MATH 6422: Algebraic Geometry II

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Lecture 1

Jan. 13 — Overview and Review

1.1 Course Overview

Remark. This course will cover the following topics:

(i) vector bundles and line bundles in algebraic geometry;

(ii) coherent sheaves;

(iii) differentials;

(iv) sheaf cohomology: in particular, we will see that $H^k_{\mathrm{dR}}(X^{\mathrm{an}}, \mathbb{C}) = \bigoplus_{i+j=k} H^i(X, \wedge^j T_X^*))$;

(v) the Riemann-Roch theorem: if $\omega = f\,dz$ is a rational 1-form on a smooth projective curve C, then

$$(\#\text{ zeroes of }\omega) - (\#\text{ poles of }\omega) = 2\,\mathrm{genus}(C) - 2;$$

(vi) surfaces and toric varieties;

(vii) schemes: for example, $\operatorname{Spec}\mathbb{Z}$ has points corresponding to the primes p and 0.

1.2 Review of Algebraic Geometry I

Remark. Let $k = \overline{k}$ be an algebraically closed field.

Remark (Hilbert's Nullstellensatz)**.** There is a correspondence

$$\begin{aligned} \text{closed subvarieties of } \mathbb{A}^n &\longleftrightarrow \text{radical ideals in } k[x_1, \dots, x_n] \\ Z &\longmapsto I(Z) \\ V(J) &\longleftarrow J. \end{aligned}$$

Under this correspondence, Z being irreducible (resp. a point) corresponds to $I(Z)$ being prime (resp. maximal).

Remark (Zariski topology on $\mathbb{A}^n$)**.** The closed sets in $\mathbb{A}^n$ are of the form $V(J)$, and this induces a Zarisiki topology on any subset of $\mathbb{A}^n$.

Remark (Embedded affine varieties)**.** Let $J \leq k[x_1, \dots, x_n]$. Then we can associate to J a ringed space $(X, \mathcal{O}_X)$ by setting $X := V(J) \subseteq \mathbb{A}^n$ with the Zariski topology, and $\mathcal{O}_X$ to be the sheaf of regular functions on X, i.e. for $U \subseteq X$ open, we have

$$\mathcal{O}_X(U) := \{\varphi : U \to k \mid \varphi \text{ is regular}\}.$$

Here, $\varphi : U \to k$ is *regular* if for each $p \in U$, there exists an open set $p \in U_p \subseteq U$ and $f, g \in k[x_1, \dots, x_n]$ such that $\varphi(x) = f(x)/g(x)$ for all $x \in U_p$.

Remark (Coordinate ring)**.** The *coordinate ring* of X is

$$A(X) := \mathcal{O}_X(X) \cong k[x_1, \dots, x_n]/I(X).$$

We also get a version of Hilbert's Nullstellensatz for $A(X)$:

$$\text{closed subsets of } X \longleftrightarrow \text{radical ideals of } A(X).$$

Remark (Distinguished open sets)**.** The *distinguished open sets* of X are

$$D(f) := \{x \in X : f(x) \neq 0\} = X \setminus V(f).$$

These for a basis for X as we vary $f \in A(X)$.

Definition 1.1. An *affine variety* is a ringed space $(X, \mathcal{O}_X)$ (here $\mathcal{O}_X$ is a sheaf of k-valued functions) which is isomorphic to an embedded affine variety.

Example 1.1.1. If $(X, \mathcal{O}_X)$ is an affine variety and $f \in \mathcal{O}_X(X)$, then

$$(D(f), \mathcal{O}_X|_{D(f)})$$

is again an affine variety. To see this, we may assume that $X = V(J) \subseteq \mathbb{A}^n$ with $J \leq k[x_1, \dots, x_n]$ a radical ideal. Now we can define a map

$$\begin{aligned} D(f) &\longrightarrow V(J, fy - 1) \subseteq \mathbb{A}^n_{x_i} \times \mathbb{A}^1_y \\ x &\longmapsto (x, 1/f(x)), \end{aligned}$$

which one can check is an isomorphism. Now that this also shows

$$\mathcal{O}_X(D(f)) = A(D(f)) \cong \frac{k[x_1, \dots, x_n, y]}{(J, fy - 1)} \cong \frac{(k[x_1, \dots, x_n]/J)[y]}{(\overline{f}y - 1)} \cong A(X)_f.$$

Theorem 1.1. *There is an equivalence of categories*

$$\begin{aligned} \Phi : \text{Aff-var} &\longrightarrow \text{Red-f.g.-}k\text{-alg}^{\text{op}} \\ (X, \mathcal{O}_X) &\longmapsto A(X). \end{aligned}$$

