

# MATH 6422: Algebraic Geometry II

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# Lecture 1

## Jan. 13 — Overview and Review

### 1.1 Course Overview

**Remark.** This course will cover the following topics:

- (i) vector bundles and line bundles in algebraic geometry;
- (ii) coherent sheaves;
- (iii) differentials;
- (iv) sheaf cohomology: in particular, we will see that  $H_{\text{dR}}^k(X^{\text{an}}, \mathbb{C}) = \bigoplus_{i+j=k} H^i(X, \wedge^j T_X^*)$ ;
- (v) the Riemann-Roch theorem: if  $\omega = f dz$  is a rational 1-form on a smooth projective curve  $C$ , then

$$(\# \text{ zeroes of } \omega) - (\# \text{ poles of } \omega) = 2 \text{ genus}(C) - 2;$$

- (vi) surfaces and toric varieties;
- (vii) schemes: for example,  $\text{Spec } \mathbb{Z}$  has points corresponding to the primes  $p$  and 0.

### 1.2 Review of Algebraic Geometry I

**Remark.** Let  $k = \bar{k}$  be an algebraically closed field.

**Remark** (Hilbert's Nullstellensatz). There is a correspondence

$$\begin{aligned} \text{closed subvarieties of } \mathbb{A}^n &\longleftrightarrow \text{radical ideals in } k[x_1, \dots, x_n] \\ Z &\longmapsto I(Z) \\ V(J) &\longleftarrow J. \end{aligned}$$

Under this correspondence,  $Z$  being irreducible (resp. a point) corresponds to  $I(Z)$  being prime (resp. maximal).

**Remark** (Zariski topology on  $\mathbb{A}^n$ ). The closed sets in  $\mathbb{A}^n$  are of the form  $V(J)$ , and this induces a Zariski topology on any subset of  $\mathbb{A}^n$ .

**Remark** (Embedded affine varieties). Let  $J \subseteq k[x_1, \dots, x_n]$ . Then we can associate to  $J$  a ringed space  $(X, \mathcal{O}_X)$  by setting  $X := V(J) \subseteq \mathbb{A}^n$  with the Zariski topology, and  $\mathcal{O}_X$  to be the sheaf of regular functions on  $X$ , i.e. for  $U \subseteq X$  open, we have

$$\mathcal{O}_X(U) := \{\varphi : U \rightarrow k \mid \varphi \text{ is regular}\}.$$

Here,  $\varphi : U \rightarrow k$  is *regular* if for each  $p \in U$ , there exists an open set  $p \in U_p \subseteq U$  and  $f, g \in k[x_1, \dots, x_n]$  such that  $\varphi(x) = f(x)/g(x)$  for all  $x \in U_p$ .

**Remark** (Coordinate ring). The *coordinate ring* of  $X$  is

$$A(X) := \mathcal{O}_X(X) \cong k[x_1, \dots, x_n]/I(X).$$

We also get a version of Hilbert's Nullstellensatz for  $A(X)$ :

$$\text{closed subsets of } X \longleftrightarrow \text{radical ideals of } A(X).$$

**Remark** (Distinguished open sets). The *distinguished open sets* of  $X$  are

$$D(f) := \{x \in X : f(x) \neq 0\} = X \setminus V(f).$$

These form a basis for  $X$  as we vary  $f \in A(X)$ .

**Definition 1.1.** An *affine variety* is a ringed space  $(X, \mathcal{O}_X)$  (here  $\mathcal{O}_X$  is a sheaf of  $k$ -valued functions) which is isomorphic to an embedded affine variety.

**Example 1.1.1.** If  $(X, \mathcal{O}_X)$  is an affine variety and  $f \in \mathcal{O}_X(X)$ , then

$$(D(f), \mathcal{O}_X|_{D(f)})$$

is again an affine variety. To see this, we may assume that  $X = V(J) \subseteq \mathbb{A}^n$  with  $J \leq k[x_1, \dots, x_n]$  a radical ideal. Now we can define a map

$$\begin{aligned} D(f) &\longrightarrow V(J, fy - 1) \subseteq \mathbb{A}_{x_i}^n \times \mathbb{A}_y^1 \\ x &\longmapsto (x, 1/f(x)), \end{aligned}$$

which one can check is an isomorphism. Now that this also shows

$$\mathcal{O}_X(D(f)) = A(D(f)) \cong \frac{k[x_1, \dots, x_n, y]}{(J, fy - 1)} \cong \frac{(k[x_1, \dots, x_n]/J)[y]}{(\bar{f}y - 1)} \cong A(X)_f.$$

**Theorem 1.1.** *There is an equivalence of categories*

$$\begin{aligned} \Phi : \text{Aff-var} &\longrightarrow \text{Red-f.g.-}k\text{-alg}^{\text{op}} \\ (X, \mathcal{O}_X) &\longmapsto A(X). \end{aligned}$$

This implies the following:

1. There is a bijection

$$\begin{aligned} \text{Hom}_{\text{aff-var}}(X, Y) &\longrightarrow \text{Hom}_{k\text{-alg}}(A(Y), A(X)) \\ f &\longmapsto f^*. \end{aligned}$$

2. For any reduced finitely generated  $k$ -algebra  $A$ , there exists an affine variety with  $A \cong A(X)$ .

**Remark.** How can we explicitly define the inverse functor  $\text{Red-f.g.-}k\text{-alg}^{\text{op}} \rightarrow \text{Aff-var}$ ? We can define this as  $A \mapsto (X, \mathcal{O}_X)$ , where  $X$  is the set of maximal ideals of  $A$ . Think about what  $\mathcal{O}_X$  should be.

**Remark** (Varieties). A *variety*  $(X, \mathcal{O}_X)$  is a ringed space such that

- there exists a finite open cover of  $X$  by affine varieties,
- the diagonal  $\Delta_X$  is closed in  $X \times X$ .

**Example 1.1.2.** The following are examples of varieties:

- affine varieties,
- open or closed subsets of varieties,
- $\mathbb{P}^n = (\mathbb{A}^{n+1} \setminus \{0\})/k^\times$ .

**Remark** (Projective spaces). Recall that  $\mathbb{P}^n$  has an open cover by

$$U_i = \{[x] \in \mathbb{P}^n : x_i \neq 0\} \cong \mathbb{A}^n.$$

A basis for  $\mathbb{P}^n$  by distinguished open sets is given by

$$D(f) = \{[x] \in \mathbb{P}^n : f(x) \neq 0\}$$

with  $f \in k[x_0, \dots, x_n]$  homogeneous.

### 1.3 Vector and Line Bundles

**Definition 1.2.** Let  $X$  be a variety. A *vector bundle (of rank  $m$ )* on  $X$  is a variety  $\mathbb{E}$  with a morphism  $p : \mathbb{E} \rightarrow X$  such that

1.  $\mathbb{E}_x = p^{-1}(x)$  has the structure of a rank  $m$  vector space for every  $x \in X$  (i.e.  $k^m$ ),
2. for every  $x \in X$ , there exists an open neighborhood  $x \in U \subseteq X$  and an isomorphism  $p^{-1}(U) \rightarrow U \times \mathbb{A}^m$  such that for any  $y \in U$ , the map  $\mathbb{E}_y \rightarrow \{y\} \times \mathbb{A}^m$  is an isomorphism of vector spaces, i.e.

$$\begin{array}{ccc} p^{-1}(U) & \xrightarrow{\phi_U} & U \times \mathbb{A}^m \\ & \searrow p \quad \swarrow \text{proj} & \\ & U & \end{array}$$

commutes. We will call the map  $\phi_U$  a *trivialization*.

