# **DL Lab1 Backpropagation**

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### 1. Introduction

在本次實驗目的為實作一個兩層的隱藏層(Hidden Layer)的神經網路,並能瞭解正向傳遞(Forward Pass)和反向傳遞(Backpropagation)的作用和運作原理。實驗規定僅能利用Numpy和python標準函式庫完成,並不能使用如Tensorflow或Pytorch的模板。測試的兩個數據為linear和XOR的分類,神經網路在最後loss會收斂,得到的output為極趨近0或1的數值,且得出的圖片和正解一模一樣。

### 2. Experiment setups

### A. Sigmoid functions

實驗使用的activation function為sigmoid function,可以使網路輸出的結果落於[0,1]的區間,免於結果過大或過小。

$$\sigma(x) = \frac{1}{1 - e^{-x}}$$

$$\sigma'(x) = \frac{e^{-x}}{(1 + e^{-x})^2} = \sigma(x) \cdot (1 - \sigma(x))$$

#### B. Neural network

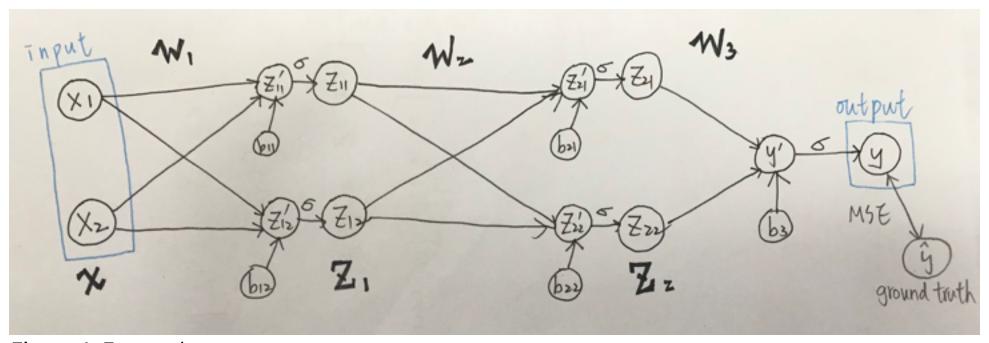


Figure 1. Forward pass

實驗設計的神經網路有兩層hidden layer,而每個layer都分別有兩個hidden units。在訓練的每一個epoch,會將input的點座標一個個forward計算,最後會output出一個接近0或1的數值,然後放入loss function跟正解比較。然後為了使loss function最小,而使用backprapogation來調整網路參數。藉由forward pass和backprapogation的反覆循環迭代,可以使網路獲得最佳訓練結果。以下為實驗的設定:

- 1.  $x_1$ ,  $x_2$ : neural network inputs
- 2.  $X: [x_1, x_2]$

3. y: neural network output

4. ŷ: ground truth

5. W<sub>1</sub>, W<sub>2</sub>, W<sub>3</sub>: weight matrix of network layers

6. b<sub>1</sub>, b<sub>2</sub>, b<sub>3</sub>: bias matrix of network layers

7. Loss Function: Mean Square Error

8. Activation Function: Sigmoid function

9. Learning Rate: (1)linear: 0.1 (2)XOR: 1

### $X \rightarrow Layer 1 \rightarrow Z_1$

$$\mathbf{X} \cdot \mathbf{W}_1 + \mathbf{b}_1 = \mathbf{Z}_1'$$

$$\begin{bmatrix} x_1 & x_2 \end{bmatrix} \begin{bmatrix} w_{11} & w_{13} \\ w_{12} & w_{14} \end{bmatrix} + \begin{bmatrix} b_{11} & b_{12} \end{bmatrix} = \begin{bmatrix} z'_{11} & z'_{12} \end{bmatrix}$$

$$\boldsymbol{\sigma}(\mathbf{Z}_1') = \mathbf{Z}_1$$

### $Z_1 \rightarrow Layer 2 \rightarrow Z_2$

$$\mathbf{Z}_{1} \cdot \mathbf{W}_{2} + \mathbf{b}_{2} = \mathbf{Z}_{2}'$$

$$\begin{bmatrix} z_{11} & z_{12} \end{bmatrix} \begin{bmatrix} w_{21} & w_{23} \\ w_{22} & w_{24} \end{bmatrix} + \begin{bmatrix} b_{21} & b_{22} \end{bmatrix} = \begin{bmatrix} z'_{21} & z'_{22} \end{bmatrix}$$

