

Academia Sinica  
Research Center for Information Technology Innovation  
Research Assistant : Lee, Yi-Ting



# Final Presentation

# Content



## **MPU6050 Accelerometer Gesture Recognition**

- MPU6050 & DA14580
- Realtime data visualization
- Gesture recognition with basic ML



## **Federated Learning Concepts**

- OpenMined
- Pysyft & Duet



## **Federated Learning in PyTorch & Pysyft Experiment Results**

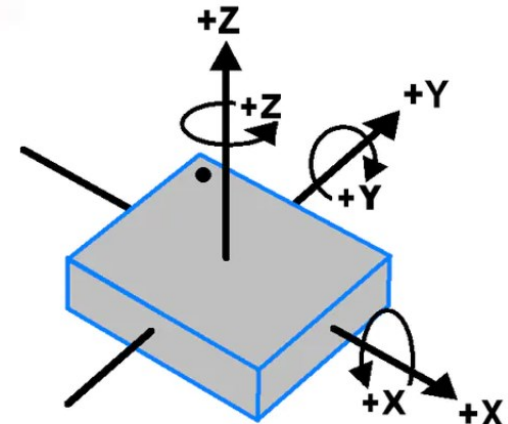
- FL PyTorch training with Custom Dataset
- PyTorch vs Tensorflow
- IID vs NonIID data
- Differential Privacy (Opacus)



# MPU6050 Accelerometer Gesture Recognition

# MPU6050

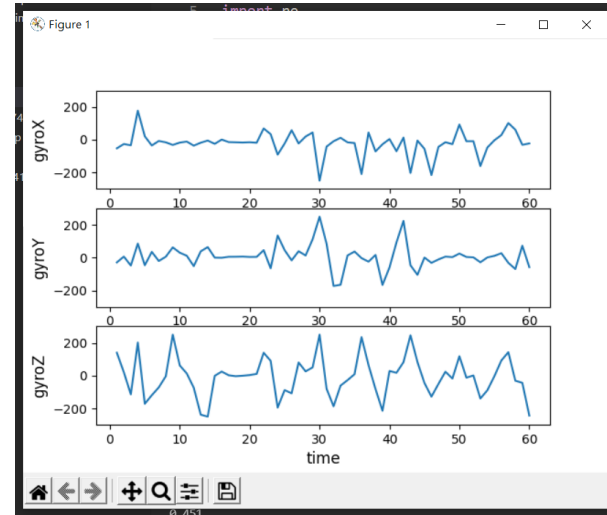
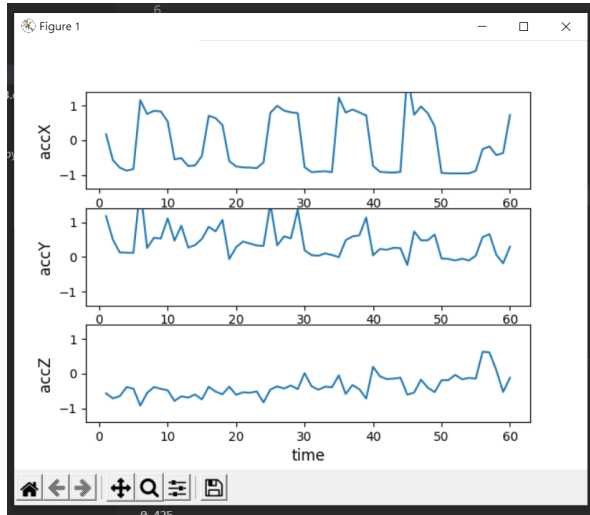
- ▷ 6-axis motion tracking device
  - Accelerometer x, y, z axis
  - Gyroscope x, y, z, axis
- ▷ designed for the low power, low cost, and high performance requirements of smartphones, tablets and wearable sensors



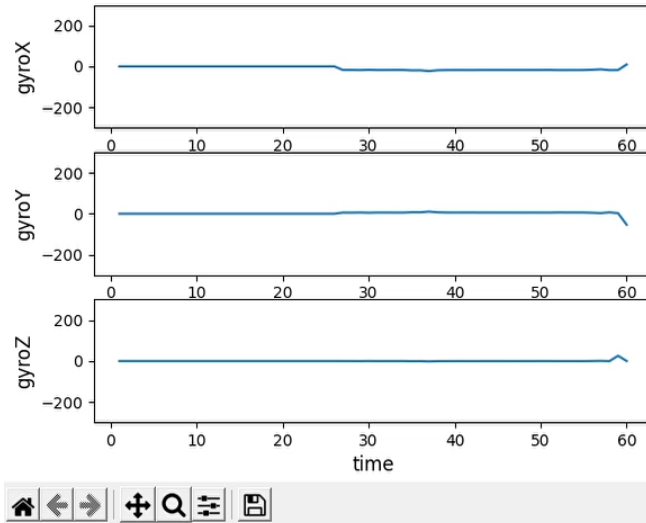
**MPU-6050**  
**Orientation & Polarity of Rotation**

