# **Why3: Computational Real Numbers**

MPRI Project Report

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Throughout this project, I installed and used the following solvers:

Solver	Version
Alt-Ergo	2.2.0
CVC4	1.6
Z3	4.8.4
CVC3	2.4.1
Eprover	2.2
Spass	3.7

Most of the assertions were proved with Alt-Ergo and CVC4 (less often with Z3, and even more rarely with CVC3, Eprover and Spass). As a macOS user, the installation of Z3 was problematic (its "counterexample" counterpart was the only one to be recognized by the Why3 IDE), so much so that I had choice but to modify my .why3.conf file by explicitely adding a block enforcing the use of Z3:

```
[prover]
command = "z3 -smt2 -T:%t sat.random_seed=42 nlsat.randomize=false smt.random_seed=42 %f"
command_steps = "z3 -smt2 sat.random_seed=42 nlsat.randomize=false smt.random_seed=42
    memory_max_alloc_count=%S %f"
driver = "z3_440"
editor = ""
in_place = false
interactive = false
name = "Z3"
shortcut = "z3"
version = "4.8.4"
```

# 2. Functions on Integers

## Q1-4. Give an implementation of

## power2, shift\_left using power2

• power2 and shift\_left are straightforward: the only notable point is the **for** loop invariant in power2:

```
let res = ref 1 in
for i=0 to l-1 do invariant { !res = power 2 i }
  res *= 2
done;
!res
```

which expresses the fact that the reference variable res stores the suitable power of 2 at each iteration, and trivially ensures that the postcondition holds:

- at the last iteration:

- \* ! res contains  $2^{l-1}$  at the beginning of the body loop
- \* its value is then doubled, which results in ! res being equal to  $2^l$
- one exits the loop, and ! res yielded at the end, whence satisfying the postcondition result = power 2 l
   of power2

# ediv\_mod, and shift\_right using ediv\_mod.

- given ediv\_mod and power2, shift\_right is easily defined as let d, \_ = ediv\_mod z (power2 l) in d and poses no difficulty.
- ediv\_mod is slightly more tricky, but nothing to be afraid of: d and r are respectively the quotient and the rest of the well-known euclidean division of x by y > 0.

denoted by x\_abs

1. we first tackle the case where  $x=\widehat{|x|} \geq 0$ : as it happens,

```
let x_abs = if x >= 0 then x else -x in
let d = ref 0 in
let r = ref x_abs in
while !r >= y do
    invariant { !r >= 0 && x_abs = !d * y + !r}
    variant { !r }
    incr d;
    r -= y
done;
```

- the invariant  $r \ge 0$   $\land$  x\_abs = dy + r is initially true, and remains so at each iteration of the loop as d (resp. r) is incremented (resp. decremented) by 1 (resp. y).
- the **while** loop condition  $r \ge y$  and the fact that y > 0 (precondition requirement of ediv\_mod) justify the decreasing and well-founded variant! r
- at the end the while loop:
  - \*  $0 \le r < y$
  - \*  $x_abs = dy + r$

which provides a trivially correct implementation of the euclidean division, provided  $x \geq 0$ 

- 2. otherwise, if x < 0, we reduce this to the previous case, by computing the corresponding d\_abs and r\_abs for x\_abs = |x| = -x
  - if  $r_abs = 0$ : then  $x_abs = d_abs \times y$ , and  $x = (-d_abs) \times y$ .

One yields  $d \stackrel{\text{def}}{=} -d_abs$ ,  $r \stackrel{\text{def}}{=} 0$ . This is easily discharged by CVC4 (we can even go as far as to add the extra assertion assert  $\{x = -!d * y \}$  to help the provers, but it shouldn't be necessary).

- else if r abs > 0: then

$$\begin{cases} 0 \leq y - \texttt{r\_abs} < y \\ x = -\texttt{x\_abs} = -\texttt{d\_abs}\,y - \texttt{r\_abs} = (-\texttt{d\_abs}-1)\,y + (y - \texttt{r\_abs}) \end{cases}$$

Therefore, one yields  $d \stackrel{\text{def}}{=} -d_{abs} - 1$ ,  $r \stackrel{\text{def}}{=} y - r_{abs}$ .

This is discharged by CVC4 too, but we can add the assertion assert  $\{x = (-!d - 1)*y + y - !r & 0 \le y - !r \le y \}$  to convince the provers.