$$\boldsymbol{\sigma}(\mathbf{Z}_{2}') = \mathbf{Z}_{2}$$

### $Z_2 \rightarrow Layer 3 \rightarrow \hat{y}$

$$\mathbf{Z}_{2} \cdot \mathbf{W}_{3} + \mathbf{b}_{3} = \hat{\mathbf{y}}'$$

$$\begin{bmatrix} z_{21} & z_{22} \end{bmatrix} \begin{bmatrix} w_{31} \\ w_{32} \end{bmatrix} + \begin{bmatrix} b_{3} \end{bmatrix} = \begin{bmatrix} \hat{\mathbf{y}}' \end{bmatrix}$$

$$\boldsymbol{\sigma}(\hat{\mathbf{y}}') = \hat{\mathbf{y}}$$

### C. Backpropagation

為了能夠使網路趨近正確的分類,也就是最小化損失函數,在更新參數的時候計算loss對各個參數的偏微分;偏微分的方向也就是誤差擴大的方向,因此在更新權重的時候將其取反,進而減小誤差。

Loss Function:  $L = (\hat{y}-y)^2/2$ 

Learning Rate: LR

$$b'_{3} \rightarrow b_{3} - LR \cdot \nabla_{b_{3}} L$$

$$w'_{3} \rightarrow w_{3} - LR \cdot \nabla_{w_{3}} L$$

$$b'_{2} \rightarrow b_{2} - LR \cdot \nabla_{b_{2}} L$$

$$w'_{2} \rightarrow w_{2} - LR \cdot \nabla_{w_{2}} L$$

$$b'_{1} \rightarrow b_{1} - LR \cdot \nabla_{b_{1}} L$$

$$w'_{1} \rightarrow w_{1} - LR \cdot \nabla_{w_{1}} L$$

### Layer 3: b<sub>3</sub>, W<sub>3</sub>

$$\begin{bmatrix} \frac{dL}{db_3} \end{bmatrix} = \begin{bmatrix} (\hat{y} - y) \cdot y(1 - y) \end{bmatrix}$$
$$\begin{bmatrix} \frac{dL}{dw_{31}} \\ \frac{dL}{dw_{32}} \end{bmatrix} = \begin{bmatrix} \frac{dL}{db_3} \end{bmatrix} \odot \begin{bmatrix} z_{21} & z_{22} \end{bmatrix}^T$$

$$\frac{dL}{db_3} = \frac{d(\hat{y}-y)^2/2}{d(\hat{y}-y)} \frac{d(\hat{y}-y)}{d\hat{y}} \frac{d\hat{y}}{d\hat{y}'} \frac{d\hat{y}'}{db_3} = (\hat{y}-y) \cdot y(1-y)$$

$$\frac{dL}{dw_{31}} = \frac{d(\hat{y}-y)^2/2}{d(\hat{y}-y)} \frac{d(\hat{y}-y)}{d\hat{y}} \frac{d\hat{y}}{d\hat{y}'} \frac{d\hat{y}'}{dw_{31}} = (\hat{y}-y) \cdot y(1-y) \cdot z_{21}$$

$$\frac{dL}{dw_{32}} = \frac{d(\hat{y}-y)^2/2}{d(\hat{y}-y)} \frac{d(\hat{y}-y)}{d\hat{y}} \frac{d\hat{y}}{d\hat{y}'} \frac{d\hat{y}'}{dw_{32}} = (\hat{y}-y) \cdot y(1-y) \cdot z_{22}$$

### Layer 2: b<sub>2</sub>, W<sub>2</sub>

### Layer 1: b<sub>1</sub>, W<sub>1</sub>

$$\frac{dL}{db_{11}} = \frac{d(\hat{y}-y)^{2}/2}{d(\hat{y}-y)} \frac{d(\hat{y}-y)}{d\hat{y}} \frac{d\hat{y}}{d\hat{y}} \frac{d\hat{y}'}{dz_{21}} \frac{dz_{21}}{dz_{21}} \frac{dz_{21}}{dz_{11}} \frac{dz_{11}}{dz_{11}} \frac{dz_{11}'}{db_{11}} + \frac{d(\hat{y}-y)^{2}/2}{d(\hat{y}-y)} \frac{d(\hat{y}-y)}{d\hat{y}} \frac{d\hat{y}'}{dz_{22}} \frac{dz_{22}}{dz_{22}} \frac{dz_{22}'}{dz_{11}} \frac{dz_{11}'}{dz_{11}'} \frac{dz_{11}'}{db_{11}} \\
= (\hat{y}-y) \cdot y(1-y) \cdot [w_{31} \cdot z_{21}(1-z_{21}) \cdot w_{21} + w_{32} \cdot z_{22}(1-z_{22}) \cdot w_{23}] \cdot z_{11}(1-z_{11})$$