**Definition 1.3.** A *line bundle* on  $X$  is a rank 1 vector bundle.

**Remark.** A different way to think about this is the following:

1. Given two trivializations  $\phi_U : p^{-1}(U) \rightarrow U \times \mathbb{A}^m$  and  $\phi_V : p^{-1}(V) \rightarrow V \times \mathbb{A}^m$ , we get a morphism

$$\begin{array}{ccc} (U \cap V) \times \mathbb{A}^m & \xrightarrow{\phi_{U,V}} & (U \cap V) \times \mathbb{A}^m \\ & \searrow \phi_V^{-1} \quad \swarrow \phi_U & \\ & p^{-1}(U \cap V) & \end{array}$$

with  $\phi_{U,V} = \phi_U \circ \phi_V^{-1}$ . Observe  $\phi_{U,V}(x, v) = (x, g_{U,V}(x)v)$  for some  $g_{U,V}(x) \in \mathrm{GL}(m, k)$ . Furthermore,  $g_{U,V} : U \cap V \rightarrow \mathrm{GL}(m, k)$  is a morphism. We will call the  $g_{U,V}$  *transition functions*.

In the special case where  $m = 1$  (so  $\mathbb{E}$  is a line bundle and  $\mathrm{GL}(1, k) = k^\times$ ), the map  $g_{U,V} : U \cap V \rightarrow \mathrm{GL}(m, k)$  is equivalent to the data of a non-vanishing regular function  $g_{U,V} : U \cap V \rightarrow k$ .

2. The data of a vector bundle of rank  $m$  is equivalent to the data of

- an open cover  $X = \bigcup_{i \in I} U_i$ ,
- and morphisms  $g_{i,j} : U_i \cap U_j \rightarrow \mathrm{GL}(m, k)$

such that  $g_{i,k} = g_{i,j}g_{j,k}$ ,  $g_{i,j} = g_{j,i}^{-1}$ , and  $g_{i,i} = \mathrm{id}$ .

To recover the vector bundle, we can glue  $\mathbb{E}_i = U_i \times \mathbb{A}^m$  for  $i \in I$  via

$$\begin{aligned}\mathbb{E}_{i,j} &= (U_i \cap U_j) \times \mathbb{A}^m \longrightarrow \mathbb{E}_{j,i} = (U_j \cap U_i) \times \mathbb{A}^m \\ (x, v) &\longmapsto (x, g_{i,j}(x)v).\end{aligned}$$

One can check that this defines a vector bundle  $\mathbb{E}$  on  $X$ .

**Example 1.3.1** (Trivial vector bundle). Define the vector bundle  $\mathbb{E} : X \times \mathbb{A}^m \rightarrow X$  by  $(x, v) \rightarrow x$ . Given a cover  $X = \bigcup_{i \in I} U_i$ , we get  $g_{i,j} : U_i \cap U_j \rightarrow \mathrm{GL}(m, k)$  as  $x \mapsto I_m$ .

**Example 1.3.2** (Trivial line bundle). We will denote the trivial line bundle by  $\mathbb{1}_X : X \times \mathbb{A}^1 \rightarrow X$ .

# Lecture 2

## Jan. 15 — Vector and Line Bundles

### 2.1 Vector and Line Bundles, Continued

**Example 2.0.1** (Tautological bundle). Let  $X = \mathbb{P}^n$  and  $\mathbb{L} = \{(\ell, x) \in \mathbb{P}^n \times \mathbb{A}^{n+1} : x \in \ell\}$ . Consider

$$\begin{array}{ccc} & \mathbb{L} & \\ p \swarrow & & \searrow q \\ \mathbb{P}^n & & \mathbb{A}^{n+1} \end{array}$$

The map  $q : \mathbb{L} \rightarrow \mathbb{A}^{n+1}$  is the blowup. We claim that  $p : \mathbb{L} \rightarrow \mathbb{P}^n$  is a line bundle. We have:

- $p^{-1}([x]) = \{([x], cx) : c \in k\} \cong kx$ , a 1-dimensional vector space;
- let  $U_i = \{[x] \in \mathbb{P}^n : x_i \neq 0\}$ , then we can define

$$\begin{aligned} p^{-1}(U_i) &\longrightarrow U_i \times \mathbb{A}^1 \\ ([x], y) &\longmapsto ([x], y_i), \end{aligned}$$

which we claim is a trivialization. To see this, observe that for fixed  $[x] \in \mathbb{P}^n$ , we have

$$\begin{aligned} \mathbb{L}_{[x]} &= \{([x], cx) : c \in k\} \longrightarrow \{[x]\} \times \mathbb{A}^1 \\ ([x], cx) &\longmapsto ([x], cx_i), \end{aligned}$$

which is a vector space isomorphism.

We can also compute the transition functions. Let  $U_{i,j} = U_i \cap U_j$ . We have

$$\begin{array}{ccccc} U_{i,j} \times \mathbb{A}^1 & \xrightarrow{\phi_j^{-1}} & p^{-1}(U_{i,j}) & \xrightarrow{\phi_i} & U_{i,j} \times \mathbb{A}^1 \\ & \nearrow \phi_{i,j} & & & \\ & & & & \\ ([x], t) & \longmapsto & ([x], (tx_0/x_j, \dots, tx_n/x_j)) & \longmapsto & ([x], tx_i/x_j). \end{array}$$

Thus we see that  $g_{i,j} = x_i/x_j$ . This is called the *tautological bundle*, or  $\mathcal{O}_{\mathbb{P}^n}(-1)$ .

**Example 2.0.2** (Hyperplane bundle, or  $\mathcal{O}_{\mathbb{P}^n}(1)$ ). Consider

$$\begin{aligned} \mathbb{L} := \mathbb{P}^{n+1} \setminus \{[0 : \dots : 0 : 1]\} &\longrightarrow \mathbb{P}^n \\ [x_0 : \dots : x_n : x_{n+1}] &\longmapsto [x_0 : \dots : x_n]. \end{aligned}$$

Then  $\mathbb{L}$  is a line bundle with transition functions with respect to  $\{U_i\}$  given by  $g_{i,j} = x_j/x_i$  (HW).

## 2.2 Operations on Vector Bundles

**Remark.** The philosophy is: Every natural operation of vector spaces gives one for vector bundles.

**Example 2.0.3** (Direct sum). Let  $p : \mathbb{E} \rightarrow X$  and  $q : \mathbb{F} \rightarrow X$  be vector bundles of rank  $e$  and  $f$  on  $X$ , respectively. There exists trivializations with respect to a common open cover  $\{U_i\}$  (just take intersections) with transition functions  $g_{i,j}$  and  $h_{i,j}$  for  $\mathbb{E}$  and  $\mathbb{F}$ , respectively.

Then we define the vector bundle  $\mathbb{E} \oplus \mathbb{F} \rightarrow X$  as follows:

- As a set, it is  $r : \mathbb{E} \oplus \mathbb{F} = \{(x, u, v) : (x, u) \in \mathbb{E}, (x, v) \in \mathbb{F}\} \rightarrow X$ .
- We give  $\mathbb{E} \oplus \mathbb{F}$  the structure of a variety by requiring that

$$\begin{aligned} r^{-1}(U_i) &\longrightarrow U_i \times \mathbb{A}^{e+f} \\ (x, u, v) &\longmapsto (x, \text{pr}_2(\phi_i^E(x, u)), \text{pr}_2(\phi_i^F(x, v))) \end{aligned}$$

be an isomorphism, where  $\phi_i^E$  and  $\phi_i^F$  are the trivializations of  $\mathbb{E}$  and  $\mathbb{F}$ , and  $\text{pr}_2$  is the second projection. This gives a variety structure on  $r^{-1}(U_i)$ , and one can show that these are consistent on  $U_{i,j}$ , so that this gives a variety structure on all of  $\mathbb{E} \oplus \mathbb{F}$ .

