Security Automation and Continuous Monitoring Internet-Draft

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OVAL(R) Definitions Model draft-haynes-sacm-oval-definitions-model-01

#### Abstract

This document specifies Version 5.11.1 of the OVAL Definitions Model which defines an extensible framework for making assertions about a system that are based upon a collection of logical statements. Each logical statement defines a specific machine state by identifying the data set on the system to examine and describing the expected state of that system data.

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#### 1. Introduction

The Open Vulnerability and Assessment Language (OVAL) [OVAL-WEBSITE] is an international, information security community effort to standardize how to assess and report upon the machine state of systems. For over ten years, OVAL has been developed in collaboration with any and all interested parties to promote open and publicly available security content and to standardize the representation of this information across the entire spectrum of security tools and services.

OVAL provides an established framework for making assertions about a system's state by standardizing the three main steps of the assessment process: representing the current machine state; analyzing the system for the presence of the specified machine state; and representing the results of the assessment which facilitates collaboration and information sharing among the information security community and interoperability among tools.

This draft is part of the OVAL contribution to the IETF SACM WG that standardizes the representation used to analyze a system for the presence of a specific machine state. It is intended to serve as a starting point for the endpoint posture assessment data modeling needs of SACM specifically Collection Guidance and Evaluation Guidance.

## 1.1. Requirements Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [RFC2119].

### oval\_definitions

The oval\_definitions type defines the base structure in the OVAL Definitions Model for representing a collection of OVAL Definitions. This container type adds metadata about the origin of the content and allows for a signature.

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Property	+	Count	Description
generator	oval:GeneratorType		Provides information regarding the origin of the OVAL Content. The timestamp property of the generator MUST represent the time at which the oval_definitions was created.
definitions	   DefinitionsType 	01	Container for OVAL     Definitions.

   tests 	   TestsType 	01	Container for OVAL Tests.
objects	ObjectsType	01	Container for OVAL Objects.
   states 	   StatesType 	01	Container for OVAL Tests.
variables	   VariablesType 	01	Container for OVAL Variables.
signature	ext:Signature	01	Mechanism to ensure the integrity and authenticity of the content.

Table 1: oval\_definitions Construct

## DefinitionsType

The DefinitionsType provides a container for one or more OVAL Definitions.

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Property	Туре	Count	Description
definition	DefinitionType	1*	One or more OVAL Definitions.

Table 2: DefinitionsType Construct

## DefinitionType

The DefinitionType defines a single OVAL Definition. An OVAL Definition is the key structure in the OVAL Definition Model. It is a collection of logical statements that combine to make an overall assertion about a system state and metadata about the assertion.

+   Property	+	+   Count	   Description
id	oval:DefinitionIDPattern	+   1   	The globally unique identifier of the OVAL Definition.
version	unsigned integer	   1 	The version of the OVAL Definition.
class	oval:ClassEnumeration	1	The class of the OVAL Definition.
deprecated	boolean	01	Whether or not the OVAL Definition has been deprecated. Default Value: 'false'.
   metadata 	   MetadataType 	1	   Container for   metadata

	associated with
	the OVAL
j	Definition.
j	Metadata is
j	informational
j	only and does not
j	impact the
j	evaluation of the

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			OVAL Definition.
notes	NotesType	01	A container for individual notes that describe some aspect of the OVAL Definition.
criteria	CriteriaType	01	A container for the logical criteria that is defined by the OVAL Definition. All non-deprecated OVAL Definitions MUST contain at least one criteria to express the logical assertion being made by the OVAL Definition.
signature	ext:Signature	01	Mechanism to ensure the integrity and authenticity of the content.

Table 3: DefinitionType Construct

# MetadataType

The MetadataType is a container for additional metadata that describes an OVAL Definition.  $\label{eq:container} % \begin{subarray}{ll} \end{subarray} % \begin{subarray}{ll} \end{sub$ 

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				L
	Property	Туре	Count	Description
•	title	string	1	A short text title for the OVAL Definition.
	affected	AffectedType	0*	A container for the list of affected platforms by a given

	 		OVAL Definition.
reference	ReferenceType	0*	References allow pointers to external information about an OVAL Definition.
description	string	1	A detailed text description of the OVAL Definition.
extension_point	Any	0*	An extension point that allows for the inclusion of any additional metadata associated with the OVAL Definition.

Table 4: MetadataType Construct

The extension\_point property is not considered a part of the OVAL Language proper, but rather, an extension point that allows organizations to expand the OVAL Language to better suit their needs.

### AffectedType

The AffectedType is a container type for the list of affected platforms and products. Note that the absence of a platform or product implies that the OVAL Definition applies to all platforms or products.

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Property	+	Count	Description
family	oval:FamilyEnumeration	1   1	The high-level
platform	string	0*	The name identifying a specific software platform. Convention is not to spell out the names.
product	string	0*	The name identifying a specific software product. Convention is to spell out the names.

Table 5: AffectedType Construct

# ReferenceType

†	Property	Туре	Count	+   Description	+
į	source	string	1	The source of the reference.	
	ref_id	string	1	The identifier for the reference.	

ref_url   URI	01	The URL for the	reference.
+	+	+	

Table 6: ReferenceType Construct

# 8. NotesType

The NotesType is a container for one or more notes, providing additional information, such as unresolved questions, reasons for specific implementation, or other documentation.

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Property	Туре	Count	Description
note	string	1*	One or more text notes.

Table 7: NotesType Construct

# 9. CriteriaType

The CriteriaType defines the structure of a logical statement that combines other logical statements. This construct is used to combine references to OVAL Tests, OVAL Definitions, and other CriteriaTypes into one logical statement.

_				
į	Property	Туре	Count	Description
	operator	oval:OperatorEnumera tion	01	The logical operator that is used to combine the individual results of the logical statements defined by the criteria, criterion, and extend_definiti on properties. Default Value: 'AND'.
	negate	boolean	01	Specifies whether or not the evaluation result of the CriteriaType should be negated. Default Value: 'false'.
	comment	oval:NonEmptyStringT ype	01	A short description of the criteria.

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criteria   	CriteriaType   	0*	A collection of     logical

			will be combined according to the operator property. At least one criteria, criterion, or e xtend_definitio n MUST be present.
criterion	CriterionType	0*	A logical statement that references an OVAL Test and will be combined according to the operator property. At least one criteria, criterion, or e xtend_definitio n MUST be present.
extend_definitio	ExtendDefinitionType	0*	A logical statement that references an OVAL Definition and will be combined according to the operator property. At least one criteria, criterion, or e xtend_definitio n MUST be present.
applicability_ch	boolean	01	A boolean flag

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eck		that when 'true' indicates that the criteria is being used to determine whether the OVAL Definition applies to a given system. No additional meaning is assumed when 'false'.

Table 8: CriteriaType Construct

# 10. CriterionType

The CriterionType is a logical statement that references an OVAL Test.  $\label{eq:conditionType} % \begin{subarray}{ll} \end{subarray} % \begin{$ 

Property	   Туре	Count	   Description	
test_ref	oval:TestIDPattern	1   1 	The globally     unique	•

			identifier of an OVAL Test contained in the OVAL Definitions.
negate	boolean	01	Specifies whether or not the evaluation result of the OVAL Test, referenced by the test_ref property, should be negated.

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			Default Value: 'false'.
comment   	oval:NonEmptyStringTy   pe 	01	A short description of the criterion.
applicability_chec   k	boolean	01	A boolean flag that when 'true' indicates that the criterion is being used to determine whether the OVAL Definition applies to a given system. No additional meaning is assumed when 'false'.

Table 9: CriterionType Construct

## 11. ExtendDefinitionType

The  ${\tt ExtendDefinitionType}$  is a logical statement that references another OVAL Definition.

_	L	L	L	L
	Property	Туре	Count	Description
	definition_ref	oval:DefinitionIDPatt ern		The globally unique identifier of an OVAL Definition the OVAL Definitions.

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negate	boolean	01	Specifies whether or not the evaluation result of the OVAL Definition, referenced by the definition_ref property, should be negated. Default Value: 'false'.
comment	oval:NonEmptyStringTy pe	01	A short description of the extended OVAL Definition.
applicability_ch eck	boolean	01	A boolean flag that when 'true' indicates that the ExtendDefi nition is being used to determine whether the OVAL Definition applies to a given system. No additional meaning is assumed when 'false'.

Table 10: ExtendDefinitionType Construct

# 12. TestsType

The TestsType provides a container for one or more OVAL Tests.

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Property	Туре	Count	Description
test	TestType	1*	One or more OVAL Tests.

Table 11: TestsType Construct

# 13. TestType

The TestType is an abstract OVAL Test that defines the common properties associated with all OVAL Tests. The TestType provides an extension point for concrete OVAL Tests, which define platform-specific capabilities in OVAL Component Models. An OVAL Test defines the relationship between an OVAL Object and zero or more OVAL States, specifying exactly how many OVAL Items must exist on the system and how many of those OVAL Items must satisfy the set of referenced OVAL States.

+	+	
Property	Type	Count   Description
+	+	+

	id	oval:TestIDPattern	1	The globally unique identifier of an OVAL Test.
	version	unsigned int	1	The version of the unique OVAL Test.
	check_existe nce	oval:ExistenceEnumer ation	01	Specifies how many OVAL Items must exist, on the system, in order for the OVAL Test to evaluate to 'true'. Default Value: 'at_least_on e_exists'.
	check	oval:CheckEnumeratio n	1	Specifies how many of the collected OVAL Items must satisfy the requirements specified by the OVAL State(s) in order for the OVAL Test to evaluate to

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			'true'.
state_operat	oval:OperatorEnumera tion	01	Specifies how to logically combine the OVAL States referenced in the OVAL Test. Default Value: 'AND'.
comment	oval:NonEmptyStringT ype	1	A short description of the OVAL Test. This value SHOULD describe the intent of the OVAL Test including the system information that is examined and the expected state of that information.
deprecated	boolean	01	Whether or not the OVAL Test has been deprecated. A deprecated OVAL Test is one that should no longer be referenced by new OVAL Content. Default Value: 'false'.
notes	NotesType	01	A container for individual notes that describe some aspect of the OVAL Test.
signature	ext:Signature	01	Mechanism to ensure the integrity and authenticity of the content.

Table 12: TestType Construct

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#### 14. ObjectRefType

The ObjectRefType provides to an existing OVAL Object.

Property	   Туре	Count	Description
object_ref	oval:ObjectIDPattern	1	A reference to an     existing OVAL Object.

Table 13: ObjectRefType Construct

#### 15. StateRefType

The StateRefType provides to an existing OVAL State.

Property	+	+   Count	+   Description
state_ref	oval:StateIDPattern	1	A reference to an existing OVAL State.

Table 14: StateRefType Construct

### 16. ObjectsType

The ObjectsType provides a container for one or more OVAL Objects.

Property	Туре	Count	+
object	ObjectType	1*	A collection of OVAL Objects.

Table 15: ObjectsType Construct

## 17. ObjectType

The ObjectType is an abstract OVAL Object that defines the common properties associated with all OVAL Objects. The ObjectType provides an extension point for normal or "concrete" OVAL Objects, which define platform-specific capabilities, in the OVAL Component Models. A concrete OVAL Object MUST define sufficient entities to allow a user to identify a unique an item to be collected.

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A concrete OVAL Object may define a set of 0 or more OVAL Behaviors. OVAL Behaviors define an action that can further specify the set of OVAL Items that match an OVAL Object. OVAL Behaviors may depend on other OVAL Behaviors or may be independent of other OVAL Behaviors. In addition, OVAL Behaviors are specific to OVAL Objects and are defined in the OVAL Component Models.

1	Property	Туре	Count	Description
-	id	oval:ObjectIDPattern	1	The globally unique identifier of an OVAL Object contained in the OVAL Definitions.

version     	unsigned int	1     	The version of the globally unique OVAL Object referenced by the id property.
comment	oval:NonEmptyStringType	   1 	A short   description of the     OVAL Object.
deprecated	boolean	01	Whether or not the OVAL Object has been deprecated. Default Value: 'false'.
notes	NotesType	01	A container for individual notes that describe some aspect of the OVAL Object.
signature	ext:Signature	01	Mechanism to ensure the integrity and authenticity of the content.

Table 16: ObjectType Construct

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# 18. set

The set construct enables the expression of complex OVAL Objects that are the result of logically combining and filtering the OVAL Items that are identified by one or more other OVAL Objects. A set can consist of either one or two nested sets or one or two references to other OVAL Objects and a collection of OVAL Filters.

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Property	   Type	Count	Description
set_operator	SetOperatorEnumerati on	01	Specifies the set operation to use when combining subsets. Default Value: 'UNION'.
set	set	02	Allowed nested     sets.
object_referenc	oval:ObjectIDPattern	02	A reference to an OVAL Object based upon its ID. An object_reference indicates that any OVAL Items identified by the referenced OVAL Object are included in the set. The referenced OVAL Object MUST be contained within the current instance of the OVAL Definitions Model and MUST be of the same type as the OVAL Object that is referencing it.
filter	filter	0n	Defines one or more filters to apply to combined data.

Table 17: set Construct

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# 19. filter

•		•	
Property	Type 		Description
	FilterActionEnumeration		

			filter. Default   Value: 'exclude'.
value	oval:StateIDPattern	1	A reference to an OVAL State that defines how the data should be filtered. The referenced OVAL State MUST be contained within the current instance of the OVAL Definitions Model and MUST be of the same type as the OVAL Object that is referencing it.

Table 18: filter Construct

#### 20. StatesType

The StatesType provides a container for one or more OVAL States.

Property	Туре	Count	++   Description
state	StateType	1*	A collection of OVAL States.

Table 19: StatesType Construct

### 21. StateType

The StateType is an abstract OVAL State that defines the common properties associated with all OVAL States. The StateType provides an extension point for concrete OVAL States, which define platform-specific capabilities in the OVAL Component Models. The StateType is

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extended by concrete OVAL States in order to define platform specific capabilities. Each concrete OVAL State is comprised of a set of entities that describe a specific system state.

+	L	L	L
Property	Туре	Count	Description
id	oval:StateIDPattern	1	The globally unique identifier of an OVAL State contained in the OVAL Definitions.
version	unsigned int	1	The version of the globally unique OVAL State referenced by the id property.
operator	oval:OperatorEnumeration	01	The value to be used as the operator for the OVAL State, in order to know how to combine the set of entities defined within the concrete OVAL State. Default Value: 'AND'.
   comment   	oval:NonEmptyStringType	1	A short   description of   the OVAL State.

deprecated	boolean	01	Whether or not the OVAL Object has been deprecated. Default Value: 'false'.
notes	NotesType	01	A container for individual notes that describe some aspect of the OVAL State.

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	signature       	ext:Signature	01	Mechanism to   ensure the   integrity and   authenticity of   the content.
+	+		. – – – + – – – – – –	+

Table 20: ObjectType Construct

### 22. VariablesType

The VariablesType provides a container for one or more OVAL Variables.

Property	Туре	Count	+
			A collection of OVAL States.

Table 21: VariablesType Construct

### 23. VariableType

The VariableType is an abstract OVAL Variable that defines the common properties associated with all OVAL Variables defined in the OVAL Definition Model. The VariableType provides an extension point for concrete OVAL Variables. Concrete OVAL Variables extend this type to provide specific details.

Each concrete OVAL Variable has a collection of values. This collection of values may be the empty set. The proper handling of an empty collection of values for a given variable is left to the context in which the OVAL Variable is used. In some contexts an empty collection of values will be an error, and in other contexts an empty collection of values will be needed for proper evaluation. This context sensitive behavior is defined in [I-D.draft-haynes-sacmoval-processing-model]. All OVAL Variable values MUST conform to the datatype specified by the datatype property.

_				
Ì	Property	Туре	Count	Description
	id	oval:VariableIDPattern	1	The globally     unique     identifier of     an OVAL

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		contained in the OVAL Definitions.

version         	unsigned int	1	The version of the globally unique OVAL Variable referenced by the id property.
datatype	oval:SimpleDatatypeEnumeratio n	1	The datatype of the value(s) in the OVAL Variable. The 'record' datatype is not supported in OVAL Variables.
comment	oval:NonEmptyStringType	1	The documentation associated with the OVAL Variable instance.
deprecate d	boolean	01	Whether or not the OVAL Variable has been deprecated. Default Value: 'false'.
signature	ext:Signature	01	Mechanism to ensure the integrity and authenticity of the content.

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Table 22: VariableType Construct

# 24. external\_variable

The external\_variable is an extension of the VariableType and provides a way of defining variables whose values come from a source outside of the OVAL Definition.

An external\_variable can have any number of possible\_value and/or possible\_restriction elements in any order.

Property		Count	Description
possible_value	PossibleValueType	0*	Defines one   acceptable   value for an external   variable.
possible_restricti on	PossibleRestrictionTyp e	0*	Defines a range of acceptable values for an external variable.

Table 23: external\_variable Construct

### 25. PossibleValueType

The PossibleValueType provides a way to explicitly state an acceptable value for an external variable.

Property	+   Type	Count	Description
hint	string	1	A short description that describes the allowed value.
value	   string 	1	An acceptable value for the external variable.

Table 24: PossibleValueType Construct

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## 26. PossibleRestrictionType

The PossibleRestrictionType provides a way to explicitly list a range of acceptable values for an external variable. The operation attribute may be used to combine multiple restriction elements using a specified operation. See the Operator Enumeration Evaluation section in [I-D.draft-haynes-sacm-oval-processing-model] for more information on how to combine the individual results.

Property	+	+   Count	Description
restriction	RestrictionType	+   1* 	The restriction that   is being applied.
operation	OperationEnumeration	1	The operation to be applied to the restriction. Default Value: 'AND'.
hint	   string 	1	A short description that describes the allowed value.

Table 25: PossibleRestrictionType Construct

### 27. RestrictionType

+		L	LJ	L+
į	Property	Туре	Count	Description
 	operation	OperationEnumeration	1	The operation to be applied to the restriction. Default Value: 'AND'.
	value	string	1	An acceptable value for the external variable.

Table 26: RestrictionType Construct

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#### 28. constant\_variable

The constant\_variable extends the VariableType and provides a way of defining variables whose value is immutable.

Property	+   Type	   Count	Description
value	ValueType	1*	Defines a value represented by the     OVAL Variable.

Table 27: constant\_variable Construct

### 29. ValueType

The ValueType element defines a variable value.

	Property	Туре	Count	Description
]     	value	string	0*	Allows any simple type to be used as a value. If no value is specified the value is considered to be the empty string.

Table 28: ValueType Construct

# 30. local\_variable

The local\_variable is an extension of the VariableType and provides a way of defining variables whose value is determined by another local OVAL Construct. The value of this variable is determined at evaluation time.

A local\_variable can be constructed from a single component or via complex functions to manipulate the referenced components.

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| Property | Type | Count | Description |
| components | ComponentsGroup | 1..\* | The collection of | ComponentGroup constructs | to be evaluated in the | local\_variable.

Table 29: local\_variable Construct

#### 31. ComponentGroup

The ComponentGroup defines a set of constructs that can be used within a local\_variable or OVAL Function. When defining a local\_variable or OVAL Function, one or more of these constructs maybe used to specify the desired collection of values for the OVAL Variable.

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Property	Туре	Count	Description
object_component	ObjectComponentType	0*	A component of an OVAL Variable whose value comes from an OVAL Object.
variable_component	VariableComponentType	0*	A component of an OVAL Variable whose value comes from another OVAL Variable.
literal_component	LiteralComponentType	0*	A component of an OVAL Variable whose value is a literal value.
functions	FunctionGroup	0*	One or more of a set of functions that act upon one or more components of an OVAL Variable.

Table 30: ComponentGroup Construct

# 32. LiteralComponentType

The LiteralComponentType defines the way to provide an immutable value to a local\_variable.

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+	<u> </u>		++
Property	Туре	Count	Description
datatype	oval:SimpleDatatypeEnumeration	01	Defines the datatype. Default Value: 'string'.
value	string	01	The value of the literal component. If no value is specified the value is considered to be the empty string.

Table 31: LiteralComponentType Construct

# 33. ObjectComponentType

The ObjectComponentType defines the mechanism for retrieving OVAL Item Entity values, specified by an OVAL Object, to provide one or more values to a component of a local\_variable or OVAL Function.

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<b></b>	L		
Property	Туре	Count	Description
object_ref	oval:ObjectIDPattern	1       	Specifies the identifier for the OVAL Object which the component refers.
item_field	oval:NonEmptyStringType	1	The name of the OVAL Item Entity to use for the value(s) of the OVAL Variable.
record_field	   oval:NonEmptyStringType	01	   Allows the

				retrieval of a specified OVAL field to be retrieved from an OVAL Item Entity that has a datatype of 'record'.
--	--	--	--	---

Table 32: ObjectComponentType Construct

## 34. VariableComponentType

The VariableComponentType defines the way to specify that the value(s) of another OVAL Variable should be used as the value(s) for a component of a local\_variable or OVAL Function.

A variable component is a component that resolves to the value(s) associated with the referenced OVAL Variable.

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Property		Count	Description
var_ref	oval:VariableIDPattern	1	Specifies the Identifier for the OVAL Variable to which the component refers. The var_ref property MUST refer to an existing OVAL Variable. Care must be taken to ensure that the referenced OVAL Variable does not result in a circular reference as it could result in an infinite loop when evaluated.

Table 33: VariableComponentType Construct

### 35. FunctionGroup

The FunctionGroup defines the possible OVAL Functions for use in OVAL Content to manipulate collected data. OVAL Functions can be nested within one another to achieve the case where one needs to perform multiple functions on a collection of values.

	L	L		
	Property	Туре	Count	Description
-	arithmetic	ArithmeticFunctionType	01	A function for performing basic math on numbers.
	begin	BeginFunctionType	01	A function that ensures

				that a collected string starts with a specified string.
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concat	ConcatFu	unctionType	01	A function that combines multiple strings.
end	EndFunct	гіопТуре	01	A function that determines whether a collected string ends with a specified string or not.
escape_re	gex EscapeRe	egexFunctionType	01	A function that escapes all of the reserved regular expression characters

in a string.

0..1

0..1

0..1

A function that splits a string into parts,

using a delimeter.

A function

A function

that calculates the difference

that creates a substring from a value.

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SplitFunctionType

 ${\tt SubstringFunctionType}$ 

time\_differenc | TimeDifferenceFunctionType

split

substring

			between two   times.
unique	UniqueFunctionType	01	A function that takes one or more components and removes any duplicate value from

		 	the set of     components.
regex_capture	RegexCaptureFunctionType	01	A function that uses a regular expression to capture a substring of a collected string value.

Table 34: FunctionGroup Construct

#### 36. ArithmeticFunctionType

The ArithmeticFunctionType defines a function that calculates a given, simple mathematic operation between two or more values. This function applies the specified mathematical operation on two or more integer or float values. The result of this operation is a single integer or float value, unless any of the sub-components resolve to multiple values, in which case the result will be an array of values, corresponding to the arithmetic operation applied to the Cartesian product of the values.

In the case of mixed integers and floats, the result will be a float value.

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Property	Туре	Count	Description
arithmetic_operati     on	ArithmeticEnumerati on	1	The operation   to perform.
values	ComponentGroup	2*	Any type from the ComponentG roup.

