

The Renaissance of Functional Programming

Joe Barnes

Follow along at <http://172.30.0.47:8080>

Powered by



- Influential trend in computing technology
- Programming with constrained power
- Fundamental difference of functional programming
- Code examples

So what's going on in computing these days?

Moore's law is the observation that, over the history of computing hardware, the speed of integrated circuits doubles approximately every two years.

ning

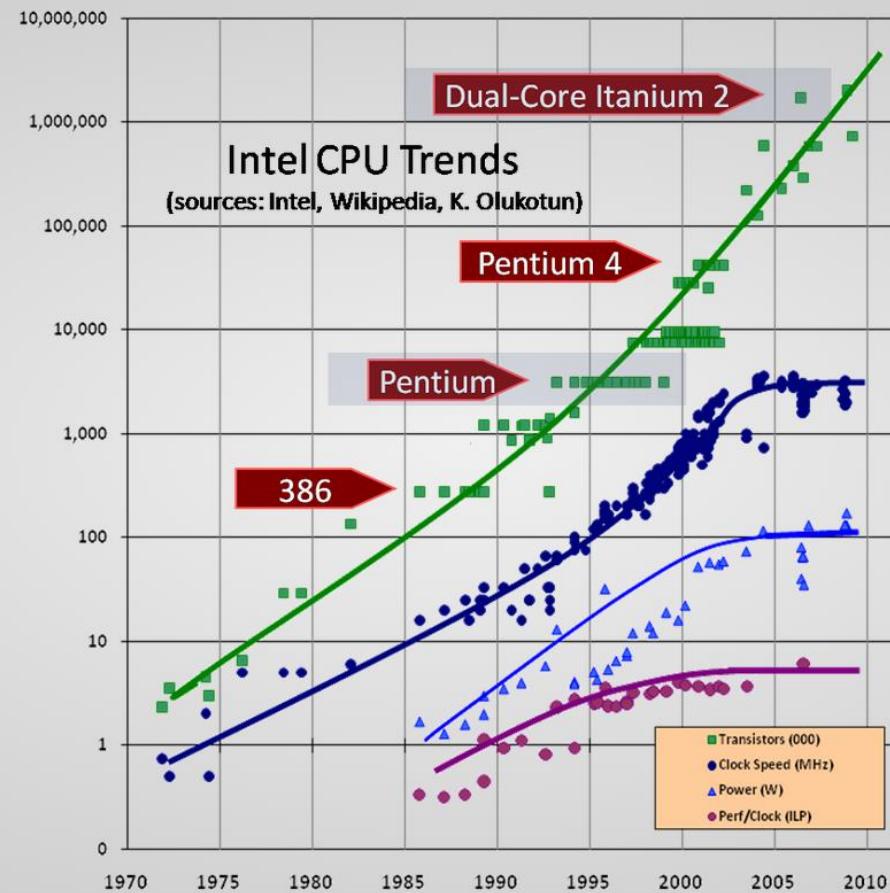
FALSE

Moore's law is the observation that, over the history of computing hardware, the speed of integrated circuits doubles approximately every two years.

Moore's law is the observation that, over the history of computing hardware, the speed of integrated circuits doubles approximately every two years.

Moore's law is the observation that, over the history of computing hardware, the ~~speed of~~ **number of transistors on** integrated circuits doubles approximately every two years.

That has mostly continued, but the speed up is over.



"The free lunch is over!!!"

