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# Chapter 1

## Introduction

Integrated Development Environments (IDEs) and other more lightweight code editors are by far the most used tool of software developers. Yet, improvements of language intelligence, i.e. code completion, debugging as well as static code analysis refactoring and enrichment, have traditionally been subject to both the language and the editor used. Language support is thereby brought to IDEs by the means of platform dependent extensions that require repeated efforts for each platform and hence varied a lot in performance, feature-richness and availability. Recent years have seen different works [refs?] towards editor-independent code intelligence implementations and unified language-independent protocols. The to-date most successful approach is the Language Server Protocol (LSP). The protocol specifies how editors can communicate with language servers which are separate, editor independent implementations of language analyzers. It allows to express a wide variance of language intelligence. LSP servers allow editors to jump to definitions, find usages, decorate elements with additional information inline or when hovering elements, list symbols and much more. The LSP is discussed in more detail in sec. 2.1. These approaches reduce the effort required to bring language intelligence to editors. Instead of rewriting what is essentially the same language extension for every editor, any editor that implements an LSP client can connect to the same language specific server. Since LSP client implementations are independent of the servers, editor communities can focus on developing the best possible and uniform experience which all LSP servers can leverage. As a side effect, this also allows for developers to stay in their preferred developing environment instead of needing to resort to e.g., Vim or Emacs emulation or loosing access to other plugins.

Being independent of the editors, the developer of the language server is free to choose the optimal implementing language. In effect, it is possible for language developers to integrate essential parts of the existing language implementation for a language server. By now the LSP has become the most popular choice for cross-platform language tooling with implementations [langservers and microsoft] for all major and many smaller languages.

Speaking of smaller languages is significant, as both research communities and industry continuously develop and experiment with new languages for

which tooling is unsurprisingly scarce. Additionally, previous research [ref], that shows the importance of language tools for the selection of a language, highlights the importance of tooling for new languages to be adopted by a wider community. While previously implementing language tools that integrate with the developer’s environment was practically unfeasible for small projects due to the incompatibility between different extension systems, leveraging the LSP reduces the amount of work required considerably.

The Nickel(**nickel?**) language referenced in this work is a new Configuration Programming Language developed by Tweag. It is akin to projects like Cue, Dhall, or Nix in that it is an abstraction over pure data description languages such as JSON, YAML or XML. The Nickel project combines the capabilities of the former being a pure functional language based on lambda calculus with JSON data types, gradual typing, higher-order contracts and a record merging operation. As such, it is intended to write safe abstractions over configuration files as employed in Infrastructure as Code for instance.

## 1.1 Motivation

Since its release, the LSP has grown to be supported by a multitude of languages and editors(**lsp-website?**), solving a long-standing problem with traditional IDEs.

Before the inception of language servers, implementing specialized features for every language of interest was the sole responsibility of the developers of code editors. Under the constraint of limited resources, editors had to position themselves on a spectrum between specializing on integrated support for a certain subset of languages and being generic over the language providing only limited support. As the former approach offers a greater business value, especially for proprietary products most professional IDEs gravitate towards excellent (and exclusive) support for single major languages, i.e., XCode and Visual Studio for the native languages for Apple and Microsoft Products respectively as well as JetBrains’ IntelliJ platform and RedHat’s Eclipse. Problematically, this results in less choice for developers and possible lock-in into products subjectively less favored but unique in their features for a certain language. The latter approach was taken by most text editors which in turn offered only limited support for any language.

Popularity statistics<sup>1</sup> from before the introduction of the LSP shows that except Vim and Sublime Text, both exceptional general text editors, the top 10 most popular IDEs were indeed specialized products. Regardless that some IDEs offer support for more languages through (third-party) extensions, developing any sort of language support to  $N$  platforms requires the implementation of  $N$  integrations. Missing standards, incompatible implementing languages and often proprietary APIs highlight this problem.

This is especially difficult for emerging languages, with possibly limited development resources to be put towards the development of language tooling. Consequently, efforts of language communities vary in scope, feature completeness and availability.

---

<sup>1</sup><https://web.archive.org/web/20160625140610/https://pypl.github.io/IDE.html>

The Language Server Protocol aims to solve this issue by specifying an API that editors (clients) can use to communicate with language servers. Language servers are programs that implement a set of IDE features for one language and expose access to these features through the LSP. This allows developers to focus resources to a single project that is above all unrelated to editor-native APIs for analytics processing code representation and GUI integration. Now only a single implementation of a language server is required, instead of an individual plugin for each editor. Editor maintainers can concentrate on offering the best possible LSP client support independent of the language.

### 1.1.1 Problem Definition

The problem this thesis will address is the current lack of documentation and evaluation of the applied methods for existing Language Servers.

While most of the implementations of LSP servers are freely available as Open Source Software [ref?], the methodology is often poorly documented, especially for smaller languages. There are some experience reports [ref: merlin, and others] and a detailed video series on the Rust Analyzer[ref or footnote] project, but implementations remain very opinionated and poorly guided through. The result is that new implementations keep repeating to develop existing solutions.

Moreover, most projects do not formally evaluate the Language Server on even basic requirements. Naïvely, that is, the server should be *performant* enough not to slow down the developer, it should offer *useful* information and capabilities and of course be *correct* as well as *complete*.

## 1.2 Research Questions

To guide future implementations of language servers for primarily small scale languages the research presented in this thesis aims to answer the following research questions at the example of the Nickel Project<sup>2</sup>:

**RQ.1** How to develop a language server for a new language that satisfies its users' needs while being performant enough not to slow them down?

**RQ.2** How can we assess the implementation both quantitatively based on performance measures and qualitatively based on user satisfaction?

The goal of this research is to describe a reusable approach for representing programs that can be used to query data to answer requests on the Language Server Protocol efficiently. The research is conducted on an implementation of the open source language Nickel[<sup>^</sup><https://nickel-lang.org>] which provides the *Diagnostics*, *Jump to \** and *Hover* features as well as limited *Auto-Completion* and *Symbol resolution*. Although implemented for and with integration of the Nickel runtime, the objective is to keep the internal format largely language independent. Similarly, the Rust based implementation should be described abstractly enough to be implemented in other languages. To support the chosen approach, a user study will show whether the implementation is able to meet the expectations of its users and maintain its performance in real-world scenarios.

---

<sup>2</sup><https://nickel-lang.org>

### 1.3 Non-Goals

The reference solution portrayed in this work is specific for the Nickel language. Greatest care is given to present the concepts as generically and transferable as possible. However, it is not a goal to explicitly cover a problem space larger than the Nickel language, which is a pure functional language based on lambda calculus with JSON data types, gradual typing, higher-order contracts and a record merging operation.

### 1.4 Research Methodology

What are the scientific methods

### 1.5 Structure of the thesis

## Chapter 2

# Background

This thesis illustrates an approach of implementing a language server for the Nickel language which communicates with its clients, i.e. editors, over the open Language Server Protocol (in the following abbreviated as *LSP*). The current chapter provides the background on the technological details of the project. As the work presented aims to be transferable to other languages using the same methods, this chapter will provide the means to distinguish the nickel specific implementation details.

The primary technology built upon in this thesis is the language server protocol. The first part of this chapter introduces the LSP, its rationale and improvements over classical approaches, technical capabilities and protocol details. The second part is dedicated to Nickel, elaborating on the context and use-cases of the language followed by an inspection of the technical features of Nickel.

### 2.1 Language Server Protocol

Language servers are today's standard of integrating support for programming languages into code editors. Initially developed by Microsoft for the use with their polyglot editor Visual Studio Code<sup>1</sup> before being released to the public in 2016 by Microsoft, RedHat and Codeenvy, the LSP decouples language analysis and provision of IDE-like features from the editor. Developed under open source license on GitHub<sup>2</sup>, the protocol allows developers of editors and languages to work independently on the support for new languages. If supported by both server and client, the LSP now supports more than 24 language features<sup>3</sup> including code completion, code navigation facilities, contextual information such as types or documentation, formatting, and more.

