

An Identifiability Perspective on Representation Learning

Yash Sharma

github.com/bethgelab/slow_disentanglement
arxiv.org/abs/2007.10930

github.com/brendel-group/cl-ica
arxiv.org/abs/2102.08850

Outline

1. Towards Nonlinear Disentanglement in Natural Data with Temporal Sparse Coding
 - a. Identifiability w/ assumptions derived from natural video

Outline

1. Towards Nonlinear Disentanglement in Natural Data with Temporal Sparse Coding
 - a. Identifiability w/ assumptions derived from natural video
2. Contrastive Learning Inverts the Data Generating Process
 - a. Identifiability & InfoNCE

Outline

1. Towards Nonlinear Disentanglement in Natural Data with Temporal Sparse Coding
 - a. Identifiability w/ assumptions derived from natural video
2. Contrastive Learning Inverts the Data Generating Process
 - a. Identifiability & InfoNCE
3. Self-Supervised Learning with Data Augmentations Provably Isolates Content from Style
 - a. Identifiability when augmentations leave factors invariant

Towards Nonlinear Disentanglement in Natural Data with Temporal Sparse Coding

Overview

1. Problem Statement

- a. What is disentanglement?

Overview

1. Problem Statement

- a. What is disentanglement?

Overview

1. Problem Statement

- a. What is disentanglement?
- b. What do we need to solve disentanglement?

Overview

1. Problem Statement

- a. What is disentanglement?
- b. What do we need to solve disentanglement?
- c. Can we find what we need in natural video?

Overview

1. Problem Statement

- a. What is disentanglement?
- b. What do we need to solve disentanglement?
- c. Can we find what we need in natural video?

2. Theoretical Contributions

- a. A prior based on natural statistics provably enables disentanglement

Overview

1. Problem Statement

- a. What is disentanglement?
- b. What do we need to solve disentanglement?
- c. Can we find what we need in natural video?

2. Theoretical Contributions

- a. A prior based on natural statistics provably enables disentanglement

3. Empirical Contributions

- a. Qualitative and quantitative results on existing and contributed datasets demonstrate outperformance in aggregate

What is Disentanglement?

State of the world, \mathbf{z} :

- shape
- scale
- orientation
- x position
- y position

What is Disentanglement?

State of the world, \mathbf{z} :

- shape
- scale
- orientation
- x position
- y position

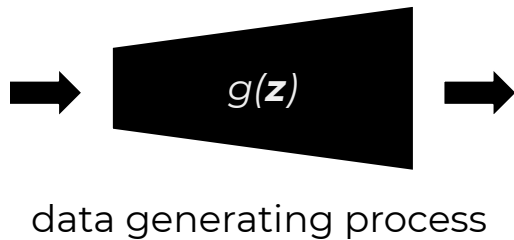


data generating process

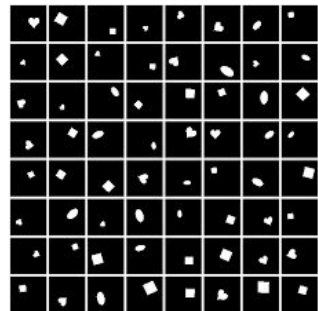
What is Disentanglement?

State of the world, \mathbf{z} :

- shape
- scale
- orientation
- x position
- y position



Observations, \mathbf{x} :



What is Disentanglement?

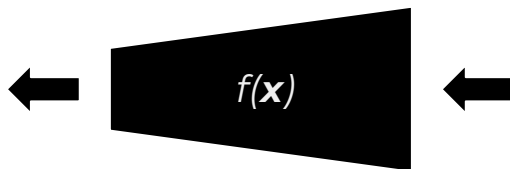
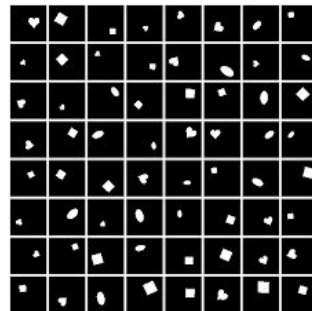
State of the world, \mathbf{z} :

- shape
- scale
- orientation
- x position
- y position



data generating process

Observations, \mathbf{x} :



representation learning

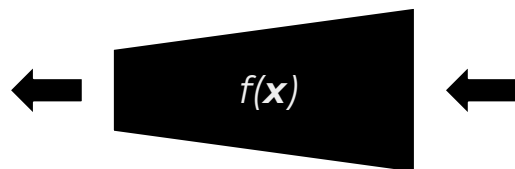
What is Disentanglement?

State of the world, \mathbf{z} :

- shape
- scale
- orientation
- x position
- y position

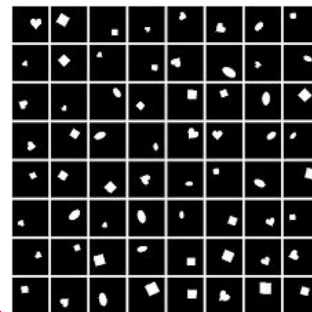


data generating process



representation learning

Observations, \mathbf{x} :



Too simplistic

What is Disentanglement?

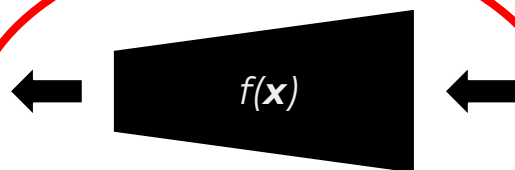
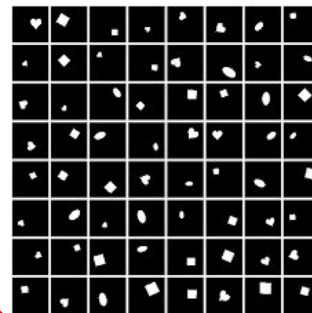
State of the world, \mathbf{z} :

- shape
- scale
- orientation
- x position
- y position



data generating process

Observations, \mathbf{x} :

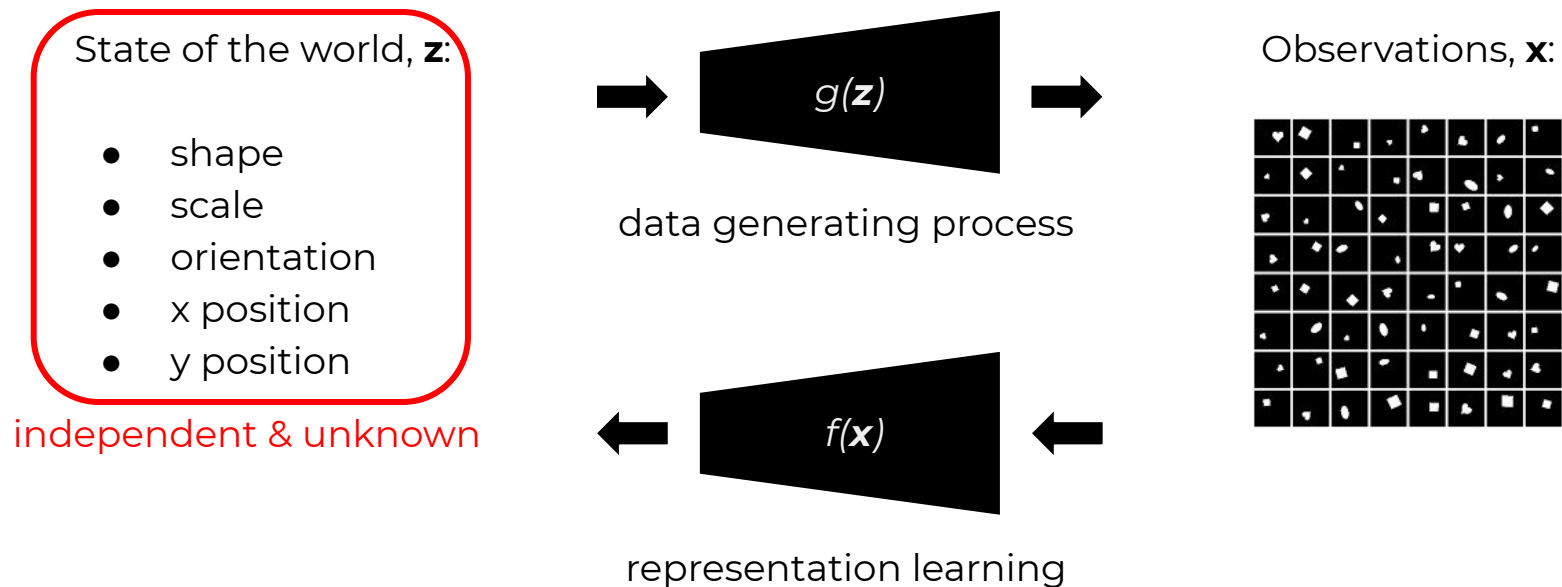


representation learning

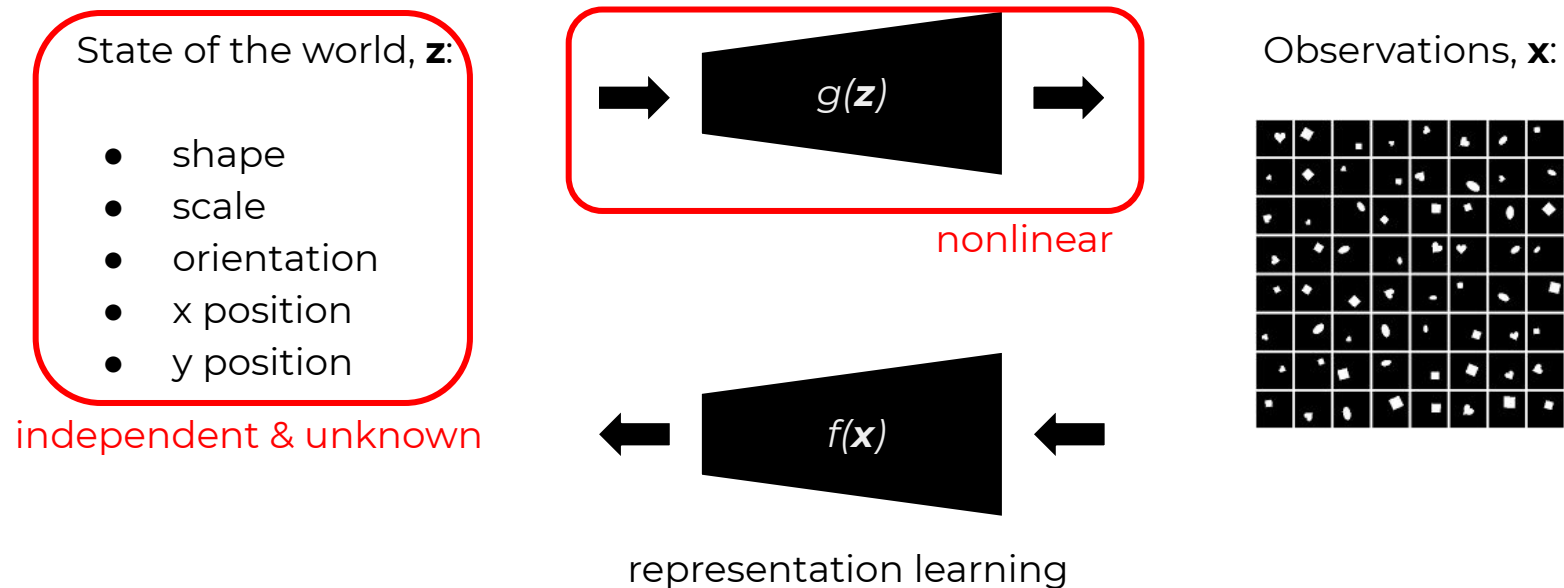
**prev solutions do not
account for observed
natural scene statistics**

