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#### Abstract

This document defines the Information Elements that are transported between SACM components and their interconnected relationships. The primary purpose of the Secure Automation and Continuous Monitoring (SACM) Information Model is to ensure the interoperability of corresponding SACM data models and addresses the use cases defined by SACM. The Information Elements and corresponding types are maintained as the IANA "SACM Information Elements" registry.

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#### 1. Introduction

The SACM Information Model (IM) serves multiple purposes:

- o to ensure interoperability between SACM data models that are used as transport encodings,
- to provide a standardized set of Information Elements the SACM Vocabulary - to enable the exchange of content vital to automated security posture assessment, and
- o to enable secure information sharing in a scalable and extensible fashion in order to support the tasks conducted by SACM components.

A complete set of requirements imposed on the IM can be found in [I-D.ietf-sacm-requirements]. The SACM IM is intended to be used for standardized data exchange between SACM components (data in motion). Nevertheless, the Information Elements (IE) and their relationships defined in this document can be leveraged to create and align corresponding data models for data at rest.

The information model expresses, for example, target endpoint (TE) attributes, guidance, and evaluation results. The corresponding Information Elements are consumed and produced by SACM components as they carry out tasks.

The primary tasks that this information model supports (on data, control, and management plane) are:

- o TE Discovery
- TE Characterization
- TE Classification
- Collection  $\circ$
- Evaluation
- Information Sharing
- SACM Component Discovery
- SACM Component Authentication 0
- SACM Component Authorization

o SACM Component Registration

These tasks are defined in [I-D.ietf-sacm-terminology].

#### 2. Conventions used in this document

### 2.1. Requirements Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [RFC2119].

### 2.2. Information Element Examples

The notation used to define the SACM Information Elements (IEs) is based on a customized version of the IPFIX information model syntax [RFC7012] which is described in Figure 2. However, there are several examples presented throughout the document that use a simplified pseudo-code to illustrate the basic structure. It should be noted that while they include actual names of subjects and attributes as well as values, they are not intended to influence how corresponding SACM IEs should be defined in Section 7. The examples are provided for demonstration purposes only.

#### 3. Information Elements

The IEs defined in this document comprise the building blocks by which all SACM content is composed. They are consumed and provided by SACM components on the data plane. Every Information Element has a unique label: its name. Every type of IE defined by the SACM IM is registered as a type at the IANA registry. The Integer Index of the IANA SMI number tables can be used by SACM data models.

## 3.1. Context of Information Elements

The IEs in this information model represent information related to assets in the following areas (based on the use cases described in [RFC7632]):

- o Endpoint Management
- Software Inventory Management
- Hardware Inventory Management
- o Configuration Management
- o Vulnerability Management

## 3.2. Extensibility of Information Elements

A SACM data model based on this information model MAY include additional information elements that are not defined here. The labels of additional Information Elements included in different SACM data models MUST NOT conflict with the labels of the Information Elements defined by this information model, and the names of additional Information Elements MUST NOT conflict with each other or across multiple data models. In order to avoid naming conflicts, the labels of additional IEs SHOULD be prefixed to avoid collisions across extensions. The prefix MUST include an organizational identifier and therefore, for example, MAY be an IANA enterprise number, a (partial) name space URI, or an organization name abbreviation.

#### 4. Structure of Information Elements

There are two basic types of IEs:

- o Attributes: Atomic information elements that are equivalent to name-value-pairs and can be components of Subjects.
- o Subjects: Composite information elements that have a name and are made up of Attributes and/or other Subjects. Every IE that is part of a Subject can have a quantity associated with it (e.g. zero-one, none-unbounded). The content IEs of a Subject can be ordered or unordered.

```
Example Instance of an Attribute:
hostname = "arbutus"
Example Instance of a Subject:
coordinates = (
latitude = N27.99619,
longitude = E86.92761
```

Figure 1: Example instance of an attribute and subject.

In general, every piece of information that enables security posture assessment or further enriches the quality of the assessment process can be associated with metadata. In the SACM IM, metadata is represented by specific subjects and is bundled with other attributes or subjects to provide additional information about them. The IM explicitly defines two kinds of metadata:

o Metadata focusing on the data origin (the SACM component that provides the information to the SACM domain)

o Metadata focusing on the data source (the target endpoint that is assessed)

Metadata can also include relationships that refer to other associated IEs (or SACM content in general) by using referencing labels that have to be included in the metadata of the associated IE.

Subjects can be nested and the SACM IM allows for circular or recursive nesting. The association of IEs via nesting results in a tree-like structure wherein subjects compose the root and intermediary nodes and attributes the leaves of the tree. semantic structure does not impose a specific structure on SACM data models regarding data in motion or data repository schemata for data at rest.

The SACM IM provides two conceptual top-level subjects that are used to ensure a homogeneous structure for SACM content and its associated metadata: SACM statements and SACM content-elements. Every set of IEs that is provided by a SACM component must provide the information contained in these two subjects although it is up to the implementer whether or not the subjects are explicitly defined in a data model.

The notation the SACM IM is defined in is based on a modified version of the IP Information Flow Export (IPFIX) Information Model syntax described in Section 2.1 of [RFC7012]. The customized syntax used by the SACM IM is defined below in Figure 2.

elementId (required):

The numeric identifier of the Information Element. It is used for the compact identification of an Information Element. If this identifier is used without an enterpriseID, then the elementId must be unique, and the description of allowed values is administrated by IANA. The value "TBD" may be used during development of the information model until an elementId is assigned by IANA and filled in at publication time.

enterpriseId (optional): Enterprises may wish to define Information Elements without registering them with IANA, for example, for enterprise-internal purposes. For such Information Elements, the elementId is

not sufficient when used outside the enterprise. If specifications of enterprisespecific Information Elements are made public and/or if enterprise-specific identifiers are used by SACM components outside the enterprise, then the enterprise-specific identifier MUST be made globally unique by combining it with an enterprise identifier. Valid values for the enterpriseId are defined by IANA as Structure of Management Information (SMI) network management private enterprise numbers.

name (required):

A unique and meaningful name for the Information Element.

dataType (required):

There are two kinds of datatypes: simple and structured. Attributes are defined using simple datatypes and subjects are defined using structured datatypes. The contents of the datatype field will be either a reference to one of the simple datatypes listed in Section 5.1, or the specification of structured datatype as defined in Section 5.2.

status (required):

The status of the specification of the Information Element. Allowed values are "current" and "deprecated". All newly defined Information Elements have "current" status. The process for moving Information Elements to the "deprecated" status is TBD.

description (required): Describes the meaning of the Information Element, how it is derived, conditions for its use, etc.

structure (optional): A parsable property that provides details about the definition of

structured Information Elements as described in Section 5.2.

references (optional): Identifies other RFCs or documents outside the IETF which provide additional information or context

about the Information Element.

Figure 2: Information Element Specification Template

## 4.1. Information Element Naming Convention

SACM Information Elements must adhere to the following naming conventions.

- o Names SHOULD be descriptive
- o Names MUST be unique within the SACM registry. Enterprisespecific names SHOULD be prefixed with a Private Enterprise Number [PEN].
- o Names MUST start with lowercase letters unless it begins with a Private Enterprise Number
- o Composed names MUST use capital letters for the first letter of each part

## 4.2. SACM Content Elements

Every piece of information that is provided by a SACM Component is always associated with a set of data source metadata (e.g. the timestamp when the information was collected, the target endpoint from which the this set of information is about, etc.) which is provided in the SACM Content Element Metadata. The SACM Content Element is the subject information element that associates the information with the SACM Content Element Metadata. The SACM Content Element Metadata may also include relationships that express associations with other SACM Content Elements.

```
content-element = (
  content-metadata = (
    collection-timestamp = 146193322,
   data-source = fb02e551-7101-4e68-8dec-1fde6bd10981
 hostname = "arbutus",
 coordinates = (
 latitude = N27.99619,
 longitude = E86.92761
)
```

Figure 3: Example set of IEs associated with a timestamp and a target endpoint label.

#### 4.3. SACM Statements

One or more SACM Content Elements are bundled in a SACM Statement. In contrast to SACM Content Element Metadata, SACM Statement Metadata focuses on the providing information about the SACM Component that provided it rather than the target endpoint that the content is The only content-specific metadata included in the SACM Statement is the content-type IE. Therefore, multiple SACM Content Elements that share the same SACM Statement Metadata and are of the same content-type can be included in a single SACM Statement. A SACM Statement functions similar to an envelope or a header and is the subject information element that associates SACM Statement Metadata with security automation information provided in its SACM Content Element(s). Its purpose is to enable the tracking of the origin of data inside a SACM domain and more importantly to enable the mitigation of conflicting information that may originate from different SACM Components. How a consuming SACM Component actually deals with conflicting information is out-of-scope of the SACM IM. Semantically, the term statement implies that the SACM content provided by a SACM Component might not be correct in every context, but, rather is the result of a best-effort to produce correct information.

```
sacm-statement = (
 statement-metadata = (
   publish-timestamp = 1461934031,
   data-origin = 24e67957-3d31-4878-8892-da2b35e121c2,
   content-type = observation
  ),
 content-element = (
   content-metadata = (
     collection-timestamp = 146193322,
     data-source = fb02e551-7101-4e68-8dec-1fde6bd10981
    ),
   hostname = "arbutus"
)
```

Figure 4: Example of a simple SACM statement including a single content-element.

```
sacm-statement = (
 statement-metadata = (
    publish-timestamp = 1461934031,
    data-origin = 24e67957-3d31-4878-8892-da2b35e121c2
    content-type = observation
  ),
 content-element = (
    content-metadata = (
      collection-timestamp = 146193322,
     data-source = fb02e551-7101-4e68-8dec-1fde6bd10981
    ),
    coordinates = (
      latitude = N27.99619,
      longitude = E86.92761
    )
  )
)
sacm-statement = (
 statement-metadata = (
    publish-timestamp = 1461934744,
    data-origin = e42885a1-0270-44e9-bb5c-865cf6bd4800,
    content-type = observation
  ),
 content-element = (
    content-metadata = (
      collection-timestamp = 146193821,
     te-label = fb02e551-7101-4e68-8dec-1fde6bd10981
    ),
    coordinates = (
      latitude = N16.67622,
      longitude = E141.55321
    )
  )
)
```

Figure 5: Example of conflicting information originating from different SACM components.

#### 4.4. Relationships

An IE can be associated with another IE, e.g. a user-name attribute can be associated with a content-authorization subject. These references are expressed via the relationships subject, which can be included in a corresponding content-metadata subject. The relationships subject includes a list of one or more references. The SACM IM does not enforce a SACM domain to use unique identifiers as

references. Therefore, there are at least two ways to reference another

- o The value of a reference represents a specific content-label that is unique in a SACM domain (and has to be included in the corresponding content-element metadata in order to be referenced),
- o The reference is a subject that includes an appropriate number of IEs in order to identify the referenced content-element by its actual content.

It is recommended to provide unique identifiers in a SACM domain and the SACM IM provides a corresponding naming-convention as a reference in Section 4.1. The alternative highlighted above summarizes a valid approach that does not require unique identifiers and is similar to the approach of referencing target endpoints via identifying attributes included in a characterization record.

```
content-element = (
  content-metadata = (
    collection-timestamp = 1461934031,
    te-label =
    fb02e551-7101-4e68-8dec-1fde6bd10981
    relationships = (
      associated-with-user-account =
      f3d70ef4-7e18-42af-a894-8955ba87c95d
    )
  ),
 hostname = "arbutus"
content-element = (
  content-metadata = (
    content-label = f3d70ef4-7e18-42af-a894-8955ba87c95d
 ),
 user-account = (
   username = romeo
   authentication = local
  )
)
```

Figure 6: Example instance of a content-element subject associated with another subject via its content metadata.

#### 4.5. Event

Event subjects provide a structure to represent the change of IE values that was detected by a collection task at a specific point of time. It is mandatory to include the new values and the collection timestamp in an event subject and it is recommended to include the past values and a collection timestamp that were replaced by the new IE values. Every event can also be associated with a subjectspecific event-timestamp and a lastseen-timestamp that might differ from the corresponding collection-timestamps. If these are omitted the collection-timestamp that is included in the content-metadata subject is used instead.

```
sacm-statement = (
  statement-metadata = (
   publish-timestamp = 1461934031,
   data-origin = 24e67957-3d31-4878-8892-da2b35e121c2,
   content-type = event
  ),
  event = (
    event-attributes = (
      event-name = "host-name change",
      content-element = (
        content-metadata = (
        collection-timestamp = 146193322,
        data-source =
          fb02e551-7101-4e68-8dec-1fde6bd10981,
          event-component = past-state
       hostname = "arbutus"
      ),
      content-element = (
        content-metadata = (
          collection-timestamp = 146195723,
          data-source =
          fb02e551-7101-4e68-8dec-1fde6bd10981,
          event-component = current-state
        ),
        hostname = "lilac"
      )
    )
  )
```

Figure 7: Example of a SACM statement containing an event.

### 4.6. Categories

Categories are special IEs that enable to refer to multiple types of IE via just one name. Therefore, they are similar to a type-choice. A prominent example of a category is when identifying a target endpoint. In some cases, a target endpoint will be identified by a set of identifying attributes and in other cases a target endpoint will be identified by a target endpoint label which is unique within a SACM domain. If a subject includes the targetEndpoint information element as one of its components, any of the category members (targetEndpointIdentifier or targetEndpointLabel) are valid to be used in its place.

#### 5. Abstract Data Types

This section describes the set of valid abstract data types that can be used for the specification of the SACM Information Elements in Section 7. SACM currently supports two classes of datatypes that can be used to define Information Elements.

- Simple: Datatypes that are atomic and are used to define the type of data represented by an attribute Information Element.
- o Structured: Datatypes that can be used to define the type of data represented by a subject Information Element.

Note that further abstract data types may be specified by future extensions of the SACM information model.

#### 5.1. Simple Datatypes

### 5.1.1. IPFIX Datatypes

To facilitate the use of existing work, SACM supports the following abstract data types defined in Section 3 of [RFC7012].

- unsigned8, unsigned16, unsigned32, unsigned64
- signed8, signed16, signed32, signed64
- float32, float64
- boolean
- o macAddress
- o octetArray

- o string
- o dateTimeSeconds, dateTimeMilliseconds, dateTimeMicroseconds, dateTimeNanoSeconds
- o ipv4Address, ipv6Address

### 5.2. Structured Datatypes

### 5.2.1. List Datatypes

SACM defines the following abstract list data types that are used to represent the structured data associated with subjects.

- o list: indicates that the Information Element order is not significant but MAY be preserved.
- o orderedList: indicates that Information Element order is significant and MUST be preserved.

The notation for defining a SACM structured datatype is based on regular expressions, which are composed of the keywords "list" or "orderedList" and an Information Element expression. IE expressions use some of the regular expression syntax and operators, but the terms in the expression are the names of defined Information Elements instead of character classes. The syntax for defining list and orderedList datatypes is described below, using BNF:

```
<list-def> -> ("list"|"orderedList") "(" <ie-expression> ")"
<ie-expression> -> <ie-name> <cardinality>?
                  ( ("," | "|") <ie-name> <cardinality>?)*
<cardinality> -> "*" | "+" | "?" |
                ( "(" <non-neg-int> ("," <non-neg-int>)? ")" )
```

Figure 8: Syntax for Defining List Datatypes

As seen above, multiple occurences of an Information Element may be present in a structured datatype. The cardinality of an Information Element within a structured Information Element definition is defined by the following operators:

- \* zero or more occurrences
- + one or more occurrences
- ? zero or one occurrence
- (m,n) between m and n occurrences

Figure 9: Specifying Cardinality for Structured Datatypes

The absence of a cardinality operator implies one mandatory occurrence of the Information Element.

Below is an example of a structured Information Element definition.

```
personInfo = list(firstName, middleNames?, lastName)
firstName = string
middleNames = orderedList(middleName+)
middleName = string
lastName = string
```

As an example, consider the name "John Ronald Reuel Tolkien". Below are instances of this name, structured according to the personInfo definition.

```
personInfo = (firstName="John", middleNames(middleName="Ronald",
              middleName="Reuel"), lastName="Tolkien")
```

```
personInfo = (middleNames(middleName="Ronald", middleName=" Reuel"),
              lastName="Tolkien", firstName="John")
```

The instance below is not legal with respect to the definition of personInfo because the order in middleNames is not preserved.

```
personInfo = (firstName="John", middleNames(middleName=" Reuel",
              middleName="Ronald"), lastName="Tolkien")
```

Figure 10: Example of Defining a Structured List Datatype

## 5.2.2. Enumeration Datatype

SACM defines the following abstract enumeration datatype that is used to represent the restriction of an attribute value to a set of values.

```
name, hex-value, description
<enumeration-def> -> -> <name> ";" <hex-value> ";" <description>
< name > -> [0-9a-zA-Z] +
<hex-value> -> 0x[0-9a-fA-F]+
<description> -> [0-9a-zA-Z\.\.]+
```

Figure 11: Syntax for Defining an Enumeration Datatype

Below is an example of a structured Information Element definition for an enumeration.

```
Red ; 0x1 ; The color is red.
Orange; 0x2; The color is orange.
Yellow; 0x3; The color is yellow.
Green ; 0x4 ; The color is green.
. . .
```

Figure 12: Example of Defining a Structured Enumeration Datatype

## 5.2.3. Category Datatype

SACM defines the following abstract category datatype that is used to represent a type-choice between a set of information elements.

```
<category-def> -> "category(" <ie-expression> ")"
<ie-expression> -> <ie-name> ("|" <ie-name>)*
< name > -> [0-9a-zA-Z] +
```

Figure 13: Syntax for Defining an Category Datatype

Below is an example of a structured Information Element definition for a category.

```
targetEndpoint = category(targetEndpointIdentifier |
                          targetEndpointLabel)
```

Figure 14: Example of Defining a Structured Category Datatype

#### 6. Information Model Assets

In order to represent the Information Elements related to the areas listed in Section 3.1, the information model defines the information needs (or metadata about those information needs) related to following types of assets which are defined in [I-D.ietf-sacm-terminology] (and included below for convenience) which are of interest to SACM. Specifically:

o Endpoint

- o Software Component
- o Hardware Component
- o Identity
- o Guidance
- o Evaluation Results

The following figure shows the make up of an Endpoint asset which contains zero or more hardware components and zero or more software components each of which may have zero or more instances running an endpoint at any given time as well as zero or more identities that act on behalf of the endpoint when interfacing with other endpoints, tools, or services. An endpoint may also contain other endpoints in the case of a virtualized environment.

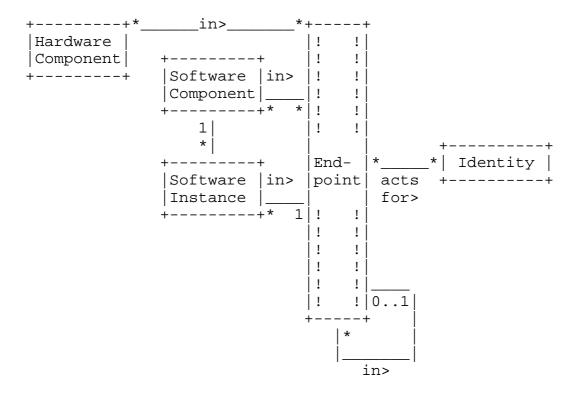


Figure 15: Model of an Endpoint

#### 6.1. Asset

As defined in [RFC4949], an asset is a system resource that is (a) required to be protected by an information system's security policy,

(b) intended to be protected by a countermeasure, or (c) required for a system's mission.

In the scope of SACM, an asset can be composed of other assets. Examples of Assets include: Endpoints, Software, Guidance, or Identity. Furthermore, an asset is not necessarily owned by an organization.

# 6.2. Endpoint

From [RFC5209], an endpoint is any computing device that can be connected to a network. Such devices normally are associated with a particular link layer address before joining the network and potentially an IP address once on the network. This includes: laptops, desktops, servers, cell phones, or any device that may have an IP address.

