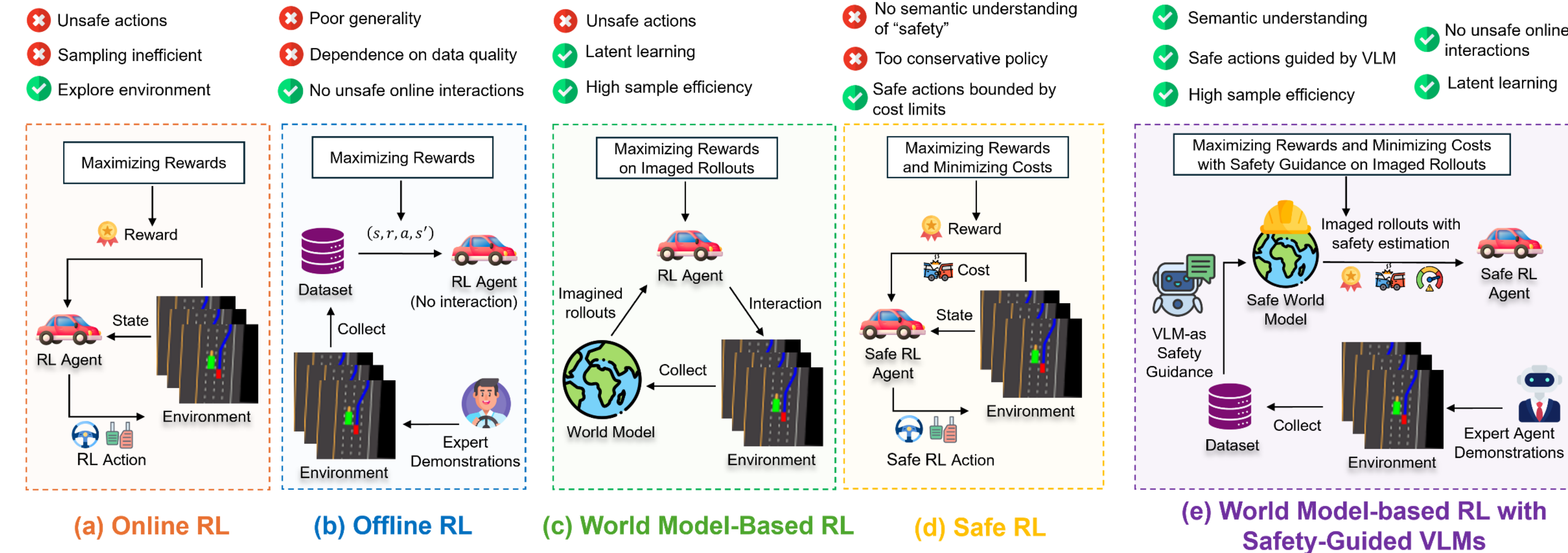


## Introduction

- To make the Reinforcement learning (RL)-based autonomous driving **safer**, we combine world model and safe RL under offline setting to complement each other's strengths and weaknesses.
- More importantly, to achieve a safer RL, a fundamental question is: **how can we identify risky states, semantically understand “safety”, and guide policy learning accordingly?**
- We propose a VLM-as-safety-guidance paradigm to provide intuitive and generalizable safety signals. These signals can guide the RL agent to determine when it is appropriate to maximize rewards and when to minimize, leading to more balanced and safe policy learning.



