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OVAL(R) System Characteristics Model draft-rothenberg-sacm-oval-sys-char-model-01

#### Abstract

This document specifies Version 5.11.1 of the OVAL System Characteristics Model which provides a framework for representing low-level system configuration information that can be extended to support platform-specific constructs.

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#### 1. Introduction

The Open Vulnerability and Assessment Language (OVAL) [OVAL-WEBSITE] is an international, information security community effort to standardize how to assess and report upon the machine state of systems. For over ten years, OVAL has been developed in collaboration with any and all interested parties to promote open and publicly available security content and to standardize the representation of this information across the entire spectrum of security tools and services.

OVAL provides an established framework for making assertions about a system's state by standardizing the three main steps of the assessment process: representing the current machine state; analyzing the system for the presence of the specified machine state; and representing the results of the assessment which facilitates collaboration and information sharing among the information security community and interoperability among tools.

This draft is part of the OVAL contribution to the IETF SACM WG that standardizes the representation of the current machine state of a system. It is intended to serve as a starting point for the endpoint posture assessment data modeling needs of SACM specifically Posture Attributes.

### 1.1. Requirements Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [RFC2119].

### 2. OVAL System Characteristics Model

The OVAL System Characteristics Model is used to represent low-level, system settings that describe the current state of a system. The OVAL System Characteristics Model serves as a basis for extension to create platform-specific, low-level configuration information models.

<b></b>		L	L
Property	Туре	Count	Description
generator	oval:GeneratorType	1	Information regarding the generation of the OVAL System Characteristics. The timestamp property of the

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			generator MUST represent the time at which the system state information was collected.
system_info	SystemInfoType	0*	Information used to identify the system under test.
collected_object	CollectedObjectsTyp e	01	Contains the mapping between OVAL Objects defined in the OVAL Definitions and the OVAL Items that were collected from the system under test.
system_data	SystemDataType	01	Contains the OVAL Items that were collected from the system under test.
signature	ext:Signature	01	Mechanism to ensure the integrity and authenticity of the OVAL System Characteristics content.

Table 1: oval\_system\_characteristics Construct

# 2.1. SystemInfoType

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			L
Property	Туре	Count	Description
os_name	string	01	The operating system running on the system under test.
os_version	string	1	The version of the operating     system running on the system     under test.
architecture	string	1	The hardware architecture type of the system under test.
primary_host_name	string	1	The primary host name of the system under test.
interfaces	any	0*	The network interface(s)     present on the system under     test.
extension_point	any	0*	An extension point that allows for the inclusion of any additional identifying information associated with the system under test.

Table 2: SystemInfoType Construct

### 2.2. InterfacesType

The InterfacesType provides a container for zero or more interfaces.

Property	Туре	Count	Description
interface	InterfaceType	0*	One or more interfaces.

Table 3: InterfacesType Construct

## 2.3. InterfaceType

The InterfaceType defines the information associated with a network interface on the system under test. This information may help to identify a specific system on a network.

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+	<b></b>	+	++
Property	Туре	Count	Description
interface_name	string	1	The name of the interface.
ip_address	string	1	The Internet Protocol (IP) address of the interface.
mac_address	string	1	The Media Access Control (MAC) address of the interface. MAC addresses MUST be formatted according to IEEE 802-2001 Section 9.2.1 [IEEE-STD-802-2001].

Table 4: InterfaceType Construct

## 2.4. CollectedObjectsType

The CollectedObjectType is a container for one or more objects of type ObjectType that were used for data collection on the system under test.

# 2.5. ObjectType

The ObjectType provides a mapping between an OVAL Object, defined in content based on the OVAL Definitions Model, and the OVAL Items collected on the system under test.

+	+	   Count	++   Description
+	Type 	Count	Description
id	oval:ObjectIDPattern	1	The globally unique identifier of an OVAL Object.
version	unsigned integer	1	The version of   the globally   unique OVAL   Object.
variable_instance	unsigned integer	01	   The unique   identifier   that   differentiates

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		between each   unique   instance of an   OVAL Object.

			If an OVAL Object utilizes an OVAL Variable, a unique instance of each OVAL Object must be created for each OVAL variable value. Default Value: '1'
comment   	string	01	The documentation associated with the OVAL Object referenced by the id property.
flag   	oval:FlagEnumeration	1	The outcome associated with OVAL Item collection.
message 	oval:MessageType	0*	Any messages that are relayed from a tool at run- time.
variable_value	VariableValueType	0*	The value(s) associated with the variable(s) used by the OVAL Object referenced by the id property.

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+	reference	ReferenceType	0*	The identifiers of OVAL Items collected by the OVAL Object referenced by the id property.
---	-----------	---------------	----	---

Table 5: ObjectType Construct

# 2.6. VariableValueType

The VariableValueType identifies an OVAL Variable and value that is used by an OVAL Object during OVAL Item collection.

Property	   Type	Count	Description
variable_id	oval:VariableIDPattern	1	The unique     identifier of an     OVAL Variable.
value	string	1	A value associated with the OVAL Variable identified by the variable_id property.

Table 6: VariableValueType Construct

# 2.7. ReferenceType

The ReferenceType identifies an OVAL Item that was collected during OVAL Item collection.

Property	   Type	Count	Description
item_ref	oval:ItemIDPattern	1	The unique identifier of     an OVAL Item.

Table 7: ReferenceType Construct

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## 2.8. SystemDataType

#### 2.9. ItemType

The ItemType is the abstract OVAL Item that defines the common properties associated with all OVAL Items defined in the OVAL System Characteristics OVAL Component Models.

+	L	L	L
Property	Туре	Count	Description
id	oval:ItemIDPattern	1	The unique identifier of an OVAL Item. The id property is unique within a given instantiation of the OVAL System Characteristics Model.
status	StatusEnumeration	01	The status property of an OVAL Item conveys the outcome of the system data collection effort.  Default Value: 'exists'
message	MessageType	050	Any messages that are relayed from a tool at run-time during the collection of an OVAL

Table 8: GeneratorType ItemType

## 2.10. EntityAttributeGroup

The EntityAttributeGroup defines the properties that are common to all OVAL Item Entities in the OVAL Language.

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Property	+	Count	Description
datatype	oval:DatatypeEnumeration	01	The datatype for   the entity. Default   Value: 'string'
   mask	l   boolean	01	Tells the data

			collection that this entity contains sensitive data. Data marked with mask='true' should be used only in the evaluation, and not be included in the results. Note that when the mask property is set to 'true', all child field elements must be masked regardless of the child field's mask attribute value. Default Value: 'false'
status     	StatusEnumeration	01	The status of the collection for an OVAL Item Entity. Default Value: 'exists'

Table 9: EntityAttributeGroup Construct

# 2.11. FlagEnumeration

The FlagEnumeration defines the acceptable outcomes associated with the collection of OVAL Items for a specified OVAL Object.

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+	·	
Value	Description	
error	This value indicates that an error prevented the determination of the existence of OVAL Items on the system.	
complete	This value indicates that every matching OVAL Item on the system has been identified and represented in the OVAL System Characteristics. It can be assumed that no additional matching OVAL Items exist on the system.	
incomplete	This value indicates that matching OVAL Items exist on the system, however, only a subset of those matching OVAL Items have been identified and represented in the OVAL System Characteristics. It cannot be assumed that no additional matching OVAL Items exist on the system.	
does not exist	This value indicates that no matching OVAL Items were found on the system.	
not collected	This value indicates that no attempt was made to collect OVAL Items on the system.	
not applicable	This value indicates that the specified OVAL Object is not applicable to the system under test.	