Table 35: ArithmeticFunctionType Construct

### 37. BeginFunctionType

The BeginFunctionType defines a function that ensures that the specified values start with a specified character or string. This function operates on a single sub-component of datatype string and ensures that the specified value(s) start with the characters specified in the character property. When a value does not start with the specified characters, the function will prepend add the complete set of characters from the character property to the string. Otherwise, the string value will remain unchanged.

Property	   Туре	   Count	Description
character	string	1	The character or string to use for the function.
value	ComponentGroup	1	Any type from the ComponentGroup.

Table 36: BeginFunctionType Construct

#### 38. ConcatFunctionType

The ConcatFunctionType defines a function that concatenates the values specified together into a single string value. This function combines the values of two or more sub-components into a single string value. The function combines the sub-component values in the order that they are specified. That is, the first sub-component specified will always be at the beginning of the newly created string value and the last sub-component will always be at the end of the newly created string value.

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Property	Туре	Count	Description
values	ComponentGroup	2*	Any type from the   ComponentGroup.

Table 37: ConcatFunctionType Construct

### 39. CountFunctionType

The CountFunctionType defines a function that counts the values represented by one or more components as an integer. This function determines the total number of values referenced by all of the specified sub-components.

Property	Туре	+   Count	
values	ComponentGroup	1*	Any type from the ComponentGroup.

Table 38: CountFunctionType Construct

# 40. EndFunctionType

The EndFunctionType defines a function that ensures that the specified values end with a specified character or string. This function operates on a single sub-component of datatype string and ensures that the specified value(s) end with the characters specified in the character property. When a value does not end with the specified characters, the function will add the complete set of characters from the character property to the end of the string. Otherwise, the string value will remain unchanged.

	Property	Туре	Count	Description
 	character	string	1	The character or string to use for the function.
	value	ComponentGroup	1	Any type from the ComponentGroup.

Table 39: EndFunctionType Construct

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#### 41. EscapeRegexFunctionType

The EscapeRegexFunctionType defines a function that escapes all of the regular expression reserved characters in a given string. This function operates on a single sub-component, escaping reserved regular expression characters for each sub-component value. The set of metacharacters, in the Perl 5 regular expression syntax, which must be escaped for this purpose is as follows, enclosed by single quotes: ' $^{\.}$ '. Please see the Regular Expression Support section in [I-D.draft-cokus-sacm-oval-common-model] for more information on the Perl 5 regular expression syntax that is supported in the OVAL Language.

Property	Туре	Count	Description
value	ComponentGroup	1	Any type from the ComponentGroup.

Table 40: EscapeRegexFunctionType Construct

## 42. SplitFunctionType

The SplitFunctionType defines a function that splits a string value into multiple values, based on a specified delimiter. This function operates on a single sub-component and results in an array of values, where each values is the splitting the subject string using the specified delimiter.

If the sub-component being split includes a string that either begins with or ends with the delimiter, there will be an empty string value included either at the beginning or end, respectively.

If multiple instances of the delimiter appear consecutively, each instance will result in an additional empty string value.

If the delimiter is not found in the subject string, the entire subject string will be included in the result.

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Property	Туре	Count	Description
delimiter	string	1	The string to use as a delimiter.
value	ComponentGroup	1	Any type from the ComponentGroup.

Table 41: SplitFunctionType Construct

## 43. SubstringFunctionType

The SubstringFunctionType defines a function that takes a string value and produces a value that contains a portion of the original string.

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Property	   Туре	Count	Description
substring_start	int	1	The starting index to use for the substring. This property is 1-based, meaning that a value of 1 represents the first character of the subject string. A value less than 1 is also interpreted as the first character in the subject string. If the substring_start property exceeds the length of the subject string an error MUST be reported.
substring_length	int	1	Represents the length of the substring to be taken from the source string, including the starting character.  Any substring_length that exceeds the length of the string or is negative indicates to include all characters from the starting character until the end of the source string.
value   t	ComponentGroup	1	   Any type from the

Table 42: SubstringFunctionType Construct

### 44. TimeDifferenceFunctionType

The TimeDifferenceFunctionType defines a function that produces a value containing the difference in seconds between two date-time values. If a single sub-component is specified, then the time difference is between the specified date-time and the current date-time. The current time is the time at which the function is evaluated. If two sub-components are specified, then the difference is that between the two specified date-times.

<b></b>	L		L
Property	Туре	Count	Description
	DateTimeFormatEnumeration	01	The format for the first date-time value specified. Note: If specifying a single value, use format_1 to specify the implied current date-time. Default Value: 'year_month_day'.
format_2	DateTimeFormatEnumeration	01	The format for the second date-time value specified. Note: If specifying a single value, use format_2 to specify the value's format, as format_1 is used for the implied current date-time. Default Value: 'year_month_day'.
value	ComponentGroup	12	Any type from the ComponentGroup.

Table 43: TimeDifferenceFunctionType Construct

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If a sub-component value does not conform to the format specified in the DateTimeFormatEnumeration an error MUST be reported.

The datatype associated with the sub-components MUST be 'string' or 'int' depending on which date time format is specified. The result of this function is always an integer. The following table states which datatype MUST be used with which format from the DateTimeFormatEnumeration.

 L	L
Value	Description
year_month_day	string
month_day_year	string
day_month_year	string
win_filetime	int
seconds_since_epoch	int
 <del>-</del>	<b>-</b>

Table 44: DateTimeFormat Datatype Enumeration Table

#### 45. UniqueFunctionType

The UniqueFunctionType defines a function that removes any duplicate value from the set of values represented by one or more components. This function takes one or more sub-components and removes any duplicate values across the sub-components. A duplicate value is defined as any value that is equal to another value when compared as a string value.

Property	+	   Count	Description
values	ComponentGroup	1*	Any type from the ComponentGroup

Table 45: UniqueFunctionType Construct

#### 46. RegexCaptureFunctionType

The RegexCaptureFunctionType defines a function operating on a single component, which extracts a substring from each of its values.

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The pattern property specifies a regular expression, which SHOULD contain a single capturing sub-pattern (using parentheses). If the regular expression contains multiple capturing sub-patterns, only the first capture is used. If there are no capturing sub-patterns, the result for each target string MUST be the empty string. Otherwise, if the regular expression could match the target string in more than one place, only the first match (and its first capture) is used. If no matches are found in a target string, the result for that target MUST be the empty string.

Note that a quantified capturing sub-pattern does not produce multiple substrings. Standard regular expression semantics are such that if a capturing sub-pattern is required to match multiple times in order for the overall regular expression to match, the capture produced is the last substring to have matched the sub-pattern.

If any of the Perl 5 regular expression syntax metacharacters are to be used literally, then they must be escaped. The set of metacharacters which must be escaped for this purpose is as follows, enclosed by single quotes: '\\$\.[](){}\*+?|'. Please see the Regular Expression Support section in [I-D.draft-cokus-sacm-oval-common-model] for more information on the Perl 5 regular expression syntax that is supported in the OVAL Language.

Property	Туре	Count	Description
pattern	string	1	The string to use as a regular expression pattern.
value	   ComponentGroup 	1	Any type from the ComponentGroup.

Table 46: RegexCaptureFunctionType Construct

## 47. ArithmeticEnumeration

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Value	Description
add	Indicates addition.
multiply	Indicates multiplication.

Table 47: Arithmetic Enumeration

# 48. DateTimeFormatEnumeration

The DateTimeFormatEnumeration defines an enumeration for the possible values for the date-time values.

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1		
Value	Description	
year_month_day	This value indicates a format that follows the following patterns:  o yyyymmdd o yyyymm/dd hh:mm:ss o yyyy/mm/dd o yyyy-mm-dd hh:mm:ss o yyyy-mm-dd hh:mm:ss	
month_day_year	This value indicates a format that follows the following patterns:  o mm/dd/yyyy hh:mm:ss o mm/dd/yyyy	

	o mm-dd-yyyy hh:mm:ss o mm-dd-yyyy o NameOfMonth, dd yyyy hh:mm:ss o NameOfMonth, dd yyyy o AbreviatedNameOfMonth, dd yyyy hh:mm:ss o AbreviatedNameOfMonth, dd yyyy	
day_month_year	This value indicates a format that follows the following patterns:  o dd/mm/yyyy hh:mm:ss o dd/mm/yyyy o dd-mm-yyyy hh:mm:ss o dd-mm-yyyy	
win_filetime	This value indicates a date-time that     follows the windows file time   format [WIN-FILETIME].	
seconds_since_epoch	This value indicates a date-time that   represents the time in seconds since   the UNIX Epoch. The UNIX epoch is the   time 00:00:00 UTC on January 1, 1970.	

Figure 1: DateTimeFormat Enumeration

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### 49. FilterActionEnumeration

4		<u></u>
į	Value	Description
ļ	include	A value that indicates to include matching items from the set.
ļ	exclude	A value that indicates to exclude matching items from the set.

Table 48: FilterAction Enumeration

# 50. SetOperatorEnumeration

Value	Description
COMPLEMENT	A value that indicates to include only the elements from the first set that are not found in the second.
INTERSECTION	A value that indicates to include all of the values common to both sets.
UNION	A value that indicates to include all values found in either of the sets.

Table 49: SetOperator Enumeration

# 51. EntityAttributeGroup

The EntityAttributeGroup defines a set of attributes that are common to all OVAL Object and OVAL State entities.

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Property	Type	Count	Description
datatype   	oval:DatatypeEnumeration	01	The datatype for the entity. Default Value: 'string'.
operation	oval:OperationEnumeration	01	The operation that is to be performed on the entity. Default Value: 'equals'.
mask	boolean	01	Tells the data collection that this entity contains sensitive data. Data marked with mask='true' should be used only in the evaluation, and not be included in the results. Default Value: 'false'.
var_ref	oval:VariableIDPattern	01	Points to a variable Identifier within the OVAL document which should be used to calculate the entity's value.
   var_check          -	oval:CheckEnumeration	   01     	Directs how to either collect data or evaluate state for the entity.

Table 50: EntityAttributeGroup

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# 52. EntitySimpleBaseType

The EntitySimpleBaseType is an abstract type that defines a base type for all simple entities. Entities represent the individual properties for OVAL Objects and OVAL States.

+   Property		   Count	Description
attributes	EntityAttributeGroup	1   	   The standard   attributes available   to all entities.

Table 51: EntitySimpleBaseType Construct

### 53. EntityComplexBaseType

The EntityComplexBaseType is an abstract type that defines a base type for all complex entities. Entities represent the individual properties for OVAL Objects and OVAL States.

Property	Type	Count	Description
attributes	EntityAttributeGroup	1	The standard   attributes available   to all entities.

Table 52: EntityComplexBaseType Construct

## 54. EntityObjectIPAddressType

The EntityObjectIPAddressType extends the EntitySimpleBaseType and describes an IPv4 or IPv6 IP address.

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Property	Type	Count	Description
datatype	oval: SimpleDatatype Enumeration	1	Possible values: o 'ipv4_address' o 'ipv6_address' Also allows an empty string value.

Figure 2: EntityObjectIPAddressType Construct

# 55. EntityObjectIPAddressStringType

The EntityObjectIPAddressStringType extends the EntitySimpleBaseType and describes an IPv4 or IPv6 IP address or a string representation of the address.

Property	+   Туре 	Count	Description
datatype	oval:   SimpleDatatype   Enumeration	1	Possible values: o 'ipv4_address' o 'ipv6_address' o 'string' Also allows an empty string



Figure 3: EntityObjectIPAddressStringType Construct

# 56. EntityObjectAnySimpleType

The EntityObjectAnySimpleType extends the EntitySimpleBaseType and describes any simple data.

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Property	+	+   Count	++   Description
datatype	oval:SimpleDatatypeEnumeration	1	Any simple datatype. Also allows an empty string value.

Table 53: EntityObjectAnySimpleType Construct

## 57. EntityObjectBinaryType

The EntityObjectBinaryType extends the EntitySimpleBaseType and describes any simple binary data.

<b></b>	L	L	L
Property	Туре	Count	Description
datatype	oval:SimpleDatatypeEnumeration	1	This value is fixed as binary'. Sland allows an empty string value.

Table 54: EntityObjectBinaryType Construct

# 58. EntityObjectBoolType

The EntityObjectBoolType extends the EntitySimpleBaseType and describes any simple boolean data.

Property	туре	Count	Description
datatype	oval:SimpleDatatypeEnumeration	1	This value is fixed as 'boolean'. Also allows an empty string value.

Table 55: EntityObjectBoolType Construct

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## 59. EntityObjectFloatType

The EntityObjectFloatType extends the EntitySimpleBaseType and describes any simple float data.

+-----

Property	Type	Count	Description
datatype	oval:SimpleDatatypeEnumeration	1	This value is     fixed as   'float'. Also   allows an   empty string   value.

Table 56: EntityObjectFloatType Construct

# 60. EntityObjectIntType

The  ${\tt EntityObjectIntType}$  extends the  ${\tt EntitySimpleBaseType}$  and describes any simple integer data.

- 4				L
Ī	Property	Туре	Count	Description
	datatype	oval:SimpleDatatypeEnumeration	1	This value is     fixed as     'int'. Also     allows an     empty string     value.

Table 57: EntityObjectIntType Construct

# 61. EntityObjectStringType

The EntityObjectStringType extends the EntitySimpleBaseType and describes any simple string data.

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		L		L
Ī	Property	Туре	Count	Description
	datatype	oval:SimpleDatatypeEnumeration	1	This value is     fixed as     'string'.   Also allows     an empty     string value.

Table 58: EntityObjectStringType Construct

# 62. EntityObjectVersionType

The EntityObjectVersionType extends the EntitySimpleBaseType and describes any simple version data.

_		L	L — — — — — — -	L
į	Property	Туре	Count	Description
	datatype	oval:SimpleDatatypeEnumeration	1	This value is fixed as 'version'. Also allows an empty string value.

Table 59: EntityObjectVersionType Construct

## 63. EntityObjectRecordType

The EntityObjectRecordType extends the EntityComplexBaseType and allows assertions to be made on entities with uniquely named fields. It is intended to be used to assess the results of things such as SQL statements and similar data.

_	L	L		L
	Property	Туре	Count	Description
•	datatype	oval:ComplexDatatypeEnumeration	1	This value   is fixed as   'record'.
	operation	oval:OperationEnumeration	01	This value     is fixed as     'equals'.

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mask	boolean	01	Tells the data collection that this entity contains sensitive data. Data marked with mask='true' should be used only in the evaluation, and not be included in the results. Note that when the mask property is set to 'true', all child field elements must be masked regardless of the child field's mask attribute value. Default Value: 'false'.
var_ref	oval:VariableIDPattern	01	Use of this property is prohibited.
var_check	oval:CheckEnumeration	01	Use of this     property is     prohibited.

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#### Table 60: EntityObjectRecordType Construct

### 64. EntityObjectFieldType

Property	+	   Count	Description
attributes	EntityAttributeGroup	+   1 	The standard attributes available to all entities.
name	string	1	The name of the field. Names MUST be all lower case characters in the range of a-z. Names MUST be unique within a record.
value	string	01	The value of the field. An empty string value MUST be used when referencing an OVAL Variable.

Table 61: EntityObjectFieldType Construct

### 65. EntityStateSimpleBaseType

The EntityStateSimpleBaseType extends the EntitySimpleBaseType and defines a simple base type for OVAL States.

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Property	Туре	Count	Description
entity_check	oval:CheckEnumeration	01	Defines how to handle multiple item entities with the same name. Default Value: 'all'.
value	string	01	The value of the entity. An empty string value MUST be used when referencing an OVAL Variable.

Table 62: EntityStateSimpleBaseType Construct

### 66. EntityStateComplexBaseType

The EntityStateComplexBaseType extends the EntityComplexBaseType defines a complex base type for OVAL States.

Property	Туре	Count	Description
entity_check	oval:CheckEnumeration	01	Defines how to handle multiple item entities with the same name. Default Value: all'.

Table 63: EntityStateComplexBaseType Construct

#### 67. EntityStateIPAddressType

The EntityStateIPAddressType extends the EntityStateSimpleBaseType and describes an IPv4 or IPv6 IP address.

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Property	+	   Count 	Description
datatype	oval: SimpleDatatype Enumeration		Possible values:  o 'ipv4_address' o 'ipv6_address' Also allows an empty string value.

Figure 4: EntityStateIPAddressType Construct

# 68. EntityStateIPAddressStringType

The EntityStateIPAddressStringType extends the EntityStateSimpleBaseType and describes an IPv4 or IPv6 IP address or a string representation of the address.

4				
Proper	ty	Туре	Count	Description
dataty	pe               	oval: SimpleDatatype Enumeration	1	Possible values:  o 'ipv4_address' o 'ipv6_address' o 'string'  Also allows an empty string value.

Figure 5: EntityStateIPAddressStringType Construct

### 69. EntityStateAnySimpleType

The EntityStateAnySimpleType extends the EntityStateSimpleBaseType and describes any simple data.

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Property	Туре	Count	Description
datatype	oval:SimpleDatatypeEnumeration	1	Any simple datatype. Also allows an empty string value.

Table 64: EntityStateAnySimpleType Construct

#### 70. EntityStateBinaryType

The EntityStateBinaryType extends the EntityStateSimpleBaseType and describes any simple binary data.

4	L	L	L	L
į	Property	Туре	Count	Description
	datatype	oval:SimpleDatatypeEnumeration	1	This value is fixed as binary'. binary'. Also allows an empty string value.

Table 65: EntityStateBinaryType Construct

#### 71. EntityStateBoolType

The EntityStateBoolType extends the EntityStateSimpleBaseType and describes any simple boolean data.

Property	Туре	Count	Description
datatype	oval:SimpleDatatypeEnumeration	1	This value is     fixed as     'boolean'.   Also allows     an empty     string value.

Table 66: EntityStateBoolType Construct

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### 72. EntityStateFloatType

The EntityStateFloatType extends the EntityStateSimpleBaseType and describes any simple float data.

Property	Туре	Count	Description
datatype	oval:SimpleDatatypeEnumeration	1	This value is     fixed as     'float'. Also     allows an     empty string     value.

Table 67: EntityStateFloatType Construct

# 73. EntityStateIntType

The EntityStateIntType extends the EntityStateSimpleBaseType and describes any simple integer data.

Property	Туре	Count	Description
datatype	oval:SimpleDatatypeEnumeration	1	This value is     fixed as     'int'. Also     allows an     empty string     value.

Table 68: EntityStateIntType Construct

#### 74. EntityStateEVRStringType

The EntityStateEVRStringType extends the EntityStateSimpleBaseType and describes an EPOCH:VERSION-RELEASE string data.

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+   Property	+	+   Count	Description
datatype	oval:SimpleDatatypeEnumeration	1     	This value is     fixed as     'evr_string'.   Also allows     an empty     string value.

Table 69: EntityStateEVRStringType Construct

## $75. \quad {\tt EntityStateDebianEVRStringType}$

The EntityStateDebianEVRStringType extends the EntityStateSimpleBaseType and describes an EPOCH:UPSTREAM\_VERSION-DEBIAN\_REVISION string data for a Debian package.

Propert   y	Type	Count	Description
datatyp   e 	oval:SimpleDatatypeEnumera tion	1	This value is fixed as 'debian_e vr_string'. Also allows an empty string value.

Table 70: EntityStateDebianEVRStringType Construct

### 76. EntityStateVersionType

The EntityStateVersionType extends the EntityStateSimpleBaseType and describes a version string data.

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Property	Туре	Count	Description
datatype	oval:SimpleDatatypeEnumeration	1	This value is   fixed as   'version'. Also allows   an empty   string value.

Table 71: EntityStateVersionType Construct

### 77. EntityStateFileSetRevisionType

The EntityStateFileSetRevisionType extends the EntityStateSimpleBaseType and describes a file set revision string data.

Propert   y	Type	Count	Description
datatyp   e 	oval:SimpleDatatypeEnumera tion	1	This value is fixed as 'fileset_ revision'. Also allows an empty string value.

Table 72: EntityStateFileSetRevisionType Construct

# 78. EntityStateIOSVersionType

The EntityStateIOsVersionType extends the EntityStateSimpleBaseType and describes a Cisco IOS version string data.

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Property	+	Count	Description
datatype	oval: SimpleDatatype Enumeration	1	Possible values: o 'ios_version' o 'string'  The string type is an option in

	order to allow
	use of regular
	expressions.
+	 

Figure 6: EntityStateIOSVersionType Construct

# 79. EntityStateStringType

The EntityStateStringType extends the EntitySimpleBaseType and describes any simple string data.

Property	Туре	   Count	Description
datatype	oval:SimpleDatatypeEnumeration	1	This value is   fixed as 'string'. Also allows an empty string value.

Table 73: EntityStateStringType Construct

# 80. EntityStateRecordType

The EntityStateRecordType extends the EntityStateComplexBaseType and allows assertions to be made on entities with uniquely named fields. It is intended to be used to assess the results of things such as SQL statements and similar data.

+	+++
Property   Type	Count   Description
+	+

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datatype	oval:ComplexDatatypeEnumeration	1   	This value is fixed as 'record'.
operation	oval:OperationEnumeration	01	This value is fixed as 'equals'.
mask	boolean	01	Tells the data collection that this entity contains sensitive data. Data marked with mask='true' should be used only in the evaluation, and not be included in the results. Note that when the mask property is set to 'true', all child field elements must be masked regardless of the

			child field's mask attribute value. Default Value: 'false'.	
var_ref	oval:VariableIDPattern	01	Use of this	l

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			property is     prohibited.
var_check	oval:CheckEnumeration	01	Use of this property is prohibited.

Table 74: EntityStateRecordType Construct

### 81. EntityStateFieldType

+	<u></u>	+	<b></b>
Property	Туре	Count	Description
attributes	EntityAttributeGroup	1	The standard attributes available to all entities.
name	string	1	The name of the field. Names MUST be all lower case characters in the range of a-z. Names MUST be unique within a record.
value	string	01	The value of the field. An empty string value MUST be used when referencing an OVAL Variable.