## Q5. Give an implementation of isqrt

When it comes to the sheer body of the function, as seen in class:

```
let function isqrt (n:int) : int
  requires { 0 <= n }
  ensures { result = floor (sqrt (from_int n)) }
=
  let count = ref 0 in
  let sum = ref 1 in
  while !sum <= n do
    incr count;
    sum += 2 * !count + 1
  done;
  !count</pre>
```

However, proving the postcondition result = floor (sqrt (from\_int n)) turns out to be trickier than the one we saw in class (i.e. sqr !count <= !n < sqr (!count + 1)), in so far as all the specification pertaining to floor in the standard library is:

```
function floor real : int

axiom Floor_int :
    forall i:int. floor (from_int i) = i

axiom Floor_down:
    forall x:real. from_int (floor x) <= x < from_int (Int.(+) (floor x) 1)

axiom Floor_monotonic:
    forall x y:real. x <= y -> Int.(<=) (floor x) (floor y)</pre>
```

That is, the standard-library properties related to | ● | on which the provers can rely are:

- | | is increasing and left inverse of from\_int
- and more importantly:

$$\forall n \in \mathbb{Z}, n = |x| \implies n \le x < n+1$$

On top of that, sqrt is only assumed to be increasing, and not strictly increasing.

As a result, we:

- neither have the converse of  $\circledast$  (which is exactly the direction needed to prove the postcondition!)
- nor do we have the fact that  $\sqrt{\bullet}$  is strictly increasing (which is problematic when dealing with strict inequalities).

So, which assertions where added to prove isqrt?

• concerning the **while** loop: nothing special, we proceed exactly as seen in class, apart from the extra variant: variant {n - !sum} which is easily seen to be strictly decreasing and well-founded.

• at the end of the loop:

$$0 \le \text{count}$$
 and  $\text{count}^2 \le n < \text{sum} = (\text{count} + 1)^2$ 

therefore, due to  $\sqrt{\bullet}$  being strictly increasing and count  $\geq 0$ :

$$count < \sqrt{n} < count + 1$$

and the converse of ⊛ would yield the expected postcondition.

But to convince the provers, based solely on the standard-library specification, we proceed as follows:

- we first show that count  $\leq \lfloor \sqrt{n} \rfloor$ , which only resorts to  $\lfloor \bullet \rfloor$  and  $\sqrt{\bullet}$  being increasing and  $\sqrt{\bullet}$  being a left inverse of  $\bullet^2$  on  $\mathbb{R}^+$  (axiom Square\_sqrt of the standard library).
- we then show the reverse inequality, that is:  $\lfloor \sqrt{n} \rfloor < \text{count} + 1$  in a similar fashion. Except that this one is a bit trickier, as  $\sqrt{\bullet}$  is not assumed to be strictly increasing, but we can get away with it by treating strict inequalities as being equivalent to non-strict ones *and* non-equalities.

# 3. Difficulty with Non-linear Arithmetic on Real Numbers

## 3.1 Power Function

#### Q6-12. Prove that

- 1. \_B is positive
- 2.  $B n \times B m = B(n + m)$
- 3.  $B n \times B(-n) = 1$
- 4.  $0 \le a \implies \sqrt{a \times \mathsf{B}(2n)} = \sqrt{a} \times \mathsf{B}(2n)$
- 5.  $0 \le y \implies \_\mathsf{B}\, y = \mathsf{from\_int}\ 4^y$
- 6.  $y < 0 \implies \_B y = \frac{1}{\text{from\_int } 4^{-y}}$
- 7.  $0 \le y \implies 2^{2y} = 4^y$

All theses lemmas but the 5th and the 6th ones are straightforwardly discharged:

• for the 5th one (\_B\_spec\_pos): we lend a hand to the provers with the command assert (pow (from\_int 4) (from\_int n) = from\_int (power 4 n)):

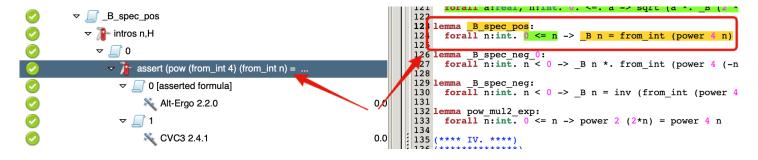


Figure 1: Why3 IDE: use of the assert command to prove \_B\_spec\_pos

• for the 6th one (\_B\_spec\_neg), we first prove an easily discharged (by Alt-Ergo) lemma:

```
lemma _B_spec_neg_0:
  forall n:int. n < 0 -> _B n *. from_int (power 4 (-n)) = 1.
```

from which \_B\_spec\_neg immediately ensues.