-Some C/C++ guy named Herb Sutter

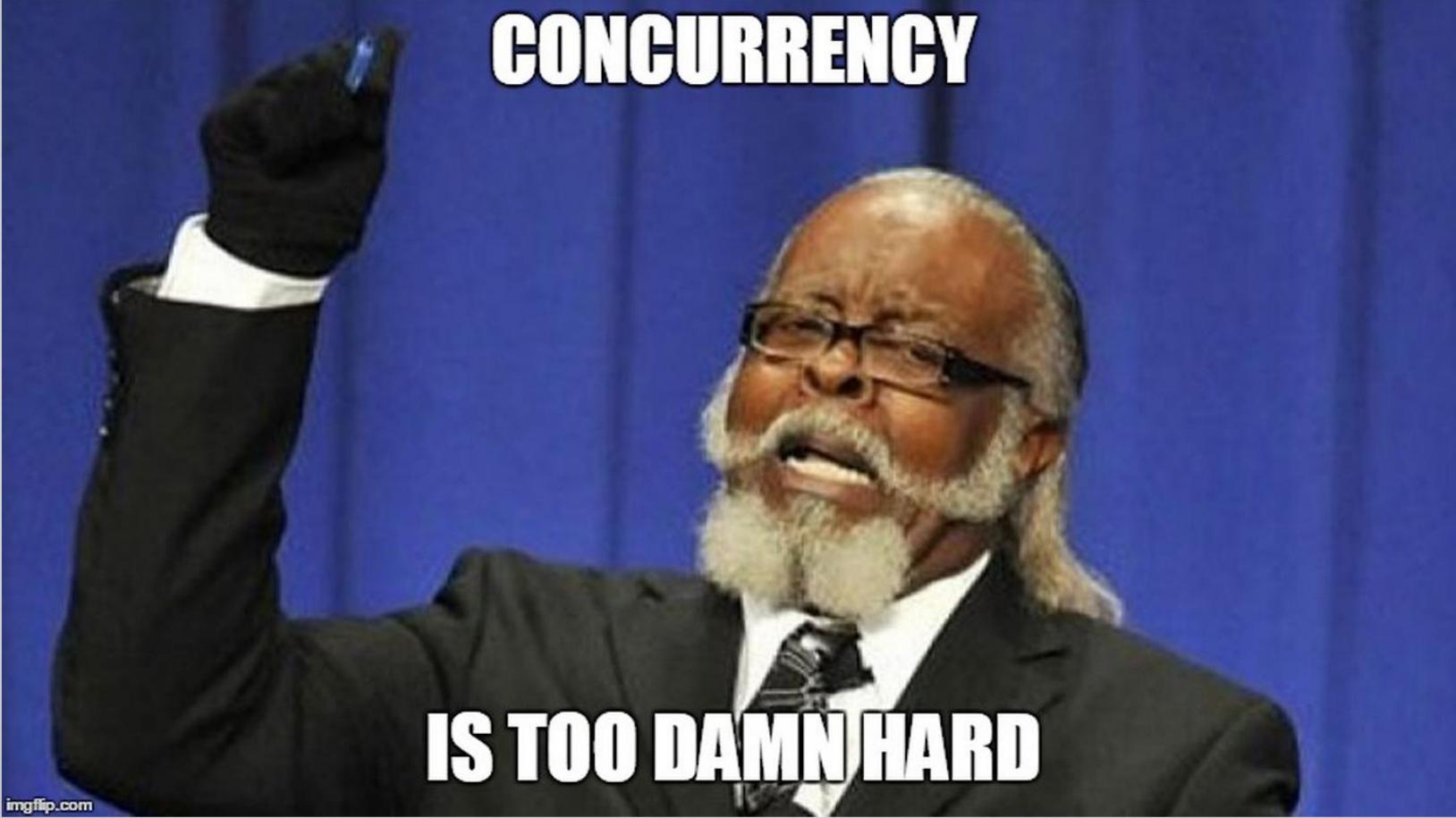
More cores, not more speed

*“C++ has been designed for single thread programming, and parallel programming requires a revolutionary rather than evolutionary change. Two words: **data races.**”*

-Bartosz Milewski (another C++ guy, who happens to look like Tony Iommi)

"The programmer must ensure read and write access to objects is properly coordinated (or "synchronized") between threads."

-Java Concurrency | Wikipedia



CONCURRENCY

IS TOO DAMN HARD

The developer bears the cognitive load of solving the business problem *and* juggling shared mutable state.

A **data race** occurs when two concurrent threads access a shared variable and when

- at least one access is a write and
- the threads use no explicit mechanism to prevent the accesses from being simultaneous.

Recipe

- Two concurrent threads
- Shared memory
- At least one write to a *variable*
- Mechanism not used

Recipe

- Two concurrent threads → *Here to stay*
- Shared memory
- At least one write to a *variable*
- Mechanism not used

Recipe

- Two concurrent threads → *Here to stay*
- Shared memory → *If not, incur wrath of OS overhead*
- At least one write to a *variable*
- Mechanism not used

Recipe

- Two concurrent threads → *Here to stay*
- Shared memory → *If not, incur wrath of OS overhead*
- At least one write to a *variable*
- Mechanism not used → *Programmer error, also here to stay*

Recipe

- Two concurrent threads → *Here to stay*
- Shared memory → *If not, incur wrath of OS overhead*
- **At least one write to a variable** → *Well there's an idea...*
- Mechanism not used → *Programmer error, also here to stay*

- More specifically, eliminate writes after reads.
- Mark everything const / final
- No more reassigning names
- No more variables



imgflip.com

two concurrent threads access a shared variable and one thread performs a write and the other thread performs a read. The compiler must insert a memory barrier or similar mechanism to prevent the accesses from interfering with each other.

the cognitive load of solving the business problem of managing mutable state.

More specifically, eliminate writes after reads.

- Mark everything `const` / `final`
- No more reassigning names
- No more variables

Revoking powers/freedoms is not a new thing in software.

1968 – Structured Programming

- Edsger Dijkstra writes *Go To Statement Considered Harmful*
- Revoked the goto

1968 – Structured Programming

- Edsger Dijkstra writes *Go To Statement Considered Harmful*
- Revoked the goto

1966 – Object Oriented Programming

- Ole-Johan Dahl and Kristen Nygaard create Simula 67
- Revoked the need for function pointers
- Modern OO constrains visibility/scope

- Revoked the goto

1966 – Object Oriented Programming

- Ole-Johan Dahl and Kristen Nygaard create Simula 67
- Revoked the need for function pointers
- Modern OO constrains visibility/scope

1957 – Functional Programming

- John McCarthy creates Lisp based on Alonzo Church's Lambda Calculus circa 1930's
- Revokes re-assignments and mutation (well, it never had them in the first place)

◦ Revoked the goto

1966 – Object Oriented Programming

◦ Ole-Johan Dahl and Kristen Nygaard create Simula 67



imgflip.com

Even managers can do it.

Actually, I bet you've written something like this before...



```
$ find . -name *.java | xargs grep -l "function" | wc -l
```

```
$ find . -name *.java | xargs grep -l "function" | wc -l  
# A function returning a list of strings
```

```
$ find . -name *.java | xargs grep -l "function" | wc -l
# A function returning a list of strings
# A function filtering a list of strings
```

```
$ find . -name *.java | xargs grep -l "function" | wc -l
# A function returning a list of strings
# A function filtering a list of strings
# A function that returns the size of a list
```

Even managers can do it.



Date	Station	Odometer	Gallons	Dollars	MPG	MPD		
4/23/2012	Sam's	158907	11.098	\$40.50				
5/4/2012	Shell	159165	19.742	\$75.00	13.07	3.44		
5/15/2012	Sam's	159329	11.407	\$39.00	14.38	4.21		
5/25/2012	Shell	159601	17.226	\$62.00	15.79	4.39		
6/1/2012	Chevron	159790	12.289	\$43.00	15.38	4.4		
6/11/2012	Shell	160146	22.36	\$76.00	15.92	4.68		
6/22/2012	Shell	160481	21.521	\$71.00	15.57	4.72		
7/10/2012	BP	160807	21.847	\$67.51	14.92	4.83		
7/26/2012	BP	161129	20.439	\$66.00	15.75	4.88		
8/5/2012	Chevron	161369	18.005	\$63.00	13.33	3.81		
8/5/2012	Chevron	161560	10.861	\$38.00	17.59	5.03		
8/20/2012	Chevron	161856	20.962	\$75.44	14.12	3.92		
8/31/2012	Shell	162122	18.09	\$68.00	14.7	3.91		
9/7/2012	Chevron	162282	11.971	\$45.00	13.37	3.56		
9/13/2012	Sam's	162432	7.726	\$27.50	19.41	5.45		
9/23/2012	Chevron	162611	13.833	\$52.00	12.94	3.44		
10/3/2012	Sam's	162884	18.232	\$63.25	14.97	4.32		
11/2/2012	Shell	163181	19.863	\$69.50	14.95	4.27		
11/19/2012	Sam's	163485	20.389	\$64.00	14.91	4.75		
12/10/2012	Sam's	163834	19.361	\$60.00	18.03	5.82		
12/27/2012	BP	164157	22.272	\$71.25	14.5	4.53		