Note that the transition functions for  $\mathbb{E} \oplus \mathbb{F}$  with respect to  $\{U_i\}$  are given by the block matrix

$$\begin{bmatrix} g_{i,j} & 0 \\ 0 & h_{i,j} \end{bmatrix} : U_{i,j} \longrightarrow \text{GL}(e+f, k).$$

**Example 2.0.4.** Let  $\mathbb{E}$  and  $\mathbb{F}$  be vector bundles on  $X$  of ranks  $e$  and  $f$ , respectively. Then the following are also vector bundles on  $X$ :

1.  $\text{Hom}(\mathbb{E}, \mathbb{F})$ , of rank  $ef$ ;
2.  $\mathbb{E}^\vee = \text{Hom}(\mathbb{E}, \mathbb{1}_X)$ , of rank  $e$ ;
3.  $\mathbb{E} \otimes \mathbb{F}$ , of rank  $ef$ ;
4.  $\wedge^k \mathbb{E}$  and  $\text{Sym}^d \mathbb{E}$ .

**Remark.** Let  $\mathbb{L}, \mathbb{M}$  be line bundles on  $X$  with trivializations on  $\{U_i\}$  and transition functions  $g_{i,j}, h_{i,j} \in \mathcal{O}_X(U_{i,j})^\times$ . In this case, we can describe operations on  $\mathbb{L}, \mathbb{M}$  more explicitly:

1.  $\mathbb{L} \otimes \mathbb{M}$  has transition functions  $g_{i,j}h_{i,j}$ ;
2.  $\text{Hom}(\mathbb{L}, \mathbb{M})$  has transition functions  $h_{i,j}/g_{i,j}$ ;
3.  $\mathbb{L}^\vee = \text{Hom}(\mathbb{L}, \mathbb{1}_X)$  has transition functions  $1/g_{i,j}$ ;
4.  $\mathbb{L}^{\otimes m} = \begin{cases} \mathbb{L}^{\otimes m}, & \text{if } m > 0, \\ \mathbb{1}_X, & \text{if } m = 0, \text{ has transition functions } g_{i,j}^m. \\ (\mathbb{L}^\vee)^{\otimes -m}, & \text{if } m < 0 \end{cases}$

**Example 2.0.5.** Define  $\mathcal{O}_{\mathbb{P}^n}(m) := \mathcal{O}_{\mathbb{P}^n}(1)^{\otimes m}$  with transition functions  $(x_j/x_i)^m$  with respect to the standard open cover for  $\mathbb{P}^n$ .

## 2.3 Morphisms of Vector Bundles

**Remark.** Let  $p : \mathbb{E} \rightarrow X$  and  $q : \mathbb{F} \rightarrow X$  be vector bundles on  $X$ , as before.

**Definition 2.1.** A *morphism of vector bundles*  $\mathbb{E} \rightarrow \mathbb{F}$  is a morphism of varieties

$$\begin{array}{ccc} \mathbb{E} & \xrightarrow{a} & \mathbb{F} \\ & \searrow p \quad \swarrow q & \\ & X & \end{array}$$

such that the diagram commutes and  $a$  is linear on each fiber.

**Remark.** More concretely, given an open cover  $\{U_i\}$  which trivializes both vector bundles, we have

$$\begin{array}{ccc} p^{-1}(U_i) & \xrightarrow{a} & q^{-1}(U_i) \\ \phi_i \downarrow \cong & & \cong \downarrow \psi_j \\ U_i \times \mathbb{A}^e & \longrightarrow & U_i \times \mathbb{A}^f \\ (x, v) & \longmapsto & (x, a_i(x)v) \end{array}$$

such that  $a_i : U_i \rightarrow \text{Hom}(k^e, k^f)$  is regular. On  $U_{i,j}$ , we have

$$\begin{array}{ccc} U_{i,j} \times \mathbb{A}^e & \xrightarrow{a_j} & U_{i,j} \times \mathbb{A}^f \\ g_{i,j} \downarrow & & \downarrow h_{i,j} \\ U_{i,j} \times \mathbb{A}^e & \xrightarrow{-a_i} & U_{i,j} \times \mathbb{A}^f \end{array}$$

So  $h_{i,j}a_j = a_ig_{i,j}$ , or equivalently,  $a_i = h_{i,j}a_jg_{i,j}^{-1}$ .

As a special case when  $e = f$ ,  $a : \mathbb{E} \rightarrow \mathbb{F}$  is an isomorphism if and only if the  $a_i$  are isomorphisms.

**Remark.** When is a line bundle  $\mathbb{L}$  given by the trivialization data  $\{U_i, g_{i,j}\}$  isomorphic to  $\mathbb{1}_X$ ? We have

$$\begin{aligned} \mathbb{L} \cong \mathbb{1}_X &\iff \text{if and only if there exists an isomorphism } a : \mathbb{1}_X \rightarrow \mathbb{L} \\ &\iff \text{there exist } a_i \in \mathcal{O}_X(U_i)^\times \text{ such that } (a_j/a_i)|_{U_{i,j}} = g_{i,j}. \end{aligned}$$

**Definition 2.2.** Define the *Picard group* of  $X$  to be

$$\text{Pic } X := \{\text{line bundles on } X\}/\cong.$$

This is a group with respect to  $\otimes$  with  $\mathbb{1}_X$  as the identity and  $\mathbb{L}^\vee \otimes \mathbb{L} \cong \mathbb{1}_X$ .

## 2.4 Global Sections

**Definition 2.3.** A *(global) section* of a vector bundle  $p : \mathbb{E} \rightarrow X$  is a morphism  $s : X \rightarrow \mathbb{E}$  such that  $p \circ s = \text{id}_X$ . Note that for  $x \in X$ , we have  $s(x) \in \mathbb{E}_x$ .

**Example 2.3.1** (Zero section). Let  $s : X \rightarrow \mathbb{E}$  where  $s(x)$  is the zero element in  $\mathbb{E}_x$ .

**Example 2.3.2.** Let  $\mathbb{E} = \mathbb{1}_X$ . Then sections  $s : X \rightarrow X \times \mathbb{A}^1$  of  $\mathbb{E}$  correspond to morphisms  $X \rightarrow \mathbb{A}^1$ , which correspond to regular functions  $X \rightarrow k$ .

**Remark** (Local description of sections). Let  $\{U_i, g_{i,j}\}$  be the trivialization data for  $\mathbb{E} \rightarrow X$ , and let  $s : X \rightarrow \mathbb{E}$  be a section. On  $U_i$ , we have:

$$\begin{array}{ccc} & U_i \times \mathbb{A}^e & \\ x \mapsto (x, s_i(x)) & \nearrow & \cong \uparrow \phi_i \\ U_i & \xrightarrow{s|_{U_i}} & p^{-1}(U_i) \end{array}$$

Note that  $s_i : U_i \rightarrow k^e$  is a regular function (i.e. regular on each coordinate). These maps must satisfy the compatibility condition  $s_i = g_{i,j}s_j$ , since we have the diagram:

$$\begin{array}{ccccc} & (x, s_j(x)) & & U_{i,j} \times \mathbb{A}^e & \\ & \curvearrowright & & \phi_j & \\ U_{i,j} & \xrightarrow{s|_{U_{i,j}}} & p^{-1}(U_{i,j}) & \downarrow (x, v) \mapsto (x, g_{i,j}(v)) & \\ & \curvearrowright & & \phi_i & \\ & (x, s_i(x)) & & U_{i,j} \times \mathbb{A}^e & \end{array}$$

**Example 2.3.3.** We can use the above compatibility condition to compute the global sections of  $\mathcal{O}_{\mathbb{P}^1}(1)$ . Write  $\mathbb{P}_{x_0:x_1}^1 = U_0 \cup U_1$ . Given a section  $s : \mathbb{P}^1 \rightarrow \mathcal{O}(1)$ , we get regular functions

$$\begin{aligned} s_0 : U_0 &\longrightarrow k \\ s_1 : U_1 &\longrightarrow k \end{aligned}$$

satisfying  $(x_1/x_0)s_1 = s_0$  (\*). We can write

$$s_0 = \sum_{m \geq 0} a_m (x_1/x_0)^m \quad \text{and} \quad s_1 = \sum_{m \geq 0} b_m (x_0/x_1)^m$$

with  $a_m, b_m \in k$  (finitely many nonzero). Then (\*) implies that

$$a_0 + a_1(x_1/x_0) + \cdots = (x_1/x_0)(b_0 + b_1(x_0/x_1) + \cdots),$$

so  $a_0 = b_1$ ,  $a_1 = b_0$ , and all other terms are 0. So we can relate  $s$  to a linear form

$$f = a_0 x_0 + a_1 x_1,$$

where  $s_0 = (1/x_0)f$  and  $s_1 = (1/x_1)f$ .

