



# BLM2502

# Theory of

# Computation

Spring 2015

# BLM2502 Theory of Computation

- » Course Outline
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- » 1 Introduction to Course
- » 2 Computability Theory, Complexity Theory, Automata Theory, Set Theory, Relations, Proofs, Pigeonhole Principle
- » 3 Regular Expressions
- » 4 Finite Automata
- » 5 Deterministic and Nondeterministic Finite Automata
- » 6 Epsilon Transition, Equivalence of Automata
- » 7 Pumping Theorem
- » 8 April 10 - 14 week is the first midterm week
- » 9 Context Free Grammars
- » 10 Parse Tree, Ambiguity,
- » 11 Pumping Theorem
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- » 13 Turing Machines, Recognition and Computation, Church-Turing Hypothesis
- » 14 May 22 – 27 week is the second midterm week
- » 15 Review
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# Context-Free Languages

»

## Context-Free Languages

$\{a^n b^n : n \geq 0\}$

$\{ww^R\}$

## Regular Languages

$a^* b^*$

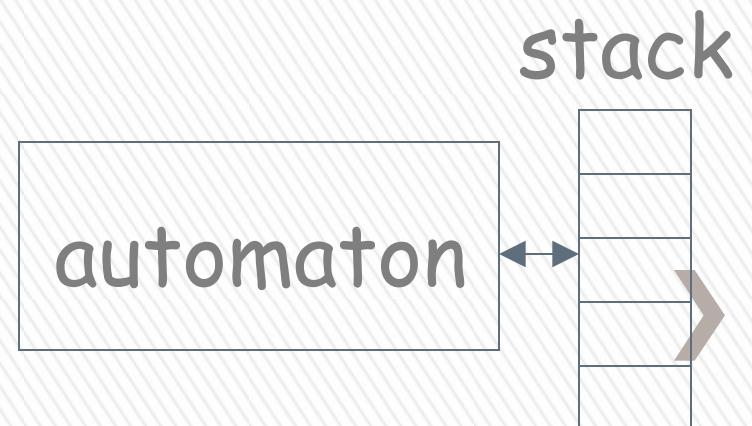
$(a + b)^*$



# Context-Free Languages

Context-Free  
Grammars

Pushdown  
Automata





# Context-Free Grammars

# Grammars

- » Grammars express languages
- » Example: the English language grammar

$\langle sentence \rangle \rightarrow \langle noun\_phrase \rangle \langle predicate \rangle$

$\langle noun\_phrase \rangle \rightarrow \langle article \rangle \langle noun \rangle$

$\langle predicate \rangle \rightarrow \langle verb \rangle$



$\langle \text{article} \rangle \rightarrow a$

$\langle \text{article} \rangle \rightarrow \text{the}$

$\langle \text{noun} \rangle \rightarrow \text{cat}$

$\langle \text{noun} \rangle \rightarrow \text{dog}$

$\langle \text{verb} \rangle \rightarrow \text{runs}$

$\langle \text{verb} \rangle \rightarrow \text{sleeps}$



» Derivation of string “the dog walks” :

$\langle sentence \rangle \Rightarrow \langle noun\_phrase \rangle \langle predicate \rangle$   
 $\Rightarrow \langle noun\_phrase \rangle \langle verb \rangle$   
 $\Rightarrow \langle article \rangle \langle noun \rangle \langle verb \rangle$   
 $\Rightarrow the \langle noun \rangle \langle verb \rangle$   
 $\Rightarrow the \ dog \langle verb \rangle$   
 $\Rightarrow the \ dog \ sleeps$



» Derivation of string “a cat runs” :

$$\begin{aligned}\langle sentence \rangle &\Rightarrow \langle noun\_phrase \rangle \langle predicate \rangle \\&\Rightarrow \langle noun\_phrase \rangle \langle verb \rangle \\&\Rightarrow \langle article \rangle \langle noun \rangle \langle verb \rangle \\&\Rightarrow a \langle noun \rangle \langle verb \rangle \\&\Rightarrow a \ cat \langle verb \rangle \\&\Rightarrow a \ cat \ runs\end{aligned}$$


» Language of the grammar:

$L = \{ \text{"a cat runs"},$   
 $\text{"a cat sleeps"},$   
 $\text{"the cat runs"},$   
 $\text{"the cat sleeps"},$   
 $\text{"a dog runs"},$   
 $\text{"a dog sleeps"},$   
 $\text{"the dog runs"},$   
 $\text{"the dog sleeps"} \}$



## Productions

Sequence of  
Terminals (symbols)

$$\langle \text{noun} \rangle \rightarrow \text{cat}$$
$$\langle \text{sentence} \rangle \rightarrow \langle \text{noun\_phrase} \rangle \langle \text{predicate} \rangle$$

Variables

Sequence of Variables



# Another Example

Grammar:

Sequence of  
terminals and variables

$$S \rightarrow aSb$$

$$S \rightarrow \epsilon$$

Variable

The right side  
may be  $\epsilon$



» Grammar:

$$S \rightarrow aSb$$

$$S \rightarrow \epsilon$$

» Derivation of string :*ab*

$$\begin{array}{ccc} S & \Rightarrow & aSb \Rightarrow ab \\ \nearrow & & \nwarrow \\ S \rightarrow aSb & & S \rightarrow \epsilon \end{array}$$



» Grammar:

$$S \rightarrow aSb$$

$$S \rightarrow \epsilon$$

» Derivation of string :

*aabb*

$$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aabb$$



$$S \rightarrow aSb$$

$$S \rightarrow \epsilon$$



Grammar:  $S \rightarrow aSb$

$S \rightarrow \epsilon$

Other derivations:

$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aaaSbbb \Rightarrow aaabbb$

$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aaaSbbb$

$\Rightarrow aaaaSbbbb \Rightarrow aaaabbbb$



Grammar:  $S \rightarrow aSb$

$S \rightarrow \epsilon$

Language of the grammar:

$$L = \{a^n b^n : n \geq 0\}$$



## A Convenient Notation

\*

» We write:

$$S \Rightarrow aaabbb$$

for one or more derivation steps

» Instead of:

$$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aaaSbbb \Rightarrow aaabbb$$



In general we write: \*

$$w_1 \Rightarrow w_n$$

If:  $w_1 \Rightarrow w_2 \Rightarrow w_3 \Rightarrow \dots \Rightarrow w_n$

in zero or more derivation steps

Trivially:  $w \Rightarrow w$



## Example Grammar

$$S \rightarrow aSb$$

$$S \rightarrow \epsilon$$

$$S \xrightarrow{*} aaSbb \xrightarrow{*} aaaaaSbbbb$$

## Possible Derivations

$$S \xrightarrow{*} \epsilon$$

$$S \xrightarrow{*} ab$$

$$S \xrightarrow{*} aaabbb$$



Another convenient notation:

$$S \rightarrow aSb$$

$$S \rightarrow \epsilon$$



$$S \rightarrow aSb \mid \epsilon$$

$$\langle \text{article} \rangle \rightarrow a$$

$$\langle \text{article} \rangle \rightarrow \text{the}$$



$$\langle \text{article} \rangle \rightarrow a \mid \text{the}$$



# Formal Definition

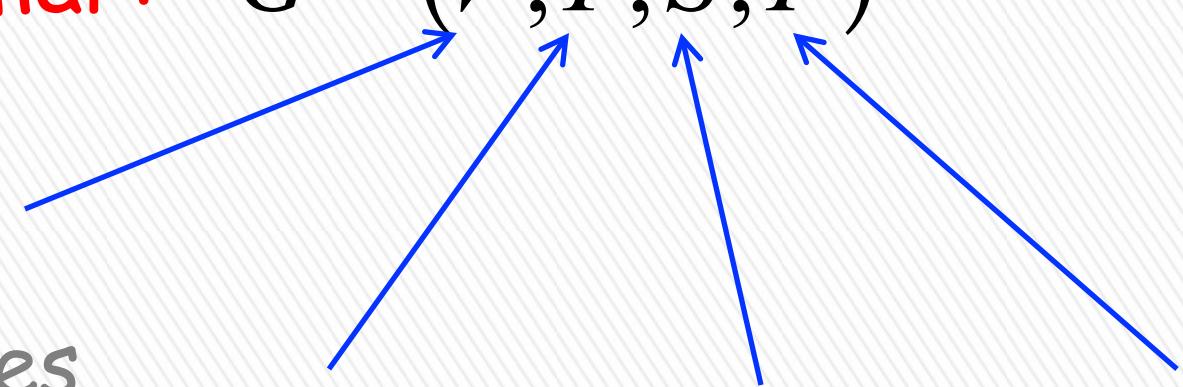
Grammar:  $G = (V, T, S, P)$

Set of  
variables

Set of  
terminal  
symbols

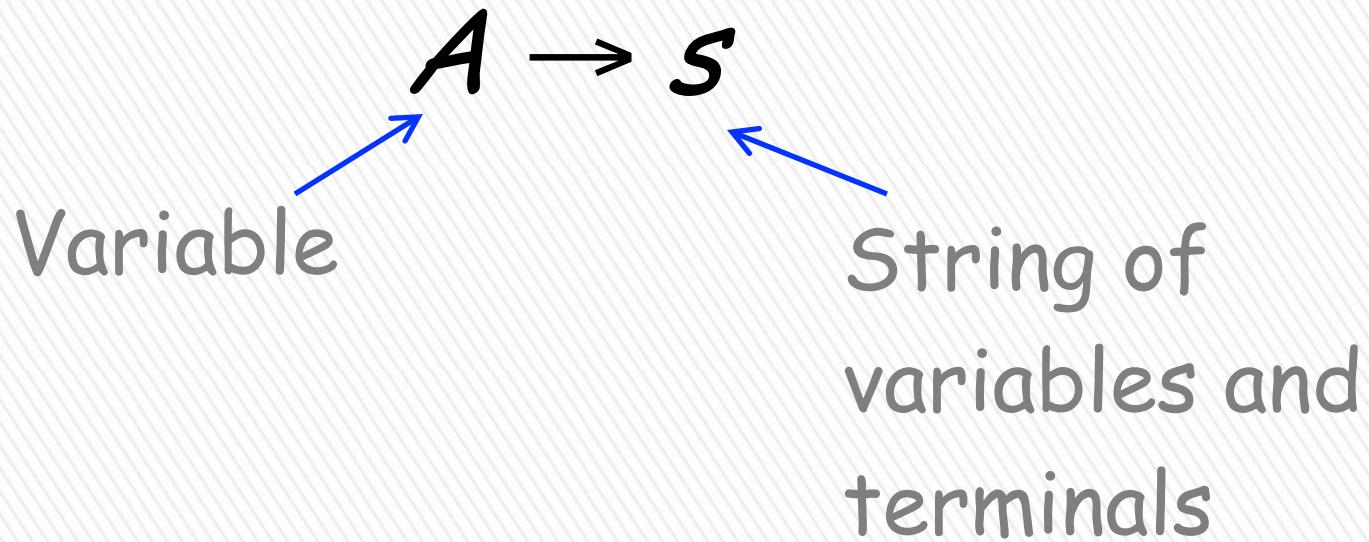
Start  
variable

Set of  
productions



# Context-Free Grammar: $G = (V, T, S, P)$

All productions in  $P$  are of the form

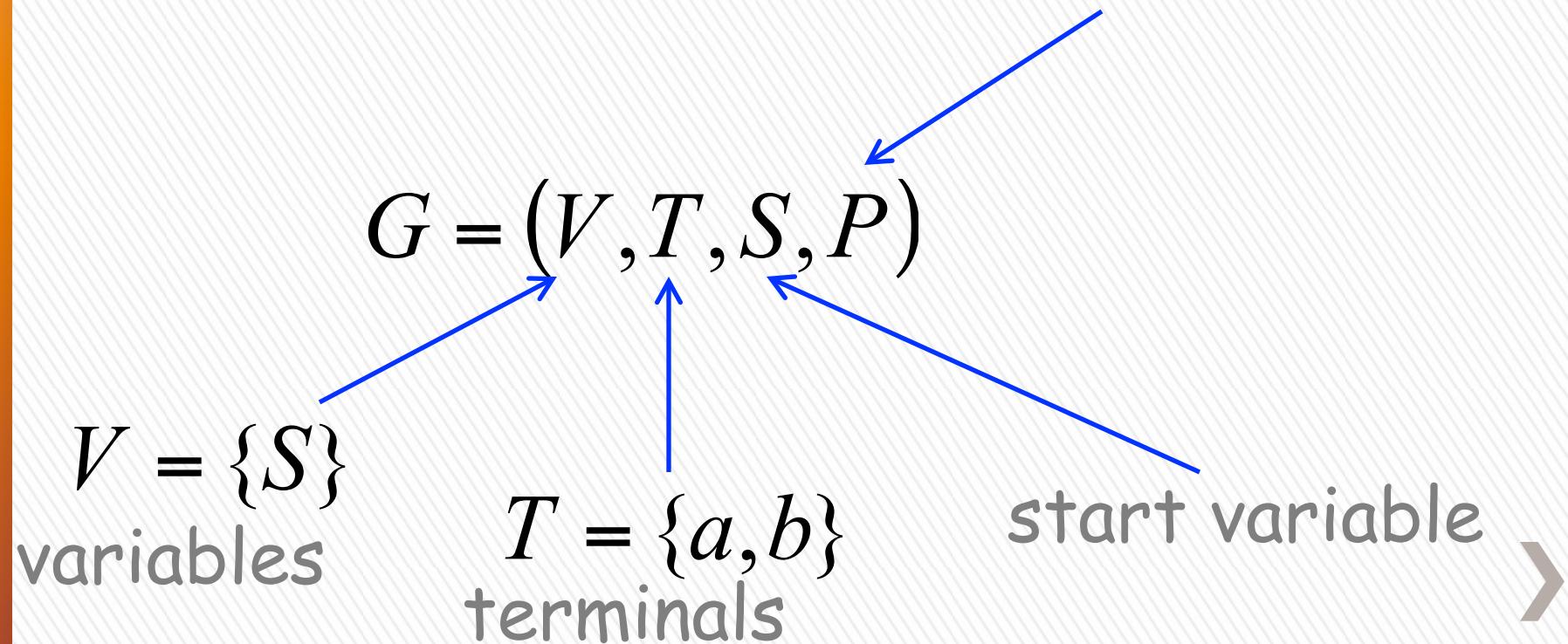


## Example for Context-Free Grammar

$$S \rightarrow aSb \mid \epsilon$$

productions

$$P = \{S \rightarrow aSb, S \rightarrow \epsilon\}$$



## Language of a Grammar:

» For a grammar  $G$  with start variable  $S$

$$L(G) = \{w : S \xrightarrow{*} w, w \in T^*\}$$

String of terminals or  $\epsilon$



Example:

context-free grammar  $G$  :  $S \rightarrow aSb \mid \epsilon$

$$L(G) = \{a^n b^n : n \geq 0\}$$

Since, there is derivation

$$S \xrightarrow{*} a^n b^n \text{ for any } n \geq 0 \Rightarrow$$

# Context-Free Language:

- » A language  $L$  is context-free
- » if there is a context-free grammar  $G$
- » with  $L = L(G)$



## Example:

$$L = \{a^n b^n : n \geq 0\}$$

is a context-free language  
since context-free grammar  $G$  :

$$S \rightarrow aSb \mid \epsilon$$

generates  $L(G) = L$



## Another Example

Context-free grammar  $G$  :

$$S \rightarrow aSa \mid bSb \mid \epsilon$$

Example derivations:

$$S \Rightarrow aSa \Rightarrow abSba \Rightarrow abba$$

$$S \Rightarrow aSa \Rightarrow abSba \Rightarrow abaSaba \Rightarrow abaaba$$

---

$$L(G) = \{ww^R : w \in \{a,b\}^*\}$$

Palindromes of even length



## Another Example

Context-free grammar  $G$ :

$$S \rightarrow aSb \mid SS \mid \epsilon$$

Example derivations:

$$S \Rightarrow SS \Rightarrow aSbS \Rightarrow abS \Rightarrow ab$$

$$S \Rightarrow SS \Rightarrow aSbS \Rightarrow abS \Rightarrow abaSb \Rightarrow abab$$

---

$$L(G) = \{w : n_a(w) = n_b(w), \\ \text{and } n_a(v) \geq n_b(v) \\ \text{in any prefix } v\}$$

Describes  
matched

parentheses:  $( ) ((( )))) (( )) a = (, b = )$





# Derivation Trees

# Derivation Order

Consider the following example grammar  
with 5 productions:

- |                             |                        |                             |
|-----------------------------|------------------------|-----------------------------|
| 1. $S \rightarrow AB$       | 2. $A \rightarrow aaA$ | 4. $B \rightarrow Bb$       |
| 3. $A \rightarrow \epsilon$ |                        | 5. $B \rightarrow \epsilon$ |



- |                       |                             |                             |
|-----------------------|-----------------------------|-----------------------------|
| 1. $S \rightarrow AB$ | 2. $A \rightarrow aaA$      | 4. $B \rightarrow Bb$       |
|                       | 3. $A \rightarrow \epsilon$ | 5. $B \rightarrow \epsilon$ |

**Leftmost derivation order of string  $aab$ :**

$$\begin{array}{ccccc}
 1 & & 2 & & 3 & & 4 & & 5 \\
 S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaB \Rightarrow aaBb \Rightarrow aab
 \end{array}$$

At each step, we substitute the  
leftmost variable



- |                             |                             |                       |
|-----------------------------|-----------------------------|-----------------------|
| 1. $S \rightarrow AB$       | 2. $A \rightarrow aaA$      | 4. $B \rightarrow Bb$ |
| 3. $A \rightarrow \epsilon$ | 5. $B \rightarrow \epsilon$ |                       |

**Rightmost derivation order of string  $aab$ :**

$$\begin{array}{ccccccc}
 & 1 & & 4 & & 5 & \\
 S \Rightarrow & AB \Rightarrow & ABb \Rightarrow & Ab \Rightarrow & aaAb \Rightarrow & aab
 \end{array}$$

At each step, we substitute the rightmost variable



- |                       |                             |                             |
|-----------------------|-----------------------------|-----------------------------|
| 1. $S \rightarrow AB$ | 2. $A \rightarrow aaA$      | 4. $B \rightarrow Bb$       |
|                       | 3. $A \rightarrow \epsilon$ | 5. $B \rightarrow \epsilon$ |

Leftmost derivation of  $aab$ :

$$\begin{array}{ccccc}
 1 & 2 & 3 & 4 & 5 \\
 S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaB \Rightarrow aaBb \Rightarrow aab
 \end{array}$$

Rightmost derivation of  $aab$ :

$$\begin{array}{ccccc}
 1 & 4 & 5 & 2 & 3 \\
 S \Rightarrow AB \Rightarrow ABb \Rightarrow Ab \Rightarrow aaAb \Rightarrow aab \quad \triangleright
 \end{array}$$

Consider the same example grammar:

$$S \rightarrow AB \quad A \rightarrow aaA \mid \epsilon \quad B \rightarrow Bb \mid \epsilon$$

And a derivation of *aab*:

$$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaABb \Rightarrow aaBb \Rightarrow aab$$