# 4. Computational Real Numbers

## Q13. Could you find a reason why this definition is better than the other for automatic provers?

When it comes to using to two inequalities rather the terser (and prehaps more elegant)

$$|x - p \, 4^{-n}| < 4^{-n}$$

the two-inequalities version has the advantage of not involving the absolute value abs, which would just be a burden when proving framing-related postconditions. Indeed, almost every time we would want to show a non-trivial framing (first needing to unfold abs), provers would eventually have to resort to the Abs\_le lemma of the standard library, leading to unnecessary proof clutter.

As for using \_B: this fosters the use of the relevant lemmas proved in section 3.6 by the provers, bringing about
more efficient proofs.

## Q14. Prove these three functions

## round\_z\_over\_4

By dint of assertions, we show the two postconditions inequalities separately:

where // stands for the euclidean division quotient, which directly stems from

$$4((z+2) // 2^2) < z+2$$
 (euclidean division)

• Similarly (the from\_int 's will be omitted from now on):

$$z-2 < 4 \times \underbrace{ \text{shift\_right} \ \left(z+2\right) 2}_{= \left(z+2\right) / \hspace{-0.5em} / 2^2}$$

due to

$$z-2 < z+2 - (\underbrace{(z+2) \mod 2^2}_{\leq 4}) = 4((z+2) \mathbin{/\!/} 2^2)$$

## compute\_round and compute\_add

• For compute\_round, assuming

$$(z_n - 2) \times \mathsf{B}(-(n+1)) < z \le (z_n + 2) \times \mathsf{B}(-(n+1))$$

we show that

$$(\underbrace{\texttt{shift\_right}\ (z_p+2)\,2}_{=(z_p+2)/\!\!/2^2}-1)\times \_\mathsf{B}(-n) < z < ((z_p+2)\,/\!\!/\,2^2+1)\times \_\mathsf{B}(-n)$$

by means of two assertions (one for each inequality). Indeed:

$$\begin{split} &((z_p+2) \, /\!\!/ \, 2^2-1) \times \, \_\mathsf{B}(-n) \leq \left( \underbrace{\frac{z_p+2}{4}-1}_{=\frac{z_p}{4}-\frac{1}{2}} \right) \times \, \_\mathsf{B}(-n) & \text{since } 4((z_p+2) \, /\!\!/ \, 2^2) \leq z_p+2 \\ &= \frac{z_p-2}{4} \times \, \_\mathsf{B}(-n) \\ &= (z_p-2) \times \, \_\mathsf{B}(-(n+1)) \\ &< z \\ &\leq \frac{z_p+2}{4} \times \, \_\mathsf{B}(-n) \\ &= \left( \frac{z_p-2}{4}+1 \right) \times \, \_\mathsf{B}(-n) \\ &< ((z_p+2) \, /\!\!/ \, 2^2+1) \times \, \_\mathsf{B}(-n) & \text{since } z_p-2 < 4((z_p+2) \, /\!\!/ \, 2^2) \text{ as seen before} \end{split}$$

Given compute\_round's contract, compute\_add n x xp y yp is straightforwardly defined as compute\_round
 n (x +. y)(xp + yp)

#### 4.2 Subtraction

## Q15-16. Define and prove the functions compute\_neg, compute\_sub using compute\_neg and compute\_add

Those pose no difficulty:

- compute\_neg n x xp is nothing more than -xp, as demonstrated by multiplying the framing of x by -1
- compute\_sub n x xp y yp compute\_adds x and the compute\_neg'ed approximation of y, owing to x and y being provided at approximation n + 1. A little help for the provers: asserting assert { framing (-.y)yp' (n +1)} just before yielding the result.