Too simplistic

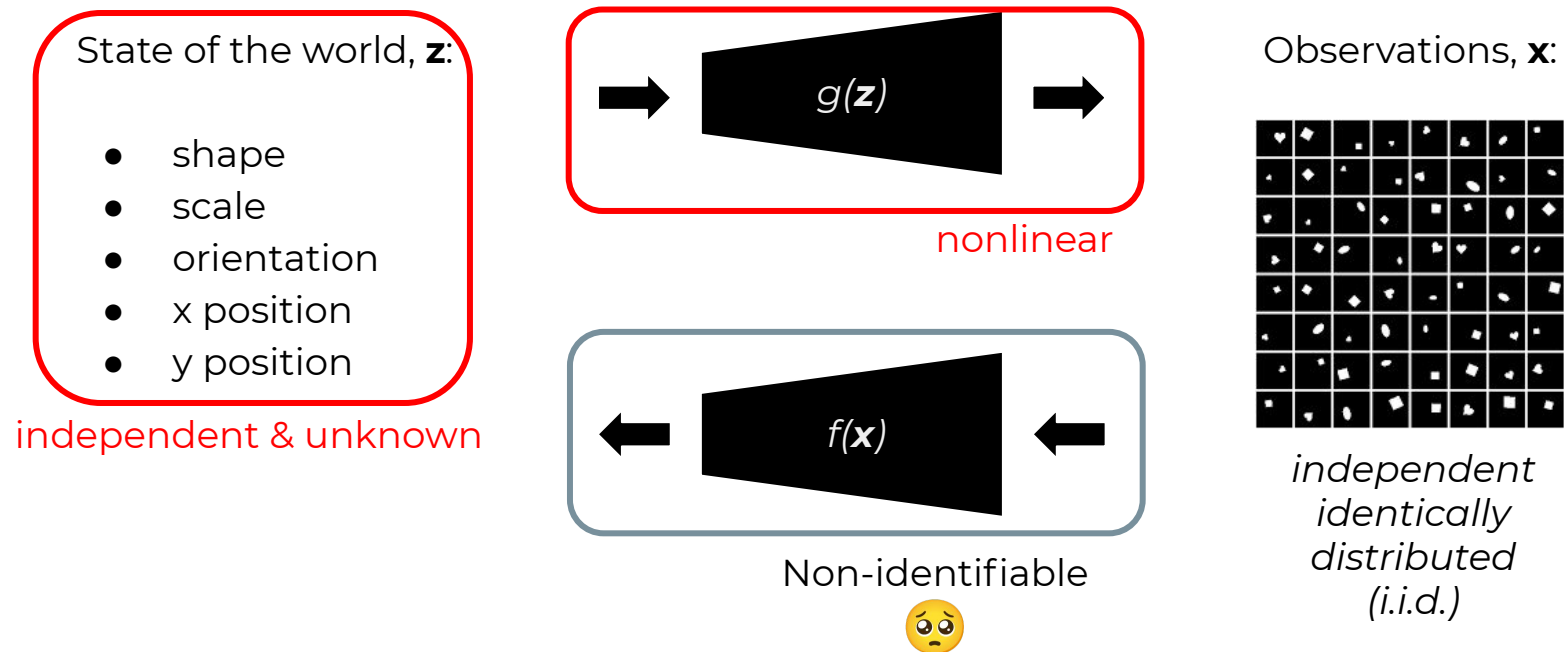
Non-identifiability



Non-identifiability



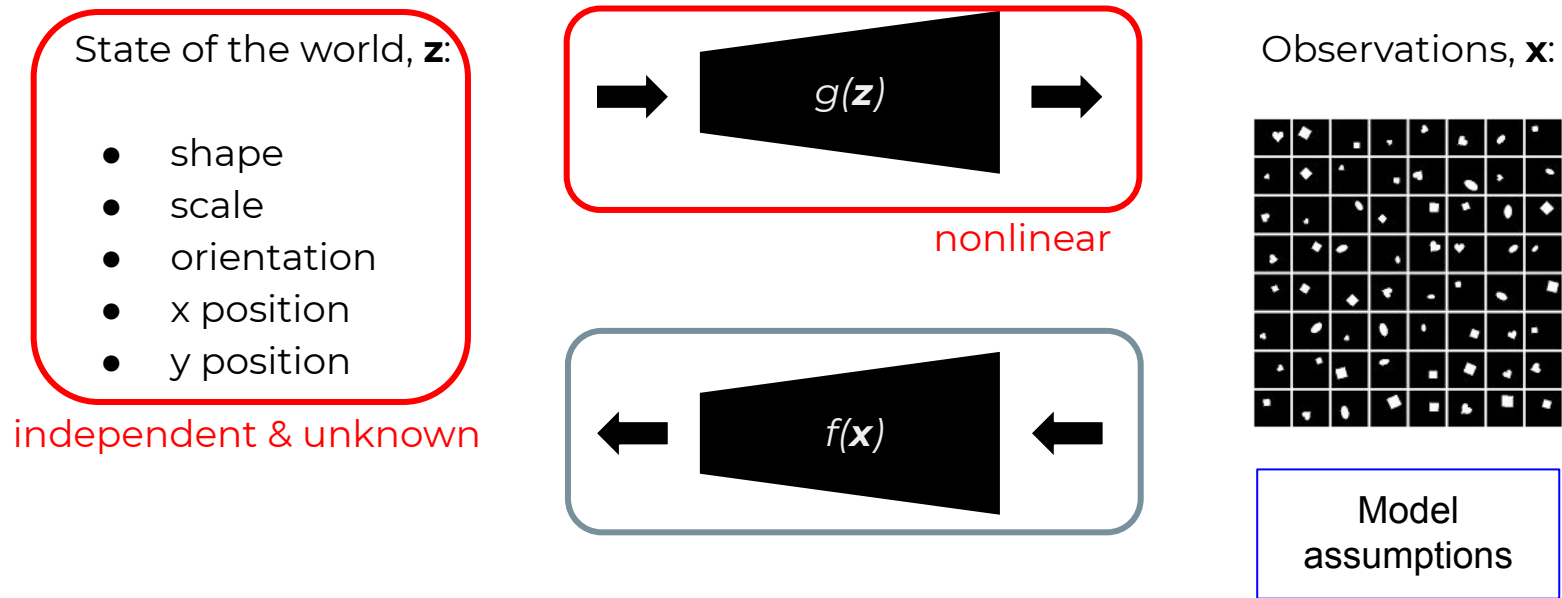
Non-identifiability



Locatello et al. (2018) *Challenging Common Assumptions in the Unsupervised Learning of Disentangled Representations*

Hyvärinen & Pajunen (1999) *Nonlinear independent component analysis: Existence and uniqueness results*

Nonlinear Disentanglement



Locatello et al. (2020) *Weakly-Supervised Disentanglement Without Compromises*

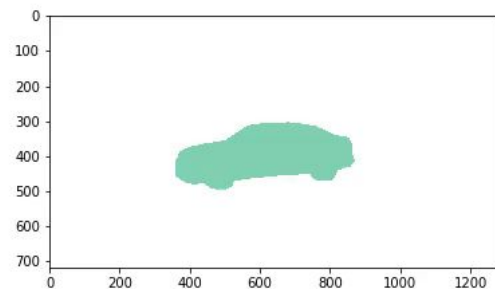
Hyvärinen & Morioka (2017) *Nonlinear ICA of Temporally Dependent Stationary Sources*

Hyvärinen & Morioka (2016) *Unsupervised Feature Extraction by Time-Contrastive Learning and Nonlinear ICA*

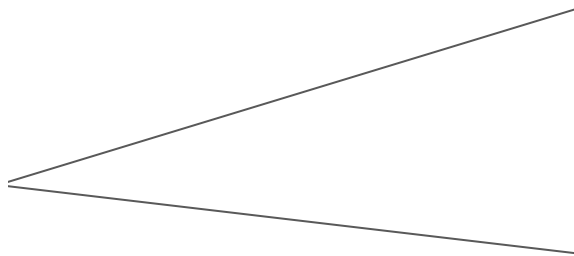
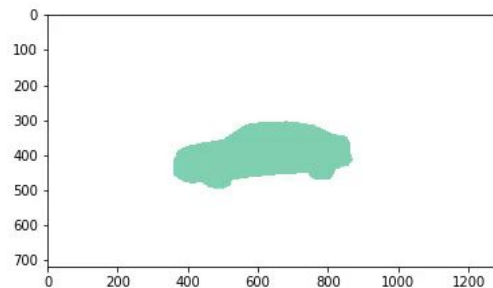
Natural Video



Natural Video

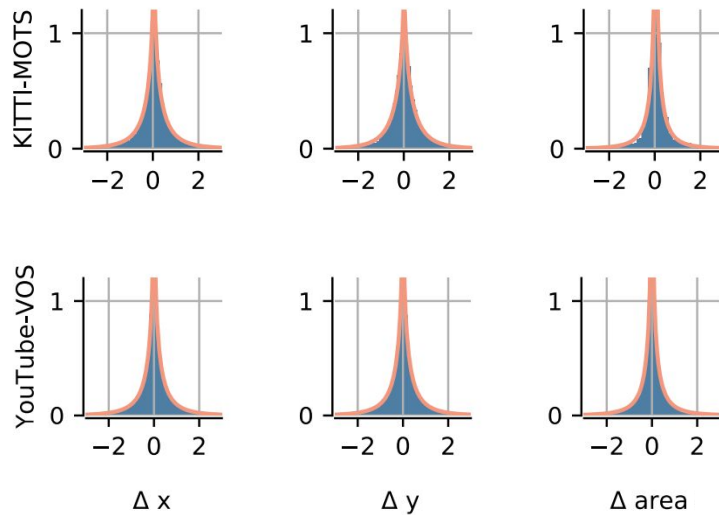


Natural Video



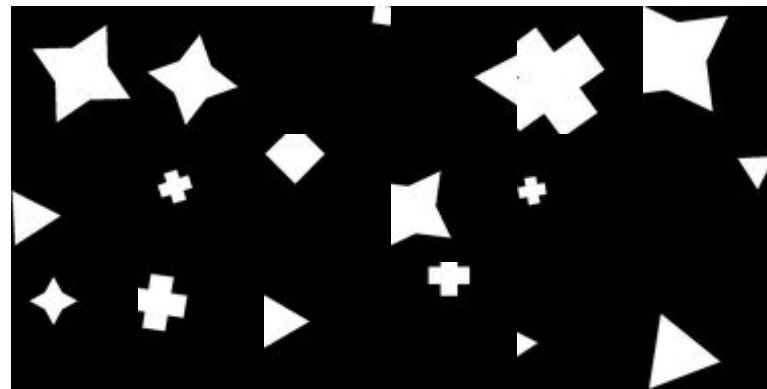
- scale
- x position
- y position

Natural Data Analysis



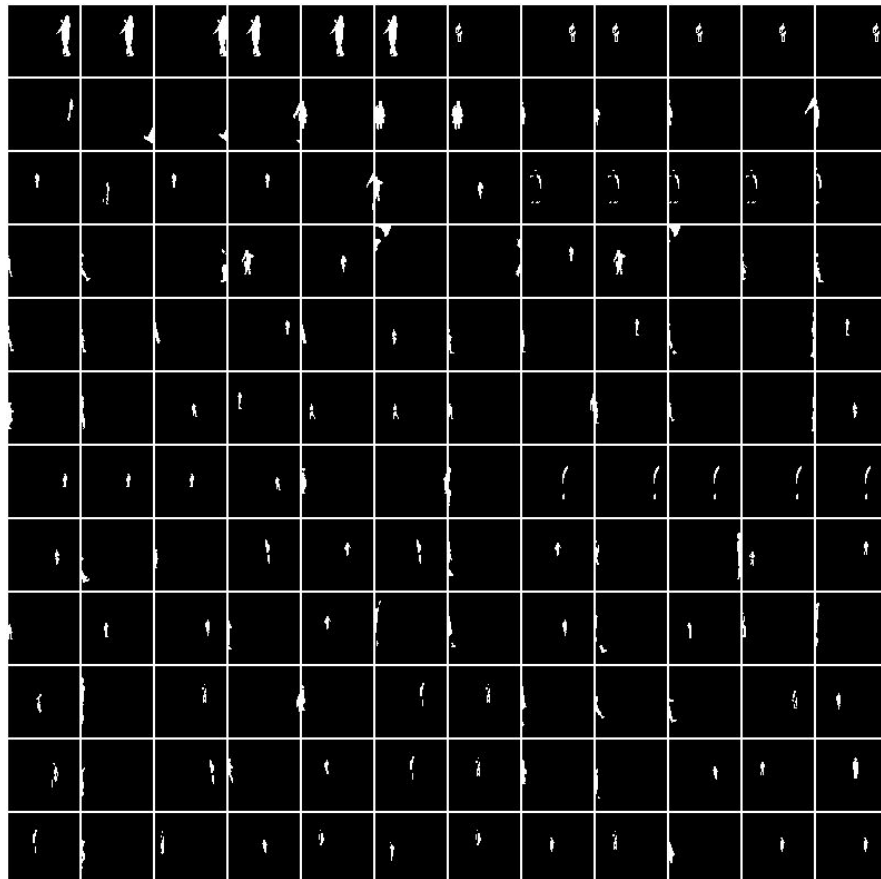
Natural Sprites

- Images generated online using renderer
- Simple, well-controlled objects
- Transitions sampled from YouTube-VOS



KITTI Masks

- Pedestrian masks extracted directly from autonomous recorded videos
- Realistic objects & transitions



The world is not *i.i.d.*

The world is not *i.i.d.*

State of the world, **z**:

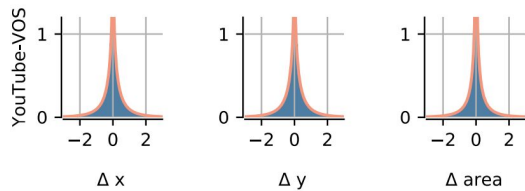
{shape, scale, orientation,
x position, y position}

The world is not *i.i.d.*

State of the world, \mathbf{z} :

{shape, scale, orientation,
x position, y position}

And dynamics:



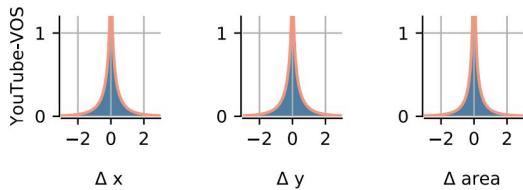
The world is not *i.i.d.*

State of the world, \mathbf{z} :

{shape, scale, orientation,
x position, y position}



And dynamics:

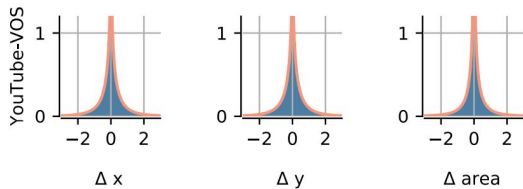


The world is not *i.i.d.*

State of the world, \mathbf{z} :

{shape, scale, orientation,
x position, y position}

And dynamics:



Observations:

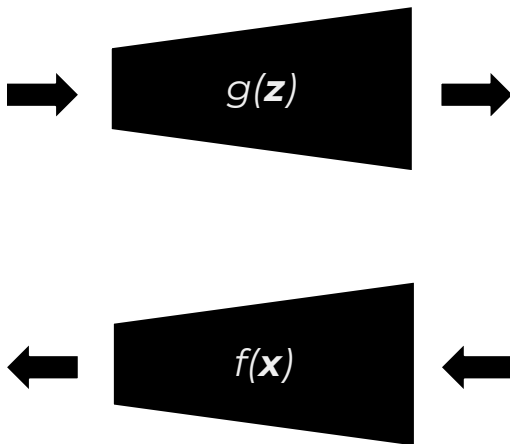
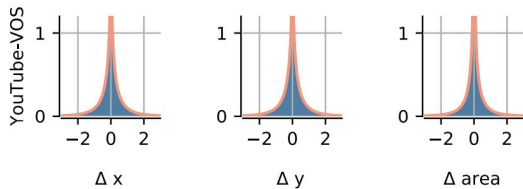


The world is not *i.i.d.*

State of the world, \mathbf{z} :

{shape, scale, orientation,
x position, y position}

And dynamics:



Observations:

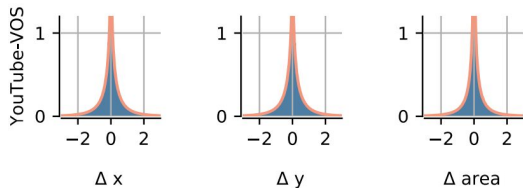


The world is not *i.i.d.*

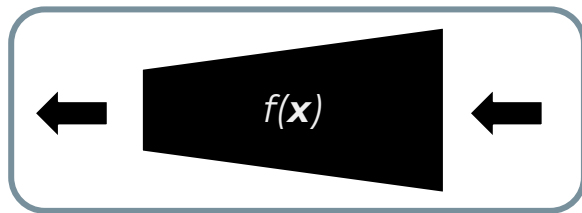
State of the world, \mathbf{z} :

{shape, scale, orientation,
x position, y position}

And dynamics:

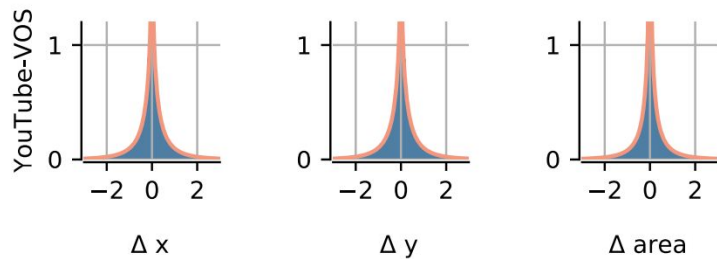


Observations:

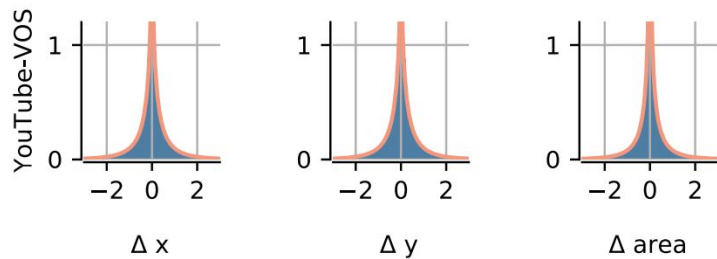


identifiable 🤖

Identifiability Proof Intuition



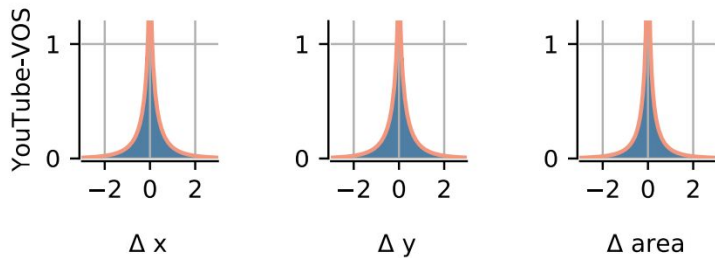
Identifiability Proof Intuition



Prior:

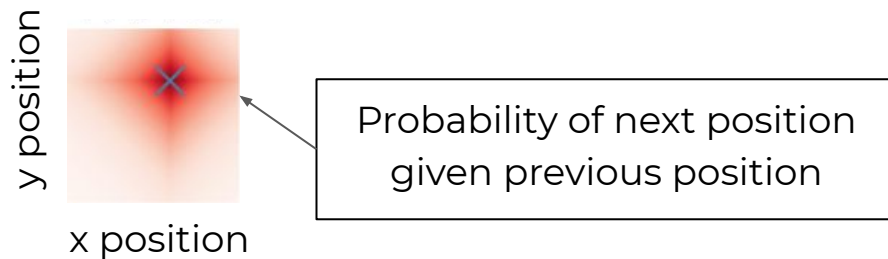
*objects in nature
change sparsely*

Identifiability Proof Intuition

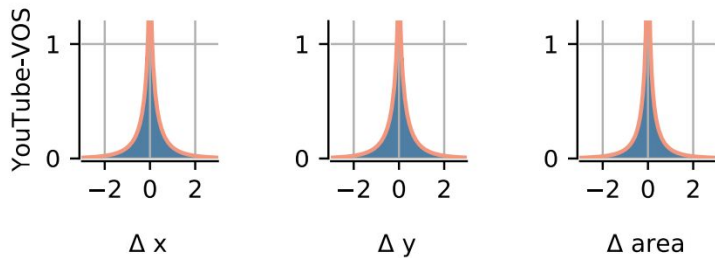


Prior:

*objects in nature
change sparsely*

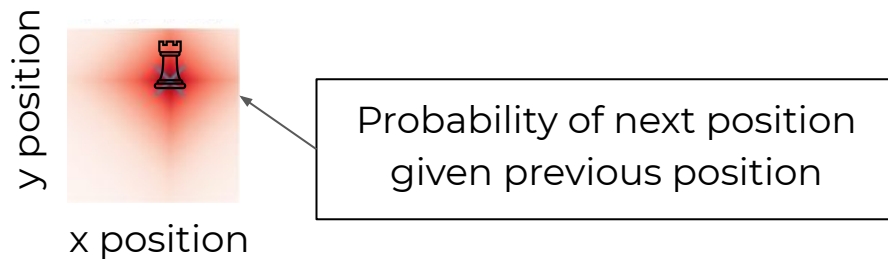


Identifiability Proof Intuition

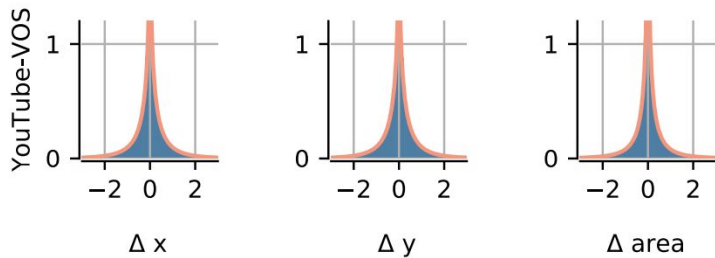


Prior:

*objects in nature
change sparsely*

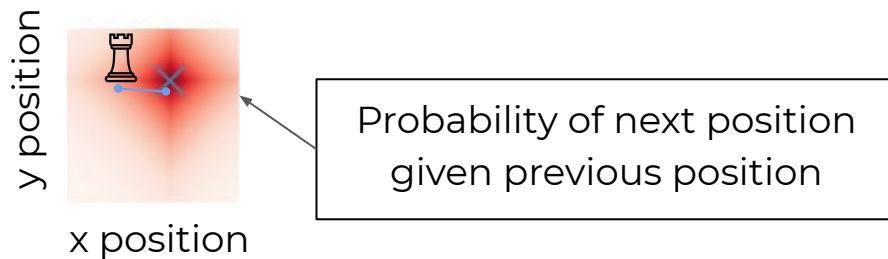


Identifiability Proof Intuition

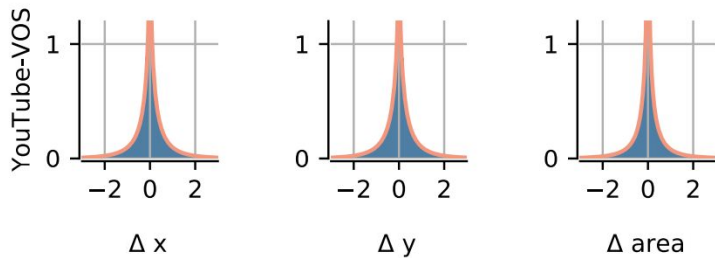


Prior:

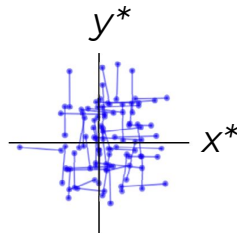
*objects in nature
change sparsely*



Identifiability Proof Intuition

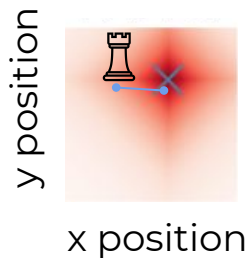


True Model

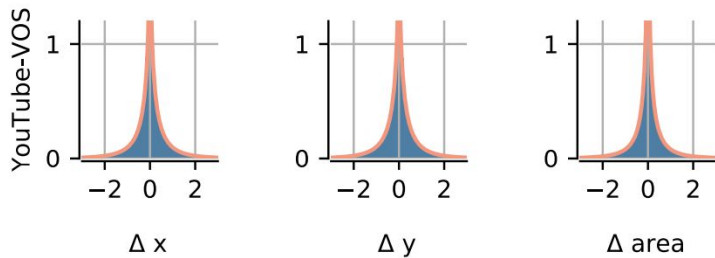


Prior:

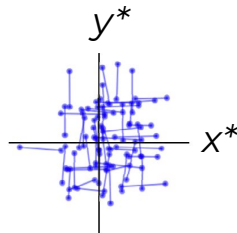
*objects in nature
change sparsely*



Identifiability Proof Intuition



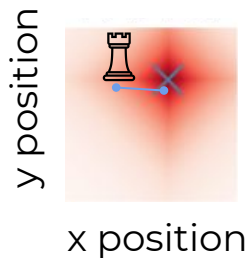
True Model



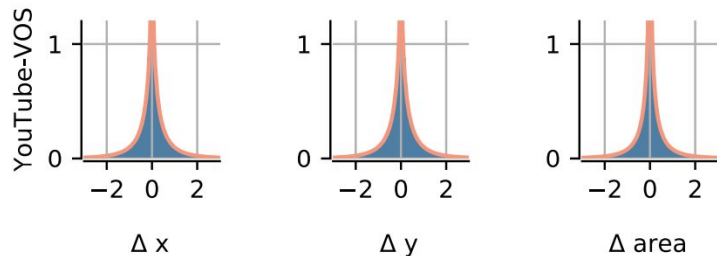
$$g^*(z)$$

Prior:

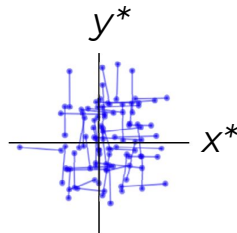
*objects in nature
change sparsely*



Identifiability Proof Intuition

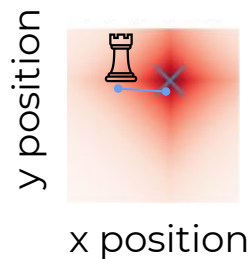


True Model

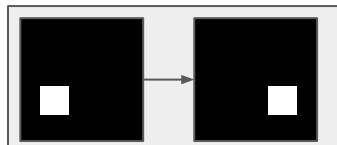


Prior:

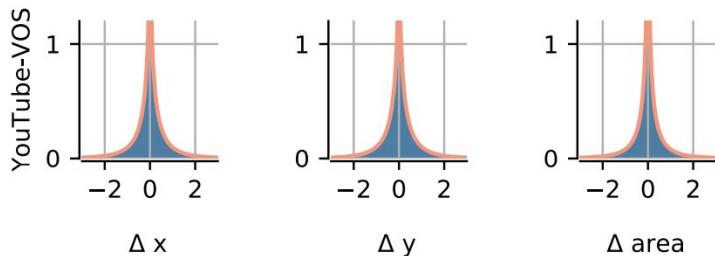
*objects in nature
change sparsely*



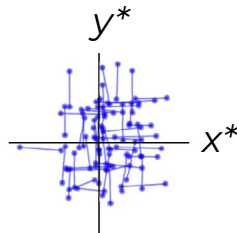
$g^*(z)$



Identifiability Proof Intuition

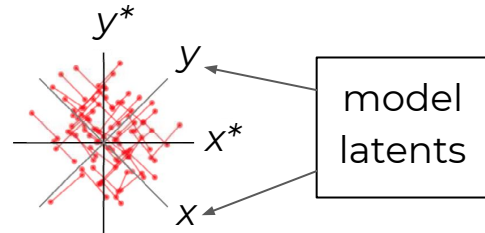


True Model



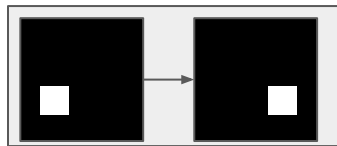
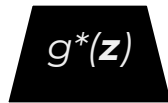
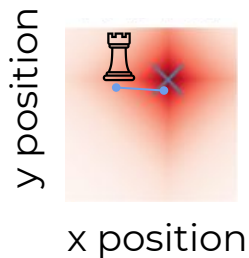
\neq

Learned Model

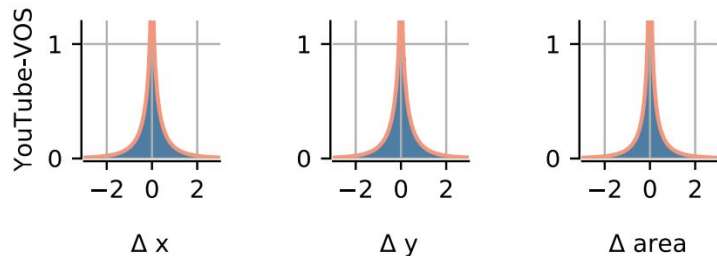


Prior:

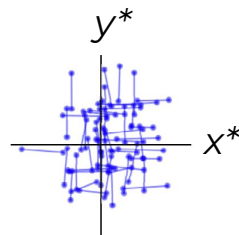
*objects in nature
change sparsely*



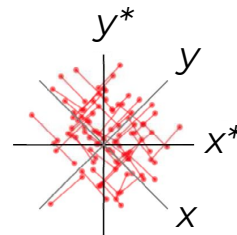
Identifiability Proof Intuition



True Model



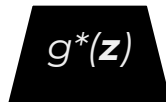
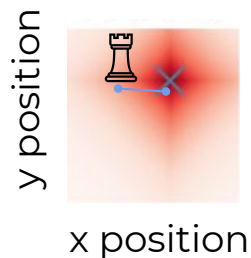
Learned Model



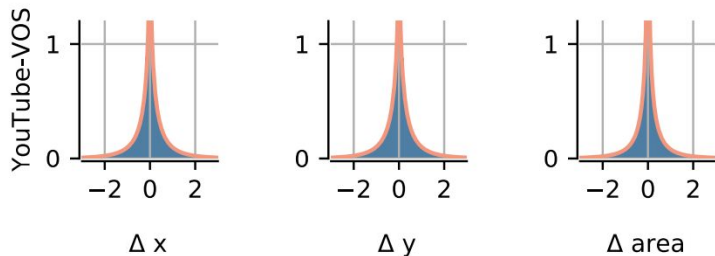
\neq

Prior:

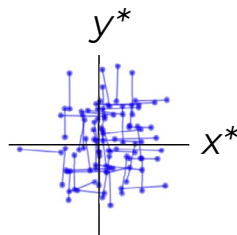
*objects in nature
change sparsely*



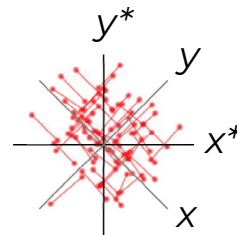
Identifiability Proof Intuition



True Model



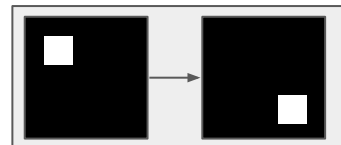
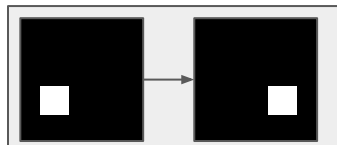
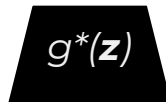
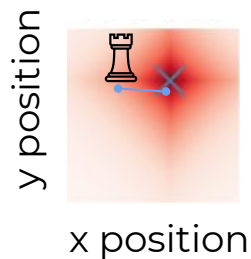
Learned Model



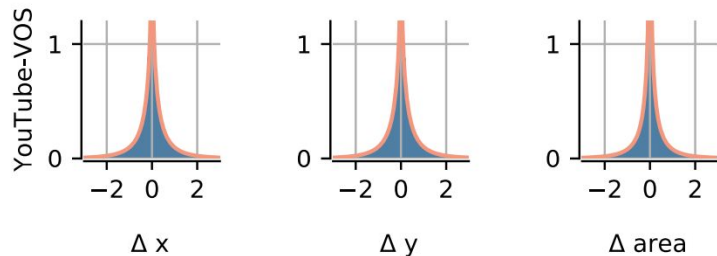
\neq

Prior:

*objects in nature
change sparsely*

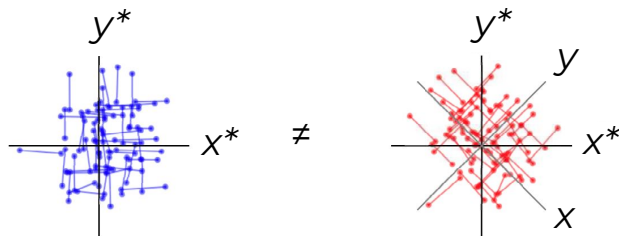


Identifiability Proof Intuition



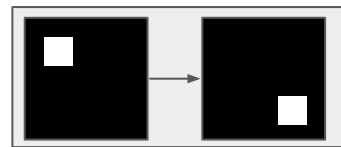
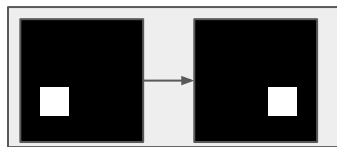
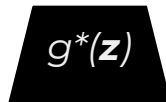
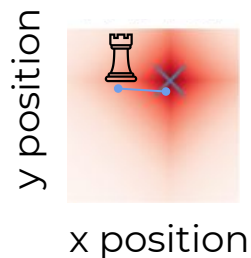
True Model