# Lecture 3

## Jan. 20 — Sections

### 3.1 Global Sections, Continued

**Definition 3.1.** Let  $\Gamma(X, \mathbb{E}) := \{\text{sections of } \mathbb{E} \rightarrow X\}$ , which has the structure of a  $k$ -vector space by

$$(s + t)(x) = s(x) + t(x) \quad \text{and} \quad (cs)(x) = cs(x)$$

for  $s, t \in \Gamma(X, \mathbb{E})$  and  $c \in k$ .

**Example 3.1.1.** One can check that  $\Gamma(\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}(d)) \cong k[x_0, \dots, x_n]_d$  (HW). For example, for  $d < 0$ , we have  $\Gamma(\mathbb{P}^n, \mathcal{O}(d)) = \{0\}$ , and for  $d = 0$ , we have

$$\Gamma(\mathbb{P}^n, \mathcal{O}(0)) = \Gamma(\mathbb{P}^n, \mathbb{1}_{\mathbb{P}^n}) = \mathcal{O}_{\mathbb{P}^n}(\mathbb{P}^n) = k.$$

For  $d = 1$ , we can define an isomorphism

$$\begin{aligned} k[x_0, \dots, x_n]_1 &\xrightarrow{\cong} \Gamma(\mathbb{P}^n, \mathcal{O}(1)) \\ f &\mapsto \text{section } s : \mathbb{P}^n \rightarrow \mathcal{O}(1) \text{ given by } s_i = f/x_i. \end{aligned}$$

Note that  $(x_j/x_i)s_j = s_i$  holds, so this is a section. An alternative perspective is that  $s$  corresponds to

$$\begin{aligned} \mathbb{P}^n &\longrightarrow \mathbb{P}^{n+1} \setminus \{[0 : \dots : 0 : 1]\} \\ x &\mapsto [x_0 : \dots : x_n : f(x)]. \end{aligned}$$

### 3.2 Morphisms and Sections

**Definition 3.2.** Given a section  $s : X \rightarrow \mathbb{E}$ , its *vanishing locus* is

$$V(s) := \{s = 0\} = \{x \in X : s(x) = 0\}.$$

Using a trivializing cover, one can check that  $V(s)$  is closed in  $X$ .

**Example 3.2.1.** For a section  $s : \mathbb{P}^n \rightarrow \mathcal{O}(1)$  corresponding to  $f \in k[x_0, \dots, x_n]_1$ , we have  $V(s) = V_{\mathbb{P}^n}(f)$ .

**Remark.** Recall that there is a bijection

$$\begin{aligned} \{\text{morphisms } X \rightarrow \mathbb{A}^n\} &\longleftrightarrow \{f_1, \dots, f_n \in \mathcal{O}_X(X)\} \\ [f : X \rightarrow \mathbb{A}^n] &\longmapsto [f_1 = f^*x_1, \dots, f_n = f^*x_n \in \mathcal{O}_X(X)] \\ [x \mapsto (f_1(x), \dots, f_n(x))] &\longleftarrow [f_1, \dots, f_n \in \mathcal{O}_X(X)]. \end{aligned}$$

We want a similar statement for  $\mathbb{P}^n$ .

**Definition 3.3.** Given a line bundle  $\mathbb{L} \rightarrow X$  and  $s_0, \dots, s_n \in \Gamma(X, \mathbb{L})$ , they are *nowhere vanishing* if

$$V(s_0) \cap \cdots \cap V(s_n) = \emptyset.$$

**Example 3.3.1.** For  $\mathcal{O}(1)$ , the sections  $x_0, \dots, x_n \in \Gamma(\mathbb{P}^n, \mathcal{O}(1))$  are nowhere vanishing.

**Remark.** If  $s_0, \dots, s_n \in \Gamma(X, \mathbb{L})$  are nowhere vanishing, then we get a morphism

$$\begin{aligned} X &\longrightarrow \mathbb{P}^n \\ x &\longmapsto [s_0(x) : \cdots : s_n(x)]. \end{aligned}$$

Note that  $(s_0(x), \dots, s_n(x))$  is a well-defined point in  $\mathbb{A}^{n+1}$  up to scaling. One can check that this map is a morphism by working locally.

**Example 3.3.2** (Linear maps). Let  $X = \mathbb{P}^n$  and  $\mathbb{L} = \mathcal{O}(1)$ .

(i)  $x_0, \dots, x_n \in \Gamma(\mathbb{P}^n, \mathcal{O}(1))$  gives  $\text{id} : \mathbb{P}^n \rightarrow \mathbb{P}^n$ .

(ii) For  $A \in \text{GL}_{n+1}(k)$ , we get a map

$$\begin{aligned} \mathbb{P}^n &\longrightarrow \mathbb{P}^n \\ [x] &\longmapsto [Ax] \end{aligned}$$

given by  $Ax_0, \dots, Ax_n \in \Gamma(\mathbb{P}^n, \mathcal{O}(1))$ .

**Remark.** Now given a morphism  $X \rightarrow \mathbb{P}^n$ , we want to get a line bundle with sections.

**Definition 3.4** (Pullback). Let  $p : \mathbb{E} \rightarrow X$  be a vector bundle and  $f : Y \rightarrow X$  a morphism. Define

$$f^*\mathbb{E} = \{(e, y) : e \in \mathbb{E}, y \in Y \text{ with } p(e) = f(y)\} \longrightarrow Y.$$

One can show that this has the structure of a vector bundle in a natural way.

**Remark.** An alternative way to define the pullback is to choose trivialization data  $(U_i, g_{i,j})$  for  $\mathbb{E} \rightarrow X$ . Then we can define  $f^* : \mathbb{E} \rightarrow Y$  to be the vector bundle with trivialization data  $(f^{-1}(U_i), f^*g_{i,j})$ .

**Remark.** Now to go in reverse, given a morphism  $X \rightarrow \mathbb{P}^n$  and nowhere vanishing sections  $x_0, \dots, x_n \in \Gamma(\mathbb{P}^n, \mathcal{O}(1))$ , we get nowhere vanishing sections

$$f^*x_0, \dots, f^*x_n \in \Gamma(X, f^*\mathcal{O}(1)).$$

We can define the pullback of a section in one of two ways: by  $f^*(x_i)(a) = (x_i(f(a)), a) \in f^*\mathcal{O}(1)$  for  $a \in X$  or by using trivializing covers.

**Remark.** Using the above, we get a bijection

$$\{\text{morphisms } X \rightarrow \mathbb{P}^n\} \longleftrightarrow \{\text{line bundles } \mathbb{L} \rightarrow X \text{ with } s_0, \dots, s_n \in \Gamma(X, \mathbb{L}) \text{ nowhere vanishing}\}.$$

Note that we should consider the right-hand side up to isomorphism of the line bundle. When do  $\mathbb{L} \rightarrow X$  and  $s_0, \dots, s_n \in \Gamma(X, \mathbb{L})$  give an injective morphism (or an embedding)?

