

# Grounding Language Models to Real-World Environments

Yu Su

The Ohio State University

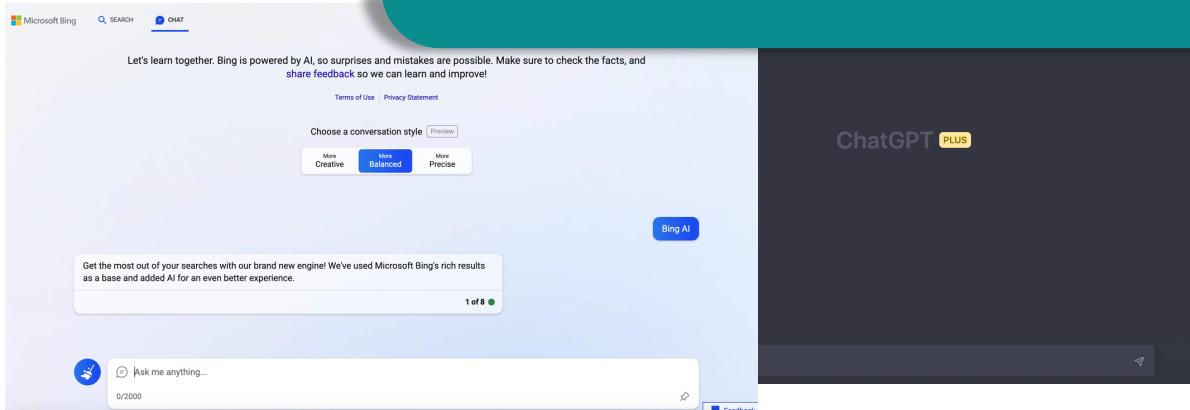


Slides credit to my amazing student Yu Gu

# Language Models Nail Everything?



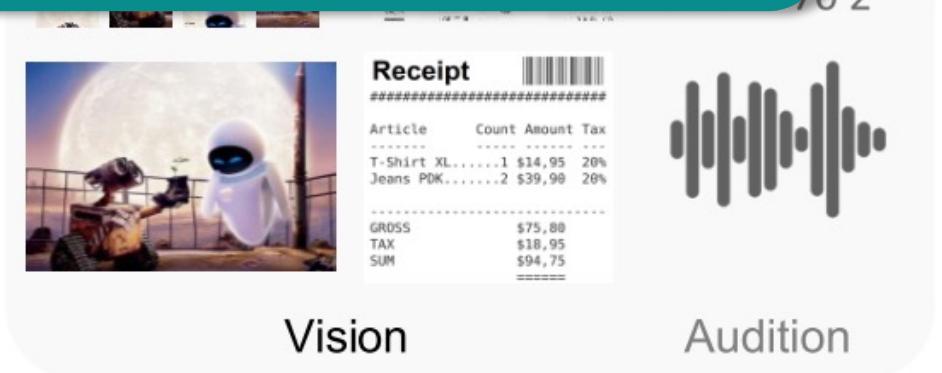
Natural language as a universal device  
for human-machine interaction?



Dialogue

A screenshot of a code editor window showing a file named "fetch\_pic.js". The code is a JavaScript function that uses the Fetch API to get a NASA picture of the day. It includes imports for "fetch" and "response.json()", and defines a "headers" object with a "Content-Type" header set to "application/json".

```
const fetchNASAPictureOfDay = () => {
  return fetch('https://api.nasa.gov/planetary/apod?api_key=DEMO_KEY', {
    method: 'GET',
    headers: {
      'Content-Type': 'application/json',
    },
  })
  .then(response => response.json())
  .then(json => {
    return json;
  });
}
```



Multimodal Applications

# Language Models: What's Missing?

SU

Book a meeting with Tommy tomorrow at 3 pm



I'm sorry, but I am a text-based AI assistant and don't have the ability to book meetings.



I'm not connected to the internet, so I don't have access to your calendar or any other information that would be necessary to book a meeting. I can provide general information and answer questions, but I'm not able to perform tasks like booking meetings. Is there something else I can help you with?

Cannot take actions in the real world

SU

Marc Bulger had the most yards rushing in what season?



As a text-based AI assistant, I don't have access to specific information about individuals or events. I don't have the ability to browse the internet or access other sources of information, so I can't provide you with specific answers to questions like this. I'm trained to provide general information and answer questions to the best of my ability based on the information I have been trained on, but I don't have the ability to look up specific facts or provide detailed information about specific individuals or events. Is there something else I can help you with?

Cannot handle more specific information needs

# Grounded Language Understanding: What and Why?

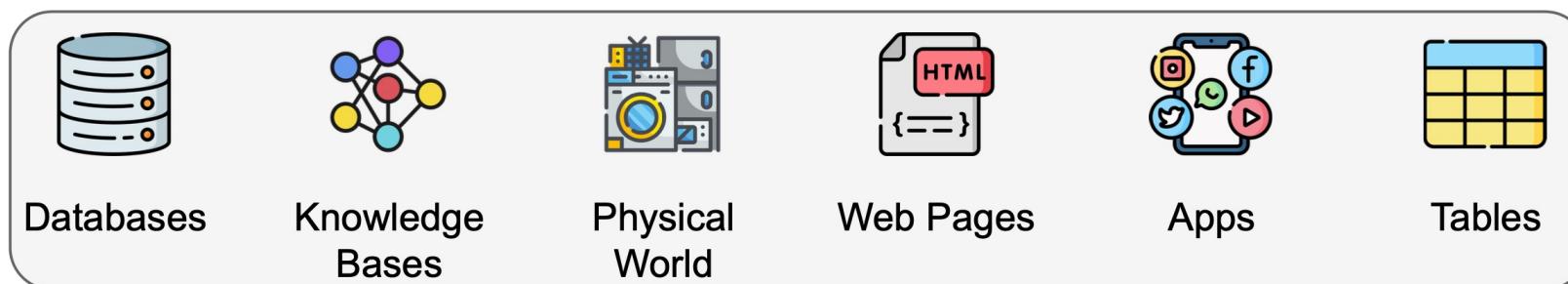


# Grounded Language Understanding: Formal Definition

Given a natural language utterance  $u$  and a target environment  $E$

$$\pi: (u, E) \rightarrow p, \text{ s.t. } \llbracket u \rrbracket_E = \llbracket p \rrbracket_E$$