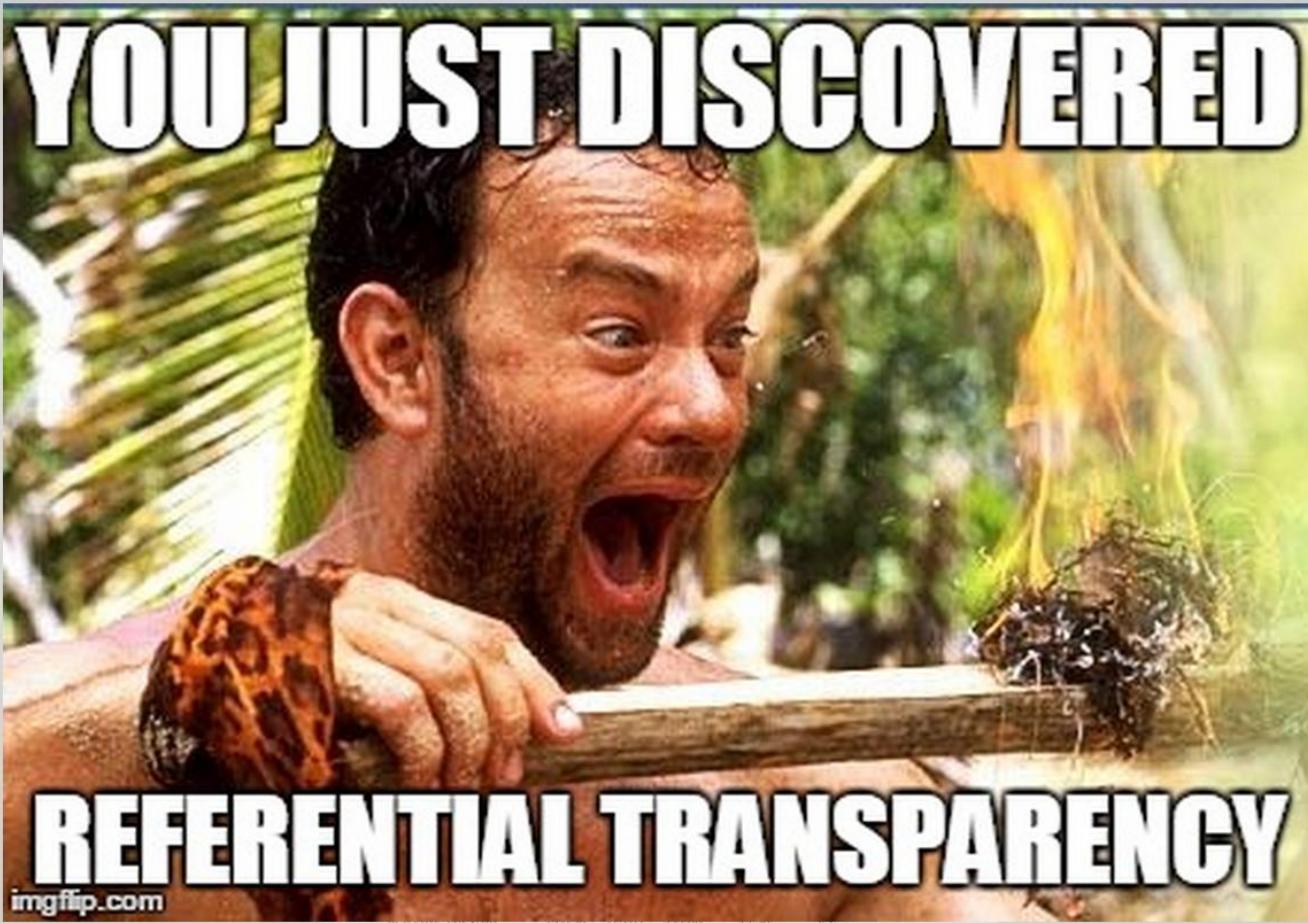
Date	Station	Odometer	Gallons	Dollars	MPG	MPD	
4/23/2012	Sam's	158907	11.098	\$40.50			
5/4/2012	Shell	159165	19.742	\$75.00	13.07	3.44	
5/15/2012	Sam's	159329	11.407	\$39.00			4.21
5/25/2012	Shell	159601					
6/1/2012	Chevron						
6/11/2012	Shell						
6/22/2012	Shell						
7/10/2012	BP	160807	21.847	\$67.51	14.92	4.83	
7/26/2012	BP						
8/5/2012	Chevron						
8/5/2012	Chevron						
8/20/2012	Chevron	161856	20.962	\$75.44	14.12	3.92	
8/31/2012	Shell						
9/7/2012	Chevron						
9/13/2012	Sam's						
9/23/2012	Chevron	162611	13.833	\$52.00	12.94	3.44	
10/3/2012	Sam's						
11/2/2012	Shell						
11/19/2012	Sam's	163405	20.589	\$64.00	14.91	4.75	
12/10/2012	Sam's	163834	19.361	\$60.00	18.03	5.82	
12/27/2012	BP	164157	22.272	\$71.25	14.5	4.53	

fx = DIVIDE(SUM(-C2, C3),D3)

= DIVIDE(SUM(-158907, 159165),19.742)

= DIVIDE(258,19.742)

= 13.07



An expression is said to be **referentially transparent** if it can be substituted for its referent without changing the behavior of a program. In other words, the same effects and output on the same inputs.

*An expression is said to be **referentially transparent** if it can be replaced with its value without changing the behavior of a program (in other words, yielding a program that has the same effects and output on the same input).*

-Somebody smart on Wikipedia

```
$ find . -name *.java | \
$ xargs grep -l "function" | \
$ wc -l
```

```
$ [Functions.java, Lambdas.java, Objects.java] | \
$ xargs grep -l "function" | \
$ wc -l
```

```
$ [Functions.java, Lambdas.java] | \
$ wc -l
```

§ 2

By giving ourselves a constraint, we gain a new power

THEY CAN TAKE OUR WRITES

**BUT THEY'LL NEVER
TAKE OUR FREEDOM**

Contrast this *expression-oriented* approach with the *statement-oriented* approach which describes computation in statements which mutate the state of the running program.