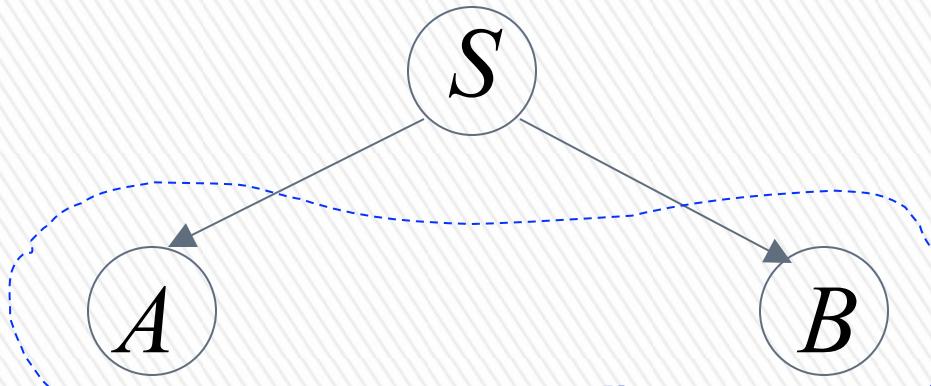


# Derivation Tree

$$S \rightarrow AB$$

$$A \rightarrow aaA \mid \epsilon \quad B \rightarrow Bb \mid \epsilon$$

$$S \Rightarrow AB$$



yield **AB**

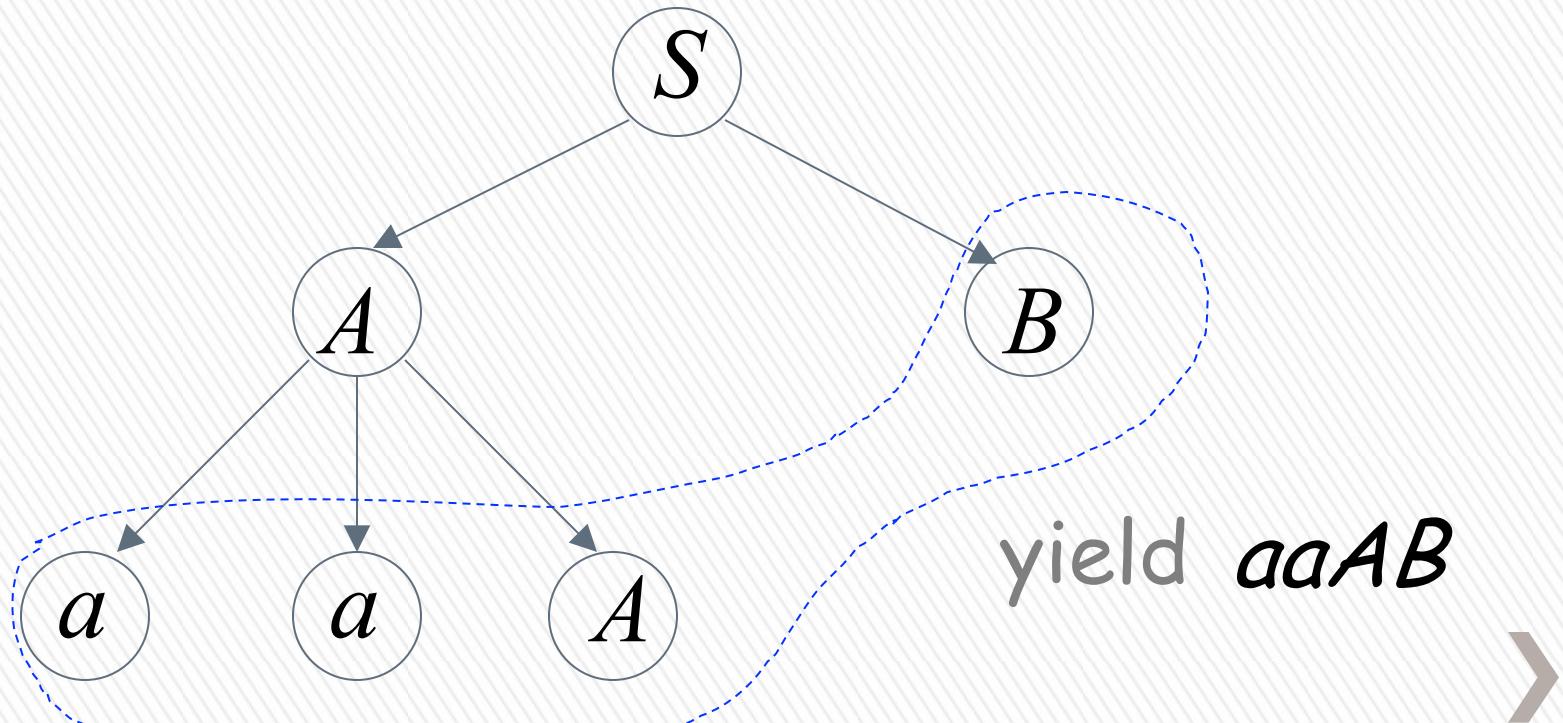


$$S \rightarrow AB$$

$$A \rightarrow aaA \mid \epsilon$$

$$B \rightarrow Bb \mid \epsilon$$

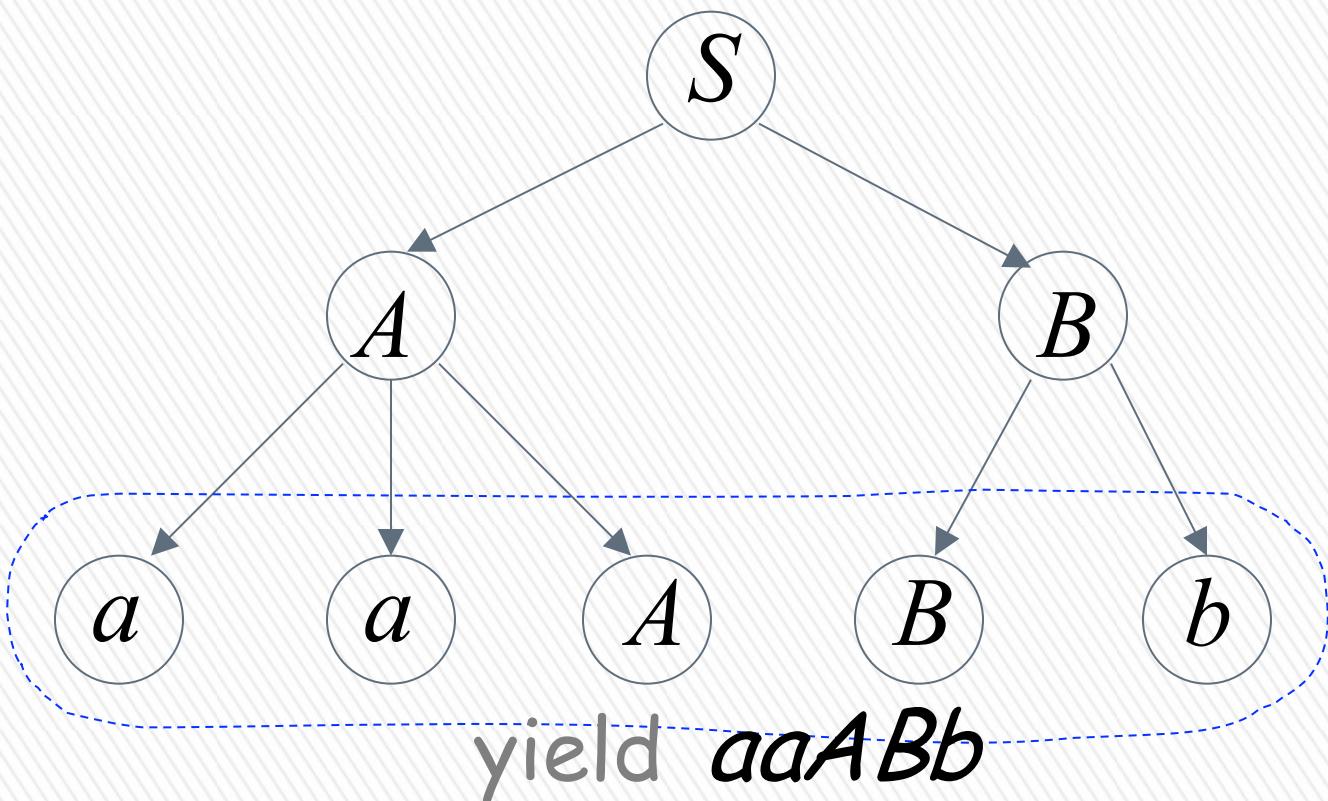
$$S \Rightarrow AB \Rightarrow aaAB$$



$$S \rightarrow AB$$

$$A \rightarrow aaA \mid \epsilon \quad B \rightarrow Bb \mid \epsilon$$

$$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaABb$$

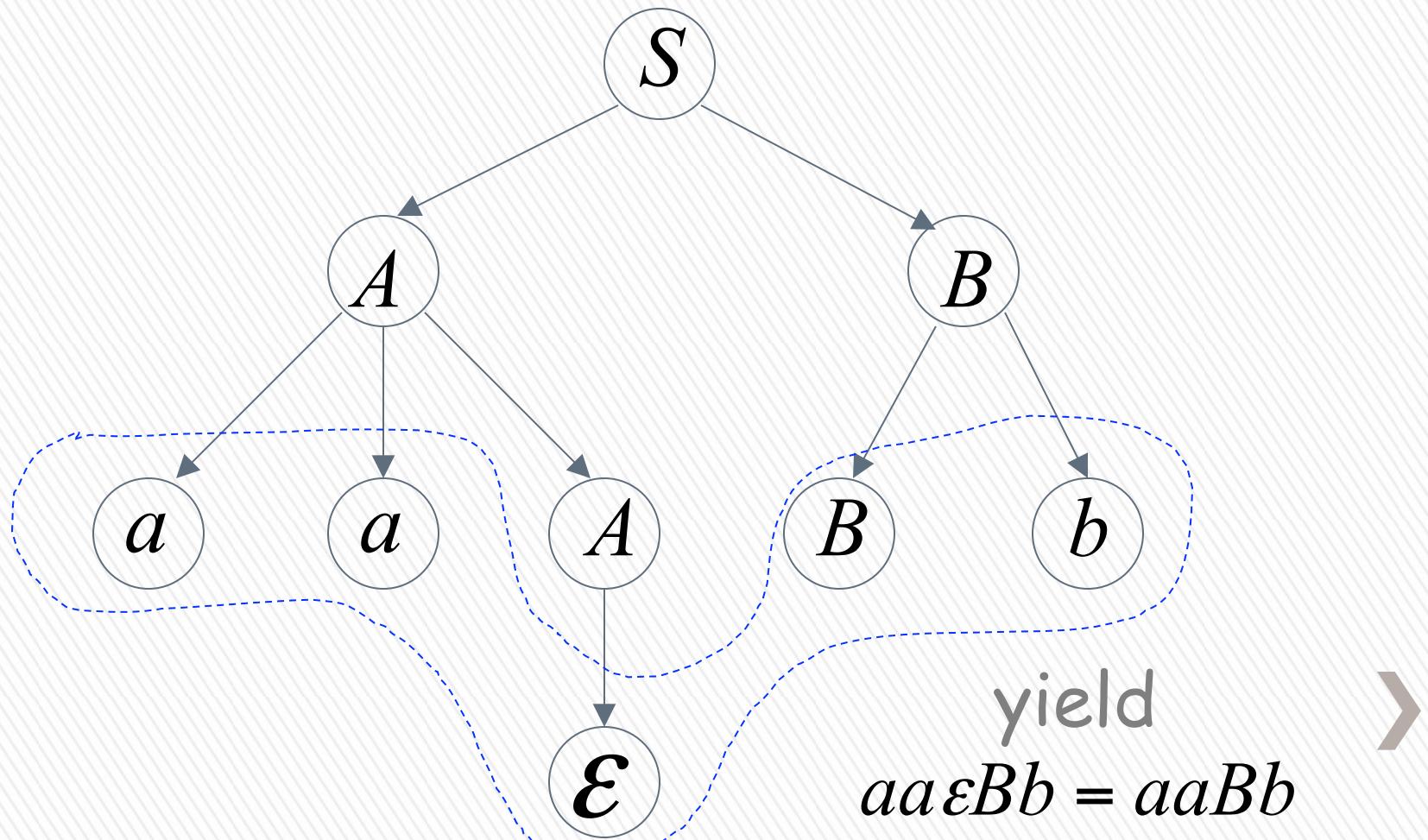


$$S \rightarrow AB$$

$$A \rightarrow aaA \mid \varepsilon$$

