $\exists x.eat(s, x)$
 - b. $F-clo(E) = F-clo(\lambda x.eat(s, x)) = \exists x.eat(s, x)$
 - \therefore A entails F-clo(E), and E entails F-clo(A) \Rightarrow mutual entailment

Licensing condition and e-GIVENness (Merchant 2001) (Cont'd)



Non-coordinated reverse sluicing 🗸

- (33) I don't know [what]_F (she is eating), but she is eating [something]_F.
 - a. $F-clo(E) = F-clo(\lambda x.eat(s, x)) = \exists x.eat(s, x)$
 - b. $F-clo(A) = F-clo(\exists x.eat(s, x)) = \exists x.eat(s, x)$
 - \therefore A entails F-clo(E), and E entails F-clo(A) \Rightarrow mutual entailment

Coordinated reverse sluicing X

- Type mismatch
- No mutual entailment ('if/whether $p' \not\models p$)
- (34) I don't know if $\langle John will come \rangle$ or $[when]_F John will come$.
 - a. F-clo(A) = F-clo($\exists t$.come(s) & at(t)) = $\exists t$.come(s) & at(t)
 - b. $F-clo(E) = *F-clo(\{come(s), \neg come(s)\})$
 - \therefore A does not entail F-clo(E), and E does not entail F-clo(A) \Rightarrow no mutual entailment

Discussion

Syntactic parallelism and restriction?



- No strict structural/semantic parallelism (cf., Culicover and Jackendoff 2005; Nykiel and Kim 2022)
- (35) Our goal should be regime change. The question is not whether, but how and when.
 - = '... whether \(\psi\) dur goal should be regime change\), but how \(\lambda\) our goal ...\\.'
- Restriction: No argument remnant? (cf., Giannakidou and Merchant 1998: 239):
- (36) *Lucy was wondering *whether* and *who* might come to her party.
- (37) Counterexamples
 - a. We had watched and fretted almost as soon as the leaves dropped in early winter to see whether
 and how many buds were beginning to form. [SUBJ; COCA 2000 NEWS]
 - b. I was wondering **if** and **where** you were planning to maybe *visit* with the president and his family [...], to offer some additional support? [VP COMP; COCA 1998 SPOK]

A revised licensing condition for Coordinated reverse sluicing



New data!

- **Pragmatic restriction**
- (38)a. It's **clear** if and when she will come. \models she will come (p)
 - b. I **wonder** if and when she will come. \models she will come (p)
 - c. *I **doubt** *if* and *when* she will come. \vdash she will **not** come ($\neg p$)

COMMITMENT to p No commitment to pCOMMITMENT to $\neg p$

17

A revised licensing condition for Coordinated reverse sluicing (Cont'd)



Given this, I propose one of the possible licensing conditions for coordinated reverse sluicing:

(39) Licensing condition of coordinated reverse sluicing:

Coordinated reverse sluicing can be licensed in embedded environment iff, for a proposition p presupposed/entailed by the antecedent, the attitude-bearer does not make a commitment to $\neg p$.

Example

- (40) We don't know if or when John will come.
 - a. Antecedent = when John will come
 - b. when John will come \models John will come (P)
 - c. the attitude bearer 'we' do not make a commitment to $\neg P$
 - ⇒ Licensing condition ✓

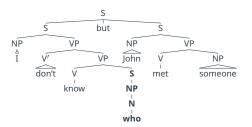
A discourse-based analysis

A non-derivational, discourse-based approach

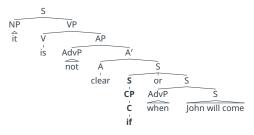


A direct-interpretation approach

- Adopts basic external structures from previous analyses (cf., Chung et al. 1995; Giannakidou and Merchant 1998; Merchant 2001)
- No underlying structure (Sign-Based Construction Grammar, SBCG)
- XP directly projects to a S-level expression (based on hd-frag-cxt; Ginzburg and Sag 2000)
- Pragmatic resolution
- (41) a. **Non-coordinated Reverse Sluicing**I don't know *who*, but John met someone.



b. **Coordinated Reverse Sluicing**I wonder if and when John will come.



No syntactic underlier



Focusing on discourse: Meaning of questions on DGB (Ginzburg and Sag 2000)

- (42) a. <u>DGB (Dialogue Game Board)</u>: a set of attributes recording contextual parameters in the ongoing discourse
 - MAX-QUD (MAXimal Question-under-Discussion): the most salient discussable question in the given context (i.e., current discourse topic)
 - SAL-UTT (SALient-UTTerance): the (sub)utterance which receives the widest scope within MAX-QUD (i.e., focused material)

DGB SAL-UTT ...