Where  $p$  is a plan/program in a formal language, and  $\llbracket \cdot \rrbracket_E$  is the denotation



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Knowledge  
Bases

$u$ : *What is the latest released computer emulator developed in Java?*

$p$ : (ARGMAX (AND ComputerEmulator  
                  (JOIN LanguagesUsed Java))  
                  LatestReleaseDate)

# Grounded Language Understanding: Formal Definition

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Physical World

$u$ : *Bring me a cup of coffee*

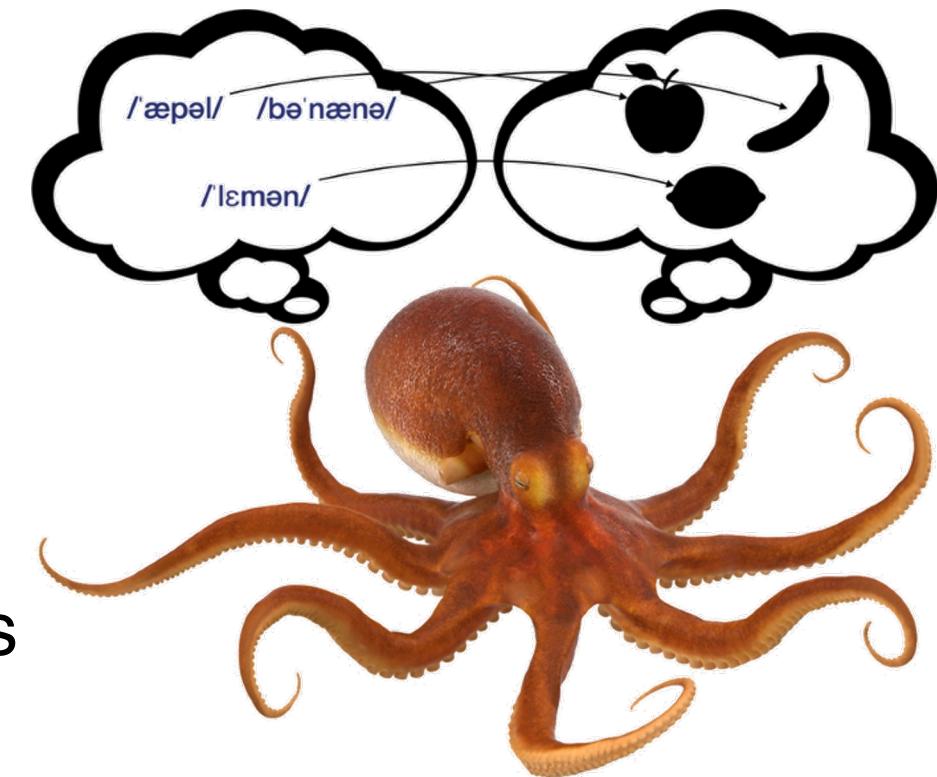
$p$ : [turn left, move forward, pick up cup, turn around, move forward, ..., put cup in coffee maker, toggle coffee maker, ...]

# The Symbol Grounding Problem

Language models are mostly trained with textual corpora

- BERT: Wikipedia (2.5B words) + BookCorpus (800M words)
- T5: C4 (two orders of magnitude larger)
- GPT-3: 45TB text data + others

Key challenge: How to ground textual symbols to different environments/formal languages



# Pangu: A Unified Framework for Grounded Language Understanding

Yu Gu, Xiang Deng, Yu Su  
The Ohio State University

THE OHIO STATE  
UNIVERSITY



**QUIZ  
TIME!**

# Q1 Find the right program over a KB

**Question:** Who has ever coached an ice hockey team in Canada?

## Program:

- A. (AND cricket.cricket\_coach (JOIN cricket.cricket\_team.coach\_inv (JOIN sports.sports\_team.location Canada))) X
- B. (AND ice\_hockey.hockey\_coach (JOIN ice\_hockey.hockey\_team.coach\_inv (JOIN sports.sports\_team.location Canada))) ✓
- C. (AND ice\_hockey.hockey\_team (JOIN sports.sports\_team.location Canada)) X

# Q2 Write the corresponding KB program

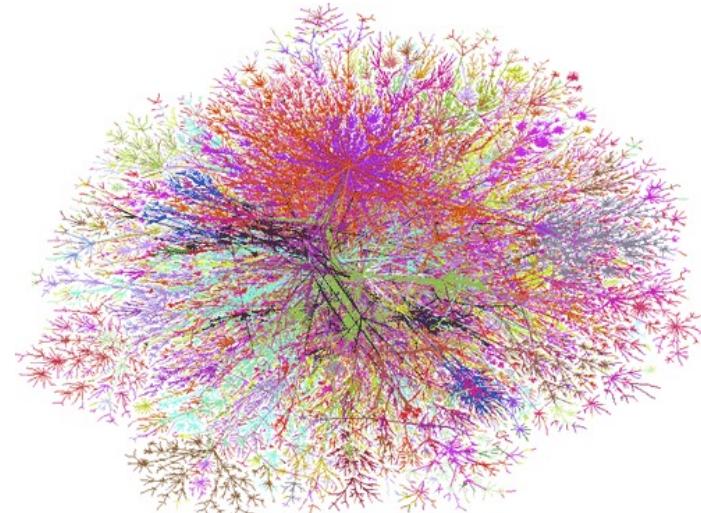
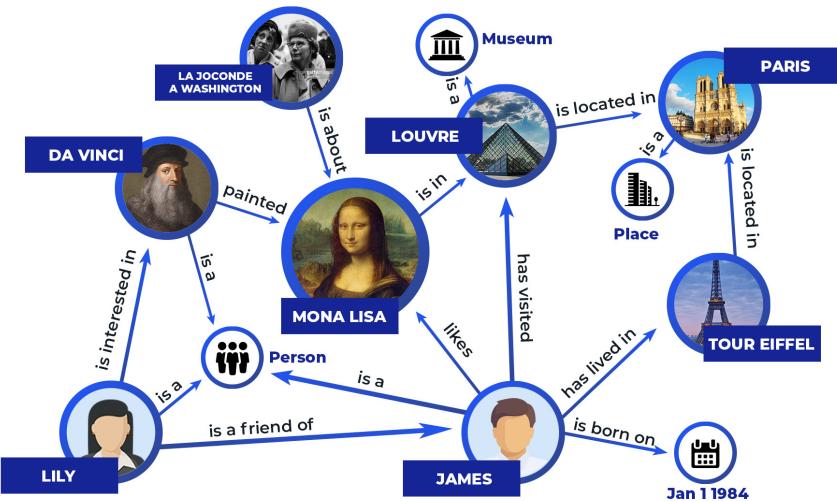
**Question:** What's the classification of the M10 engine?