To further clarify, an endpoint is any physical or virtual device that may have a network address. Note that, network infrastructure devices (e.g. switches, routers, firewalls), which fit the definition, are also considered to be endpoints within this document.

Physical endpoints are always composites that are composed of hardware components and software components. Virtual endpoints are composed entirely of software components and rely on software components that provide functions equivalent to hardware components.

The SACM architecture differentiates two essential categories of endpoints: Endpoints whose security posture is intended to be assessed (target endpoints) and endpoints that are specifically excluded from endpoint posture assessment (excluded endpoints).

## 6.3. Hardware Component

Hardware components are the distinguishable physical components that compose an endpoint. The composition of an endpoint can be changed over time by adding or removing hardware components. In essence, every physical endpoint is potentially a composite of multiple hardware components, typically resulting in a hierarchical composition of hardware components. The composition of hardware components is based on interconnects provided by specific hardware types (e.g. mainboard is a hardware type that provides local busses as an interconnect). In general, a hardware component can be distinguished by its serial number.

Examples of a hardware components include: motherboards, network interfaces, graphics cards, hard drives, etc.

## 6.4. Software Component

A software package installed on an endpoint (including the operating system) as well as a unique serial number if present (e.g. a text editor associated with a unique license key).

It should be noted that this includes both benign and harmful software packages. Examples of benign software components include: applications, patches, operating system kernel, boot loader, firmware, code embedded on a webpage, etc. Examples of malicious software components include: malware, trojans, viruses, etc.

### 6.4.1. Software Instance

A running instance of the software component (e.g. on a multi-user system, one logged-in user has one instance of a text editor running and another logged-in user has another instance of the same text editor running, or on a single-user system, a user could have multiple independent instances of the same text editor running).

### 6.5. Identity

Any mechanism that can be used to identify an asset during an authentication process. Examples include usernames, user and device certificates, etc. Note, that this is different than the identity of assets in the context of designation as described in Section 11.1.

#### 6.6. Guidance

Guidance is input instructions to processes and tasks, such as collection or evaluation. Guidance influences the behavior of a SACM component and is considered content of the management plane. Guidance can be manually or automatically generated or provided. Typically, the tasks that provide guidance to SACM components have a low-frequency and tend to be sporadic. A prominent example of guidance are target endpoint profiles, but guidance can have many forms, including:

Configuration, e.g. a SACM component's name, or a CMDB's IPv6 address.

Profiles, e.g. a set of expected states for network behavior associated with target endpoints employed by specific users.

Policies, e.g. an interval to refresh the registration of a SACM component, or a list of required capabilities for SACM components in a specific location.

### 6.6.1. Collection Guidance

A collector may need guidance to govern what it collects and when. Collection Guidance provides instructions for a Collector that specifies which endpoint attributes to collect, when to collect them, and how to collect them. Collection Guidance is composed of Target Endpoint Attribute Guidance, Frequency Guidance, and Method Guidance.

- o Target Endpoint Attribute Guidance: Set of endpoint attributes that are supposed to be collected from a target endpoint. The definition of the set of endpoint attributes is typically based on an endpoint characterization record.
- o Frequency Guidance: Specifies when endpoint attributes are to be collected.
- o Method Guidance: Indicates how endpoint attributes are to be collected.

#### 6.6.2. Evaluation Guidance

An evaluator typically needs guidance to govern what it considers to be a good or bad security posture. Evaluation Guidance provides instructions for an Evaluator that specifies which endpoint attributes to evaluate, the desired state of those endpoint attributes, and any special requirements that enable an Evaluator to determine if the endpoint attributes can be used in the evaluation (e.g. freshness of data, how it was collected, etc.). Evaluation Guidance is composed of Target Endpoint Attribute Guidance, Expected Endpoint Attribute Value Guidance, and Frequency Guidance.

- o Target Endpoint Attribute Guidance: Set of target endpoint attributes that are supposed to be used in an evaluation as well as any requirements on the endpoint attributes. The definition of the set of endpoint attributes is typically based on an endpoint characterization record.
- o Expected Endpoint Attribute Value Guidance: The expected values of the endpoint attributes described in the Target Endpoint Attribute Guidance.
- o Frequency Guidance: Specifies when endpoint attributes are to be evaluated.
- o Method Guidance: Indicates how endpoint attributes are to be collected.

### 6.6.3. Classification Guidance

A SACM Component carrying out the Target Endpoint Classification Task may need guidance on how to classify an endpoint. Specifically, how to associate endpoint classes with a specific target endpoint characterization record. Target Endpoint Classes function as guidance for collection, evaluation, remediation and security posture assessment in general. Classification Guidance is composed of Target Endpoint Attribute Guidance and Class Guidance.

- o Target Endpoint Attribute Guidance: Set of target endpoint attributes that are supposed to be used to identify the endpoint characterization record.
- o Class Guidance: A list of target endpoint classes that are to be associated with the identified target endpoint characterization record.

### 6.6.4. Storage Guidance

An SACM Component typically needs guidance to govern what information it should store and where. Storage Guidance provides instructions for a SACM Component that specifies which security automation information should be stored, for how long, and on which endpoint. Storage Guidance is composed of Target Endpoint Attribute Guidance, Expected Security Automation Information Guidance, and Retention Guidance.

- o Target Endpoint Attribute Guidance: Set of target endpoint attributes that are supposed to be used to identify the endpoint where the security automation information is to be stored.
- o Expected Security Automation Information Guidance: The security automation information that is expected to be stored (guidance, collected posture attributes, results, etc.).
- o Retention Guidance: Specifies how long the security automation information should be stored.

# 6.6.5. Evaluation Results

Evaluation Results are the output of comparing the actual state of an endpoint against the expected state of an endpoint. In addition to the actual results of the comparison, Evaluation Results should include the Evaluation Guidance and actual target endpoint attributes values used to perform the evaluation.

### 7. Information Model Elements

This section defines the specific Information Elements and relationships that will be implemented by data models and transported between SACM Components.

### 7.1. sacmStatement

elementId: TBD

name: sacmStatement dataType: orderedList

status: current

description: Associates SACM Statement Metadata which provides data origin information about the providing SACM Component with one or more SACM Content Elements that contain security

automation information.

structure: orderedList(sacmStatementMetadata, sacmContentElement+)

#### 7.2. sacmStatementMetadata

elementId: TBD

name: sacmStatementMetadata

dataType: orderedList

status: current

description: Contains IEs that provide information about the data origin of the providing SACM Component as well as the information necessary for other SACM Components to understand the type of security automation information in the SACM Statement's SACM Content Element(s). structure: orderedList(publicationTimestamp, dataOrigin, anyIE\*)

#### 7.3. sacmContentElement

elementId: TBD

name: sacmContentElement

dataType: list status: current

description: Associates SACM Content Element Metadata which provides information about the data source and type of security automation information with the actual security automation

information. structure: TODO

#### 7.4. sacmContentElementMetadata

elementId: TBD

name: sacmContentElementMetadata

dataType: orderedList

status: current

description: Contains IEs that provide information about the data source and type of security automation information such that other SACM Components are able to parse and understand the security automation information contained within the SACM Statement's SACM Content Element(s).

### 7.5. targetEndpoint

elementId: TBD

name: targetEndpoint
dataType: category
status: current

description: Information that identifies a target

endpoint on the network. This may be a set of

attributes that can be used to identify an endpoint on the network or a label that is unique to a SACM

domain.

## 7.6. targetEndpointIdentifier

elementId: TBD

name: targetEndpointIdentifier

dataType: list
status: current

description: A set of attributes that uniquely

identify a target endpoint on the network.

structure: list(anyIE+)

## 7.7. targetEndpointLabel

elementId: TBD

name: targetEndpointLabel

dataType: string
status: current

description: A label that uniquely identifies a target endpoint on SACM domain.

# 7.8. anyIE

elementId: TBD name: anyIE

dataType: category status: current

description: This category is a placeholder

for any information element defined within the SACM Information Model. Its purpose is

to provide an extension point in other

information elements that enable them to support the specific needs of an enterprise,

user, product, or service.

### 7.9. accessPrivilegeType

elementId: TBD

name: accessPrivilegeType

dataType: string status: current

description: A set of types that represent access

privileges (read, write, none, etc.).

#### 7.10. accountName

elementId: TBD name: accountName dataType: string status: current

description: A label that uniquely identifies an account that can require some form of (user) authentication to

access.

### 7.11. administrativeDomainType

elementId: TBD

name: administrativeDomainType

dataType: string status: current

description: A label the is supposed to uniquely

identify an administrative domain.

### 7.12. addressAssociationType

elementId: TBD

name: addressAssociationType

dataType: string status: current

description: A label the is supposed to uniquely

identify an administrative domain.

## 7.13. addressMaskValue

elementId: TBD

name: addressMaskValue

dataType: string status: current

description: A value that expresses a generic address

subnetting bitmask.

### 7.14. addressType

elementId: TBD name: addressType dataType: string status: current

description: A set of types that specifies the type of address that is expressed in an address subject

(e.g. ethernet, modbus, zigbee).

### 7.15. addressValue

elementId: TBD name: addressValue dataType: string status: current

description: A value that expresses a generic network

address.

# 7.16. applicationComponent

elementId: TBD

name: applicationComponent

dataType: string status: current

description: A label that references a "sub"-application

that is part of the application (e.g. an add-on, a

cipher-suite, a library).

# 7.17. applicationLabel

elementId: TBD

name: applicationLabel

dataType: string
status: current

description: A label that is supposed to uniquely

reference an application.

# 7.18. applicationType

elementId: TBD

name: applicationType
dataType: string
status: current

description: A set of types (FIXME maybe a finite set is not realistic here - value not enumerator?) that identifies the type of (user-space) application (e.g. text-editor, policy-editor, service-client,

service-server, calender, rouge-like RPG).

### 7.19. applicationManufacturer

elementId: TBD

name: applicationManufacturer

dataType: string
status: current

description: The name of the vendor that created the

application.

### 7.20. authenticator

elementId: TBD
name: authenticator
dataType: string
status: current

description: A label that references a SACM component that can authenticate target endpoints (can be used in a target-endpoint subject to express that the target endpoint was authenticated by that SACM component.

# 7.21. authenticationType

name: authenticationType

dataType: string status: current

description: A set of types that expresses which type

of authentication was used to enable a network

interaction/connection.

## 7.22. birthdate

elementId: TBD name: birthdate dataType: string status: current

description: A label for the registered day of birth of a natural person (e.g. the date of birth of a person

as an ISO date string).

references: http://rs.tdwg.org/ontology/voc/Person#birthdate

## 7.23. bytesReceived

elementId: TBD name: bytesReceived dataType: string status: current

description: A value that represents a number of octets

received on a network interface.

### 7.24. bytesReceived

elementId: TBD

name: bytesReceived dataType: string status: current

description: A value that represents the number of

octets received on a network interface.

## 7.25. bytesSent

elementId: TBD name: bytesSent dataType: string status: current

description: A value that represents the number of

octets sent on a network interface.

## 7.26. certificate

elementId: TBD
name: certificate
dataType: string
status: current

description: A value that expresses a certificate that

can be collected from a target endpoint.

## 7.27. collectionTaskType

elementId: TBD

name: collectionTaskType

dataType: string
status: current

description: A set of types that defines how collected SACM content was acquired (e.g. network-observation,

remote-acquisition, self-reported).

## 7.28. confidence

elementId: TBD
name: confidence
dataType: string
status: current

description: A representation of the subjective probability that the assessed value is correct. If no confidence value is given, it is assumed that the confidence is 1. Acceptable

values are between 0 and 1.

## 7.29. contentAction

elementId: TBD
name: contentAction
dataType: string
status: current

description: A set of types that express a type of action (e.g. add, delete, update). It can be associated, for instance, with an event subject or with a network

observation.

# 7.30. countryCode

elementId: TBD
name: countryCode
dataType: string
status: current

description: A set of types according to ISO 3166-1.

# 7.31. dataOrigin

elementId: TBD name: dataOrigin dataType: string status: current

description: A label that uniquely identifies a SACM

component in and across SACM domains.

#### 7.32. dataSource

elementId: TBD name: dataSource dataType: string status: current

description: A label that is supposed to uniquely identify the data source (e.g. a target endpoint or sensor) that provided an initial endpoint attribute

record.

## 7.33. default-depth

elementId: TBD name: default-depth dataType: string status: current

description: A value that expresses how often a circular reference of subject is allowed to repeat, or how deep

a recursive nesting may occur, respectively.

#### 7.34. discoverer

elementId: TBD name: discoverer dataType: string status: current

description: A label that refers to the SACM component that discovered a target endpoint (can be used in a target-endpoint subject to express, for example, that the target endpoint was authenticated by that SACM

component).

#### 7.35. emailAddress

elementId: TBD name: emailAddress dataType: string status: current

description: A value that expresses an email-address.

## 7.36. eventType

elementId: TBD name: eventType dataType: string status: current

description: a set of types that define the categories

of an event (e.g. access-level-change,

change-of-priviledge, change-of-authorization, environmental-event, or provisioning-event).

#### 7.37. eventThreshold

elementId: TBD

name: eventThreshold dataType: string status: current

description: if applicable, a value that can be included in an event subject to indicate what numeric threshold value was crossed to trigger that event.

### 7.38. eventThresholdName

elementId: TBD

name: eventThresholdName

dataType: string status: current

description: If an event is created due to a crossed threshold, the threshold might have a name associated

with it that can be expressed via this value.

## 7.39. eventTrigger

elementId: TBD name: eventTrigger dataType: string status: current

description: This value is used to express more

complex trigger conditions that may cause the creation

of an event.

## 7.40. firmwareId

elementId: TBD name: firmwareId dataType: string status: current

description: A label that represents the BIOS or

firmware ID of a specific target endpoint.

#### 7.41. hostName

elementId: TBD name: hostName dataType: string status: current

description: A label typically associated with an endpoint, but, not always intended to be unique given

scope.

#### 7.42. interfaceLabel

elementId: TBD

name: interfaceLabel dataType: string status: current

description: A unique label that can be used to

reference a network interface.

### 7.43. ipv6AddressSubnetMask

elementId: TBD

name: ipv6AddressSubnetMask

dataType: string status: current

description: An IPv6 subnet bitmask.

## 7.44. ipv6AddressSubnetMaskCidrNotation

elementId: TBD

name: ipv6AddressSubnetMaskCidrNotation

dataType: string status: current

description: An IPv6 subnet bitmask in CIDR notation.

## 7.45. ipv6AddressValue

elementId: TBD

name: ipv6AddressValue
dataType: ipv6Address

status: current

description: An IPv6 subnet bitmask in CIDR notation.

a network interface.

## 7.46. ipv4AddressSubnetMask

elementId: TBD

name: ipv4AddressSubnetMask

dataType: string
status: current

description: An IPv4 subnet bitmask.

## 7.47. ipv4AddressSubnetMaskCidrNotation

elementId: TBD

name: ipv4AddressSubnetMaskCidrNotation

dataType: string
status: current

description: An IPv4 subnet bitmask in CIDR notation.

## 7.48. ipv4AddressValue

elementId: TBD

name: ipv4AddressValue
dataType: ipv4Address

status: current

description: An IPv4 address value.

## 7.49. layer2InterfaceType

elementId: TBD

name: layer2InterfaceType

dataType: string
status: current

description: A set of types referenced by IANA ifType.

### 7.50. layer4PortAddress

name: layer4PortAddress dataType: unsigned32

status: current

description: A layer 4 port address typically associated with TCP and UDP

protocols.

## 7.51. layer4Protocol

elementId: TBD

name: layer4Protocol dataType: string status: current

description: A set of types that express a layer 4

protocol (e.g. UDP or TCP).

#### 7.52. locationName

elementId: TBD name: locationName dataType: string status: current

description: A value that represents a named region of

physical space.

## 7.53. macAddressValue

elementId: TBD

name: macAddressValue

dataType: string status: current

description: A value that expresses an Ethernet address.

## 7.54. methodLabel

elementId: TBD name: methodLabel dataType: string status: current

description: A label that references a specific method registered and used in a SACM domain (e.g. method to match and re-identify target endpoints via identifying

attributes).

## 7.55. methodRepository

elementId: TBD

name: methodRepository

dataType: string status: current

description: A label that references a SACM component methods can be registered at and that can provide guidance in the form of registered methods to other

SACM components.

## 7.56. networkAccessLevelType

elementId: TBD

name: networkAccessLevelType

dataType: string status: current

description: A set of types that expresses categories of network access-levels (e.g. block, quarantine, etc.).

#### 7.57. networkId

elementId: TBD name: networkId dataType: string status: current

description: Most networks such as AS, OSBF domains,

or VLANs can have an ID.

## 7.58. networkInterfaceName

elementId: TBD

name: networkInterfaceName

dataType: string status: current

description: A label that uniquely identifies an interface

associated with a distinguishable endpoint.

## 7.59. networkLayer

elementId: TBD name: networkLayer dataType: string status: current

description: A set of layers that expresses the specific

network layer an interface operates on.

## 7.60. networkName

elementId: TBD name: networkName dataType: string status: current

description: A label that is associated with a network.

Some networks, for example, effective

layer2-broadcast-domains are difficult to "grasp" and

therefore quite difficult to name.

## 7.61. organizationId

elementId: TBD

name: organizationId dataType: string status: current

description: A label that uniquely identifies an

organization via a PEN.

## 7.62. osComponent

elementId: TBD name: osComponent dataType: string status: current

description: A label that references a "sub-component" that is part of the operating system (e.g. a kernel

module, microcode, or ACPI table).

## 7.63. osLabel

elementId: TBD name: osLabel dataType: string status: current

description: A label that references a specific version of an operating system, including patches and hotfixes.

#### 7.64. osName

elementId: TBD name: osName dataType: string status: current

description: The name of an operating system.

# 7.65. osType

elementId: TBD name: osType dataType: string status: current

description: A set of types that identifies the type

of an operating system (e.g. real-time, security-enhanced, consumer, server).

### 7.66. osVersion

elementId: TBD name: osVersion dataType: string status: current

description: A value that represents the version of

an operating-system.

## 7.67. patchId

elementId: TBD name: patchId dataType: string status: current

description: A label the uniquely identifies a specific

software patch.

### 7.68. patchName

elementId: TBD name: patchName dataType: string status: current

description: The vendor's name of a software patch.

## 7.69. personFirstName

elementId: TBD

name: personFirstName

dataType: string status: current

description: The first name of a natural person.

## 7.70. personLastName

elementId: TBD

name: personLastName
dataType: string
status: current

description: The last name of a natural person.

### 7.71. personMiddleName

elementId: TBD

name: personMiddleName

dataType: string
status: current

description: The middle name of a natural person.

## 7.72. phoneNumber

elementId: TBD
name: phoneNumber
dataType: string
status: current

description: A label that expresses the U.S. national

phone number (e.g. pattern value=" $((\d{3}))?\d{3}-\d{4}$ ").

## 7.73. phoneNumberType

elementId: TBD

name: phoneNumberType

dataType: string
status: current

description: A set of types that express the type of a phone number (e.g. DSN, Fax, Home, Mobile, Pager,

Secure, Unsecure, Work, Other).

# 7.74. privilegeName

elementId: TBD

name: privilegeName
dataType: string
status: current

description: The attribute name of the privilege

represented as an AVP.

# 7.75. privilegeValue

elementId: TBD

name: privilegeValue
dataType: string
status: current

description: The value content of the privilege

represented as an AVP.

## 7.76. protocol

elementId: TBD
name: protocol
dataType: string
status: current

description: A set of types that defines specific protocols above layer 4 (e.g. http, https, dns, ipp,

or unknown).

## 7.77. publicKey

elementId: TBD
name: publicKey
dataType: string
status: current

description: The value of a public key (regardless of its method of creation, crypto-system, or signature scheme)

that can be collected from a target endpoint.

## 7.78. relationshipContentElementGuid

elementId: TBD

name: relationshipContentElementGuid

dataType: string
status: current

description: A reference to a specific content element

used in a relationship subject.

## 7.79. relationshipStatementElementGuid

elementId: TBD

name: relationshipStatementElementGuid

dataType: string
status: current

description: A reference to a specific SACM statement

used in a relationship subject.