# Realtime Data Visualization

- ▷ Read serial port with Python
- ▷ Plot real time graphs with Matplotlib

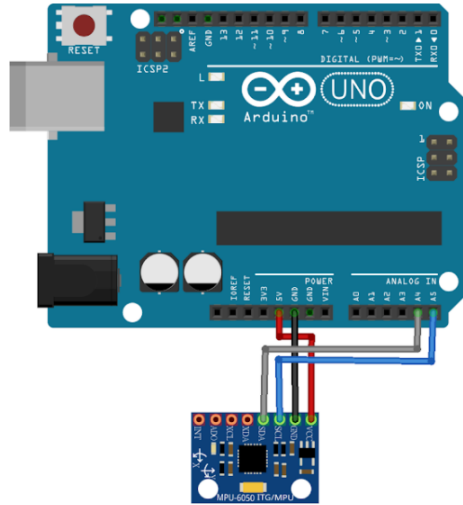


# Realtime Data Visualization

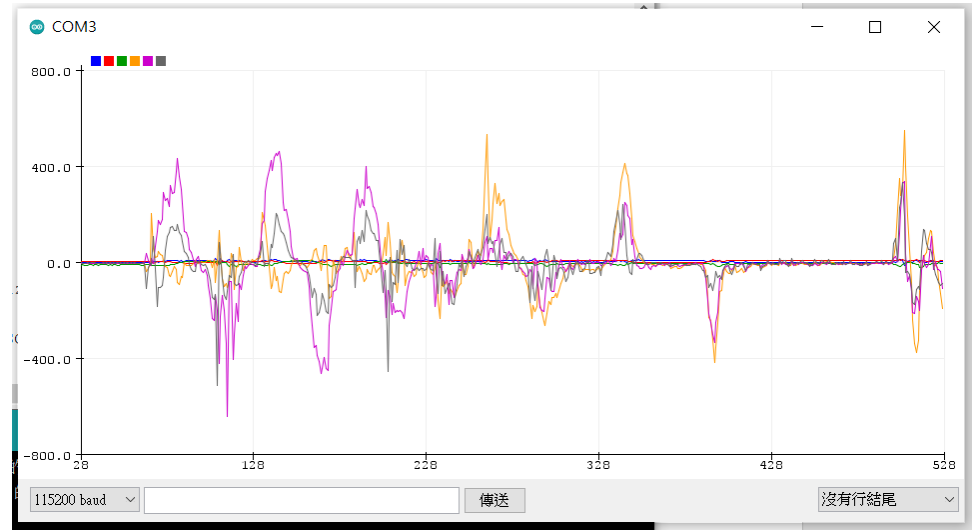


# Realtime Data Visualization

- ▷ Realtime visualization can also achieved by Arduino



fritzing



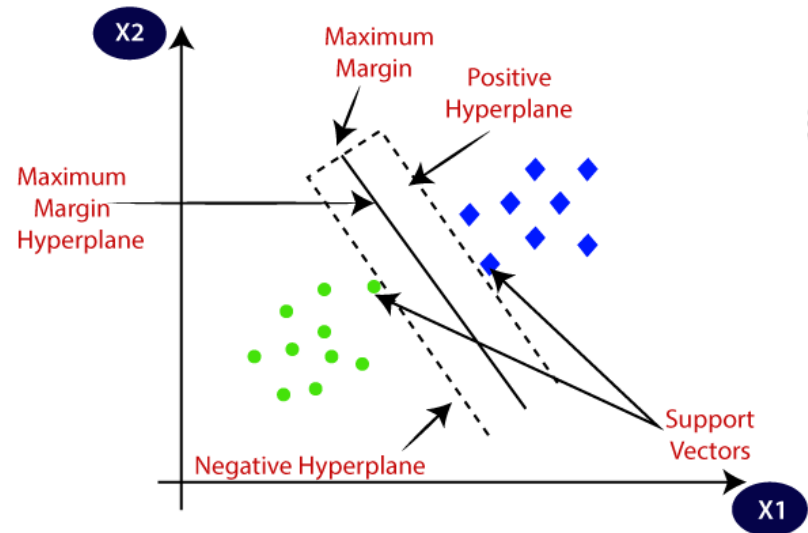
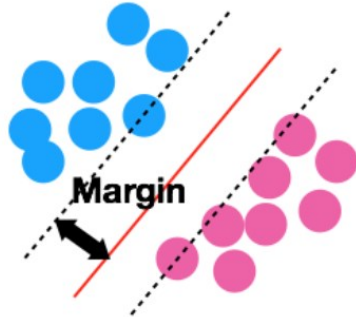
# Gesture recognition with basic ML

- ▷ Self collected data (LtoR, RtoL)
- ▷ 150 samples / data
- ▷ Dataset too small -> basic ML method
- ▷ Support Vector Machine (SVM)



# Gesture recognition with basic ML

- ▷ Principle Components Analysis (PCA)
  - widely used technique for dimensionality reduction of the data
  - makes the large data simpler, easy to explore and visualize
- ▷ Support Vector Machine (SVM)

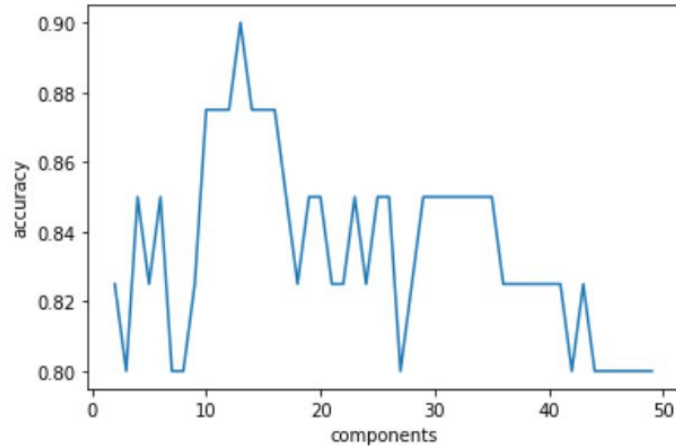


# Gesture recognition with basic ML

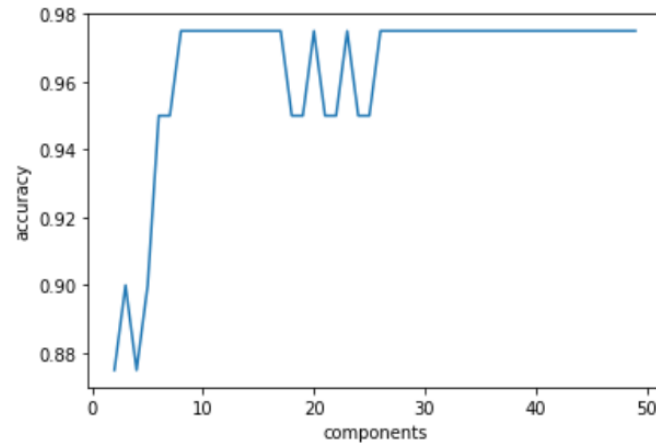
## Training result

- ▷ Only use accelerometer data achieves highest accuracy

All data (accel x, y, z & gyro x, y, z)



Accel x, y, z





# Federated Learning Concepts

# Federated Learning Concept

- ▷ model training on **central** server
- ▷ training data **decentralized**
- ▷ privacy-preserving
  - raw data stored locally and without transferred or exchanged

## FL training process

- ▷ Client selection -> Broadcast -> Client computation -> Aggregation -> Model Update
- ▷ **Privacy** and **communication efficiency** are first-order concerns

# Federated Learning Concept

## FL tools & frameworks

▷ TensorFlow Federated



▷ OpenMined (Pysyft)



# OpenMined

- ▷ Open-source community
- ▷ People and organizations can host private datasets, allowing data scientists to train or query on data they **"cannot see"**
- ▷ **Data owners retain complete control:** data is never copied, moved, or shared

## Goal

- ▷ To make the world more privacy-preserving by lowering the barrier-to-entry to private AI technologies.

# Pysyft

- ▷ a Python library for secure and private Deep Learning
- ▷ compute over information you do not own on machines you **do not have total control over**

## Duet

- ▷ **Peer-to-peer** tool within Pysyft
- ▷ Research-friendly API
- ▷ Data owner can privately expose their data
- ▷ Allows you to get started using Pysyft
- ▷ Data between owner and scientists are sent by pointers

# Data Owner

```
import syft as sy
```

## Part 1: Launch a Duet Server

```
duet = sy.launch_duet(loopback=True)
```



```
🔑 🔧 🎵 Starting Duet 🎵 🔧 📄
```

```
🎵 > DISCLAIMER: Duet is an experimental feature currently in beta.  
🎵 > Use at your own risk.
```

```
> ❤️ Love Duet? Please consider supporting our community!  
> https://github.com/sponsors/OpenMined
```

```
🎵 > Punching through firewall to OpenGrid Network Node at:  
🎵 > http://ec2-18-216-8-163.us-east-2.compute.amazonaws.com:5000  
🎵 >  
🎵 > ...waiting for response from OpenGrid Network...  
🎵 > DONE!
```

```
🎵 > STEP 1: Send the following code to your Duet Partner!
```

```
import syft as sy  
duet = sy.join_duet(loopback=True)
```

```
🎵 > Connecting...
```

```
🎵 > CONNECTED!
```