**Program:**

(AND automotive.engine\_type (JOIN automotive.engine\_type.used\_in M10))

# Why is Q2 harder?

- 1 You need to learn the grammar
- 2 You need to know the environment specifics



# Key Message



**Directly generating plans (programs)  
may not be the optimal way of using  
LMs for grounded language  
understanding**

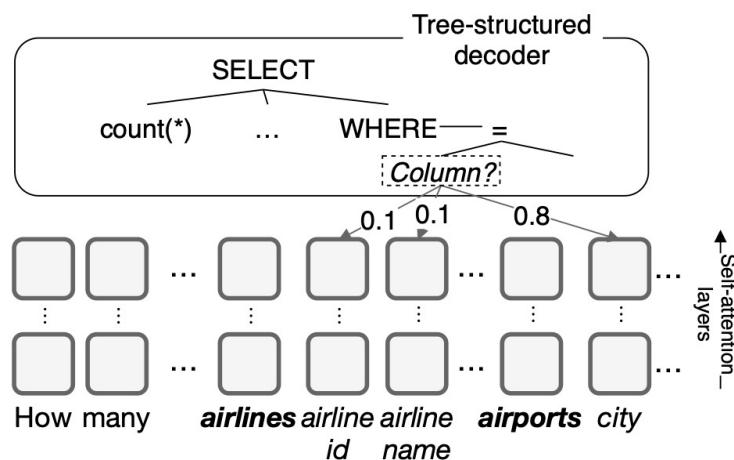


# Pangu:

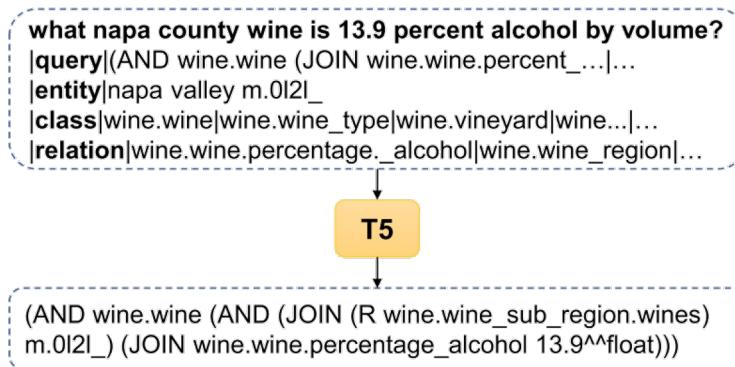
A unified framework that models  
grounded language understanding as  
a discrimination task

# The Status Quo

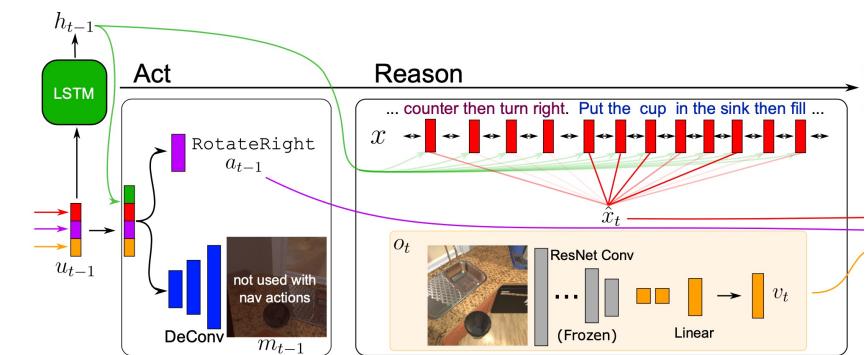
## Autoregressive generation with Seq2Seq LMs



Text-to-SQL Parsing  
(Wang et al. 2020)



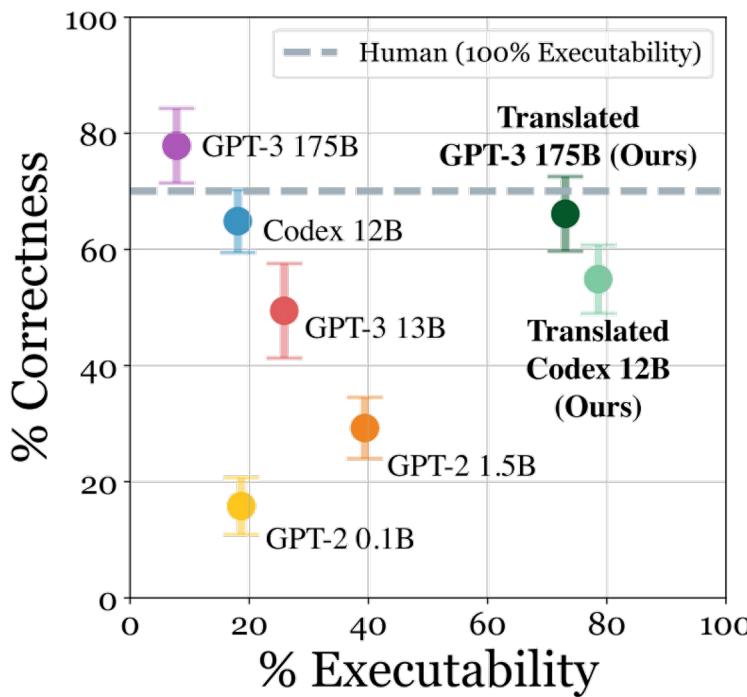
KBQA  
(Shu et al. 2022)