## 4.3 Conversion of Integer Constants

compute\_cst is easy on paper, but is a bit thornier in Why3: we show the relevant inequalities in each case

• if n < 0:

- $(x /\!\!/ 2^{-2n} 1) \times \_B(-n) < x$  stems from  $(x /\!\!/ 2^{-2n}) \times \_B(-n) \le x$  (by definition of the euclidean division) and  $\_B(-n) > 0$
- $\begin{array}{l} \text{and } \_{\mathsf{B}}(-n) > 0 \\ -\ x < (x \mathbin{/\!/} 2^{-2n} + 1) \times \_{\mathsf{B}}(-n) \text{ comes from } x \text{ being equal to } (x \mathbin{/\!/} 2^{-2n}) \times \_{\mathsf{B}}(-n) + \underbrace{(x \mod \_{\mathsf{B}}(-n))}_{<\_{\mathsf{B}}(-n)} \\ \end{array}$
- if n > 0:

$$- \ (x \times 2^{2n} - 1) \times \_\mathsf{B}(-n) = \underbrace{x \times 2^{2n} \times \_\mathsf{B}(-n)}_{=x} - \underbrace{- \underbrace{\mathsf{B}(-n)}_{>0}}_{>0} < x$$

$$-x < x + \underbrace{-B(-n)}_{>0} = x \times 2^{2n} \times _B(-n) + _B(-n) = (x \times 2^{-2n} + 1) \times _B(-n)$$

## 4.4 Square Root

## Q17. Prove these two relations

It can be noted that, for all  $n \in \mathbb{N}$ :

$$(\sqrt{n+1}-\sqrt{n})(\sqrt{n+1}+\sqrt{n})=(n+1)-n=1$$
 so that 
$$\sqrt{n+1}=\sqrt{n}+\underbrace{\frac{1}{\sqrt{n+1}+\sqrt{n}}}_{\text{denoted by \_sqrt\_incr }n}$$
 where 
$$0<\_\text{sqrt\_incr }n\leq 1$$

Based on this observation, we show two lemma functions

```
let lemma _sqrt_incr_spec (n:int) : unit
    requires { n >= 0 }
    ensures { sqrt (from_int (n+1)) = sqrt (from_int n) +. _sqrt_incr n }
    =
        (* [...] *); ()

let lemma _sqrt_incr_bounds (n:int) : unit
    requires { n >= 0 }
    ensures { 0. <. _sqrt_incr n <=. 1. }
    =
        (* [...] *); ()</pre>
```

that will come in handy in what follows.

# Relation 1 (sqrt\_ceil\_floor lemma): $\lceil \sqrt{n+1} \rceil - 1 \le \lfloor \sqrt{n} \rfloor$

The outline of the proof on paper is:

$$\lceil \sqrt{n+1} \rceil - 1 < \lceil \sqrt{n+1} \rceil$$
 
$$= \lceil \sqrt{n} + \_ \mathsf{sqrt\_incr} \, n \rceil \qquad \mathsf{as} \, \sqrt{n+1} = \sqrt{n} + \_ \mathsf{sqrt\_incr} \, n$$
 
$$\leq \lceil \underbrace{(\lfloor \sqrt{n} \rfloor + 1) + 1}_{\in \mathbb{Z}} \qquad \mathsf{since} \, \begin{cases} \sqrt{n} \leq \lfloor \sqrt{n} \rfloor + 1 \\ \_ \mathsf{sqrt\_incr} \, n \leq 1 \end{cases} \qquad \mathsf{and} \, \lceil \bullet \rceil \, \mathsf{is} \, \mathsf{increasing}$$
 
$$= \underbrace{\lfloor \sqrt{n} \rfloor + 1}_{\mathsf{denoted} \, \mathsf{by} \, a}$$

But we have actually more than that:  $\lceil \sqrt{n+1} \rceil$  is *strictly lower* than a+1.

Indeed: if, by contradiction, we had  $\lceil \sqrt{n+1} \rceil = a+1$ , given that:

$$\sqrt{n} < |\sqrt{n}| + 1 = a = \lceil \sqrt{n+1} \rceil - 1 < \sqrt{n+1}$$

it would come that  $n < a^2 < n+1$ , which is absurd, since  $a^2$  is an integer. So

$$\lceil \sqrt{n+1} \rceil - 1 < \lceil \sqrt{n+1} \rceil < a+1 = \lceil \sqrt{n} \rceil + 2$$

and as all these are integers, the result follows.