Ex. Canonical wh-question

(43) $[Who]_F$ did you meet?

No syntactic underlier (Cont'd)



No derivation: No underlying structure, no structural parallelism (cf., Construction Grammar; see, among many others, Ginzburg and Sag 2000; Goldberg 2006)

(44) **Head-Fragment Construction** (*hd-frag-cxt*; i.e., Kim 2015: 279)

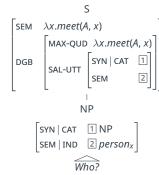


(45) Canonical sluicing

A: I met someone yesterday.

B: I wonder [who]_F. / [Who?]_F

a. ANTECEDENT: $\exists x.meet(A, x)$ b. SAL-UTT: who [NP; x] c. MAX-QUD: $\lambda x.meet(A, x)$



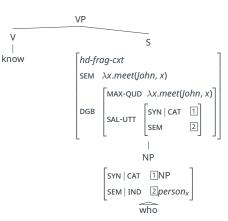
Application: Non-derivational, discourse-based approach



Non-coordinated reverse sluicing*

[46] I don't know who, but John met [someone]_F.

a. ANTECEDENT: $\exists x.meet(j, x)$ b. SAL-UTT: $person_x[NP; x]$ c. MAX-QUD: $\lambda x.meet(John, x)$



^{*}The analysis parallels that of its canonical sluicing counterpart (e.g., John met someone, but I don't know who.; among others, Ginzburg and Sag 2000; Sag and Nykiel 2011; Kim 2015)

Application: Non-derivational, discourse-based approach (Cont'd)

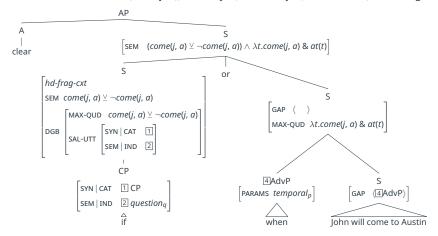
Coordinated reverse sluicing

(47) It's not clear if or $[[when]_F$ John will come to Austin]_A.

a. ANTECEDENT: $\lambda t.come(j, a) \& at(t) \models come(j, a)$

b. SAL-UTT: *if* [CP; *question*]

c. MAX-QUD: $\lambda P.P \veebar \neg P(come(j, a)) = come(j, a) \veebar \neg come(j, a)$ (= meaning of 'if')



Problems to solve

Future study: Reversed polarity in sluicing



Licensing condition: Counterexample

- (48) a. *I **doubt** if and when she will come. \models she will **not** come.
 - b. I have a doubt about if and when she will come. | !!she will not come. (John Beavers p.c.)

Polarity mismatch

- The effect of [not ... but ...] constructions (Toosarvandani 2012; Lee 2017)
- (49) All of us will die some day. It is **not** the matter of *if*, but *when*.
 - a. It is **not** the matter of *if*, but *when*.(= 'it is **not** the matter of *if* we will die, **but** it is (***not**) the matter of *when* we will die')
 - b. It is not only the matter of if, but also when.(= 'it is not the matter of if we will die, but also it is *(not) the matter of when we will die')
 - c. It is **not** the matter of *if*, and *when*.(= 'it is **not** the matter of *if* we will die, **and** it is *(**not**) the matter of *when* we will die')
- (50) I don't think that <u>California will comply</u>, but I don't know *why* (Kroll 2019: 3) (= '... IDK *why* <u>California will **not** comply.')</u>

Summary



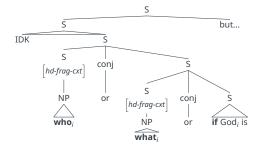
- The study differentiates between coordinated and non-coordinated reverse sluicing, noting that although they are syntactically distinct, they behave similarly in interpretation.
- It addresses three central issues concerning ellipsis—licensing, syntax, and semantics—through both theoretical and empirical analysis.
- The study argues that derivational accounts fail to adequately capture the structural asymmetry and broken semantic parallelism found in non-coordinated reverse sluicing.
- The study proposes that reverse sluicing lacks syntactic structure at the ellipsis site and derives its interpretation from discourse context, adopting a non-derivational framework that allows phrasal expressions to project to the sentential level under proper discourse conditions.



Appendix



- Naturally occurring 'wh & if/whether' strings
 - ⇒ Coordinated wh-questions (cf., Park and Kim 2025)
- (51) a. He plans research to find out **how** and **if** he can identify the characteristics of leaders among range animals. (COCA 2000 ACAD)
 - b. That is, one can say, "I don't know **who** or **what** or **if** God is, but something happened in my life. [...]" (COCA 2009 MAG)
- (52) I don't know **who** or **what** or **if** God is, but ...



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