## 7.80. relationshipObjectLabel

elementId: TBD

name: relationshipObjectLabel

dataType: string
status: current

description: A reference to a specific label used in

content (e.g. a te-label or a user-id). This reference is typically used if matching content attribute can be done efficiently and can also be

included in addition to a relationship-content-element-guid

reference.

#### 7.81. relationshipType

elementId: TBD

name: relationshipType

dataType: string
status: current

description: A set of types that is in every instance of a relationship subject to highlight what kind of relationship exists between the subject the relationship

is included in (e.g. associated\_with\_user,

applies\_to\_session, seen\_on\_interface, associated\_with\_flow,

contains\_virtual\_device).

## 7.82. roleName

elementId: TBD
name: roleName
dataType: string
status: current

description: A label that references a collection of privileges assigned to a specific entity (identity?

FIXME).

## 7.83. sessionStateType

elementId: TBD

name: sessionStateType

dataType: string
status: current

description: A set of types a discernible session (an

ongoing network interaction) can be in (e.g. Authenticating, Authenticated, Postured, Started,

-' Tobelle Carrier State of the Carrier State of th

Disconnected).

### 7.84. statementGuid

elementId: TBD name: statementGuid dataType: string status: current

description: A label that expresses a global unique ID referencing a specific SACM statement that was

produced by a SACM component.

## 7.85. statementType

elementId: TBD name: statementType dataType: string status: current

description: A set of types that define the type of content that is included in a SACM statement (e.g. Observation, DirectoryContent, Correlation, Assessment,

Guidance).

#### 7.86. status

elementId: TBD name: status dataType: string status: current

description: A set of types that defines possible result values for a finding in general (e.g. true, false, error, unknown, not applicable, not evaluated).

## 7.87. subAdministrativeDomain

elementId: TBD

name: subAdministrativeDomain

dataType: string status: current

description: A label for related child domains an administrative domain can be composed of (used in the

subject administrative-domain)

#### 7.88. subInterfaceLabel

name: subInterfaceLabel

dataType: string status: current

description: A unique label a sub network interface (e.g. a tagged vlan on a trunk) can be referenced

with.

## 7.89. superAdministrativeDomain

elementId: TBD

name: superAdministrativeDomain

dataType: string status: current

description: a label for related parent domains an

administrative domain is part of (used in the subject s.administrative-domain).

## 7.90. superInterfaceLabel

elementId: TBD

name: superInterfaceLabel

dataType: string status: current

description: a unique label a super network interface

(e.g. a physical interface a tunnel

interface terminates on) can be referenced

with.

# 7.91. teAssessmentState

elementId: TBD

name: teAssessmentState

dataType: string status: current

description: a set of types that defines the state of

assessment of a target-endpoint (e.g.

in-discovery, discovered, in-classification,

classified, in-assessment, assessed).

#### 7.92. teLabel

elementId: TBD name: teLabel dataType: string status: current

description: an identifying label created from a set

of identifying attributes used to reference

a specific target endpoint.

### 7.93. teId

elementId: TBD name: teId

dataType: string status: current

description: an identifying label that is created randomly, is supposed to be unique, and used to reference a specific target

endpoint.

## 7.94. timestampType

elementId: TBD name: timestampType dataType: string status: current

description: a set of types that express what type of action or event happened at that point of time (e.g. discovered, classified, collected, published). Can be included in

a generic s.timestamp subject.

## 7.95. unitsReceived

elementId: TBD name: unitsReceived dataType: string status: current

description: a value that represents a number of units (e.g. frames, packets, cells or segments)

received on a network interface.

### 7.96. unitsSent

elementId: TBD name: unitsSent dataType: string status: current

description: a value that represents a number of units

(e.g. frames, packets, cells or segments)

sent on a network interface.

## 7.97. userDirectory

elementId: TBD name: userDirectory dataType: string status: current

description: a label that identifies a specific type

of user-directory (e.g. ldap, active-directory,

local-user).

### 7.98. sacmUserId

elementId: TBD name: sacmUserId dataType: string status: current

description: a label that references a specific user

known in a SACM domain.

# 7.99. webSite

elementId: TBD name: webSite dataType: string status: current

description: a URI that references a web-site.

# 7.100. WGS84Longitude

elementId: TBD

name: WGS84Longitude dataType: float64 status: current

description: a label that represents WGS 84 rev 2004

longitude.

## 7.101. WGS84Latitude

elementId: TBD name: WGS84Latitude dataType: float64 status: current

description: a label that represents WGS 84 rev 2004

latitude.

#### 7.102. WGS84Altitude

elementId: TBD name: WGS84Altitude dataType: float64 status: current

description: a label that represents WGS 84 rev 2004

altitude.

## 7.103. hardwareSerialNumber

elementId: TBD

name: hardwareSerialNumber

dataType: string status: current

description: A globally unique identifier for a particular

piece of hardware assigned by the vendor.

### 7.104. interfaceName

elementId: TBD

name: interfaceName dataType: string status: current

description: A short name uniquely describing an interface,

eg "Eth1/0". See [RFC2863] for the definition

of the ifName object.

#### 7.105. interfaceIndex

name: interfaceIndex dataType: unsigned32

status: current

description: The index of an interface installed on an endpoint.

The value matches the value of managed object

'ifIndex' as defined in [RFC2863]. Note that ifIndex values are not assigned statically to an interface and that the interfaces may be renumbered every time the device's management system is re-initialized,

as specified in [RFC2863].

## 7.106. interfaceMacAddress

elementId: TBD

name: interfaceMacAddress

dataType: macAddress

status: current

description: The IEEE 802 MAC address associated with a network

interface on an endpoint.

## 7.107. interfaceType

elementId: TBD

name: interfaceType dataType: unsigned32

status: current

description: The type of a network interface. The value matches

the value of managed object 'ifType' as defined in

[IANA registry ianaiftype-mib].

## 7.108. interfaceFlags

name: interfaceFlags dataType: unsigned16

status: current

description: This information element specifies the flags

associated with a network interface. Possible

values include:

; 0x1 ; Interface is up. structure: Up

> Broadcast ; 0x2 ; Broadcast address valid.

Debuq ; 0x4 ; Turn on debugging. Loopback ; 0x8 ; Is a loopback net.

Point-to-point ; 0x10 ; Interface is point-to-point link.

No trailers ; 0x20 ; Avoid use of trailers.

Resources allocated; 0x40; Resources allocated.

; 0x80 ; No address resolution protocol. No ARP

Receive all ; 0x100 ; Receive all packets.

## 7.109. networkInterface

elementId: TBD

name: networkInterface dataType: orderedList

status: current

description: Information about a network interface

installed on an endpoint. The following high-level digram describes the structure of networkInterface information

element.

structure: orderedList(interfaceName, interfaceIndex, macAddress,

interfaceType, flags)

#### 7.110. softwareIdentifier

elementId: TBD

name: softwareIdentifier

dataType: string status: current

description: A globally unique identifier for a particular

software application.

#### 7.111. softwareTitle

elementId: TBD name: softwareTitle dataType: string status: current

description: The title of the software application.

### 7.112. softwareCreator

elementId: TBD

name: softwareCreator
dataType: string

status: current

description: The software developer (e.g., vendor or author).

## 7.113. simpleSoftwareVersion

elementId: TBD

name: simpleSoftwareVersion

dataType: string
status: current

description: The version string for a software application that

conforms to the format of a list of hierarchical

non-negative integers separated by a single character

delimiter format.

## 7.114. rpmSoftwareVersion

elementId: TBD

name: rpmSoftwareVersion

dataType: string
status: current

description: The version string for a software application that

conforms to the EPOCH: VERSION-RELEASE format.

### 7.115. ciscoTrainSoftwareVersion

elementId: TBD

name: ciscoTrainSoftwareVersion

dataType: string
status: current

description: The version string for a software application that

conforms to the Cisco IOS Train string format.

#### 7.116. softwareVersion

name: softwareVerison
dataType: category
status: current

description: The version of the software application. Software applications may be versioned using a number of schemas. The following high-level digram describes the structure of the softwareVersion information

element.

structure: category(simpleSoftwareVersion | rpmSoftwareVersion |

ciscoTrainSoftwareVersion)

#### 7.117. softwareLastUpdated

elementId: TBD

name: softwareLastUpdated
dataType: dateTimeSeconds

status: current

description: The date and time when the software instance

was last updated on the system (e.g., new

version instlalled or patch applied)

#### 7.118. softwareInstance

elementId: TBD

name: softwareInstance
dataType: orderedList

status: current

description: Information about an instance of software installed on an endpoint. The following high-level digram describes the structure of

softwareInstance information element.

softwareLastUpdated)

## 7.119. globallyUniqueIdentifier

elementId: TBD

name: globallyUniqueIdentifier

dataType: unsigned8
status: current
description: TODO.

# 7.120. creationTimestamp

elementId: TBD

name: creationTimestamp
dataType: dateTimeSeconds

status: current

description: The date and time when the posture

information was created by a SACM Component.

## 7.121. collectionTimestamp

elementId: TBD

name: collectionTimestamp
dataType: dateTimeSeconds

status: current

description: The date and time when the posture

information was collected or observed by a SACM

Component.

## 7.122. publicationTimestamp

elementId: TBD

name: publicationTimestamp
dataType: dateTimeSeconds

status: current

description: The date and time when the posture

information was published.

### 7.123. relayTimestamp

elementId: TBD

name: relayTimestamp

dataType: dateTimeSeconds

status: current

description: The date and time when the posture

information was relayed to another SACM Component.

## 7.124. storageTimestamp

elementId: TBD

name: storageTimestamp
dataType: dateTimeSeconds

status: current

description: The date and time when the posture

information was stored in a Repository.

## 7.125. type

elementId: TBD name: type

dataType: enumeration

status: current

description: The type of data model use to represent

some set of endpoint information. The following

table lists the set of data models supported by SACM.

structure: TBD

## 7.126. protocolIdentifier

elementId: TBD

name: protocolIdentifier dataType: unsigned8 status: current

description: The value of the protocol number in the IP packet header. The protocol number identifies the IP packet payload type. Protocol numbers are defined in the IANA Protocol Numbers registry.

> In Internet Protocol version 4 (IPv4), this is carried in the Protocol field. In Internet Protocol version 6 (IPv6), this is carried in the Next Header field in the last extension header of the packet.

## 7.127. sourceTransportPort

elementId: TBD

name: sourceTransportPort dataType: unsigned16

status: current

description: The source port identifier in the transport header. For the transport protocols UDP, TCP, and SCTP, this is the source port number given in the respective header. This field MAY also be used for future transport protocols that have 16-bit source port

identifiers.

#### 7.128. sourceIPv4PrefixLength

elementId: TBD

name: sourceIPv4PrefixLength

dataType: unsigned8 status: current

description: The number of contiguous bits that are relevant in

the sourceIPv4Prefix Information Element.

### 7.129. ingressInterface

elementId: TBD

name: ingressInterface dataType: unsigned32

status: current

description: The index of the IP interface where packets of this Flow are being received. The value matches the value of managed object 'ifIndex' as defined in [RFC2863]. Note that ifIndex values are not assigned statically to an interface and that the interfaces may be renumbered every time the device's management system is re-initialized, as specified in [RFC2863].

## 7.130. destinationTransportPort

elementId: TBD

name: destinationTransportPort

dataType: unsigned16

status: current

description: The destination port identifier in the transport header. For the transport protocols UDP, TCP, and SCTP, this is the destination port number given in the respective header. This field MAY also be used for future transport protocols that have 16-bit

destination port identifiers.

### 7.131. sourceIPv6PrefixLength

elementId: TBD

name: sourceIPv6PrefixLength

dataType: unsigned8 status: current

description: The number of contiguous bits that are relevant in

the sourceIPv6Prefix Information Element.

#### 7.132. sourceIPv4Prefix

elementId: TBD

name: sourceIPv4Prefix dataType: ipv4Address

status: current

description: IPv4 source address prefix.

### 7.133. destinationIPv4Prefix

elementId: TBD

name: destinationIPv4Prefix

dataType: ipv4Address

status: current

description: IPv4 destination address prefix.

### 7.134. sourceMacAddress

elementId: TBD

name: sourceMacAddress
dataType: macAddress

status: current

description: The IEEE 802 source MAC address field.

### 7.135. ipVersion

elementId: TBD
name: ipVersion
dataType: unsigned8
status: current

description: The IP version field in the IP packet header.

### 7.136. interfaceDescription

elementId: TBD

name: interfaceDescription

dataType: string
status: current

description: The description of an interface, eg "FastEthernet

1/0" or "ISP

connection".

## 7.137. applicationDescription

elementId: TBD

name: applicationDescription

dataType: string
status: current

description: Specifies the description of an application.

## 7.138. applicationId

name: applicationId dataType: octetArray

status: current

description: Specifies an Application ID per [RFC6759].

# 7.139. applicationName

elementId: TBD

name: applicationName

dataType: string status: current

description: Specifies the name of an application.

## 7.140. exporterIPv4Address

elementId: TBD

name: exporterIPv4Address dataType: ipv4Address

status: current

description: The IPv4 address used by the Exporting Process.

This is used by the Collector to identify the

Exporter in cases where the identity of the Exporter

may have been obscured by the use of a proxy.

### 7.141. exporterIPv6Address

elementId: TBD

name: exporterIPv6Address dataType: ipv6Address

status: current

description: The IPv6 address used by the Exporting Process.

This is used by the Collector to identify the Exporter in cases where the identity of the Exporter may have been obscured by the use of a

proxy.

### 7.142. portId

elementId: TBD name: portId

dataType: unsigned32

status: current

description: An identifier of a line port that is unique per

IPFIX Device hosting an Observation Point.

Typically, this Information Element is used for limiting the scope of other Information Elements.

### 7.143. templateId

elementId: TBD name: templateId dataType: unsigned16

status: current

description: An identifier of a Template that is locally unique within a combination of a Transport session and an Observation Domain.

> Template IDs 0-255 are reserved for Template Sets, Options Template Sets, and other reserved Sets yet to be created. Template IDs of Data Sets are numbered from 256 to 65535.

Typically, this Information Element is used for limiting the scope of other Information Elements. Note that after a re-start of the Exporting Process Template identifiers may be re-assigned.

#### 7.144. collectorIPv4Address

elementId: TBD

name: collectorIPv4Address

dataType: ipv4Address

status: current

description: An IPv4 address to which the Exporting Process sends

Flow information.

## 7.145. collectorIPv6Address

elementId: TBD

name: collectorIPv6Address

dataType: ipv6Address

status: current

description: An IPv6 address to which the Exporting Process sends

Flow information.

#### 7.146. informationElementIndex

name: informationElementIndex

dataType: unsigned16

status: current

description: A zero-based index of an Information Element

referenced by informationElementId within a Template

referenced by templateId; used to disambiguate scope for templates containing multiple identical

Information Elements.

# 7.147. informationElementId

elementId: TBD

name: informationElementId

dataType: unsigned16

status: current

description: This Information Element contains the ID of another

Information Element.

## 7.148. informationElementDataType

elementId: TBD

name: informationElementDataType

dataType: unsigned8 status: current

description: A description of the abstract data type of an IPFIX

information element. These are taken from the abstract data types defined in section 3.1 of the IPFIX Information Model [RFC5102]; see that section for more information on the types described in the

informationElementDataType sub-registry.

These types are registered in the IANA IPFIX Information Element Data Type subregistry. This subregistry is intended to assign numbers for type names, not to provide a mechanism for adding data types to the IPFIX Protocol, and as such requires a Standards Action [RFC5226] to modify.

## 7.149. informationElementDescription

name: informationElementDescription

dataType: string status: current

description: A UTF-8 [RFC3629] encoded Unicode string containing

a human-readable description of an Information

Element. The content of the

informationElementDescription MAY be annotated with one or more language tags [RFC4646], encoded in-line [RFC2482] within the UTF-8 string, in order to specify the language in which the description is written. Description text in multiple languages MAY tag each section with its own language tag; in this case, the description information in each language SHOULD have equivalent meaning. In the absence of any language tag, the "i-default" [RFC2277] language SHOULD be assumed. See the Security Considerations

section for notes on string handling for Information

Element type records.

### 7.150. informationElementName

elementId: TBD

name: informationElementName

dataType: string status: current

description: A UTF-8 [RFC3629] encoded Unicode string containing

the name of an Information Element, intended as a simple identifier. See the Security Considerations section for notes on string handling for Information

Element type records.

## 7.151. informationElementRangeBegin

elementId: TBD

name: informationElementRangeBegin

dataType: unsigned64

status: current

description: Contains the inclusive low end of the range of

acceptable values for an Information Element.

# 7.152. informationElementRangeEnd

name: informationElementRangeEnd

dataType: unsigned64

status: current

description: Contains the inclusive high end of the range of acceptable values for an Information Element.

### 7.153. informationElementSemantics

elementId: TBD

name: informationElementSemantics

dataType: unsigned8 status: current

description: A description of the semantics of an IPFIX Information Element. These are taken from the data type semantics defined in section 3.2 of the IPFIX Information Model [RFC5102]; see that section for more information on the types defined in the informationElementSemantics sub-registry. field may take the values in Table; the special value 0x00 (default) is used to note that no semantics apply to the field; it cannot be manipulated by a Collecting Process or File Reader that does not understand it a priori.

> These semantics are registered in the IANA IPFIX Information Element Semantics subregistry. This subregistry is intended to assign numbers for semantics names, not to provide a mechanism for adding semantics to the IPFIX Protocol, and as such requires a Standards Action [RFC5226] to modify.

#### 7.154. informationElementUnits

name: informationElementUnits

dataType: unsigned16

status: current

description: A description of the units of an IPFIX Information Element. These correspond to the units implicitly

defined in the Information Element definitions in section 5 of the IPFIX Information Model [RFC5102]; see that section for more information on the types

described in the informationElementsUnits

sub-registry. This field may take the values in Table 3 below; the special value 0x00 (none) is used to note that the field is unitless.

These types are registered in the IANA IPFIX Information Element Units subregistry; new types may be added on a First Come First Served [RFC5226] basis.

## 7.155. applicationCategoryName

elementId: TBD

name: applicationCategoryName

dataType: string status: current

description: An attribute that provides a first level

categorization for each Application ID.

### 7.156. mibObjectValueInteger

elementId: TBD

name: mibObjectValueInteger

dataType: signed64 status: current

description: An IPFIX Information Element which denotes that the

integer value of a MIB object will be exported. The MIB Object Identifier ("mibObjectIdentifier") for this field MUST be exported in a MIB Field Option or via another means. This Information Element is used for MIB objects with the Base Syntax of Integer 32 and INTEGER with IPFIX Reduced Size Encoding used as required. The value is encoded as per the standard IPFIX Abstract Data Type

of signed64.

# 7.157. mibObjectValueOctetString

elementId: TBD

name: mibObjectValueOctetString

dataType: octetArray

status: current

description: An IPFIX Information Element which denotes that an Octet String or Opaque value of a MIB object will be exported. The MIB Object Identifier

("mibObjectIdentifier") for this field MUST be exported in a MIB Field Option or via another means. This Information Element is used for MIB objects with the Base Syntax of OCTET STRING and Opaque. The value is encoded as per the standard IPFIX Abstract Data Type of octetArray.

# 7.158. mibObjectValueOID

elementId: TBD

name: mibObjectValueOID dataType: octetArray

status: current

description: An IPFIX Information Element which denotes that an Object Identifier or OID value of a MIB object will be exported. The MIB Object Identifier ("mibObjectIdentifier") for this field MUST be exported in a MIB Field Option or via another means. This Information Element is used for MIB objects with the Base Syntax of OBJECT IDENTIFIER. Note -In this case the "mibObjectIdentifier" will define which MIB object is being exported while the value contained in this Information Element will be an OID as a value. The mibObjectValueOID Information Element is encoded as ASN.1/BER [BER] in an

octetArray.