The reasoning by contradiction is carried out in Why3 in this way:

```
if ceil x = a+1 then (
    assert { n-1 < a*a < n
        by (* [...] *) };
    absurd);
(* [...] *)</pre>
```

# Relation 2 (sqrt\_floor\_floor lemma): $|\sqrt{n}| \le |\sqrt{n-1}| + 1$

We proceed analogously, everything is similar:

$$\begin{split} \lfloor \sqrt{n} \rfloor &= \lfloor \sqrt{n-1} + \_\mathsf{sqrt\_incr}\, n \rfloor \\ &\leq \lfloor (\lfloor \sqrt{n-1} \rfloor + 1) + 1 \rfloor \\ &= \underbrace{\lfloor \sqrt{n-1} \rfloor + 1}_{\mathsf{denoted by }a} + 1 \end{split}$$

and  $\lfloor \sqrt{n} \rfloor = a+1$  is impossible, as otherwise  $\sqrt{n-1} < \lfloor \sqrt{n-1} \rfloor + 1 = a = \lfloor \sqrt{n} \rfloor - 1 < \sqrt{n}$ , which would imply  $n-1 < a^2 < n$ .

#### Q18. Prove compute\_sqrt

Assuming that

$$x \geq 0 \quad \text{ and } \quad (x_p-1) \times \_\mathsf{B}(-2n) < x < (x_p+1) \times \_\mathsf{B}(-2n)$$

we show that

ensures that the result is an n-framing of  $\sqrt{x}$ .

• if  $x_p \leq 0$ , then:

$$- \, \underline{-} \mathsf{B}(-n) < 0 \leq \sqrt{x} < \sqrt{\underbrace{(x_p + 1)}_{=1} \times \underline{-} \mathsf{B}(-2n)} = \underline{-} \mathsf{B}(-n)$$

• if  $x_p > 0$ :

$$\begin{split} \sqrt{x} < \sqrt{x_p + 1} \times \_\mathsf{B}(-n) & \leq \left\lceil \sqrt{x_p + 1} \, \right\rceil \times \_\mathsf{B}(-n) \stackrel{\text{Relation 1}}{\leq} \left( \left\lfloor \sqrt{x_p} \right\rfloor + 1 \right) \times \_\mathsf{B}(-n) \\ \sqrt{x} > \sqrt{x_p - 1} \times \_\mathsf{B}(-n) & \geq \left\lfloor \sqrt{x_p - 1} \, \right\rfloor \times \_\mathsf{B}(-n) \stackrel{\text{Relation 2}}{\geq} \left( \underbrace{\left\lfloor \sqrt{x_p} \right\rfloor}_{= \, \text{isgrt} \, x_p} - 1 \right) \times \_\mathsf{B}(-n) \end{split}$$

In Why3, we use the same trick as in isqrt to get around the fact that sqrt is not strictly increasing, by turning some strict inequalities into conjunctions of non-strict ones and non-equalities.

## 4.5 Compute

# Q19-20. Define: interp that gives real interpretation of a term, and wf\_term that checks that square root is adequately applied.

- interp is resursively defined in a forthright manner
- wf\_term is defined as an inductive predicate. For the time being, the only non-trivial constructor case (that actually does check something, rather than inductively propagating) is wf\_sqrt: forall t:term. interp t >=. 0.
   -> wf\_term t -> wf\_term (Sqrt t), ensuring that Sqrt is exclusively applied to terms whose interpretation is non-negative.

## Q21. define and prove the compute function

The first batch of the compute function is the following one:

```
let rec compute (t:term) (n:int) : int
  requires { wf_term t }
  ensures { framing (interp t) result n }
  variant { t }
=
  match t with
  | Cst x -> compute_cst n x
  | Add t' t'' ->
    let xp = compute t' (n+1) in
  let yp = compute t'' (n+1) in
```

```
compute_add n (interp t') xp (interp t'') yp
| Neg t' -> compute_neg n (interp t') (compute t' n)
| Sub t' t'' ->
| let xp = compute t' (n+1) in
| let yp = compute t'' (n+1) in
| compute_sub n (interp t') xp (interp t'') yp
| Sqrt t' -> compute_sqrt n (interp t') (compute t' (2*n))
end
```

It is defined by structural induction over the term t, which makes the variant trivially correct, and as all the contracts of the auxiliary compute\_\*\*\* functions were specially written to ensure the correction of this final compute, CVC4 discharges the proof obligations with no trouble.