$$B \rightarrow Bb \mid \varepsilon$$

$$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaABb \Rightarrow aaBb$$



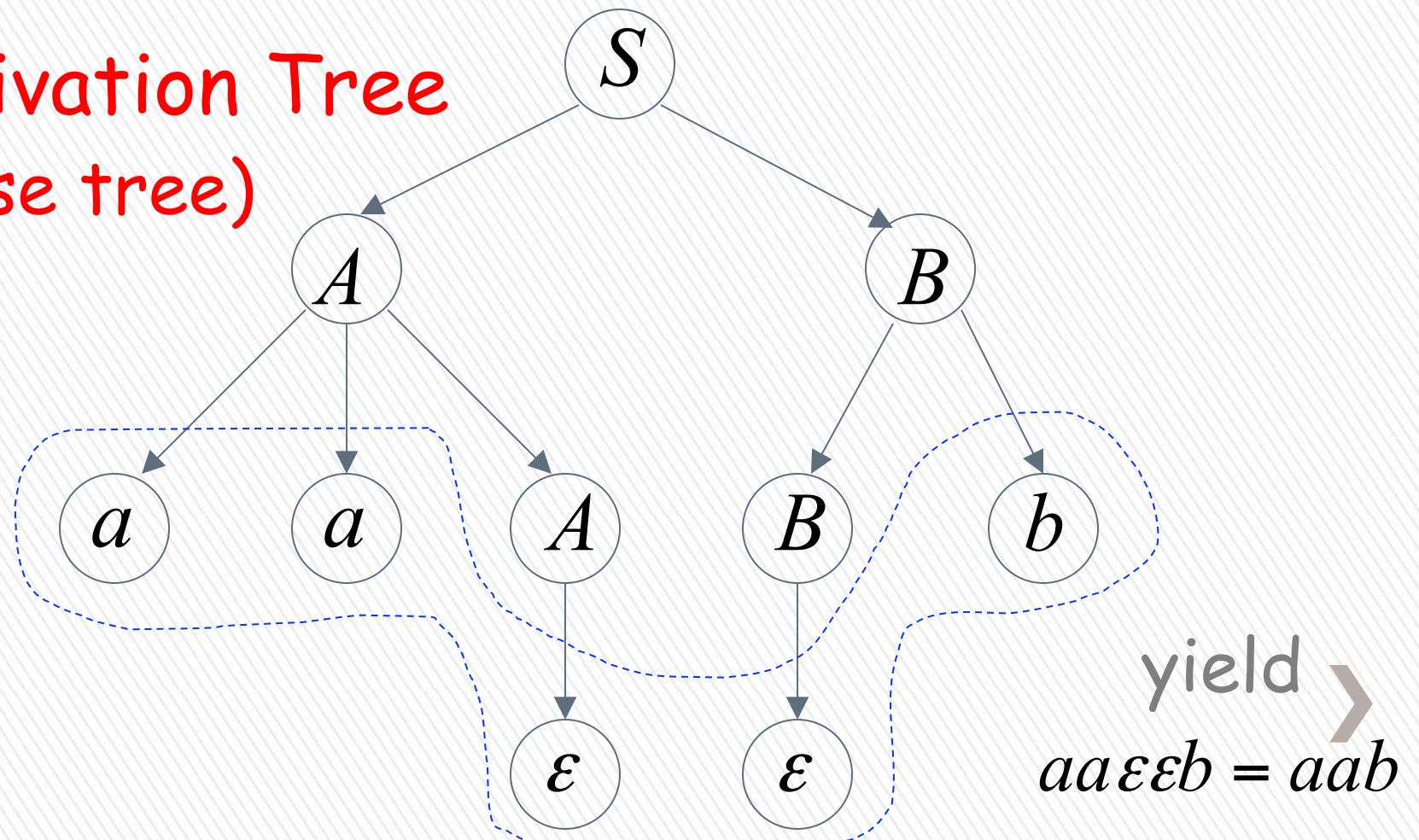
$$S \rightarrow AB$$

$$A \rightarrow aaA \mid \epsilon$$

$$B \rightarrow Bb \mid \epsilon$$

$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaABb \Rightarrow aaBb \Rightarrow aab$

Derivation Tree  
(parse tree)



Sometimes, derivation order doesn't matter

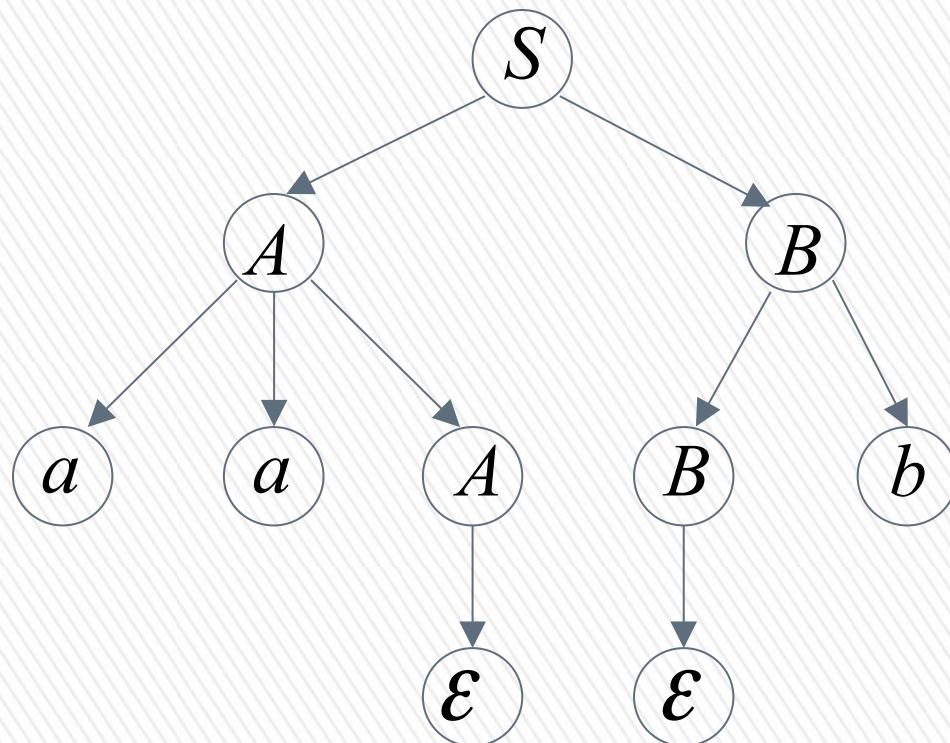
Leftmost derivation:

$$S \Rightarrow AB \Rightarrow aaAB \Rightarrow aaB \Rightarrow aaBb \Rightarrow aab$$

Rightmost derivation:

$$S \Rightarrow AB \Rightarrow ABb \Rightarrow Ab \Rightarrow aaAb \Rightarrow aab$$