This implies the following:

1. *There is a bijection*

$$\begin{aligned} \operatorname{Hom}_{\text{aff-var}}(X, Y) &\longrightarrow \operatorname{Hom}_{k\text{-alg}}(A(Y), A(X)) \\ f &\longmapsto f^*. \end{aligned}$$

2. *For any reduced finitely generated k-algebra A, there exists an affine variety with $A \cong A(X)$.*

Remark. How can we explicitly define the inverse functor Red-f.g.-k-alg$^{\text{op}} \to$ Aff-var? We can define this as $A \mapsto (X, \mathcal{O}_X)$, where X is the set of maximal ideals of A. Think about what $\mathcal{O}_X$ should be.

Remark (Varieties). A *variety* $(X, \mathcal{O}_X)$ is a ringed space such that

- there exists a finite open cover of X by affine varieties,
- the diagonal Δ_X is closed in $X \times X$.

Example 1.1.2. The following are examples of varieties:

- affine varieties,
- open or closed subsets of varieties,
- $\mathbb{P}^n = (\mathbb{A}^{n+1} \setminus \{0\})/k^\times$.

Remark (Projective spaces). Recall that $\mathbb{P}^n$ has an open cover by

$$U_i = \{[x] \in \mathbb{P}^n : x_i \neq 0\} \cong \mathbb{A}^n.$$

A basis for $\mathbb{P}^n$ by distinguished open sets is given by

$$D(f) = \{[x] \in \mathbb{P}^n : f(x) \neq 0\}$$

with $f \in k[x_0, \ldots, x_n]$ homogeneous.

1.3 Vector and Line Bundles

Definition 1.2. Let X be a variety. A *vector bundle (of rank m)* on X is a variety $\mathbb{E}$ with a morphism $p : \mathbb{E} \to X$ such that

1. $\mathbb{E}_x = p^{-1}(X)$ has the structure of a rank m vector space for every $x \in X$ (i.e. k^m),
2. for every $x \in X$, there exists an open neighborhood $x \in U \subseteq X$ and an isomorphism $p^{-1}(U) \to U \times \mathbb{A}^m$ such that for any $y \in U$, the map $\mathbb{E}_y \to \{y\} \times \mathbb{A}^m$ is an isomorphism of vector spaces, i.e.

$$\begin{tikzcd} p^{-1}(U) \arrow[rr, "\phi_U"] \arrow[dr, "p"'] & & U \times \mathbb{A}^m \arrow[dl, "\text{proj}"] \\ & U & \end{tikzcd}$$

commutes. We will call the map ϕ_U a *trivialization.*

Definition 1.3. A *line bundle* on X is a rank 1 vector bundle.

Remark. A different way to think about this is the following:

1. Given two trivializations $\phi_U : p^{-1}(U) \to U \times \mathbb{A}^m$ and $\phi_V : p^{-1}(V) \to V \times \mathbb{A}^m$, we get a morphism

$$\begin{tikzcd} (U \cap V) \times \mathbb{A}^m \arrow[rr, "\phi_{U,V}"] \arrow[dr, "\phi_V^{-1}"'] & & (U \cap V) \times \mathbb{A}^m \\ & p^{-1}(U \cap V) \arrow[ur, "\phi_U"'] & \end{tikzcd}$$

with $\phi_{U,V} = \phi_U \circ \phi_V^{-1}$. Observe $\phi_{U,V}(x, v) = (x, g_{U,V}(x)v)$ for some $g_{U,V}(x) \in \mathrm{GL}(m, k)$. Furthermore, $g_{U,V} : U \cap V \to \mathrm{GL}(m, k)$ is a morphism. We will call the $g_{U,V}$ *transition functions.*

In the special case where $m = 1$ (so $\mathbb{E}$ is a line bundle and $\mathrm{GL}(1, k) = k^\times$), the map $g_{U,V} : U \cap V \to \mathrm{GL}(m, k)$ is equivalent to the data of a non-vanishing regular function $g_{U,V} : U \cap V \to k$.

2. The data of a vector bundle of rank m is equivalent to the data of
   - an open cover $X = \bigcup_{i \in I} U_i$,
   - and morphisms $g_{i,j} : U_i \cap U_j \to \mathrm{GL}(m, k)$

   such that $g_{i,k} = g_{i,j} g_{j,k}$, $g_{i,j} = g_{j,i}^{-1}$, and $g_{i,i} = \mathrm{id}$.