$$\frac{dL}{dw_{11}} = \frac{d(\hat{y}-y)^{2}/2}{d(\hat{y}-y)} \frac{d(\hat{y}-y)}{d\hat{y}} \frac{d\hat{y}}{d\hat{y}'} \frac{dz_{21}}{dz_{21}} \frac{dz_{21}}{dz_{21}} \frac{dz_{21}}{dz_{11}} \frac{dz_{11}}{dw_{11}} + \frac{d(\hat{y}-y)^{2}/2}{d(\hat{y}-y)} \frac{d(\hat{y}-y)}{d\hat{y}} \frac{d\hat{y}'}{dz_{22}} \frac{dz_{22}}{dz_{22}} \frac{dz_{21}}{dz_{21}} \frac{dz_{11}}{dw_{11}} \frac{dz_{11}}{dw_{11}}$$

$$= (\hat{y}-y) \cdot y(1-y) \cdot [w_{31} \cdot z_{21}(1-z_{21}) \cdot w_{21} + w_{32} \cdot z_{22}(1-z_{22}) \cdot w_{23}] \cdot z_{11}(1-z_{11}) \cdot w_{21}$$

$$\frac{dL}{dw_{12}} = \frac{d(\hat{y}-y)^{2}/2}{d(\hat{y}-y)} \frac{d(\hat{y}-y)}{d\hat{y}} \frac{d\hat{y}'}{d\hat{y}'} \frac{dz_{21}}{dz_{21}} \frac{dz_{21}}{dz_{21}} \frac{dz_{21}}{dz_{11}} \frac{dz_{11}}{dw_{12}} + \frac{d(\hat{y}-y)^{2}/2}{d(\hat{y}-y)} \frac{d(\hat{y}-y)}{d\hat{y}} \frac{d\hat{y}'}{dz_{22}} \frac{dz_{12}}{dz_{11}} \frac{dz_{11}}{dz_{11}} \frac{dz_{11}}{dw_{12}}$$

$$= (\hat{y}-y) \cdot y(1-y) \cdot [w_{31} \cdot z_{21}(1-z_{21}) \cdot w_{21} + w_{32} \cdot z_{22}(1-z_{22}) \cdot w_{23}] \cdot z_{11}(1-z_{11}) \cdot w_{21}$$

$$\frac{dL}{db_{12}} = \frac{d(\hat{y}-y)^{2}/2}{d(\hat{y}-y)} \frac{d(\hat{y}-y)}{d\hat{y}} \frac{d\hat{y}'}{d\hat{y}'} \frac{dz_{21}}{dz_{21}} \frac{dz_{21}}{dz_{21}} \frac{dz_{12}}{dz_{12}} \frac{dz_{12}}{d$$