# Data Scientist

```
import syft as sy
```

## Part 1: Join the Duet Server the Data Owner connected to

```
duet = sy.join_duet(loopback=True)
```



```
🔑 🔧 🎵 Joining Duet 🎵 🔧 📄
```

```
🎵 > DISCLAIMER: Duet is an experimental feature currently in beta.  
🎵 > Use at your own risk.
```

```
> ❤️ Love Duet? Please consider supporting our community!  
> https://github.com/sponsors/OpenMined
```

```
🎵 > Punching through firewall to OpenGrid Network Node at:  
🎵 > http://ec2-18-216-8-163.us-east-2.compute.amazonaws.com:5000  
🎵 >  
🎵 > ...waiting for response from OpenGrid Network...  
🎵 > DONE!
```

```
🎵 > CONNECTED!
```



# Data Owner – send data (pointer)

```
# Finally the data owner UPLOADS THE DATA to the Duet server and makes it searchable  
# by data scientists. NOTE: The data is still on the Data Owners machine and cannot be  
# viewed or retrieved by any Data Scientists without permission.  
age_data_pointer = age_data.send(duet, pointable=True)
```

```
# Once uploaded, the data owner can see the object stored in the tensor  
duet.store
```

```
[<syft.proxy.torch.TensorPointer object at 0x00000179E1F12730>]
```

```
# To see it in a human-readable format, data owner can also pretty-print the tensor information  
duet.store.pandas
```

	ID	Tags	Description	object_type
0	<UID: e80e293ea16e46fba8ffdd30b03beec9>	[ages]	This is a list of ages of 6 people.	<class 'torch.Tensor'>

# Data Scientist – get data (pointer)

```
# The data scientist can check the list of searchable data in Data Owner's duet store  
duet.store.pandas
```

	ID	Tags	Description	object_type
0	<UID: e80e293ea16e46fba8ffdd30b03beec9>	[ages]	This is a list of ages of 6 people.	<class 'torch.Tensor'>

```
# Data Scientist likes the age data. (S)He needs a pointer to it.  
  
data_ptr = duet.store[0]  
# data_ptr = duet.store['ages']  
  
# data_ptr is a reference to the age dataset remotely available on data owner's server  
print(data_ptr)
```

```
<syft.proxy.torch.TensorPointer object at 0x0000022C87E24490>
```

# Data Scientist

Perform basic analysis on the data – request from data owner

```
average_age = data_ptr.float().mean()
```

```
try:  
    average_age.get()  
except Exception as e:  
    print(e)
```

```
average_age.request(  
    reason="I am a data scientist and I need to know the average age for my analysis."  
)
```

```
duet.requests.pandas
```

# Data Owner

## Response to requests coming from Data Scientist

```
# Oh there's a new request!  
duet.requests.pandas
```

	Requested Object's tags	Reason	Request ID	Requested Object's ID	Requested Object's type
0	[ages, float, mean]	I am a data scientist and I need to know the a...	<UID: 3dab5ba34ffb455aab58308886eefb21>	260dfb86bb0847d8aba5239ec1171ccb>	

```
# Let's check what it says.  
duet.requests[0].request_description
```

```
'I am a data scientist and I need to know the average age for my analysis.'
```

```
# The request looks reasonable. Should be accepted :)  
duet.requests[0].accept()
```

## Add request handlers

```
# You can automatically accept or deny requests, which is great for testing.  
# We have more advanced handlers coming soon.
```

```
duet.requests.add_handler(action="accept")
```



# Federated Learning in Pytorch & Pysyft

## Experiment Results

# FL in Pytorch with Custom Dataset

▷ hook

A reference to the TorchHook object which is used to **modify PyTorch with PySyft's functionality**

▷ sy.VirtualWorker() simulate clients in FL

```
hook = sy.TorchHook(torch)
clients = []

for i in range(args.clients):
    clients.append({'hook': sy.VirtualWorker(hook, id="client{}".format(i+1))})
```

```
client===== {'hook': <VirtualWorker id:client3 #objects:0>, 'trainset': <torch.utils.data.dataloader.Data
Loader object at 0x7f7c0ea24c90>, 'testset': <torch.utils.data.dataloader.DataLoader object at 0x7f7c0e933
050>, 'samples': 0.3333333333333333, 'model': Net(
  (conv1): Conv2d(1, 32, kernel_size=(3, 3), stride=(1, 1))
  (conv2): Conv2d(32, 64, kernel_size=(3, 3), stride=(1, 1))
  (fc1): Linear(in_features=12544, out_features=128, bias=True)
  (fc2): Linear(in_features=128, out_features=3, bias=True)
), 'optim': SGD (
  Parameter Group 0
    dampening: 0
    lr: 0.01
    momentum: 0.8
    nesterov: False
    weight_decay: 0
), 'criterion': CrossEntropyLoss())
```

# FL in Pytorch with Custom Dataset

- ▷ Original dataset – MNIST in torchvision.datasets

MNIST

```
CLASS torchvision.datasets.MNIST(root: str, train: bool = True, transform: Union[Callable,  
NoneType] = None, target_transform: Union[Callable, NoneType] = None, download: bool  
= False) → None
```

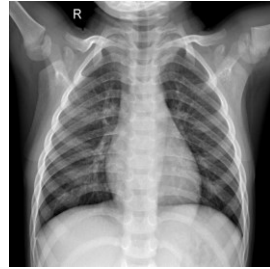
- ▷ Built-in image transform
- ▷ Get data in tensor form that can be trained immediately

# FL in Pytorch with Custom Dataset

- ▶ Custom dataset – Covid-19 dataset from Kaggle



COVID



NORMAL




Viral  
Pneumonia

- ▶ Has to follow PyTorch Dataset format
  - contain `__init__()`, `__len__()`, `__getitem__()` function
- ▶ Create `Rescale()` and `ToTensor()` function
  - adjust size of input image
  - transfer data to `torch.Tensor` type for the following training process

# FL in Pytorch with Custom Dataset

- ▷ Create train.csv & test.csv
- ▷ Image path & label

 jupyter train.csv ✓ 2021年5月5日

File Edit View Language

```
1 /home/citi302/Desktop/Codefolder/FL_DP_covid/FinalCovid19Dataset/train/1/NORMAL (975).png,1
2 /home/citi302/Desktop/Codefolder/FL_DP_covid/FinalCovid19Dataset/train/1/NORMAL (406).png,1
3 /home/citi302/Desktop/Codefolder/FL_DP_covid/FinalCovid19Dataset/train/1/NORMAL (1084).png,1
4 /home/citi302/Desktop/Codefolder/FL_DP_covid/FinalCovid19Dataset/train/1/NORMAL (194).png,1
```