Table 10: FlagEnumeration Construct

## 2.12. StatusEnumeration

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+	+
Value	Description
error	This value indicates that there was an error collecting an OVAL Item or a property of an OVAL Item.
exists	This value indicates that an OVAL Item, or a property of an OVAL Item, exists on the system and was collected.
does not exist	This value indicates that an OVAL Item, or a property of an OVAL Item, does not exist on the system.
not collected	This value indicates that no attempt was made to     collect an OVAL Item or a property of an OVAL Item.

Table 11: StatusEnumeration Construct

# 2.13. EntityItemSimpleBaseType

The  ${\tt EntityItemSimpleBaseType}$  is an abstract type that defines a base type for all simple OVAL Item  ${\tt Entities}$ .

Property	+	Count	Description
attributes	EntityAttributeGroup	1	The standard   attributes available   to all entities.
value	string	01	The value of the entity. An empty string value SHOULD be used when a status other than 'exists' is specified.

Table 12: EntityItemSimpleBaseType Construct

# 2.14. EntityItemComplexBaseType

The  ${\tt EntityItemComplexBaseType}$  is an abstract type that defines a base type for all complex OVAL Item  ${\tt Entities}.$ 

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Property	+	+   Count	Description
attributes	EntityAttributeGroup	1	The standard   attributes available   to all entities.

Table 13: EntityItemComplexBaseType Construct

## 2.15. EntityItemIPAddressType

The EntityItemIPAddressType extends the EntityItemSimpleBaseType and describes an IPv4 or IPv6 IP address or prefix.

Property	Type	Count	Description
datatype	oval: SimpleDatatype Enumeration	1	Possible values:  o 'ipv4_address' o 'ipv6_address'  Also allows an empty string value.

Figure 1: EntityItemIPAddressType Construct

#### 2.16. EntityItemIPAddressStringType

The EntityItemIPAddressStringType extends the EntityItemSimpleBaseType and describes an IPv4 or IPv6 IP address or prefix or a string representation of the address.

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+	+		
Property		Count	Description
datatype	oval: SimpleDatatype Enumeration	1	Possible values:  o 'ipv4_address' o 'ipv6_address' o 'string'  Also allows an empty string value.

Figure 2: EntityItemIPAddressStringType Construct

# 2.17. EntityItemAnySimpleType

The EntityItemAnySimpleType extends the EntityItemSimpleBaseType and describes any simple data.

Property	Туре	Count	Description
datatype	oval:SimpleDatatypeEnumeration	1	Any simple     datatype.   Also allows     an empty     string value.

Table 14: EntityItemAnySimpleType Construct

## 2.18. EntityItemBinaryType

The EntityItemBinaryType extends the EntityItemSimpleBaseType and describes any simple binary data.

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4		L	L	L
j	Property	Туре	Count	Description
	datatype	oval:SimpleDatatypeEnumeration	1	This value is   fixed as   'binary'. Also allows   an empty   string value.
_			+	

Table 15: EntityItemBinaryType Construct

## 2.19. EntityItemBoolType

The EntityItemBoolType extends the EntityItemSimpleBaseType and describes any simple boolean data.

Property   Type		Count	Description
datatype oval	:SimpleDatatypeEnumeration	1	This value is     fixed as     'boolean'.   Also allows     an empty     string value.

Table 16: EntityItemBoolType Construct

# 2.20. EntityItemFloatType

The EntityItemFloatType extends the EntityItemSimpleBaseType and describes any simple float data.

Property	+   Туре	Count	Description
datatype	oval:SimpleDatatypeEnumeration	1	This value is     fixed as   'float'. Also   allows an   empty string   value.

Table 17: EntityItemFloatType Construct

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## 2.21. EntityItemIntType

The EntityItemIntType extends the EntityItemSimpleBaseType and describes any simple integer data.

Property	Туре	Count	Description
datatype	oval:SimpleDatatypeEnumeration	1	This value is   fixed as   'int'. Also   allows an   empty string   value.

# Table 18: EntityItemIntType Construct

## 2.22. EntityItemStringType

The  ${\tt EntityItemStringType}$  extends the  ${\tt EntityItemSimpleBaseType}$  and describes any simple string data.

Property	Туре	Count	Description
datatype	oval:SimpleDatatypeEnumeration		This value is     fixed as     'string'.

Table 19: EntityItemStringType Construct

## 2.23. EntityItemRecordType

The EntityItemRecordType extends the EntityItemComplexBaseType and allows assertions to be made on entities with uniquely named fields. It is intended to be used to assess the results of things such as SQL statements and similar data.

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Property	Туре	Count	Description
datatype	oval:ComplexDatatypeEnumeration	01	This value     is fixed as     'record'.
field	EntityItemFieldType	0*	Defines the name of the field whose value will be assessed.

Table 20: EntityItemRecordType Construct

## 2.24. EntityItemFieldType

Property		Count	Description
attributes	EntityAttributeGroup	1   	The standard   attributes available   to all entities.
name	string	1	The name of the field. Names MUST be all lower case characters in the range of a-z.
value	string	01	The value of the field. An empty string value SHOULD be used when a status other than 'exists' is specified.

Table 21: EntityItemFieldType Construct

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## 2.25. EntityItemVersionType

The EntityItemVersionType extends the EntityItemSimpleBaseType and describes a version string data.

Property	Type	Count	Description
datatype	oval:SimpleDatatypeEnumeration	1	This value is fixed as 'version'. Also allows an empty string value.

Table 22: EntityItemVersionType Construct

## 2.26. EntityItemFileSetRevisionType

The EntityItemFileSetRevisionType extends the EntityItemSimpleBaseType and describes a file set revision string data.

Propert   y	Туре	Count	Description
datatyp   e	oval:SimpleDatatypeEnumera tion	1	This value is fixed as 'fileset_   revision'. Also allows an empty string value.

Table 23: EntityItemFileSetRevisionType Construct

## 2.27. EntityItemIOSVersionType

The EntityItemIOSVersionType extends the EntityItemSimpleBaseType and describes a Cisco IOS version string data.

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Property	Туре	Count	Description
datatype	oval: SimpleDatatype Enumeration	1	Possible values:  o 'ios_version' o 'string'  The string type is an option in order to allow use of regular expressions.

Figure 3: EntityItemIOSVersionType Construct

#### 2.28. EntityItemEVRStringType

The EntityItemEVRStringType extends the EntityItemSimpleBaseType and describes an EPOCH:VERSION-RELEASE string data.

Property	+	+   Count	Description
datatype	oval:SimpleDatatypeEnumeration	1	This value is   fixed as   'evr_string'.   Also allows   an empty   string value.

Table 24: EntityItemEVRStringType Construct

### 2.29. EntityItemDebianEVRStringType

The EntityItemDebianEVRStringType extends the EntityItemSimpleBaseType and describes an EPOCH:UPSTREAM\_VERSION-DEBIAN\_REVISION string data for a Debian package.

