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Search for electroweak production of supersymmetric states in NUHM2 compressed  
scenario at  $\sqrt{s} = 13$  GeV with the ATLAS detector

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*'Blood, sweat & respect. First two you give, last one you earn.'*

- Dwayne Johnson

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# Contents

|   | Page                |
|---|---------------------|
| <a href="#">List of Tables</a>  | <a href="#">ix</a>  |
| <a href="#">List of Figures</a>                                       | <a href="#">xii</a> |
| <b>1 Introduction</b>   | <b>1</b>            |
| <b>2 The Standard Model</b>   | <b>5</b>            |
| 2.1 The Standard Model of Particle Physics . . . . .                  | 5                   |
| 2.1.1 Particle Content . . . . .                                      | 6                   |
| 2.1.2 Local Gauge Theory . . . . .                                    | 9                   |
| 2.1.3 Strong interaction . . . . .                                    | 10                  |
| 2.1.4 Electroweak interaction . . . . .                               | 11                  |
| 2.1.5 The discovery of Higgs boson . . . . .                          | 16                  |
| 2.2 Beyond the Standard Model . . . . .                               | 17                  |
| 2.2.1 Hierarchy problem . . . . .                                     | 18                  |
| 2.2.2 Dark matter and dark energy . . . . .                           | 18                  |
| 2.2.3 Grand Unification . . . . .                                     | 19                  |
| 2.2.4 More questions . . . . .  | 20                  |
| <b>3 Supersymmetry</b>  | <b>21</b>           |
| 3.1 Why supersymmetry . . . . .                                       | 21                  |
| 3.2 Introduction of the supersymmetry . . . . .                       | 24                  |
| 3.2.1 Superalgebra . . . . .  | 24                  |
| 3.2.2 Superspace and superfields . . . . .                            | 26                  |
| 3.2.3 <i>R</i> -parity . . . . .                                      | 28                  |
| 3.2.4 Supersymmetry breaking . . . . .                                | 28                  |
| 3.2.5 The Minimal Supersymmetry Standard Model . . . . .              | 29                  |
| 3.3 The radiative natural SUSY . . . . .                              | 30                  |
| 3.4 The non-universal Higgs model with two extra parameters . . . . . | 33                  |
| <b>4 The ATLAS Experiment at LHC</b>                                  | <b>36</b>           |
| 4.1 The Large Hadron Collide . . . . .                                | 37                  |
| 4.2 The ATLAS experiment . . . . .                                    | 40                  |
| 4.2.1 The ATLAS coordinate system . . . . .                           | 41                  |
| 4.2.2 The inner detector and tracking system . . . . .                | 42                  |
| 4.2.3 The calorimeters . . . . .                                      | 45                  |
| 4.2.4 The muon spectrometer . . . . .                                 | 49                  |
| 4.2.5 The trigger system and data acquisition . . . . .               | 52                  |

|   |            |
|---|------------|
| <b>5 Data set and simulated events</b>  | <b>54</b>  |
| 5.1 Collision data . . . . .  | 54         |
| 5.2 Monte Carlo simulated event samples . . . . .                               | 55         |
| 5.2.1 The SM background samples . . . . .                                       | 56         |
| 5.2.2 The SUSY signal samples . . . . .   | 56         |
| <b>6 Event reconstruction and selection</b>                                     | <b>66</b>  |
| 6.1 Object selections . . . . .   | 66         |
| 6.1.1 Electrons . . . . .   | 67         |
| 6.1.2 Muons . . . . .   | 68         |
| 6.1.3 Taus . . . . .  | 69         |
| 6.1.4 Photons . . . . .   | 70         |
| 6.1.5 Jets . . . . .  | 71         |
| 6.1.6 Missing transverse energy . . . . .                                       | 72         |
| 6.1.7 Overlap removal . . . . .   | 72         |
| 6.2 Signal region selection . . . . .   | 73         |
| 6.2.1 Discriminating variables . . . . .  | 73         |
| 6.2.2 Signal region . . . . .   | 76         |
| 6.2.3 Expected yields in SR . . . . .   | 78         |
| <b>7 Background estimation</b>  | <b>87</b>  |
| 7.1 Control and validation regions . . . . .                                    | 87         |
| 7.1.1 The concepts . . . . .  | 87         |
| 7.1.2 Specific to this analysis . . . . .                                       | 89         |
| 7.2 Irreducible background . . . . .  | 89         |
| 7.3 Reducible background . . . . .  | 92         |
| 7.3.1 Fake/non-prompt lepton background . . . . .                               | 92         |
| 7.3.2 Instrumental $E_T^{\text{miss}}$ background . . . . .                     | 95         |
| 7.4 Systematic uncertainties . . . . .  | 97         |
| 7.4.1 Theoretical uncertainty . . . . .   | 97         |
| 7.4.2 Experimental uncertainty . . . . .  | 99         |
| <b>8 The NUHM2 interpretation</b>   | <b>101</b> |
| 8.1 Kinematic distributions . . . . .   | 101        |
| 8.2 NUHM2 interpretation using the $m_{\ell\ell}$ re-weighting method . . . . . | 109        |
| 8.3 NUHM2 interpretation using the MC production . . . . .                      | 109        |
| <b>9 Conclusion</b>   | <b>110</b> |
| <b>Appendix</b>   | <b>112</b> |
| <b>A Cross-sections of NUHM2</b>  | <b>112</b> |
| <b>B Electron isolation</b>   | <b>121</b> |
| B.1 Electron reconstruction . . . . .   | 121        |
| B.2 Electron identification . . . . .   | 122        |
| B.3 Electron isolation . . . . .  | 122        |
| <b>C The distributions</b>  | <b>123</b> |

|  |            |
|--|------------|
| <b>D</b> Search for strongly-produced SUSY in final states with two same-sign or three leptons and jets using $36.1\text{ fb}^{-1}pp$ collision data at $\sqrt{s} = 13\text{ TeV}$ with the ATLAS detector | <b>136</b> |
| D.1 Samples used for strong interaction . . . . .  | 137        |
| <b>E</b> Real lepton efficiency  | <b>139</b> |
| E.1 The $Z$ tag-and-probe method . . . . .   | 139        |
| E.2 Background subtraction . . . . .   | 141        |
| E.3 Cut efficiencies . . . . .   | 143        |
| E.4 Real lepton efficiencies . . . . .   | 144        |
| E.4.1 Tag-and-probe method and truth matching comparisons . . . . .  | 145        |
| E.4.2 Data-to-MC comparisons . . . . .   | 145        |
| E.4.3 Real lepton efficiency versus pileup . . . . .   | 146        |
| E.5 Sources of systematic uncertainties . . . . .  | 146        |
| E.5.1 Measurement systematics . . . . .  | 146        |
| E.5.2 Trigger bias . . . . .   | 148        |
| E.5.3 Extrapolation to signal regions . . . . .  | 149        |
| E.5.4 Final uncertainties . . . . .  | 152        |
| <b>References</b>  | <b>169</b> |

# List of Tables

|   | Page |
|---|------|
| 2.1 The Standard Model fermions with charges and masses [29]. . . . .   | 7    |
| 2.2 The four fundamental forces with the relative strength, interaction range, describing theory, and the mediator with its mass. The gravitational force is not a part of the SM and the graviton is a theoretical particle. . . . .   | 8    |
| 3.1 Chiral supermultiplets and gauge supermultiplets in the MSSM. In the chiral supermultiplets, the spin 0 fields are complex scalars and the spin 1/2 fields are left-handed two-component Weyl spinors. . . . .  | 30   |
| 4.1 Resolution requirements for the different calorimeters of the ATLAS detector [66].  | 49   |
| 4.2 A summary of the muon spectrometer components. . . . .  | 51   |
| 5.1 The inclusive $E_T^{\text{miss}}$ triggers used in this analysis. The $E_T^{\text{miss}}$ threshold varies from 70 to 110 GeV depending on the run period. . . . .  | 55   |
| 5.2 The MC simulated samples of SM background process. . . . .  | 57   |
| 5.3 The masses of $\tilde{\chi}_1^0$ , $\tilde{\chi}_2^0$ , and $\tilde{\chi}_1^\pm$ and the ratios of the mass difference between $(m_{\tilde{\chi}_2^0} - m_{\tilde{\chi}_1^\pm})$ and $(m_{\tilde{\chi}_1^\pm} - m_{\tilde{\chi}_1^0})$ . The $m_{\tilde{\chi}_1^\pm}$ doesn't set to the middle between $m_{\tilde{\chi}_1^0}$ and $m_{\tilde{\chi}_2^0}$ . . . . . | 59   |
| 5.4 The possible $\tilde{\chi}_2^0$ decays in NUHM2 model with $m_{1/2} = 600$ GeV. The $\tilde{\chi}_2^0 \rightarrow \gamma \tilde{\chi}_1^0$ has the lowest branching ratio hence it is not considered in our study. The rest of the decays are categorized into 4 types as shown in the third column. . . . .  | 61   |
| 5.5 The 2 leptons filter efficiency for 4 kinds of $\tilde{\chi}_2^0$ decay, the number of events for each decay in $0 < m_{\ell\ell} < 50$ GeV, and the contributions to the whole $\tilde{\chi}_2^0$ decay. The transverse momentum of 2 leptons are required to be greater than 2 GeV and no $E_T^{\text{miss}}$ requirement is applied in the filter. . . . .       | 62   |
| 5.6 The NUHM2 MC sample dataset ID (DSID), productions, cross-sections, and decay processes and its relevant branching ratios, the filter efficiencies, and the uncertainties. . . . .  | 64   |
| 5.7 The branching ratios calculated by ISAJET and SUSY-HIT. The difference are calculated with respect to the SUSY-HIT branching ratio results. Good agreement between the results from two branching ratio calculators can be seen. The largest difference between the results calculating by ISAJET and SUSY-HIT is less than 2%. . . . .                             | 65   |
| 6.1 Summary of object definitions used in this analysis. . . . .  | 67   |
| 6.2 Summary of event selection criteria. The upper part lists the common selection criteria and the lower part lists the SR requirement for this analysis searching electroweakinos. Signal leptons and signal jets are used when applying all requirements. The SR binning is listed in Table 6.3. . . . .   | 83   |

|     |  |     |
|-----|--|-----|
| 6.3 | The SR binnings for the electroweakino SRs. The SR is defined by a $m_{\ell\ell}$ range in GeV. The exclusive bins are used to set the exclusion limits on the model and the inclusive bins are used to set the model-independent limits. . . . .  | 83  |
| 6.4 | The acceptance, the cross-section, the branchings for different production channels, the 2LMET50 filter efficiency, and the expected yields in SR common to $2\ell$ channel for four different production channels of NUHM2 signal MC samples. The expected yields in the SR are obtained by taking the product of luminosity ( $36.1 \text{ fb}^{-1}$ ), acceptance, filter efficiency, cross-section, and the branching ratio. . . . . | 84  |
| 6.5 | The yields after the initial preselection and the sequential selections (cutflows) for the SR. The weighted number of events are normalized to $36.1 \text{ fb}^{-1}$ and the raw number of events are also shown. This table only shows $m_{1/2} = 350, 400, 500 \text{ GeV}$ . . . . .   | 85  |
| 6.6 | The yields after the initial preselection and the sequential selections (cutflows) for the SR. The weighted number of events are normalized to $36.1 \text{ fb}^{-1}$ and the raw number of events are also shown. This table only shows $m_{1/2} = 600, 700, 800 \text{ GeV}$ . . . . .   | 86  |
| 7.1 | The background processes for the $2\ell$ analysis and the strategy for estimating the background contamination in the SR. . . . .  | 89  |
| 7.2 | Definition of control regions and validation regions. . . . .  | 90  |
| 7.3 | The ID and anti-ID selection criteria for electrons and muons. . . . .   | 94  |
| A.1 | The cross-sections, branching fraction, and filter efficiency for the NUHM2 signal samples $m_{1/2} = 300 \text{ GeV}$ . . . . .   | 113 |
| A.2 | The cross-sections, branching fraction, and filter efficiency for the NUHM2 signal samples $m_{1/2} = 350 \text{ GeV}$ . . . . .   | 114 |
| A.3 | The cross-sections, branching fraction, and filter efficiency for the NUHM2 signal samples $m_{1/2} = 400 \text{ GeV}$ . . . . .   | 115 |
| A.4 | The cross-sections, branching fraction, and filter efficiency for the NUHM2 signal samples $m_{1/2} = 500 \text{ GeV}$ . . . . .   | 116 |
| A.5 | The cross-sections, branching fraction, and filter efficiency for the NUHM2 signal samples $m_{1/2} = 600 \text{ GeV}$ . . . . .   | 117 |
| A.6 | The cross-sections, branching fraction, and filter efficiency for the NUHM2 signal samples $m_{1/2} = 700 \text{ GeV}$ . . . . .   | 118 |
| A.7 | The cross-sections, branching fraction, and filter efficiency for the NUHM2 signal samples $m_{1/2} = 800 \text{ GeV}$ . . . . .   | 119 |
| A.8 | The list of various final states. . . . .  | 120 |
| D.1 | The simulated signal and background MC samples. The event generator, parton shower, cross-section normalization, PDF set, and the set of tuned parameters for each samples are shown. The $t\bar{t}WW, t\bar{t}WZ, tZ, tWZ, t\bar{t}\bar{t}, WH, ZH$ and triboson background samples are labeled in the "rare" because they contribute a very small amount to the signal region. . . . .   | 137 |
| D.2 | . . . . .  | 138 |
| E.1 | The list of single lepton and dilepton triggers used for the real lepton efficiency measurements. The dilepton triggers are used for studying the systematic uncertainties causing by the trigger. . . . .   | 140 |
| E.2 | The definition of the background templates for estimating the background contamination associated with the $Z$ tag-and-probe method. The baseline template is used to estimate the background contamination. The variation 1 template has looser requirements and the variation 2 template has tighter requirements. They are used to assess the systematic caused by the background contamination. . . . .                              | 141 |
| E.3 | The estimated background contamination in in different $p_T$ and $ \eta $ regions. The $p_T$ and $ \eta $ binnings correspond to the one used for the final measurements. . . . .  | 143 |

|      |   |     |
|------|---|-----|
| E.4  | The real electron efficiencies before and after performing the background subtraction in different $p_T$ and $ \eta $ regions are shown in percentage. . . . .  | 143 |
| E.5  | The systematic uncertainties for real electron efficiencies. For the electron case, the background subtraction is applied on the first two $p_T$ bins ( $p_T < 20$ GeV). There are 3 sources of the systematic uncertainties: varying templates (t), varying fitting ranges (f), and varying $m_{\ell\ell}$ windows (m). When $p_T > 20$ GeV, only $m_{\ell\ell}$ window variation is considered. . . . .   | 147 |
| E.6  | The systematic uncertainties for real muon efficiencies. Only the $m_{\ell\ell}$ window variation is considered because no background subtraction is applied on the muon case. . . . .  | 148 |
| E.7  | The systematic uncertainties for real electron efficiencies due to the different trigger strategies. The uncertainties of each trigger strategy are calculated with respect to the one applied single lepton trigger with tag trigger matched. The total uncertainties are the quadratic sum of the uncertainties of each trigger strategy.   | 150 |
| E.8  | The systematic uncertainties for real muon efficiencies due to the different trigger strategies. The uncertainties of each trigger strategy are calculated with respect to the one applied single lepton trigger with tag trigger matched. The total uncertainties are the quadratic sum of the uncertainties of each trigger strategy.   | 151 |
| E.9  | The systematic uncertainties of the real lepton efficiency in busy environment using $\tilde{g} \rightarrow t\bar{t}\tilde{\chi}_1^0$ . . . . .   | 166 |
| E.10 | The final uncertainties of the real electron efficiencies. The final uncertainties are the quadratic sum of the statistical uncertainties and the systematic uncertainties. The systematic uncertainties include the measurement uncertainty, the trigger uncertainty, the uncertainty comes from the differences between $Z$ tag-and-probe method and truth matching. The uncertainties in the busy environment do not incorporate in the final uncertainties calculation because it is measured as a function of $p_T$ and $\Delta R$ . The trigger uncertainties are measured using $p_T > 20$ GeV only. . . . . | 167 |
| E.11 | The final uncertainties of the real muon efficiencies. The final uncertainties are the quadratic sum of the statistical uncertainties and the systematic uncertainties. The systematic uncertainties include the measurement uncertainty, the trigger uncertainty, the uncertainty comes from the differences between $Z$ tag-and-probe method and truth matching. The uncertainties in the busy environment do not incorporate in the final uncertainties calculation because it is measured as a function of $p_T$ and $\Delta R$ . . . . .   | 168 |

# List of Figures

|   | Page |
|---|------|
| 1.1 The scatter plot in the $m(\tilde{\chi}_1^0)$ vs $m(\tilde{\chi}_1^\pm)$ plane. The color encode the $\tilde{\chi}_1^0$ composition. The Higgsino-dominated LSPs are colored in yellow and along the $\tilde{\chi}_1^0$ - $\tilde{\chi}_1^\pm$ diagonal. The figure is taken from [13]. . . . .   | 2    |
| 1.2 The Feynman diagrams representing the two leptons final state of (a) $\tilde{\chi}_2^0 \tilde{\chi}_1^\pm$ , (b) $\tilde{\chi}_1^\pm \tilde{\chi}_1^\mp$ , (c) $\tilde{\chi}_2^0 \tilde{\chi}_1^0$ productions. . . . .   | 3    |
| 2.1 An illustration of the Higgs potential which has the form of a Mexican hat. The figure is taken from [40]. . . . .  | 15   |
| 2.2 The observed local $p$ -value as a function of $m_H$ for the ATLAS [1] and CMS [2] experiment, respectively. The dashed line shows the expected local $p_0$ for a SM Higgs boson. The horizontal lines denotes the $p$ -values corresponding to significances of 1 to $6\sigma$ . . . . .   | 17   |
| 2.3 The measured running coupling constants in the SM (left) and prediction in the GUT (right). The three lines show the inverse value of the coupling constant for the three fundamental forces. This figure is taken from [46]. . . . .   | 19   |
| 3.1 The Feynman diagram for the one loop correction to the Higgs squared mass due to (a) a fermion $f$ and (b) a scalar $S$ . The figure is taken from [9]. . . . .   | 22   |
| 3.2 Typical sparticle mass spectra of RNS. The figure is taken from [60]. . . . .   | 33   |
| 3.3 The $\Delta_{EW}$ contours in the $m_{1/2}$ vs $\mu$ plane of NUHM2 model for $m_0 = 5$ GeV, $\tan \beta = 15$ , $A_0 = -1.6m_0$ , and $m_A = 1$ TeV. The gray and blue shaded regions are excluded by the LEP1 and LEP2 searches for chargino pair production. The region on the left hand side of the blue solid line is excluded by LHC8 gluino pair searches. This figure is taken from [65]. . . . .               | 35   |
| 4.1 The accelerator complex at CERN [73]. . . . .   | 38   |
| 4.2 Overview of the ATLAS detector [66]. . . . .  | 40   |
| 4.3 Cut-away view of the ATLAS inner detector [66]. . . . .   | 43   |
| 4.4 Cut-away view of the calorimeter system [66]. . . . .   | 46   |
| 4.5 Cut-away view of the accordion shaped EMB module with the dimensions for three layers [76]. . . . .   | 48   |
| 4.6 Sketch of the muon system of the ATLAS detector [66]. . . . .   | 51   |
| 4.7 The schematic view of the ATLAS trigger/DAQ system in Run-2. The figure is taken from [80]. . . . .   | 52   |
| 5.1 The mass spectra of the charginos $\tilde{\chi}_{1,2}^\pm$ and neutralinos $\tilde{\chi}_{1,2,3,4}^0$ as a function of $m_{1/2}$ in the NUHM2 model. The $m_{\tilde{\chi}_1^0}$ , $m_{\tilde{\chi}_2^0}$ , and $m_{\tilde{\chi}_1^\pm}$ are roughly flat when $m_{1/2} > 500$ GeV. The $m_{\tilde{\chi}_3^0}$ , $m_{\tilde{\chi}_4^0}$ , and $m_{\tilde{\chi}_2^\pm}$ are heavier and increase with $m_{1/2}$ . . . . . | 58   |

|     |  |    |
|-----|--|----|
| 5.2 | The mass splitting spectra between charginos and neutralinos in the NUHM2 model. The mass differences $\Delta m(\tilde{\chi}_3^0, \tilde{\chi}_{1,2}^0)$ and $\Delta m(\tilde{\chi}_3^0, \tilde{\chi}_1^\pm)$ increase with $m_{1/2}$ . The mass differences $\Delta m(\tilde{\chi}_1^\pm, \tilde{\chi}_1^0)$ , $\Delta m(\tilde{\chi}_2^0, \tilde{\chi}_1^0)$ , and $\Delta m(\tilde{\chi}_2^0, \tilde{\chi}_1^\pm)$ decrease with $m_{1/2}$ .  | 59 |
| 5.3 | The NUHM2 cross-sections for (a) different combinations of compressed + compressed production and (b) all compressed + compressed, compressed + accessible, and accessible + accessible productions.   | 60 |
| 5.4 | The $m_{\ell\ell}$ distributions for NUHM2 and simplified Higgsino models. The four possible $\tilde{\chi}_2^0$ decay contributions for NUHM2 model are stacked and the $\tilde{\chi}_2^0 \rightarrow \ell\ell\tilde{\chi}_1^0$ is the dominant decay (shown in grey area). The difference between two models come from the mass splitting $\Delta m = m_{\tilde{\chi}_2^0} - m_{\tilde{\chi}_1^0}$ where $\Delta m = 22$ GeV for NUHM2 and $\Delta m = 20$ GeV for simplified Higgsino model.                                 | 63 |
| 6.1 | The illustration of the $Z \rightarrow \tau\tau + \text{jets}$ decay where $\tau$ decays leptonically $\tau \rightarrow \ell\nu_\ell\nu_\tau$ .  | 75 |
| 6.2 | The distribution of $E_T^{\text{miss}}/H_T^{\text{lep}}$ as function of $m_{\ell\ell}$ for the electroweakino after applying all the SR common requirements and the $\Delta R_{\ell\ell} < 2$ . The red line indicates the SR selection. Events in the region below this line are rejected. The signal events are labeled in colored circles for different mass splitting.   | 77 |
| 6.3 | The ‘ $N - 1$ ’ distributions for NUHM2 model with $m_{1/2} = 500$ GeV in SR region $1 < \text{SR}\ell\ell-m_{\ell\ell} < 60$ GeV. The NUHM2 distributions are multiplied by 10 but the number of events in the legend use its actual values. The distributions of $N_{\text{jets}}^{30}$ , $N_{\text{b-jets}}^{20}$ , $p_T^{\ell_1}$ , and $p_T^{\ell_2}$ are shown.  | 79 |
| 6.4 | The ‘ $N - 1$ ’ distributions for NUHM2 model with $m_{1/2} = 500$ GeV in SR region $1 < \text{SR}\ell\ell-m_{\ell\ell} < 60$ GeV. The NUHM2 distributions are multiplied by 10 but the number of events in the legend use its actual values. The distributions of $E_T^{\text{miss}}$ , $E_T^{\text{miss}}/H_T^{\text{leptons}}$ , $m_{\ell\ell}$ , and $m_{\tau\tau}$ are shown.   | 80 |
| 6.5 | The ‘ $N - 1$ ’ distributions for NUHM2 model with $m_{1/2} = 500$ GeV in SR region $1 < \text{SR}\ell\ell-m_{\ell\ell} < 60$ GeV. The NUHM2 distributions are multiplied by 10 but the number of events in the legend use its actual values. The distributions of $m_T(\ell_1)$ , $\Delta R_{\ell\ell}$ , $ \Delta\phi(\mathbf{p}_T^{j_1}, \mathbf{p}_T^{\text{miss}}) $ , and $\min[ \Delta\phi(\mathbf{p}_T^{\text{All jets}}, \mathbf{p}_T^{\text{miss}}) ]$ are shown.  | 81 |
| 7.1 | A illustration of multiple signal, control, and validation regions. The background contamination in the SRs can be estimated by extrapolating from the CRs and is verified in the VRs which lie in between the SRs and CRs. All regions can be single bin or multiple bins which are indicated by the dashed lines. The figure is taken from [104].  | 88 |
| 7.2 | The kinematic distributions of $E_T^{\text{miss}}/H_T^{\text{lep}}$ and $m_{\tau\tau}$ in the CR-top and CR-tau, respectively. All the event selection criteria are applied except the variable being plotted and the background-only fits are performed. The selection requirement of the plotting variable is indicated by the blue arrows. The first and last bins include the underflow and overflow, respectively. The expected background contributions from different processes are stacked and compared with the data. | 91 |
| 7.3 | The schematic illustration of the fake factor method used to estimate the fake lepton contribution in the SR.  | 93 |
| 7.4 | The electron fake factor as a function of $p_T$ and leading jet $p_T$ . The red line is the average electron fake factor.  | 95 |
| 7.5 | The muon fake factor as a function of $p_T$ with 0 $b$ -jet and at least one $b$ -jet. The red line is the average muon fake factor.   | 96 |
| 7.6 | The opposite sign baseline dilepton mass $m_{\ell\ell}$ spectrum. All data events are required to pass $E_T^{\text{miss}}$ trigger and satisfies $ \Delta\phi(j_1, \mathbf{p}_T^{\text{miss}})  < 1.5$ requirement. A low mass $J/\psi$ peak can be seen in $ee$ and $\mu\mu$ invariant mass.  | 97 |

|     |  |     |
|-----|--|-----|
| 7.7 | The data and fake/non-prompt leptons $E_T^{\text{miss}}$ distributions in the VR-SS. . . . .   | 98  |
| 7.8 | The relative systematic uncertainties in the background prediction in the exclusive electroweakino SRs. . . . .  | 100 |
| 8.1 | The lepton multiplicity distributions. The lepton multiplicity of NUHM2 with $m_{1/2} = 600$ GeV are compared to the simplified Higgsino model with $m_{\tilde{\chi}_2^0} = 170$ GeV and $m_{\tilde{\chi}_1^0} = 150$ GeV. Four different production channels, $\tilde{\chi}_2^0 \tilde{\chi}_1^0$ , $\tilde{\chi}_2^0 \tilde{\chi}_1^+$ , $\tilde{\chi}_2^0 \tilde{\chi}_1^-$ , and $\tilde{\chi}_1^\pm \tilde{\chi}_1^\mp$ , for the NUHM2 and the simplified Higgsino model are considered. The distributions of four productions are combined and normalized to equal area. . . . .  | 102 |
| 8.2 | The jets multiplicity distributions. The jet multiplicity of NUHM2 with $m_{1/2} = 600$ GeV are compared to the simplified Higgsino model with $m_{\tilde{\chi}_2^0} = 170$ GeV and $m_{\tilde{\chi}_1^0} = 150$ GeV. The top left plot includes the forward jets and the bottom two plots use the signal jets with $p_T > 25$ GeV and $p_T > 30$ GeV, respectively. Four different production channels, $\tilde{\chi}_2^0 \tilde{\chi}_1^0$ , $\tilde{\chi}_2^0 \tilde{\chi}_1^+$ , $\tilde{\chi}_2^0 \tilde{\chi}_1^-$ , and $\tilde{\chi}_1^\pm \tilde{\chi}_1^\mp$ , for the NUHM2 and the simplified Higgsino model are considered. The distributions of four productions are combined and normalized to equal area. . . . .  | 103 |
| 8.3 | The $p_T$ , $\eta$ , and $\phi$ distributions for the NUHM2 with $m_{1/2} = 600$ GeV and the simplified Higgsino model with $m_{\tilde{\chi}_2^0} = 170$ GeV and $m_{\tilde{\chi}_1^0} = 150$ GeV. The signal electrons $p_T$ , $\eta$ , and $\phi$ distributions are on the left column and the signal muons distributions are on the right. Four different production channels, $\tilde{\chi}_2^0 \tilde{\chi}_1^0$ , $\tilde{\chi}_2^0 \tilde{\chi}_1^+$ , $\tilde{\chi}_2^0 \tilde{\chi}_1^-$ , and $\tilde{\chi}_1^\pm \tilde{\chi}_1^\mp$ , for the NUHM2 and the simplified Higgsino model are considered. The distributions of four productions are combined and normalized to equal area. . . . .   | 104 |
| 8.4 | The signal jets and the signal $b$ -jets $p_T$ , $\eta$ , and $\phi$ distributions for the NUHM2 with $m_{1/2} = 600$ GeV and the simplified Higgsino model with $m_{\tilde{\chi}_2^0} = 170$ GeV and $m_{\tilde{\chi}_1^0} = 150$ GeV. Four different production channels, $\tilde{\chi}_2^0 \tilde{\chi}_1^0$ , $\tilde{\chi}_2^0 \tilde{\chi}_1^+$ , $\tilde{\chi}_2^0 \tilde{\chi}_1^-$ , and $\tilde{\chi}_1^\pm \tilde{\chi}_1^\mp$ , for the NUHM2 and the simplified Higgsino model are considered. The distributions of four productions are combined and normalized to equal area. . . . .   | 105 |
| 8.5 | The invariant mass $M_{\ell\ell}$ and $M_{\tau\tau}$ distributions and the transverse mass $M_T$ and $M_{T2}$ distributions. The first two leading baseline leptons are used to calculate the $M_{\ell\ell}$ which contains a hump and a tail region. The $\tilde{\chi}_2^0 \tilde{\chi}_1^0$ contributes to the hump only and the tail is contributed by the decay products containing the chargino $\tilde{\chi}_1^\pm$ . The Eq. (6.6) is used to calculate the di-tau invariant mass $M_{\tau\tau}$ . The first or first two leading signal leptons and $E_T^{\text{miss}}$ are used to evaluate the transverse mass $M_T$ and $M_{T2}$ , respectively. Four different production channels, $\tilde{\chi}_2^0 \tilde{\chi}_1^0$ , $\tilde{\chi}_2^0 \tilde{\chi}_1^+$ , $\tilde{\chi}_2^0 \tilde{\chi}_1^-$ , and $\tilde{\chi}_1^\pm \tilde{\chi}_1^\mp$ , for the NUHM2 and the simplified Higgsino model are considered. The distributions of four productions are combined and normalized to equal area. . . . . | 106 |
| 8.6 | The $E_T^{\text{miss}}$ , $E_T^{\text{miss}}/H_T^{\text{lepton}}$ , $\Delta R(\ell_1, \ell_2)$ , and $\Delta\phi(E_T^{\text{miss}}, j_1)$ distributions. The $H_T^{\text{lepton}}$ is the scalar sum of the first two leading baseline leptons $p_T$ only. The distance $\Delta R(\ell_1, \ell_2)$ is calculated by the first two leading baseline leptons and the $\Delta\phi(E_T^{\text{miss}}, j_1)$ uses $E_T^{\text{miss}}$ and first leading signal jet. Four different production channels, $\tilde{\chi}_2^0 \tilde{\chi}_1^0$ , $\tilde{\chi}_2^0 \tilde{\chi}_1^+$ , $\tilde{\chi}_2^0 \tilde{\chi}_1^-$ , and $\tilde{\chi}_1^\pm \tilde{\chi}_1^\mp$ , for the NUHM2 and the simplified Higgsino model are considered. The distributions of four productions are combined and normalized to equal area. . . . .  | 107 |
| C.1 | The ‘ $N - 1$ ’ distributions for NUHM2 model with $m_{1/2} = 350$ GeV in SR region $1 < \text{SR}\ell\ell-m_{\ell\ell} < 60$ GeV. The NUHM2 distributions are multiplied by 10 but the number of events in the legend use its actual values. . . . .  | 124 |
| C.2 | The ‘ $N - 1$ ’ distributions for NUHM2 model with $m_{1/2} = 350$ GeV in SR region $1 < \text{SR}\ell\ell-m_{\ell\ell} < 60$ GeV. The NUHM2 distributions are multiplied by 10 but the number of events in the legend use its actual values. . . . .  | 125 |

|      |  |     |
|------|--|-----|
| C.3  | The ‘ $N - 1$ ’ distributions for NUHM2 model with $m_{1/2} = 400$ GeV in SR region $1 < \text{SR}_{\ell\ell} - m_{\ell\ell} < 60$ GeV. The NUHM2 distributions are multiplied by 10 but the number of events in the legend use its actual values. . . . .   | 126 |
| C.4  | The ‘ $N - 1$ ’ distributions for NUHM2 model with $m_{1/2} = 400$ GeV in SR region $1 < \text{SR}_{\ell\ell} - m_{\ell\ell} < 60$ GeV. The NUHM2 distributions are multiplied by 10 but the number of events in the legend use its actual values. . . . .   | 127 |
| C.5  | The ‘ $N - 1$ ’ distributions for NUHM2 model with $m_{1/2} = 500$ GeV in SR region $1 < \text{SR}_{\ell\ell} - m_{\ell\ell} < 60$ GeV. The NUHM2 distributions are multiplied by 10 but the number of events in the legend use its actual values. . . . .   | 128 |
| C.6  | The ‘ $N - 1$ ’ distributions for NUHM2 model with $m_{1/2} = 500$ GeV in SR region $1 < \text{SR}_{\ell\ell} - m_{\ell\ell} < 60$ GeV. The NUHM2 distributions are multiplied by 10 but the number of events in the legend use its actual values. . . . .   | 129 |
| C.7  | The ‘ $N - 1$ ’ distributions for NUHM2 model with $m_{1/2} = 600$ GeV in SR region $1 < \text{SR}_{\ell\ell} - m_{\ell\ell} < 60$ GeV. The NUHM2 distributions are multiplied by 10 but the number of events in the legend use its actual values. . . . .   | 130 |
| C.8  | The ‘ $N - 1$ ’ distributions for NUHM2 model with $m_{1/2} = 600$ GeV in SR region $1 < \text{SR}_{\ell\ell} - m_{\ell\ell} < 60$ GeV. The NUHM2 distributions are multiplied by 10 but the number of events in the legend use its actual values. . . . .   | 131 |
| C.9  | The ‘ $N - 1$ ’ distributions for NUHM2 model with $m_{1/2} = 700$ GeV in SR region $1 < \text{SR}_{\ell\ell} - m_{\ell\ell} < 60$ GeV. The NUHM2 distributions are multiplied by 10 but the number of events in the legend use its actual values. . . . .   | 132 |
| C.10 | The ‘ $N - 1$ ’ distributions for NUHM2 model with $m_{1/2} = 700$ GeV in SR region $1 < \text{SR}_{\ell\ell} - m_{\ell\ell} < 60$ GeV. The NUHM2 distributions are multiplied by 10 but the number of events in the legend use its actual values. . . . .   | 133 |
| C.11 | The ‘ $N - 1$ ’ distributions for NUHM2 model with $m_{1/2} = 800$ GeV in SR region $1 < \text{SR}_{\ell\ell} - m_{\ell\ell} < 60$ GeV. The NUHM2 distributions are multiplied by 10 but the number of events in the legend use its actual values. . . . .   | 134 |
| C.12 | The ‘ $N - 1$ ’ distributions for NUHM2 model with $m_{1/2} = 800$ GeV in SR region $1 < \text{SR}_{\ell\ell} - m_{\ell\ell} < 60$ GeV. The NUHM2 distributions are multiplied by 10 but the number of events in the legend use its actual values. . . . .   | 135 |
| E.1  | The invariant mass distributions of the tag-and-probe pair computed using $Z + jets$ MC and 2015 + 2016 data. The red color stands for the $Z$ tag-and-probe events, the blue color represents the $Z$ truth matched events, and the black dots are data. The MC distributions are scaled to the data using a Gaussian fit of the $Z$ mass peak $85 < m_{\ell\ell} < 95$ GeV. . . . .  | 153 |
| E.2  | The $m_{ee}$ distributions for the baseline, variation 1 and variation 2 background templates. The $m_{ee}$ distributions are computed using the probe electrons with different $p_T$ as indicated in the caption of plots. The variation 1 template has looser calorimeter and track isolation requirements and the baseline and the variation 2 templates have tighter selection criteria. So a peak can be seen in the $Z$ mass region in variation 1 template but not in the baseline and variation 2 templates. . . . .   | 154 |
| E.3  | Illustration of the background subtraction procedure. The full black dots and blue squares are the $m_{ee}$ distributions for data before and after performing the background subtraction, respectively. The $m_{ee}$ distribution for $Z \rightarrow ee$ MC, which is labeled by the open black circles, is normalized to the data after the background subtraction using a Gaussian fit of $85 < m_{ee} < 95$ GeV. The lower panels show the data-to-MC ratio where the background subtraction has been applied on data. The background templates and their respective fitting results are indicated by the red triangles and green lines, respectively. . . . . | 155 |

|  |     |
|--|-----|
| E.4 Cut efficiencies of the signal electron and muon definition as a function of $p_T$ . The total real electron and muon efficiencies are presented by black points. The loose to medium likelihood cut efficiency is presented by red squares. The calorimeter and track isolation cut efficiencies are presented by green triangles and blue triangles, respectively. The longitudinal and transverse impact parameters cut efficiencies are presented by magenta diamonds and cyan crosses, respectively.                              | 156 |
| E.5 The real lepton efficiencies as a function of $p_T$ and $ \eta $ measured using the $Z$ tag-and-probe method. For the real electron efficiencies measurement, the $ \eta $ binning in the creak region is removed. A homogeneous $ \eta $ binnings are used for the muon case.   | 157 |
| E.6 The real lepton efficiencies computed by $Z$ tag-and-probe method (red dots) and truth matching (blue triangles). The electron cases are on the top row and muon cases are at the bottom row. The three columns from the left to the right are the real lepton efficiencies as a function $p_T$ , $ \eta $ , and $\Delta R(\ell, \text{jet})$ , respectively. The lower pads show the ratio with respect to the $Z$ tag-and-probe method.  | 158 |
| E.7 The real lepton efficiencies measured on 2015 + 2016 data (black dots) and $Z \rightarrow \ell\ell$ MC samples (red squares) using the $Z$ tag-and-probe method. The electron cases are on the top row and muon cases are at the bottom row. The three columns from the left to the right are the real lepton efficiencies as a function $p_T$ , $ \eta $ , and $\Delta R(\ell, \text{jet})$ , respectively. The MC samples have been re-weighted to the pile-up observed in data.   | 159 |
| E.8 The real lepton efficiencies as a function of the average interactions per crossing $<\mu>$ . The data is presented in black dots, $Z \rightarrow \ell\ell$ tag-and-probe is presented in red squares, the truth matching is presented in blue triangles, the $t\bar{t}$ is presented in magenta diamonds, and $\tilde{g} \rightarrow t\bar{t}\chi_1^0$ is presented in yellow crosses. The $ \eta  < 2$ requirement has been applied on the $t\bar{t}$ and $\tilde{g} \rightarrow t\bar{t}\chi_1^0$ MC samples for the electron case. | 160 |
| E.9 The real electron and muon efficiencies as a function of $p_T$ . The data is presented in black dots, $Z \rightarrow \ell\ell$ tag-and-probe is presented in red squares, the truth matching is presented in blue triangles, the $t\bar{t}$ is presented in magenta diamonds, and $\tilde{g} \rightarrow t\bar{t}\chi_1^0$ is presented in yellow crosses. The differences in the $p_T < 40$ GeV region come from the different event topologies.  | 161 |
| E.10 The real electron efficiencies as a function of $p_T$ in 3 $ \eta $ regions. Four different trigger strategies are applied. The nominal values are obtained using the single lepton trigger with tag trigger matched. The differences between the nominal values and the values measured using other strategies are assigned as the systematic uncertainties.   | 162 |
| E.11 The real muon efficiencies as a function of $p_T$ in 4 $ \eta $ regions. Four different trigger strategies are applied. The nominal values are obtained using the single lepton trigger with tag trigger matched. The differences between the nominal values and the values measured using other strategies are assigned as the systematic uncertainties.   | 163 |
| E.12 The kinematic distributions of the baseline leptons for $Z \rightarrow \ell\ell$ , $\tilde{g} \rightarrow t\bar{t}\chi_1^0$ , and $t\bar{t}$ processes. The top row is the $p_T$ distributions and the bottom row is the $ \eta $ distributions. The electron case is on the left hand side and the muon case is on the right hand side. The $\tilde{g} \rightarrow t\bar{t}\chi_1^0$ process is more boosted and centralized than the $Z \rightarrow \ell\ell$ and $t\bar{t}$ processes.   | 164 |

E.13 The  $\Delta R(\ell, \text{jet})$  and the  $N_{\text{jets}}$  distributions of the baseline leptons for  $Z \rightarrow \ell\ell$ ,  $\tilde{g} \rightarrow t\bar{t}\tilde{\chi}_1^0$ , and  $t\bar{t}$  processes. The top row is the  $\Delta R(\ell, \text{jet})$  distributions and the bottom row is the  $N_{\text{jets}}$  distributions. The electron case is on the left hand side and the muon case is on the right hand side. The statistics of  $Z \rightarrow \ell\ell$  processes are populated at higher  $\Delta R(\ell, \text{jet}) < 1$  region and lower  $N_{\text{jets}}$  region. The statistics of  $\tilde{g} \rightarrow t\bar{t}\tilde{\chi}_1^0$  are located in  $\Delta R(\ell, \text{jet}) < 1$  region and higher  $N_{\text{jets}}$  region. . . . . 165

# Chapter 1

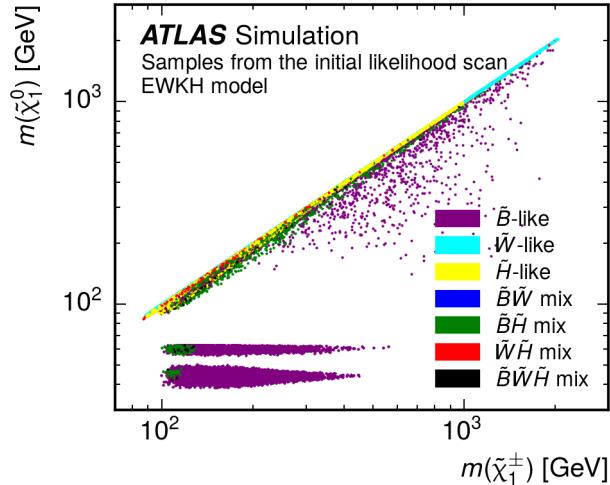
## Introduction

The Standard Model of particle physics (SM) describes various phenomena of particle physics. The discovery of the Higgs boson ( $H$ ) by the ATLAS and CMS collaboration at CERN completes the missing part of the SM prediction [1, 2]. However, there are several open challenges that cannot be explained by the SM, such as hierarchy problem [3, 4, 5] and the dark matter candidate. In order to answer those questions, a new theory extending the SM is necessary. Supersymmetry (SUSY) [6, 7, 8, 9] is the most promising extensions of the SM. SUSY, which is a spacetime symmetry, introduces the superpartners of SM particles (sparticles) with spin differing by one-half unit with respect to the SM partners. The sparticles provide a potential solution to the hierarchy problem. If  $R$ -parity is conserved [10, 11, 12], the sparticles are produced in pairs and the lightest SUSY particle (LSP) is stable providing the candidate for dark matter.

The charginos  $\tilde{\chi}_{1,2}^{\pm}$  and neutralinos  $\tilde{\chi}_{1,2,3,4}^0$  are the mass eigenstates in the order of increasing masses and collectively referred to as electroweakinos. They are the mixture of the bino  $\tilde{B}$ , winos  $\tilde{W}$ , and Higgsinos  $\tilde{H}_{u,d}$  which are the superpartners of the  $U(1)$ ,  $SU(2)$  gauge bosons, and the Higgs bosons, respectively. The charginos and neutralinos can decay into leptons and LSPs via  $W$ ,  $Z$ ,  $H$  or sleptons  $\tilde{\ell}$ . In many SUSY models, the lightest neutralino  $\tilde{\chi}_1^0$  is the LSP. The LSP couldn't be detected and results in significant

missing transverse energy  $E_T^{\text{miss}}$ .

The compressed scenarios refer to the small mass differences between heavier SUSY particles and the LSP. For example, the mass differences between the heavier electroweakino states  $\tilde{\chi}_2^0$ ,  $\tilde{\chi}_1^\pm$  and the wino- or Higgsino-dominated LSP  $\tilde{\chi}_1^0$  range from a few MeV to tens of GeV depending on the composition of the mixture. The  $\tilde{B}$ ,  $\tilde{W}$ , and  $\tilde{H}$  composition of the  $\tilde{\chi}_1^0$  have an influence on the degree of compression. Figure 1.1 shows the composition of the lightest neutralino in a MSSM scan of the electroweakino sector [13]. Based on naturalness arguments [14, 15], the Higgsino mass parameter  $\mu$ , the bino and wino mass parameters  $M_1$  and  $M_2$  satisfy  $|\mu| \ll |M_1|, |M_2|$  leading to the three electroweakinos  $\tilde{\chi}_1^0$ ,  $\tilde{\chi}_1^\pm$ , and  $\tilde{\chi}_2^0$  being dominated by the Higgsino.

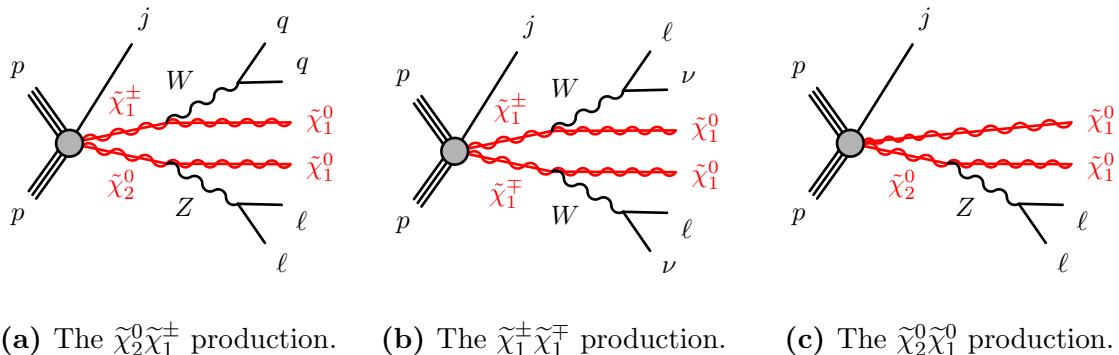


**Figure 1.1:** The scatter plot in the  $m(\tilde{\chi}_1^0)$  vs  $m(\tilde{\chi}_1^\pm)$  plane. The color encode the  $\tilde{\chi}_1^0$  composition. The Higgsino-dominated LSPs are colored in yellow and along the  $\tilde{\chi}_1^0$ - $\tilde{\chi}_1^\pm$  diagonal. The figure is taken from [13].

This dissertation focuses on searching for electroweak production SUSY particles in compressed scenarios with exactly two low-momentum same-flavor opposite-charged leptons in final states and missing transverse momentum  $\mathbf{p}_T^{\text{miss}}$ . This search uses proton-

proton collision data at  $\sqrt{s} = 13$  TeV recorded by the ATLAS detector at the Large Hadron Collider (LHC) [16] in 2015 and 2016, corresponding to a total integrated luminosity of  $36.1 \text{ fb}^{-1}$ . Figure 1.2 shows the Feynman diagrams representing the electroweakino productions with two leptons final state in association with an initial state radiated jet. Same-flavor opposite-charged leptons come from the  $\tilde{\chi}_2^0$  decays in the  $\tilde{\chi}_2^0\tilde{\chi}_1^\pm$  and  $\tilde{\chi}_2^0\tilde{\chi}_1^0$  productions, and from the  $\tilde{\chi}_1^\pm$  decays in  $\tilde{\chi}_1^\pm\tilde{\chi}_1^\mp$  production. The two leptons can be reconstructed in the detector and carry small transverse momentum  $p_T$  hence they are very soft. However, the two LSPs are invisible and back-to-back in the rest frame of their parent electroweakinos. Because they carry large momentum, the missing transverse energy  $E_T^{\text{miss}}$  is relatively large.

Similar searches have been performed using  $\sqrt{s} = 8$  TeV and  $\sqrt{s} = 13$  TeV by the ATLAS [17, 18, 19, 13] and CMS [20, 21, 22] experiments. Combining with the results from the LEP experiments, the mass limits for sleptons and charginos are  $m(\tilde{e}_R) > 73$  GeV,  $m(\tilde{\mu}_R) > 94.6$  GeV, and  $m(\tilde{\chi}_1^\pm) > 103.5$  GeV or 92.4 GeV depending on the  $\Delta m(\tilde{\chi}_1^0, \tilde{\chi}_1^\pm)$ .



**Figure 1.2:** The Feynman diagrams representing the two leptons final state of (a)  $\tilde{\chi}_2^0\tilde{\chi}_1^\pm$ , (b)  $\tilde{\chi}_1^\pm\tilde{\chi}_1^\mp$ , (c)  $\tilde{\chi}_2^0\tilde{\chi}_1^0$  productions.

This dissertation has the following structure. After introducing the theoretical foundations in the Chapters 2 and 3, the experiment facilities are described in Chapter 4.

The data and Monte Carlo samples used in this analysis are detailed in Chapter 5. The event reconstruction and selection are outlined in Chapter 6. The background estimation and the systematic uncertainties are addressed in Chapter 7. Finally, the results and the conclusions are presented in Chapter 8 and Chapter 9. The search for strongly-produced SUSY particles in final states with two same-sign or three lepton and jets (SS/3L+jets) were also studied and the detail can be found in App. D.

# Chapter 2

## The Standard Model

This chapter outlines the theoretical and mathematical concepts of the high energy particle physics. The Standard Model of particle physics (SM) [23, 24, 25, 26, 27] is developed since the early 1970s and it has successfully explained almost all experimental results. The SM is a well-tested and the most successful physics theory to describe the nature of the elementary particles and their interactions. An overview of the SM is given in Sect. 2.1. After that, some of the open questions are mentioned in Sect. 2.2.

### 2.1 The Standard Model of Particle Physics

The Standard Model of particle physics is known as the most accurate theory for describing the elementary particles and the interactions between them. By combining the quantum mechanics and special relativity, the SM is a relativistic *Quantum Field Theory* (QFT) based on a  $SU(3)_C \otimes SU(2)_L \otimes U(1)_Y$  symmetry gauge group, where  $C$  denotes color,  $L$  represents left chirality, and  $Y$  stands for weak hypercharge, respectively. The  $SU(3)_C$  group is the basis for *Quantum Chromodynamics* (QCD) which describes the strong interaction and the  $SU(2)_L \otimes U(1)_Y$  group is the foundation of the electroweak interaction which unifies the electromagnetic and weak interactions. Therefore, the SM Lagrangian

is invariant under the local gauge transformation. According to *Noether's Theorem* [28], the invariance of an action of a physical system undergoes a symmetry transformation corresponding to a conservation law and vice versa. The gauge invariance of the SM Lagrangian corresponds to the conserved quantum numbers, or the charges, of each interaction. The conserved charges are the three color charge (red, blue, green) for the strong interaction, the third component of the weak isospin  $I_3$  for the weak interaction, and the electric charge  $Q$  for the electromagnetic interaction.

### 2.1.1 Particle Content

According to the SM, all matter around us is made of elementary particles called *quarks* and *leptons*. The quarks and leptons are called fermions which have half integral spin  $s = \frac{1}{2}$ , hence the fermions follow the Pauli exclusion principle which says no two fermions have the same quantum state at the same time. Each fermion has an anti-fermion with the equal mass but carries opposite electric charge, weak isospin and color charge. There are six quarks and six leptons, they are grouped into three pairs, or "generations", ordered by their mass. The lightest and most stable particles constitute the first generation and they are constituents of ordinary matter. The heavier and less stable particles form the second and third generations and the heavier particles quickly decay to the next most stable particles. The three generations of quarks are up ( $u$ ) and down ( $d$ ), charm ( $c$ ) and strange ( $s$ ), and top ( $t$ ) and bottom ( $b$ ) quarks. The up-type quarks ( $u, c, t$ ) carry  $+\frac{2}{3}|e|$  charge and with isospin  $+\frac{1}{2}$  while the down-type quarks ( $d, s, b$ ) carry  $-\frac{1}{3}|e|$  charge with isospin  $-\frac{1}{2}$ . The quarks carry an additional color charge of either red, green, or blue, and hence they only interact via the strong force. Because the strong force holds quarks together, only non-integer charges of the quark combinations are experimentally allowed. The quark

combinations are called *hadrons* which can be categorized into *mesons* and *baryons*. The meson is composed by a quark and anti-quark pair ( $q\bar{q}$ ) whereas the baryon is made up by three quarks ( $qqq$  or  $\bar{q}\bar{q}\bar{q}$ ). Only colorless bound states of hadrons are allowed so the quark and anti-quark pair in a meson should contain color and anti-color and the three quarks in a baryon must carry different colors. The leptons are colorless and are therefore participating in the weak and electromagnetic force only. They do not participate in the strong interaction. The electron-type leptons ( $e, \mu, \tau$ ) carry an elementary charge  $|e|$  and their corresponding neutrinos ( $\nu_e, \nu_\mu, \nu_\tau$ ) are neutral. The neutrinos have very little mass and interact via weak force only. A summarized table of the properties of quarks and leptons is given in Table 2.1.

| Generation | Fermion | particle                  | electric charge $Q$ | weak isospin $I_3$ | color charge $C$ | mass [GeV]              |
|------------|---------|---------------------------|---------------------|--------------------|------------------|-------------------------|
| I          | Quark   | $u$ up quark              | $+\frac{2}{3} e $   | $+\frac{1}{2}$     | r,g,b            | 0.0023                  |
|            |         | $d$ down quark            | $-\frac{1}{3} e $   | $-\frac{1}{2}$     | r,g,b            | 0.0048                  |
|            | Lepton  | $e$ electron              | $-1 e $             | $-\frac{1}{2}$     | -                | 0.00051                 |
|            |         | $\nu_e$ electron neutrino | 0                   | $+\frac{1}{2}$     | -                | $< 2 \times 10^{-9}$    |
| II         | Quark   | $c$ charm quark           | $+\frac{2}{3} e $   | $+\frac{1}{2}$     | r,g,b            | 1.275                   |
|            |         | $s$ strange quark         | $-\frac{1}{3} e $   | $-\frac{1}{2}$     | r,g,b            | 0.095                   |
|            | Lepton  | $\mu$ muon                | $-1 e $             | $-\frac{1}{2}$     | -                | 0.106                   |
|            |         | $\nu_\mu$ muon neutrino   | 0                   | $+\frac{1}{2}$     | -                | $< 1.9 \times 10^{-7}$  |
| III        | Quark   | $t$ top quark             | $+\frac{2}{3} e $   | $+\frac{1}{2}$     | r,g,b            | 173.2                   |
|            |         | $b$ bottom quark          | $-\frac{1}{3} e $   | $-\frac{1}{2}$     | r,g,b            | 4.18                    |
|            | Lepton  | $\tau$ tau                | $-1 e $             | $-\frac{1}{2}$     | -                | 1.777                   |
|            |         | $\nu_\tau$ tau neutrino   | 0                   | $+\frac{1}{2}$     | -                | $< 1.82 \times 10^{-5}$ |

**Table 2.1:** The Standard Model fermions with charges and masses [29].

There are four fundamental forces in the universe: the strong force, the weak force, the electromagnetic force, and the gravitational force. The first three forces are described

in the SM, however, the gravitational force could not yet be included in the SM. Because the effect of the gravitational force is very weak and can be negligible, the SM works well without considering the gravitational force. Each force has a force-carrier particle called gauge boson and there is a quantum number associate to it. The gauge bosons of the strong force are eight massless *gluons*,  $g$ , which associate to color charge  $C$ . The gauge bosons of the weak force are  $W^\pm$  and  $Z^0$  bosons which associate to weak isospin  $I_3$ . The gauge boson of the electromagnetic force is massless *photon*,  $\gamma$ , which associates to electric charge  $Q$ . Although the gluon and photon are massless particles, the  $W^\pm$  and  $Z^0$  bosons are massive. The mass of the  $W^\pm$  and  $Z^0$  bosons are  $m_W = 80.385 \pm 0.015$  GeV and  $m_Z = 91.1876 \pm 0.0021$  GeV [29], respectively. Table 2.2 shows the four fundamental forces, the relative strength and range together with the theories and the mediators.

| Force           | Rel. Strength | Range [m]  | Theory             | Mediator                 | Mass [GeV] |
|-----------------|---------------|------------|--------------------|--------------------------|------------|
| Strong          | 10            | $10^{-15}$ | Chromodynamics     | Gluon                    | 0          |
| Weak            | $10^{-13}$    | $10^{-18}$ | Flavourdynamics    | $W^\pm$ and $Z^0$ bosons | 80.4/91.2  |
| Electromagnetic | $10^{-2}$     | $\infty$   | Electrodynamics    | Photon                   | 0          |
| Gravitational   | $10^{-42}$    | $\infty$   | General relativity | Graviton                 | -          |

**Table 2.2:** The four fundamental forces with the relative strength, interaction range, describing theory, and the mediator with its mass. The gravitational force is not a part of the SM and the graviton is a theoretical particle.

## 2.1.2 Local Gauge Theory

The Lagrangian density of the SM for the free fields<sup>1</sup> listed in the Eq. (2.1) is invariant under local gauge transformation<sup>2</sup>

$$\mathcal{L} = \bar{\psi}(i\gamma^\mu\partial_\mu - m)\psi + e\bar{\psi}\gamma^\mu\psi\mathbf{A}_\mu - \frac{1}{4}\mathbf{F}_{\mu\nu}\mathbf{F}^{\mu\nu} \quad (2.1)$$

where  $\mathbf{F}_{\mu\nu} = \partial_\mu\mathbf{A}_\nu - \partial_\nu\mathbf{A}_\mu$ . The local gauge transformation means the scalar field  $\psi$  and the vector field  $\mathbf{A}_\mu$  transform as

$$\psi(x) \rightarrow \psi'(x) = e^{i\theta(x)}\psi(x) \quad (2.2)$$

$$\mathbf{A}_\mu(x) \rightarrow \mathbf{A}'_\mu(x) = \mathbf{A}_\mu(x) + \frac{1}{e}\partial_\mu\theta(x). \quad (2.3)$$

By introducing the gauge term, i.e. the vector field, the interacting force can be obtained by calculating the derivatives of the *Euler-Lagrange equations*. The gauge field can be associated to particular spin one gauge bosons which mediate the force. The number of the mediating gauge bosons is equal to the dimension of the symmetry group. From the group theory, the dimension of an unitary group  $U(n)$  is  $n^2$  and the dimension of a special unitary group  $SU(n)$  is  $n^2 - 1$ . Because the SM is based on a  $SU(3)_C \otimes SU(2)_L \otimes U(1)_Y$  symmetry gauge group, the number of mediators are 8 for  $SU(3)_C$ , 3 for  $SU(2)_L$ , and 1 for  $U(1)_Y$  corresponding to 8 gluons for the strong interaction, 3 gauge bosons ( $W^\pm$  and  $Z^0$ ) for weak interaction, and 1 photon for the electromagnetic interaction.

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<sup>1</sup>This is the Lagrangian density of QED. The three terms are fermion kinematic term, photon kinematic term, and interaction, respectively.

<sup>2</sup>In Dirac representation, the four contravariant gamma matrices are  $\gamma^0 = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}$ ,  $\gamma^1 = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & i & 0 \\ 0 & i & 0 & 0 \\ -i & 0 & 0 & 0 \end{pmatrix}$ ,  $\gamma^2 = \begin{pmatrix} 0 & 0 & 0 & -i \\ 0 & 0 & i & 0 \\ 0 & i & 0 & 0 \\ -i & 0 & 0 & 0 \end{pmatrix}$ ,  $\gamma^3 = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \\ -1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}$

### 2.1.3 Strong interaction

The *Quantum Chromodynamics* (QCD) is the theory to describe the strong interaction.

The gauge bosons are the eight massless gluons which carry three different colors (and anti-colors), red, green, and blue. Quarks interact with gluons hence they also carry color charge  $C$  and can be represented in color triplets

$$\psi = \begin{pmatrix} \psi_r \\ \psi_g \\ \psi_b \end{pmatrix}. \quad (2.4)$$

The QCD is based on the non-Abelian  $SU(3)_C$  group which requires local gauge transformation

$$\psi \rightarrow \psi' = e^{ig_s \alpha_a(x) T^a} \psi \quad (2.5)$$

where the  $g_s$  is the strong coupling constant,  $\alpha_a(x)$  are arbitrary functions of space-time, and  $T^a$  are the generators of the non-Abelian  $SU(3)_C$  group and the summation over  $a$  with  $a = 1, \dots, 8$  is implied. The Lagrangian density is invariant under the local gauge transformation by introducing the new form of the gauge fields and the covariant derivative

$$G_\mu^a \rightarrow G_\mu^a - \partial_\mu \alpha^a(x) - g_s f_{abc} \alpha^b(x) G_\mu^c \quad (2.6)$$

$$\partial_\mu \rightarrow D_\mu = \partial_\mu + ig_s T_a G_\mu^a \quad (2.7)$$

where  $f_{abc}$  is the structure constant. The Lagrangian density of QCD is given by

$$\mathcal{L}_{QCD} = \bar{\psi} (i\gamma^\mu \partial_\mu - m) \psi - g_s (\bar{\psi} \gamma^\mu T_a \psi) G_\mu^a - \frac{1}{4} G_{\mu\nu}^a G_a^{\mu\nu} \quad (2.8)$$

where the field strength tensor  $G_{\mu\nu}^a = \partial_\mu G_\nu^a - \partial_\nu G_\mu^a - g_s f_{abc} G_\mu^b G_\nu^c$  causing self-interactions between the gluons. The strong force increases with distance between quarks, therefore, the quarks exist only as colorless compounds such as meson or baryon mentioned in

Sect. 2.1.1. The production of a single quark is accompanied by the creation of an anti-quark from vacuum to form a quark and anti-quark pair as a colorless compound. This is called *hadronisation*. The phenomena that confined quarks in the small interaction range is called *confinement*. But at small distance or high energy, the quarks can be considered as quasi-free particles. This is referred to as *asymptotic freedom*.