Table 75: EntityStateFieldType Construct

#### 82. OVAL Definitions Model Schema

The following XML Schema implements the OVAL Definitions Model.

```
<?xml version="1.0" encoding="utf-8"?>
<xsd:schema
  xmlns:xsd="http://www.w3.org/2001/XMLSchema"
  xmlns:oval="http://oval.mitre.org/XMLSchema/oval-common-5"</pre>
```

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xmlns:oval-def="http://oval.mitre.org/XMLSchema/
oval-definitions-5"
xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
xmlns:sch="http://purl.oclc.org/dsdl/schematron"
targetNamespace="http://oval.mitre.org/XMLSchema/
oval-definitions-5"
elementFormDefault="qualified" version="5.11">

```
<xsd:import</pre>
            namespace="http://oval.mitre.org/XMLSchema/oval-common-5"
schemaLocation="oval-common-schema.xsd"/>
         <xsd:import</pre>
             namespace="http://www.w3.org/2000/09/xmldsig#"
schemaLocation="xmldsig-core-schema.xsd"/>
         <xsd:annotation>
             <xsd:documentation>The following is a
                xsd:documentation>The following is a description of the elements, types, and attributes that compose the core schema for encoding Open Vulnerability and Assessment Language (OVAL) Definitions. Some of the objects defined here are extended and enhanced by individual component schemas, which are described in separate documents. Each of the elements, types, and attributes that make up the Core Definition Schema are described in detail and should provide the information necessary to understand what
                information necessary to understand what each represents. This document is intended
                for developers and assumes some familiarity with XML. A high level description of the
                interaction between these objects is not
outlined here.</xsd:documentation>
             <xsd:appinfo>
                 <schema>Core Definition</schema>
                <schema>core Definition(>schema>
<version>5.11.1</version>
<date>4/22/2015 09:00:00 AM</date>
<terms_of_use>Copyright (C) 2010 United States Government.
   All Rights Reserved.</terms_of_use>
<sch:ns prefix="oval-def"
        uri="http://oval.mitre.org/XMLSchema/
        oval-definitions-5"/>
                 oval-definitions-5"/>
<sch:ns prefix="xsi"
   uri="http://www.w3.org/2001/XMLSchema-instance"
             </xsd:appinfo>
         </xsd:annotation>
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         <xsd:element name="oval_definitions">
             <xsd:annotation>
                 <xsd:documentation>The oval_definitions
                   element is the root of an OVAL Definition
Document. Its purpose is to bind together
the major sections of a document -
generator, definitions, tests, objects,
states, and variables - which are the
children of the root
                    element.</xsd:documentation>
                 <xsd:appinfo>
                     <sch:pattern id="oval-def_empty_def_doc">
                        <sch:rule
                            context="oval-def:oval_definitions">
                            <sch:assert
                               test="oval-def:definitions or oval-def:tests or
                               oval-def:objects or oval-def:states or
oval-def:variables"_____
                               >A valid OVAL Definition document
                               must contain at least one
                               definitions, tests, objects, states, or variables element. The optional definitions, tests, objects, states, and variables sections define the specific characteristics that should
                               be evaluated on a system to
                               determine the truth values of the OVAL Definition Document. To be
                               valid though, at least one
definitions, tests, objects, states,
or variables element must be
                               present.</sch:assert>
```

```
</sch:rule>
             </sch:pattern>
          </xsd:appinfo>
        </xsd:annotation>
        <xsd:complexType>
          <xsd:sequence>
             <xsd:element name="generator"
type="oval:GeneratorType">
               <xsd:annotation>
                 <xsd:documentation>The required
                   generator section provides
information about when the
definition file was compiled and
                   under what
                    version.</xsd:documentation>
               </xsd:annotation>
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             </xsd:element>
             <xsd:documentation>The optional
                   definitions section contains 1 or
                    more
                    definitions.</xsd:documentation>
               </xsd:annotation>
             </xsd:element>
             <xsd:element name="tests"</pre>
               type="oval-def:TestsType" minOccurs="0"
maxOccurs="1">
               <xsd:annotation>
                 <xsd:documentation>The optional tests
                    section contains 1 or more
                    tests.</xsd:documentation>
               </xsd:annotation>
             </xsd:element>

<
                 <xsd:documentation>The optional
                   objects section contains 1 or more
                    objects.</xsd:documentation>
               </xsd:annotation>
             </xsd:element>
             <xsd:element name="states"</pre>
               type="oval-def:StatesType" minoccurs="0"
maxoccurs="1">
               <xsd:annotation>
                 <xsd:documentation>The optional states
                    section contains 1 or more
                    states.</xsd:documentation>
               </xsd:annotation>
             </xsd:element>
             <xsd:annotation>
                 <xsd:documentation>The optional
                   variables section contains 1 or more
                    variables.</xsd:documentation>
               </xsd:annotation>
             </xsd:element>
             <xsd:element ref="ds:Signature"</pre>
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                                                                          [Page 65]
```

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minOccurs="0" maxOccurs="1">

```
<xsd:annotation>
                    <xsd:documentation>The optional
                       Signature element allows an XML Signature as defined by the W3C to
                      be attached to the document. This allows authentication and data integrity to be provided to the user. Enveloped signatures are
                       supported. More information about the official W3C Recommendation
                       regarding XML digital signatures can
                       be found at
                       http://www.w3.org/TR/xmldsig-core/.
                    </xsd:documentation>
                  </xsd:annotation>
               </xsd:element>
            </xsd:seguence>
         </xsd:complexType>
<xsd:key name="definitionKey">
            <xsd:annotation>
              <axsd:documentation>Enforce uniqueness
amongst the ids differentiating the
individual definition
elements.</axsd:documentation>
            </xsd:annotation>
            <xsd:selector
  xpath="oval-def:definitions/oval-def:definition"/>
<xsd:field xpath="@id"/>
         </xsd:key>
         <xsd:key name="testKey">
            <xsd:annotation>
               <xsd:documentation>Enforce uniqueness
                 amongst the ids differentiating the
                 individual test
elements.</xsd:documentation>
            </xsd:annotation>
            <xsd:selector xpath="oval-def:tests/*"/>
<xsd:field xpath="@id"/>
         </xsd:key>
         <xsd:key name="objectKey">
            <xsd:annotation>
               <xsd:documentation>Enforce uniqueness
                 amongst the ids differentiating the
                  individual object
                 elements.</xsd:documentation>
            </xsd:annotation>
            <xsd:selector xpath="oval-def:objects/*"/>
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            <xsd:field xpath="@id"/>
         </xsd:key>
         <xsd:key name="stateKey">
            <xsd:annotation>
               <xsd:documentation>Enforce uniqueness
                 amongst the ids differentiating the
                 individual state
elements.</xsd:documentation>
            </xsd:annotation>
            <xsd:selector xpath="oval-def:states/*"/>
<xsd:field xpath="@id"/>
         </xsd:key>
         <xsd:key name="variableKey">
            <xsd:annotation>
               <xsd:documentation>Enforce uniqueness
                 amongst the ids differentiating the individual variable elements.</xsd:documentation>
            </xsd:annotation>
            <xsd:selector xpath="oval-def:variables/*"/>
<xsd:field xpath="@id"/>
         </xsd:key>
         </sduckey>
<xsd:keyref name="extendKeyRef"
  refer="oval-def:definitionKey">
            <xsd:annotation>
               <xsd:documentation>Requires each
```

```
definition reference to refer to a valid definition id.</xsd:documentation>
            </xsd:annotation>

<xsd:field xpath="@definition_ref"/>

         </xsd:keyref>
         <xsd:keyref name="testKeyRef"
refer="oval-def:testKey">
            <xsd:annotation>
              <xsd:documentation>Requires each test
                 reference to refer to a valid test id.</xsd:documentation>
            </xsd:annotation>
            <xsd:selector xpath=".//*"/>
<xsd:field xpath="@test_ref"/>
         </xsd:keyref>
         <xsd:keyref name="objectKeyRef"
  refer="oval-def:objectKey">
            <xsd:annotation>
              <xsd:documentation>Requires each object
                 reference to refer to a valid object
                 id.</xsd:documentation>
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            </xsd:annotation>
           <
         </xsd:keyref>
         <xsd:keyref name="stateKeyRef"
refer="oval-def:stateKey">
            <xsd:annotation>
              <xsd:documentation>Requires each state
                 reference to refer to a valid state id.</xsd:documentation>
            </xsd:annotation>
            <xsd:selector xpath=".//*"/>
<xsd:field xpath="@state_ref"/>
         </xsd:keyref>
         </sd:keyref name="variableKeyRef"
refer="oval-def:variableKey">
            <xsd:annotation>
              <xsd:documentation>Requires each variable
                 reference to refer to a valid variable
id.</xsd:documentation>
            </xsd:annotation>
            <xsd:selector xpath=".//*"/>
<xsd:field xpath="@var_ref"/>
         </xsd:keyref>
         <p
            <xsd:annotation>
              <xsd:documentation>Require each object
                 reference in a set element to refer to a valid object id.</ri>
            </xsd:annotation>
            <xsd:selector
  xpath=".//oval-def:object_reference"/>
<xsd:field_xpath="."/>
         </xsd:keyref>
<xsd:keyref name="filterKeyRef"
refer="oval-def:stateKey">
            <xsd:annotation>
              <xsd:documentation>Require each filter in
    a set element to refer to a valid state
                 id.</xsd:documentation>
            </xsd:annotation>
           <xsd:selector xpath=".//oval-def:filter"/>
<xsd:field xpath="."/>
         </xsd:keyref>
       </xsd:element>
      <xsd:element name="notes"</pre>
         substitutionGroup="oval:notes">
```

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```
<xsd:annotation>
          <xsd:documentation>The notes element is a
  container for one or more note child
           elements. It exists for backwards-compatibility purposes, for the pre-5.11.0 oval-def:NotesType, which has been replaced by the oval:notes element in 5.11.1.
          <xsd:appinfo>
            <oval:deprecated_info>
              <oval:version>5.11.1</oval:version>
              <oval:reason>Replaced by the oval:notes
element.
              <oval:comment>This object has been
deprecated and may be removed in a
future version of the
                language.</oval:comment>
           </oval:deprecated_info>
<sch:pattern id="oval_def_notes_dep">
              /></sch:report>
              </sch:rule>
            </sch:pattern>
          </xsd:appinfo>
       </xsd:annotation>
       <xsd:complexType>
          <xsd:complexContent>
            <xsd:extension base="oval:NotesType">
              <xsd:sequence>
                <xsd:element name="note"
  type="xsd:string" minOccurs="0"
  maxOccurs="unbounded"/>
              </xsd:sequence>
            </xsd:extension>
          </xsd:complexContent>
       </xsd:complexType>
     </xsd:element>
   The GeneratorType is defined by the oval common schema
   Please refer to that documentation for a description of the
   complex type.
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   <!-- ========== DEFINITIONS ============= -->
   <xsd:complexType name="DefinitionsType">
       <xsd:annotation>
          <xsd:documentation>The DefinitionsType
           complex type is a container for one or more definition elements. Each definition element describes a single OVAL Definition. Please refer to the description of the DefinitionType for more
            information about an individual
            definition.</xsd:documentation>
       </xsd:annotation>
       <xsd:sequence>
         <xsd:element ref="oval-def:definition"
minOccurs="1" maxOccurs="unbounded"/>
       </xsd:sequence>
     </xsd:complexType>
```

<xsd:element name="definition"
 type="oval-def:DefinitionType">
 <xsd:annotation>
 <xsd:documentation>The definition element
 represents the globally defined element of
 type DefinitionType. For more information
 please see the documentation on the
 DefinitionType.

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the definition. For example, why certain tests have been included in the criteria, or maybe a link to where further information can be found. The DefinitionType also (unless the definition is deprecated) contains a criteria child element that joins individual tests together with a logical operator to specify the specific computer state being described </xsd:documentation> <xsd:documentation>The required id attribute is the OVAL-ID of the Definition. The form of an OVAL-ID must follow the specific format described by the oval:DefinitionIDPattern. The required version attribute holds the current version of the definition. Versions are integers, starting at 1 and incrementing every time a definition is modified. The required class attribute indicates the specific class to which the definition belongs. The class gives a hint to a user so they can know what the definition writer is trying to say. See the definition of oval-def:ClassEnumeration for more information about the different valid classes. The optional deprecated attribute signifies that an id is no longer to be used or referenced but the information has been kept around for historic purposes </xsd:documentation> <xsd:documentation>When the deprecated attribute is set to true, the definition is considered to be deprecated. The criteria child element of a deprecated definition is optional. If a deprecated definition does not contain a criteria child element, the definition must evaluate to "not evaluated". If a deprecated definition contains a criteria deprecated definition contains a criteria evaluate the definition as if it were not deprecated, but an interpreter may evaluate the definition to "not evaluated".

```
<xsd:appinfo>
  <sch:pattern
   id="oval-def_required_criteria">
```

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```
<sch:rule
                                  context="oval-def:oval_definitions/
                                  oval-def:definitions/
                                 oval-definitions/
oval-definition[(@deprecated='false' or
@deprecated='0') or not(@deprecated)]">
<sch:assert test="oval-def:criteria">A
                                         valid OVAL Definition must contain a
                                         criteria unless the definition is a
                                         deprecated definition.</sch:assert>
                            </sch:rule>
                     </sch:pattern>
              </xsd:appinfo>
       </xsd:annotation>
       <xsd:sequence>
             <xsd:annotation>
                                  <xsd:documentation>Each affected
                                         element must have a unique family
                                         attribute value.</xsd:documentation>
                            </xsd:annotation>
                            <xsd:selector xpath="oval-def:affected"/>
                            <xsd:field xpath="@family"/>
                     </xsd:unique>
              </xsd:element>
              <xsd:element ref="oval:notes" minOccurs="0"
maxOccurs="1"/>
              <xsd:element name="criteria"
  type="oval-def:CriteriaType" minoccurs="0"
  maxOccurs="1"/>
  vad.compare.
       </xsd:sequence>
      c, x3d:3cquality
cxsd:attribute name="id"
  type="oval:DefinitionIDPattern"
  use="required"/>
       <xsd:attribute name="version"</pre>
     type="xsd:nonnegativeInteger" use="required"/>
xsd:attribute name="class"
  type="oval:ClassEnumeration" use="required"/>
<xsd:attribute name="deprecated"
  type="xsd:boolean" use="optional"
  default="false"/>
(xsd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:complexType="ysd:comple
</xsd:complexType>
<xsd:complexType name="MetadataType">
       <xsd:annotation>
              <xsd:documentation>The MetadataType complex
```

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type contains all the metadata available to an OVAL Definition. This metadata is for informational purposes only and is not part of the criteria used to evaluate machine state. The required title child element holds a short string that is used to quickly identify the definition to a human user. The affected metadata item contains information about the system(s) for which the definition has been written. Remember that this is just metadata and not part of the criteria. Please refer to the AffectedType description for more information. The required description

```
element contains a textual description of
                       the configuration state being addressed by
                      the OVAL Definition. In the case of a definition from the vulnerability class,
                      the reference is usually the Common Vulnerability and Exposures (CVE) Identifier, and this description field corresponds with the CVE
                       description.</xsd:documentation>
                   <xsd:documentation>Additional metadata is
                      also allowed although it is not part of
the official OVAL Schema. Individual
                      organizations can place metadata items that they feel are important and these will be skipped during the validation. All OVAL really cares about is that the stated
                       metadata items are
                       there.</xsd:documentation>
               </xsd:annotation>
               <xsd:sequence>
                  <xsd:element name="title" type="xsd:string"/>
<xsd:element name="affected"
   type="oval-def:AffectedType" minOccurs="0"
   maxOccurs="unbounded">
                       <xsd:unique name="UniqueAffectedPlatform">
                           <xsd:annotation>
                               <xsd:documentation>Each affected
                                   platform element must have a unique
                                   value </xsd:documentation>
                           </xsd:annotation>
                           <xsd:selector xpath="oval-def:platform"/>
<xsd:field xpath="."/>
                       </xsd:unique>
                       <xsd:unique name="UniqueAffectedProduct">
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                                                                                                                                    [Page 73]
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                           <xsd:annotation>
                               <xsd:documentation>Each affected
                                   product element must have a unique
                                   value.</xsd:documentation>
                           </xsd:annotation>
                           <xsd:selector xpath="oval-def:product"/>
<xsd:field xpath="."/>
                       </xsd:unique>
                   </xsd:element>
                  </xsd:element>
<xsd:element name="reference"
    type="oval-def:ReferenceType"
    minoccurs="0" maxOccurs="unbounded"/>
<xsd:element name="description"
    type="xsd:string"/>
<xsd:any minoccurs="0" maxOccurs="unbounded"
    processContents="lax"/>
Ent the next major release of OVAL the xsd:a
     processContents="lax"/>
<!-- For the next major release of OVAL, the xsd:any
tag above will be modified to only allow elements
from namespaces other than the default namespace.
This fixes a bug in the current schema where the
affected or reference element can appear after the
description element and still produce a vailid document.
<xsd:any minoccurs="0" maxOccurs="unbounded"
namespace="##other" processContents="lax"/>
               </xsd:sequence>
          </xsd:complexType>
          <xsd:complexType name="AffectedType">
               <xsd:annotation>
                   <xsd:documentation>Each OVAL Definition is
                      written to evaluate a certain type of system(s). The family, platform(s), and product(s) of this target are described by the AffectedType whose main purpose is to provide hints for tools using OVAL Definitions. For instance, to help a reporting tool only use Windows definitions, or to preselect only Red Hat definitions to be evaluated. Note, the
```

inclusion of a particular platform or product does not mean the definition is physically checking for the existence of the platform or product. For the actual test to be performed, the correct test must still be included in the definition's criteria section.</xsd:documentation>
<xsd:documentation>The AffectedType complex type details the specific system,

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application, subsystem, library, etc. for which a definition has been written. If a definition is not tied to a specific product, then this element should not be included. The absence of the platform or product element can be thought of as definition applying to all platforms or products. The inclusion of a particular platform or product does not mean the definition is physically checking for the existence of the platform or product. For the actual test to be performed, the correct test must still be included in the definition's criteria section. To increase the utility of this element, care should be taken when assigning and using strings be taken when assigning and using strings for product names. The schema places no restrictions on the values that can be assigned, potentially leading to many different representations of the same value. For example, 'Internet Explorer' and 'IE' might be used to refer to the same product. The current convention is to fully spell out all terms, and avoid the use of abbreviations at all costs. costs.</xsd:documentation> <xsd:documentation>Please note that the AffectedType will change in future versions of OVAL in order to support the Common Platform Enumeration (CPE).</xsd:documentation> </xsd:annotation> <xsd:sequence> <xsd:sequence>
<xsd:element name="platform"
 type="xsd:string" minOccurs="0"
 maxOccurs="unbounded"/>
<xsd:element name="product"
 type="xsd:string" minOccurs="0"
 maxOccurs="unbounded"/> </xsd:sequence> <xsd:attribute name="family"</pre> type="oval:FamilyEnumeration" use="required" </ri></ri></ri></ri> <xsd:complexType name="ReferenceType"> <xsd:annotation> <xsd:documentation>The ReferenceType complex
type links the OVAL Definition to a

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definitive external reference. For example, CVE Identifiers are used for referencing vulnerabilities. The intended purpose for this reference is to link the definition to a variety of other sources that address the same issue being specified by the OVAL Definition.

```
<xsd:documentation>The required source
          attribute specifies where the reference is
          coming from. In other words, it identifies the reference repository being used. The
          required ref_id attribute is the external id of the reference. The optional ref_url
          attribute is the URL to the
          reference.</xsd:documentation>
    </xsd:annotation>
    <xsd:attribute name="source" type="xsd:string"
use="required"/>
    <xsd:attribute name="ref_id" type="xsd:string"
use="required"/>
<xsd:attribute name="ref_url"
type="xsd:anyURI" use="optional"/>
</xsd:complexType>
<xsd:complexType name="CriteriaType">
    <xsd:annotation>
       <xsd:documentation>The CriteriaType complex
          type describes a container for a set of
sub criteria, criteria, criterion, or
extend_definition elements allowing
          complex logical trees to be constructed. Each referenced test is represented by a
          criterion element. Please refer to the
          description of the CriterionType for more information about and individual criterion element. The optional extend_definition element allows existing definitions to be
          included in the criteria. Refer to the description of the ExtendDefinitionType
          for more information.</xsd:documentation>
       <xsd:documentation>The required operator
          attribute provides the logical operator that binds the different statements inside a criteria together. The optional negate attribute signifies that the result of the criteria as a whole should be negated
          during analysis. For example, consider a
          criteria that evaluates to TRUE if certain
```

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```
software is installed. By negating this
       test, it now evaluates to TRUE if the software is NOT installed. The optional
        comment attribute provides a short
       description of the criteria.</xsd:documentation>
    <xsd:documentation>The optional
        applicability_check attribute provides a
       Boolean flag that when true indicates that
the criteria is being used to determine
       whether the OVAL Definition applies to a
        given system </xsd:documentation>
</xsd:annotation>
<xsd:choice minOccurs="1"</pre>
   type="oval-def:CriterionType"/>
<xsd:element name="extend_definition"</pre>
        type="oval-def:ExtendDefinitionType"/>
</xsd:choice>
<xsd:attribute name="applicability_check"
    type="xsd:boolean" use="optional"/>
<xsd:attribute name="operator"
    type="oval:OperatorEnumeration"
    use="optional" default="AND"/>
<xsd:attribute name="negate"
    type="xsd:boolean" use="optional"
    default="false"/>
<xsd:attribute name="comment"
    type="oval:NonEmptyStringType"
    use="optional"/>
</xsd:choice>
```

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now evaluates to TRUE if the patch is NOT installed. The optional comment attribute provides a short description of the specified test and should mirror the comment attribute of the actual
test.</xsd:documentation> <xsd:documentation>The optional applicability\_check attribute provides a Boolean flag that when true indicates that the criterion is being used to determine whether the OVAL Definition applies to a given system </xsd:documentation> </xsd:annotation> </xsu:annotation>
<xsd:attribute name="applicability\_check"
 type="xsd:boolean" use="optional"/>
<xsd:attribute name="test\_ref"
 type="oval:TestIDPattern" use="required"/>
<xsd:attribute name="negate"
 type="xsd:boolean" use="optional"
 default="false"/>
<xsd:attribute name="comment"</pre> <xsd:attribute name="comment"</pre> type="oval:NonEmptyStringType"
use="optional"/> </xsd:complexType> <xsd:complexType name="ExtendDefinitionType"> <xsd:annotation> <xsd:documentation>The ExtendDefinitionType complex type allows existing definitions to be extended by another definition. This works by evaluating the extended definition and then using the result within the logical context of the extending definition. //xsd:documentation> <xsd:documentation>The required
 definition\_ref attribute is the actual id
 of the definition being extended. The of the definition being extended. The optional negate attribute signifies that the result of an extended definition should be negated during analysis. For example, consider a definition that evaluates TRUE if certainsoftware is installed. By negating the definition, it now evaluates to TRUE if the software is NOT installed. The optional comment attribute provides a short description of the specified definition and should mirror the specified definition and should mirror the title metadata of the extended definition.</xsd:documentation>

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<xsd:documentation>The optional
 applicability\_check attribute provides a

```
Boolean flag that when true indicates that the extend_definition is being used to
           determine whether the OVAL Definition
           applies to a given system.</xsd:documentation>
     </xsd:annotation>
     type="oval:NonEmptyStringType"
        use="optional"/>
   </xsd:complexType>
                           <xsd:complexType name="TestsType">
     <xsd:annotation>
        <xsd:dnocdtion>
<xsd:documentation>The TestsType complex
type is a container for one or more test
child elements. Each test element
describes a single OVAL Test. Please refer
to the description of the TestType for
more information about an individual
test contains
           test.</xsd:documentation>
     </xsd:annotation>
     <xsd:sequence>
        <sd:def:test"
</pre>