# FL in Pytorch with Custom Dataset

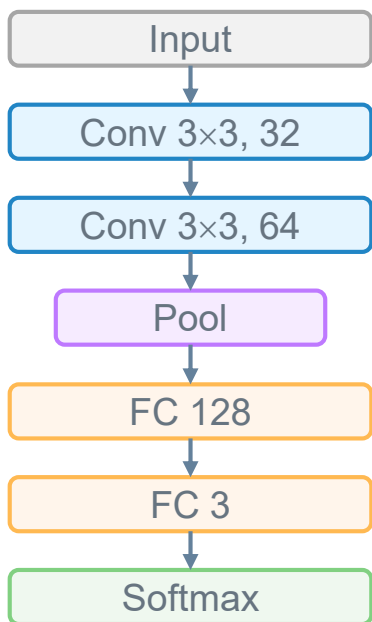
- ▷ Set hyperparameters & variables
  - Epochs, clients, rounds
  - Learning rate, batch size
  - client data(IID/NonIID)
- ▷ Start training federated learning models with PyTorch! 😊

```
class Arguments():
    def __init__(self):
        self.images = 3012
        self.clients = 10
        self.rounds = 1001
        self.epochs = 1
        self.local_batches = 20
        self.lr = 0.01
        self.dropout1 = 0.25
        self.dropout2 = 0.5
        self.C = 0.66
        self.drop_rate = 0.1
        self.torch_seed = 0
        self.log_interval = 10
        self.iid = 'noniid'
        self.split_size = int(self.images / self.clients)
        self.samples = self.split_size / self.images
        self.use_cuda = True
        self.save_model = False
        self.save_model_interval = 500
        self.clip = 1
        self.del_runs = False
        self.acc_csv = True
        self.acc_file = '0517_10clients_noniid1.csv'
        # number of classes per client on non iid case
        self.noniid_classnum = 1
        # data transform
        self.transform = transforms.Compose([Rescale(32), ToTensor()])
        # number of classes
        self.c_num = 3
```

# Model settings



# CNN model architecture



```
class Net(nn.Module):
    def __init__(self):
        super(Net, self).__init__()
        self.conv1 = nn.Conv2d(in_channels = 3,
                                out_channels = 32,
                                kernel_size = 3,
                                stride = 1)
        self.conv2 = nn.Conv2d(in_channels = 32,
                                out_channels = 64,
                                kernel_size = 3,
                                stride = 1)
        self.fc1 = nn.Linear(14*14*64, 128)
        self.fc2 = nn.Linear(128, 3)

    def forward(self, x):
        x = F.relu(self.conv1(x))
        x = F.relu(self.conv2(x))
        x = F.max_pool2d(x, 2, 2)
        x = F.dropout(x, p=args.dropout1)
        x = x.view(-1, 14*14*64)
        x = F.relu(self.fc1(x))
        x = F.dropout(x, p=args.dropout2)
        x = self.fc2(x)
        return F.softmax(x)
```

# How to reduce training time?

## Original

- ▷ `__getitem__()` reads data from image repeatedly
- ▷ Loading image to array data takes much time
- ▷ Average training time: 7h 40m

```
180 class CovidDataset(Dataset):
181     def __init__(self, csv_path, transform=None):
182         self.data_info = pd.read_csv(csv_path, header=None)
183         self.transform = transform
184
185     def __len__(self):
186         return len(self.data_info)
187
188     def __getitem__(self, idx):
189         if torch.is_tensor(idx):
190             idx = idx.tolist()
191
192         img_name = self.data_info.iloc[idx, 0]
193         image = cv2.imread(img_name, cv2.IMREAD_GRAYSCALE)
194         label = self.data_info.iloc[idx, 1]
195         label = np.array([label])
196         sample = {'image': image, 'label': label}
197
198         if self.transform:
199             sample = self.transform(sample)
200
201         return sample
202
203     def get_labels(self):
204         labels = []
205         for i in range(len(self.data_info)):
206             labels.append(self.data_info.iloc[i, 1])
207
208         return labels
```

# How to reduce training time?

## Read from .npy file

- ▶ Convert images to array and store as .npy files first
- ▶ Data loading from .npy files are much more faster
- ▶ Average training time: 20m 10s

7h 40m → 20m 10s  
**21x reduction**

```
for i in range(data_info.shape[0]):  
    # read image by cv2  
    img = cv2.imread(data_info[0][i])  
    # resize image to (32, 32, 3)  
    img = cv2.resize(img, (32,32))  
    # transpose image dimensions (3, 32, 32) for model training  
    img = img.transpose((2, 0, 1))  
    np.save('./FinalCovid19Dataset_npy/train/' + str(data_info[1][i]) + '/' + str(i) + '.npy', img)
```

```
151 def npy_loader(path):  
152     sample = torch.from_numpy(np.load(path))  
153     return sample  
154  
155 def load_dataset(num_users, iidtype, transform, c_num, noniid_c = 0):  
156     data_path = "./FinalCovid19Dataset_npy/train"  
157     train_dataset = datasets.DatasetFolder(  
158         root=data_path,  
159         loader=npy_loader,  
160         extensions=tuple(['.npy']),  
161         transform=transform  
162     )  
163     print(train_dataset.classes)  
164     train_group = None  
165     if iidtype == 'iid':  
166         train_group = covidIID(train_dataset, num_users)  
167  
168     elif iidtype == 'noniid':  
169         train_group = covidNonIID(train_dataset, num_users, c_num, noniid_c)  
170  
171     else:  
172         train_group = covidNonIIDUnequal(train_dataset, num_users)  
173  
174     return train_dataset, train_group
```

# Experiment results

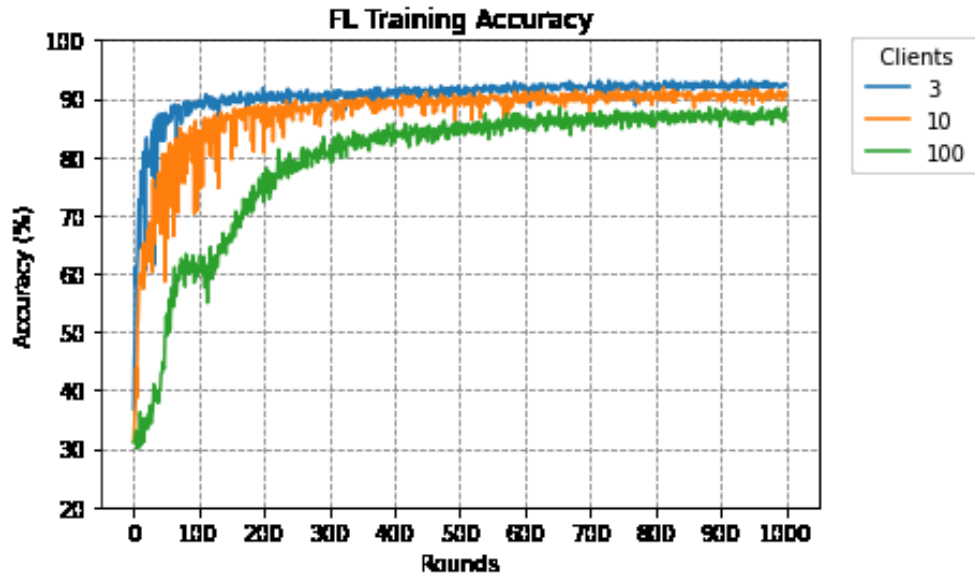


# Training Time

## Pytorch vs Tensorflow

- ▷ Running time (ran on the same computer):  
**PyTorch (17m59s) ≈ Tensorflow (18m 5s)**
- ▷ Differences between two approaches
  - API function calculation
  - Image processing difference (e.g. TF initially store images as array, PyTorch load .npy file)