### 2.1.4 Electroweak interaction

Fermi formulated the first weak interaction theory in 1933 [30], however, the theory only holds for energies less than 100 GeV. Glashow, Salam, and Weinberg (GSW) proposed a new model [23, 25, 24] which unifies electromagnetic and weak forces to become *electroweak* (EW) force and this new *GSW model* can apply to the energy greater than 100 GeV. The electroweak theory is based on  $SU(2)_L \otimes U(1)_Y$  gauge symmetry where the subscripts  $L$  denotes the left-handedness because only the left-handed fermions (and right-handed anti-fermions) and  $Y$  denotes the weak hypercharge, a new quantum number, which relates to the electric charge  $Q$  and the weak isospin  $I_3$  by the *Gell-Mann-Nishijima relation* [31, 32]

$$Y = 2(Q - I_3). \quad (2.9)$$

The left-handed and right-handed fermion field  $\psi$  can be decomposed into two components

$$\psi = P_L\psi + P_R\psi \quad (2.10)$$

$$= \psi_L + \psi_R \quad (2.11)$$

where the projection operators  $P_L$  and  $P_R$  are defined as<sup>3</sup>

$$P_L = \frac{1}{2}(1 - \gamma^5) \quad (2.12)$$

$$P_R = \frac{1}{2}(1 + \gamma^5). \quad (2.13)$$

The projection operators satisfy  $P_L P_R = 0$  and  $P_L + P_R = 1$ . Experimental observations show the right-handed neutrinos don't participate in all the interactions described in the SM so the  $\psi_R$  is a singlet and  $I_3 = 0$ <sup>4</sup>. The local gauge transformations of the  $SU(2)_L \otimes U(1)_Y$  are

$$\psi_L \rightarrow \psi'_L = e^{i\alpha_a(x)T^a} e^{i\beta(x)Y} \psi_L \quad (2.14)$$

$$\psi_R \rightarrow \psi'_R = e^{i\beta(x)Y} \psi_R \quad (2.15)$$

where  $T^a = \frac{\sigma^a}{2}$  are the generators of  $SU(2)_L$  with Pauli matrix  $\sigma^a$ <sup>5</sup> and  $Y$  is the generator of  $U(1)_Y$ . The  $\alpha_a(x)$  and  $\beta(x)$  depend on the space-time. The covariant derivative with respect to the  $SU(2)_L \otimes U(1)_Y$  is

$$D_\mu = \partial_\mu + ig_W T_a \mathbf{W}_\mu^a + ig_Y Y \mathbf{B}_\mu \quad (2.16)$$

where  $g_W$  and  $g_Y$  are coupling constants and  $\mathbf{W}_\mu^a$  ( $a = 1, 2, 3$ ) and  $\mathbf{B}_\mu$  are the gauge fields.

The gauge fields  $\mathbf{W}_\mu^a$  and  $\mathbf{B}_\mu$  transform under the  $SU(2)_L \otimes U(1)_Y$  symmetry as

$$\mathbf{W}_\mu^a \rightarrow \mathbf{W}_\mu^a - \frac{1}{g_W} \partial_\mu \alpha^a(x) - \epsilon^{abc} \alpha^b(x) \mathbf{W}_\mu^c \quad (2.17)$$

$$\mathbf{B}_\mu \rightarrow \mathbf{B}_\mu - \frac{1}{g_Y} \partial_\mu \beta(x) \quad (2.18)$$

where  $\epsilon^{abc}$  is the Levi-Civita tensor. The Lagrangian density of the electroweak is given by

$$\mathcal{L}_{EW} = \bar{\psi}_L (i\gamma^\mu D_\mu - m) \psi_L + \bar{\psi}_R (i\gamma^\mu D_\mu - m) \psi_R - \frac{1}{4} \mathbf{W}_{\mu\nu}^a \mathbf{W}_a^{\mu\nu} - \frac{1}{4} \mathbf{B}_{\mu\nu} \mathbf{B}^{\mu\nu} \quad (2.19)$$

---

<sup>3</sup> $\gamma^5$  is the product of the four gamma matrices.  $\gamma^5 = i\gamma^0\gamma^1\gamma^2\gamma^3 = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}$

<sup>4</sup>The left-handed fermion state  $\psi_L$  is a doublet.

<sup>5</sup>The Pauli matrices are  $\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ ,  $\sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$ , and  $\sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$

where  $\mathbf{W}_{\mu\nu}^a$  and  $\mathbf{B}_{\mu\nu}$  are the field strength tensors

$$\mathbf{W}_{\mu\nu}^a = \partial_\mu \mathbf{W}_\nu^a - \partial_\nu \mathbf{W}_\mu^a - g_W \epsilon^{abc} \mathbf{W}_\mu^b \mathbf{W}_\nu^c \quad (2.20)$$

$$\mathbf{B}_{\mu\nu} = \partial_\mu \mathbf{B}_\nu - \partial_\nu \mathbf{B}_\mu \quad (2.21)$$

and  $\bar{\psi} \equiv \psi^\dagger \gamma^0$  is the adjoint spinor of  $\psi$ <sup>6</sup>. Therefore, the mass eigenstates are the mixture of the gauge fields

$$\mathbf{W}_\mu^\pm = \frac{1}{\sqrt{2}} (\mathbf{W}_\mu^1 \mp i \mathbf{W}_\mu^2) \quad (2.22)$$

$$\begin{pmatrix} \mathbf{A}_\mu \\ \mathbf{Z}_\mu \end{pmatrix} = \begin{pmatrix} \cos \theta_W & \sin \theta_W \\ -\sin \theta_W & \cos \theta_W \end{pmatrix} \begin{pmatrix} \mathbf{B}_\mu \\ \mathbf{W}_\mu^3 \end{pmatrix}. \quad (2.23)$$

Thus, the mass eigenstates  $\mathbf{A}_\mu$ ,  $\mathbf{W}_\mu^\pm$ , and  $\mathbf{Z}_\mu$  are identified as the photon,  $\gamma$ ,  $W^\pm$  and  $Z^0$  bosons experimentally. The *Weinberg weak mixing angle*  $\theta_W$  is defined as

$$\tan \theta_W = \frac{g_Y}{g_W}. \quad (2.24)$$

The coupling constants  $g_W$  and  $g_Y$  are related to the electric charge by

$$e = g_W \sin \theta_W = g_Y \cos \theta_Y. \quad (2.25)$$

And the weak eigenstates of quark,  $q'$ , are the linear combinations of the mass eigenstates of quark,  $q$ , by the *Cabbibo-Kobayashi-Maskawa* (CKM) matrix [33]

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}. \quad (2.26)$$

The CKM matrix allows the quarks changing their flavor and generation as observed in the experiment. Similarly, the *Pontecorvo-Maki-Nakagawa-Sakata* (PMNS) matrix [34] is responsible for the flavor changing of the neutrinos.

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<sup>6</sup> $\psi^\dagger$  is the hermitian conjugate of  $\psi$

## Spontaneous symmetry breaking and Higgs mechanism

The gauge bosons of the weak interaction,  $W^\pm$  and  $Z^0$ , are massive particles<sup>7</sup>. However, the existence of the mass terms violate the gauge invariance of the  $\mathcal{L}_{EW}$ . In order to explain the mass of gauge bosons, the Englert-Brout-Higgs mechanism [35, 36, 37, 38, 39] was proposed in 1964. A new scalar complex  $SU(2)_L$  doublet field  $\Phi$  is introduced in the Higgs mechanism

$$\Phi = \begin{pmatrix} \Phi^+ \\ \Phi^0 \end{pmatrix} = \begin{pmatrix} \Phi_1 & i\Phi_2 \\ \Phi_3 & i\Phi_4 \end{pmatrix} \quad (2.27)$$

with hypercharge  $Y = 1$  and four degrees of freedom,  $\Phi_i$ , which are scalar fields and called the *Goldstone modes*. The Lagrangian density for this new field, Higgs field, is

$$\mathcal{L}_\Phi = (D^\mu \Phi)^\dagger (D_\mu \Phi) - V(\Phi) \quad (2.28)$$

where the Higgs potential is defined as

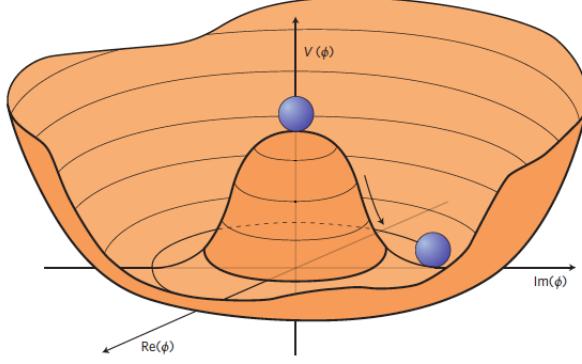
$$V(\Phi) = \mu^2 |\Phi|^2 + \lambda |\Phi|^4 \quad (2.29)$$

where  $\mu$  and  $\lambda$  are free parameters. The Higgs potential is shown in Fig. 2.1. The Higgs potential is the rotation  $U(1)$  symmetry. Choosing any of the points at the bottom of the Higgs potential breaks the symmetry spontaneously. The *spontaneously symmetry breaking* (SSB) means the Lagrangian keeps invariant under certain symmetry but no longer invariant at the ground state.

Because the Higgs potential is invariant under  $SU(2)_L \otimes U(1)_Y$ , the parameters  $\mu$  and  $\lambda$  must satisfy  $\mu^2 < 0$  and  $\lambda > 0$  resulting in a set of degenerate ground states where  $\langle 0 | \Phi | 0 \rangle \neq 0$ . Among the degenerate ground states, the ground state is often chosen to

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<sup>7</sup> $m_W = 80.385 \pm 0.015$  GeV and  $m_Z = 91.1876 \pm 0.0021$  GeV



**Figure 2.1:** An illustration of the Higgs potential which has the form of a Mexican hat. The figure is taken from [40].

have the form

$$\Phi = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v \end{pmatrix} \quad (2.30)$$

where  $v = \sqrt{-\mu^2/\lambda}$  is the *the vacuum expectation value* (VEV). This particular choice of the ground state breaks the  $SU(2)_L \otimes U(1)_Y$  symmetries spontaneously and ensures the unbroken electromagnetic interaction under  $U(1)_{EM}$  symmetry and photon being massless. By introducing a massive particle, Higgs boson  $H$ , the Higgs field can be re-written as

$$\Phi = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + H \end{pmatrix} \quad (2.31)$$

and the kinematic term of the Lagrangian density becomes

$$\mathcal{L}_\Phi^{\text{kinematic}} = (D^\mu \Phi)^\dagger (D_\mu \Phi) \quad (2.32)$$

$$= \frac{1}{2} \partial_\mu H \partial^\mu H + (v + H)^2 \left\{ \frac{g_W^2}{4} \mathbf{W}_\mu^\dagger \mathbf{W}^\mu + \frac{g_W^2}{8 \cos^2 \theta_W} \mathbf{Z}_\mu^\dagger \mathbf{Z}^\mu \right\} \quad (2.33)$$

and the Higgs potential is now

$$V(\Phi) = -\frac{v^2 \lambda}{2} (v + H)^2 + \frac{\lambda}{4} (v + H)^4. \quad (2.34)$$

Thus the masses of the  $W^\pm$  and  $Z^0$  are obtained by the interaction between the gauge

bosons and Higgs boson. The masses are defined as

$$m_H = v\sqrt{2\lambda}, \quad m_W = \frac{v}{2}g_W, \quad m_Z = \frac{v}{2}\sqrt{g_W^2 + g_Y^2}, \quad m_\gamma = 0. \quad (2.35)$$

However, the masses of fermions are obtained by the *Yukawa interaction*

$$\mathcal{L}_{\text{Yukawa}} = y_f \bar{L}_L \Phi f_R + y_f \bar{Q}_L \Phi f_R + \text{h.c.} \quad (2.36)$$

where the  $y_f$  is *Yukawa coupling*,  $f$  stands for  $\{\ell^i, u^i, d^i\}$  and h.c. represents the hermitian conjugate, respectively. The  $\bar{L}_L$  and  $\bar{Q}_L$  are the left-handed lepton and quark doublet and  $f_R$  is the lepton or quark singlet. The mass of fermion is defined as

$$m_f = \frac{v}{\sqrt{2}}y_f \quad (2.37)$$

where  $y_f$  is a free parameter which causes the fermion mass not predictable. Finally, the non-zero VEV,  $v$ , can be related to *Fermi constant*,  $G_F$ , by

$$v = \frac{1}{\sqrt{\sqrt{2}G_F}} \approx 246 \text{ GeV}. \quad (2.38)$$

### 2.1.5 The discovery of Higgs boson

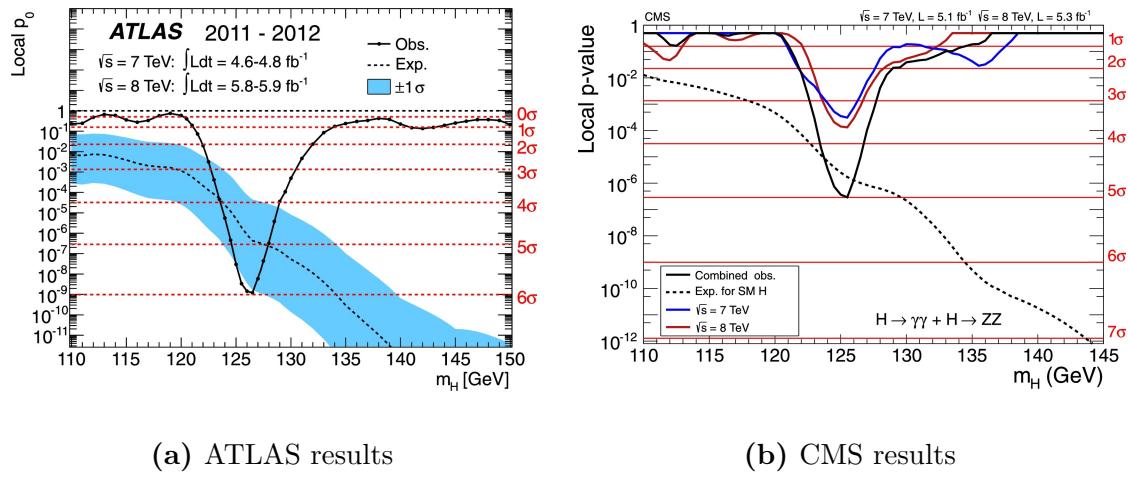
A lot of the SM predictions are successfully confirmed by the experimental observations besides the existence of the theoretical Higgs boson. The search of the Higgs boson has become a major goal of the experimental particle physicists. A Higgs-like resonance was discovered and announced on July 4th 2012 by the ATLAS<sup>8</sup> and CMS<sup>9</sup> collaborations [1, 2]. By combining the data with integrated luminosities of  $4.8 \text{ fb}^{-1}$  collected at  $\sqrt{s} = 7 \text{ TeV}$  in 2011 and  $5.8 \text{ fb}^{-1}$  at  $\sqrt{s} = 8 \text{ TeV}$  in 2012, the ATLAS experiment measured the mass of the Higgs boson to be  $126.0 \pm 0.4 \text{ (stat.)} \pm 0.4 \text{ (syst.)} \text{ GeV}$  with significance of  $5.9\sigma$  corresponding to a background fluctuation probability of  $1.7 \times 10^{-9}$  [1]. In the meantime,

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<sup>8</sup>A Toroidal LHC Apparatus

<sup>9</sup>Compact Muon Solenoid

the CMS experiment announced the mass of the Higgs boson to be  $125.3 \pm 0.4$  (stat)  $\pm 0.5$  (syst.) GeV with significance  $5.0\sigma$  using integrated luminosities of up to  $5.1 \text{ fb}^{-1}$  at 7 TeV and  $5.3 \text{ fb}^{-1}$  at 8 TeV [2]. The  $H \rightarrow ZZ^{(*)} \rightarrow 4\ell$ ,  $H \rightarrow \gamma\gamma$ , and  $H \rightarrow WW^{(*)} \rightarrow e\nu\mu\nu$  channels were studied by the ATLAS collaboration and the  $H \rightarrow \gamma\gamma$ ,  $ZZ$ ,  $W^+W^-$ ,  $\tau^+\tau^-$ , and  $b\bar{b}$  channels were studied by the CMS collaboration. In the Fig. 2.2 shows the local  $p$ -value as a function of the Higgs mass for ATLAS and CMS results, respectively.



**Figure 2.2:** The observed local  $p$ -value as a function of  $m_H$  for the ATLAS [1] and CMS [2] experiment, respectively. The dashed line shows the expected local  $p_0$  for a SM Higgs boson. The horizontal lines denotes the  $p$ -values corresponding to significances of 1 to  $6\sigma$ .

## 2.2 Beyond the Standard Model

Although the SM is an incredible successful theory for explaining the phenomenon in the particle physics, it leaves some questions which can no be answered. Some of the unanswered questions are introduced in the rest part of this section.

### 2.2.1 Hierarchy problem

The weakest force in the SM is the weak force but the strength of the weak force is  $10^{24}$  times as strong as gravitational force which doesn't incorporate into the SM. The large discrepancy between the weak force and the gravitational force is called the hierarchy problem [9, 41, 42]. The classical potential of the SM Higgs field  $\Phi$  is

$$V(\Phi) = \mu^2 |\Phi|^2 + \lambda |\Phi|^4. \quad (2.39)$$

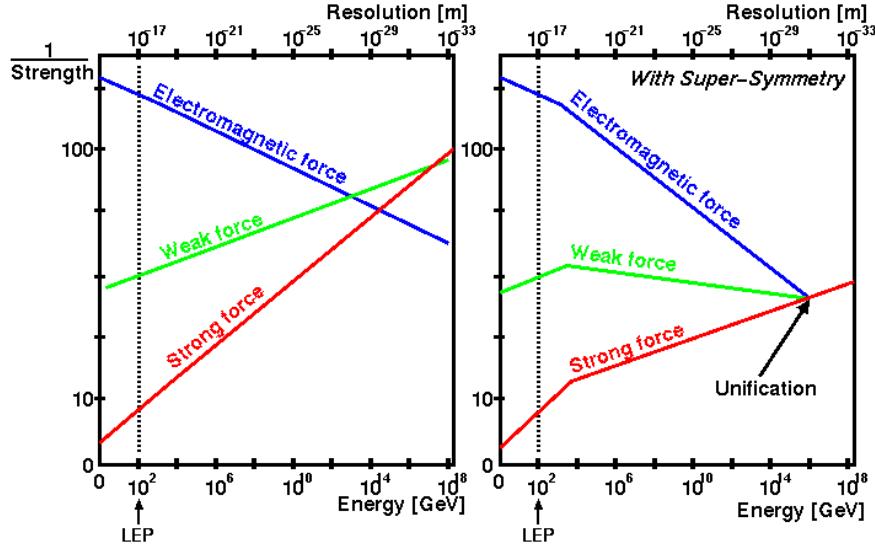
Since the SM requires the VEV for  $\Phi$ ,  $\langle\Phi\rangle$ , at the minimum of the potential non-vanishing, this is only satisfied if  $\mu^2 < 0$  and  $\lambda > 0$ . However, the parameter  $\mu^2$  receives enormous radiative corrections causing it ultraviolet divergent as shown in Eq. (2.40).

$$\mu^2 = \mu_{bare}^2 - \frac{|\lambda_f|^2}{8\pi} \Lambda_{UV}^2 + \mathcal{O}(\Lambda_{UV}^2) \quad (2.40)$$

where  $\mu_{bare}$  is the Higgs mass,  $-\frac{|\lambda_f|^2}{8\pi} \Lambda_{UV}^2$  is the one-loop correction, and  $\Lambda_{UV}$  is an ultraviolet momentum cutoff which is valid up to the Plank scale  $10^{19}$  GeV. The electroweak gauge bosons  $W^\pm$  and  $Z^0$  obtain their finite masses from  $\langle\Phi\rangle$  so the  $\mu^2$  cannot be divergent. There must be some unknown mechanism to protect from divergence.

### 2.2.2 Dark matter and dark energy

The matters we know today compose of only 5% [43, 44] of the content of the universe and the remaining part is something we don't know. This unknown matter is called *Dark Matter* (DM) [45] which makes up about 27% of the universe and the rest 68% are called *Dark Energy* (DE) [43, 44]. Because DM interacts weakly and doesn't interact with the electromagnetic force, it doesn't absorb, emit, or reflect light causing it hard to detect directly. The name DM comes from it is invisible. Dark energy distributes evenly in both space and time throughout the universe so it doesn't dilute when the universe



**Figure 2.3:** The measured running coupling constants in the SM (left) and prediction in the GUT (right). The three lines show the inverse value of the coupling constant for the three fundamental forces. This figure is taken from [46].

expands. The observed scientific data hints the presence of DE is necessary to explain the accelerated expansion of the universe.

### 2.2.3 Grand Unification

Maxwell unified the electricity and magnetism into electromagnetism in the 1860s. About a century later, physicists successfully developed theory of electroweak which links the electromagnetism and the weak force. Because of the triumph of electroweak theory, theorists raise the question of the possibility to unify all forces. The *Grand Unified Theory* (GUT) [47], which tries to link three of the four known forces together, is developed in the mid-1970s by theorists. The GUT proposes that the electromagnetic force, weak force, and strong force unify to one force at the GUT scale,  $\Lambda_{GUT} \approx 10^{16}$  GeV. So the three running coupling constants [48] are expected to be converged at the GUT scale. However, the current experiment results show the coupling constants still different as shown in Fig. 2.3.

## 2.2.4 More questions

There are some more interesting questions which we don't know the answers. For example, we don't know the reason why there are 61 elementary particles and more than 20 arbitrary parameters in the SM. Also, the SM doesn't explain why there are only three generations. The amount of matter and anti-matter are equal at the beginning of the universe based on the prediction of the SM but the matter dominates in the currently universe which the SM couldn't answer the reason why.

In order to answer these questions, there are many theories being developed on the top of SM but none of them has been observed. One of the most probable candidate for answering these question is supersymmetry which will be introduced in the next chapter.

# Chapter 3

## Supersymmetry

The SM [23, 24, 25, 26, 27] gets a stupendous success in expecting and explaining the physics phenomena of the elementary particles. However, the SM leaves several open questions unanswered as mentioned in Sect. 2.2. Many different models of new physics were proposed to explain those unanswered questions. Among these new models, the *supersymmetry* (SUSY) [7, 49, 50, 9, 51, 52, 53, 54, 55] wins most physicists' favor. The SUSY proposed by Wess and Zumino [7] at early 1970s is a symmetry relating bosonic and fermionic degrees of freedom. It extends the SM by requiring every SM boson/fermion has a fermionic/bosonic supersymmetric partner and vice versa. The reason why physicists favor SUSY is described in Sect. 3.1 and the introduction of the SUSY as well as the formalism are given in Sect. 3.2. The *Radiative Natural SUSY* (RNS) and the *Non-Universal Higgs Mass model* with two extra parameters (NUHM2) are given in Sect. 3.3 and 3.4, respectively.

### 3.1 Why supersymmetry

The SM leaves several unanswered questions, for example, the hierarchy problem (Sect. 2.2.1), what is the candidates of dark matter (Sect. 2.2.2), and why don't the running coupling

constants unify at GUT level (Sect. 2.2.3). The SUSY provides good explanations for these questions.

### Hierarchy problem

The SM expects the Higgs squared mass divergent at the Plank scale  $\sim 10^{19}$  GeV. However, the  $W^\pm$  and  $Z^0$  gauge bosons obtained their finite mass through the Higgs mechanism indicates the Higgs squared mass must be finite. The Fig. 3.1 shows the Feynman diagram for the one loop correction to the Higgs squared mass due to a fermion  $f$  and a scalar  $S$ .



**Figure 3.1:** The Feynman diagram for the one loop correction to the Higgs squared mass due to (a) a fermion  $f$  and (b) a scalar  $S$ . The figure is taken from [9].

The corrections are

$$\Delta m_H^2 = -\frac{|\lambda_f^2|}{8\pi^2} \Lambda_{UV}^2 + \dots, \quad \text{fermion} \quad (3.1)$$

$$\Delta m_H^2 = \frac{\lambda_S}{16\pi^2} \Lambda_{UV}^2 + \dots, \quad \text{boson} \quad (3.2)$$

where the  $\Lambda_{UV}$  is an ultraviolet momentum cutoff which is valid up to the Plank scale  $10^{19}$  GeV. The corrections diverge when  $\Lambda_{UV}$  becoming very large. Because the contributions from the fermion and scalar loops have opposite sign, the divergence contributions can be canceled out if there is a scalar loop for each fermionic loop. The SUSY predicts the existence of the bosonic/fermionic sparticles, therefore, if  $\lambda_S = 2|\lambda_f^2|$  then the SUSY maintain the finiteness of the Higgs squared mass in a natural way.

## Dark matter

The dark matter (DM) makes up about 27% of the universe and it might originate from neutral relic from the early universe. The cosmology observations of the DM indicate that the dark matter should be electrically neutral, cold, massive, and it participates only the weak and gravitational interactions. Therefore, the DM candidate should be a new particle which is *weakly interacting massive particle* (WIMP). The SUSY requires all the sparticles must be produced in pairs and they decay into stable *lightest SUSY particles* (LSP) with odd number. If there are a lot of sparticles produced in the early Universe, they will have to decayed to LSPs and remain until the present day because the LSP is stable. The LSP is a weakly interacting massive particle. It doesn't interact electromagnetically so they cannot be scattered by photon and thus dark. There are 3 kinds of LSP could be the possible DM candidate, the lightest *neutralino*, the lightest *sneutrino* and the *gravitino*.

## Grand Unification

The Grand Unified Theory (GUT) tries to unify the strong and electroweak interactions. There will be only one interaction and one coupling constant at the GUT scale ( $\approx 10^{16}$  GeV). However, the current coupling constants for electromagnetic, weak, and strong interactions don't unified at the GUT scale as shown in the left hand side of Fig. 2.3. This problem can be solved by introducing the SUSY which modifies the renormalization group equations and make the running gauge couplings converged at the GUT scale. The right hand side of Fig. 2.3 shows the running gauge couplings in SUSY.

## 3.2 Introduction of the supersymmetry

An brief overview of the SUSY are introduced in this section. Firstly, the mathematical foundation of the SUSY, superalgebra, is described in Sect. 3.2.1 followed by the superspace and superfields in Sect. 3.2.2.

### 3.2.1 Superalgebra

#### Poincaré algebra

The SUSY is based on the superalgebra which is an extension of space-time Poincaré algebra. The Poincaré group is a product of the Lorentz group and the group of translations in space-time. A Lorentz group must satisfies the commutation relations

$$[J_i^+, J_j^+] = i\epsilon_{ijk}J_k^+, \quad [J_i^-, J_j^-] = i\epsilon_{ijk}J_k^-, \quad [J_i^+, J_j^-] = 0 \quad (3.3)$$

where  $i, j, k = 1, 2, 3$ . If the six Lorentz group generators are combined into an antisymmetric second rank tensor generator  $M_{\mu\nu}$  where  $M_{ij} = \epsilon_{ijk}J_k$  and  $M_{0i} = -M_{i0} = -K_i$ <sup>1</sup> and the generator of the translation groups is  $P_\mu$ , the energy-momentum operator, then the commutation relations of the Poincaré group are

$$[P_\mu, P_\nu] = 0, \quad (3.4)$$

$$[M_{\mu\nu}, P_\lambda] = i(g_{\nu\lambda}P_\mu - g_{\mu\lambda}P_\nu), \quad (3.5)$$

$$[M_{\mu\nu}, M_{\rho\sigma}] = -i(g_{\mu\rho}M_{\nu\sigma} - g_{\mu\sigma}M_{\nu\rho} - g_{\nu\rho}M_{\mu\sigma} + g_{\nu\sigma}M_{\mu\rho}). \quad (3.6)$$

---

<sup>1</sup>The  $J_i$  and  $K_i$  with  $i = 1, 2, 3$  are rotation and boost generators in 3-dimension respectively. And the ladder operators are defined as  $J_i^\pm = \frac{1}{2}(J_i \pm iK_i)$ .

where the metric is

$$g_{\mu\nu} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix} \quad (3.7)$$

## Spinor

A general spin  $\frac{1}{2}$  particle state,  $\chi$ , can be expressed as a *spinor* in the SUSY using two-component spin up  $\chi_+$  and spin down  $\chi_-$  column matrices

$$\chi = c_+ \chi_+ + c_- \chi_- = c_+ \begin{pmatrix} 1 \\ 0 \end{pmatrix} + c_- \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} c_+ \\ c_- \end{pmatrix} \quad (3.8)$$

The solution of the Dirac equation<sup>2</sup>,  $\psi_D$ <sup>3</sup>, can be expressed using the left-handed and right-handed *Weyl spinors*  $\psi_L$  and  $\psi_R$

$$\psi_D = \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \\ \psi_4 \end{pmatrix} = \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \\ \psi_4 \end{pmatrix} = \begin{pmatrix} \psi_L \\ \psi_R \end{pmatrix} \quad (3.9)$$

It is convenient to use the Weyl spinors to represent the building blocks for any fermion field. The Majorana spinor  $\tilde{\psi}_M$  is a real solution of Dirac equation. It is its own charge conjugate and satisfies the Majorana condition

$$\tilde{\psi}_M = \tilde{\psi}_M^* \quad (3.10)$$

The Majorana spinor can be expressed in terms of the Weyl spinors

$$\psi_M = \begin{pmatrix} \xi_\alpha \\ \bar{\xi}^{\dot{\alpha}} \end{pmatrix} \quad (3.11)$$

---

<sup>2</sup>The Dirac equation is  $(i\gamma^\mu \partial_\mu - m)\psi = 0$ .

<sup>3</sup>The Dirac spinor  $\psi_D$  is a four-component field which can be expressed using a four-component matrix.

where the left-handed Weyl spinor  $\xi_\alpha$  and the right-handed Weyl spinor  $\bar{\xi}^{\dot{\alpha}}$  are the Hermitian conjugate of each other.

## Helicity

A particle with momentum  $\vec{p}$  and angular momentum  $\vec{J}$ , then the *helicity* is defined as

$$h = \vec{J} \cdot \hat{p} = (\vec{L} + \vec{S}) \cdot \hat{p} = \vec{S} \cdot \hat{p}, \quad \hat{p} = \frac{\vec{p}}{|\vec{p}|} \quad (3.12)$$

The eigenvalues of  $h$  are +1 and -1 corresponding to right-handed and left-handed eigenstates. Although the helicity is rotation invariant but not boost invariant, the helicity of a massless particle moving at the speed of light is Lorentz invariant.

### 3.2.2 Superspace and superfields

The superspace is composed of the ordinary space-time coordinate and four anticommuting fermionic coordinates  $\theta_\alpha$  and  $\bar{\theta}_{\dot{\alpha}}$  where the spinor indices  $\alpha$  and  $\dot{\alpha}$  can be 1 or 2. A superfield  $S(x^\mu, \theta_\alpha, \bar{\theta}_{\dot{\alpha}})$  is a function in superspace. The general form of a superfield can be expressed in terms of  $\theta$  and  $\bar{\theta}$

$$S(x, \theta, \bar{\theta}) = a + \theta\xi + \bar{\theta}\bar{\chi} + \theta\theta b + \bar{\theta}\bar{\theta}c + \bar{\theta}\bar{\sigma}^\mu\theta v_\nu + \theta\theta\bar{\theta}\bar{\zeta} + \bar{\theta}\bar{\theta}\theta\eta + \theta\theta\bar{\theta}\bar{\theta}d \quad (3.13)$$

where all spinor indices are suppressed. The  $a, b, c, d$ , and  $v_\mu$  are bosonic fields and  $\xi, \bar{\chi}, \bar{\zeta}, \eta$  are fermionic fields which are complex functions of  $x^\mu$ . The SUSY generators  $Q_\alpha$  and  $\bar{Q}_{\dot{\alpha}}$  can be expressed as

$$Q_\alpha = -i \frac{\partial}{\partial \theta^\alpha} - \sigma_{\alpha\dot{\beta}}^\mu \bar{\theta}^{\dot{\beta}} \partial_\mu, \quad \bar{Q}_{\dot{\alpha}} = i \frac{\partial}{\partial \bar{\theta}^{\dot{\alpha}}} + \theta^\beta \sigma_{\beta\dot{\alpha}}^\mu \partial_\mu \quad (3.14)$$

and the commutation relations are

$$\{Q_\alpha, \bar{Q}_{\dot{\beta}}\} = -2i\sigma_{\alpha\dot{\beta}}^\mu \partial_\mu, \quad \{Q_\alpha, Q_\beta\} = \{\bar{Q}_{\dot{\alpha}}, \bar{Q}_{\dot{\beta}}\} = 0 \quad (3.15)$$

The SUSY covariant derivatives are defined as

$$D_\alpha = \frac{\partial}{\partial \theta^\alpha} + i\sigma_{\alpha\dot{\beta}}^\mu \bar{\theta}^{\dot{\beta}} \partial_\mu, \quad \bar{D}_{\dot{\alpha}} = (D_\alpha)^\dagger = \frac{\partial}{\partial \bar{\theta}^{\dot{\alpha}}} + i\theta^{\beta} \sigma_\beta^\mu \dot{\alpha} \partial_\mu \quad (3.16)$$

and the commutation relations are

$$\{D_\alpha, \bar{D}_{\dot{\beta}}\} = 2i\sigma_{\alpha\dot{\beta}}^\mu \partial_\mu, \quad \{D_\alpha, D_\beta\} = \{\bar{D}_{\dot{\alpha}}, \bar{D}_{\dot{\beta}}\} = 0 \quad (3.17)$$

The SUSY covariant derivatives anticommute with the SUSY generators<sup>4</sup>.

### Chiral superfields and vector superfields

The spin 0 bosons and spin 1/2 fermions are described using the *chiral superfield* and the spin 1 gauge bosons are described using the *vector superfields*.  $V(x, \theta, \bar{\theta})$ . The chiral superfield,  $\Phi(x, \theta, \bar{\theta})$ , satisfies the condition<sup>5</sup>

$$\bar{D}_{\dot{\alpha}} \Phi = 0 \quad (3.18)$$

If we redefine the new coordinates  $(y^\mu, \theta)$  and  $(\bar{y}^\mu, \bar{\theta})$  in the superface<sup>6</sup>,

$$y^\mu = x^\mu + i\theta\sigma^\mu\bar{\theta}, \quad \bar{y}^\mu = x^\mu - i\theta\sigma^\mu\bar{\theta} \quad (3.19)$$

then the covariant derivatives become

$$D_\alpha = \frac{\partial}{\partial \theta^\alpha} + 2i\sigma_{\alpha\dot{\beta}}^\mu \bar{\theta}^{\dot{\beta}} \frac{\partial}{\partial y^\mu}, \quad \bar{D}_{\dot{\alpha}} = \frac{\partial}{\partial \bar{\theta}^{\dot{\alpha}}} \quad (3.20)$$

And the general form of a chiral superfield can be expressed in terms of the chiral coordinate  $(y^\mu, \theta)$  only

$$\Phi(y, \theta) = \phi(y) + \sqrt{2}\theta\psi(y) + \theta\theta F(y) \quad (3.21)$$

<sup>4</sup> $\{D_\alpha, Q_\beta\} = \{D_\alpha, \bar{Q}_{\dot{\beta}}\} = \{\bar{D}_{\dot{\alpha}}, Q_\beta\} = \{\bar{D}_{\dot{\alpha}}, \bar{Q}_{\dot{\beta}}\} = 0$

<sup>5</sup>The antichiral superfield satisfies  $D_\alpha \Phi^* = 0$  where  $\Phi^*$  is the complex conjugate of  $\Phi$ .

<sup>6</sup>The chiral coordinate is  $(y^\mu, \theta)$  and the antichiral coordinate is  $(\bar{y}^\mu, \bar{\theta})$

The vector superfield,  $V$ , is a real field<sup>7</sup> and the general form is

$$V(x, \theta, \bar{\theta}) = C + i\theta\chi - i\bar{\theta}\bar{\chi} + \theta\sigma^\mu\bar{\theta}v_\mu + \frac{i}{2}\theta\theta(M + iN) - \frac{i}{2}\bar{\theta}\bar{\theta}(M - iN) \quad (3.22)$$

$$+ i\theta\theta\bar{\theta}(\bar{\lambda} + \frac{i}{2}\bar{\sigma}^\mu\partial_\mu\chi) - i\bar{\theta}\bar{\theta}\theta(\lambda - \frac{i}{2}\sigma^\mu\partial_\mu\bar{\chi}) + \frac{1}{2}\theta\theta\bar{\theta}\bar{\theta}(D - \frac{1}{2}\partial^2C) \quad (3.23)$$

where the  $C, M, N, D$  are real scalars, the  $\chi, \lambda$  are Weyl spinors, and the  $v_\mu$  is a vector field. By applying the Wess-Zumino gauge, the general form can be reduced into

$$V_{WZ} = \theta\sigma^\mu\bar{\theta}v_\mu + i\theta\theta\bar{\theta}\bar{\lambda} - i\bar{\theta}\bar{\theta}\theta\lambda + \frac{1}{2}\theta\theta\bar{\theta}\bar{\theta}D \quad (3.24)$$

The non-vanishing power of  $V_{WZ}$  is  $V_{WZ}^2 = \frac{1}{2}\theta\theta\bar{\theta}\bar{\theta}v_\mu v^\mu$ . All the higher power of  $V_{WZ}$  are all vanishing  $V_{WZ}^n = 0, n \geq 3$ .

### 3.2.3 $R$ -parity

The baryon number  $B$  and lepton number  $L$  are conserved in the SM but violated in the SUSY. Therefore, a new symmetry called  $R$ -parity is introduced to eliminate the  $B$  and  $L$  violating term. The  $R$ -parity is defined as

$$R \equiv (-1)^{3(B-L)+2s} \quad (3.25)$$

where  $s$  is the spin of the particle. All of the SM particles have even  $R$ -parity ( $R = +1$ ), while all of the sparticles have odd  $R$ -parity ( $R = 1$ ). If the  $R$ -parity is conserved, SUSY predicts that sparticles are produced in pairs in collider experiments.

### 3.2.4 Supersymmetry breaking

The supermultiplets are the single particle states in SUSY theory and it corresponds to the irreducible representations of the super-Poincaré algebra. A supermultiplet contains boson

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<sup>7</sup>The vector superfield satisfies  $V(x, \theta, \bar{\theta}) = V^\dagger(x, \theta, \bar{\theta})$ .

and fermion with the same degrees of freedom and all particles in the same supermultiplet have the same mass. However, no any sparticles have been observed from the experiments. Therefore, the SUSY must be spontaneously broken and the sparticles must be heavier than their SM partners. The scalar superpotential  $V$  can be represented by the auxiliary fields  $F_i$  and  $D_a$

$$V = F^{*i}F_i + \frac{1}{2} \sum_a D^a D_a \quad (3.26)$$

A state  $|\Omega\rangle$  is called a vacuum state if  $E_\Omega = \langle\Omega|H|\Omega\rangle = 0$ . This happens when the potential  $V$  has a minimum. There are two kinds of vacuums, the true vacuum and the false vacuum which correspond to the global minimum and the local minimum of the scalar potential  $V$ , respectively. For example, when  $F_i = D_a = 0$ , then  $V = 0$  is a global minimum. The  $\langle F \rangle = 0$  is called  $F$ -term breaking and the  $\langle D \rangle = 0$  is called  $D$ -term breaking.

### 3.2.5 The Minimal Supersymmetry Standard Model

The Minimal Supersymmetry Standard Model (MSSM) is the minimal extension of the Standard Model. The MSSM contains only the smallest number of superfields and interactions such that the SM particles can keep their current forms.

#### Particle content

All the super particles, *sparticles*<sup>8</sup>, have exactly the same quantum number as their SM particles except the spins differ by  $\frac{1}{2}$ . The super partners of the leptons and quarks are called *sleptons* and *squarks*. The sleptons and squarks are scalar particles with spin  $s = 0$  and the left-handed and right-handed states are treated as different particles such that

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<sup>8</sup>The super particles of the SM fermions are prefix a "s" and the super particles of the SM bosons are suffix an "*ino*". A tilde is added on the symbol of the SM particle to denote its super partner.

the SM particles and the SUSY sparticles have the same number of degree of freedom.

The super partners of gluons are *gluininos* and there are eight gluinos with spin  $s = \frac{1}{2}$ .

The super partners of the gauge bosons  $W^\pm, Z^0$ , and  $\gamma$ , are *gauginos*. The gauginos have spin  $s = \frac{1}{2}$ . The super partners of the Higgs bosons<sup>9</sup> are *Higgsinos*. The Higgsinos and gauginos mixing states are two *charginos*  $\tilde{\chi}_1^\pm, \tilde{\chi}_2^\pm$  and four *neutralinos*  $\tilde{\chi}_1^0, \tilde{\chi}_2^0, \tilde{\chi}_3^0, \tilde{\chi}_4^0$  with spin  $s = \frac{1}{2}$ . Table 3.1 shows the particle contents in the MSSM.

| Supermultiplet                   | Names             | Symbol    | spin 0                       | spin 1/2                             | spin 1       | $SU(3)_C \otimes SU(2)_L \otimes U(1)_Y$                   |
|----------------------------------|-------------------|-----------|------------------------------|--------------------------------------|--------------|--|
| Chiral<br>( $\times 3$ families) | squarks, quarks   | Q         | $(\tilde{u}_L, \tilde{d}_L)$ | $(u_L, d_L)$                         | -            | $\mathbf{3} \otimes \mathbf{2} \otimes \frac{1}{6}$        |
|                                  |                   | $\bar{u}$ | $\tilde{u}_R^*$              | $u_R^\dagger$                        | -            | $\bar{\mathbf{3}} \otimes \mathbf{1} \otimes -\frac{2}{3}$ |
|                                  |                   | $\bar{d}$ | $\tilde{d}_R^*$              | $d_R^\dagger$                        | -            | $\bar{\mathbf{3}} \otimes \mathbf{1} \otimes \frac{1}{3}$  |
| Chiral<br>( $\times 3$ families) | sleptons, leptons | L         | $(\tilde{\nu}, \tilde{e}_L)$ | $(\nu, e_L)$                         | -            | $\mathbf{1} \otimes \mathbf{2} \otimes -\frac{1}{2}$       |
|                                  |                   | $\bar{e}$ | $\tilde{e}_R^*$              | $e_R^\dagger$                        | -            | $\mathbf{1} \otimes \mathbf{1} \otimes 1$                  |
| Chiral                           | Higgs, Higgsinos  | $H_u$     | $(H_u^+, H_u^0)$             | $(\tilde{H}_u^+, \tilde{H}_u^0)$     | -            | $\mathbf{1} \otimes \mathbf{2} \otimes +\frac{1}{2}$       |
|                                  |                   | $H_d$     | $(H_d^0, H_d^-)$             | $(\tilde{H}_d^0, \tilde{H}_d^-)$     | -            | $\mathbf{1} \otimes \mathbf{2} \otimes -\frac{1}{2}$       |
| Gauge                            | gluino, gluon     | -         | -                            | $\tilde{g}$                          | $g$          | $\mathbf{8} \otimes \mathbf{1} \otimes 0$                  |
|                                  | winos, $W$ bosons | -         | -                            | $\widetilde{W}^\pm, \widetilde{W}^0$ | $W^\pm, W^0$ | $\mathbf{1} \otimes \mathbf{3} \otimes 0$                  |
|                                  | bino, $B$ boson   | -         | -                            | $\tilde{B}^0$                        | $B^0$        | $\mathbf{1} \otimes \mathbf{1} \otimes 0$                  |

**Table 3.1:** Chiral supermultiplets and gauge supermultiplets in the MSSM. In the chiral supermultiplets, the spin 0 fields are complex scalars and the spin 1/2 fields are left-handed two-component Weyl spinors.

### 3.3 The radiative natural SUSY

The radiative natural SUSY (RNS) [56, 57, 58, 59] is based on MSSM and it may be valid all the way up to the GUT scale<sup>10</sup>. The RNS maintains the Higgs mass  $m_H \sim 125$  GeV

<sup>9</sup>The Higgs sector contains two charged states  $H^\pm$  and three neutral states  $h^0, H^0$ , and  $A^0$ . The  $h^0$  and  $H^0$  are  $CP$  even state and  $A^0$  is  $CP$  odd state.

<sup>10</sup>The GUT scale is about  $m_{\text{GUT}} \approx 2 \times 10^{16}$  GeV.

and  $Z$  boson mass  $m_Z = 91.2$  GeV and requires no large cancellations at the electroweak scale. It also expects the light Higgsino masses to be  $100 \sim 300$  GeV, the electroweak gaugino masses  $300 \sim 1200$  GeV, the masses of  $\tilde{g}$ ,  $\tilde{t}$ , and  $\tilde{b}$  to be  $1 \sim 4$  TeV, and the masses of  $\tilde{u}$ ,  $\tilde{d}$ ,  $\tilde{s}$ ,  $\tilde{c}$  exist in the  $5 \sim 30$  TeV range.

In SUSY models, the  $Z$  boson mass can be obtained from the minimization condition on the Higgs sector scalar potential

$$\frac{m_Z^2}{2} = \frac{m_{H_d}^2 + \Sigma_d^d - (m_{H_u}^2 + \Sigma_u^u) \tan^2 \beta}{\tan^2 \beta - 1} - \mu^2 \quad (3.27)$$

where  $\Sigma_d^d$  and  $\Sigma_u^u$  are radiative corrections including the contributions from various particles and sparticles Yukawa and gauge couplings to the Higgs sector. Requiring no large cancellations means each term on the right-hand-side of Eq. (3.27) are individually comparable to the left-hand-side,  $m_Z^2/2$ . Therefore, no large electroweak fine-tuning (EWFT) is required to obtain  $m_Z = 91.2$  GeV and leads to a model with electroweak naturalness. The EWFT parameter is defined as

$$\Delta_{EW} = \max_i \frac{|C_i|}{(m_Z^2/2)} \quad (3.28)$$

which depends only on the weak scale parameters of the theory. Low  $\Delta_{EW}$  value means less fine-tuning. For example,  $\Delta_{EW} = 10 \sim 30$  correspond to  $3 \sim 10\%$  fine-tuning. The  $C_i$  represents  $C_{H_d}$ ,  $C_{H_u}$ ,  $C_\mu$ ,  $C_{\Sigma_d^d(k)}$ , and  $C_{\Sigma_u^u(k)}$

$$C_{H_d} = \frac{m_{H_d}^2}{\tan^2 \beta - 1} \quad (3.29)$$

$$C_{H_u} = \frac{-m_{H_u}^2 \tan^2 \beta}{\tan^2 \beta - 1} \quad (3.30)$$

$$C_\mu = -\mu^2 \quad (3.31)$$

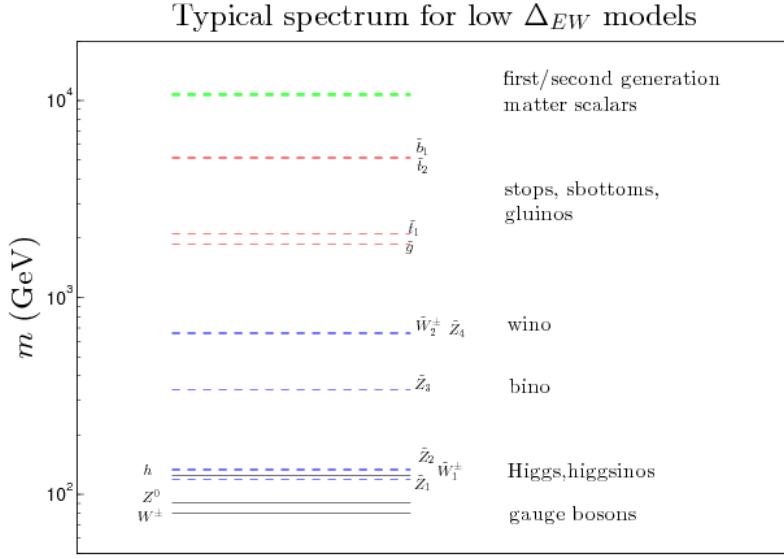
$$C_{\Sigma_d^d(k)} = \frac{\Sigma_d^d}{\tan^2 \beta - 1} \quad (3.32)$$

$$C_{\Sigma_u^u(k)} = \frac{-\Sigma_u^u \tan^2 \beta}{\tan^2 \beta - 1} \quad (3.33)$$

where  $k$  denotes the various loop contributions included in Eq. (3.27). In order to get a small EWFT value,  $\Delta_{EW} \leq 30$ , the RNS has to satisfy

- The light Higgsino mass  $100 < |\mu| < 300$  GeV.
- $m_{H_u}(m_{\text{GUT}}) \sim (1.3 \sim 2)m_0$ . This leads to  $m_{H_u}^2 \sim -\frac{m_Z^2}{2}$  at the weak scale.
- $A_0 \sim \pm 1.6m_0$ . This results in large radiative corrections of  $\tilde{t}_i$  whilst maintain  $m_H$  to the  $\sim 125$  GeV.

In the RNS framework, which allows fine-tuning at  $5 \sim 10\%$  level, the masses of the Higgsino-like gauginos  $\tilde{\chi}_1^\pm$ ,  $\tilde{\chi}_1^0$ , and  $\tilde{\chi}_2^0$  lie in 100 to 300 GeV and the mass gap between  $\tilde{\chi}_2^0$  and  $\tilde{\chi}_1^0$  is  $10 \sim 30$  GeV. The masses of third generation squarks are  $m_{\tilde{t}_1} \sim 1$  to 2 TeV and  $m_{\tilde{t}_2}$ ,  $m_{\tilde{b}_1} \sim 2$  to 4 TeV. The gluino mass,  $m_{\tilde{g}}$ , is about 1 to 5 TeV and the masses of first and second generation sfermions,  $m_{\tilde{q}}, m_{\tilde{\ell}}$ , are about 5 to 10 TeV. And the light Higgs scalar mass is keeping at 125 GeV. The typical mass spectra of RNS is shown in Fig. 3.2. The  $m_{\tilde{t}_{1,2}}$  and  $m_{\tilde{b}_{1,2}}$  are typically beyond 1 TeV in the RNS, so it is very difficult to detect at LHC. The light Higgsino-like charginos  $\tilde{\chi}_1^\pm$  and neutralinos  $\tilde{\chi}_{1,2}^0$  have substantial production cross-section in the RNS, these particles are produced at large rates at LHC. Because the small mass splittings  $\Delta m(\tilde{\chi}_1^\pm, \tilde{\chi}_1^0)$  and  $\Delta m(\tilde{\chi}_2^0, \tilde{\chi}_1^0)$ , the visible decay products tend to be at very low energies and will be hard to detect above the SM background, making the large  $E_T^{\text{miss}}$ .



**Figure 3.2:** Typical sparticle mass spectra of RNS. The figure is taken from [60].

### 3.4 The non-universal Higgs model with two extra parameters

The RNS can be generated from SUSY GUT type models using non-universal Higgs masses model with two extra parameters (NUHM2) [61, 62, 63, 64] leading to a low fine-tuning  $\Delta_{EW}$  value at the electroweak scale and keeping electroweak naturalness. The NUHM2 decouples the Higgs mass doublet parameters  $m_{H_u}^2$  and  $m_{H_d}^2$  at the GUT scale such that

$$m_{H_u}^2 \neq m_{H_d}^2 \neq m_0^2(m_{\text{GUT}}) \quad (3.34)$$

and it usually uses the weak scale parameters  $\mu$  and  $m_A$  to replace the  $m_{H_u}^2$  and  $m_{H_d}^2$ .

$$\mu^2 = \frac{m_{H_d}^2 - m_{H_u}^2 \tan^2 \beta}{\tan^2 \beta - 1} - \frac{m_Z^2}{2} \quad (3.35)$$

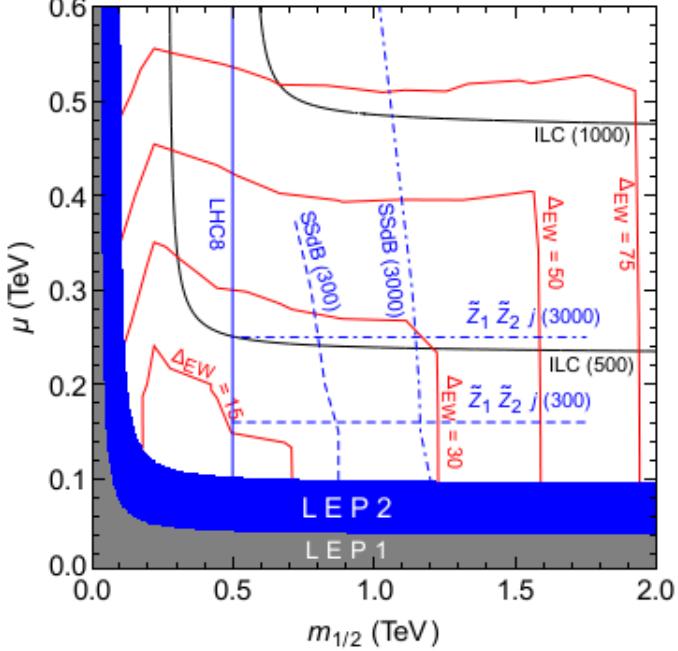
$$m_A^2 = m_{H_d}^2 + m_{H_u}^2 + 2\mu^2 \quad (3.36)$$

If the value of NUHM2 free parameters are chosen as the following ranges

- The matter scalar mass  $m_0 \sim 1$  to 7 TeV,
- The soft SUSY breaking gaugino mass  $m_{1/2} \sim 0.3$  to 1.5 TeV,
- The trilinear SUSY breaking parameter  $A_0 \sim \pm(1$  to 2) $m_0$ ,
- The ratio of the Higgs field vacuum expectation value  $\tan \beta \sim 5$  to 50,
- The superpotential Higgs mass  $\mu \sim 100$  to 300 GeV,
- The pseudoscalar Higgs boson mass  $m_A$  is varied.

then the low EWFT can be achieved whilst remaining SUSY spectrum and maintaining  $123 < m_H < 127$  GeV. Comparing with the well-known mSUGRA/CMSSM models which have the lowest  $\Delta_{EW} \sim 200$ , the  $\Delta_{EW}$  in the NUHM2 model is about 10 only. The NUHM2 is expected to form the effective theory for energies lower than  $m_{GUT}$  resulting from  $SU(5)$  or general  $SO(10)$  grand unified theories. Detailed scans for the NUHM2 parameter space with low EWFT had been performed in [56], and the NUHM2 parameter values used in this analysis are set to  $m_0 = 5$  TeV,  $A_0 = -1.6m_0$ ,  $\tan \beta = 15$ ,  $m_A = 1$  TeV,  $\mu = 150$  such that  $\text{sign}(\mu) > 0$ , and  $m_{1/2}$  are varied from 350 to 800 GeV. These parameter choices lead to low EWFT (electroweak naturalness) and predict final state signatures that allow large background rejection while retaining high signal efficiency. Although the kinematics of NUHM2 are very similar to the simplified Higgsino model in compressed scenarios, the primary differences are resulting from the mass spectra, cross-sections, and branching ratios between NUHM2 and simplified Higgsino model.

Figure 3.3 shows the  $m_{1/2}$  vs  $\mu$  plane of NUHM2 model for  $m_0 = 5$  GeV,  $\tan \beta = 15$ ,  $A_0 = -1.6m_0$ , and  $m_A = 1$  TeV. The gray and blue regions are excluded by searches



**Figure 3.3:** The  $\Delta_{EW}$  contours in the  $m_{1/2}$  vs  $\mu$  plane of NUHM2 model for  $m_0 = 5$  GeV,  $\tan \beta = 15$ ,  $A_0 = -1.6m_0$ , and  $m_A = 1$  TeV. The gray and blue shaded regions are excluded by the LEP1 and LEP2 searches for chargino pair production. The region on the left hand side of the blue solid line is excluded by LHC8 gluino pair searches. This figure is taken from [65].

for chargino pair production at LEP1 and LEP2. The area to the left of the blue solid line is excluded by the  $\widetilde{g}\widetilde{g}$  production at LHC with  $\sqrt{s} = 8$  TeV. The contours for  $\Delta_{EW} = 15, 30, 50, 70$  are shown. The  $\widetilde{\chi}_2^0\widetilde{\chi}_1^0$  with one ISR jet production where  $\widetilde{\chi}_2^0 \rightarrow \ell^+\ell^-\widetilde{\chi}_1^0$  is labeled by two horizontal dashed contours at  $300 \text{ fb}^{-1}$  and  $3000 \text{ fb}^{-1}$ , respectively<sup>11</sup>. The  $\widetilde{\chi}_2^0\widetilde{\chi}_1^0$  with one ISR jet is accessible in nearly the entire  $\Delta_{EW} < 30$  region. For comparison, the reach of International Linear Collider (ILC) with  $\sqrt{s} = 0.5$  and 1 TeV are shown. Thus, the RNS, which accommodates the electroweak naturalness, can be either discovered or ruled out by the LHC plus ILC searches.

<sup>11</sup>The  $\widetilde{\chi}_1^0$  is indicated by  $\widetilde{Z}_1$  and the  $\widetilde{\chi}_2^0$  is indicated by  $\widetilde{Z}_2$  in the plot.

# Chapter 4

## The ATLAS Experiment at LHC

The European Organization for Nuclear Research (CERN<sup>1</sup>) was founded in 1954 and is based in the suburb of Geneva on the Franco–Swiss border. The main function of CERN is to provide particle accelerators and detectors for high-energy physics research. The physicists and engineers at CERN are probing the fundamental structure of the universe using the world’s largest and most complex scientific facility — the *Large Hadron Collider* (LHC) [16]. In the LHC, the particles are boosted to high energies and collide at close to the speed of light. The results of the collisions are recorded by the various detectors. There are seven experiments at the LHC. The biggest of these experiments are *ATLAS* (A Toroidal LHC ApparatuS) [66] and *CMS* (Compact Muon Solenoid) [67] which use general-purpose detectors to investigate a broad physics program ranging from the search for the Higgs boson to extra dimensions and particles that could make up dark matter. The *ALICE* (A Large Ion Collider Experiment) [68] experiment is designed to study the physics of quark-gluon plasma form and the *LHCb* (Large Hadron Collider beauty) [69] experiment specializes in investigating of CP violation<sup>2</sup> by studying the

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<sup>1</sup>The name CERN is derived from the acronym for the French Conseil Européen pour la Recherche Nucléaire.

<sup>2</sup>The CP violation is violation of the charge conjugate and parity symmetry which says if a particle is interchanged with its anti-particle and its spatial coordinates are inverted, then the physics laws should be the same.

$b$ -quark. These four detectors sit underground in huge caverns of the LHC ring. The rest three experiments, *TOTEM* [70], *LHCf* [71], and *MoEDAL* [72], are smaller. The TOTEM (TOTal Elastic and diffractive cross section Measurement) [70] experiment aims at the measurement of total cross section, elastic scattering, and diffractive dissociation. The LHCf (Large Hadron Collider forward) [71] experiment is intended to measure the neutral particle produced by the collider using the forward particles. The prime motivation of the MoEDAL (Monopole and Exotics Detector at the LHC) [72] experiment is to search directly for the magnetic monopole. An overview of the LHC is described in Sect. 4.1 and the detector apparatus of the ATLAS experiment is outlined in Sect. 4.2.

## 4.1 The Large Hadron Collide

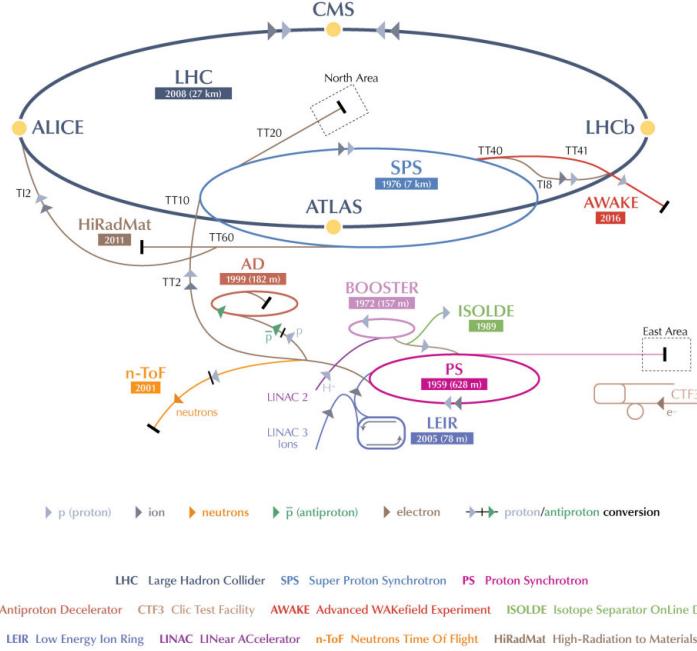
The LHC [16] is the world's largest and most powerful accelerator which accelerates and collides protons in a 26.7 km circumference crossing the Franco–Swiss border 100 m underground. Built in the tunnel of the former *LEP* (Large Electron–Positron), the LHC is capable of colliding protons as well as heavy ions. Comparing with the LEP which collides electrons and positrons, the advantage of the LHC is the lower energy loss<sup>3</sup> in the synchrotron radiation, such that higher energies can be reached by the LHC. The LHC is designed for collisions at a centre-of-mass energy  $\sqrt{s} = 14$  TeV and an instantaneous luminosity of  $\mathcal{L} = 10^{34}$  cm<sup>-2</sup>s<sup>-1</sup>. Figure 4.1 shows the infrastructure of the LHC and the pre-accelerator system.