<pr
      </xsd:sequence>
  </ri></xsd:complexType></ri><xsd:element name="test"
type="oval-def:TestType" abstract="true">
     <xsd:annotation>
        <xsd:documentation>The test element is an
           abstract element that is meant to be
           extended (via substitution groups) by the
           individual tests found in the component
schemas. An OVAL Test is used to compare
           an object(s) against a defined state. An
           actual test element is not valid. The use
```

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of this abstract class simplifies the OVAL schema by allowing individual tests to inherit the optional notes child element, and the id and comment attributes from the base TestType. Please refer to the description of the TestType complex type for more information.</r>
</xsd:annotation>
</xsd:element>
<xsd:complexType name="TestType">
<xsd:annotation>
</xsd:documentation>The base type of every test includes an optional notes element and several attributes. The notes section of a test should be used to hold information that might be helpful to someone examining the technical aspects of the test. For example, why certain values have been used by the test, or maybe a link to where further information can be found. Please refer to the description of the NotesType complex type for more information about the notes element. The required comment attribute provides a short description of the test. The optional deprecated attribute signifies that an id is no longer to be used or

referenced but the information has been kept around for historic purposes.</xsd:documentation> <xsd:documentation>The required id attribute uniquely identifies each test, and must conform to the format specified by the TestIdPattern simple type. The required version attribute holds the current version of the test. Versions are integers, starting at 1 and incrementing every time a test is modified.</xsd:documentation> <xsd:documentation>The optional check\_existence attribute specifies how many items in the set defined by the OVAL Object must exist for the test to evaluate to true. The default value for this attribute is 'at\_least\_one\_exists' indicating that by default the test may evaluate to true if at least one item defined by the OVAL Object exists on the

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system. For example, if a value of 'all\_exist' is given, every item defined by the OVAL Object must exist on the system for the test to evaluate to true. If the OVAL Object uses a variable reference, then every value of that variable must exist. Note that a pattern match defines a unique set of matching items found on a system. So when check\_existence = 'all\_exist' and a regex matches anything on a system the test will evaluate to true (since all matching objects on the system were found on the objects on the system were round on an ex-system). When check\_existence = 'all\_exist' and a regex does not match anything on a system the test will evaluate to false. <xsd:documentation>The required check
attribute specifies how many items in the
set defined by the OVAL Object (ignoring) items with a status of Does Not Exist) must satisfy the state requirements. For example, should the test check that all matching files have a specified version or that at least one file has the specified version? The valid check values are explained in the description of the CheckEnumeration simple type. Note that if the test does not contain any references to OVAL States, then the check attribute has no meaning and can be ignored during evaluation.</xsd:documentation> <xsd:documentation>An OVAL Test evaluates to true if both the check\_existence and check attributes are satisfied during evaluation. The evaluation result for a test is determined by first evaluating the check\_existence attribute. If the result of evaluating the check\_existence attribute is true then the check attribute is evaluated. An interpreter may choose to always evaluate both the check\_existence and the check attributes, but once the check\_existence attribute evaluation has resulted in false the overall test result after evaluating the check attribute will not be affected.</xsd:documentation> <xsd:documentation>The optional

```
state_operator attribute provides the logical operator that combines the evaluation results from each referenced state on a per item basis. Each matching
          item is compared to each referenced state.
          The result of comparing each state to a single item is combined based on the
          stringte Ttell is compiled based on the specified state_operator value to determine one result for each item. Finally, the results for each item are combined based on the specified check value. Note that if the test does not contain any references to OVAL States, then the state_operator attribute has no meaning and can be ignored during
         meaning and can be ignored during evaluation. Referencing multiple states in one test allows ranges of possible values to be expressed. For example, one state can check that a value greater than 8 is found and another state can check that a value of less than 16 is found. In this
          value of less than 16 is found. In this example the referenced states are combined with a state_operator = 'AND' indicating that the conditions of all referenced
          states must be satisfied and that the
          value must be between 8 AND 16. The valid state_operation values are explained in the description of the OperatorEnumeration simple_type.</xsd:documentation>
     <xsd:appinfo>
           <sch:pattern id="oval-def_test_type">
                 <sch:rule
                     context="oval-def:oval_definitions/
oval-def:tests/*[@check_existence='none_exist']">
                      <sch:assert
                           test="not(*[local-name()='state'])"
><sch:value-of select="@id"/> - Notate should be referenced when check_existence has a value of
                              none_exist'.</sch:assert>
                 </sch:rule>
           </sch:pattern>
     </xsd:appinfo>
</xsd:annotation>
<xsd:sequence>
     <xsd:element ref="ds:Signature"
  minoccurs="0" maxoccurs="1"/>
<xsd:element ref="oval:notes" minoccurs="0"</pre>
```

```
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```

```
maxOccurs="1"/>
  </xsd:sequence>
  <xsd:attribute name="id"
    type="oval:TestIDPattern" use="required"/>
    <xsd:attribute name="version"
    type="xsd:nonNegativeInteger" use="required"/>
    <xsd:attribute name="check_existence"
        type="oval:ExistenceEnumeration"
        use="optional" default="at_least_one_exists"/>
        <xsd:attribute name="check"
        type="oval:CheckEnumeration" use="required"/>
        <xsd:attribute name="state_operator"
        type="oval:OperatorEnumeration"
        use="optional" default="AND"/>
        <xsd:attribute name="comment"
        type="oval:NonEmptyStringType"
        use="required"/>
        <xsd:attribute name="deprecated"
        type="xsd:boolean" use="optional"
        default="false"/>
        </xsd:complexType>
```

```
<xsd:complexType name="ObjectRefType">
            <xsd:annotation>
               <xsd:documentation>The ObjectRefType complex
                  type defines an object reference to be used by OVAL Tests that are defined in the component schemas. The required object_refattribute specifies the id of the OVAL
                  Object being
                  referenced.</xsd:documentation>
            </xsd:annotation>
            </xsd:complexType>
        <xsd:complexType name="StateRefType">
            <xsd:annotation>
               <xsd:documentation>The StateRefType complex
                  type defines a state reference to be used
by OVAL Tests that are defined in the
                  component schemas. The required state_ref
                  attribute specifies the id of the OVAL
                  State being
                  referenced.</xsd:documentation>
            </xsd:annotation>
            <xsd:attribute name="state_ref"</pre>
               type="oval:StateIDPattern" use="required"/>
         </xsd:complexType>
                                  Cokus, et al.
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                                                                                                           [Page 83]
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     <xsd:complexType name="ObjectsType">
            <xsd:annotation>
               <sc:annotation>
<xsc:annotation>
<xsc:documentation>The ObjectsType complex
    type is a container for one or more object
    child elements. Each object element
    provides details that define a unique set
    of matching items to be used by an OVAL
    Test. Please refer to the description of
    the object element for more information
    ahout an individual
                  about an individual object.</xsd:documentation>
            </xsd:annotation>
            <xsd:sequence>
               <xsd:element ref="oval-def:object"
minOccurs="1" maxOccurs="unbounded"/>
            </xsd:seguence>
        </xsd:complexType>
<xsd:element name="object"
  type="oval-def:ObjectType" abstract="true">
            <xsd:annotation>
               <xsd:documentation>The object element is an
                  abstract element that is meant to be extended (via substitution groups) by the objects found in the component schemas. An actual object element is not valid. The use of this abstract element simplifies the OVAL schema by allowing individual objects to inherit any common elements and attributes from the base ObjectType.
                  Please refer to the description of the
                  ObjectType complex type for more information.
               <xsd:documentation>An object is used to
identify a set of items to collect. The
author of a schema object must define
sufficient object entities to allow a user
to identify a unique item to be
collected.
               <xsd:documentation>A simple object typically
results in a single file, process, etc
being identified. But through the use of
                  pattern matches, sets, and variables,
multiple matching items can be identified.
The set of items matching the object can
```

Cokus, et al. Expires March 11, 2017 [Page 84] Internet-Draft OVAL Definitions Model September 2016 </xsd:annotation> </xsd:element> <xsd:complexType name="ObjectType"> <xsd:annotation> <xsd:documentation>The base type of every object includes an optional notes element. The notes element of an object should be used to hold information that might be helpful to someone examining the technical aspects of the object. For example, why certain values have been used, or maybe a link to where further information can be found. Please refer to the description of the NotesType complex type for more information about the notes element.</xsd:documentation> <xsd:documentation>The required id attribute uniquely identifies each object, and must conform to the format specified by the ObjectIdPattern simple type. The required version attribute holds the current version of the object element. Versions are integers, starting at 1 and incrementing every time an object is

incrementing every time an object is modified. The optional comment attribute provides a short description of the object. The optional deprecated attribute signifies that an id is no longer to be used or referenced but the information has been kept around for historic purposes.</xsd:documentation> </xsd:annotation> <xsd:sequence> <xsd:element ref="ds:Signature"
minoccurs="0" maxOccurs="1"/>
<xsd:element ref="oval:notes" minoccurs="0"
maxOccurs="1"/>

</xsd:sequence> <xsd:attribute name="id"</pre> <xsd:attribute name="id"
 type="oval:ObjectIDPattern" use="required"/>
<xsd:attribute name="version"
 type="xsd:nonNegativeInteger" use="required"/>
<xsd:attribute name="comment"
 type="oval:NonEmptyStringType"
 use="optional"/>
<xsd:attribute name="deprecated"
 type="xsd:boolean" use="optional"
 default="false"/>

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</rd></xsd:complexType>
<xsd:element name="set"> <xsd:annotation> <xsd:documentation>The set element enables complex objects to be described. It is a recursive element in that each set element can contain additional set elements as children. Each set element defines characteristics that produce a matching unique set of items. This set of items is defined by one or two references to OVAL Objects that provide the criteria needed to collect a set of system items. These items can have one or more filters applied to allow a subset of those items to be

specifically included or excluded from the overall set of items.</xsd:documentation>
<xsd:documentation>The set element's
 object\_reference refers to an existing
 OVAL Object. The set element's filter
 element provides a reference to an
 existing OVAL State and includes an
 optional action attribute. The filter's
 action attribute allows the author to
 specify whether matching items should be
 included or excluded from the overall set.
 The default filter action is to exclude
 all matching items. In other words, the
 filter can be thought of filtering items
 out by default.
<xsd:documentation>Each filter is applied to
 the items identified by each OVAL Object
 before the set\_operator is applied. For
 example, if an object\_reference points to
 an OVAL Object that identifies every file
 in a certain directory, a filter might be
 set up to limit the object set to only
 those files with a size less than 10 KB.
 If multiple filters are provided, then
 each filter is applied to the set of items
 identified by the OVAL Object. Care must
 be taken to ensure that conflicting
 filters are not applied. It is possible to
 exclude all items with a size of 10 KB and
 then include only items with a size of 10
 KB. This example would result in the empty
 set.
<xsd:documentation>The required set\_operator

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```
attribute defines how different child sets
  are combined to form the overall unique set of objects. For example, does one take the union of different sets or the intersection? For a description of the
  valid values please refer to the
  SetOperatorEnumeration simple
  type.</xsd:documentation>
<xsd:appinfo>
  <sch:pattern id="oval-def_setobjref">
     <sch:rule
        context="oval-def:oval_definitions/
oval-def:objects/*/oval-def:set/
oval-def:object_reference">
        <sch:assert
     <sch:rule
        context="oval-def:oval_definitions/
       oval-def:objects/*/
oval-def:set/oval-def:set/
oval-def:object_reference">
        <sch:assert
           test="name(./../..) =
name(ancestor::oval-def:oval_definitions/
oval-def:objects/*[@id=current()])"
           ><sch:value-of
select="../../@id"/> - Each
object referenced by the set must be
           of the same type as parent
           object</sch:assert>
     </sch:rule>
     <sch:rule
        context="oval-def:oval_definitions/
```

```
oval-def:objects/*/oval-def:set/
oval-def:set/oval-def:set/
oval-def:object_reference">
                  <sch:assert
                    test="name(./../../..) =
name(ancestor::oval-def:oval_definitions/
oval-def:objects/*[@id=current()])"
                       ><sch:value-of
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                    select="../../../@id"/> - Each
object referenced by the set must be
                     of the same type as parent
                     object</sch:assert>
                </sch:rule>
             </sch:pattern>
           </xsd:appinfo>
         </xsd:annotation>
         <xsd:complexType>
<xsd:choice>
             <xsd:sequence>
                <xsd:element ref="oval-def:set"
  minoccurs="1" maxoccurs="2"/>
             </xsd:sequence>
             <xsd:sequence>
                <xsd:sequence>
<xsd:element name="object_reference"
   type="oval:ObjectIDPattern"
   minoccurs="1" maxOccurs="2"/>
<xsd:element ref="oval-def:filter"
   minoccurs="0" maxOccurs="unbounded"/>
             </xsd:sequence>
           </xsd:choice>
           </xsd:complexType>
      </xsd:element>
      <xsd:element name="filter">
         <xsd:annotation>
           <xsd:documentation>The filter element
             provides a reference to an existing OVAL
             State and includes an optional action attribute. The action attribute is used to
             specify whether items that match the referenced OVAL State will be included in the resulting set or excluded from the resulting set.
         </xsd:annotation>
         <xsd:complexType>
           <xsd:simpleContent>
             </xsd:extension>
           </xsd:simpleContent>
         </xsd:complexType>
      </xsd:element>
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                              Expires March 11, 2017
                                                                             [Page 88]
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                              OVAL Definitions Model
                                                                       September 2016
    <xsd:complexType name="StatesType">
         <xsd:annotation>
           <xsd:documentation>The StatesType complex
type is a container for one or more state
child elements. Each state provides
             details about specific characteristics
```

that can be used during an evaluation of an object. Please refer to the description of the state element for more information about an individual state.</xsd:documentation> </xsd:annotation> <xsd:sequence> <sd::3cqueres</pre>
<sxsd:element ref="oval-def:state"
 minOccurs="1" maxOccurs="unbounded"/> </xsd:sequence> </xsd:complexType> <xsd:element name="state"</pre> type="oval-def:StateType" abstract="true"> <xsd:annotation> <xsd:documentation>The state element is an abstract element that is meant to be extended (via substitution groups) by the states found in the component schemas. An actual state element is not valid. The use of this abstract class simplifies the OVAL schema by allowing individual states to inherit the optional notes child element, and the id and operator attributes from the base StateType. Please refer to the description of the StateType complex type for more information.</xsd:documentation> <xsd:documentation>An OVAL State is a collection of one or more characteristics pertaining to a specific object type. The OVAL State is used by an OVAL Test to determine if a unique set of items identified on a system meet certain characteristics.</xsd:documentation> </xsd:annotation> </xsd:element> <xsd:complexType name="StateType"> <xsd:annotation> <xsd:documentation>The base\_type of every state includes an optional notes element

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and two attributes. The notes section of a state should be used to hold information that might be helpful to someone examining the technical aspects of the state. For example, why certain values have been used by the state, or maybe a link to where further information can be found. Please refer to the description of the NotesType complex type for more information about the notes element </xsd:documentation> <xsd:documentation>The required id attribute uniquely identifies each state, and must conform to the format specified by the StateIdPattern simple type. The required version attribute holds the current version of the state. Versions are integers, starting at 1 and incrementing every time a state is modified. The required operator attribute provides the logical operator that binds the different characteristics inside a state together. The optional comment attribute provides a short description of the state. The optional deprecated attribute signifies that an id is no longer to be used or referenced but the information has been kept around for historic purposes.</xsd:documentation> <xsd:documentation>When evaluating a particular state against an object, one should evaluate each individual entity separately. The individual results are then combined by the operator to produce an overall result. This process holds true

```
the same entity. Evaluate each instance separately, taking the entity check attribute into account, and then combine
                     everything using the operator.</xsd:documentation>
             </xsd:annotation>
             <xsd:sequence>
                 <xsd:element ref="ds:Signature"
minOccurs="0" maxOccurs="1"/>
<xsd:element ref="oval:notes" minOccurs="0"
maxOccurs="1"/>
'ved:element ref="oval:notes" minOccurs="0"
             </xsd:sequence>
             <xsd:attribute name="id"</pre>
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             type="oval:StateIDPattern" use="required"/>
<xsd:attribute name="version"
  type="xsd:nonNegativeInteger" use="required"/>
<xsd:attribute name="operator"
  type="oval:OperatorEnumeration"
  use="optional" default="AND"/>
<xsd:attribute name="comment"
  type="oval:NonEmptyStringType"
  use="optional"/>
<xsd:attribute name="deprecated"</pre>
             </xsd:complexType>
      <xsd:complexType name="VariablesType">
             <xsd:annotation>
                 <xsd:documentation>The VariablesType complex
type is a container for one or more
                     variable child elements. Each variable
                     element is a way to define one or more values to be obtained at the time a
                     definition is
evaluated.</xsd:documentation>
             </xsd:annotation>
             <xsd:sequence>
                 <xsd:element ref="oval-def:variable"
minOccurs="1" maxOccurs="unbounded"/>
              </xsd:sequence>
          </xsd:complexType>
          <xsd:annotation>
                 <xsd:documentation>The variable element is
an abstract element that is meant to be
                    an abstract element that is meant to be extended (via substitution groups) by the different types of variables. An actual variable element is not valid. The different variable types describe different sources for obtaining a value(s) for the variable. There are currently three types of variables; local, external, and constant. Please refer to the description of each one for more specific information. The value(s) of a variable is treated as if it were inserted where referenced. One of the main benefits of
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```

even when there are multiple instances of

variables is that they allow tests to evaluate user-defined policy. For example, an OVAL Test might check to see if a

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password is at least a certain number of characters long, but this number depends upon the individual policy of the user. To solve this, the test for password length can be written to refer to a variable element that defines the length.</xsd:documentation> <xsd:documentation>If a variable defines a collection of values, any entity that references the variable will evaluate to references the variable will evaluate to true depending on the value of the var\_check attribute. For example, if an entity 'size' with an operation of 'less than' references a variable that returns five different integers, and the var\_check attribute has a value of 'all', then the 'size' entity returns true only if the actual size is less than each of the five integers defined by the variable. If a variable does not return any value, then variable does not return any value, then an error should be reported during OVAL analysis.</xsd:documentation> </xsd:annotation> </xsd:element> <xsd:complexType name="VariableType"> <xsd:annotation> <xsd:documentation>The VariableType complex type defines attributes associated with each OVAL Variable. The required id attribute uniquely identifies each variable, and must conform to the format specified by the VariableIDPattern simple type. The required version attribute holds the current version of the variable. versions are integers, starting at 1 and incrementing every time a variable is modified. The required comment attribute provides a short description of the variable. The optional deprecated attribute signifies that an id is no longer to be used or referenced but the information has been kept around for historic purposes </xsd:documentation> <xsd:documentation>The required datatype attribute specifies the type of value

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being defined. The set of values identified by a variable must comply with the specified datatype, otherwise an error should be reported. Please see the DatatypeEnumeration for details about each valid datatype. For example, if the datatype of the variable is specified as boolean then the value(s) returned by the component / function should be "true", "false", "1", or "0".</xsd:documentation></xsd:documentation>Note that the 'record' datatype is not permitted on variables. The notes section of a variable should be used to hold information that might be used to hold information that might be helpful to someone examining the technical aspects of the variable. Please refer to the description of the NotesType complex type for more information about the notes element.</xsd:documentation> </xsd:annotation> <xsd:sequence> <xsd:element ref="ds:Signature"
minOccurs="0" maxOccurs="1"/>
<xsd:element ref="oval:notes" minOccurs="0"
maxOccurs="1"/>
'ved:element ref="oval:notes" minOccurs="0" </xsd:sequence> <xsd:attribute name="id"</pre> type="oval:VariableIDPattern" use="required"/>

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```
<xsd:documentation>The external_variable
       element extends the VariableType and defines a variable with some external source. The actual value(s) for the variable is not provided within the OVAL file, but rather it is retrieved during the evaluation of the OVAL provided set of
        an external source. An unbounded set of
       possible-value and possible_restriction child elements can be specified that together specify the list of all possible values that an external source is allowed
        to supply for the external variable. In
        other words, the value assigned by an
        external source must match one of the
       possible_value or possible_restriction
elements specified. Each possible_value
element contains a single value that could
       be assigned to the given external_variable while each possible_restriction element outlines a range of possible values. Note
       that it is not necessary to declare a variable's possible values, but the option is available if desired. If no possible child elements are specified, then the valid values are only bound to the specified datatype of the external variable. Please refer to the description of the PossibleValueType and PossibleRestrictionType complex types for
        PossibleRestrictionType complex types for
        more information.</xsd:documentation>
</xsd:annotation>
<xsd:complexType>
    <xsd:complexContent>
        <xsd:element name="possible_value"
type="oval-def:PossibleValueType"/>
                <xsd:element</pre>
                    name="possible_restriction"
type="oval-def:PossibleRestrictionType"
            </xsd:choice>
        </xsd:extension>
    </xsd:complexContent>
</xsd:complexType>
```

```
</xsd:element>
<xsd:complexType name="PossibleValueType">
   <xsd:annotation>
      <xsd:documentation>The PossibleValueType
         complex type is used to outline a single expected value of an external variable. The required hint attribute gives a short
         description of what the value means or
          represents.</xsd:documentation>
   </xsd:annotation>
   <xsd:simpleContent>
      </xsd:extension>
   </xsd:simpleContent>
</xsd:complexType>
<xsd:complexType name="PossibleRestrictionType">
   <xsd:annotation>
      <xsd:documentation>The
         PossibleRestrictionType complex type
         outlines a range of possible expected value of an external variable. Each
         value of an external variable. Each possible_restriction element contains an unbounded list of child restriction elements that each specify a range that an actual value may fall in. For example, a restriction element may specify that a value must be less than 10. When multiple restriction elements are present, a valid possible value's evaluation is based on
         possible value's evaluation is based on
         the operator attribute. The operator attribute is set to AND by default. Other
         valid operation values are explained in
         the description of the OperatorEnumeration
         simple type. One can think of the possible_value and possible_restriction elements as an OR'd list of possible
         values, with the restriction elements as using the selected operation to evaluate
          its own list of value descriptions. Please
         refer to the description of the
         RestrictionType complex type for more information. The required hint attribute gives a short description of what the
         value means or
          represents.</xsd:documentation>
   </xsd:annotation>
```

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```
of values can be specified by including multiple instances of the value element. Please refer to the description of the ValueType complex type for more information.
   </xsd:annotation>
   <xsd:complexType>
       <xsd:complexContent>
           <xsd:extension
 base="oval-def:VariableType">
 <xsd:sequence>
                  <xsd:element name="value"</pre>
                     type="oval-def:ValueType"
minOccurs="1" maxOccurs="unbounded"
              </xsd:sequence>
           </xsd:extension>
       </xsd:complexContent>
   </xsd:complexType>
</xsd:element>
<xsd:complexType name="ValueType">
   <xsd:annotation>
       <xsd:documentation>The ValueType complex
type holds the actual value of the
          variable when dealing with a constant
          variable. This value should be used by all tests that reference this variable. The
          value cannot be over-ridden by an external source.</xsd:documentation>
   </xsd:annotation>
   <xsd:simpleContent>
       <xsd:extension base="xsd:anySimpleType"/>
    </xsd:simpleContent>
</xsd:complexType>
<xsd:element name="local_variable"
  substitutionGroup="oval-def:variable">
   <xsd:annotation>
       <xsd:documentation>The local_variable
  element extends the VariableType and
  defines a variable with some local source.
          The actual value(s) for the variable is not provided in the OVAL Definition
          document but rather it is retrieved during the evaluation of the OVAL Definition. Each local variable is defined by either a single component or a complex function, meaning that a value can be as simple as a
```