## 7.159. mibObjectValueBits

name: mibObjectValueBits dataType: octetArray

status: current

description: An IPFIX Information Element which denotes that a set of Enumerated flags or bits from a MIB object

will be exported. The MIB Object Identifier ("mibObjectIdentifier") for this field MUST be exported in a MIB Field Option or via another means. This Information Element is used for MIB objects with the Base Syntax of BITS. The flags or bits are encoded as per the standard IPFIX Abstract Data Type of octetArray, with sufficient length to accommodate the required number of bits. If the number of bits is not an integer multiple of octets then the most significant bits at end of the octetArray MUST be set to zero.

## 7.160. mibObjectValueIPAddress

elementId: TBD

name: mibObjectValueIPAddress

dataType: ipv4Address

status: current

description: An IPFIX Information Element which denotes that the

IPv4 Address of a MIB object will be exported. The MIB Object Identifier ("mibObjectIdentifier") for this field MUST be exported in a MIB Field Option or via another means. This Information Element is

used for MIB objects with the Base Syntax of

IPaddress. The value is encoded as per the standard

IPFIX Abstract Data Type of ipv4Address.

## 7.161. mibObjectValueCounter

name: mibObjectValueCounter

dataType: unsigned64

status: current

description: An IPFIX Information Element which denotes that the counter value of a MIB object will be exported. The MIB Object Identifier ("mibObjectIdentifier") for this field MUST be exported in a MIB Field Option or via another means. This Information Element is used for MIB objects with the Base Syntax of Counter32 or Counter64 with IPFIX Reduced Size Encoding used as required. The value is encoded as per the standard IPFIX Abstract Data Type

of unsigned64.

## 7.162. mibObjectValueGauge

elementId: TBD

name: mibObjectValueGauge

dataType: unsigned32

status: current

description: An IPFIX Information Element which denotes that the Gauge value of a MIB object will be exported. The MIB Object Identifier ("mibObjectIdentifier") for

this field MUST be exported in a MIB Field Option or via another means. This Information Element is used for MIB objects with the Base Syntax of Gauge 32. The value is encoded as per the standard IPFIX Abstract Data Type of unsigned64. This value will represent a non-negative integer, which may increase

or decrease, but shall never exceed a maximum value, nor fall below a minimum value.

## 7.163. mibObjectValueTimeTicks

elementId: TBD

name: mibObjectValueTimeTicks

dataType: unsigned32

status: current

description: An IPFIX Information Element which denotes that the

TimeTicks value of a MIB object will be exported. The MIB Object Identifier ("mibObjectIdentifier") for this field MUST be exported in a MIB Field Option or via another means. This Information Element is used for MIB objects with the Base Syntax of TimeTicks. The value is encoded as per the standard IPFIX Abstract Data Type of unsigned32.

## 7.164. mibObjectValueUnsigned

elementId: TBD

name: mibObjectValueUnsigned

dataType: unsigned64

status: current

description: An IPFIX Information Element which denotes that an

unsigned integer value of a MIB object will be

exported. The MIB Object Identifier

("mibObjectIdentifier") for this field MUST be

exported in a MIB Field Option or via another means. This Information Element is used for MIB objects with the Base Syntax of unsigned64 with IPFIX Reduced Size Encoding used as required. The value is encoded as per the standard IPFIX Abstract Data Type

of unsigned64.

## 7.165. mibObjectValueTable

elementId: TBD

name: mibObjectValueTable dataType: orderedList

status: current

description: An IPFIX Information Element which denotes that a

complete or partial conceptual table will be

exported. The MIB Object Identifier

("mibObjectIdentifier") for this field MUST be

exported in a MIB Field Option or via another means. This Information Element is used for MIB objects with a SYNTAX of SEQUENCE. This is encoded as a subTemplateList of mibObjectValue Information

Elements. The template specified in the

subTemplateList MUST be an Options Template and MUST include all the Objects listed in the INDEX

clause as Scope Fields.

orderedList(mibObjectValueRow+) structure:

## 7.166. mibObjectValueRow

name: mibObjectValueRow dataType: orderedList

status: current

description: An IPFIX Information Element which denotes that a

single row of a conceptual table will be exported. The MIB Object Identifier ("mibObjectIdentifier") for this field MUST be exported in a MIB Field Option or via another means. This Information Element is used for MIB objects with a SYNTAX of SEQUENCE. This is encoded as a subTemplateList of

mibObjectValue Information Elements. The

subTemplateList exported MUST contain exactly one row (i.e., one instance of the subtemplate). The template specified in the subTemplateList MUST be

an Options Template and MUST include all the

Objects listed in the INDEX clause as Scope Fields.

structure: orderedList(mibObjectValue+)

## 7.167. mibObjectIdentifier

elementId: TBD

name: mibObjectIdentifier

dataType: octetArray

status: current

description: An IPFIX Information Element which denotes that a

MIB Object Identifier (MIB OID) is exported in the (Options) Template Record. The mibObjectIdentifier Information Element contains the OID assigned to

the MIB Object Type Definition encoded as

ASN.1/BER [BER].

## 7.168. mibSubIdentifier

elementId: TBD

name: mibSubIdentifier dataType: unsigned32

status: current

description: A non-negative sub-identifier of an Object

Identifier (OID).

## 7.169. mibIndexIndicator

name: mibIndexIndicator dataType: unsigned64

status: current

description: This set of bit fields is used for marking the Information Elements of a Data Record that serve as INDEX MIB objects for an indexed Columnar MIB object. Each bit represents an Information Element in the Data Record with the n-th bit representing the n-th Information Element. A bit set to value 1 indicates that the corresponding Information Element is an index of the Columnar Object represented by

> the mibFieldValue. A bit set to value 0 indicates that this is not the case.

If the Data Record contains more than 64 Information Elements, the corresponding Template SHOULD be designed such that all INDEX Fields are among the first 64 Information Elements, because the mibIndexIndicator only contains 64 bits. If the Data Record contains less than 64 Information Elements, then the extra bits in the mibIndexIndicator for which no corresponding Information Element exists MUST have the value 0, and must be disregarded by the Collector. This Information Element may be exported with IPFIX Reduced Size Encoding.

### 7.170. mibCaptureTimeSemantics

name: mibCaptureTimeSemantics

dataType: unsigned8 status: current

description: Indicates when in the lifetime of the flow the MIB value was retrieved from the MIB for a mibObjectIdentifier. This is used to indicate if the value exported was collected from the MIB closer to flow creation or flow export time and will refer to the Timestamp fields included in the same record. This field SHOULD be used when exporting a mibObjectValue that specifies counters

> If the MIB value was sampled by SNMP prior to the IPFIX Metering Process or Exporting Process retrieving the value (i.e., the data is already stale) and it's important to know the exact sampling time, then an additional observationTime\* element should be paired with the OID using structured data. Similarly, if different mibCaptureTimeSemantics apply to different mibObject elements within the Data Record, then individual mibCaptureTimeSemantics should be paired with each OID using structured data.

#### Values:

0. undefined

or statistics.

- 1. begin The value for the MIB object is captured from the MIB when the Flow is first observed
- 2. end The value for the MIB object is captured from the MIB when the Flow ends
- export The value for the MIB object is captured from the MIB at export time
- 4. average The value for the MIB object is an average of multiple captures from the MIB over the observed life of the Flow

## 7.171. mibContextEngineID

elementId: TBD

name: mibContextEngineID dataType: octetArray

status: current

description: A mibContextEngineID that specifies the SNMP engine

ID for a MIB field being exported over IPFIX.

Definition as per [RFC3411] section 3.3.

## 7.172. mibContextName

elementId: TBD

name: mibContextName
dataType: string
status: current

description: This Information Element denotes that a MIB Context

Name is specified for a MIB field being exported

over IPFIX. Reference [RFC3411] section 3.3.

## 7.173. mibObjectName

elementId: TBD

name: mibObjectName
dataType: string
status: current

description: The name (called a descriptor in [RFC2578]

of an object type definition.

# 7.174. mibObjectDescription

elementId: TBD

name: mibObjectDescription

dataType: string
status: current

description: The value of the DESCRIPTION clause of an MIB object

type definition.

### 7.175. mibObjectSyntax

elementId: TBD

name: mibObjectSyntax

dataType: string
status: current

description: The value of the SYNTAX clause of an MIB object type

definition, which may include a Textual Convention

or Subtyping. See [RFC2578].

#### 7.176. mibModuleName

elementId: TBD

name: mibModuleName
dataType: string
status: current

description: The textual name of the MIB module that defines a MIB

Object.

## 7.177. interface

elementId: TBD name: interface dataType: list

structure: list (interfaceName, hwAddress, inetAddr, netmask)

status: current

description: Represents an interface and its configuration

options.

#### 7.178. iflisteners

elementId: TBD name: iflisteners dataType: list

structure: list (interfaceName, physicalProtocol, hwAddress,

programName, pid, userId)

status: current

description: Stores the results of checking for applications that

are bound to an ethernet interface on the system.

## 7.179. physicalProtocol

elementId: TBD

name: physicalProtocol dataType: enumeration

structure:

ETH\_P\_LOOP ; 0x1 ; Ethernet loopback packet.

ETH\_P\_PUP; 0x2; Xerox PUP packet.

ETH P PUPAT ; 0x3 ; Xerox PUP Address Transport packet.

ETH\_P\_IP ; 0x4 ; Internet protocol packet.

ETH P X25 ; 0x5 ; CCITT X.25 packet.

ETH\_P\_ARP ; 0x6 ; Address resolution packet.

ETH\_P\_BPQ ; 0x7 ; G8BPQ AX.25 ethernet packet.

ETH P IEEEPUP; 0x8; Xerox IEEE802.3 PUP packet.

ETH P IEEEPUPAT ; 0x9 ; Xerox IEEE802.3 PUP address transport packet.

ETH\_P\_DEC ; 0xA ; DEC assigned protocol.

ETH\_P\_DNA\_DL ; 0xB ; DEC DNA Dump/Load.

ETH\_P\_DNA\_RC ; 0xC ; DEC DNA Remote Console.

ETH P DNA RT; 0xD; DEC DNA Routing.

ETH\_P\_LAT ; 0xE ; DEC LAT.

ETH\_P\_DIAG ; 0xF ; DEC Diagnostics.

ETH\_P\_CUST ; 0x10 ; DEC Customer use.

ETH\_P\_SCA ; 0x11 ; DEC Systems Comms Arch.

ETH P\_RARP ; 0x12 ; Reverse address resolution packet.

ETH\_P\_ATALK ; 0x13 ; Appletalk DDP.

ETH\_P\_AARP; 0x14; Appletalk AARP.

```
ETH_P_8021Q ; 0x15 ; 802.1Q VLAN Extended Header.
ETH_P_IPX ; 0x16 ; IPX over DIX.
ETH_P_IPV6 ; 0x17 ; IPv6 over bluebook.
ETH P SLOW; 0x18; Slow Protocol. See 802.3ad 43B.
ETH_P_WCCP; 0x19; Web-cache coordination protocol.
ETH_P_PPP_DISC ; 0x1A ; PPPoE discovery messages.
ETH_P_PPP_SES ; 0x1B ; PPPoE session messages.
ETH_P_MPLS_UC ; 0x1C ; MPLS Unicast traffic.
ETH P MPLS MC; 0x1D; MPLS Multicast traffic.
ETH P ATMMPOA; 0x1E; MultiProtocol Over ATM.
ETH P ATMFATE; 0x1F; Frame-based ATM Transport over Ethernet.
ETH_P_AOE ; 0x20 ; ATA over Ethernet.
ETH_P_TIPC ; 0x21 ; TIPC.
ETH P 802 3; 0x22; Dummy type for 802.3 frames.
ETH_P_AX25 ; 0x23 ; Dummy protocol id for AX.25.
ETH_P_ALL ; 0x24 ; Every packet.
ETH_P_802_2 ; 0x25 ; 802.2 frames.
ETH_P_SNAP ; 0x26 ; Internal only.
ETH_P_DDCMP; 0x27; DEC DDCMP: Internal only
ETH P WAN PPP; 0x28; Dummy type for WAN PPP frames.
ETH_P_PPP_MP; 0x29; Dummy type for PPP MP frames.
ETH_P_PPPTALK ; 0x2A ; Dummy type for Atalk over PPP.
ETH_P_LOCALTALK ; 0x2B ; Localtalk pseudo type.
ETH_P_TR_802_2 ; 0x2C ; 802.2 frames.
ETH_P_MOBITEX ; 0x2D ; Mobitex.
ETH P CONTROL ; 0x2E ; Card specific control frames.
ETH P IRDA ; 0x2F ; Linux-IrDA.
ETH_P_ECONET; 0x30; Acorn Econet.
ETH P HDLC ; 0x31 ; HDLC frames.
ETH P ARCNET; 0x32; 1A for ArcNet.
             ; 0x33; The empty string value is permitted here
             to allow for detailed error reporting.
status: current
description: The physical layer protocol used by the AF_PACKET
socket.
```

#### 7.180. hwAddress

elementId: TBD name: hwAddress dataType: string status: current

description: The hardware address associated

with the interface.

# 7.181. programName

elementId: TBD name: programName dataType: string status: current

description: The name of the communicating

#### 7.182. userId

elementId: TBD name: userId

dataType: unsigned32

status: current

description: The numeric user id.

## 7.183. inetlisteningserver

elementId: TBD

name: inetlisteningserver

dataType: list

structure: list (transportProtocol, localAddress,

localPort, localFullAddress, programName, foreignAddress,

foreignPort, foreignFullAddress, pid, userId)

status: current

description: Stores the results of checking for network servers currently active on a system. It holds information pertaining to

a specific protocol-address-port combination.

## 7.184. transportProtocol

elementId: TBD

name: transportProtocol

dataType: string status: current

description: The transport-layer

protocol (tcp or udp).

#### 7.185. localAddress

elementId: TBD name: localAddress dataType: ipAddress status: current

description: This is the IP address being listened to. Note that

the IP address can be IPv4 or IPv6.

## 7.186. localPort

elementId: TBD name: localPort

dataType: unsigned32

status: current

description: This is the TCP or UDP port

being listened to.

#### 7.187. localFullAddress

elementId: TBD

name: localFullAddress

dataType: string status: current

description: The IP address and network port on which the program listens, including the local address and the local port. Note

that the IP address can be IPv4 or IPv6.

### 7.188. foreignAddress

elementId: TBD

name: foreignAddress dataType: ipAddress

status: current

description: The IP address with which the program is

communicating, or with which it will communicate. Note that the

IP address can be IPv4 or IPv6.

# 7.189. foreignFullAddress

elementId: TBD

name: foreignFullAddress

dataType: ipAddress status: current

description: The IP address and network port to which the program is communicating or will accept communications from, including the foreign address and foreign port. Note that the IP address can be IPv4 or IPv6.

### 7.190. selinuxboolean

name: selinuxboolean

dataType: list

structure: list (selinuxName, currentStatus,

pendingStatus)

status: current

description: Describes the current and pending status of a

SELinux boolean.

#### 7.191. selinuxName

elementId: TBD name: selinuxName dataType: string status: current

description: The name of the SELinux

boolean.

#### 7.192. currentStatus

elementId: TBD

name: currentStatus dataType: boolean status: current

description: Indicates current state of the specified SELinux boolean.

# 7.193. pendingStatus

elementId: TBD

name: pendingStatus dataType: boolean status: current

description: Indicates the pending

state of the specified SELinux boolean.

# 7.194. selinuxsecuritycontext

elementId: TBD

name: selinuxsecuritycontext

dataType: list

structure: list (filepath, path, filename, pid,

username, role, domainType, lowSensitivity, lowCategory,

highSensitivity, highCategory, rawlowSensitivity, rawlowCategory, rawhighSensitivity, rawhighCategory)

status: current

description: Describes the SELinux security

context of a file or process on the local system.

# 7.195. filepath

elementId: TBD name: filepath dataType: string status: current

description: Specifies the absolute path for a file on the machine. A directory cannot be specified as a filepath.

### 7.196. path

elementId: TBD name: path

dataType: string status: current

description: Specifies the directory component of the absolute path to a file on the machine.

### 7.197. filename

elementId: TBD name: filename dataType: string status: current

description: The name of the file.

## 7.198. pid

elementId: TBD

name: pid

dataType: unsigned32

status: current

description: The process ID of the

process.

### 7.199. role

elementId: TBD name: role

dataType: string status: current

description: Specifies the types that a process may transition to (domain transitions).

# 7.200. domainType

elementId: TBD name: domainType dataType: string status: current

description: Specifies the domain in which the file is accessible

or the domain in which a process executes.

### 7.201. lowSensitivity

elementId: TBD

name: lowSensitivity dataType: string status: current

description: Specifies the current sensitivity of a file or

process.

## 7.202. lowCategory

elementId: TBD name: lowCategory dataType: string status: current

description: Specifies the set of

categories associated with the low sensitivity.

### 7.203. highSensitivity

elementId: TBD

name: highSensitivity

dataType: string status: current

description: Specifies the maximum

range for a file or the clearance for a process.

## 7.204. highCategory

elementId: TBD name: highCategory dataType: string status: current

description: Specifies the set of

categories associated with the high sensitivity.

## 7.205. rawlowSensitivity

elementId: TBD

name: rawlowSensitivity

dataType: string
status: current

description: Specifies the current sensitivity of a file or

process but in its raw context.

## 7.206. rawlowCategory

elementId: TBD

name: rawlowCategory
dataType: string
status: current

description: Specifies the set of categories associated with the

low sensitivity but in its raw context.

## 7.207. rawhighSensitivity

elementId: TBD

name: rawhighSensitivity

dataType: string
status: current

description: Specifies the maximum range for a file or the

clearance for a process but in its raw context.

# 7.208. rawhighCategory

elementId: TBD

name: rawhighCategory

dataType: string
status: current

description: Specifies the set of categories associated with the

high sensitivity but in its raw context.

# 7.209. systemdunitdependency

elementId: TBD

name: systemdunitdependency

dataType: list

structure: list (unit, dependency)

status: current

description: Stores the dependencies of the systemd

unit.

#### 7.210. unit

elementId: TBD name: unit

dataType: string status: current

description: Refers to the full systemd unit name, which has a form of "\$name.\$type". For example "cupsd.service". This name is

usually also the filename of the unit configuration file.

# 7.211. dependency

elementId: TBD name: dependency dataType: string status: current

description: Refers to the name of a unit that was confirmed to

be a dependency of the given unit.

## 7.212. systemdunitproperty

elementId: TBD

name: systemdunitproperty

dataType: list

structure: list (unit, property, systemdunitValue)

status: current

description: Stores the properties and values of a systemd unit.

## 7.213. property

elementId: TBD name: property dataType: string status: current

description: The property associated with a

systemd unit.

## 7.214. systemdunitValue

elementId: TBD

name: systemdunitValue

dataType: string status: current

description: The value of the property associated with a systemd unit. Exactly one value shall be used for all property types except dbus arrays - each array element shall be represented by

one value.

## 7.215. file

elementId: TBD name: file dataType: list

structure: list (filepath, path, filename, fileType, userId,

aTime, cTime, mTime, size)

status: current

description: The metadata associated with a file on the endpoint.

# 7.216. fileType

elementId: TBD name: fileType dataType: string status: current

description: The file's type (e.g., regular file (regular), directory, named pipe (fifo), symbolic link, socket or block

special.)

## 7.217. groupId

elementId: TBD name: groupId

dataType: unsigned32

status: current

description: The group owner of the file, by

group number.

## 7.218. aTime

elementId: TBD name: aTime

dataType: dateTimeSeconds

status: current

description: The time that the file was last

accessed.

#### 7.219. cTime

elementId: TBD name: cTime

dataType: dateTimeSeconds

status: current

description: The time of the last change

to the file's inode.

#### 7.220. mTime

elementId: TBD name: mTime

dataType: dateTimeSeconds

status: current

description: The time of the last change to

the file's contents.

#### 7.221. size

elementId: TBD name: size

dataType: unsigned32

status: current

description: This is the size of the file in

bytes.

### 7.222. suid

elementId: TBD name: suid

dataType: boolean status: current

description: Indicates whether the program runs with the uid (thus privileges) of the file's owner, rather than the calling

user.

### 7.223. sgid

elementId: TBD name: sqid

dataType: boolean status: current

description: Indicates whether the program runs with the gid (thus privileges) of the file's group owner, rather than the

calling user's group.