   To recover the vector bundle, we can glue $\mathbb{E}_i = U_i \times \mathbb{A}^m$ for $i \in I$ via

$$\begin{aligned} \mathbb{E}_{i,j} = (U_i \cap U_j) \times \mathbb{A}^m &\longrightarrow \mathbb{E}_{j,i} = (U_j \cap U_i) \times \mathbb{A}^m \\ (x, v) &\longmapsto (x, g_{i,j}(x)v). \end{aligned}$$

   One can check that this defines a vector bundle $\mathbb{E}$ on X.

Example 1.3.1 (Trivial vector bundle)**.** Define the vector bundle $\mathbb{E} : X \times \mathbb{A}^m \to X$ by $(x, v) \to x$. Given a cover $X = \bigcup_{i \in I} U_i$, we get $g_{i,j} : U_i \cap U_j \to \mathrm{GL}(m, k)$ as $x \mapsto I_m$.

Example 1.3.2 (Trivial line bundle)**.** We will denote the trivial line bundle by $\mathbb{1}_X : X \times \mathbb{A}^1 \to X$.

Lecture 2

Jan. 15 — Vector and Line Bundles

2.1 Vector and Line Bundles, Continued

Example 2.0.1 (Tautological bundle)**.** Let $X = \mathbb{P}^n$ and $\mathbb{L} = \{(\ell, x) \in \mathbb{P}^n \times \mathbb{A}^{n+1} : x \in \ell\}$. Consider

$$\begin{tikzcd} & \mathbb{L} \arrow[dl, "p"'] \arrow[dr, "q"] & \\ \mathbb{P}^n & & \mathbb{A}^{n+1} \end{tikzcd}$$

The map $q : \mathbb{L} \to \mathbb{A}^{n+1}$ is the blowup. We claim that $p : \mathbb{L} \to \mathbb{P}^n$ is a line bundle. We have:

- $p^{-1}([x]) = \{([x], cx) : c \in k\} \cong kx$, a 1-dimensional vector space;
- let $U_i = \{[x] \in \mathbb{P}^n : x_i \neq 0\}$, then we can define

$$\begin{aligned} p^{-1}(U_i) &\longrightarrow U_i \times \mathbb{A}^1 \\ ([x], y) &\longmapsto ([x], y_i), \end{aligned}$$

  which we claim is a trivialization. To see this, observe that for fixed $[x] \in \mathbb{P}^n$, we have

$$\begin{aligned} \mathbb{L}_{[x]} = \{([x], cx) : c \in k\} &\longrightarrow \{[x]\} \times \mathbb{A}^1 \\ ([x], cx) &\longmapsto ([x], cx_i), \end{aligned}$$

  which is a vector space isomorphism.

We can also compute the transitions functions. Let $U_{i,j} = U_i \cap U_j$. We have

$$\begin{tikzcd} U_{i,j} \times \mathbb{A}^1 \arrow[r, "\phi_j^{-1}"'] \arrow[rr, bend left, "\phi_{i,j}"] & p^{-1}(U_{i,j}) \arrow[r, "\phi_i"'] & U_{i,j} \times \mathbb{A}^1 \end{tikzcd}$$

$$([x], t) \longmapsto ([x], (tx_0/x_j, \dots, tx_n/x_j)) \longmapsto ([x], tx_i/x_j).$$

Thus we see that $g_{i,j} = x_i/x_j$. This is called the *tautological bundle*, or $\mathcal{O}_{\mathbb{P}^n}(-1)$.

Example 2.0.2 (Hyperplane bundle, or $\mathcal{O}_{\mathbb{P}^n}(1)$)**.** Consider

$$\begin{aligned} \mathbb{L} := \mathbb{P}^{n+1} \setminus \{[0 : \cdots : 0 : 1]\} &\longrightarrow \mathbb{P}^n \\ [x_0 : \cdots : x_n : x_{n+1}] &\longmapsto [x_0 : \cdots : x_n]. \end{aligned}$$

Then $\mathbb{L}$ is a line bundle with transition functions with respect to $\{U_i\}$ given by $g_{i,j} = x_j/x_i$ (HW).

2.2 Operations on Vector Bundles

Remark. The philosophy is: Every natural operation of vector spaces gives one for vector bundles.

Example 2.0.3 (Direct sum)**.** Let $p : \mathbb{E} \to X$ and $q : \mathbb{F} \to X$ be vector bundles of rank e and f on X, respectively. There exists trivializations with respect to a common open cover $\{U_i\}$ (just take intersections) with transition functions $g_{i,j}$ and $h_{i,j}$ for $\mathbb{E}$ and $\mathbb{F}$, respectively.