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Propert   y	Туре	Count	Description
datatyp   e 	oval:SimpleDatatypeEnumera tion	1	This value is fixed as 'debian_e vr_string'. Also allows an empty string value.

Table 25: EntityItemDebianEVRStringType Construct

#### 3. OVAL System Characteristics Model Schema

The XML Schema that implements this OVAL System Characteristics Model can be found below.

```
children of the
oval_system_characteristics
element.</xsd:documentation>
</xsd:annotation>
<xsd:complexType>
      <xsd:sequence>
            <xsd:element name="generator"
    type="oval:GeneratorType">
                   <xsd:annotation>
                         <xsd:documentation>The
                          generator section must be
present and provides
information about when
                          the system
                          characteristics file was
                          compiled and under what version.</xsd:documentation>
                   </xsd:annotation>
            </xsd:element>
<xsd:element name="system_info"
    type="oval-sc:SystemInfoType">
                   <xsd:annotation>
                         <xsd:documentation>The
                          required system_info
```

```
element is used to record
information about the
system being
described.</xsd:documentation>
                                       </xsd:annotation>
                                </xsd:element>
                               <xsd:element</pre>
                                      name="collected_objects"
type="oval-sc:CollectedobjectsType"
minoccurs="0" maxOccurs="1">
<xsd:annotation>
                                              <xsd:documentation>The
                                               optional
                                               optional
collected_objects section
is used to associated the
ids of the OVAL Objects
collected with the system
characteristics items
that have been defined.
The collected_objects
section provides a
listing of all the
                                               listing of all the objects used to generate
                                                this system
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                                    OVAL System Characteristics Model
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                                                characteristics
                                                file.</xsd:documentation>
                                       </xsd:annotation>
                                </xsd:element>
                               <xsd:element name="system_data"
    type="oval-sc:SystemDataType"
    minOccurs="0" maxOccurs="1">
                                       <xsd:annotation>
                                              <xsd:documentation>The
optional system_data
section defines the
                                                specific characteristics
                                                that have been collected
                                                from the
                                       system.</xsd:documentation>
</xsd:annotation>
                                </xsd:element>
                               <xsd:element ref="ds:Signature"
    minOccurs="0" maxOccurs="1">
    <xsd:annotation>
                                              <xsd:documentation>The
                                               optional Signature
element allows an XML
                                               signature as defined by
the w3C to be attached to
the document. This allows
authentication and data
                                               integrity to be provided
to the user. Enveloped
                                              signatures are supported.
More information about
the official w3C
Recommendation regarding
XML digital signatures
can be found at
http://www.w3.org/TR/xmldsig-core/.
</xsd:documentation>
                                       </xsd:annotation>
                                </xsd:element>
                         </xsd:sequence>
                 <xsd:documentation>Enforce
                                      uniqueness amongst the individual object ids used in
                                      the collected object section.</xsd:documentation>
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```

```
</xsd:annotation>
              </xsd:annotation>
<xsd:selector
    xpath="oval-sc:collected_objects/
    oval-sc:object"/>
<xsd:field xpath="@id"/>
<xsd:field xpath="@version"/>
<xsd:field xpath="@variable_instance"
//</pre>
          </xsd:key>
          </ri></xsu:key></ri><xsd:key name="itemKey"></ri><xsd:annotation>
                   <xsd:documentation>Enforce
                       uniqueness amongst the individual item
                       ids.</xsd:documentation>
              </xsd:annotation>
              <xsd:selector
    xpath="oval-sc:system_data/*"/>
<xsd:field xpath="@id"/>
          </xsd:key>
          <xsd:keyref name="itemKeyRef"</pre>
              refer="oval-sc:itemKey">
<xsd:annotation>
                   <xsd:documentation>Require that
                       each item reference refers to
a valid item
id.</xsd:documentation>
              </xsd:annotation>
              <xsd:selector</pre>
                  xpath=
    </xsd:element>
     <!-- ========== GENERATOR ============= -->
     <!--
                  The GeneratorType is defined by the oval-common-schema. Please refer to that documentation for a description of the complex type.
     <!-- ==========
                                   <xsd:complexType name="SystemInfoType">
          <xsd:annotation>
              <xsd:documentation>The SystemInfoType
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                   complex type specifies general
```

complex type specifies general information about the system that data was collected from, including information that can be used to identify the system. See the description of the InterfacesType complex type for more information. Note that the high level interfaces is required due to the inclusion of the xsd:any tag that follows it. The interfaces tag can be empty if no single interface is present.
<xsd:documentation>Additional system information is also allowed although it is not part of the official OVAL Schema. Individual organizations can place system information that they feel is important and these will be skipped during the validation. All OVAL really cares about is that the required system information items are there.
</xsd:annotation>
</xsd:sequence>
<xsd:element name="os\_name"

```
type="xsd:string">
<xsd:annotation>
                                 <xsd:documentation>The
                                       required os_name elements
                           describes the operating
system of the machine the
data was collected
on.</xsd:documentation>
</xsd:amontation>
                     </xsd:element>
                    <xsd:documentation>The
                                       required os_version elements describe the
                                       operating system version
of the machine the data
was collected
on.</xsd:documentation>
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                           </xsd:annotation>
                    </xsd:element>
<xsd:element name="architecture"
    type="xsd:string">
                           <xsd:annotation>
                                 <xsd:documentation>The
                                       required architecture
element describes the
                                       hardware architecture type
                                       of the system data was
                                       collected
                                       on.</xsd:documentation>
                           </xsd:annotation>
                    </xsd:element>
<xsd:element name="primary_host_name"
type="xsd:string">
                           <xsd:annotation>
                                 <xsd:documentation>The
                                       required primary_host_name
element is the primary
host name of the machine
the data was collected
                    on.</xsd:documentation>
  </xsd:annotation>
  </xsd:element>
                    <xsd:element name="interfaces"
    type="oval-sc:InterfacesType">
                           <xsd:annotation>
                                 <xsd:documentation>The
    required interfaces
    element outlines the
    network interfaces that
                                       exist on the
                                       system.</xsd:documentation>
                           </xsd:annotation>
                    </xsd:element>
                    <xsd:erement>
<xsd:any minOccurs="0"
    maxOccurs="unbounded"</pre>
                           processContents="lax">
                           <xsd:annotation>
                                 <xsd:documentation>The Asset
   Identification
    specification
    (http://scap.nist.gov/specifications/ai/)
    provides a standardized
                                       way of reporting asset information across
                                       different
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```