## 3. Results of your testing

### A. Screenshot and comparison figure

兩種不同的數據經由神經網路得出的圖和正解相同。

#### Linear

n=100

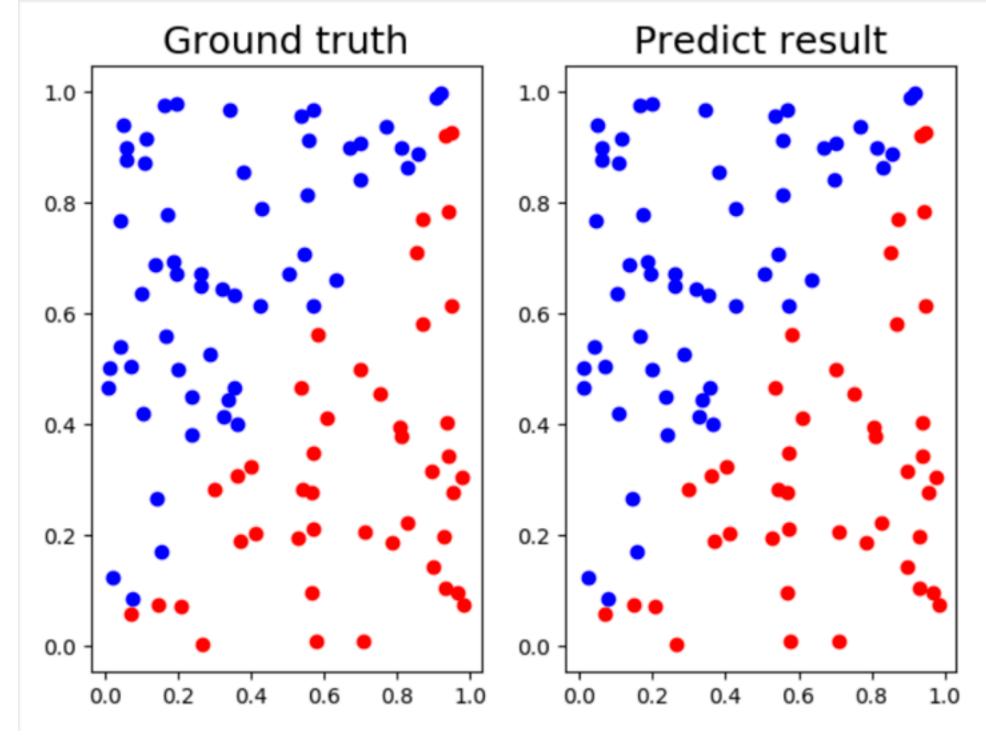


Figure 2. Linear(n=100) comparison figure

n=200

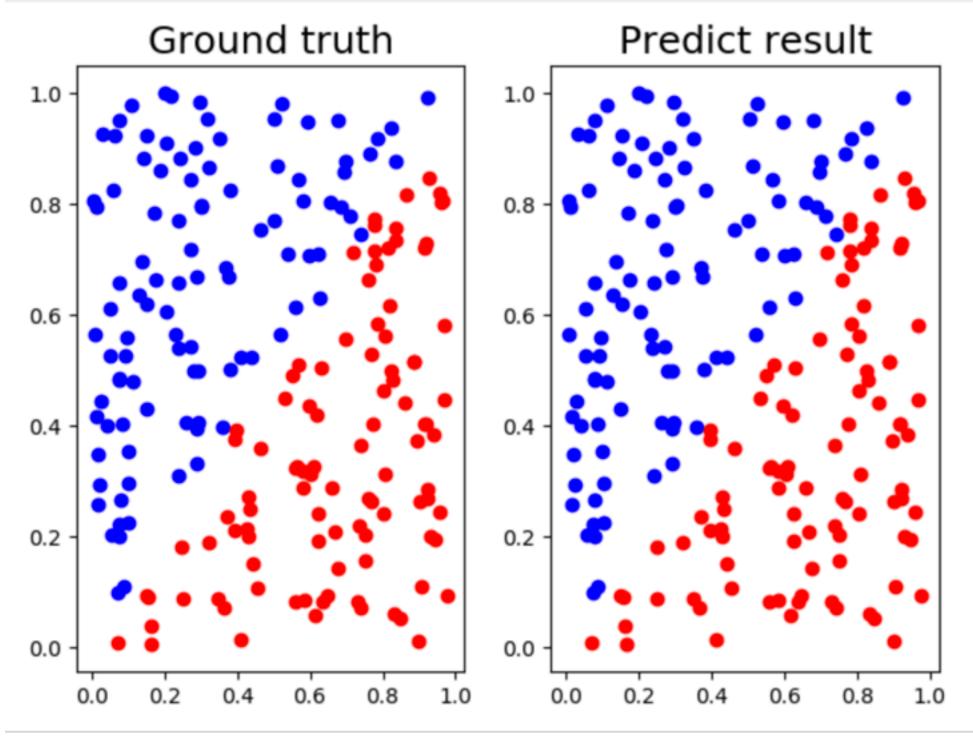


Figure 3. Linear(n=200) comparison figure

n=300

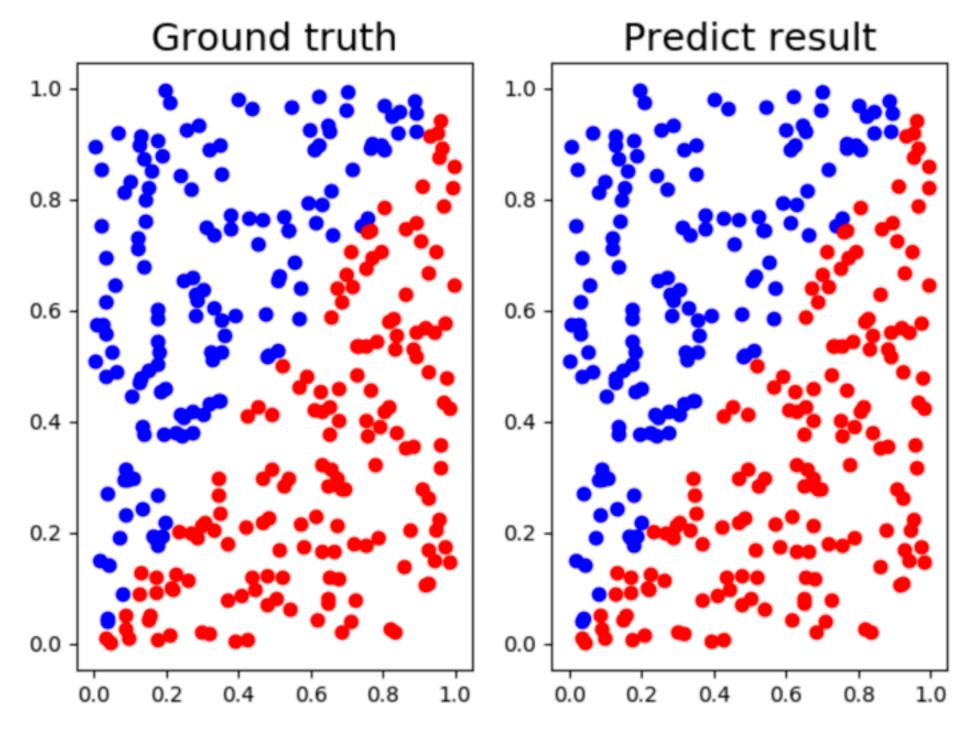


Figure 4. Linear(n=300) comparison figure

## XOR easy

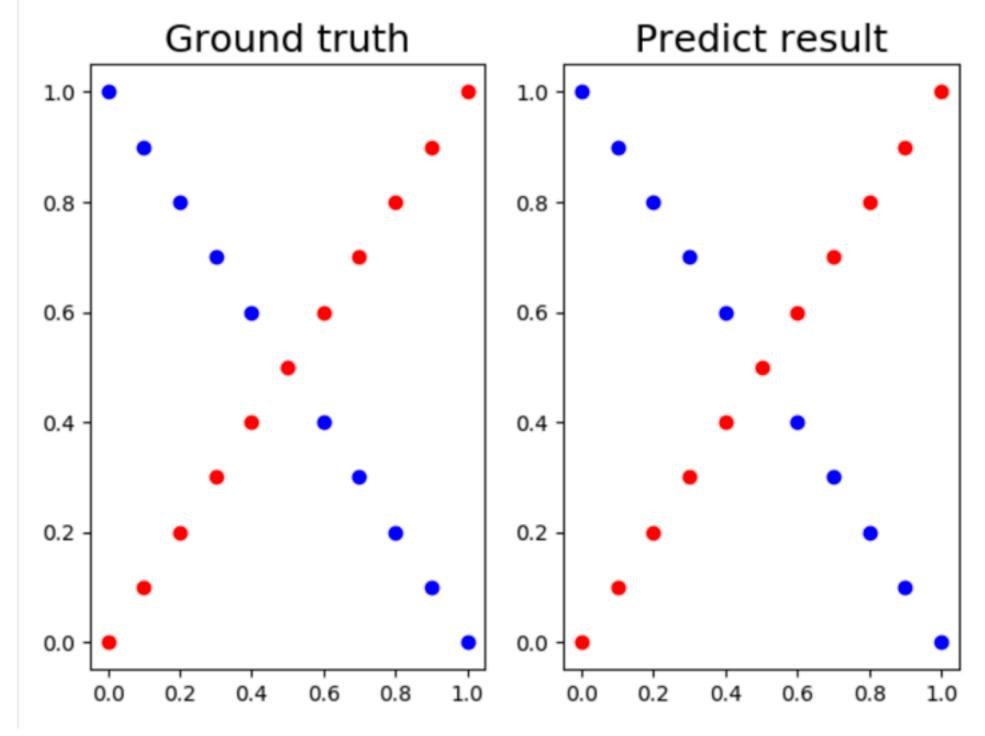


Figure 5. XOR comparison figure

### B. anything you want to present

loss都有逐漸收斂,且能在五分鐘內得到accurancy為1的結果。

#### Linear

```
n=100
```

```
loss: 0.08547551570216562 , accuracy: 0.95
epoch
       100
epoch
       200
                   0.04584292443123324 , accuracy: 0.93
             loss:
epoch
       300
             loss:
                   0.031990660080388186 , accuracy: 0.94
       400
                   0.026038416716969 , accuracy: 0.96
epoch
             loss:
       500
             loss:
                    0.02279215932047285
epoch
                                           accuracy: 0.97
             loss: 0.020685318319860008
       600
                                                      0.97
epoch
                                          , accuracy:
                                                      0.97
epoch
       700
             loss: 0.019243170794214875
                                          , accuracy:
                                                      0.98
epoch
       800
           , loss: 0.014468983120083774
                                          , accuracy:
epoch
             loss: 0.010442449131257405
                                                      1.0
       900
                                          , accuracy:
```

Figure 6. Linear(n=100) every 100 epoch

[0.985895] [0.99448441] [0.99476133]

```
[0.00303148]
              [0.96970327]
[[0.57944896] [0.00368404]
 [0.00326583] [0.95155446]
 [0.98996394] [0.00411079]
 [0.00447334] [0.02592289]
 [0.99482258] [0.96766643]
 [0.54961646] [0.99481625]
 [0.00305579] [0.00304417] [0.00581135]
 [0.99364033] [0.99481871] [0.77135073]
 [0.99462867] [0.00456552] [0.00306866]
 [0.99482088] [0.00306641] [0.97772857]
 [0.9934419 ] [0.00796165] [0.99373881]
 [0.9946459] [0.92116277] [0.98880515]
 [0.03009271] [0.99471765] [0.00303589]