Then we define the vector bundle $\mathbb{E} \oplus \mathbb{F} \longrightarrow X$ as follows:

- As a set, it is $r : \mathbb{E} \oplus \mathbb{F} = \{(x, u, v) : (x, u) \in \mathbb{E}, (x, v) \in \mathbb{F}\} \to X$.
- We give $\mathbb{E} \oplus \mathbb{F}$ the structure of a variety by requiring that

$$\begin{aligned} r^{-1}(U_i) &\longrightarrow U_i \times \mathbb{A}^{e+f} \\ (x, u, v) &\longmapsto (x, \mathrm{pr}_2(\phi_i^E(x, u)), \mathrm{pr}_2(\phi_i^F(x, v))) \end{aligned}$$

  be an isomorphism, where ϕ_i^E and ϕ_i^F are the trivializations of $\mathbb{E}$ and $\mathbb{F}$, and pr_2 is the second projection. This gives a variety structure on $r^{-1}(U_i)$, and one can show that these are consistent on $U_{i,j}$, so that this gives a variety structure on all of $\mathbb{E} \oplus \mathbb{F}$.

Note that the transition functions for $\mathbb{E} \oplus \mathbb{F}$ with respect to $\{U_i\}$ are given by the block matrix

$$\begin{bmatrix} g_{i,j} & 0 \\ 0 & h_{i,j} \end{bmatrix} : U_{i,j} \longrightarrow \mathrm{GL}(e + f, k).$$

Example 2.0.4. Let $\mathbb{E}$ and $\mathbb{F}$ be vector bundles on X of ranks e and f, respectively. Then the following are also vector bundles on X:

1. $\mathrm{Hom}(\mathbb{E}, \mathbb{F})$, of rank ef;
2. $\mathbb{E}^\vee = \mathrm{Hom}(\mathbb{E}, \mathbb{1}_X)$, of rank e;
3. $\mathbb{E} \otimes \mathbb{F}$, of rank ef;
4. $\wedge^k\mathbb{E}$ and $\mathrm{Sym}^d\mathbb{E}$.

Remark. Let $\mathbb{L}, \mathbb{M}$ be line bundles on X with trivializations on $\{U_i\}$ and transition functions $g_{i,j}, h_{i,j} \in \mathcal{O}_X(U_{i,j})^\times$. In this case, we can describe operations on $\mathbb{L}, \mathbb{M}$ more explicitly:

1. $\mathbb{L} \otimes \mathbb{M}$ has transition functions $g_{i,j}h_{i,j}$;
2. $\mathrm{Hom}(\mathbb{L}, \mathbb{M})$ has transition functions $h_{i,j}/g_{i,j}$;
3. $\mathbb{L}^\vee = \mathrm{Hom}(\mathbb{L}, \mathbb{1}_X)$ has transition functions $1/g_{i,j}$;
4. $\mathbb{L}^{\otimes m} = \begin{cases} \mathbb{L}^{\otimes m}, & \text{if } m > 0, \\ \mathbb{1}_X, & \text{if } m = 0, \\ (\mathbb{L}^\vee)^{\otimes -m}, & \text{if } m < 0 \end{cases}$ has transition functions $g_{i,j}^m$.

Example 2.0.5. Define $\mathcal{O}_{\mathbb{P}^n}(m) := \mathcal{O}_{\mathbb{P}^n}(1)^{\otimes m}$ with transition functions $(x_j/x_i)^m$ with respect to the standard open cover for $\mathbb{P}^n$.

2.3 Morphisms of Vector Bundles

Remark. Let $p : \mathbb{E} \to X$ and $q : \mathbb{F} \to X$ be vector bundles on X, as before.

Definition 2.1. A *morphism of vector bundles* $\mathbb{E} \to \mathbb{F}$ is a morphism of varieties

$$\begin{tikzcd} \mathbb{E} \arrow[rr, "a"] \arrow[dr, "p"'] & & \mathbb{F} \arrow[dl, "q"] \\ & X & \end{tikzcd}$$

such that the diagram commutes and a is linear on each fiber.