But what if I *need* variables to solve my problem??

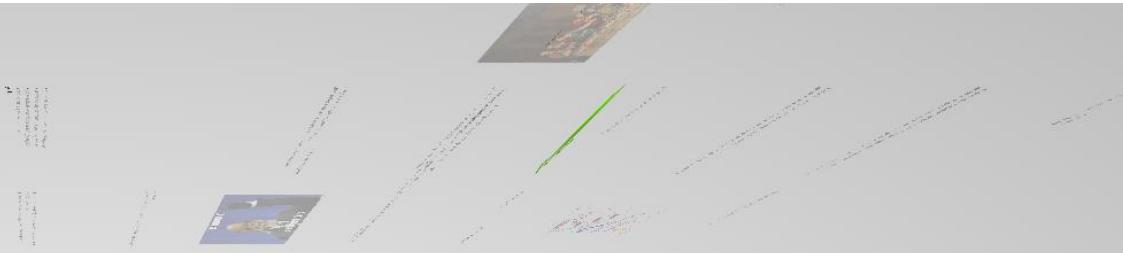
```
public static List<Integer> firstNEvens(int n) {  
    List<Integer> evens = new ArrayList<Integer>();  
    for(int i=1; i<=n; i++)  
        evens.add(i*2);  
    return evens;  
}
```

```
public static IntStream firstNEvens(int n) {  
    return IntStream.rangeClosed(1, n)  
        .map((i) -> i * 2);  
}
```



imgflip.com

```
// Notice that n and i are never _reassigned_
public static IntStream firstNEvens(int n) {
    return IntStream.rangeClosed(1, n)
        .map((i) -> i * 2);
}
```





Strictly (i.e. *mathematically*) speaking, functions *never* mutate anything.

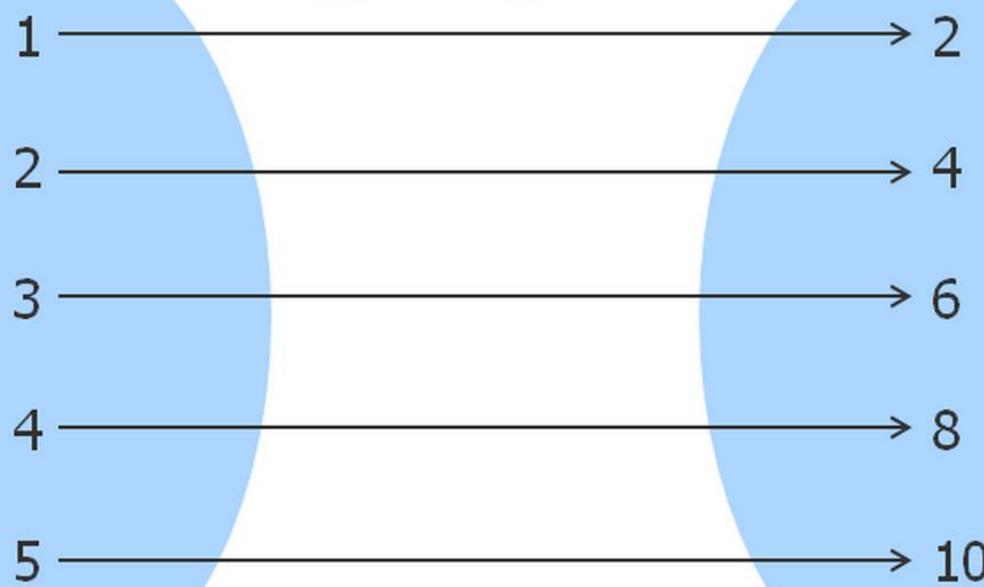
(i) $\rightarrow i * 2$

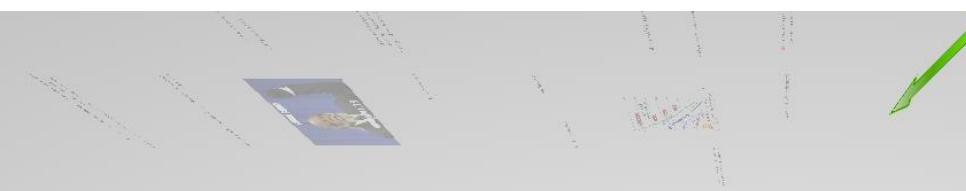
is an *expression* for *describing* a function.

Domain

Codomain

$$(i) \rightarrow i^* 2$$





To be precise, a function is a subset of the cross-product of the domain and codomain.
It's just that $(i) \rightarrow i * 2$ is a far-more robust representation than...

{ (1, 2), (2, 4), (3, 6), (4, 8), (5, 10), ... }

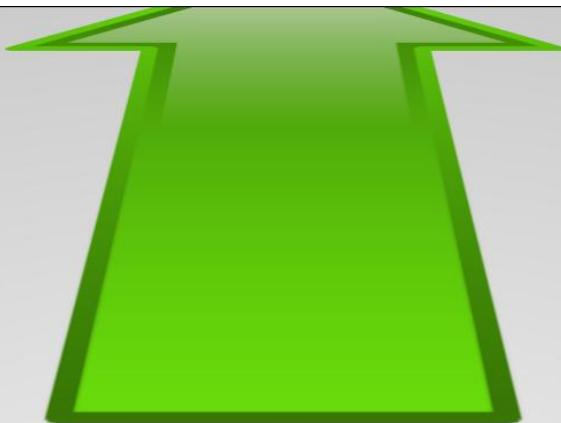
So that's it? Just slap `const` / `final` everywhere and use fancy libraries?