## 7.224. sticky

elementId: TBD name: sticky

dataType: boolean status: current

description: Indicates whether users can delete each other's files in this directory, when said directory is writable by

those users.

#### 7.225. hasExtendedAcl

elementId: TBD

name: hasExtendedAcl dataType: boolean status: current

description: Indicates whether the file or directory hasACL permissions applied to it. If a system supports ACLs and the file or directory doesn't have an ACL, or it matches the standard UNIX permissions, the entity will have a status of 'exists' and a value of 'false'. If the system supports ACLs and the file or directory has an ACL, the entity will have a status of 'exists' and a value of 'true'. Lastly, if a system doesn't support ACLs, the entity will have a status of 'does not exist'.

#### 7.226. inetd

elementId: TBD name: inetd dataType: list

structure: list (serviceProtocol, serviceName, serverProgram,

serverArguments, endpointType, execAsUser, waitStatus)

status: current

description: Holds information associated with different Internet services.

### 7.227. serverProgram

elementId: TBD

name: serverProgram dataType: string status: current

description: Either the pathname of a server program to be invoked by inetd to perform the requested service, or the value

internal if inetd itself provides the service.

# 7.228. endpointType

elementId: TBD name: endpointType dataType: enumeration

structure:

stream ; 0x1 ; The stream value is used to describe a stream

dgram ; 0x2 ; The dgram value is used to describe a datagram

socket.

raw ; 0x3 ; The raw value is used to describe a raw socket. seqpacket; 0x4; The seqpacket value is used to describe a sequenced packet socket.

tli ; 0x5 ; The tli value is used to describe all TLI endpoints. sunrpc\_tcp ; 0x6 ; The sunrpc\_tcp value is used to describe all SUNRPC TCP endpoints.

sunrpc\_udp ; 0x7 ; The sunrpc\_udp value is used to describe all SUNRPC UDP endpoints.

; 0x8 ; The empty string value is permitted here to allow for detailed error reporting.

status: current

description: The endpoint type (aka, socket type) associated with the service.

#### 7.229. execAsUser

elementId: TBD name: execAsUser dataType: string status: current

description: The user id of the user the server program should run under.

## 7.230. waitStatus

elementId: TBD name: waitStatus

dataType: enumeration

structure: wait; 0x1; The value of 'wait' specifies that the server that is invoked by inetd will take over the listening socket associated with the service, and once launched, inetd will wait for that server to exit, if ever, before it resumes listening for new service requests.

nowait; 0x2; The value of 'nowait' specifies that the server that is invoked by inetd will not wait for any existing server to finish before taking over the listening socket associated with the service.

; 0x3; The empty string value is permitted here to allow for detailed error reporting.

status: current

description: Specifies whether the server that is invoked by inetd will take over the listening socket associated with the service, and whether once launched, inetd will wait for that server to exit, if ever, before it resumes listening for new service requests. The legal values are "wait" or "nowait".

### 7.231. inetAddr

elementId: TBD name: inetAddr

dataType: ipAddress status: current

description: The IP address of the specific interface. Note that

the IP address can be IPv4 or IPv6.

## 7.232. netmask

elementId: TBD name: netmask

dataType: ipAddress status: current

description: The bitmask used to calculate

the interface's IP network.

## 7.233. passwordInfo

elementId: TBD name: passwordInfo dataType: list

structure: list (username, password, userId, groupId, gcos,

homeDir, loginShell, lastLogin)

status: current

description: Describes user account information for a

system.

#### 7.234. username

elementId: TBD name: username dataType: string status: current

description: The name of the user.

## 7.235. password

elementId: TBD name: password dataType: string status: current

description: The encrypted version of the

user's password.

## 7.236. gcos

elementId: TBD name: qcos

dataType: string status: current description:

## 7.237. homeDir

elementId: TBD name: homeDir dataType: string status: current

description: The user's home

directory.

### 7.238. loginShell

elementId: TBD name: loginShell dataType: string status: current

description: The user's shell

program.

## 7.239. lastLogin

elementId: TBD name: lastLogin

dataType: unsigned32

status: current

description: The date and time when the

last login occurred.

## 7.240. process

elementId: TBD name: process dataType: list

structure: list (commandLine, pid, ppid, priority, startTime)

status: current

description: Information about a process running on an endpoint.

## 7.241. commandLine

elementId: TBD name: commandLine dataType: string status: current

description: The string used to start the

process. This includes any parameters that are part of the

command line.

# 7.242. ppid

elementId: TBD name: ppid

dataType: unsigned32

status: current

description: The process ID of the process's

parent process.

# 7.243. priority

elementId: TBD name: priority

dataType: unsigned32

status: current

description: The scheduling priority with

which the process runs.

#### 7.244. startTime

elementId: TBD name: startTime dataType: string status: current

description: The time of day the process

started.

## 7.245. routingtable

elementId: TBD name: routingtable dataType: list

structure: list (destination, gateway, flags,

interfaceName)

status: current

description: Holds information about an individual routing table

entry found in a system's primary routing table.

## 7.246. destination

elementId: TBD name: destination dataType: ipAddress status: current

description: The destination IP address prefix of the routing table entry.

## 7.247. gateway

elementId: TBD name: gateway

dataType: ipAddress status: current

description: The gateway of the specified

routing table entry.

### 7.248. runlevelInfo

elementId: TBD name: runlevelInfo dataType: list

structure: list (serviceName, runlevel, start, kill)

status: current

description: Information about the start or kill state of a

specified service at a given runlevel.

### 7.249. runlevel

elementId: TBD name: runlevel dataType: string status: current

description: Specifies the system runlevel

associated with a service.

### 7.250. start

elementId: TBD name: start

dataType: boolean status: current

description: Specifies whether the service is

scheduled to start at the runlevel.

# 7.251. kill

elementId: TBD name: kill

dataType: boolean status: current

description: Specifies whether the service is scheduled to be killed at the runlevel.

#### 7.252. shadowItem

elementId: TBD name: shadowItem dataType: list

structure: list (username, password, chgLst, chgAllow,

chgReq, expWarn, expInact, expDate, flags, encryptMethod)

status: current description:

### 7.253. chgLst

elementId: TBD name: chgLst

dataType: dateTimeSeconds

status: current

description: The date of the last password

### 7.254. chqAllow

elementId: TBD name: chgAllow

dataType: unsigned32

status: current

description: Specifies how often in days a

user may change their password. It can also be thought of

as the minimum age of a password.

## 7.255. chgReq

elementId: TBD name: chgReq

dataType: unsigned32

status: current

description: Describes how long a user can

keep a password before the system forces her to change it.

### 7.256. expWarn

elementId: TBD name: expWarn

dataType: unsigned32

status: current

description: Describes how long before

password expiration the system begins warning the user.

# 7.257. expInact

elementId: TBD name: expInact

dataType: unsigned32

status: current

description: Describes how many days of

account inactivity the system will wait after a password

expires before locking the account.

### 7.258. expDate

elementId: TBD name: expDate

dataType: dateTimeSeconds

status: current

description: Specifies when will the

account's password expire.

## 7.259. encryptMethod

elementId: TBD

name: encryptMethod dataType: enumeration

structure: DES; 0x1; The DES method corresponds to the (none)

prefix.

BSDi ; 0x2 ; The BSDi method corresponds to BSDi modified DES or the  $'\_'$  prefix.

MD5 ; 0x3 ; The MD5 method corresponds to MD5 for Linux/BSD or the \$1\$ prefix.

Blowfish; 0x4; The Blowfish method corresponds to Blowfish (OpenBSD) or the \$2\$ or \$2a\$ prefixes.

Sun MD5; 0x5; The Sun MD5 method corresponds to the \$md5\$

SHA-256; 0x6; The SHA-256 method corresponds to the \$5\$ prefix.

SHA-512; 0x7; The SHA-512 method corresponds to the \$6\$ prefix.; 0x8; The empty string value is permitted here to allow for empty elements associated with variable references.

status: current

description: Describes method that is used for hashing passwords.

# 7.260. symlink

elementId: TBD name: symlink dataType: list

structure: list (symlinkFilepath, canonicalPath)

status: current

description: Identifies the result generated for a symlink.

### 7.261. symlinkFilepath

name: symlinkFilepath

dataType: string
status: current

description: Specifies the filepath to the subject symbolic link file.

## 7.262. canonicalPath

elementId: TBD

name: canonicalPath
dataType: string
status: current

description: Specifies the canonical

path for the target of the symbolic link file specified by

the filepath.

# 7.263. sysctl

elementId: TBD
name: sysctl
dataType: list

structure: list (kernelParameterName, kernelParameterValue+,

uname, machineClass, nodeName, osName, osRelease,

osVersion, processorType)

status: current
description: Stores

information retrieved from the local system about a kernel

parameter and its respective value(s).

### 7.264. kernelParameterName

elementId: TBD

name: kernelParameterName

dataType: string
status: current

description: The name of a kernel

parameter that was collected from the local system.

#### 7.265. kernelParameterValue

elementId: TBD

name: kernelParameterValue

dataType: string
status: current

description: The current value(s)

for the specified kernel parameter on the local system.

### 7.266. uname

elementId: TBD name: uname dataType: list

structure: list (machineClass, nodeName, osName, osRelease,

osVersion, processorType)

status: current

description: Information about the hardware the machine is running

## 7.267. machineClass

elementId: TBD name: machineClass dataType: string status: current

description: Specifies the machine

hardware name.

### 7.268. nodeName

elementId: TBD name: nodeName dataType: string status: current

description: Specifies the host

name.

## 7.269. osName

elementId: TBD name: osName dataType: string status: current

description: Specifies the operating system

name.

### 7.270. osRelease

elementId: TBD name: osRelease dataType: string status: current

description: Specifies the build

version.

# 7.271. processorType

elementId: TBD

name: processorType dataType: string status: current

description: Specifies the processor

#### 7.272. internetService

elementId: TBD

name: internetService

dataType: list

structure: list (serviceProtocol, serviceName, flags, noAccess, onlyFrom, port, server, serverArguments, socketType, registeredServiceType, user, wait, disabled)

status: current

description: Holds information associated with Internet services.

#### 7.273. serviceProtocol

elementId: TBD

name: serviceProtocol dataType: string

status: current

description: Specifies the protocol that is used by the service.

# 7.274. serviceName

elementId: TBD name: serviceName dataType: string status: current

description: Specifies the name of the

service.

### 7.275. flags

elementId: TBD name: flags dataType: string

status: current

description: Specifies miscellaneous settings

associated with the service with executing a program.

### 7.276. noAccess

elementId: TBD name: noAccess dataType: string status: current

description: Specifies the remote hosts to which the service is unavailable.

### 7.277. onlyFrom

elementId: TBD name: onlyFrom dataType: ipAddress status: current

description: Specifies the remote hosts to which the service is available.

## 7.278. port

elementId: TBD name: port

dataType: unsigned32

status: current

description: The port entity specifies the port

used by the service.

# 7.279. server

elementId: TBD name: server dataType: string status: current

description: Specifies the executable that is

used to launch the service.

# 7.280. serverArguments

elementId: TBD

name: serverArguments

dataType: string status: current

description: Specifies the arguments

that are passed to the executable when launching the service.

# 7.281. socketType

elementId: TBD name: socketType dataType: string status: current

description: Specifies the type of socket

that is used by the service. Possible values include: stream,

dgram, raw, or seqpacket.

## 7.282. registeredServiceType

elementId: TBD

name: registeredServiceType

dataType: enumeration

structure: INTERNAL; 0x1; The INTERNAL type is used to describe services like echo, chargen, and others whose functionality is supplied by xinetd itself.

RPC ; 0x2 ; The RPC type is used to describe services that use remote procedure call ala NFS.

UNLISTED; 0x3; The UNLISTED type is used to describe services that aren't listed in /etc/protocols or /etc/rpc. TCPMUX ; 0x4 ; The TCPMUX type is used to describe services that conform to RFC 1078. This type indiciates that the service is responsible for handling the protocol handshake. TCPMUXPLUS; 0x5; The TCPMUXPLUS type is used to describe services that conform to RFC 1078. This type indicates that xinetd is responsible for handling the protocol

; 0x6 ; The empty string value is permitted here to allow for detailed error reporting.

status: current

description: Specifies the type of internet service.

# 7.283. wait

elementId: TBD name: wait

dataType: boolean status: current

description: Specifies whether or not the service is single-threaded or multi-threaded and whether or not xinetd accepts the connection or the service accepts the connection. A value of 'true' indicates that the service is single-threaded and the service will accept the connection. A value of 'false' indicates that the service is multithreaded and xinetd will accept the connection.

### 7.284. disabled

elementId: TBD name: disabled dataType: boolean status: current

description: Specifies whether or not the

service is disabled. A value of 'true' indicates that the

service is disabled and will not start. A value of 'false' indicates that the service is not disabled.

#### 7.285. windowsView

elementId: TBD name: windowsView dataType: enumeration

structure: 32\_bit; 0x1; Indicates the 32\_bit windows view.

64\_bit; 0x2; Indicates the 64\_bit windows view.

; 0x3; The empty string value is permitted here to allow for

empty elements associated with error conditions.

status: current

description: Indicates from which

view (32-bit or 64-bit), the information was collected. A value of '32\_bit' indicates the Item was collected from the 32-bit view. A value of '64-bit' indicates the Item was collected from the 64-bit view.

### 7.286. fileauditedpermissions

elementId: TBD

name: fileauditedpermissions

dataType: list

structure: list (filepath, path, filename,

trusteeSid, trusteeName, auditStandardDelete, auditStandardReadControl, auditStandardWriteDac, auditStandardWriteOwner, auditStandardSynchronize, auditAccessSystemSecurity, auditGenericRead, auditGenericWrite, auditGenericExecute, auditGenericAll, auditFileReadData, auditFileWriteData, auditFileAppendData, auditFileReadEa, auditFileWriteEa, auditFileExecute, auditFileDeleteChild, auditFileReadAttributes, auditFileWriteAttributes, windowsView)

status: current

description: Stores the audited access rights of a file that a system access control list (SACL) structure grants to a specified trustee. The trustee's audited access rights are determined checking all access control entries (ACEs) in the SACL.

# 7.287. trusteeName

elementId: TBD name: trusteeName dataType: string status: current

description: Specifies the trustee name. A

trustee can be a user, group, or program (such as a Windows

service).

#### 7.288. auditStandardDelete

elementId: TBD

name: auditStandardDelete dataType: enumeration

structure: AUDIT\_FAILURE ; 0x1 ; The audit type AUDIT\_FAILURE is

used to perform audits on all unsuccessful occurrences of

specified events when auditing is enabled.

AUDIT\_NONE ; 0x2 ; The audit type AUDIT\_NONE is used to cancel

all auditing options for the specified events.

AUDIT\_SUCCESS ; 0x3 ; The audit type AUDIT\_SUCCESS is used to perform audits on all successful occurrences of the specified events when auditing is enabled.

AUDIT\_SUCCESS\_FAILURE ; 0x4 ; The audit type AUDIT\_SUCCESS\_FAILURE is used to perform audits on all successful and unsuccessful occurrences of the specified events when auditing is enabled. ; 0x5 ; The empty string value is permitted here to allow for detailed error reporting.

status: current

description: The right to delete the object.

## 7.289. auditStandardReadControl

name: auditStandardReadControl

dataType: enumeration

structure: AUDIT\_FAILURE ; 0x1 ; The audit type AUDIT\_FAILURE is

used to perform audits on all unsuccessful occurrences of

specified events when auditing is enabled.

AUDIT\_NONE ; 0x2 ; The audit type AUDIT\_NONE is used to cancel all auditing options for the specified events.

AUDIT\_SUCCESS ; 0x3 ; The audit type AUDIT\_SUCCESS is used to perform audits on all successful occurrences of the specified events when auditing is enabled.

AUDIT\_SUCCESS\_FAILURE ; 0x4 ; The audit type AUDIT\_SUCCESS\_FAILURE is used to perform audits on all successful and unsuccessful occurrences of the specified events when auditing is enabled. ; 0x5; The empty string value is permitted here to allow for detailed error reporting.

status: current

description: The right to read the information in the object's security descriptor, not including the information in the SACL.

### 7.290. auditStandardWriteDac

elementId: TBD

name: auditStandardWriteDac

dataType: enumeration

structure: AUDIT\_FAILURE ; 0x1 ; The audit type AUDIT\_FAILURE is

used to perform audits on all unsuccessful occurrences of

specified events when auditing is enabled.

AUDIT\_NONE ; 0x2 ; The audit type AUDIT\_NONE is used to cancel all auditing options for the specified events.

AUDIT\_SUCCESS ; 0x3 ; The audit type AUDIT\_SUCCESS is used to perform audits on all successful occurrences of the specified events when auditing is enabled.

AUDIT\_SUCCESS\_FAILURE ; 0x4 ; The audit type AUDIT\_SUCCESS\_FAILURE is used to perform audits on all successful and unsuccessful occurrences of the specified events when auditing is enabled. ; 0x5; The empty string value is permitted here to allow for

detailed error reporting.

status: current

description: The right to modify the DACL in the object's security descriptor.

#### 7.291. auditStandardWriteOwner

name: auditStandardWriteOwner

dataType: enumeration

structure: AUDIT\_FAILURE ; 0x1 ; The audit type AUDIT\_FAILURE is

used to perform audits on all unsuccessful occurrences of

specified events when auditing is enabled.

AUDIT\_NONE ; 0x2 ; The audit type AUDIT\_NONE is used to cancel all auditing options for the specified events.

AUDIT\_SUCCESS ; 0x3 ; The audit type AUDIT\_SUCCESS is used to perform audits on all successful occurrences of the specified events when auditing is enabled.

AUDIT\_SUCCESS\_FAILURE ; 0x4 ; The audit type AUDIT\_SUCCESS\_FAILURE is used to perform audits on all successful and unsuccessful occurrences of the specified events when auditing is enabled. ; 0x5; The empty string value is permitted here to allow for detailed error reporting.

status: current

description: The right to change the owner in the object's security descriptor.

## 7.292. auditStandardSynchronize

elementId: TBD

name: auditStandardSynchronize

dataType: enumeration

structure: AUDIT\_FAILURE ; 0x1 ; The audit type AUDIT\_FAILURE is

used to perform audits on all unsuccessful occurrences of

specified events when auditing is enabled.

AUDIT\_NONE ; 0x2 ; The audit type AUDIT\_NONE is used to cancel all auditing options for the specified events.

AUDIT\_SUCCESS ; 0x3 ; The audit type AUDIT\_SUCCESS is used to perform audits on all successful occurrences of the specified events when auditing is enabled.

AUDIT\_SUCCESS\_FAILURE ; 0x4 ; The audit type AUDIT\_SUCCESS\_FAILURE is used to perform audits on all successful and unsuccessful occurrences of the specified events when auditing is enabled. ; 0x5 ; The empty string value is permitted here to allow for detailed error reporting.

status: current

description: The right to use the object for synchronization. This enables a thread to wait until the object is in the signaled state. Some object types do not support this access right.

### 7.293. auditAccessSystemSecurity

name: auditAccessSystemSecurity

dataType: enumeration

structure: AUDIT\_FAILURE ; 0x1 ; The audit type AUDIT\_FAILURE is

used to perform audits on all unsuccessful occurrences of

specified events when auditing is enabled.

AUDIT\_NONE ; 0x2 ; The audit type AUDIT\_NONE is used to cancel all auditing options for the specified events.

AUDIT\_SUCCESS ; 0x3 ; The audit type AUDIT\_SUCCESS is used to perform audits on all successful occurrences of the specified

events when auditing is enabled.

AUDIT\_SUCCESS\_FAILURE ; 0x4 ; The audit type AUDIT\_SUCCESS\_FAILURE is used to perform audits on all successful and unsuccessful occurrences of the specified events when auditing is enabled. ; 0x5; The empty string value is permitted here to allow for

detailed error reporting.

status: current

description: Indicates access to a system access control list (SACL).