Embodied AI  
(Shridhar et al. 2019)

# The Status Quo

Autoregressive generation can produce invalid plans



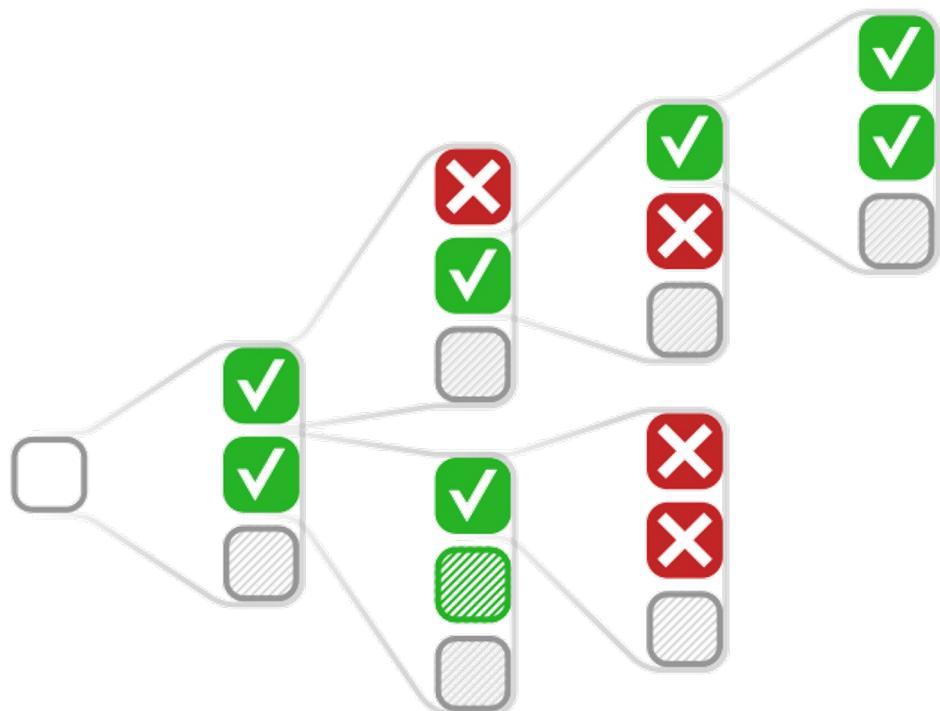
Percentage of executable  
plans for embodied AI  
(Huang et al. 2022)

	GRAILQA		WEBQSP	
	EXEC	VALID	EXEC	VALID
Top-1	99.7	88.1	98.7	91.1
Top-3	99.7	89.4	99.5	94.5
Top-5	99.7	89.8	99.5	94.6
Top-10	99.7	90.4	99.5	95.4

Percentage of executable and  
valid programs for KBQA  
(Ye et al. 2021)

# The Status Quo

A possible fix: constrained decoding



## Example Decoding Rules

- The first token must be '('
- The token after '(' can be 'AND', 'JOIN', 'ARGMAX' ..
- ...

# The Status Quo

Constrained decoding can be shortsighted and hard to control

**Question:** Neil Diamond composed what TV song?

**Gold:** ( JOIN Composer Neil\_Diamond ) ( AND TV\_Song #0 )

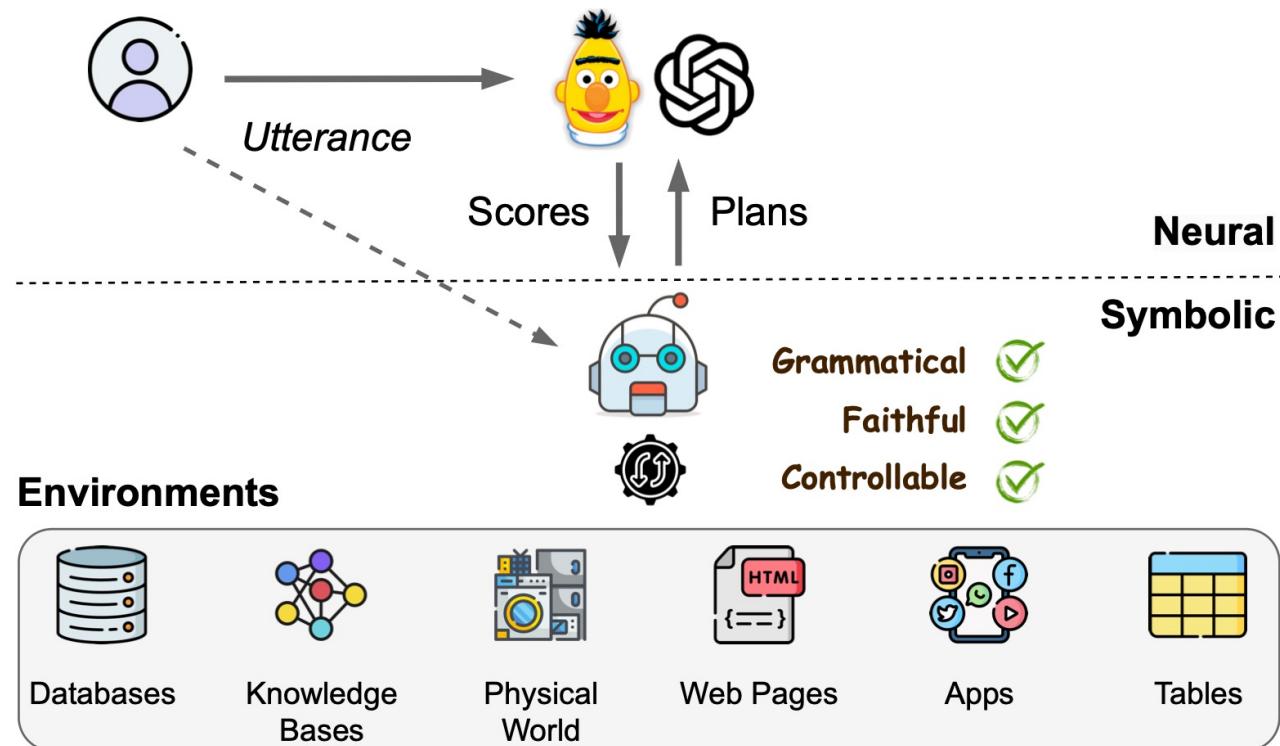
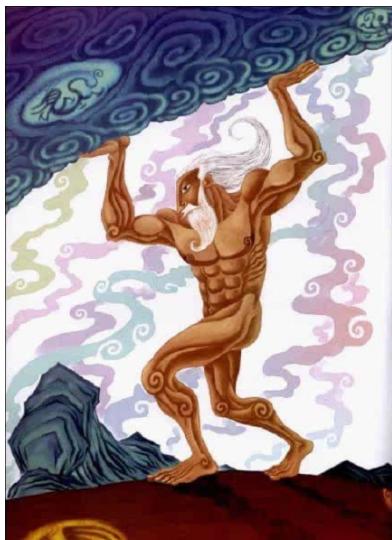
**Predicted:** ( JOIN Composer Neil\_Diamond ) ( **JOIN** Song #0 ) ( AND Recording #1 )