 [0.99458925] [0.98611909] [0.67101004]
 [0.99482446] [0.9946984 ] [0.00307153]
 [0.80142879] [0.99440285] [0.00304523]
 [0.99455495] [0.98551865] [0.28208787]
 [0.00371178] [0.99089942] [0.99427314]
 [0.00699817] [0.7049236 ] [0.99203194]
 [0.9805997] [0.99482208] [0.99480918]
 [0.03008235] [0.00333486] [0.65182459]
 [0.99429515] [0.1967381 ] [0.93880434]
 [0.99308512] [0.99438374] [0.99482763]
 [0.18810642] [0.00351488] [0.00306609]
 [0.99465878] [0.99477264] [0.22737728]
 [0.00348263] [0.99459505] [0.00316201]
 [0.99136112] [0.14812622] [0.00305396]
 [0.0050832 ] [0.00437636] [0.00311947]]
 [0.01284434] [0.00304164]
 [0.00318809] [0.00353382]
 [0.00303342] [0.97067375]
 [0.99402188] [0.00307487]
 [0.00335178] [0.93195056]
 [0.99431153] [0.99407309]
              [0.00309477]
              [0.05334752]
              [0.98242724]
              [0.00310182]
              [0.99246072]
```

Figure 7. Linear(n=100) predictions

```
accuracy: 0.865
epoch
        100
              loss: 0.08890036836013131
epoch
        200
                                                        0.95
              loss:
                    0.026992096001925564
                                             accuracy:
epoch
        300
              loss:
                     0.014888744725014589
                                                        0.985
                                             accuracy:
epoch
        400
              loss: 0.013158035634809311
                                             accuracy:
                                                        0.985
              loss: 0.012012270233177023
epoch
        500
                                                        0.985
                                             accuracy:
                                             accuracy:
epoch
        600
              loss:
                    0.010637313632673959
                                                        0.99
epoch
              loss: 0.009635029980840638
                                                        0.99
        700
                                             accuracy:
epoch
                                                        0.99
        800
              loss:
                     0.009006091039373502
                                             accuracy:
epoch