## Motivation



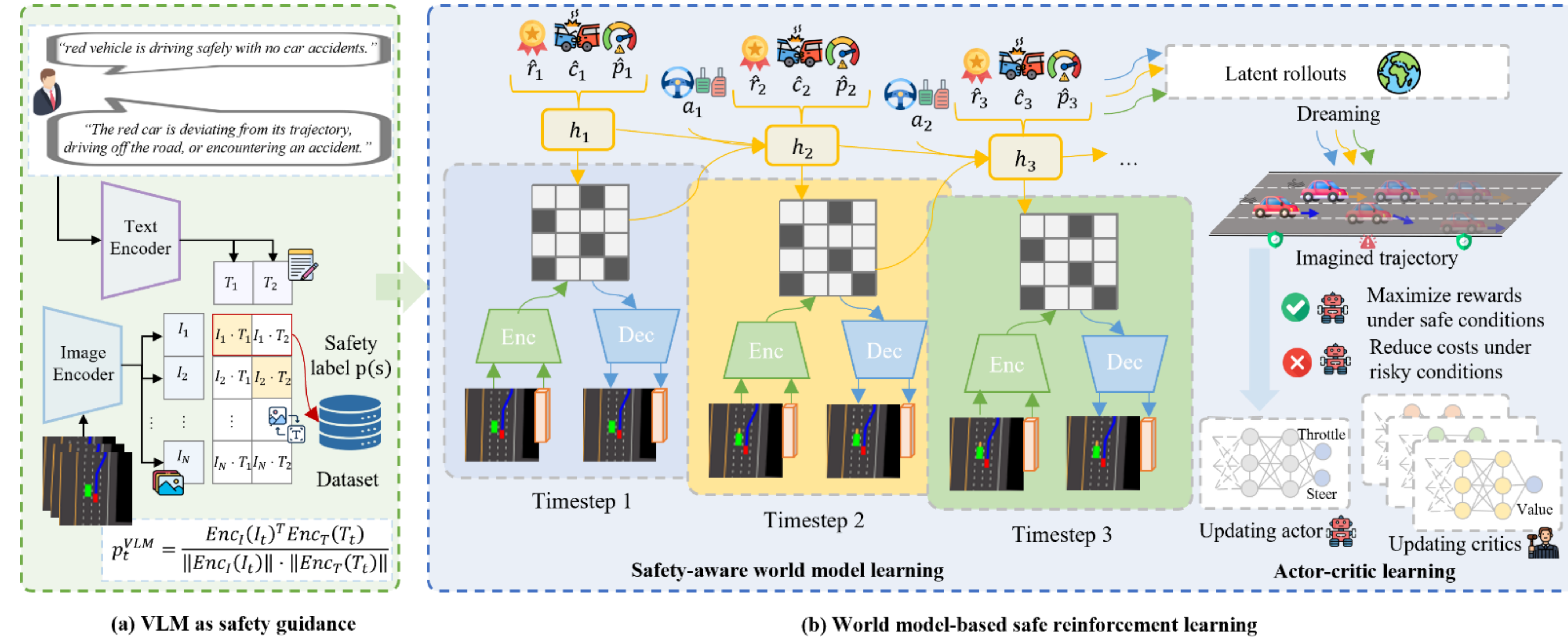
**An example from life:** Imagine an autonomous vehicle approaching a stopped school bus on a multi-lane road, where several children are walking across the street toward the sidewalk. The school bus has its STOP sign extended, indicating that all surrounding vehicles must come to a complete stop.

- A classical RL-based or offline-trained agent may continue driving, as no collision has occurred and the reward function still favors progress.
- A world model-based agent may also ignore STOP sign during imagined rollouts, failing to distinguish the risk embedded in this visual context.
- A traditional safe RL policy may behave unpredictable, it may stop in some cases, but often fails to recognize the raised STOP sign and the presence of children, especially if such visual cues were not explicitly encoded in the cost function.
- In contrast, a VLM-guided policy can semantically understand the scene: the raised STOP sign on the school bus and the crossing children clearly indicate a high-risk situation. Even in the absence of a collision, the VLM assigns a low safety score based on visual semantics, guiding the agent to stop proactively. It enables agents to make early, context-aware, and proportionate decisions, ultimately allowing them to act safely across varied scenarios

## Methodology (VL-SAFE)

### Learning Optimal Policy:

$$\pi^*(a | s) = \frac{1}{Z} w \cdot \pi_b(a | s) \quad w = p(s) \cdot \exp(\beta_1 A^r(s, a)) + (1 - p(s)) \cdot \exp(-\beta_2 A^c(s, a))$$