6 steps later

# Our Proposal: Pangu Framework

## Goals:

- Allow LMs to focus on discrimination
- Generic for different tasks



A symbolic agent searches the environment to propose valid candidate plans, while a neural LM scores the plans to guide the search process

# Key Assumptions

- 1 A complex plan can be expanded from smaller sub-plans incrementally
- 2 Valid action space at each step is much smaller compared with decoding

# Our Proposal: Framework

## Algorithm 1: PANGU

```
1 Input: utterance  $q$ , initial plans  $P_0$ , environment  $E$ 
2  $t \leftarrow 1;$ 
3 while True do
4     /* AGENT PROPOSES PLANS */
5      $C_t \leftarrow \text{Candidate-Plans}(P_{t-1}, E)$ 
6     /* LM SCORES AND PRUNES PLANS */
7      $P_t \leftarrow \text{Top-}K(q, C_t)$ 
8     if Check-Termination() = True then
9         return top-scored plan
10     $t \leftarrow t + 1$ 
```

Initialization of search

Enumerate candidate plans from the environment

Rank candidate plans using LMs

Repeat until the termination condition is met

# Our Proposal: Instantiation

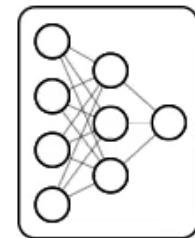


Testbed:

- KBQA

LMs:

- BERT
- T5
- Codex



# New SoTA on KBQA

Prior Art	78.7
Pangu w/ BERT-base	79.9
Pangu w/ T5-base	79.9
Pangu w/ T5-3B	<b>81.7</b>

Prior Art	34.3
Pangu w/ BERT-base	52.0
Pangu w/ T5-base	53.3
Pangu w/ T5-3B	<b>62.2</b>

Prior Art	78.8
Pangu w/ BERT-base	77.9
Pangu w/ T5-base	77.3
Pangu w/ T5-3B	<b>79.6</b>

F1 on GrailQA  
(i.i.d. + non-i.i.d., ~45K  
training examples)

F1 on GraphQuestions  
(non-i.i.d., ~2K training  
examples)

F1 on WebQSP  
(i.i.d., ~3K training  
examples)

## Findings:

- 1 Particularly strong performance for non-i.i.d. generalization
- 2 Stable gain from increased model size

# In-Context Learning with LLMs

Prior Art	<b>78.7</b>
Codex 10-shot	48.9
Codex 100-shot	53.3
Codex 1000-shot	56.4

F1 on GrailQA  
(i.i.d. + non-i.i.d., ~45K  
training examples)

Prior Art	34.3
Codex 10-shot	42.8
Codex 100-shot	43.3
Codex 1000-shot	<b>44.3</b>

F1 on GraphQuestions  
(non-i.i.d., ~2K training  
examples)