Learned Model



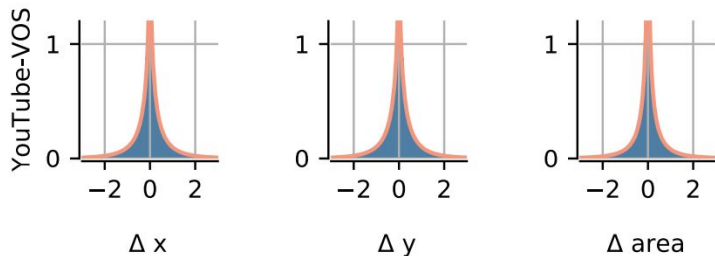
Prior:

*objects in nature
change sparsely*

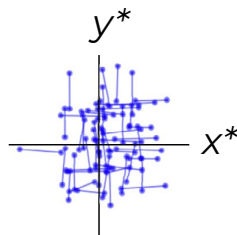


sparse transitions

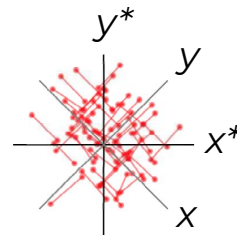
Identifiability Proof Intuition



True Model



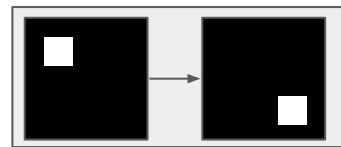
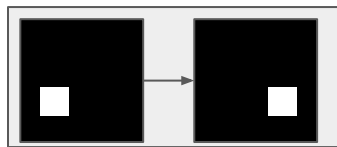
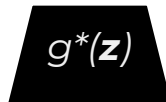
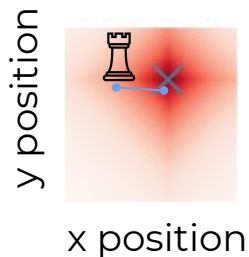
Learned Model



\neq

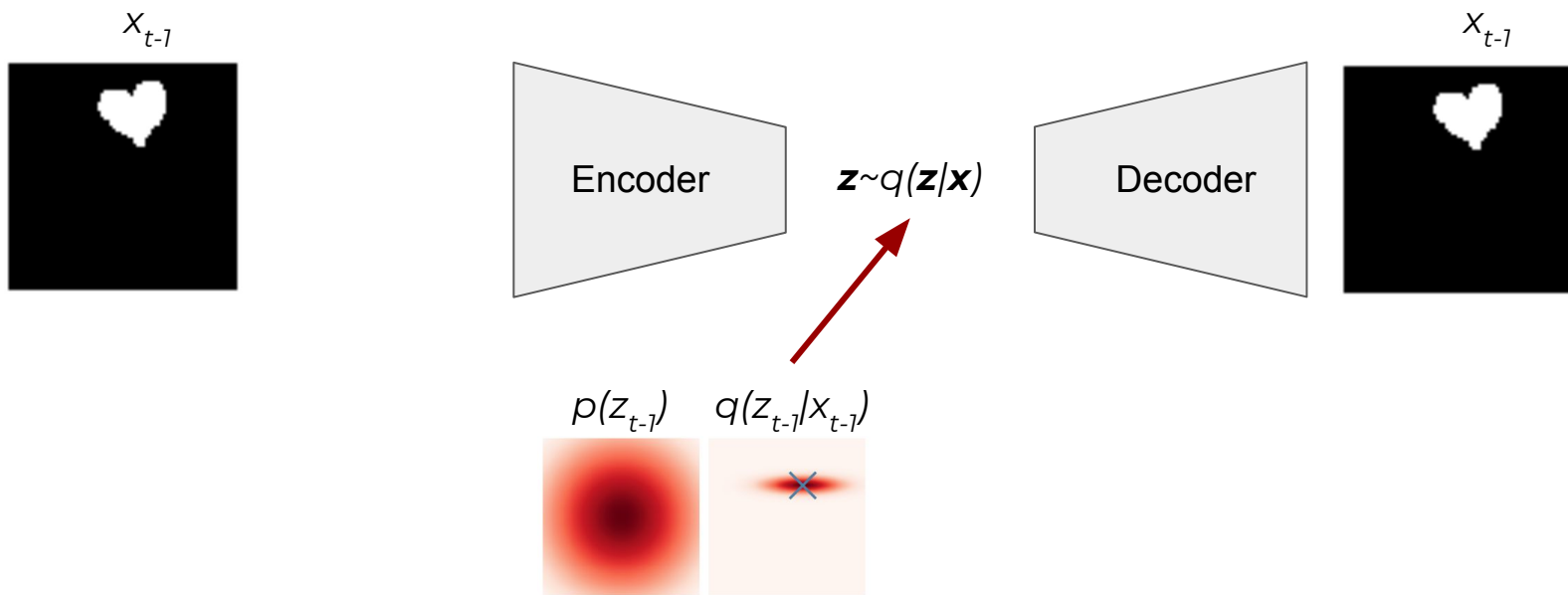
Prior:

*objects in nature
change sparsely*

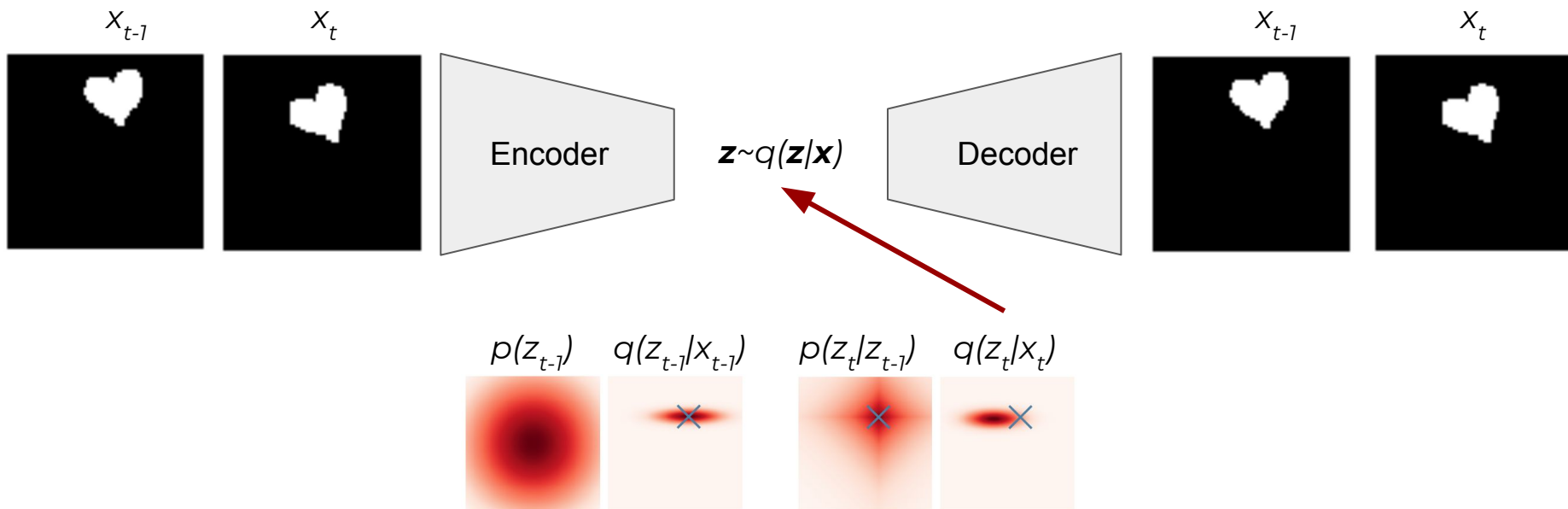


sparse transitions \neq dense transitions

Slow Variational Autoencoder at time $t-1$



Slow Variational Autoencoder at time $t-1$



Quantitative Results - dSprites

Quantitative Results - dSprites

Data



Quantitative Results - dSprites

Data



Ada-GVAE [Locatello et al. 19]

PCL [Hyvärinen et al. 17]

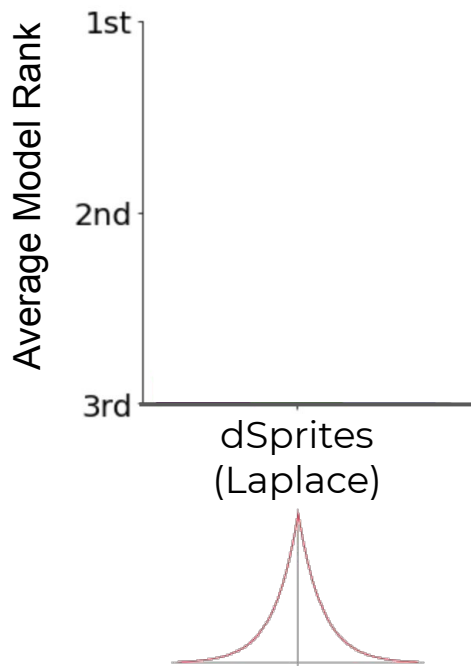
SlowVAE (ours)

Quantitative Results - dSprites

Data



Disentanglement Performance



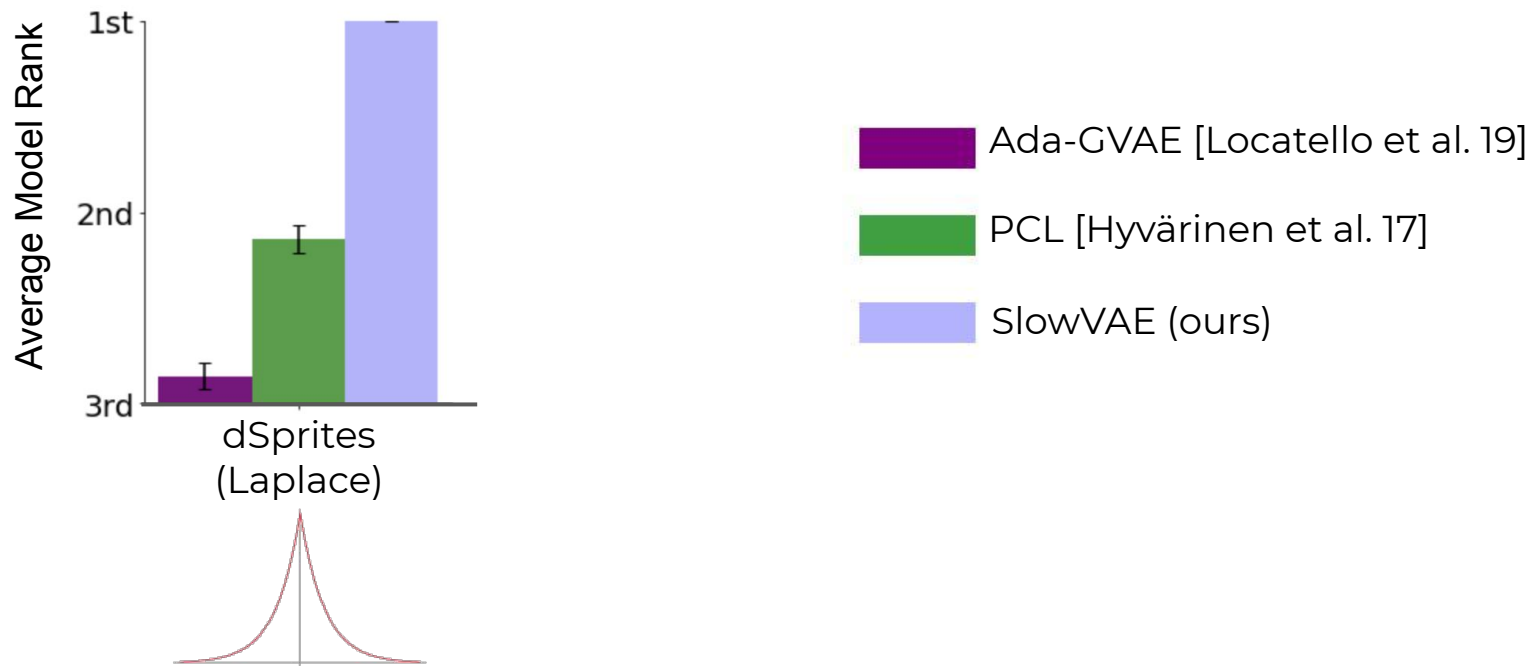
- Ada-GVAE [Locatello et al. 19]
- PCL [Hyvärinen et al. 17]
- SlowVAE (ours)