organizations.</xsd:documentation><xsd:documentation>The

```
information contained
                                          within an AI
computing-device element
is similar to the
                                           information collected by
                                          OVAL's
                                   SystemInfoType.</xsd:documentation>
<xsd:documentation>To support
greater interoperability,
                                          greater interoperability,
an ai:computing-device
element describing the
system that data was
collected from may appear
at this point in an OVAL
System Characteristics
                                          document.</xsd:documentation>
                             </xsd:annotation>
                      </xsd:any>
               </xsd:sequence>
        </xdu.sequence>
</xsd:complexType>
<xsd:complexType name="InterfacesType"></xsd:complexType name="InterfacesType"></xsd:complexType name="InterfacesType"></xsd:complexType name="InterfacesType"></xsd:complexType</a>
               <xsd:annotation>
                      <xsd:documentation>The InterfacesType
                            complex type is a container for zero or more interface elements. Each interface element is used to
                             describe an existing network
                            interface on the
system.</xsd:documentation>
               </xsd:annotation>
               <xsd:sequence>
     <xsd:element name="interface"</pre>
                            type="oval-sc:InterfaceType"
minOccurs="0"
maxOccurs="unbounded">
<xsd:annotation>
                                   <xsd:documentation>Please
                                          refer to the description of the InterfaceType for
                                          more
                                          information.</xsd:documentation>
                             </xsd:annotation>
                      </xsd:element>
                </xsd:sequence>
         </xsd:complexType>
        <xsd:complexType name="InterfaceType">
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               <xsd:annotation>
                      <xsd:documentation>The InterfaceType
                            complex type is used to describe
an existing network interface on
the system. This information can
help identify a specific system on
                            a given
                            network.</xsd:documentation>
               </xsd:annotation>
               <xsd:annotation>
                                   <xsd:documentation>The
                                          required interface_name
element is the name of the
interface</xsd:documentation>
                             </xsd:annotation>
                      </xsd:element>
                      <xsd:documentation>The
                                          a: documentation> The
required ip_address
element holds the IP
address for the interface.
Note that the IP address
can be IPv4 or
IPv6.</ksd:documentation>
                             </xsd:annotation>
                      </xsd:element>
                      <xsd:element name="mac_address"</pre>
```

```
<xsd:documentation>The
                                                           required mac_address
                                                          required mac_address element holds the MAC address for the interface. MAC addresses should be formatted according to the IEEE 802-2001 standard which states that a MAC address is a sequence of six octet values, separated by hyphens, where each octet is represented by two hexadecimal digits.
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                                                           Uppercase letters should also be used to represent the hexadecimal digits A
                                                           through
                                                           F.</xsd:documentation>
                               </xsd:annotation>
</xsd:element>
                       </xsd:sequence>
            </xsd:complexType>
           <xsd:complexType name="CollectedObjectsType">
                     <xsd:annotation>
                               <xsd:documentation>The
                     <xsd:documentation>The
    CollectedObjectsType complex type
    states all the objects that have
    been collected by the system
    characteristics file. The details
    of each object are defined by the
    global OVAL object that is
    identified by the
    id.</xsd:documentation>
</xsd:sequence>
                     <xsd:sequence>
                               <xsd:element name="object"</pre>
                                       type="oval-sc:ObjectType"
minOccurs="1"
maxOccurs="unbounded"/>
                      </xsd:sequence>
           </ri>
</xsd:complexType >
<xsd:complexType name="ObjectType">
<xsd:annotation>

                              <xsd:documentation>The ObjectType
    complex type provides a reference
    between items collected and a
    related global OVAL
                              related global OVAL
Object.</xsd:documentation>
<xsd:documentation>If an OVAL Object
does not exist on the system, then
an object element is still
provided but with the flag
attribute set to 'does not exist'.
For details on how to handle
items, when an OVAL Object does
not exist on the system, please
see the ItemType documentation.
This shows that the object was
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```

type="xsd:string">
<xsd:annotation>

looked for but not found on the system. If no object element is written in this case, users of the system characteristics file will not know whether the object was not found or no attempt was made

to collect it.</xsd:documentation>
<xsd:documentation>The required id
attribute is the id of the global
OVAL Object.</xsd:documentation>
<xsd:documentation>The required
version attribute is the specific
version of the global OVAL Object
that was used by the data
collection engine. The version is
necessary so that analysis using a
system characteristics file knows
exactly what was
collected.</xsd:documentation>
<xsd:documentation>The optional
variable\_instance identifier is a
unique id that differentiates each
unique instance of an object.
Capabilities that use OVAL may
reference the same definition
multiple times and provide
different variable values each
time the definition is referenced.
This will result in multiple
instances of an object being
included in the OVAL System
Characteristics file (definitions
that do not use variables can only
have one unique instance). The
inclusion of this unique instance
identifier allows the OVAL Results
document to associate the correct
objects and items for each
combination of supplied
values.</xsd:documentation>
<xsd:documentation>The optional
comment attribute provides a short
description of the
object.</xsd:documentation>
<xsd:documentation>The required flag
attribute holds information
regarding the outcome of the data
collection. For example, if there

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element). An OVAL Object that includes a variable maybe have a different unique set of matching items depending on the value assigned to the variable. A tool that is given an OVAL System Characteristics file in order to analyze an OVAL Definition needs to be able to determine the

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                                                    exact instance of an object to use based on the variable values supplied. If a variable represents a collection of values, then multiple variable_value elements would exist with the same variable_id attribute.
                                                     exact instance of an
                                     </xsd:documentation>
</xsd:annotation>
                            </xsd:element>
                            <xsd:element name="reference"</pre>
                                    type="oval-sc:ReferenceType"
minoccurs="0"
max0ccurs="unbounded">
                                     <xsd:annotation>
                                             <xsd:documentation>The
                                                    optional reference element links the collected item found by the data collection engine and the global OVAL Object. A global OVAL Object my have multiple matching items on a system. For example a global file object that is a pattern match might match 10 different files on a specific system. In this case, there would be 10 reference elements, one for each of the files found on the system.
                                                     optional reference element
                                     </xsd:annotation>
                            </xsd:element>
                   use="required"/>
<xsd:attribute name="version"
    type="xsd:nonNegativeInteger"
    use="required"/>
<xsd:attribute name="variable_instance"
    type="xsd:nonNegativeInteger"
    use="optional" default="1"/>
<xsd:attribute name="comment"</pre>
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          <xsd:annotation>
                            <xsd:documentation>The
                                    VariableValueType complex type
                                    holds the value to a variable used
```

```
during the collection of an object. The required variable_id attribute is the unique id of the variable being
                               identified.</xsd:documentation>
                </xsd:annotation>
                <xsd:simpleContent>
                       </xsd:extension>
                 </xsd:simpleContent>
         <xsd:annotation>
                       <xsd:documentation>The ReferenceType
    complex type specifies an item in
    the system characteristics file.
    This reference is used to link
    global OVAL Objects to specific
                                tems.</xsd:documentation>
                </xsd:annotation>
                <xsd:attribute name="item_ref"
    type="oval:ItemIDPattern"
    use="required"/>
         </xsd:complexType>
         <xsd:complexType name="SystemDataType">
                <xsd:annotation>
                        <xsd:documentation>The SystemDataType
  complex type is a container for
  one or more item elements. Each
                               item defines a specific piece of
                               data on the
                                             Expires March 11, 2017
                                                                                                                   [Page 33]
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                               system.</xsd:documentation>
                </xsd:annotation>
                <xsd:sequence>
                        <xsd:element ref="oval-sc:item"
   minOccurs="1"
   maxOccurs="unbounded"/>
                </xsd:sequence>
         </xsd:complexType>
<xsd:element name="item"
                type="oval-sc:ItemType" abstract="true">
                       d:annotation>
<xsd:documentation>The abstract item
element holds information about a
specific item on a system. An item
might be a file, a rpm, a process,
etc. This element is extended by
the different component schemas
through substitution groups. Each
item represents a unique instance
of an object as specified by an
OVAL Object. For example, a single
file or a single user. Each item
may be referenced by more than one
object in the collected object
section. Please refer to the
description of ItemType for more
details about the information
stored in
                <xsd:annotation>
                stored in items.</xsd:documentation></xsd:annotation>
         </xsd:element>
         <xsd:complexType name="ItemType">
                <xsd:annotation>
                        <xsd:documentation>The ItemType
   complex type specifies an optional
   message element that is used to
                               pass things like error messages during data collection to a tool that will utilize the information.</xsd:documentation>
```

<xsd:documentation>The required id
 attribute is a unique (to the
 file) identifier that allows the
 specific item to be referenced.</xsd:documentation> <xsd:documentation>The required status attribute holds information regarding the success of the data

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collection. For example, if an item exists on the system then the status would reflect this with a value of 'exists'. If there was an error collecting any information about an item that is known to error collecting any information about an item that is known to exist, then the status would be 'error'. An error specific to a particular entity should be addressed at the entity level and not the item level. When creating items, any entities that can successfully be collected should be reported.

