

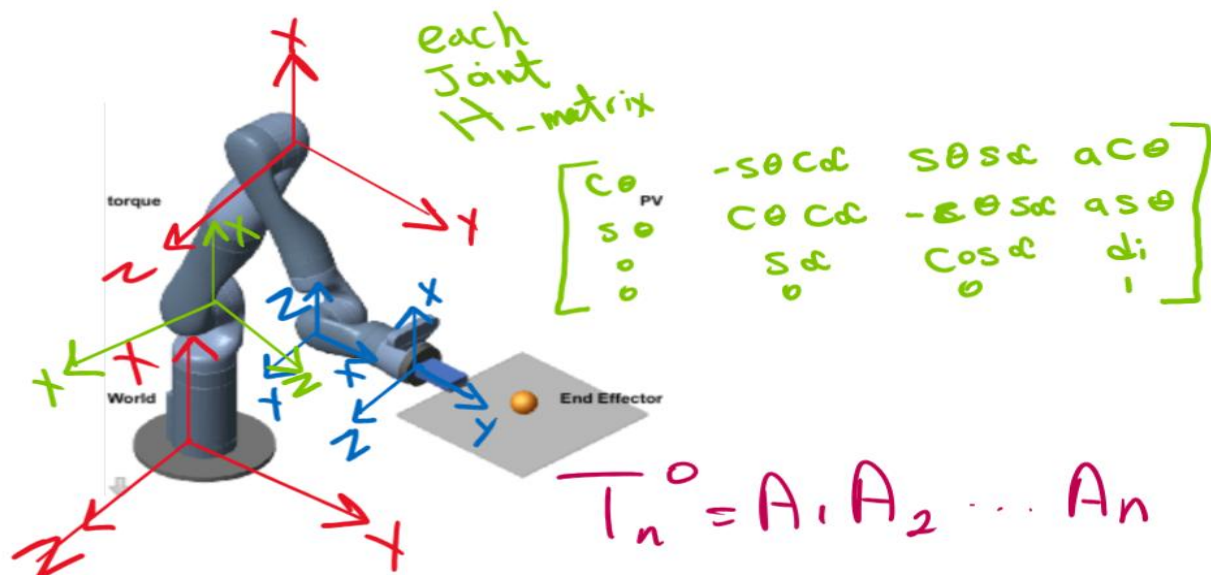
Train SAC Agent for Ball Balance Control Using Reinforcement Learning Agents

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1. Kinematics of the Robot

The Kinova Gen3 is a 7-DOF robotic arm, but for this project, only the last two joints (wrist and hand) are actively used to control a plate for ball balancing. The remaining joints are fixed. The two actuated joints control the plate's pitch and roll angles, enabling 2D motion of a ping-pong ball placed on it. Forward kinematics translates joint angles into platform orientation. We approximate dynamics and use reinforcement learning to learn torque control.



2. Environment Interface

The Simulink model `rlKinovaBallBalance` serves as the physical simulation, capturing both robot dynamics and contact interactions. By using `rlSimulinkEnv`, this model is encapsulated as a reinforcement learning environment with specified observation and action spaces:

- **Observation:** a 22-element vector (such as ball position, velocities, and joint states)
- **Action:** a pair of torque commands, each limited to the range $[-1, 1]$

```
• nObs = 22;  
• nAct = 2;  
• obsInfo = rlNumericSpec([nObs 1]);  
• actInfo = rlNumericSpec([nAct 1]);  
• actInfo.LowerLimit = -1;  
• actInfo.UpperLimit = 1;  
•  
• mdl = "rlKinovaBallBalance";  
• blk = mdl + "/RL Agent";  
• env = rlSimulinkEnv(mdl,blk,obsInfo,actInfo); % Creates RL environment interface
```

I want to join Rabbit because I love fast-paced teamwork, solving real problems, and I'm excited to help make shopping easier for everyone—while learning from the best!