#### 7.294. auditGenericRead

elementId: TBD

name: auditGenericRead dataType: enumeration

structure: AUDIT\_FAILURE ; 0x1 ; The audit type AUDIT\_FAILURE is

used to perform audits on all unsuccessful occurrences of

specified events when auditing is enabled.

AUDIT\_NONE ; 0x2 ; The audit type AUDIT\_NONE is used to cancel

all auditing options for the specified events.

AUDIT SUCCESS; 0x3; The audit type AUDIT SUCCESS is used to perform audits on all successful occurrences of the specified events when auditing is enabled.

AUDIT\_SUCCESS\_FAILURE ; 0x4 ; The audit type AUDIT\_SUCCESS\_FAILURE is used to perform audits on all successful and unsuccessful occurrences of the specified events when auditing is enabled. ; 0x5; The empty string value is permitted here to allow for

detailed error reporting.

status: current

description: Read access.

#### 7.295. auditGenericWrite

name: auditGenericWrite dataType: enumeration

structure: AUDIT\_FAILURE ; 0x1 ; The audit type AUDIT\_FAILURE is

used to perform audits on all unsuccessful occurrences of

specified events when auditing is enabled.

AUDIT\_NONE ; 0x2 ; The audit type AUDIT\_NONE is used to cancel all auditing options for the specified events.

AUDIT\_SUCCESS ; 0x3 ; The audit type AUDIT\_SUCCESS is used to perform audits on all successful occurrences of the specified events when auditing is enabled.

AUDIT\_SUCCESS\_FAILURE ; 0x4 ; The audit type AUDIT\_SUCCESS\_FAILURE is used to perform audits on all successful and unsuccessful occurrences of the specified events when auditing is enabled. ; 0x5; The empty string value is permitted here to allow for detailed error reporting.

status: current

description: Write access.

#### 7.296. auditGenericExecute

elementId: TBD

name: auditGenericExecute dataType: enumeration

structure: AUDIT\_FAILURE ; 0x1 ; The audit type AUDIT\_FAILURE is

used to perform audits on all unsuccessful occurrences of

specified events when auditing is enabled.

AUDIT\_NONE ; 0x2 ; The audit type AUDIT\_NONE is used to cancel all auditing options for the specified events.

AUDIT SUCCESS; 0x3; The audit type AUDIT SUCCESS is used to perform audits on all successful occurrences of the specified events when auditing is enabled.

AUDIT\_SUCCESS\_FAILURE ; 0x4 ; The audit type AUDIT\_SUCCESS\_FAILURE is used to perform audits on all successful and unsuccessful occurrences of the specified events when auditing is enabled. ; 0x5; The empty string value is permitted here to allow for detailed error reporting.

status: current

description: Execute access.

#### 7.297. auditGenericAll

name: auditGenericAll dataType: enumeration

structure: AUDIT\_FAILURE ; 0x1 ; The audit type AUDIT\_FAILURE is

used to perform audits on all unsuccessful occurrences of

specified events when auditing is enabled.

AUDIT\_NONE ; 0x2 ; The audit type AUDIT\_NONE is used to cancel all auditing options for the specified events.

AUDIT\_SUCCESS ; 0x3 ; The audit type AUDIT\_SUCCESS is used to perform audits on all successful occurrences of the specified events when auditing is enabled.

AUDIT\_SUCCESS\_FAILURE ; 0x4 ; The audit type AUDIT\_SUCCESS\_FAILURE is used to perform audits on all successful and unsuccessful occurrences of the specified events when auditing is enabled. ; 0x5; The empty string value is permitted here to allow for detailed error reporting.

status: current

description: Read, write, and execute access.

#### 7.298. auditFileReadData

elementId: TBD

name: auditFileReadData dataType: enumeration

structure: AUDIT\_FAILURE ; 0x1 ; The audit type AUDIT\_FAILURE is

used to perform audits on all unsuccessful occurrences of

specified events when auditing is enabled.

AUDIT\_NONE ; 0x2 ; The audit type AUDIT\_NONE is used to cancel all auditing options for the specified events.

AUDIT SUCCESS; 0x3; The audit type AUDIT SUCCESS is used to perform audits on all successful occurrences of the specified events when auditing is enabled.

AUDIT\_SUCCESS\_FAILURE ; 0x4 ; The audit type AUDIT\_SUCCESS\_FAILURE is used to perform audits on all successful and unsuccessful occurrences of the specified events when auditing is enabled. ; 0x5; The empty string value is permitted here to allow for detailed error reporting.

status: current

description: Grants the right to read data from the file.

### 7.299. auditFileWriteData

name: auditFileWriteData dataType: enumeration

structure: AUDIT\_FAILURE ; 0x1 ; The audit type AUDIT\_FAILURE is

used to perform audits on all unsuccessful occurrences of

specified events when auditing is enabled.

AUDIT\_NONE ; 0x2 ; The audit type AUDIT\_NONE is used to cancel all auditing options for the specified events.

AUDIT\_SUCCESS ; 0x3 ; The audit type AUDIT\_SUCCESS is used to perform audits on all successful occurrences of the specified events when auditing is enabled.

AUDIT\_SUCCESS\_FAILURE ; 0x4 ; The audit type AUDIT\_SUCCESS\_FAILURE is used to perform audits on all successful and unsuccessful occurrences of the specified events when auditing is enabled. ; 0x5; The empty string value is permitted here to allow for detailed error reporting.

status: current

description: Grants the right to write data to the file.

# 7.300. auditFileAppendData

elementId: TBD

name: auditFileAppendData dataType: enumeration

structure: AUDIT\_FAILURE ; 0x1 ; The audit type AUDIT\_FAILURE is

used to perform audits on all unsuccessful occurrences of

specified events when auditing is enabled.

AUDIT\_NONE ; 0x2 ; The audit type AUDIT\_NONE is used to cancel all auditing options for the specified events.

AUDIT SUCCESS; 0x3; The audit type AUDIT SUCCESS is used to perform audits on all successful occurrences of the specified events when auditing is enabled.

AUDIT\_SUCCESS\_FAILURE ; 0x4 ; The audit type AUDIT\_SUCCESS\_FAILURE is used to perform audits on all successful and unsuccessful occurrences of the specified events when auditing is enabled. ; 0x5; The empty string value is permitted here to allow for detailed error reporting.

status: current

description: Grants the right to append data to the file.

#### 7.301. auditFileReadEa

name: auditFileReadEa dataType: enumeration

structure: AUDIT\_FAILURE ; 0x1 ; The audit type AUDIT\_FAILURE is

used to perform audits on all unsuccessful occurrences of

specified events when auditing is enabled.

AUDIT\_NONE ; 0x2 ; The audit type AUDIT\_NONE is used to cancel all auditing options for the specified events.

AUDIT\_SUCCESS ; 0x3 ; The audit type AUDIT\_SUCCESS is used to perform audits on all successful occurrences of the specified events when auditing is enabled.

AUDIT\_SUCCESS\_FAILURE ; 0x4 ; The audit type AUDIT\_SUCCESS\_FAILURE is used to perform audits on all successful and unsuccessful occurrences of the specified events when auditing is enabled. ; 0x5; The empty string value is permitted here to allow for detailed error reporting.

status: current

description: Grants the right to read extended attributes.

#### 7.302. auditFileWriteEa

elementId: TBD

name: auditFileWriteEa dataType: enumeration

structure: AUDIT\_FAILURE ; 0x1 ; The audit type AUDIT\_FAILURE is

used to perform audits on all unsuccessful occurrences of

specified events when auditing is enabled.

AUDIT\_NONE ; 0x2 ; The audit type AUDIT\_NONE is used to cancel all auditing options for the specified events.

AUDIT SUCCESS; 0x3; The audit type AUDIT SUCCESS is used to perform audits on all successful occurrences of the specified events when auditing is enabled.

AUDIT\_SUCCESS\_FAILURE ; 0x4 ; The audit type AUDIT\_SUCCESS\_FAILURE is used to perform audits on all successful and unsuccessful occurrences of the specified events when auditing is enabled. ; 0x5; The empty string value is permitted here to allow for detailed error reporting.

status: current

description: Grants the right to write extended attributes.

#### 7.303. auditFileExecute

name: auditFileExecute dataType: enumeration

structure: AUDIT\_FAILURE ; 0x1 ; The audit type AUDIT\_FAILURE is

used to perform audits on all unsuccessful occurrences of

specified events when auditing is enabled.

AUDIT\_NONE ; 0x2 ; The audit type AUDIT\_NONE is used to cancel all auditing options for the specified events.

AUDIT\_SUCCESS ; 0x3 ; The audit type AUDIT\_SUCCESS is used to perform audits on all successful occurrences of the specified events when auditing is enabled.

AUDIT\_SUCCESS\_FAILURE ; 0x4 ; The audit type AUDIT\_SUCCESS\_FAILURE is used to perform audits on all successful and unsuccessful occurrences of the specified events when auditing is enabled. ; 0x5; The empty string value is permitted here to allow for detailed error reporting.

status: current

description: Grants the right to execute a file.

#### 7.304. auditFileDeleteChild

elementId: TBD

name: auditFileDeleteChild

dataType: enumeration

structure: AUDIT\_FAILURE ; 0x1 ; The audit type AUDIT\_FAILURE is

used to perform audits on all unsuccessful occurrences of

specified events when auditing is enabled.

AUDIT\_NONE ; 0x2 ; The audit type AUDIT\_NONE is used to cancel

all auditing options for the specified events.

AUDIT SUCCESS; 0x3; The audit type AUDIT SUCCESS is used to perform audits on all successful occurrences of the specified events when auditing is enabled.

AUDIT\_SUCCESS\_FAILURE ; 0x4 ; The audit type AUDIT\_SUCCESS\_FAILURE is used to perform audits on all successful and unsuccessful occurrences of the specified events when auditing is enabled. ; 0x5; The empty string value is permitted here to allow for detailed error reporting.

status: current

description: Right to delete a directory and all the files it contains (its children), even if the files are read-only.

#### 7.305. auditFileReadAttributes

name: auditFileReadAttributes

dataType: enumeration

structure: AUDIT\_FAILURE ; 0x1 ; The audit type AUDIT\_FAILURE is

used to perform audits on all unsuccessful occurrences of

specified events when auditing is enabled.

AUDIT\_NONE ; 0x2 ; The audit type AUDIT\_NONE is used to cancel

all auditing options for the specified events.

AUDIT\_SUCCESS ; 0x3 ; The audit type AUDIT\_SUCCESS is used to perform audits on all successful occurrences of the specified events when auditing is enabled.

AUDIT\_SUCCESS\_FAILURE ; 0x4 ; The audit type AUDIT\_SUCCESS\_FAILURE is used to perform audits on all successful and unsuccessful occurrences of the specified events when auditing is enabled.

; 0x5; The empty string value is permitted here to allow for detailed error reporting.

status: current

description: Grants the right to read file attributes.

#### 7.306. auditFileWriteAttributes

elementId: TBD

name: auditFileWriteAttributes

dataType: enumeration

structure: AUDIT\_FAILURE ; 0x1 ; The audit type AUDIT\_FAILURE is

used to perform audits on all unsuccessful occurrences of

specified events when auditing is enabled.

AUDIT\_NONE ; 0x2 ; The audit type AUDIT\_NONE is used to cancel

all auditing options for the specified events.

AUDIT SUCCESS; 0x3; The audit type AUDIT SUCCESS is used to perform audits on all successful occurrences of the specified events when auditing is enabled.

AUDIT\_SUCCESS\_FAILURE ; 0x4 ; The audit type AUDIT\_SUCCESS\_FAILURE is used to perform audits on all successful and unsuccessful occurrences of the specified events when auditing is enabled. ; 0x5 ; The empty string value is permitted here to allow for

detailed error reporting.

status: current

description: Grants the right to change file attributes.

#### 7.307. fileeffectiverights

name: fileeffectiverights

dataType: list

structure: list (filepath, path, filename,

trusteeSid, trusteeName, standardDelete, standardReadControl,

standardWriteDac, standardWriteOwner,

standardSynchronize, accessSystemSecurity, genericRead, genericWrite, genericExecute, genericAll, fileReadData, fileWriteData, fileAppendData, fileReadEa, fileWriteEa,

fileExecute, fileDeleteChild, fileReadAttributes,

fileWriteAttributes, windowsView)

status: current

description: Stores the effective rights of a file that a discretionary access control list (DACL) structure grants to a specified trustee. The trustee's effective rights are determined checking all access-allowed and access-denied access control entries (ACEs) in the DACL.

#### 7.308. standardDelete

elementId: TBD

name: standardDelete dataType: boolean status: current

description: The right to delete the

object.

# 7.309. standardReadControl

elementId: TBD

name: standardReadControl

dataType: boolean status: current

description: The right to read

the information in the object's security descriptor, not

including the information in the SACL.

#### 7.310. standardWriteDac

elementId: TBD

name: standardWriteDac

dataType: boolean status: current

description: The right to modify the

DACL in the object's security descriptor.

#### 7.311. standardWriteOwner

elementId: TBD

name: standardWriteOwner

dataType: boolean
status: current

description: The right to change

the owner in the object's security descriptor.

### 7.312. standardSynchronize

elementId: TBD

name: standardSynchronize

dataType: boolean
status: current

description: The right to use the

object for synchronization. This enables a thread to wait until the object is in the signaled state. Some object

types do not support this access right.

# 7.313. accessSystemSecurity

elementId: TBD

name: accessSystemSecurity

dataType: boolean
status: current

description: Indicates access to

a system access control list (SACL).

# 7.314. genericRead

elementId: TBD
name: genericRead
dataType: boolean
status: current

description: Read access.

#### 7.315. genericWrite

elementId: TBD
name: genericWrite
dataType: boolean
status: current

description: Write access.

# 7.316. genericExecute

elementId: TBD

name: genericExecute dataType: boolean status: current

description: Execute access.

### 7.317. genericAll

elementId: TBD name: genericAll dataType: boolean status: current

description: Read, write, and execute

access.

# 7.318. fileReadData

elementId: TBD name: fileReadData dataType: boolean status: current

description: Grants the right to read

data from the file

# 7.319. fileWriteData

elementId: TBD

name: fileWriteData dataType: boolean status: current

description: Grants the right to write

data to the file.

# 7.320. fileAppendData

elementId: TBD

name: fileAppendData dataType: boolean status: current

description: Grants the right to append data to the file.

# 7.321. fileReadEa

elementId: TBD name: fileReadEa dataType: boolean status: current

description: Grants the right to read

extended attributes.

#### 7.322. fileWriteEa

elementId: TBD name: fileWriteEa dataType: boolean status: current

description: Grants the right to write

extended attributes.

# 7.323. fileExecute

elementId: TBD name: fileExecute dataType: boolean status: current

description: Grants the right to execute

a file.

#### 7.324. fileDeleteChild

elementId: TBD

name: fileDeleteChild dataType: boolean status: current

description: Right to delete a

directory and all the files it contains (its children),

even if the files are read-only.

#### 7.325. fileReadAttributes

elementId: TBD

name: fileReadAttributes

dataType: boolean status: current

description: Grants the right to

read file attributes.

#### 7.326. fileWriteAttributes

elementId: TBD

name: fileWriteAttributes

dataType: boolean status: current

description: Grants the right to change file attributes.

### 7.327. groupInfo

elementId: TBD name: groupInfo dataType: list

structure: list (group, username, subgroup)

status: current

description: Specifies the different users and subgroups, that

directly belong to specific groups.

# 7.328. group

elementId: TBD name: group dataType: string status: current

description: Represents the name of a particular

group.

#### 7.329. subgroup

elementId: TBD name: subgroup dataType: string status: current

description: Represents the name of a

particular subgroup in the specified group.

# 7.330. groupSidInfo

elementId: TBD name: groupSidInfo dataType: list

structure: list (groupSid, userSid, subgroupSid)

status: current

description: Specifies the different users and subgroups, that

directly belong to specific groups

(identified by SID).

#### 7.331. userSidInfo

elementId: TBD name: userSidInfo dataType: list

structure: list (userSid, enabled, groupSid, lastLogon)

status: current

description: Specifies the different groups (identified by SID)

that a user belongs to.

# 7.332. userSid

elementId: TBD name: userSid dataType: string status: current

description: Represents the SID of a

particular user.

#### 7.333. subgroupSid

elementId: TBD name: subgroupSid dataType: string status: current

description: Represents the SID of a

particular subgroup.

# 7.334. lockoutpolicy

elementId: TBD

name: lockoutpolicy

dataType: list

structure: list (forceLogoff, lockoutDuration,

lockoutObservationWindow, lockoutThreshold)

status: current

description: Specifies various attributes associated

with lockout information for users and global groups in the

security database.

# 7.335. forceLogoff

elementId: TBD name: forceLogoff dataType: unsigned32 status: current

description: Specifies, in seconds, the

amount of time between the end of the valid logon time and

the time when the user is forced to log off the

network.

#### 7.336. lockoutDuration

elementId: TBD

name: lockoutDuration dataType: unsigned32

status: current

description: Specifies, in seconds,

how long a locked account remains locked before it is

automatically unlocked.

### 7.337. lockoutObservationWindow

elementId: TBD

name: lockoutObservationWindow

dataType: unsigned32

status: current

description: Specifies the

maximum time, in seconds, that can elapse between any two

failed logon attempts before lockout occurs.

# 7.338. lockoutThreshold

elementId: TBD

name: lockoutThreshold dataType: unsigned32

status: current

description: Specifies the number of

invalid password authentications that can occur before an

account is marked "locked out."

# 7.339. passwordpolicy

name: passwordpolicy

dataType: list

structure: list (maxPasswdAge, minPasswdAge,

minPasswdLen, passwordHistLen, passwordComplexity,

reversibleEncryption)

status: current

description: Specifies

policy information associated with passwords.

# 7.340. maxPasswdAge

elementId: TBD name: maxPasswdAge dataType: unsigned32

status: current

description: Specifies, in seconds (from

a DWORD), the maximum allowable password age. A value of TIMEQ\_FOREVER (max DWORD value, 4294967295) indicates that the password never expires. The minimum valid value

for this element is ONE\_DAY (86400). See the

USER\_MODALS\_INFO\_0 structure returned by a call to

NetUserModalsGet().

#### 7.341. minPasswdAge

elementId: TBD name: minPasswdAge dataType: unsigned32

status: current

description: Specifies the minimum

number of seconds that can elapse between the time a password

changes and when it can be changed again. A value of

zero indicates that no delay is required between password

updates.

#### 7.342. minPasswdLen

elementId: TBD name: minPasswdLen dataType: unsigned32

status: current

description: Specifies the minimum

allowable password length. Valid values for this element are

zero through PWLEN.

#### 7.343. passwordHistLen

elementId: TBD

name: passwordHistLen dataType: unsigned32

status: current

description: Specifies the length of

password history maintained. A new password cannot match any

of the previous usrmod0\_password\_hist\_len passwords.

Valid values for this element are zero through DEF\_MAX\_PWHIST.

### 7.344. passwordComplexity

elementId: TBD

name: passwordComplexity

dataType: boolean status: current

description: Indicates whether

passwords must meet the complexity requirements put forth

by the operating system.

#### 7.345. reversibleEncryption

elementId: TBD

name: reversibleEncryption

dataType: boolean status: current

description: Indicates whether

or not passwords are stored using reversible encryption.

### 7.346. portInfo

elementId: TBD name: portInfo dataType: list

structure: list (localAddress, localPort, transportProtocol,

pid, foreignAddress, foreignPort)

status: current

description: Information about open listening ports.

# 7.347. foreignPort

elementId: TBD name: foreignPort dataType: string status: current

description: The TCP or UDP port to which

the program communicates.

# 7.348. printereffectiverights

elementId: TBD

name: printereffectiverights

dataType: list

structure: list (printerName, trusteeSid,

standardDelete, standardReadControl, standardWriteDac,

standardWriteOwner, standardSynchronize,

accessSystemSecurity, genericRead, genericWrite,

genericExecute, genericAll, printerAccessAdminister,

printerAccessUse, jobAccessAdminister, jobAccessRead)

status: current

description: Stores the effective rights of a printer that a discretionary access control list (DACL) structure grants to a specified trustee. The trustee's effective rights are determined checking all access-allowed and access-denied access control entries (ACEs) in the DACL.