</pr>

</pr>

</pr>

</pr>

</pr>

</pr>

</pr>

</pr>

<pr system-characteristics document system-characteristics document can quickly see that a matching file\_item did not exist because the specified filename did not exist and not that the specified path did not exist. Again, please note that the implementation of partial matches, when an item for a specified object does not exist,

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is completely optional. </xsd:documentation> </xsd:annotation> <xsd:sequence> <xsd:element name="message"</pre> type="oval:MessageType"
minOccurs="0" maxOccurs="50"/> minoccurs= o maxoccurs= o //
</xsd:sequence>
<xsd:attribute name="id"
 type="oval:ItemIDPattern"
 use="required"/>
<xsd:attribute name="status"
 type="oval-sc:StatusEnumeration"
 use="optional" default="exists"/>

```
</xsd:complexType>
         The signature element is defined by the xmldsig schema. Please refer to that documentation for a description of the valid elements and types. More information about the official W3C Recommendation regarding XML digital signatures can be found at http://www.w3.org/TR/xmldsig-core/.
         ---
         <xsd:simpleType name="FlagEnumeration">
                      d:annotation>

<xsd:documentation>The FlagEnumeration
simple type defines the valid
flags associated with a collected
object. These flags are meant to
provide information about how the
specified object was handled by
the data collector. In order to
evaluate an OVAL Definition,
information about the defined
objects needs to be available. The
flags help detail the outcome of
attempting to collect information
related to these
objects..</xsd:documentation>
<xsd:appinfo>
<evaluation_documentation>Below is
                <xsd:annotation>
                              <evaluation_documentation>Below is
                                     a table that outlines how each
                                            Expires March 11, 2017
                                                                                                               [Page 36]
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                                    FlagEnumeration value effects evaluation of a given test. Note that this is related to the existence of a unique set of items identified by an object and not each item's compliance with a state. The left column identifies the FlagEnumeration value in question. The right column
                                     question. The right column specifies the
                                     ResultEnumeration value that should be used when evaluating
                                     the collected
                              object.</evaluation_documentation>
<evaluation_chart xml:space="preserve">
     flag value
                                             test result is
                                   _____
     error
                                      error
                                          (test result depends on check_existence and
     complete incomplete
     does not exist
                                            check attributes)
     not collected
                                      unknown
                                      not applicable
     not applicable
                                 </evaluation_chart>
                </xsd:appinfo>
</xsd:annotation>
                <xsd:documentation>A flag of
   'error' indicates that
                                           there was an error trying to identify items on the system that match the specified object declaration. This flag is not meant to be used when there was an error
                                            retrieving a specific entity, but rather when it
```

could not be determined if an item exists or not. Any error in retrieving a specific entity should be

Cokus, et al. Expires March 11, 2017 [Page 37] Internet-Draft OVAL System Characteristics Model September 2016 represented by setting the status of that specific entity to 'error'.</xsd:documentation> </xsd:annotation> </xsd:enumeration> !:annotation>
<xsd:documentation>A flag of
 'complete' indicates that
 every matching item on the
 system has been identified
 and is represented in the
 system characteristics
 file. It can be assumed
 that no additional
 matching items exist on matching items exist on the system.</xsd:documentation>
</xsd:annotation> </xsd:enumeration> </xsd:annotation> </xsd:enumeration> <xsd:enumeration
 value="does not exist">
 <xsd:annotation> <xsd:documentation>A flag of
 'does not exist' indicates Expires March 11, 2017 [Page 38] Cokus, et al. Internet-Draft OVAL System Characteristics Model September 2016 that the underlying structure is installed on the system but no matching item was found. For example, the Windows metabase is installed but there were no items that matched the metabase\_object. In this

example, if the metabase itself was not installed, then the flag would have been 'not applicable'.</xsd:documentation>

</xsd:annotation>
</xsd:enumeration>

<xsd:annotation>

<xsd:enumeration value="not collected">

<xsd:documentation>A flag of
 'not collected' indicates
 that no attempt was made
 to collect items on the
 system. An object with
 this flag will produce an
 'unknown' result during
 analysis since it is
 unknown if matching items
 exists on the system or
 not. This is different
 from an 'error' flag
 because an 'error' flag
 indicates that an attempt
 was made to collect items
 on system whereas a 'not
 collected' flag indicates
 that an attempt was not
 made to collect items on
 the
 system.</xsd:documentation>
 </xsd:annotation>
 </xsd:annotation>
 <xsd:enumeration
 value="not applicable">
 <xsd:documentation>A flag of
 'not applicable' indicates
 that the specified object
 is not applicable to the

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system being characterized. This could be because the data repository is not installed or that the object structure is for a different flavor of systems. An example would be trying to collect objects related to a Red Hat system off of a Windows system. Another example would be trying to collect an rpminfo\_object on a Linux system if the rpm packaging system is not installed. If the rpm packaging system is installed and the specified rpminfo\_object could not be found, then the flag would be 'does not exist'.</xsd:documentation>

specific information associated
with an item.</xsd:documentation>
</xsd:annotation>
<xsd:restriction base="xsd:string">
<xsd:enumeration value="error">
<xsd:annotation>

!:annotation>
<xsd:documentation>A status of
 'error' says that there
 was an error collecting
 information associated
 with an item as a whole or
 a specific entity. An item
 would have a status of
 'error' if a problem

occurred that prevented
the item from being
collected. For example, a
file\_item would have a
status of 'error' if a
handle to the file could
not be opened because the
handle was already in use
by another program. Also,
if an item has entities
with a status of 'error'
and entities with a status
of 'exists', the status of
'error' must not be
propagated up to the item
level as the item may
still be
usable.</xsd:documentation>
</xsd:annotation>
</xsd:annotation>
</xsd:documentation>A status of
'exists' says that the
item or specific piece of
information exists on the
system and has been
collected.</xsd:documentation>
</xsd:annotation>
</xsd:annotation>
</xsd:annotation>
</xsd:annotation>
</xsd:annotation>
</xsd:documentation>A status of
'does not exist' says that
the item or specific piece
of information does not
exist and therefore has
not been collected. This
status assumes that an
attempt was made to
collect the information,
but the information just
does not exist. This can
happen when a certain
entity is only pertinent
to particular instances or
if the information for

```
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```