### 5 Division

#### Q22. Prove these two properties

**Notations**: in what follows, we will denote by d (resp. d', resp. d'') and r (resp. r', resp. r'') the quotient and the rest of the euclidean division of a by b (resp. b-1, resp. b+1). In other words:

$$a = db + r$$
  $0 \le r < b$   
 $a = d'(b-1) + r'$   $0 \le r' < b-1$   
 $a = d''(b+1) + r''$   $0 \le r'' < b+1$ 

### Property 1 (dividend\_incr)

$$\begin{cases} 0 < a \\ 0 < b \\ d \stackrel{\text{def}}{=} a \ \text{$/$} b < b \end{cases} \implies d'' \stackrel{\text{def}}{=} a \ \text{$/$} (b+1) = \begin{cases} d-1 & \text{if } r \stackrel{\text{def}}{=} a \mod b < d \\ d & \text{else} \end{cases} \text{ (P1.1)}$$

Assume a, b > 0 and  $d \stackrel{\text{def}}{=} a /\!\!/ b < b$ .

• if  $r \stackrel{\text{def}}{=} a \mod b < d$ : Let us show that  $d'' \stackrel{\text{def}}{=} a \ /\!\!/ (b+1) = d-1$ .

To do so, based on the lemma function suggested in the problem statement at the end of section 2 (which is easily proved by CVC4):

```
let lemma euclid_uniq (x y q : int) : unit
  requires { y > 0 }
  requires { q * y <= x < q * y + y }
  ensures { ED.div x y = q }
  = ()</pre>
```

it suffices to show that

$$(d-1)(b+1) \le a < d(b+1)$$

And indeed

- 
$$(d-1)(b+1) = db+d-b-1 \le db+r = a$$
 since  $d \le b-1 \le b+r+1$   
-  $a = db+r < db+b = d(b+1)$  as  $r < b$ 

• if r > d:

Let us show that d'' = d. Similarly:

$$d(b+1) \le a < (d+1)(b+1)$$

in so far as

$$-d(b+1) = db + d < db + r = a$$

- 
$$a = db + r < db + d + b + 1 = (d+1)(b+1)$$
 since  $r < b$ 

## Property 2 (dividend\_decr)

Assume a > 0, b > 1 and  $d \stackrel{\text{def}}{=} a /\!\!/ b < b - 1$ .

• if  $b-1-d < r \stackrel{\text{def}}{=} a \mod b$ :

Let us show that  $d' \stackrel{\text{\tiny def}}{=} a /\!\!/ (b-1) = d+1$ . Indeed:

$$(d+1)(b-1) \le a < (d+2)(b-1)$$

because

- 
$$(d+1)(b-1) = db+b-1-d \le db+r = a$$
 due to the hypothesis

$$-a = db + r < db - d + 2b - 2 = (d+2)(b-1) \text{ since } r < b + \underbrace{b - d - 2}_{\geq 0}$$

• if b - 1 - d > r:

Let us show that d' = d. Similarly:

$$d(b-1) \leq a < (d+1)(b-1)$$

owing to the fact that

- 
$$d(b-1)=db-d\leq db+r=a$$
 as  $0< a=db+r<(d+1)$   $\hat{b}$  hence  $d\geq 0$ , and  $-d\leq 0\leq r$ 

- 
$$a = db + r < db - d + b - 1 = (d+1)(b-1)$$
 because of the hypothesis

The two lemma functions dividend\_incr and dividend\_decr closely follow the proof sketches above in the Why3 implementation.

## Q23. Prove the function inv\_simple\_simple

We first prove two routine lemmas (inv\_decreasing: the fact that inv is decreasing over  $\mathbb{R}_+^*$  and  $\mathbb{B}_-$  inv:  $\forall n$ ,  $\mathbb{B}_ \mathbb{B}_ \mathbb{B}_-$  ) that are subsequently used in inv\_simple\_simple.

```
let inv_simple_simple (ghost x:real) (p:int) (n:int)
    requires { framing x p (n+1) }
    requires { 0 ≤n }
    requires { 1. ≤. x }
    ensures { framing (inv x) result n }
    =
    let k = n + 1 in
    let d,r = ediv_mod (power2 (2*(n+k))) p in
    if 2*r ≤p then d
    else d+1
```