The protons are extracted by ionization from a hydrogen source and are accelerated to 50 MeV by the linear accelerator *LINAC2*. Then they are injected into the *Proton Synchrotron Booster* (PSB) where the proton energies are increased to 1.4 GeV before they

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<sup>3</sup>The energy loss for protons is about eleven orders of magnitude smaller than the electrons.

## CERN's Accelerator Complex



**Figure 4.1:** The accelerator complex at CERN [73].

enter the *Proton Synchrotron* (PS) which accelerates the protons to 25 GeV. Next, the proton energies are increasing to 450 GeV in the *Super Proton Synchrotron* (SPS). Finally, the protons are split into two beams and enter the LHC where the two beams run in two adjacent beam pipes with opposite directions. In order to keep the protons on the circular trajectory in the LHC, 1232 superconducting dipole magnets [74] generate a magnetic field strength of 8.33 T to bend the proton beams in eight arcs. Additionally, 392 quadrupole magnets [74] are installed to focus the beam. A cryogenic system running with super-fluid helium-4 is used to cool down the superconducting magnets to a temperature of 1.7 K.

For a given physics process, the event rate is proportional to the cross section  $\sigma$  of this process

$$\frac{dN}{dt} = \mathcal{L} \cdot \sigma \quad (4.1)$$

where  $N$  is the number of events and  $\mathcal{L}$  denotes the luminosity of the beam. The luminosity

of the beam,  $\mathcal{L}$ , can be calculated by

$$\mathcal{L} = \frac{N^2 f}{4\pi\sigma_x\sigma_y} \cdot F \quad (4.2)$$

where  $N$  is the number of protons,  $f$  is the bunches crossing frequency, and the  $\sigma_x$  and  $\sigma_y$  are the  $x$  and  $y$  components for cross section  $\sigma$ . The geometric luminosity reduction factor,  $F$ , is related to the crossing angle at the *interaction point* (IP). Considering a beam consisting of  $1.15 \times 10^{11}$  protons with bunching spacing of 25 ns, the transversal size of the bunch at IP  $16 \times 10^{-4}$  cm, and taking the geometric luminosity reduction factor as 1, the design luminosity of  $10^{34}$   $\text{cm}^{-2}\text{s}^{-1}$  can be reached.

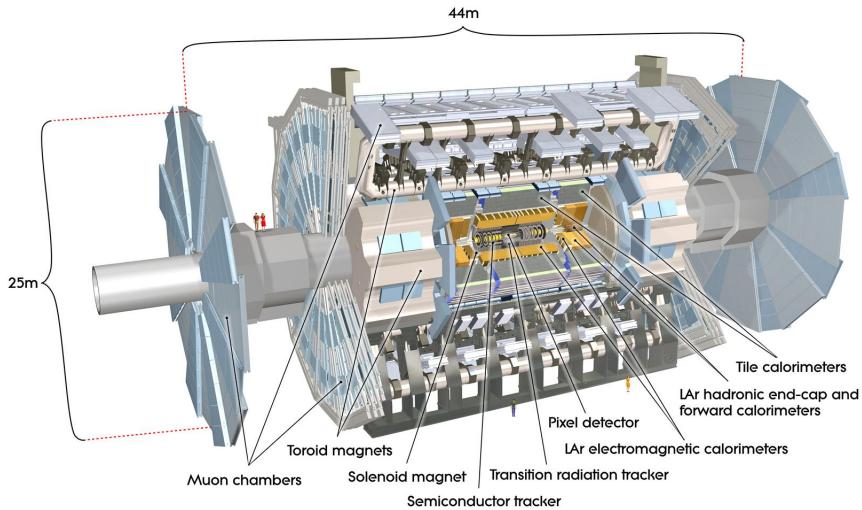
The first beam was circulated through the collider on the morning of 10 September 2008 [75]. However, a magnet quench incident occurred on 19 September 2008 and caused extensive damage to over 50 superconducting magnets, their mountings, and the vacuum pipe. Most of 2009 was spent on repairs the damage caused by the magnet quench incident and the operations resumed on 20 November of that year. The first phase of data-taking (Run 1) started at the end of 2009 and the beam energy was increased to a centre-of-mass  $\sqrt{s} = 7$  TeV in 2011 and  $\sqrt{s} = 8$  TeV in 2012. The total integrated luminosity of  $5.46 \text{ fb}^{-1}$  was collected in 2011 and of  $22.8 \text{ fb}^{-1}$  was collected in 2012. Since 13 February 2013 the LHC was in the Long Shutdown 1 (LS1) phase for maintenance and upgrades. On 5 April 2015, the LHC restarted and was operating at a centre-of-mass energy  $\sqrt{s} = 13$  TeV throughout the Run 2 phase<sup>4</sup>.

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<sup>4</sup>The Run 2 data-taking started from 2015.

## 4.2 The ATLAS experiment

The ATLAS<sup>5</sup> detector [66] is a multi-purpose detector housed in its cavern at point 1 at the LHC [16]. It is the largest experiment at the LHC with a length of 44 m, a diameter of 25 m, and a weight of approximately 7000 tonnes. It consists of three high precision sub-detector systems which are arranged concentrically around the interaction point and in forward and backward symmetrically. Related to this symmetry, the ATLAS detector is sectioned into the central barrel region with one end-cap region perpendicular to the beam pipe on either side. Figure 4.2 shows an overview of the ATLAS detector with its major components.



**Figure 4.2:** Overview of the ATLAS detector [66].

The ATLAS detector is designed to record the proton-proton interactions delivered by the LHC. It can identify particles and measure their tracks and energies with very high precision, therefore, it is sensitive to large areas of particle physics phenomena from the precision measurement of the Standard Model to beyond the Standard Model

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<sup>5</sup>A Toroidal LHC Apparatus

(BSM). The detector is constituted by three sub-detector systems and the magnet system. The innermost part of the detector is called the *inner detector* which identifies and reconstructs the charged particles as well as the primary and secondary vertices. Around it, the *calorimeter* system is built as a cylindrical barrel with caps at each end to measure the particle energies. The detector is completed by the *muon spectrometer* which performs identification and measurement of momenta of muons. The magnetic system produces a field of  $B = 0.5$  T and  $B = 1$  T at barrel and two end-cap, respectively. The detector has to withstand large collision rates with approximately 1000 particles per collision, therefore, a fast readout and a three-level trigger system are implemented to reduce the event rate from 40 MHz to 200 Hz. The ATLAS coordinate system and the detail of each sub-detector systems are described in the following sections.

#### 4.2.1 The ATLAS coordinate system

ATLAS uses a *right-handed coordinate system* with its origin at the nominal proton-proton interaction point (IP) in the centre of the detector and the  $z$ -axis along the beam pipe. Along the  $z$ -axis the detector is divided into side-A (positive  $z$ ) and side-C (negative  $z$ ). The positive  $x$ -axis is defined by the direction pointing from the interaction point to the centre of the LHC ring, and the positive  $y$ -axis points upward. The azimuthal angle  $\phi$  is measured around the beam pipe and the polar angle  $\theta$  is the angle from the  $z$ -axis. The transverse momentum  $p_T$ , the transverse energy  $E_T$  and the missing transverse energy  $E_T^{\text{miss}}$  are defined in the transverse plane<sup>6</sup>, here exemplary for  $p_T$

$$p_T = \sqrt{p_x^2 + p_y^2} \quad (4.3)$$

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<sup>6</sup> $x - y$  plane

An important quantity in hadron collider physics is the *rapidity*,  $y$ , because of the invariance  $y$  under Lorentz boosts in the longitudinal direction. The rapidity is defined as

$$y = \frac{1}{2} \ln \left[ \frac{E + p_z}{E - p_z} \right] \quad (4.4)$$

where  $E$  denotes the particle energy and  $p_z$  is the component of the momentum along the beam direction. Since mainly leptons can be considered massless in respect to the nominal centre-of-mass energy, the pseudorapidity,  $\eta$ , is used instead of using the  $y$ . For a massless particle, the *pseudorapidity*,  $\eta$ , depends on the polar angle  $\theta$  through

$$\eta = -\ln \tan \frac{\theta}{2} \quad (4.5)$$

For a particle with the energy  $E$  much larger than its mass, the approximation  $E \approx |\vec{p}|$  is valid. The distance,  $\Delta R$ , between two objects in the  $\eta - \phi$  plane is given by

$$\Delta R = \sqrt{\Delta\eta^2 + \Delta\phi^2} \quad (4.6)$$

where  $\Delta\eta$  and  $\Delta\phi$  are the difference in pseudorapidity and azimuthal angle, respectively.

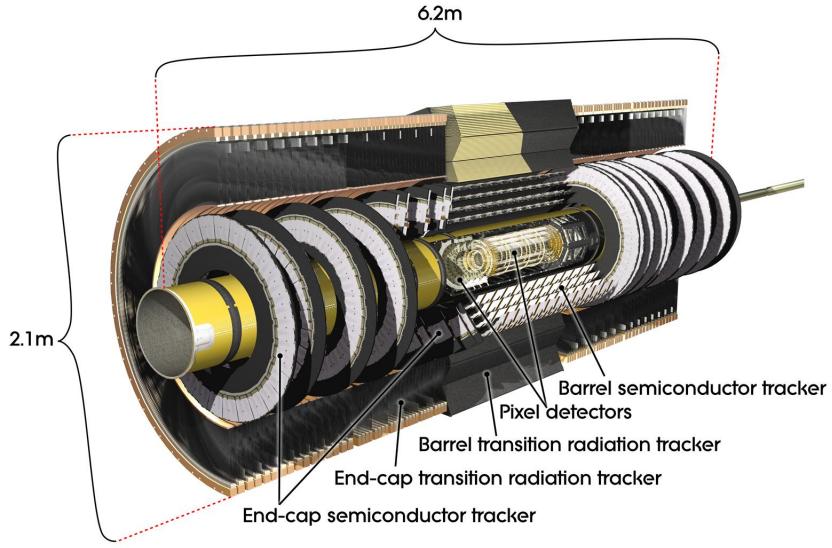
### 4.2.2 The inner detector and tracking system

The *inner detector* (ID) consists of three sub-detectors: the *pixel* detector, the *semiconductor tracker* (SCT), and the *transition radiation tracker* (TRT). The main purpose of the inner detector is to provide high precision measurements of the tracks of particles and to reconstruct the primary and secondary vertices. Each sub-detectors are composed of several layers of material which interacts with the charged particles when the charged particles penetrate the layers. A 2 T magnetic field generated by the central solenoid parallel to the beam axis is applied to bend the charged particles using the Lorentz force. By using the radius  $r$  of the curvature of the tracks, the magnetic field strength  $B$ , and

the charge of the particle  $q$ , we can calculate the magnitude of the transverse momentum  $p_T$

$$p_T = |q|Br \quad (4.7)$$

The layout of the inner detector is illustrated in Fig. 4.3 and the detail of sub-detectors are described in the following paragraphs.



**Figure 4.3:** Cut-away view of the ATLAS inner detector [66].

## Pixel detector

The innermost part of the entire ATLAS detector components is the *pixel* detector which is composed of three barrel layers and three end-cap disks on each side. The three cylindrical barrel layers around the beam axis have radial positions of 50.5 mm, 88.5 mm, and 122.5 mm respectively and they are made of 22, 38, and 52 identical staves respectively. Each stave is inclined with azimuthal angle of 20 degrees and is composed of 13 pixel modules with 46,080 readout channel per module. The size of each pixel is  $50 \times 400 \mu m^2$  in  $R - \phi \times z$ . In the forward region, three disks on each side equip the modules identical

to the barrel modules, except the connecting cables. The total 1,744 modules in the pixel detector lead to nearly 80 million channel readout and provide the intrinsic accuracy of  $10 \mu m$  in  $R - \phi$  plane and  $115 \mu m$  in  $z$  direction covering the region  $|\eta| < 2.5$ .

### Semi conductor tracker

On the top of the pixel detector is the *semi conductor tracker* (SCT) which is a silicon strip detector. There are about 6.3 million readout channels which are arranged in 4088 microstrips. The intrinsic accuracies per sensor is  $17 \mu m$  in  $R - \phi$  and  $580 \mu m$  in  $z$  direction for the barrel and in  $R$  for the disks, respectively. Similar to the pixel detector, the SCT covers the region  $|\eta| < 2.5$  and consists of 8 strip layers in barrel and a total of 9 discs in the end-cap region on each side. No track reconstruction is possible beyond the covered pseudorapidity range. Therefore, the electrons cannot be distinguished from photons above  $|\eta| > 2.5$  region.

### Transition radiation tracker

The outermost component of the inner detector is the *transition radiation tracker* (TRT) which consists of 4 mm diameter straw tubes filled with the xenon-based gas mixture. The gas mixture are ionized by charged particles when they penetrates the straws. The ionized electrons drift to the cathode because a high voltage is applied on the tungsten wire in the center of the straw tube. Therefore, the TRT allows the enhanced electron identification, momentum measurement, vertex measurement. In the barrel region, the straws are surrounded by polypropylene fibers and are divided into two halves at  $|\eta| = 0$ . In the end-caps, the straws are arranged radially and surrounded by foils as a transition radiation element. They are read out at two sides and at the center of the TRT with the total number of the readout channels of TRT are approximately 350,000. The TRT only

provides information in the  $R - \phi$  plane with an intrinsic accuracy of 130  $\mu\text{m}$  per straw and covers a range up to  $|\eta| < 2.0$ .

### Solenoid magnet

A superconducting solenoid magnet encloses the inner detector and produces a 2 T magnetic field to bend the trajectories of the charged particles. A cooling system is used and shared with the *electromagnetic calorimeter* (Sect. 4.2.3) to reduce the deterioration of the energy measurement.

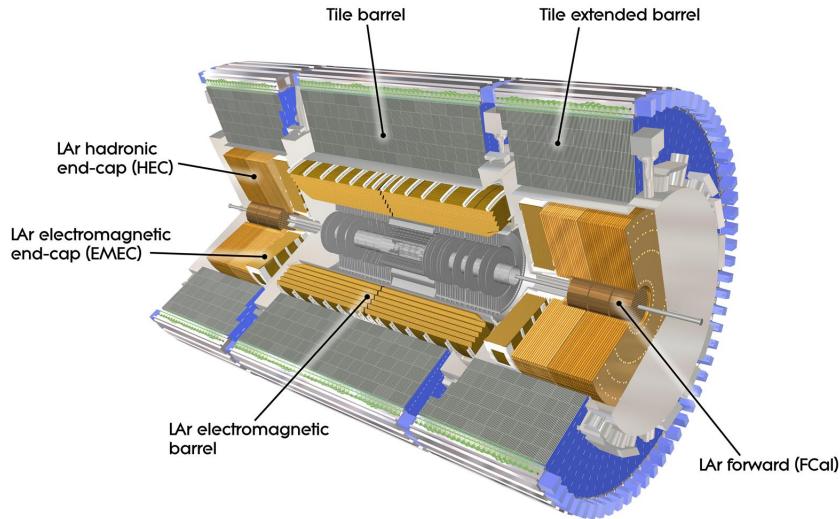
### 4.2.3 The calorimeters

The calorimeters are used to measure the energy of particles, such as electrons, photons, and jets. Besides muons and neutrinos, all other particles interacting electromagnetically or hadronically are stopped in the calorimeters by absorbing their energy. Not only charged particles but also neutral particles such as photons and neutral hadrons can be detected in the calorimeters. By requiring highly hermiticity of the calorimeters, the missing energy  $E_{\text{T}}^{\text{miss}}$  can be reconstructed precisely as negative vectorial sum of all energy deposits. The ATLAS calorimeter system is placed between the inner detector (Sect. 4.2.2) and the muon spectrometer (Sect. 4.2.4). The ATLAS calorimeter system consists of an inner *electromagnetic calorimeter* and an outer *hadronic calorimeter* together with the *forward calorimeter*. The electromagnetic calorimeter and hadronic calorimeter are *sampling calorimeters* which consist of two different materials alternately. An absorber material is used to enhance the particle showers<sup>7</sup> and a highly ionizable active medium is used to measure the deposited energy. Because only the energies deposited in the

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<sup>7</sup>The shower is the cascade of secondary particles produced by the high-energy particle interacting with dense material.

active medium can be observed, the total energy of the shower can be estimated from the deposited energy by clustering algorithms. The electromagnetic calorimeter is focusing on measuring electrons and photons, and the hadronic calorimeter is dedicated for hadronically interacting particles. The whole ATLAS calorimeter system covers a range  $|\eta| < 4.9$ . An layout view of the ATLAS calorimeter system is shown in Fig. 4.4 and the detail of the three calorimeters are described in the following paragraphs.



**Figure 4.4:** Cut-away view of the calorimeter system [66].

## Electromagnetic calorimeter

The *electromagnetic calorimeter* (ECAL) measures the energy of electrons and photons as they interact with matter. The ECAL consists of accordion shaped cells of alternating layers of lead as absorber material and liquid argon (LAr) as active medium. The accordion shaped provides the full coverage in the azimuthal angle  $\phi$ . The LAr is chosen as an active medium because it is hard to radiate, it has stable response time and linear behavior [66]. The electrons or photons lose their energies by alternating bremsstrahlung and pair production when they interact with lead and result in the electromagnetic particle showers

which ionize the LAr and the ionization currents are collected by the copper electrodes. The ECAL is divided into barrel (EMB) and end-cap (EMEC) components, which cover  $|\eta| < 1.475$  and  $1.375 < |\eta| < 3.2$ <sup>8</sup>, respectively. The EMB is made up of three longitudinal layers with different granularity and sensitive in the region  $|\eta| < 2.5$ . The first strip layer has the highest granularity where the size of cells corresponding to  $\Delta\eta \times \Delta\phi = 0.0031 \times 0.1$  for  $|\eta| < 1.8$  and coarser for larger  $|\eta|$ . The smallest granularity allows to separate the showers coming from electrons, photons and neutral pions. The second layer is the largest part of the EMB with the size of cells corresponding to  $\Delta\eta \times \Delta\phi = 0.025 \times 0.0245$  so most of the energies are deposited in this layer. The third layer has the granularity  $\Delta\eta \times \Delta\phi = 0.05 \times 0.0245$ . The total thickness are  $22 X_0$ <sup>9</sup> and  $24 X_0$  for EMB and EMECs, respectively. This special thickness is sufficient to prevent the punch-throughs of high energy showers into the muon spectrometer. Figure 4.5 shows the cut-away view of the the accordion shaped EMB module with the dimensions for three layers.

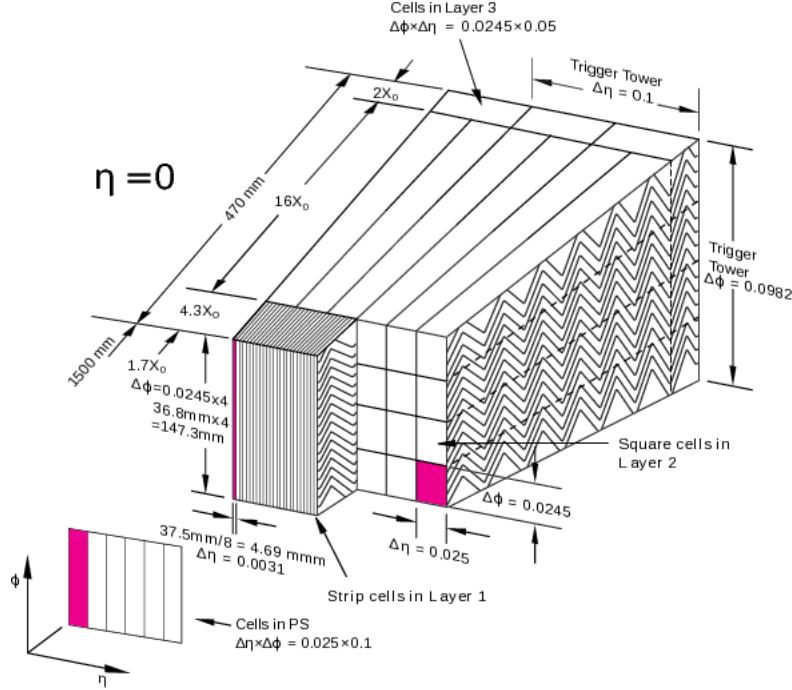
## Hadronic calorimeter

The electromagnetic interacting particles produce narrow showers, however, the hadrons, which are heavier and penetrate medium further, produce more wide-spread hadronic showers. The *hadronic calorimeter* (HCAL) surrounds the ECAL and is made up by a barrel and two end-caps (HEC). The barrel covers  $|\eta| < 1.7$  and it uses plastic scintillator tiles as active medium and steel as absorber material. The hadronic showers stimulate the scintillator and emit light which is collected by *photo multiplier tubes* (PMTs) and then read-out via wavelength shifting optical fibers. The HEC covers  $1.5 < |\eta| < 3.2$  which overlap with the pseudorapidity coverage region of barrel. The HEC is composed of

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<sup>8</sup>There are two EMECs and each of them consists of two wheels. The inner wheel covers  $1.375 < |\eta| < 2.5$  and the outer wheel covers  $2.5 < |\eta| < 3.2$ .

<sup>9</sup>The  $X_0$  stands for radiation lengths which is a characteristic of material. It is related to the energy loss of the particle when it interacts with the material electromagnetically.



**Figure 4.5:** Cut-away view of the accordion shaped EM module with the dimensions for three layers [76].

two copper plate wheels as absorber material on each side and the LAr in between. The designed thickness in the barrel region is  $9.7 \lambda^{10}$ . Therefore, the punch-through to the muon spectrometer is suppressed. The granularity of the HCAL is coarser than the ECAL but it is sufficient for measuring  $E_T^{\text{miss}}$  and jet reconstruction.

### Forward calorimeter

The *forward calorimeter* (FCAL) uses the LAr as active medium and one copper and two tungsten layers as absorber materials. The copper layer (FCAL1) is used to measure the electromagnetic interactions whereas the two tungsten layers (FCAL2 and FCAL3) is used to measure the hadronically interactions. The FCAL provides the very forward region coverage  $3.1 < |\eta| < 4.9$  can contribute the  $E_T^{\text{miss}}$  measurement.

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<sup>10</sup>The  $\lambda$  represents the hadronic interaction lengths which is the mean free path of a strongly interacting particle between two inelastic scatterings.

## Energy resolution

The energy resolution is the ability of the calorimeter to distinguish the two adjacent energies. The number of ionized particles  $N$  is proportional to the energy  $E$  of the incoming particle. Therefore, the higher energy of the incoming particle the more ionized particles produced in the shower. Based on the Poisson statistics we know

$$\frac{\sigma_E}{E} \propto \frac{\sigma_N}{N} = \frac{\sqrt{N}}{N} = \frac{1}{\sqrt{N}} \propto \frac{1}{\sqrt{E}} \quad (4.8)$$

where  $\sigma_E$  is the energy resolution at FWHM<sup>11</sup> in a Gaussian distribution and  $\sigma_N = \sqrt{N}$  is the Poisson standard deviation. Taking the effects of calibration and electronics noise into account, the relative energy resolution becomes

$$\frac{\sigma_E}{E} = \frac{a}{E} \oplus \frac{b}{\sqrt{E}} \oplus c \quad (4.9)$$

where  $a, b, c$  are noise, sampling, and constant terms, respectively. The relative energy resolutions for ECAL, HCAL, and FCAL are summarized in Table 4.1.

| Calorimeter                 | Required resolution                                   |
|-----------------------------|---|
| Electromagnetic calorimeter | $\sigma_E/E = 10\%/\sqrt{E(\text{GeV})} \oplus 0.7\%$ |
| Hadronic calorimeter        | $\sigma_E/E = 50\%/\sqrt{E(\text{GeV})} \oplus 3\%$   |
| Forward calorimeter         | $\sigma_E/E = 100\%/\sqrt{E(\text{GeV})} \oplus 10\%$ |

**Table 4.1:** Resolution requirements for the different calorimeters of the ATLAS detector [66].

### 4.2.4 The muon spectrometer

The outermost part of the ATLAS detector is the *muon spectrometer* [66, 77, 78]. Muons have the same properties as electrons but 200 times heavier than the electrons. Because

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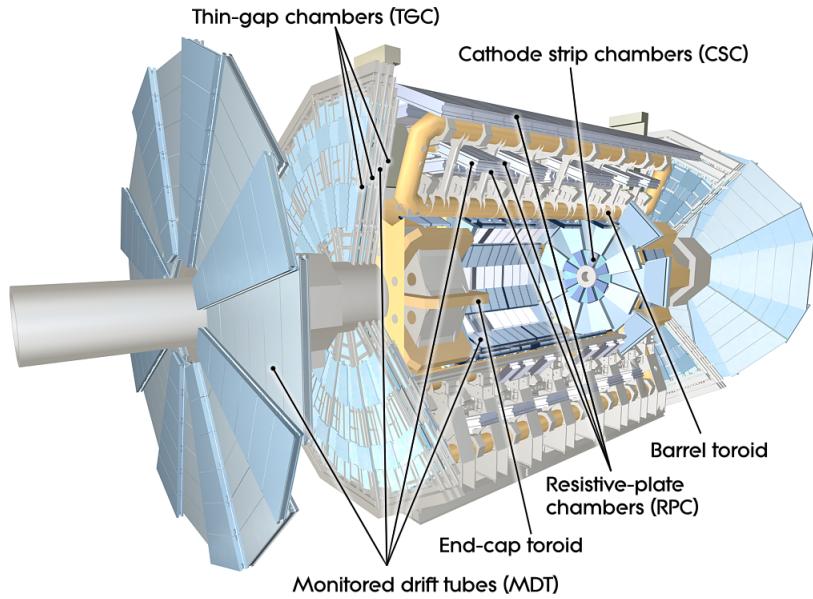
<sup>11</sup>The FWHM means full width at half maximum.

muons don't interact predominately by bremsstrahlung, most of the muons escape the inner detector and calorimeters without being stopped. Only the muons with an energy less than 5 GeV are stopped before the muon spectrometer. Therefore, a detector concentrates on the measurement of high precision momentum and trajectory of muons is necessary.

The muon spectrometer is designed to measure the transverse momentum ( $p_T$ ) of muons with  $p_T > 3$  GeV with a resolution of 3% for  $p_T < 250$  GeV and increasing to 10% at 1 TeV. It consists of large toroid magnets system and high precision tracking chambers allowing a precise measurement of the muon momentum over nearly the full solid angle. The barrel toroid magnet system is composed of eight superconducting coils which are installed radial symmetrically around the beam pipe. It covers the range  $|\eta| < 1.4$  and bends the trajectories of muons with the bending power 1.5 to 5.5 Tm. The magnetic field produced by the barrel toroid magnets provides an approximately 1 T field at the center of each coils, but is rather non-uniform, especially in the barrel-endcap transition region. In the endcap toroid magnets system, the magnetic field is provided by eight superconducting coils, closed in an insulation vessel extending to about 10 m in diameter, located between the first and the second station of tracking chambers. The endcap toroid magnets cover  $1.6 < |\eta| < 2.4$  and provide a magnetic field in the range of 1 to 2 T with bending power 1 to 7.5 Tm.

The *monitored drift tubes* (MDT) consists of cylindrical drift tubes, filled with a gas mixture of Ar and CO<sub>2</sub>. A tungsten-rhenium alloyed aluminum wire in the centre of each tube collects the electrons freed by ionization of the gas volume by traversing muons. The MDT covers a full range of  $|\eta| < 2.7$ , while the inner layer only covers  $|\eta| < 2.0$ . The *cathode strip chambers* (CSC) provides a coverage range  $2.0 < |\eta| < 2.7$ , where MDTs would have occupancy problems. The CSC is made up by two discs and filled with Ar

and CO<sub>2</sub> gas mixture. Both MDT and CSC are slow for trigger but they provide high precision tracking in the spectrometer bending plane and end-cap inner layer, respectively. The *resistive plate chambers* (RPC) and *thin gap chambers* (TGC) are used for triggering in barrel and end-cap, they have sufficient intrinsic time resolution of 1.5 ns and 4 ns, respectively. A sketch of the muon spectrometer and its four components are depicted in Fig. 4.6 and Table 4.2 gives a summary of the muon spectrometer components.



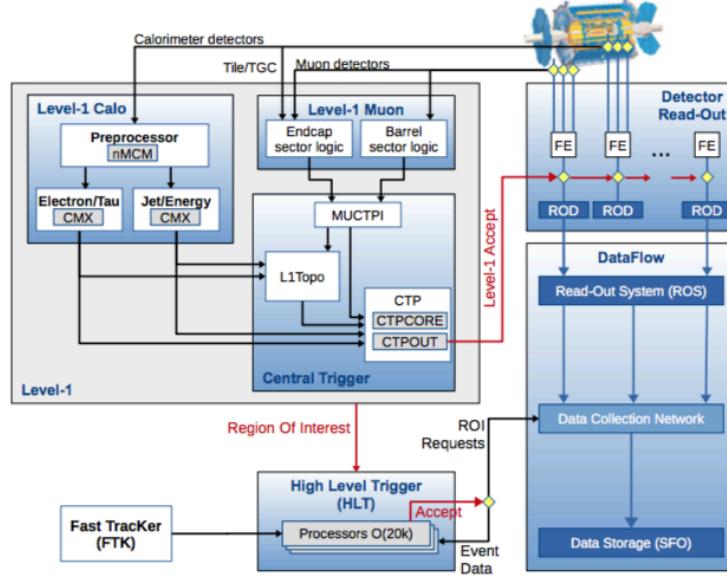
**Figure 4.6:** Sketch of the muon system of the ATLAS detector [66].

| Type | Purpose  | Location         | $\eta$ coverage    | Channel |
|------|----------|------------------|--------------------|---------|
| MDT  | Tracking | barrel + end-cap | $0.0 < \eta < 2.7$ | 354k    |
| CSC  | Tracking | end-cap layer 1  | $2.0 < \eta < 2.7$ | 30.7k   |
| RPC  | Trigger  | barrel           | $0.0 < \eta < 1.0$ | 373k    |
| TGC  | Trigger  | end-cap          | $1.0 < \eta < 2.4$ | 318k    |

**Table 4.2:** A summary of the muon spectrometer components.

#### 4.2.5 The trigger system and data acquisition

The LHC  $pp$  collision rate is 40 MHz corresponding to 50 TB/s data<sup>12</sup> generated by the ATLAS detector [79]. However, the limited rate for writing the events into disk is about 1 kHz<sup>13</sup>. The majority of the products of the  $pp$  collision are low  $p_T$  QCD processes which are not the interesting events for the analysis. Hence, the three-level ATLAS trigger and data acquisition (DAQ) system is designed to pick the interesting events and reduce the data size. Figure 4.7 shows the functional view of the ATLAS trigger/DAQ system and the brief descriptions are given in the following paragraph.



**Figure 4.7:** The schematic view of the ATLAS trigger/DAQ system in Run-2. The figure is taken from [80].

#### The level-1 trigger

The initial selection is made by the hardware-based *level-1* (LVL1) trigger based on reduced-granularity information from calorimeters and the muon spectrometer. The

<sup>12</sup>Assuming the typical event size is 1.3 MB.

<sup>13</sup>The data storage rate is 200 Hz in Run-1 but it is increased to about 1 kHz in Run-2.

latency<sup>14</sup> of the level-1 trigger is required to be less than  $2.5 \mu s$ <sup>15</sup>. The high  $p_T$  muons are identified using only RPC and TGC. The high  $p_T e/\gamma$ , jets, hadronically decaying  $\tau$ -leptons, large  $E_T^{\text{miss}}$  and total  $E_T$  objects are selected by calorimeter trigger using a number of sets of  $p_T$  thresholds<sup>16</sup> and the energy isolation cuts can be applied. The selected events are read out from the front-end electronics into *readout drivers* (RODs) and written into *readout buffers* (ROBs). The information such as the  $p_T$ ,  $\eta$ , and  $\phi$  of the candidate objects and  $E_T^{\text{miss}}$  and total  $E_T$  are saved into *region-of-interest* (ROI) and send to the high level trigger. The level-1 trigger reduces the event rate from the high LHC bunch crossing rate to 100 kHz.

### The high level trigger

The *level-2* trigger and *event filter* (EF) computer clusters used in Run-1 are merged into a single event professing *high level trigger* (HLT) farm in Run-2. This combination reduces the complexity, allows resource sharing between algorithms, and results in a more flexible HLT. The HLT is completely software based trigger system and it uses the ROI information from the level-1 trigger and the tracking information from the inner detector. The full-event track reconstruction information is performed by the *fast tracker* (FTK) system after each level-1 trigger and provided to the HLT. The trigger reconstruction algorithms for HLT were re-optimized to minimize the differences between the HLT and the offline analysis selections. The output rate of the HLT is approximately 1 kHz within a processing time about  $200 \mu s$ .

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<sup>14</sup>The latency is the time interval from the  $pp$  collision until trigger decision is available to the front-end electronics.

<sup>15</sup>The target latency for the level-1 trigger is  $2.0 \mu s$ .

<sup>16</sup>Typically, there are 6 to 8 sets of thresholds per object type.

# Chapter 5

## Data set and simulated events

This chapter describes the collision data and simulated event samples used in searching for electroweak production of SUSY states in the compressed scenarios. The collision data are presented in Sect. 5.1 and the Monte Carlo (MC) simulated event samples are detailed in Sect. 5.2. The samples used for searching the strongly-produced SUSY particles in final states with two same-sign or three lepton and jets (SS/3L+jets) can be found in the App. D.1.

### 5.1 Collision data

The  $pp$  collision data used in this analysis were collected by the ATLAS detector at  $\sqrt{s} = 13$  TeV during 2015 and 2016 at LHC. The data corresponds to an integrated luminosity of  $36.1 \text{ fb}^{-1}$  ( $3.2 \text{ fb}^{-1}$  in 2015 and  $32.9 \text{ fb}^{-1}$  in 2016) with a combined uncertainty of 2.1% after applying beam, detector, and data-quality requirements. The combined uncertainty is derived following the methodology similar to those described in Ref. [81]. The average number of  $pp$  interactions per bunch crossing (pile-up) is 13.5 in the 2015 data set and is 25 in the 2016 data set. The data samples are required to satisfy the following good runs list (GRLs) as recommended by the ATLAS collaboration

- `data15_13TeV.periodAllYear_DetStatus-v79-repro20-02_DQDefects-00-02-02_PHYS_StandardGRL_All_Good_25ns.xml`
- `data16_13TeV.periodAllYear_DetStatus-v88-pro20-21_DQDefects-00-02-04_PHYS_StandardGRL_All_Good_25ns.xml`

Events are selected using different inclusive  $E_T^{\text{miss}}$  triggers depending on the run period as listed in Table 5.1. Two new triggers, `HLT_mu4_j125_xe90_mht` and `HLT_2mu4_j85_xe50_mht`, are developed for compressed scenarios starting from run number 308084. However, these new triggers only contribute a small gain compared to the inclusive  $E_T^{\text{miss}}$  triggers. This analysis uses inclusive  $E_T^{\text{miss}}$  triggers only.

| Run period | $E_T^{\text{miss}}$ trigger       |
|------------|-----------------------------------|
| 2015       | <code>HLT_xe70_mht</code>         |
| A-D3       | <code>HLT_xe90_mht.L1XE50</code>  |
| D4-F1      | <code>HLT_xe100_mht.L1XE50</code> |
| F1-        | <code>HLT_xe110_mht.L1XE50</code> |

**Table 5.1:** The inclusive  $E_T^{\text{miss}}$  triggers used in this analysis. The  $E_T^{\text{miss}}$  threshold varies from 70 to 110 GeV depending on the run period.

## 5.2 Monte Carlo simulated event samples

MC samples are used to model the SUSY signals and to estimate the SM background. All SM background MC samples were processed through a detailed ATLAS detector simulation based on GEANT4 [82] and the SUSY signal samples were simulated by a fast simulation (AF2) that parameterizes the calorimeter response [83]. To simulate the effects of additional  $pp$  collisions (pile-up) in the same and nearby bunch crossings, inelastic interactions were generated using the soft QCD processes of PYTHIA v8.186 [84] with A2 tune [85] and the MSTW2008LO PDF set [86]. These MC events were overlaid onto each

simulated hard-scatter event and re-weighted to match the pile-up conditions observed in the data.

### 5.2.1 The SM background samples

The SHERPA 2.1.1, 2.2.1, and 2.2.2 [87] were used to produce the  $Z^{(*)}/\gamma^*$  + jets, diboson, and triboson events. The matrix elements (ME) were calculated for up to two partons at next-to-leading order (NLO) and up to four partons at leading order (LO) depending on the process. The  $Z^{(*)}/\gamma^*$  + jets and diboson samples cover the dilepton invariant masses from 0.5 GeV for  $Z^{(*)}/\gamma^* \rightarrow e^+e^-/\mu^+\mu^-$  and from 3.8 GeV for  $Z^{(*)}/\gamma^* \rightarrow \tau^+\tau^-$ . The POWHEG-Box v1 and v2 interfaced to PYTHIA 6.428 were used to simulated  $t\bar{t}$  and single-top production at NLO in the ME. The Higgs boson production were generated using POWHEG-Box v2 interfaced to PYTHIA 8.186. A Higgs boson in association with a  $W$  or  $Z$  boson production was simulated using MG5\_AMC@NLO 2.2.2 with PYTHIA 8.186 and the ATLAS A14 tune. The processes containing  $t\bar{t}$  and at least one electroweak bosons were produced using MG5\_AMC@NLO 2.2.1, 2.2.2, 2.3.2, 2.3.3 with PYTHIA 6.4.28 or 8.186. These processes were generated at NLO in the ME except for  $t+Z$  and  $t+t\bar{t}$  which were produced at LO. Table 5.2 summarizes the event generator configurations of the ME, parton shower (PS), PDF set, and the cross-section normalization. Except those produced by SHERPA event generator, the EvtGEN v1.2.0 [88] was used to model the decay of bottom and charm hadrons in all MC samples.

### 5.2.2 The SUSY signal samples

The NUHM2 model allows the masses of the Higgs doublets  $m_{H_u}$  and  $m_{H_d}$  differ from the universal scalar mass  $m_0$  at the GUT scale. The parameters of the NUHM2 model were

| Process                          | Matrix element               | Parton shower | PDF set        | Cross-section     |
|----------------------------------|------------------------------|---------------|----------------|-------------------|
| $Z^{(*)}/\gamma^* + \text{jets}$ | SHERPA 2.2.1                 |               | NNPDF 3.0 NNLO | NNLO              |
| Diboson                          | SHERPA 2.1.1 / 2.2.1 / 2.2.2 |               | NNPDF 3.0 NNLO | Generator NLO     |
| Triboson                         | SHERPA 2.2.1                 |               | NNPDF 3.0 NNLO | Generator LO, NLO |
| $t\bar{t}$                       | POWHEG-Box v2                | PYTHIA 6.428  | NLO CT10       | NNLO + NNLL       |
| $t$ ( $s$ -channel)              | POWHEG-Box v1                | PYTHIA 6.428  | NLO CT10       | NNLO + NNLL       |
| $t$ ( $t$ -channel)              | POWHEG-Box v1                | PYTHIA 6.428  | NLO CT10f4     | NNLO + NNLL       |
| $t + W$                          | POWHEG-Box v1                | PYTHIA 6.428  | NLO CT10       | NNLO + NNLL       |
| $h(\rightarrow \ell\ell, WW)$    | POWHEG-Box v2                | PYTHIA 8.186  | NLO CTEQ6L1    | NLO               |
| $h + W/Z$                        | MG5_AMC@NLO 2.2.2            | PYTHIA 8.186  | NNPDF 2.3 LO   | NLO               |
| $t\bar{t} + W/Z/\gamma^*$        | MG5_AMC@NLO 2.3.3            | PYTHIA 8.186  | NNPDF 3.0 LO   | NLO               |
| $t\bar{t} + WW/t\bar{t}$         | MG5_AMC@NLO 2.2.2            | PYTHIA 8.186  | NNPDF 2.3 LO   | NLO               |
| $t + Z$                          | MG5_AMC@NLO 2.2.1            | PYTHIA 6.428  | NNPDF 2.3 LO   | LO                |
| $t + WZ$                         | MG5_AMC@NLO 2.3.2            | PYTHIA 8.186  | NNPDF 2.3 LO   | NLO               |
| $t + t\bar{t}$                   | MG5_AMC@NLO 2.2.2            | PYTHIA 8.186  | NNPDF 2.3 LO   | LO                |

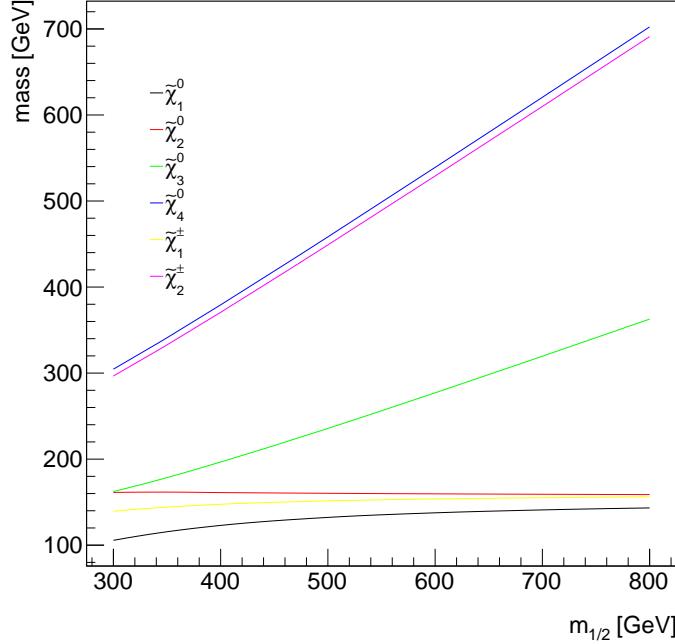
**Table 5.2:** The MC simulated samples of SM background process.

fixed to  $m_0 = 5$  TeV,  $m_A = 1$  TeV,  $A_0 = -1.6m_0$ ,  $\tan\beta = 15$ ,  $\mu = 150$  GeV, and the  $m_{1/2}$  is varied from 350 to 800 GeV as suggested in Ref. [56]. These parameter settings lead to RNS with low EWFT which keeps the Higgs boson mass about 125 GeV, the masses of  $\tilde{g}$  and  $\tilde{q}$  about TeV scale, and the light Higgsino mass about  $\mu$ . The mass spectra and decay branching ratios were calculated using ISAJET v7.84 [89] and the cross-sections and the theoretical uncertainties were calculated to NLO using PROSPINO v2.1 [90].

## The NUHM2 mass spectra

Figure 5.1 shows the mass spectra of the charginos  $\tilde{\chi}_{1,2}^\pm$  and neutralinos  $\tilde{\chi}_{1,2,3,4}^0$  as a function of  $m_{1/2}$  in the NUHM2 model and the mass splitting spectra between electroweakinos as a function of  $m_{1/2}$  are shown in Fig. 5.2. The masses of lower mass electroweakinos  $\tilde{\chi}_{1,2}^0$  and  $\tilde{\chi}_1^\pm$  are roughly flat when  $m_{1/2} > 500$  GeV, however, the masses of higher mass electroweakinos  $\tilde{\chi}_{3,4}^0$  and  $\tilde{\chi}_2^\pm$  increased with  $m_{1/2}$ . The mass splittings between the lower

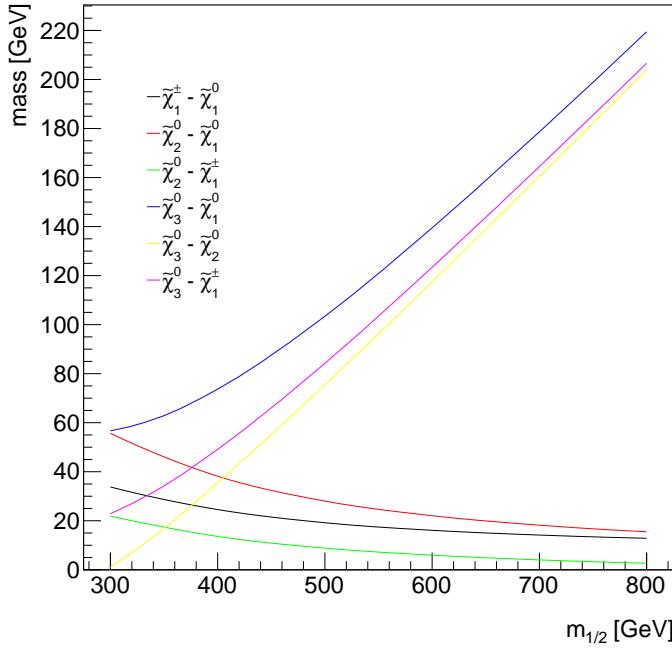
mass electroweakinos decrease with  $m_{1/2}$  and the mass splittings between  $\tilde{\chi}_3^0$  and the lower mass chargino  $\tilde{\chi}_1^\pm$  or neutralinos  $\tilde{\chi}_{1,2}^0$  increase with  $m_{1/2}$ . In the NUHM2 model, the  $m_{\tilde{\chi}_1^\pm}$  doesn't set to exactly in the middle between  $m_{\tilde{\chi}_1^0}$  and  $m_{\tilde{\chi}_2^0}$  but it varied such that the mass ratio varies from 1.61 to 1.21 as shown in Table 5.3.



**Figure 5.1:** The mass spectra of the charginos  $\tilde{\chi}_{1,2}^\pm$  and neutralinos  $\tilde{\chi}_{1,2,3,4}^0$  as a function of  $m_{1/2}$  in the NUHM2 model. The  $m_{\tilde{\chi}_1^0}$ ,  $m_{\tilde{\chi}_2^0}$ , and  $m_{\tilde{\chi}_1^\pm}$  are roughly flat when  $m_{1/2} > 500$  GeV. The  $m_{\tilde{\chi}_3^0}$ ,  $m_{\tilde{\chi}_4^0}$ , and  $m_{\tilde{\chi}_2^\pm}$  are heavier and increase with  $m_{1/2}$ .

## The NUHM2 cross-sections

The electroweakinos are divided into two categories, compressed and accessible, in the NUHM2 model. The compressed category contains the lower mass charginos  $\tilde{\chi}_1^\pm$  and neutralinos  $\tilde{\chi}_{1,2}^0$  and the accessible category contains the higher mass charginos  $\tilde{\chi}_2^\pm$  and neutralinos  $\tilde{\chi}_{3,4}^0$ . Figures 5.3a and 5.3b show the cross-sections for different combinations of electroweakino production and the detail values can be found in App. A. The largest

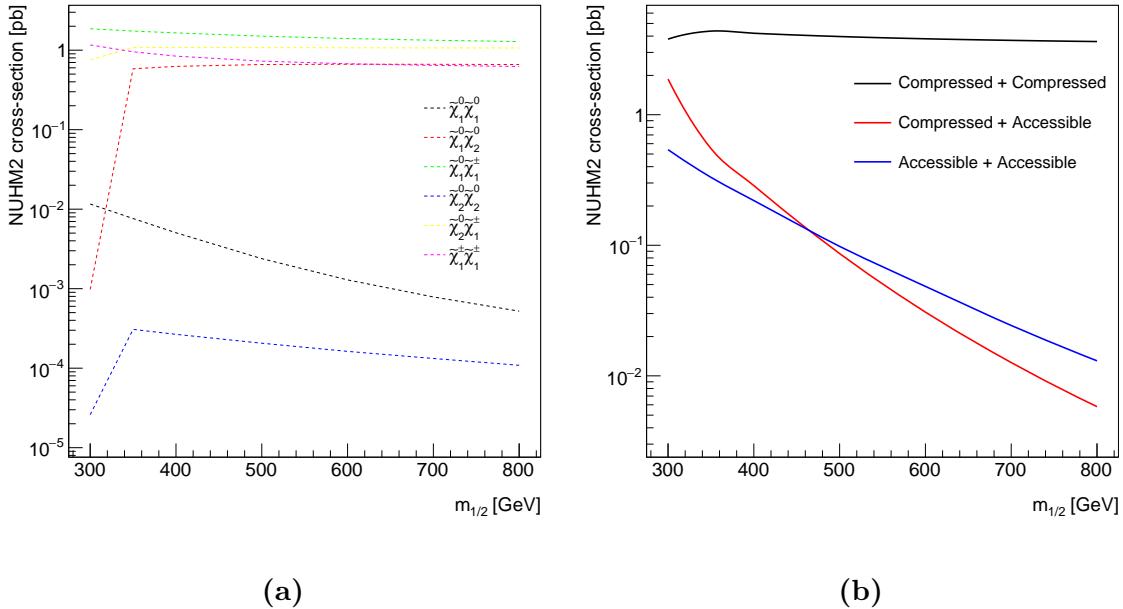


**Figure 5.2:** The mass splitting spectra between charginos and neutralinos in the NUHM2 model. The mass differences  $\Delta m(\tilde{\chi}_3^0, \tilde{\chi}_{1,2}^0)$  and  $\Delta m(\tilde{\chi}_3^0, \tilde{\chi}_1^\pm)$  increase with  $m_{1/2}$ . The mass differences  $\Delta m(\tilde{\chi}_1^\pm, \tilde{\chi}_1^0)$ ,  $\Delta m(\tilde{\chi}_2^0, \tilde{\chi}_1^0)$ , and  $\Delta m(\tilde{\chi}_2^0, \tilde{\chi}_1^\pm)$  decrease with  $m_{1/2}$ .

| $m_{1/2}$ [GeV] | $m_{\tilde{\chi}_2^0}$ [GeV] | $m_{\tilde{\chi}_1^\pm}$ [GeV] | $m_{\tilde{\chi}_1^0}$ [GeV] | $(m_{\tilde{\chi}_2^0} - m_{\tilde{\chi}_1^\pm}) / (m_{\tilde{\chi}_1^\pm} - m_{\tilde{\chi}_1^0})$ |
|-----------------|------------------------------|--------------------------------|------------------------------|---|
| 350             | 161.68                       | 144.29                         | 115.62                       | 1.61  |
| 400             | 161.14                       | 147.54                         | 122.97                       | 1.55  |
| 500             | 160.30                       | 151.47                         | 132.28                       | 1.46  |
| 600             | 159.66                       | 153.71                         | 137.61                       | 1.37  |
| 700             | 159.17                       | 155.14                         | 140.98                       | 1.28  |
| 800             | 158.78                       | 156.14                         | 143.29                       | 1.21  |

**Table 5.3:** The masses of  $\tilde{\chi}_1^0$ ,  $\tilde{\chi}_2^0$ , and  $\tilde{\chi}_1^\pm$  and the ratios of the mass difference between  $(m_{\tilde{\chi}_2^0} - m_{\tilde{\chi}_1^\pm})$  and  $(m_{\tilde{\chi}_1^\pm} - m_{\tilde{\chi}_1^0})$ . The  $m_{\tilde{\chi}_1^\pm}$  doesn't set to the middle between  $m_{\tilde{\chi}_1^0}$  and  $m_{\tilde{\chi}_2^0}$ .

cross-section is the compressed + compressed production and is almost independent of  $m_{1/2}$ . The cross-section of compressed + accessible and accessible + accessible productions are much smaller than the compressed + compressed production and they decrease quickly when  $m_{1/2}$  increases. Therefore, only the different combinations of compressed production are considered in this analysis. The compressed + compressed production has cross-section about  $pb$  scale at 13 TeV, hence the Higgsino analysis is expected to have good sensitivity for NUHM2 model.



**Figure 5.3:** The NUHM2 cross-sections for (a) different combinations of compressed + compressed production and (b) all compressed + compressed, compressed + accessible, and accessible + accessible productions.

### The NUHM2 production channels and relevant decays

The compressed category contains  $\tilde{\chi}_1^\pm$ ,  $\tilde{\chi}_1^0$ , and  $\tilde{\chi}_2^0$ . Therefore, the compressed + compressed productions can be specified by  $\tilde{\chi}_1^0 \tilde{\chi}_1^0$ ,  $\tilde{\chi}_1^0 \tilde{\chi}_2^0$ ,  $\tilde{\chi}_1^0 \tilde{\chi}_1^\pm$ ,  $\tilde{\chi}_2^0 \tilde{\chi}_2^0$ ,  $\tilde{\chi}_2^0 \tilde{\chi}_1^\pm$ , and  $\tilde{\chi}_1^\pm \tilde{\chi}_1^\mp$ . Because the

highest sensitivity of this analysis is expected using 2 leptons, only the productions containing 2 leptons are considered. The  $R$ -parity conservation requires  $\tilde{\chi}_1^0$ , which is LSP, to be stable, therefore, the  $\tilde{\chi}_1^0\tilde{\chi}_1^0$  production doesn't pass 2 leptons requirement. The cross-section of  $\tilde{\chi}_2^0\tilde{\chi}_2^0$  production is very small so it can be neglected. The  $\tilde{\chi}_1^\pm$  decays into a  $W^\pm$  and a  $\tilde{\chi}_1^0$ , therefore, the  $\tilde{\chi}_1^0\tilde{\chi}_1^\pm$  doesn't produce 2 leptons in the final state. Only the  $\tilde{\chi}_2^0\tilde{\chi}_1^0$ ,  $\tilde{\chi}_2^0\tilde{\chi}_1^\pm$ , and  $\tilde{\chi}_1^\pm\tilde{\chi}_1^\mp$  productions are consider in this analysis.

The neutralino  $\tilde{\chi}_2^0$  can decay into  $\gamma\tilde{\chi}_1^0$ ,  $W^\pm\tilde{\chi}_1^\mp$ ,  $q\bar{q}\tilde{\chi}_1^0$ ,  $\ell^+\ell^-\tilde{\chi}_1^0$ , and  $\nu\bar{\nu}\tilde{\chi}_1^0$ . Table 5.4 lists the branching ratios for all possible  $\tilde{\chi}_2^0$  decays for  $m_{1/2} = 600$  GeV. Since the  $\tilde{\chi}_2^0 \rightarrow \gamma\tilde{\chi}_1^0$  has very small branching ratio, this decay can be neglected.

| Decay   | Branching Ratio             | type  |
|---|-----------------------------|---|
| $\tilde{\chi}_2^0 \rightarrow \gamma\tilde{\chi}_1^0$                   | $3.91677720 \times 10^{-3}$ | -   |
| $\tilde{\chi}_2^0 \rightarrow \tilde{\chi}_1^- u\bar{d}$                | $7.45565048 \times 10^{-4}$ |   |
| $\tilde{\chi}_2^0 \rightarrow \tilde{\chi}_1^- \nu_e e^+$               | $2.48521683 \times 10^{-4}$ |   |
| $\tilde{\chi}_2^0 \rightarrow \tilde{\chi}_1^- \nu_\mu \mu^+$           | $2.48521683 \times 10^{-4}$ |   |
| $\tilde{\chi}_2^0 \rightarrow \tilde{\chi}_1^+ d\bar{u}$                | $7.45565048 \times 10^{-4}$ |   |
| $\tilde{\chi}_2^0 \rightarrow \tilde{\chi}_1^+ e^- \bar{\nu}_e$         | $2.48521683 \times 10^{-4}$ |   |
| $\tilde{\chi}_2^0 \rightarrow \tilde{\chi}_1^+ \mu^- \bar{\nu}_\mu$     | $2.48521683 \times 10^{-4}$ | $\tilde{\chi}_2^0 \rightarrow W^\pm\tilde{\chi}_1^\mp$        |
| $\tilde{\chi}_2^0 \rightarrow \tilde{\chi}_1^- c\bar{s}$                | $7.45565048 \times 10^{-4}$ |   |
| $\tilde{\chi}_2^0 \rightarrow \tilde{\chi}_1^- \nu_\tau \tau^+$         | $2.48521683 \times 10^{-4}$ |   |
| $\tilde{\chi}_2^0 \rightarrow \tilde{\chi}_1^+ s\bar{c}$                | $7.45565048 \times 10^{-4}$ |   |
| $\tilde{\chi}_2^0 \rightarrow \tilde{\chi}_1^+ \tau^- \bar{\nu}_\tau$   | $2.48521683 \times 10^{-4}$ |   |
| $\tilde{\chi}_2^0 \rightarrow \tilde{\chi}_1^0 u\bar{u}$                | $1.25538409 \times 10^{-1}$ |   |
| $\tilde{\chi}_2^0 \rightarrow \tilde{\chi}_1^0 d\bar{d}$                | $1.61880091 \times 10^{-1}$ |   |
| $\tilde{\chi}_2^0 \rightarrow \tilde{\chi}_1^0 s\bar{s}$                | $1.61880091 \times 10^{-1}$ | $\tilde{\chi}_2^0 \rightarrow q\bar{q}\tilde{\chi}_1^0$       |
| $\tilde{\chi}_2^0 \rightarrow \tilde{\chi}_1^0 c\bar{c}$                | $1.25538409 \times 10^{-1}$ |   |
| $\tilde{\chi}_2^0 \rightarrow \tilde{\chi}_1^0 b\bar{b}$                | $9.05863643 \times 10^{-2}$ |   |
| $\tilde{\chi}_2^0 \rightarrow \tilde{\chi}_1^0 e^- e^+$                 | $3.67224030 \times 10^{-2}$ |   |
| $\tilde{\chi}_2^0 \rightarrow \tilde{\chi}_1^0 \mu^- \mu^+$             | $3.67224030 \times 10^{-2}$ | $\tilde{\chi}_2^0 \rightarrow \ell^+ \ell^- \tilde{\chi}_1^0$ |
| $\tilde{\chi}_2^0 \rightarrow \tilde{\chi}_1^0 \tau^- \tau^+$           | $3.35381366 \times 10^{-2}$ |   |
| $\tilde{\chi}_2^0 \rightarrow \tilde{\chi}_1^0 \nu_e \bar{\nu}_e$       | $7.30678439 \times 10^{-2}$ |   |
| $\tilde{\chi}_2^0 \rightarrow \tilde{\chi}_1^0 \nu_\mu \bar{\nu}_\mu$   | $7.30678439 \times 10^{-2}$ | $\tilde{\chi}_2^0 \rightarrow \nu\bar{\nu}\tilde{\chi}_1^0$   |
| $\tilde{\chi}_2^0 \rightarrow \tilde{\chi}_1^0 \nu_\tau \bar{\nu}_\tau$ | $7.30678812 \times 10^{-2}$ |   |

**Table 5.4:** The possible  $\tilde{\chi}_2^0$  decays in NUHM2 model with  $m_{1/2} = 600$  GeV. The  $\tilde{\chi}_2^0 \rightarrow \gamma\tilde{\chi}_1^0$  has the lowest branching ratio hence it is not considered in our study. The rest of the decays are categorized into 4 types as shown in the third column.