---

<sup>1</sup><https://code.visualstudio.com/>

<sup>2</sup><https://github.com/microsoft/language-server-protocol/>

<sup>3</sup><https://microsoft.github.io/language-server-protocol/specifications/specification-current/>

### 2.1.1 JSON-RPC

JSON-RPC (v2) (**json-rpc?**) is a JSON based lightweight transport independent remote procedure call protocol used by the LSP to communicate between a language server and a client.

The protocol specifies the general format of messages exchanges as well as different kinds of messages. The following snippet lst. 2.1 shows the schema for request messages.

---

**Listing 2.1** JSON-RPC Request

---

```
// Requests
{
  "jsonrpc": "2.0"
, "method": String
, "params": List | Object
, "id": Number | String | Null
}
```

---

The main distinction in JSON-RPC are *Requests* and *Notifications*. Messages with an `id` field present are considered *requests*. Servers have to respond to requests with a message referencing the same `id` as well as a result, i.e. data or error. If the client does not require a response, it can omit the `id` field sending a *notification*, which servers cannot respond to, with the effect that clients cannot know the effect nor the reception of the message.

Responses, as shown in lst. 2.2, have to be sent by servers answering to any request. Any result or error of an operation is explicitly encoded in the response. Errors are represented as objects specifying the error kind using an error `code` and provide a human-readable descriptive `message` as well as optionally any procedure defined `data`.

---

**Listing 2.2** JSON-RPC Response and Error

---

```
// Responses
{
  "jsonrpc": "2.0"
  "result": any
  "error": Error
, "id": Number | String | Null
}
```

---

Clients can choose to batch requests and send a list of request or notification objects. The server should respond with a list of results matching each request, yet is free to process requests concurrently.

JSON-RPC only specifies a message protocol, hence the transport method can be freely chosen by the application.



### 2.1.2 Commands and Notifications

The LSP builds on top of the JSON-RPC protocol described in the previous subsection.

#### 2.1.2.1 File Notification

##### 2.1.2.1.1 Diagnostics

##### 2.1.2.2 Hover

##### 2.1.2.3 Completion

##### 2.1.2.4 Go-To-\*

##### 2.1.2.5 Symbols

##### 2.1.2.6 code lenses

### 2.1.3 Shortcomings

## 2.2 Configuration programming languages

Nickel (**nickel?**), the language targeted by the language server detailed in this thesis, defines itself as “configuration language” used to automatize the generation of static configuration files.

Static configuration languages such as XML(**xml?**), JSON(**json?**), or YAML(**yaml?**) are language specifications defining how to textually represent structural data used to configure parameters of a system<sup>4</sup>. Applications of configuration languages are ubiquitous especially in the vicinity of software development. While XML and JSON are often used by package managers (**composer?**), YAML is a popular choice for complex configurations such as CI/CD pipelines (**gitlab-runner?**) or machine configurations in software defined networks such as Kubernetes and docker compose.

Such static formats are used due to some significant advantages compared to other formats. Most strikingly, the textual representation allows inspection of a configuration without the need of a separate tool but a text editor and be version controlled using VCS software like Git. For software configuration this is well understood as being preferable over databases or other binary formats. Linux service configurations (files in `/etc`) and MacOS `*.plist` files which can be serialized as XML or a JSON-like format, especially exemplify that claim.

Yet, despite these formats being simple to parse and widely supported (**json?**), their static nature rules out any dynamic content such as generated fields, functions and the possibility to factorize and reuse. Moreover, content validation has to be developed separately, which led to the design of complementary schema specification languages like json-schema (**json-schema?**) or XSD (**xsd?**).

These qualities require an evaluated language. In fact, some applications make heavy use of config files written in the native programming language which gives

---

<sup>4</sup>some of the named languages may have been designed as a data interchange format which is absolutely compatible with also acting as a configuration language

them access to language features and existing analysis tools. Examples include JavaScript frameworks such as webpack (**webpack?**) or Vue (**vue?**) and python package management using **setuptools**(**setuptools?**).

Despite this, not all languages serve as a configuration language, e.g. compiled languages and some domains require language agnostic formats. For particularly complex products, both language independence and advanced features are desirable. Alternatively to generating configurations using high level languages, this demand is addressed by more domain specific languages. Dhall (**dhall?**), Cue (**cue?**) or jsonnet (**jsonnet?**) are such domain specific languages (DSL), that offer varying support for string interpolation, (strict) typing, functions and validation.

### 2.2.1 Infrastructure as Code

A prime example for the application of configuration languages are IaaS<sup>5</sup> products. These solutions offer great flexibility with regard to resource provision (computing, storage, load balancing, etc.), network setup and scaling of (virtual) servers. Although the primary interaction with those systems is imperative, maintaining entire applications' or company's environments manually comes with obvious drawbacks.

Changing and undoing changes to existing networks requires intricate knowledge about its topology which in turn has to be meticulously documented. Undocumented modification pose a significant risk for *config drift* which is particularly difficult to undo imperatively. Beyond that, interacting with a system through its imperative interfaces demands qualified skills of specialized engineers.

The concept of “Infrastructure as Code” (*IaC*) serves the DevOps principles. IaC tools help to overcome the need for dedicated teams for *Development* and *Operations* by allowing to declaratively specify the dependencies, topology and virtual resources. Optimally, different environments for testing, staging and production can be derived from a common base and changes to configurations are atomic. As an additional benefit, configuration code is subject to common software engineering tooling; It can be statically analyzed, refactored and version controlled to ensure reproducibility.

As a notable instance, the Nix(**nix?**) ecosystem even goes as far as enabling declarative system and service configuration using NixOps(**nixops?**).

To get an idea of how this would look like, [lst. 2.3](#) shows the configuration for a deployment of the Git based wiki server Gollum(**gollum?**) behind a nginx reverse proxy on the AWS network. Although this example targets AWS, Nix itself is platform-agnostic and NixOps supports different backends through various plugins. Configurations like this are abstractions over many manual steps and the Nix language employed in this example allows for even higher level turing-complete interaction with configurations.

---

<sup>5</sup>Infrastructure as a Service

**Listing 2.3** Example NixOps deployment to AWS

---

```

{
  network.description = "Gollum server and reverse proxy";
  defaults =
    { config, pkgs, ... }:
    {
      deployment.targetEnv = "ec2";
      deployment.ec2.accessKeyId = "AKIA...";
      deployment.ec2.keyPair = "...";
      deployment.ec2.privateKey = "...";
      deployment.ec2.securityGroups = pkgs.lib.mkDefault [ "default" ];
      deployment.ec2.region = pkgs.lib.mkDefault "eu-west-1";
      deployment.ec2.instanceType = pkgs.lib.mkDefault "t2.large";
    };

  gollum =
    { config, pkgs, ... }:
    {
      services.gollum = {
        enable = true;
        port = 40273;
      };
      networking.firewall.allowedTCPPorts = [ config.services.gollum.port ];
    };

  reverseproxy =
    { config, pkgs, nodes, ... }:
    let
      gollumPort = nodes.gollum.config.services.gollum.port;
    in
    {
      deployment.ec2.instanceType = "t1.medium";
      services.nginx = {
        enable = true;
        virtualHosts."wiki.example.net".locations."/" = {
          proxyPass = "http://gollum:${toString gollumPort}";
        };
      };
      networking.firewall.allowedTCPPorts = [ 80 ];
    };
}

```

---

Similarly, tools like Terraform(**terraform?**), or Chef(**chef?**) use their own DSLs and integrate with most major cloud providers. The popularity of these products<sup>6</sup>, beyond all, highlights the importance of expressive configuration formats and their industry value.

---

<sup>6</sup><https://trends.google.com/trends/explore?date=2012-01-01%202022-01-01&q=%2Fg%2F11g6bg27fp,CloudFormation>

Finally, descriptive data formats for cloud configurations allow mitigating security risks through static analysis. Yet, as recently as spring 2020 and still more than a year later dossiers of Palo Alto Networks' security department Unit 42 ([pa2020H1?](#)) show that a majority of public projects uses insecure configurations. This suggests that techniques([aws-cloud-formation-security-tests?](#)) to automatically check templates are not actively employed, and points out the importance of evaluated configuration languages which can implement passive approaches to security analysis.