Cokus, et al. Expires March 11, 2017 [Page 97] Internet-Draft OVAL Definitions Model September 2016 registry keys concatenated together. Note that if an individual component is used that if an individual component is used and it returns a collection of values, then there will be multiple values associated with the local\_variable. For example, if an object\_component is used and it references a file object that identifies a set of 5 files, then the local variable would evaluate to a collection of those 5 values. Please refer to the description of the ComponentGroup for more information. for more information </xsd:documentation> </xsd:annotation> <xsd:complexType> <xsd:complexContent> <xsd:extension
base="oval-def:VariableType"> <xsd:sequence> <xsd:group
ref="oval-def:ComponentGroup"/> </xsd:sequence> </xsd:extension> </xsd:complexContent> </xsd:complexType> </xsd:element> <xsd:group name="ComponentGroup"> <sd:annotation>
<xsd:documentation>Any value that is pulled
 directly off the local system is defined
 by the basic component element. For
 example, the name of a user or the value
 of a registry key. Please refer to the
 definition of the ObjectComponentType for
 more information. A value can also be
 obtained from another variable. The
 variable element identifies a variable id
 to pull a value(s) from. Please refer to
 the definition of the
 VariableComponentType for more
 information. Literal values can also be
 specified.
'xsd:annotation> <xsd:annotation> </xsd:annotation> <xsd:choice> Cokus, et al. Expires March 11, 2017 [Page 98] Internet-Draft OVAL Definitions Model September 2016 type="oval-def:LiteralComponentType"/> <xsd:group ref="oval-def:FunctionGroup"/> </xsd:choice> </xsd:group> <xsd:complexType name="LiteralComponentType"> <xsd:annotation> <xsd:documentation>The LiteralComponentType complex type defines a literal value to be used as a component. The optional datatype attribute defines the type of data expected. The default datatype is 'string'.</xsd:documentation>

<xsd:appinfo>

<sch:rule

<sch:pattern
id="oval-def\_literal\_component">

```
context="oval-def:literal_component">
                                                     <sch:assert
                                                           test="not(@datatype='record')"
                                                              ><sch:value-of
select="ancestor::*/@id"/> - The
record' datatype is prohibited on
                                                           variables.</sch:assert>
                                              </sch:rule>
           <!--
                 <sch:rule context="oval-def:literal_component
/*/*[not(@datatype)]">
                  </sch:rule>
                </sch:rule>
<sch:rule context=
"oval-def:literal_component[@datatype='binary']">
<sch:assert test="matches(., '^[0-9a-fA-F]*$')">
<sch:value-of select="../@id"/> - A value of
'<sch:value-of select="."/>' for the
<sch:value-of select="name()"/>

<sch:value-of valid given a datatype of binary of</pre>
                entity is not valid given a datatype of binary.</sch:assert> </sch:rule>
                </sch:rule/
<sch:rule context=
"oval-def:literal_component[@datatype='boolean']">
<sch:assert test="matches(., '^true$|^false$|^1$|^0$')">
<sch:value-of select="../@id"/>
- A value of '<sch:value-of select="."/>' for the
<sch:value-of select="name()"/>
entity is not valid given a datatype of boolean.
</sch:assert>
                  </sch:assert>
                  </sch:rule>
                 <sch:rule context=
"oval-def:literal_component[@datatype='evr_string']">
<sch:assert test="matches(., '^[^:\-]*:[^:\-]*-[^:\-]*$')">
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                <sch:value-of select="../@id"/>
- A value of '<sch:value-of select="."/>' for the
<sch:value-of select="name()"/>
                 entity is not valid given a datatype of evr_string.
                  </sch:assert>
                  </sch:rule>
                 <sch:rule context=
"oval-def:literal_component[@datatype='fileset_revision']">
                  </sch:rule>
                 <sch:rule context=
"oval-def:literal_component[@datatype='float']">
                oval-def:Titeral_component[@datatype= float] >
<sch:assert test=
"matches(., '^[+\-]?[0-9]+([\.][0-9]+)?
([eE][+\-]?[0-9]+)?$|^NaN$|^INF$|^\-INF$')">
<sch:value-of select=".../@id"/> - A value of
'<sch:value-of select="."/>' for the
<sch:value-of select="name()"/>
entity is not valid given a datatype of float.</sch:assert>
</sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule></sch:rule>
                  </sch:rule>
                 <sch:rule context=
"oval-def:literal_component[@datatype='ios_version']">
                  </sch:rule>
                 <sch:rule context=
"oval-def:literal_component[@datatype='int']">

'sch:assert test=
"matches(., '^[+\-]?[0-9]+$')">

<sch:value-of select="../@id"/> - A value of

'<sch:value-of select="."/>' for the

<sch:value-of select="name()"/>
entity is not valid given a datatype of int.

                  </sch:assert>
                  </sch:rule>
                 <sch:rule context=
"oval-def:literal_component[@datatype='string']">
                  </sch:rule>
                 <sch:rule context=
"oval-def:literal_component[@datatype='version']">
                 </sch:rule>
                                       </sch:pattern>
                               </xsd:appinfo>
```

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</xsd:simpleContent> </xsd:complexType> <xsd:complexType name="ObjectComponentType"> <xsd:annotation> <xsd:documentation>The ObjectComponentType complex type defines a specific value or set of values on the local system to obtain.</xsd:documentation> <xsd:documentation>The required object\_ref attribute provides a reference to an existing OVAL Object declaration. The referenced OVAL Object specifies a set of OVAL Items to collect. Note that an OVAL Object might identify 0, 1, or many OVAL Items on a system. If no items are found on the system then an error should be reported when determining the value of an ObjectComponentType. If 1 or more OVAL Items are found then each OVAL Item will be considered and the ObjectComponentType. be considered and the ObjectComponentType may have one or more values.</xsd:documentation> <xsd:documentation>The required item\_field attribute specifies the name of the entity whose value will be retrieved from each OVAL Item collected by the referenced OVAL Object. For example, if the object\_ref references a win-def:file\_object, the item\_field may specify the 'version' entity as the field to use as the value of the ObjectComponentType. Note that an OVAL Trem may have 0. 1. or many entities whose Item may have 0, 1, or many entities whose name matches the specified item\_field value. If an entity is not found with a name that matches the value of the item\_field an error should be reported when determining the value of an ObjectComponentType. If 1 or more matching entities are found in a single OVAL Item the value of the ObjectComponentType is the list of the values from each of the matching entities.</xsd:documentation>
<xsd:documentation>The optional record\_field attribute specifies the name of a field in a record entity in an OVAL Item. The record\_field attribute allows the value of a specific field to be retrieved from an entity with a datatype of 'record'. If a

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field with a matching name attribute value is not found in the referenced OVAL Item entity an error should be reported when determining the value of the ObjectComponentType.</xsd:documentation>
</xsd:annotation>
<xsd:attribute name="object\_ref"
type="oval:ObjectIDPattern" use="required"/>
<xsd:attribute name="item\_field"
type="oval:NonEmptyStringType"

```
use="required"/>
   <xsd:attribute name="record_field"</pre>
      type="oval:NonEmptyStringType"
use="optional"/>
</xsd:complexType>
<xsd:complexType name="VariableComponentType">
   <xsd:annotation>
       <xsd:documentation>The VariableComponentType
         complex type defines a specific value obtained by looking at the value of another OVAL Variable. The required var_ref attribute provides a reference to
          the variable. One must make sure that the
          variable reference does not point to the
          parent variable that uses this component
          to avoid a race
          condition.</xsd:documentation>
   </xsd:annotation>
   <xsd:attribute name="var_ref"</pre>
       type="oval:VariableIDPattern" use="required"
</xsd:complexType>
<xsd:group name="FunctionGroup">
   <xsd:annotation>
       <xsd:documentation>Complex functions have
         been defined that help determine how to
manipulate specific values. These
         functions can be nested together to form complex statements. Each function is designed to work on a specific type of data. If the data being worked on is not of the correct type, a cast should be attempted before reporting an error. For example, if a concat function includes a
          example, if a concat function includes a
         registry component that returns an integer, then the integer should be cast as a string in order to work with the concat function. Note that if the
```

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operation being applied to the variable by the calling entity is "pattern match", then all the functions are performed before the regular expression is evaluated. In short, the variable would produce a value as normal and then any pattern match operation would be performed. It is also important to note that when using these functions with sub-components that return a collection of values that the operation will be performed on the Cartesian product of the components and the result is also a collection of values. For example, assume a local\_variable specifies the arithmetic function with an arithmetic\_operation of "add" and has two sub-components under this function: the first component returns "1" and "2", and the second component returns "1" and "2", and the second component returns "3" and "4" and "5". The local\_variable element would be evaluated to have a collection of six values: 1+3, 1+4, 1+5, 2+3, 2+4, and 2+5. Please refer to the description of a specific function for more details about it.

<pre

```
type="oval-def:EndFunctionType"/>
<xsd:element name="escape_regex"
  type="oval-def:EscapeRegexFunctionType"/>
<xsd:element name="split"
  type="oval-def:SplitFunctionType"/>
<xsd:element name="substring"
  type="oval-def:SubstringFunctionType"/>
<xsd:element name="time_difference"
  type="oval-def:TimeDifferenceFunctionType"/>
<xsd:element name="regex_capture"
  type="oval-def:RegexCaptureFunctionType"/>
                type="oval-def:RegexCaptureFunctionType"/>
<xsd:element name="unique"</pre>
                    type="oval-def:UniqueFunctionType"/>
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                <xsd:element name="count"</pre>
                type="oval-def:CountFunctionType"/>
<xsd:element name="glob_to_regex"</pre>
                    type="oval-def:GlobToRegexFunctionType"/>
             </xsd:choice>
         </xsd:group>
         <xsd:complexType name="ArithmeticFunctionType">
             <xsd:annotation>
                <xsd:documentation>The arithmetic function
                   takes two or more integer or float components and performs a basic
                   mathematical function on them. The result
                   of this function is a single integer or
float unless one of the components returns
a collection of values. In this case the
                   specified arithmetic function would be performed multiple times and the end
                   result would also be a collection of values for the local variable. For example assume a local_variable specifies the
                   arithmetic function with an arithmetic_operation of "add" and has two sub-components under this function: the first component returns "1" and "2", and the second component returns "3" and "4" and "5". The local_variable element would be evaluated to be a collection of six values: 1+3, 1+4, 1+5, 2+3, 2+4, and 2+5 
                    2+5.</xsd:documentation>
                <xsd:documentation>Note that if both an
  integer and float components are used then
                    the result is a float.</xsd:documentation>
                 <xsd:appinfo>
                    <sch:pattern
  id="oval-def_arithmeticfunctionrules">
                        <sch:rule
                           context="oval-def:arithmetic/
                           oval-def:literal_component">
                           <sch:assert
  test="@datatype='float' or @datatype='int'"</pre>
                               >A literal_component used by an
                              arithmetic function must have a datatype of float or
                               int.</sch:assert>
                        </sch:rule>
                        <sch:rule
                           context="oval-def:arithmetic/
                           oval-def:variable_component">
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                           <sch:let name="var_ref"
value="@var_ref"/>
                           <sch:assert
                               test="ancestor::oval-def:oval_definitions/
```

```
oval-def:variables/*[@id=$var_ref]/
@datatype='float' or
ancestor::oval-def:oval_definitions/
oval-def:variables/*[@id=$var_ref]/
@datatype='int'"
>The variable referenced by the
                                  >The variable referenced by the
                                  arithmetic function must have a datatype of float or
                                  int.</sch:assert>
                          </sch:rule>
                      </sch:pattern>
                  </xsd:appinfo>
              </xsd:annotation>

              </xsd:sequence>
              <xsd:attribute name="arithmetic_operation"
type="oval-def:ArithmeticEnumeration"
use="required"/>
          </xsd:complexType>
<xsd:complexType name="BeginFunctionType">
              <xsd:annotation>
                  <xsd:documentation>The begin function takes
                      a single string component and defines a
                      character (or string) that the component
string should start with. The character
                     string should start with. The character attribute defines the specific character (or string). The character (or string) is only added to the component string if the component string does not already start with the specified character (or string). If the component string does not start with the specified character (or string) the entire character (or string) will be prepended to the component
                      prepended to the component
string..</xsd:documentation>
                  <xsd:appinfo>
                      <sch:pattern
id="oval-def_beginfunctionrules">
                          <sch:rule
                             context="oval-def:begin/
oval-def:literal_component">
                              <sch:assert
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                                  test="not(@datatype) or @datatype='string'"
>A literal_component used by the
                                  begin function must have a datatype
                                  of string.</sch:assert>
                          </sch:rule>
                          <sch:rule
                             context="oval-def:begin/
oval-def:variable_component">
<sch:let name="var_ref"
  value="@var_ref"/>
                              <sch:assert
                                  test="ancestor::oval-def:oval_definitions/
oval-def:variables/*[@id=$var_ref]/
@datatype = 'string'"
                                  >The variable referenced by the begin function must have a datatype
                                  of string.</sch:assert>
                          </sch:rule>
                      </sch:pattern>
                  </xsd:appinfo>
              </xsd:annotation>
              <xsd:sequence>
                  <xsd:group ref="oval-def:ComponentGroup"/>
              </xsd:sequence>
              <xsd:attribute name="character"
  type="xsd:string" use="required"/>
          </xsd:complexType>
          <xsd:complexType name="ConcatFunctionType">
              <xsd:annotation>
```

<xsd:documentation>The concat function takes two or more components and concatenates them together to form a single string. The first component makes up the beginning of the resulting string and any following components are added to the end it. If one of the components returns multiple values then the concat function would be performed multiple times and the end result would be a collection of values for the local variable. For example assume a local variable has two sub-components: a basic component element returns the values "abc" and "def", and a literal component element that has a value of "xyz". The local\_variable element would evaluate to a collection of two values, "abcxyz" and "defxyz". If one of the components does not exist, then the result of the concat

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operation should be does not
 exist.</xsd:documentation>
<xsd:appinfo>

evaluation\_documentation>Below is a chart that specifies how to classify the flag status of a variable using the concat function during evaluation when multiple components are supplied. Both the object and variable component are indirectly associated with collected objects in a system characteristics file. These objects could have been completely collected from the system, or there might have been some type of error that led to the object not being collected, or maybe only a part of the object set was collected. This flag status is important as OVAL Objects or OVAL States that are working with a variable (through the var\_ref attribute on an entity) can use this information to report more accurate results. For example, an OVAL Test with a check attribute of 'at least one' that specifies an object with a variable reference, might be able to produce a valid result based on an incomplete object set as long as one of the objects in the set is

true.</evaluation\_documentation>
<evaluation\_chart xml:space="preserve">

| num of components with flag                 | resulting flag is   |
|---|---|
| <br>  1+   0+   0+   0+   0+   0+   0+   0+ | Error   Complete   Incomplete   Does Not Exist   Not Collected   Not Applicable |

</evaluation\_chart>

context="oval-def:concat/
oval-def:literal\_component">

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```
<sch:assert
                              test="not(@datatype) or @datatype='string'"
                             >A literal_component used by the concat function must have a datatype
                       of string.</sch:assert>
</sch:rule>
                       <sch:rule
                          context="oval-def:concat/
                          context= oval-def:concat/
oval-def:variable_component">
<sch:let name="var_ref"
  value="@var_ref"/>
                          <sch:assert
                             test="ancestor::oval-def:oval_definitions/
oval-def:variables/*[@id=$var_ref]/
@datatype = 'string'"
                             >The variable referenced by the
                              concat function must have a datatype
                              of string.</sch:assert>
                       </sch:rule>
                   </sch:pattern>
                </xsd:appinfo>
            </xsd:annotation>
            <xsd:sequence minoccurs="2"
maxOccurs="unbounded">
  <xsd:group ref="oval-def:ComponentGroup"/>
            </xsd:sequence>
         </xsd:complexType>
         <xsd:complexType name="EndFunctionType">
            <xsd:annotation>
               (sd:annotation>
<xsd:documentation>The end function takes a
    single string component and defines a
    character (or string) that the component
    string should end with. The character
    attribute defines the specific character
    (or string). The character (or string) is
    only added to the component string if the
    component string does not already end with
    the specified character (or string). If
    the desired end character is a string,
    then the entire end string must exist at
                   then the entire end string must exist at
the end if the component string. If the
entire end string is not present then the
entire end string is appended to the
                   component string.</xsd:documentation>
                <xsd:appinfo>
                   <sch:pattern
id="oval-def_endfunctionrules">
                       <sch:rule
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                          context="oval-def:end/oval-def:literal_component">
                             test="not(@datatype) or @datatype='string'"
>A literal_component used by the end
                              function must have a datatype of
                              string.</sch:assert>
                       </sch:rule>
                       <sch:rule
                          <sch:assert
                             test="ancestor::oval-def:oval_definitions/
oval-def:variables/*[@id=$var_ref]/
@datatype = 'string'"
>The variable referenced by the end
                              function must have a datatype of
                              string.</sch:assert>
                       </sch:rule>
                   </sch:pattern>
                </xsd:appinfo>
            </xsd:annotation>
            <xsd:sequence>
```

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```
characters are likely not intended to be
      treated as regular expression characters and need to be escaped. This function
      allows a definition writer to mark convert
      the values of components to regular expression format.</xsd:documentation>
   <xsd:documentation>Note that when using
      regular expressions, OVAL supports a common subset of the regular expression character classes, operations, expressions and other lexical tokens defined within Perl 5's regular expression specification.
     The set of Perl metacharacters which must be escaped by this function is as follows, enclosed by single quotes:
'^$\.[](){}*+?|'. For more information on
      the supported regular expression syntax in
      OVAL see:
http://oval.mitre.org/language/
      about/re_support_5.6.html.</xsd:documentation>
   <xsd:appinfo>
      <sch:pattern
id="oval-def_escaperegexfunctionrules">
         <sch:rule
            context="oval-def:escape_regex/
            oval-def:literal_component">
            <sch:assert
               test="not(@datatype) or @datatype='string'"
>A literal_component used by the
               escape_regex function must have a
                datatype of string.</sch:assert>
         </sch:rule>
         <sch:rule
            context="oval-def:escape_regex/
oval-def:variable_component">
<sch:let name="var_ref"
   value="@var_ref"/>
            <sch:assert
               test="ancestor::oval-def:oval_definitions/
oval-def:variables/*[@id=$var_ref]/
@datatype = 'string'"
               >The variable referenced by the
                escape_regex function must have a
               datatype of string.</sch:assert>
         </sch:rule>
      </sch:pattern>
   </xsd:appinfo>
</xsd:annotation>
```

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```
<xsd:sequence>
                   <xsd:group ref="oval-def:ComponentGroup"/>
                </xsd:sequence>
          </xsd:complexType>
          <xsd:complexType name="SplitFunctionType">
               <xsd:annotation>
                   <xsd:documentation>The split function takes
                       a single string component and turns it
                       into a collection of values based on a
                      delimiter string. For example, assume that a basic component element returns the value "a-b-c-d" to the split function with the delimiter set to "-". The local_variable element would be evaluated to have four values "a", "b", "c", and "d". If the basic component returns a value that begins or ends with a
                      value that begins, or ends, with a delimiter, the local_variable_element
                     delimiter, the local_variable element would contain empty string values at the beginning, or end, of the collection of values returned for that string component. For example, if the delimiter is "-", and the basic component element returns the value "-a-a-", the local_variable element would evaluate to a collection of four values "", "a", "a", and "". Likewise, if the basic component element returns a value that contains adjacent delimiters such as "---", the local_variable element would evaluate to a collection of four values "", "", and "". Lastly, if the basic component element used by the split function returnsa collection of values, then the split function is performed
                      then the split function is performed multiple times, and all of the results, from each of the split functions, are
                       returned.</xsd:documentation>
                   <xsd:appinfo>
                       <sch:pattern
id="oval-def_splitfunctionrules">
                           <sch:rule
                               context="oval-def:split/
                               oval-def:literal_component">
                               <sch:assert
                                   test="not(@datatype) or @datatype='string'"
>A_literal_component used by the
                                   split function must have a datatype
                                   of string </sch:assert>
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                           </sch:rule>
                           <sch:rule
                               context="oval-def:split/
                               oval-def:variable_component">
<sch:let name="var_ref"
  value="@var_ref"/>
                               <sch:assert
                                   test="ancestor::oval-def:oval_definitions/oval-def:variables/*[@id=$var_ref]/
                                   @datatype
                                   = 'string'"
                                   >The variable referenced by the
                                   split function must have a datatype
                                   of string.</sch:assert>
                           </sch:rule>
                       </sch:pattern>
                   </xsd:appinfo>
```

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```
with a substring_start value of 3 and a
      substring_length value of 2. The
     local_variable element would evaluate to have a single value of "cd". If the string component used by the substring function returns a collection of values, then the substring operation is performed multiple times and results in a collection of values for the
      values for the
      component.</xsd:documentation>
   <xsd:appinfo>
      <sch:pattern
  id="oval-def_substringfunctionrules">
         <sch:rule
           context="oval-def:substring/
oval-def:literal_component">
            <sch:assert
               test="not(@datatype) or @datatype='string'"
>A literal_component used by the
               substring function must have a
               datatype of string.</sch:assert>
         </sch:rule>
         <sch:rule
           context= oval-uel.substiling,
oval-def:variable_component
<sch:let name="var_ref"
  value="@var_ref"/>
            <sch:assert
               test="ancestor::oval-def:oval_definitions/
oval-def:variables/*[@id=$var_ref]/
@datatype = 'string'"
               >The variable referenced by the
               substring function must have a
               datatype of string.</sch:assert>
         </sch:rule>
      </sch:pattern>
   </xsd:appinfo>
</xsd:annotation>
<xsd:sequence>
   <xsd:group ref="oval-def:ComponentGroup"/>
</xsd:sequence>
<xsd:attribute name="substring_start"</pre>
```

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<xsd:annotation> <xsd:documentation>The time\_difference function calculates the difference in seconds between date-time values. If one component is specified, the values of that component are subtracted from the current time (UTC). The current time is the time at which the function is evaluated. If two components are specified, the value of the second component is subtracted from the value of the first component. If the component(s) contain a collection of values, the operation is performed multiple times on the Cartesian product of the component(s) and the result is also a collection of time\_difference\_values. For <xsd:documentation>The date-time format of each component is determined by the two format attributes. The format1 attribute applies to the first component, and the format2 attribute applies to the second component. Valid values for the attributes are 'win\_filetime', 'seconds\_since\_epoch', 'day\_month\_year', 'year\_month\_day', and 'month\_day\_year'. Please see the DateTimeFormatEnumeration for more information\_about each of these values. If an input value is not understood, the