As far as I am concerned, inv\_simple\_simple was the most nettlesome function, and maybe the most confusing one too at first glance, for the following reason: as pointed out in the problem statement, we can (and we will) prove that

$$d = a /\!\!/ b \le b - 1 - a /\!\!/ b$$

which ensures that the conditions **P1.1** and **P2.1** cannot happen at the same time, that is: **P1.1**  $\Longrightarrow$  **P2.2** and **P2.1**  $\Longrightarrow$  **P1.2**. From there, it is tempting to try to show (in each branch of inv\_simple\_simple's if statement) one the first conditions of one property (**P1.1** or **P2.1**), since, as it happens, the second condition of the other property is obtained for free. But that's a misleading track! We will instead focus on the second conditions of one property (i.e. either **P1.2** or **P2.2**), disregarding the other property altogether (by just settling with the *coarsest upper/lower bound* we get from both of its conditions).

Let's delve into it in more details. Similarly to before, we set

$$(d,r) = (4^{n+k} /\!\!/ p, 4^{n+k} \mod p)$$

$$(d',r') = (4^{n+k} /\!\!/ (p-1), 4^{n+k} \mod (p-1))$$

$$(d'',r'') = (4^{n+k} /\!\!/ (p+1), 4^{n+k} \mod (p+1))$$

- Before entering the if statement: we prove a handful of useful assertions
  - $4 \le 4^k \le p$  and  $4^n \le \frac{p}{4}$  since  $1 \le x < (p+1)4^{-k}$ , so  $4^k < p+1$ , whence  $4^k \le p$ . On top of that: k = n+1 (thus  $4^n \le \frac{p}{4}$ ) and  $k \ge 1$  (hence  $p \ge 4$ ).
  - then, as we have the precondition framing x p (n+1) (i.e. framing x p k):

$$\frac{4^k}{p+1}<\frac{1}{x}<\frac{4^k}{p-1}$$

therefore

$$d'' \leq \frac{\overbrace{4^{n+k}}^{(p+1)d''+r''}}{p+1} < \frac{4^n}{x} < \frac{\overbrace{4^{n+k}}^{(p-1)d'+r'}}{p-1} \leq d'+1$$

-  $d \leq \frac{p-1}{2}$ . Indeed:

$$d = \frac{4^{n+k} - r}{p} \le \frac{p-1}{2}$$

$$\iff 4^{n+k} - r \le \frac{p(p-1)}{2}$$

$$\iff 4^{n+k} \le \frac{p(p-1)}{2}$$

$$\iff \frac{p^2}{4} \le \frac{p(p-1)}{2}$$

$$\iff \frac{p}{2} \le p - 1$$

$$\iff 2 \le p$$

which is true as  $p \ge 4$ 

- last but not least (before entering the **if**): the *coarsest bounds* we hinted at earlier:
  - \* due to **P2**:  $d' \leq d+1$
  - \* due to **P1**: d 1 < d''
- Inside the **if** statement:
  - if  $2r \leq p$ :
    - \* Let us show that  $r+1 \le p-1-d$ :

$$\begin{array}{ccc} & r+d+1$$

which is true as  $p \ge 4$ 

\* Thus, by **P2.2**, d' = d. And consequently:

$$d-1 \overset{\text{coarse bound}}{\leq} d'' < \frac{4^n}{r} < d'+1 = d+1$$

- if 2r > p:

- \* It comes that  $\boxed{r \geq d}$  , since  $2r \geq \ p+1 \geq p-1 \geq \ 2d$
- \* Thus, by **P1.2**, d'' = d. And consequently:

$$(d+1)-1=d''<\frac{4^n}{x}< d'+1 \overset{\text{coarse bound}}{\leq} (d+1)+1$$

## Q24. Prove the function inv\_simple

inv\_simple take advantage of the fact that  $1 \le x \times Bm$  to resort to inv\_simple\_simple. We are given a (n+1+2m)-framing of x:

$$(p-1) \, \_{\mathsf{B}}(-(n+1+2m)) < x < (p+1) \, \_{\mathsf{B}}(-(n+1+2m))$$
 hence  $(p-1) \, \_{\mathsf{B}}(-(n+1+m)) < x \times \_{\mathsf{B}} \, m < (p+1) \, \_{\mathsf{B}}(-(n+1+m))$ 