Quantitative Results - dSprites

Data



Disentanglement Performance

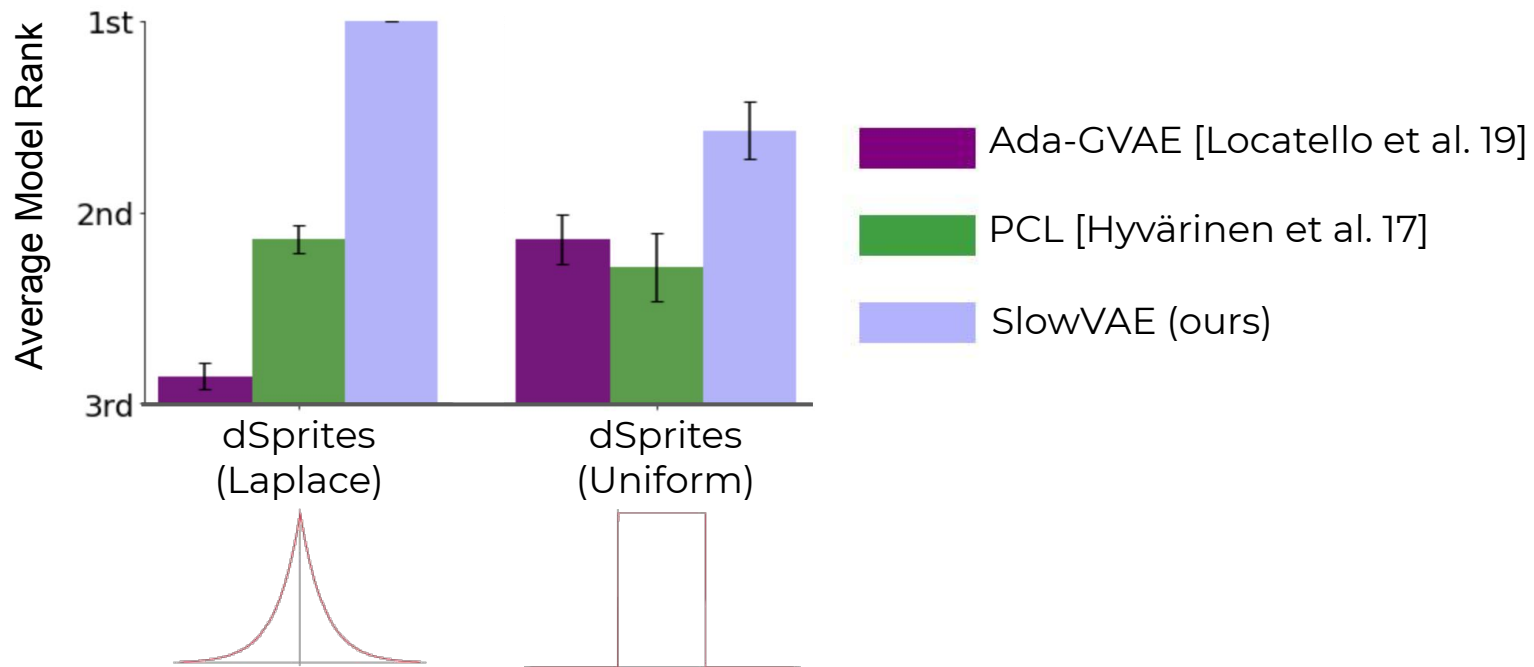


Quantitative Results - dSprites

Data

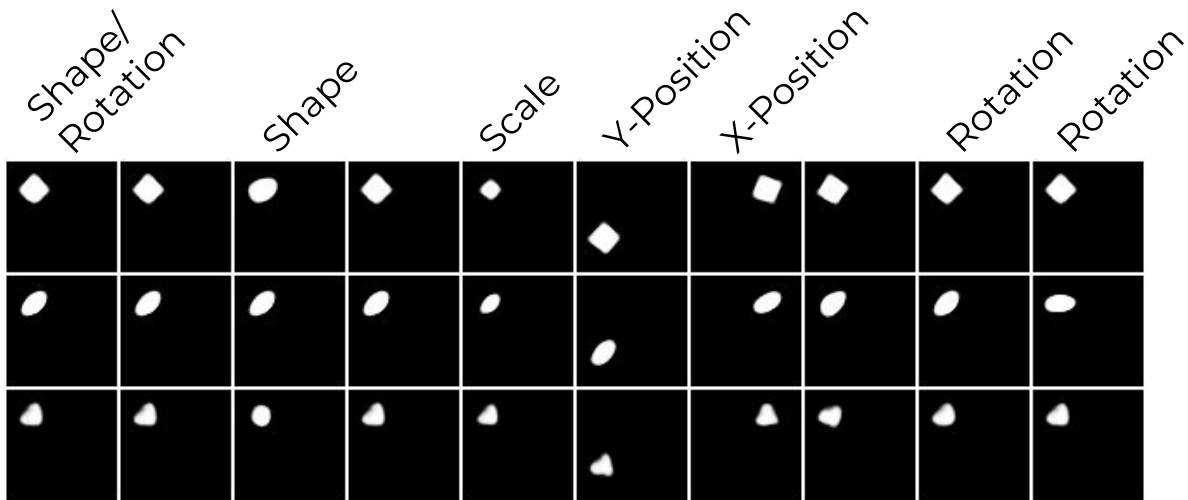


Disentanglement Performance



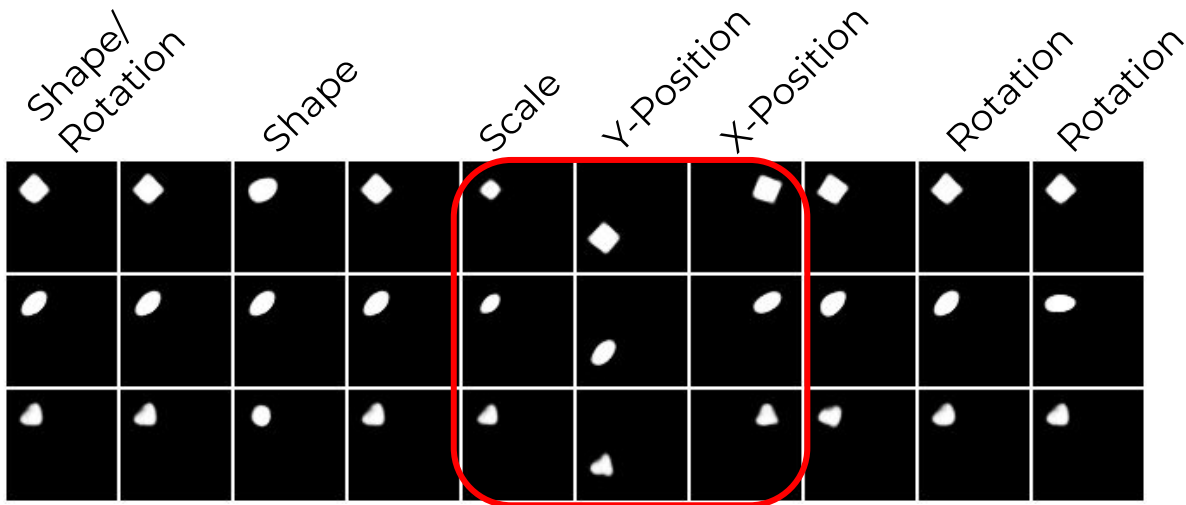
Qualitative Results - dSprites

SlowVAE (Latent Walk)



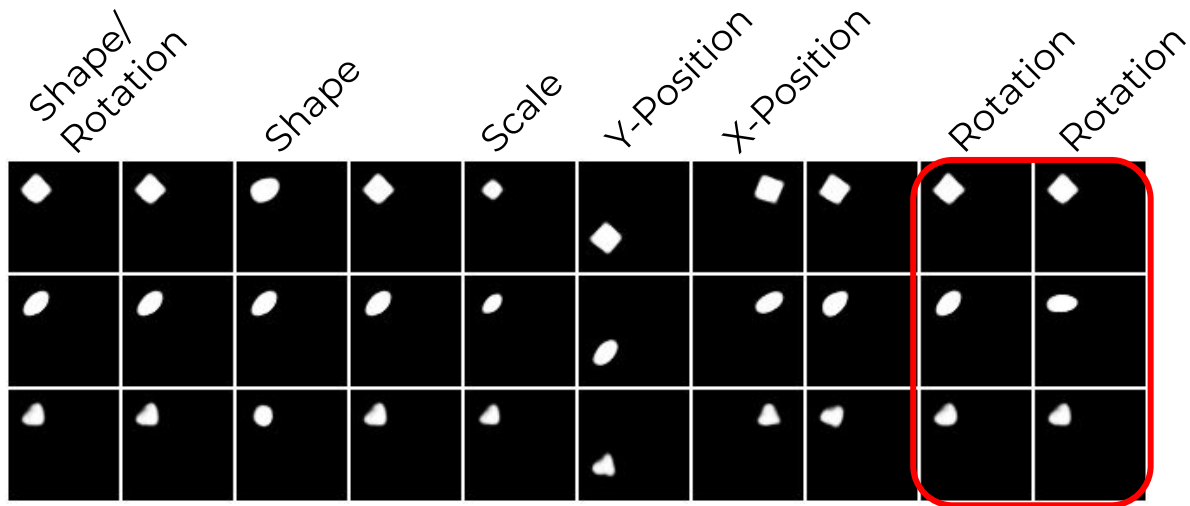
Qualitative Results - dSprites

SlowVAE (Latent Walk)

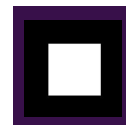
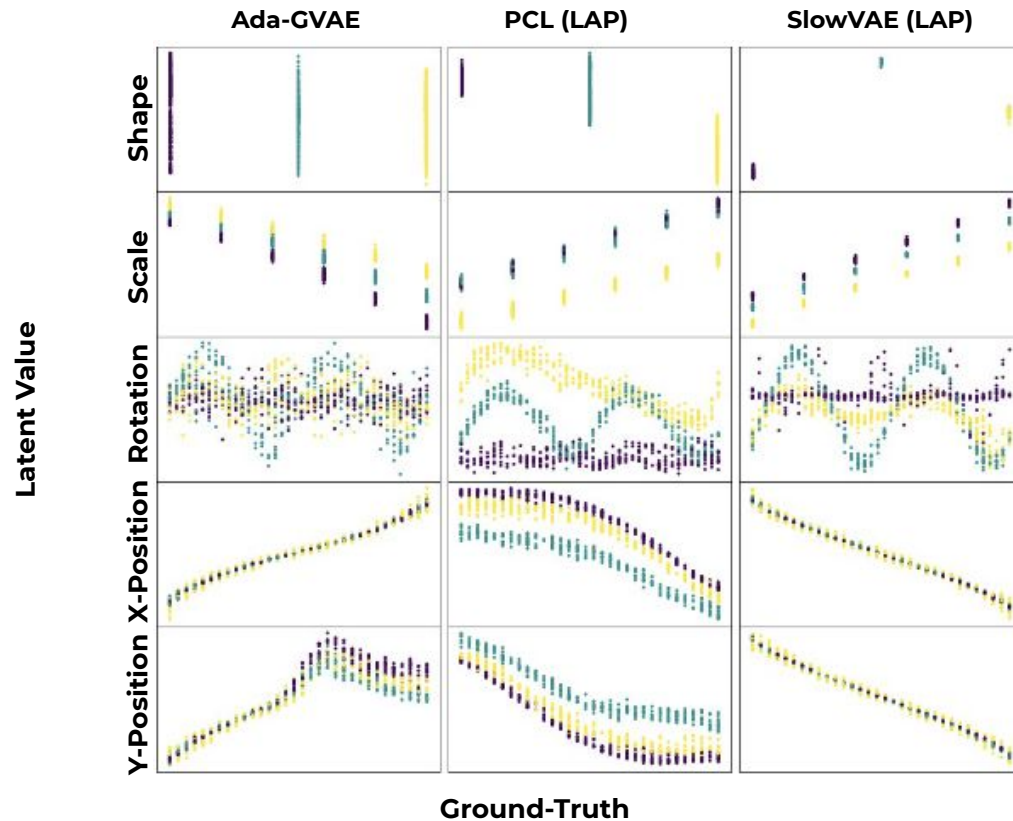


Qualitative Results - dSprites

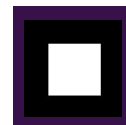
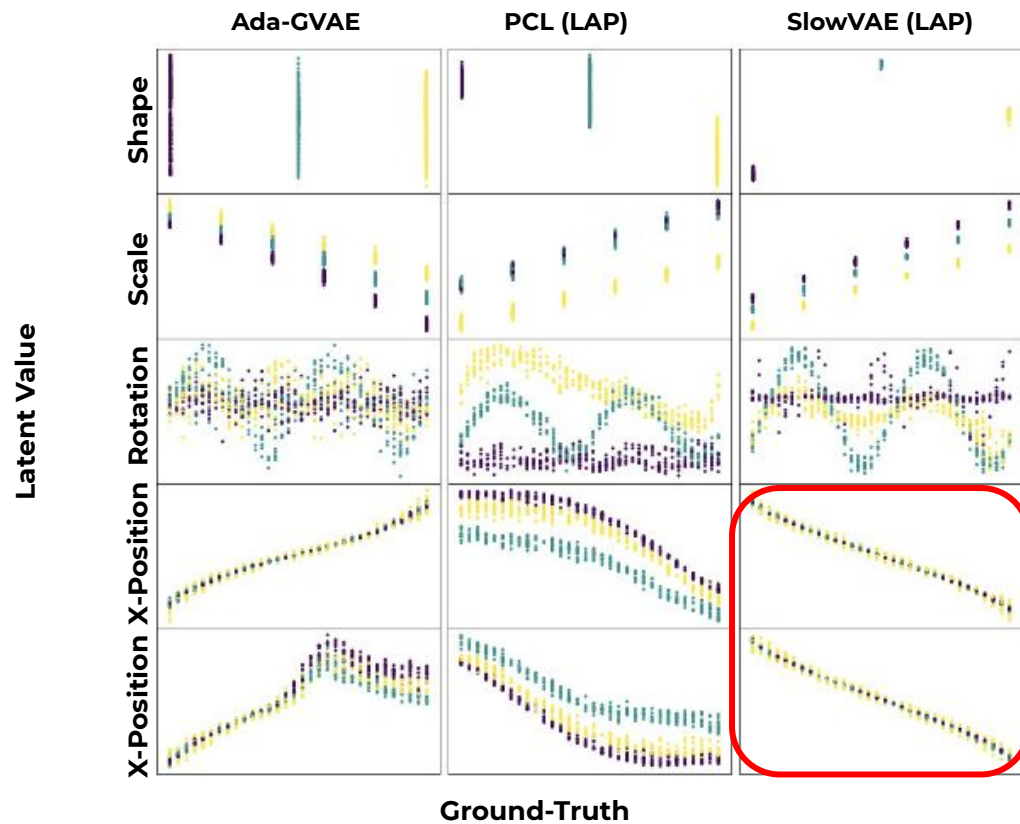
SlowVAE (Latent Walk)



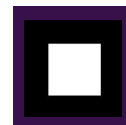
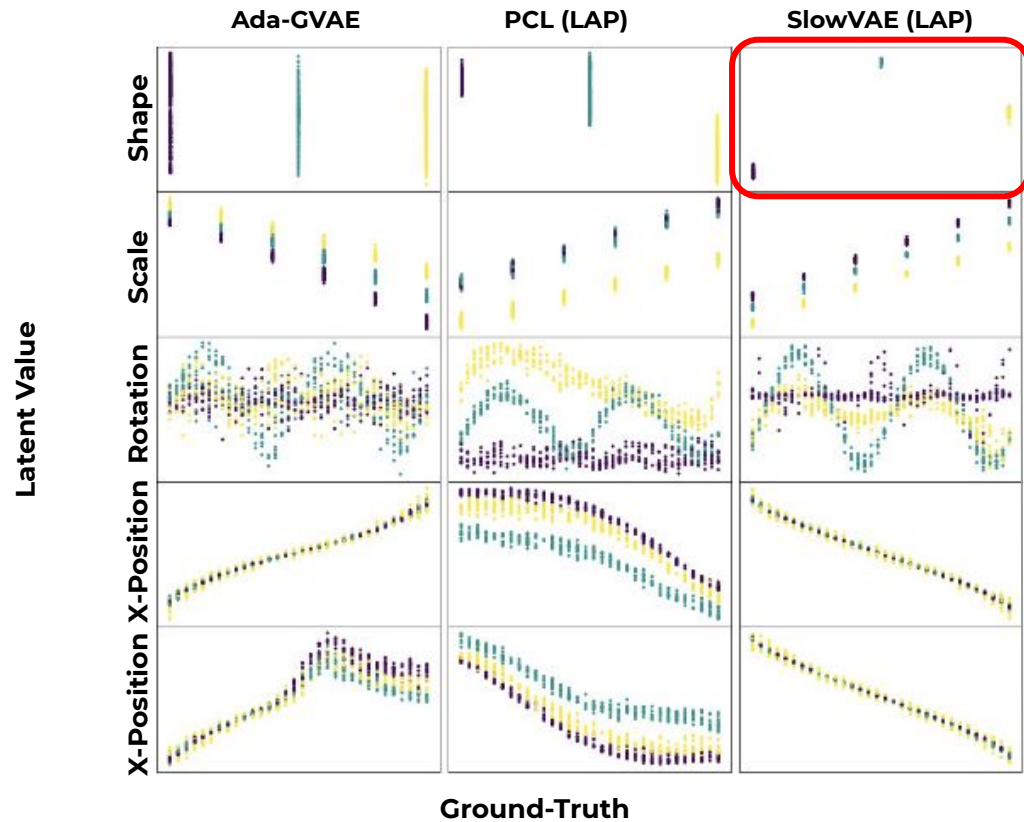
Failure Cases - dSprites