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3. Reward Function Design

The Default Reward Function Calculated using the Follig formula:

Reward = R_{Ball} + R_{Plate} + R_{Action} , Where:

- $R_{\text{Ball}} = e^{(-0.000.1 \cdot (x^2 + y^2))}$
 - $R_{\text{Plate}} = -0.1 \cdot (\phi^2 + \theta^2 + \psi^2)$
 - $R_{\text{Action}} = -0.05 \cdot (T1^2 + T2^2)$

Key Changes and Reasoning in the reward function

$$R_{\text{obel}} = 1 / (1 + (x^2 + y^2)^2)$$

- The inverse function provides a steeper penalty for moderate-to-large displacements, discouraging unstable oscillations.

$$R_{\text{Plate}} = -0.2(\phi^2 + \theta^2)$$

- Simplified to penalize only pitch (ϕ) and roll (θ), which directly affect plate stability, ignoring redundant degrees of freedom (e.g., yaw, ψ).
- Increased coefficient ($-0.1 \rightarrow -0.2$) emphasizes plate-leveling to prevent excessive tilting.

$$R_{\text{Action}} = -0.1(T_1^2 + T_2^2)$$

- Reduces mechanical stress on actuators and promotes energy-efficient policies.

4. Policy and Value Function Design

Two types of networks are created:

- Actor (Policy): Maps observations to a Gaussian distribution over actions
- Critics (Value): Estimate Q-values for given observation-action pairs

Default Actor NN:

[obsLayer -> FC(128) -> ReLU -> FC(64) -> ReLU -> [meanFC, stdFC]]

Enhanced Actor NN (used) add new FC with 32 units followed by ReLU:

[obsLayer -> FC(128) -> ReLU -> FC(64) -> ReLU -> FC(32) -> ReLU -> [meanFC, stdFC]]

Default Critic NN used:

[obs + action -> concat -> FC(128) -> ReLU -> FC(64) -> ReLU -> FC(32) -> ReLU -> Q output]

Enhanced Critic NN (used) add new FC with 16 units followed by ReLU:

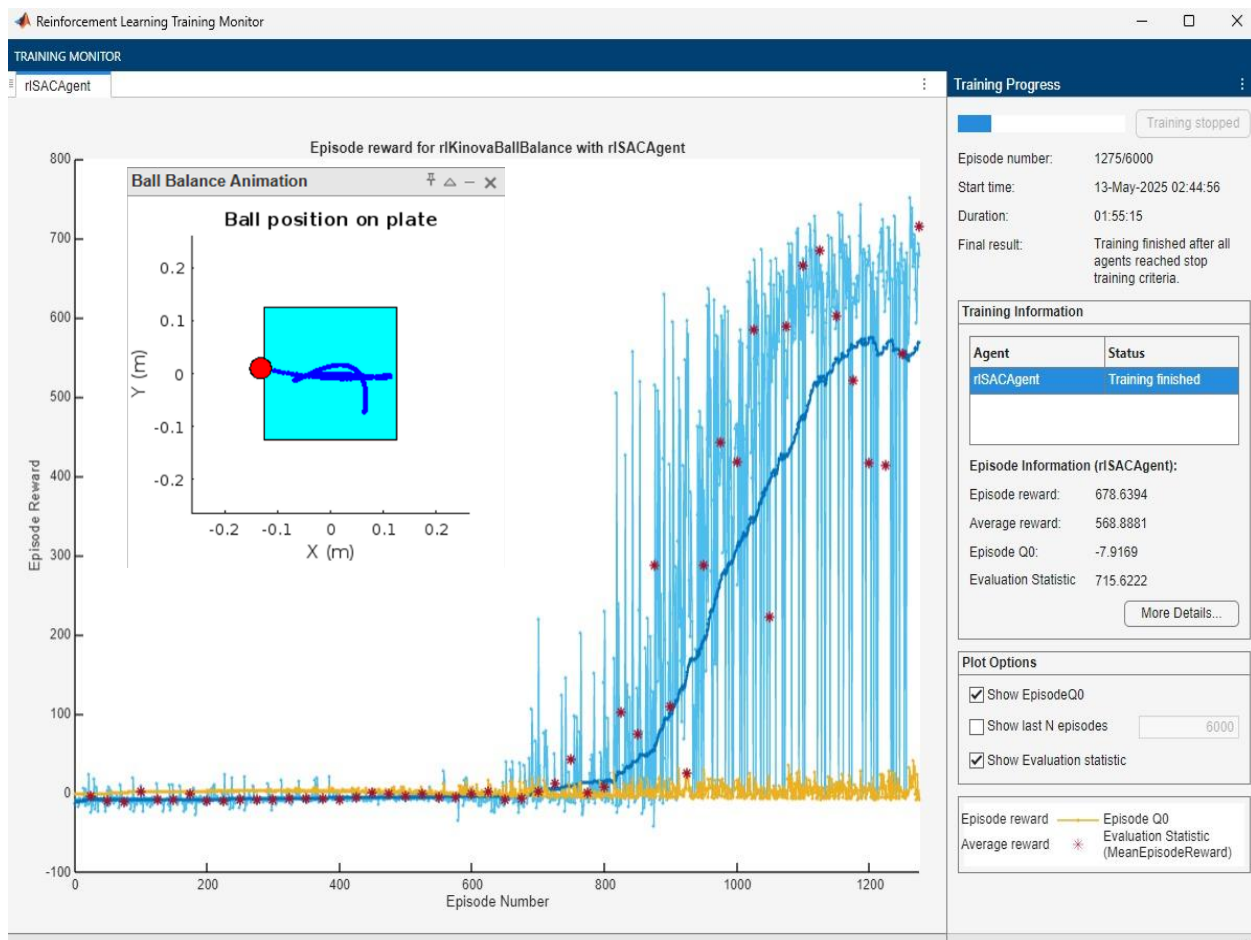
[obs + action -> concat -> FC(128) -> ReLU -> FC(64) -> ReLU -> FC(32) -> ReLU -> FC(16) -> ReLU -> Q output]