## 2.2.2 Nickel

### 2.2.2.1 Gradual typing

#### 2.2.2.1.1 Row types

#### 2.2.2.2 Contracts

In addition to a static type-system Nickel integrates a contract system akin what is described in ([cant-be-blamed?](#)). First introduced by Findler and Felleisen, contracts allow the creation of runtime-checked subtypes. Unlike types, contracts check an annotated value using arbitrary functions that either pass or *blame* the input. Contracts act like assertions that are automatically checked when a value is used or passed to annotated functions.

For instance, a contract could be used to define TCP port numbers, like shown in [lst. 2.4](#).

---

**Listing 2.4** Sample Contract ensuring that a value is a valid TCP port number

---

```
let Port | doc "A contract for a port number" =
  contracts.from_predicate (
    fun value =>
      builtins.is_num value &&
      value % 1 == 0 &&
      value >= 0 &&
      value <= 65535
  )
in 8080 | #Port
```

---

Going along gradual typing, contracts pose a convenient alternative to the `newtype` pattern. Instead of requiring values to be wrapped or converted into custom types, contracts are self-contained. As a further advantage, multiple contracts can be applied to the same value as well as integrated into other higher level contracts. An example can be observed in [lst. 2.5](#)

---

**Listing 2.5** More advanced use of contracts restricting values to an even smaller domain

---

```
let Port | doc "A contract for a port number" =
  contracts.from_predicate (
    fun value =>
      builtins.is_num value &&
      value % 1 == 0 &&
      value >= 0 &&
      value <= 65535
  )
in
let UnprivilegedPort = contracts.from_predicate (
  fun value =>
    (value | #Port) >= 1024
  )
in
let Even = fun label value =>
  if value % 2 == 0 then value
  else
    let msg = "not an even value" in
    contracts.blame_with msg label
in

8001 | #UnprivilegedPort
    | #Even
```

---

Notice how contracts also enable detailed error messages (see lst. 2.6) using custom blame messages. Nickel is able to point to the exact value violating a contract as well as the contract in question.

---

**Listing 2.6** Example error message for failed contract

---

```
error: Blame error: contract broken by a value [not an even value].
- :1:1
|
1 | #Even
| ----- expected type
|
- repl-input-34:22:1
|
22 | - 8001 | #UnprivilegedPort
| ---- evaluated to this expression
23 | |      | #Even
| -----^ applied to this expression

note:
- repl-input-34:23:8
|
23 |      | #Even
|      ^^^^^ bound here
```

---

### 2.2.2.3 Nickel AST

Nickel's syntax tree is a single sum type, i.e., an enumeration of node types. Each enumeration variant may refer to child nodes, representing a branch or hold terminal values in which case it is considered a leaf of the tree. Additionally, tree nodes hold information about their position in the underlying code.

**2.2.2.3.1 Basic Elements** The primitive values of the Nickel language are closely related to JSON. On the leaf level, Nickel defines `Boolean`, `Number`, `String` and `Null`. In addition to that the language implements native support for `Enum` values which are serialized as plain strings. Each of these are terminal leafs in the syntax tree.

Completing JSON compatibility, `List` and `Record` constructs are present as well. Records on a syntax level are HashMaps, uniquely associating an identifier with a sub-node.

These data types constitute a static subset of Nickel which allows writing JSON compatible expressions as shown in `lst. 2.7`.

---

#### Listing 2.7 Example of a static Nickel expression

---

```
{
  list = [ 1, "string", null],
  "some key" = "value"
}
```

---

Building on that Nickel also supports variables and functions.

**2.2.2.3.2 Identifiers** The inclusion of Variables to the language, implies some sort of identifiers. Such name bindings can be declared in multiple ways, e.g. `let` bindings, function arguments and records. The usage of a name is always parsed as a single `Var` node wrapping the identifier. Span information of identifiers is preserved by the parser and encoded in the `Ident` type.

---

#### Listing 2.8 Let bindings and functions in nickel

---

```
// simple bindings
let name = <expr> in <expr>
let func = fun arg => <expr> in <expr>

// or with patterns
let name @ { field, with_default = 2 } = <expr> in <expr>
let func = fun arg @ { field, with_default = 2 } =>
  <expr> in
  <expr>
```

---

**2.2.2.3.3 Variable Reference** Let bindings in their simplest form merely bind a name to a value expression and expose the name to the inner expression. Hence, the `Let` node contains the binding and links to both implementation and

scope subtrees. The binding can be a simple name, a pattern or both by naming the pattern as shown in lst. 2.8.

---

**Listing 2.9** Parsed representation of functions with multiple arguments

---

```
fun first second => first + second
// ...is parsed as
fun first =>
  fun second => first + second
```

---

Functions in Nickel are curried lambda expressions. A function with multiple arguments gets broken down into nested single argument functions as seen in lst. 2.9. Function argument name binding therefore looks the same as in `let` bindings.

**2.2.2.3.4 Meta Information** One key feature of Nickel is its gradual typing system [ref again?], which implies that values can be explicitly typed. Complementing type information, it is possible to annotate values with contracts and additional metadata such as contracts, documentation, default values and merge priority using a special syntax as displayed in lst. 2.10.

---

**Listing 2.10** Example of a static Nickel expression

---

```
let Contract = {
  foo | Num
    | doc "I am foo",
  hello | Str
    | default = "world"
}
| doc "Just an example Contract"
in
let value | #Contract = { foo = 9, }
in value == { foo = 9, hello = "world", }

> true
```

---

Internally, the addition of annotations wraps the annotated term in a `MetaValue`, an additional tree node which describes its subtree. The expression shown in lst. 2.11 translates to the AST in fig. 2.1.

---

**Listing 2.11** Example of a typed expression

---

```
let x: Num = 5 in x
```

---

**2.2.2.3.5 Nested Record Access** Nickel supports both static and dynamic access to record fields. If the field name is statically known, the access is said to be *static* accordingly. Conversely, if the name requires evaluating a string from an expression the access is called *dynamic*. An example is given in lst. ??

```
{.nickel #lst:nickel-static-dynamic caption="Examples for static
and dynamic record access} let r = { foo = 1, "bar space" = 2} in
```



Figure 2.1: AST of typed expression

```

r.foo // static r."bar space" // static let field = "fo" ++ "o"
in r."#{field}" // dynamic

```

The destruction of record fields is represented using a special set of AST nodes depending on whether the access is static or dynamic. Static analysis does not evaluate dynamic fields and thus prevents the analysis of any deeper element starting with dynamic access. Static access however can be used to resolve any intermediate reference.

Notably, Nickel represents static access chains in inverse order as unary operations which in turn puts the terminal `Var` node as a leaf in the tree. Figure 2.2 shows the representation of the static access performed in lst. 2.12 with the rest of the tree omitted.

---

**Listing 2.12** Nickel static access
 

---

```

let x = {
  y = {
    z = 1,
  }
} in x.y.z

```

---

**2.2.2.3.6 Record Shorthand** Nickel supports a shorthand syntax to efficiently define nested records similarly to how nested record fields are accessed. As a comparison the example in lst. 2.13 uses the shorthand syntax which resolves to the semantically equivalent record defined in lst. 2.14

---

**Listing 2.13** Nickel record defined using shorthand
 

---

```

{
  deeply.nested.record.field = true,
}

```

---



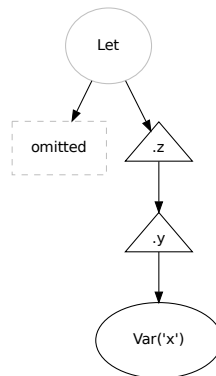


Figure 2.2: AST of typed expression

**Listing 2.14** Nickel record defined explicitly

---

```

{
  deeply = {
    nested = {
      record = {
        field = true,
      }
    }
  }
}

```

---

Yet, on a syntax level Nickel generates a different representation.