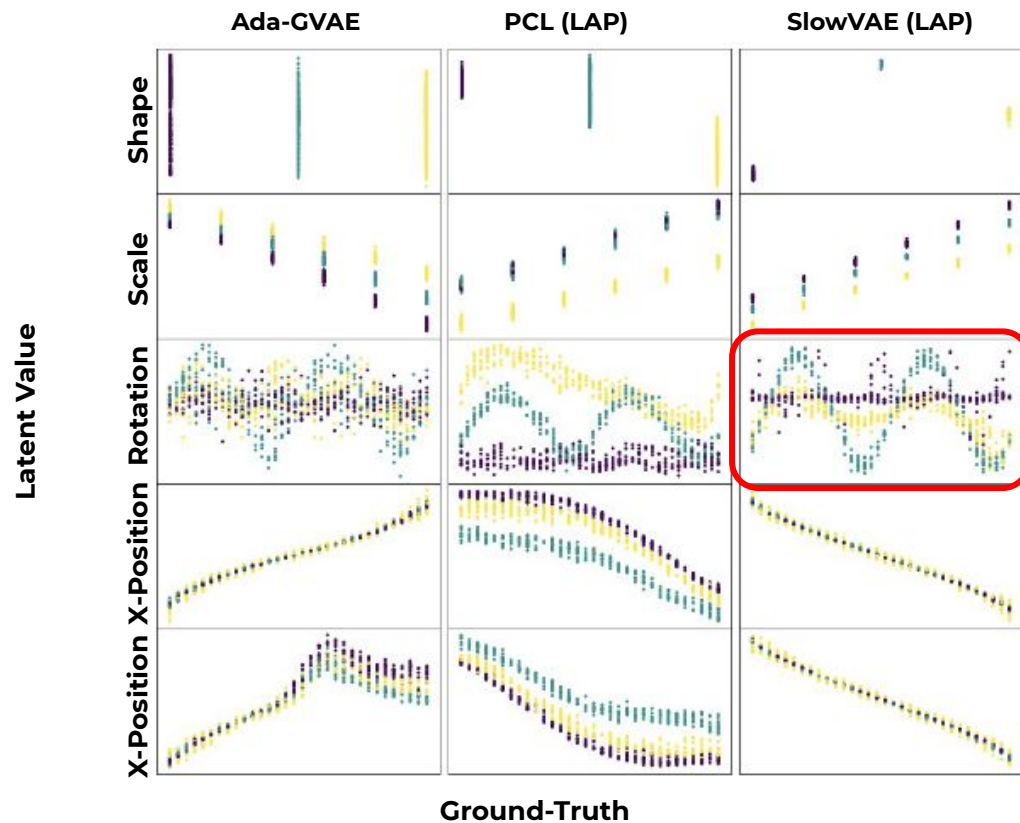
Failure Cases - dSprites



Failure Cases - dSprites

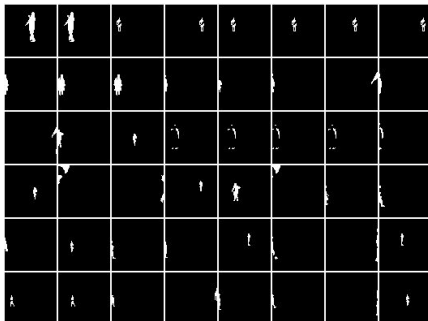


Failure Cases - dSprites



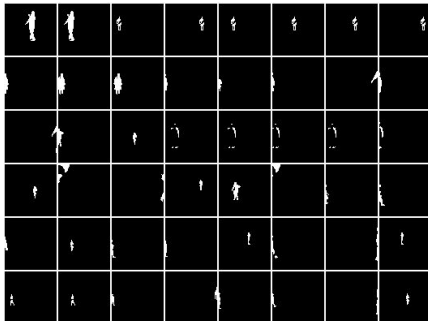
Results on Natural Data

KITTI-Masks

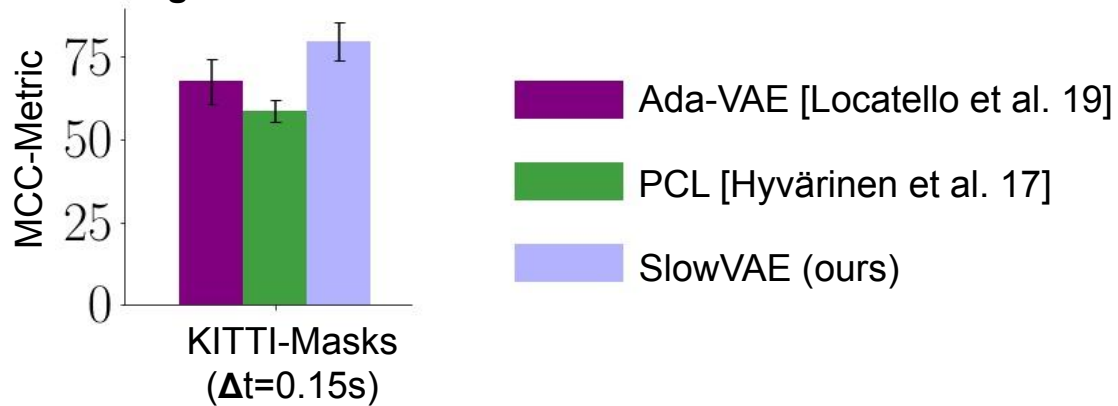


Results on Natural Data

KITTI-Masks

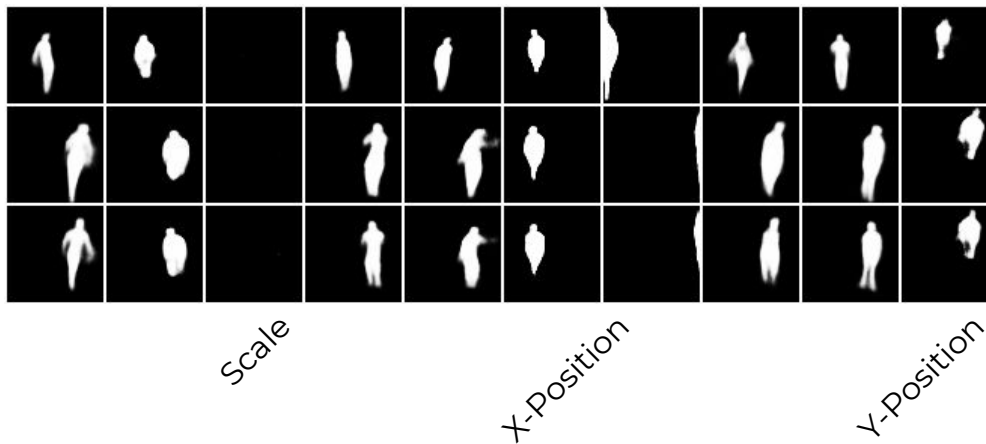


Disentanglement Performance



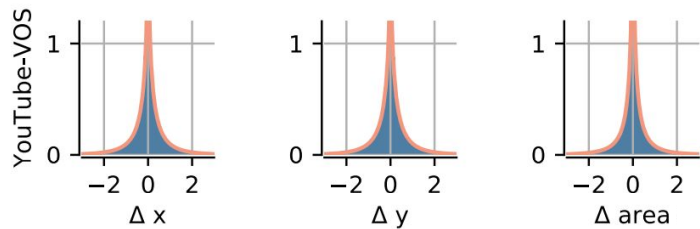
Results - KITTI-Masks

SlowVAE Latent Walk 🚶



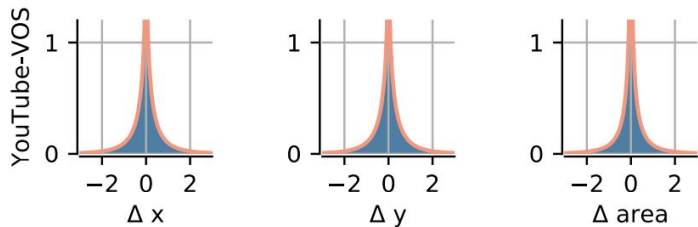
Paper Contributions

Objects in natural scenes have **sparse** marginal transition statistics

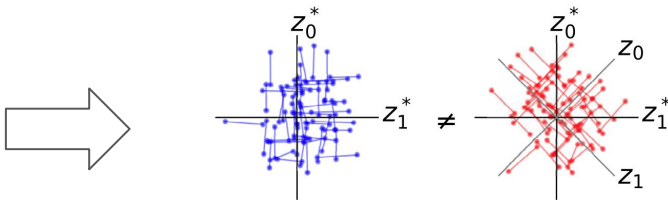


Paper Contributions

Objects in natural scenes have **sparse** marginal transition statistics

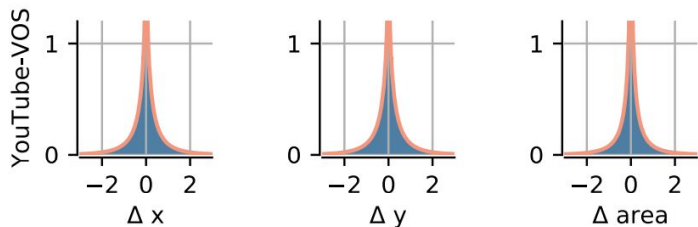


Intuitive proof for **identifiability** in Nonlinear ICA & Disentanglement

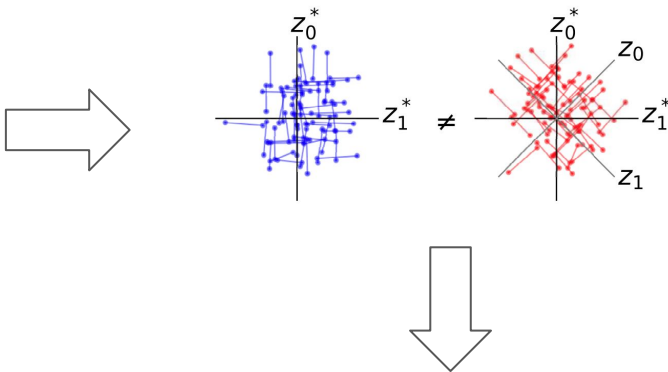


Paper Contributions

Objects in natural scenes have **sparse** marginal transition statistics



Intuitive proof for **identifiability** in Nonlinear ICA & Disentanglement

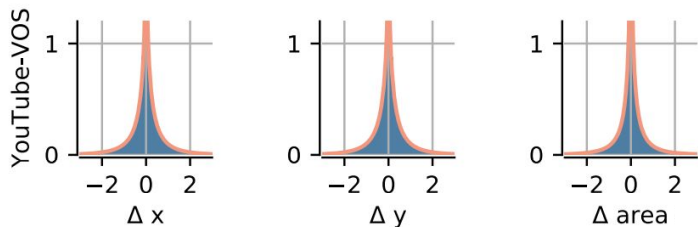


Empirical results using a **Flow** and a **VAE** based implementation of the theoretical model

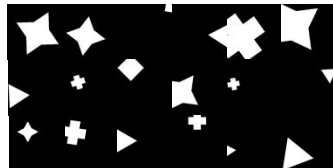
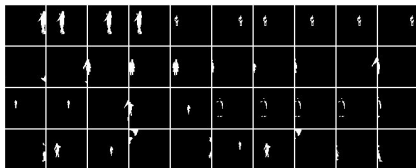


Paper Contributions

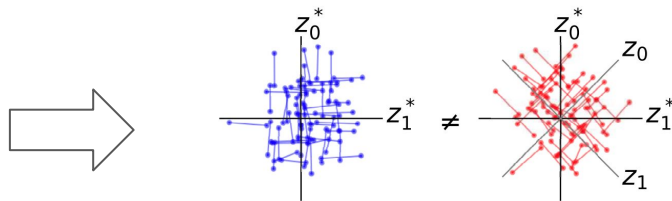
Objects in natural scenes have **sparse** marginal transition statistics



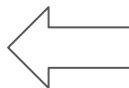
Two challenging new **datasets** to push disentanglement towards **natural** video



Intuitive proof for **identifiability** in Nonlinear ICA & Disentanglement



Empirical results using a **Flow** and a **VAE** based implementation of the theoretical model



Contrastive Learning Inverts the Data Generating Process

Overview

1. Theoretical Connection between InfoNCE & Nonlinear ICA

Overview

1. Theoretical Connection between InfoNCE & Nonlinear ICA
2. Empirical Test on robustness to mismatch (in assumptions)

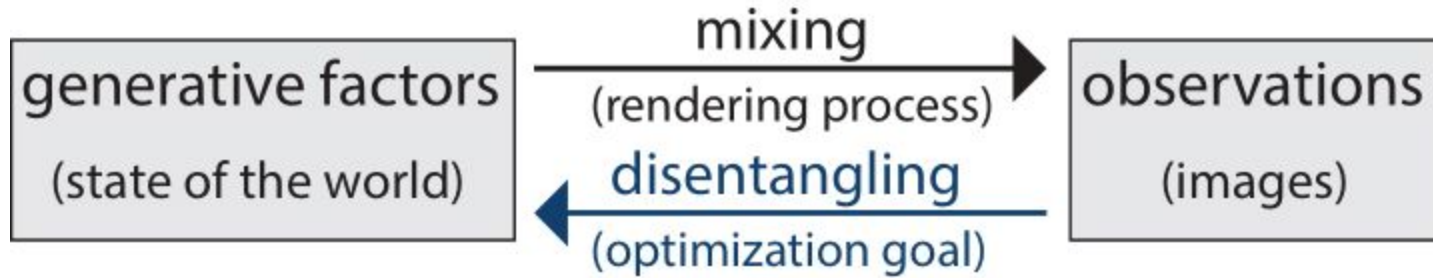
Overview

1. Theoretical Connection between InfoNCE & Nonlinear ICA
2. Empirical Test on robustness to mismatch (in assumptions)
3. Identifiability on 3DIdent