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result is an error. If only one input is specified, specify the format with the format2 attribute, as the first input is considered to be the implied 'current time' input.
<xsd:documentation>
<xsd:documentation>Note that the datatype associated with the components should be 'string' or 'int' depending on which date time format is specified. The result of this function though is always an integer.

```
<xsd:appinfo>
                       <sch:pattern
id="oval-def_timedifferencefunctionrules">
                           <sch:rule
                               context="oval-def:time_difference/
oval-def:literal_component">
                               <sch:assert
  test="not(@datatype) or</pre>
                                   @datatype='string' or
@datatype='int'"
>A literal_component used by the
                                   time_difference function must have a
                                   datatype of string or
                                    int.</sch:assert>
                           </sch:rule>
                           <sch:rule
                               context="oval-def:time_difference/
                              context= oval-uel.time_arrival
oval-def:variable_component">
  <sch:let name="var_ref"
  value="@var_ref"/>
                               <sch:assert
                                   test="ancestor::oval-def:oval_definitions/
oval-def:variables/*[@id=$var_ref]/
@datatype='string' or
                                   ancestor::oval-def:oval_definitions/
oval-def:variables/*[@id=$var_ref]/
@datatype='int'"
                                   >The variable referenced by the time_difference function must have a datatype of string or
                                    int.</sch:assert>
                           </sch:rule>
                       </sch:pattern>
                   </xsd:appinfo>
               </xsd:annotation>
               </
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               </xsd:sequence>
               <xsd:attribute name="format_1"</pre>
              type="oval-def:DateTimeFormatEnumeration"
use="optional" default="year_month_day"/>
<xsd:attribute name="format_2"
type="oval-def:DateTimeFormatEnumeration"
use="optional" default="year_month_day"/>
          </xsd:complexType>
           <xsd:complexType name="RegexCaptureFunctionType">
               <xsd:annotation>
                   <xsd:documentation>The regex_capture
                      function captures a single substring from a single string component. If the string sub-component contains multiple values, then the regex_capture function will
                      extract a substring from each value. The 'pattern' attribute provides a regular expression that should contain a single
                      expression that should contain a single subexpression (using parentheses). For example, the pattern <code>\abc(.\displays)xyx$</code> would capture a substring from each of the string component's values if the value starts with abc and ends with xyz. In this case the subexpression would be all the characters that exist in between the abc and the xyz. Note that subexpressions
                  and the xyz. Note that subexpressions match the longest possible substrings.</xsd:documentation> <xsd:documentation>If the regular expression
                       contains multiple capturing sub-patterns,
                      only the first capture is used. If there are no capturing sub-patterns, the result for each target string must be the empty string. Otherwise, if the regular expression could match the target string
                       in more than one place, only the first
```

```
match (and its first capture) is used. If no matches are found in a target string, the result for that target must be the empty string.</sci>
</sci>
<xsd:documentation>Note that a quantified capturing sub-pattern does not produce multiple substrings. Standard regular expression semantics are such that if a capturing sub-pattern is required to match multiple times in order for the overall regular expression to match, the capture produced is the last substring to have
```

produced is the last substring to have Cokus, et al. Expires March 11, 2017 [Page 116] Internet-Draft OVAL Definitions Model September 2016 matched the sub-pattern.</xsd:documentation> <xsd:documentation>Note that when using
regular expressions, OVAL\_supports a common subset of the regular expression character classes, operations, expressions and other lexical tokens defined within and other lexical tokens defined within Perl 5's regular expression specification. If any of the Perl metacharacters are to be used literally, then they must be escaped. The set of metacharacters which must be escaped for this purpose is as follows, enclosed by single quotes:

'^\$\.[](){}\*+?|'. For more information on the supported regular expression syntax in the supported regular expression syntax in OVAL see: http://oval.mitre.org/language/
about/re\_support\_5.6.html.</xsd:documentation> <xsd:appinfo> <sch:pattern
id="oval-def\_regexcapturefunctionrules"> <sch:rule context="oval-def:regex\_capture/ oval-def:literal\_component"> <sch:assert test="not(@datatype) or @datatype='string'" >A literal\_component used by the regex\_capture function must have a datatype of string.</sch:assert> </sch:rule> <sch:rule context="oval-def:regex\_capture/
oval-def:variable\_component">
<sch:let name="var\_ref"
 value="@var\_ref"/> <sch:assert test="ancestor::oval-def:oval\_definitions/
oval-def:variables/\*[@id=\$var\_ref]/
@datatype = 'string'"
>The variable referenced by the
regex\_capture function must have a datatype of string </sch:assert> </sch:rule> </sch:pattern> </xsd:appinfo> </xsd:annotation> <xsd:sequence> <xsd:group ref="oval-def:ComponentGroup"/> Cokus, et al. Expires March 11, 2017 [Page 117] Internet-Draft OVAL Definitions Model September 2016

</xsd:sequence>
<xsd:attribute name="pattern"
 type="xsd:string"/>
</xsd:complexType>
<xsd:complexType name="UniqueFunctionType">

<xsd:annotation> <xsd:documentation>The unique function takes one or more components and removes any duplicate value from the set of components. All components used in the unique function will be treated as strings. For example, assume that three components exist, one that contains a string value of 'foo', and two of which both resolve to the string value 'bar'. Applying the unique function to these three components resolves to a local\_variable with two string values, 'foo' and 'bar'. Additionally, if any of the components referenced by the unique function evaluate to a collection of values, then those values are used in the unique calculation. For example, assume that there are two components, one of which resolves to a single string value, 'foo', the other of which resolves to two string values, 'foo' and 'bar'. If the unique function is used to remove displacetors from those two components, the duplicates from these two components, the function will resolve to a local\_variable that is a collection of two string values, 'foo' and 'bar'.</xsd:documentation> </xsd:annotation> <xsd:sequence maxOccurs="unbounded"> <xsd:group ref="oval-def:ComponentGroup"/> </xsd:sequence> </xsd:complexType> <xsd:complexType name="CountFunctionType"> <xsd:annotation> <xsd:documentation>The count function takes one or more components and returns the count of all of the values represented by the components. For example, assume that two variables exist, each with a single value. By applying the count function against two variable components that resolve to the two variables, the resulting local\_variable would have a

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value of '2'. Additionally, if any of the components referenced by the count function evaluate to a collection of values, then those values are used in the count calculation. For example, assume that there are two components, one of which resolves to a single value, the other of which resolves to two values. If the count function is used to provide a count of these two components, the function will resolve to a local\_variable with the values '3'.

<xsd:sequence maxoccurs="unbounded">
 <xsd:group ref="oval-def:ComponentGroup"/>

</xsd:sequence>
</xsd:complexType>

<xsd:complexType name="GlobToRegexFunctionType">

<xsd:annotation>
 <xsd:documentation> The glob\_to\_regex
 function takes a single string component
 representing shell glob pattern and
 produces a single value that corresponds
 to result of a conversion of the original
 glob pattern into Perl 5's regular
 expression pattern. The glob\_noescape
 attribute defines the way how the
 backslash ('\') character should be
 interpreted. It defaults to 'false'
 meaning backslash should be interpreted as

an escape character (backslash is allowed to be used as an escape character). If the glob\_noescape attribute would be set to 'true' it instructs the glob\_to\_regex function to interpret the backslash ('\') character as a literal, rather than as an escape character (backslash is \*not\* allowed to be used as an escape character). Refer to table with examples below to see the difference how a different boolean value of the 'glob\_noescape' attribute will impact the output form of the resulting Perl 5's regular expression produced by glob\_to\_regex function.
<xsd:documentation>Please note the glob\_to\_regex function will fail to

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perform the conversion and return an error when the provided string argument (to represent glob pattern) does not represent a syntactically correct glob pattern. For example given the 'a\*b?[' as the argument to be converted, glob\_to\_regex would return an error since there's missing the corresponding closing bracket in the provided glob pattern argument.

<xsd:documentation>Also, it is necessary to
mention that the glob\_to\_regex function
respects the default behaviour for the
input glob pattern and output Perl 5's
regular expression spaces. Namely this
means that:/xsd:documentation>

<xsd:documentation> - glob\_to\_regex will
rule out matches having special meaning
(for example '.' as a representation of
the current working directory or '..' as a
representation of the parent directory of
the current working

directory, </xsd:documentation>
<xsd:documentation> - glob\_to\_regex will
rule out files or folders starting with
'.' character (e.g. dotfiles) unless the
respective glob pattern part itself starts
with the '.'

character,</xsd:documentation>
<xsd:documentation> - glob\_to\_regex will not
perform case-sensitivity transformation
(alphabetical characters will be copied
from input glob pattern space to output
Perl 5's regular expression pattern space
intact). It is kept as a responsibility of
the OVAL content author to provide input
glob pattern argument in such case so the
resulting Perl 5's regular expression
pattern will match the expected pathname
entries according to the case of
preference,</xsd:documentation>
<xsd:documentation> - glob\_to\_regex will not

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perform any possible brace expansion. Therefore glob patterns like '{pat,pat,pat}' would be converted into Perl 5's regular expression syntax in the original un-expanded form (kept for any potential subsequent expansion to be performed by Perl 5's regular expression engine in the moment of the use of that engine in the moment of the use of that resulting regular resulting regular expression),</xsd:documentation>
<xsd:documentation> - glob\_to\_regex will not perform tilde ('~') character substitution to user name home directory pathname. The ('~') character will be passed to Perl 5's regular expression engine intact. If user name home directory pathname also pattern name home directory pathname glob pattern behaviour is expected, the pathname of the user name home directory needs to be specified in the original input glob pattern already,</xsd:documentation> xsd:documentation> - glob\_to\_regex function
will not perform any custom changes wrt to
the ordering of items (perform any
additional sorting of set of pathnames
represented by the provided glob pattern
argument).
xsd:documentation> <xsd:appinfo> <evaluation\_documentation>Below are some examples that outline how the glob\_noescape attribute value affects the output form of the produced Perl regular expression. The far left column identifies the shell glob pattern provided as the input string component to the glob\_to\_regex function. The middle column specifies the two possible different boolean values of the 'glob\_noescape' attribute that can be used. Finally the last column depicts how the output produced by the glob\_to\_regex function - the resulting Perl regular expression would look like.</evaluation\_documentation> <evaluation\_chart xml:space="preserve"> input glob\_ corresponding shell noescape || attribute || Perl glob

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| pattern  <br> | value  <br> | Regular<br>  Expression |
|---------------|-------------|-------------------------|
| '\*'          | false       | ^\*\$                   |
| '\*'          | true        | ^\\[^/]*\$              |
| '\?'          | false       | ^\?\$                   |
| '\?'          | true        | ^\\[^./]\$              |
| '\[hello\]'   | false       | ^\[hello\]\$            |
| '\[hello\]'   | true        | ^\\[hello\\]\$          |
| '/root/*'     | false       | ^/root/(?=[^.])[^/]*\$  |
| '/root/.*'    | false       | ^/root/\.[^/]*\$        |
| '/root/x*'    | false       | ^/root/x[^/]*\$         |
| '/root/?'     | false       | ^/root/[^./]\$          |
| '/root/.?'    | false       | //root/\.[^/]\$         |

|              | 11    | 1                       |
|--------------|-------|-------------------------|
| '/root/x?'   | false | ^/root/x[^/]\$          |
| 'list.?'     | false | \^]ist\.[^/]\$          |
| 'list.?'     | true  | \^]ist\.[^/]\$          |
| 'project.*'  | false | ^project\.[^/]*\$       |
| 'project.*'  | true  | ^project\.[^/]*\$       |
| '*old'       | false | ^(?=[^.])[^/]*old\$     |
| '*old'       | true  | ^(?=[^.])[^/]*old\$     |
| 'type*.[ch]' | false | ^type[^/]*\.[ch]\$      |
| 'type*.[ch]' | true  | ^type[^/]*\.[ch]\$      |
| '*.*'        | false | ^(?=[^.])[^/]*\.[^/]*\$ |
| '*.*'        | true  | ^(?=[^.])[^/]*\.[^/]*\$ |
| 1 % 1        | false | ^(?=[^.])[^/]*\$        |

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| 1                | 11    | 1                             |
|------------------|-------|-------------------------------|
| **               | true  | ^(?=[^.])[^/]*\$              |
| '?'              | false | ^[^./]\$                      |
| '?'              | true  | ^[^./]\$                      |
| '\*'             | false | ^\*\$                         |
| '\*'             | true  | ^\\[^/]*\$                    |
| '\?'             | false | ^\?\$                         |
| '\?'             | true  | ^\\[^./]\$                    |
| 'x[[:digit:]]\*' | false | ^x[[:digit:]]\*\$             |
| 'x[[:digit:]]\*' | true  | ^x[[:digit:]]\\[^/]*\$        |
| * *              | false | ^\$                           |
| * *              | true  | ^\$                           |
| '~/files/*.txt'  | false | ^~/files/(?=[^.])[^/]*\.txt\$ |
| '~/files/*.txt'  | true  | ^~/files/(?=[^.])[^/]*\.txt\$ |
| '\'              | false | ^\\\$                         |
| '\'              | true  | ^\\\$                         |
| '[ab'            | false | INVALID                       |
| '[ab'            | true  | INVALID                       |
| '.*.conf'        | false | ^\.[^/]*\.conf\$              |
| '.*.conf'        | true  | ^\.[^/]*\.conf\$              |
| 'docs/?b'        | false | ^docs/[^./]b\$                |
| 'docs/?b'        | true  | ^docs/[^./]b\$                |
| 'xy/??z'         | false | ^xy/[^./][^/]z\$              |
| 'xy/??z'         | true  | ^xy/[^./][^/]z\$              |

```
</xsd:restriction>
        </xsd:simpleType>
        <xsd:simpleType name="DateTimeFormatEnumeration">
           <xsd:annotation>
              <xsd:documentation>The
                 DateTimeFormatEnumeration simple type
                 defines the different date-time formats
                 that are understood by OVAL. Note that in some cases there are a few different possibilities within a given format. Each of these possibilities is unique though and can be distinguished from each other. The different formats are used to clarify the higher level structure of the
                 the higher level structure of the
                 date-time string being
                 used.</xsd:documentation>
           </xsd:annotation>
           <xsd:restriction base="xsd:string">
              <xsd:enumeration value="year_month_day">
                 <xsd:annotation>
                    <xsd:documentation>The year_month_day
                 value specifies date-time strings that follow the formats: 'yyyymmdd', 'yyyymmddThhmmss', 'yyyy/mm/dd hh:mm:ss', 'yyyy/mm/dd', 'yyyy-mm-dd hh:mm:ss', or 'yyyy-mm-dd'</xsd:documentation>
              </xsd:enumeration>
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              <xsd:enumeration value="month_day_year">
                 <xsd:annotation>
                     <xsd:documentation>The month_day_year
                       value specifies date-time strings that
follow the formats: 'mm/dd/yyyy
hh:mm:ss', 'mm/dd/yyyy', 'mm-dd-yyyy
hh:mm:ss', 'mm-dd-yyyy', 'NameOfMonth,
dd yyyy hh:mm:ss' or 'NameOfMonth, dd
yyyy', 'AbreviatedNameOfMonth, dd yyyy
hh:mm:ss', or 'AbreviatedNameOfMonth,
dd yyyy'</xsd:documentation>
sd:annotation>
                 </xsd:annotation>
              </xsd:enumeration>
              <xsd:enumeration value="day_month_year">
                 <xsd:annotation>
                     <xsd:documentation>The day_month_year
                       value specifies date-time strings that follow the formats: 'dd/mm/yyyy hh:mm:ss', 'dd/mm/yyyy', 'dd-mm-yyyy hh:mm:ss', or 'dd-mm-yyyy'</xsd:documentation>
                 </xsd:annotation>
              </xsd:enumeration>
              <xsd:enumeration value="win_filetime">
                 <xsd:annotation>
                     <xsd:documentation>The win_filetime
                       value specifies date-time strings that follow the windows file time
                        format.</xsd:documentation>
                  </xsd:annotation>
              </xsd:enumeration>
              <xsd:enumeration value="seconds_since_epoch">
                 <xsd:annotation>
                     <xsd:documentation>The
                        seconds_since_epoch value specifies
                        date-time values that represent the
                        time in seconds since the UNIX epoch.
                       The Unix epoch is the time 00:00:00 UTC on January 1, 1970.</r>
                 </xsd:annotation>
              </xsd:enumeration>
              <xsd:enumeration value="cim_datetime">
```

<xsd:annotation> <xsd:documentation>The cim\_datetime model is used by WMI and its value specifies date-time strings that follow the format:

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```
'yyyymmddHHMMSs.mmmmmsuuu', and
              alternatively 'yyyy-mm-dd
HH:MM:SS:mmm' only when used in WMI
               Query Language
              queries.</xsd:documentation>
         </xsd:annotation>
      </xsd:enumeration>
   </xsd:restriction>
</xsd:simpleType>
<xsd:simpleType name="FilterActionEnumeration">
   <xsd:annotation>
      <xsd:documentation>The
        FilterActionEnumeration simple type
         defines the different options for
         filtering sets of
         items.</xsd:documentation>
   </xsd:annotation>
   <xsd:restriction base="xsd:string">
  <xsd:enumeration value="exclude">

         <xsd:annotation>
            <xsd:documentation>The exclude value
              specifies that all items that match
the filter shall be excluded from set
that the filter is applied
to.</xsd:documentation>
         </xsd:annotation>
      </xsd:enumeration>
      <xsd:enumeration value="include">
         <xsd:annotation>
            <xsd:documentation>The include value
              specifies that only items that match
the filter shall be included in the
set that the filter is applied
to.</xsd:documentation>
         </xsd:annotation>
      </xsd:enumeration>
   </xsd:restriction>
</xsd:simpleType>
<xsd:simpleType name="SetOperatorEnumeration">
   <xsd:annotation>
      <xsd:documentation>The
        SetOperatorEnumeration simple type defines acceptable set operations. Set operations are used to take multiple different sets of objects within OVAL and merge them into
        a single unique set. The different
operators that guide this merge are
defined below. For each operator, if only
```

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a single object has been supplied, then the resulting set is simply that complete object.</xsd:documentation> <xsd:appinfo> <evaluation\_documentation>Below are some tables that outline how different flags are combined with a given set\_operator needed when computing the flag for collected objects that represent object sets in an OVAL Definition. The top row identifies the flag associated with the

first set or object reference. The left column identifies the flag associated with the second set or object reference. The matrix inside the table represent the resulting flag when the given set\_operator is applied. (E=error, C=complete, I=incomplete, DNE=does not exist, NC=not collected, NA=not applicable) applicable)</evaluation\_documentation> <evaluation\_chart xml:space="preserve">

| set_operator is<br>union             | <br> <br>                    |                               | obj                           | 1 flag                                   |                                 |  |   |
|--------------------------------------|------------------------------|-------------------------------|-------------------------------|--|---------------------------------|--|---|
| union                                | E                            | C                             | I                             | DNE                                      | l NC                            | NA                                       | ļ |
| obj E<br>2 I<br>flag DNE<br>NC<br>NA | <br>  E<br>  E<br>  E<br>  E | E<br>  C<br>  I<br>  C<br>  I | E<br>  I<br>  I<br>  I<br>  I | E<br>  C<br>  I<br>  DNE<br>  I<br>  DNE | E<br>  I<br>  I<br>  NC<br>  NC | E  <br>  C  <br>  I  <br>  DNE  <br>  NC |   |

</evaluation\_chart> <evaluation\_chart xml:space="preserve">

| set_operator is<br>intersection               |   | E                            | C                                       | obj                                     | 1 flag                  | NC                               | <br> <br>  NA                             |
|---|---|------------------------------|---|---|-------------------------|----------------------------------|---|
| obj E<br>Obj C<br>2 I<br>flag DNE<br>NC<br>NA | -     -<br>     <br>     <br>     <br>      - | E<br>E<br>E<br>DNE<br>E<br>E | E<br>  C<br>  I<br>  DNE<br>  NC<br>  C | E<br>  I<br>  I<br>  DNE<br>  NC<br>  I | DNE DNE DNE DNE DNE DNE | E<br>NC<br>NC<br>DNE<br>NC<br>NC | E   C   I   I   I   I   I   I   I   I   I |

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</evaluation\_chart> <evaluation\_chart xml:space="preserve">

| set_operator is<br>complement        | <br>   obj 1 flag<br>  <br>   E   C   I   DNE   NC   NA |
|--------------------------------------|---|
| obj C<br>2 I<br>flag DNE<br>NC<br>NA | E   |

</evaluation\_chart>

</xsd:appinfo> </xsd:annotation>

<xsd:restriction base="xsd:string">
<xsd:enumeration value="COMPLEMENT">

<xsd:annotation>

<xsd:documentation>The complement
 operator is defined in OVAL as a operator is defined in OVAL as a relative complement. The resulting unique set contains everything that belongs to the first declared set that is not part of the second declared set. If A and B are sets (with A being the first declared set), then the relative complement is the set of elements in A, but not in B, with the duplicates duplicates removed.</xsd:documentation>

</xsd:annotation>

</xsd:enumeration>

<xsd:enumeration value="INTERSECTION">

<xsd:annotation>

<xsd:documentation>The intersection of

```
set that contains everything that
                      belongs to both sets in the collection, but nothing else. If A and
                      B are sets, then the intersection of A and B contains all the elements of A \,
                      that also belong to B, but no other elements, with the duplicates
                      removed.</xsd:documentation>
                 </xsd:annotation>
             </xsd:enumeration>
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             <xsd:enumeration value="UNION">
                 <xsd:annotation>
                   <xsd:documentation>The union of two sets
                      in OVAL results in a unique set that contains everything that belongs to either of the original sets. If A and B are sets, then the union of A and B contains all the elements of A and all
                      elements of B, with the duplicates removed.</xsd:documentation>
                 </xsd:annotation>
             </xsd:enumeration>
          </xsd:restriction>
       </xsd:simpleType>
    <xsd:attributeGroup name="EntityAttributeGroup">
           <xsd:annotation>
             <xsd:documentation>The EntityAttributeGroup
                is a collection of attributes that are
common to all entities. This group defines
these attributes and their default values.
                Individual entities may limit allowed values for these attributes, but all entities will support these
                attributes </xsd:documentation>
             <xsd:appinfo>
                 <sch:pattern
                   id="oval-def_definition_entity_rules">
                    <!-- These schematron rules are written to
                      look at object and state entities as well
                   as fields in states. -->
<!-- var_ref and var_check rules -->
                      sch:rule
context="oval-def:objects/*/*[@var_ref]|
oval-def:objects/*/*[@var_ref]|
oval-def:states/*/*[@var_ref]|
oval-def:states/*/*[@var_ref]|
oval-def:states/*/*[@var_ref]">
<sch:let name="var_ref"
value="@var_ref"/>
<sch:assert test=".=''"><sch:value-of
select="./@id"/> - a var_ref has
been supplied for the <sch:value-of
select="name()"/> entity so no
value should be
                    <sch:rule
                         value should be
                         provided</sch:assert>
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                      <sch:assert
  test="( (not(@datatype)) and
  ('string' = ancestor::oval-def:oval_definitions/
  oval-def:variables/*[@id=$var_ref]/</pre>
                         @datatype) ) or
```

(@datatype = ancestor::oval-def:oval\_definitions/

two sets in OVAL results in a unique