# Chapter 3

## Related work

The Nickel Language Server follows a history of previous research and development in the domain of modern language tooling and editor integration. Most importantly, it is part of a growing set of LSP integrations. As such, it is important to get a picture of the field of current LSP projects. This chapter will survey a varied range of popular language servers, compare common capabilities, and implementation approaches. Additionally, this part aims to recognize alternative approaches to the LSP, in the form of legacy protocols, extensible development platforms LSP extensions and the emerging Language Server Index Format.

### 3.1 Language Servers

The LSP project was announced (**lsp-announced?**) in 2016 to establish a common protocol over which language tooling could communicate with editors. This way it is able to fully concentrate on source analysis instead of integration with specific editors by building custom GUI elements and being restricted to editors extension interface.

At the time of writing the LSP is available in version 3.16 (**Spec?**). Microsoft's official website lists 172 implementations of the LSP(**implementations?**) for an equally impressive number of languages.

Assessing any majority of these is outside the scope of this work. Yet, a few implementations stand out due to their sophisticated architecture, features, popularity or closeness to the presented work.

#### 3.1.1 Considerable dimensions

To be able to compare and describe each project objectively and comprehensively, the focus will be on the following dimensions.

**Target Language** The complexity of implementing language servers is influenced severely by the targeted language. Feature rich languages naturally require more sophisticated solutions than simpler ones. Conversely, self-hosted and interpreted languages can often leverage existing tooling.

**Features** The LSP defines an extensive array of capabilities. The implementation of these protocol features is optional and servers and clients are able to communicate a set of *mutually supported* capabilities.

The Langserver.org<sup>1</sup> project identified six basic capabilities that are most widely supported:

1. Code completion
2. Hover,
3. Jump to def,
4. Workspace symbols,
5. Find references
6. Diagnostics

Yet, not all of these are applicable in every case and some LSP implementations reach for a much more complete coverage of the protocol.

**File Processing** Most language servers use very different methods of handling the source code they analyze. The means are mainly influenced by the complexity of the language. Distinctions appear in the way servers process *file indexes and changes* and how they respond to *requests*.

The LSP supports sending updates in form of diffs of atomic changes and complete transmission of changed files. The former requires methods of incremental parsing and analysis which are difficult by itself, but are able to process files much more quickly. An incremental approach makes use of an internal representation of the source code that allows to quickly derive analytic results from and can be updated efficiently. Additionally, to facilitate the parsing, it must be able to provide a parser with the right context to correctly parse a changed fragment of code. On the contrary, most language servers process file changes by re-indexing the entire file, updating their internal model much more broadly. This is a more approachable method. Yet, it is less performant since entire files need to be processed, which becomes more noticeable as file sizes and edit frequency increase.

For code analysis LSP implementers have to decide between *lazy* or *greedy* approaches for processing files and answering requests. Dominantly greedy implementations resolve most available information during the indexing of the file. The server can then facilitate this model to answer requests using mere lookups. This stands in contrast to lazy approaches where only minimal local information is resolved during the indexing. Requests invoke an ad-hoc resolution the results of which may be memoized for future requests. Lazy resolution is more prevalent in conjunction with incremental indexing, since it further reduces the work associated with file changes. This is essential in complex languages that would otherwise perform a great amount of redundant work.

---

<sup>1</sup><https://langserver.org>

### 3.1.2 Representative LSP Projects

Since the number of implementations of the LSP is continuously growing, this thesis will present a selected set of notable projects.

1. Three highly advanced and complete implementations that are the de-facto standard tooling for their respective language: *rust-analyzer* (**rust-analyzer?**), *ocaml-lsp/merlin* (**merlin?**) and the *Haskell Language Server* (**hls?**)
2. Two projects that provide compelling alternatives for existing specialized solutions: *Metals* (for Scala) (**metals?**), *Java LSP* (**java-lsp?**)
3. Language Servers for especially small user languages in terms of complexity and userbase, highlighting one of the many use cases for the LSP. *rnix-lsp* (**rnix-lsp?**), *frege-lsp*

#### 3.1.2.1 LSP as standard tooling

Today LSP based solutions serve as the go-to-method to implement language analysis tools. Especially emerging languages without existing integration with major IDEs make extensive use of the flexibility and reach provided by the LSP.

Most languages profit greatly from the possibility to leverage existing tooling for the language. For instance the Haskell language server facilitates a plugin system that allows it to integrate with existing tooling projects (**hls-plugin-search?**). Plugins provide capabilities for linting (**hls-hlint-plugin?**), formatting (**hls-floskell-plugin?**, **hls-ormolu-plugin?**) documentation (**hls-haddock-comments-plugin?**) and other code actions (**hls-tactics-plugin?**) across multiple compiler versions. While this requires HSL to be compiled with the same compiler version in use by the IDE, it also avoids large scale reimplementations of compiler features in an entirely different language.

Similarly, the Ocaml language service builds on top of existing infrastructure by relying on the Merlin project introduced in sec. ???. Here, the advantages of employing existing language components have been explored even before the LSP.

The rust-analyzer (**rust-analyzer?**) takes an intermediate approach. It does not reuse or modify the existing compiler, but instead implements analysis functionality based on low level components. This way the developers of rust-analyzer have greater freedom to adapt for more advanced features. For instance rust-analyzer implements an analysis optimized parser with support for incremental processing. Due to the complexity of the language, LSP requests are processed mainly lazily, with support for caching to ensure performance. While many parts of the language have been reimplemented with a language server context in mind, the analyzer did not however implement detailed linting or the rust-specific borrow checker. For these kinds of analysis, rust-analyzer falls back to calls to the rust build system. ### Honorable mentions

## 3.2 Alternative approaches

### 3.2.1 Platform plugins

**3.2.2 Legacy protocols****3.2.3 LSP Extensions****3.2.4 LSIF**

## Chapter 4

# Design implementation of NLS

This chapter contains a detailed guide through the various steps and components of the Nickel Language Server (NLS). Being written in the same language (Rust(**rust?**)) as the Nickel interpreter allows NLS to integrate existing components for language analysis. Complementary, NLS is tightly coupled to Nickel's syntax definition. Section 4.2 will introduce the main data structure underlying all higher level LSP interactions and how the AST described in sec. 2.2.2.3 is transformed into this form. Finally, the implementation of current LSP features is discussed in sec. 4.3.

### 4.1 Illustrative example

The example `lst. 4.1` shows an illustrative high level configuration of a server. Throughout this chapter, different sections about the NLS implementation will refer back to this example.

**Listing 4.1** Nickel example with most features shown

---

```

let Port | doc "A contract for a port number" =
  contracts.from_predicate (fun value =>
    builtins.is_num value &&
    value % 1 == 0 &&
    value >= 0 &&
    value <= 65535) in

let Container = {
  image | Str,
  ports | List #Port,
} in

let NobernetesConfig = {
  apiVersion | Str,
  metadata.name | Str,
  replicas | #nums.PosNat
    | doc "The number of replicas"
    | default = 1,
  containers | { _ : #Container },
} in

let name_ = "myApp" in

let metadata_ = {
  name = name_,
} in

let webContainer = fun image => {
  image = image,
  ports = [ 80, 443 ],
} in

let image = "k8s.gcr.io/#{name_}" in

{
  apiVersion = "1.1.0",
  metadata = metadata_,
  replicas = 3,
  containers = {
    "main container" = webContainer image
  }
} | #NobernetesConfig

```

---



## 4.2 Linearization

The focus of the NLS as presented in this work is to implement a working language server with a comprehensive feature set. To answer requests, NLS needs to store more information than what is originally present in a Nickel AST. Apart from missing data, an AST is not optimized for quick random access of nodes based on their position, which is a crucial operation for a language server. To that end NLS introduces an auxiliary data structure, the *linearization*, which is derived from the AST. It represents the original data linearly, performs an enrichment of the AST nodes and provides greater decoupling of the LSP functions from the implemented language. Section 4.2.2 details the process of transferring the AST. After NLS parsed a Nickel source files to an AST it starts to fill the linearization, which is in a *building* state during this phase. For reasons detailed in sec. 4.2.3, the linearization needs to be post-processed, yielding a *completed* state. The completed linearization acts as the basis to handle all supported LSP requests as explained in sec. 4.3. Section 4.2.4 explains how a completed linearization is accessed.