                                                        0.99
        900
              loss:
                     0.008587480745759098
                                             accuracy:
epoch
        1000
               loss:
                      0.008291947849294346
                                              accuracy: 0.99
epoch
        1100
                                              accuracy: 0.99
               loss: 0.008074219840476808
epoch
        1200
               loss:
                     0.007908465443846468
                                              accuracy: 0.99
epoch
        1300
               loss: 0.00777879226354557
                                             accuracy: 0.99
epoch
        1400
               loss:
                      0.007604511059969395
                                              accuracy: 0.99
epoch
        1500
               loss:
                      0.0056124273218498685
                                              , accuracy: 0.99
epoch
        1600
               loss:
                      0.005107483116779407
                                              accuracy: 0.99
epoch
        1700
                                               accuracy: 0.99
               loss: 0.0048013425006074315
epoch
        1800
                     0.012227897644666544
               loss:
                                              accuracy: 0.985
epoch
               loss: 0.005811896081299477
                                              accuracy: 0.985
        1900
                                                         0.99
epoch
        2000
               loss:
                     0.008896703946869361
                                              accuracy:
epoch
                                             accuracy: 0.99
                      0.00519470220660048
        2100
               loss:
                                              , accuracy: 0.99
epoch
        2200
               loss: 0.0043086886045021885
epoch
        2300
               loss: 0.006037273793492568
                                              accuracy: 0.99
               loss:
epoch
        2400
                      0.003619681064834265
                                              accuracy:
Figure 8. Linear(n=200) every 100 epoch
```