5. SAC Agent Training

Agent 1 Training: Default Reward Function + Default Network

Episode Number: 1275

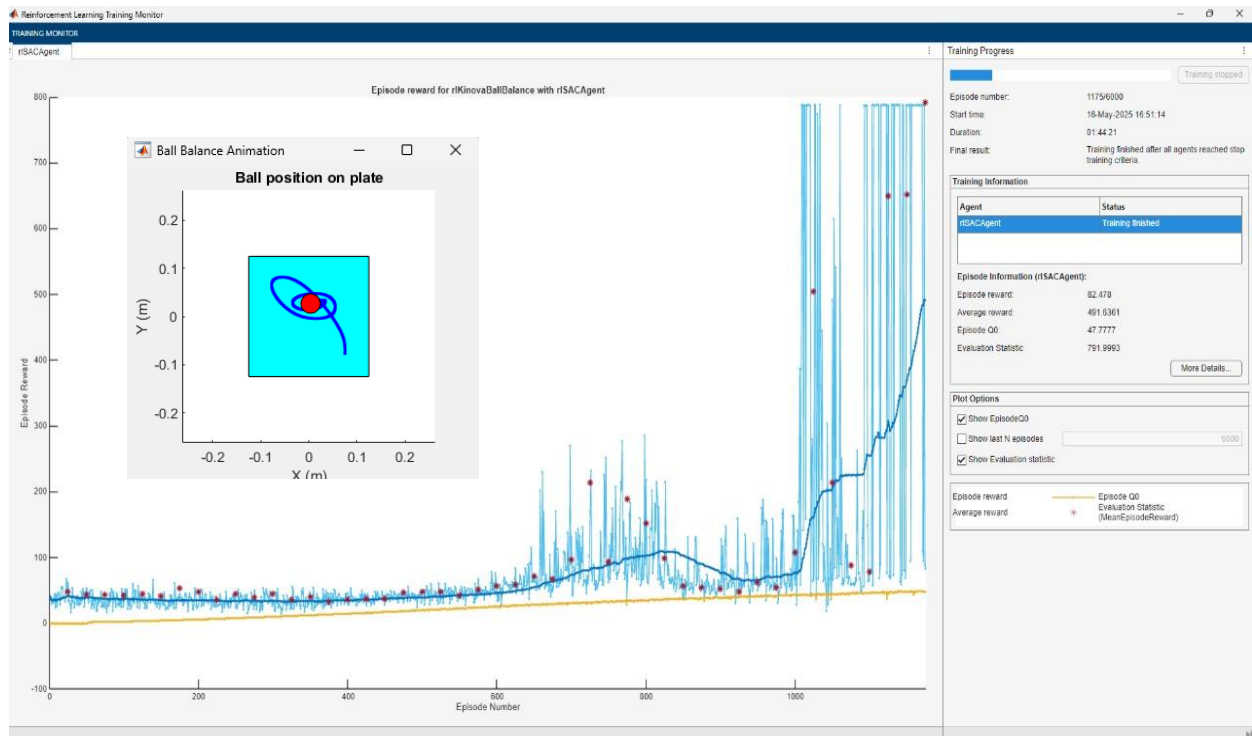
Evaluation Statistic: 715



Agent 2 Training: New Reward Function + Default Network

Episode Number: 1175

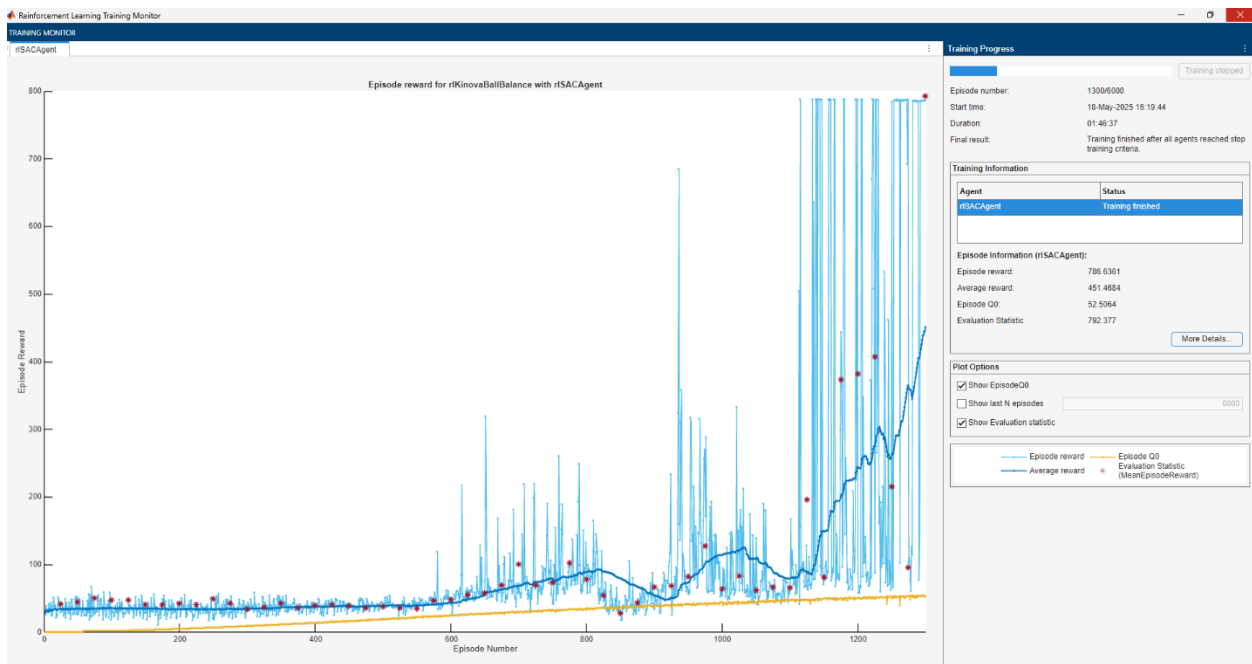
Evaluation Statistic: 791



Agent 3 Training: New

Episode Number: 1300

Evaluation Statistic: 792



6. Evaluation and Comparison

Each agent was evaluated without exploration noise. And both agents stopped training at the same evaluation threshold, but Agent 2 reached higher peak rewards in fewer episodes.