```
<xsd:attributeGroup
name="EntityAttributeGroup">
                                          <xsd:annotation>
                                                            <xsd:documentation>The
                                                                            d:documentation>The
EntityAttributeGroup is a
collection of attributes that are
common to all entities. This group
defines these attributes and their
default values. Individual
entities may limit allowed values
for these attributes, but all
entities will support these
attributes.</xsd:documentation>
d:appinfo>
                                                            <xsd:appinfo>
                                                                              <sch:pattern
id="oval-sc_entity_rules">
                                                                                                <sch:rule
                                                                                                                context=
                                                               or .=''">Warn
<sch:value-of
                                                                                       select="../@id"/> - a
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                                                                                                                                                                                                                                                                                                   [Page 42]
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                                                                                                                                                                                                                                                                          September 2016
                                                                                       value for the <sch:value-of
 <!--<sch:assert test="if (@datatype='boolean')
then (matches(.,'^true$|^false$|^1$|^0$')) else
(1=1)"><sch:value-of select="../@id"/>
- A value of '<sch:value-of select="."/>' for the
<sch:value-of select="name()"/> entity is not valid given a
     datatype of boolean.</sch:assert>-->
    <!--<sch:assert test="if (@datatype='evr_string') then (matches(.,'\[alpha:\-]*:[\alpha:\-]*-[\alpha:\-]*$')) else (1=1)"> <sch:value-of select=".../@id"/> - A value of '<sch:value-of select="."/>' for the <sch:value-of select="name()"/> entity is not valid given a
     datatype of evr_string.</sch:assert>-
   <!--<sch:assert test="if (@datatype='float') then (matches(.,'^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{-}^{-}_{
   <!--<sch:assert test="if (@datatype='int') then (matches(.,'^[+\-]?[0-9]+$')) else (1=1)"> <sch:value-of select="../@id"/> - A value of '<sch:value-of select="."/>' for the <sch:value-of select="name()"/> entity is not valid given a datatype of int. </sch:assert>-->
                                                                                                 </sch:rule>
                                                                                                 <sch:rule
    context="oval-sc:system_data/*/*[not((@xsi:nil='1' or @xsi:nil='true')) and @datatype='int']|
oval-sc:system_data/*/*[not((@xsi:nil='1' or @xsi:nil='true'))
```

```
and @datatype='int']">
                                                                           <sch:assert
test="(not(contains(.,'.'))) and
(number(.) = floor(.))"
><sch:value-of
select="../@id"/> - The
datatype for the
<sch:value-of
select="name()"/> entity
is 'int' but the value is
not an
                 not an integer.</sch:assert>
Must test for decimal point because number(x.0) = floor(x.0) is true -->
</sch:rule>
</sch:pattern>
</xsd:appinfo>
</xsd:annotation>
                                                                               not an
<!--
                         </xsd:annotation>
                         <xsd:attribute name="datatype"
    type="oval:DatatypeEnumeration"
    use="optional" default="string">
                                      <xsd:annotation>
                                                             d:documentation>The optional datatype attribute determines the type of data expected (the default datatype is 'string'). Note that the datatype attribute simply defines the type of data as found on the system, it is not used during evaluation. An OVAL Definition defines how the data should be interpreted during analysis. If the definition states a datatype that is different than what the system characteristics presents, then a type cast must be made.
                                                   <xsd:documentation>The optional
                                       </xsd:annotation>
                         </xsd:attribute>
                         <xsd:attribute name="mask"
   type="xsd:boolean" use="optional"
   default="false">
                                      <xsd:annotation>
                                                   <xsd:documentation>The optional
                                                               mask attribute is used to identify values that have been
```

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hidden for sensitivity concerns. This is used by the Result document which uses the System Characteristics schema to format the information found on a specific system. When the mask attribute is set to 'true' on an OVAL Entity or an OVAL Field, the corresponding collected value of that OVAL Entity or OVAL Field MUST NOT be present in the "results" section of the OVAL Results document; the "oval\_definitions" section must not be altered and must be an exact copy of the definitions evaluated. Values MUST NOT be masked in OVAL System Characteristics documents that are not contained within an OVAL Results document. It is possible for masking conflicts to occur where one entity has mask set to true and another mask set to true and another

```
entity has mask set to false. A conflict will occur when the mask attribute is set differently on an OVAL Object and matching OVAL State or
                                       when more than one OVAL
Objects identify the same OVAL
Item(s). When such a conflict
occurs the result is always to
                                        mask the
                         entity.</xsd:documentation>
</xsd:annotation>
                </xsd:allindarion>
</xsd:attribute>
<xsd:attribute name="status"
    type="oval-sc:StatusEnumeration"
    use="optional" default="exists">
                         <xsd:annotation>
                                <xsd:documentation>The optional
    status attribute holds
    information regarding the
    success of the data
                                        collection. For example, if
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                 there was an error collecting
a particular piece of data,
then the status would be
'error'.</xsd:documentation>
</xsd:annotation>
</xsd:attribute>
         </xsd:attributeGroup>
         <xsd:complexType</pre>
                name="EntityItemSimpleBaseType"
abstract="true">
                 <xsd:annotation>
                         <xsd:documentation>The
                                EntityItemSimpleBaseType complex
                                type is an abstract type that
                               serves as the base type for all simple item entities.</xsd:documentation>
                 </xsd:annotation>
                 <xsd:simpleContent>
                        <xsd:extension
  base="xsd:anySimpleType">
  <xsd:attributeGroup</pre>
                                        ref="oval-sc:EntityAttributeGroup"
                         </xsd:extension>
         </xsd:simpleContent>
</xsd:complexType>
         <xsd:complexType
  name="EntityItemComplexBaseType"
  abstract="true">
                 <xsd:annotation>
                        d:annotation>
    <xsd:documentation>The
        EntityItemComplexBaseType complex
        type is an abstract type that
        serves as the base type for all
        complex item
        entities.</xsd:documentation>
                 </xsd:annotation>
                 <xsd:attributeGroup
   ref="oval-sc:EntityAttributeGroup"/>
         </xsd:complexType>
         <xsd:complexType
name="EntityItemIPAddressType">
                 <xsd:annotation>
                         <xsd:documentation>The
                                                                                                                        [Page 46]
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```

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```
EntityItemIPAddressType type is extended by the entities of an individual item. This type provides uniformity to each entity
                            by including the attributes found in the EntityItemSimpleBaseType. This specific type describes any IPv4/IPv6 address or address prefix.</xsd:documentation>
               </xsd:annotation>
               <xsd:simpleContent>
                     </xsd:simpleType>
                            </xsd:simpleType>
<xsd:attribute name="datatype"
   use="required">
        <xsd:simpleType>
        <xsd:restriction
        base="oval:simpleDatatypeEnumeration">