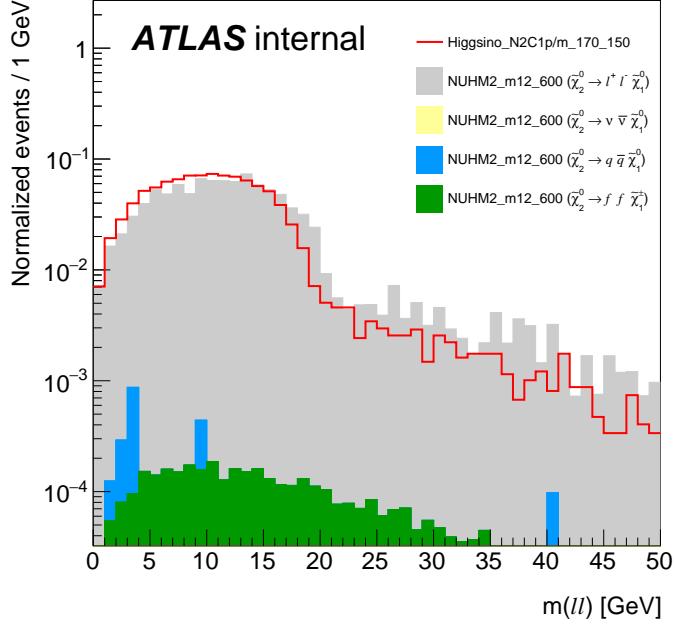
The MC samples for the  $\tilde{\chi}_2^0 \tilde{\chi}_1^\pm$  generated by  $pp$  collision are produced where four kinds of  $\tilde{\chi}_2^0$  decay are specified to determine the dominant one and the  $\tilde{\chi}_1^\pm$  decay is assumed to be  $\tilde{\chi}_1^\pm \rightarrow W^\pm \tilde{\chi}_1^0 \rightarrow f\bar{f}\tilde{\chi}_1^0$ . Since  $\tilde{\chi}_2^0 \rightarrow q\bar{q}\tilde{\chi}_1^0$  and  $\tilde{\chi}_2^0 \rightarrow \nu\bar{\nu}\tilde{\chi}_1^0$  do not satisfy the 2 leptons requirement, the  $\tilde{\chi}_2^0$  decay should be dominated by  $\tilde{\chi}_2^0 \rightarrow W^\pm \tilde{\chi}_1^\mp$  and  $\tilde{\chi}_2^0 \rightarrow \ell^+\ell^-\tilde{\chi}_1^0$ . Table 5.5 shows the 2 leptons filter efficiency for the  $\tilde{\chi}_2^0$  decays considered, the number of events in each decay type, and the contributions to the whole  $\tilde{\chi}_2^0$  decay. Because the  $\tilde{\chi}_2^0 \rightarrow \ell^+\ell^-\tilde{\chi}_1^0$  contributes more than 99%, the other 3 decays can be neglected. Although  $\tilde{\chi}_2^0 \rightarrow q\bar{q}\tilde{\chi}_1^0$  and  $\tilde{\chi}_2^0 \rightarrow \nu\bar{\nu}\tilde{\chi}_1^0$  are expected to contribute nothing, due to the presence of the fake leptons, there are some contributions. This is expected, as no requirement on the truth matching was used in the selection.

| Decay type  | $\mathcal{BR}$ | Filter efficiency                                       |   |                    |                                     |
|---|----------------|---|---|--------------------|-------------------------------------|
|   |                | $pp \rightarrow \tilde{\chi}_2^0 \tilde{\chi}_1^+$      | $pp \rightarrow \tilde{\chi}_2^0 \tilde{\chi}_1^-$      | $N_{\text{event}}$ | $N_{\text{event}}/N_{\text{total}}$ |
|   |                | $\tilde{\chi}_1^+ \rightarrow f\bar{f}\tilde{\chi}_1^0$ | $\tilde{\chi}_1^- \rightarrow f\bar{f}\tilde{\chi}_1^0$ |                    |                                     |
| $\tilde{\chi}_2^0 \rightarrow W^\pm \tilde{\chi}_1^\mp$     | 0.004473       | 0.117129  | 0.123213  | 1.032              | 0.377%                              |
| $\tilde{\chi}_2^0 \rightarrow q\bar{q}\tilde{\chi}_1^0$     | 0.665423       | 0.029174  | 0.028922  | 0.386              | 0.141%                              |
| $\tilde{\chi}_2^0 \rightarrow \ell^+\ell^-\tilde{\chi}_1^0$ | 0.106983       | 0.605510  | 0.619579  | 272.463            | 99.482%                             |
| $\tilde{\chi}_2^0 \rightarrow \nu\bar{\nu}\tilde{\chi}_1^0$ | 0.219204       | 0.009555  | 0.010037  | 0                  | 0.0%                                |
| All $\tilde{\chi}_2^0$ decays                               | 1              | -   | -   | 273.881            | 100%                                |

**Table 5.5:** The 2 leptons filter efficiency for 4 kinds of  $\tilde{\chi}_2^0$  decay, the number of events for each decay in  $0 < m_{\ell\ell} < 50$  GeV, and the contributions to the whole  $\tilde{\chi}_2^0$  decay. The transverse momentum of 2 leptons are required to be greater than 2 GeV and no  $E_{\text{T}}^{\text{miss}}$  requirement is applied in the filter.

Figure 5.4 shows the  $m_{\ell\ell}$  distributions in the NUHM2 model with  $m_{1/2} = 600$  GeV and in the simplified Higgsino model with  $m_{\tilde{\chi}_2^0} = 170$  GeV and  $m_{\tilde{\chi}_1^0} = 150$  GeV. In this

plot, only the  $\tilde{\chi}_2^0 \tilde{\chi}_1^\pm$  production is considered and the different  $\tilde{\chi}_2^0$  decay contributions are stacked.



**Figure 5.4:** The  $m_{\ell\ell}$  distributions for NUHM2 and simplified Higgsino models. The four possible  $\tilde{\chi}_2^0$  decay contributions for NUHM2 model are stacked and the  $\tilde{\chi}_2^0 \rightarrow \ell\ell\tilde{\chi}_1^0$  is the dominant decay (shown in grey area). The difference between two models come from the mass splitting  $\Delta m = m_{\tilde{\chi}_2^0} - m_{\tilde{\chi}_1^0}$  where  $\Delta m = 22$  GeV for NUHM2 and  $\Delta m = 20$  GeV for simplified Higgsino model.

### The NUHM2 generation

The ISAJET 7.84 SUSY mass spectrum generator are used to calculate the NUHM2 mass spectrum. The NUHM2 signal events were generated using MG5\_AMC@NLO v2.2.3 with NNPDF23LO PDF set up to two extra partons in the ME. The MADSPIN [91] were used to decay the electroweakinos which were required to produce at least two leptons in the final state. Then the results were interfaced with PYTHIA v8.186 using the A14 tune to

model the parton shower and hadronization. The PROSPINO v2.1 [92] is used to calculate the cross-section and theoretical uncertainties to the NLL level. A filter required 2 leptons of at least 3 GeV and  $E_T^{\text{miss}} \geq 50$  GeV was added at the generator level. Table 5.6 lists the NUHM2 MC production samples used in this analysis. The  $\tilde{\chi}_2^0\tilde{\chi}_1^\pm$ ,  $\tilde{\chi}_2^0\tilde{\chi}_1^0$ , and  $\tilde{\chi}_1^\pm\tilde{\chi}_1^\pm$  productions are considered in each  $m_{1/2}$  mass point. The relative branching ratios were calculated using SUSY-HIT v1.5b [93] and were used in the event weighting. Table 5.7 compares the branching ratios for  $\tilde{\chi}_2^0 \rightarrow \ell^+\ell^-\tilde{\chi}_1^0$  and  $\tilde{\chi}_1^\pm \rightarrow \ell\nu\tilde{\chi}_1^0$  calculating by ISAJET and SUSY-HIT. In the  $\tilde{\chi}_2^0\tilde{\chi}_1^\pm$ ,  $\tilde{\chi}_2^0\tilde{\chi}_1^0$  productions, the  $\tilde{\chi}_2^0$  decays via  $\tilde{\chi}_2^0 \rightarrow \ell^+\ell^-\tilde{\chi}_1^0$  and the  $\tilde{\chi}_1^\pm$  decays via  $\tilde{\chi}_1^\pm \rightarrow f\bar{f}\tilde{\chi}_1^0$ . But in the  $\tilde{\chi}_1^\pm\tilde{\chi}_1^\pm$  production, the  $\tilde{\chi}_1^\pm$  decays via  $\tilde{\chi}_1^\pm \rightarrow \ell\nu\tilde{\chi}_1^0$ .

| $m_{1/2}$ [GeV] | DSID   | Production                         | Cross-section [pb] | Process   | BF          | Filter Efficiency | Relative uncertainty |
|-----------------|--------|------------------------------------|--------------------|---|-------------|-------------------|----------------------|
| 350             | 394305 | $\tilde{\chi}_1^+\tilde{\chi}_1^-$ | 0.9548695995       | $\tilde{\chi}_1^\pm \rightarrow l\nu\tilde{\chi}_1^0$ | 0.333257248 | 0.129920          | 0.07280905           |
| 350             | 394306 | $\tilde{\chi}_2^0\tilde{\chi}_1^-$ | 0.3983657446       | $\tilde{\chi}_2^0 \rightarrow l\nu\tilde{\chi}_1^0$   | 0.101384714 | 0.252870          | 0.08599203           |
| 350             | 394307 | $\tilde{\chi}_2^0\tilde{\chi}_1^+$ | 0.6833463531       | $\tilde{\chi}_2^0 \rightarrow l\nu\tilde{\chi}_1^0$   | 0.101384714 | 0.255780          | 0.06443308           |
| 350             | 394308 | $\tilde{\chi}_2^0\tilde{\chi}_1^0$ | 0.5835187445       | $\tilde{\chi}_2^0 \rightarrow l\nu\tilde{\chi}_1^0$   | 0.101384714 | 0.227680          | 0.07193879           |
| 400             | 394309 | $\tilde{\chi}_1^+\tilde{\chi}_1^-$ | 0.8415837349       | $\tilde{\chi}_1^\pm \rightarrow l\nu\tilde{\chi}_1^0$ | 0.333237877 | 0.122010          | 0.07397928           |
| 400             | 394310 | $\tilde{\chi}_2^0\tilde{\chi}_1^-$ | 0.3976839861       | $\tilde{\chi}_2^0 \rightarrow l\nu\tilde{\chi}_1^0$   | 0.102934938 | 0.220640          | 0.08518583           |
| 400             | 394311 | $\tilde{\chi}_2^0\tilde{\chi}_1^+$ | 0.6845201512       | $\tilde{\chi}_2^0 \rightarrow l\nu\tilde{\chi}_1^0$   | 0.102934938 | 0.223890          | 0.06476776           |
| 400             | 394312 | $\tilde{\chi}_2^0\tilde{\chi}_1^0$ | 0.6255603991       | $\tilde{\chi}_2^0 \rightarrow l\nu\tilde{\chi}_1^0$   | 0.102934938 | 0.204160          | 0.07158509           |
| 500             | 394313 | $\tilde{\chi}_1^+\tilde{\chi}_1^-$ | 0.7280789222       | $\tilde{\chi}_1^\pm \rightarrow l\nu\tilde{\chi}_1^0$ | 0.333195704 | 0.108220          | 0.07328355           |
| 500             | 394314 | $\tilde{\chi}_2^0\tilde{\chi}_1^-$ | 0.3955900373       | $\tilde{\chi}_2^0 \rightarrow l\nu\tilde{\chi}_1^0$   | 0.105384522 | 0.189240          | 0.08416802           |
| 500             | 394315 | $\tilde{\chi}_2^0\tilde{\chi}_1^+$ | 0.6819165298       | $\tilde{\chi}_2^0 \rightarrow l\nu\tilde{\chi}_1^0$   | 0.105384522 | 0.188060          | 0.06356555           |
| 500             | 394316 | $\tilde{\chi}_2^0\tilde{\chi}_1^0$ | 0.6603094819       | $\tilde{\chi}_2^0 \rightarrow l\nu\tilde{\chi}_1^0$   | 0.105384522 | 0.176020          | 0.07005129           |
| 600             | 394317 | $\tilde{\chi}_1^+\tilde{\chi}_1^-$ | 0.6745140438       | $\tilde{\chi}_1^\pm \rightarrow l\nu\tilde{\chi}_1^0$ | 0.333158828 | 0.100020          | 0.07398616           |
| 600             | 394318 | $\tilde{\chi}_2^0\tilde{\chi}_1^-$ | 0.3930396433       | $\tilde{\chi}_2^0 \rightarrow l\nu\tilde{\chi}_1^0$   | 0.107603552 | 0.168710          | 0.08337329           |
| 600             | 394319 | $\tilde{\chi}_2^0\tilde{\chi}_1^+$ | 0.6791453722       | $\tilde{\chi}_2^0 \rightarrow l\nu\tilde{\chi}_1^0$   | 0.107603552 | 0.169260          | 0.06375124           |
| 600             | 394320 | $\tilde{\chi}_2^0\tilde{\chi}_1^0$ | 0.6656504736       | $\tilde{\chi}_2^0 \rightarrow l\nu\tilde{\chi}_1^0$   | 0.107603552 | 0.153530          | 0.07047924           |
| 700             | 394321 | $\tilde{\chi}_1^+\tilde{\chi}_1^-$ | 0.6438838471       | $\tilde{\chi}_1^\pm \rightarrow l\nu\tilde{\chi}_1^0$ | 0.333131205 | 0.093538          | 0.07295880           |
| 700             | 394322 | $\tilde{\chi}_2^0\tilde{\chi}_1^-$ | 0.3913281838       | $\tilde{\chi}_2^0 \rightarrow l\nu\tilde{\chi}_1^0$   | 0.109700775 | 0.158010          | 0.08575382           |
| 700             | 394323 | $\tilde{\chi}_2^0\tilde{\chi}_1^+$ | 0.6766070496       | $\tilde{\chi}_2^0 \rightarrow l\nu\tilde{\chi}_1^0$   | 0.109700775 | 0.158740          | 0.06427279           |
| 700             | 394324 | $\tilde{\chi}_2^0\tilde{\chi}_1^0$ | 0.6643270342       | $\tilde{\chi}_2^0 \rightarrow l\nu\tilde{\chi}_1^0$   | 0.109700775 | 0.137880          | 0.07021531           |
| 800             | 394325 | $\tilde{\chi}_1^+\tilde{\chi}_1^-$ | 0.6240319555       | $\tilde{\chi}_1^\pm \rightarrow l\nu\tilde{\chi}_1^0$ | 0.333112432 | 0.087180          | 0.07242344           |
| 800             | 394326 | $\tilde{\chi}_2^0\tilde{\chi}_1^-$ | 0.3906074836       | $\tilde{\chi}_2^0 \rightarrow l\nu\tilde{\chi}_1^0$   | 0.111642916 | 0.139150          | 0.08243869           |
| 800             | 394327 | $\tilde{\chi}_2^0\tilde{\chi}_1^+$ | 0.6748686972       | $\tilde{\chi}_2^0 \rightarrow l\nu\tilde{\chi}_1^0$   | 0.111642916 | 0.146310          | 0.06282954           |
| 800             | 394328 | $\tilde{\chi}_2^0\tilde{\chi}_1^0$ | 0.6598118363       | $\tilde{\chi}_2^0 \rightarrow l\nu\tilde{\chi}_1^0$   | 0.111642916 | 0.128250          | 0.06943069           |

**Table 5.6:** The NUHM2 MC sample dataset ID (DSID), productions, cross-sections, and decay processes and its relevant branching ratios, the filter efficiencies, and the uncertainties.

| $m_{1/2}$ [GeV] | Process  | Branching ratio |             | Difference (%) |
|-----------------|--|-----------------|-------------|----------------|
|                 |  | ISAJET          | SUSY-HIT    |                |
| 350             | $\tilde{\chi}_1^\pm \rightarrow \ell\bar{\nu}\tilde{\chi}_1^0$ | 0.333335355     | 0.333257248 | 0.02           |
|                 | $\tilde{\chi}_2^0 \rightarrow \ell\ell\tilde{\chi}_1^0$        | 0.101330683     | 0.101384714 | 0.05           |
| 400             | $\tilde{\chi}_1^\pm \rightarrow \ell\bar{\nu}\tilde{\chi}_1^0$ | 0.333336010     | 0.333237877 | 0.03           |
|                 | $\tilde{\chi}_2^0 \rightarrow \ell\ell\tilde{\chi}_1^0$        | 0.102880344     | 0.102934938 | 0.05           |
| 500             | $\tilde{\chi}_1^\pm \rightarrow \ell\bar{\nu}\tilde{\chi}_1^0$ | 0.333335965     | 0.333195704 | 0.04           |
|                 | $\tilde{\chi}_2^0 \rightarrow \ell\ell\tilde{\chi}_1^0$        | 0.105169062     | 0.105384522 | 0.20           |
| 600             | $\tilde{\chi}_1^\pm \rightarrow \ell\bar{\nu}\tilde{\chi}_1^0$ | 0.333335682     | 0.333158828 | 0.05           |
|                 | $\tilde{\chi}_2^0 \rightarrow \ell\ell\tilde{\chi}_1^0$        | 0.108826172     | 0.107603552 | 1.13           |
| 700             | $\tilde{\chi}_1^\pm \rightarrow \ell\bar{\nu}\tilde{\chi}_1^0$ | 0.333335459     | 0.333131205 | 0.06           |
|                 | $\tilde{\chi}_2^0 \rightarrow \ell\ell\tilde{\chi}_1^0$        | 0.108425085     | 0.109700775 | 1.16           |
| 800             | $\tilde{\chi}_1^\pm \rightarrow \ell\bar{\nu}\tilde{\chi}_1^0$ | 0.333335274     | 0.333112432 | 0.07           |
|                 | $\tilde{\chi}_2^0 \rightarrow \ell\ell\tilde{\chi}_1^0$        | 0.109469250     | 0.111642916 | 1.95           |

**Table 5.7:** The branching ratios calculated by ISAJET and SUSY-HIT. The difference are calculated with respect to the SUSY-HIT branching ratio results. Good agreement between the results from two branching ratio calculators can be seen. The largest difference between the results calculating by ISAJET and SUSY-HIT is less than 2%.

# Chapter 6

## Event reconstruction and selection

Candidate events are required to have at least a reconstructed  $pp$  interaction vertex with at least two  $p_T > 400$  MeV associated tracks. The vertex with the largest  $\sum p_T^2$  of the associated tracks is selected as the primary vertex of the event. In this chapter, the various object reconstructions and identifications in the ATLAS experiment are presented. The electron, muon, and tau objects are presented in Sect. 6.1.1, 6.1.2, and 6.1.3, respectively. Followed by the photons in Sect. 6.1.4, jets in Sect. 6.1.5, and  $E_T^{\text{miss}}$  in Sect. 6.1.6. Finally, the signal region (SR) selection is described in Sect. 6.2.

### 6.1 Object selections

This section presents the object definition and selection in the analysis. The general object selections for ATLAS are described followed by the specific selections used for this analysis. The definition of objects used in this analysis are based on the recommendations by Combined Performance groups and are summarized in Table 6.1. The objects are divided into two categories: preselected and signal objects where signal objects are a subset of preselected objects. Unless otherwise stated, the recommendations implemented in SUSYTools-00-08-69 [94] and AnalysisBase 2.4.37 [95] are used for all the objects.

| Property          | Preselected object                   | Signal object  |
|-------------------|--------------------------------------|--|
| <b>Electrons</b>  |                                      |  |
| Kinematic         | $p_T > 4.5 \text{ GeV}$              | $p_T > 4.5 \text{ GeV},  \eta  < 2.47$ (include crack)                     |
| Identification    | <code>VeryLooseLLH</code>            | <code>TightLLH</code>  |
| Isolation         | -                                    | <code>GradientLoose</code>   |
| Impact parameter  | $ z_0 \sin \theta  < 0.5 \text{ mm}$ | $ d_0/\sigma(d_0)  < 5,  z_0 \sin \theta  < 0.5 \text{ mm}$                |
| Reco algorithm    | Veto <code>author==16</code>         | Veto <code>author==16</code>   |
| <b>Muons</b>      |                                      |  |
| Kinematic         | $p_T > 4 \text{ GeV}$                | $p_T > 4 \text{ GeV},  \eta  < 2.5$  |
| Identification    | <code>Medium</code>                  | <code>Medium</code>  |
| Isolation         | -                                    | <code>FixedCutTightTrackOnly</code>  |
| Impact parameter  | $ z_0 \sin \theta  < 0.5 \text{ mm}$ | $ d_0/\sigma(d_0)  < 3,  z_0 \sin \theta  < 0.5 \text{ mm}$                |
| <b>Jets</b>       |                                      |  |
| Kinematic         | $p_T > 20 \text{ GeV},  \eta  < 4.5$ | $p_T > 30 \text{ GeV},  \eta  < 2.8$                                       |
| Clustering        | Anti- $k_t R = 0.4$ EMTopo           | Anti- $k_t R = 0.4$ EMTopo   |
| Pileup mitigation | -                                    | JVT <code>Medium</code> for $p_T < 60 \text{ GeV},  \eta  < 2.4$           |
| $b$ -tagging      | -                                    | $p_T > 20 \text{ GeV},  \eta  < 2.5, \text{MV2c10 FixedCutB} \approx 85\%$ |

**Table 6.1:** Summary of object definitions used in this analysis.

### 6.1.1 Electrons

#### General electron reconstruction and identification

In the ATLAS experiment, electron<sup>1</sup> objects are reconstructed and identified using the information from the ID tracks matched to energy clusters in the ECAL. Electron candidates with  $p_T > 4 \text{ GeV}$  and  $|\eta| < 2.47$  are selected using the tag-and-probe method for  $Z \rightarrow ee$  and  $J/\psi \rightarrow ee$  processes. Three likelihood based electron identification algorithms, `Loose`, `Medium`, and `Tight` are applied to determine the signal-like reconstructed electron candidates. These three identifications use the same variables to define the likelihood discriminant but with different selection criteria. Depending on the electron identification used, the reconstruction efficiency varies from 78 to 90% and increases with  $E_T^{\text{miss}}$ . The

<sup>1</sup>Electrons and positrons are collectively referred to as electrons.

electron isolation varies between 90% and 99% depending on the isolation selection criteria. More detail about the electron reconstruction performance can be found in Ref. [96] and a detail description about the electron isolation, which is my authorship project, can be found in the App. B.

### Specific to this analysis

The preselected electrons used in this analysis have to satisfy  $p_T > 4.5$  GeV and  $|\eta| < 2.47$  and pass the likelihood-based `VeryLooseLLH` identification. The electron tracks are required to satisfy the longitudinal impact parameter  $|z_0 \sin \theta| < 0.5$  mm. The electrons coming from the photon conversion are rejected by veto the `author==16`. The signal electrons have a tighter selection criteria. Besides all the requirements for the preselected electrons, the signal electrons are also required to pass `TightLLH` identification, `GradientLoose` isolation, and the transverse impact parameter  $|d_0/\sigma(d_0)| < 5$  requirements.

## 6.1.2 Muons

### General muon reconstruction and identification

In the ATLAS experiment, muon objects are reconstructed and identified using the information from ID and muon spectrometer in the  $p_T > 4$  GeV and  $|\eta| < 2.7$  region. Muon candidates are identified by applying quality requirements to suppress background which mainly come from pion and kaon decays. Four categories of muon identification, `Medium`, `Loose`, `Tight`, and `High-p_T` are provided for different physics analyses. The `Medium` minimizes the systematic uncertainties and is provided as the default selection for muons in ATLAS. The `Loose` maximize the reconstruction efficiency and is used

for multilepton final state analyses. The `Tight` maximize the purity of muons and the `High- $p_{\mathrm{T}}$`  maximize the momentum resolution for  $p_{\mathrm{T}} > 100$  GeV. The muon reconstruction efficiency is about 99% in  $5 < p_{\mathrm{T}} < 100$  GeV and  $|\eta| < 2.5$  phase space. The muon isolation efficiency varies between 93% and 100% depending on the isolation selection criteria. More detail about the muon reconstruction performance can be found in Ref. [97].

### Specific to this analysis

The preselected muons used in this analysis have to satisfy  $p_{\mathrm{T}} > 4$  GeV and  $|\eta| < 2.5$ , pass the `Medium` identification, and require  $|z_0 \sin \theta| < 0.5$  mm on the longitudinal impact parameter. A tighter requirement is applied on the signal muons which in addition pass the `FixedCutTightTrackOnly` isolation together with  $|d_0/\sigma(d_0)| < 3$  on the transverse impact parameter.

### 6.1.3 Taus

#### General $\tau$ reconstruction and identification

The mass of  $\tau$  lepton is 1.77 GeV and the decay length is 80  $\mu\text{m}$  which is too short to make  $\tau$  reaches the active region of the ATLAS detector. The  $\tau$  can decay either leptonically ( $\tau \rightarrow \ell\nu_{\ell}$ ,  $\ell = e, \mu$ ) or hadronically ( $\tau \rightarrow \text{hadrons} + \nu_{\tau}$ ). The hadronic tau decays are about 65% of all possible decay modes and the decay products contain one charged pions (22%) or three charged pions (72%) of all cases. Tau candidates are seeded by jets using the method described in Ref. [98] and they are required to have  $p_{\mathrm{T}} > 10$  GeV and  $|\eta| < 2.5$  but veto the candidates in the crack region  $1.37 < |\eta| < 1.52$ . A boosted decision tree (BDT) based algorithm is used to identify the  $\tau$  candidate and to reject backgrounds from quark- and gluon-initiated jets. Three identifications, `Loose`, `Medium`, and `Tight` are

provided with the efficiency 60%, 55%, and 45% for 1-track and 50%, 40%, and 30% for 3-tracks, respectively. More detail about the  $\tau$  lepton reconstruction and identification performance can be found in Ref. [98].

### Specific to this analysis

The di-tau invariant mass  $m_{\tau\tau}$  is used in this analysis and addressed in Sect. 6.2.1.

#### 6.1.4 Photons

##### General photons reconstruction and identification

In the ATLAS experiment, photons are reconstructed using the tracking information in ID and the energy deposits in the CAL. To distinguish prompt photons<sup>2</sup> from background photons, the photon identification is based on a set of rectangular cuts on several discriminating variables computed from the energy deposited in the ECAL and from the shower leakage to the HCAL. The photon identification is separately applied to the converted and unconverted photons with  $25 \leq E_T \leq 1500$  GeV and 4  $|\eta|$  intervals. Two identifications, **Loose** and **Tight**, are provided. The **Loose** provides high efficiency with low jet rejection and the **Tight**, which is recommended for the analyses by the Combined Performance groups, provides high fake photon rejection and good efficiency. The **Tight** identification efficiency starts from 84% at low  $E_T$  and reaches around 98% in  $1.37 < |\eta| < 1.81$  region for the unconverted photons. Similar to the unconverted photons, the efficiency for the converted photons increases with energy and reaches up to 98%. More detail about the photon reconstruction and identification can be found in Ref. [99].

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<sup>2</sup>Prompt photons are photons not originating from hadron decays

## Specific to this analysis

Photons are required to pass **Tight** identification and have  $p_T > 25$  GeV.

### 6.1.5 Jets

#### General jets reconstruction

In the ATLAS experiment, jets are reconstructed using the anti- $k_t$  algorithm with radius parameter  $R = 0.4$ . The reconstruction algorithm uses calorimeter topological clusters in  $|\eta| < 4.5$  as input. Four jet cleaning selections, **Looser**, **Loose**, **Medium**, and **Tight**, are provided to reject the background. The **Looser** has the highest efficiency,  $\sim 99.8\%$ , and the **Tight** has the highest background rejection with efficiency 85% at  $p_T = 25$  GeV and 98% at  $p_T > 50$  GeV. More detail about the jets reconstruction using anti- $k_t$  algorithm can be found in Ref. [100].

#### *b*-tagging

In the ATLAS experiment, it is very important to identify jets containing  $b$  hadrons from light flavor jets<sup>3</sup>. Many  $b$ -tagging algorithms were developed to maintain high  $b$ -tagging efficiency of real  $b$ -jets and to retain very low misidentification efficiency of the light flavor jets. The new developed multivariable algorithm, MV2, improving the  $c$ -jet rejection  $\sim 40\%$  at 77%  $b$ -tagging efficiency and the rejection power at high  $b$ -jet  $p_T$  is also improved. More detail about the  $b$ -tagging can be found in Ref. [101, 102].

---

<sup>3</sup>The light flavor jets mean jets containing  $u$ ,  $d$ ,  $s$ ,  $c$ , or gluons.

### Specific to this analysis

The preselected jets are reconstructed with the anti- $k_t$  algorithm with radius parameter  $R = 0.4$  and required  $p_T > 20$  GeV and  $|\eta| < 4.5$ . Jets with  $p_T < 60$  GeV and  $|\eta| < 2.4$  are required to satisfy `Medium` jet vertex tagger requirement which can suppress pileup jets. The MV2c10  $b$ -tagging algorithm with an 85% efficiency is applied on the preselected jets with  $|\eta| < 2.5$ . The signal jets are required to satisfy  $p_T > 30$  GeV and  $|\eta| < 2.8$ .

#### 6.1.6 Missing transverse energy

The missing transverse energy  $E_T^{\text{miss}}$  is defined as the negative vector sum of  $p_T$  of all reconstructed objects including leptons, jets, and soft term as show in Eq. (6.1). The soft term is constructed from all tracks associated to the primary vertex but not associated with any physics object. There two kinds of soft term, calorimeter based soft term (CST) and track based soft term (TST) are used in  $E_T^{\text{miss}}$  calculation. The CST  $E_T^{\text{miss}}$  is constructed form the energy deposits in the CAL not associated with hard objects and the TST  $E_T^{\text{miss}}$  is built form ID tracks which not match to any reconstructed object. More detail about the  $E_T^{\text{miss}}$  reconstruction performance can be found in Ref. [103].

$$E_T^{\text{miss}} = -(\sum \mathbf{p}_T^{\text{hard}} + \mathbf{p}_T^{\text{soft}}) \quad (6.1)$$

#### 6.1.7 Overlap removal

After preselected objects are reconstructed, an overlap removal procedure is applied to resolve ambiguities between the reconstructed jets and leptons. The distance  $\Delta R$  between two objects is used for overlap removal and  $\Delta R$  is defined as

$$\Delta R = \sqrt{(\Delta y)^2 + (\Delta \phi)^2} \quad (6.2)$$

where  $y$  and  $\phi$  are rapidity and azimuthal angle, respectively. The overlap removal procedure has to follow the steps listed below. In order to avoid the bremsstrahlung from muons followed by a photon conversion into electron pairs, electron candidate is removed if it shares the same ID track with a muon object. Jet is removed from the remaining electrons if  $\Delta R(\text{jets}, e) < 0.2$  unless it is a  $b$ -jet. If there are less than 3 tracks of jets with  $p_T > 500$  MeV and the distance between jets and a muon candidate less than 0.4, i.e.  $\Delta R(\text{jets}, \mu) < 0.4$ , then the jets are removed. This step can suppress muon bremsstrahlung. Finally, the electrons and muons are removed if the  $e$  or  $\mu$  lie in a distance  $\Delta R(\text{jets}, e/\mu) < 0.4$  of the surviving jets so that charm and bottom hadron decays are suppressed.

## 6.2 Signal region selection

### 6.2.1 Discriminating variables

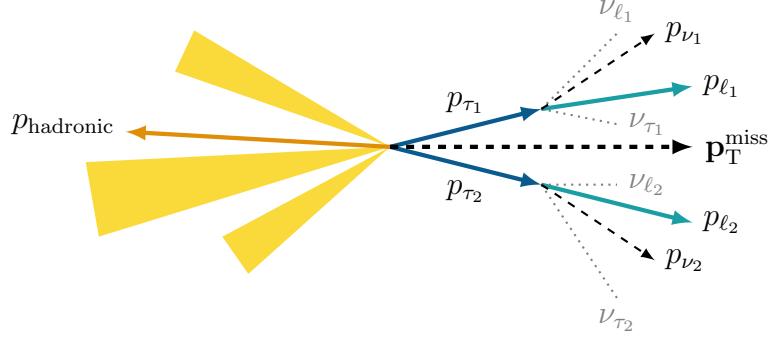
This section provides the explanations for various variables used to discriminate signals and background.

- **Same flavor opposite sign (SFOS) lepton pair:** This analysis requires exactly two preselected and two signal leptons in the final state. These two leptons have to carry the same flavor and with opposite electric charge such as  $e^\pm e^\mp$  and  $\mu^\pm \mu^\mp$ .
- **$\mathbf{p}_T^{\ell_1}$  and  $\mathbf{p}_T^{\ell_2}$ :** The momentum of leading lepton  $p_T^{\ell_1} > 5$  GeV is required to suppressed fake/non-prompt leptons background and the threshold of the momentum of sub-leading lepton  $p_T^{\ell_2} > 4.5(4)$  GeV for electron (muon) is used to retain signal acceptance.
- **$\Delta\mathbf{R}_{\ell\ell}$ :** The dilepton distance is defined as Eq. (6.3). The  $\Delta R_{\ell\ell}$  variable, which

is required to greater than 0.05, suppresses the leptons originating from photon conversions or muons.

$$\Delta R_{\ell\ell} = \sqrt{(\eta_{\ell_1} - \eta_{\ell_2})^2 + (\phi_{\ell_1} - \phi_{\ell_2})^2} \quad (6.3)$$

- **$\mathbf{m}_{\ell\ell}$ :** The dilepton invariant mass  $m_{\ell\ell}$  is bounded by the mass splitting  $m(\tilde{\chi}_2^0) - m(\tilde{\chi}_1^0)$  providing the background suppression power. The background originates from on-shell  $Z$  decay can be suppressed if the upper bound of  $m_{\ell\ell}$  is set to 60 GeV and the contributions from  $J/\psi$  are vetoed by required a  $3 < m_{\ell\ell} < 3.2$  GeV window.
- **$E_T^{\text{miss}}$ :** In order to keep the  $E_T^{\text{miss}}$  trigger efficiency exceeding 95%,  $E_T^{\text{miss}}$  is required to be greater than 200 GeV.
- **$N_{\text{jet}}$ :** The number of jets is required to greater than or equal to 1 because of the initial state radiation (ISR) jets.
- **$\mathbf{p}_T^{j_1}$ :** The momentum of the leading jet is required to greater than 100 GeV.
- **$\Delta\phi(\mathbf{j}_1, \mathbf{p}_T^{\text{miss}})$ :** The azimuthal separation between  $j_1$  and  $\mathbf{p}_T^{\text{miss}}$  is required to greater than 2 to suppress the QCD and  $Z+\text{jets}$  background.
- **$\min(\Delta\phi(\text{any jet}, \mathbf{p}_T^{\text{miss}}))$ :** By requiring  $\Delta\phi(\text{any jet}, p_T^{\text{miss}}) > 0.4$ , the effect of jet-energy mismeasurement on  $E_T^{\text{miss}}$  can be reduced.
- **$N_{\text{b-jet}}$ :** Requiring  $N_{\text{b-jet}} = 0$ , the  $t\bar{t}$  and single-top backgrounds can be reduced significantly.
- **$\mathbf{m}_{\tau\tau}$ :** The di-tau invariant  $m_{\tau\tau}(p_{\ell_1}, p_{\ell_2}, \mathbf{p}_T^{\text{miss}})$  variable is defined as the signed square root of  $m_{\tau\tau}^2$ . The  $m_{\tau\tau}$  is used to reconstructed the  $Z \rightarrow \tau\tau$  process where  $\tau$  decays leptonically  $\tau \rightarrow \ell\nu_\ell\nu_\tau$ . Figure 6.1 shows the  $Z$  boson leptonic decay process. The



**Figure 6.1:** The illustration of the  $Z \rightarrow \tau\tau + \text{jets}$  decay where  $\tau$  decays leptonically  $\tau \rightarrow \ell\nu_\ell\nu_\tau$ .

$m_{\tau\tau}^2$  is defined in Eq. (6.4)

$$m_{\tau\tau}^2 \equiv 2p_{\ell_1} \cdot p_{\ell_2}(1 + \xi_1)(1 + \xi_2) \quad (6.4)$$

where  $p_{\ell_1}$  and  $p_{\ell_2}$  are the momenta of the leptons and the  $\xi_1$  and  $\xi_2$  are the scale factor which can be determined by solving  $\mathbf{p}_T^{\text{miss}} = \xi_1 \mathbf{p}_T^{\ell_1} + \xi_2 \mathbf{p}_T^{\ell_2}$ . From the Eq. (6.4), the  $m_{\tau\tau}^2$  can be negative when either  $1 + \xi_1 < 0$  or  $1 + \xi_2 < 0$ . This situation occurs when only one lepton moves in the same direction as  $\mathbf{p}_{\text{hadronic}}$  and  $|\mathbf{p}_\ell|$  is small. This rarely happens for highly boosted  $Z \rightarrow \tau\tau$  decays but it happens with larger frequency for less boosted heavy particles which decays back-to-back. The  $m_{\tau\tau}$  is calculated in Eq. (6.6).

$$m_{\tau\tau} = \text{sign}(m_{\tau\tau}^2) \sqrt{|m_{\tau\tau}^2|} \quad (6.5)$$

$$= \begin{cases} \sqrt{m_{\tau\tau}^2} & m_{\tau\tau}^2 \geq 0, \\ -\sqrt{|m_{\tau\tau}^2|} & m_{\tau\tau}^2 < 0. \end{cases} \quad (6.6)$$

Despite there is a discontinuity at  $m_{\tau\tau} = 0$ , this variable can be used to discriminate the leptons originating from  $Z \rightarrow \tau\tau$ .

- $\mathbf{m}_T^{\ell_1}$ : The transverse mass of  $E_T^{\text{miss}}$  and the leading lepton is defined in Eq. (6.7). The

$t\bar{t}$ ,  $WW/WZ$ , and  $W+\text{jets}$  background can be reduced by requiring  $m_T^{\ell_1} < 70 \text{ GeV}$ .

$$m_T^{\ell_1} = \sqrt{2(E_T^{\ell_1} E_T^{\text{miss}} - \mathbf{p}_T^{\ell_1} \cdot \mathbf{p}_T^{\text{miss}})} \quad (6.7)$$

- $E_T^{\text{miss}}/H_T^{\text{lep}}$ : The scalar sum of the lepton transverse momentum,  $H_T^{\text{lep}}$ , is defined in Eq. (6.8). The  $H_T^{\text{lep}}$  variable has smaller value in the compressed SUSY signal and larger value in the SM background such as  $WW$  or  $WZ$ .

$$H_T^{\text{lep}} = p_T^{\ell_1} + p_T^{\ell_2} \quad (6.8)$$

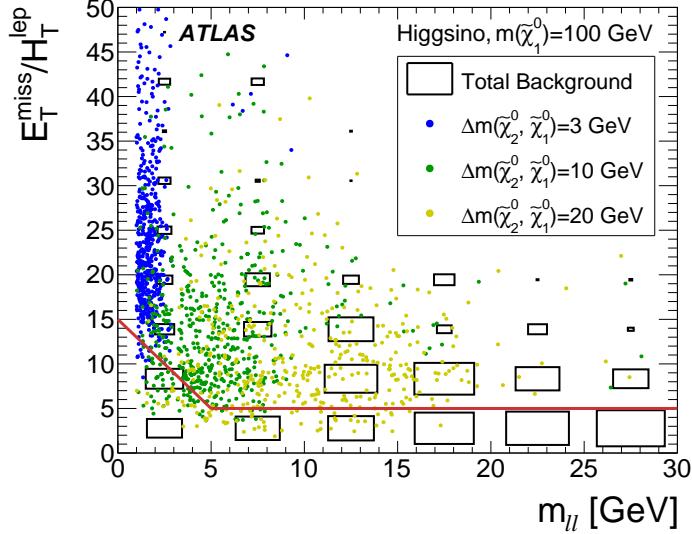
The leptons coming from SM background, for example,  $t\bar{t}$  and diboson are harder but they are softer in the compressed SUSY signal events. Therefore, for a given value of  $E_T^{\text{miss}}$ , the  $E_T^{\text{miss}}/H_T^{\text{lep}}$  variable is larger in the compressed signals but is smaller for the background. The minimal requirement of this variable is defined in Eq. (6.9) which is adjusted event by event depending on the mass splitting.

$$E_T^{\text{miss}}/H_T^{\text{lep}} > \max[5, 15 - 2m_{\ell\ell}/(1 \text{ GeV})] \quad (6.9)$$

Figure 6.2 shows the  $E_T^{\text{miss}}/H_T^{\text{lep}}$  requirement for the electroweakino SR after applying all the SR common requirements and the  $\Delta R_{\ell\ell} < 2$ .

### 6.2.2 Signal region

The events with 2 lepton final state are selected if the lepton pair satisfies same flavor and opposite charge (SFOS). To optimize the signal selection criteria, a number of scans over the cut values of discriminating variables listed in Sect. 6.2.1 are performed and the significance  $Z_n$  is calculated. In order to maximizing the  $Z_n$ , an integrated luminosity of  $36 \text{ fb}^{-1}$  and a systematic uncertainty of 20% on the background are assumed and at least one background event remaining is required after optimized cuts . A set of binned



**Figure 6.2:** The distribution of  $E_T^{\text{miss}}/H_T^{\text{lep}}$  as function of  $m_{ll}$  for the electroweakino after applying all the SR common requirements and the  $\Delta R_{\ell\ell} < 2$ . The red line indicates the SR selection. Events in the region below this line are rejected. The signal events are labeled in colored circles for different mass splitting.

dilepton invariant mass  $m_{ll}$  are defined in SR and the kinematic distribution of  $m_{ll}$  is used in a fit to extract the number of signal events. The event selection criteria for the SR are summarized in Table 6.2 and the  $m_{ll}$  binnings are listed in Table 6.3. There are 14 exclusive regions and 7 inclusive regions are defined. The exclusive regions are used to set model-dependent limits while the inclusive regions are used to set the model-independent upper limits. When derive the exclusion limits on the signal model, the SR $e-e-m_{ll}$  and SR $\mu\mu-m_{ll}$  regions are combined and fit simultaneously. The tightest inclusive region allows the mass splitting  $1 \sim 3 \text{ GeV}$  is the most compressed scenario while the looser regions allow large mass splittings up to  $60 \text{ GeV}$ .

### 6.2.3 Expected yields in SR

The expected yields in SR for the NUHM2 are estimated using the signal MC samples.

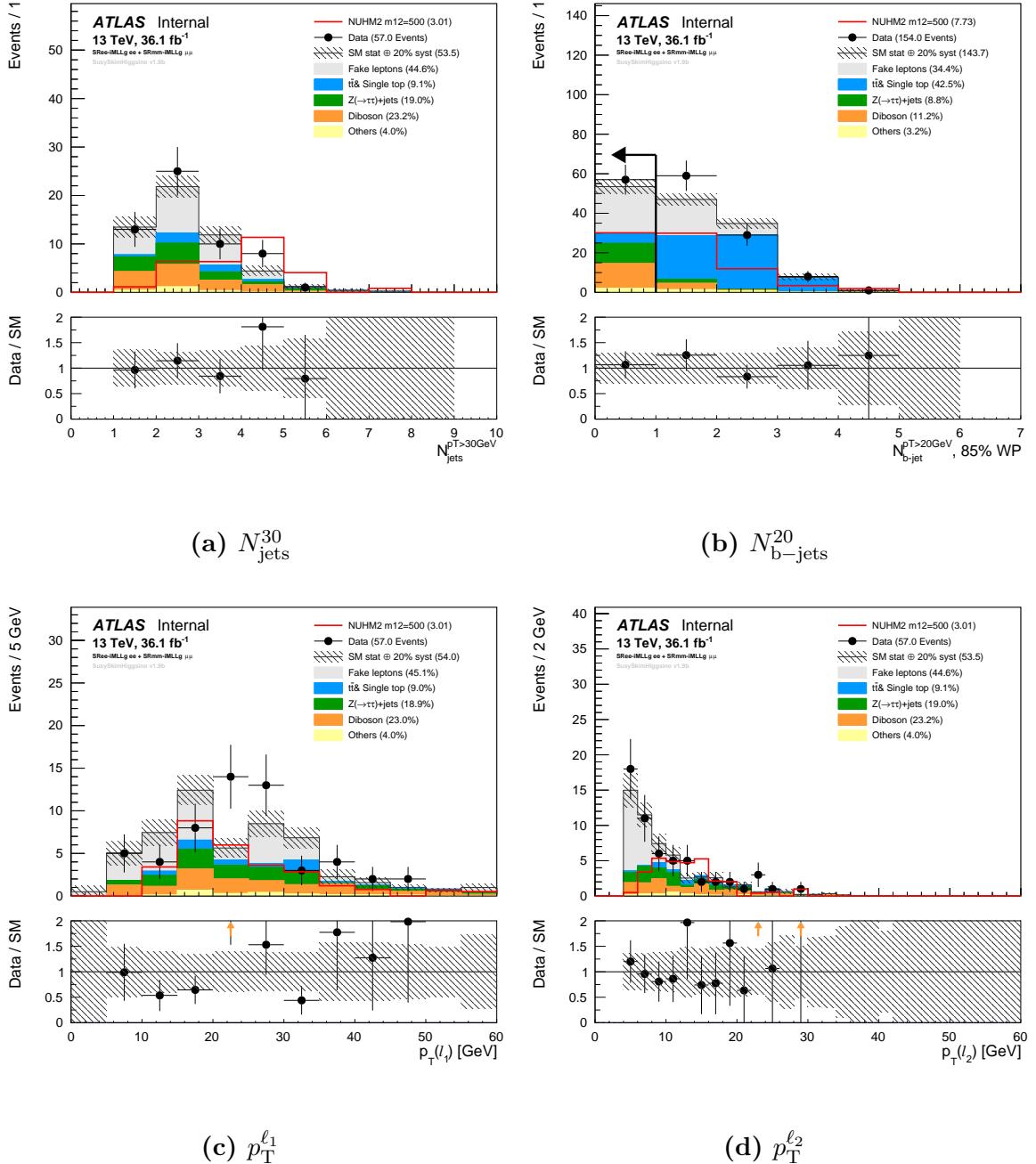
Four kind of production channels,  $\tilde{\chi}_2^0\tilde{\chi}_1^0$ ,  $\tilde{\chi}_2^0\tilde{\chi}_1^+$ ,  $\tilde{\chi}_2^0\tilde{\chi}_1^-$ , and  $\tilde{\chi}_1^\pm\tilde{\chi}_1^\mp$ , and 6 different  $m_{1/2}$ , 350, 400, 500, 600, 700, and 800 GeV, are generated. The  $\tilde{\chi}_2^0$  decays to  $\ell^+\ell^-\tilde{\chi}_1^0$  only and  $\tilde{\chi}_1^\pm$  decays to  $f\bar{f}\tilde{\chi}_1^0$  for the  $\tilde{\chi}_2^0\tilde{\chi}_1^\pm$  channel and to  $\ell\nu_\ell\tilde{\chi}_1^0$  for  $\tilde{\chi}_1^\pm\tilde{\chi}_1^\mp$  channel.

#### Truth level study

The truth level information in the signal MC samples are used to calculate the acceptance of the SR selection criteria. And the expected yields after SR selection in the truth level can be obtained by taking the product of luminosity ( $36.1 \text{ fb}^{-1}$ ), acceptance, filter efficiency, cross-section, and the branching ratio. Table 6.4 shows the acceptance, the cross-section, the branchings for different production channels, the 2LMET50 filter efficiency, and the expected yields in SR using the truth level information.

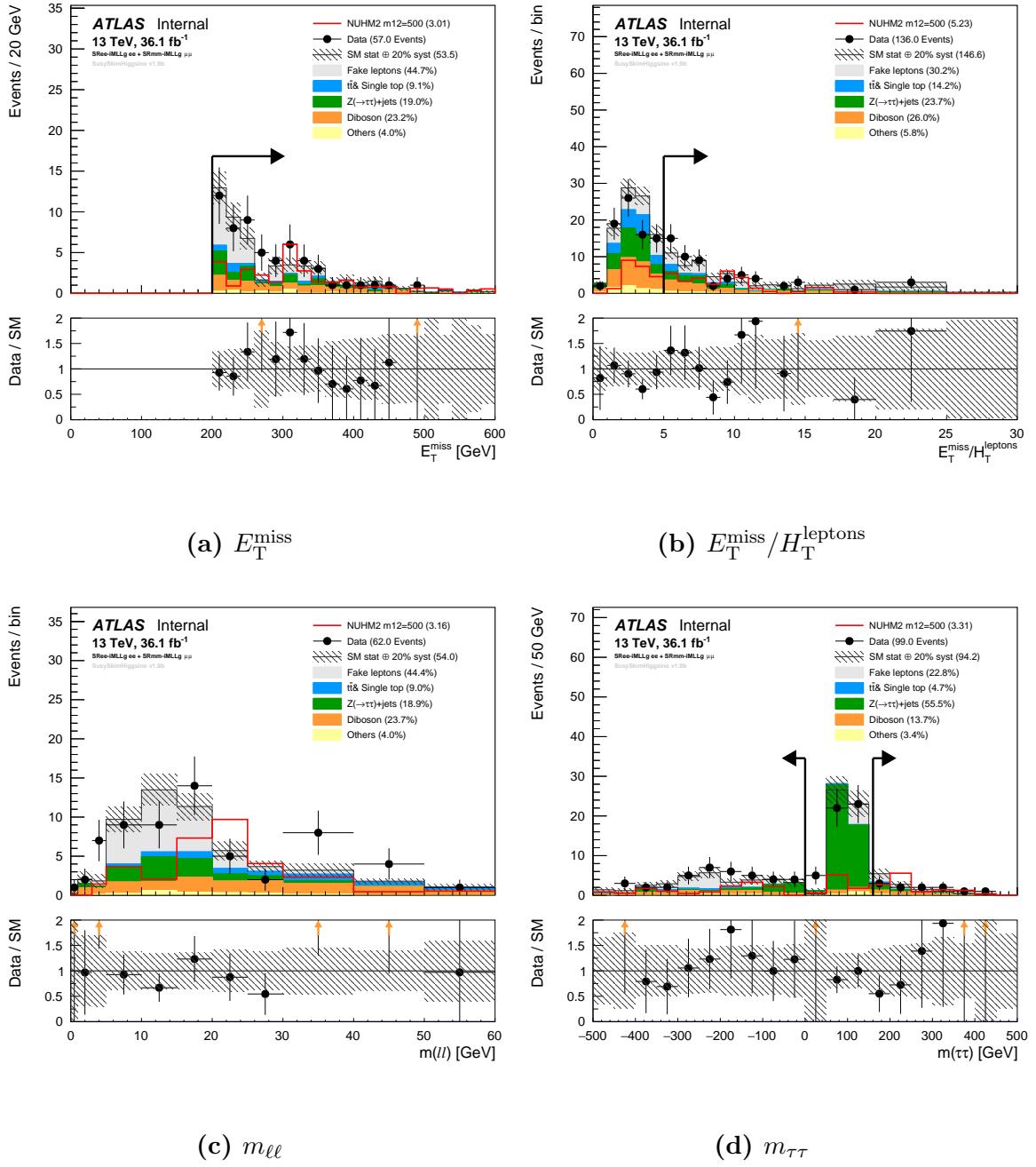
#### Kinematic distributions

Figure 6.3, Fig. 6.4, and Fig. 6.5 show the kinematic variable distributions for the NUHM2 model with  $m_{1/2} = 500 \text{ GeV}$  in  $1 < \text{SR}\ell\ell\text{-}m_{\ell\ell} < 60 \text{ GeV}$ . The distributions for the other  $m_{1/2}$  mass points can be found in the App. C. In order to compare the signal with background distributions, the NUHM2 distributions are multiplied by 10 but the number of events listed in the legend use its actual values. When making these so called ‘ $N - 1$ ’ plots, all the selections listed in Table 6.2 are applied, except the variable plotted. The bigger arrows in the upper pad present the selection criteria of the plotting variable as listed in Table 6.2 and the hatched uncertainty bands in the lower pad are the quadratic sum of the statistical uncertainty and a flat 20% systematic uncertainty on the backgrounds.



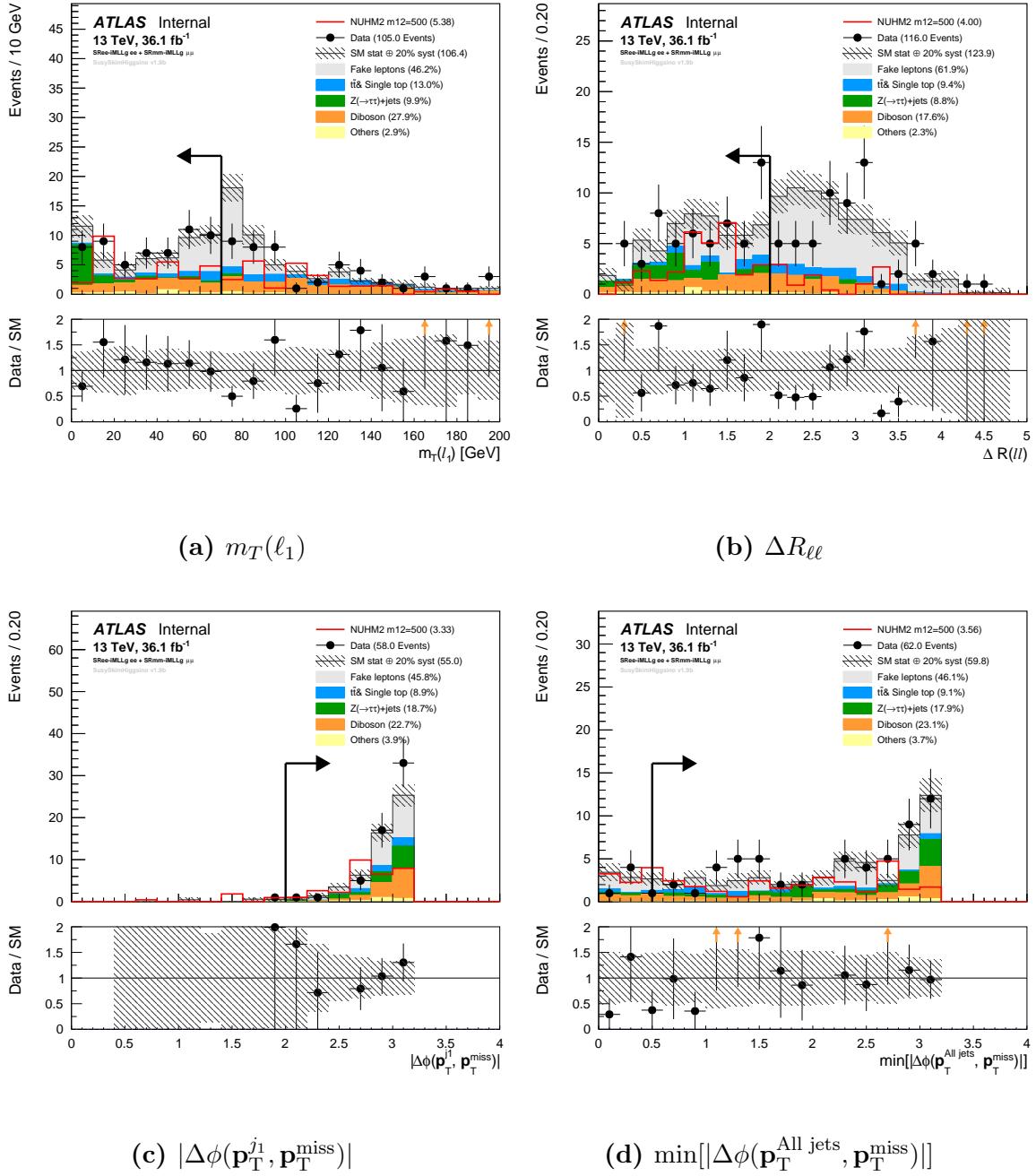
**Figure 6.3:** The ‘ $N - 1$ ’ distributions for NUHM2 model with  $m_{1/2} = 500$  GeV in SR region

$1 < \text{SR}\ell\ell-m_{\ell\ell} < 60$  GeV. The NUHM2 distributions are multiplied by 10 but the number of events in the legend use its actual values. The distributions of  $N_{\text{jets}}^{30}$ ,  $N_{\text{b-jets}}^{20}$ ,  $p_T^{\ell_1}$ , and  $p_T^{\ell_2}$  are shown.



**Figure 6.4:** The ‘ $N - 1$ ’ distributions for NUHM2 model with  $m_{1/2} = 500$  GeV in SR region

$1 < \text{SR}ll - m_{ll} < 60$  GeV. The NUHM2 distributions are multiplied by 10 but the number of events in the legend use its actual values. The distributions of  $E_T^{\text{miss}}$ ,  $E_T^{\text{miss}}/H_T^{\text{leptons}}$ ,  $m_{ll}$ , and  $m_{\tau\tau}$  are shown.



**Figure 6.5:** The ‘ $N - 1$ ’ distributions for NUHM2 model with  $m_{1/2} = 500$  GeV in SR region

$1 < \text{SR}\ell\ell - m_{\ell\ell} < 60$  GeV. The NUHM2 distributions are multiplied by 10 but the number of events in the legend use its actual values. The distributions of  $m_T(\ell_1)$ ,  $\Delta R_{\ell\ell}$ ,  $|\Delta\phi(\mathbf{p}_T^{j_1}, \mathbf{p}_T^{\text{miss}})|$ , and  $\min[|\Delta\phi(\mathbf{p}_T^{\text{All jets}}, \mathbf{p}_T^{\text{miss}})|]$  are shown.