Give same  
derivation tree





# Ambiguity

# Grammar for mathematical expressions

$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

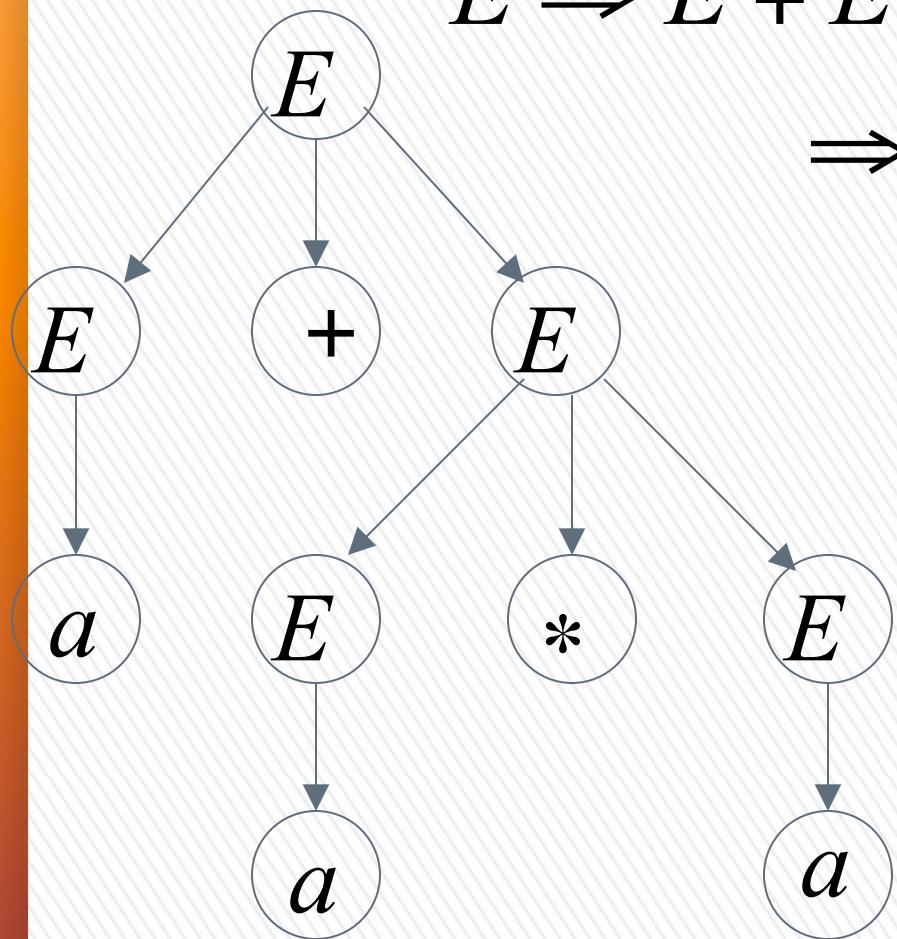
Example strings:

$$(a + a) * a + (a + a * (a + a))$$


Denotes any number



$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$



$E \Rightarrow E + E \Rightarrow a + E \Rightarrow a + E * E$   
 $\Rightarrow a + a * E \Rightarrow a + a * a$

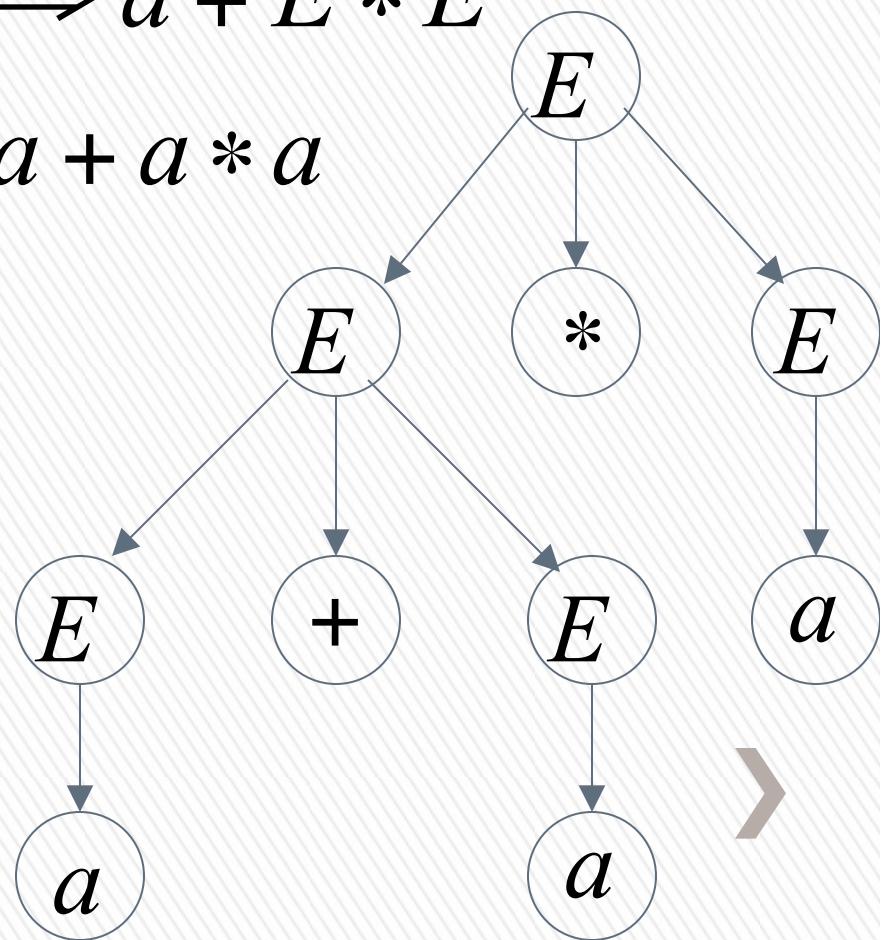
A leftmost derivation  
for  $a + a * a$



$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

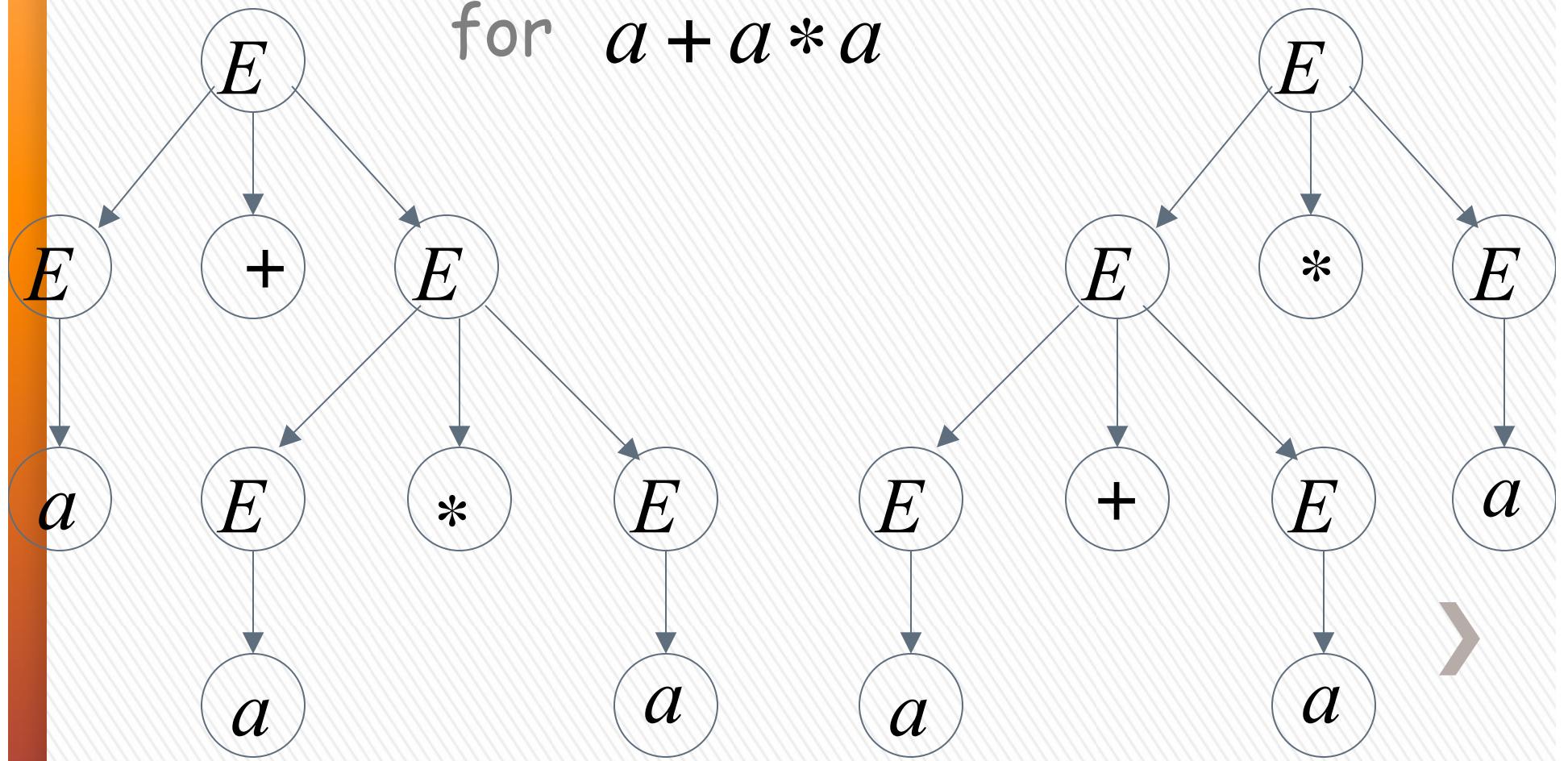
$$\begin{aligned} E &\Rightarrow E * E \Rightarrow E + E * E \Rightarrow a + E * E \\ &\Rightarrow a + a * E \Rightarrow a + a * a \end{aligned}$$