```
oval-def:variables/*[@id=$var_ref]/
@datatype)"
                   ><sch:value-of select="$var_ref"/>
                 - inconsistent datatype between the
                 variable and an associated
                 var_ref</sch:assert>
             </sch:rule>
             <sch:rule
              provided</sch:report>
             </sch:rule>
             <sch:rule
              a var_check has been supplied for the <sch:value-of select="name()"/>
                 entity so a var_ref must also be
                 provided</sch:assert>
             </sch:rule>
             <sch:rule
              provided</sch:report>
             </sch:rule>
             <sch:rule
              ><sch:value-of select="../@id"/> -
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                 a var_check_has been_supplied for
                 the <sch:value-of select="name() entity so a var_ref must also be
                 provided</sch:assert>
             </sch:rule>
             <!-- datatype and operation rules -->
             <sch:rule
               context="oval-def:objects/*/*[not(@datatype)]|
              oval-def:ovar wirrows/elos/
oval-def:ovar wirrows/*/*/*[not(@datatype)]|
oval-def:states/*/*[not(@datatype)]|
oval-def:states/*/*/*[not(@datatype)]">
               <sch:assert
                 test="not(@operation) or
                of a declared datatype (hence a
                 default datatype of
                 string) </sch:assert>
             </sch:rule>
             <sch:rule
               context="oval-def:objects/*/*[@datatype='binary']|
              oval-def:objects/*/*/*[@datatype='binary']|
```

```
oval-def:states/*/*[@datatype='binary']|
oval-def:states/*/*/*[@datatype='binary']">
                            <sch:assert
  test="not(@operation) or</pre>
                              entity is not valid given a datatype of binary.</sch:assert>
                           <!--<sch:assert test=
"matches(., '^[0-9a-fA-F]*$')">
<sch:value-of select="../@id"/>
- A value of '<sch:value-of select="."/>'
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                               for the <sch:value-of select="name()"/>
                               entity is not valid given a datatype of binary.
                                </sch:assert>-->
                        </sch:rule>
                        <sch:rule
                           context="oval-def:objects/*/*[@datatype='boolean']|
oval-def:objects/*/*[@datatype='boolean']|
oval-def:states/*/*[@datatype='boolean']|
oval-def:states/*/*[@datatype='boolean']">
                            <sch:assert
  test="not(@operation) or</pre>
                              <!--<sch:assert test="matches(., '^true$|

^false$|^1$|^0$')">

<sch:value-of select="../@id"/>

- A value of '<sch:value-of select="."/>'
                               for the
                               <sch:value-of select="name()"/>
                               entity is not valid given a datatype of boolean.
                                </sch:assert>-->
                        </sch:rule>
                        <sch:rule
                            context="oval-def:objects/*/*
                           [@datatype='evr_string']|
oval-def:objects/*/*/*[@datatype='evr_string']|
oval-def:states/*/*[@datatype='evr_string']|
oval-def:states/*/*[@datatype='evr_string']|
                            <sch:assert
                               test="not(@operation) or
                               Coperation='equals' or
Coperation='not equal' or
Coperation='greater than' or
Coperation='greater than or
                               @operation='greater than or
equal' or @operation='less than' or
@operation='less than or equal'"
    ><sch:value-of select="../@id"/> -
The use of '<sch:value-of
    select="@operation"/>' for the
operation attribute of the
    sch:value-of select="name()"/>
                                   <sch:value-of select="name()"/>
```

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```
entity is not valid given a datatype
of evr_string.</sch:assert>
   of evr_string.</sch.assert>
<!--<sch:assert test=
"matches(., '^[^:\-]*:[^:\-]*-[^:\-]*$')">
<sch:value-of select="../@id"/>
- A value of '<sch:value-of select="."/>'
for the <sch:value-of select="name()"/>
entity is not valid given a datatype
       of evr_string.</sch:assert>-->
</sch:rule>
<sch:rule
   context="oval-def:objects/*/*
[@datatype='debian_evr_string']|
oval-def:objects/*/*/
[@datatype='debian_evr_string']|
oval-def:states/*/*
   [@datatype='debian_evr_string']|
oval-def:states/*/*/
   [@datatype='debian_evr_string']">
   <sch:assert
      test="not(@operation) or
@operation='equals' or
@operation='not equal' or
@operation='greater than' or
@operation='greater than or
equal' or
      entity is not valid given a datatype
of debian_evr_string.
</sch:rule>
<sch:rule
   <sch:assert
       test="not(@operation) or
      @operation='equals' or
```

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</sch:rule> <sch:rule sch:rule
context="oval-def:objects/\*/\*
[@datatype='float']|
oval-def:objects/\*/\*
[@datatype='float']|
oval-def:states/\*/\*
[@datatype='float']|
oval-def:states/\*/\*
[@datatype='float']">
<sch:assert <sch:assert
 test="not(@operation) or</pre>

@operation='equals' or

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```
entity is not valid given a datatype of
                    ipv6_address.</sch:assert>-->
               </sch:rule>
               <sch:rule
                 sch:rule
context="oval-def:objects/*/*
[@datatype='string']|
oval-def:objects/*/*
[@datatype='string']|
oval-def:states/*/*[@datatype='string']|
oval-def:states/*/*/*[@datatype='string']|
                  <sch:assert
                   test="not(@operation) or
               </sch:rule>
               <sch:rule
                 context="oval-def:objects/*/*
[@datatype='version']|oval-def:objects
/*/*/*[@datatype='version']|oval-def:states/*/*
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                  [@datatype='version']|oval-def:states/*/*/
[@datatype='version']">
                   <sch:assert
                    entity is not valid given a datatype
                    of version.</sch:assert>
               </sch:rule>
               <sch:rule
                 context="oval-def:objects/*/*
[@datatype='record']|oval-def:states/*/*
[@datatype='record']">
                  <sch:assert</pre>
                   of record.</sch:assert>
               </sch:rule>
             </sch:pattern>
             <sch:pattern
id="oval-def_no_var_ref_with_records">
               <sch:rule
                  context="oval-def:objects/*/*
```

```
</sch:rule>
</sch:pattern>
<sch:pattern
id="oval-def_definition_entity_type_check_rules">
```

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```
<sch:rule
              integer.</sch:assert>
                  -- Must test for decimal point because number(x.0) = floor(x.0) is true -->
           </sch:rule>
        </sch:pattern>
   </xsd:appinfo>
</xsd:annotation>
<xsd:annotation>
       <xsd:documentation>The optional datatype
           attribute specifies how the given operation should be applied to the data.
           Since we are dealing with XML everything is technically a string, but often the value is meant to represent some other
           datatype and this affects the way an
           operation is performed. For example, with the statement 'is 123 less than 98'. If the data is treated as integers
          98'. If the data is treated as integers the answer is no, but if the data is treated as strings, then the answer is yes. Specifying a datatype defines how the less than operation should be performed. Another way of thinking of things is that the datatype attribute specifies how the data should be cast before performing the operation (note that the default datatype is 'string'). In the previous example, if the dataty
           In the previous example, if the datatype
```

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is set to int, then '123' and '98' should be cast as integers. Another example is applying the 'equals' operation to '1.0.0.0' and '1.0'. With datatype 'string' they are not equal, with datatype 'version' they are. Note that there are certain cases where a that there are certain cases where a cast from one datatype to another is not possible. If a cast cannot be made, (trying to cast 'abc' to an integer) then an error should be reported. For example, if the datatype is set to 'integer' and the value is the empty

string. There is no way to cast the empty string (or NULL) to an integer, and in cases like this an error should be reported.</xsd:documentation> </xsd:annotation> </xsd:attribute> <xsd:attribute name="operation"
type="oval:OperationEnumeration"
use="optiona]" default="equals"> <xsd:annotation> <xsd:documentation>The optional operation attribute determines how the individual entities should be evaluated (the default operation is
 'equals').</xsd:documentation>
</xsd:annotation> </xsd:attribute> < <xsd:annotation> <xsd:documentation>The optional mask
 attribute is used to identify values
 that have been hidden for sensitivity
 concerns. This is used by the Result document which uses the System Characteristics schema to format the information found on a specific system. When the mask attribute is set to 'true' on an OVAL Entity or an OVAL Field, the corresponding collected value of that OVAL Entity or OVAL Field MUST NOT be present in the "results" section of the OVAL Results document; the "oval\_definitions" section must not be section of the altered and must be an exact copy of the

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definitions evaluated. Values MUST NOT be masked in OVAL System Characteristics documents that are not contained within an OVAL Results document. It is possible for masking conflicts to occur where one entity has mask set to true and another entity has mask set to false. A conflict will occur when the mask attribute is set differently on an OVAL Object and matching OVAL State or when more than one OVAL Objects identify the same OVAL Item(s). When such a conflict occurs the result is always to mask the entity.
</xsd:annotation>
</xsd:attribute>

evaluate to error.</xsd:documentation>
</xsd:annotation>
</xsd:attribute>
<xsd:attribute name="var\_check"
type="oval:CheckEnumeration" use="optional">
<xsd:annotation>
 <xsd:documentation>The optional var\_check
 attribute specifies how data collection
 or state evaluation should proceed when
 an element uses a var\_ref attribute, and

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the associated variable defines more than one value. For example, if an object entity 'filename' with an operation of 'not equal' references a operation of 'not equal' references a variable that returns five different values, and the var\_check attribute has a value of 'all', then an actual file on the system matches only if the actual filename does not equal any of the variable values. As another example, if a state entity 'size' with an operation of 'less than' references a variable that has five different integer values, and the var check attribute has a value and the var\_check attribute has a value of 'all', then the 'size' state entity evaluates to true only if the corresponding 'size' item entity is less than each of the five integers defined by the variable. If a variable does not have any value value when referenced by an OVAL Object the object should be considered to not exist. If a variable does not have any value when referenced by an OVAL State an error should be reported during OVAL analysis. When an OVAL State uses a var\_ref, if both the state entity and a corresponding item entity are collections of values, the var\_check is applied to each value of the item entity individually, and all must evaluate to true for the state entity to evaluate to true. In this condition, there is no value of var\_check which enables an element-wise var\_check which enables an element-wise comparison, and so there is no way to determine whether the two entities are truly 'equal' in that sense. If var\_ref is present but var\_check is not, the element should be processed as if var\_check has the value
"all".</xsd:documentation> </xsd:annotation> </xsd:attribute>

<xsd:complexType name="EntitySimpleBaseType"
 abstract="true">
 <xsd:annotation>

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</xsd:attributeGroup>

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<xsd:documentation>The EntitySimpleBaseType complex type is an abstract type that defines the default attributes associated with every simple entity. Entities can be found in both OVAL Objects and OVAL States and represent the individual properties associated with items found on a system.

```
An example of a single entity would be the path of a file. Another example would be the version of the
                 file.</xsd:documentation>
           </xsd:annotation>
           <xsd:simpleContent>
             <xsd:extension base="xsd:anySimpleType">
  <xsd:attributeGroup</pre>
                   ref="oval-def:EntityAttributeGroup"/>
              </xsd:extension>
           </xsd:simpleContent>
       </xsd:complexType>
       <xsd:complexType_name="EntityComplexBaseType"</pre>
          abstract="true">
           <xsd:annotation>
              <xsd:documentation>The EntityComplexBaseType
                complex type is an abstract type that defines the default attributes associated
                with every complex entity. Entities can be found in both OVAL Objects and OVAL States and represent the individual properties
                associated with items found on a system.
An example of a single entity would be the path of a file. Another example would be the version of the file.</xsd:documentation>
           </xsd:annotation>
          <xsd:attributeGroup
ref="oval-def:EntityAttributeGroup"/>
       </xsd:complexType>
       <xsd:complexType
name="EntityObjectIPAddressType">
           <xsd:annotation>
              <xsd:documentation>The
                EntityObjectIPAddressType type is extended by the entities of an individual OVAL
                Object. This type provides uniformity to each object entity by including the attributes found in the
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                 EntitySimpleBaseType. This specific type
                describes any IPv4/IPv6 address or address prefix.</xsd:documentation>
           </xsd:annotation>
           <xsd:simpleContent>
              <xsd:restriction</pre>
                base="oval-def:EntitySimpleBaseType">
                 <xsd:simpleType>
  <xsd:restriction base="xsd:string"/>
                 </xsd:simpleType>
                 <xsd:attribute name="datatype"
use="required">
<xsd:simpleType>
                       <xsd:restriction
base="oval:SimpleDatatypeEnumeration">
                          <xsd:enumeration
  value="ipv4_address"/>
                       <xsd:enumeration
  value="ipv6_address"/>
</xsd:restriction>
                    </xsd:simpleType>
                 </xsd:attribute>
              </xsd:restriction>
           </xsd:simpleContent>
       </xsd:complexType>
       <xsd:complexType</pre>
          name="EntityObjectIPAddressStringType">
           <xsd:annotation>
              <xsd:documentation>The
                EntityObjectIPAddressStringType type is extended by the entities of an individual OVAL Object. This type provides uniformity
```

```
to each object entity by including the attributes found in the EntitySimpleBaseType. This specific type describes any IPV4/IPv6 address, address
              prefix, or its string representation.</r>
         </xsd:annotation>
         <xsd:simpleContent>
            <xsd:restriction</pre>
              base="oval-def:EntitySimpleBaseType">
              <xsd:simpleType>
                 <xsd:restriction base="xsd:string"/>
              </xsd:simpleType>
              <xsd:attribute name="datatype"
  use="optional" default="string">
                 <xsd:simpleType>
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                    <xsd:restriction</pre>
                      base="oval:SimpleDatatypeEnumeration">
                      <xsd:enumeration</pre>
                         value="ipv4_address"/>
                      <xsd:enumeration
  value="ipv6_address"/>
<xsd:enumeration value="string"/>
                    </xsd:restriction>
                 </xsd:simpleType>
              </xsd:attribute>
            </xsd:restriction>
         </xsd:simpleContent>
      </xsd:complexType>
<xsd:complexType
         name="EntityObjectAnySimpleType">
         <xsd:annotation>
            <xsd:documentation>The
              EntityObjectAnySimpleType type is extended
              by the entities of an individual OVAL
              object. This type provides uniformity to each object entity by including the attributes found in the EntitySimpleBaseType. This specific type
              describes any simple
data.</xsd:documentation>
         </xsd:annotation>
         <xsd:simpleContent>
            <xsd:restriction</pre>
              base="oval-def:EntitySimpleBaseType">
              <xsd:simpleType>
                 <xsd:restriction base="xsd:string"/>
              </xsd:simpleType>
              </xsd:restriction>
         </xsd:simpleContent>
      </xsd:complexType>
      <xsd:complexType name="EntityObjectBinaryType">
         <xsd:annotation>
            <xsd:documentation>The EntityBinaryType type
              is extended by the entities of an
              individual OVAL Object. This type provides uniformity to each object entity by including the attributes found in the
              EntitySimpleBaseType. This specific type
              describes simple binary data. The empty
string is also allowed when using a
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                                                                                  [Page 146]
```

variable reference with an

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```
element.</xsd:documentation>
         </xsd:annotation>
         <xsd:simpleContent>
           <xsd:restriction</pre>
             base="oval-def:EntitySimpleBaseType">
              <xsd:simpleType>
                <xsd:union
                  memberTypes="xsd:hexBinary oval:EmptyStringType"
              </xsd:simpleType>
              </p
           </xsd:restriction>
         </xsd:simpleContent>
      </xsd:complexType>
      <xsd:complexType name="EntityObjectBoolType">
         <xsd:annotation>
           <xsd:documentation>The EntityBoolType type
             is extended by the entities of an individual OVAL Object. This type provides uniformity to each object entity by including the attributes found in the EntitySimpleBaseType. This specific type
              describes simple boolean data. The empty
             string is also allowed when using a variable reference with an
              element.</xsd:documentation>
         </xsd:annotation>
         <xsd:simpleContent>
           <xsd:restriction</pre>
             base="oval-def:EntitySimpleBaseType">
              <xsd:simpleType>
                <xsd:union
                  memberTypes="xsd:boolean oval:EmptyStringType"
              </xsd:simpleType>
              </xsd:restriction>
         </xsd:simpleContent>
      </xsd:complexType>
      <xsd:complexType name="EntityObjectFloatType">
         <xsd:annotation>
           <xsd:documentation>The EntityObjectFloatType
type is extended by the entities of an
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             individual OVAL Object. This type provides
             uniformity to each object entity by including the attributes found in the
             EntitySimpleBaseType. This specific type describes simple float data. The empty string is also allowed when using a variable reference with an
              element.</xsd:documentation>
         </xsd:annotation>
         <xsd:simpleContent>
           <xsd:restriction</pre>
             base="oval-def:EntitySimpleBaseType">
              <xsd:simpleType>
                <xsd:union
                  memberTypes="xsd:float oval:EmptyStringType"
              </xsd:simpleType>
             </xsd:SimpleType>
<xsd:attribute name="datatype"
  type="oval:SimpleDatatypeEnumeration"
  use="required" fixed="float"/>
           </xsd:restriction>
         </xsd:simpleContent>
      </xsd:complexType>
      <xsd:complexType name="EntityObjectIntType">
         <xsd:annotation>
           <xsd:documentation>The EntityIntType type is
```

```
OVAL Object. This type provides uniformity to each object entity by including the attributes found in the EntitySimpleBaseType. This specific type describes simple integer data. The empty string is also allowed when using a variable reference with an element 
               element.</xsd:documentation>
          </xsd:annotation>
          <xsd:simpleContent>
             <xsd:restriction</pre>
               base="oval-def:EntitySimpleBaseType">
                <xsd:simpleType>
                  <xsd:union
                     memberTypes="xsd:integer oval:EmptyStringType"
                </xsd:simpleType>
               </xsd:restriction>
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          </xsd:simpleContent>
       </xsd:complexType>
       <xsd:complexType name="EntityObjectStringType">
          <xsd:annotation>
             <xsd:documentation>The EntityStringType type
               is extended by the entities of an individual OVAL Object. This type provides uniformity to each object entity by including the attributes found in the EntitySimpleBaseType. This specific type
               describes simple string
               data </xsd:documentation>
          </xsd:annotation>
          <xsd:simpleContent>
             <xsd:restriction</pre>
               base="oval-def:EntitySimpleBaseType">
                <xsd:simpleType>
                  <xsd:restriction base="xsd:string"/>
                </xsd:simpleType>
               <xsd:attribute name="datatype"
  type="oval:SimpleDatatypeEnumeration"
  use="optional" fixed="string"/>
             </xsd:restriction>
          </xsd:simpleContent>
       </xsd:complexType>
       <xsd:complexType name="EntityObjectVersionType">
          <xsd:annotation>
             <xsd:documentation>The
               EntityObjectVersionType type is extended
               by the entities of an individual OVAL State. This type provides uniformity to each state entity by including the attributes found in the
               EntityStateSimpleBaseType. This specific
                type describes simple version
               data.</xsd:documentation>
          </xsd:annotation>
          <xsd:simpleContent>
             <xsd:restriction
base="oval-def:EntitySimpleBaseType">
                <xsd:simpleType>
                  <xsd:restriction base="xsd:string"/>
                </xsd:simpleType>
                <xsd:attribute name="datatype"</pre>
                  type="oval:SimpleDatatypeEnumeration"
use="required" fixed="version"/>
             </xsd:restriction>
          </xsd:simpleContent>
```

extended by the entities of an individual

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```
</xsd:complexType>
<xsd:complexType name="EntityObjectRecordType">
<xsd:annotation>
        <xsd:documentation>The
           EntityObjectRecordType defines an entity
           that consists of a number of uniquely named fields. This structure is used for
           representing a record from a database query and other similar structures where multiple related fields must be
           represented at once. Note that for all
           entities of this type, the only allowed datatype is 'record' and the only allowed operation is 'equals'. During analysis of a system characteristics item, each field is analyzed and then the overall result for elements of this type is computed by logically anding the results for each field and then applying the entity_check attribute </r>
           attribute.</xsd:documentation>
        <xsd:documentation>Note the datatype
           attribute must be set to 'record'.</xsd:documentation>
        <!--
                          NOTE: The restriction that the only allowed datatype is 'record' is enforced by scheamtron rules placed on each entity that uses this type. This is due to the fact that this type is
                          developed as an extension of the oval-def:EntityComplexBaseType.
                          This base type declares a datatype attribute. to restrict the datatype
                          attribute to only alloy 'record would need a restriction. We
                           cannot do both and xsd:extension
                           and an xsd:restriction at the same
                           time.
        <xsd:documentation>Note the operation
           attribute must be set to
             equals'.</xsd:documentation>
        <xsd:documentation>Note the var_ref
  attribute is not permitted and the
           var_check attribute does not
           apply.</xsd:documentation>
        <xsd:documentation>Note that when the mask
  attribute is set to 'true', all child
```

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```
field elements must be masked regardless
       of the child field's mask attribute value.</xsd:documentation>
  </xsd:annotation>
  <xsd:complexContent>
     <xsd:extension
base="oval-def:EntityComplexBaseType">
       <xsd:sequence>
          <xsd:element name="field"</pre>
            type="oval-def:EntityObjectFieldType"
minOccurs="0" maxOccurs="unbounded"/>
       </xsd:sequence>
     </xsd:extension>
  </xsd:complexContent>
</xsd:complexType>
<xsd:complexType name="EntityObjectFieldType">
  <xsd:annotation>
     <xsd:documentation>The EntityObjectFieldType
       defines an element with simple content
that represents a named field in a record
```

that may contain any number of named fields. The EntityObjectFieldType is much like all other entities with one significant difference, the EntityObjectFieldType has a name attribute
<xsd:documentation>The required name attribute specifies a unique name for the field. Field names are lowercase and must be unique within a given parent record element. When analyzing system characteristics an error should be reported for the result of a field that is present in the OVAL State, but not found in the system characteristics
Item.
<xxsd:documentation>The optional entity\_check attribute specifies how to handle multiple record fields with the same name in the OVAL Systems Characteristics file. For example, while collecting group information where one field is the represents the users that are members of the group. It is very likely that there will be multiple fields with a name of 'user' associated with the group. If the OVAL State defines the value of the field with name equal 'user' to equal 'Fred',

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```
then the entity_check attribute determines if all values for field entities must be equal to 'Fred', or at least one value must be equal to 'Fred',
           etc.</xsd:documentation>
       <xsd:documentation>Note that when the mask
attribute is set to 'true' on a field's
parent element the field must be masked
regardless of the field's mask attribute
value./xsd:documentation>
    </xsd:annotation>
    <xsd:simpleContent>
       <xsd:extension base="xsd:anySimpleType">
  <xsd:extension base="xsd:anySimpleType">
  <xsd:attribute name="name" use="required">
               <xsd:annotation>
                  <xsd:documentation>A string restricted
                     to disallow upper case characters.</xsd:documentation>
              </xsd:annotation>
               <xsd:simpleType>
                  <xsd:restriction base="xsd:string">
  <xsd:pattern value="[^A-Z]+"/>
                  </xsd:restriction>
               </xsd:simpleType>
           </xsd:attribute>
           <xsd:attributeGroup</pre>
           ref="oval-def:EntityAttributeGroup"/>
ref="oval-def:EntityAttributeGroup"/>
<xsd:attribute name="entity_check"
    type="oval:CheckEnumeration"
    use="optional" default="all"/>
       </xsd:extension>
    </xsd:simpleContent>
</xsd:complexType>
<xsd:complexType
name="EntityStateSimpleBaseType"
abstract="true">
    <xsd:annotation>
       <xsd:documentation>The
           EntityStateSimpleBaseType complex type is
          an abstract type that extends the EntitySimpleBaseType and is used by some
           entities within an OVAL
           State.</xsd:documentation>
       <xsd:documentation>The optional
```

check\_existence attribute specifies how to
interpret the status of corresponding item
entities when performing an item-state