see why it's safe

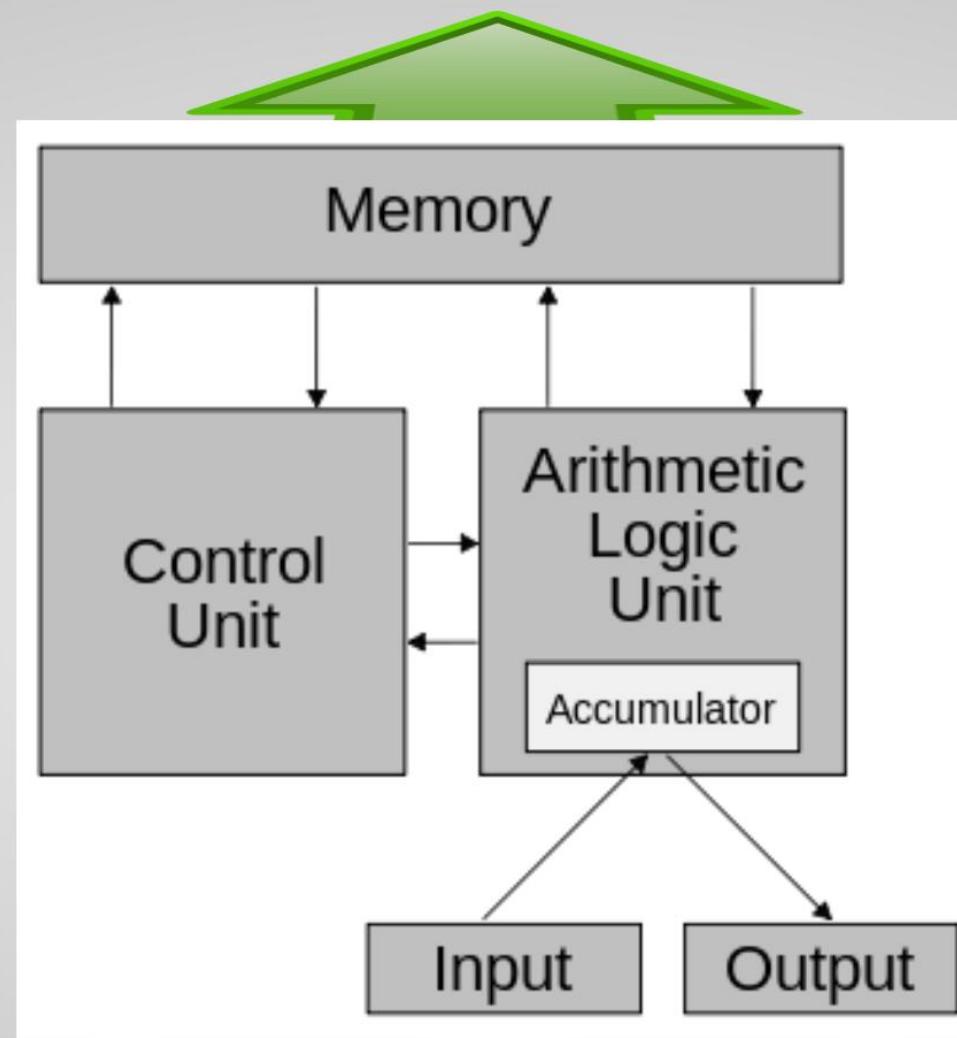
It doesn't sound like the *revolutionary change* Milewski wanted.

Otherwise we could just summarize functional programming as merely the logical conclusion of best practices.

Perhaps, but that conclusion is so strong it changes the fundamental design of our languages!



Consider the history of computing and the emergence of imperative languages



1001001011011010101
0101100101001110100
100010110000110110
1010000001010110101
1100101010110000101
1011001000110001000

```
simple_loop:  
# parameter 1: %rdi  
.B1.1:                      # Preds ..B1.0  
..__tag_value_simple_loop.1: #2.1  
    xorl    %eax, %eax    #3.19  
    xorl    %edx, %edx    #5.8  
    testq   %rdi, %rdi    #5.16  
    jle     ..B1.5        # Prob 10% #5.16  
                  #LOE rax rdx rbx rbp rdi r12 r13 r14 r15  
.B1.3:                      # Preds ..B1.1 ..B1.3  
    addq    %rdx, %rax    #6.5  
    addq    $1, %rdx      #5.19  
    cmpq    %rdi, %rdx    #5.16  
    jl      ..B1.3        # Prob 82% #5.16  
.B1.5:                      # Preds ..B1.3 ..B1.1  
    ret                 #8.10  
    .align   2,0x90
```

```
unsigned int __fastcall sub_10002(int a1)
{
    signed int v1; // ecx@1
    int v2; // edi@1
    bool v4; // zf@3

    v2 = a1;
    v1 = -1;
    do
    {
        if ( !v1 )
            break;
        v4 = *(BYTE *)v2++ == 0;
        --v1;
    }
    while ( v4 );
    return ~v1;
}
```

```
#include "maxcpp5.h"

class Example : public MaxCpp5<Example> {
public:
    Example(t_symbol * sym, long ac, t_atom * av) {
        setupIO(2, 2); // inlets / outlets
    }
    ~Example() {}
    void bang(long inlet) {
        outlet_bang(m_outlet[0]);
    }
    void test(long inlet, t_symbol * s, long ac, t_atom * av) {
        outlet_anything(m_outlet[1], gensym("test"), ac, av);
    }
};

extern "C" int main(void) {
    // create a class with the given name:
    Example::makeMaxClass("example");
    REGISTER_METHOD(Example, bang);
    REGISTER_METHOD_GIMME(Example, test);
}
```

```
/* Block comment */
import java.util.Date;
/**
 * Doc comment here for SomeClass
 * @version 1.0
 */
public class SomeClass { // some comment
    private String field = "Hello World";
    private double unusedField = 12345.67890;
    private UnknownType anotherString = "AnotherString";
    public SomeClass() {
        //TODO: something
        int localVar = "IntelliJ"; // Error, incompatible types
        System.out.println(anotherString + field + localVar);
        long time = Date.parse("1.2.3"); // Method is deprecated
    }
}
```



History of statement-oriented/imperative programming in a nutshell...