Advanced LSP implementations sometimes employ so-called incremental parsing, which allows updating only the relevant parts of an AST (and, in case of NLS, the derived linearization) upon small changes in the source. However, an incremental LSP is not trivial to implement. For once, NLS would not be able to leverage existing components from the existing Nickel implementation (most notably, the parser). Parts of the nickel runtime, such as the typechecker, would need to be adapted or even reimplemented to work in an incremental way too. Considering the scope of this thesis, the presented approach performs a complete analysis on every update to the source file. The typical size of Nickel projects is assumed to remain small for quite some time, giving reasonable performance in practice. Incremental parsing, type-checking and analysis can still be implemented as a second step in the future.

### 4.2.1 States

At its core the linearization in either state is represented by an array of `LinearizationItems` which are derived from AST nodes during the linearization process. However, the exact structure of that array differs as an effect of the post-processing.

`LinearizationItems` maintain the position of their AST counterpart, as well as its type. Unlike in the AST, *metadata* is directly associated with the element. Further deviating from the AST representation, the *type* of the node and its *kind* are tracked separately. The latter is used to represent a usage graph on top of the linear structure. It distinguishes between declarations (`let` bindings, function parameters, records) and variable usages. Any other kind of structure, for instance, primitive values (Strings, numbers, boolean, enumerations), is recorded as `Structure`.

To separate the phases of the elaboration of the linearization in a type-safe, the implementation is based on type-states(`typestate?`). Type-states were chosen over an enumeration based approach for the additional flexibility they provide to build a generic interface. Thanks to the generic interface, the adaptations to

Nickel to integrate NLS are expected to have almost no influence on the runtime performance of the language in an optimized build.

NLS implements separate type-states for the two phases of the linearization: **Building** and **Completed**.

**building phase:** A linearization in the **Building** state is a linearization under construction. It is a list of **LinearizationItems** of unresolved type, appended as they are created during a depth-first traversal of the AST. During this phase, the **id** affected to a new item is always equal to its index in the array.

The **Building** state also records the definitions in scope of each item in a separate mapping.

**post-processing phase:** Once fully built, a **Building** instance is post-processed to get a **Completed** linearization.

Although fundamentally still represented by an array, a completed linearization is optimized for search by positions (in the source file) thanks to sorting and the use of an auxiliary map from **ids** to the new index of items.

Additionally, missing edges in the usage graph have been created and the types of items are fully resolved in a completed linearization.

Type definitions of the **Linearization** as well as its type-states **Building** and **Completed** are listed in lsts. 4.2, 4.3, 4.4. Note that only the former is defined as part of the Nickel libraries, the latter are specific implementations for NLS.

---

**Listing 4.2** Definition of Linearization structure

---

```
pub trait LinearizationState {}

pub struct Linearization<S: LinearizationState> {
  pub state: S,
}
```

---



---

**Listing 4.3** Type Definition of Building state

---

```
pub struct Building {
  pub linearization: Vec<LinearizationItem<Unresolved>>,
  pub scope: HashMap<Vec<ScopeId>, Vec<ID>>,
}

impl LinearizationState for Building {}
```

---



---

**Listing 4.4** Type Definition of Completed state

---

```
pub struct Completed {
  pub linearization: Vec<LinearizationItem<Resolved>>,
  pub scope: HashMap<Vec<ScopeId>, Vec<ID>>,
  pub id_to_index: HashMap<ID, usize>,
}

impl LinearizationState for Completed {}
```

---

### 4.2.2 Transfer from AST

The NLS project aims to present a transferable architecture that can be adapted for future languages. Consequently, NLS faces the challenge of satisfying multiple goals

1. To keep up with the frequent changes to the Nickel language and ensure compatibility at minimal cost, NLS needs to *integrate critical functions* of Nickel’s runtime
2. Adaptions to Nickel to accommodate the language server should be minimal not obstruct its development and *maintain performance of the runtime*.

To accommodate these goals NLS comprises three different parts as shown in fig. 4.1.

**The Linearizer trait** acts as an interface between Nickel and the language server. NLS implements a **Linearizer** specialized to Nickel which registers AST nodes and builds a final linearization. Nickel’s type checking implementation was adapted to pass AST nodes to the **Linearizer**. Modifications to Nickel are minimal, comprising only few additional function calls and a slightly extended argument list. A stub implementation of the **Linearizer** trait is used during normal operation. Since most methods of this implementation are **no-ops**, the compiler should be able to optimize away all **Linearizer** calls in release builds.

#### 4.2.2.1 Usage Graph

At the core the linearization is a simple *linear* structure. Yet, it represents relationships of nodes on a structural level as a tree-like structure. Taking into account variable usage information adds back-edges to the original AST, yielding a graph structure. Both kinds of edges have to be encoded with the elements in the list. Alas, items have to be referred to using **ids** since the index of items cannot be relied on (such as in e.g. a binary heap), because the array is reordered to optimize access by source position.

There are two groups of vertices in such a graph. **Declarations** are nodes that introduce an identifier, and can be referred to by a set of nodes. Referral is represented by **Usage** nodes.

During the linearization process this graphical model is embedded into the items of the linearization. Hence, each **LinearizationItem** is associated with a kind representing the item’s role in the graph (see: lst. 4.5).

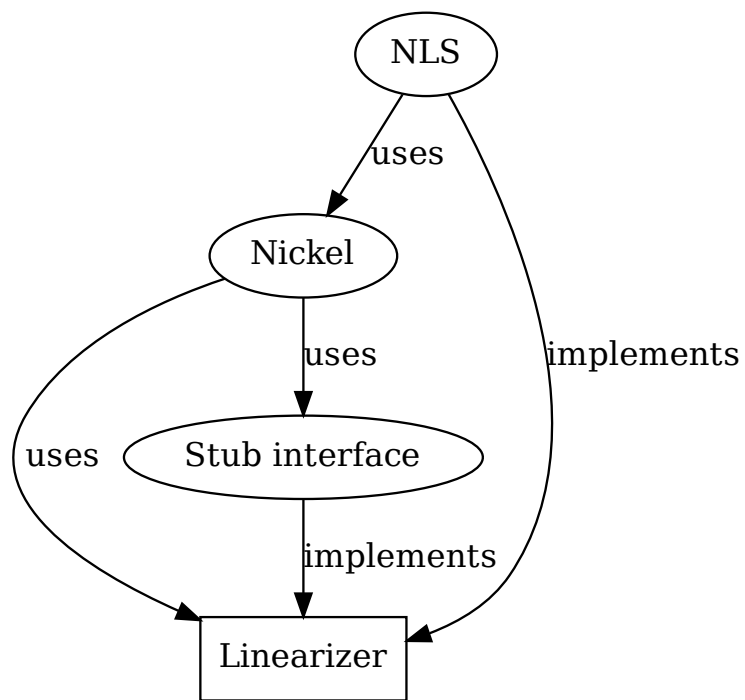


Figure 4.1: Interaction of Componentnets

**Listing 4.5** Definition of a linearization items TermKind

---

```

pub enum TermKind {
  Declaration(Ident, Vec<ID>, ValueState),
  Record(HashMap<Ident, ID>),
  RecordField {
    ident: Ident,
    record: ID,
    usages: Vec<ID>,
    value: ValueState,
  },

  Usage(UsageState),

  Structure,
}

pub enum UsageState {
  Unbound,
  Resolved(ID),
  Deferred { parent: ID, child: Ident },
}

pub enum ValueState {
  Unknown,
  Known(ID),
}

```

---

**Variable bindings and function arguments** are linearized using the `Declaration` variant which holds

- the bound identifier
- a list of IDs corresponding to its `Usages`.
- its assigned value

**Records** remain similar to their AST representation. The `Record` variant simply maps the record's field names to the linked `RecordField`

**Record fields** are represented as `RecordField` kinds and store:

- the same data as for identifiers (and, in particular, tracks its usages)
- a link to the parent `Record`
- a link to the value of the field

**Variable usages** can be in three different states.

1. `Usages` that can not (yet) be mapped to a declaration are tagged `Unbound`
2. A `Resolved` usage introduces a back-link to the complementary `Declaration`
3. For record destructuring resolution of the name might need to be `Deferred` to the post-processing as discussed in sec. ??.