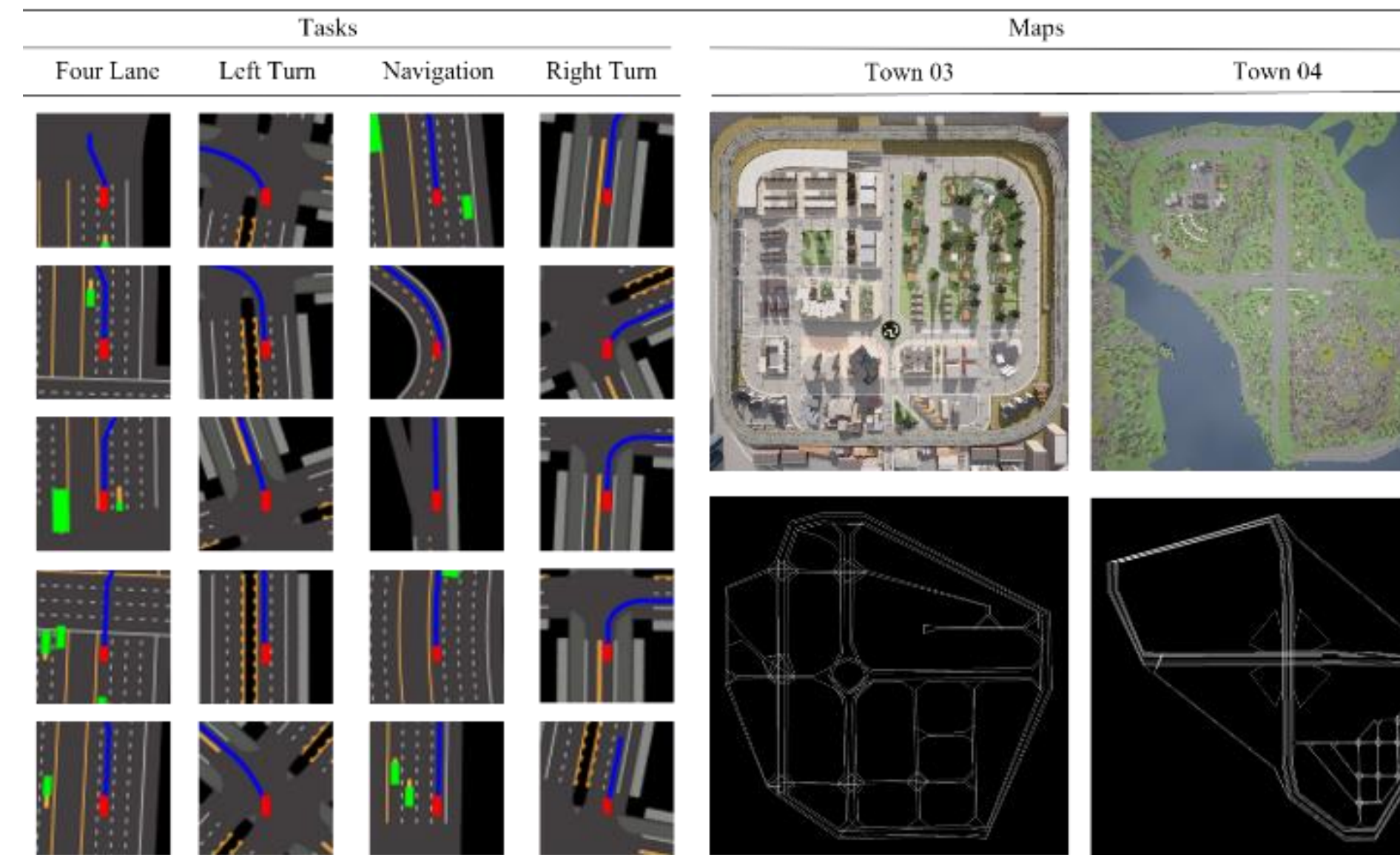


### Details:

- The first phase generates ground truth safety estimations using CLIPs for each state in offline dataset collected by expert agent.
- The second stage will learn a safety-aware world model to generate imagined rollouts along with predicted safety estimations for actor-critic learning.
- Achieves a balance between reward maximization and cost minimization under the VLM-based safety guidance.
- Provides a semantic understanding of "safety" in complex driving contexts, enabling an effective safe policy learning.

## Experiment Results

Compared to traditional methods, our framework yields superior performance in terms of **driving safety**, **sampling efficiency**, and **generalizability**.