Dealing with difficulty by increasing abstraction







```
(defun get-web-app (name)~
  "Get the web application known by name (return nil when not found)"~
  (gethash name *web-apps*))~

~(defun map-web-apps (function)~
  "Apply function on each defined web application and return the result"~
  (loop :for web-app :being :the :hash-values :of *web-apps*~
    :collect (funcall function web-app)))~

~(defun ensure-web-app (name options)~
  "Either create a new web-app or use an existing one, resetting its options"~
  (let ((web-app (get-web-app name)))~
    (unless web-app~
      (setf web-app (make-instance 'web-app :name name)~
            (gethash name *web-apps*) web-app))~
    (setf (get-option-list web-app) options)~
    (process-option-list web-app)~
    (when *web-app-server*~
      (stop-web-app name :force t)~
      (start-web-app name))~
    web-app))~

~(defmacro defwebapp (name &rest options)~
  "Define a web application by name with the options listed"~
  `(ensure-web-app ,name ~
      ,(cons 'list (append (list :load-truename (or *load-truename* *c~
          (list :load-package *package*)~
          (produce #'append-options)))))))
```

```
fun append (xs, ys) =  
  if null xs  
  then ys  
  else (hd xs):: append (tl xs, ys)  
  
fun map (f, xs) =  
  case xs of  
    [] => []  
    | x :: xs' => (f x)::(map (f, xs'))  
  
val a = map (increment, [4,8,12,16])  
val b = map (hd, [[8,6],[7,5],[3,0,9]])
```

```
-- Type annotation (optional)
fib :: Int -> Integer

-- With self-referencing data
fib n = fibs !! n
    where fibs = 0 : scanl (+) 1 fibs
          -- 0,1,1,2,3,5, ...

-- Same, coded directly
fib n = fibs !! n
    where fibs = 0 : 1 : next fibs
          next (a : t@(b:_)) = (a+b) : next t

-- Similar idea, using zipWith
fib n = fibs !! n
    where fibs = 0 : 1 : zipWith (+) fibs (tail fibs)

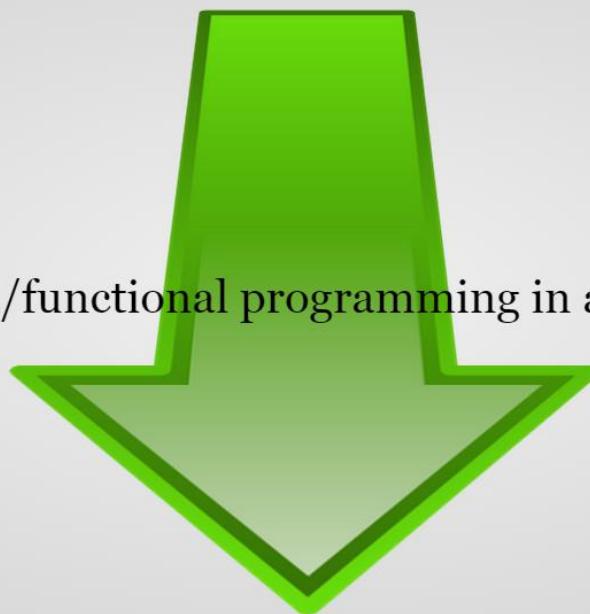
-- Using a generator function
fib n = fibs (0,1) !! n
    where fibs (a,b) = a : fibs (b,a+b)
```

```
-module(primes).
-export([factor/1]).  
  
% factors integers >=2 into its prime numbers.
factor(N) when is_integer(N), N>=2 ->
    factor(N, 2, []).  
  
% factors N with the divisor D into its Factors.
factor(N, D, Factors) when D*D > N ->
    [N | Factors];
factor(N, D, Factors) when N rem D =:= 0 ->
    factor(N div D, D, [D|Factors]);
factor(N, D, Factors) ->
    factor(N, D+1, Factors).
```

```
package ListMethods;

object ListMethods {
    def main(args: Array[String]) {
        // listcat.scala
        // Scala List - prepend operator
        val oneTwo = List(1, 2)
        val threeFour = List(3, 4)
        val oneTwoThreeFour = oneTwo :: threeFour // prepend
        println(oneTwo + " and " + threeFour + " were not mutated.")
        println("This " + oneTwoThreeFour + " is a new List.")
    }
}
```

History of expression-oriented/functional programming in a nutshell...



From theory down to practice





Functional programming is more than eliminating variables
It is a fundamentally different approach to programming language design

With functional programming being better suited to take advantage of modern hardware advances, we're seeing a steady uptake in languages which encourage the paradigm.

Really there's more to it than simplifying concurrency

Functional programming simply never had certain problems in the first place

From conception, functional programming is a simpler paradigm.

Consider the reassignment of names (variables) again.

```
public static int factorial(int n) {  
    int acc = 1;  
    for(int i=1; i<=n; i++)  
        acc *= i;  
    return acc;  
}
```

t/i	acc
0	1
1	1
2	2
3	6
4	24
5	120

The programmer must juggle both time and value
Less simple than only value

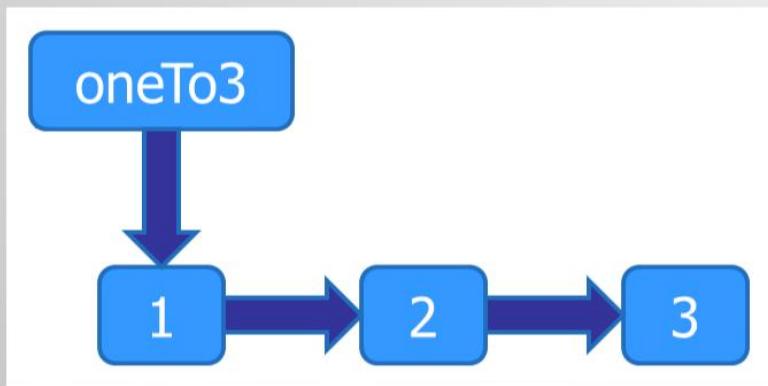
Much like how garbage collection frees developers from juggling resource allocation along with business logic.

Garbage collection has a cost, so what is the cost of immutability?

It depends...