                                           <xsd:enumeration
value="ipv4_address"/>
<xsd:enumeration</pre>
                                           value="ipv6_address"/>
                                          </xsd:restriction>
                            </xsd:simpleType>
</xsd:attribute>
               </xsd:restriction>
</xsd:simpleContent>
        </xsd:complexType>
        <xsd:complexType
    name="EntityItemIPAddressStringType">
               d:documentation>The
EntityItemIPAddressStringType type
is extended by the entities of an
individual item. This type
provides uniformity to each entity
by including the attributes found
in the EntityItemSimpleBaseType.
This specific type describes any
IPv4/IPv6 address, address prefix,
or its string
                            or its string
                            representation.</xsd:documentation>
               </xsd:annotation>
               <xsd:simpleContent>
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                                                                                                          [Page 47]
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                      <xsd:restriction
  base="ova]-sc:EntityItemSimpleBaseType">
                            <xsd:attribute name="datatype"
   use="optional"
   default="string">
                                   <xsd:simpleType>
                                          <xsd:enumeration
value="ipv6_address"/>
                                   </xsd:attribute>
                       </xsd:restriction>
        </xsu:restriction/
</xsd:simpleContent>
</xsd:complexType>
<xsd:complexType
name="EntityItemAnySimpleType">
               <xsd:annotation>
                      <xsd:documentation>The
                            EntityItemAnySimpleType type is
                            extended by the entities of an
```

```
individual item. This type provides uniformity to each entity by including the attributes found in the EntityItemSimpleBaseType.
                                This specific type describes any simple data.</ri>
                 </xsd:annotation>
                 <xsd:simpleContent>
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                                                                                                                        [Page 48]
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                                     OVAL System Characteristics Model
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                         </xsd:restriction>
         </xsd:simpleContent>
</xsd:complexType>
         <xsd:complexType name="EntityItemBinaryType">
    <xsd:annotation>
                         <xsd:documentation>The
                               d:documentation>The
EntityItemBinaryType type is
extended by the entities of an
individual item. This type
provides uniformity to each entity
by including the attributes found
in the EntityItemSimpleBaseType.
This specific type describes
simple binary data. The empty
string is also allowed for cases
where there was an error in the
data collection of an entity and a
status needs to be
                                status needs to be reported.</xsd:documentation>
                 </xsd:annotation>
                <xsd:aimpleContent>
  <xsd:restriction
    base="oval-sc:EntityItemSimpleBaseType">
    <xsd:simpleType>
                                    <xsd:union</pre>
                                    memberTypes="xsd:hexBinary
oval:EmptyStringType"
                                />
</xsd:simpleType>
<xsd:attribute name="datatype"
    type="oval:SimpleDatatypeEnumeration"
    use="required" fixed="binary"</pre>
                 </xsd:restriction>
</xsd:simpleContent>
         <xsd:documentation>The
                                EntityItemBoolType type is
                                extended by the entities of an individual item. This type provides uniformity to each entity by including the attributes found in the EntityItemSimpleBaseType. This specific type describes simple boolean data. The empty string is also allowed for cases
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                                where there was an error in the
```

data collection of an entity and a

reported.</xsd:documentation>

status needs to be

```
</xsd:annotation>
<xsd:simpleContent>
                          <xsd:restriction
  base="oval-sc:EntityItemSimpleBaseType">
                                  <xsd:simpleType>
                                          <xsd:union</pre>
                                          memberTypes="xsd:boolean
oval:EmptyStringType"
                                  </xsd:simpleType>
                                  <xsd:attribute name="datatype"
   type="oval:SimpleDatatypeEnumeration"
   use="required" fixed="boolean"</pre>
                          </xsd:restriction>
                   </xsd:simpleContent>
          <xsd:documentation>The
                                 d:documentation>The
EntityItemFloatType type is
extended by the entities of an
individual item. This type
provides uniformity to each entity
by including the attributes found
in the EntityItemSimpleBaseType.
This specific type describes
simple float data. The empty
string is also allowed for cases
where there was an error in the
data collection of an entity and a
status needs to be
reported./xsd:documentation>
                                  reported.</xsd:documentation>
                  </xsd:annotation>
                  <xsd:simpleType>
                                           <xsd:union
                                          memberTypes="xsd:float oval:EmptyStringType"
                                  </xsd:simpleType>
<xsd:attribute name="datatype"
type="oval:SimpleDatatypeEnumeration"
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                                                  Expires March 11, 2017
                                                                                                                               [Page 50]
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                                       OVAL System Characteristics Model
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                                          use="required" fixed="float"/>
                           </xsd:restriction>
                   </xsd:simpleContent>
          </xsd:complexType>
          <xsd:complexType name="EntityItemIntType">
     <xsd:annotation>
                          <xsd:documentation>The
                                 d:documentation>The
EntityItemIntType type is extended
by the entities of an individual
item. This type provides
uniformity to each entity by
including the attributes found in
the EntityItemSimpleBaseType. This
specific type describes simple
integer data. The empty string is
also allowed for cases where there
was an error in the data
collection of an entity and a
status needs to be
reported./xsd:documentation>
                                  reported.</xsd:documentation>
                  </xsd:annotation>
                  <xsd:simpleContent>
     <xsd:restriction
        base="oval-sc:EntityItemSimpleBaseType">
                                  <xsd:simpleType>
                                           <xsd:union
                                          memberTypes="xsd:integer
oval:EmptyStringType"
                                  </xsd:simpleType>
<xsd:attribute name="datatype"
    type="oval:SimpleDatatypeEnumeration"
    use="required" fixed="int"/>
                          </xsd:restriction>
```

```
<xsd:documentation>The
                               EntityItemStringType type is
                               extended by the entities of an individual item. This type provides uniformity to each entity by including the attributes found in the EntityItemSimpleBaseType.
                               This specific type describes simple string data.</xsd:documentation>
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                 </xsd:annotation>
                 <xsd:simpleContent>
                        <xsd:restriction
  base="oval-sc:EntityItemSimpleBaseType">
                               <xsd:simpleType>
                                      <xsd:restriction
   base="xsd:string"/>
                               </xsd:restriction>
</xsd:simpleContent>
         </xsd:complexType>
         <xsd:complexType name="EntityItemRecordType">
                 <xsd:annotation>
                        <xsd:documentation>The
                               EntityItemRecordType defines an entity that consists of a number of named fields. This structure is used for representing a record
                       used for representing a record from a database query and other similar structures where multiple related fields must be collected at once. Note that for all entities of this type, the only allowed datatype is 'record'.
<xsd:documentation>Note the datatype attribute must be set to 'record'.
</xsd:documentation>
    NOTE: The restriction that the only allowed datatype is 'record' is enforced by scheamtron rules placed on each entity that uses this type.

This is due to the fact that this type is developed as an xsd:extension of the oval-sc:EntityItemFieldType.

This base type declares a datatype attribute. To restrict the datatype attribute to only allow 'record' would need a xsd:restriction we cannot do both and
     need an xsd:restriction. We cannot do both and xsd:extension and an xsd:restriction at the same time.
                        <xsd:documentation>Note that when the
                               mask attribute is set to 'true', all child field elements must be
                               masked regardless of the child field's mask attribute
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                                                                                                                    [Page 52]
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                               value.</xsd:documentation>
                </xsd:annotation>
                base="oval-sc:EntityItemComplexBaseType">
                                <xsd:sequence>
                                      <xsd:element name="field"</pre>
                                              type="oval-sc:EntityItemFieldType"
```