**Definition 3.5.** Given a vector bundle  $\mathbb{E} \rightarrow X$ , we get a sheaf of abelian groups  $\mathcal{E}$  on  $X$  by

$$\mathcal{E}(U) := \{\text{sections of } p^{-1}(U) \rightarrow U\}$$

for  $U \subseteq X$  open. For  $V \subseteq U \subseteq X$  open, the restriction map is given by

$$\begin{aligned} \mathcal{E}(U) &\longrightarrow \mathcal{E}(V) \\ s &\longmapsto s|_V. \end{aligned}$$

We call  $\mathcal{E}$  the *sheaf of sections* of  $\mathbb{E}$ . Also note that  $\mathcal{E}(U)$  has the structure of an  $\mathcal{O}_X(U)$ -module. We

will see that this gives rise to the structure of an  $\mathcal{O}_X$ -module.

### 3.3 Review of Sheaves

**Definition 3.6.** A *presheaf* of abelian groups  $\mathcal{F}$  on a topological space  $X$  is the data of:

- for  $U \subseteq X$  open, an abelian group  $\mathcal{F}(U)$  (with  $\mathcal{F}(\emptyset) = 0$ ),
- for  $V \subseteq U \subseteq X$  open, a group homomorphism  $p_{V,U} : \mathcal{F}(U) \rightarrow \mathcal{F}(V)$ .

**Remark.** Note the following:

1. We may replace abelian groups in the above definition by rings, sets,  $R$ -modules, etc.
2. We denote  $\Gamma(U, \mathcal{F}) = \mathcal{F}(U)$ , whose elements are called *sections*.
3.  $s|_V := p_{V,U}(s)$  is called the *restriction* for  $s \in \mathcal{F}(U)$  and  $V \subseteq U \subseteq X$  open.
4. We may view  $\mathcal{F}$  as a functor  $\text{Open}_X \rightarrow \text{Ab-gps}$  given by  $U \mapsto \mathcal{F}(U)$ .

**Definition 3.7.** For  $\mathcal{F}$  a presheaf on  $X$  and  $x \in X$ , the *stalk* of  $\mathcal{F}$  at  $x$  is

$$\mathcal{F}_x = \varinjlim_{U \ni x \text{ open}} \mathcal{F}(U) = \{(s, U) : s \in \mathcal{F}(U)\}/\sim.$$

**Example 3.7.1.** The following are examples of presheaves:

1. Let  $M$  be a smooth manifold. Then
  - $\mathcal{O}_M$  = sheaf of smooth  $\mathbb{R}$ -valued functions on  $M$ ,
  - $\mathcal{E}$  = sheaf of sections of a vector bundle  $\mathbb{E} \rightarrow M$ .
2. Let  $X$  be an algebraic variety,  $\mathbb{E} \rightarrow X$  a vector bundle, and  $Z \subseteq X$  closed. Then
  - $\mathcal{O}_X$  and  $\mathcal{E}$  are sheaves,
  - $\mathcal{I}_Z$  = ideal sheaf of  $Z$ , given by  $\mathcal{I}_Z(U) = \{\varphi \in \mathcal{O}_X(U) : \varphi|_Z = 0\}$ .
3. Let  $X$  be a topological space and  $A$  an abelian group.
  - $\underline{A}^{\text{pre}}$  given by  $U \mapsto \{\text{constant functions } U \rightarrow A\}$ , i.e.  $\underline{A}^{\text{pre}}(U) \cong A$  for  $U \neq \emptyset$ ,
  - $\underline{A}$  given by  $U \mapsto \{\text{locally constant functions } U \rightarrow A\}$ ,
  - $i_p A$  = skyscraper sheaf, given by  $U \mapsto \begin{cases} A & \text{if } p \in U, \\ 0 & \text{otherwise.} \end{cases}$

**Definition 3.8.** A presheaf  $\mathcal{F}$  is a *sheaf* if for any

- open set  $U \subseteq X$ ,
- open cover  $U = \bigcup_{i \in I} U_i$ ,
- and  $s_i \in \mathcal{F}(U_i)$  such that  $s_i|_{U_{i,j}} = s_j|_{U_{i,j}}$  for all  $i, j \in I$ ,

then there exists a unique  $s \in \mathcal{F}(U)$  such that  $s|_{U_i} = s_i$  for every  $i \in I$ .

**Remark.** The presheaf  $\underline{A}^{\text{pre}}$  is not a sheaf in general. All other examples above are sheaves.

**Definition 3.9.** A *morphism* of (pre)sheaves  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  on a topological space  $X$  is the data of group homomorphisms  $\varphi(U) : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$  for each  $U \subseteq X$  open such that for all  $V \subseteq U \subseteq X$ , the following diagram commutes:

$$\begin{array}{ccc} \mathcal{F}(U) & \xrightarrow{\varphi(U)} & \mathcal{G}(U) \\ \text{res} \downarrow & & \downarrow \text{res} \\ \mathcal{F}(V) & \xrightarrow[\varphi(V)]{} & \mathcal{G}(V) \end{array}$$

**Example 3.9.1.** Let  $X$  be a variety.

1. If  $a : \mathbb{E} \rightarrow \mathbb{F}$  is a morphism of vector bundles on  $X$ , then we get a morphism of sheaves  $\mathcal{E} \rightarrow \mathcal{F}$  by  $s \mapsto a \circ s \in \mathcal{F}(U)$  for  $s \in \mathcal{E}(U)$ .
2. A closed subvariety  $Z \subseteq X$  induces a morphism  $\mathcal{I}_Z \rightarrow \mathcal{O}_X$  given by inclusion.

**Remark.** Given a morphism of (pre)sheaves and  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  and  $p \in X$ , we get an induced morphism

$$\begin{aligned} \mathcal{F}_p &\longrightarrow \mathcal{G}_p \\ (s, U) &\longmapsto (\varphi(s), U). \end{aligned}$$

# Lecture 4

## Jan. 22 — Sheaves

### 4.1 Sheafification

**Theorem 4.1** (Sheafification). *For a presheaf  $\mathcal{F}$  on a topological space  $X$ , there exists a morphism to a sheaf  $i : \mathcal{F} \rightarrow \mathcal{F}^+$  such that for any morphism to a sheaf  $g : \mathcal{F} \rightarrow \mathcal{G}$ , there exists a unique morphism  $g^+ : \mathcal{F}^+ \rightarrow \mathcal{G}$  such that  $g = g^+ \circ i$ , i.e. the following diagram commutes:*

$$\begin{array}{ccc} \mathcal{F} & \xrightarrow{g} & \mathcal{G} \\ i \downarrow & \nearrow g^+ & \\ \mathcal{F}^+ & & \end{array}$$

In the above,  $\mathcal{F}^+$  is called the sheafification of  $\mathcal{F}$ , and the pair  $(i, \mathcal{F}^+)$  is unique up to isomorphism (as a consequence of the universal property).

*Proof.* We first define  $\mathcal{F}^+(U) = \{t : U \rightarrow \bigsqcup_{p \in X} \mathcal{F}_p : (1) \text{ and } (2) \text{ hold}\}$ , where

1.  $t(p) \in \mathcal{F}_p$ ;
2. for any  $x \in X$ , there is an open set  $x \in V_x \subseteq U$  with  $s \in \mathcal{F}(V_x)$  such that  $t(p) = s_p$  for all  $p \in V_x$ .