## Cutflows

The cutflows is a sequential cumulative yields after the event selections. Table 6.5 and Table 6.6 shows the signal selection cutflows yield table for the NUHM2 signal with  $m_{1/2}$  ranging from 350 to 800 GeV. The weighted number of events are normalized to  $36.1 \text{ fb}^{-1}$  and the raw number of events are also shown.

| Variable   | Common requirement                                     |
|--|--|
| Number of leptons  | = 2  |
| Lepton charge and flavor                                       | $e^+e^-$ or $\mu^+\mu^-$                               |
| Leading lepton $p_T^{\ell_1}$                                  | > 5 GeV for electron and muon                          |
| Sub-leading lepton $p_T^{\ell_2}$                              | > 4.5 (4) GeV for electron (muon)                      |
| $\Delta R_{\ell\ell}$  | > 0.05   |
| $m_{\ell\ell}$   | $\in [1, 60]$ GeV excluding [3.0, 3.2] GeV             |
| $E_T^{\text{miss}}$  | > 200 GeV  |
| Number of jets   | $\geq 1$   |
| Leading jet $p_T$  | > 100 GeV  |
| $\Delta\phi(j_1, \mathbf{p}_T^{\text{miss}})$                  | > 2.0  |
| $\min(\Delta\phi(\text{any jet}, \mathbf{p}_T^{\text{miss}}))$ | > 0.4  |
| Number of $b$ -tagged jets                                     | = 0  |
| $m_{\tau\tau}$   | < 0 or > 160 GeV                                       |
| Electroweakino SRs   |  |
| $\Delta R_{\ell\ell}$  | < 2  |
| $m_T^{\ell_1}$   | < 70 GeV   |
| $E_T^{\text{miss}}/H_T^{\text{lep}}$                           | > $\max(5, 15 - 2 \frac{m_{\ell\ell}}{1 \text{ GeV}})$ |
| Binned in  | $m_{\ell\ell}$   |

**Table 6.2:** Summary of event selection criteria. The upper part lists the common selection criteria and the lower part lists the SR requirement for this analysis searching electroweakinos. Signal leptons and signal jets are used when applying all requirements. The SR binning is listed in Table 6.3.

| Electroweakino SRs |   |        |          |         |          |          |          |          |
|--------------------|---|--------|----------|---------|----------|----------|----------|----------|
| Exclusive          | SRee- $m_{\ell\ell}$ , SR $\mu\mu-m_{\ell\ell}$ | [1, 3] | [3.2, 5] | [5, 10] | [10, 20] | [20, 30] | [30, 40] | [40, 60] |
| Inclusive          | SR $\ell\ell-m_{\ell\ell}$                      | [1, 3] | [1, 5]   | [1, 10] | [1, 20]  | [1, 30]  | [1, 40]  | [1, 60]  |

**Table 6.3:** The SR binnings for the electroweakino SRs. The SR is defined by a  $m_{\ell\ell}$  range in GeV. The exclusive bins are used to set the exclusion limits on the model and the inclusive bins are used to set the model-independent limits.

| NUHM2 $m_{1/2}$ [GeV] |                       |          | $\tilde{\chi}_2^0 \tilde{\chi}_1^0$ | $\tilde{\chi}_1^\pm \tilde{\chi}_1^\mp$ | $\tilde{\chi}_2^0 \tilde{\chi}_1^+$ | $\tilde{\chi}_2^0 \tilde{\chi}_1^-$ |
|-----------------------|-----------------------|----------|-------------------------------------|---|-------------------------------------|-------------------------------------|
| 350                   | acceptance            | 0.030534 | 0.020215                            | 0.017051                                | 0.013404                            |                                     |
|                       | cross-section         | 0.583519 | 0.954870                            | 0.683346                                | 0.398366                            |                                     |
|                       | branching ratio       | 0.101385 | 0.111060                            | 0.101385                                | 0.101385                            |                                     |
|                       | filter efficiency     | 0.22768  | 0.12992                             | 0.25578                                 | 0.25287                             |                                     |
|                       | expected events in SR | 14.85    | 10.05                               | 10.91                                   | 4.94                                |                                     |
| 400                   | acceptance            | 0.032875 | 0.021152                            | 0.017745                                | 0.017960                            |                                     |
|                       | cross-section         | 0.625560 | 0.841584                            | 0.684520                                | 0.397684                            |                                     |
|                       | branching ratio       | 0.102935 | 0.111047                            | 0.102935                                | 0.102935                            |                                     |
|                       | filter efficiency     | 0.20416  | 0.12201                             | 0.22389                                 | 0.22064                             |                                     |
|                       | expected events in SR | 15.60    | 8.71                                | 10.11                                   | 5.85                                |                                     |
| 500                   | acceptance            | 0.036173 | 0.024281                            | 0.023709                                | 0.022937                            |                                     |
|                       | cross-section         | 0.660309 | 0.728079                            | 0.681917                                | 0.395590                            |                                     |
|                       | branching ratio       | 0.105385 | 0.111019                            | 0.105385                                | 0.105385                            |                                     |
|                       | filter efficiency     | 0.17602  | 0.10822                             | 0.18806                                 | 0.18924                             |                                     |
|                       | expected events in SR | 15.99    | 7.66                                | 11.57                                   | 6.53                                |                                     |
| 600                   | acceptance            | 0.042456 | 0.024326                            | 0.027313                                | 0.027153                            |                                     |
|                       | cross-section         | 0.665650 | 0.674514                            | 0.679145                                | 0.393040                            |                                     |
|                       | branching ratio       | 0.107604 | 0.110995                            | 0.107604                                | 0.107604                            |                                     |
|                       | filter efficiency     | 0.15353  | 0.10002                             | 0.16926                                 | 0.16871                             |                                     |
|                       | expected events in SR | 16.85    | 6.57                                | 12.19                                   | 6.99                                |                                     |
| 700                   | acceptance            | 0.044454 | 0.025197                            | 0.031214                                | 0.028996                            |                                     |
|                       | cross-section         | 0.664327 | 0.643884                            | 0.676607                                | 0.391328                            |                                     |
|                       | branching ratio       | 0.109701 | 0.110976                            | 0.109701                                | 0.109701                            |                                     |
|                       | filter efficiency     | 0.13788  | 0.093538                            | 0.15874                                 | 0.15801                             |                                     |
|                       | expected events in SR | 16.12    | 6.08                                | 13.27                                   | 7.10                                |                                     |
| 800                   | acceptance            | 0.043270 | 0.024337                            | 0.030427                                | 0.026019                            |                                     |
|                       | cross-section         | 0.659812 | 0.624032                            | 0.674869                                | 0.390607                            |                                     |
|                       | branching ratio       | 0.111643 | 0.110964                            | 0.111643                                | 0.111643                            |                                     |
|                       | filter efficiency     | 0.12825  | 0.087180                            | 0.14631                                 | 0.13915                             |                                     |
|                       | expected events in SR | 14.75    | 5.30                                | 12.11                                   | 5.68                                |                                     |

**Table 6.4:** The acceptance, the cross-section, the branchings for different production channels, the 2LMET50 filter efficiency, and the expected yields in SR common to  $2\ell$  channel for four different production channels of NUHM2 signal MC samples. The expected yields in the SR are obtained by taking the product of luminosity ( $36.1 \text{ fb}^{-1}$ ), acceptance, filter efficiency, cross-section, and the branching ratio.

| Selection common to all SRs   | NUHM2 m12=350 |     | NUHM2 m12=400 |      | NUHM2 m12=500 |      |
|---|---------------|-----|---------------|------|---------------|------|
|   | Weighted      | Raw | Weighted      | Raw  | Weighted      | Raw  |
| $E_T^{\text{miss}}$ triggers, $E_T^{\text{miss}} > 150 \text{ GeV}$ , $N_{\text{baseline}}^{\ell} \geq 2$ | 205           | 968 | 196           | 1262 | 122.7         | 1882 |
| Stau veto   | 205           | 968 | 196           | 1262 | 122.7         | 1882 |
| $N_{\text{baseline}}^{\ell} = 2$  | 158           | 741 | 156           | 975  | 96.5          | 1501 |
| $N_{\text{signal}}^{\ell} = 2$  | 158           | 741 | 156           | 975  | 96.5          | 1501 |
| Same flavor   | 94            | 445 | 100           | 598  | 64.1          | 1010 |
| Opposite charge   | 78            | 369 | 79            | 503  | 55.1          | 868  |
| Lepton truth matching   | 78            | 366 | 78            | 493  | 54.0          | 851  |
| Lepton author 16 veto   | 77            | 364 | 77            | 487  | 53.8          | 848  |
| $E_T^{\text{miss}} > 200 \text{ GeV}$   | 77            | 364 | 77            | 487  | 53.8          | 848  |
| $N_{\text{b-jets}} = 0$   | 38.0          | 194 | 31.5          | 234  | 22.2          | 394  |
| $p_T(j_1) > 100 \text{ GeV}$  | 38.0          | 194 | 31.5          | 234  | 22.2          | 394  |
| $\Delta\phi(j_1, \mathbf{p}_T^{\text{miss}}) > 2.0$   | 37.3          | 190 | 30.3          | 223  | 21.0          | 372  |
| $\min(\Delta\phi(\text{any jet}, \mathbf{p}_T^{\text{miss}})) > 0.4$                                      | 30.7          | 153 | 25.9          | 188  | 17.4          | 316  |
| Veto $m_{\tau\tau} \in [0, 160] \text{ GeV}$  | 26.2          | 128 | 21.6          | 161  | 15.8          | 287  |
| $p_T^{\ell_1} > 5 \text{ GeV}$  | 26.2          | 128 | 21.6          | 161  | 15.8          | 287  |
| $m_{\ell\ell} > 1 \text{ GeV}$  | 26.2          | 128 | 21.6          | 161  | 15.8          | 287  |
| Veto $m_{\ell\ell} \in [3, 3.2] \text{ GeV}$  | 26.2          | 128 | 21.6          | 161  | 15.7          | 286  |
| $m_{\ell\ell} < 60 \text{ GeV}$   | 26.2          | 128 | 21.6          | 161  | 15.7          | 286  |
| $\Delta R_{\ell\ell} > 0.05 \text{ GeV}$  | 26.2          | 128 | 21.5          | 160  | 15.7          | 286  |
| <hr/>   |               |     |               |      |               |      |
| SR $\ell\ell$ - $m_{\ell\ell}$ selection  |               |     |               |      |               |      |
| $E_T^{\text{miss}}/H_T^{\text{lep}} > \max(5, 15 - 2 \cdot m_{\ell\ell}/\text{GeV})$                      | 12.4          | 60  | 9.9           | 71   | 7.9           | 150  |
| $\Delta R_{\ell\ell} < 2.0 \text{ GeV}$   | 6.5           | 34  | 6.7           | 51   | 5.6           | 105  |
| $m_T^{\ell_1} < 70 \text{ GeV}$   | 2.7           | 14  | 2.5           | 21   | 3.0           | 52   |

**Table 6.5:** The yields after the initial preselection and the sequential selections (cutflows) for the SR. The weighted number of events are normalized to  $36.1 \text{ fb}^{-1}$  and the raw number of events are also shown. This table only shows  $m_{1/2} = 350, 400, 500 \text{ GeV}$ .

| Selection common to all SRs   | NUHM2 m12=600 |      | NUHM2 m12=700 |      | NUHM2 m12=800 |      |
|---|---------------|------|---------------|------|---------------|------|
|   | Weighted      | Raw  | Weighted      | Raw  | Weighted      | Raw  |
| $E_T^{\text{miss}}$ triggers, $E_T^{\text{miss}} > 150 \text{ GeV}$ , $N_{\text{baseline}}^{\ell} \geq 2$ | 76.1          | 2321 | 41.2          | 2471 | 22.2          | 2500 |
| Stau veto   | 76.1          | 2321 | 41.2          | 2471 | 22.2          | 2500 |
| $N_{\text{baseline}}^{\ell} = 2$  | 63.0          | 1904 | 33.1          | 1993 | 18.1          | 2029 |
| $N_{\text{signal}}^{\ell} = 2$  | 63.0          | 1904 | 33.1          | 1993 | 18.1          | 2029 |
| Same flavor   | 42.1          | 1288 | 22.7          | 1383 | 12.6          | 1392 |
| Opposite charge   | 35.9          | 1101 | 19.4          | 1194 | 10.9          | 1205 |
| Lepton truth matching   | 35.2          | 1079 | 19.1          | 1174 | 10.8          | 1192 |
| Lepton author 16 veto   | 35.2          | 1078 | 19.0          | 1168 | 10.8          | 1189 |
| $E_T^{\text{miss}} > 200 \text{ GeV}$   | 35.2          | 1078 | 19.0          | 1168 | 10.8          | 1189 |
| $N_{\text{b-jets}} = 0$   | 13.3          | 453  | 6.8           | 470  | 3.75          | 477  |
| $p_T(j_1) > 100 \text{ GeV}$  | 13.3          | 453  | 6.8           | 470  | 3.75          | 477  |
| $\Delta\phi(j_1, \mathbf{p}_T^{\text{miss}}) > 2.0$   | 12.4          | 428  | 6.5           | 453  | 3.59          | 455  |
| $\min(\Delta\phi(\text{any jet}, \mathbf{p}_T^{\text{miss}})) > 0.4$                                      | 10.6          | 360  | 5.47          | 379  | 3.07          | 383  |
| Veto $m_{\tau\tau} \in [0, 160] \text{ GeV}$  | 9.3           | 315  | 4.77          | 333  | 2.73          | 340  |
| $p_T^{\ell_1} > 5 \text{ GeV}$  | 9.3           | 315  | 4.77          | 333  | 2.73          | 340  |
| $m_{\ell\ell} > 1 \text{ GeV}$  | 9.3           | 315  | 4.76          | 332  | 2.72          | 339  |
| Veto $m_{\ell\ell} \in [3, 3.2] \text{ GeV}$  | 9.3           | 315  | 4.76          | 332  | 2.71          | 337  |
| $m_{\ell\ell} < 60 \text{ GeV}$   | 9.3           | 315  | 4.76          | 332  | 2.71          | 337  |
| $\Delta R_{\ell\ell} > 0.05 \text{ GeV}$  | 9.3           | 315  | 4.76          | 332  | 2.71          | 337  |
| <hr/>   |               |      |               |      |               |      |
| SR $\ell\ell$ - $m_{\ell\ell}$ selection  |               |      |               |      |               |      |
| $E_T^{\text{miss}}/H_T^{\text{lep}} > \max(5, 15 - 2 \cdot m_{\ell\ell}/\text{GeV})$                      | 5.5           | 188  | 3.05          | 226  | 1.85          | 235  |
| $\Delta R_{\ell\ell} < 2.0 \text{ GeV}$   | 4.5           | 153  | 2.55          | 192  | 1.47          | 194  |
| $m_T^{\ell_1} < 70 \text{ GeV}$   | 2.06          | 78   | 1.21          | 95   | 0.64          | 85   |

**Table 6.6:** The yields after the initial preselection and the sequential selections (cutflows) for the SR. The weighted number of events are normalized to  $36.1 \text{ fb}^{-1}$  and the raw number of events are also shown. This table only shows  $m_{1/2} = 600, 700, 800 \text{ GeV}$ .

# Chapter 7

## Background estimation

The SM background can be categorized into the irreducible and reducible background.

The irreducible background includes events containing two prompt leptons,  $E_T^{\text{miss}}$ , and jets.

The reducible background includes events containing fake/non-prompt leptons. Since the background estimations rely on the choice of the control regions (CRs) and validation regions (VRs) heavily, the concepts of the CRs and VRs are introduced in Sect. 7.1.

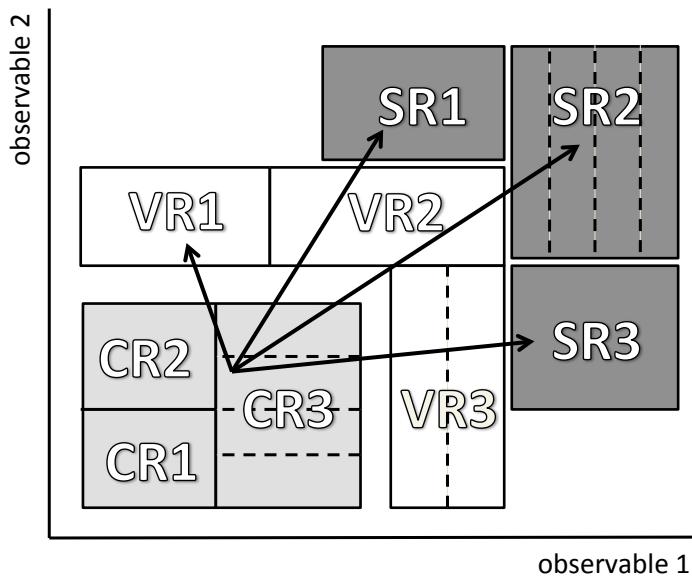
The detail discussions of the irreducible background is presented in Sect. 7.2 and of the reducible background is described in Sect. 7.3. Finally, the systematic uncertainty study for this analysis is mentioned in Sect. 7.4.

### 7.1 Control and validation regions

#### 7.1.1 The concepts

Three different data regions are considered in any physics analysis: signal region (SR), control region (CR), and validation region (VR). The SR is a signal-enriched region, the CR is a background-enriched region, and the VR is a region used to validate the robustness of the signal and background predictions. The SR is a particular region of phase space where a set of selection criteria are applied on kinematic observables. In the

SR, the number of predicted signal events have a significant excess over the number of predicted background events. The CR is enriched in a particular background process with low expected contamination from the signals considered, designed to be similar to SR in the kinematic properties, and kept statistically independent from the SR. The background contamination in the SR can be estimated by extrapolating from the CR. The VR, usually placed in between the SR and CR, is used to validate the predicted number of background events in the SR. Figure 7.1 shows the concepts of multiple SRs, CRs, and VRs.



**Figure 7.1:** A illustration of multiple signal, control, and validation regions. The background contamination in the SRs can be estimated by extrapolating from the CRs and is verified in the VRs which lie in between the SRs and CRs. All regions can be single bin or multiple bins which are indicated by the dashed lines. The figure is taken from [104].

### 7.1.2 Specific to this analysis

Table 7.1 lists the SM background processes for this analysis, the origins in the SR, and the estimation strategies. In order to estimate and validate the background contaminations in SR, two CRs and three VRs are defined. Table 7.2 lists the definitions of CRs and VRs where the common selection criteria listed in Table 6.2 have been applied. The CR-top is used to estimate the  $t\bar{t}$  and  $tW$  contaminations in SR. The CR-tau is used to estimate the  $Z^{(*)}/\gamma^*(\rightarrow \tau\tau)$ +jets contamination in SR. The VR-VV is used for validating diboson background, the VR-SS is used for validating same-sign dilepton background, and VRDF- $m_{\ell\ell}$  is used for validating the background come from different flavor leptons, which include both  $e\mu$  and  $\mu e$ .

| Background process                                   | Origin in SR   | Estimation strategy                                   |
|--|--|---|
| $t\bar{t}, tW (\rightarrow 2\ell)$                   | irreducible, $b$ -jet fails identification               | CR using $b$ -tagging                                 |
| $Z^{(*)}/\gamma^*(\rightarrow \tau\tau)$ +jets       | irreducible fully leptonic $\tau$                        | CR using $m_{\tau\tau}$                               |
| $Z^{(*)}/\gamma^*(\rightarrow ee, \mu\mu)$ +jets     | instrumental $E_T^{\text{miss}}$                         | MC  |
| loss mass Drell-Yen                                  | instrumental $E_T^{\text{miss}}$                         | MC, data-driven cross check                           |
| fakes ( $W$ +jets, $VV(1\ell)$ , $t\bar{t}(1\ell)$ ) | jet fakes 2 <sup>nd</sup> lepton                         | fake factor, SS VR                                    |
| $VV$   | irreducible dileptonic and missed 3 <sup>rd</sup> lepton | MC, VR using $E_T^{\text{miss}}/H_T^{\text{leptons}}$ |
| other rare processes                                 | irreducible leptonic decays                              | MC  |

**Table 7.1:** The background processes for the  $2\ell$  analysis and the strategy for estimating the background contamination in the SR.

## 7.2 Irreducible background

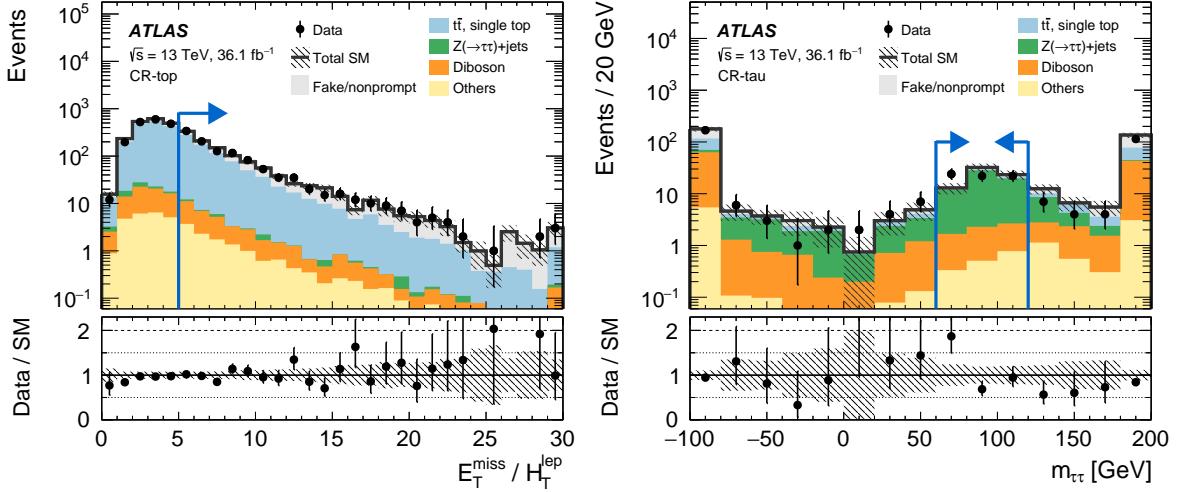
The irreducible background for this analysis are the SM processes containing two prompt leptons,  $E_T^{\text{miss}}$ , and jets. Therefor, they can enter the SR and mimic the signal events.

| Region               | Leptons  | $E_T^{\text{miss}}/H_T^{\text{lep}}$           | Additional requirements                                  |
|----------------------|--|--|--|
| CR-top               | $e^\pm e^\mp, \mu^\pm \mu^\mp, e^\pm \mu^\mp, \mu^\pm e^\mp$ | $> 5$  | $\geq 1 b\text{-tagged jet(s)}$                          |
| CR-tau               | $e^\pm e^\mp, \mu^\pm \mu^\mp, e^\pm \mu^\mp, \mu^\pm e^\mp$ | $\in [4, 8]$                                   | $m_{\tau\tau} \in [60, 120] \text{ GeV}$                 |
| VR-VV                | $e^\pm e^\mp, \mu^\pm \mu^\mp, e^\pm \mu^\mp, \mu^\pm e^\mp$ | $< 3$  | -  |
| VR-SS                | $e^\pm e^\pm, \mu^\pm \mu^\pm, e^\pm \mu^\pm, \mu^\pm e^\pm$ | $> 5$  | -  |
| VRDF- $m_{\ell\ell}$ | $e^\pm \mu^\mp, \mu^\pm e^\mp$                               | $> \max(5, 15 - 2 m_{\ell\ell}/1 \text{ GeV})$ | $\Delta R_{\ell\ell} < 2, m_T^{\ell_1} < 70 \text{ GeV}$ |

**Table 7.2:** Definition of control regions and validation regions.

The dominant sources are the  $t\bar{t}$ ,  $tW$ , and  $Z^{(*)}/\gamma^*(\rightarrow \tau\tau) + \text{jets}$  processes. These processes decay to same flavor lepton pairs ( $ee$  and  $\mu\mu$ ) and different flavor lepton pairs ( $e\mu$  and  $\mu e$ ) at the same rates. When defining the CR-top and CR-tau, all possible flavor  $e^\pm e^\mp$ ,  $\mu^\pm \mu^\mp$ ,  $e^\pm \mu^\pm$ ,  $\mu^\pm e^\pm$  are considered to enhance the statistics. By requiring the events with at least one  $b$ -tagged jet, the CR-top defined a top quarks enriched region with  $\sim 72\%$  purity. The CR-top is used to estimate the  $t\bar{t}$  and  $tW$  decaying to  $2\ell$  final states in the SR. By requiring the events satisfying  $60 < m_{\tau\tau} < 120 \text{ GeV}$  and  $E_T^{\text{miss}}/H_T^{\text{lep}}$  between 4 and 8 to reduce the signal contaminations, the CR-tau defined a  $Z^{(*)}/\gamma^*(\rightarrow \tau\tau) + \text{jets}$  enriched region with  $\sim 80\%$  purity. The CR-tau is used to estimate the leptonic  $\tau$  contaminations in the SR. The kinematic distributions of  $E_T^{\text{miss}}/H_T^{\text{lep}}$  and  $m_{\tau\tau}$  in the CR-top and CR-tau after performing background-only fit are shown in Fig 7.2. All the event selection criteria are applied except the variable being plotted. The expected background contributions from different processes are stacked and compared with the data.

The diboson  $VV$  events and the rare processes are also contributed to the irreducible background. But it is difficult to have pure diboson or rare sample that can be used to estimate the contaminations in SR. Therefore, the background contaminations are estimated using MC simulation and validated by the VR-VV. By requiring  $E_T^{\text{miss}}/H_T^{\text{lep}} < 3$ ,



(a) The  $E_T^{\text{miss}}/H_T^{\text{lept}}$  distribution in CR-top.

(b) The  $m_{\tau\tau}$  distribution in CR-tau.

**Figure 7.2:** The kinematic distributions of  $E_T^{\text{miss}}/H_T^{\text{lept}}$  and  $m_{\tau\tau}$  in the CR-top and CR-tau, respectively. All the event selection criteria are applied except the variable being plotted and the background-only fits are performed. The selection requirement of the plotting variable is indicated by the blue arrows. The first and last bins include the underflow and overflow, respectively. The expected background contributions from different processes are stacked and compared with the data.

the signal contamination in the VR-VV is at most 8% in the samples, the diboson events contribute  $\sim 40\%$ , fake lepton events contribute  $\sim 25\%$ ,  $t\bar{t}$  and single top events contribute  $\sim 23\%$ , and the rest parts are smaller and contributed by the other processes.

The VRDF- $m_{\ell\ell}$  validation region is constructed using different flavor ( $e\mu$  and  $\mu e$ ) leptons. This VR has the same selection criteria as the SR, except the leptons are composed by different flavor. Since the irreducible background are symmetric in  $ee + \mu\mu$  and  $e\mu + \mu e$ , the VRDF- $m_{\ell\ell}$  is used to check the eventual extrapolation in the fitting procedure within the same kinematic as SR. The signal contamination in this VR is less than 8%.

## 7.3 Reducible background

The main contributions of the reducible background come from the non-prompt leptons and the processes that the reconstructed  $E_T^{\text{miss}}$  are instrumental in origin.

### 7.3.1 Fake/non-prompt lepton background

The background of non-prompt leptons, or called fake leptons, mainly come from the  $W + \text{jets}$ ,  $VV$ ,  $t\bar{t}$  processes. In these processes, a jet is misidentified as a lepton and form a dilepton final state in the SR. Because the MC simulation couldn't model fake leptons well, a data-driven Fake Factor method [105] is used to estimate the fake lepton contamination in the SR.

#### Fake factor method

The Fake Factor method defined a tight set and a loose set of lepton identification criteria. The tight set refers as ID and the loose set refers as anti-ID. The ID leptons correspond to the requirements applied to signal leptons used in the analysis. The anti-ID leptons define a fake leptons enriched sample by releasing or inverting one or more of the identification, isolation, or impact parameter  $|d_0|/\sigma(d_0)$  requirements relative to the signal leptons. Therefore, the leptons in ID and anti-ID are orthogonal. Then the fake factor  $F$  is defined as the ratio between the number of ID and the number of anti-ID leptons as shown in Eq. 7.1.

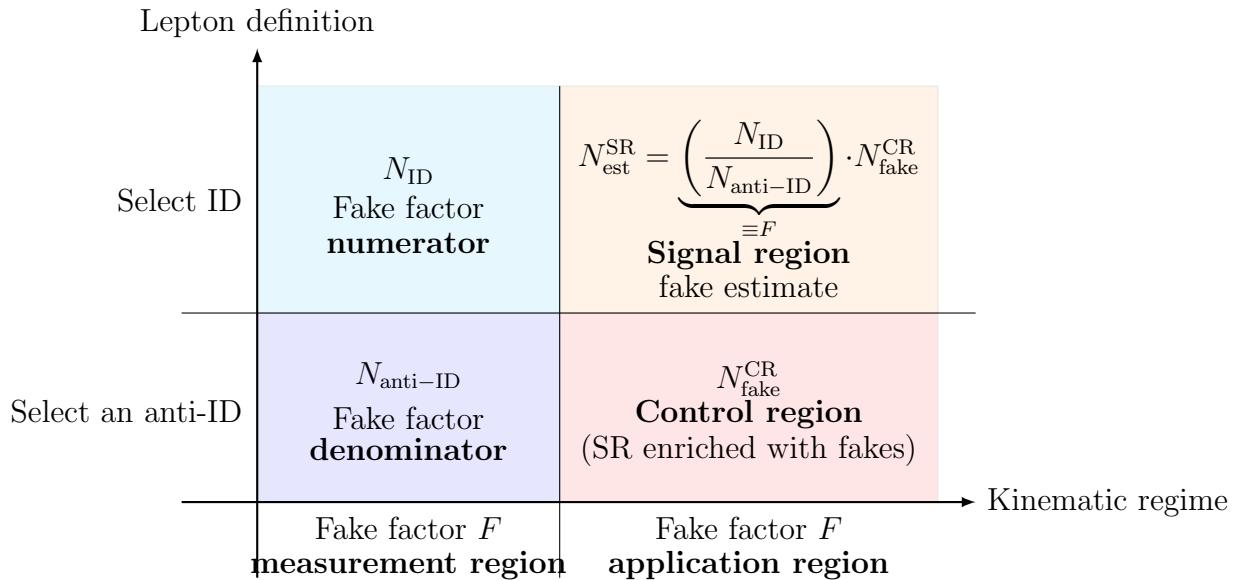
$$F = \frac{N_{\text{ID}}}{N_{\text{anti-ID}}} \quad (7.1)$$

The  $N_{\text{anti-ID}}$  is the number of fake leptons in the anti-ID measurement region where the contributions from prompt leptons are subtracted using the MC simulation results.

The  $F$  for electron and muon are measured in a fake leptons enriched region as a function of reconstructed lepton  $p_T$  and are used to estimate the reducible background in the SR. These  $F$  are applied to events in anti-ID control region, which has the same selection criteria as SR, except an ID lepton is replaced by an anti-ID lepton. The total reducible background in the SR can be estimated by

$$N_{\text{est}}^{\text{SR}} = F \cdot N_{\text{fake}}^{\text{CR}} \quad (7.2)$$

The schematic illustration of the fake factor method is shown in Fig. 7.3.



**Figure 7.3:** The schematic illustration of the fake factor method used to estimate the fake lepton contribution in the SR.

### Specific to this analysis

The ID electrons are the signal electrons as shown in Table 6.1 and the anti-ID electrons are baseline electrons passing `LooseAndBLayer` identification but failing at least one of the

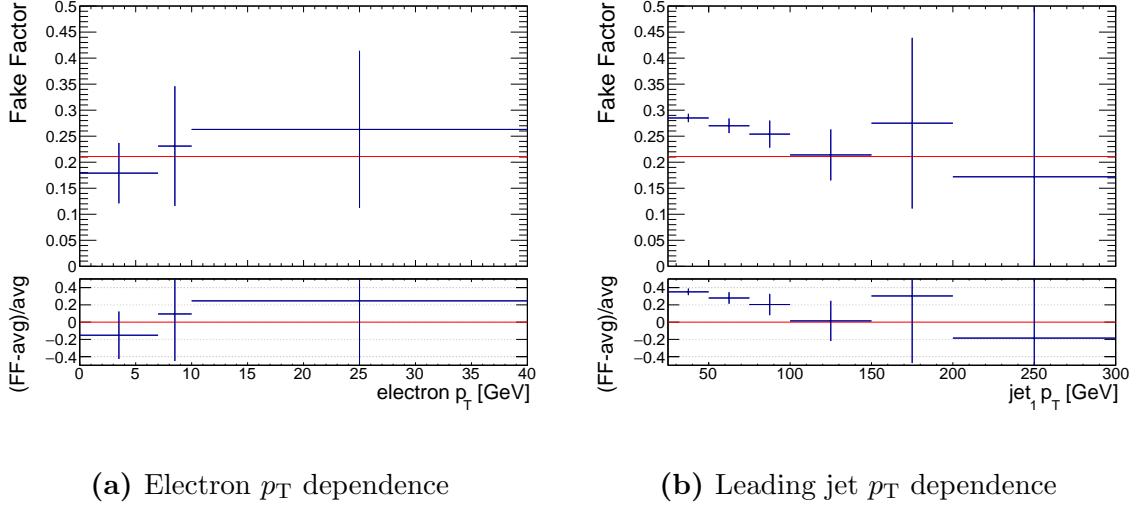
following requirements: **Tight** identification, **GradientLoose** isolation, or  $|d_0/\sigma(d_0)| < 5$ . The ID muons are the signal muons as shown in Table 6.1 and the anti-ID muons are baseline muons failing either **FixedCutTightTrackOnly** isolation or  $|d_0/\sigma(d_0)| < 3$ . Both ID and anti-ID leptons are required to satisfy  $|z_0 \sin \theta| < 0.5$  mm to reduce the impact of pileup. Table 7.3 summarizes the ID and anti-ID selection criteria for electrons and muons.

|   | Electrons                        | Muons                            |
|---|----------------------------------|----------------------------------|
| Kinematic   | $p_T > 4.5$ GeV, $ \eta  < 2.47$ | $p_T > 4$ GeV, $ \eta  < 2.5$    |
| Identification  | pass <b>LooseAndBLayerLLH</b>    | pass <b>Medium</b>               |
| Impact parameter                                      | $ z_0 \sin \theta  < 0.5$ mm     | $ z_0 \sin \theta  < 0.5$ mm     |
| anti-ID have to fail at least one of the requirements |                                  |                                  |
| Identification  | <b>TightLLH</b>                  | -                                |
| Isolation   | <b>GradientLooseLLH</b>          | <b>FixedCutTightTrackOnlyLLH</b> |
| Impact parameter                                      | $ d_0/\sigma(d_0)  < 5$          | $ d_0/\sigma(d_0)  < 3$          |

**Table 7.3:** The ID and anti-ID selection criteria for electrons and muons.

The electrons and muons fake factor depend on the lepton  $p_T$  largely and on the leading jet  $p_T$ . Therefore, the leading jet  $p_T$  for the events used for fake factor measurement are required to be greater than 100 GeV making the fake factor measurement region similar to SR. The muon fake factor also depends on the  $N_{b\text{-jet}}$  in the events, the estimated number of fake lepton in CR-top is calculated using events with at least one  $b$ -jet while the estimated number of fake lepton in the other regions are computed using zero  $b$ -jet events. The electrons and muons fake factor are computed using events with  $m_T < 40$  GeV in different  $p_T$  bins. Figure 7.4 shows the electrons fake factors as a function of  $p_T$  and

leading jet  $p_T$  and Fig 7.5 shows the muons fake factor as a function of  $p_T$  with 0  $b$ -jet and at least one  $b$ -jet.

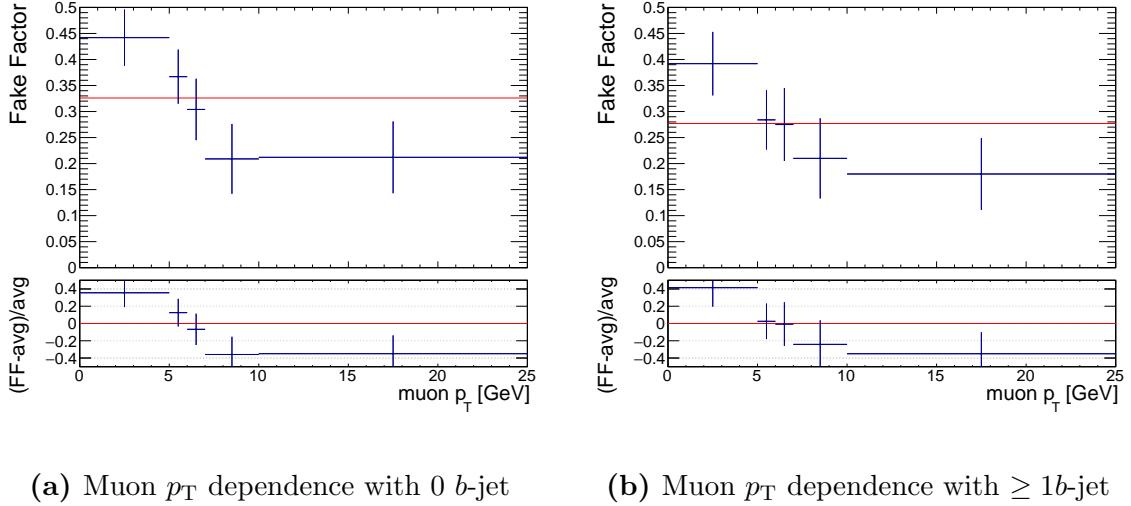


**Figure 7.4:** The electron fake factor as a function of  $p_T$  and leading jet  $p_T$ . The red line is the average electron fake factor.

### 7.3.2 Instrumental $E_T^{\text{miss}}$ background

The detector mismeasuring leptons or jets in the background processes which don't contain invisible particle might satisfy the  $E_T^{\text{miss}} > 200$  GeV requirement. For example, the  $Z^{(*)}/\gamma^*(\rightarrow ee, \mu\mu) + \text{jets}$  Drell-Yan dilepton production can enter the SR due to the instrumental  $E_T^{\text{miss}}$ . By requiring  $E_T^{\text{miss}} > 200$  GeV, the contributions from these background processes are expected very small. Using the MC simulation, these process are found to be negligible. The small mass splitting between  $\tilde{\chi}_2^0$  and  $\tilde{\chi}_1^0$  causes the low invariant dilepton mass where the dilepton events are the decay product of  $\tilde{\chi}_2^0$ . The  $e^\pm e^\mp$  and  $\mu^\pm \mu^\mp$  invariant masses for data events passing  $E_T^{\text{miss}}$  trigger and  $|\Delta\phi(j_1, \mathbf{p}_T^{\text{miss}})| < 1.5$  are shown in Fig. 7.6 where a  $J/\psi$  peak  $3.0 < m_{\ell\ell} < 3.2$  GeV can be seen.

By vetoing  $3.0 < m_{\ell\ell} < 3.2$  GeV, the contributions from  $J/\psi$  resonance can be removed

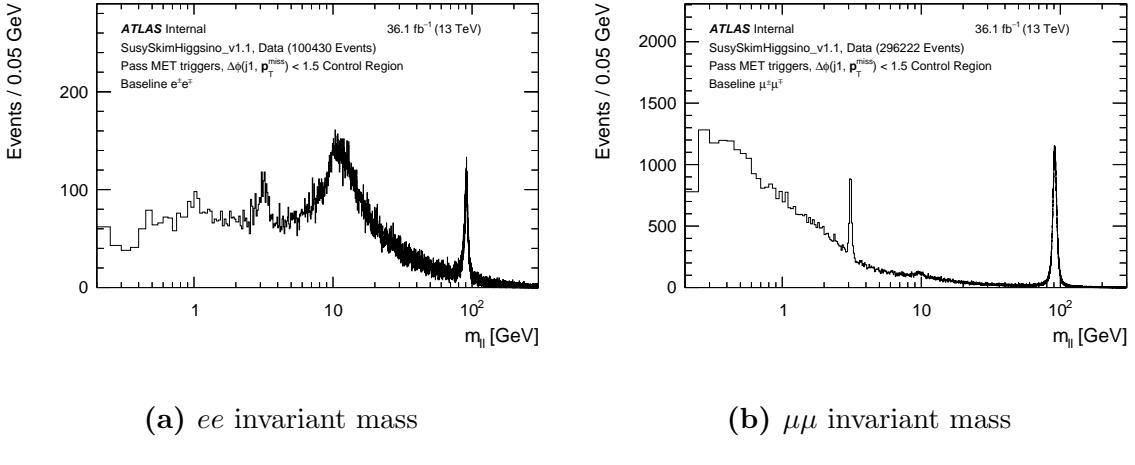


**Figure 7.5:** The muon fake factor as a function of  $p_T$  with 0  $b$ -jet and at least one  $b$ -jet.

The red line is the average muon fake factor.

efficiently. By requiring  $\min|\Delta\phi(\text{any jet}, \mathbf{p}_T^{\text{miss}})| > 0.4$ , the events contain mismeasured jet causing large  $E_T^{\text{miss}}$  can be suppressed. After applying these requirements, the instrumental  $E_T^{\text{miss}}$  background are found to be negligible.

A validation region VR-SS, which has the similar kinematic as the SR, is constructed by requiring same sign leptons in the events. This VR is fake/non-prompt lepton enriched and can be used as a cross-checked of the fake prediction. Typically, the leading lepton is the real lepton and the subleading lepton is the fake/non-prompt lepton. By considering the rate of the anti-ID leptons in data, the probability of both leptons are fake/non-prompt is found very small. Therefore, the VR-SS are devided into  $ee + \mu e$  and  $\mu\mu + e\mu$  final states where the left lepton and right lepton in the pair denote the leading and subleading leptons, respectively. The electroweakino signal contamination in VR-SS is very small and can be negelected. Figure 7.7 shows the data and fake/non-prompt leptons  $E_T^{\text{miss}}$  distributions for  $ee + \mu e$  and  $\mu\mu + e\mu$  final states in the VR-SS.



**Figure 7.6:** The opposite sign baseline dilepton mass  $m_{\ell\ell}$  spectrum. All data events are required to pass  $E_T^{\text{miss}}$  trigger and satisfies  $|\Delta\phi(j_1, \mathbf{p}_T^{\text{miss}})| < 1.5$  requirement. A low mass  $J/\psi$  peak can be seen in  $ee$  and  $\mu\mu$  invariant mass.

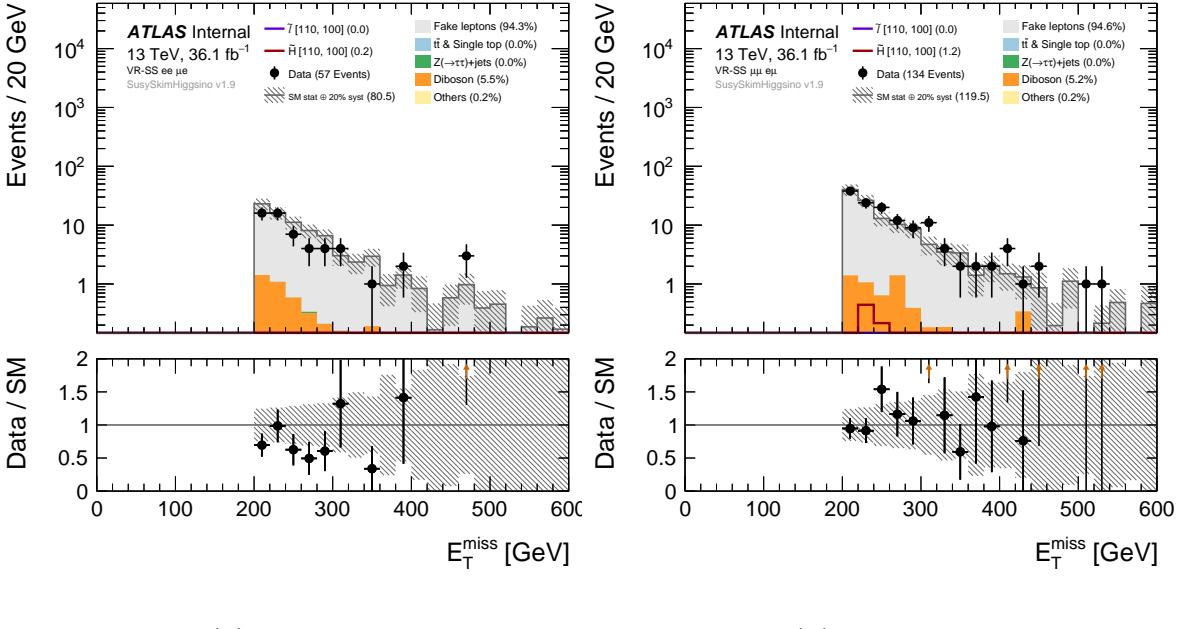
## 7.4 Systematic uncertainties

The systematic uncertainty includes the uncertainties due to theoretical modeling and experiment sources. The theoretical uncertainty arises from the MC simulation such as cross-section calculation, the parton distribution function (PDF), and renormalization and factorization scales. The experimental uncertainty arises from the object reconstruction, pileup measurement, and estimation using data-driven method.

### 7.4.1 Theoretical uncertainty

#### SUSY signal uncertainty

The theoretical uncertainty in the SUSY signal is measured by varying the renormalization, factorization parameters and CKKW-L matching scales in the MG5\_AMC@NLO generator and the shower tune parameters in the PYTHIA. The uncertainties are found to range from 20% to 40% in the signal acceptance depending on the mass splitting of the SUSY



**Figure 7.7:** The data and fake/non-prompt leptons  $E_T^{\text{miss}}$  distributions in the VR-SS.

particles and the production process. The uncertainties due to PDF uncertainties are studied and amount to 15% at most for large  $\tilde{\chi}_2^0$  mass.

## SM background uncertainty

There are three major factors affecting the dominant SM backgrounds  $t\bar{t}$ ,  $tW$ ,  $Z^{(*)}/\gamma^*(\rightarrow \tau\tau)$ +jets, and diboson process. The envelope is assigned to the theoretical uncertainty. The uncertainties due to the QCD renormalization and factorization scales are evaluated by varying the generator parameters up and down by a factor of 2. The uncertainties due to the strong coupling constant  $\alpha_S$  are evaluated by varying the  $\alpha_S$ . The impact on the acceptance is assigned to the theoretical uncertainty. The uncertainties due to the PDF are evaluated by PDF sets CT14, MMHT2014, and NNPDF. The variations in acceptance are summarized and the envelope is assigned to the theoretical uncertainty. Events with all lepton flavors are used and the uncertainties are evaluated in all SRs and CRs. The final

uncertainty is evaluated by adding all components in quadrature.

### 7.4.2 Experimental uncertainty

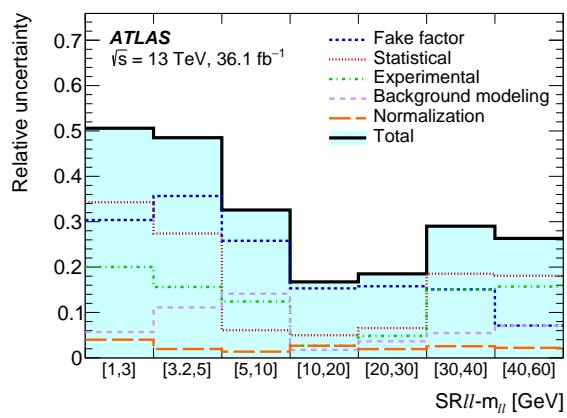
#### Combined performance uncertainty

The uncertainties of lepton reconstruction, identification, and isolation as well as the uncertainties of energy and momentum scale and resolution are considered, but they are found to be small. The pileup in the MC samples is not the same as the one observed in data. The  $\langle\mu\rangle$  profile is the average number of interactions per bunch crossing and the  $\langle\mu\rangle$  in data is scaled by 1/1.16 to obtain a better data/MC agreement. The uncertainty of the pileup re-weighting is obtained by varying the scaling factor between 1.00 and 1.23. The uncertainties from the jet energy scale (JES) and resolution (JER) are considered. Five up and down variations are used to obtain JES uncertainty and a up variation is used to obtain JER uncertainty. Finally, the luminosity uncertainty is 3.2% for 2015+2016 combined datasets.

#### Fake factor uncertainty

The major experimental uncertainty is the fake/non-prompt lepton prediction from the fake factor method. The fake factor uncertainty arises from the sample size used to measure the fake factors, the prompt lepton contamination in anti-ID region, the kinematic between the measurement region and the SRs, and the differences between the fake factor estimation and observed data in the VR-SS.

Figure 7.8 shows the relative size of various uncertainties in the background predictions in the exclusive electroweakino SRs. The fake factor uncertainty is shown separately from the other experimental uncertainties due to the relatively large contribution.



**Figure 7.8:** The relative systematic uncertainties in the background prediction in the exclusive electroweakino SRs.

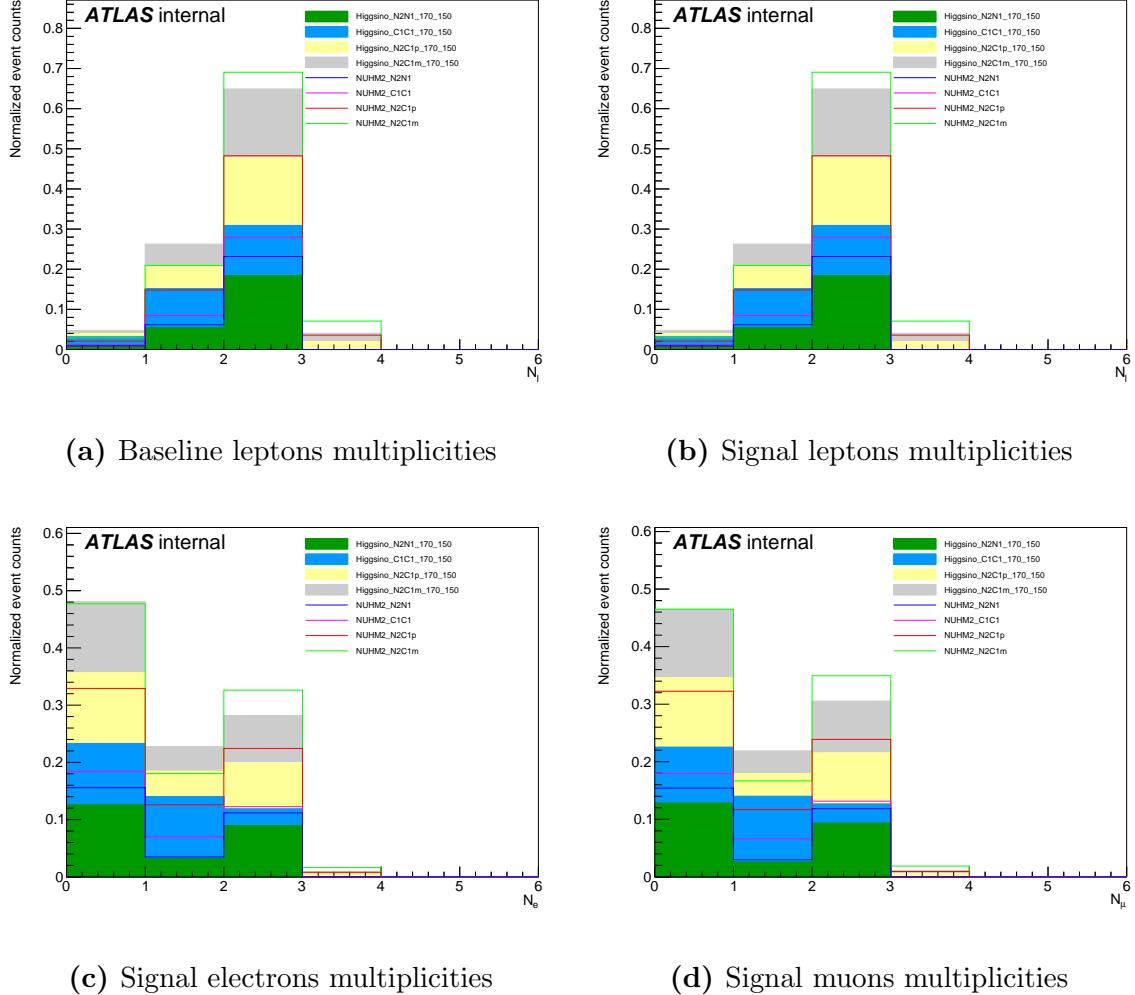
# Chapter 8

## The NUHM2 interpretation

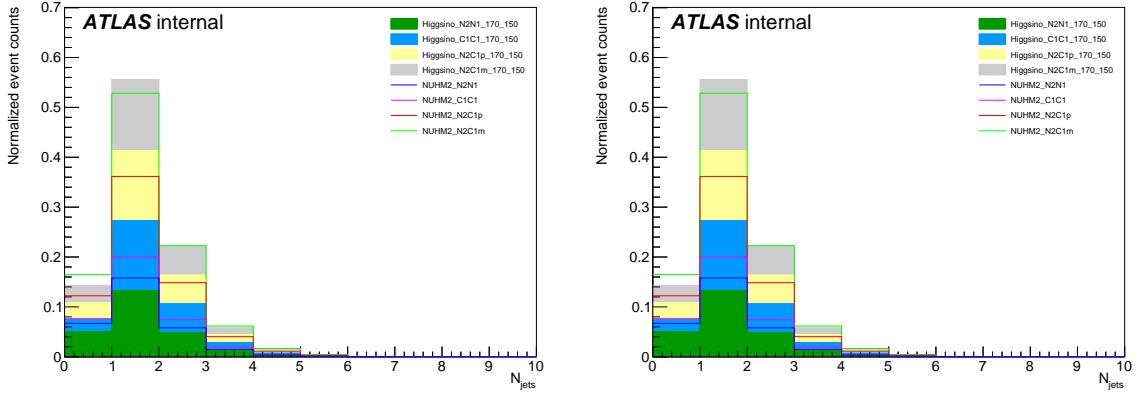
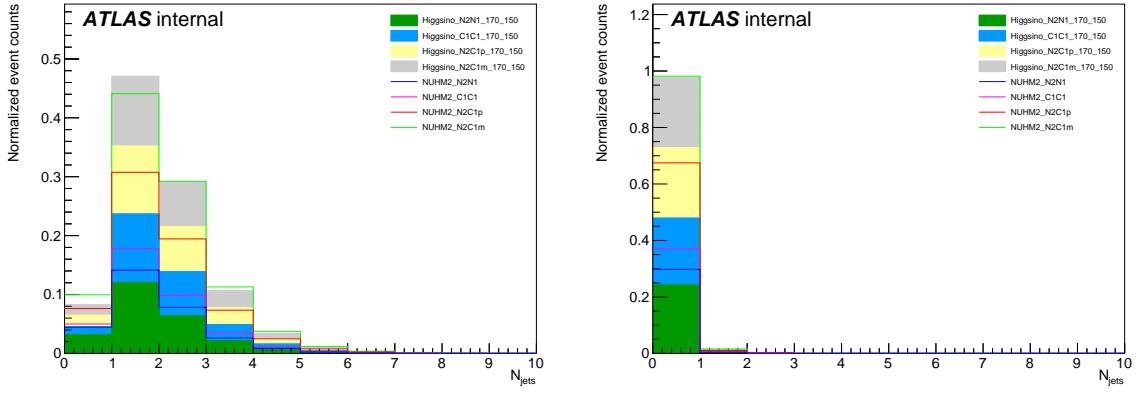
### 8.1 Kinematic distributions

The realistic NUHM2 model and the simplified Higgsino model are similar except the  $m_{\tilde{\chi}_1^\pm}$  is not exactly half way between  $m_{\tilde{\chi}_2^0}$  and  $m_{\tilde{\chi}_1^0}$ . The ratio between the  $\Delta m(\tilde{\chi}_2^0, \tilde{\chi}_1^0)$  and  $\Delta m(\tilde{\chi}_1^\pm, \tilde{\chi}_1^0)$  varies from 1.61 to 1.21 as shown in Table 5.3. The sensitivity of the NUHM2 model used for the  $2\ell$  final state Higgsino analysis is examined by comparing the kinematic distributions of the NUHM2 signal samples and the simplified Higgsino model grid mass points.

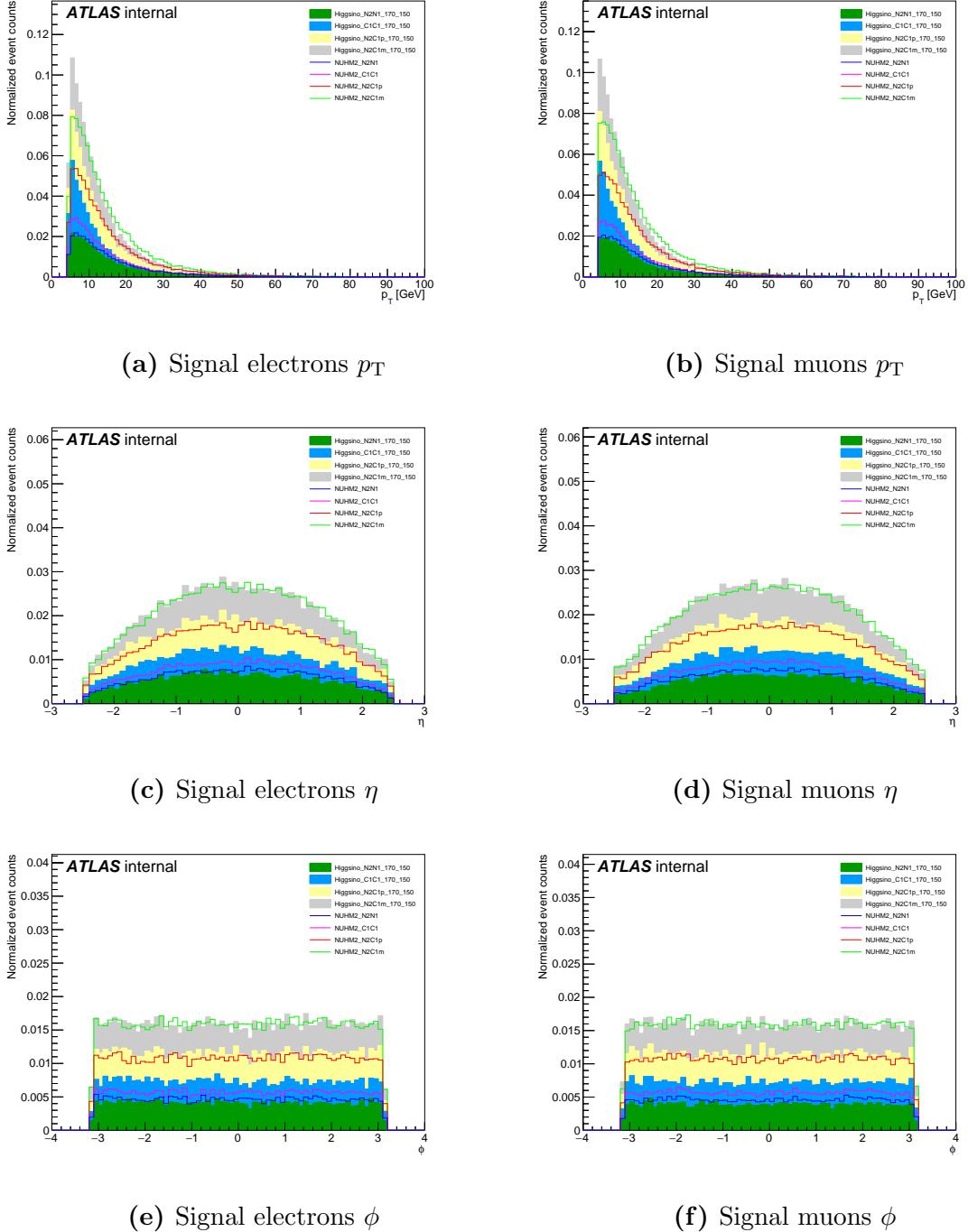
All kinematic distributions in the truth level are very similar and the largest difference is in the  $m_{\ell\ell}$  distribution due to the mass splittings  $\Delta m(\tilde{\chi}_2^0, \tilde{\chi}_1^0)$  and  $\Delta m(\tilde{\chi}_1^\pm, \tilde{\chi}_1^0)$ . This distinguish feature motivates the NUHM2 interpretation.



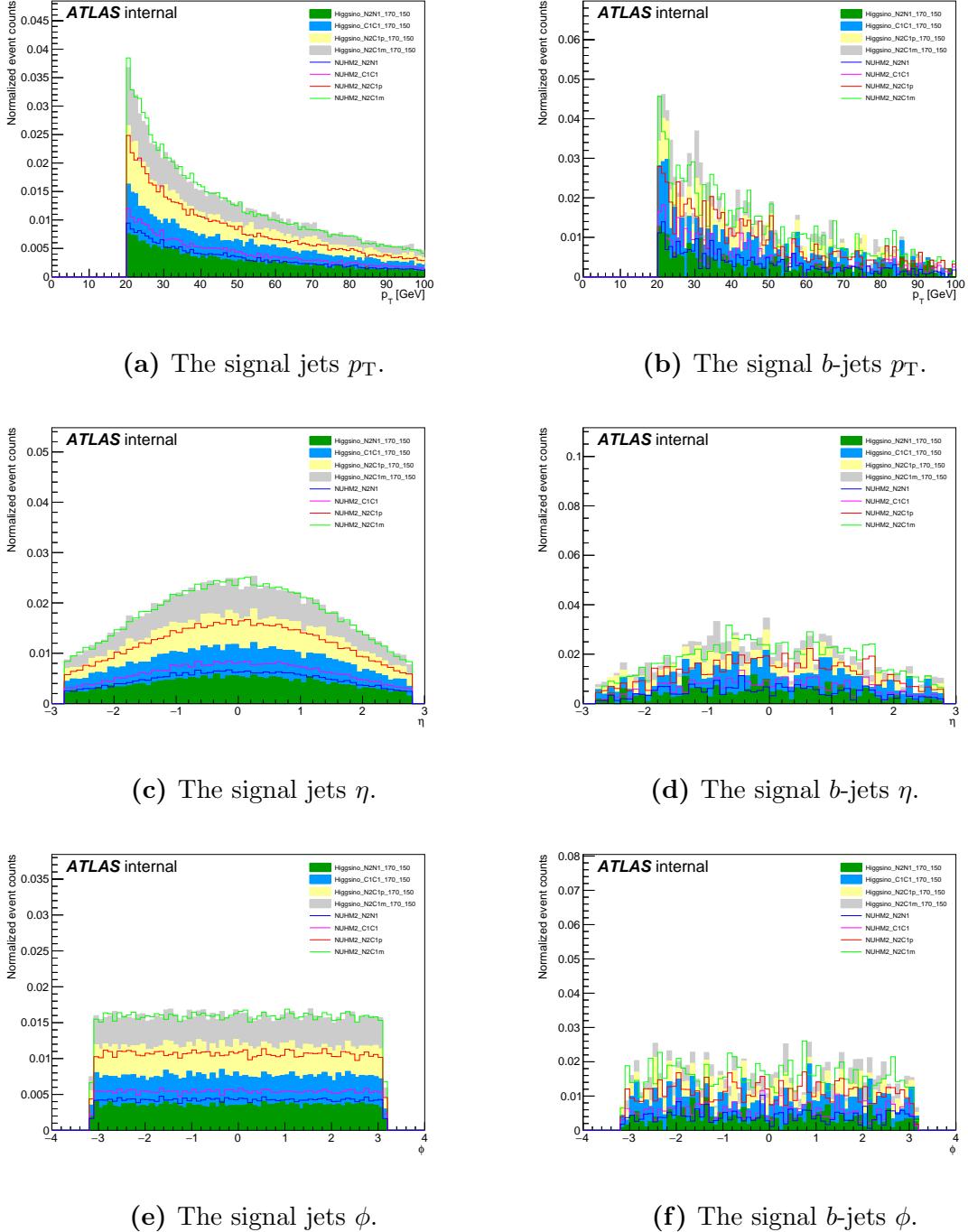
**Figure 8.1:** The lepton multiplicity distributions. The lepton multiplicity of NUHM2 with  $m_{1/2} = 600$  GeV are compared to the simplified Higgsino model with  $m_{\tilde{\chi}_2^0} = 170$  GeV and  $m_{\tilde{\chi}_1^0} = 150$  GeV. Four different production channels,  $\tilde{\chi}_2^0\tilde{\chi}_1^0$ ,  $\tilde{\chi}_2^0\tilde{\chi}_1^+$ ,  $\tilde{\chi}_2^0\tilde{\chi}_1^-$ , and  $\tilde{\chi}_1^\pm\tilde{\chi}_1^\mp$ , for the NUHM2 and the simplified Higgsino model are considered. The distributions of four productions are combined and normalized to equal area.



**Figure 8.2:** The jets multiplicity distributions. The jet multiplicity of NUHM2 with  $m_{1/2} = 600$  GeV are compared to the simplified Higgsino model with  $m_{\tilde{\chi}_2^0} = 170$  GeV and  $m_{\tilde{\chi}_1^0} = 150$  GeV. The top left plot includes the forward jets and the bottom two plots use the signal jets with  $p_T > 25$  GeV and  $p_T > 30$  GeV, respectively. Four different production channels,  $\tilde{\chi}_2^0 \tilde{\chi}_1^0$ ,  $\tilde{\chi}_2^0 \tilde{\chi}_1^+$ ,  $\tilde{\chi}_2^0 \tilde{\chi}_1^-$ , and  $\tilde{\chi}_1^\pm \tilde{\chi}_1^\mp$ , for the NUHM2 and the simplified Higgsino model are considered. The distributions of four productions are combined and normalized to equal area.