# 7.349. printerName

elementId: TBD
name: printerName
dataType: string
status: current

description: Specifies the name of the

printer.

# 7.350. printerAccessAdminister

elementId: TBD

name: printerAccessAdminister

dataType: boolean
status: current
description:

# 7.351. printerAccessUse

elementId: TBD

name: printerAccessUse

dataType: boolean
status: current
description:

#### 7.352. jobAccessAdminister

name: jobAccessAdminister

dataType: boolean status: current description:

# 7.353. jobAccessRead

elementId: TBD

name: jobAccessRead dataType: boolean status: current description:

# 7.354. registry

elementId: TBD name: registry dataType: list

structure: list (registryHive, registryKey, registryKeyName,

lastWriteTime, registryKeyType, registryKeyValue,

windowsView)

status: current

description: Specifies information that can be collected about a particular registry key.

# 7.355. registryHive

elementId: TBD name: registryHive dataType: enumeration

structure: HKEY\_CLASSES\_ROOT ; 0x1 ; This registry subtree

contains information that associates file types with programs

and configuration data for automation (e.g. COM

objects and Visual Basic Programs).

HKEY\_CURRENT\_CONFIG ; 0x2 ; This registry subtree contains

configuration data for the current hardware profile.

HKEY\_CURRENT\_USER ; 0x3 ; This registry subtree contains the user profile of the user that is currently logged into the system.

HKEY\_LOCAL\_MACHINE ; 0x4 ; This registry subtree contains information about the local system.

HKEY\_USERS ; 0x5 ; This registry subtree contains user-specific

; 0x6 ; The empty string value is permitted here to allow for detailed error reporting.

status: current description: The

hive that the registry key belongs to.

# 7.356. registryKey

elementId: TBD name: registryKey dataType: string status: current

description: Describes the registry key.

Note that the hive portion of the string should not be included, as this data can be found under the hive

element.

### 7.357. registryKeyName

elementId: TBD

name: registryKeyName

dataType: string status: current

description: Describes the name of a

registry key.

#### 7.358. lastWriteTime

name: lastWriteTime dataType: unsigned64

status: current

description: The last time that the key or any of its value entries were modified. The value of this entity represents the FILETIME structure which is a 64-bit value representing the number of 100-nanosecond intervals since January 1, 1601 (UTC). Last write time can be queried on any key, with hives being classified as a type of key. When collecting only information about a registry hive or key the last write time will be the time the key or any of its entries were modified. When collecting only information about a registry name the last write time will be the time the containing key was modified. Thus when collecting information about a registry name, the last write time does not correlate directly to the specified name. See the RegQueryInfoKey function lpftLastWriteTime.

# 7.359. registryKeyType

elementId: TBD

name: registryKeyType dataType: enumeration

structure: reg\_binary; 0x1; The reg\_binary type

is used by registry keys that specify binary data in any

form.

reg\_dword ; 0x2 ; The reg\_dword type is used by registry keys that specify an unsigned 32-bit integer. reg\_dword\_little\_endian ; 0x3 ; The reg\_dword\_little\_endian type is used by registry keys that specify an unsigned 32-bit little-endian integer. It is designed to run on little-endian computer architectures.

reg\_dword\_big\_endian ; 0x4 ; The reg\_dword\_big\_endian type is used by registry keys that specify an unsigned 32-bit big-endian integer. It is designed to run on big-endian computer architectures.

req expand sz; 0x5; The req expand sz type is used by registry keys to specify a null-terminated string that contains unexpanded references to environment variables (for example, "%PATH%").

reg\_link; 0x6; The reg\_link type is used by the registry keys for null-terminated unicode strings. It is related to target path of a symbolic link created by the RegCreateKeyEx function.

reg\_multi\_sz ; 0x7 ; The reg\_multi\_sz type is used by registry keys that specify an array of null-terminated strings, terminated by two null characters.

reg\_none; 0x8; The reg\_none type is used by registry keys that have no defined value type. reg\_qword; 0x9; The reg\_qword type is used by registry keys that specify an unsigned 64-bit integer. reg\_qword\_little\_endian; 0xA; The reg\_qword\_little\_endian type is used by registry keys that specify an unsigned 64-bit integer in little-endian computer architectures. reg\_sz; 0xB; The reg\_sz type is used by registry keys that specify a single null-terminated string. reg resource list; 0xC; The reg resource list type is used by registry keys that specify a resource list. reg\_full\_resource\_descriptor; 0xD ; The reg full resource descriptor type is used by registry keys that specify a full resource descriptor. reg\_resource\_requirements\_list; 0xE ; The reg\_resource\_requirements\_list type is used by registry keys that specify a resource requirements list. ; 0xF ; The empty string value is permitted here to allow

status: current description:

Specifies the type of data stored by the registry key.

#### 7.360. registryKeyValue

for detailed error reporting.

name: registryKeyValue

dataType: string status: current

description: Holds the actual value

of the specified registry key. The representation of the value as well as the associated datatype attribute depends on type of data stored in the registry key. If the value being tested is of type REG\_BINARY, then the datatype attribute should be set to 'binary' and the data represented by the value entity should follow the xsd:hexBinary form. (each binary octet is encoded as two hex digits) If the value being tested is of type REG DWORD, REG OWORD, REG DWORD LITTLE ENDIAN, REG\_DWORD\_BIG\_ENDIAN, or REG\_QWORD\_LITTLE\_ENDIAN then the datatype attribute should be set to 'int' and the value entity should represent the data as an unsigned integer. DWORD and QWORD values represnt unsigned 32-bit and 64-bit integers, respectively. If the value being tested is of type REG\_EXPAND\_SZ, then the datatype attribute should be set to 'string' and the pre-expanded string should be represented by the value entity. If the value being tested is of type REG\_MULTI\_SZ, then only a single string (one of the multiple strings) should be tested using the value entity with the datatype attribute set to 'string'. In order to test multiple values, multiple OVAL registry tests should be used. If the specified registry key is of type REG\_SZ, then the datatype should be 'string' and the value entity should be a copy of the string. If the value being tested is of type REG LINK, then the datatype attribute should be set to 'string' and the null-terminated Unicode string should be represented by the value entity.

# 7.361. regkeyauditedpermissions

name: regkeyauditedpermissions

dataType: list

structure: list (registryKey, trusteeSid, trusteeName, standardDelete, standardReadControl, standardWriteDac, standardWriteOwner, standardSynchronize, accessSystemSecurity, genericRead, genericWrite, genericExecute, genericAll, keyQueryValue, keySetValue, keyCreateSubKey, keyEnumerateSubKeys, keyNotify, keyCreateLink, keyWow6464Key, keyWow6432Key, keyWow64Res, windowsView)

status: current

description: Stores the audited access rights of a registry key that a system access control list (SACL) structure grants to a specified trustee. The trustee's audited access rights are determined checking all access control entries (ACEs) in the SACL.

# 7.362. auditKeyQueryValue

elementId: TBD

name: auditKeyQueryValue dataType: enumeration

structure: AUDIT\_FAILURE ; 0x1 ; The audit type AUDIT\_FAILURE is

used to perform audits on all unsuccessful occurrences of

specified events when auditing is enabled.

AUDIT\_NONE ; 0x2 ; The audit type AUDIT\_NONE is used to cancel all auditing options for the specified events.

AUDIT\_SUCCESS ; 0x3 ; The audit type AUDIT\_SUCCESS is used to perform audits on all successful occurrences of the specified events when auditing is enabled.

AUDIT\_SUCCESS\_FAILURE ; 0x4 ; The audit type AUDIT\_SUCCESS\_FAILURE is used to perform audits on all successful and unsuccessful occurrences of the specified events when auditing is enabled. ; 0x5; The empty string value is permitted here to allow for detailed error reporting.

status: current description:

### 7.363. auditKeySetValue

name: auditKeySetValue dataType: enumeration

structure: AUDIT\_FAILURE ; 0x1 ; The audit type AUDIT\_FAILURE is

used to perform audits on all unsuccessful occurrences of

specified events when auditing is enabled.

AUDIT\_NONE ; 0x2 ; The audit type AUDIT\_NONE is used to cancel all auditing options for the specified events.

AUDIT\_SUCCESS ; 0x3 ; The audit type AUDIT\_SUCCESS is used to perform audits on all successful occurrences of the specified events when auditing is enabled.

AUDIT\_SUCCESS\_FAILURE ; 0x4 ; The audit type AUDIT\_SUCCESS\_FAILURE is used to perform audits on all successful and unsuccessful occurrences of the specified events when auditing is enabled. ; 0x5; The empty string value is permitted here to allow for detailed error reporting.

status: current description:

### 7.364. auditKeyCreateSubKey

elementId: TBD

name: auditKeyCreateSubKey

dataType: enumeration

structure: AUDIT\_FAILURE ; 0x1 ; The audit type AUDIT\_FAILURE is

used to perform audits on all unsuccessful occurrences of

specified events when auditing is enabled.

AUDIT\_NONE ; 0x2 ; The audit type AUDIT\_NONE is used to cancel

all auditing options for the specified events.

AUDIT SUCCESS; 0x3; The audit type AUDIT SUCCESS is used to perform audits on all successful occurrences of the specified events when auditing is enabled.

AUDIT\_SUCCESS\_FAILURE ; 0x4 ; The audit type AUDIT\_SUCCESS\_FAILURE is used to perform audits on all successful and unsuccessful occurrences of the specified events when auditing is enabled. ; 0x5 ; The empty string value is permitted here to allow for detailed error reporting.

status: current description:

# 7.365. auditKeyEnumerateSubKeys

name: auditKeyEnumerateSubKeys

dataType: enumeration

structure: AUDIT\_FAILURE ; 0x1 ; The audit type AUDIT\_FAILURE is

used to perform audits on all unsuccessful occurrences of

specified events when auditing is enabled.

AUDIT\_NONE ; 0x2 ; The audit type AUDIT\_NONE is used to cancel

all auditing options for the specified events.

AUDIT\_SUCCESS ; 0x3 ; The audit type AUDIT\_SUCCESS is used to perform audits on all successful occurrences of the specified events when auditing is enabled.

AUDIT\_SUCCESS\_FAILURE ; 0x4 ; The audit type AUDIT\_SUCCESS\_FAILURE is used to perform audits on all successful and unsuccessful occurrences of the specified events when auditing is enabled. ; 0x5; The empty string value is permitted here to allow for detailed error reporting.

status: current description:

#### 7.366. auditKeyNotify

elementId: TBD

name: auditKeyNotify dataType: enumeration

structure: AUDIT\_FAILURE ; 0x1 ; The audit type AUDIT\_FAILURE is

used to perform audits on all unsuccessful occurrences of

specified events when auditing is enabled.

AUDIT\_NONE ; 0x2 ; The audit type AUDIT\_NONE is used to cancel

all auditing options for the specified events.

AUDIT SUCCESS; 0x3; The audit type AUDIT SUCCESS is used to perform audits on all successful occurrences of the specified events when auditing is enabled.

AUDIT\_SUCCESS\_FAILURE ; 0x4 ; The audit type AUDIT\_SUCCESS\_FAILURE is used to perform audits on all successful and unsuccessful occurrences of the specified events when auditing is enabled. ; 0x5 ; The empty string value is permitted here to allow for detailed error reporting.

status: current description:

#### 7.367. auditKeyCreateLink

name: auditKeyCreateLink dataType: enumeration

structure: AUDIT\_FAILURE ; 0x1 ; The audit type AUDIT\_FAILURE is

used to perform audits on all unsuccessful occurrences of

specified events when auditing is enabled.

AUDIT\_NONE ; 0x2 ; The audit type AUDIT\_NONE is used to cancel all auditing options for the specified events.

AUDIT\_SUCCESS ; 0x3 ; The audit type AUDIT\_SUCCESS is used to perform audits on all successful occurrences of the specified events when auditing is enabled.

AUDIT\_SUCCESS\_FAILURE ; 0x4 ; The audit type AUDIT\_SUCCESS\_FAILURE is used to perform audits on all successful and unsuccessful occurrences of the specified events when auditing is enabled. ; 0x5; The empty string value is permitted here to allow for detailed error reporting.

status: current description:

# 7.368. auditKeyWow6464Key

elementId: TBD

name: auditKeyWow6464Key dataType: enumeration

structure: AUDIT\_FAILURE ; 0x1 ; The audit type AUDIT\_FAILURE is

used to perform audits on all unsuccessful occurrences of

specified events when auditing is enabled.

AUDIT\_NONE ; 0x2 ; The audit type AUDIT\_NONE is used to cancel

all auditing options for the specified events.

AUDIT SUCCESS; 0x3; The audit type AUDIT SUCCESS is used to perform audits on all successful occurrences of the specified events when auditing is enabled.

AUDIT\_SUCCESS\_FAILURE ; 0x4 ; The audit type AUDIT\_SUCCESS\_FAILURE is used to perform audits on all successful and unsuccessful occurrences of the specified events when auditing is enabled. ; 0x5 ; The empty string value is permitted here to allow for detailed error reporting.

status: current description:

#### 7.369. auditKeyWow6432Key

name: auditKeyWow6432Key dataType: enumeration

structure: AUDIT\_FAILURE ; 0x1 ; The audit type AUDIT\_FAILURE is

used to perform audits on all unsuccessful occurrences of

specified events when auditing is enabled.

AUDIT\_NONE ; 0x2 ; The audit type AUDIT\_NONE is used to cancel all auditing options for the specified events.

AUDIT\_SUCCESS ; 0x3 ; The audit type AUDIT\_SUCCESS is used to perform audits on all successful occurrences of the specified events when auditing is enabled.

AUDIT\_SUCCESS\_FAILURE ; 0x4 ; The audit type AUDIT\_SUCCESS\_FAILURE is used to perform audits on all successful and unsuccessful occurrences of the specified events when auditing is enabled. ; 0x5; The empty string value is permitted here to allow for detailed error reporting.

status: current description:

# 7.370. auditKeyWow64Res

elementId: TBD

name: auditKeyWow64Res dataType: enumeration

structure: AUDIT\_FAILURE ; 0x1 ; The audit type AUDIT\_FAILURE is

used to perform audits on all unsuccessful occurrences of

specified events when auditing is enabled.

AUDIT\_NONE ; 0x2 ; The audit type AUDIT\_NONE is used to cancel all auditing options for the specified events.

AUDIT SUCCESS; 0x3; The audit type AUDIT SUCCESS is used to perform audits on all successful occurrences of the specified events when auditing is enabled.

AUDIT\_SUCCESS\_FAILURE ; 0x4 ; The audit type AUDIT\_SUCCESS\_FAILURE is used to perform audits on all successful and unsuccessful occurrences of the specified events when auditing is enabled. ; 0x5 ; The empty string value is permitted here to allow for detailed error reporting.

status: current description:

#### 7.371. regkeyeffectiverights

name: regkeyeffectiverights

dataType: list

status: current

description: Stores the effective rights of a registry key that a discretionary access control list (DACL) structure grants to a specified trustee. The trustee's effective rights are determined checking all access-allowed and access-denied access control entries (ACEs) in the DACL.

# 7.372. keyQueryValue

elementId: TBD

name: keyQueryValue
dataType: boolean
status: current

description: Specifies whether or not

permission is granted to query the key's value.

# 7.373. keySetValue

elementId: TBD
name: keySetValue
dataType: boolean
status: current

description: Specifies whether or not

permission is granted to set the key's value.

### 7.374. keyCreateSubKey

elementId: TBD

name: keyCreateSubKey
dataType: boolean
status: current

description: Specifies whether or not

permission is granted to create a subkey.

# 7.375. keyEnumerateSubKeys

elementId: TBD

name: keyEnumerateSubKeys

dataType: boolean status: current

description: Specifies whether or

not permission is granted to list the subkeys associated

with key.

# 7.376. keyNotify

elementId: TBD name: keyNotify dataType: boolean status: current description:

# 7.377. keyCreateLink

elementId: TBD

name: keyCreateLink dataType: boolean status: current description:

# 7.378. keyWow6464Key

elementId: TBD

name: keyWow6464Key dataType: boolean status: current description:

# 7.379. keyWow6432Key

elementId: TBD

name: keyWow6432Key dataType: boolean status: current description:

#### 7.380. keyWow64Res

elementId: TBD name: keyWow64Res dataType: boolean status: current description:

#### 7.381. service

elementId: TBD name: service dataType: list

structure: list (serviceName, displayName, description,

serviceType, startType, currentState, controlsAccepted,

startName, path, pid, serviceFlag, dependencies)

status: current

description: Stores information about Windows services that are

present on the system.

# 7.382. displayName

elementId: TBD name: displayName dataType: string status: current

description: Specifies the name of the

service as specified in administrative tools.

# 7.383. description

elementId: TBD name: description dataType: string status: current

description: Specifies the description of

the service.

# 7.384. serviceType

elementId: TBD name: serviceType dataType: enumeration

structure: SERVICE\_FILE\_SYSTEM\_DRIVER; 0x1; The

SERVICE\_FILE\_SYSTEM\_DRIVER type means that the service is

a file system driver. The DWORD value that this

corresponds to is 0x00000002.

SERVICE\_KERNEL\_DRIVER; 0x2; The SERVICE\_KERNEL\_DRIVER type means that the service is a driver. The DWORD value that this corresponds to is 0x0000001.

SERVICE\_WIN32\_OWN\_PROCESS ; 0x3 ; The SERVICE\_WIN32\_OWN\_PROCESS type means that the service runs in its own process. The DWORD value that this corresponds to is 0x00000010.

SERVICE WIN32 SHARE PROCESS; 0x4; The

SERVICE\_WIN32\_SHARE\_PROCESS type means that the service runs in a process with other services. The DWORD value that this corresponds to is 0x00000020.

SERVICE\_INTERACTIVE\_PROCESS ; 0x5 ; The

SERVICE\_WIN32\_SHARE\_PROCESS type means that the service runs in a process with other services. The DWORD value that this corresponds to is 0x00000100.

; 0x6; The empty string value is permitted here to allow for empty elements associated with error conditions.

status: current description:

Specifies the type of the service.

# 7.385. startType

elementId: TBD name: startType

dataType: enumeration

structure: SERVICE\_AUTO\_START ; 0x1 ; The SERVICE\_AUTO\_START type means that the service is started automatically by the Service Control Manager (SCM) during startup. The DWORD value that this corresponds to is 0x00000002.

SERVICE\_BOOT\_START ; 0x2 ; The SERVICE\_BOOT\_START type means that the driver service is started by the system loader. The DWORD value that this corresponds to is 0x00000000.

SERVICE\_DEMAND\_START ; 0x3 ; The SERVICE\_DEMAND\_START type means that the service is started by the Service Control Manager (SCM) when StartService() is called. The DWORD value that this corresponds to is 0x00000003.

SERVICE\_DISABLED ; 0x4 ; The SERVICE\_DISABLED type means that the service cannot be started. The DWORD value that this corresponds to is 0x00000004.

SERVICE\_SYSTEM\_START ; 0x5 ; The SERVICE\_SYSTEM\_START type means that the service is a device driver started by IoInitSystem(). The DWORD value that this corresponds to is  $0 \times 00000001$ .

; 0x6 ; The empty string value is permitted here to allow for empty elements associated with error conditions.

description: Specifies when the service should be started.

### 7.386. currentState

elementId: TBD name: currentState dataType: enumeration

is 0x00000006.

structure: SERVICE\_CONTINUE\_PENDING ; 0x1 ; The

SERVICE\_CONTINUE\_PENDING type means that the service has been sent a command to continue, however, the command has not yet been executed. The DWORD value that this corresponds to is 0x00000005. SERVICE\_PAUSE\_PENDING; 0x2; The SERVICE PAUSE PENDING type means that the service has been sent a command to pause, however, the command has not yet been executed. The DWORD value that this corresponds to

SERVICE\_PAUSED ; 0x3 ; The SERVICE\_PAUSED type means that the service is paused. The DWORD value that this corresponds to is 0x00000007.