# Number of clients

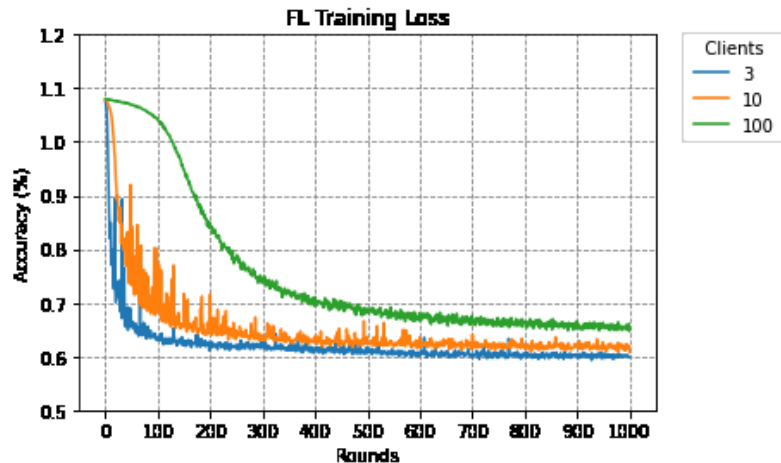
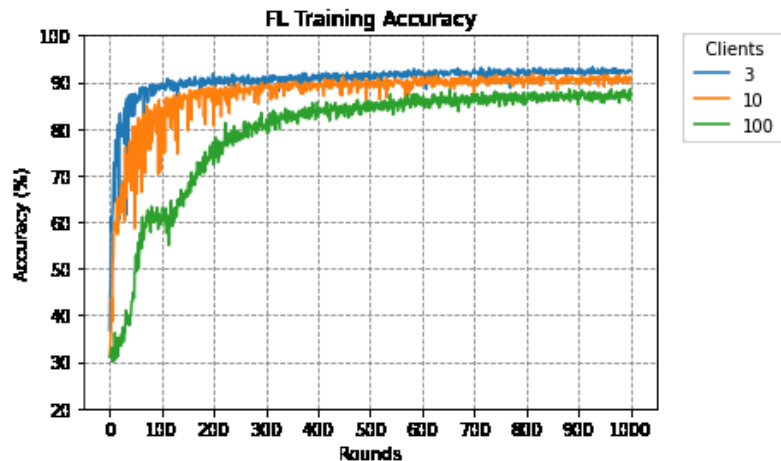


## Properties

- IID Data
  - Client's data **distributed evenly**
- Batch size = 20
- Local epoch = 1
  - every client trained once/round
- Client fraction = 0.66
  - fraction of total clients that will join the training per round

Rounds	3 clients	10 clients	100 clients
	64.41%		
100	88.71%	79.15%	61.09%
	90.83%		
1000	92.43%	91.10%	87.12%



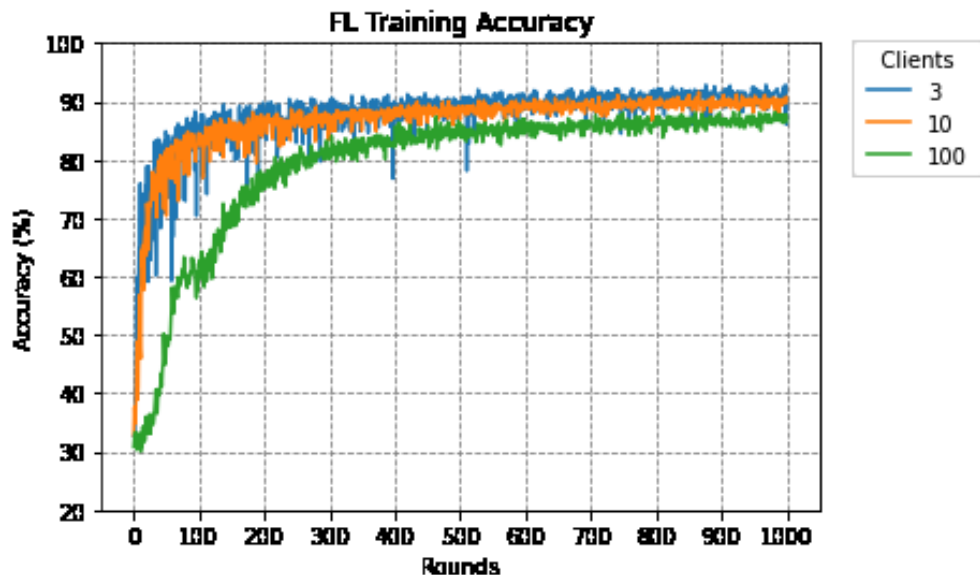


## Result

- the more the clients, the slower the model achieve high accuracy
- the more the clients, the slower the loss drops
- the more the clients, the lower the accuracy (5% difference)

Rounds	3 clients	10 clients	100 clients
	64.41%		
100	88.71%	79.15%	61.09%
	90.83%		
1000	92.43%	91.10%	87.12%

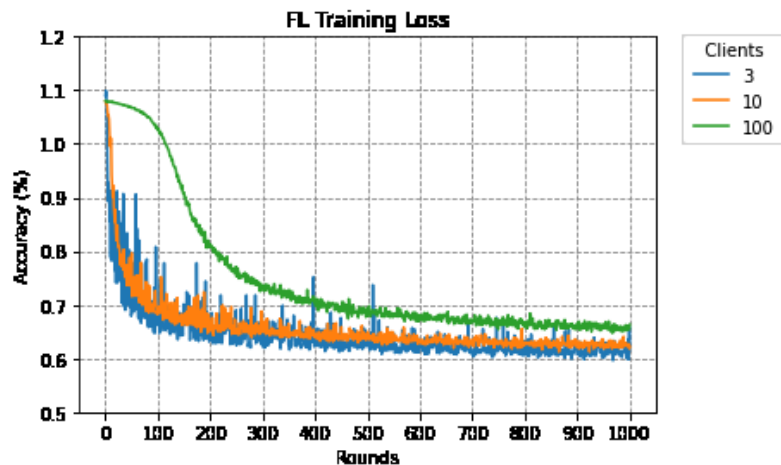
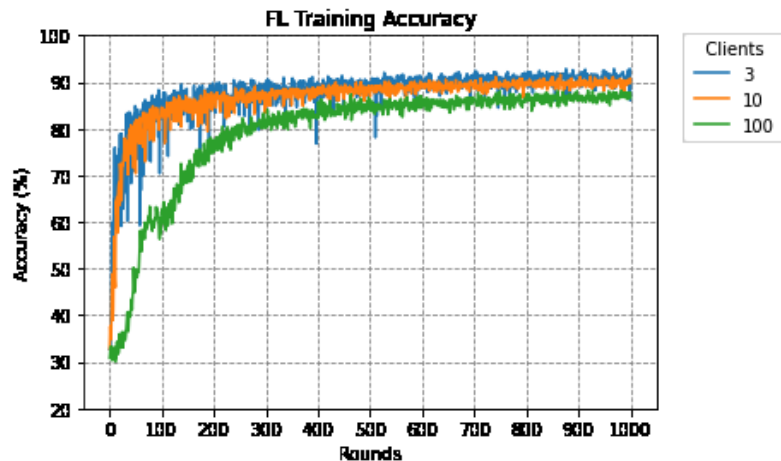
# Non IID data (2)