Another  
leftmost derivation  
for  $a + a * a$



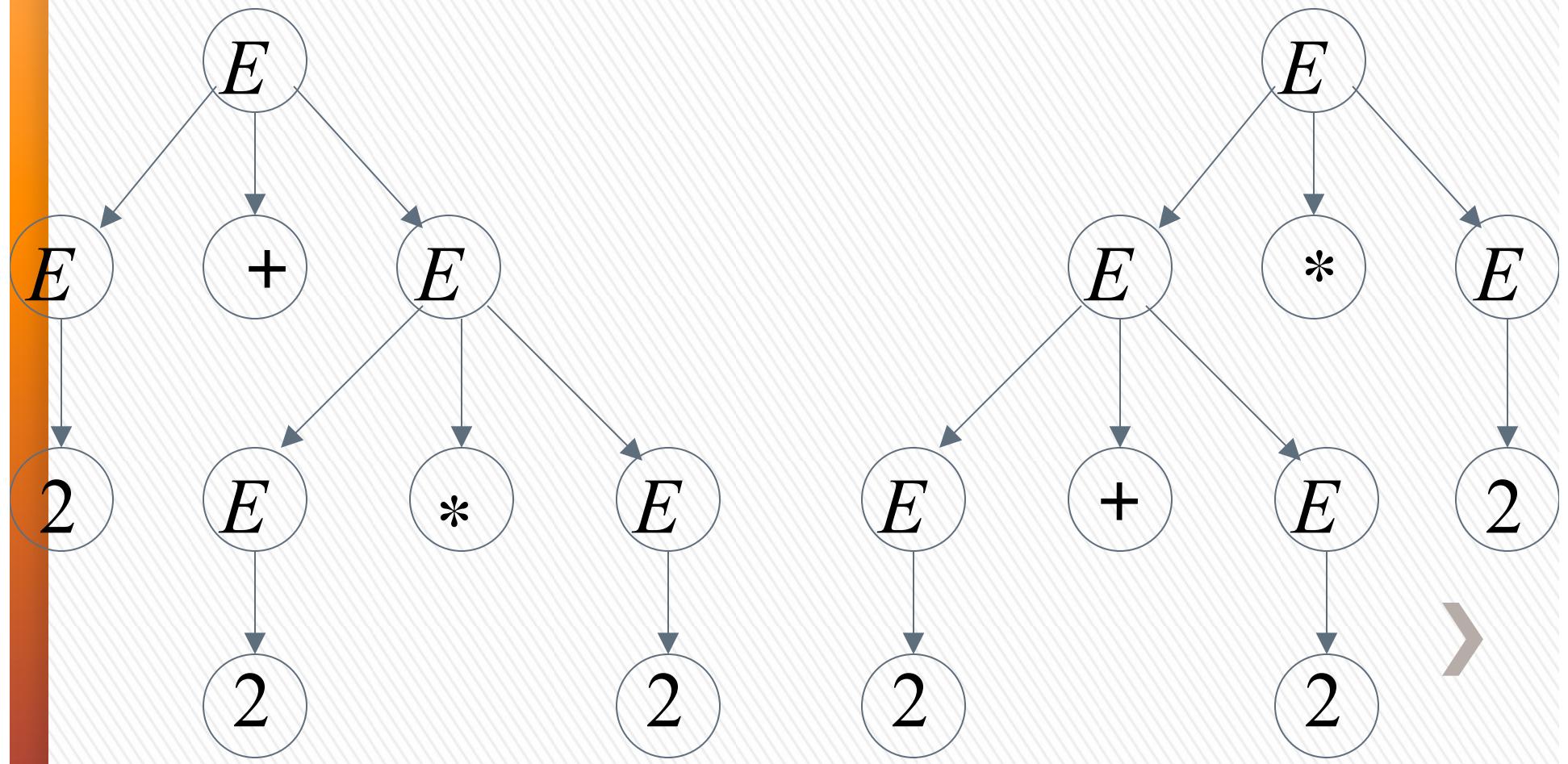
$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

Two derivation trees  
for  $a + a * a$



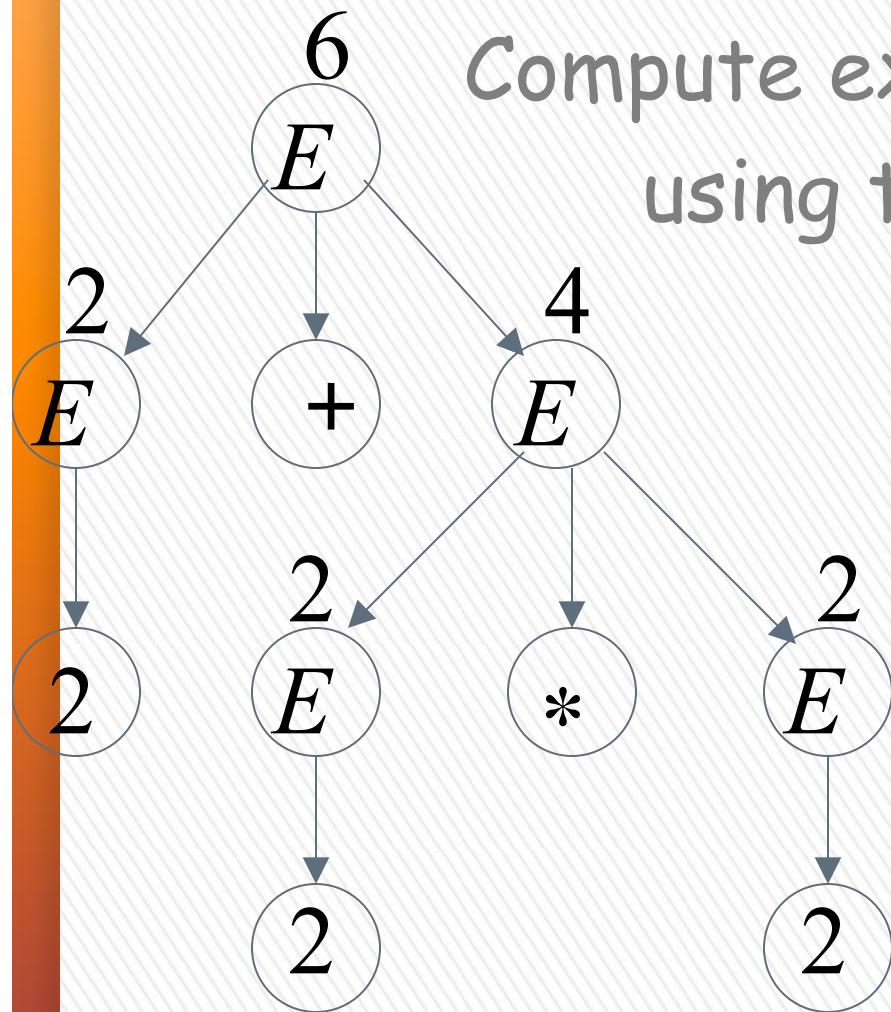
take  $a = 2$

$$a + a * a = 2 + 2 * 2$$



## Good Tree

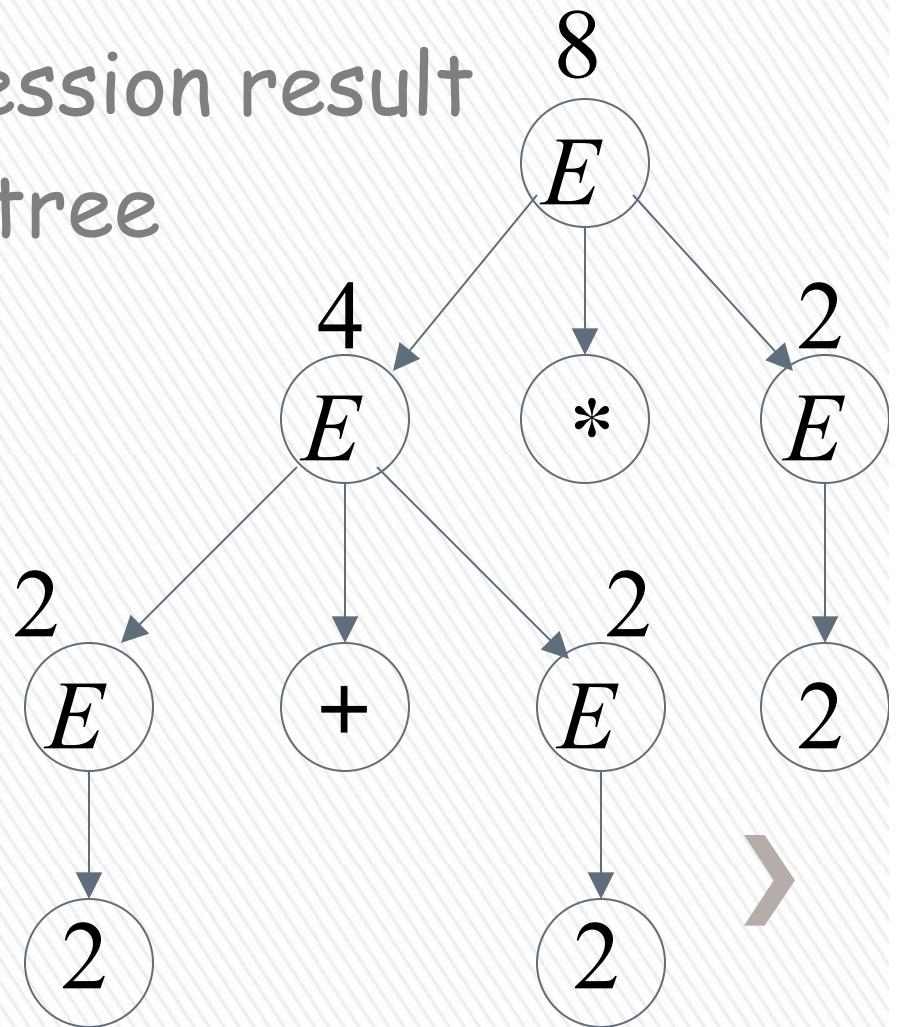
$$2 + 2 * 2 = 6$$



## Bad Tree

$$2 + 2 * 2 = 8$$

Compute expression result  
using the tree



Two different derivation trees  
may cause problems in applications which  
use the derivation trees:

- Evaluating expressions
- In general, in compilers  
for programming languages



## Ambiguous Grammar:

A context-free grammar  $G$  is ambiguous if there is a string  $w \in L(G)$  which has:

two different derivation trees

or

two leftmost derivations

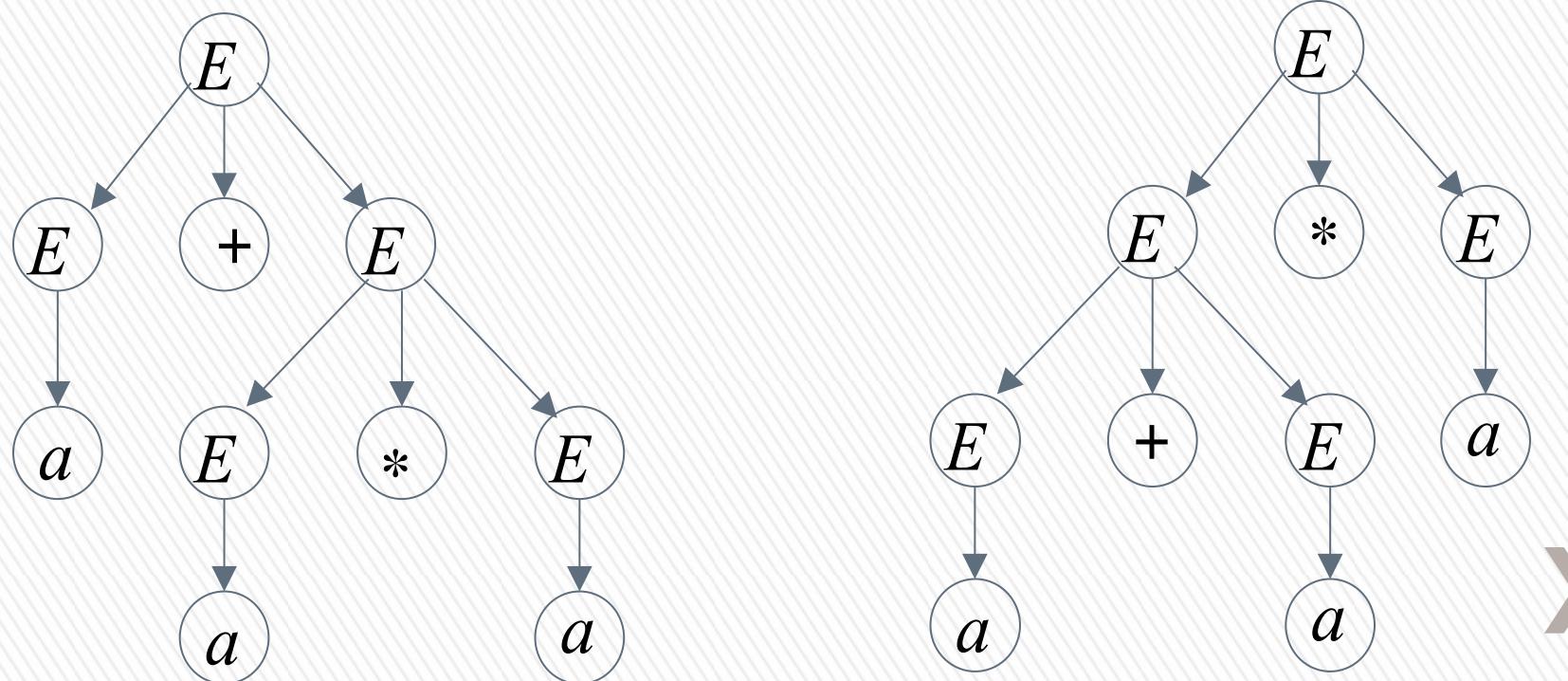
(Two different derivation trees give two different leftmost derivations and vice-versa)



Example:

$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

this grammar is ambiguous since  
string  $a + a * a$  has two derivation trees



$$E \rightarrow E + E \mid E * E \mid (E) \mid a$$

this grammar is ambiguous also because  
string  $a + a * a$  has two leftmost derivations

$$\begin{aligned} E &\Rightarrow E + E \Rightarrow a + E \Rightarrow a + E * E \\ &\qquad\qquad\qquad\Rightarrow a + a * E \Rightarrow a + a * a \end{aligned}$$

$$\begin{aligned} E &\Rightarrow E * E \Rightarrow E + E * E \Rightarrow a + E * E \\ &\qquad\qquad\qquad\Rightarrow a + a * E \Rightarrow a + a * a \end{aligned}$$



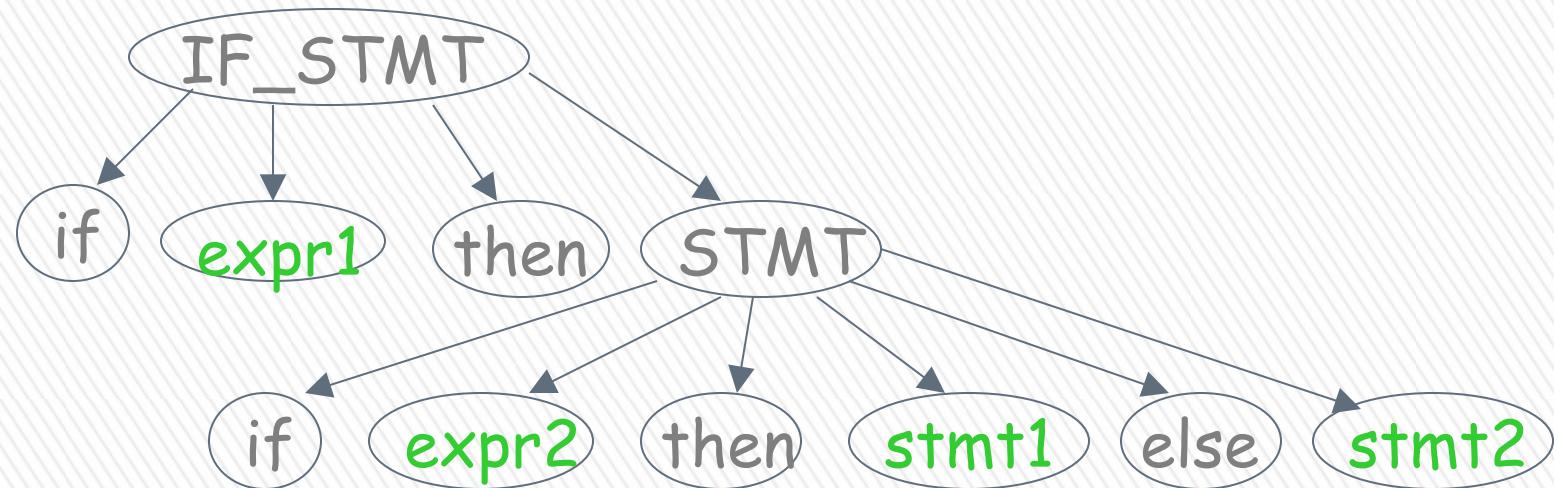
# Another ambiguous grammar:

IF\_STMT → if EXPR then STMT  
| if EXPR then STMT else STMT

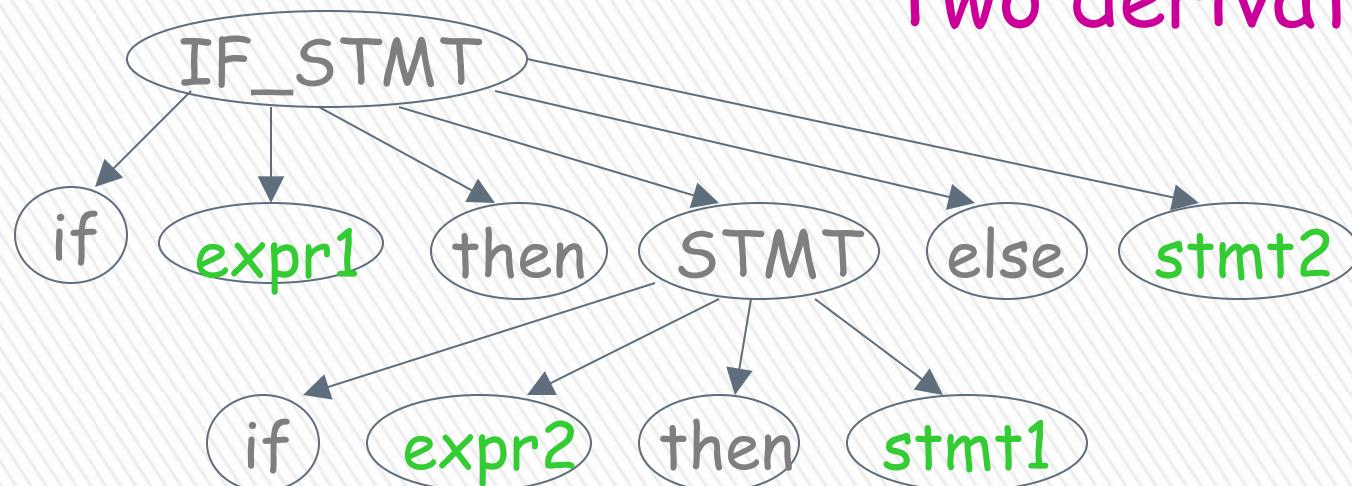


# Very common piece of grammar in programming languages

If expr1 then if expr2 then stmt1 else stmt2



Two derivation trees



In general, ambiguity is bad  
and we want to remove it

Sometimes it is possible to find  
a non-ambiguous grammar for a language

But, in general we cannot do so



# A successful example:

Ambiguous  
Grammar

$$\begin{aligned} E &\rightarrow E + E \\ E &\rightarrow E * E \\ E &\rightarrow (E) \\ E &\rightarrow a \end{aligned}$$

Equivalent  
Non-Ambiguous  
Grammar

$$\begin{aligned} E &\rightarrow E + T \mid T \\ T &\rightarrow T * F \mid F \\ F &\rightarrow (E) \mid a \end{aligned}$$