| Property | Agent 1 | Agent 2 | Agent 3 |
|-------------------|----------|----------|----------|
| Episode number | 1275 | 1175 | 1300 |
| Episode reward | 678.6394 | 878.6394 | 786.6361 |
| Total agent steps | 429,523 | 359,523 | 168,871 |
| Average reward | 568.8881 | 491.2281 | 451.4684 |
| Average steps | 863.75 | 763.75 | 573.83 |

7. MATLAB Code

```
open_system("rlKinovaBallBalance")
open_system("rlKinovaBallBalance/Kinova Ball Balance")
kinova_params
nObs = 22; % Number of dimensions in the observation space (e.g., joint angles, velocities, ball/plate state)[1]
nAct = 2; % Number of dimensions in the action space (e.g., two control signals for the agent)

% Define the observation specification as a continuous numeric vector of size [22, 1]
obsInfo = rlNumericSpec([nObs 1]);

% Define the action specification as a continuous numeric vector of size [2, 1]
actInfo = rlNumericSpec([nAct 1]);
```

```

% Set the lower and upper limits for each action dimension to -1 and 1, respectively

% This constrains the agent's actions to the range [-1, 1] for each action component[2][3]

actInfo.LowerLimit = -1;

actInfo.UpperLimit = 1;

mdl = "rlKinovaBallBalance";

blk = mdl + "/RL Agent";

env = rlSimulinkEnv(mdl,blk,obsInfo,actInfo); % Creates RL environment interface

env.ResetFcn = @kinovaResetFcn;

Ts = 0.01;

Tf = 10;

rng(0)

% Define the network paths.

observationPath = [

    featureInputLayer(nObs, Name="observation")

    concatenationLayer(1,2,Name="concat")

    fullyConnectedLayer(128)

    reluLayer

    fullyConnectedLayer(64)

    reluLayer

    fullyConnectedLayer(32)

    reluLayer

    fullyConnectedLayer(16)

    reluLayer

    fullyConnectedLayer(1, Name="QValueOutLyr")

];

actionPath = featureInputLayer(nAct,Name="action");

criticNet = dlnetwork;

criticNet = addLayers(criticNet, observationPath);

criticNet = addLayers(criticNet, actionPath);

```

```

criticNet = connectLayers(criticNet,"action","concat/in2");

plot(criticNet)

summary(initialize(criticNet))

critic1 = rlQValueFunction(initialize(criticNet),obsInfo,actInfo, ...
    ObservationInputNames="observation");

critic2 = rlQValueFunction(initialize(criticNet),obsInfo,actInfo, ...
    ObservationInputNames="observation");

% Shared path
commonPath = [
    featureInputLayer(nObs, Name="observation")
    fullyConnectedLayer(128)
    reluLayer
    fullyConnectedLayer(64)
    reluLayer
    fullyConnectedLayer(32)
    reluLayer(Name="commonPath")
];

% Mean path
meanPath = [
    fullyConnectedLayer(32, Name="meanFC")
    reluLayer
    fullyConnectedLayer(nAct, Name="actionMean")
];

% Std path
stdPath = [
    fullyConnectedLayer(nAct, Name="stdFC")
    reluLayer