## Properties

- Non IID Data
  - Client data contains **two classes**
- Batch size = 20
- Local epoch = 1
- Client fraction = 0.66

Rounds	3 clients	10 clients	100 clients
	75.96%		
100	83.93%	84.59%	59.90%
	87.25%		
1000	91.10%	90.04%	86.59%

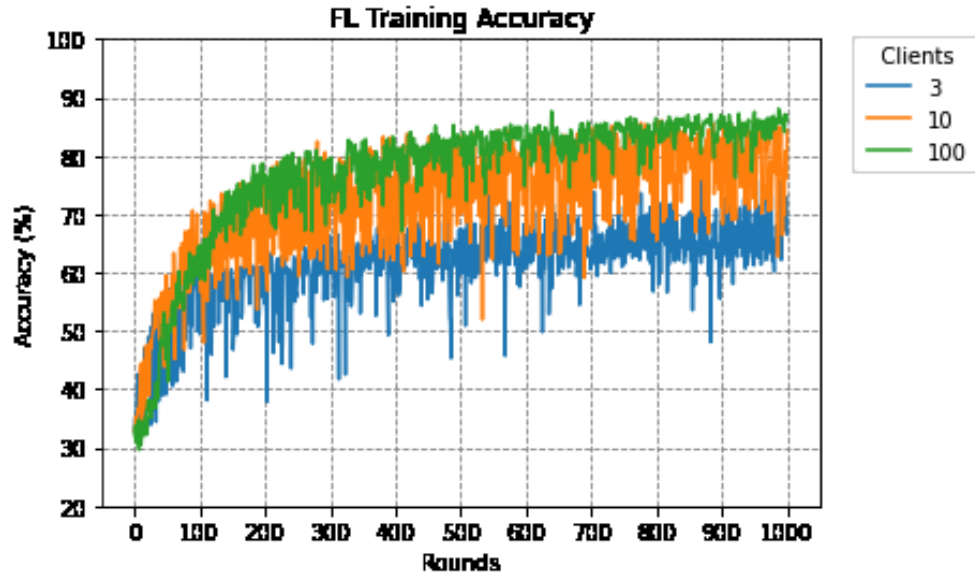


## Result

- Fewer clients, training process **more unstable** at the early stage
- the more the clients, the **slower the loss drops**
- the more the clients, the lower the accuracy (5% difference)

Rounds	3 clients	10 clients	100 clients
	75.96%		
100	83.93%	84.59%	59.90%
	87.25%		
1000	91.10%	90.04%	86.59%

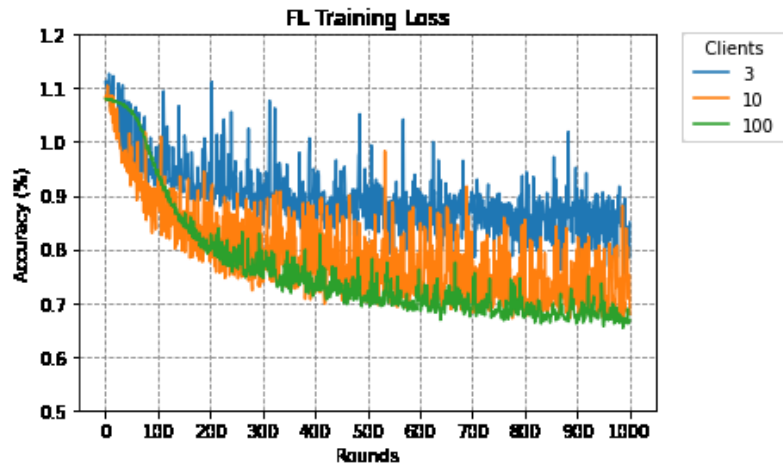
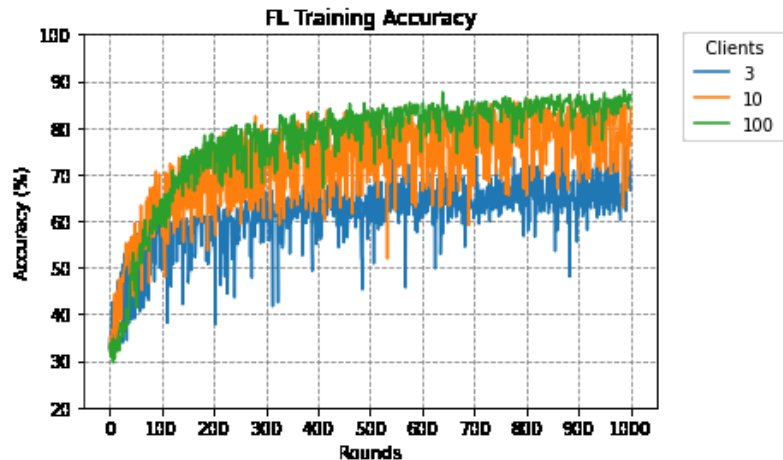
# Non IID data (1)



## Properties

- Non IID Data
  - Client data contains only **one class**
- Batch size = 20
- Local epoch = 1
- Client fraction = 0.66

Rounds	3 clients	10 clients	100 clients
			30.54%
100	49.40%	58.43%	58.83%
			80.21%
1000	66.53%	84.33%	86.32%



## Result

- Fewer clients, training process **more unstable**
- the **fewer** the clients, the lower the accuracy (20% difference)
  - fewer clients, training data **more unbalanced** (eg. 3 clients, frac = 0.66, each round only two classes of data will be trained)

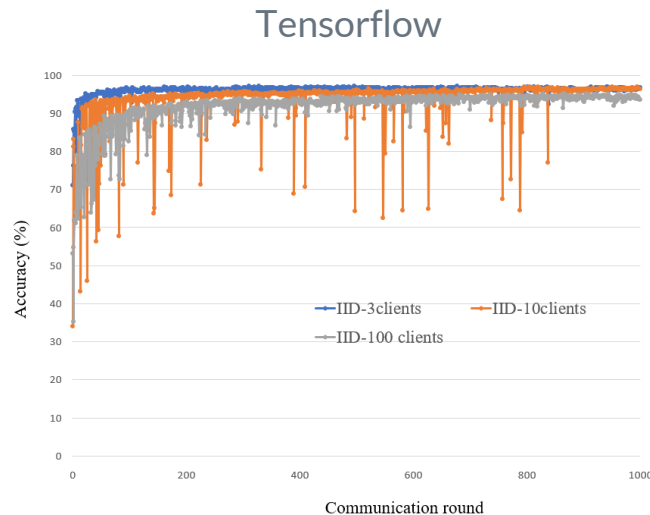
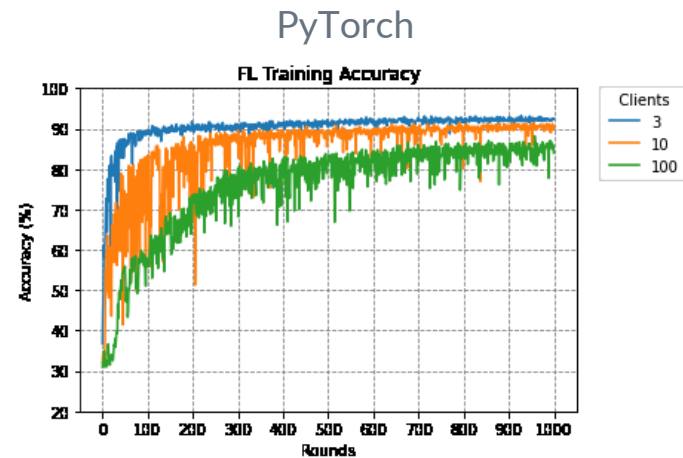
Rounds	3 clients	10 clients	100 clients
			30.54%
100	49.40%	58.43%	58.83%
			80.21%
1000	66.53%	84.33%	86.32%