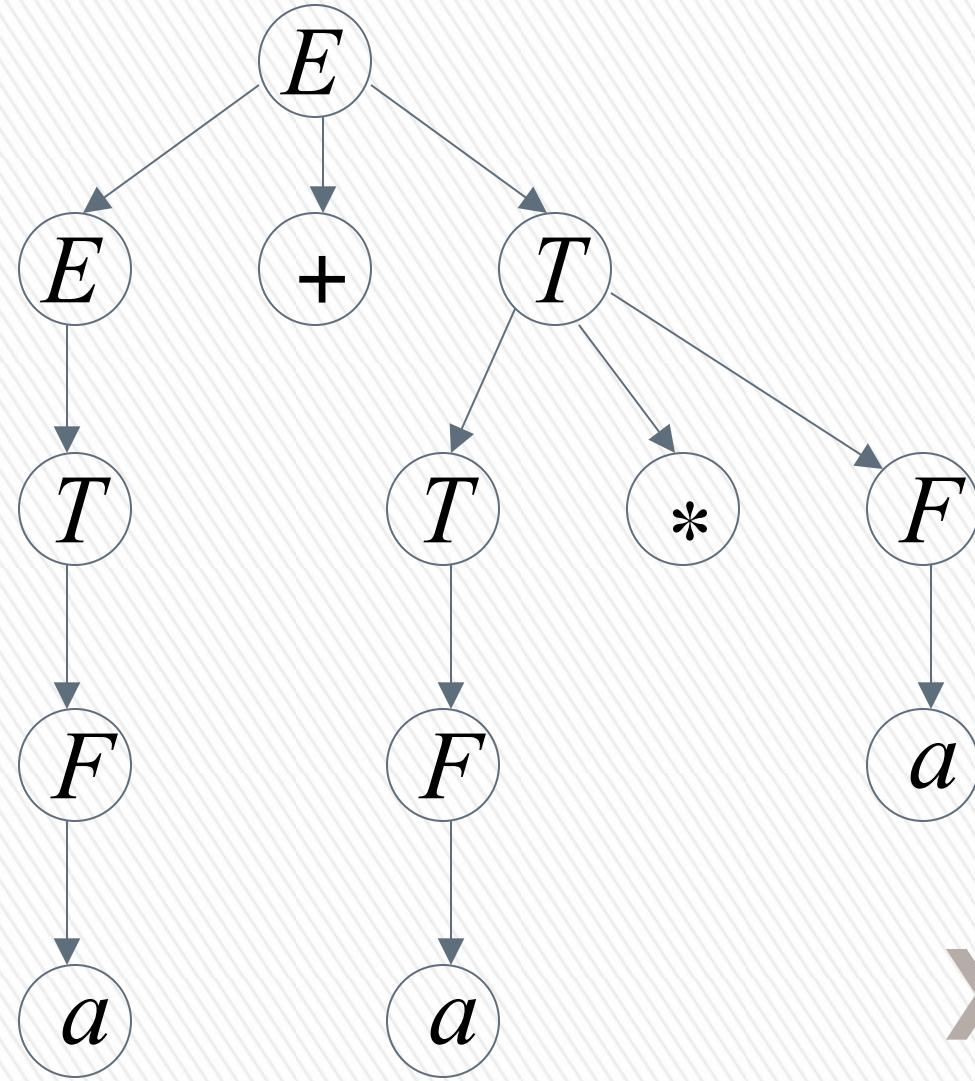
generates the same  
language



$$\begin{aligned}
 E &\Rightarrow E + T \Rightarrow T + T \Rightarrow F + T \Rightarrow a + T \Rightarrow a + T * F \\
 &\Rightarrow a + F * F \Rightarrow a + a * F \Rightarrow a + a * a
 \end{aligned}$$

$E \rightarrow E + T \mid T$
$T \rightarrow T * F \mid F$
$F \rightarrow (E) \mid a$

Unique  
derivation tree  
for  $a + a * a$



An un-successful example:

$$L = \{a^n b^n c^m\} \cup \{a^n b^m c^m\}$$

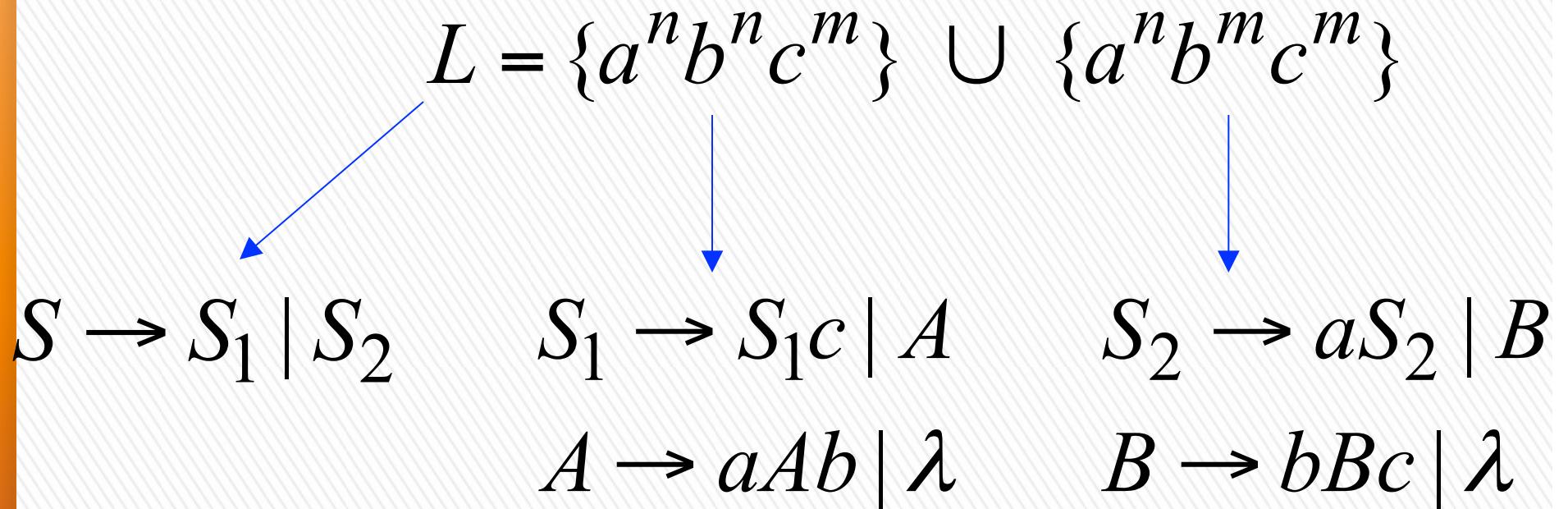
$$n, m \geq 0$$

$L$  is inherently ambiguous:

every grammar that generates this language is ambiguous

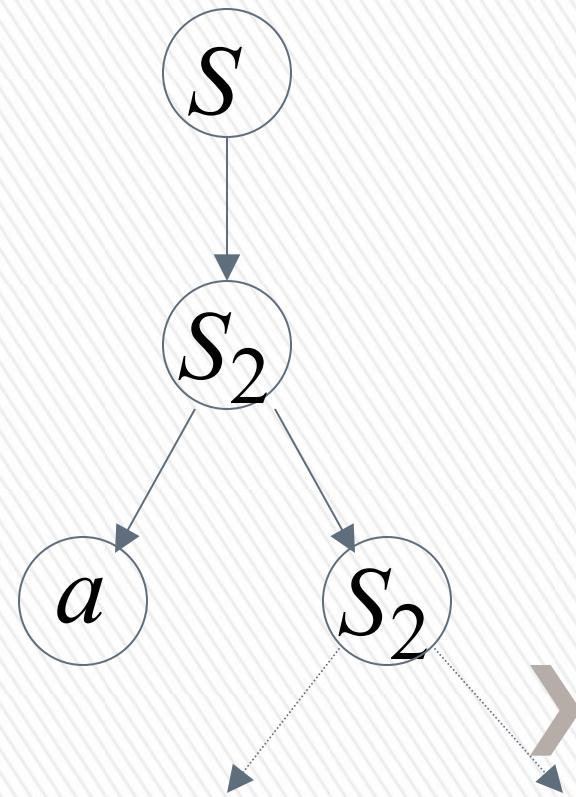
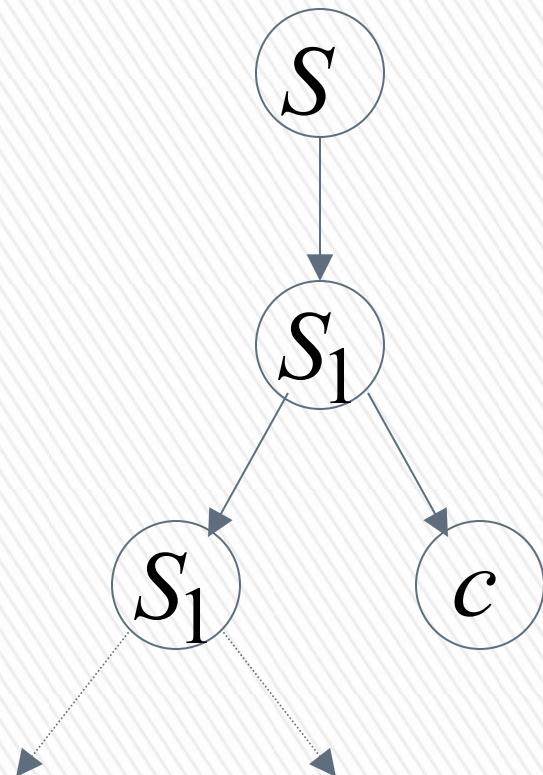


Example (ambiguous) grammar for  $L$ :



The string  $a^n b^n c^n \in L$   
has always two different derivation trees  
(for any grammar)

For example



# BLM2502 Theory of Computation