and as  $1 \le x \times Bm$ , res = inv\_simple\_simple (x \*. \_B m)p (n+m) provides a (n+m)-framing of  $x \times Bm$ :

$$(\operatorname{res}-1) \, \underline{\,\,} \operatorname{B}(-(n+m)) < x \times \underline{\,\,} \operatorname{B} m < (\operatorname{res}+1) \, \underline{\,\,} \operatorname{B}(-(n+m))$$
 
$$\operatorname{thus}(\operatorname{res}-1) \, \underline{\,\,} \operatorname{B}(-n) < \underbrace{x \times \underline{\,\,} \operatorname{B} m \times \underline{\,\,} \operatorname{B}(-m)}_{=x} < (\operatorname{res}+1) \, \underline{\,\,} \operatorname{B}(-n)$$

and the result follows.

### Q25. extend the type term

We add

- the | Inv t' -> inv (interp t') case in interp
- the | wf\_inv: forall t:term. interp t <> 0. -> wf\_term t -> wf\_term (Inv t) case ir wf\_term

## Q26-27. prove the correction and termination of both functions

• When it comes to the correction:

nothing really fancier than before: the only new case is Inv t', and msd (which is called only there in compute) yields an m such that  $|interp\ t| > B(-m)$  (such an m always exists provided interp  $t \neq 0$ , which is what we assume).

mds recursively calls itself until  $|c| \ge 2$  (where c is the integer approximating t), thus straightforwardly ensuring the correction of the algorithm.

In compute, the case where the sign is negative is easily treated, similarly to compute\_neg, by taking the opposite.

- The termination is a bit more involved because of mds:
  - when compute t n is called:
    - \* either t is structurally smaller
    - \* either t remains the same and compute has been called inside mds

which hints at the fact that an adequate variant would follow a lexicographic order, with the size of t as first component (where size is defined as expected).

- mds stops recursively calling itself as soon as |c| > 1.
  - \* if interp t>0: then if  $4^n(\text{interp }t)>2$ , i.e.  $n>\log_4\frac{2}{\text{interp }t}=\log_4\frac{2}{|\text{interp }t|}$ , it follows that  $c>4^n(\text{interp }t)-1>1$
  - \* if interp t<0: then if  $4^n(\text{interp }t)<-2$ , i.e.  $n>\log_4\frac{-2}{\text{interp }t}=\log_4\frac{2}{|\text{interp }t|}$ , it follows that  $c<4^n(\text{interp }t)+1<-1$

and each time mds is recursively called, n is incremented (and it is originally set at 0).

So a good variant is  $(\mathtt{size}\ t, \lceil \log_4 \frac{2}{\lceil \mathtt{interp}\ t \rceil} \rceil - n)$  for the lexicographic order, which we can routinely check in Why3 by asserting what was outlined before and adding axiom about the  $\log$  being increasing as suggested at the beginning of the problem statement.

#### **Bonus**

Here is a counter-example: with

- $x \stackrel{\text{def}}{=} -0.6161$
- n = 2

It comes that msd (x) = 1 with  $x_0 = 0, x_1 = -2, ..., x_5 = -630$ .

Let's run the proposed algorithm on this instance to compute, say,  $\overline{1/x_n}$ .

- n > -msd(x) = -1
- as  $k=n+2\mathrm{msd}\ \ (x)+1=5$  and  $x_5=-630\leq 1$ : it comes that

$$\boxed{1/x_2 = \left| \begin{array}{c} -\mathsf{B}(k+n) \\ \hline x_k \end{array} \right| = -27}$$

However:

$$(\overline{1/x_n} + 1) \times \_\mathsf{B}(-n) = \frac{-27 + 1}{16} \simeq -1.625 < \frac{1}{x} \simeq -1.623$$

so the framing is not correct.

# Conclusion

I didn't find this project particularly easy (especially as I am not keen on real numbers computation usually), but it definitely was a good foray into Why3. The most difficult part was inv\_simple\_simple, due to the fact that I got bogged down in a misleading track (as explained before) by misinterpreting a cue in the problem statement.

With some of my friends, I have jotted down a handful of suggestions about axioms that I think could be good adjuncts to the standard library, and a few features that may enhance the user experience of the Why3 IDE. I will enclose them in a forthcoming email.