# Number of clients

## Pytorch vs Tensorflow

	PyTorch			Tensorflow		
	3 clients			3 clients		
400	<b>90.84%</b>	88.58%	79.81%	<b>96.28%</b>	95.33%	93.43%
	<b>91.10%</b>			<b>96.54%</b>		
800	<b>92.03%</b>	90.04%	84.33%	<b>96.81%</b>	96.80%	94.12%
	<b>92.43%</b>			<b>96.81%</b>		

- ▷ **2 clients** per round
- ▷ PyTorch < Tensorflow accuracy
  - 4-9% difference between two approaches



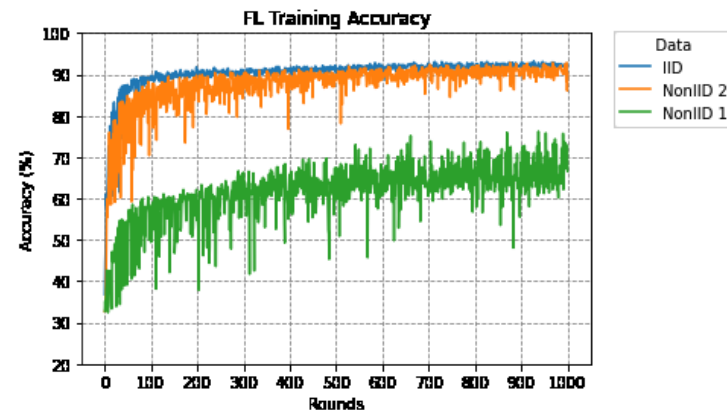
# Non IID data (3 clients)

## PyTorch vs Tensorflow

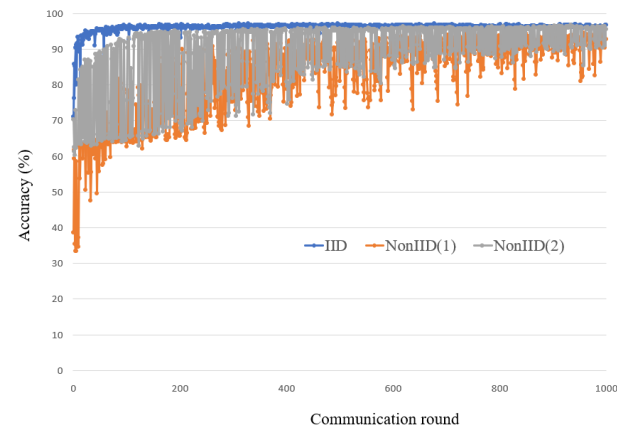
Round	IID		Non IID 2		Non IID 1	
	Torch	TF	Torch	TF	Torch	TF
400	90.84%	96.28%	87.25%	80.80%	65.87%	78.75%
800	92.03%	96.81%	91.10%	96.00%	69.06%	91.90%

- PyTorch < Tensorflow accuracy ( 4-25% difference )
  - Way of distributing non IID data may vary

### PyTorch



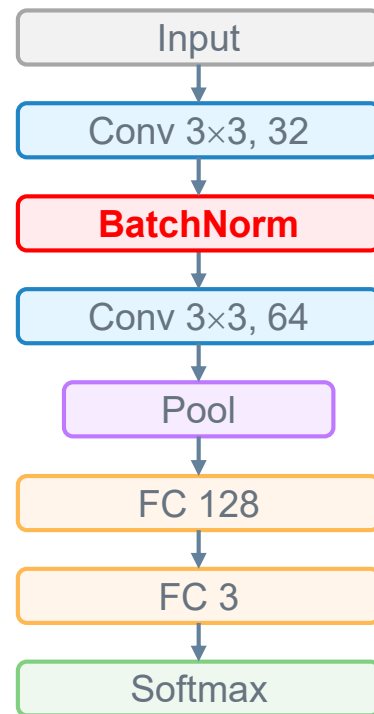
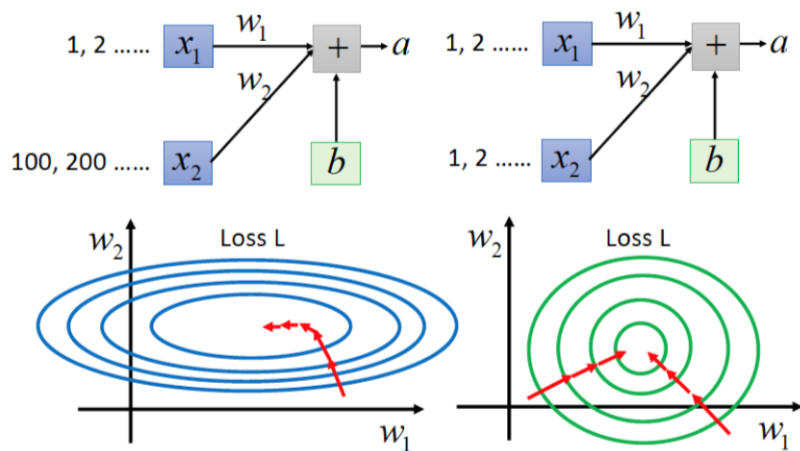
### Tensorflow



# How to improve accuracy?

## Batch normalization

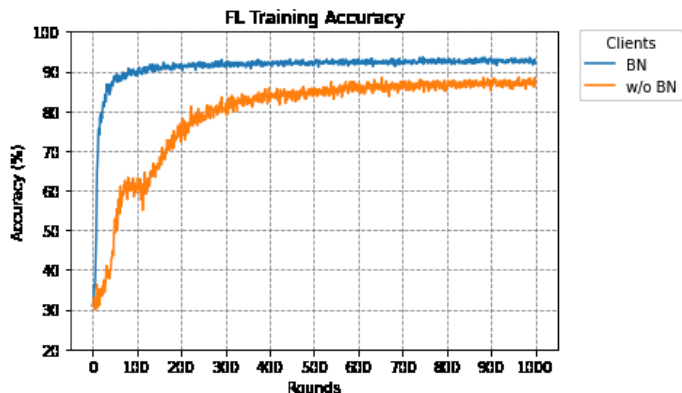
- ▷ **Stabilize model training** → normalize the layer inputs by re-centering and re-scaling
- ▷ **Feature scaling** → Make different features have the same scaling