**Other nodes** of the AST that do not participate in the usage graph, are linearized as **Structure** – A wildcard variant with no associated data.

#### 4.2.2.2 Scopes

The Nickel language implements lexical scopes with name shadowing.

1. A name can only be referred to after it has been defined
2. A name can be redefined locally

An AST inherently supports this logic. A variable reference always refers to the closest parent node defining the name and scopes are naturally separated using branching. Each branch of a node represents a sub-scope of its parent, i.e. new declarations made in one branch are not visible in the other.

When eliminating the tree structure, scopes have to be maintained in order to provide auto-completion of identifiers and list symbol names based on their scope as context. Since the bare linear data structure cannot be used to deduce a scope, related metadata has to be tracked separately. The language server maintains a register for identifiers defined in every scope. This register allows NLS to resolve possible completion targets as detailed in sec. 4.2.4.3.

For simplicity, NLS represents scopes by a prefix list of integers. Whenever a new lexical scope is entered, the list of the outer scope is extended by a unique identifier.

Additionally, to keep track of the variables in scope, and iteratively build a usage graph, NLS keeps track of the latest definition of each variable name and which **Declaration** node it refers to.

#### 4.2.2.3 Linearizer

The heart of the linearization the **Linearizer** trait as defined in lst. 4.6. The **Linearizer** lives in parallel to the **Linearization**. Its methods modify a shared reference to a **Building Linearization**.

**Listing 4.6** Interface of linearizer trait

---

```

pub trait Linearizer {
  type Building: LinearizationState + Default;
  type Completed: LinearizationState + Default;
  type CompletionExtra;

  fn add_term(
    &mut self,
    lin: &mut Linearization<Self::Building>,
    term: &Term,
    pos: TermPos,
    ty: TypeWrapper,
  )

  fn retype_ident(
    &mut self,
    lin: &mut Linearization<Self::Building>,
    ident: &Ident,
    new_type: TypeWrapper,
  )

  fn complete(
    self,
    _lin: Linearization<Self::Building>,
    _extra: Self::CompletionExtra,
  ) -> Linearization<Self::Completed>
  where
    Self: Sized,

  fn scope(&mut self) -> Self;
}

```

---

**Linearizer::add\_term** is used to record a new term, i.e. AST node.

Its responsibility is to combine context information stored in the **Linearizer** and concrete information about a node to extend the **Linearization** by appropriate items.

**Linearizer::retype\_ident** is used to update the type information of an identifier.

The reason this method exists is that not all variable definitions have a corresponding AST node but may be part of another node. This is the case with records; Field *names* are not linearized separately but as part of the record. Thus, their type is not known to the linearizer and has to be added explicitly.

**Linearizer::complete** implements the post-processing necessary to turn a final **Building** linearization into a **Completed** one.

Note that the post-processing might depend on additional data.

**Linearizer::scope** returns a new **Linearizer** to be used for a sub-scope of the current one.

Multiple calls to this method yield unique instances, each with their own

scope. It is the caller’s responsibility to call this method whenever a new scope is entered traversing the AST.

The recursive traversal of an AST implies that scopes are correctly back-tracked.

While data stored in the `Linearizer::Building` state will be accessible at any point in the linearization process, the `Linearizer` is considered to be *scope safe*. No instance data is propagated back to the outer scopes `Linearizer`. Neither have `Linearizers` of sibling scopes access to each other’s data. Yet, the `scope` method can be implemented to pass arbitrary state down to the scoped instance. The scope safe storage of the `Linearizer` implemented by NLS, as seen in `lst. ??`, stores the scope aware register and scope related data. Additionally, it contains fields to allow the linearization of records and record destructuring, as well as metadata (sec. 4.2.2.4.3).

```
pub struct AnalysisHost {
  env: Environment,
  scope: Scope,
  next_scope_id: ScopeId,
  meta: Option<MetaValue>,
  /// Indexing a record will store a reference to the record as
  /// well as its fields.
  /// [Self::Scope] will produce a host with a single **`pop`ed**
  /// Ident. As fields are typechecked in the same order, each
  /// in their own scope immediately after the record, which
  /// gives the corresponding record field term_ to the ident
  /// useable to construct a vale declaration.
  record_fields: Option<(usize, Vec<(usize, Ident)>>>,
  /// Accesses to nested records are recorded recursively.
  /// ...
  /// outer.middle.inner -> inner(middle(outer))
  /// ...
  /// To resolve those inner fields, accessors (`inner`, `middle`)
  /// are recorded first until a variable (`outer`). is found.
  /// Then, access to all nested records are resolved at once.
  access: Option<Vec<Ident>>>,
}
```

#### 4.2.2.4 Linearization Process

From the perspective of the language server, building a linearization is a completely passive process. For each analysis NLS initializes an empty linearization in the `Building` state. This linearization is then passed into Nickel’s type-checker along a `Linearizer` instance.

Type checking in Nickel is implemented as a complete recursive depth-first preorder traversal of the AST. As such it could easily be adapted to interact with a `Linearizer` since every node is visited and both type and scope information is available without the additional cost of a separate traversal. Moreover, type checking proved optimal to interact with traversal as most transformations of the AST happen afterwards.



While the type checking algorithm is complex only a fraction is of importance for the linearization. Reducing the type checking function to what is relevant to the linearization process yields lst. 4.7. Essentially, every term is unconditionally registered by the linearization. This is enough to handle a large subset of Nickel. In fact, only records, let bindings and function definitions require additional change to enrich identifiers they define with type information.

---

**Listing 4.7** Abstract type checking function

---

```

fn type_check_<L: Linearizer>(
  lin: &mut Linearization<L::Building>,
  mut linearizer: L,
  rt: &RichTerm,
  ty: TypeWrapper,
  /* omitted */
) -> Result<(), TypecheckError> {
  let RichTerm { term: t, pos } = rt;

  // 1. record a node
  linearizer.add_term(lin, t, *pos, ty.clone());

  // handling of each term variant
  // recursively calling `type_check_`
  //
  // 2. retype identifiers if needed
  match t.as_ref() {
    Term::RecRecord(stat_map, ..) => {
      for (id, rt) in stat_map {
        let tyw = binding_type(/* omitted */);
        linearizer.retype_ident(lin, id, tyw);
      }
    }
    Term::Fun(ident, _) |
    Term::FunPattern(Some(ident), _) => {
      let src = state.table.fresh_unif_var();
      linearizer.retype_ident(lin, ident, src.clone());
    }
    Term::Let(ident, ..) |
    Term::LetPattern(Some(ident), ..) => {
      let ty_let = binding_type(/* omitted */);
      linearizer.retype_ident(lin, ident, ty_let.clone());
    }
    _ => { /* omitted */ }
  }
}

```

---

While registering a node, NLS distinguishes 4 kinds of nodes. These are *metadata*, *usage graph* related nodes, i.e. declarations and usages, *static access* of nested record fields, and *general elements* which is every node that does not fall into one of the prior categories.

**Listing 4.8** Exemplary nickel expressions

---

```
// atoms

1
true
null

// binary operations
42 * 3
[ 1, 2, 3 ] @ [ 4, 5]

// if-then-else
if true then "TRUE :)" else "false :("

// string interpolation
"#{ "hello" } #{ "world" }!"
```

---

**4.2.2.4.1 Structures** In the most common case of general elements, the node is simply registered as a `LinearizationItem` of kind `Structure`. This applies for all simple expressions like those exemplified in lst. 4.8

**4.2.2.4.2 Declarations** In case of `let` bindings or function arguments name binding is equally simple. As discussed in sec. ?? the `let` node may contain both a name and pattern matches. For either the linearizer generates `Declaration` items and updates its name register. However, type information is available for name bindings only, meaning pattern matches remain untyped.

The same process applies for argument names in function declarations. Due to argument currying, NLS linearizes only a single argument/pattern at a time.