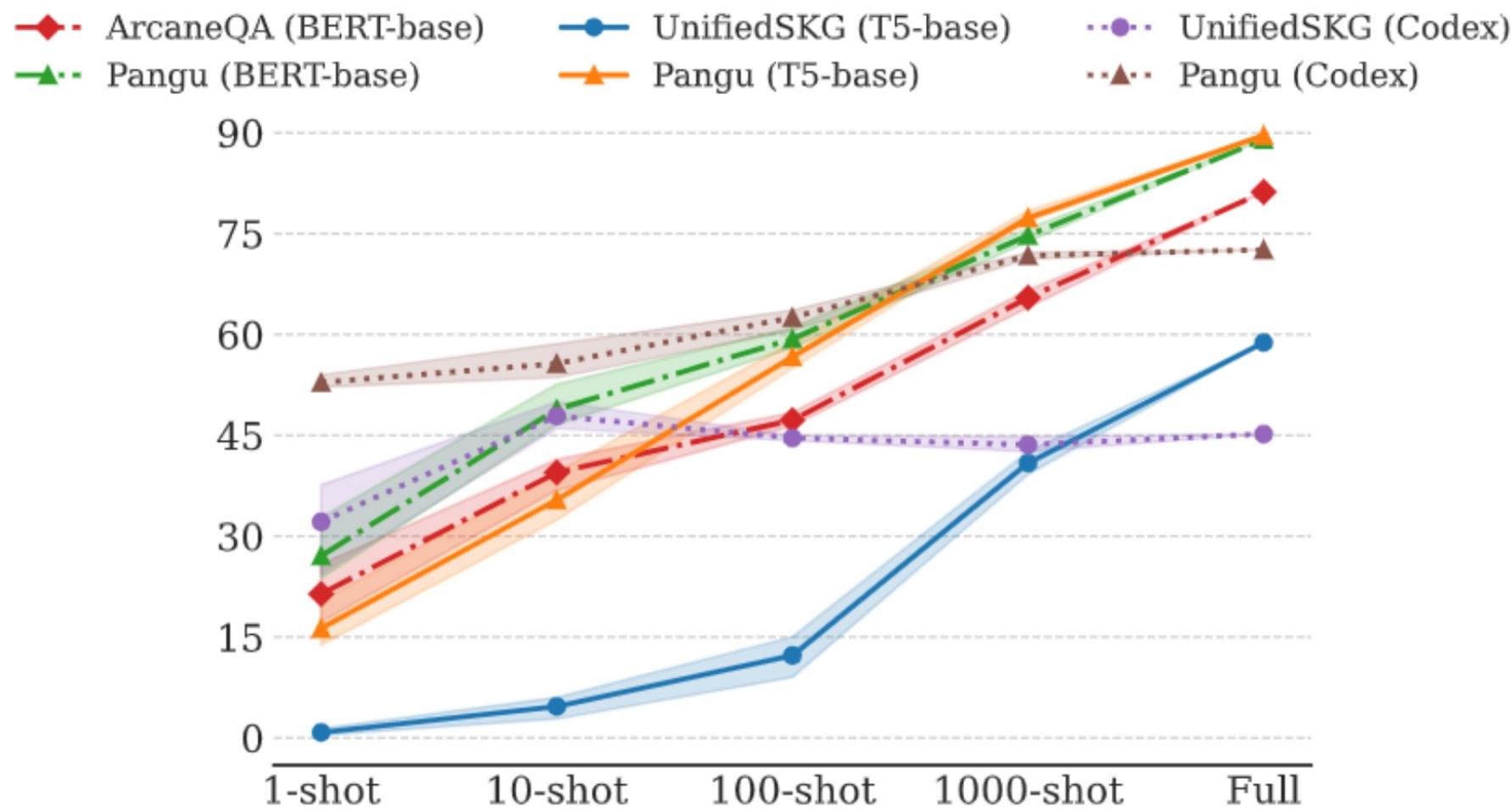
Prior Art	<b>78.8</b>
Codex 10-shot	45.9
Codex 100-shot	54.5
Codex 1000-shot	68.3

F1 on WebQSP  
(i.i.d., ~3K training  
examples)

## Findings:

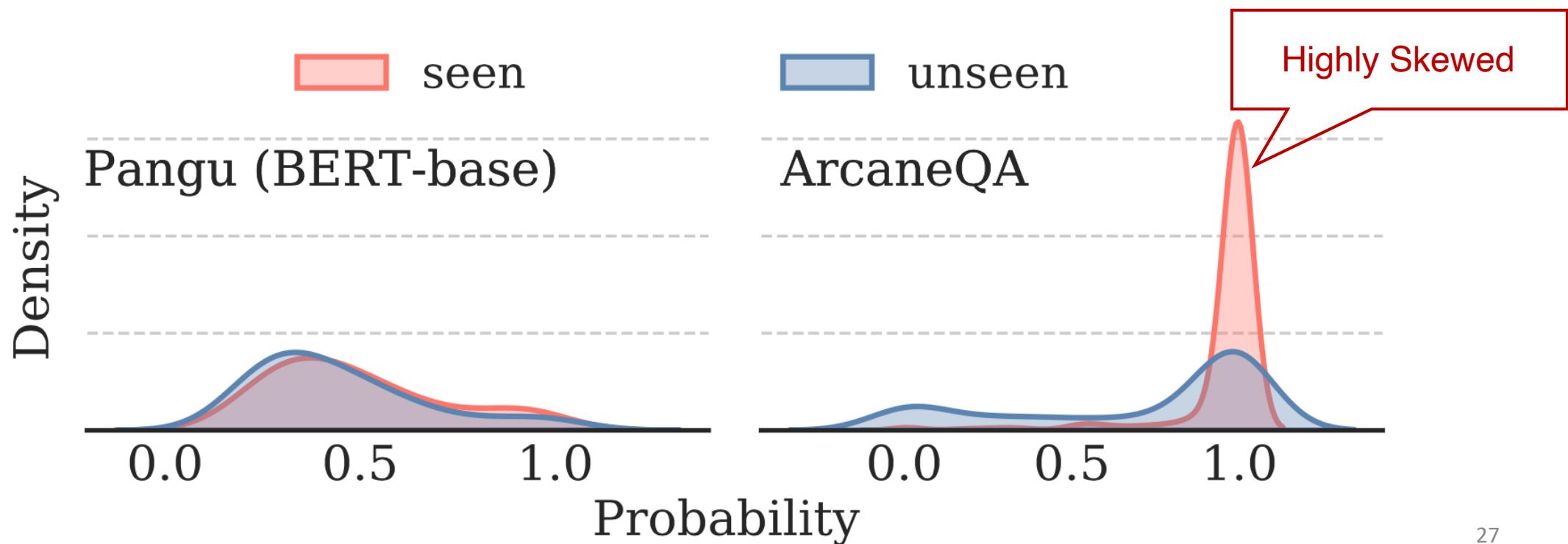
- 1 SoTA performance on GraphQ with only 10 training examples
- 2 Marginal gain from more training data for non-i.i.d.