[0.99958155]

```
[[0.99960414]
               [0.00184892]
                             [0.00225087]
 [0.00185044]
               [0.99960354]
                             [0.99960388]
 [0.00187194]
               [0.99943866]
                             [0.99960538]
 [0.00185148]
               [0.00184633]
                             [0.99959273]
 [0.0018941]
               [0.99955
                             [0.14273038]
 [0.00321763]
               [0.00196653]
                             [0.99934534]
 [0.99776443]
               [0.00184114]
                             [0.99751241]
 [0.99959091]
               [0.99959688]
                             [0.00184986]
 [0.00197385]
               [0.99957091]
                             [0.00191276]
 [0.00720667]
               [0.00183823]
                             [0.99960474]
 [0.00186328]
               [0.00202538]
                             [0.00184123]
 [0.00190968]
               [0.99953867]
                             [0.00184582]
 [0.99948986]
               [0.00462192]
                             [0.00205273]
 [0.00777061]
               [0.99959914]
                             [0.00193781]
 [0.00273008]
               [0.99873665]
                             [0.99960017]
 [0.00359316]
               [0.00850586]
```

```
[0.99900259]
 [0.99960191]
               [0.97675953]
                             [0.99935992]
 [0.99919743]
               [0.99924897]
                             [0.00187543]
 [0.00932567]
               [0.99922518]
                             [0.99936612]
 [0.00205019]
               [0.99960276]
                             [0.00183703]
 [0.99959112]
               [0.00187521]
                             [0.00187113]
 [0.99960376]
               [0.99960526]
                             [0.00343263]
 [0.00222847]
               [0.99958186]
                             [0.00184042]
 [0.99958244]
               [0.00191084]
                             [0.99920595]
 [0.99065629]
               [0.99945728]
                             [0.99958147]
 [0.99958896]
               [0.00183909]
                             [0.99959991]
 [0.99960072]
               [0.99959628]
                             [0.9996038]
 [0.99953242]
               [0.99959912]
                             [0.00453787]
 [0.99349788]
               [0.99959341]
                             [0.99955802]
 [0.99959932]
               [0.99958396]
                             [0.99960162]
 [0.64539629]
               [0.00256835]
                             [0.97043933]
 [0.00187485]
               [0.3530673]
                             [0.00188817]
 [0.47193194]
               [0.99960129]
                             [0.99879133]
 [0.99960537]
               [0.99960533]
                             [0.0018379]
 [0.0018845]
               [0.00188236]
                             [0.99960539]
 [0.00184582]
               [0.00186614]
                             [0.99958654]
 [0.99926643]
               [0.11369065]
                             [0.00197004]
 [0.99960411]
               [0.99959944]
                             [0.99938838]
 [0.99960279]
               [0.0022619]
                             [0.00184557]
 [0.64929785]
               [0.00185539]
                             [0.00723229]
 [0.00247967]
               [0.0098149]
                             [0.00183947]
 [0.00187642]
               [0.99960413]
                             [0.99960544]
 [0.00223941]
               [0.99957605]
                             [0.99960129]
[0.00243234]
[0.00424071]
[0.00193987]
[0.00185276]
[0.00184909]
```

[0.99960513]

[0.00185993]

[0.00184972]

[0.00250675][0.00185192]

[0.00198448][0.00207579]

[0.99625744] [0.99960452]

[0.00191358][0.99951468]

[0.99933819][0.00184189]

[0.00197946] [0.99956214]