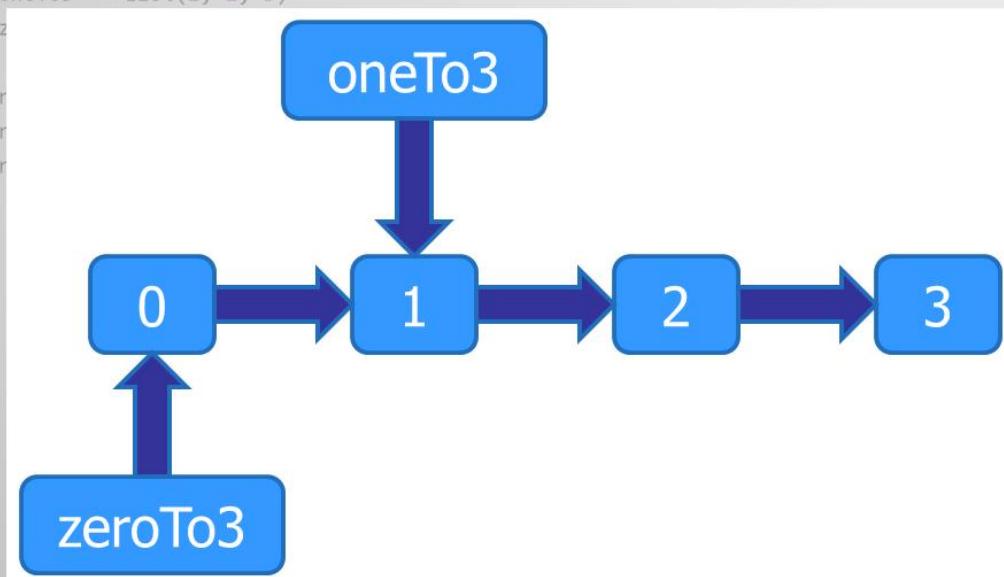
```
test("Prepend produces new instance") {  
    val oneTo3 = List(1, 2, 3)  
    val zeroTo3 = 0 :: oneTo3  
  
    assert(oneTo3.size == 3)  
    assert(zeroTo3.size == 4)  
    assert(oneTo3 != zeroTo3)  
}
```

```
val oneTo3 = List(1, 2, 3)
```

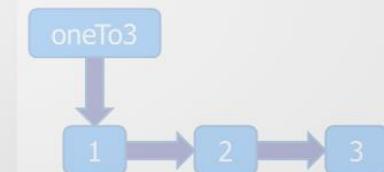


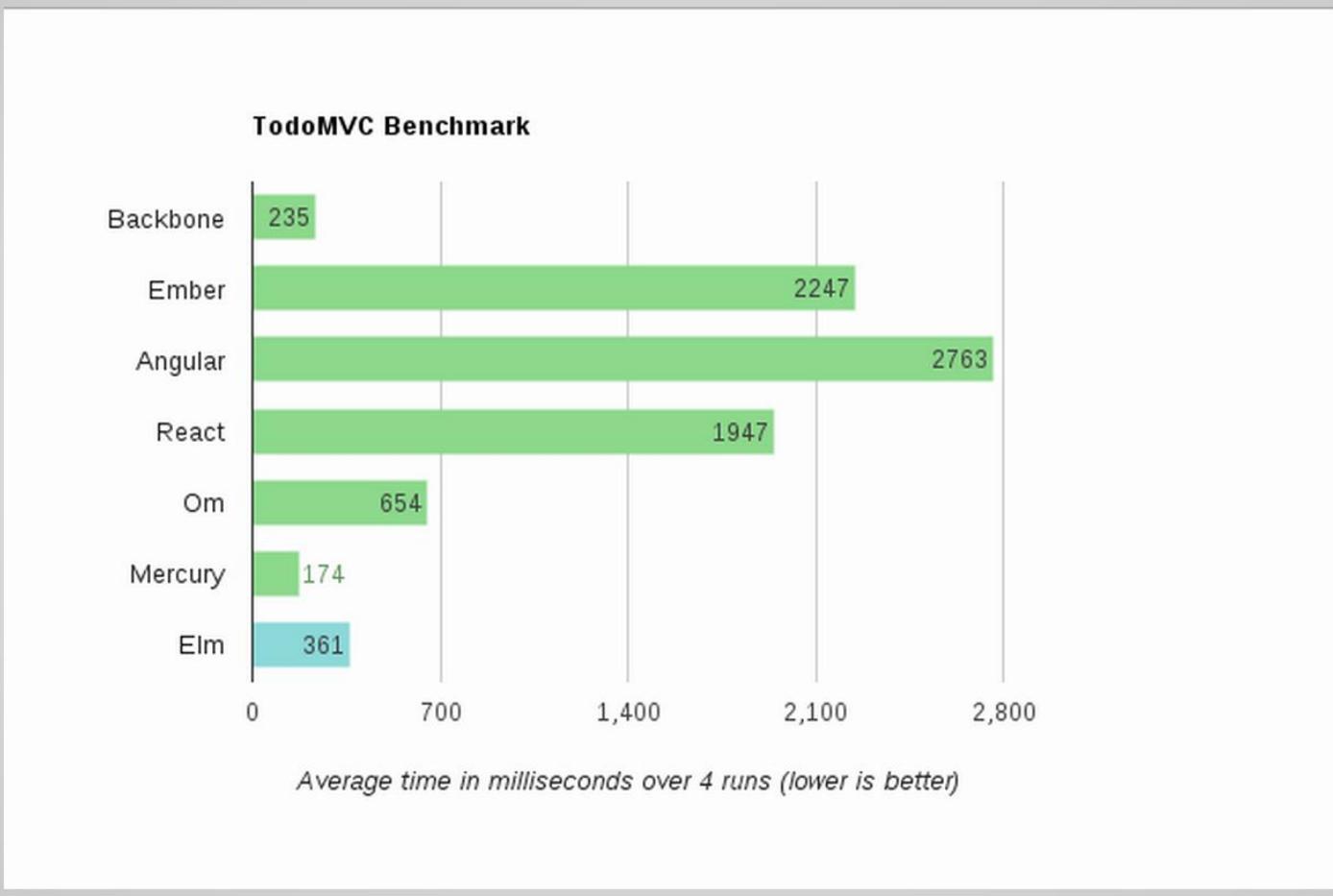
```
val zeroTo3 = 0 :: oneTo3
```

```
test("Prepend produces new instance") {  
    val oneTo3 = List(1, 2, 3)  
    val z...  
    assert(zeroTo3 :> oneTo3 === List(0, 1, 2, 3))  
    assert(zeroTo3 :> oneTo3) =!= zeroTo3  
    assert(zeroTo3 :> oneTo3) =!= oneTo3  
}
```



```
val oneTo3 = List(1, 2, 3)
```





Functional programming is far more than just eliminating mutation
It is programming at a higher-level of abstraction



The purpose of abstraction is not to be vague, but to create a new semantic level in which one can be absolutely precise.