# Pangu Improves Sample Efficiency



# Pangu vs. Constrained Decoding

Autoregressive models tend to overfit seen structures during training



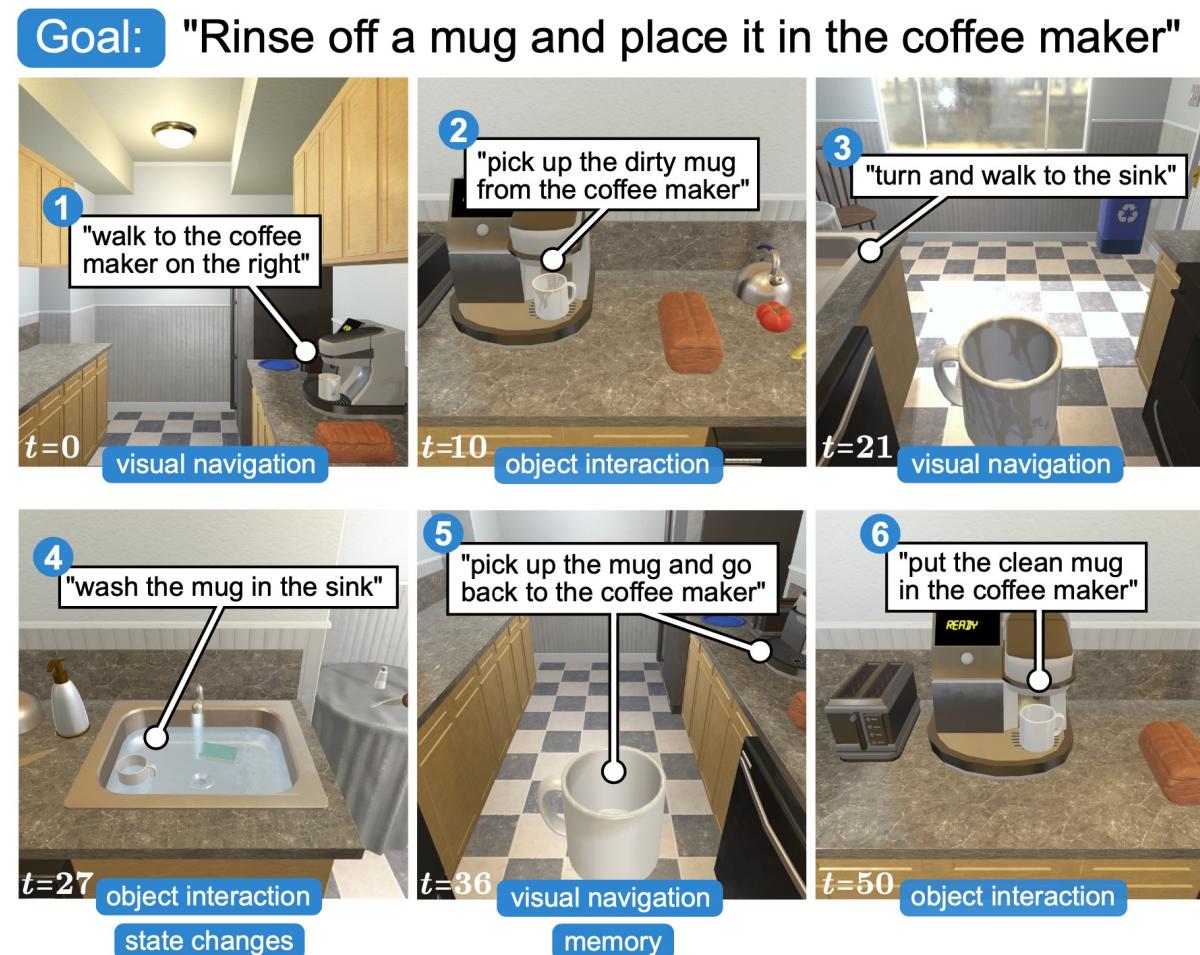
# LLM-Planner: Few-Shot Grounded Planning for Embodied Agents with Large Language Models

Chan Hee Song, Jiaman Wu, Clayton Washington, Brian M. Sadler, Wei-Lun Chao, Yu Su



# Language-driven Embodied Agents

- Embodied agents follow language instructions to complete tasks in a physical environment
- Long-horizon tasks: 50+ steps
- Diverse tasks and environments
- Can LLMs help?



# Embodied Agent Planning with LLMs?

**Instruction:** “make me a cup of coffee”



LLM?