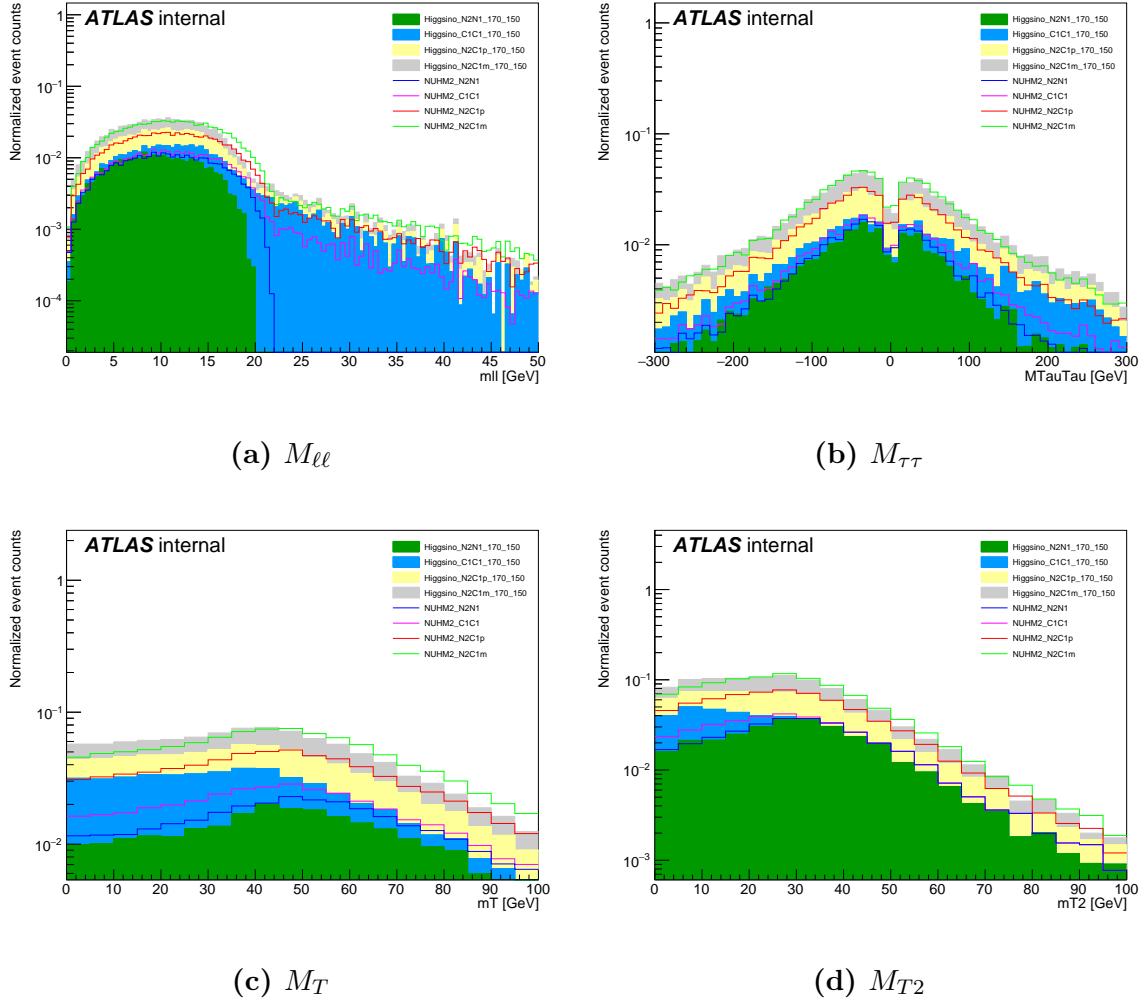


**Figure 8.3:** The  $p_T$ ,  $\eta$ , and  $\phi$  distributions for the NUHM2 with  $m_{1/2} = 600$  GeV and the simplified Higgsino model with  $m_{\tilde{\chi}_2^0} = 170$  GeV and  $m_{\tilde{\chi}_1^0} = 150$  GeV. The signal electrons  $p_T$ ,  $\eta$ , and  $\phi$  distributions are on the left column and the signal muons distributions are on the right. Four different production channels,  $\tilde{\chi}_2^0\tilde{\chi}_1^0$ ,  $\tilde{\chi}_2^0\tilde{\chi}_1^+$ ,  $\tilde{\chi}_2^0\tilde{\chi}_1^-$ , and  $\tilde{\chi}_1^\pm\tilde{\chi}_1^\mp$ , for the NUHM2 and the simplified Higgsino model are considered. The distributions of four productions are combined and normalized to equal area.

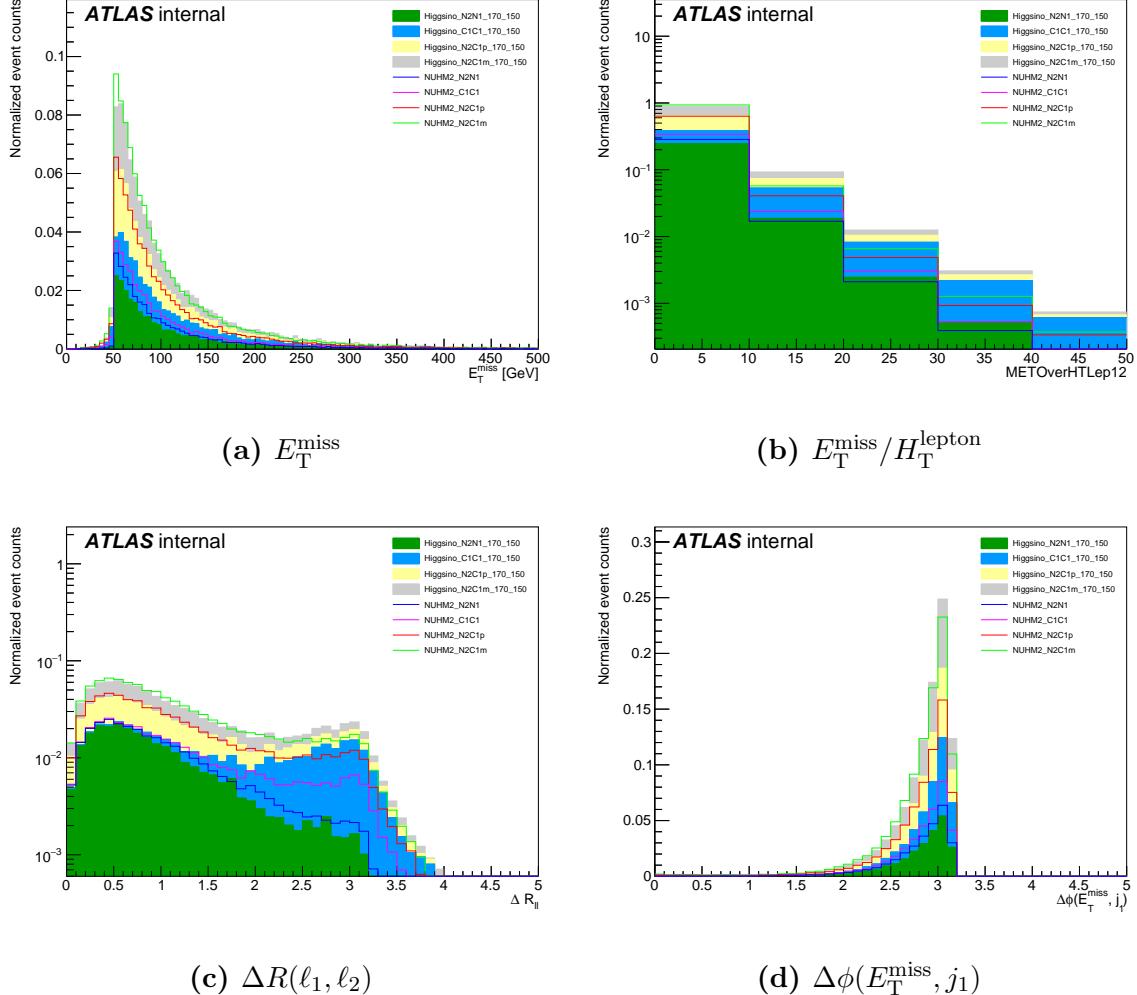


**Figure 8.4:** The signal jets and the signal  $b$ -jets  $p_T$ ,  $\eta$ , and  $\phi$  distributions for the NUHM2

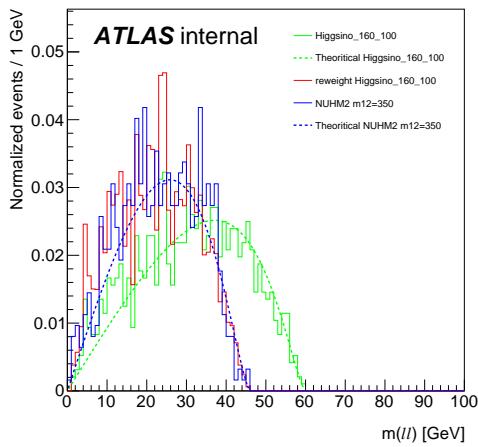
with  $m_{1/2} = 600$  GeV and the simplified Higgsino model with  $m_{\tilde{\chi}_2^0} = 170$  GeV and  $m_{\tilde{\chi}_1^0} = 150$  GeV. Four different production channels,  $\tilde{\chi}_2^0 \tilde{\chi}_1^0$ ,  $\tilde{\chi}_2^0 \tilde{\chi}_1^+$ ,  $\tilde{\chi}_2^0 \tilde{\chi}_1^-$ , and  $\tilde{\chi}_1^\pm \tilde{\chi}_1^\mp$ , for the NUHM2 and the simplified Higgsino model are considered. The distributions of four productions are combined and normalized to equal area.



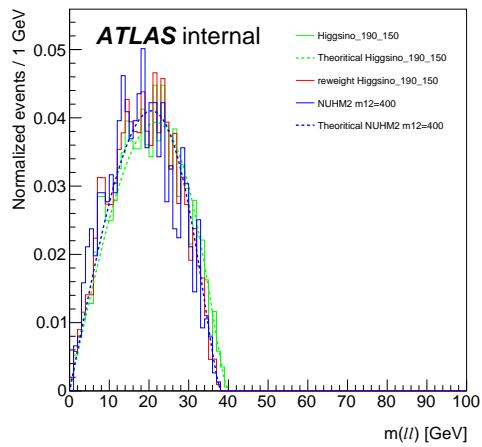
**Figure 8.5:** The invariant mass  $M_{\ell\ell}$  and  $M_{\tau\tau}$  distributions and the transverse mass  $M_T$  and  $M_{T2}$  distributions. The first two leading baseline leptons are used to calculate the  $M_{\ell\ell}$  which contains a hump and a tail region. The  $\tilde{\chi}_2^0 \tilde{\chi}_1^0$  contributes to the hump only and the tail is contributed by the decay products containing the chargino  $\tilde{\chi}_1^\pm$ . The Eq. (6.6) is used to calculate the di-tau invariant mass  $M_{\tau\tau}$ . The first or first two leading signal leptons and  $E_T^{\text{miss}}$  are used to evaluate the transverse mass  $M_T$  and  $M_{T2}$ , respectively. Four different production channels,  $\tilde{\chi}_2^0 \tilde{\chi}_1^0$ ,  $\tilde{\chi}_2^0 \tilde{\chi}_1^+$ ,  $\tilde{\chi}_2^0 \tilde{\chi}_1^-$ , and  $\tilde{\chi}_1^\pm \tilde{\chi}_1^\mp$ , for the NUHM2 and the simplified Higgsino model are considered. The distributions of four productions are combined and normalized to equal area.



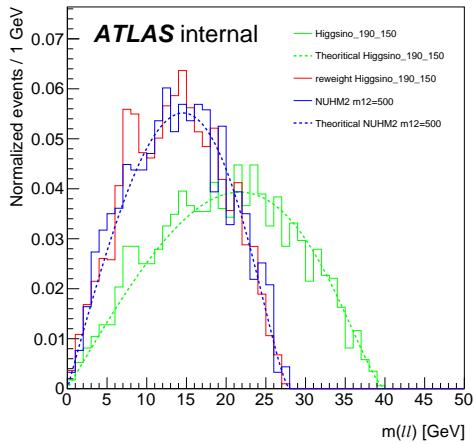
**Figure 8.6:** The  $E_T^{\text{miss}}$ ,  $E_T^{\text{miss}}/H_T^{\text{lepton}}$ ,  $\Delta R(\ell_1, \ell_2)$ , and  $\Delta\phi(E_T^{\text{miss}}, j_1)$  distributions. The  $H_T^{\text{lepton}}$  is the scalar sum of the first two leading baseline leptons  $p_T$  only. The distance  $\Delta R(\ell_1, \ell_2)$  is calculated by the first two leading baseline leptons and the  $\Delta\phi(E_T^{\text{miss}}, j_1)$  uses  $E_T^{\text{miss}}$  and first leading signal jet. Four different production channels,  $\tilde{\chi}_2^0 \tilde{\chi}_1^0$ ,  $\tilde{\chi}_2^0 \tilde{\chi}_1^+$ ,  $\tilde{\chi}_2^0 \tilde{\chi}_1^-$ , and  $\tilde{\chi}_1^\pm \tilde{\chi}_1^\mp$ , for the NUHM2 and the simplified Higgsino model are considered. The distributions of four productions are combined and normalized to equal area.



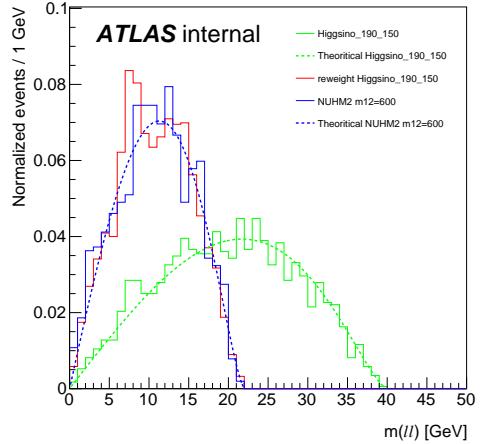
(a) NUHM2  $m_{1/2} = 350$  GeV



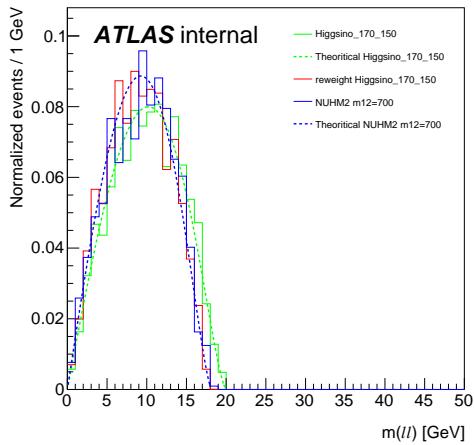
(b) NUHM2  $m_{1/2} = 400$  GeV



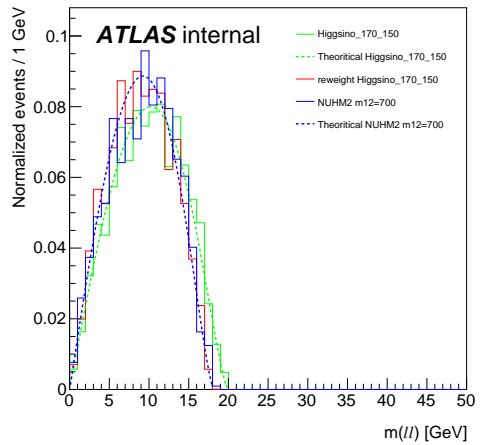
(c) NUHM2  $m_{1/2} = 500$  GeV



(d) NUHM2  $m_{1/2} = 600$  GeV



(e) NUHM2  $m_{1/2} = 700$  GeV



(f) NUHM2  $m_{1/2} = 800$  GeV

## **8.2 NUHM2 interpretation using the $m_{\ell\ell}$ re-weighting method**

## **8.3 NUHM2 interpretation using the MC production**

# Chapter 9

## Conclusion

A search for the electroweak production of supersymmetric states with low  $p_T$  visible decay products is presented. Events with significant  $E_T^{\text{miss}}$  and same flavor opposite charged lepton pairs are selected. The minimum  $p_T$  of the lepton is 4.5 GeV for the electrons and 4 GeV for the muons. The dilepton invariant mass and stranverse mass are the main discriminating variables used to construct signal regions. This analysis is performed using LHC proton-proton collision data at  $\sqrt{s} = 13$  TeV collected by the ATLAS detector corresponding to an integrated luminosity of  $36.1 \text{ fb}^{-1}$ . With no excess over the Standard Model expectation is observed, the results are interpreted using  $R$ -parity-conserving supersymmetry, where the produced states have small mass splitting with the lightest neutralino  $\tilde{\chi}_1^0$ . For the NUHM2 scenario, 95% CL cross-section upper limits ranges between 11.5 and 3.8  $pb$  for  $m_{1/2}$  values of 350 to 800 GeV is provided.

# Appendix

# Appendix A

## Cross-sections of NUHM2

The cross-sections, branching fraction, and filter efficiency for the NUHM2 signal samples are shown in Table [A.1](#), [A.2](#), [A.3](#), [A.4](#), [A.5](#), [A.6](#) and [A.7](#). The various final states are listed in Table [A.8](#)

| DSID   | Final state | Cross-section [pb] | K-factor/BF  | Filter efficiency | Relative uncertainty |
|--------|-------------|--------------------|--------------|-------------------|----------------------|
| 370617 | 111         | 0.0116116904       | 1.00000000   | 1.00000000        | 0.07950234           |
| 370617 | 112         | 0.0009775530       | 1.00000000   | 1.00000000        | 0.08535312           |
| 370617 | 113         | 0.5163867234       | 1.00000000   | 1.00000000        | 0.07315089           |
| 370617 | 114         | 0.0000593483       | 1.00000000   | 1.00000000        | 0.08483826           |
| 370617 | 115         | 1.1555478731       | 1.00000000   | 1.00000000        | 0.06803190           |
| 370617 | 116         | 0.0056717958       | 1.00000000   | 1.00000000        | 0.05803524           |
| 370617 | 117         | 0.7027932124       | 1.00000000   | 1.00000000        | 0.08979719           |
| 370617 | 118         | 0.0030806972       | 1.00000000   | 1.00000000        | 0.07709474           |
| 370617 | 122         | 0.0000260248       | 1.00000000   | 1.00000000        | 0.13101757           |
| 370617 | 123         | 0.1709342503       | 1.00000000   | 1.00000000        | 0.06993300           |
| 370617 | 124         | 0.0002175469       | 1.00000000   | 1.00000000        | 0.08148442           |
| 370617 | 125         | 0.4768609298       | 1.00000000   | 1.00000000        | 0.06541649           |
| 370617 | 126         | 0.0228714654       | 1.00000000   | 1.00000000        | 0.05697991           |
| 370617 | 127         | 0.2784795051       | 1.00000000   | 1.00000000        | 0.08434059           |
| 370617 | 128         | 0.0120807622       | 1.00000000   | 1.00000000        | 0.07942795           |
| 370617 | 133         | 0.0003583977       | 1.00000000   | 1.00000000        | 0.06910802           |
| 370617 | 134         | 0.0191236271       | 1.00000000   | 1.00000000        | 0.06575101           |
| 370617 | 135         | 0.6773400626       | 1.00000000   | 1.00000000        | 0.06477621           |
| 370617 | 136         | 0.0262277631       | 1.00000000   | 1.00000000        | 0.05716003           |
| 370617 | 137         | 0.3954923581       | 1.00000000   | 1.00000000        | 0.08483868           |
| 370617 | 138         | 0.0137758494       | 1.00000000   | 1.00000000        | 0.08211888           |
| 370617 | 144         | 0.0000568127       | 1.00000000   | 1.00000000        | 0.07453184           |
| 370617 | 145         | 0.0213534960       | 1.00000000   | 1.00000000        | 0.05398319           |
| 370617 | 146         | 0.2119219378       | 1.00000000   | 1.00000000        | 0.05665419           |
| 370617 | 147         | 0.0113003976       | 1.00000000   | 1.00000000        | 0.07780129           |
| 370617 | 148         | 0.1028839844       | 1.00000000   | 1.00000000        | 0.07544194           |
| 370617 | 157         | 1.1640104660       | 1.00000000   | 1.00000000        | 0.07733674           |
| 370617 | 158         | 0.0187939524       | 1.00000000   | 1.00000000        | 0.06417211           |
| 370617 | 167         | 0.0188413722       | 1.00000000   | 1.00000000        | 0.06767782           |
| 370617 | 168         | 0.1655520687       | 1.00000000   | 1.00000000        | 0.06249944           |
| 394301 | 157         | 1.1640104660       | 0.1110699593 | 1.4334E-01        | 0.07733674           |
| 394302 | 127         | 0.2784795051       | 0.0365485123 | 2.5440E-01        | 0.08434059           |
| 394303 | 125         | 0.4768609298       | 0.0365485123 | 2.5135E-01        | 0.06541649           |
| 394304 | 112         | 0.0009775530       | 0.0365485123 | 3.0251E-01        | 0.08535312           |

**Table A.1:** The cross-sections, branching fraction, and filter efficiency for the NUHM2 signal samples  $m_{1/2} = 300$  GeV.

| DSID   | Final state | Cross-section [pb] | K-factor/BF | Filter efficiency | Relative uncertainty |
|--------|-------------|--------------------|-------------|-------------------|----------------------|
| 370618 | 111         | 0.0076283799       | 1.00000000  | 1.00000000        | 0.07739107           |
| 370618 | 112         | 0.5835187445       | 1.00000000  | 1.00000000        | 0.07193879           |
| 370618 | 113         | 0.0000894312       | 1.00000000  | 1.00000000        | 0.09912250           |
| 370618 | 114         | 0.0001023491       | 1.00000000  | 1.00000000        | 0.07825966           |
| 370618 | 115         | 1.0850849571       | 1.00000000  | 1.00000000        | 0.07591545           |
| 370618 | 116         | 0.0049251988       | 1.00000000  | 1.00000000        | 0.05689268           |
| 370618 | 117         | 0.6538672654       | 1.00000000  | 1.00000000        | 0.08886870           |
| 370618 | 118         | 0.0025928251       | 1.00000000  | 1.00000000        | 0.07604635           |
| 370618 | 122         | 0.0003076705       | 1.00000000  | 1.00000000        | 0.06756051           |
| 370618 | 123         | 0.1082826561       | 1.00000000  | 1.00000000        | 0.06926016           |
| 370618 | 124         | 0.0094622361       | 1.00000000  | 1.00000000        | 0.06300188           |
| 370618 | 125         | 0.6833463531       | 1.00000000  | 1.00000000        | 0.06443308           |
| 370618 | 126         | 0.0129259483       | 1.00000000  | 1.00000000        | 0.05402434           |
| 370618 | 127         | 0.3983657446       | 1.00000000  | 1.00000000        | 0.08599203           |
| 370618 | 128         | 0.0066391822       | 1.00000000  | 1.00000000        | 0.07534667           |
| 370618 | 133         | 0.0000649975       | 1.00000000  | 1.00000000        | 0.10705877           |
| 370618 | 134         | 0.0001247362       | 1.00000000  | 1.00000000        | 0.07583934           |
| 370618 | 135         | 0.2353276570       | 1.00000000  | 1.00000000        | 0.06552596           |
| 370618 | 136         | 0.0091529911       | 1.00000000  | 1.00000000        | 0.05672728           |
| 370618 | 137         | 0.1357101599       | 1.00000000  | 1.00000000        | 0.08410482           |
| 370618 | 138         | 0.0046580255       | 1.00000000  | 1.00000000        | 0.07466805           |
| 370618 | 144         | 0.0000282761       | 1.00000000  | 1.00000000        | 0.07380597           |
| 370618 | 145         | 0.0104731869       | 1.00000000  | 1.00000000        | 0.05738262           |
| 370618 | 146         | 0.1421425400       | 1.00000000  | 1.00000000        | 0.06087756           |
| 370618 | 147         | 0.0054325991       | 1.00000000  | 1.00000000        | 0.07452907           |
| 370618 | 148         | 0.0669626098       | 1.00000000  | 1.00000000        | 0.07470349           |
| 370618 | 157         | 0.9548695995       | 1.00000000  | 1.00000000        | 0.07280905           |
| 370618 | 158         | 0.0093028684       | 1.00000000  | 1.00000000        | 0.06338077           |
| 370618 | 167         | 0.0092973351       | 1.00000000  | 1.00000000        | 0.06299140           |
| 370618 | 168         | 0.1082938946       | 1.00000000  | 1.00000000        | 0.05684157           |
| 394305 | 157         | 0.9548695995       | 0.111060393 | 1.2990E-01        | 0.07280905           |
| 394306 | 127         | 0.3983657446       | 0.101384714 | 2.5255E-01        | 0.08599203           |
| 394307 | 125         | 0.6833463531       | 0.101384714 | 2.5574E-01        | 0.06443308           |
| 394308 | 112         | 0.5835187445       | 0.101384714 | 2.2766E-01        | 0.07193879           |

**Table A.2:** The cross-sections, branching fraction, and filter efficiency for the NUHM2 signal samples  $m_{1/2} = 350$  GeV.

| DSID   | Final state | Cross-section [pb] | K-factor/BF | Filter efficiency | Relative uncertainty |
|--------|-------------|--------------------|-------------|-------------------|----------------------|
| 370619 | 111         | 0.0050511346       | 1.00000000  | 1.00000000        | 0.07579917           |
| 370619 | 112         | 0.6255603991       | 1.00000000  | 1.00000000        | 0.07158509           |
| 370619 | 113         | 0.0000109243       | 1.00000000  | 1.00000000        | 0.09579487           |
| 370619 | 114         | 0.0001052946       | 1.00000000  | 1.00000000        | 0.07766307           |
| 370619 | 115         | 1.0342689914       | 1.00000000  | 1.00000000        | 0.06820123           |
| 370619 | 116         | 0.0035382215       | 1.00000000  | 1.00000000        | 0.05558890           |
| 370619 | 117         | 0.6163842668       | 1.00000000  | 1.00000000        | 0.08756721           |
| 370619 | 118         | 0.0018044868       | 1.00000000  | 1.00000000        | 0.07509790           |
| 370619 | 122         | 0.0002664650       | 1.00000000  | 1.00000000        | 0.07041738           |
| 370619 | 123         | 0.0628066056       | 1.00000000  | 1.00000000        | 0.06735393           |
| 370619 | 124         | 0.0048696810       | 1.00000000  | 1.00000000        | 0.06286865           |
| 370619 | 125         | 0.6845201512       | 1.00000000  | 1.00000000        | 0.06476776           |
| 370619 | 126         | 0.0067063042       | 1.00000000  | 1.00000000        | 0.05627793           |
| 370619 | 127         | 0.3976839861       | 1.00000000  | 1.00000000        | 0.08518583           |
| 370619 | 128         | 0.0033679821       | 1.00000000  | 1.00000000        | 0.07318125           |
| 370619 | 133         | 0.0000557211       | 1.00000000  | 1.00000000        | 0.10394707           |
| 370619 | 134         | 0.0000636446       | 1.00000000  | 1.00000000        | 0.07539568           |
| 370619 | 135         | 0.1183185424       | 1.00000000  | 1.00000000        | 0.05812791           |
| 370619 | 136         | 0.0036848994       | 1.00000000  | 1.00000000        | 0.05773533           |
| 370619 | 137         | 0.0670198462       | 1.00000000  | 1.00000000        | 0.08128406           |
| 370619 | 138         | 0.0018161476       | 1.00000000  | 1.00000000        | 0.07634830           |
| 370619 | 144         | 0.0000158930       | 1.00000000  | 1.00000000        | 0.07992644           |
| 370619 | 145         | 0.0054376416       | 1.00000000  | 1.00000000        | 0.05587952           |
| 370619 | 146         | 0.0976478188       | 1.00000000  | 1.00000000        | 0.06106615           |
| 370619 | 147         | 0.0027346724       | 1.00000000  | 1.00000000        | 0.07262348           |
| 370619 | 148         | 0.0442265190       | 1.00000000  | 1.00000000        | 0.07409153           |
| 370619 | 157         | 0.8415837349       | 1.00000000  | 1.00000000        | 0.07397928           |
| 370619 | 158         | 0.0047908009       | 1.00000000  | 1.00000000        | 0.06312184           |
| 370619 | 167         | 0.0047973169       | 1.00000000  | 1.00000000        | 0.06465433           |
| 370619 | 168         | 0.0724744359       | 1.00000000  | 1.00000000        | 0.06197995           |
| 394309 | 157         | 0.8415837349       | 0.111047482 | 1.2199E-01        | 0.07397928           |
| 394310 | 127         | 0.3976839861       | 0.102934938 | 2.2044E-01        | 0.08518583           |
| 394311 | 125         | 0.6845201512       | 0.102934938 | 2.2387E-01        | 0.06476776           |
| 394312 | 112         | 0.6255603991       | 0.102934938 | 2.0415E-01        | 0.07158509           |

**Table A.3:** The cross-sections, branching fraction, and filter efficiency for the NUHM2 signal samples  $m_{1/2} = 400$  GeV.

| DSID   | Final state | Cross-section [pb] | K-factor/BF | Filter efficiency | Relative uncertainty |
|--------|-------------|--------------------|-------------|-------------------|----------------------|
| 370620 | 111         | 0.0023867653       | 1.00000000  | 1.00000000        | 0.07209579           |
| 370620 | 112         | 0.6603094819       | 1.00000000  | 1.00000000        | 0.07005129           |
| 370620 | 113         | 0.0001325585       | 1.00000000  | 1.00000000        | 0.07482547           |
| 370620 | 114         | 0.0000688236       | 1.00000000  | 1.00000000        | 0.07197169           |
| 370620 | 115         | 0.9426500411       | 1.00000000  | 1.00000000        | 0.06460091           |
| 370620 | 116         | 0.0015013209       | 1.00000000  | 1.00000000        | 0.06183960           |
| 370620 | 117         | 0.5588686815       | 1.00000000  | 1.00000000        | 0.08560539           |
| 370620 | 118         | 0.0007279662       | 1.00000000  | 1.00000000        | 0.07490627           |
| 370620 | 122         | 0.0002061240       | 1.00000000  | 1.00000000        | 0.07176449           |
| 370620 | 123         | 0.0211437195       | 1.00000000  | 1.00000000        | 0.06388222           |
| 370620 | 124         | 0.0014907193       | 1.00000000  | 1.00000000        | 0.06151866           |
| 370620 | 125         | 0.6819165298       | 1.00000000  | 1.00000000        | 0.06356555           |
| 370620 | 126         | 0.0021061945       | 1.00000000  | 1.00000000        | 0.05664429           |
| 370620 | 127         | 0.3955900373       | 1.00000000  | 1.00000000        | 0.08416802           |
| 370620 | 128         | 0.0010081829       | 1.00000000  | 1.00000000        | 0.07123419           |
| 370620 | 133         | 0.0000195970       | 1.00000000  | 1.00000000        | 0.10671913           |
| 370620 | 134         | 0.0000176193       | 1.00000000  | 1.00000000        | 0.07871367           |
| 370620 | 135         | 0.0340389859       | 1.00000000  | 1.00000000        | 0.05924527           |
| 370620 | 136         | 0.0006926196       | 1.00000000  | 1.00000000        | 0.05800427           |
| 370620 | 137         | 0.0187411871       | 1.00000000  | 1.00000000        | 0.08099696           |
| 370620 | 138         | 0.0003229659       | 1.00000000  | 1.00000000        | 0.07606581           |
| 370620 | 144         | 0.0000098797       | 1.00000000  | 1.00000000        | 0.07455477           |
| 370620 | 145         | 0.0016797877       | 1.00000000  | 1.00000000        | 0.05654557           |
| 370620 | 146         | 0.0453186981       | 1.00000000  | 1.00000000        | 0.06092776           |
| 370620 | 147         | 0.0008077861       | 1.00000000  | 1.00000000        | 0.07385221           |
| 370620 | 148         | 0.0192295608       | 1.00000000  | 1.00000000        | 0.07792430           |
| 370620 | 157         | 0.7280789222       | 1.00000000  | 1.00000000        | 0.07328355           |
| 370620 | 158         | 0.0014642018       | 1.00000000  | 1.00000000        | 0.06676658           |
| 370620 | 167         | 0.0014587803       | 1.00000000  | 1.00000000        | 0.06499176           |
| 370620 | 168         | 0.0324683300       | 1.00000000  | 1.00000000        | 0.06503155           |
| 394313 | 157         | 0.7280789222       | 0.111019377 | 1.0812E-01        | 0.07328355           |
| 394314 | 127         | 0.3955900373       | 0.105384522 | 1.8923E-01        | 0.08416802           |
| 394315 | 125         | 0.6819165298       | 0.105384522 | 1.8805E-01        | 0.06356555           |
| 394316 | 112         | 0.6603094819       | 0.105384522 | 1.7600E-01        | 0.07005129           |

**Table A.4:** The cross-sections, branching fraction, and filter efficiency for the NUHM2 signal samples  $m_{1/2} = 500$  GeV.

| DSID   | Final state | Cross-section [pb] | K-factor/BF | Filter efficiency | Relative uncertainty |
|--------|-------------|--------------------|-------------|-------------------|----------------------|
| 370621 | 111         | 0.0012897690       | 1.00000000  | 1.00000000        | 0.07308455           |
| 370621 | 112         | 0.6656504736       | 1.00000000  | 1.00000000        | 0.07047924           |
| 370621 | 113         | 0.0001361496       | 1.00000000  | 1.00000000        | 0.07047382           |
| 370621 | 114         | 0.0000378018       | 1.00000000  | 1.00000000        | 0.07036880           |
| 370621 | 115         | 0.8824882181       | 1.00000000  | 1.00000000        | 0.06538492           |
| 370621 | 116         | 0.0006132703       | 1.00000000  | 1.00000000        | 0.05826096           |
| 370621 | 117         | 0.5187808653       | 1.00000000  | 1.00000000        | 0.08546879           |
| 370621 | 118         | 0.0002849360       | 1.00000000  | 1.00000000        | 0.07563404           |
| 370621 | 122         | 0.0001627043       | 1.00000000  | 1.00000000        | 0.06980768           |
| 370621 | 123         | 0.0078958339       | 1.00000000  | 1.00000000        | 0.06365983           |
| 370621 | 124         | 0.0005370949       | 1.00000000  | 1.00000000        | 0.06338450           |
| 370621 | 125         | 0.6791453722       | 1.00000000  | 1.00000000        | 0.06375124           |
| 370621 | 126         | 0.0007771667       | 1.00000000  | 1.00000000        | 0.05779085           |
| 370621 | 127         | 0.3930396433       | 1.00000000  | 1.00000000        | 0.08337329           |
| 370621 | 128         | 0.0003583832       | 1.00000000  | 1.00000000        | 0.07532675           |
| 370621 | 133         | 0.0000068529       | 1.00000000  | 1.00000000        | 0.09776310           |
| 370621 | 134         | 0.0000060514       | 1.00000000  | 1.00000000        | 0.07178228           |
| 370621 | 135         | 0.0118585454       | 1.00000000  | 1.00000000        | 0.06459092           |
| 370621 | 136         | 0.0001672449       | 1.00000000  | 1.00000000        | 0.05977911           |
| 370621 | 137         | 0.0063210120       | 1.00000000  | 1.00000000        | 0.07773831           |
| 370621 | 138         | 0.0000738669       | 1.00000000  | 1.00000000        | 0.07600501           |
| 370621 | 144         | 0.0000050854       | 1.00000000  | 1.00000000        | 0.10988000           |
| 370621 | 145         | 0.0006099769       | 1.00000000  | 1.00000000        | 0.05679637           |
| 370621 | 146         | 0.0230198632       | 1.00000000  | 1.00000000        | 0.06402567           |
| 370621 | 147         | 0.0002805066       | 1.00000000  | 1.00000000        | 0.07646096           |
| 370621 | 148         | 0.0091676826       | 1.00000000  | 1.00000000        | 0.07848717           |
| 370621 | 157         | 0.6745140438       | 1.00000000  | 1.00000000        | 0.07398616           |
| 370621 | 158         | 0.0005268754       | 1.00000000  | 1.00000000        | 0.06482055           |
| 370621 | 167         | 0.0005263009       | 1.00000000  | 1.00000000        | 0.06458416           |
| 370621 | 168         | 0.0159949974       | 1.00000000  | 1.00000000        | 0.06360196           |
| 394317 | 157         | 0.6745140438       | 0.110994804 | 9.9998E-02        | 0.07398616           |
| 394318 | 127         | 0.3930396433       | 0.107603552 | 1.6870E-01        | 0.08337329           |
| 394319 | 125         | 0.6791453722       | 0.107603552 | 1.6924E-01        | 0.06375124           |
| 394320 | 112         | 0.6656504736       | 0.107603552 | 1.5353E-01        | 0.07047924           |

**Table A.5:** The cross-sections, branching fraction, and filter efficiency for the NUHM2 signal samples  $m_{1/2} = 600$  GeV.

| DSID   | Final state | Cross-section [pb] | K-factor/BF | Filter efficiency | Relative uncertainty |
|--------|-------------|--------------------|-------------|-------------------|----------------------|
| 370622 | 111         | 0.0007869897       | 1.00000000  | 1.00000000        | 0.07181679           |
| 370622 | 112         | 0.6643270342       | 1.00000000  | 1.00000000        | 0.07021531           |
| 370622 | 113         | 0.0000996207       | 1.00000000  | 1.00000000        | 0.06721434           |
| 370622 | 114         | 0.0000204490       | 1.00000000  | 1.00000000        | 0.07299332           |
| 370622 | 115         | 0.8407296201       | 1.00000000  | 1.00000000        | 0.06552209           |
| 370622 | 116         | 0.0002658841       | 1.00000000  | 1.00000000        | 0.06040295           |
| 370622 | 117         | 0.4923724748       | 1.00000000  | 1.00000000        | 0.08491961           |
| 370622 | 118         | 0.0001190742       | 1.00000000  | 1.00000000        | 0.08405305           |
| 370622 | 122         | 0.0001324452       | 1.00000000  | 1.00000000        | 0.07307817           |
| 370622 | 123         | 0.0033217150       | 1.00000000  | 1.00000000        | 0.06361965           |
| 370622 | 124         | 0.0002184464       | 1.00000000  | 1.00000000        | 0.06456221           |
| 370622 | 125         | 0.6766070496       | 1.00000000  | 1.00000000        | 0.06427279           |
| 370622 | 126         | 0.0003241413       | 1.00000000  | 1.00000000        | 0.05818056           |
| 370622 | 127         | 0.3913281838       | 1.00000000  | 1.00000000        | 0.08575382           |
| 370622 | 128         | 0.0001431279       | 1.00000000  | 1.00000000        | 0.07221632           |
| 370622 | 133         | 0.0000034045       | 1.00000000  | 1.00000000        | 0.08794933           |
| 370622 | 134         | 0.0000026928       | 1.00000000  | 1.00000000        | 0.07609993           |
| 370622 | 135         | 0.0048337927       | 1.00000000  | 1.00000000        | 0.05703733           |
| 370622 | 136         | 0.0000502367       | 1.00000000  | 1.00000000        | 0.06355969           |
| 370622 | 137         | 0.0025078220       | 1.00000000  | 1.00000000        | 0.07562175           |
| 370622 | 138         | 0.0000209783       | 1.00000000  | 1.00000000        | 0.07847390           |
| 370622 | 144         | 0.0000052666       | 1.00000000  | 1.00000000        | 0.07856700           |
| 370622 | 145         | 0.0002494848       | 1.00000000  | 1.00000000        | 0.06059516           |
| 370622 | 146         | 0.0118318988       | 1.00000000  | 1.00000000        | 0.07046938           |
| 370622 | 147         | 0.0001094560       | 1.00000000  | 1.00000000        | 0.07805891           |
| 370622 | 148         | 0.0044453542       | 1.00000000  | 1.00000000        | 0.08306247           |
| 370622 | 157         | 0.6438838471       | 1.00000000  | 1.00000000        | 0.07295880           |
| 370622 | 158         | 0.0002140543       | 1.00000000  | 1.00000000        | 0.06775447           |
| 370622 | 167         | 0.0002138494       | 1.00000000  | 1.00000000        | 0.06731630           |
| 370622 | 168         | 0.0079546496       | 1.00000000  | 1.00000000        | 0.06899943           |
| 394321 | 157         | 0.6438838471       | 0.110976399 | 9.3533E-02        | 0.07295880           |
| 394322 | 127         | 0.3913281838       | 0.109700775 | 1.5801E-01        | 0.08575382           |
| 394323 | 125         | 0.6766070496       | 0.109700775 | 1.5871E-01        | 0.06427279           |
| 394324 | 112         | 0.6643270342       | 0.109700775 | 1.3786E-01        | 0.07021531           |

**Table A.6:** The cross-sections, branching fraction, and filter efficiency for the NUHM2 signal samples  $m_{1/2} = 700$  GeV.

| DSID   | Final state | Cross-section [pb] | K-factor/BF  | Filter efficiency | Relative uncertainty |
|--------|-------------|--------------------|--------------|-------------------|----------------------|
| 370623 | 111         | 0.0005212386       | 1.00000000   | 1.00000000        | 0.07063351           |
| 370623 | 112         | 0.6598118363       | 1.00000000   | 1.00000000        | 0.06943069           |
| 370623 | 113         | 0.0000669873       | 1.00000000   | 1.00000000        | 0.06712724           |
| 370623 | 114         | 0.0000113016       | 1.00000000   | 1.00000000        | 0.07064093           |
| 370623 | 115         | 0.8098002978       | 1.00000000   | 1.00000000        | 0.06493814           |
| 370623 | 116         | 0.0001234140       | 1.00000000   | 1.00000000        | 0.06889051           |
| 370623 | 117         | 0.4737135796       | 1.00000000   | 1.00000000        | 0.08608973           |
| 370623 | 118         | 0.0000526249       | 1.00000000   | 1.00000000        | 0.07771427           |
| 370623 | 122         | 0.0001087675       | 1.00000000   | 1.00000000        | 0.07088280           |
| 370623 | 123         | 0.0015416626       | 1.00000000   | 1.00000000        | 0.06537577           |
| 370623 | 124         | 0.0000974637       | 1.00000000   | 1.00000000        | 0.06530325           |
| 370623 | 125         | 0.6748686972       | 1.00000000   | 1.00000000        | 0.06282954           |
| 370623 | 126         | 0.0001487367       | 1.00000000   | 1.00000000        | 0.06359830           |
| 370623 | 127         | 0.3906074836       | 1.00000000   | 1.00000000        | 0.08243869           |
| 370623 | 128         | 0.0000632347       | 1.00000000   | 1.00000000        | 0.07613879           |
| 370623 | 133         | 0.0000021556       | 1.00000000   | 1.00000000        | 0.08808178           |
| 370623 | 134         | 0.0000013753       | 1.00000000   | 1.00000000        | 0.08184458           |
| 370623 | 135         | 0.0022215540       | 1.00000000   | 1.00000000        | 0.05781810           |
| 370623 | 136         | 0.0000179127       | 1.00000000   | 1.00000000        | 0.06707128           |
| 370623 | 137         | 0.0011306653       | 1.00000000   | 1.00000000        | 0.07974272           |
| 370623 | 138         | 0.0000071002       | 1.00000000   | 1.00000000        | 0.07996818           |
| 370623 | 144         | 0.0000033200       | 1.00000000   | 1.00000000        | 0.08521909           |
| 370623 | 145         | 0.0001114849       | 1.00000000   | 1.00000000        | 0.06626951           |
| 370623 | 146         | 0.0064128038       | 1.00000000   | 1.00000000        | 0.07356448           |
| 370623 | 147         | 0.0000474513       | 1.00000000   | 1.00000000        | 0.07695978           |
| 370623 | 148         | 0.0023333882       | 1.00000000   | 1.00000000        | 0.08950999           |
| 370623 | 157         | 0.6240319555       | 1.00000000   | 1.00000000        | 0.07242344           |
| 370623 | 158         | 0.0000960852       | 1.00000000   | 1.00000000        | 0.06651898           |
| 370623 | 167         | 0.0000961123       | 1.00000000   | 1.00000000        | 0.06811969           |
| 370623 | 168         | 0.0042577101       | 1.00000000   | 1.00000000        | 0.07239458           |
| 394325 | 157         | 0.6240319555       | 0.1109638923 | 8.7153E-02        | 0.07242344           |
| 394326 | 127         | 0.3906074836       | 0.1116429166 | 1.3865E-01        | 0.08243869           |
| 394327 | 125         | 0.6748686972       | 0.1116429166 | 1.4629E-01        | 0.06282954           |
| 394328 | 112         | 0.6598118363       | 0.1116429166 | 1.2823E-01        | 0.06943069           |

**Table A.7:** The cross-sections, branching fraction, and filter efficiency for the NUHM2 signal samples  $m_{1/2} = 800$  GeV.

| ID  | Particles           |
|-----|---------------------|-----|---------------------|-----|---------------------|-----|---------------------|-----|---------------------|-----|---------------------|
| 111 | $\chi_1^0 \chi_1^0$ | -   | -                   | -   | -                   | -   | -                   | -   | -                   | -   | -                   |
| 112 | $\chi_1^0 \chi_2^0$ | 122 | $\chi_2^0 \chi_2^0$ | -   | -                   | -   | -                   | -   | -                   | -   | -                   |
| 113 | $\chi_1^0 \chi_3^0$ | 123 | $\chi_2^0 \chi_3^0$ | 133 | $\chi_3^0 \chi_3^0$ | -   | -                   | -   | -                   | -   | -                   |
| 114 | $\chi_1^0 \chi_4^0$ | 124 | $\chi_2^0 \chi_4^0$ | 134 | $\chi_3^0 \chi_4^0$ | 144 | $\chi_4^0 \chi_4^0$ | -   | -                   | -   | -                   |
| 115 | $\chi_1^0 \chi_1^+$ | 125 | $\chi_2^0 \chi_1^+$ | 135 | $\chi_3^0 \chi_1^+$ | 145 | $\chi_4^0 \chi_1^+$ | -   | -                   | -   | -                   |
| 116 | $\chi_1^0 \chi_2^+$ | 126 | $\chi_2^0 \chi_2^+$ | 136 | $\chi_3^0 \chi_2^+$ | 146 | $\chi_4^0 \chi_2^+$ | -   | -                   | -   | -                   |
| 117 | $\chi_1^0 \chi_1^-$ | 127 | $\chi_2^0 \chi_1^-$ | 137 | $\chi_3^0 \chi_1^-$ | 147 | $\chi_4^0 \chi_1^-$ | 157 | $\chi_1^+ \chi_1^-$ | 167 | $\chi_2^+ \chi_1^-$ |
| 118 | $\chi_1^0 \chi_2^-$ | 128 | $\chi_2^0 \chi_2^-$ | 138 | $\chi_3^0 \chi_2^-$ | 148 | $\chi_4^0 \chi_2^-$ | 158 | $\chi_1^+ \chi_2^-$ | 168 | $\chi_2^+ \chi_2^-$ |

**Table A.8:** The list of various final states.

# Appendix B

## Electron isolation

The electron reconstruction, identification, and isolation play a crucial role for many ATLAS analysis. The electrons<sup>1</sup> leave tracks in the ID and energy deposits in the ECAL. The reconstruction algorithm combines the signals in the calorimeter and the tracks in the ID to defined the electron candidates. The reconstructed candidates are identified as electrons based on a likelihood discrimination which distinguishes the electron candidates from the hadrons, non-prompt electrons originating from photon conversions, and heavy flavor hadron decays. Additionally, the electron candidates are required to be isolated to further distinguish the signal and the background objects. The electron efficiency measurements are performed based on the tag-and-probe method using the  $Z \rightarrow ee$  and  $J/\psi \rightarrow ee$  samples.

### B.1 Electron reconstruction

The electrons are reconstructed in the central region of the ATLAS detector ( $|\eta| < 2.47$ ).

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<sup>1</sup>The electrons and positron are referred to as electrons.

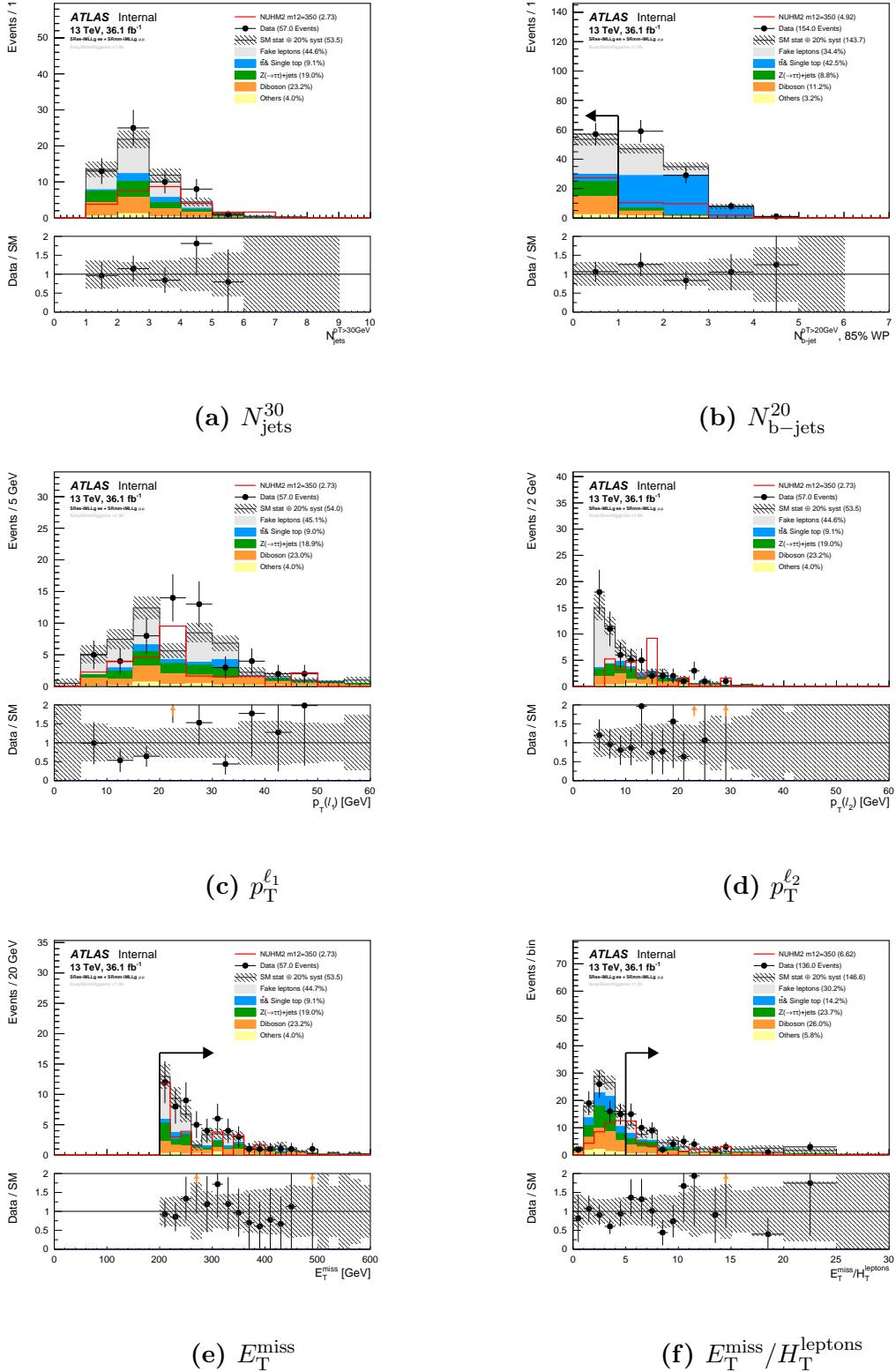
## **B.2 Electron identification**

## **B.3 Electron isolation**

## Appendix C

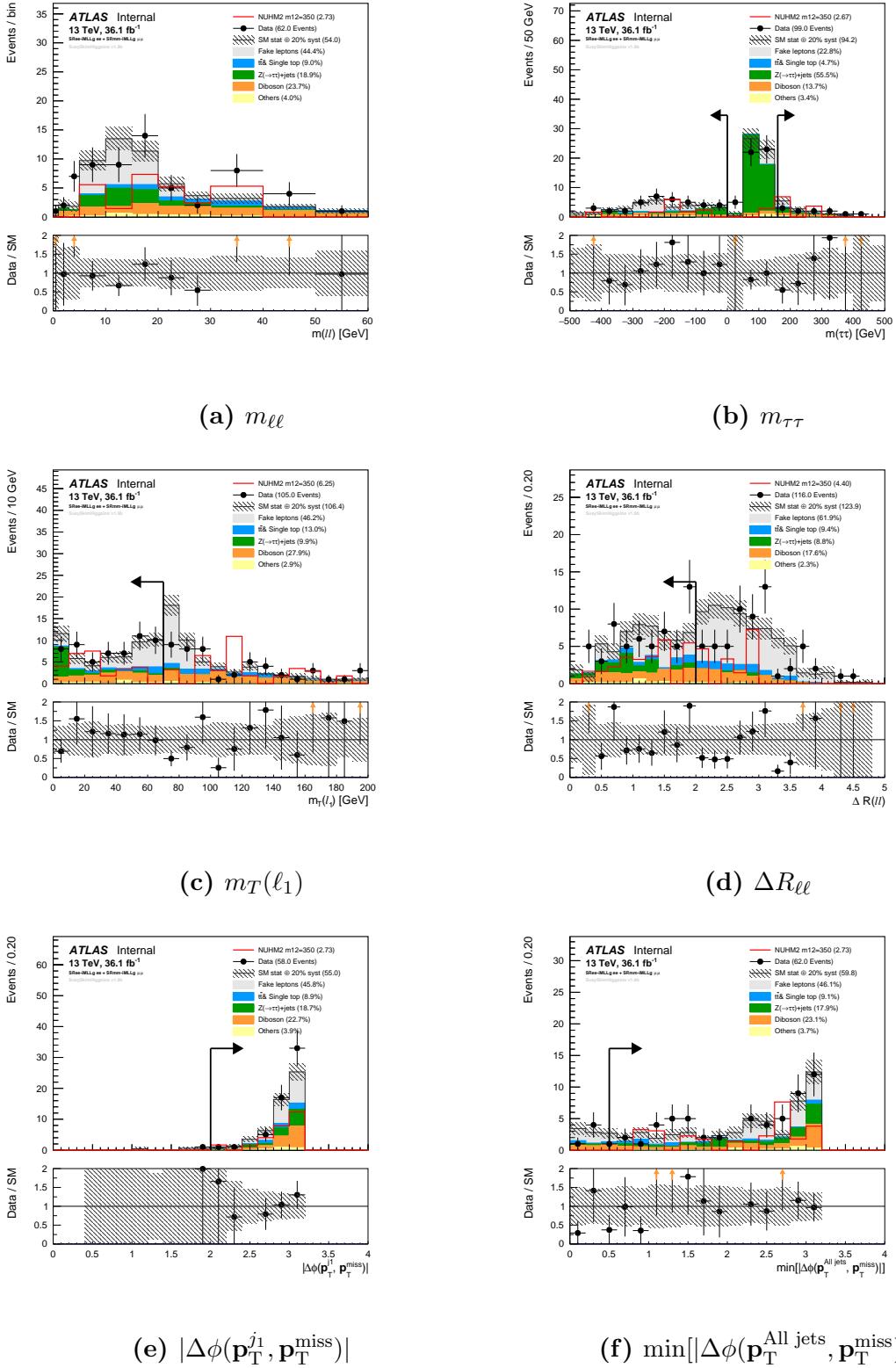
### The distributions

The kinematic variable distributions for the NUHM2 model with  $m_{1/2}$  from 350 GeV to 800 GeV in  $1 < \text{SR}\ell\ell-m_{\ell\ell} < 60$  GeV are shown in this section.



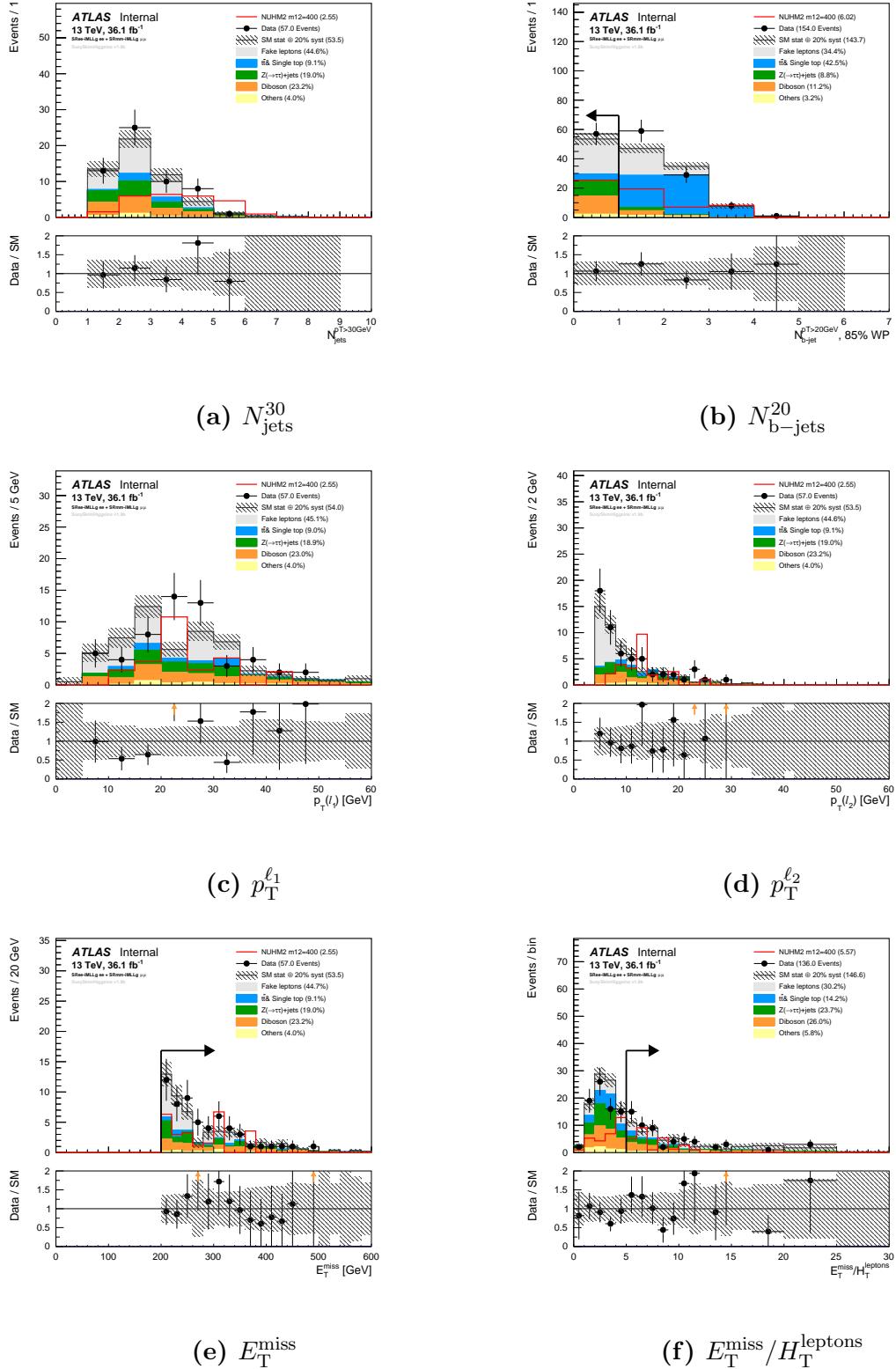
**Figure C.1:** The ‘ $N - 1$ ’ distributions for NUHM2 model with  $m_{1/2} = 350$  GeV in SR region

$1 < \text{SR}\ell\ell-m_{\ell\ell} < 60$  GeV. The NUHM2 distributions are multiplied by 10 but the number of events in the legend use its actual values.



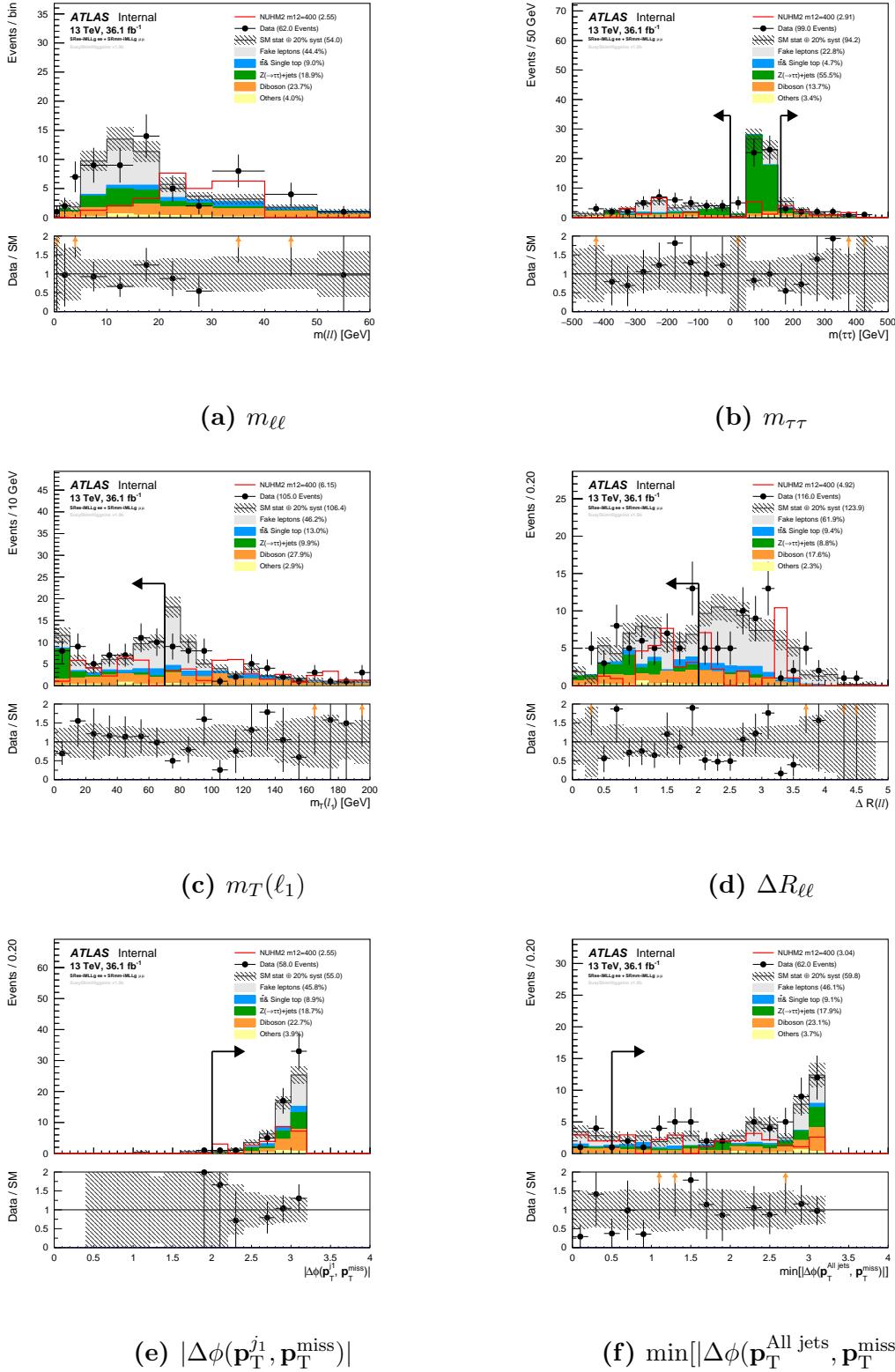
**Figure C.2:** The ‘ $N - 1$ ’ distributions for NUHM2 model with  $m_{1/2} = 350$  GeV in SR region

$1 < \text{SR}\ell\ell - m_{\ell\ell} < 60$  GeV. The NUHM2 distributions are multiplied by 10 but the number of events in the legend use its actual values.