—Edsger W. Dijkstra



```
public static boolean isPrime(int n) {  
    boolean isPrime = true;  
    for(int i=2; i<n; i++) {  
        if(n % i == 0) {  
            isPrime = false;  
            break;  
        }  
    }  
    return isPrime;  
}
```

```
def isPrime(n:Int) = (2 to (n-1))  
  .forall(i => n % i != 0)
```

```
public static List<Integer> first(int n) {  
    List<Integer> primes = new LinkedList<Integer>();  
    for(int i=2; primes.size() < n; i++) {  
        if(isPrime(i)) primes.add(i);  
    }  
    return primes;  
}
```

```
def first(n:Int) = Stream.from(2)
  .filter(isPrime _)
  .take(n)
```

```
def first(n:Int, p:Int=>Boolean) = Stream.from(2)
  .filter(p)
  .take(n)

def first2(n:Int) = first(n, isPrime _)
```

```
public static List<Integer> firstNEvens(int n) {  
    List<Integer> evens = new ArrayList<Integer>();  
    for(int i=1; i<=n; i++)  
        evens.add(i*2);  
    return evens;  
}
```



```
def firstNEvens(n:Int) = (1 to n) map (_ * 2)
```

```
def firstNEvensPar(n:Int) = (1 to n).par map (_ * 2)
```



The higher level of abstraction coupled with greater constraints yields a code base that is

- Simpler to reason about
- Composeable



```
def ints(i:Int):String = i match {  
    case 1 => "one"  
    case 2 => "two"  
    case n if n % 2 == 0 => s"$n is even"  
    case other => s"$other is whatever"  
}
```



```
val none  = Nil
val one   = 3 :: Nil
val three = 1 :: 2 :: 3 :: Nil

def lists(l>List[Int]) = l match {
  case Nil => "list is empty"
  case last :: Nil => s"$last is alone"
  case head :: tail => s"$head and ${tail.size} others"
}
```



```
val str = "12.3"  
val num = str match {  
    case f(x) => x  
    case _ => 0  
}  
num shouldEqual 12.3f
```



```
val str = "eleven"  
val num = str match {  
    case f(x) => x  
    case _ => 0  
}  
num shouldEqual 0f
```



```
object f {  
    def unapply(s: String): Option[Float] = try {  
        Some(s.toFloat)  
    } catch {  
        case _:Exception => None  
    }  
}
```



```
object z {  
    def unapply(s: String): Option[Int] =  
        Try(s.toInt).toOption  
}
```

L 55.7 65.3 -50 -60.3

C 10 12.2 5.5

T -5 23.2 0 My text label

```
trait DrawingObject
case class Circle(x:Float, y:Float, r:Float) extends DrawingObject
case class Line(x1:Float, y1:Float, x2:Float, y2:Float) extends DrawingObject
case class Text(x:Float, y:Float, orientation:Int, text:String) extends DrawingObject
case class Unknown(line:String) extends DrawingObject
```

```
// KEY: L
// PARAMETERS: x1, y1, x2, y2
// EXAMPLE: L 55.7 65.3 -50 -60.3
private object LineExtractor {
  def unapply(tokens>List[String]):Option[Line] = tokens match {
    case "L" :: f(x1) :: f(y1) :: f(x2) :: f(y2) :: _ =>
      Some(Line(x1, y1, x2, y2))
    case _ => None
  }
}
```

```
// KEY: C
// PARAMETERS: x, y, radius
// EXAMPLE: C 10 12.2 5.5
private object CircleExtractor {
    def unapply(tokens>List[String]):Option[Circle] = tokens match {
        case "C" :: f(x) :: f(y) :: f(r) :: _ =>
            Some(Circle(x, y, r))
        case _ => None
    }
}
```

```
// KEY: T
// PARAMETERS: x, y, orientation, text
// orientation: 0 => L to R; 1 => Top to Bottom
// EXAMPLE: T -5 23.2 0 My text Label
private object TextExtractor {
  def unapply(tokens>List[String]):Option[Text] = tokens match {
    case "T" :: f(x) :: f(y) :: z(orie) :: text =>
      Some(Text(x, y, orie, text mkString " "))
    case _ => None
  }
}
```

```
def cleanLines(file:String):List[String] =  
    file.lines  
        .map(_.trim)  
        .filter(!_.isEmpty)  
        .toList
```

```
def parse(file:String):List[DrawingObject] =  
  cleanLines(file).map { line =>  
    line.split("""\s+""").toList match {  
      case LineExtractor(line) => line  
      case CircleExtractor(circle) => circle  
      case TextExtractor(text) => text  
      case unknown => Unknown(line)  
    }  
  }
```

A constraint at one level gives us freedom and power at a higher level.

-Some Scala guy named Rúnar Bjarnason



© 2013 Runar Bjarnason. All rights reserved.
This image may not be reproduced, stored in a retrieval system, or transmitted
in whole or in part, in any form or by any means,
electronic, mechanical, photocopying, recording or otherwise,
without the prior written permission of the author.

```
public static int someFn(List<Integer> list)
```



```
public static int size(List<Integer> list)
```



```
public static <A> int size(List<A> list)
```



© 2013 by the author. All rights reserved.
Published by AuthorHouse.

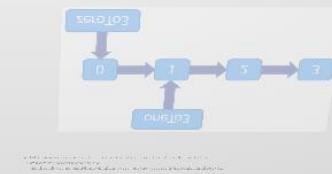
`size(List<Integer>)` has more power available, but constrains the usages
`size(List<A>)` has constrained its power, offering more power to the caller



By constraining unneeded power at the lowest level (the language), we preserve the maximum possible power for the higher levels => Composition



Functional programming is steeped a culture of simplifying by separating concerns (such as time from values) and constraining lower level power to produce composeable software



It requires a tremendous amount of skill and effort to construct from polymers...



...but even a child can construct a spaceship when the polymers are constrained to the shape of Legos.





Join the Renaissance and have fun again!

Download slides

Presentation Source