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comparison. The default value for this attribute is 'at\_least\_one\_exists' indicating that by default an item comparison may evaluate to true only if at least one corresponding item entity has a status of 'exists'. For example, if a value of 'none\_exist' is given, then the comparison can evaluate to true only if there are one or more corresponding item entities, each with a status of 'does not
exist'.</xsd:documentation> <xsd:documentation>The optional entity\_check attribute specifies how to handle multiple item entities with the same name in the OVAL Systems Characteristics file. For example, suppose we are dealing with a Group Test and an entity in the state is related to the user. It is very likely that when the information about the group is collected off of the system (and represented in the OVAL System Characteristics file) that there will be multiple users associated with the group multiple users associated with the group (i.e. multiple 'user' item entities associated with the same 'user' state entity). If the OVAL State defines the value of the user entity to equal 'Fred' then the entity\_check attribute determines if all values for 'user' item entities must be equal to 'Fred', or at least one value must be equal to 'Fred', etc. Note that with the exception of the 'none\_satisfy' check value, the entity\_check attribute can only affect the result of the test if the corresponding OVAL Item allows more than one occurrence of the entity (e.g. 'maxOccurs' is some of the entity (e.g. value greater than one).</xsd:documentation> <xsd:documentation>The entity\_check and
var\_check attributes are considered together when evaluating a single state entity. When a variable identifies more than one value and multiple item entities with the same name exist, for a single state entity, a many-to-many comparison must be conducted. In this situation, there are many values for the state entity

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that must be compared to many item
entities. Each item entity is compared to
the state entity. For each item entity, an
interim result is calculated by using the
var\_check attribute to combine the result
of comparing each variable value with a
single system value. Then these interim
results are combined for each system value
using the entity\_check
attribute.</xsd:documentation>
</xsd:simpleContent>

</xsd.amotation>
<xsd:simpleContent>
 <xsd:extension
</pre>

base="oval-def:EntitySimpleBaseType">

```
<xsd:attribute name="entity_check"
  type="oval:CheckEnumeration"
  use="optional" default="all"/>
<xsd:attribute name="check_existence"
  type="oval:ExistenceEnumeration"
  use="optional"
  default="at least one exists"/>
                default="at_least_one_exists"/>
        </xsd:extension>
    </xsd:simpleContent>
</xsd:complexType>
<xsd:complexType
name="EntityStateComplexBaseType"
abstract="true">
    <xsd:annotation>
        <xsd:documentation>The
            EntityStateComplexBaseType complex type is
            an abstract type that extends the
            EntityComplexBaseType and is used by some
            entities within an OVAL
            State.</xsd:documentation>
        <xsd:documentation>The optional
            check_existence attribute specifies how to
            interpret the status of corresponding item
           entities when performing an item-state
           entities when performing an item-state comparison. The default value for this attribute is 'at_least_one_exists' indicating that by default an item comparison may evaluate to true only if at least one corresponding item entity has a status of 'exists'. For example, if a value of 'none_exist' is given, then the comparison can evaluate to true only if there are one or more corresponding item
           there are one or more corresponding item entities, each with a status of 'does not
```

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exist'.</xsd:documentation>
<xsd:documentation>The optional entity\_check attribute specifies how to handle multiple item entities with the same name in the OVAL Systems Characteristics file. For example, suppose we are dealing with a Group Test and an entity in the state is related to the user. It is very likely that when the information about the group is collected off of the system (and represented in the OVAL System Characteristics file) that there will be multiple users associated with the group (i.e. multiple 'user' item entities associated with the same 'user' state entity). If the OVAL State defines the value of the user entity to equal 'Fred', then the entity\_check attribute determines if all values for 'user' item entities must be equal to 'Fred', or at least one value must be equal to 'Fred', etc. Note that with the exception of the 'none\_satisfy' check value, the entity\_check attribute can only affect the result of the test if the corresponding OVAL Item allows more than one occurrence of the entity (e.g. 'maxoccurs' is some value greater than

one).
one).</pr>
</pr>
<xsd:documentation>The entity\_check and
var\_check attributes are considered
together when evaluating a single state
entity. When a variable identifies more
than one value and multiple item entities
with the same name exist, for a single
state entity, a many-to-many comparison
must be conducted. In this situation,
there are many values for the state entity
that must be compared to many item

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```
entities. Each item entity is compared to the state entity. For each item entity, an interim result is calculated by using the var_check attribute to combine the result of comparing each variable value with a single system value. Then these interim results are combined for each system value using the entity_check attribute.
```

```
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           </xsd:annotation>
           <xsd:complexContent>
              <xsd:extension</pre>
                 <xsd:attribute name="check_existence"
type="oval:ExistenceEnumeration"
use="optional"
default="at_least_one_exists"/>
              </xsd:extension>
           </xsd:complexContent>
        </xsd:complexType>
<xsd:complexType name="EntityStateIPAddressType">
           <xsd:annotation>
              <xsd:documentation>The
                 EntityStateIPAddressType type is extended by the entities of an individual OVAL State. This type provides uniformity to each object entity by including the attributes found in the EntityStateSimpleBaseType. This specific type describes any IPV4/IPV6 address or address prefix (/vsd:documentation)
                 address prefix.</xsd:documentation>
           </xsd:annotation>
           <xsd:simpleContent>
              <xsd:restriction
base="oval-def:EntityStateSimpleBaseType">
<xsd:simpleType>
                 <xsd:restriction base="xsd:string"/>
</xsd:simpleType>
                  <xsd:attribute name="datatype"</pre>
                    use="required">
<xsd:simpleType>
                        <xsd:restriction
base="oval:SimpleDatatypeEnumeration">
                           <xsd:enumeration
  value="ipv4_address"/>
                           <xsd:enumeration
value="ipv6_address"/>
                        </xsd:restriction>
                     </xsd:simpleType>
                  </xsd:attribute>
              </xsd:restriction>
           </xsd:simpleContent>
        </xsd:complexType>
        <xsd:complexType</pre>
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                                       OVAL Definitions Model
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           name="EntityStateIPAddressStringType">
           <xsd:annotation>
               <xsd:documentation>The
                 EntityStateIPAddressStringType type is extended by the entities of an individual OVAL State. This type provides uniformity to each object entity by including the attributes found in the
```

```
EntityStateSimpleBaseType. This specific type describes any IPv4/IPv6 address, address prefix, or its string
               representation.</xsd:documentation>
         </xsd:annotation>
         <xsd:simpleContent>
            <xsd:restriction</pre>
              base="oval-def:EntityStateSimpleBaseType">
               <xsd:simpleType>
                 <xsd:restriction base="xsd:string"/>
               </xsd:simpleType>
               <xsd:attribute name="datatype"
use="optional" default="string">
<xsd:simpleType>

                    <xsd:restriction
base="oval:SimpleDatatypeEnumeration">
                       <xsd:enumeration
value="ipv4_address"/>
                       <xsd:enumeration
  value="ipv6_address"/>
<xsd:enumeration value="string"/>
                    </xsd:restriction>
                 </xsd:simpleType>
               </xsd:attribute>
            </xsd:restriction>
         </xsd:simpleContent>
      </xsd:complexType>
      <xsd:complexType name="EntityStateAnySimpleType">
         <xsd:annotation>
            <xsd:documentation>The
              EntityStateAnySimpleType type is extended by the entities of an individual OVAL State. This type provides uniformity to each state entity by including the attributes found in the
               EntityStateSimpleBaseType. This specific
               type describes any simple
               data.</xsd:documentation>
         </xsd:annotation>
         <xsd:simpleContent>
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            <xsd:restriction</pre>
              base="oval-def:EntityStateSimpleBaseType">
               <xsd:simpleType>
                 <xsd:restriction base="xsd:string"/>
               </xsd:simpleType>
               </p
            </xsd:restriction>
         </xsd:simpleContent>
      </xsd:complexType>
       <xsd:complexType name="EntityStateBinaryType">
         <xsd:annotation>
            <xsd:documentation>The EntityStateBinaryType
              type is extended by the entities of an individual OVAL State. This type provides
              uniformity to each state entity by including the attributes found in the
              EntityStateSimpleBaseType. This specific type describes simple binary data. The empty string is also allowed when using a variable reference with an
               element.</xsd:documentation>
         </xsd:annotation>
         <xsd:simpleContent>
            <xsd:restriction</pre>
              base="oval-def:EntityStateSimpleBaseType">
               <xsd:simpleType>
                 <xsd:union
                    memberTypes="xsd:hexBinary oval:EmptyStringType"
               </xsd:simpleType>
               <xsd:attribute name="datatype"</pre>
```

```
type="oval:SimpleDatatypeEnumeration"
use="required" fixed="binary"/>
              </xsd:restriction>
          </xsd:simpleContent>
       </xsd:complexType>
       <xsd:complexType name="EntityStateBoolType">
          <xsd:annotation>
              <xsd:documentation>The EntityStateBoolType
                type is extended by the entities of an individual OVAL State. This type provides uniformity to each state entity by including the attributes found in the EntityStateSimpleBaseType. This specific type describes simple boolean data. The empty string is also allowed when using a
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                variable reference with an
                element.</xsd:documentation>
          </xsd:annotation>
          <xsd:simpleContent>
              <xsd:restriction</pre>
                base="oval-def:EntityStateSimpleBaseType">
                 <xsd:simpleType>
                    <xsd:union
                      memberTypes="xsd:boolean oval:EmptyStringType"
                 </xsd:simpleType>
             <xsd:aimpleType>
<xsd:attribute name="datatype"
  type="oval:SimpleDatatypeEnumeration"
  use="required" fixed="boolean"/>
</xsd:restriction>

          </xsd:simpleContent>
       </xsd:complexType>
       <xsd:complexType name="EntityStateFloatType">
          <xsd:annotation>
             <xsd:annotation>
<xsd:documentation>The EntityStateFloatType
type is extended by the entities of an
individual OVAL State. This type provides
uniformity to each state entity by
including the attributes found in the
EntityStateSimpleBaseType. This specific
type describes simple float data. The
empty string is also allowed when using a
                empty string is also allowed when using a variable reference with an
                element.</xsd:documentation>
          </xsd:annotation>
          <xsd:simpleContent>
              <xsd:restriction</pre>
                base="oval-def:EntityStateSimpleBaseType">
                 <xsd:simpleType>
                   <xsd:union</pre>
                      memberTypes="xsd:float oval:EmptyStringType"
                 </xsd:simpleType>
                </xsd:restriction>
          </xsd:simpleContent>
       </xsd:complexType>
        <xsd:complexType name="EntityStateIntType">
          <xsd:annotation>
              <xsd:documentation>The EntityStateIntType
                type is extended by the entities of an
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```

individual OVAL State. This type provides uniformity to each state entity by

```
including the attributes found in the
                  EntityStateSimpleBaseType. This specific
                  type describes simple integer data. The empty string is also allowed when using a variable reference with an
                  element.</xsd:documentation>
           </xsd:annotation>
           <xsd:simpleContent>
               <xsd:restriction</pre>
                  base="oval-def:EntityStateSimpleBaseType">
                  <xsd:simpleType>
                     <xsd:union
                        memberTypes="xsd:integer oval:EmptyStringType"
                  </xsd:simpleType>
                  </xsd:restriction>
           </xsd:simpleContent>
        </xsd:complexType>
        <xsd:complexType name="EntityStateEVRStringType">
           <xsd:annotation>
               <xsd:documentation>The
                  EntityStateEVRStringType type is extended by the entities of an individual OVAL State. This type provides uniformity to each state entity by including the attributes found in the
                 represents the epoch, version, and release fields, for an RPM package, as a single version string. It has the form "EPOCH:VERSION-RELEASE". Note that a null epoch (or '(none)' as returned by rpm) is equivalent to '0' and would hence have the form O:VERSION-RELEASE COMPANIANCE.
                  EntityStateSimpleBaseType. This type
                  form 0:VERSION-RELEASE. Comparisons involving this datatype should follow the algorithm of librom's rpmyercmp()
                  function.</xsd:documentation>
           </xsd:annotation>
           <xsd:simpleContent>
               <xsd:restriction</pre>
                  base="oval-def:EntityStateSimpleBaseType">
                  <xsd:simpleType>
                     <xsd:restriction base="xsd:string"/>
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                                                                                                       [Page 160]
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                     <!-- TODO: Should there be a pattern
                        restriction here to enforce the pattern mentioned above? -->
                  </xsd:simpleType>
                  <xsd:attribute name="datatype"</pre>
                     type="oval:SimpleDatatypeEnumeration"
use="required" fixed="evr_string"/>
               </xsd:restriction>
           </xsd:simpleContent>
        </xsd:complexType>
        <xsd:complexType
name="EntityStateDebianEVRStringType">
           <xsd:annotation>
               <xsd:documentation>The
                 EntityStateDebianEVRStringType type is extended by the entities of an individual OVAL State. This type provides uniformity to each state entity by including the attributes found in the
                  EntityStateSimpleBaseType. This type
                  represents the epoch, upstream_version, and debian_revision fields, for a Debian
                 package, as a single version string. It has the form
"EPOCH:UPSTREAM_VERSION-DEBIAN_REVISION".
Note that a null epoch (or '(none)' as returned by dpkg) is equivalent to '0' and
```

```
would hence have the form
             O:UPSTREAM_VERSION-DEBIAN_REVISION.
              Comparisons involving this datatype should
             follow the algorithm outlined in Chapter 5 of the "Debian Policy Manual" (https://www.debian.org/doc/debian-policy/
             ch-controlfields.html#s-f-Version).
An implementation of this is the
              cmpversions() function in dpkg's
              enquiry.c.</xsd:documentation>
         </xsd:annotation>
         <xsd:simpleContent>
           <xsd:restriction</pre>
             base="oval-def:EntityStateSimpleBaseType">
              <xsd:simpleType>
                <xsd:restriction base="xsd:string"/>
                <!-- TODO: Should there be a pattern
                  restriction here to enforce the pattern mentioned above? -->
              </xsd:simpleType>
              <xsd:attribute name="datatype"</pre>
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                type="oval:SimpleDatatypeEnumeration"
                use="required" fixed="debian_evr_string"
           </xsd:restriction>
         </xsd:simpleContent>
      </xsd:complexType>
      <xsd:complexType name="EntityStateVersionType">
         <xsd:annotation>
           <xsd:documentation>The
             EntityStateVersionType type is extended by the entities of an individual OVAL State. This type provides uniformity to each state entity by including the attributes found in the EntityStateSimpleBaseType.
             This specific type describes simple
              version data.</xsd:documentation>
         </xsd:annotation>
         <xsd:simpleContent>
           <xsd:restriction</pre>
             base="oval-def:EntityStateSimpleBaseType">
              <xsd:simpleType>
                <xsd:restriction base="xsd:string"/>
              </xsd:simpleType>
              </xsd:restriction>
         </xsd:simpleContent>
      </xsd:complexType>
      <xsd:complexType</pre>
        name="EntityStateFileSetRevisionType">
         <xsd:annotation>
           <xsd:documentation>The
             EntityStateFileSetRevisionType type is extended by the entities of an individual OVAL State. This type provides uniformity to each state entity by including the
              attributes found in the
              EntityStateSimpleBaseType. This specific
              type represents the version string related
              to filesets in HP-UX.</xsd:documentation>
         </xsd:annotation>
         <xsd:simpleContent>
           <xsd:restriction</pre>
             base="oval-def:EntityStateSimpleBaseType">
              <xsd:simpleType>
                <xsd:restriction base="xsd:string"/>
              </xsd:simpleType>
```

```
<xsd:attribute name="datatype"
  type="oval:SimpleDatatypeEnumeration"
  use="required" fixed="fileset_revision"</pre>
             </xsd:restriction>
          </xsd:simpleContent>
       </rd></xsd:complexType>
<xsd:complexType</pre>
          name="EntityStateIOSVersionType">
          <xsd:annotation>
             <xsd:documentation>The
               EntityStateSolversionType type is extended by the entities of an individual OVAL State. This type provides uniformity to each state entity by including the attributes found in the EntityStateSimpleBaseType. This specific type represents the version string related to CISCO IOS.
          </xsd:annotation>
          <xsd:simpleContent>
             <xsd:restriction
  base="oval-def:EntityStateSimpleBaseType">
                <xsd:simpleType>
  <xsd:restriction base="xsd:string"/>
                </xsd:simpleType>
               <xsd:attribute name="datatype"
use="optional" default="string">
<xsd:simpleType>

                      <xsd:restriction</pre>
                        base="oval:SimpleDatatypeEnumeration">
<xsd:enumeration value="ios_version"/>
<xsd:enumeration value="string">
                            <xsd:annotation>
                              <xsd:documentation>'string' is
  included to allow for regular
  expressions on IOS version
                                 strings.</xsd:documentation>
                            </xsd:annotation>
                         </xsd:enumeration>
                      </xsd:restriction>
                   </xsd:simpleType>
                </xsd:attribute>
             </xsd:restriction>
          </xsd:simpleContent>
       </xsd:complexType>
       <xsd:complexType name="EntityStateStringType">
          <xsd:annotation>
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             EntityStateSimpleBaseType. This specific
                type describes simple string
                data.</xsd:documentation>
          </xsd:annotation>
          <xsd:simpleContent>
             <xsd:restriction
base="oval-def:EntityStateSimpleBaseType">
                <xsd:simpleType>
  <xsd:restriction base="xsd:string"/>
                </xsd:simpleType>
                </p
             </xsd:restriction>
          </xsd:simpleContent>
       </xsd:complexType>
```

```
<xsd:complexType name="EntityStateRecordType">
    <xsd:annotation>
        <xsd:documentation>The EntityStateRecordType
           defines an entity that consists of a number of uniquely named fields. This structure is used for representing a record from a database query and other similar structures where multiple related fields must be called at the consistency.
           fields must be collected at once. Note that for all entities of this type, the only allowed datatype is 'record' and the only allowed operation is 'equals'. During
           analysis of a system characteristics item, each field is analyzed and then the
           overall result for elements of this type is computed by logically anding the results for each field and then applying
            the entity_check
            attribute. </xsd:documentation>
        <xsd:documentation>Note the datatype
           attribute must be set to 'record'.</xsd:documentation>
                            NOTE: The restriction that the only
                            allowed datatype is 'record' is
                            enforced by scheamtron rules placed on each entity that uses this type.
```

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This is due to the fact that this type is developed as an extension of the oval-def:EntityStateComplexBaseType.
This base type declares a datatype attribute. to restrict the datatype attribute to only allow 'record' would need a restriction. We cannot do both and xsd:extension and an xsd:restriction at the same time.

<xsd:documentation>Note the operation attribute must be set to 'equals'.</xsd:documentation> <xsd:documentation>Note the var\_ref attribute is not permitted and the var\_check attribute does not apply.</xsd:documentation> <xsd:documentation>Note that when the mask
attribute is set to 'true', all child
field elements must be masked regardless
of the child field's mask attribute
value./xsd:documentation> </xsd:annotation> <xsd:complexContent> <xsd:extension</pre> base="oval-def:EntityStateComplexBaseType"> <xsd:sequence> <xsd:element name="field"</pre> type="oval-def:EntityStateFieldType" minOccurs="0" maxOccurs="unbounded"/> </xsd:sequence> </xsd:extension> </xsd:complexContent> </xsd:complexType> <xsd:complexType name="EntityStateFieldType"> <xsd:annotation> <xsd:annotation>
<xsd:documentation>The EntityStateFieldType
defines an element with simple content
that represents a named field in a record
that may contain any number of named
fields. The EntityStateFieldType is much
like all other entities with one
significant difference, the
EntityStateFieldType has a name
attribute</xsd:documentation>

attribute</xsd:documentation>

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field. Field names are lowercase and must be unique within a given parent record element. When analyzing system characteristics an error should be reported for the result of a field that is present in the OVAL State, but not found in the system characteristics Item.</xsd:documentation> <xsd:documentation>The optional entity\_check attribute specifies how to handle multiple record fields with the same name in the OVAL Systems Characteristics file. For example, while collecting group information where one field is the represents the users that are members of represents the users that are members of the group. It is very likely that there will be multiple fields with a name of 'user' associated with the group. If the OVAL State defines the value of the field with name equal 'user' to equal 'Fred', then the entity\_check attribute determines if all values for field entities must be equal to 'Fred', or at least one value must be equal to 'Fred', etc. etc.</xsd:documentation> <xsd:documentation>Note that when the mask
attribute is set to 'true' on a field's
parent element the field must be masked
regardless of the field's mask attribute
value./xsd:documentation> </xsd:annotation> <xsd:simpleContent> <xsd:extension base="xsd:anySimpleType">
 <xsd:attribute name="name" use="required">
 <xsd:annotation> <xsd:documentation>A string restricted to disallow upper case characters.</xsd:documentation> </xsd:annotation> <xsd:simpleType> <xsd:restriction base="xsd:string">
 <xsd:pattern\_value="[^A-Z]+"/> </xsd:restriction> </xsd:simpleType> </xsd:attribute> <xsd:attributeGroup</pre> ref="oval-def:EntityAttributeGroup"/>
<xsd:attribute name="entity\_check"</pre>

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## 83. Intellectual Property Considerations

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DHS, on behalf of the United States, owns the registered OVAL trademarks, identifying the OVAL STANDARDS SUITE and any component

part, as that suite has been provided to the IETF Trust. A "(R)" will be used in conjunction with the first use of any OVAL trademark in any document or publication in recognition of DHS's trademark ownership.

### 84. Acknowledgements

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#### 85. IANA Considerations

This memo includes no request to IANA.

#### 86. Security Considerations

While OVAL is just a set of data models and does not directly introduce security concerns, it does provide a mechanism by which to represent endpoint posture assessment information. This information could be extremely valuable to an attacker allowing them to learn about very sensitive information including, but, not limited to: security policies, systems on the network, criticality of systems, software and hardware inventory, patch levels, user accounts and much more. To address this concern, all endpoint posture assessment information should be protected while in transit and at rest. Furthermore, it should only be shared with parties that are authorized to receive it.

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Another possible security concern is due to the fact that content expressed as OVAL has the ability to impact how a security tool operates. For example, content may instruct a tool to collect certain information off a system or may be used to drive follow-up actions like remediation. As a result, it is important for security tools to ensure that they are obtaining OVAL content from a trusted source, that it has not been modified in transit, and that proper validation is performed in order to ensure it does not contain malicious data.

### 87. Change Log

## 87.1. -00 to -01

There are no textual changes associated with this revision. This revision simply reflects a resubmission of the document so that it remains in active status.

#### 88. References

# 88.1. Normative References

[WIN-FILETIME]

microsoft Corporation, "File Times", 2015, <a href="https://msdn.microsoft.com/en-us/library/ms724290(v=vs.85).aspx>." https://msdn.microsoft.com/en-us/library/ms724290(v=vs.85).aspx>."

## 88.2. Informative References

# [OVAL-WEBSITE]

The MITRE Corporation, "The Open Vulnerability and Assessment Language", 2015, <a href="http://ovalproject.github.io/>."></a>.

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