[0.9995066

[0.99958696][0.99926964] [0.99960176] [0.99958321] [0.99960497][0.99960302] [0.99960558] [0.99959599] [0.00263641][0.9996056] [0.00183869][0.00183699] [0.00184352][0.00211717] [0.00211419][0.00184183] [0.9994562][0.99944697] [0.0018464 ][0.00184738] [0.99960543][0.00184568] [0.98674944][0.00226325] [0.01280576][0.99960213] [0.99960197][0.00373127] [0.30255286][0.99960474] [0.99960388][0.00192116] [0.00187466][0.99960506] [0.99959776] [0.00314322] [0.9937958][0.00227149] [0.99960557][0.00184948]] [0.00209025] [0.01302411][0.00183791] [0.99960461] [0.99960556][0.99959582][0.00205932] [0.9981817] [0.00185042]

Figure 9. Linear(n=200) predictions

n = 300

```
loss: 0.13084881786847166 , accuracy: 0.8333333333333334
epoch
epoch
             loss: 0.05366768997613396 ,
                                         accuracy: 0.93
       200
                                         accuracy: 0.946666666666667
             loss: 0.03441418573499303 ,
epoch
             loss: 0.028501755628121378 , accuracy: 0.95
epoch
             loss: 0.025992080413497844 , accuracy: 0.95333333333333334
epoch
             loss: 0.02416754716475541 , accuracy: 0.956666666666667
epoch
             loss: 0.02243977189687639 , accuracy: 0.966666666666667
epoch
             loss: 0.020676838678029875 , accuracy: 0.976666666666667
epoch
       900 , loss: 0.01884772129251868 , accuracy: 0.976666666666667
epoch
       1000 , loss: 0.017265415586333172 , accuracy: 0.976666666666667
epoch
             loss: 0.01613334888118305 , accuracy: 0.976666666666667
epoch
              loss: 0.01527826960568187 , accuracy: 0.976666666666667
epoch
       1200
              loss: 0.014544652628895894 , accuracy: 0.976666666666667
epoch
       1300
             loss: 0.013860101104121829 , accuracy: 0.976666666666667
epoch
       1400
       1500
             loss: 0.01320618517866626 , accuracy: 0.9766666666666667
epoch
epoch
       1600
             loss: 0.012584237212191589 , accuracy: 0.9766666666666667
epoch
             loss: 0.011992214047200278 , accuracy: 0.976666666666667
       1700
              loss: 0.01141805317267302 , accuracy: 0.98
epoch
       1800
             loss: 0.010834915447091085 , accuracy: 0.9833333333333333
epoch
       1900
             loss: 0.008903004919638543 , accuracy: 0.99
epoch
       2000
             loss: 0.0024420313563401717 , accuracy: 1.0
epoch
```

Figure 10. Linear(n=300) every 100 epoch

```
[[0.998677
                [0.99867697]
                              [[0.998677
 [0.00287783]
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Figure 11. Linear(n=300) predictions

## XOR easy

```
epoch
      100 , loss: 0.24939993235324523 , accuracy: 0.5238095238095238
      200 , loss: 0.2493497517157231 , accuracy: 0.5238095238095238
epoch
      300 , loss: 0.24911392410382793 , accuracy: 0.5238095238095238
epoch
      400 , loss: 0.24447481357267853 , accuracy: 0.6666666666666666
epoch
epoch
      500 , loss: 0.19962872540485876 , accuracy: 0.7142857142857143
      600 , loss: 0.17716046289929985 , accuracy: 0.7619047619047619
epoch
epoch 700 , loss: 0.16878560978907692 , accuracy: 0.7619047619047619
epoch 800 , loss: 0.011324921259608847 , accuracy: 1.0
Figure 12. XOR every 100 epoch
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 [0.9689505]
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 [0.95274033]]
```

Figure 13. XOR predictions

### 4. Discussion

### A. Anything you want to share

### 影響收斂速度的因素

初始的參數:一開始我是將所有的參數取[0,1]的random數,但會發現每次loss收斂速度相差極大,而不易去了解和調整其他可能會造成收斂速度的變數。故後來使用RandomState來排除這個不確定性。

- Batch:比起一次forward就更新一次參數,一次epoch再更新一次參數能夠提升收斂速度。其原因可能為若每一次forward就更新會使得參數偏向某個數據,而容易產生震盪。
- Learing rate:兩個數據的LR設定不同,能夠造成對其較佳的結果。

### 程式會需要注意的錯誤

Matrix shape:每個matrix都要好好想清楚和仔細檢查打出來的shape,否則會發生loss越來越大的慘劇。