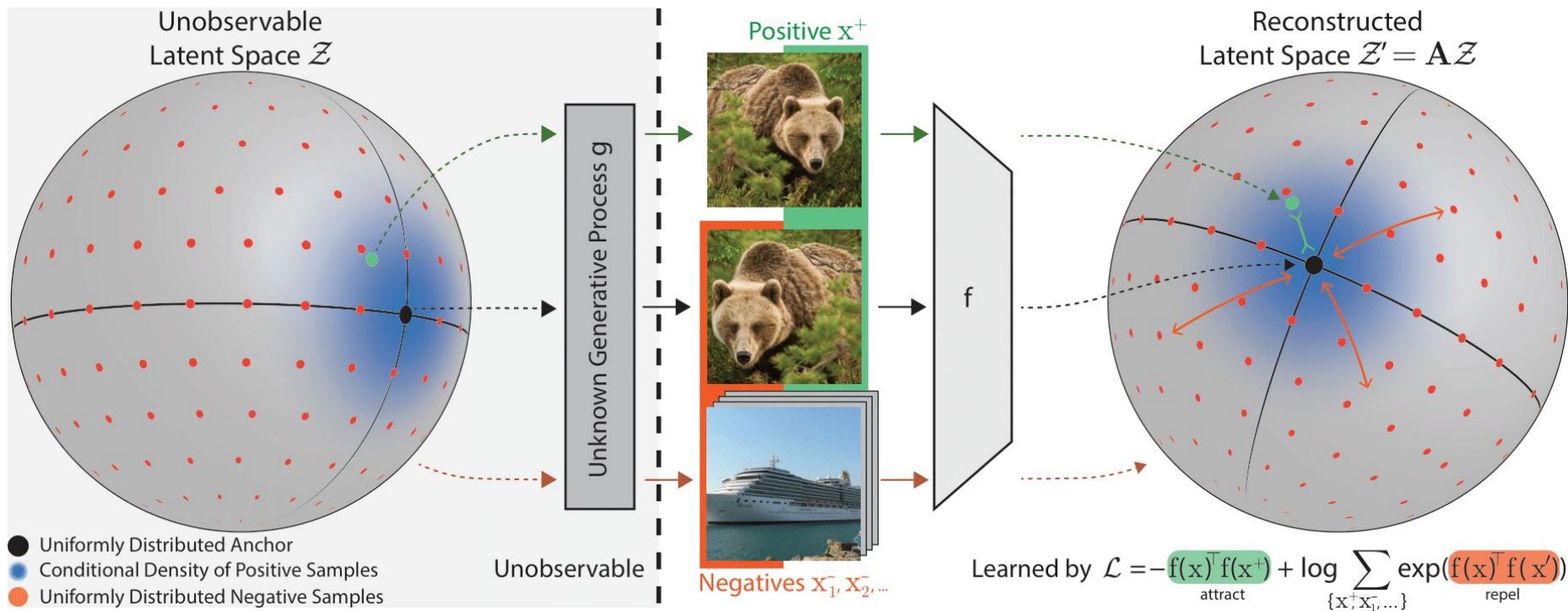
Overview

1. Theoretical Connection between InfoNCE & Nonlinear ICA
2. Empirical Test on robustness to mismatch (in assumptions)
3. Identifiability on 3DIdent
 - a. complex, high-resolution images

Nonlinear ICA



Theoretical Framework



Theoretical Framework

Theorem 2. *Let $\mathcal{Z} = \mathbb{S}^{N-1}$, the ground-truth marginal be uniform, and the conditional a vMF distribution (cf. Eq. 2). Let the mixing function g be differentiable and injective. If the assumed form of q_h , as defined above, matches that of p , and if f is differentiable and minimizes the CL loss (1), then for fixed $\tau > 0$ and $M \rightarrow \infty$, $h = f \circ g$ is linear, i.e., f recovers the latent sources up to orthogonal linear transformations.*

$$\mathcal{L}_{\text{contr}}(f; \tau, M) := \mathbb{E}_{\substack{(\mathbf{x}, \tilde{\mathbf{x}}) \sim p_{\text{pos}} \\ \{\mathbf{x}_i^-\}_{i=1}^M \stackrel{\text{i.i.d.}}{\sim} p_{\text{data}}}} \left[-\log \frac{e^{f(\mathbf{x})^\top f(\tilde{\mathbf{x}})/\tau}}{e^{f(\mathbf{x})^\top f(\tilde{\mathbf{x}})/\tau} + \sum_{i=1}^M e^{f(\mathbf{x}_i^-)^\top f(\tilde{\mathbf{x}})/\tau}} \right]. \quad (1)$$

$$p(\mathbf{z}) = |\mathcal{Z}|^{-1}, \quad p(\mathbf{z}|\tilde{\mathbf{z}}) = C_p^{-1} e^{\kappa \mathbf{z}^\top \tilde{\mathbf{z}}} \\ \text{with } C_p := \int e^{\kappa \mathbf{z}^\top \tilde{\mathbf{z}}} d\tilde{\mathbf{z}} = \text{const.}, \quad \mathbf{x} = g(\mathbf{z}). \quad (2)$$

$$q_h(\tilde{\mathbf{z}}|\mathbf{z}) = C_h(\tilde{\mathbf{z}})^{-1} e^{h(\tilde{\mathbf{z}})^\top h(\mathbf{z})/\tau} \\ \text{with } C_h(\mathbf{z}) := \int e^{h(\tilde{\mathbf{z}})^\top h(\mathbf{z})/\tau} d\tilde{\mathbf{z}},$$

Theoretical Framework

Theorem 1 ($\mathcal{L}_{\text{contr}}$ converges to the cross-entropy between latent distributions). *If the ground-truth marginal distribution p is uniform, then for fixed $\tau > 0$, as the number of negative samples $M \rightarrow \infty$, the (normalized) contrastive loss converges to*

$$\lim_{M \rightarrow \infty} \mathcal{L}_{\text{contr}}(f; \tau, M) - \log M + \log |\mathcal{Z}| = \mathbb{E}_{\mathbf{z} \sim p(\mathbf{z})} [H(p(\cdot|\mathbf{z}), q_h(\cdot|\mathbf{z}))] \quad (14)$$

where H is the cross-entropy between the ground-truth conditional distribution p over positive pairs and a conditional distribution q_h parameterized by the model f , and $C_h(\mathbf{z}) \in \mathbb{R}^+$ is the partition function of q_h (see Appendix A.1.1):

$$q_h(\tilde{\mathbf{z}}|\mathbf{z}) = C_h(\mathbf{z})^{-1} e^{h(\tilde{\mathbf{z}})^\top h(\mathbf{z})/\tau}$$

with $C_h(\mathbf{z}) := \int e^{h(\tilde{\mathbf{z}})^\top h(\mathbf{z})/\tau} d\tilde{\mathbf{z}}.$ (15)

Theoretical Framework

Proposition 1 (Minimizers of the cross-entropy maintain the dot product). *Let $\mathcal{Z} = \mathbb{S}^{N-1}$, $\tau > 0$ and consider the ground-truth conditional distribution of the form $p(\tilde{\mathbf{z}}|\mathbf{z}) = C_p^{-1} \exp(\kappa \tilde{\mathbf{z}}^\top \mathbf{z})$. Let h map onto a hypersphere with radius $\sqrt{\tau \kappa}$.³ Consider the conditional distribution q_h parameterized by the model, as defined above in Theorem 1, where the hypothesis class for h is assumed to be sufficiently flexible such that $p(\tilde{\mathbf{z}}|\mathbf{z})$ and $q_h(\tilde{\mathbf{z}}|\mathbf{z})$ can match. If h is a minimizer of the cross-entropy $\mathbb{E}_{p(\tilde{\mathbf{z}}|\mathbf{z})}[-\log q_h(\tilde{\mathbf{z}}|\mathbf{z})]$, then $p(\tilde{\mathbf{z}}|\mathbf{z}) = q_h(\tilde{\mathbf{z}}|\mathbf{z})$ and $\forall \mathbf{z}, \tilde{\mathbf{z}} : \kappa \mathbf{z}^\top \tilde{\mathbf{z}} = h(\mathbf{z})^\top h(\tilde{\mathbf{z}})$.*

Proposition 2 (Extension of the Mazur-Ulam theorem to hyperspheres and the dot product). *Let $\mathcal{Z} = \mathbb{S}^{N-1}$. If $h : \mathcal{Z} \rightarrow \mathcal{Z}$ maintains the dot product up to a constant factor, i.e., $\forall \mathbf{z}, \tilde{\mathbf{z}} \in \mathcal{Z} : \kappa \mathbf{z}^\top \tilde{\mathbf{z}} = h(\mathbf{z})^\top h(\tilde{\mathbf{z}})$, then h is an orthogonal linear transformation.*

Theoretical Framework

Theorem 5. *Let \mathcal{Z} be a convex body in \mathbb{R}^N , $h = f \circ g : \mathcal{Z} \rightarrow \mathcal{Z}$, and δ be a metric. Further, let the ground-truth marginal distribution be uniform and the conditional distribution be as (5). Let the mixing function g be differentiable and injective. If the assumed form of q_h matches that of p , i.e.,*

$$q_h(\tilde{\mathbf{z}}|\mathbf{z}) = C_q^{-1}(\mathbf{z})e^{-\delta(h(\tilde{\mathbf{z}}),h(\mathbf{z}))/\tau}$$

with $C_q(\mathbf{z}) := \int e^{-\delta(h(\tilde{\mathbf{z}}),h(\mathbf{z}))/\tau} d\tilde{\mathbf{z}},$ (7)

and if f is differentiable and minimizes the $\mathcal{L}_{\delta\text{-contr}}$ objective in (6) for $M \rightarrow \infty$, we find that $h = f \circ g$ is invertible and affine, i.e., we recover the latent sources up to affine transformations.

$$p(\mathbf{z}) = |\mathcal{Z}|^{-1}, \quad p(\mathbf{z}|\tilde{\mathbf{z}}) = C_p^{-1}e^{-\delta(\mathbf{z},\tilde{\mathbf{z}})}$$

with $C_p(\mathbf{z}) := \int e^{-\delta(\mathbf{z},\tilde{\mathbf{z}})} d\tilde{\mathbf{z}}, \quad \mathbf{x} = g(\mathbf{z}),$ (5)

$$\mathcal{L}_{\delta\text{-contr}}(f; \tau, M) := \mathbb{E}_{\substack{(\mathbf{x}, \tilde{\mathbf{x}}) \sim p_{\text{pos}} \\ \{\mathbf{x}_i^-\}_{i=1}^M \stackrel{\text{i.i.d.}}{\sim} p_{\text{data}}}} \left[-\log \frac{e^{-\delta(f(\mathbf{x}), f(\tilde{\mathbf{x}}))/\tau}}{e^{-\delta(f(\mathbf{x}), f(\tilde{\mathbf{x}}))/\tau} + \sum_{i=1}^M e^{-\delta(f(\mathbf{x}_i^-), f(\tilde{\mathbf{x}}))/\tau}} \right]. \quad (6)$$

Theoretical Framework

Theorem 3. *Let δ be a semi-metric and $\tau, \lambda > 0$ and let the ground-truth marginal distribution p be uniform. Consider a ground-truth conditional distribution $p(\tilde{\mathbf{z}}|\mathbf{z}) = C_p^{-1}(\mathbf{z}) \exp(-\lambda\delta(\tilde{\mathbf{z}}, \mathbf{z}))$ and the model conditional distribution*

$$q_h(\tilde{\mathbf{z}}|\mathbf{z}) = C_h^{-1}(\mathbf{z}) e^{-\delta(h(\tilde{\mathbf{z}}), h(\mathbf{z}))/\tau}$$

with $C_h(\mathbf{z}) := \int_{\mathcal{Z}} e^{-\delta(h(\tilde{\mathbf{z}}), h(\mathbf{z}))/\tau} d\tilde{\mathbf{z}}.$ (61)

Then the cross-entropy between p and q_h is given by

$$\lim_{M \rightarrow \infty} \mathcal{L}_{\delta\text{-contr}}(f; \tau, M) - \log M + \log |\mathcal{Z}| = \mathbb{E}_{\mathbf{z} \sim p(\mathbf{z})} [H(p(\cdot|\mathbf{z}), q_h(\cdot|\mathbf{z}))], \quad (62)$$

which can be implemented by sampling data from the accessible distributions.

Theorem 4. *Let $\mathcal{Z} = \mathcal{Z}'$ be a convex body in \mathbb{R}^N . Let the mixing function g be differentiable and invertible. If the assumed form of q_h as defined in (4) matches that of p , and if f is differentiable and minimizes the cross-entropy between p and q_h , then we find that $h = f \circ g$ is affine, i.e., we recover the latent sources up to affine transformations.*

Theoretical Framework

Theorem 6. *Let \mathcal{Z} be a convex body in \mathbb{R}^N , $h : \mathcal{Z} \rightarrow \mathcal{Z}$, and δ be an L^α metric for $\alpha \geq 1, \alpha \neq 2$. Further, let the ground-truth marginal distribution be uniform and the conditional distribution be as (5), and let the mixing function g be differentiable and invertible. If the assumed form of $q_h(\cdot|\mathbf{z})$ matches that of $p(\cdot|\mathbf{z})$, i.e., both use the same metric δ up to a constant scaling factor, and if f is differentiable and minimizes the $\mathcal{L}_{\delta\text{-contr}}$ objective in (6) for $M \rightarrow \infty$, we find that $h = f \circ g$ is a composition of input independent permutations, sign flips and rescaling.*

Theorem D. *Suppose $1 \leq \alpha \leq \infty$ and $\alpha \neq 2$. An $n \times n$ matrix \mathbf{A} is an isometry of L^α -norm if and only if \mathbf{A} is a generalized permutation matrix, i.e., $\forall \mathbf{z} : (\mathbf{A}\mathbf{z})_i = \alpha_i \mathbf{z}_{\sigma(i)}$, with $\alpha_i = \pm 1$ and σ being a permutation.*

Proof. See Li & So (1994). Note that this can also be concluded from the Banach-Lamperti Theorem (Lamperti et al., 1958). \square

$$p(\mathbf{z}) = |\mathcal{Z}|^{-1}, \quad p(\mathbf{z}|\tilde{\mathbf{z}}) = C_p^{-1} e^{-\delta(\mathbf{z}, \tilde{\mathbf{z}})}$$

with $C_p(\mathbf{z}) := \int e^{-\delta(\mathbf{z}, \tilde{\mathbf{z}})} d\tilde{\mathbf{z}}, \quad \mathbf{x} = g(\mathbf{z}),$

(5)

$$\mathcal{L}_{\delta\text{-contr}}(f; \tau, M) := \mathbb{E}_{\substack{(\mathbf{x}, \tilde{\mathbf{x}}) \sim p_{\text{pos}} \\ \{\mathbf{x}_i^-\}_{i=1}^M \stackrel{\text{i.i.d.}}{\sim} p_{\text{data}}}} \left[-\log \frac{e^{-\delta(f(\mathbf{x}), f(\tilde{\mathbf{x}}))/\tau}}{e^{-\delta(f(\mathbf{x}), f(\tilde{\mathbf{x}}))/\tau} + \sum_{i=1}^M e^{-\delta(f(\mathbf{x}_i^-), f(\tilde{\mathbf{x}}))/\tau}} \right].$$
(6)