**Low-level Plan:** [turn left, move forward, pick up cup, turn around, move forward, ..., put cup in coffee maker, ...]

# Embodied Agent Planning with LLMs?

**Instruction:** “make me a cup of coffee”



LLM-Planner

**High-level Plan:** [navigation cup, pick up cup, navigation coffee machine, ...]



Low-level planner

**Low-level Plan:** [turn left, move forward, pick up cup, turn around, move forward, ..., put cup in coffee maker, ...]

# Dynamic Grounded Planning

**Instruction:** “make me a cup of coffee”



LLM-Planner

**High-level Plan:** [navigation cup, pick up cup, navigation coffee machine, ...]



Low-level  
planner

**Low-level Plan:** [Turn left, move forward, pick up cup, turn around, move forward, ..., put cup in coffee maker, ...]

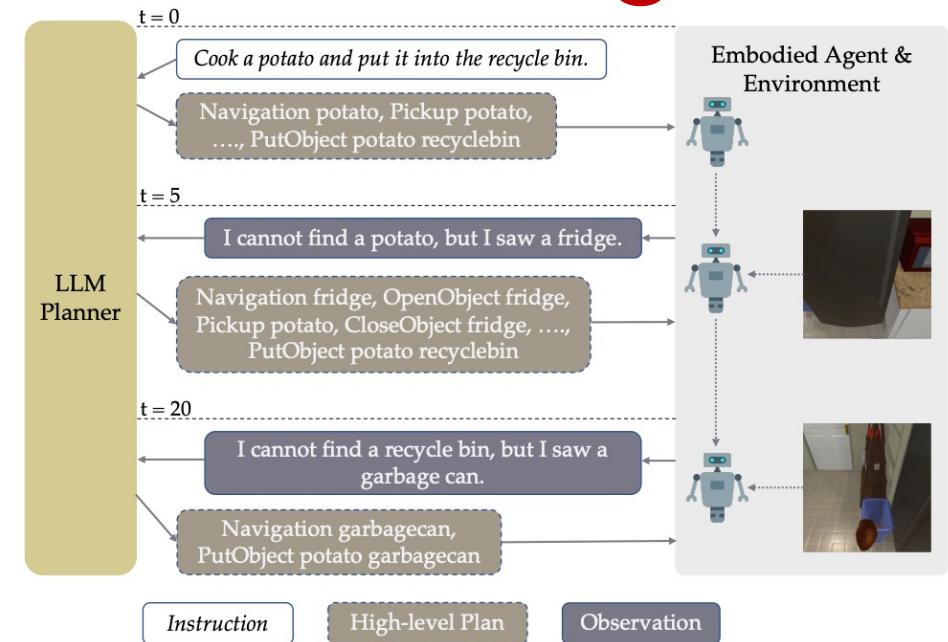


Figure 1. An illustration of LLM-Planner for high-level planning. After receiving the natural language instruction ( $t = 0$ ), LLM-Planner first generates a high-level plan by prompting a large language model (e.g., GPT-3). When the embodied agent gets stuck during the execution of the current plan ( $t = 5$  and  $20$ ), LLM-Planner re-plans based on observations from the environment to generate a more grounded plan, which may help the agent get unstuck. The commonsense knowledge in the LLM (e.g., food is often stored in a fridge) allows it to produce plausible high-level plans and re-plan based on new information from the environment.<sup>32</sup>



*Cook the potato and put it into the recycle bin.*

LLM generates the high-level plan



Create a high-level plan for completing a household task using the allowed actions and visible objects.

**Allowed actions:** OpenObject, CloseObject, PickupObject, PutObject, ToggleObjectOn, ToggleObjectOff, SliceObject, Navigation

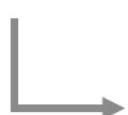
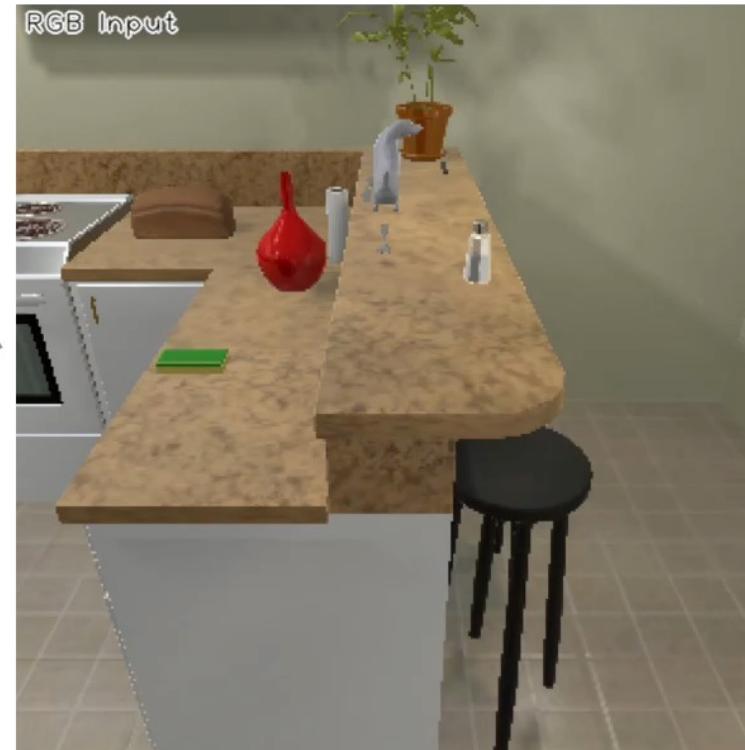
**<In-context Examples>**

**Task description:** Cook the potato and put it into the recycle bin.

**Completed plans:**

**Visible objects** are microwave, fridge, garbagecan, chair

**Next Plans:**



High-level  
Plans



Plan: Navigation potato, PickupObject potato, ...

# Evaluation on ALFRED

- LLM-Planner achieves competitive performance with only **100** training examples
- Existing methods can barely complete any task under the same low-data setting

Model	SR	GC	HLP ACC
<b>Full-data setting:</b> 21,023 (instruction, trajectory) pairs			
E.T. [27]	8.57	18.56	–
HiTUT [40]	13.87	20.31	–
M-TRACK [36]	16.29	22.60	–
FILM [26]	27.80	<b>38.52</b>	–
LEBP [18]	<b>28.30</b>	36.79	–
<b>Few-shot setting:</b> 100 (instruction, high-level plan) pairs			
HLSM [3]	0.61	3.72	0.00
FILM [26]	0.20	6.71	0.00
SayCan [1]	9.88	22.54	37.57
LLM-Planner (Static) + HLSM	15.83	20.99	43.24
LLM-Planner + HLSM	<b>16.42</b>	<b>23.37</b>	<b>46.59 – 68.31</b>

SR: Success Rate, GC: Goal Completion Rate, HLP ACC: High-level Planning Accuracy

# What's the journey ahead of us?

- Is NLP dead?
- Absolutely not. It's the most exciting time for NLP ever!
- However, instead of *natural language processing*, perhaps we should focus on *natural language programming* next

# Natural Language Programming

When is my flight to Seattle?

Tomorrow at 5:00 pm.

How long will it take to get to the airport?

It will take 20 minutes according to Google Maps.

Book a Uber 1.5 hours before that.

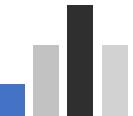
Sure. Booked an Uber for 3:30 pm tomorrow to the Columbus airport.

Any good Chinese restaurants close to my hotel?

According to Yelp, Haidilao has 4.5 stars and is 2-min walk from Hyatt.



Foundation Model



Thanks &