It is straightforward to see that  $\mathcal{F}^+$  is a sheaf and that

$$\begin{aligned} \mathcal{F}(U) &\longrightarrow \mathcal{F}^+(U) \\ s &\longmapsto (X \ni p \mapsto s_p \in \mathcal{F}_p). \end{aligned}$$

gives a morphism  $i : \mathcal{F} \rightarrow \mathcal{F}^+$ . Now we check the universal property. Given a morphism  $g : \mathcal{F} \rightarrow \mathcal{G}$  with  $\mathcal{G}$  a sheaf, we need to define  $g^+ : \mathcal{F}^+ \rightarrow \mathcal{G}$ . Fix  $t \in \mathcal{F}^+(U)$ . By definition, there exists an open cover  $\{U_i\}$  of  $U$  and  $s_i \in \mathcal{F}(U_i)$  such that  $t(p) = (s_i)_p \in \mathcal{F}_p$  for all  $p \in U_i$ . Set  $t'_i := g(t_i)$ . Note that

$$(t'_i)_p = g_p(t_i) = (t'_j)_p \in \mathcal{G}_p$$

for every  $p \in U_i \cap U_j$ . Since  $\mathcal{G}$  is a sheaf, we get  $t'_i|_{U_i \cap U_j} = t'_j|_{U_i \cap U_j}$ . Thus there exists a unique  $t' \in \mathcal{G}(U)$  such that  $t'|_{U_i} = t_i$  for every  $i \in I$ . Then we can set  $g^+(t) = t'$ . One can check as an exercise that this gives a morphism  $\mathcal{F}^+ \rightarrow \mathcal{G}$  satisfying the universal property.  $\square$

**Example 4.0.1.** We have the following:

1. If  $\mathcal{F}$  is a sheaf, then  $\mathcal{F} \rightarrow \mathcal{F}^+$  is an isomorphism.
2. For an abelian group  $A$  and topological space  $X$ , we have  $(\underline{A}^{\text{pre}})^+ \cong \underline{A}$ .

**Remark.** We have the following:

1. If  $p \in X$ , the induced morphism on stalks  $i_p : \mathcal{F}_p \rightarrow \mathcal{F}_p^+$  is an isomorphism for all  $p \in X$ .

To construct the inverse map, consider  $\mathcal{F}_p^+ \rightarrow \mathcal{F}_p$  defined by  $(t, U) \mapsto t_p$  for  $t \in \mathcal{F}^+(U)$ . Check as an exercise that this is well-defined and is inverse to  $i_p$ .

2. If  $\mathcal{F} \subseteq \mathcal{G}$  is a subpresheaf (i.e.  $\mathcal{F}(U) \subseteq \mathcal{G}(U)$  and  $\rho_{V,U}^{\mathcal{F}} = \rho_{V,U}^{\mathcal{G}}|_{\mathcal{F}(U)}$  for all  $V \subseteq U \subseteq X$ ) and  $\mathcal{G}$  is a sheaf, then we could alternatively define  $\mathcal{F}^+$  as

$$\mathcal{F}^+(U) = \{s \in \mathcal{G}(U) : \text{for all } x \in X, \text{ there exists } x \in U_x \subseteq U \text{ open such that } s|_{U_x} \in \mathcal{F}(U_x)\}.$$

## 4.2 Kernel, Image, Cokernel for Sheaves

**Remark.** We want the following notions for sheaves:

- kernel, image, cokernel;
- short exact sequences;
- injectivity and surjectivity.

**Example 4.0.2.** We want the following to be short exact sequences of sheaves:

- for  $X$  a variety and  $Z \hookrightarrow X$  a closed subvariety,

$$0 \longrightarrow \mathcal{I}_Z \longrightarrow \mathcal{O}_X \longrightarrow i_* \mathcal{O}_Z \longrightarrow 0$$

- for  $M$  a complex manifold (e.g.  $\mathbb{C}^n$ ) with  $\mathcal{O}_M$  the sheaf of  $\mathbb{C}$ -valued holomorphic functions,

$$0 \longrightarrow \underline{\mathbb{Z}} \xrightarrow{2\pi i \times} \mathcal{O}_M \xrightarrow{\varphi \mapsto e^\varphi} \mathcal{O}_M^\times \longrightarrow 0$$

**Remark.** Let  $\varphi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of sheaves on a topological space  $X$ .

**Definition 4.1.** The *kernel* of  $\varphi$  is  $(\ker \varphi)(U) = \ker(\varphi(U)) : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$ .

**Remark** (Properties of the kernel). It is straightforward to check that  $\ker \varphi$  is a sheaf. Moreover:

1.  $\ker \varphi$  satisfies the following universal property: For any morphism to a sheaf  $\alpha$  such that  $\varphi \circ \alpha = 0$ , there exists a unique morphism  $\alpha'$  such that the following diagram commutes:

$$\begin{array}{ccccc} & & 0 & & \\ & \swarrow & & \searrow & \\ \mathcal{F}' & \xrightarrow{\alpha} & \mathcal{F} & \xrightarrow{\varphi} & \mathcal{G} \\ & \dashrightarrow & \downarrow & & \\ & & \ker \varphi & & \end{array}$$

To see this, use the universal property of the kernel in the category of abelian groups.

2. Since filtered limits are exact, we have  $(\ker \varphi_p) = (\ker \varphi)_p$  for all  $p \in X$ .

**Lemma 4.1** (Injectivity for sheaves). *The following are equivalent:*

1.  $\varphi(U) : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$  is injective for all  $U \subseteq X$  open;
2.  $\varphi_x : \mathcal{F}_x \rightarrow \mathcal{G}_x$  is injective for all  $x \in X$ .

We say that  $\varphi$  is injective if either of these equivalent conditions hold.

*Proof.* (1  $\Rightarrow$  2) This is clear.

(2  $\Rightarrow$  1) Fix  $s \in \mathcal{F}(U)$  with  $\varphi(U)(s) = 0$ . Then

$$\varphi_p(s_p) = (\varphi(U)(s))_p = 0$$

for all  $p \in X$ , so  $s_p = 0$  for all  $p \in U$ , so  $s = 0$  by homework from Algebraic Geometry I.  $\square$

**Example 4.1.1** (Subtleties for the image). Consider the following:

1. Let  $\varphi : \mathcal{O}_{\mathbb{C}^n} \xrightarrow{\exp} \mathcal{O}_{\mathbb{C}^n}^\times$ . Then  $U \mapsto \text{im}(\mathcal{O}_{\mathbb{C}^n}(U) \rightarrow \mathcal{O}_{\mathbb{C}^n}^\times(U))$  is a presheaf but not a sheaf. This is because logarithms only exist locally.
2. Define  $\varphi : \mathcal{O}_{\mathbb{P}^n} \rightarrow i_{p_1}\underline{k} \oplus i_{p_2}\underline{k}$  by  $f \mapsto (f(p_1), f(p_2))$ . Again  $U \mapsto \text{im}(\varphi(U))$  is not a sheaf.

**Definition 4.2.** Let  $\widetilde{\text{im}} \varphi = (U \mapsto \text{im}(\varphi(U)))$ . This is a presheaf and  $\widetilde{\text{im}} \varphi \subseteq \mathcal{G}$ . Then the *image* of  $\varphi$  is  $\text{im} \varphi = (\widetilde{\text{im}} \varphi)^+$ . By Remark 4.1, we can equivalently define

$$(\text{im} \varphi)(U) = \{s \in \mathcal{G}(U) : \text{there exists cover } \{U_i\} \text{ of } U \text{ such that } s|_{U_i} \in \text{im}(\mathcal{F}(U_i) \rightarrow \mathcal{G}(U_i))\}.$$

**Remark.** We have  $\text{im}(\varphi_x) \cong (\widetilde{\text{im}} \varphi)_x \cong (\text{im} \varphi)_x$ , where the first isomorphism is because filtered direct limits are exact and the second isomorphism is because sheafification preserves stalks.

**Definition 4.3.** Let  $\widetilde{\text{coker}}(\varphi) = (U \mapsto \text{coker}(\varphi(U)))$ . Then the *cokernel* of  $\varphi$  is  $\text{coker}(\varphi) = (\widetilde{\text{coker}}(\varphi))^+$ .