Different Assumptions, Different Losses

$$\bigcirc + \text{vMF} \longrightarrow \exp(f(x)^\top f(x'))$$

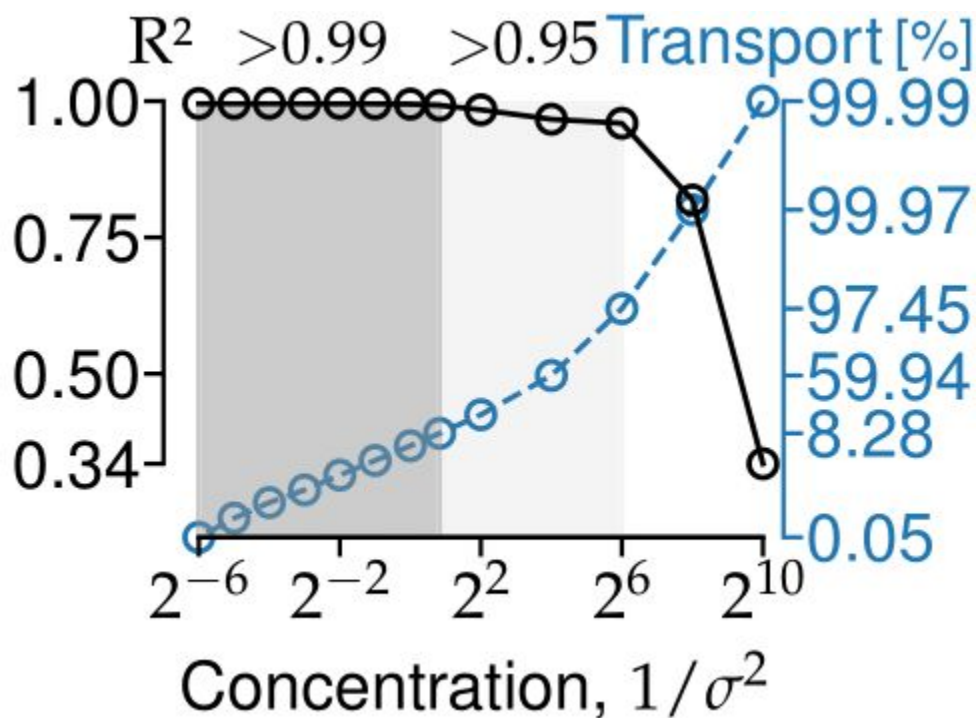
$$\square + \text{Normal} \longrightarrow \exp(-\|f(x) - f(x')\|_2)$$

$$\square + \text{Laplace} \longrightarrow \exp(-\|f(x) - f(x')\|_1)$$

Empirical Results

Space	Generative process g		Space	Model f		R^2 Score [%]		
	$p(\cdot)$	$p(\cdot \cdot)$		$q_h(\cdot \cdot)$	M.	Identity	Supervised	Unsupervised
Sphere	Uniform	vMF($\kappa=1$)	Sphere	vMF($\kappa=1$)	✓	66.98 ± 2.79	99.71 ± 0.05	99.42 ± 0.05
Sphere	Uniform	vMF($\kappa=10$)	Sphere	vMF($\kappa=1$)	✗	— —	— —	99.86 ± 0.01
Sphere	Uniform	Laplace($\lambda=0.05$)	Sphere	vMF($\kappa=1$)	✗	— —	— —	99.91 ± 0.01
Sphere	Uniform	Normal($\sigma=0.05$)	Sphere	vMF($\kappa=1$)	✗	— —	— —	99.86 ± 0.00
Box	Uniform	Normal($\sigma=0.05$)	Unbounded	Normal	✗	67.93 ± 7.40	99.78 ± 0.06	99.60 ± 0.02
Box	Uniform	Laplace($\lambda=0.05$)	Unbounded	Normal	✗	— —	— —	99.64 ± 0.02
Box	Uniform	Laplace($\lambda=0.05$)	Unbounded	GenNorm($\beta=3$)	✗	— —	— —	99.70 ± 0.02
Box	Uniform	Normal($\sigma=0.05$)	Unbounded	GenNorm($\beta=3$)	✗	— —	— —	99.69 ± 0.02
Sphere	Normal($\sigma=1$)	Laplace($\lambda=0.05$)	Sphere	vMF($\kappa=1$)	✗	63.37 ± 2.41	99.70 ± 0.07	99.02 ± 0.01
Sphere	Normal($\sigma=1$)	Normal($\sigma=0.05$)	Sphere	vMF($\kappa=1$)	✗	— —	— —	99.02 ± 0.02
Unbounded	Laplace($\lambda=1$)	Normal($\sigma=1$)	Unbounded	Normal	✗	62.49 ± 1.65	99.65 ± 0.04	98.13 ± 0.14
Unbounded	Normal($\sigma=1$)	Normal($\sigma=1$)	Unbounded	Normal	✗	63.57 ± 2.30	99.61 ± 0.17	98.76 ± 0.03

Empirical Results



Empirical Results

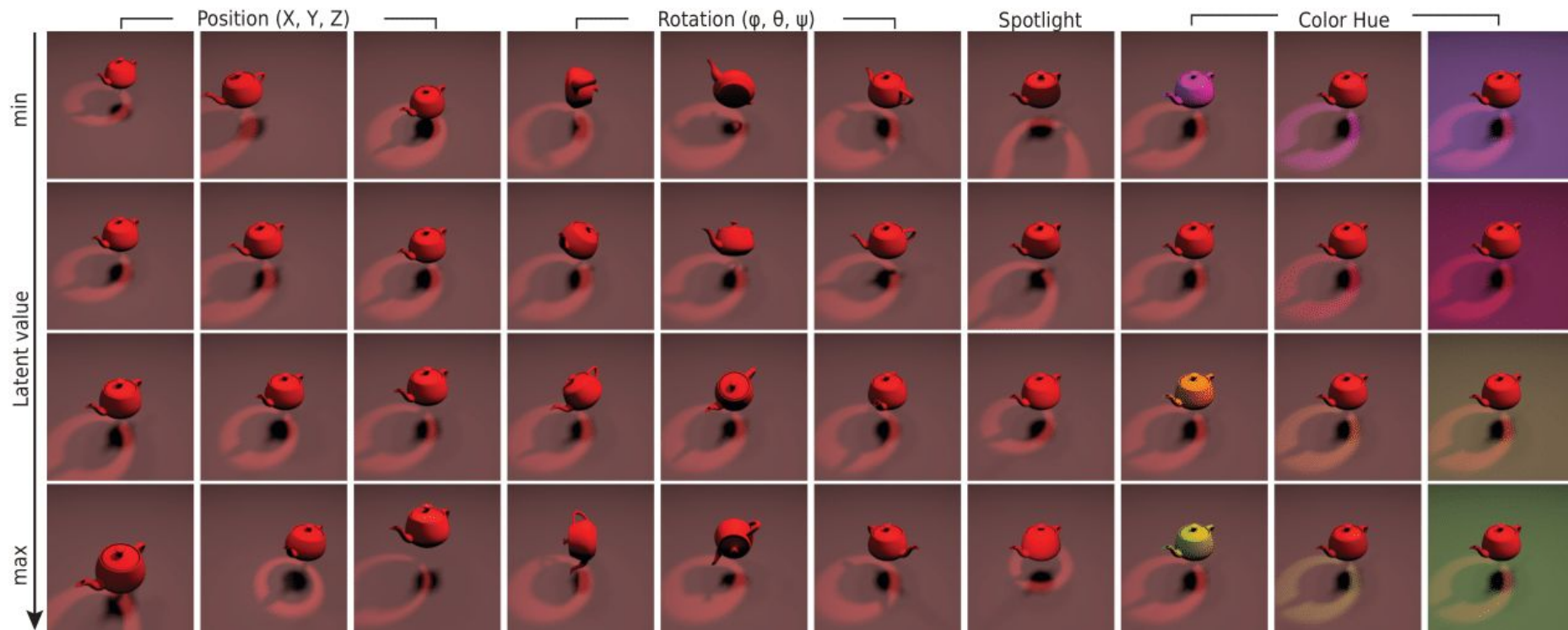
Space	Generative process g		Space	Model f $q_h(\cdot \cdot)$	M.	Identity	MCC Score [%]	
	$p(\cdot)$	$p(\cdot \cdot)$					Supervised	Unsupervised
Box	Uniform	Laplace($\lambda=0.05$)	Box	Laplace	✓	46.55 ± 1.34	99.93 ± 0.03	98.62 ± 0.05
Box	Uniform	GenNorm($\beta=3; \lambda=0.05$)	Box	GenNorm($\beta=3$)	✓	— —	— —	99.90 ± 0.06
Box	Uniform	Normal($\sigma=0.05$)	Box	Normal	✗	— —	— —	99.77 ± 0.01
Box	Uniform	Laplace($\lambda=0.05$)	Box	Normal	✗	— —	— —	99.76 ± 0.02
Box	Uniform	GenNorm($\beta=3; \lambda=0.05$)	Box	Laplace	✗	— —	— —	98.80 ± 0.02
Box	Uniform	Laplace($\lambda=0.05$)	Unbounded	Laplace	✗	— —	99.97 ± 0.03	98.57 ± 0.02
Box	Uniform	GenNorm($\beta=3; \lambda=0.05$)	Unbounded	GenNorm($\beta=3$)	✗	— —	— —	99.85 ± 0.01
Box	Uniform	Normal($\sigma=0.05$)	Unbounded	Normal	✗	— —	— —	58.26 ± 3.00
Box	Uniform	Laplace($\lambda=0.05$)	Unbounded	Normal	✗	— —	— —	59.67 ± 2.33
Box	Uniform	Normal($\sigma=0.05$)	Unbounded	GenNorm($\beta=3$)	✗	— —	— —	43.80 ± 2.15

KITTI Masks

Table 3. KITTI Masks. Mean \pm standard deviation over 10 random seeds. $\overline{\Delta t}$ indicates the average temporal distance of frames used.

	Model	Model Space	MCC [%]
$\overline{\Delta t} = 0.05s$	SlowVAE	Unbounded	66.1 ± 4.5
	Laplace	Unbounded	77.1 ± 1.0
	Laplace	Box	74.1 ± 4.4
	Normal	Unbounded	58.3 ± 5.4
	Normal	Box	59.9 ± 5.5
$\overline{\Delta t} = 0.15s$	SlowVAE	Unbounded	79.6 ± 5.8
	Laplace	Unbounded	79.4 ± 1.9
	Laplace	Box	80.9 ± 3.8
	Normal	Unbounded	60.2 ± 8.7
	Normal	Box	68.4 ± 6.7

3DIdent



3DIdent

Dataset $p(\cdot \cdot)$	Space	Model f $q_h(\cdot \cdot)$	M.	Identity [%] R^2	Unsupervised [%]	
					R^2	MCC
Normal	Box	Normal	✓	5.25 ± 1.20	96.73 ± 0.10	98.31 ± 0.04
Normal	Unbounded	Normal	✗	— —	96.43 ± 0.03	54.94 ± 0.02
Laplace	Box	Normal	✗	— —	96.87 ± 0.08	98.38 ± 0.03
Normal	Sphere	vMF	✗	— —	65.74 ± 0.01	42.44 ± 3.27

Ongoing Work

Ongoing Work

1. Extend framework to object-centric methods
 - a. MONet, IODINE, Slot Attention etc.

Ongoing Work

1. Extend framework to object-centric methods
 - a. MONet, IODINE, Slot Attention etc.
2. Extend framework to data augmentations
 - a. Content & Style Disambiguation
 - b. Invariant factors == delta conditional

Self-supervised learning with data augmentations provably isolates content from style



with Julius von Kügelgen*,
Yash Sharma*, Luigi Gresele*,
Wieland Brendel, Michel
Besserve, Francesco Locatello

Formalise generation $x = f(z)$ and augmentation $\tilde{x} = f(\tilde{z})$ processes as latent variable model with a content-style partition $z = (c, s)$:

- *invariant content c* : always shared between pairs (x, \tilde{x}) of views;
- *varying style s* : may change across pairs (x, \tilde{x}) of views.

Allow causal dependence of style on content (Causal3DIdent dataset):

augmented view \tilde{x} = counterfactual under soft style intervention on x .

Theory: Can identify* invariant content partition in generative and discriminative learning with entropy maximisation (e.g., SimCLR).

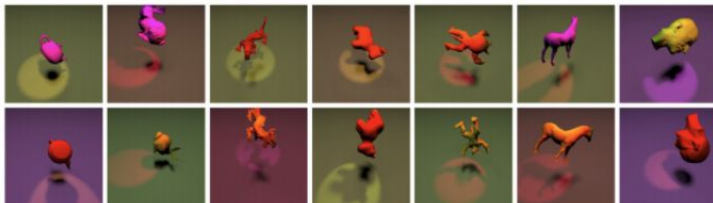
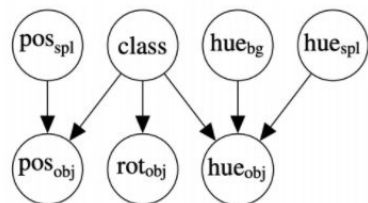
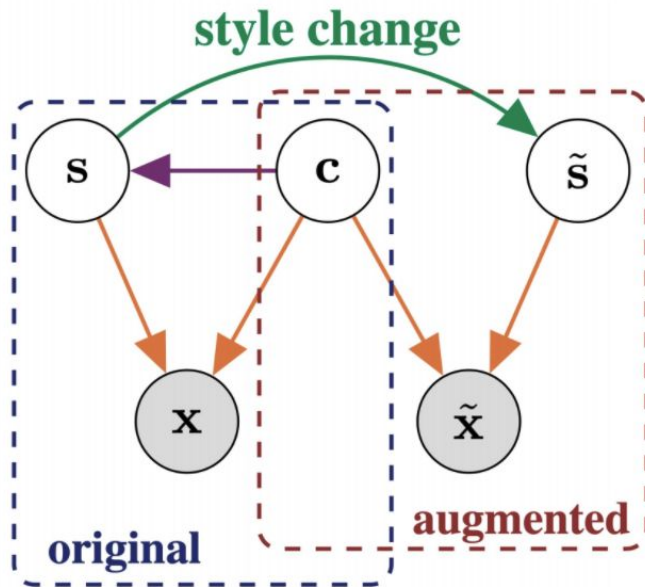


Figure 2: (Left) Causal graph for the Causal3DIdent dataset. (Right) Two samples from each object class.



*up to invertible transformation

Ongoing Work

1. Extend framework to object-centric methods
 - a. MONet, IODINE, Slot Attention etc.
2. Extend framework to data augmentations
 - a. Content & Style Disambiguation
 - b. Invariant factors == delta conditional
3. Extend framework for causal discovery
 - a. Robustness in downstream tasks?

Thank you for your attention!



David
Klindt



Lukas
Schott



Roland
Zimmermann



Steffen
Schneider



Ivan
Ustyuzhaninov



Wieland
Brendel



Matthias
Bethge



Dylan
Paiton

Funding:



imprs-is

TÜBINGEN
AI CENTER

DFG

If you are interested in
this research, feel free to
reach out!

Mail: ysharma1126@gmail.com

Twitter: @yash_j_sharma