```



```

    softplusLayer(Name="actionStd")
];
actorNet = dlnetwork;
actorNet = addLayers(actorNet,commonPath);
actorNet = addLayers(actorNet,meanPath);
actorNet = addLayers(actorNet,stdPath);
actorNet = connectLayers(actorNet,"commonPath","meanFC/in");
actorNet = connectLayers(actorNet,"commonPath","stdFC/in");
plot(actorNet)
actorNet = initialize(actorNet);
summary(actorNet)
actor = rlContinuousGaussianActor(actorNet, obsInfo, actInfo, ...
    ObservationInputNames="observation", ...
    ActionMeanOutputNames="actionMean", ...
    ActionStandardDeviationOutputNames="actionStd");
agentOpts = rlSACAgentOptions( ...
    SampleTime=Ts, ...
    TargetSmoothFactor=1e-3, ...
    ExperienceBufferLength=1e6, ...
    MiniBatchSize=256, ...
    NumWarmStartSteps=256*10, ...
    DiscountFactor=0.99);
agentOpts.ActorOptimizerOptions.Algorithm = "adam";
agentOpts.ActorOptimizerOptions.LearnRate = 1e-3;
agentOpts.ActorOptimizerOptions.GradientThreshold = 1;

for ct = 1:2
    agentOpts.CriticOptimizerOptions(ct).Algorithm = "adam";
    agentOpts.CriticOptimizerOptions(ct).LearnRate = 1e-3;

```

```

    agentOpts.CriticOptimizerOptions(ct).GradientThreshold = 1;
end

agent = rlSACAgent(actor,[critic1,critic2],agentOpts);

trainOpts = rlTrainingOptions(...

    MaxEpisodes=6000, ...

    MaxStepsPerEpisode=floor(Tf/Ts), ...

    ScoreAveragingWindowLength=100, ...

    Plots="training-progress", ...

    SimulationStorageType="file",...

    StopTrainingCriteria="EvaluationStatistic", ...

    StopTrainingValue=700, ...

    UseParallel=true);

if trainOpts.UseParallel

    % Disable visualization in Simscape Mechanics Explorer

    set_param mdl, SimMechanicsOpenEditorOnUpdate="off";

    set_param(mdl+"/Kinova Ball Balance/7 DOF Manipulator", ...

        "VChoice", "None");

    % Disable animation in MATLAB figure

    doViz = false;

    save_system(mdl);

else

    % Enable visualization in Simscape Mechanics Explorer

    set_param(mdl, SimMechanicsOpenEditorOnUpdate="on");

    % Enable animation in MATLAB figure

    doViz = true;

end

logger = rlDataLogger();

logger.AgentLearnFinishedFcn = @logAgentLearnData;

logger.EpisodeFinishedFcn = @(data) logEpisodeData(data, doViz);

```

```

doTraining = true;

if doTraining

    % Evaluate the performance of the greedy policy every 25 training
    % episodes, averaging the cumulative reward of 5 simulations.

    evaluator = rlEvaluater(EvaluationFrequency=25,NumEpisodes=5);

    % train

    trainResult = train(agent,env,trainOpts,Logger=logger,Evaluator=evaluator);

else

    load("kinovaBallBalanceAgent.mat")

end

userSpecifiedConditions = true;

if userSpecifiedConditions

    ball.x0 = 0.075;

    ball.y0 = -0.075;

    env.ResetFcn = [];

else

    env.ResetFcn = @kinovaResetFcn;

end

simOpts = rlSimulationOptions(MaxSteps=floor(Tf/Ts));

set_param(mdl, SimMechanicsOpenEditorOnUpdate="on");

doViz = true;

agent.UseExplorationPolicy = false;

experiences = sim(agent,env,simOpts);

function dataToLog = logAgentLearnData(data)

% This function is executed after completion

% of the agent's learning subroutine


dataToLog.ActorLoss = data.ActorLoss;

dataToLog.CriticLoss = data.CriticLoss;

```

```
end
```

```
function dataToLog = logEpisodeData(data, doViz)
```

```
% This function is executed after the completion of an episode
```

```
dataToLog.Experience = data.Experience;
```

```
% Show an animation after episode completion
```

```
if doViz
```

```
    animatedPath(data.Experience);
```

```
end
```

```
end
```