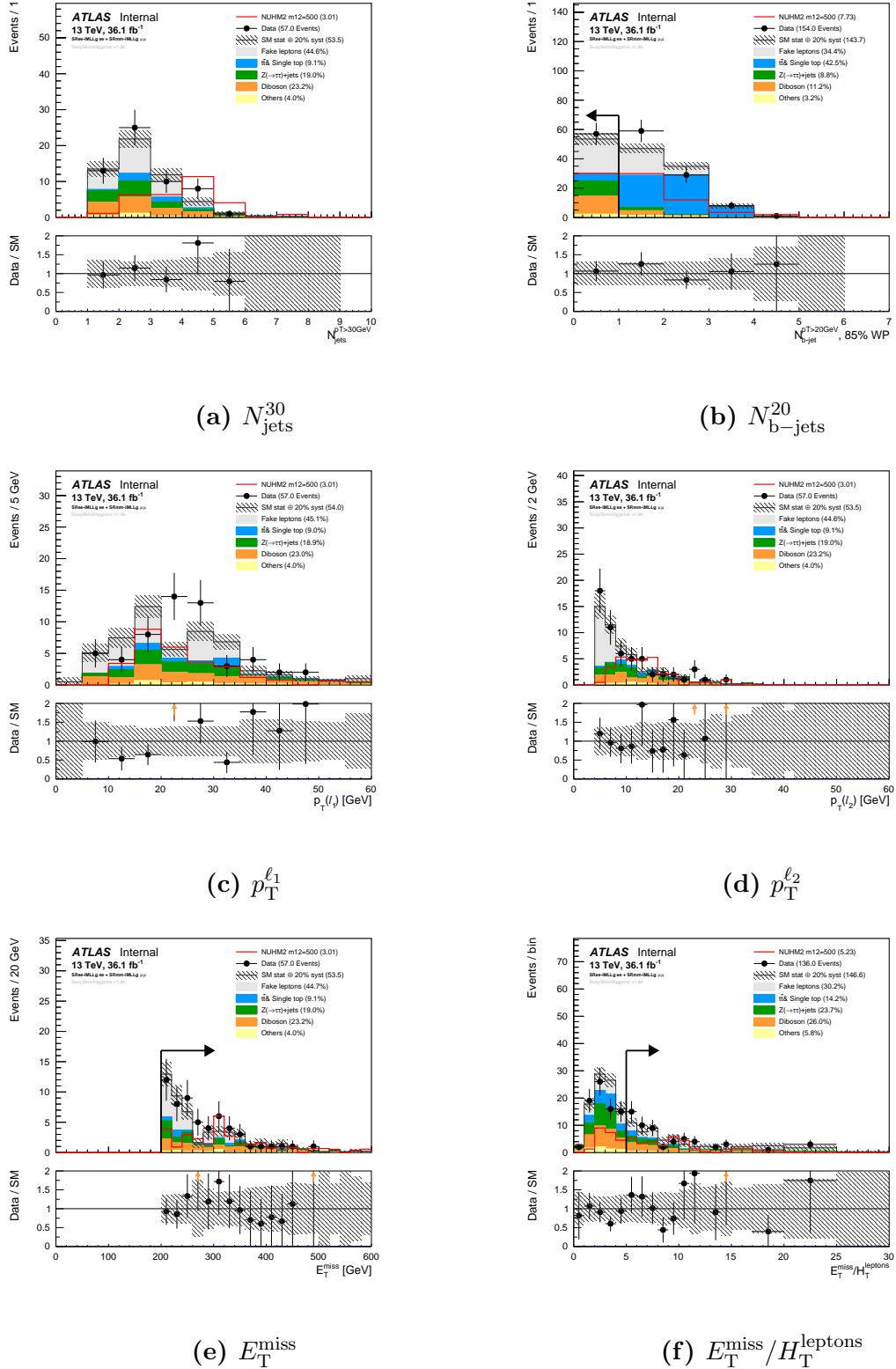


**Figure C.3:** The ‘ $N - 1$ ’ distributions for NUHM2 model with  $m_{1/2} = 400$  GeV in SR region

$1 < \text{SR} \ell\ell - m_{\ell\ell} < 60$  GeV. The NUHM2 distributions are multiplied by 10 but the number of events in the legend use its actual values.

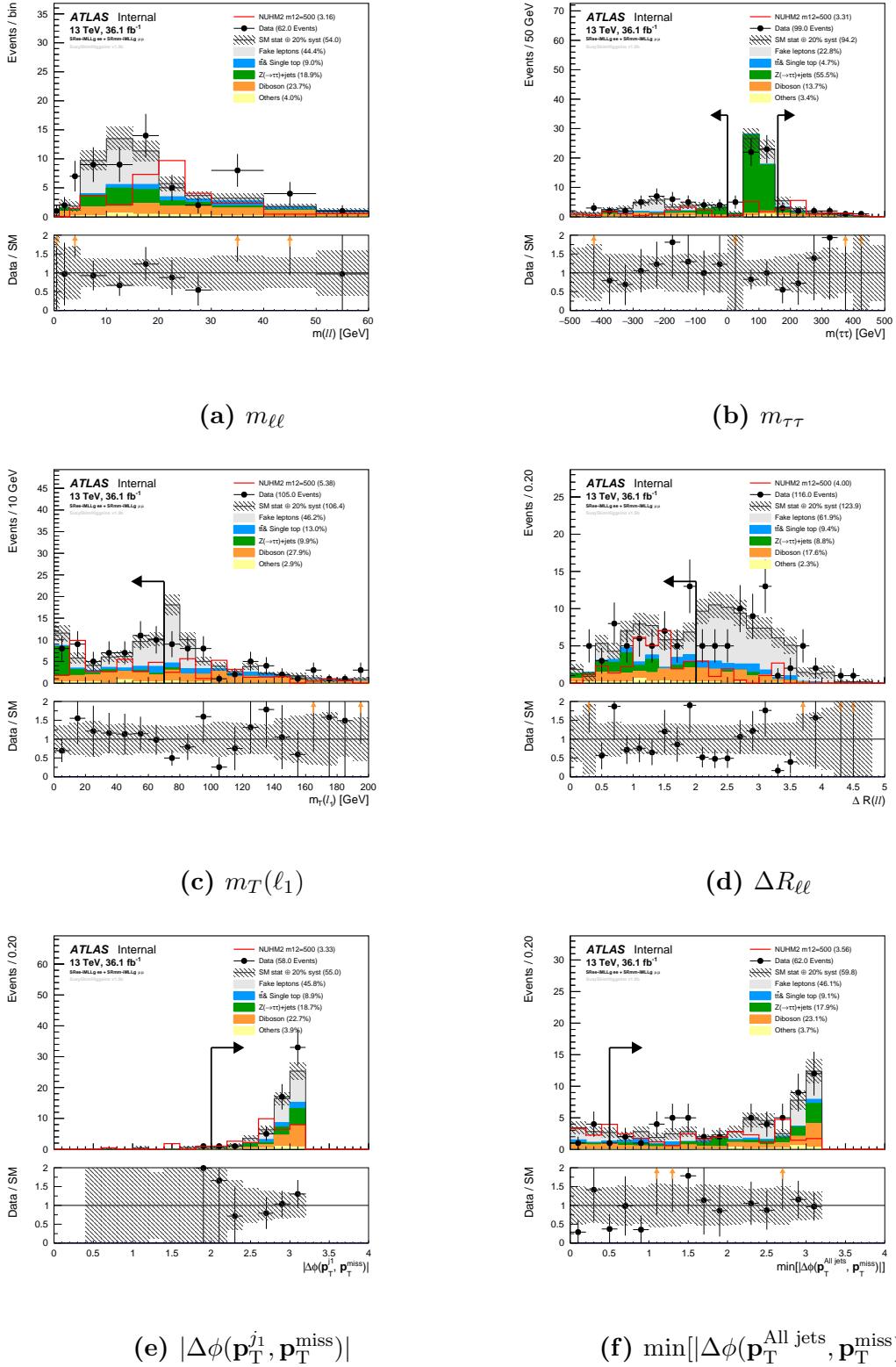


**Figure C.4:** The ‘ $N - 1$ ’ distributions for NUHM2 model with  $m_{1/2} = 400$  GeV in SR region  $1 < \text{SR}\ell\ell - m_{\ell\ell} < 60$  GeV. The NUHM2 distributions are multiplied by 10 but the number of events in the legend use its actual values.



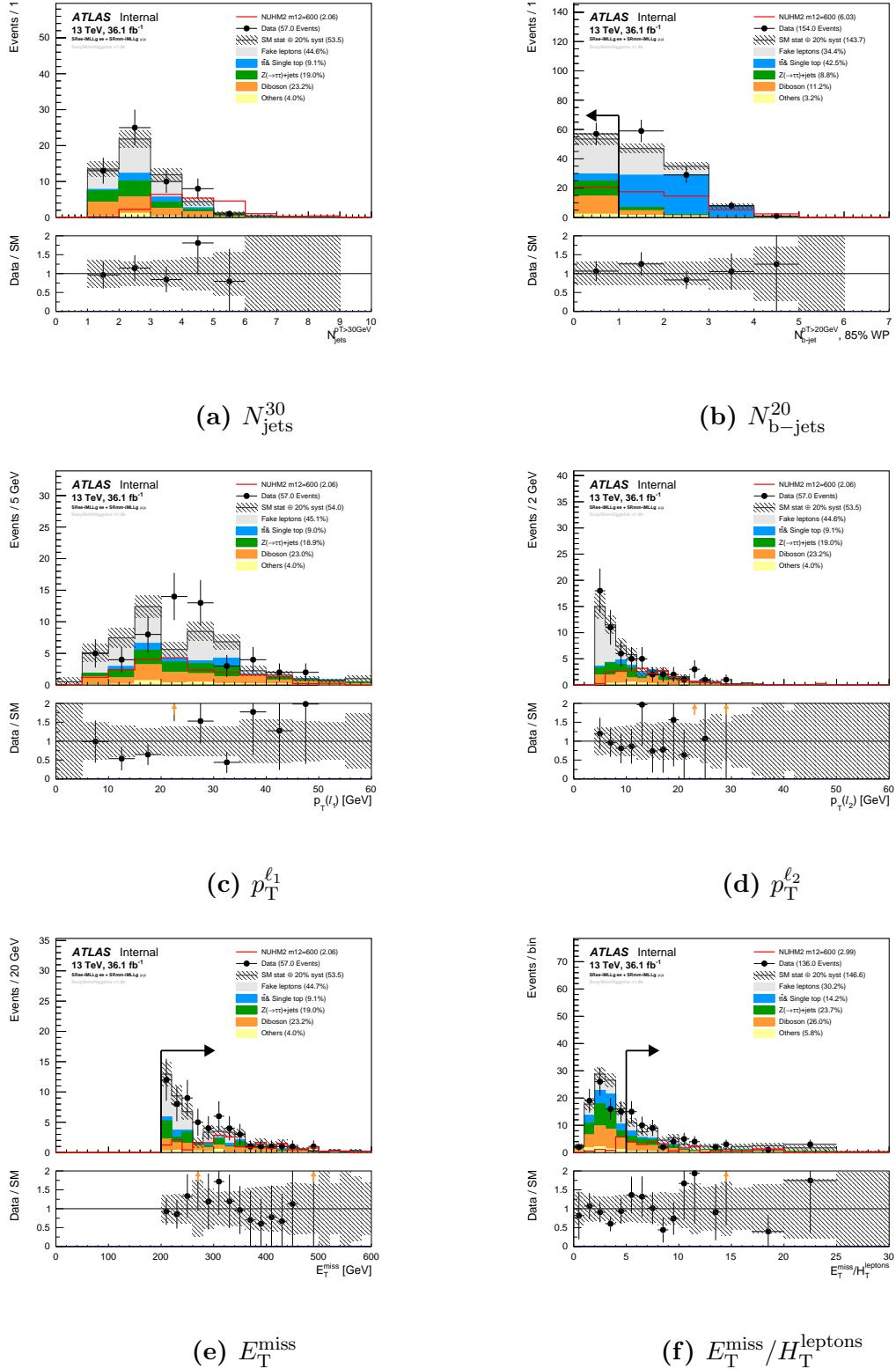
**Figure C.5:** The ‘ $N - 1$ ’ distributions for NUHM2 model with  $m_{1/2} = 500$  GeV in SR region

$1 < \text{SR}\ell\ell-m_{\ell\ell} < 60$  GeV. The NUHM2 distributions are multiplied by 10 but the number of events in the legend use its actual values.



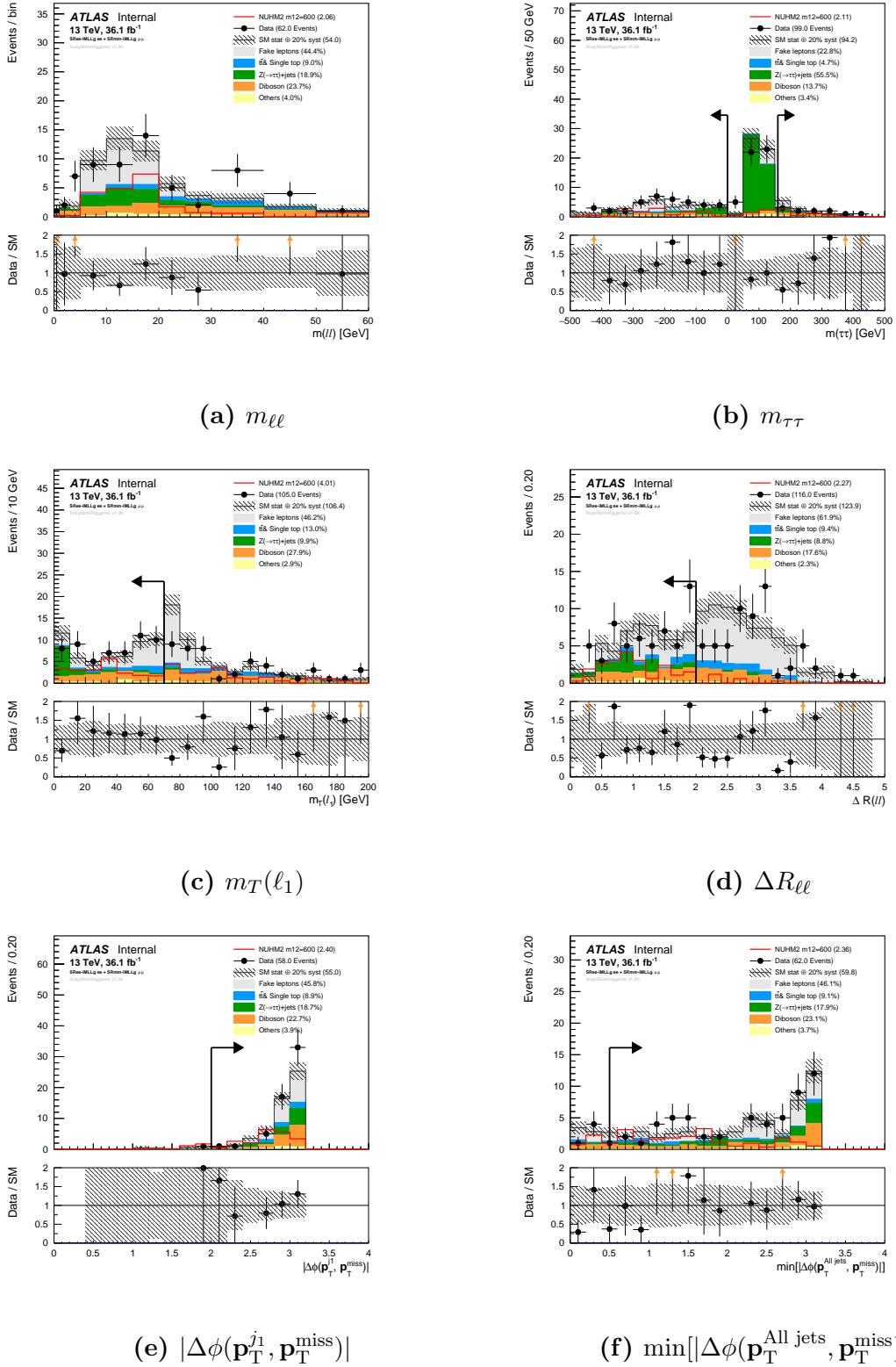
**Figure C.6:** The ‘ $N - 1$ ’ distributions for NUHM2 model with  $m_{1/2} = 500$  GeV in SR region

$1 < \text{SR}\ell\ell - m_{\ell\ell} < 60$  GeV. The NUHM2 distributions are multiplied by 10 but the number of events in the legend use its actual values.



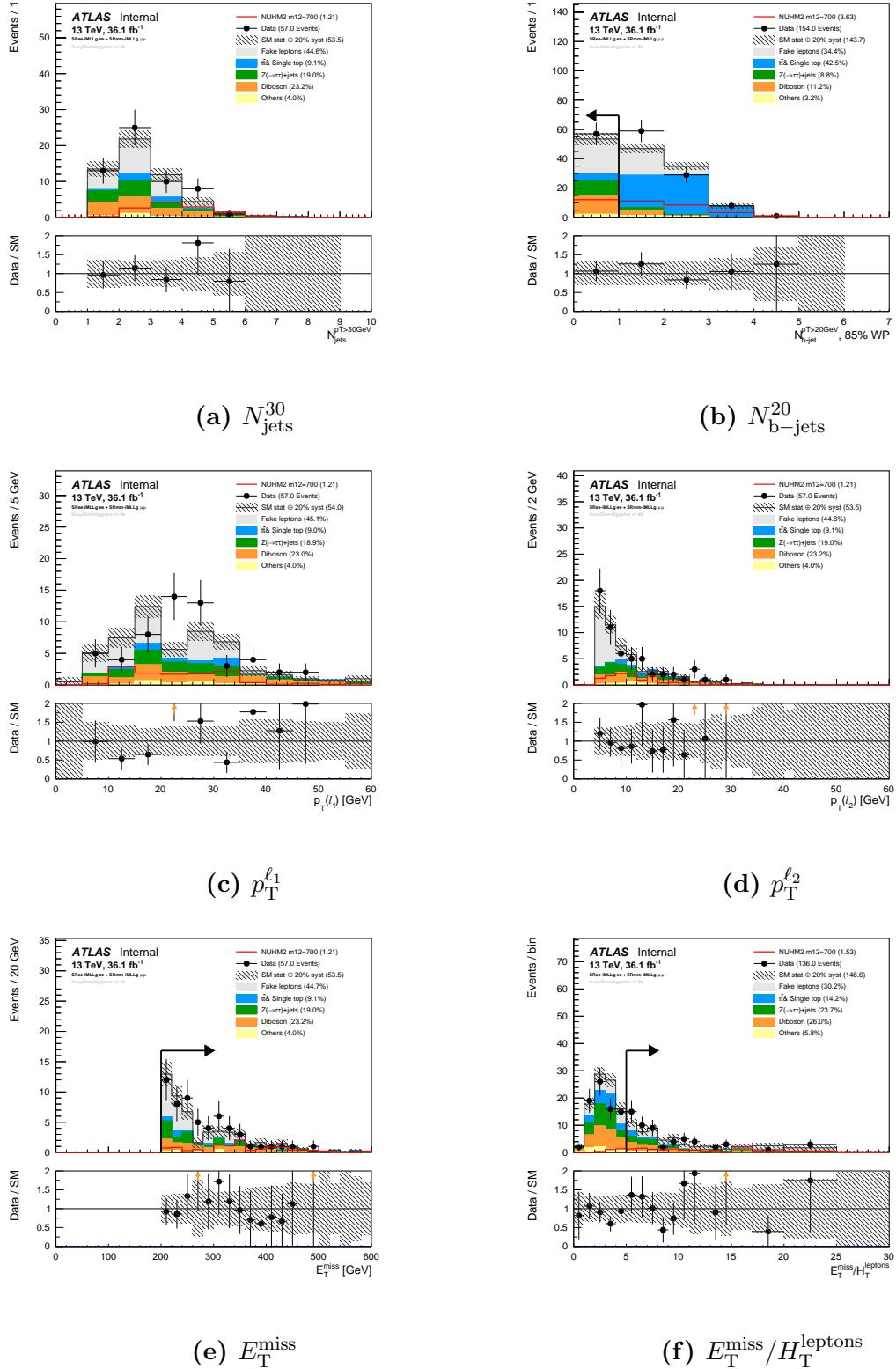
**Figure C.7:** The ‘ $N - 1$ ’ distributions for NUHM2 model with  $m_{1/2} = 600$  GeV in SR region

$1 < \text{SR} \ell\ell - m_{\ell\ell} < 60$  GeV. The NUHM2 distributions are multiplied by 10 but the number of events in the legend use its actual values.



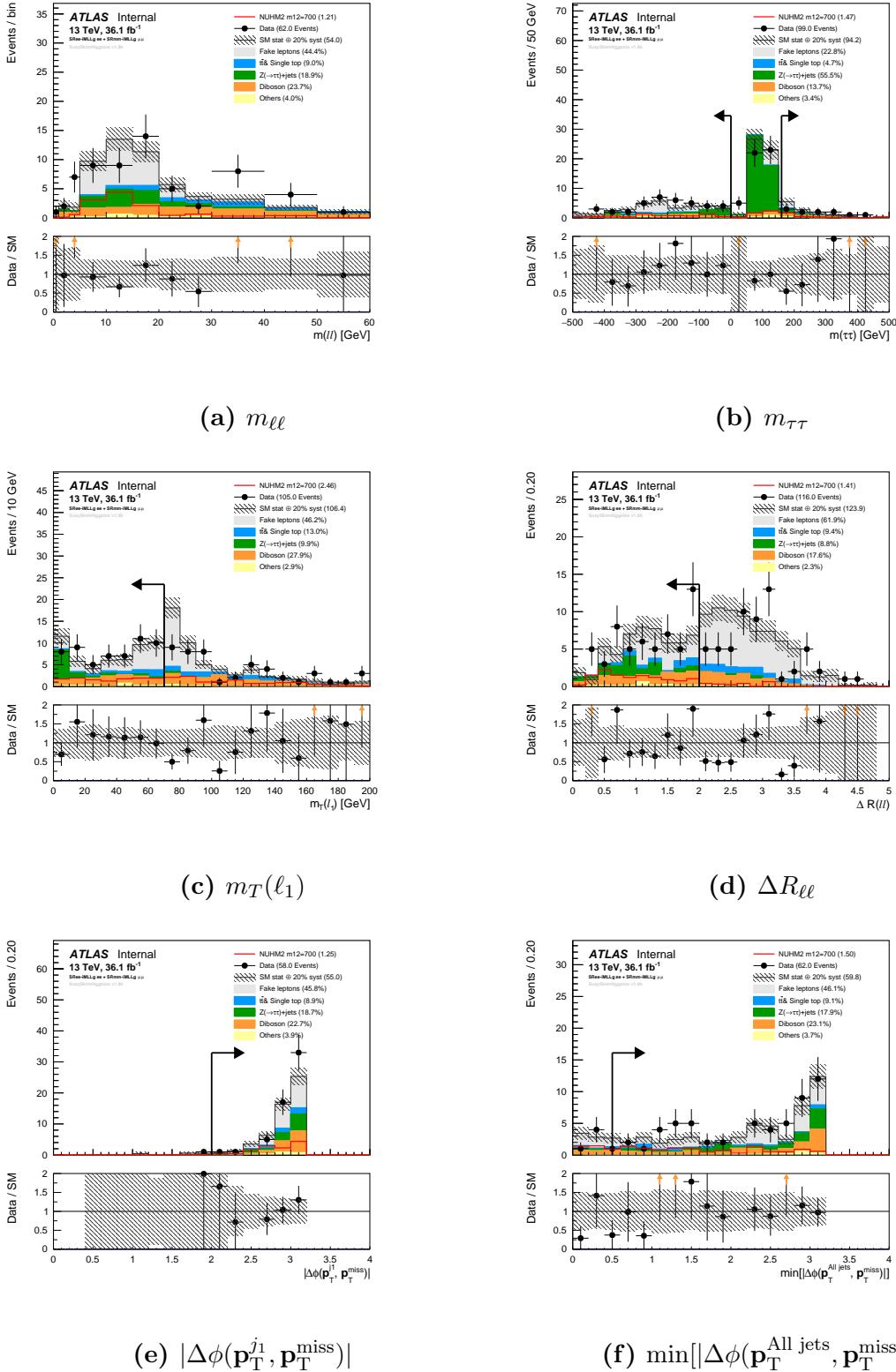
**Figure C.8:** The ‘ $N - 1$ ’ distributions for NUHM2 model with  $m_{1/2} = 600$  GeV in SR region

$1 < \text{SR}\ell\ell - m_{\ell\ell} < 60$  GeV. The NUHM2 distributions are multiplied by 10 but the number of events in the legend use its actual values.

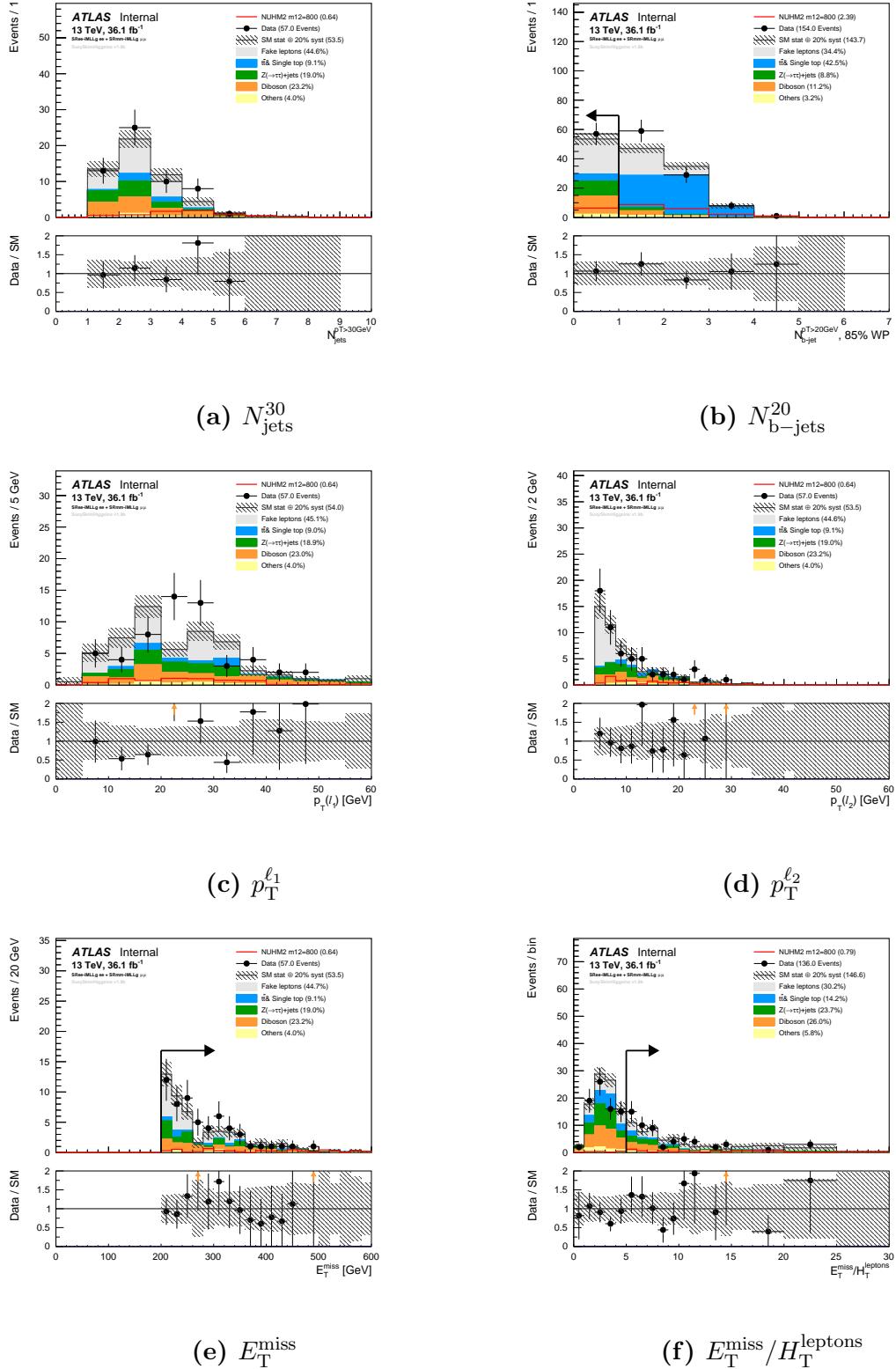


**Figure C.9:** The ‘ $N - 1$ ’ distributions for NUHM2 model with  $m_{1/2} = 700$  GeV in SR region

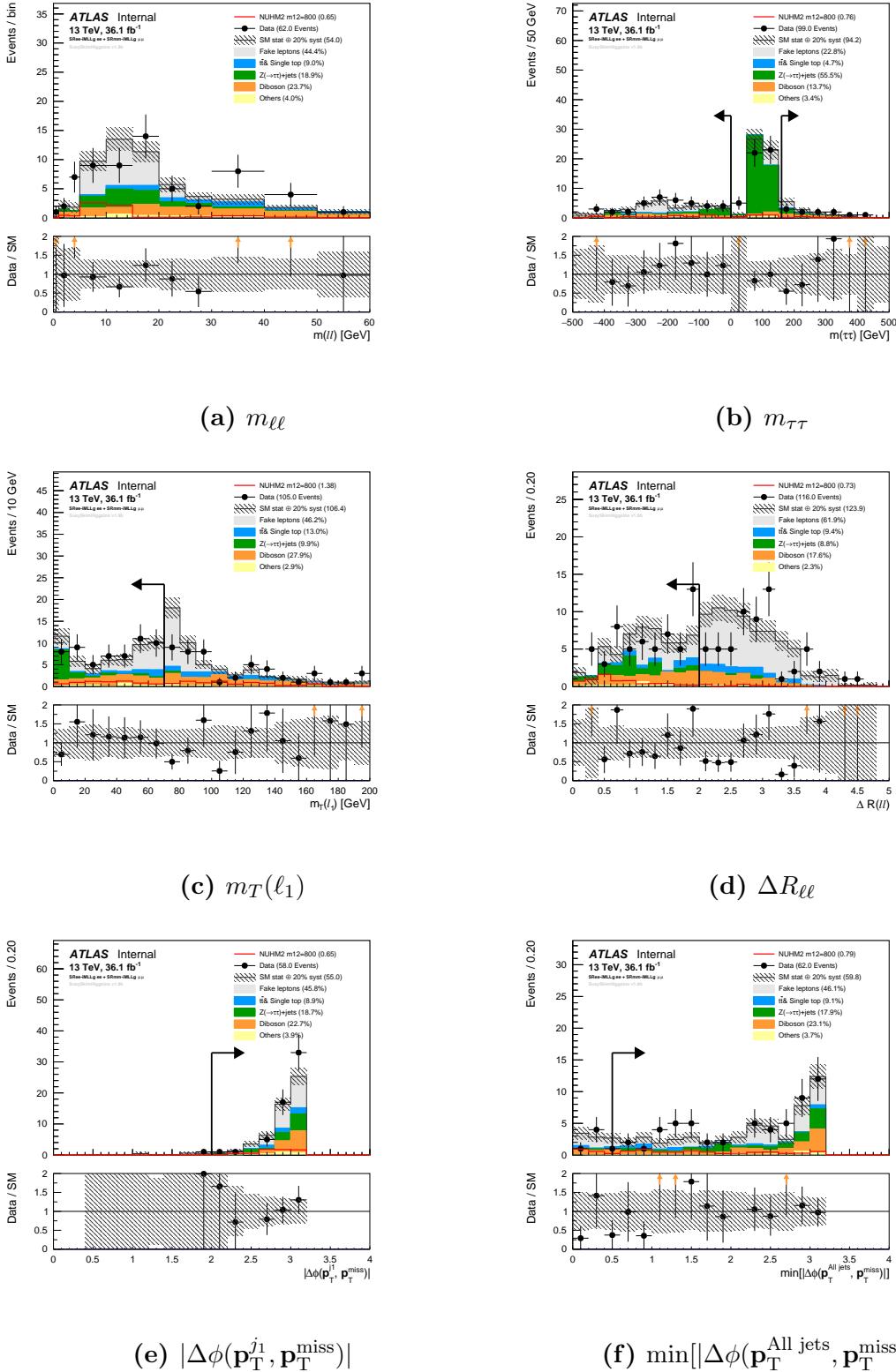
$1 < \text{SR}\ell\ell-m_{\ell\ell} < 60$  GeV. The NUHM2 distributions are multiplied by 10 but the number of events in the legend use its actual values.



**Figure C.10:** The ‘ $N - 1$ ’ distributions for NUHM2 model with  $m_{1/2} = 700$  GeV in SR region 1  $< \text{SR}\ell\ell-m_{\ell\ell} < 60$  GeV. The NUHM2 distributions are multiplied by 10 but the number of events in the legend use its actual values.



**Figure C.11:** The ‘ $N - 1$ ’ distributions for NUHM2 model with  $m_{1/2} = 800$  GeV in SR region 1  $<\text{SR}\ell\ell-m_{\ell\ell}<60$  GeV. The NUHM2 distributions are multiplied by 10 but the number of events in the legend use its actual values.



**Figure C.12:** The ‘ $N - 1$ ’ distributions for NUHM2 model with  $m_{1/2} = 800$  GeV in SR region 1  $<\text{SR}\ell\ell-m_{\ell\ell}<60$  GeV. The NUHM2 distributions are multiplied by 10 but the number of events in the legend use its actual values.

## Appendix D

# Search for strongly-produced SUSY in final states with two same-sign or three leptons and jets using 36.1 $\text{fb}^{-1}$ $pp$ collision data at $\sqrt{s} = 13 \text{ TeV}$ with the ATLAS detector

The Monte Carlo (MC) samples are used to model the SUSY signals and to estimate the SM background. The MC samples are processed using a ATLAS detector full simulation (FullSim) or a fast simulation (AFII<sup>1</sup>) based on GEANT4 [82] simulation package. The FullSim simulates the detailed properties of the ATLAS detector while the AFII uses a parameterized calorimeter response and simulates ID and MS [83] based on GEANT4. The simulated MC events are re-weighted to the observed pile-up conditions in the data.

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<sup>1</sup>AFII stands for ATLAS Fast II.

## D.1 Samples used for strong interaction

Table D.1 shows the event generator, parton shower, cross-section normalization, PDF set [86], and the set of tuned parameters for modeling for all samples. Except those produced by the SHERPA, the EVTGEN v1.2.0 package [88] is used to model the properties of bottom and charm hadron decays for all MC samples.

| Signal/Background         | Physics process                 | Event generator   | Parton shower  | Cross-section normalization | PDF set    | Set of tuned parameters |
|---------------------------|---------------------------------|-------------------|----------------|-----------------------------|------------|-------------------------|
| Signal                    | RPC                             | MG5_AMC@NLO 2.2.3 | PYTHIA 8.186   | NLO+NLL                     | NNPDF2.3LO | A14                     |
|                           | RPV (except Figure ??)          | MG5_AMC@NLO 2.2.3 | PYTHIA 8.210   | or                          | NNPDF2.3LO | A14                     |
|                           | RPV (Figure ??)                 | Herwig++ 2.7.1    | Herwig++ 2.7.1 | NLO-Prospino2               | CTEQ6L1    | UEEE5                   |
| $t\bar{t} + X$ background | $t\bar{t}W, t\bar{t}Z/\gamma^*$ | MG5_AMC@NLO 2.2.2 | PYTHIA 8.186   | NLO                         | NNPDF2.3LO | A14                     |
|                           | $t\bar{t}H$                     | MG5_AMC@NLO 2.3.2 | PYTHIA 8.186   | NLO                         | NNPDF2.3LO | A14                     |
|                           | $4t$                            | MG5_AMC@NLO 2.2.2 | PYTHIA 8.186   | NLO                         | NNPDF2.3LO | A14                     |
| Diboson background        | $ZZ, WZ$                        | SHERPA 2.2.1      | SHERPA 2.2.1   | NLO                         | NNPDF2.3LO | SHERPA default          |
|                           | Other (inc. $W^\pm W^\pm$ )     | SHERPA 2.1.1      | SHERPA 2.1.1   | NLO                         | CT10       | SHERPA default          |
| Rare background           | $t\bar{t}WW, t\bar{t}WZ$        | MG5_AMC@NLO 2.2.2 | PYTHIA 8.186   | NLO                         | NNPDF2.3LO | A14                     |
|                           | $tZ, tWZ, tt\bar{t}$            | MG5_AMC@NLO 2.2.2 | PYTHIA 8.186   | LO                          | NNPDF2.3LO | A14                     |
|                           | $WH, ZH$                        | MG5_AMC@NLO 2.2.2 | PYTHIA 8.186   | NLO                         | NNPDF2.3LO | A14                     |
|                           | Triboson                        | SHERPA 2.1.1      | SHERPA 2.1.1   | NLO                         | CT10       | SHERPA default          |

**Table D.1:** The simulated signal and background MC samples. The event generator, parton shower, cross-section normalization, PDF set, and the set of tuned parameters for each samples are shown. The  $t\bar{t}WW, t\bar{t}WZ, tZ, tWZ, tt\bar{t}, WH, ZH$  and triboson background samples are labeled in the "rare" because they contribute a very small amount to the signal region.

| Signal region | $N_{\text{leptons}}^{\text{signal}}$ | $N_{b-\text{jets}}$ | $N_{\text{jets}}$ | $p_T^{\text{jet}} [\text{GeV}]$ | $E_T^{\text{miss}} [\text{GeV}]$ | $m_{\text{eff}}$ | $E_T^{\text{miss}}/m_{\text{eff}}$ | Other   | Targeted signal |
|---------------|--------------------------------------|---------------------|-------------------|---------------------------------|----------------------------------|------------------|------------------------------------|---|-----------------|
| Rpc2L2bS      | $\geq 2\text{SS}$                    | $\geq 2$            | $\geq 6$          | $> 25$                          | $> 200$                          | $> 600$          | $> 0.25$                           | -   | Fig. ??         |
| Rpc2L2bH      | $\geq 2\text{SS}$                    | $\geq 2$            | $\geq 6$          | $> 25$                          | -                                | $> 1800$         | $> 0.15$                           | -   | Fig. ??, NUHM2  |
| Rpc2Lsoft1b   | $\geq 2\text{SS}$                    | $\geq 1$            | $\geq 6$          | $> 25$                          | $> 100$                          | -                | $> 0.3$                            | $20, 10 < p_T^{\ell_1}, p_T^{\ell_2} < 100 \text{ GeV}$ | Fig. ??         |
| Rpc2Lsoft2b   | $\geq 2\text{SS}$                    | $\geq 2$            | $\geq 6$          | $> 25$                          | $> 200$                          | $> 600$          | $> 0.25$                           | $20, 10 < p_T^{\ell_1}, p_T^{\ell_2} < 100 \text{ GeV}$ | Fig. ??         |
| Rpc2L0bS      | $\geq 2\text{SS}$                    | $= 0$               | $\geq 6$          | $> 25$                          | $> 150$                          | -                | $> 0.25$                           | -   | Fig. ??         |
| Rpc2L0bH      | $\geq 2\text{SS}$                    | $= 0$               | $\geq 6$          | $> 40$                          | $> 250$                          | $> 900$          | -                                  | -   | Fig. ??         |
| Rpc3L0bS      | $\geq 3$                             | $= 0$               | $\geq 4$          | $> 40$                          | $> 200$                          | $> 600$          | -                                  | -   | Fig. ??         |
| Rpc3L0bH      | $\geq 3$                             | $= 0$               | $\geq 4$          | $> 40$                          | $> 200$                          | $> 1600$         | -                                  | -   | Fig. ??         |
| Rpc3L1bS      | $\geq 3$                             | $\geq 1$            | $\geq 4$          | $> 40$                          | $> 200$                          | $> 600$          | -                                  | -   | Other           |
| Rpc3L1bH      | $\geq 3$                             | $\geq 1$            | $\geq 4$          | $> 40$                          | $> 200$                          | $> 1600$         | -                                  | -   | Other           |
| Rpc2L1bS      | $\geq 2\text{SS}$                    | $\geq 1$            | $\geq 6$          | $> 25$                          | $> 150$                          | $> 600$          | $> 0.25$                           | -   | Fig. ??         |
| Rpc2L1bH      | $\geq 2\text{SS}$                    | $\geq 1$            | $\geq 6$          | $> 25$                          | $> 250$                          | -                | $> 0.2$                            | -   | Fig. ??         |
| Rpc3LSS1b     | $\geq \ell^\pm \ell^\pm \ell^\pm$    | $\geq 1$            | -                 | -                               | -                                | -                | -                                  | veto $81 < m_{e^\pm e^\pm} < 101 \text{ GeV}$           | Fig. ??         |
| Rpv2L1bH      | $\geq 2\text{SS}$                    | $\geq 1$            | $\geq 6$          | $> 50$                          | -                                | $> 2200$         | -                                  | -   | Fig. ??, ??     |
| Rpv2L0b       | $= 2\text{SS}$                       | $= 0$               | $\geq 6$          | $> 40$                          | -                                | $> 1800$         | -                                  | veto $81 < m_{e^\pm e^\pm} < 101 \text{ GeV}$           | Fig. ??         |
| Rpv2L2bH      | $\geq 2\text{SS}$                    | $\geq 2$            | $\geq 6$          | $> 40$                          | -                                | $> 2000$         | -                                  | veto $81 < m_{e^\pm e^\pm} < 101 \text{ GeV}$           | Fig. ??         |
| Rpv2L2bS      | $\geq \ell^- \ell^-$                 | $\geq 2$            | $\geq 3$          | $> 50$                          | -                                | $> 1200$         | -                                  | -   | Fig. ??         |
| Rpv2L1bS      | $\geq \ell^- \ell^-$                 | $\geq 1$            | $\geq 4$          | $> 50$                          | -                                | $> 1200$         | -                                  | -   | Fig. ??         |
| Rpv2L1bM      | $\geq \ell^- \ell^-$                 | $\geq 2$            | $\geq 4$          | $> 50$                          | -                                | $> 1800$         | -                                  | -   | Fig. ??         |

**Table D.2**

# Appendix E

## Real lepton efficiency

This appendix presents more details on the measurement of the data-driven real lepton efficiency using the  $Z$  tag-and-probe method.

### E.1 The $Z$ tag-and-probe method

The  $Z$  tag-and-probe method is used to extract the leptons from data and measure the real lepton efficiency. The selected events are required to have at least two baseline leptons. The lepton candidates with  $p_T > 25$  GeV and satisfying all the signal lepton requirements are categorized into *tag leptons*. The lepton candidates passing baseline lepton requirements can be classified as *probe leptons*. In order to form a tag-and-probe pair, the two selected leptons have to carry the same flavor and opposite charge. The invariant mass of the tag-and-probe pair system should satisfy the  $Z$  boson mass window  $80 < m_{\ell\ell} < 100$  GeV. All possible combinations of the tag-and-probe pairs are considered to avoid any bias and to increase the statistics. For the  $Z \rightarrow ee$  decay, an additional  $|\eta| < 2$  requirement is applied on the tag and probe leptons. However, no additional requirement is applied for the  $Z \rightarrow \mu\mu$  decay. The tag lepton is used to select the probe lepton only and the probe lepton is used for the real efficiency measurements. In this study,

the tag and probe leptons are required to match the lepton triggers listed in Table E.1.

| Trigger               | lepton   | 2015                                      | 2016                                    |
|-----------------------|----------|---|---|
| Single lepton trigger | electron | <code>e24_lhmedium_iloose_L1EM20VH</code> | <code>e26_lhtight_nod0_ivarloose</code> |
|                       | muon     | <code>mu20_iloose_L1MU15</code>           | <code>mu26_ivarmedium</code>            |
| Dilepton trigger      | electron | <code>2e12_lhloose_L12EM10VH</code>       | <code>2e17_lhvloose_nod0</code>         |
|                       | muon     | <code>mu18_mu8noL1</code>                 | <code>mu22_mu8noL1</code>               |

**Table E.1:** The list of single lepton and dilepton triggers used for the real lepton efficiency measurements. The dilepton triggers are used for studying the systematic uncertainties causing by the trigger.

Figure E.1 shows the data-to-MC comparison of the tag-and-probe pair invariant mass distributions which indicate the need of subtracting the background especially for the probe electron with  $p_T < 20$  GeV. A background template method, which is similar to the one used by the  $e/\gamma$  performance group for their efficiency measurements [106], is used to estimate the background contamination from the low  $p_T$  electrons. No background subtraction is performed on the signal leptons because the background contamination is found to be negligible. However, the background contamination in the baseline probe leptons needs to be subtracted. The real lepton efficiency is obtained by the following equation

$$\epsilon = \frac{N_{\text{signal}}}{N_{\text{baseline}} - N_{\text{baseline}}^{\text{bkg}}} \quad (\text{E.1})$$

where  $N_{\text{signal}}$  is the number of probe leptons passing the signal requirements,  $N_{\text{baseline}}$  is the number of probe leptons passing the baseline requirements, and  $N_{\text{baseline}}^{\text{bkg}}$  is the estimated background contamination in the baseline probe leptons.

## E.2 Background subtraction

The background template method is used to evaluate the background contamination on data. By inverting the calorimeter and track isolations, requesting the electron object to fail the medium LH identification, the background sample enriched template can be obtained. Three background templates are considered for the systematic study. The definitions of the background template are summarized in Table E.2.

| cut                   | variation 1 template         | baseline template             | variation 2 template          |
|-----------------------|------------------------------|-------------------------------|-------------------------------|
| Identification        | -                            | fail medium LH                | fail medium LH                |
| Calorimeter isolation | $E_T^{topocone20}/p_T > 6\%$ | $E_T^{topocone20}/p_T > 15\%$ | $E_T^{topocone20}/p_T > 20\%$ |
| Track isolation       | $p_T^{varcone20}/p_T > 6\%$  | $E_T^{topocone20}/p_T > 8\%$  | $E_T^{topocone20}/p_T > 15\%$ |

**Table E.2:** The definition of the background templates for estimating the background contamination associated with the  $Z$  tag-and-probe method. The baseline template is used to estimate the background contamination. The variation 1 template has looser requirements and the variation 2 template has tighter requirements. They are used to assess the systematic caused by the background contamination.

Figure E.2 shows the  $m_{ee}$  distributions of the background template. The invariant mass distribution of the template events ( $m_{ee}^{\text{template}}$ ) is then used to estimate the amount of background in  $80 < m_{\ell\ell} < 100$  GeV region. In order to estimate the correct of background events, the  $120 < m_{ee} < 150$  GeV region is used to normalize the background template because a smaller prompt electron contribution is expected in this region. Equation E.2 shows the estimation of the number of background events in the tail region using the baseline electrons.

$$N_{bkg}^{\text{tail}} = N_{\text{baseline}}^{\text{tail}} - N_{\text{MC,prompt}}^{\text{tail}} \quad (\text{E.2})$$

where  $N_{\text{baseline}}^{\text{tail}}$  can be obtained by integrating the baseline  $m_{ee}$  distribution in the tail region and  $N_{\text{MC,prompt}}^{\text{tail}}$  is the prompt electron contamination which is estimated by integrating the  $m_{ee}$  distribution in the tail region using the  $Z \rightarrow ee$  MC simulation. Because the baseline electron selection criteria already provides a relatively high purity of prompt electrons, the background template suffers from low statistics in the tail region. The template is fitted in region  $60 < m_{ee}^{\text{template}} < 120$  GeV using an exponential function to avoid any bias in the normalization factor due to statistical fluctuations. However, the  $80 < m_{ee}^{\text{template}} < 100$  GeV is excluded to minimize the prompt lepton contamination arising from  $Z \rightarrow ee$  decays. The fit is mostly driven by the  $60 < m_{ee}^{\text{template}} < 80$  GeV due to the low statistics in the tail. After fitting is performed, the template in the tail region  $N_{\text{template}}^{\text{tail}}$  is normalized to the background in the tail  $N_{bkg}^{\text{tail}}$  to get the correct estimated number of background events. The baseline  $m_{ee}$  distributions before and after applying the background subtraction using the background template are shown in Fig. E.3. The data after performing the background subtraction, the MC simulation samples, the background template distributions, and the fitting results are also shown. The simulated  $m_{ee}$  distribution of  $Z \rightarrow ee$  MC are normalized to the data, which background subtraction has been performed, using a Gaussian fit in  $Z$  peak region  $85 < m_{ee} < 95$  GeV. After performing the background subtraction, the data and MC have good agreement within the statistical uncertainties.

Then, the background contamination in the  $Z$  mass region  $80 < m_{ee} < 100$  GeV is calculated using

$$N_{bkg}^{80 < m_{ee} < 100 \text{ GeV}} = \int_{80}^{100} N_{\text{template}} dm_{ee} \cdot \frac{N_{bkg}^{\text{tail}}}{N_{\text{template}}^{\text{tail}}} \quad (\text{E.3})$$

Table E.3 summarize the background estimations in different  $p_T$  and  $|\eta|$  regions.

The largest improvements are observed in the lowest  $p_T$  bin ( $10 < p_T < 15$  GeV) where

|                             | $0 <  \eta  < 0.8$ | $0.8 <  \eta  < 1.37$ | $1.52 <  \eta  < 2.0$ |
|-----------------------------|--------------------|-----------------------|-----------------------|
| $10 < p_T < 15 \text{ GeV}$ | 4.04%              | 2.10%                 | 3.17%                 |
| $15 < p_T < 20 \text{ GeV}$ | 0.44%              | 0.58%                 | 0.76%                 |

**Table E.3:** The estimated background contamination in different  $p_T$  and  $|\eta|$  regions. The  $p_T$  and  $|\eta|$  binnings correspond to the one used for the final measurements.

a sizeable background contamination is subtracted. The background contamination is relatively small in the second lowest  $p_T$  bin ( $15 < p_T < 20 \text{ GeV}$ ) providing the evidence that high purity of prompt leptons can be obtained using  $Z$  tag-and-probe method. Table E.4 shows the real electron efficiencies before and after performing the background subtraction.

|                             | background subtraction | $0 <  \eta  < 0.8$ | $0.8 <  \eta  < 1.37$ | $1.52 <  \eta  < 2.0$ |
|-----------------------------|------------------------|--------------------|-----------------------|-----------------------|
| $10 < p_T < 15 \text{ GeV}$ | before                 | $57.4 \pm 0.9$     | $66.6 \pm 0.8$        | $53.2 \pm 0.9$        |
|                             | after                  | $59.9 \pm 1.9$     | $68.0 \pm 1.8$        | $55.0 \pm 1.7$        |
| $15 < p_T < 20 \text{ GeV}$ | before                 | $64.5 \pm 0.2$     | $69.4 \pm 0.2$        | $62.0 \pm 0.3$        |
|                             | after                  | $64.8 \pm 0.5$     | $69.8 \pm 0.5$        | $62.5 \pm 0.6$        |

**Table E.4:** The real electron efficiencies before and after performing the background subtraction in different  $p_T$  and  $|\eta|$  regions are shown in percentage.

### E.3 Cut efficiencies

Figure E.4 shows the efficiencies associated to each signal cut with respect to baseline definitions. The prompt electron efficiency increases with  $p_T$  from  $\sim 62\%$  to  $\sim 98\%$  and the efficiency losses are dominated by the calorimeter isolation. The calorimeter isolation

cut efficiency increases with  $p_T$  from  $\sim 69\%$  to  $\sim 98\%$ . The loose to medium likelihood (LH) cut efficiency increases from  $\sim 92\%$  to  $\sim 96\%$  in the  $10 < p_T < 30$  GeV then reaches a plateau when  $30 < p_T < 50$  GeV and increases again to  $\sim 98\%$  when  $p_T > 60$  GeV. The track isolation cut efficiency increases from  $\sim 89\%$  at low  $p_T$  to  $\sim 100\%$  when  $p_T > 60$  GeV. The longitudinal impact parameter cut efficiency increases from  $\sim 98\%$  at low  $p_T$  to  $\sim 100\%$  when  $p_T > 15$  GeV. The cut efficiencies for muon are much higher than the electron case because the same muon identification is used for the baseline and the signal muon definitions. The associated efficiencies computed using  $Z \rightarrow \mu\mu$  events increase from  $\sim 80\%$  for  $10 < p_T < 15$  GeV to  $\sim 98\%$  when  $p_T > 50$  GeV. The dominant contribution is the track isolation cut efficiency which increases from  $\sim 82\%$  to  $98\%$  when  $p_T > 50$  GeV. The transverse and longitudinal impact parameter cut efficiencies are  $\sim 99\%$  and  $100\%$ , respectively. For the electron case, the transverse impact parameter cut is already applied at the baseline level

## E.4 Real lepton efficiencies

The real lepton efficiencies as a function of  $p_T$  and  $|\eta|$  are shown in Fig E.5 where the background subtraction has been applied on the electron case in  $10 < p_T < 15$  GeV and  $15 < p_T < 20$  GeV. The uncertainties are the quadratic sum of the statistical uncertainties and the measurement systematic uncertainties. The 3  $|\eta|$  binnings for the electron case are driven by the geometry of ECAL. The crack region,  $1.37 < |\eta| < 1.52$ , is removed from the real electron efficiency study. It is expected that the electron efficiencies in  $1.52 < |\eta| < 2.01$  are lower because the electron identification is better in the central region of the ECAL.

### E.4.1 Tag-and-probe method and truth matching comparisons

The truth matched information in the  $Z \rightarrow \ell\ell$  MC samples are used to verify the accuracy of  $Z$  tag-and-probe method. Figure E.6 shows the real lepton efficiencies as a function of  $p_T$ ,  $|\eta|$ , and  $\Delta R(\ell, \text{jet})$  using  $Z$  tag-and-probe method and truth matching. The associated uncertainties are statistical uncertainties only. For the real electron efficiencies, the largest difference is  $\sim 7\%$  in low  $p_T$  and no differences can be seen when  $p_T > 50$  GeV; the largest difference is  $\sim 3\%$  in ; the larger differences in  $\Delta R(e, \text{jet})$  exist when  $\Delta R(e, \text{jet}) < 0.4$ . Because the overlap removal has been applied on the baseline electrons, the  $\Delta R(e, \text{jet}) < 0.4$  region lacks statistics. For the real muon efficiencies, the differences are less than 1% for  $p_T$  and  $|\eta|$ . However, the differences are larger for  $\Delta R(\mu, \text{jet}) < 0.4$  also because of the overlap removal. The small differences between two methods indicate the robust of  $Z$  tag-and-probe method and the differences may be considered as the systematic uncertainties.

### E.4.2 Data-to-MC comparisons

The real lepton efficiencies calculating by data and  $Z \rightarrow \ell\ell$  MC samples are compared. All 2015 and 2016 data are considered corresponding to an integrated luminosity of  $36.5 \text{ fb}^{-1}$ . All the lepton scale factors are applied on the MC samples and the simulation is re-weighted to the pile-up observed in data. Figure E.7 shows the real efficiencies as a function of  $p_T$ ,  $|\eta|$  and  $\Delta R(\ell, \text{jet})$  using data and  $Z \rightarrow \ell\ell$  MC samples, respectively. The associated uncertainties are statistical uncertainties only. Good agreement between data and MC can be seen in the  $p_T$  and  $|\eta|$  plots. Larger differences exist in  $\Delta R(\ell, \text{jet})$  plots because of lacking statistics.

### E.4.3 Real lepton efficiency versus pileup

The relations between the real lepton efficiencies and the pile-up are also studied. The efficiencies computed by 2015 + 2016 data,  $Z$  tag-and-probe method and truth matching MC samples are shown in Fig. E.8. In order to study the efficiencies with different event topologies, the  $t\bar{t}$  and  $\tilde{g} \rightarrow t\bar{t}\widetilde{\chi}_1^0$  MC samples are considered. The real electron efficiencies are  $\sim 92\%$  at low  $<\mu>$  and decrease when  $<\mu>$  increases. The measured real lepton efficiencies using  $\tilde{g} \rightarrow t\bar{t}\widetilde{\chi}_1^0$  MC sample is lower than the data case. The  $t\bar{t}$  and data have similar real electron efficiencies. However, the real muon efficiencies for  $t\bar{t}$  is lower than the data because the efficiencies in  $p_T < 40$  GeV is lower. If a  $p_T > 40$  GeV requirement is applied on the  $t\bar{t}$  MC sample, then the efficiencies are agreed with data. Figure E.9 shows the measured real electron and muon efficiencies as a function of  $p_T$  using data,  $Z$  tag-and-probe method, truth matching,  $t\bar{t}$ , and  $\tilde{g} \rightarrow t\bar{t}\widetilde{\chi}_1^0$  MC samples. The real lepton efficiencies of  $t\bar{t}$  process is lower than the data one in  $p_T < 40$  GeV region. The real lepton efficiencies of  $\tilde{g} \rightarrow t\bar{t}\widetilde{\chi}_1^0$  process are lower than data in both electron and muon cases.

## E.5 Sources of systematic uncertainties

### E.5.1 Measurement systematics

The measurement systematic uncertainties of the real lepton efficiency calculated by the  $Z$  tag-and-probe method have been studied by varying the background template definitions, the template fitting ranges, and the  $m_{\ell\ell}$  windows. The definition of 3 background templates are listed in Table E.2. The additional template fitting ranges are  $[60 - 70] \cup [100 - 120]$  GeV and  $[65 - 75] \cup [100 - 120]$  GeV. The two other  $m_{\ell\ell}$  windows considered are  $75 < m_{ee} < 105$  GeV and  $85 < m_{ee} < 95$  GeV. Therefore, there are 27 variations

are considered in  $p_T < 20$  GeV and 3 variations in  $p_T > 20$  GeV for the electron case.

Because the background subtraction is applied on the electron case only, no background templates and template fitting ranges are considered in the muon case. There are only 3  $m_{\ell\ell}$  window variations considered for the systematic uncertainties of real muon efficiency.

Table E.5 and Table E.6 show the measurement uncertainties for the electron and muon cases, respectively.

| Electrons (measurement) |                                |                                |                                 |
|-------------------------|--------------------------------|--------------------------------|---------------------------------|
| $ \eta $                | [0, 0.8]                       | [0.8, 1.37]                    | [1.52, 2.0]                     |
| $10 < p_T < 15$ GeV     | 2.32%(t) / 2.85%(f) / 5.06%(m) | 4.84%(t) / 1.99%(f) / 5.66%(m) | 5.90%(t) / 0.28%(f) / 10.31%(m) |
| $15 < p_T < 20$ GeV     | 1.39%(t) / 0.00%(f) / 3.55%(m) | 2.01%(t) / 0.01%(f) / 3.94%(m) | 2.05%(t) / 0.15%(f) / 6.19%(m)  |
| $20 < p_T < 25$ GeV     | 2.46%                          | 3.34%                          | 3.88%                           |
| $25 < p_T < 30$ GeV     | 1.69%                          | 2.17%                          | 2.66%                           |
| $30 < p_T < 35$ GeV     | 1.19%                          | 1.75%                          | 2.07%                           |
| $35 < p_T < 40$ GeV     | 0.70%                          | 1.23%                          | 1.32%                           |
| $40 < p_T < 50$ GeV     | 0.20%                          | 0.30%                          | 0.42%                           |
| $50 < p_T < 60$ GeV     | 0.15%                          | 0.17%                          | 0.20%                           |
| $60 < p_T < 70$ GeV     | 0.13%                          | 0.14%                          | 0.21%                           |
| $70 < p_T < 80$ GeV     | 0.10%                          | 0.17%                          | 0.18%                           |
| $80 < p_T < 120$ GeV    | 0.12%                          | 0.11%                          | 0.19%                           |
| $120 < p_T < 150$ GeV   | 0.10%                          | 0.16%                          | 0.06%                           |
| $150 < p_T < 200$ GeV   | 0.11%                          | 0.03%                          | 0.19%                           |

**Table E.5:** The systematic uncertainties for real electron efficiencies. For the electron case, the background subtraction is applied on the first two  $p_T$  bins ( $p_T < 20$  GeV). There are 3 sources of the systematic uncertainties: varying templates (t), varying fitting ranges (f), and varying  $m_{\ell\ell}$  windows (m). When  $p_T > 20$  GeV, only  $m_{\ell\ell}$  window variation is considered.