Remark. More concretely, given an open cover $\{U_i\}$ which trivializes both vector bundles, we have

$$\begin{tikzcd} p^{-1}(U_i) \arrow[r, "a"] \arrow[d, "\phi_i"', "\cong"] & q^{-1}(U_i) \arrow[d, "\cong"', "\psi_j"] \\ U_i \times \mathbb{A}^e \arrow[r] & U_i \times \mathbb{A}^f \end{tikzcd}$$

$$(x, v) \longmapsto (x, a_i(x)v)$$

such that $a_i : U_i \to \mathrm{Hom}(k^e, k^f)$ is regular. On $U_{i,j}$, we have

$$\begin{tikzcd} U_{i,j} \times \mathbb{A}^e \arrow[r, "a_j"] \arrow[d, "g_{i,j}"'] & U_{i,j} \times \mathbb{A}^f \arrow[d, "h_{i,j}"] \\ U_{i,j} \times \mathbb{A}^e \arrow[r, "a_i"'] & U_{i,j} \times \mathbb{A}^f \end{tikzcd}$$

So $h_{i,j}a_j = a_i g_{i,j}$, or equivalently, $a_i = h_{i,j}a_j g_{i,j}^{-1}$.

As a special case when $e = f$, $a : \mathbb{E} \to \mathbb{F}$ is an isomorphism if and only if the a_i are isomorphisms.

Remark. When is a line bundle $\mathbb{L}$ given by the trivialization data $\{U_i, g_{i,j}\}$ isomorphic to $\mathbb{1}_X$? We have

$$\begin{aligned} \mathbb{L} \cong \mathbb{1}_X &\iff \text{if and only if there exists an isomorphism } a : \mathbb{1}_X \to \mathbb{L} \\ &\iff \text{there exist } a_i \in \mathcal{O}_X(U_i)^\times \text{ such that } (a_j/a_i)|_{U_{i,j}} = g_{i,j}. \end{aligned}$$

Definition 2.2. Define the *Picard group* of X to be

$$\operatorname{Pic} X := \{\text{line bundles on } X\}/\cong.$$

This is a group with respect to $\otimes$ with $\mathbb{1}_X$ as the identity and $\mathbb{L}^\vee \otimes \mathbb{L} \cong \mathbb{1}_X$.

2.4 Global Sections

Definition 2.3. A *(global) section* of a vector bundle $p : \mathbb{E} \to X$ is a morphism $s : X \to \mathbb{E}$ such that $p \circ s = \mathrm{id}_X$. Note that for $x \in X$, we have $s(x) \in \mathbb{E}_x$.

Example 2.3.1 (Zero section)**.** Let $s : X \to \mathbb{E}$ where $s(x)$ is the zero element in $\mathbb{E}_x$.

Example 2.3.2. Let $\mathbb{E} = \mathbb{1}_X$. Then sections $s : X \to X \times \mathbb{A}^1$ of $\mathbb{E}$ correspond to morphisms $X \to \mathbb{A}^1$, which correspond to regular functions $X \to k$.

Remark (Local description of sections)**.** Let $\{U_i, g_{i,j}\}$ be the trivialization data for $\mathbb{E} \to X$, and let $s : X \to \mathbb{E}$ be a section. On U_i, we have:

$$\begin{tikzcd}
 & U_i \times \mathbb{A}^e \\
U_i \arrow[ur, "x \mapsto (x, s_i(x))"] \arrow[r, "s|_{U_i}"'] & p^{-1}(U_i) \arrow[u, "\phi_i"', "\cong"]
\end{tikzcd}$$

Note that $s_i : U_i \to k^e$ is a regular function (i.e. regular on each coordinate). These maps must satisfy the compatibility condition $s_i = g_{i,j} s_j$.

Example 2.3.3. We can use the above compatibility condition to compute the global sections of $\mathcal{O}_{\mathbb{P}^1}(1)$. Write $\mathbb{P}^1_{x_0:x_1} = U_0 \cup U_1$. Given a section $s : \mathbb{P}^1 \to \mathcal{O}(1)$, we get regular functions

$$s_0 : U_0 \longrightarrow k$$
$$s_1 : U_1 \longrightarrow k$$

satisfying $(x_1/x_0)s_1 = s_0$ $(*)$. We can write

$$s_0 = \sum_{m \geq 0} a_m (x_1/x_0)^m \quad \text{and} \quad s_1 = \sum_{m \geq 0} b_m (x_0/x_1)^m$$

with $a_m, b_m \in k$ (finitely many nonzero). Then $(*)$ implies that

$$a_0 + a_1(x_1/x_0) + \cdots = (x_1/x_0)(b_0 + b_1(x_0/x_1) + \cdots),$$

so $a_0 = b_1$, $a_1 = b_0$, and all other terms are 0. So we can relate s to a linear form

$$f = c_0 x_0 + c_1 x_1,$$

where $s_0 = (x_1/x_0)f$ and $s_1 = (x_0/x_1)f$.