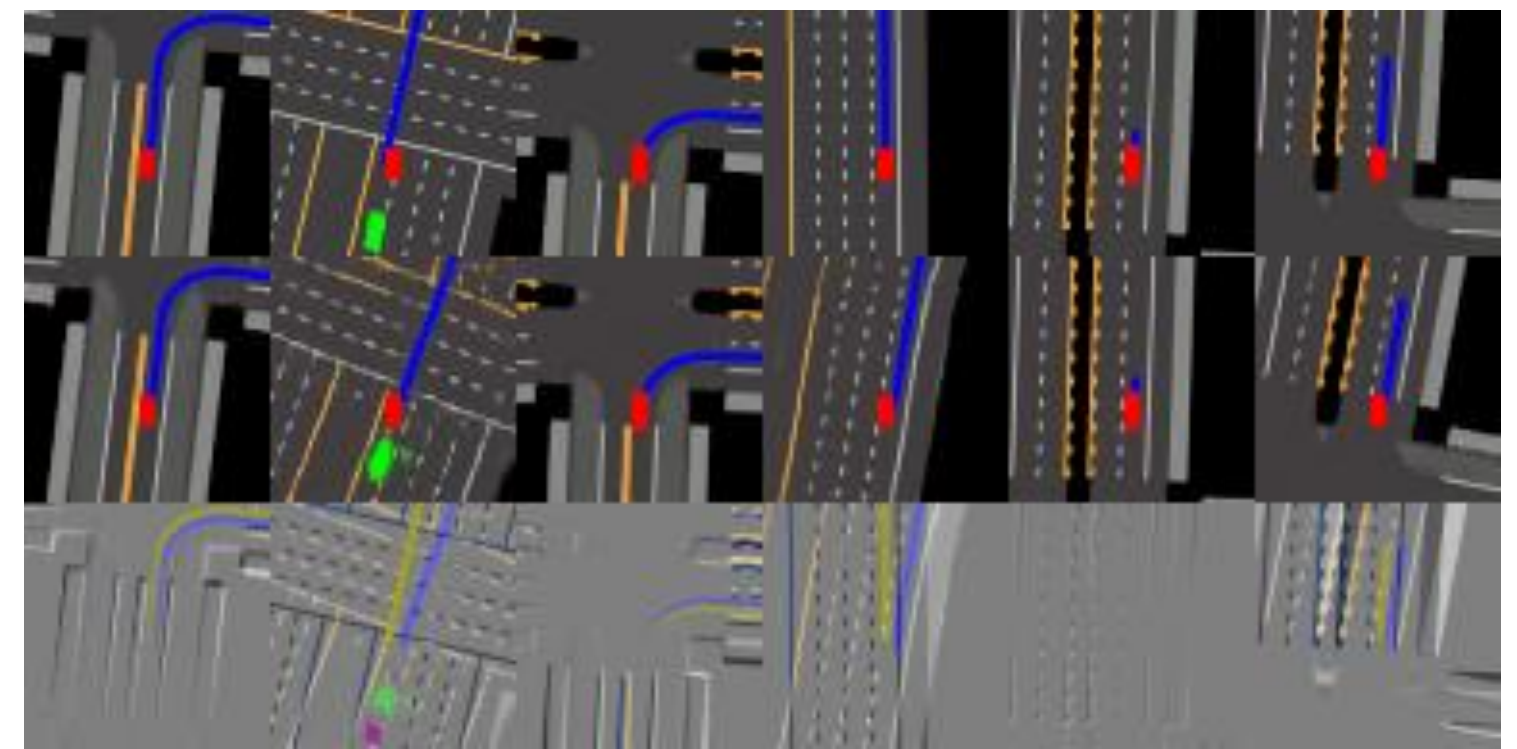
**Driving scenarios:** Four tasks in the CarDreameer simulation platform on Town 03 and Town 04 maps under CARLA.