Since electrons extracted from the  $m_{\ell\ell}$  tail region are affected by bremsstrahlung effects, the contribution of the  $m_{\ell\ell}$  window variations is larger in the systematic uncertainties. Using larger  $m_{\ell\ell}$  window, the lower real electron efficiency we get. In  $10 < p_T < 15$  GeV,

| $ \eta $                      | Muon (measurement) |            |            |            |
|-------------------------------|--------------------|------------|------------|------------|
|                               | [0, 0.6]           | [0.6, 1.2] | [1.2, 1.8] | [1.8, 2.5] |
| $10 < p_T < 15 \text{ GeV}$   | 1.29%              | 1.06%      | 0.96%      | 0.98%      |
| $15 < p_T < 20 \text{ GeV}$   | 0.44%              | 0.38%      | 0.56%      | 0.64%      |
| $20 < p_T < 25 \text{ GeV}$   | 0.19%              | 0.22%      | 0.38%      | 0.56%      |
| $25 < p_T < 30 \text{ GeV}$   | 0.09%              | 0.12%      | 0.22%      | 0.36%      |
| $30 < p_T < 35 \text{ GeV}$   | 0.06%              | 0.11%      | 0.23%      | 0.32%      |
| $35 < p_T < 40 \text{ GeV}$   | 0.05%              | 0.07%      | 0.13%      | 0.26%      |
| $40 < p_T < 50 \text{ GeV}$   | 0.04%              | 0.04%      | 0.05%      | 0.07%      |
| $50 < p_T < 60 \text{ GeV}$   | 0.06%              | 0.06%      | 0.09%      | 0.07%      |
| $60 < p_T < 70 \text{ GeV}$   | 0.06%              | 0.07%      | 0.08%      | 0.08%      |
| $70 < p_T < 80 \text{ GeV}$   | 0.06%              | 0.08%      | 0.11%      | 0.05%      |
| $80 < p_T < 120 \text{ GeV}$  | 0.07%              | 0.07%      | 0.12%      | 0.07%      |
| $120 < p_T < 150 \text{ GeV}$ | 0.06%              | 0.06%      | 0.15%      | 0.05%      |
| $150 < p_T < 200 \text{ GeV}$ | 0.09%              | 0.11%      | 0.15%      | 0.06%      |

**Table E.6:** The systematic uncertainties for real muon efficiencies. Only the  $m_{\ell\ell}$  window variation is considered because no background subtraction is applied on the muon case.

the contribution comes from the  $m_{\ell\ell}$  window variation is  $\sim 10\%$  whereas the background subtraction one is  $\sim 6\%$ . This result shows the robustness of the background subtraction method.

### E.5.2 Trigger bias

The systematic uncertainties originate from different trigger strategies are also studied. The leptons entering in the signal regions are required to fire one of the dilepton triggers. If the event fires the dilepton trigger and the considered lepton is the leading lepton or the sub-leading lepton, then a trigger matching should be applied before the real lepton efficiency measurement. However, if the event fires the  $E_T^{\text{miss}}$  trigger or the considered lepton is the third leading lepton, then no trigger matching should be applied. The

systematics uncertainties of are then assigned as the differences between the nominal values and the values measured with different trigger where the nominal value is obtained using events triggered by the single lepton triggers as listed in Table E.1. In order to provide unbiased probe leptons for the real lepton efficiency measurements, the tag lepton must match single lepton trigger. Moreover, the  $p_T$  of the two leading leptons must satisfies  $p_T > 20$  GeV, the leptons with  $p_T < 20$  GeV will never be trigger matched to the dilepton trigger. Hence, no systematics are assigned in the region  $10 < p_T < 20$  GeV. The real electron efficiencies as a function of  $p_T$  in 3  $|\eta|$  regions using different trigger strategies are shown in Fig. E.10. The crack region,  $1.37 < |\eta| < 1.52$ , is removed from the study.

The real muon efficiencies as a function of  $p_T$  in 4  $|\eta|$  regions using different trigger strategies are shown in Fig. E.11. These plots indicate that the trigger strategy does not affect the real muon efficiency measurement.

Table E.7 and Table E.8 show the systematic uncertainties due to the different trigger strategies.

### E.5.3 Extrapolation to signal regions

Because  $Z \rightarrow \ell\ell$  events are characterized by well isolated leptons, the leptons presented in the final state are not necessary well isolated using different processes. The processes other than  $Z \rightarrow \ell\ell$  might contain many ( $b$ -)jets in the SR and with different event topologies. In order to study different event topologies, a SUSY benchmark model  $\tilde{g} \rightarrow t\bar{t}\tilde{\chi}_1^0$  is used and  $\Delta m = m_{\tilde{g}} - m_{\tilde{\chi}_1^0} > 1$  TeV requirement is applied on the model to selected boosted event. Since one of the main irreducible background,  $t\bar{t}V$ , has similar event topology, the  $t\bar{t}$  samples are also considered. The difference in the real lepton efficiencies between

| Electrons (trigger)           |          |             |             |
|-------------------------------|----------|-------------|-------------|
| $ \eta $                      | [0, 0.8] | [0.8, 1.37] | [1.52, 2.0] |
| $10 < p_T < 15 \text{ GeV}$   | 2.46%    | 1.32%       | 3.02%       |
| $15 < p_T < 20 \text{ GeV}$   | 0.16%    | 0.78%       | 1.33%       |
| $20 < p_T < 25 \text{ GeV}$   | 0.29%    | 0.84%       | 1.18%       |
| $25 < p_T < 30 \text{ GeV}$   | 1.53%    | 2.07%       | 2.20%       |
| $30 < p_T < 35 \text{ GeV}$   | 1.28%    | 1.63%       | 1.81%       |
| $35 < p_T < 40 \text{ GeV}$   | 0.98%    | 1.19%       | 1.42%       |
| $40 < p_T < 50 \text{ GeV}$   | 0.73%    | 0.90%       | 1.05%       |
| $50 < p_T < 60 \text{ GeV}$   | 0.68%    | 0.81%       | 1.05%       |
| $60 < p_T < 70 \text{ GeV}$   | 0.61%    | 0.70%       | 1.13%       |
| $70 < p_T < 80 \text{ GeV}$   | 0.65%    | 0.77%       | 1.27%       |
| $80 < p_T < 120 \text{ GeV}$  | 0.60%    | 0.66%       | 1.11%       |
| $120 < p_T < 200 \text{ GeV}$ | 0.38%    | 0.40%       | 0.79%       |
| $150 < p_T < 200 \text{ GeV}$ | 0.43%    | 0.22%       | 0.25%       |

**Table E.7:** The systematic uncertainties for real electron efficiencies due to the different trigger strategies. The uncertainties of each trigger strategy are calculated with respect to the one applied single lepton trigger with tag trigger matched. The total uncertainties are the quadratic sum of the uncertainties of each trigger strategy.

the two processes are assigned as system uncertainties. Figure E.12 shows the kinematic distributions of the baseline leptons for  $Z \rightarrow \ell\ell$ ,  $\tilde{g} \rightarrow t\bar{t}\tilde{\chi}_1^0$ , and  $t\bar{t}$  processes.

The SUSY process  $\tilde{g} \rightarrow t\bar{t}\tilde{\chi}_1^0$  is more boosted and centralized than the  $Z \rightarrow \ell\ell$  and  $t\bar{t}$  processes. Figure E.13 shows the  $\Delta R(\ell, \text{jet})$  and the  $N_{\text{jets}}$  distributions of the baseline leptons for  $Z \rightarrow \ell\ell$ ,  $\tilde{g} \rightarrow t\bar{t}\tilde{\chi}_1^0$ , and  $t\bar{t}$  processes.

The leptons from the  $Z \rightarrow \ell\ell$  processes are not accompanied with a signal jet and the  $\Delta R(\ell, \text{jet})$  distribution peak about  $\Delta R(\ell, \text{jet}) = 3$ . The leptons from the SUSY process  $\tilde{g} \rightarrow t\bar{t}\tilde{\chi}_1^0$  peak at  $\Delta R(\ell, \text{jet}) = 0.5$  and most of the statistics are located in  $\Delta R(\ell, \text{jet}) < 1$  region. The  $Z \rightarrow \ell\ell$  peaks at  $N_{\text{jets}} = 4$  and  $N_{\text{jets}} = 3$  for the electron and

| $ \eta $                      | Muons (trigger) |            |            |            |
|-------------------------------|-----------------|------------|------------|------------|
|                               | [0, 0.6]        | [0.6, 1.2] | [1.2, 1.8] | [1.8, 2.5] |
| $10 < p_T < 15 \text{ GeV}$   | 0.11%           | 0.15%      | 0.34%      | 0.19%      |
| $15 < p_T < 20 \text{ GeV}$   | 0.14%           | 0.50%      | 0.75%      | 0.77%      |
| $20 < p_T < 25 \text{ GeV}$   | 0.30%           | 0.63%      | 1.01%      | 0.93%      |
| $25 < p_T < 30 \text{ GeV}$   | 0.90%           | 1.38%      | 2.12%      | 1.83%      |
| $30 < p_T < 35 \text{ GeV}$   | 0.58%           | 0.84%      | 1.27%      | 0.99%      |
| $35 < p_T < 40 \text{ GeV}$   | 0.33%           | 0.37%      | 0.57%      | 0.46%      |
| $40 < p_T < 50 \text{ GeV}$   | 0.16%           | 0.13%      | 0.16%      | 0.13%      |
| $50 < p_T < 60 \text{ GeV}$   | 0.06%           | 0.06%      | 0.07%      | 0.07%      |
| $60 < p_T < 70 \text{ GeV}$   | 0.04%           | 0.04%      | 0.04%      | 0.04%      |
| $70 < p_T < 80 \text{ GeV}$   | 0.05%           | 0.06%      | 0.04%      | 0.06%      |
| $80 < p_T < 120 \text{ GeV}$  | 0.03%           | 0.03%      | 0.03%      | 0.11%      |
| $120 < p_T < 120 \text{ GeV}$ | 0.05%           | 0.13%      | 0.10%      | 0.08%      |
| $150 < p_T < 200 \text{ GeV}$ | 0.04%           | 0.03%      | 0.02%      | 0.19%      |

**Table E.8:** The systematic uncertainties for real muon efficiencies due to the different trigger strategies. The uncertainties of each trigger strategy are calculated with respect to the one applied single lepton trigger with tag trigger matched. The total uncertainties are the quadratic sum of the uncertainties of each trigger strategy.

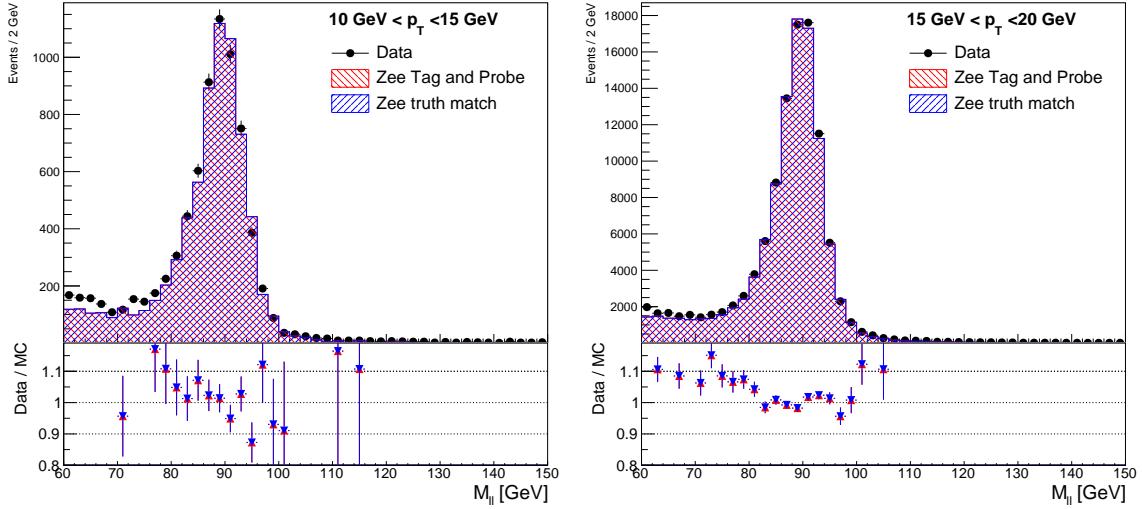
muon case, respectively. The SUSY process  $\tilde{g} \rightarrow t\bar{t}\tilde{\chi}_1^0$  peaks at  $N_{\text{jets}} = 9$ . Hence, the leptons produced in the SUSY process are accompanied with many jets and less isolated than the  $Z \rightarrow \ell\ell$  process. If the isolation requirement is looser, then the associated real lepton efficiencies are larger. This extreme topology enables us to assess a conservative SUSY signal extrapolation systematic uncertainty that should cover all SUSY signal processes considered by the analysis.

Figure E.9 shows the real lepton efficiencies as a function of  $p_T$  using  $Z \rightarrow \ell\ell$ ,  $\tilde{g} \rightarrow t\bar{t}\tilde{\chi}_1^0$ , and  $t\bar{t}$  processes and the ratio with respect to the data is shown in the lower panel. The real electron efficiencies are  $p_T$  dependent when  $p_T < 50 \text{ GeV}$  and become stable when

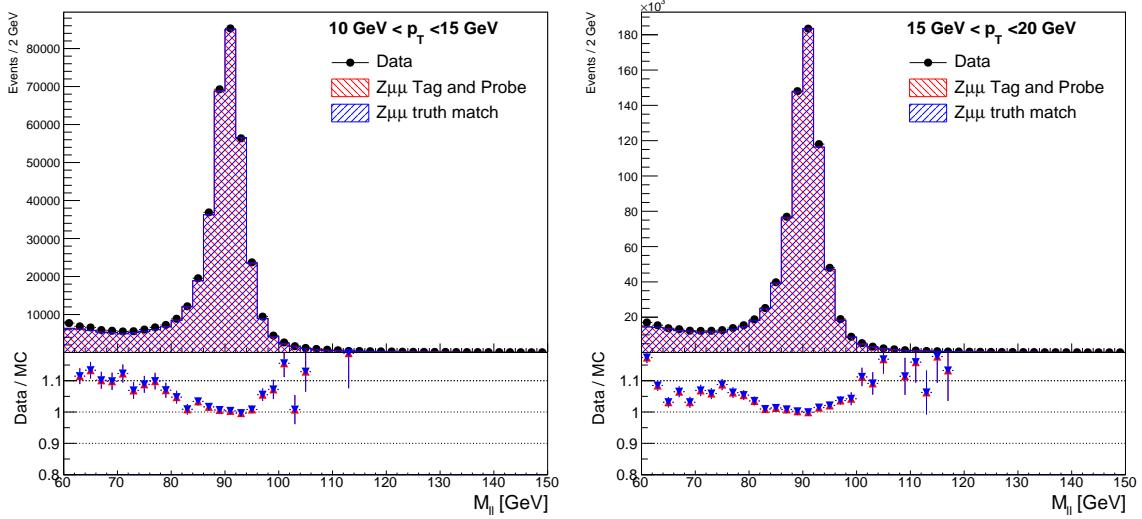
$p_T > 50$  GeV. The real electron efficiencies of  $\tilde{g} \rightarrow t\bar{t}\tilde{\chi}_1^0$  are  $\sim 8\%$  lower than the efficiencies of  $Z \rightarrow ee$ . The observed differences in the low  $p_T$  region are mostly due to the calorimeter isolation and the track isolation requirements. The differences in the real muon efficiencies mainly come from the track isolation and  $d_0/\sigma_{d_0}$  requirements. The average efficiencies of  $Z \rightarrow \ell\ell$  are computed and the relative efficiency differences are calculated with respect to the average efficiencies. Table E.9 shows the relative efficiency differences as a function of  $\Delta R(\ell, jet)$  in  $p_T$  bins.

#### E.5.4 Final uncertainties

The final uncertainties are the quadratic sum of the statistical uncertainties and the systematic uncertainties. The sources of systematic uncertainty include the measurement uncertainty, the trigger uncertainty, the uncertainty comes from the differences between  $Z$  tag-and-probe method and truth matching, and the uncertainty in the busy environment. Table E.9 shows the uncertainty in the busy environment. The uncertainty in the busy environment is measured as a function of  $p_T$  and  $\Delta R$  so it doesn't combine with the other uncertainties which are function of  $p_T$  and  $|\eta|$ . Table E.10 and Table E.11 show the final uncertainties for electron and muon real efficiencies, respectively.

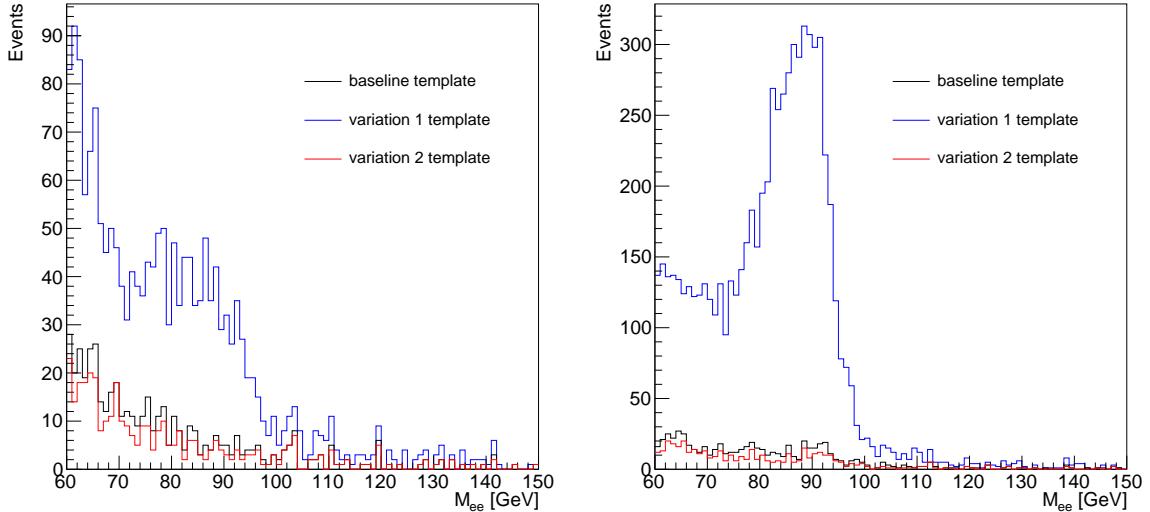


(a) The  $m_{ee}$  distribution with  $10 < p_T <$   
15 GeV. (b) The  $m_{ee}$  distribution with  $15 < p_T <$   
20 GeV.



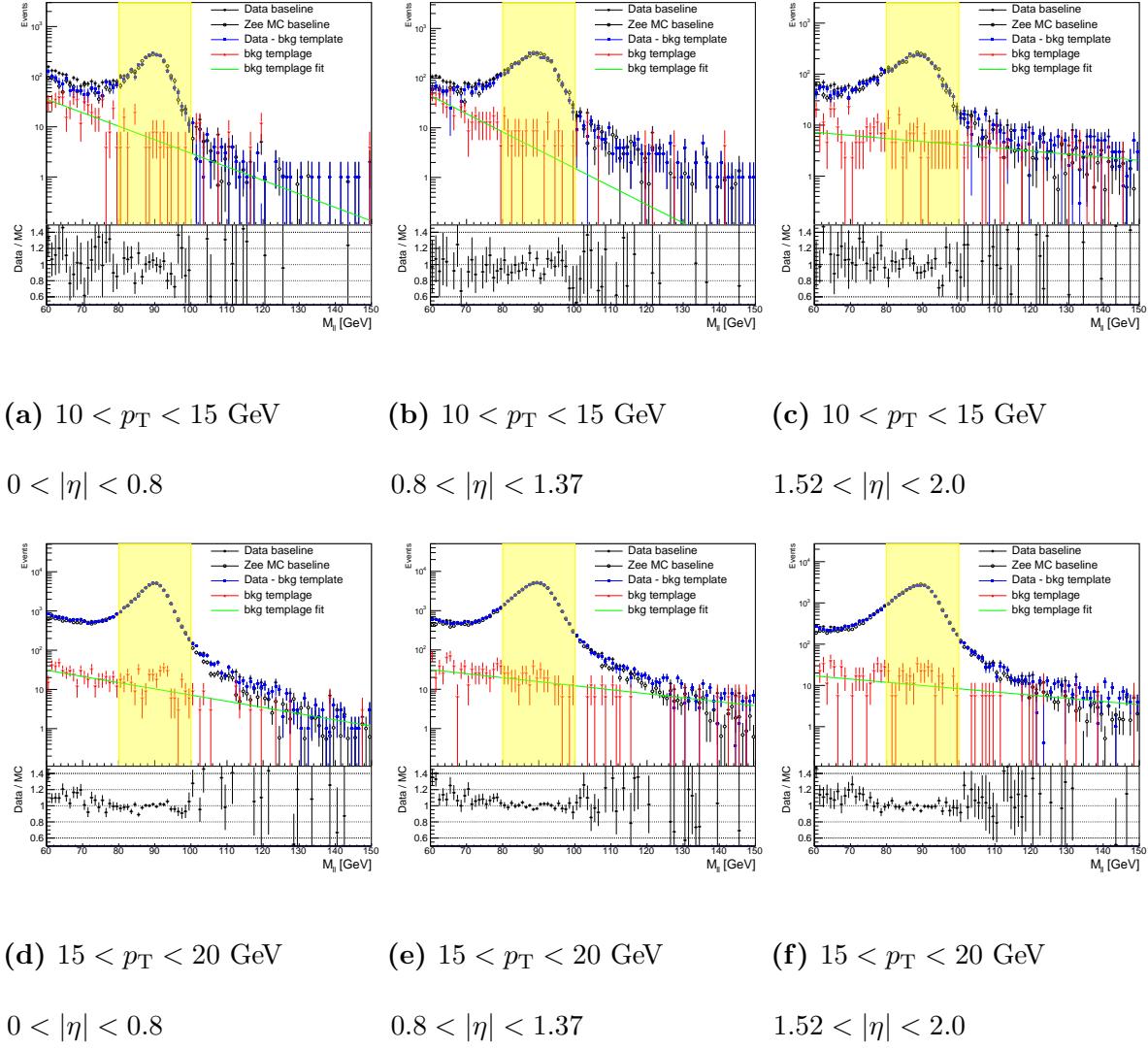
(c) The  $m_{\mu\mu}$  distribution with  $10 < p_T <$   
15 GeV. (d) The  $m_{\mu\mu}$  distribution with  $15 < p_T <$   
20 GeV.

**Figure E.1:** The invariant mass distributions of the tag-and-probe pair computed using  $Z + jets$  MC and 2015 + 2016 data. The red color stands for the  $Z$  tag-and-probe events, the blue color represents the  $Z$  truth matched events, and the black dots are data. The MC distributions are scaled to the data using a Gaussian fit of the  $Z$  mass peak  $85 < m_{\ell\ell} < 95$  GeV.

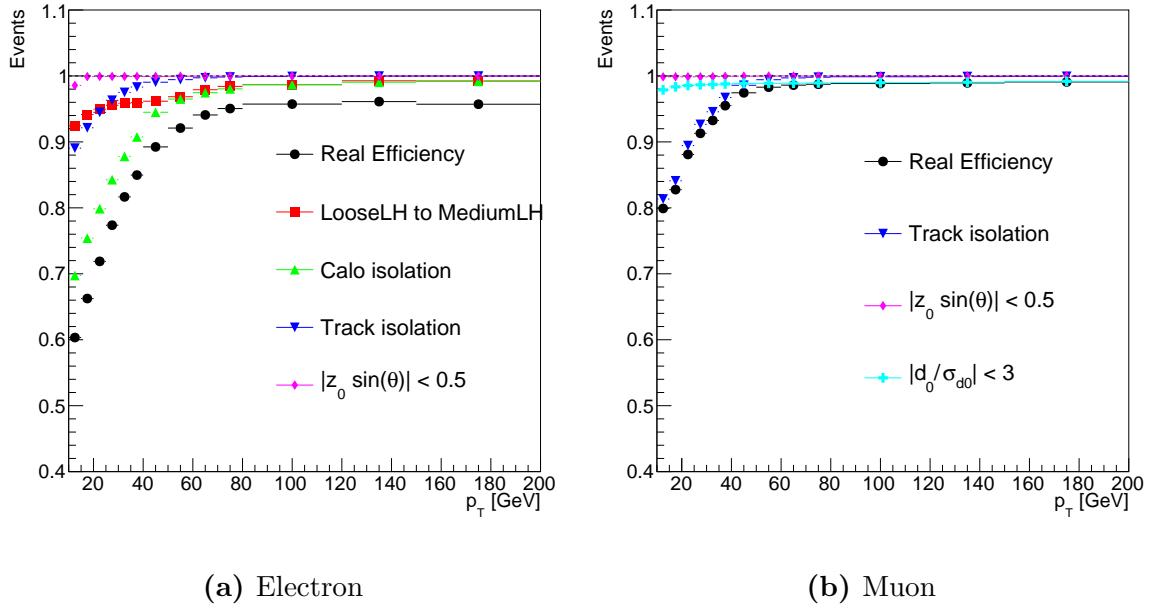


(a) Probe electrons with  $10 < p_T < 15$  GeV. (b) Probe electrons with  $15 < p_T < 20$  GeV.

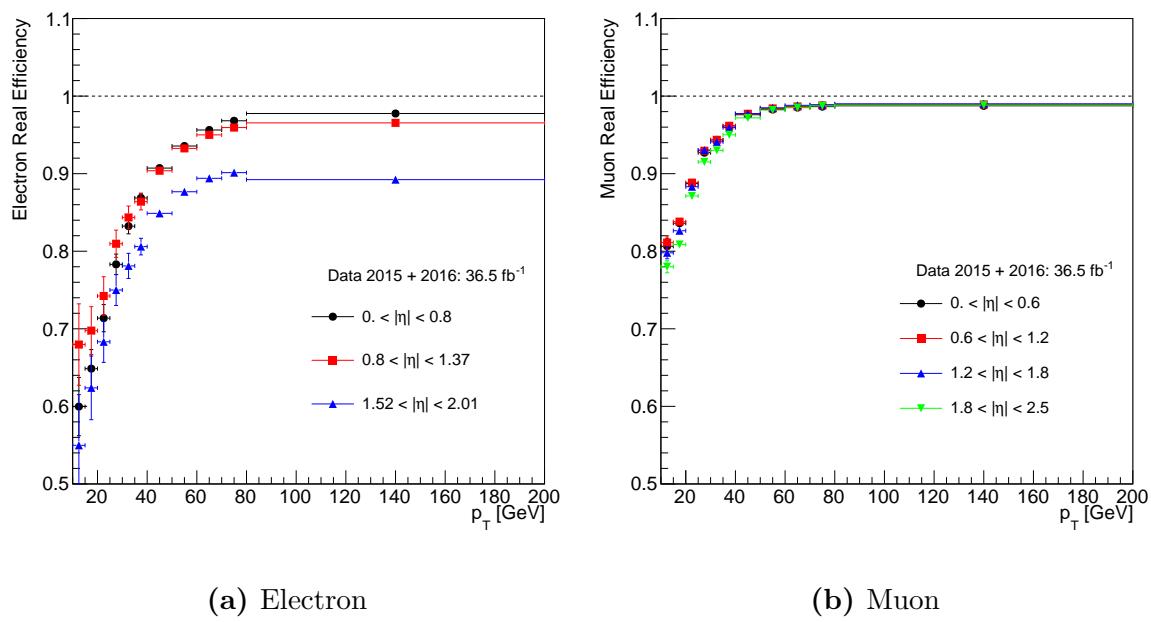
**Figure E.2:** The  $m_{ee}$  distributions for the baseline, variation 1 and variation 2 background templates. The  $m_{ee}$  distributions are computed using the probe electrons with different  $p_T$  as indicated in the caption of plots. The variation 1 template has looser calorimeter and track isolation requirements and the baseline and the variation 2 templates have tighter selection criteria. So a peak can be seen in the  $Z$  mass region in variation 1 template but not in the baseline and variation 2 templates.



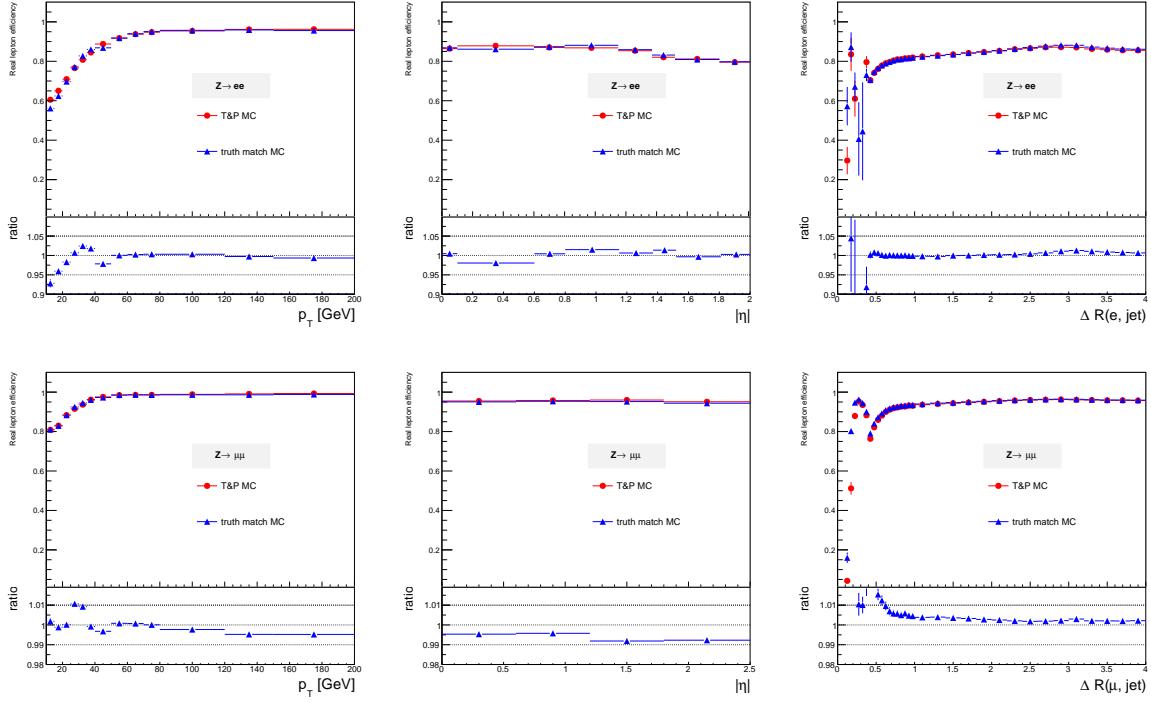
**Figure E.3:** Illustration of the background subtraction procedure. The full black dots and blue squares are the  $m_{ee}$  distributions for data before and after performing the background subtraction, respectively. The  $m_{ee}$  distribution for  $Z \rightarrow ee$  MC, which is labeled by the open black circles, is normalized to the data after the background subtraction using a Gaussian fit of  $85 < m_{ee} < 95$  GeV. The lower panels show the data-to-MC ratio where the background subtraction has been applied on data. The background templates and their respective fitting results are indicated by the red triangles and green lines, respectively.



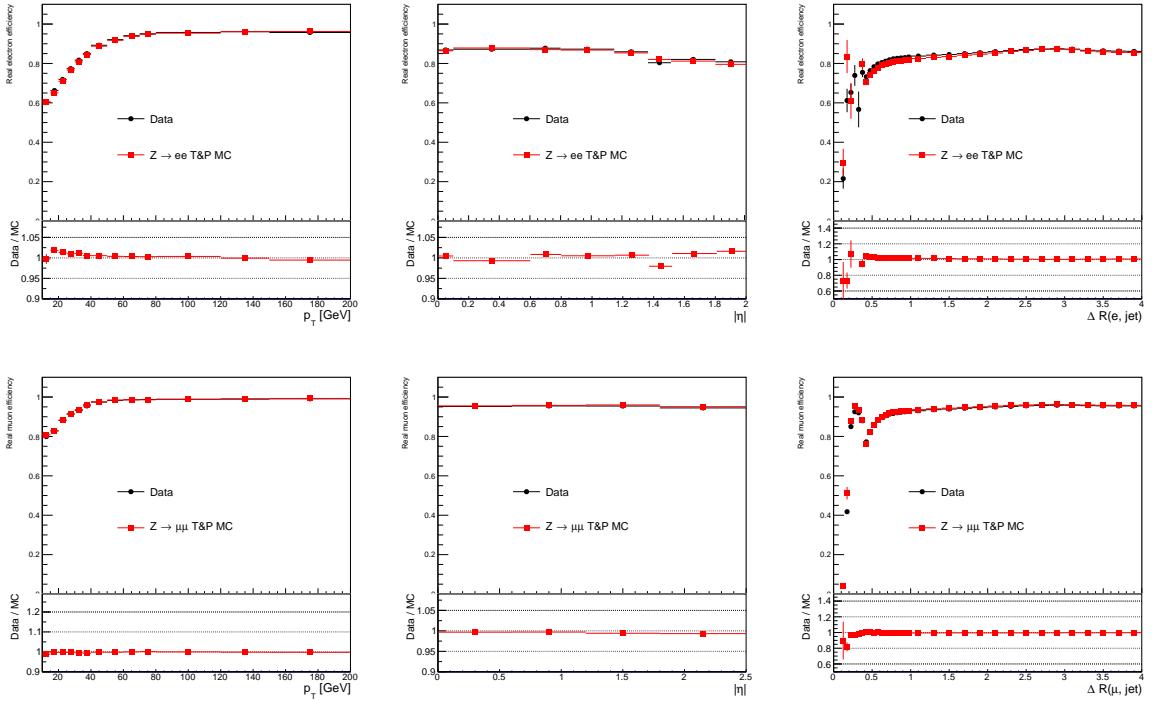
**Figure E.4:** Cut efficiencies of the signal electron and muon definition as a function of  $p_T$ . The total real electron and muon efficiencies are presented by black points. The loose to medium likelihood cut efficiency is presented by red squares. The calorimeter and track isolation cut efficiencies are presented by green triangles and blue triangles, respectively. The longitudinal and transverse impact parameters cut efficiencies are presented by magenta diamonds and cyan crosses, respectively.



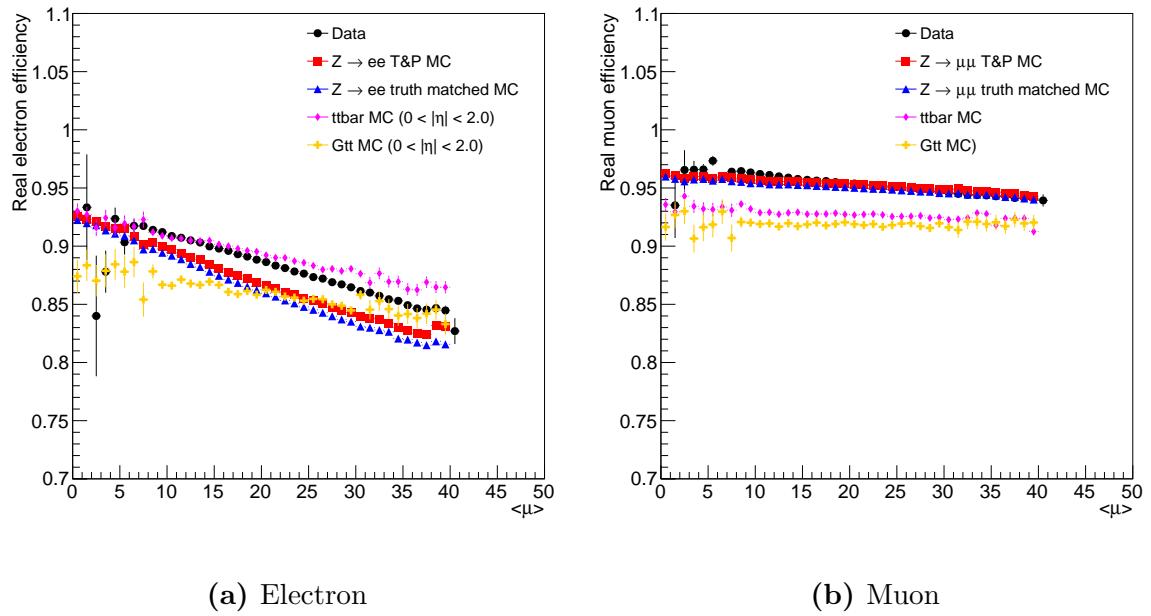
**Figure E.5:** The real lepton efficiencies as a function of  $p_T$  and  $|\eta|$  measured using the  $Z$  tag-and-probe method. For the real electron efficiencies measurement, the  $|\eta|$  binning in the creak region is removed. A homogeneous  $|\eta|$  binnings are used for the muon case.



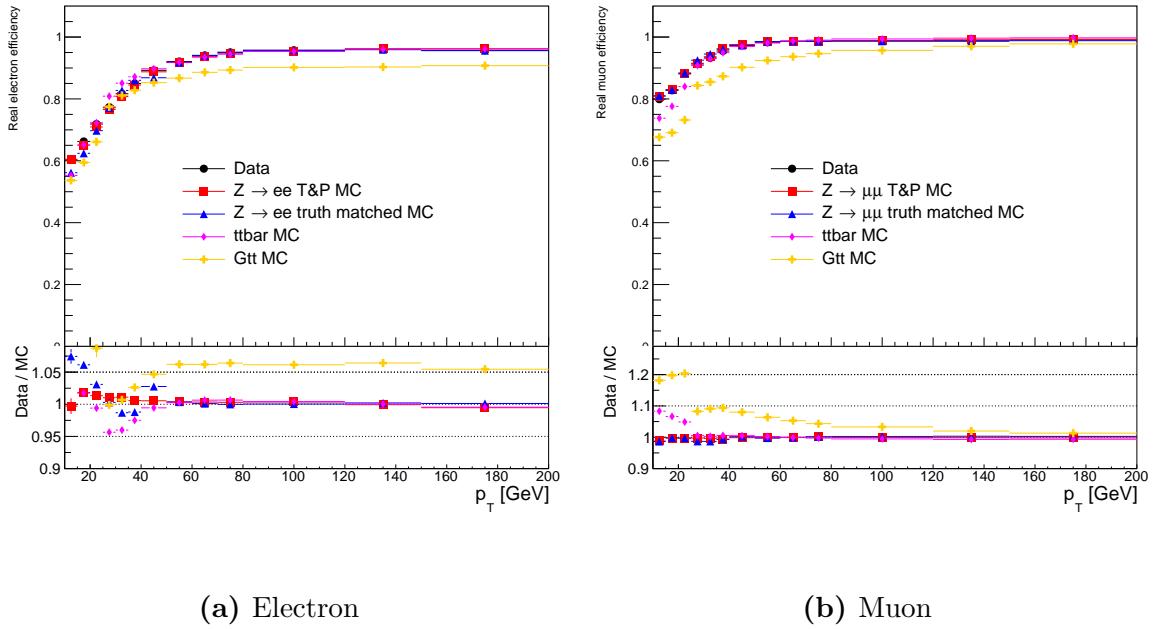
**Figure E.6:** The real lepton efficiencies computed by  $Z$  tag-and-probe method (red dots) and truth matching (blue triangles). The electron cases are on the top row and muon cases are at the bottom row. The three columns from the left to the right are the real lepton efficiencies as a function  $p_T$ ,  $|\eta|$ , and  $\Delta R(\ell, \text{jet})$ , respectively. The lower pads show the ratio with respect to the  $Z$  tag-and-probe method.



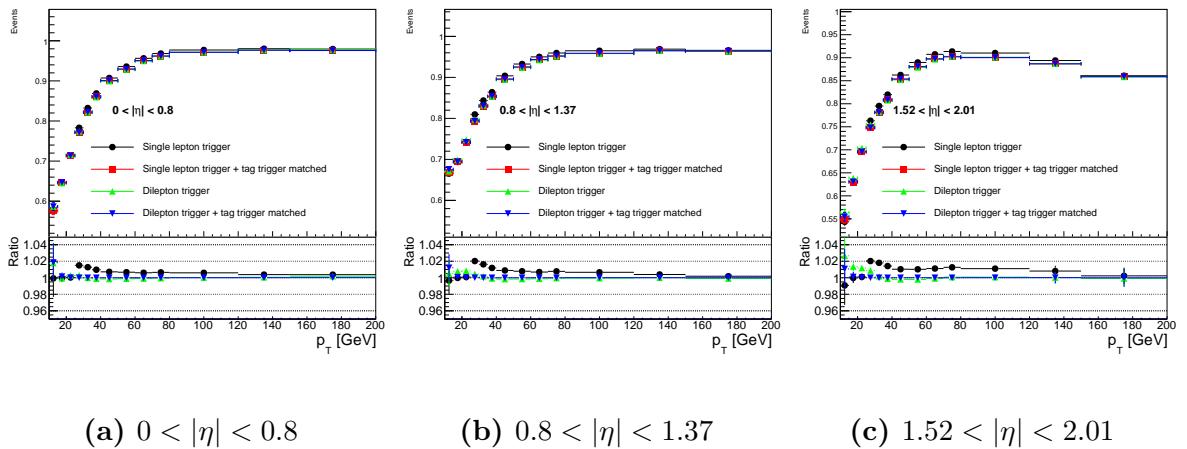
**Figure E.7:** The real lepton efficiencies measured on 2015 + 2016 data (black dots) and  $Z \rightarrow \ell\ell$  MC samples (red squares) using the  $Z$  tag-and-probe method. The electron cases are on the top row and muon cases are at the bottom row. The three columns from the left to the right are the real lepton efficiencies as a function  $p_T$ ,  $|\eta|$ , and  $\Delta R(\ell, \text{jet})$ , respectively. The MC samples have been re-weighted to the pile-up observed in data.



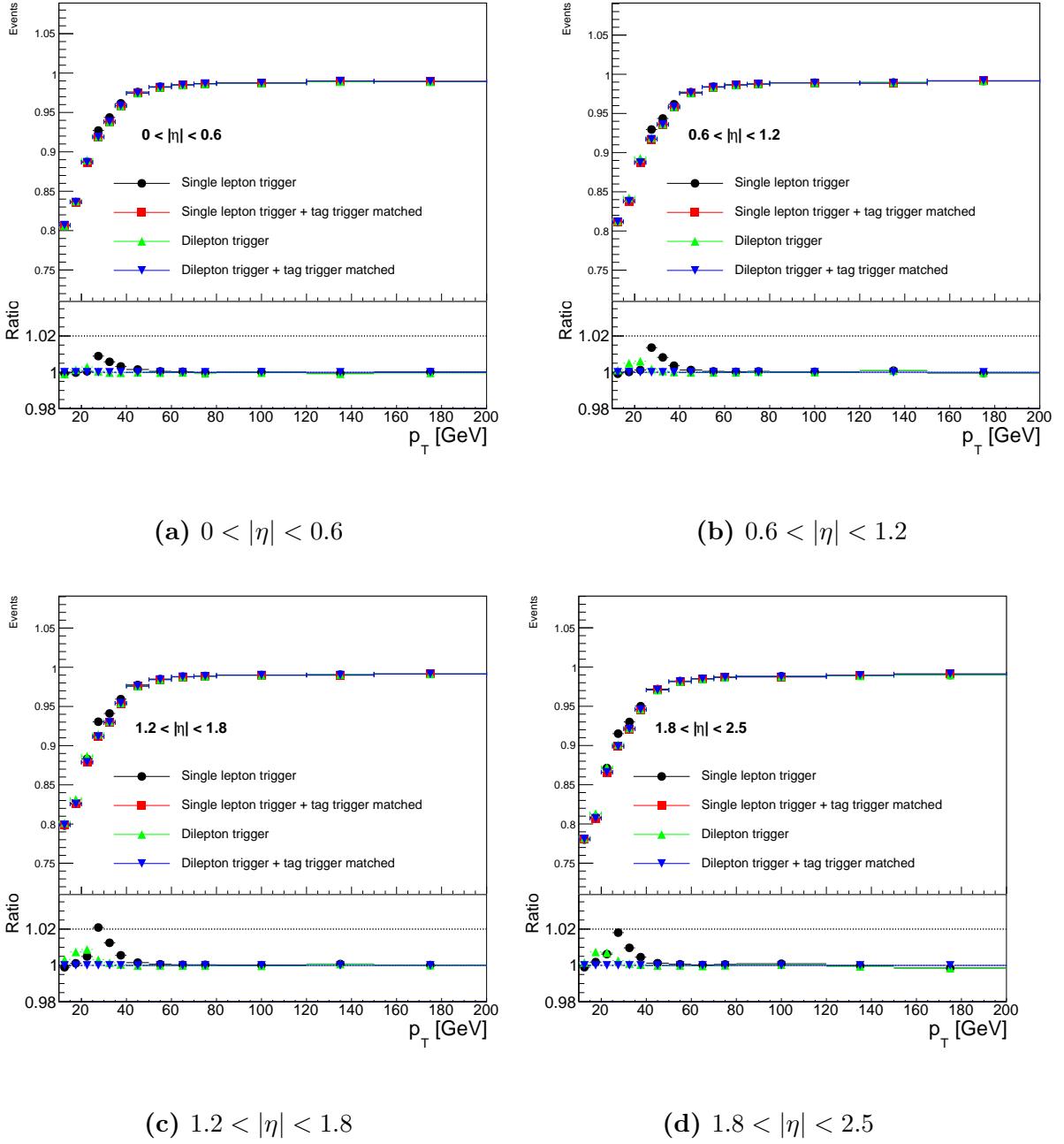
**Figure E.8:** The real lepton efficiencies as a function of the average interactions per crossing  $\langle \mu \rangle$ . The data is presented in black dots,  $Z \rightarrow \ell\ell$  tag-and-probe is presented in red squares, the truth matching is presented in blue triangles, the  $t\bar{t}$  is presented in magenta diamonds, and  $\tilde{g} \rightarrow t\bar{t}\widetilde{\chi}_1^0$  is presented in yellow crosses. The  $|\eta| < 2$  requirement has been applied on the  $t\bar{t}$  and  $\tilde{g} \rightarrow t\bar{t}\widetilde{\chi}_1^0$  MC samples for the electron case.



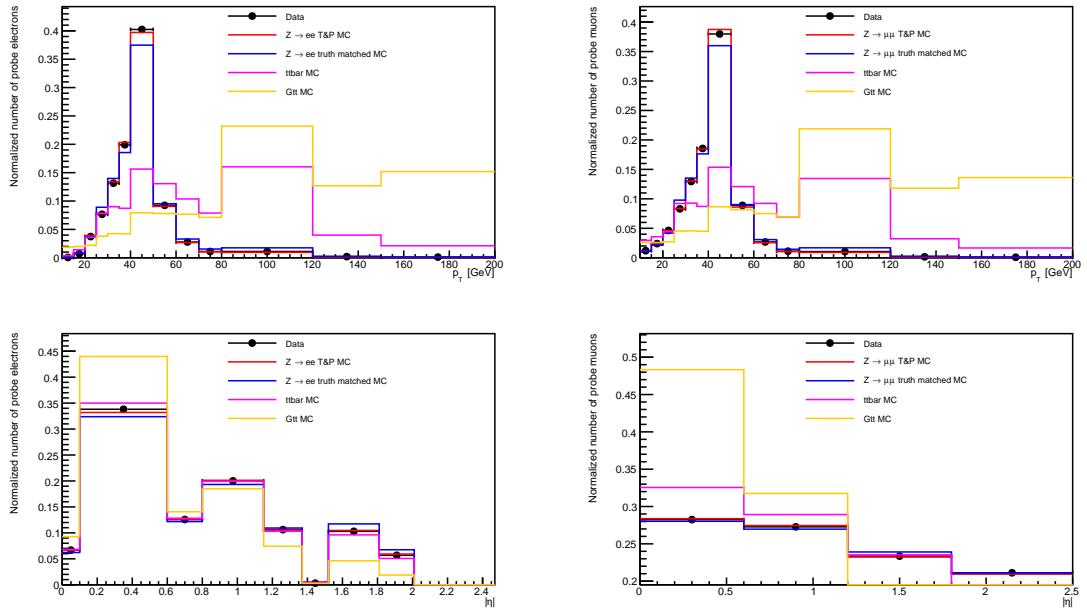
**Figure E.9:** The real electron and muon efficiencies as a function of  $p_T$ . The data is presented in black dots,  $Z \rightarrow \ell\ell$  tag-and-probe is presented in red squares, the truth matching is presented in blue triangles, the  $t\bar{t}$  is presented in magenta diamonds, and  $\tilde{g} \rightarrow t\bar{t}\chi_1^0$  is presented in yellow crosses. The differences in the  $p_T < 40$  GeV region come from the different event topologies.



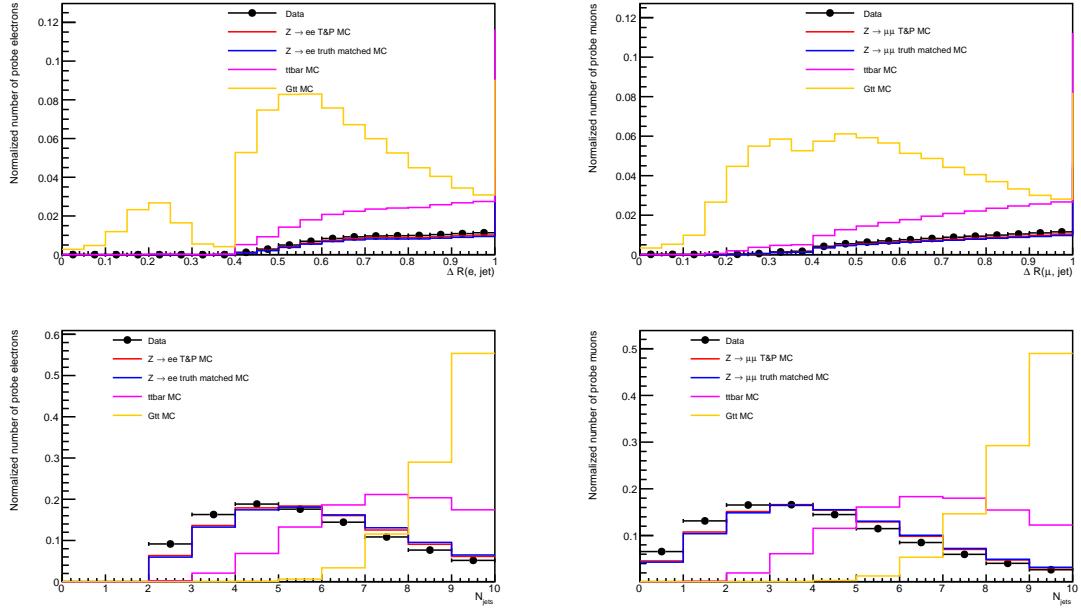
**Figure E.10:** The real electron efficiencies as a function of  $p_T$  in 3  $|\eta|$  regions. Four different trigger strategies are applied. The nominal values are obtained using the single lepton trigger with tag trigger matched. The differences between the nominal values and the values measured using other strategies are assigned as the systematic uncertainties.



**Figure E.11:** The real muon efficiencies as a function of  $p_T$  in 4  $|\eta|$  regions. Four different trigger strategies are applied. The nominal values are obtained using the single lepton trigger with tag trigger matched. The differences between the nominal values and the values measured using other strategies are assigned as the systematic uncertainties.



**Figure E.12:** The kinematic distributions of the baseline leptons for  $Z \rightarrow \ell\ell$ ,  $\tilde{g} \rightarrow t\bar{t}\tilde{\chi}_1^0$ , and  $t\bar{t}$  processes. The top row is the  $p_T$  distributions and the bottom row is the  $|\eta|$  distributions. The electron case is on the left hand side and the muon case is on the right hand side. The  $\tilde{g} \rightarrow t\bar{t}\tilde{\chi}_1^0$  process is more boosted and centralized than the  $Z \rightarrow \ell\ell$  and  $t\bar{t}$  processes.



**Figure E.13:** The  $\Delta R(\ell, \text{jet})$  and the  $N_{\text{jets}}$  distributions of the baseline leptons for  $Z \rightarrow \ell\ell$ ,  $\tilde{g} \rightarrow t\bar{t}\tilde{\chi}_1^0$ , and  $t\bar{t}$  processes. The top row is the  $\Delta R(\ell, \text{jet})$  distributions and the bottom row is the  $N_{\text{jets}}$  distributions. The electron case is on the left hand side and the muon case is on the right hand side. The statistics of  $Z \rightarrow \ell\ell$  processes are populated at higher  $\Delta R(\ell, \text{jet}) < 1$  region and lower  $N_{\text{jets}}$  region. The statistics of  $\tilde{g} \rightarrow t\bar{t}\tilde{\chi}_1^0$  are located in  $\Delta R(\ell, \text{jet}) < 1$  region and higher  $N_{\text{jets}}$  region.

| electrons (busy environments) |          |             |             |            |             |             |            |          |
|-------------------------------|----------|-------------|-------------|------------|-------------|-------------|------------|----------|
| $\Delta R(e, \text{jet})$     | [0, 0.1] | [0.1, 0.15] | [0.15, 0.2] | [0.2, 0.3] | [0.3, 0.35] | [0.35, 0.4] | [0.4, 0.6] | [0.6, 4] |
| $10 < p_T < 20 \text{ GeV}$   | -        | -           | -           | -          | -           | -           | 25.31%     | 6.5%     |
| $20 < p_T < 30 \text{ GeV}$   | -        | -           | -           | -          | -           | -           | 73.37%     | 10.21%   |
| $30 < p_T < 40 \text{ GeV}$   | -        | -           | -           | 97.71%     | 48.22%      | 15.54%      | 7.29%      | 0.58%    |
| $40 < p_T < 50 \text{ GeV}$   | -        | -           | -           | 52.81%     | 22.80%      | 16.73%      | 7.68%      | 1.10%    |
| $50 < p_T < 60 \text{ GeV}$   | -        | -           | -           | 29.96%     | 21.49%      | 20.23%      | 6.99%      | 2.78%    |
| $60 < p_T < 80 \text{ GeV}$   | -        | -           | 55.89%      | 24.31%     | 17.40%      | 24.77%      | 6.20%      | 2.87%    |
| $80 < p_T < 150 \text{ GeV}$  | -        | 57.52%      | 30.24%      | 16.45%     | 12.73%      | 20.92%      | 4.44%      | 2.73%    |
| $150 < p_T < 200 \text{ GeV}$ | 88.54%   | 40.16%      | 19.34%      | 8.45%      | 14.66%      | 16.57%      | 2.57%      | 1.90%    |

| muons (busy environments)     |          |             |             |            |             |             |            |          |
|-------------------------------|----------|-------------|-------------|------------|-------------|-------------|------------|----------|
| $\Delta R(\mu, \text{jet})$   | [0, 0.1] | [0.1, 0.15] | [0.15, 0.2] | [0.2, 0.3] | [0.3, 0.35] | [0.35, 0.4] | [0.4, 0.6] | [0.6, 4] |
| $10 < p_T < 20 \text{ GeV}$   | -        | -           | -           | -          | -           | -           | 33.59%     | 5.18%    |
| $20 < p_T < 30 \text{ GeV}$   | -        | -           | -           | -          | -           | -           | 82.34%     | 22.27%   |
| $30 < p_T < 40 \text{ GeV}$   | -        | -           | -           | 98.54%     | 56.36%      | 31.89%      | 14.22%     | 2.24%    |
| $40 < p_T < 50 \text{ GeV}$   | -        | -           | -           | 53.10%     | 21.33%      | 13.90%      | 6.81%      | 1.45%    |
| $50 < p_T < 60 \text{ GeV}$   | -        | -           | -           | 24.98%     | 13.72%      | 9.62%       | 3.83%      | 0.79%    |
| $60 < p_T < 80 \text{ GeV}$   | -        | -           | 44.41%      | 13.75%     | 6.14%       | 4.76%       | 2.04%      | 0.15%    |
| $80 < p_T < 150 \text{ GeV}$  | -        | 29.94%      | 7.14%       | 3.16%      | 1.30%       | 1.04%       | 0.07%      | 0.57%    |
| $150 < p_T < 200 \text{ GeV}$ | 82.26%   | 4.14%       | 1.02%       | 0.17%      | 0.29%       | 0.62%       | 1.02%      | 1.13%    |

**Table E.9:** The systematic uncertainties of the real lepton efficiency in busy environment using  $\tilde{g} \rightarrow t\bar{t}\widetilde{\chi}_1^0$ .

| $ \eta $                      | Electrons (final uncertainties) |             |             |
|-------------------------------|---------------------------------|-------------|-------------|
|                               | [0, 0.8]                        | [0.8, 1.37] | [1.52, 2.0] |
| $10 < p_T < 15 \text{ GeV}$   | 0.047                           | 0.063       | 0.089       |
| $15 < p_T < 20 \text{ GeV}$   | 0.027                           | 0.042       | 0.062       |
| $20 < p_T < 25 \text{ GeV}$   | 0.018                           | 0.031       | 0.041       |
| $25 < p_T < 30 \text{ GeV}$   | 0.029                           | 0.024       | 0.027       |
| $30 < p_T < 35 \text{ GeV}$   | 0.023                           | 0.021       | 0.023       |
| $35 < p_T < 40 \text{ GeV}$   | 0.014                           | 0.018       | 0.018       |
| $40 < p_T < 50 \text{ GeV}$   | 0.007                           | 0.010       | 0.010       |
| $50 < p_T < 60 \text{ GeV}$   | 0.008                           | 0.010       | 0.010       |
| $60 < p_T < 70 \text{ GeV}$   | 0.007                           | 0.010       | 0.010       |
| $70 < p_T < 80 \text{ GeV}$   | 0.008                           | 0.011       | 0.012       |
| $80 < p_T < 120 \text{ GeV}$  | 0.010                           | 0.010       | 0.011       |
| $120 < p_T < 120 \text{ GeV}$ | 0.005                           | 0.005       | 0.011       |
| $150 < p_T < 200 \text{ GeV}$ | 0.005                           | 0.003       | 0.020       |

**Table E.10:** The final uncertainties of the real electron efficiencies. The final uncertainties are the quadratic sum of the statistical uncertainties and the systematic uncertainties. The systematic uncertainties include the measurement uncertainty, the trigger uncertainty, the uncertainty comes from the differences between  $Z$  tag-and-probe method and truth matching. The uncertainties in the busy environment do not incorporate in the final uncertainties calculation because it is measured as a function of  $p_T$  and  $\Delta R$ . The trigger uncertainties are measured using  $p_T > 20 \text{ GeV}$  only.

| $ \eta $                      | Muons (final uncertainties) |            |            |            |
|-------------------------------|-----------------------------|------------|------------|------------|
|                               | [0, 0.6]                    | [0.6, 1.2] | [1.2, 1.8] | [1.8, 2.5] |
| $10 < p_T < 15 \text{ GeV}$   | 0.014                       | 0.010      | 0.008      | 0.011      |
| $15 < p_T < 20 \text{ GeV}$   | 0.005                       | 0.006      | 0.008      | 0.011      |
| $20 < p_T < 25 \text{ GeV}$   | 0.003                       | 0.006      | 0.010      | 0.010      |
| $25 < p_T < 30 \text{ GeV}$   | 0.011                       | 0.015      | 0.022      | 0.019      |
| $30 < p_T < 35 \text{ GeV}$   | 0.007                       | 0.009      | 0.014      | 0.011      |
| $35 < p_T < 40 \text{ GeV}$   | 0.004                       | 0.004      | 0.006      | 0.006      |
| $40 < p_T < 50 \text{ GeV}$   | 0.002                       | 0.001      | 0.002      | 0.001      |
| $50 < p_T < 60 \text{ GeV}$   | 0.001                       | 0.001      | 0.001      | 0.001      |
| $60 < p_T < 70 \text{ GeV}$   | 0.001                       | 0.001      | 0.001      | 0.002      |
| $70 < p_T < 80 \text{ GeV}$   | 0.002                       | 0.001      | 0.001      | 0.002      |
| $80 < p_T < 120 \text{ GeV}$  | 0.004                       | 0.002      | 0.002      | 0.002      |
| $120 < p_T < 200 \text{ GeV}$ | 0.006                       | 0.005      | 0.005      | 0.005      |
| $150 < p_T < 200 \text{ GeV}$ | 0.005                       | 0.005      | 0.005      | 0.006      |

**Table E.11:** The final uncertainties of the real muon efficiencies. The final uncertainties are the quadratic sum of the statistical uncertainties and the systematic uncertainties. The systematic uncertainties include the measurement uncertainty, the trigger uncertainty, the uncertainty comes from the differences between  $Z$  tag-and-probe method and truth matching. The uncertainties in the busy environment do not incorporate in the final uncertainties calculation because it is measured as a function of  $p_T$  and  $\Delta R$ .

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