**Listing 4.9** A record in Nickel

---

```
{
  apiVersion = "1.1.0",
  metadata = metadata_,
  replicas = 3,
  containers = {
    "main container" = webContainer image
  }
}
```

---

**4.2.2.4.3 Records** Section ?? introduced the AST representation of Records. As suggested by fig. 4.2, Nickel does not have AST nodes dedicated to record fields. Instead, it associates field names with values as part of the `Record` node. Since the language server is bound to process nodes individually, in effect, it will only see the values. Therefore, it can not process record values at the same time as the outer record. For the language server it is important to associate field names with their value, as it serves as name declaration. For that reason, NLS distinguishes `Record` and `RecordField` as independent kinds of linearization



Figure 4.2: AST representation of a record

items where **RecordFields** act as a bridge between the record and the value named after the field.

To maintain similarity to other binding types, NLS has to create a separate item for the field and the value. This also ensures, that the value can be linearized independently.

Record values may reference other fields defined in the same record regardless of the order, as records are recursive by default. Consequently, all fields have to be in scope and as such be linearized beforehand. When linearizing a record, NLS will generate **RecordField** items for each field. However, it can not associate the field's value with the item yet (which is expressed using **ValueState::None**). This is because the subtree of each field can be arbitrary large, as is the offset of the corresponding linearization items.

The visualization (fig. 4.3) of the record discussed in lst. 4.9 gives an example for this. Here, the first items linearized are record fields. Yet, as the **containers** field value is processed first, the **metadata** field value is offset by a number of fields unknown when the outer record node is processed.

To provide the necessary references, NLS makes use of the *scope safe* memory of its **Linearizer** implementation. This is possible, because each record value corresponds to its own scope. The complete process looks as follows:

1. When registering a record, first the outer **Record** is added to the linearization



Figure 4.3: Linearization of a record

2. This is followed by **RecordField** items for its fields, which at this point do not reference any value.
3. NLS then stores the **id** of the parent as well as the fields and the offsets of the corresponding items (**n-4** and **[(apiVersion, n-3), (containers, n-2), (metadata, n-1)]** respectively in the example fig. 4.3).
4. The **scope** method will be called in the same order as the record fields appear. Using this fact, the **scope** method moves the data stored for the next evaluated field into the freshly generated **Linearizer**
5. **(In the sub-scope)** The **Linearizer** associates the **RecordField** item with the (now known) **id** of the field's value. The cached field data is invalidated such that this process only happens once for each field.

**4.2.2.4.4 Variable Reference** The usage of a variable is always expressed as a **Var** node that holds an identifier. Registering a name usage is a multi-step process.

First, NLS tries to find the identifier in its scope-aware name registry. If the registry does not contain the identifier, NLS will linearize the node as **Unbound**. In the case that the registry lookup succeeds, NLS retrieves the referenced **Declaration** or **RecordField**. The linearizer will then add a usage item in the **Resolved** state to the linearization and update the declaration's list of usages.

**4.2.2.4.5 Resolution of Record Fields** The AST representation of record destructuring in fig. 2.2 shows that accessing inner records involves chains of unary operations *ending* with a reference to a variable binding. Each operation encodes one field of a referenced record. However, to reference the corresponding declaration, the final usage has to be known. Therefore, instead of linearizing the intermediate elements directly, the **Linearizer** adds them to a shared stack until the grounding variable reference is registered.

Whenever a variable usage is linearized, NLS checks the stack for latent destructors. If destructors are present, it adds **Usage** items for each element on the stack. Yet, because records are recursive it is possible that fields reference other fields' values.

Consider the following example lst. 4.10, which is depicted in fig. 4.4

---

**Listing 4.10** Example of a recursive record

---

```
{
  y = {
    yy = "foo",
    yz = z,
  },
  z = y.yy
}
```

---

Here, a conflict is guaranteed. As the **Linearizer** processes the field values sequentially in arbitrary order, it is unable to resolve both **y.yz** and **z**.

Assuming the value for **z** is linearized first, the items corresponding the destructuring of **y** can not be resolved. While the *field* **y** is known, its value is



Figure 4.4: Example race condition in recursive records. The field ‘y.yz’ cannot be not be referenced at this point as the ‘y’ branch has yet to be linearized

not (cf. sec. 4.2.2.4.3), from which follows that `yy` is inaccessible. Yet, `y.yy` will be possible to resolve once the value of `y` is processed. For this reason the **Usage** generated from the destructor `.yy` is marked as **Deferred** and will be fully resolved during the post-processing phase as documented in sec. 4.2.3.2.

In fact, NLS linearized all destructor elements as **Deferred** and resolves the correct references later. Figure 4.5 shows this more clearly for the expression `x.y.z`. The **Declaration** for `x` is known, therefore its **Var** AST node is linearized as a **Resolved** usage. Mind that in records `x` could as well be a **RecordField**.



Figure 4.5: Depiction of generated usage nodes for record destructuring

**4.2.2.4.6 Metadata** In sec. 2.2.2.3.4 was shown that on the syntax level, metadata “wraps” the annotated value. Conversely, NLS encodes metadata as part of the **LinearizationItem** as it is considered to be intrinsically related to a value. NLS therefore has to defer handling of the **MetaValue** node until the processing of the associated value in the succeeding call. Like record destructors,

NLS temporarily stores this metadata in the `Linearizer`'s memory.

Metadata always precedes its value immediately. Thus, whenever a node is linearized, NLS checks whether any latent metadata is stored. If there is, it moves it to the value's `LinearizationItem`, clearing the temporary storage.

Although metadata is not linearized as is, contracts encoded in the metadata can however refer to locally bound names. Considering that only the annotated value is type-checked and therefore passed to NLS, resolving Usages in contracts requires NLS to separately walk the contract expression. Therefore, NLS traverses the AST of expressions used as value annotations. In order to avoid interference with the main linearization, contracts are linearized using their own `Linearizer`.

### 4.2.3 Post-Processing

Once the entire AST has been processed NLS modifies the Linearization to make it suitable as an efficient index to serve various LSP commands.

After the post-processing the resulting linearization

1. allows efficient lookup of elements from file locations
2. maintains an `id` based lookup
3. links deeply nested record destructors to the correct definitions
4. provides all available type information utilizing Nickel's typing backend

#### 4.2.3.1 Sorting

Since the linearization is performed in a preorder traversal, processing already happens in the order elements are defined physically. Yet, during the linearization the location might be unstable or unknown for different items. Record fields for instance are processed in an arbitrary order rather than the order they are defined. Moreover, for nested records and record short notations, symbolic `Record` items are created which cannot be mapped to a physical location and are thus placed at the range `[0..=0]` in the beginning of the file. Maintaining constant insertion performance and item-referencing require that the linearization is exclusively appended. Each of these cases, break the physical linearity of the linearization.

NLS thus defers reordering of items. The language server uses a stable sorting algorithm to sort items by their associated span's starting position. This way, nesting of items with the same start location is preserved. Since several operations require efficient access to elements by `id`, which after the sorting does not correspond to the items index in the linearization, after sorting NLS creates an index mapping `ids` to list indices.

#### 4.2.3.2 Resolving deferred access

Section ?? introduced the `Deferred` type for `Usages`. Resolution of usages is deferred if chained destructors are used. This is especially important in recursive records where any value may refer to other fields of the record which could still be unresolved.

As seen in fig. 4.5, the items generated for each destructor only link to their parent item. Yet, the root access is connected to a known declaration. Since



at this point all records are fully processed NLS is able to resolve destructors iteratively.

First NLS collects all deferred usages in a queue. Each usage contains the *id* of the parent destructor as well as the *name* of the field itself represents. NLS then tries to resolve the base record for the usage by resolving the parent. If the value of the parent destructor is not yet known or a deferred usage, NLS will enqueue the destructor once again to be processed again later. In practical terms that is after the other fields of a common record. In any other case the parent consequently has to point to a record, either directly, through a record field or a variable. NLS will then get the *id* of the `RecordField` for the destructors *name* and mark the `Usage` as `Known`. If no field with that name is present or the parent points to a `Structure` or `Unbound` usage, the destructor cannot be resolved in a meaningful way and will thus be marked `Unbound`.