**Observation space:** BEV image.

**Action space:** discrete acceleration = [-2.0, 0.0, 2.0] and discrete steering = [-0.6, -0.2, 0.0, 0.2, 0.6].

**Evaluation metrics:** Optimization goals: Return, Cost; mobility: Average speed (AS), Waypoint completion (WC), travel distance (TD); safety: Arrive rate (AR), Collision rate (CR), time-based collision frequency (TCF), distance-based collision frequency (DCF), Collision speed (CS)

Models	Return ↑	Cost ↓	AS ↑	WC ↑	TD ↑	AR ↑	CR ↓	TCF ↓	DCF ↓	CS ↓
<b>Four Lane</b>										
PPO	356.48	79.32	2.15	71.5	75.64	0.24	0.09	0.2	1.1	3.04
SAC	96.73	28.35	0.36	20.18	17.9	0	0	0	0	0
DQN	612.33	57.79	4.69	151.54	149.96	0.81	0.11	0.33	0.73	4.25
BC	-85.39	156.46	8.76	112.35	137.13	0.59	0.2	1.29	1.48	9.51
CQL	110.42	101	5.81	104.15	120.55	0.54	0.13	0.45	1.1	7
IQL	-43.38	67.35	0.08	2.55	3.41	0	0.01	0.01	0	1.22
DreamerV3	326.95	71.81	6.3	135.6	149.49	0.67	0.2	0.89	1.46	8.37
FSOP	429.18	73.15	5.6	137.7	144.58	0.52	0.15	0.25	0.92	5.6
VL-SAFE	552.43	69.17	4.25	146.7	132.55	0.7	0.05	0.34	0.46	5.22
<b>Left Turn</b>										
PPO	208.75	35.59	1.63	37.94	34.83	0	0	0	0	0
SAC	110.2	0.28	0.31	18.86	15.5	0	0	0	0	0
DQN	214.11	44.18	3.23	45.88	61.17	0.9	0	0	0	0
BC	112.56	8.04	6.88	57.01	61.67	0.97	0	0	0	0
CQL	129.96	3.53	4.48	47.88	47.02	0.67	0	0	0	0
IQL	-1.47	0	0	0	0	0	0	0	0	0
DreamerV3	305.42	17.63	4.14	63	61.54	1	0	0	0	0
FSOP	281.87	0.22	5.12	70.9	61	1	0	0	0	0
VL-SAFE	358.7	1.68	2.18	71.5	60.63	0.94	0	0	0	0
<b>Navigation</b>										
PPO	434.51	68.5	1.62	82.95	70.13	0	0.02	0.04	0.14	0.18
SAC	-11.03	12.38	0	0.13	0.14	0	0	0	0	0
DQN	344.74	40.93	4.47	163.59	156.4	0	0.07	0.16	0.41	0.97
BC	-90.54	124.89	8.84	262.16	302.86	0	0.16	0.46	0.68	5.27
CQL	231.76	110.19	6.01	235.41	239.74	0	0.13	0.29	0.57	5.12
IQL	-177.51	217.15	0.25	3.54	5.36	0	0.02	0.03	3.67	0.03
DreamerV3	528.86	48.41	7.08	297.55	321.43	0	0.1	0.39	0.36	3.04
FSOP	775.17	54.4	6.73	359	305.04	0	0.08	0.37	0.61	3.96
VL-SAFE	935.63	0.55	3.57	246.6	178.62	0	0.01	0.04	0	0
<b>Right Turn</b>										
PPO	288.4	10.59	1.96	52.58	42.36	0.65	0	0	0	0
SAC	-8.32	96.57	0.31	14.51	13.97	0	0	0	0	0
DQN	46.04	36.46	0.49	10.96	15.11	0.14	0	0	0	0
BC	107.67	7.76	6.28	47.62	45.26	0.83	0	0	0	0
CQL	67.12	77.04	4.91	44.12	45.35	0.77	0	0	0	0
IQL	-3.88	31.43	0.14	3.78	4.11	0	0	0	0	0
DreamerV3	318.44	2.67	3.5	59.5	48.37	0.85	0	0	0	0
FSOP	258.61	0.72	4.49	60.9	48.01	0.93	0	0	0	0
VL-SAFE	315.17	0	2.53	58.1	43.5	0.89	0	0	0	0



Comparison between ground-truth observations and predictions generated by the world model.

LinkedIn



SCAN ME

Paper

Video and Code



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