```
minOccurs="0"
maxOccurs="unbounded"/>
                                    </xsd:sequence>
                            </xsd:extension>
                    </xsd:complexContent>
          </xsd:complexType>
          <xsd:complexType name="EntityItemFieldType">
<xsd:annotation>
                           <xsd:documentation>The
                                   EntityItemFieldType defines an element with simple content that represents a named field in a record that may contain any number of named fields. The
                          of named fields. The
EntityItemFieldType is much like
all other entities with one
significant difference, the
EntityItemFieldType has a name
attribute.</xsd:documentation>
<xsd:documentation>The required name
attribute specifies a name for the
field. Field names are lowercase
and may occur more than once to
allow for a field to have multiple
values.</xsd:documentation>
<xsd:documentation>Note that when the
                           values.</xsd:documentation>
<xsd:documentation>Note that when the
mask attribute is set to 'true' on
a field's parent element the field
must be masked regardless of the
field's mask attribute
value.</xsd:documentation>
                   </xsd:annotation>
                   <xsd:simpleContent>
                           <xsd:extension</pre>
                                   base="xsd:anySimpleType">
<xsd:attribute name="name"
    use="required">
                                            <xsd:annotation>
                                                    <xsd:documentation>A
                                                      string restricted to
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                                                    Expires March 11, 2017
                                                                                                                                    [Page 53]
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                                         OVAL System Characteristics Model
                                                                                                                         September 2016
                                                      disallow upper case
                                                      characters.</xsd:documentation>
                                            </xsd:annotation>
                                            <xsd:simpleType>
     <xsd:restriction
     base="xsd:string">
                                   <xsd:attributeGroup
   ref="oval-sc:EntityAttributeGroup"</pre>
          <xsd:documentation>The
                                  d:Gocumentation>The
EntityItemVersionType type is
extended by the entities of an
individual item. This type
provides uniformity to each entity
by including the attributes found
in the EntityItemSimpleBaseType.
This specific type describes
version data.
                   </xsd:annotation>
                   <xsd:simpleContent>
```

```
/>
</xsd:restriction>
               </xsd:simpleContent>
        </xsd:complexType>
        <xsd:complexType
   name="EntityItemFilesetRevisionType">
              <xsd:annotation>
  <xsd:documentation>The
                          EntityItemFilesetRevisionType type
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                          is extended by the entities of an individual item. This type provides uniformity to each entity
                          by including the attributes found in the EntityItemSimpleBaseType. This specific type represents the version string related to filesets in HP-UX.
              </xsd:annotation>
              </xsd:simpleType>
<xsd:attribute name="datatype"
   type="oval:SimpleDatatypeEnumeration"
   use="required"
   fixed="fileset_revision"/>
                     </xsd:restriction>
       </xsd:restriction>
</xsd:simpleContent>
</xsd:complexType>
<xsd:complexType
name="EntityItemIOSVersionType">
              <xsd:annotation>
                     <xsd:documentation>The
                          d:documentation>The
EntityItemIOSVersionType type is
extended by the entities of an
individual item. This type
provides uniformity to each entity
by including the attributes found
in the EntityItemSimpleBaseType.
This specific type represents the
version string for
IOS.</ksd:documentation>
modation>
              </xsd:annotation>
              <xsd:simpleContent>
                    <xsd:restriction
    base="oval-sc:EntityItemSimpleBaseType">
                          Cokus, et al.
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                                                                                                    [Page 55]
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              </xsd:restriction>
</xsd:simpleContent>
        </xsd:complexType>
        <xsd:complexType
    name="EntityItemEVRStringType">
              <xsd:annotation>
```

use="required" fixed="version"

```
in the EntityItemSimpleBaseType. This type represents the epoch, version, and release fields, for an RPM package, as a single version string. It has the form "EPOCH:VERSION-RELEASE". Note that a null epoch (or '(none)' as returned by rpm) is equivalent to '0' and would hence have the form 0:VERSION-RELEASE. Comparisons
                                                                            O:VERSION-RELEASE. Comparisons involving this datatype should follow the algorithm of librpm's rpmvercmp()
                                                                            function.
                                         </xsd:annotation>
                                         <xsd:simpleContent>
                                                          <!-- TODO: Should there be a pattern restriction
                          </xsd:restriction>
                      </mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://mailed://maile
                                         <xsd:annotation>
                                                           <xsd:documentation>The
   EntityItemDebianEVRStringType type
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                                                                                                                                                                                                                                                                                             [Page 56]
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                                                                                         OVAL System Characteristics Model
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                                                                   is extended by the entities of an individual item. This type provides uniformity to each entity by including the attributes found in the EntityItemSimpleBaseType.
                                                                This type represents the epoch,
upstream_version, and
debian_revision fields, for a
Debian package, as a single
version string. It has the form
"EPOCH:UPSTREAM_VERSION-DEBIAN_REVISION".
Note that a null epoch (or
'(none)' as returned by dpkg) is
equivalent to '0' and would hence
have the form
0:UPSTREAM_VERSION-DEBIAN_REVISION.
Comparisons involving this
datatype should follow the
algorithm outlined in Chapter 5 of
the "Debian Policy Manual"
(https://www.debian.org/doc/debian-policy/
ch-controlfields.html#s-f-version).
An implementation of this is the
cmpversions() function in dpkg's
enquiry.c.</xsd:documentation>
simpleContent>
                                                                    This type represents the epoch,
                                         </xsd:annotation>
                                         <xsd:simpleContent>
                                                           <xsd:restriction
   base="oval-sc:EntityItemSimpleBaseType">
    to enforce the pattern mentioned above? -->
```

</xsd:simpleContent>

</xsd:complexType>

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#### 4. Intellectual Property Considerations

Copyright (C) 2010 United States Government. All Rights Reserved.

DHS, on behalf of the United States, owns the registered OVAL trademarks, identifying the OVAL STANDARDS SUITE and any component part, as that suite has been provided to the IETF Trust. A "(R)" will be used in conjunction with the first use of any OVAL trademark in any document or publication in recognition of DHS's trademark ownership.

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#### 6. IANA Considerations

This memo includes no request to IANA.

#### 7. Security Considerations

While OVAL is just a set of data models and does not directly introduce security concerns, it does provide a mechanism by which to represent endpoint posture assessment information. This information could be extremely valuable to an attacker allowing them to learn about very sensitive information including, but, not limited to: security policies, systems on the network, criticality of systems, software and hardware inventory, patch levels, user accounts and much more. To address this concern, all endpoint posture assessment information should be protected while in transit and at rest. Furthermore, it should only be shared with parties that are authorized to receive it.

Another possible security concern is due to the fact that content expressed as OVAL has the ability to impact how a security tool operates. For example, content may instruct a tool to collect certain information off a system or may be used to drive follow-up actions like remediation. As a result, it is important for security tools to ensure that they are obtaining OVAL content from a trusted source, that it has not been modified in transit, and that proper

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validation is performed in order to ensure it does not contain malicious data.

### 8. Change Log

## 8.1. -00 to -01

There are no textual changes associated with this revision. This revision simply reflects a resubmission of the document so that it remains in active status.

#### 9. References

#### 9.1. Normative References

[IEEE-STD-802-2001]

IEEE, "IEEE Std 802-2001 - IEEE Standard for Local and Metropolitan Area Networks: Overview and Architecture", 1999, <a href="http://ieeexplore.ieee.org/servlet/">http://ieeexplore.ieee.org/servlet/</a> opac?punumber=4039949>.

#### 9.2. Informative References

[OVAL-WEBSITE]

The MITRE Corporation, "The Open Vulnerability and Assessment Language", 2015, <a href="http://ovalproject.github.io/">http://ovalproject.github.io/</a>.

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