#### 4.2.3.3 Resolving types

As a necessity for type checking, Nickel generates type variables for any node of the AST which it hands down to the `Linearizer`. In order to provide meaningful information, the Language Server needs to derive concrete types from these variables. The required metadata needs to be provided by the type checker.

### 4.2.4 Resolving Elements

#### 4.2.4.1 Resolving by position

As part of the post-processing step discussed in sec. 4.2.3, the `LinearizationItems` in the completed linearization are reordered by their occurrence of the corresponding AST node in the source file. To find items in this list three preconditions have to hold:

1. Each element has a corresponding span in the source
2. Items of different files appear ordered by `FileId`
3. Two spans are either within the bounds of the other or disjoint.

$$\text{Item}_{\text{start}}^2 \geq \text{Item}_{\text{start}}^1 \wedge \text{Item}_{\text{end}}^2 \leq \text{Item}_{\text{end}}^1$$

4. Items referring to the spans starting at the same position have to occur in the same order before and after the post-processing. Concretely, this ensures that the tree-induced hierarchy is maintained, more precise elements follow broader ones

This first two properties are an implication of the preceding processes. All elements are derived from AST nodes, which are parsed from files retaining their position. Nodes that are generated by the runtime before being passed to the language server are either ignored or annotated with synthetic positions that are known to be in the bounds of the file and meet the second requirement. For all other nodes the second requirement is automatically fulfilled by the grammar of the Nickel language. The last requirement is achieved by using a stable sort during the post-processing.

The algorithm used is listed in lst. 4.11. Given a concrete position, that is a `FileId` and `ByteIndex` in that file, a binary search is used to find the *last*

element that *starts* at the given position. According to the aforementioned preconditions an element found there is equivalent to being the most specific element starting at this position. In the more frequent case that no element starting at the provided position is found, the search instead yields an index which can be used as a starting point to iterate the linearization *backwards* to find an item with the shortest span containing the queried position. Due to the third requirement, this reverse iteration can be aborted once an item's span ends before the query. If the search has to be aborted, the query does not have a corresponding `LinearizationItem`.

---

**Listing 4.11** Resolution of item at given position

---

```
impl Completed {
  pub fn item_at(
    &self,
    locator: &(FileId, ByteIndex),
  ) -> Option<&LinearizationItem<Resolved>> {
    let (file_id, start) = locator;
    let linearization = &self.linearization;
    let item = match linearization
      .binary_search_by_key(
        locator,
        |item| (item.pos.src_id, item.pos.start))
    {
      // Found item(s) starting at `locator`
      // search for most precise element
      Ok(index) => linearization[index..]
        .iter()
        .take_while(|item| (item.pos.src_id, item.pos.start) == locator)
        .last(),
      // No perfect match found
      // iterate back finding the first wrapping linearization item
      Err(index) => {
        linearization[..index].iter().rfind(|item| {
          // Return the first (innermost) matching item
          file_id == &item.pos.src_id
          && start > &item.pos.start
          && start < &item.pos.end
        })
      }
    };
    item
  }
}
```

---

#### 4.2.4.2 Resolving by ID

During the building process item IDs are equal to their index in the underlying List which allows for efficient access by ID. To allow similarly efficient access to nodes with using IDs a `Completed` linearization maintains a mapping of IDs to

their corresponding index in the reordered array. A queried ID is first looked up in this mapping which yields an index from which the actual item is read.

#### 4.2.4.3 Resolving by scope

During the construction from the AST, the syntactic scope of each element is eventually known. This allows to map scopes to a list of elements defined in this scope. Definitions from higher scopes are not repeated, instead they are calculated on request. As scopes are lists of scope fragments, for any given scope the set of referable nodes is determined by unifying IDs of all prefixes of the given scope, then resolving the IDs to elements. The Rust implementation is given in lst. 4.12 below.

---

**Listing 4.12** Resolution of all items in scope

---

```
impl Completed {
    pub fn get_in_scope(
        &self,
        LinearizationItem { scope, .. }: &LinearizationItem<Resolved>,
    ) -> Vec<&LinearizationItem<Resolved>> {
        let EMPTY = Vec::with_capacity(0);
        // all prefix lengths
        (0..scope.len())
            // concatenate all scopes
            .flat_map(|end| self.scope.get(&scope[..end])
                .unwrap_or(&EMPTY))
            // resolve items
            .map(|id| self.get_item(*id))
            // ignore unresolved items
            .flatten()
            .collect()
    }
}
```

---

## 4.3 LSP Server

Section 2.1.2 introduced the concept of capabilities in the context of the language server protocol. This section describes how NSL uses the linearization described in sec. 4.2 to implement a comprehensive set of features. NLS implements the most commonly compared capabilities *Code completion*, *Hover Jump to def*, *Find references*, *Workspace symbols* and *Diagnostics*.

### 4.3.1 Diagnostics and Caching

NLS instructs the LSP client to notify the server once the user opens or modifies a file. Each notification contains the complete source code of the file as well as its location. NLS subsequently parses and type-checks the file using Nickel's libraries. Since Nickel deals with error reporting already, NLS converts any error generated in these processes into Diagnostic items and sends them to the client as server notifications. Nickel errors provide detailed information about location

of the issue as well as possible details which NLS can include in the Diagnostic items.

As discussed in sec. 4.2 and sec. 4.2.4 the type-checking yields a **Completed** linearization which implements crucial methods to resolve elements. NLS will cache the linearization for each processed file. This way it can provide its LSP functions while a file is being edited.

### 4.3.2 Commands

Contrary to Diagnostics, which are part of a **Notification** based interaction with the client and thus entirely asynchronous, **Commands** are issued by the client which expects an explicit synchronous answer. While servers may report long-running tasks and defer sending eventual results back, user experience urges quick responses. NLS achieves the required low latency by leveraging the eagerly built linearization. Consequently, the language server implements most **Commands** through a series of searches and lookups of items.

#### 4.3.2.1 Hover

When hovering an item or issuing the corresponding command in text based editors, the LSP client will send a request for element information containing the cursor's *location* in a given *file*. Upon request, NLS loads the cached linearization and performs a lookup for a **LinearizationItem** associated with the location using the linearization interface presented in sec. 4.2.4.1. If the linearization contains an appropriate item, NLS serializes the item's type and possible metadata into a response object which resolves the RPC call. Otherwise, NLS signals no item could be found.

#### 4.3.2.2 Jump to Definition and Show references

Similar to *hover* requests, usage graph related commands associate a location in the source with an action. NLS first attempts to resolve an item for the requested position using the cached linearization. Depending on the command the item must be either a **Usage** or **Declaration/RecordField**. Given the item is of the correct kind, the language server looks up the referenced declaration or associated usages respectively. The stored position of each item is encoded in the LSP defined format and sent to the client. In short, usage graph queries perform two lookups to the linearization. One for the requested element and a second one to retrieve the linked item.

#### 4.3.2.3 Completion

Item completion makes use of the scope identifiers attached to each item. Since Nickel implements lexical scopes, all declarations made in parent scopes can be a reference. If two declarations use the same identifier, Nickel applies variable shadowing to refer to the most recent declaration, i.e., the declaration with the deepest applicable scope. NLS uses scope identifiers which represent scope depth as described in sec. 4.2.2.2 to retrieve symbol names for a reference scope using the method described in sec. 4.2.4.3. The current scope taken as reference is derived from the item at cursor position.

#### 4.3.2.4 Document Symbols

The Nickel Language Server interprets all items of kind `Declaration` as document symbol. Accordingly, it filters the linearization by kind and serializes all declarations into an LSP response object.



## Chapter 5

# Evaluation

### 5.1 Values of Interest

### 5.2 Methods

#### 5.2.1 Qualitative

#### 5.2.2 Quantitative

### 5.3 Process

### 5.4 Results

#### 5.4.1 Qualitative

#### 5.4.2 Quantitative





## Chapter 6

# Discussion

**6.1 Project results**

**6.2 Project shortcomings**

**6.3 Future Work**