**Remark.** We have the following:

1.  $\text{coker}(\varphi)_x \cong \text{coker}(\varphi_x)$  (similar to above).
2.  $\text{coker}(\varphi)$  satisfies the universal property of the cokernel:

$$\begin{array}{ccccc}
 & & 0 & & \\
 & \swarrow \varphi & & \searrow p_0 & \\
 \mathcal{F} & \longrightarrow & \mathcal{G} & \longrightarrow & \mathcal{G}' \\
 & \downarrow & & \nearrow \widetilde{\text{coker}}(\varphi) & \uparrow \text{coker}(\varphi) \\
 & & \widetilde{\text{coker}}(\varphi) & \longrightarrow & \text{coker}(\varphi)
 \end{array}$$

3. For a subsheaf  $\mathcal{F}' \subseteq \mathcal{F}$ , we can define the *quotient sheaf*  $\mathcal{F}/\mathcal{F}' = \text{coker}(\mathcal{F}' \hookrightarrow \mathcal{F})$ .
4. By the universal property of the cokernel, we get natural maps

$$\begin{array}{ccccc}
 \ker \varphi & \longrightarrow & \mathcal{F} & \longrightarrow & \text{im } \mathcal{F} \\
 & & \downarrow & & \nearrow \alpha \\
 & & \mathcal{F}/\ker \varphi & &
 \end{array}$$

As the following diagram commutes,

$$\begin{array}{ccc} (\mathcal{F}/\ker \varphi)_p & \xrightarrow{\alpha_p} & (\text{im } \varphi)_p \\ \downarrow \cong & & \cong \downarrow \\ \mathcal{F}_p/(\ker \varphi)_p & \xrightarrow{\cong} & \text{im}(\varphi_p) \end{array}$$

$\alpha_p$  is an isomorphism for all  $p \in X$ . So by HW,  $\alpha$  is an isomorphism. So  $\mathcal{F}/\ker \varphi \cong \text{im } \varphi$ .

**Lemma 4.2** (Surjectivity for sheaves). *The following are equivalent:*

1.  $\text{coker } \varphi = 0$ ;
2.  $\text{im } \varphi = \mathcal{G}$ ;
3.  $\varphi_x : \mathcal{F}_x \rightarrow \mathcal{G}_x$  is surjective for all  $x \in X$ .

We say that  $\varphi$  is *surjective* if any of these equivalent conditions hold.

*Proof.* (3  $\Leftrightarrow$  1) We have (3) if and only if  $\text{coker}(\varphi_x) = 0$  for all  $x \in X$ , if and only if  $(\text{coker } \varphi)_x = 0$  for all  $x \in X$ , if and only if (1).

(3  $\Leftrightarrow$  2) We have (3) if and only if  $\text{coker}(\varphi_x) = 0$  for all  $x \in X$ , if and only if  $\text{im } \varphi_x = \mathcal{G}_x$  for all  $x \in X$ , if and only if  $(\text{im } \varphi)_x \rightarrow \mathcal{G}_x$  is an isomorphism for all  $x \in X$ , if and only if (2) by HW.  $\square$

**Remark.** Note that if  $\varphi(U) : \mathcal{F}(U) \rightarrow \mathcal{G}(U)$  is surjective for all  $U \subseteq X$ , then  $\varphi$  is surjective. However, the converse is false in general.

**Definition 4.4.** A sequence of morphisms of sheaves

$$\mathcal{F} \xrightarrow{f} \mathcal{G} \xrightarrow{g} \mathcal{H}$$

is *exact at  $\mathcal{G}$*  if  $\ker g = \text{im } f$ .

**Lemma 4.3.** *The following are equivalent:*

1.  $\ker g = \text{im } f$ ;
2.  $\ker g_x = \text{im } f_x$  for all  $x \in X$ .

*Proof.* Similar to above.  $\square$

### 4.3 Constructions with Sheaves

**Definition 4.5.** Let  $\mathcal{F}_1, \mathcal{F}_2$  be sheaves on  $X$ . Then  $\mathcal{F}_1 \oplus \mathcal{F}_2$  is a sheaf defined by

$$U \longmapsto \mathcal{F}_1(U) \oplus \mathcal{F}_2(U).$$

This is a *biproduct* in the category of sheaves.

**Example 4.5.1.** Let  $X$  be a variety with connected components  $X_1, \dots, X_n$ . Then

$$\mathcal{O}_X \cong \mathcal{O}_{X_1} \oplus \cdots \oplus \mathcal{O}_{X_n}$$

as sheaves of abelian groups.

**Definition 4.6.** Let  $U \subseteq X$  be open. Then  $\mathcal{F}_i|_U$  is a sheaf on  $U$  given by  $V \mapsto \mathcal{F}_i(V)$

**Definition 4.7.**  $\text{Hom}(\mathcal{F}_1, \mathcal{F}_2)$  is the sheaf  $U \mapsto \text{Hom}^{\text{sheaves}}(\mathcal{F}_1|_U, \mathcal{F}_2|_U)$ .

**Definition 4.8 (Gluing).** Let  $\{U_i\}$  be an open cover of  $X$  with a sheaf  $\mathcal{F}_i$  on each  $U_i$  and isomorphisms  $\alpha_{i,j} : \mathcal{F}_j|_{U_{i,j}} \rightarrow \mathcal{F}_i|_{U_{i,j}}$  such that  $\alpha_{i,j} = \alpha_{j,i}^{-1}$ ,  $\alpha_{i,j} \circ \alpha_{j,k} = \alpha_{i,k}$ , and  $\alpha_{i,i} = \text{id}$ . Then there exists a sheaf  $\mathcal{F}$  with isomorphisms  $\beta_i : \mathcal{F}|_{U_i} \rightarrow \mathcal{F}_i$  such that the following diagram commutes:

$$\begin{array}{ccc} \mathcal{F}|_{U_{i,j}} & \xrightarrow{\text{id}} & \mathcal{F}|_{U_{i,j}} \\ \beta_j \downarrow & & \downarrow \beta_i \\ \mathcal{F}_i|_{U_{i,j}} & \xrightarrow{\alpha_{i,j}} & \mathcal{F}_j|_{U_{i,j}} \end{array}$$

One can define  $\mathcal{F}$  as follows and check that it satisfies the above properties:

$$\mathcal{F}(U) = \{(s_i)_{i \in I} : s_i \in \mathcal{F}(U_i) \text{ and } \alpha_{i,j}(s_j|_{U_{i,j}}) = s_i\}.$$

# Lecture 5

Jan. 27 —

# Lecture 6

## Jan. 29 — $\mathcal{O}_X$ -Modules, Part 2

### 6.1 More on $\mathcal{O}_X$ -Modules

**Remark.** Recall that we have operations  $\otimes$ ,  $\oplus$ ,  $\text{Sym}^d$ ,  $\wedge^d$ ,  $\mathcal{H}\text{om}(\cdot, \cdot)$  on  $\mathcal{O}_X$ -modules.

**Example 6.0.1.** The sheaf  $\mathcal{H}\text{om}_{\mathcal{O}_X}(\mathcal{F}, \mathcal{G})$  is the sheafification of

$$U \longmapsto \text{Hom}_{\mathcal{O}_X}(\mathcal{F}|_U, \mathcal{G}|_U).$$

This is again an  $\mathcal{O}_X$ -module.

**Example 6.0.2.** We have  $\mathcal{F}^\vee = \mathcal{H}\text{om}_{\mathcal{O}_X}(\mathcal{F}, \mathcal{O}_X)$ .

**Exercise 6.1.** The invertible sheaves on  $X$  up to isomorphism forms a group with multiplication given by  $\otimes$ , identity  $\mathcal{O}_X$ , and inverse  $\mathcal{L}^{-1} = \mathcal{L}^\vee$ .