SERVICE\_RUNNING ; 0x4 ; The SERVICE\_RUNNING type means that the service is running. The DWORD value that this corresponds to is 0x00000004.

SERVICE\_START\_PENDING ; 0x5 ; The SERVICE\_START\_PENDING type means that the service has been sent a command to start, however, the command has not yet been executed. The DWORD value that this corresponds to is 0x00000002.

SERVICE\_STOP\_PENDING; 0x6; The SERVICE\_STOP\_PENDING type means that the service

has been sent a command to stop, however, the command has not yet been executed. The DWORD value that this corresponds to is 0x00000003.

SERVICE\_STOPPED; 0x7; The SERVICE\_STOPPED type means that the service is stopped. The DWORD value that this corresponds to is 0x00000001.

; 0x8; The empty string value is permitted here to allow for empty elements associated with error conditions.

status: current

description: Specifies the current state of the service.

# 7.387. controlsAccepted

elementId: TBD

name: controlsAccepted dataType: enumeration

structure: SERVICE\_ACCEPT\_NETBINDCHANGE ; 0x1 ;

The SERVICE\_ACCEPT\_NETBINDCHANGE type means that the service is a network component and can accept changes in its binding without being stopped or restarted. The DWORD value that this corresponds to is 0x00000010.

SERVICE ACCEPT PARAMCHANGE; 0x2; The SERVICE ACCEPT PARAMCHANGE type means that the service can re-read its

startup parameters without being stopped or restarted. The DWORD value that this corresponds to is 0x00000008. SERVICE\_ACCEPT\_PAUSE\_CONTINUE ; 0x3 ; The SERVICE\_ACCEPT\_PAUSE\_CONTINUE type means that the service can be paused or continued. The DWORD value that this corresponds to is 0x00000002. SERVICE\_ACCEPT\_PRESHUTDOWN ; 0x4 ; The SERVICE\_ACCEPT\_PRESHUTDOWN type means that the service can receive pre-shutdown notifications. The DWORD value that this corresponds to is 0x00000100. SERVICE ACCEPT SHUTDOWN ; 0x5 ; The SERVICE ACCEPT SHUTDOWN type means that the service can receive shutdown notifications. The DWORD value that this corresponds to is 0x00000004. SERVICE ACCEPT STOP; 0x6; The SERVICE ACCEPT STOP type means that the service can be stopped. The DWORD value that this corresponds to is 0x0000001. SERVICE ACCEPT HARDWAREPROFILECHANGE; 0x7; The SERVICE\_ACCEPT\_HARDWAREPROFILECHANGE type means that the service can receive notifications when the system's hardware profile changes. The DWORD value that this corresponds to is 0x00000020.

SERVICE\_ACCEPT\_POWEREVENT ; 0x8 ; The SERVICE\_ACCEPT\_POWEREVENT type means that the service can receive notifications when the system's power status has changed. The DWORD value that this corresponds to is 0x00000040.

SERVICE\_ACCEPT\_SESSIONCHANGE ; 0x9 ; The

SERVICE\_ACCEPT\_SESSIONCHANGE type means that the service can receive notifications when the system's session status has changed. The DWORD value that this corresponds to is 0x00000080.

SERVICE\_ACCEPT\_TIMECHANGE; 0xA; The SERVICE\_ACCEPT\_TIMECHANGE type means that the service can receive notifications when the system time changes. The DWORD value that this corresponds to is 0x00000200.

SERVICE ACCEPT TRIGGEREVENT; 0xB; The

SERVICE ACCEPT TRIGGEREVENT type means that the service can receive notifications when an event that the service has registered for occurs on the system. The DWORD value that this corresponds to is 0x00000400.

; 0xC; The empty string value is permitted here to allow for empty elements associated with error conditions.

status: current

description: Specifies the control codes that a service will accept and process.

# 7.388. startName

elementId: TBD name: startName dataType: string status: current

description: Specifies the account under

which the process should run.

#### 7.389. serviceFlag

elementId: TBD name: serviceFlag dataType: boolean status: current

description: Specifies whether the

service is in a system process that must always run (true) or if the service is in a non-system process or is not

running (false).

# 7.390. dependencies

elementId: TBD name: dependencies dataType: string status: current

description: Specifies the dependencies of this service on other services.

# 7.391. serviceeffectiverights

elementId: TBD

name: serviceeffectiverights

dataType: list

structure: list (serviceName, trusteeSid,

standardDelete, standardReadControl, standardWriteDac,

standardWriteOwner, genericRead, genericWrite,

genericExecute, serviceQueryConf, serviceChangeConf, serviceQueryStat, serviceEnumDependents, serviceStart,

serviceStop, servicePause, serviceInterrogate,

serviceUserDefined)

status: current

description: Stores the

effective rights of a service that a discretionary access control list (DACL) structure grants to a specified trustee. The trustee's effective rights are determined by checking all access-allowed and access-denied access control entries (ACEs) in the DACL.

#### 7.392. trusteeSid

elementId: TBD name: trusteeSid dataType: string status: current

description: Specifies the SID that is

associated with a user, group, system, or program (such as a

Windows service).

# 7.393. serviceQueryConf

elementId: TBD

name: serviceQueryConf dataType: boolean status: current

description: Specifies whether or

not permission is granted to query the service configuration.

#### 7.394. serviceChangeConf

elementId: TBD

name: serviceChangeConf

dataType: boolean status: current

description: Specifies whether or

not permission is granted to change service configuration.

#### 7.395. serviceQueryStat

elementId: TBD

name: serviceQueryStat dataType: boolean status: current

description: Specifies whether or

not permission is granted to query the service control

manager about the status of the service.

### 7.396. serviceEnumDependents

elementId: TBD

name: serviceEnumDependents

dataType: boolean status: current

description: Specifies whether

or not permission is granted to query for an enumeration of

all the services dependent on the service.

#### 7.397. serviceStart

elementId: TBD name: serviceStart dataType: boolean status: current

description: Specifies whether or not

permission is granted to start the service.

#### 7.398. serviceStop

elementId: TBD name: serviceStop dataType: boolean status: current

description: Specifies whether or not

permission is granted to stop the service.

#### 7.399. servicePause

elementId: TBD name: servicePause dataType: boolean status: current

description: Specifies whether or not

permission is granted to pause or continue the service.

# 7.400. serviceInterrogate

elementId: TBD

name: serviceInterrogate

dataType: boolean status: current

description: Specifies whether or not permission is granted to request the service to report its status immediately.

#### 7.401. serviceUserDefined

elementId: TBD

name: serviceUserDefined

dataType: boolean status: current

description: Specifies whether or

not permission is granted to specify a user-defined

control code.

# 7.402. sharedresourceauditedpermissions

elementId: TBD

name: sharedresourceauditedpermissions

dataType: list

structure: list (netname, trusteeSid,

standardDelete, standardReadControl, standardWriteDac,

standardWriteOwner, standardSynchronize,

accessSystemSecurity, genericRead, genericWrite,

genericExecute, genericAll)

status: current
description: Stores

the audited access rights of a shared resource that a system access control list (SACL) structure grants to a specified trustee. The trustee's audited access rights are determined checking all access control entries (ACEs)

in the SACL.

#### 7.403. netname

elementId: TBD
name: netname
dataType: string
status: current

description: Specifies the name associated with a particular shared resource.

# 7.404. sharedresourceeffectiverights

elementId: TBD

name: sharedresourceeffectiverights

dataType: list

structure: list (netname, trusteeSid,

standardDelete, standardReadControl, standardWriteDac,

standardWriteOwner, standardSynchronize,

accessSystemSecurity, genericRead, genericWrite,

genericExecute, genericAll)

status: current
description: Stores

the effective rights of a shared resource that a discretionary access control list (DACL) structure grants to a specified trustee. The trustee's effective rights are determined checking all access-allowed and access-denied access control entries (ACEs) in the DACL.

#### 7.405. user

elementId: TBD name: user dataType: list

structure: list (username, enabled, group, lastLogon)

status: current

description: Specifies the groups to which a user belongs.

#### 7.406. enabled

elementId: TBD name: enabled dataType: boolean status: current

description: Represents whether the particular user is enabled or not.

### 7.407. lastLogon

elementId: TBD name: lastLogon

dataType: unsigned32

status: current

description: The date and time when the

last logon occurred.

# 7.408. groupSid

elementId: TBD name: groupSid dataType: string status: current

description: Represents the SID of a particular group. If the specified user belongs to more than one group, then multiple groupSid elements are applicable. If the specified user is not a member of a single group, then a single groupSid element should be incldued with a status of 'does not exist'. If there is an

error determining the groups that the user belongs to, then a single groupSid element should be included with a status of 'error'.

#### 8. Acknowledgements

Many of the specifications in this document have been developed in a public-private partnership with vendors and end-users. The hard work of the SCAP community is appreciated in advancing these efforts to their current level of adoption.

Over the course of developing the initial draft, Brant Cheikes, Matt Hansbury, Daniel Haynes, Scott Pope, Charles Schmidt, and Steve Venema have contributed text to many sections of this document.

#### 9. IANA Considerations

This document specifies an initial set of Information Elements for SACM in Section 7. An Internet Assigned Numbers Authority (IANA) registry will be created and populated with the Information Elements in Section 7. New assignments for SACM Information Elements will be administered by IANA through Expert Review [RFC2434]. The designated experts MUST check the requested Information Elements for completeness and accuracy of the submission with respect to the template and requirements expressed in Section 4 and Section 4.1. Requests for Information Elements that duplicate the functionality of existing Information Elements SHOULD be declined. The smallest available Information Element identifier SHOULD be assigned to a new Information Element. The definition of new Information Elements MUST be published using a well-established and persistent publication medium.

#### 10. Security Considerations

Posture Assessments need to be performed in a safe and secure manner. In that regard, there are multiple aspects of security that apply to the communications between components as well as the capabilities themselves. This information model only contains an initial listing of items that need to be considered with respect to security and will need to be augmented as the model continues to be developed.

Security considerations include:

- Authentication: Every SACM Component and asset needs to be able to identify itself and verify the identity of other SACM Components and assets.
- Confidentiality: Communications between SACM Components need to be protected from eavesdropping or unauthorized collection. Some communications between SACM Components and assets may need to be protected as well.
- Integrity: The information exchanged between SACM Components needs to be protected from modification. Some exchanges between assets and SACM Components will also have this requirement.

Restricted Access: Access to the information collected, evaluated, reported, and stored should only be viewable and consumable to authenticated and authorized entities.

Considerations with respect to the operational aspects of collection, evaluation, and storage security automation information can be found in Section 11.

Considerations concerning the privacy of security automation information can be found in Section 12.

# 11. Operational Considerations

The following sections outline a series of operational considerations for SACM deployments within an organization. This section may be expanded to include other considerations as the WG gains additional operational experience with SACM deployments and extending the information model.

# 11.1. Endpoint Designation

In order to successfully carry out endpoint posture assessment, it is necessary to be able to identify the endpoints on a network and track the changes to them over time. Specifically, enabling SACM Components to:

- o Tell whether two endpoint attribute assertions concern the same endpoint
- o Respond to compliance measurements, for example by reporting, remediating, and quarantining (SACM does not specify these responses, but SACM exists to enable them).

Ideally, every endpoint would be identified by a unique identifier present on the endpoint, but, this is complicated due to different factors such as the variety of endpoints on a network, the ability of tools to reliably access such an identifer, and the ability of tools to correlate disparate identifiers. As a result, it is necessary for an endpoint to be identified by a set of attributes that uniquely identify it on a network. The set of attributes that uniquely identify an endpoint on a network will likely vary by organization; however, there are a number of properties to consider when selecting identifying attributes as some are better suited for identification purposes than others.

Multiplicity: Is the attribute typically associated with a single endpoint or with multiple endpoints? If the attribute is

associated with a single endpoint, it is better for identifying an endpoint on a network.

- Persistence: How likely is the attribute to change? Does it never change? Does it only change when the endpoint is reprovisioned? Does it only change due to an event? Does it change on an ad-hoc and often unpredictable basis? Does it constantly change? The less likely it is for an attribute to change over time, the better it is for identifying an endpoint on a network.
- Immutability: How difficult is it to change the attribute? Is the attribute hardware rooted and never changes? Can the attribute be changed by a user/process with the appropriate access? Can the attribute be changed without controlled access. The less likely an attribute is to change over time, the better chance it will be usable to identify an endpoint over time.
- Verifiable: Can the attribute be corroborated? Can the attribute be externally verified with source authentication? Can the attribute be externally verified without source authentication? Is it impossible to externally verify the attribute. Attributes that can be externally verified are more likely to be accurate and are better for identifying endpoints on a network.

With that said, requiring SACM Components and end users to constantly refer to a set of attributes to identify an endpoint, is particularly burdensome. As a result, SACM supports the concept of a target endpoint label which associates an identifier (unique to a SACM domain) with the set of attributes used by an organization to identify endpoints on a network. Once defined for an endpoint, the target endpoint label can be used in place of the set of identifying attributes.

### 11.2. Timestamp Accuracy

An organization will likely have different collectors deployed across the network that will be configured to collect posture attributes on varying frequencies (periodic, ad-hoc, event-driven, on endpoint, off endpoint, etc.). Some collectors will detect changes as soon as they occur whereas others will detect them at a later point during a periodic scan or when an event has triggered the collection of posture attributes. Furthermore, some changes will be detected on the endpoint and others will be observed off of the endpoint. result of these differences, the accuracy of the timestamp associated with the collected information will vary. For example, if a

collector is only running once every 12 hours, the change probably happened at some point in time prior to the scan and the timestamp is likely not accurate. Due to this, it is important for system administrators to determine if the accuracy of a timestamp is good enough for their intended purposes.

# 12. Privacy Considerations

In the IETF, there are privacy concerns with respect to endpoint identity and monitoring. This is especially true when the activity on an endpoint can be linked to a particular person. For example, by correlating endpoint attributes such as usernames, certificates, etc. with browser activity, it may be possible to gain insight in to user behavior and trends beyond what is required to carry out endpoint posture assessments. In the hands of the wrong person, this information could be used to negatively influence a user's behavior or to plan attacks against the organization's infrastructure.

As a result, SACM data models should incorporate a mechanism by which an organization can designate which endpoint attributes are considered sensitive with respect to privacy. This will allow SACM Components to handle endpoint attributes in a manner consistent with the organization's privacy policies. Furthermore, organization's should put the proper mechanism in place to ensure endpoint attributes are protected when transmitted, stored, and accessed to ensure only authorized parties are granted access.

It should also be noted that some of this is often mitigated by organizational policies that require a user of an organization's network to consent to some level of monitoring in return for access to the network and other resources. The information that is monitored and collected will vary by organization and further highlights the need for a mechanism by which an organization can specify what constitutes privacy sensitive information for them.

#### 13. References

#### 13.1. Normative References

- [PEN] Internet Assigned Numbers Authority, "Private Enterprise Numbers", July 2016, <a href="https://www.iana.org/assignments/">https://www.iana.org/assignments/</a> enterprise-numbers/enterprise-numbers>.
- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, DOI 10.17487/RFC2119, March 1997, <http://www.rfc-editor.org/info/rfc2119>.

#### 13.2. Informative References

- [I-D.ietf-sacm-requirements] Cam-Winget, N. and L. Lorenzin, "Secure Automation and Continuous Monitoring (SACM) Requirements", draft-ietfsacm-requirements-01 (work in progress), October 2014.
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- [RFC7632] Waltermire, D. and D. Harrington, "Endpoint Security Posture Assessment: Enterprise Use Cases", RFC 7632, DOI 10.17487/RFC7632, September 2015, <http://www.rfc-editor.org/info/rfc7632>.

Appendix A. Change Log

# A.1. Changes in Revision 01

Added some proposed normative text.

For provenance:

Added a class "Method"

Added the produced-using relationship between an AVP and a method

Added the produced-by relationship between a Guidance and a SACM Component

Added the hosted-by relationship between a SACM Component and an Endpoint

asserted-by and summarized-by have been renamed to produced-by.

"User" is now "Account". If a user has different credentials, SACM cannot know that they belong to the same user. But, per Kim W, many organizations do have accounts that associate credentials.

The multiplicity of the based-on relationships has been corrected.

More relationships now have labels, per UML convention.

The diagram no longer has causal arrow. They had become redundant and were nonstandard and clutter.

Renamed "credential" to "identity", following industry usage. credential includes proof, such as a key or password. A username or a distinguished name is called an "identity".

Removed Session, because an endpoint's network activity is not SACM's initial focus

Removed Authorization, for the same reason

Added many-to-many relationship between Hardware Component and Endpoint, for clarity

Added many-to-many relationship between Software Component and Endpoint, for clarity

Added "contains" relationship between Network Interface and Network Interface

Removed relationship between Network Interface and Account. endpoint knows the identity it used to gain network access. The PDP also knows that. But they probably do not know the account.

Added relationship between Network Interface and Identity. endpoint and the PDP will typically know the identity.

Made identity-to-account a many-to-one relationship.

### A.2. Changes in Revision 02

Added Section Identifying Attributes.

Split the figure into Figure Model of Endpoint and Figure Information Elements.

Added Figure Information Elements Take 2, proposing a triple-store model.

Some editorial cleanup

### A.3. Changes in Revision 03

Moved Appendix A.1, Appendix A.2, and Mapping to SACM Use Cases into the Appendix. Added a reference to it in Section 1

Added the Section 4 section. Provided notes for the type of information we need to add in this section.

Added the Section 6 section. Moved sections on Endpoint, Hardware Component, Software Component, Hardware Instance, and Software Instance there. Provided notes for the type of information we need to add in this section.

Removed the Provenance of Information Section. SACM is not going to solve provenance rather give organizations enough information to figure it out.

Updated references to the Endpoint Security Posture Assessment: Enterprise Use Cases document to reflect that it was published as an RFC.

Fixed the formatting of a few figures.

Included references to [RFC3580] where RADIUS is mentioned.

# A.4. Changes in Revision 04

Integrated the IPFIX [RFC7012] syntax into Section 4.

Converted many of the existing SACM Information Elements to the IPFIX syntax.

Included existing IPFIX Information Elements and datatypes that could likely be reused for SACM in Section 7 and Section 4 respectively.

Removed the sections related to reports as described in https://github.com/sacmwg/draft-ietf-sacm-information-model/ issues/30.

Cleaned up other text throughout the document.

#### A.5. Changes in Revision 05

Merged proposed changes from the I-D IM into the WG IM (https://github.com/sacmwq/draft-ietf-sacm-information-model/ issues/41).

Fixed some formatting warnings.

Removed a duplicate IE and added a few IE datatypes that were missing.

#### A.6. Changes in Revision 06

Clarified that the SACM statement and content-element subjects are conceptual and that they do not need to be explicitly defined in a data model as long as the necessary information is provided.

Updated the IPFIX syntax used to define Information Elements. There are still a couple of open issues that need to be resolved.

Updated some of the Information Elements contained in Section 7 to use the revised IPFIX syntax. The rest of the Information Elements will be converted in a later revision.

Performed various clean-up and refactoring in Sections 6 and 7. Still need to go through Section 8.

Removed appendices that were not referenced in the body of the draft. The text from them is still available in previous revisions of this document if needed.

# A.7. Changes in Revision 07

Made various changes to the IPFIX syntax based on discussions at the IETF 96 Meeting. Changes included the addition of a structure property to the IE specification template, the creation of an enumeration datatype, and the specification of an IE naming convention.

Provided text to define Collection Guidance, Evaluation Guidance, Classification Guidance, Storage Guidance, and Evaluation Results.

Included additional IEs related to software, configuration, and the vulnerability assessment scenario.

Added text for the IANA considerations, security considerations, operational considerations, and privacy considerations sections.

Performed various other editorial changes and clean-up.

# A.8. Changes in Revision 08

Clarified text that describes subjects and attributes.

Clarified text that describes SACM Statements and Content Elements.

Removed stray metadata property fields from the definitions of several IEs.

Specified a syntax for defining category IEs.

Added an anyCategory IE that represents any IE in the IM.

Fixed several errors reported by the Travis-CI continuous integration service.

Performed various other editorial changes and clean-up.

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