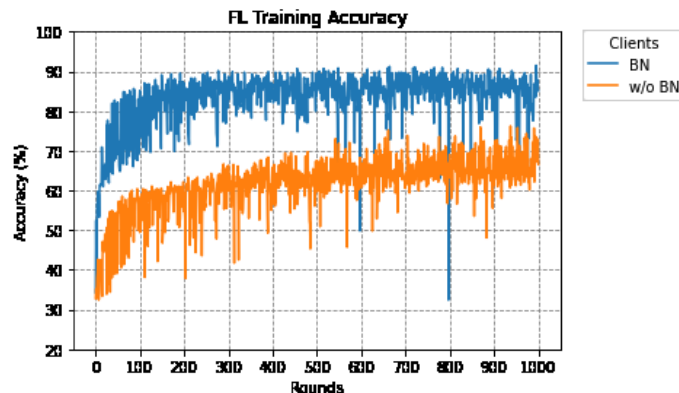
# Batch Normalization

## IID Data 100 clients



Rnd	3 clients	100 clients	
			BN
10	64.41%	36.25%	64.94%
			88.85%
400	90.83%	83.40%	91.77%
			92.83%

## NonIID Data 1 3 clients



Rnd	100 clients	3 clients	
			BN
10	30.54%	42.36%	61.49%
			85.13%
400	80.21%	65.87%	84.99%
			85.13%

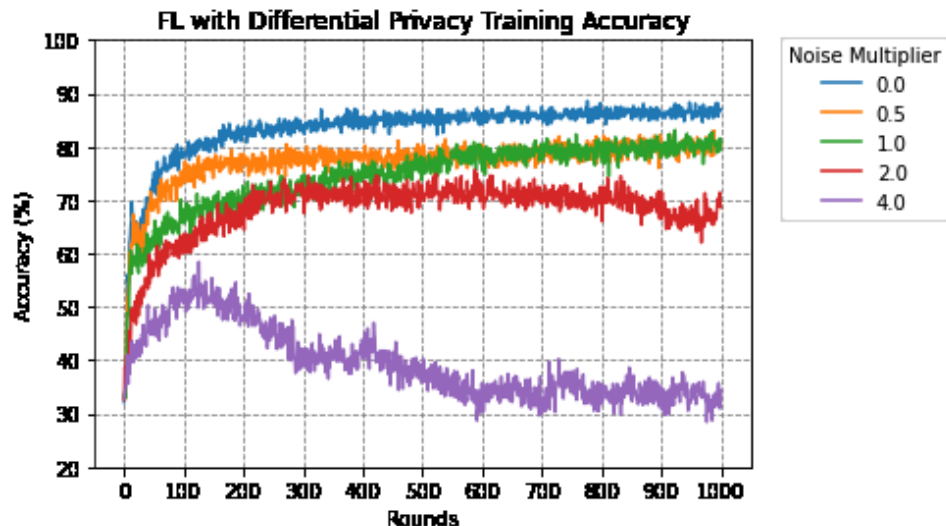
# Differential Privacy



- ▷ Opacus `PrivacyEngine()`
- ▷ Change `noise multiplier` to add noise

```
for client in clients:
    torch.manual_seed(args.torch_seed)
    client['model'] = Net().to(device)
    client['optim'] = optim.SGD(client['model'].parameters(), lr=args.lr)
    client['criterion'] = nn.CrossEntropyLoss(reduction='mean')
    client['pengine'] = PrivacyEngine(
        client['model'],
        batch_size=args.local_batches,
        sample_size=len(client['trainset']),
        sample_rate=args.C,
        alphas=[2,3,4,5,6,7,8,10,11,12,14,16,20,24,28,32,64,256],
        noise_multiplier=0.5,
        max_grad_norm=1.0
    )
    client['pengine'].attach(client['optim'])
```

# Differential Privacy

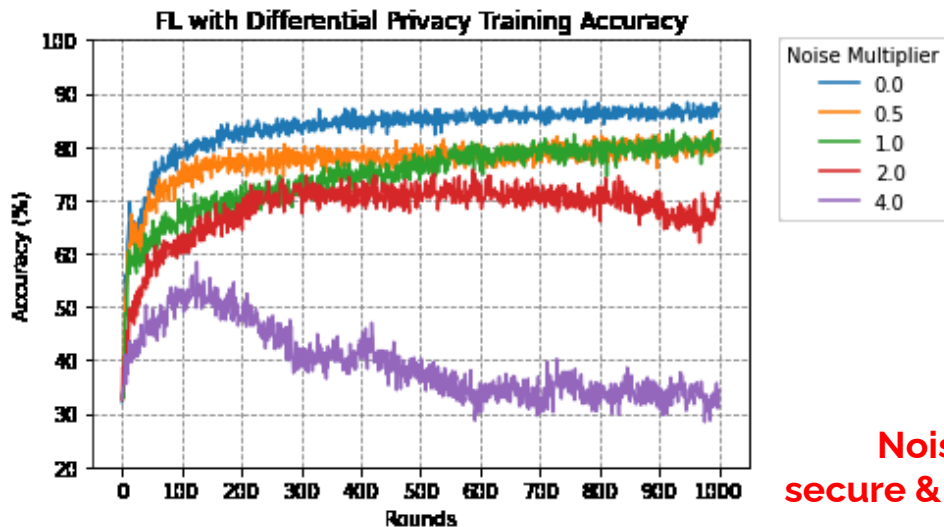


## Properties

- IID Data
- Batch size = 20
- Number of clients = 3
- Client fraction = 0.66
- Max gradient norm: 1.0

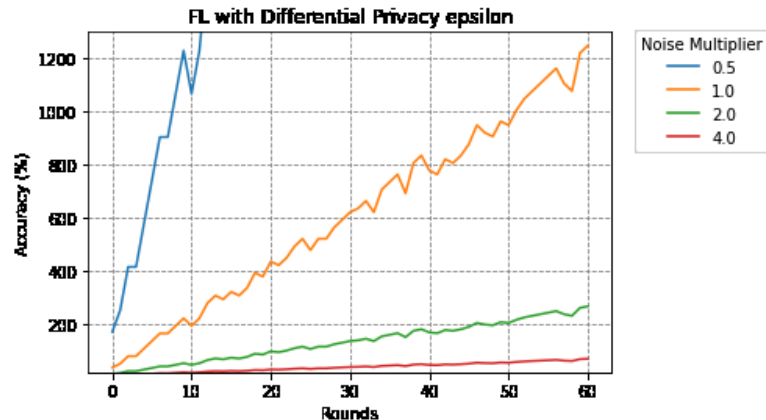
Noise	Accuracy	Epsilon (6ornds)
0.5	80.34%	7091.6
1.0	80.61%	1248.8
2.0	68.66%	268.0

# Differential Privacy



Noise = 1.0  
secure & stable

Secure ↑ Accuracy ↓



Noise	Accuracy	Epsilon (60rnds)
0.5	80.34%	7091.6
1.0	80.61%	1248.8
2.0	68.66%	268.0

# Overall summary

## PyTorch vs Tensorflow

### ▷ Tensorflow

- powerful functions with lots of parameters to customize
- hard to understand how the function has calculated

### ▷ PyTorch

- good way to learn the FL concept by implementing step by step
- takes time to develop

Thanks!

**Any questions?**