**Remark** (Transition data). Let  $\mathcal{L}$  be an invertible  $\mathcal{O}_X$ -module. Then there exists an open cover  $\{U_i\}$  of  $X$  and isomorphisms  $\alpha_i : \mathcal{L}|_{U_i} \rightarrow \mathcal{O}_{U_i}$ , so we get isomorphisms

$$\alpha_{i,j} = \alpha_i \circ \alpha_j^{-1} : \mathcal{O}_{U_{i,j}} \rightarrow \mathcal{O}_{U_{i,j}}.$$

For this to be an isomorphism, we must have  $\alpha_{i,j}(U_{i,j})(1) = g_{i,j} \in \mathcal{O}_X(U_{i,j})^\times$ .

**Proposition 6.1.** *If  $X$  is a variety, then there is a bijection*

$$\begin{aligned} \{\text{line bundles on } X\}/\cong &\longrightarrow \{\text{invertible sheaves on } X\}/\cong \\ \mathbb{L} &\longmapsto \mathcal{L} \end{aligned}$$

*Proof.* To get the reverse map, fix an invertible  $\mathcal{O}_X$ -module  $\mathcal{L}$  with trivialization data  $(U_i, g_{i,j})$ . Send it to the line bundle with the same trivialization data. Check that this is well-defined as an exercise.

To show that this gives an inverse, it suffices to show that if  $\mathbb{L}$  is a line bundle with trivialization data  $(U_i, g_{i,j})$ , then the sheaf  $\mathcal{L}$  of sections of  $\mathbb{L}$  has the same trivialization data. The trivializations

$$\mathbb{L}|_{U_i} \xrightarrow[\cong]{\phi_i} U_i \times \mathbb{A}^1$$

give isomorphisms  $U_{i,j} \times \mathbb{A}^1 \rightarrow U_{i,j} \times \mathbb{A}^1$  by  $(x, v) \mapsto (x, g_{i,j}(x)v)$ . We get an isomorphism

$$\alpha_i : \mathbb{L}|_{U_i} \xrightarrow[\cong]{\phi_i} \mathcal{O}_{U_i}$$

where  $e_i = \alpha_i^{-1}(1) = [U_i \xrightarrow{x \mapsto (x, 1)} U_i \times \mathbb{A}^1 \xrightarrow{\phi_i^{-1}} \mathbb{L}|_{U_i}]$ . Now we have

$$\mathcal{O}_{U_{i,j}} \xrightarrow{\alpha_j^{-1}} \mathcal{L}|_{U_{i,j}} \xrightarrow{\alpha_i} \mathcal{O}_{U_{i,j}}$$

$$1 \longmapsto e_j = e_i g_{i,j} \longmapsto g_{i,j}.$$

So we get the same transition functions  $(U_i, g_{i,j})$  for  $\mathcal{L}$ .  $\square$

**Remark.** Given a morphism of rings  $\phi : A \rightarrow B$ , we have functors

$$\begin{array}{ccc} & \Phi & \\ \text{Mod}_B & \curvearrowright & \text{Mod}_A \\ & \Psi & \end{array}$$

given as follows:

1. *Extension of scalars*:  $\text{Mod}_A \ni M \longmapsto M \otimes_A B \in \text{Mod}_B$ , where the multiplication by  $B$  is

$$c(m \otimes b) = m \otimes (cb).$$

For example, if  $M = A^{\oplus I}$ , then  $M \otimes_A B = B^{\oplus I}$ .

2. *Restriction of scalars*:  $\text{Mod}_B \ni N \longmapsto N_A \in \text{Mod}_A$ , where  $N_A := N$  as abelian groups with

$$a \cdot n = \phi(a)n$$

as the multiplication by  $A$ .

**Proposition 6.2.** *There is a functorial bijection*

$$\begin{aligned} \text{Hom}_B(M \otimes_A B, N) &\longleftrightarrow \text{Hom}_A(M, N_A) \\ [f : M \otimes_A B \rightarrow N] &\longmapsto [m \mapsto f(m \otimes 1)] \\ [m \otimes b \mapsto b \cdot g(m)] &\longleftarrow [g : M \rightarrow N_A] \end{aligned}$$

for  $M \in \text{Mod}_A$  and  $N \in \text{Mod}_B$ .

**Remark.** Given the result for rings, we want a similar statement for  $\mathcal{O}_X$ -modules.

## 6.2 $\mathcal{O}_X$ -MODULES AND CONTINUOUS MAPS

**Definition 6.1.** A *morphism of ringed spaces*  $(X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  is the data of

1. a continuous map  $f : X \rightarrow Y$ ,
2. a morphism of sheaves of rings  $f^\# : \mathcal{O}_Y \rightarrow f_* \mathcal{O}_X$ .

**Example 6.1.1.** If  $X \rightarrow Y$  is a morphism of varieties, then  $\mathcal{O}_Y \rightarrow f_* \mathcal{O}_X$  is given for  $U \subseteq Y$  open by

$$\begin{aligned} \mathcal{O}_Y(U) &\longrightarrow \mathcal{O}_X(f^{-1}(U)) \\ \varphi &\longmapsto f^*\varphi. \end{aligned}$$

**Remark.** Our goal will be to define functors

$$\begin{array}{ccc} & f_* & \\ \text{Mod}_{\mathcal{O}_X} & \swarrow \curvearrowright & \text{Mod}_{\mathcal{O}_Y} \\ & f^* & \end{array}$$

**Remark (Pushforward).** Given an  $\mathcal{O}_X$ -module  $\mathcal{F}$ , the sheaf pushforward  $f_*\mathcal{F}$  is naturally an  $f_*\mathcal{O}_X$ -module. Via the map  $f^\# : \mathcal{O}_Y \rightarrow f_*\mathcal{O}_X$ , we get an  $\mathcal{O}_Y$ -module structure on  $f_*\mathcal{F}$ . More concretely, for  $U \subseteq Y$  open,  $s \in f_*\mathcal{F}(U) = \mathcal{F}(f^{-1}(U))$ , and  $a \in \mathcal{O}_Y(U)$ , we can define

$$a \cdot s = f^\#(U)(a) \cdot s.$$

**Remark (Pullback).** Given an  $\mathcal{O}_Y$ -module  $\mathcal{G}$ , we get an  $f^{-1}\mathcal{O}_Y$ -module  $f^{-1}\mathcal{G}$ . By the adjoint property for  $(f^{-1}, f_*)$ , the morphism  $\mathcal{O}_Y \rightarrow f_*\mathcal{O}_X$  corresponds to a morphism  $f^{-1}\mathcal{O}_Y \rightarrow \mathcal{O}_X$ . So we get

$$f^*\mathcal{G} := f^{-1}\mathcal{G} \otimes_{f^{-1}\mathcal{O}_Y} \mathcal{O}_X$$

is an  $\mathcal{O}_X$ -module. Thus we get a functor  $f^* : \text{Mod}_{\mathcal{O}_Y} \rightarrow \text{Mod}_{\mathcal{O}_X}$ .

**Proposition 6.3.** *The pair  $(f^*, f_*)$  are adjoint functors.*

*Proof.* Similar to before. □

**Example 6.1.2.** Recall that if  $A \rightarrow B$  is a morphism of rings, then  $A \otimes_A B \cong B$ . In our setting, we get

$$f^*\mathcal{O}_Y = (f^{-1}\mathcal{O}_Y \tilde{\otimes}_{f^{-1}\mathcal{O}_Y} \mathcal{O}_X)^+ \cong \mathcal{O}_X^+ \cong \mathcal{O}_X.$$

Similarly, we have  $f^*(\mathcal{O}_Y^{\oplus I}) \cong (f^*\mathcal{O}_Y)^{\oplus I} \cong \mathcal{O}_X^{\oplus I}$  (as left-adjoint functors commute with coproducts).

**Remark.** If  $\mathcal{E}$  is a locally free rank  $m$   $\mathcal{O}_X$ -module, then  $f^*\mathcal{E}$  is a locally free rank  $m$   $\mathcal{O}_Y$ -module, (as  $f^*$  can be computed locally on  $Y$  using Example 6.1.2).